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2 **Improvement from the satellite-derived NO_x emissions on**
3 **air quality modeling and its effect on ozone and secondary**
4 **inorganic aerosol formation in Yangtze River Delta, China**

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Abstract

25

26 We developed a “top-down” methodology combining the inversed chemistry
27 transport modeling and satellite-derived tropospheric vertical column of NO₂, and
28 estimated the NO_x emissions of Yangtze River Delta (YRD) region at a horizontal
29 resolution of 9 km for January, April, July and October 2016. The effect of the
30 top-down emission estimation on air quality modeling, and the response of ambient
31 ozone (O₃) and inorganic aerosols (SO₄²⁻, NO₃⁻, and NH₄⁺, SNA) to the changed
32 precursor emissions were evaluated with the Community Multi-scale Air Quality
33 (CMAQ) system. The top-down estimates of NO_x emissions were smaller than those
34 in a national emission inventory, MEIC (i.e., the “bottom-up” estimates), for all the
35 four months, and the monthly mean was calculated at 260.0 Gg/month, 24% less than
36 the bottom-up one. The NO₂ concentrations simulated with the bottom-up estimate of
37 NO_x emissions were clearly higher than the ground observation, indicating the
38 possible overestimation in current emission inventory attributed to its insufficient
39 consideration of recent emission control in the region. The model performance based
40 on top-down estimate was much better, and the biggest change was found for July
41 with the normalized mean bias (NMB) and normalized mean error (NME) reduced
42 from 111% to -0.4% and from 111% to 33%, respectively. The results demonstrate the
43 improvement of NO_x emission estimation with the nonlinear inversed modeling and
44 satellite observation constraint. With the smaller NO_x emissions in the top-down
45 estimate than the bottom-up one, the elevated concentrations of ambient O₃ were
46 simulated for most YRD and they were closer to observation except for July, implying
47 the VOC (volatile organic compound)-limit regime of O₃ formation. With available
48 ground observations of SNA in the YRD, moreover, better model performance of
49 NO₃⁻ and NH₄⁺ were achieved for most seasons, implying the effectiveness of
50 precursor emission estimation on the simulation of secondary inorganic aerosols.
51 Through the sensitivity analysis of O₃ formation for April 2016, the decreased O₃
52 concentrations were found for most YRD region when only VOCs emissions were
53 reduced or the reduced rate of VOCs emissions was two times of that of NO_x,

54 implying the crucial role of VOCs control on O₃ pollution abatement. The SNA level
55 for January 2016 was simulated to decline 12% when 30% of NH₃ emissions were
56 reduced, while the change was much smaller with the same reduced rate for SO₂ or
57 NO_x. The result suggests that reducing NH₃ emissions was the most effective way to
58 alleviate SNA pollution for YRD in winter.

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60

1. Introduction

61 Nitrogen oxides (NO_x = NO₂ + NO) play an important role on the formation of
62 ambient ozone (O₃) and inorganic aerosols (SO₄²⁻, NO₃⁻, and NH₄⁺, SNA). The NO_x
63 emission inventory is a necessary input of the air quality model (AQM), and has a
64 great influence on NO₂, O₃ and SNA simulation (Zhou et al., 2017; Chen et al.,
65 2019a). Moreover, it is crucial for exploring the sources of atmospheric pollution of
66 O₃ and fine particles (particles with aerodynamic diameter smaller than 2.5μm, PM_{2.5})
67 with AQM. The inventories were usually developed with a bottom-up method, in
68 which the emissions were calculated based on the activity data (e.g., fuel consumption
69 and industrial production) and emission factors (the emissions per unit of activity data)
70 by source category and region. Bias existed commonly in the bottom-up inventories,
71 due mainly to the uncertainty of economic and energy statistics and to the fast
72 changes in the emission control measures, especially in developing countries like
73 China (Granier et al., 2011; Saikawa et al., 2017; Zhang et al., 2019).

74 To improve the emission estimation, an inversed “top-down” method has been
75 developed based on satellite observation and AQM (Martin et al., 2003; Zhao and
76 Wang, 2009; Zyrichidou et al., 2015; Yang et al., 2019a). The emissions were
77 corrected based on the difference between the modeled and observed tropospheric
78 vertical column densities (TVCDs) of NO₂, and the response coefficient of NO₂
79 TVCDs to emissions (Martin et al., 2003; Cooper et al., 2017). With higher temporal
80 and spatial resolution than other instruments, the NO₂ TVCDs from Ozone
81 Monitoring Instrument (OMI) were frequently used (Kurokawa et al., 2009; Gu et al.,
82 2014; de Foy et al., 2015; Kong et al., 2019; Yang et al., 2019a).

83 Currently, the top-down methods were mainly developed at the global or national
84 scale with relatively coarse horizontal resolution (Martin et al., 2003; Miyazaki et al.,
85 2012; Jena et al., 2014; Gu et al., 2014). At the global scale, for example, Martin et al.
86 (2003) and Miyazaki et al. (2012) estimated the NO_x emissions at the horizontal
87 resolution of 2°×2.5° and 2.8°×2.8°, respectively. Martin et al. (2003) found that the
88 satellite-derived NO_x emissions for 1996-1997 were 50-100% larger than the
89 bottom-up estimates in the Po Valley, Tehran, and Riyadh urban areas. Miyazaki et al.
90 (2012) suggested that the bottom-up method underestimated the NO_x emissions over
91 eastern China, eastern United States, southern Africa, and central-western Europe. At
92 the national scale with the horizontal resolution of 0.5°×0.5°, the annual NO_x
93 emissions in India 2015 derived with the top-down method was 7-60% smaller than
94 various bottom-up estimates (Jena et al., 2014). With the TVCDs from OMI and
95 another instrument (Global Ozone Monitoring Experiment, GOME), the difference in
96 national NO_x emissions for China was quantified at 0.4 Tg N/yr (5.8% relative to
97 OMI) at the resolution of 70×70 km (Gu et al., 2014). Compared to national and
98 regional ones, limited estimates were available at the regional scale with finer
99 resolution. In China, great differences exist in the levels and patterns of air pollution
100 across the regions, attributed partly to a big variety of air pollutant sources across the
101 country. To achieve the target of air quality improvement required by the central
102 government, varied air pollution control plans were usually developed and
103 implemented at the city/provincial levels. Therefore, the top-down estimates in NO_x
104 emissions at finer horizontal resolution are in great need for understanding the
105 primary sources of NO₂ pollution and demonstrating the effect of emission control at
106 the regional scale.

107 At present, the reliability and rationality of the top-down emission estimates
108 were commonly evaluated with AQM and satellite observation. For example, the bias
109 between the NO₂ TVCDs from OMI observation and AQM based on the top-down
110 NO_x emission estimation was $-30.8 \pm 69.6 \times 10^{13}$ molecules cm⁻² in winter in India
111 (Jena et al., 2014). The linear correlation coefficient (R²) between OMI and AQM
112 with the top-down emission estimates could reach 0.84 in Europe (Visser et al., 2019).

113 Compared to the satellite observation with relatively large uncertainty (Yang et al.,
114 2019b; Liu et al., 2019), surface concentrations that better represent the effect of air
115 pollution on human health and the ecosystems were less applied in the evaluation of
116 the top-down estimates of NO_x emissions. Limited assessments were conducted at the
117 national scale. For example, Liu et al. (2018) found that the normalized mean error
118 (NME) between the observed and simulated NO₂ concentrations based on the
119 top-down estimate of NO_x emissions could reach 32% in China at the resolution of
120 0.25°×0.25°. Besides NO₂, the estimation of NO_x emissions also play an important
121 and complicated role on secondary air pollutant simulation including O₃ and SNA,
122 and the response of secondary pollution to the primary emissions was commonly
123 nonlinear. The simulated O₃ concentrations in Shanghai (the most developed city in
124 eastern China) could increase over 20% with a 60% reduction in NO_x emissions in
125 summer 2016, implying a clear “VOC-limit” pattern for the O₃ formation in the mega
126 city (Wang et al., 2019). For the response of SNA to NO_x emissions, the NH₄⁺ and
127 SO₄²⁻ concentrations at an urban site in another mega city Nanjing in eastern China
128 were simulated to increase 1.9% and 2.8% with a 40% abatement of NO_x emissions
129 in autumn 2014, respectively, due to the weakened competition of SNA formation
130 against SO₂ (Zhao et al., 2020). To our knowledge, however, the relatively new
131 information from the inversed modeling of NO_x emissions has not been sufficiently
132 incorporated into the SNA and O₃ analyses with AQM in China.

133 Located in eastern China, the Yangtze River Delta (YRD) region including the
134 city of Shanghai and the provinces of Anhui, Jiangsu and Zhejiang is one of the most
135 developed and heavy-polluted regions in the country. The air quality for most cities in
136 YRD failed to meet National Ambient Air Quality Standard (NAAQS) Class II in
137 2016 (MEPPRC, 2017). NO_x emissions made great contributions to the severe air
138 pollution in the region. Based on an offline-sampling and measurement study, for
139 example, the annual average of the NO₃⁻ mass fraction to the total PM_{2.5} reached 19%
140 in Shanghai in 2014, and it was significantly elevated in the pollution event periods
141 (Ming et al., 2017). In this study, we chose the YRD to estimate the NO_x emissions
142 with the inversed method and to explore their influence on the air quality modeling.

143 The top-down estimates in NO_x emissions were firstly obtained with the nonlinear
 144 inversed method and OMI-derived NO₂ TVCDs for 2016. The advantage of the
 145 top-down estimation against on the bottom-up one was then evaluated with the AQM
 146 and abundant ground-based NO₂ concentrations. The influences of the top-down
 147 estimation in NO_x emissions were further detected on O₃ and SNA modeling.
 148 Sensitivity analyses were conducted by changing the emissions of precursors to
 149 investigate the sources and potential control approaches of O₃ and SNA pollutions for
 150 the region.

151 2. Data and Methods

152 2.1 The top-down estimation of NO_x emissions

153 The top-down estimation of NO_x emissions was conducted for January, April,
 154 July, and October of 2016, representing the situations of the four seasons in the YRD
 155 region, and the horizontal resolution was 9×9 km. The inversed method assumed a
 156 nonlinear and variable correlation between NO_x emissions and NO₂ TVCDs (Cooper
 157 et al., 2017), and the a posterior daily emissions (top-down estimates) were calculated
 158 with the following equations:

$$159 \quad E_t = E_a \left(1 + \frac{\Omega_o - \Omega_a}{\Omega_o} \beta \right) \quad (1)$$

$$160 \quad \frac{\Delta E}{E} = \beta \frac{\Delta \Omega}{\Omega} \quad (2)$$

161 where E_t and E_a represent the a posterior and the a priori daily NO_x emissions,
 162 respectively; Ω_o and Ω_a represent the observed and simulated NO₂ TVCDs,
 163 respectively; β represents the response coefficient of the simulated NO₂ TVCDs to a
 164 specific change in emissions, and was calculated based on the simulated changes in
 165 TVCDs ($\Delta \Omega$) from a 10% changes in emissions (ΔE).

166 The inversed method assumed that the daily emissions were similar. For a given
 167 month, the a posterior daily emissions were used as the a priori emissions of the next
 168 day, and the monthly top-down estimate of the NO_x emissions was scaled from the
 169 average of the a posterior daily emissions of the last three days in the month, as the

170 top-down estimate of daily NO_x emissions usually converged within a one-month
171 simulation period (Zhao and Wang, 2009; Yang et al., 2019b). In our previous work
172 (Yang et al., 2019b), we demonstrated the robustness of the method, by applying the
173 “synthetic” TVCDs from air quality simulation based on a hypothetical “true”
174 emission inventory, instead of those from satellite observation. We found that
175 sufficient iteration times could result in a relatively constant emission estimate (the
176 top-down estimate) close to the “true” emission input. From a bottom-up perspective,
177 the difference in NO_x emissions between weekday and weekend was within 5% in the
178 YRD region (Zhou et al., 2017), indicating an insignificant bias from the ignorance of
179 the daily variation of emissions.

180 The NO₂ TVCDs were from OMI onboard the Aura satellite. It crosses the
181 equator at 1:30 PM of local time. The horizontal resolution of OMI was 24 × 13 km at
182 nadir (Levelt et al., 2006), one of the finest resolutions available for NO₂ TVCD
183 observation before October 2017. We applied the Peking University Ozone
184 Monitoring Instrument NO₂ product (POMINO v1, Lin et al., 2014; Lin et al., 2015)
185 to constrain the NO_x emissions. POMINO v1 modified the retrieval methodology of
186 the Dutch Ozone Monitoring Instrument NO₂ product (DOMINO v2) in China, and
187 provided better linear correlation of NO₂ TVCDs between the satellite and available
188 ground-based observations with the multi-axis differential optical absorption
189 spectroscopy (MAX-DOAS) (Lin et al., 2015). The original NO₂ TVCDs from
190 POMINO v1 (level 2) were resampled into an 18×18 km grid system based on the
191 area weight method, and then downscaled to 9×9 km with the Kriging interpolation.
192 As an example, the NO₂ TVCDs for July 2016 in the YRD are shown in Figure S1 in
193 the supplement, and larger TVCDs were found in the east-central YRD.

194 **2.2 Model configuration**

195 The Models-3 Community Multi-scale Air Quality (CMAQ) version 5.1 was
196 used to conduct the inversed modeling of NO_x emission estimation and to simulate
197 the ground-level concentrations of NO₂, O₃ and SNA. As a three-dimensional
198 Eulerian model, CMAQ includes complex interactions of atmospheric chemistry and

199 physics and is one of the most widely applied AQM to evaluate the sources and
200 processes of air pollution in China (UNC, 2012; Xing et al., 2015; Zheng et al., 2017).
201 As shown in Figure 1, the two nested modeling domains were applied with their
202 horizontal resolutions set 27 and 9 km, respectively. The mother domain (D1,
203 177×127 cells) included most parts of China, and the second (D2, 118 × 121 cells)
204 covered the YRD region. The model included 28 vertical layers and the height of the
205 first layer (ground layer) was approximately 60 m. The carbon bond gas-phase
206 mechanism (CB05) and AERO6 aerosol module were used in the CMAQ. The initial
207 concentrations and boundary conditions for the D1 were derived from the default
208 clean profile, while those of D2 were extracted from the CMAQ Chemistry Transport
209 Model (CCTM) outputs of its mother domain. The first 5 days of each simulated
210 month were chosen as the spin-up period. Details on model configuration were
211 described in Zhou et al. (2017) and Yang and Zhao (2019).

212 The Multi Resolution Emission Inventory for China (MEIC,
213 <http://www.meicmodel.org/>) for 2015 was applied as the initial input of anthropogenic
214 emissions in D1 and D2, with an original horizontal resolution at 0.1°×0.1°. In this
215 study, the MEIC emissions from residential source were downscaled to the horizontal
216 resolution of 9×9 km based on the spatial density of population, and those from power,
217 industry and transportation based on the spatial distribution of gross domestic product
218 (GDP). The NO_x emissions from soil were originally obtained from Yienger and Levy
219 (1995) and were doubled as advised by Zhao and Wang (2009). The emissions of Cl,
220 HCl and lightning NO_x were collected from the Global Emissions Initiative (GEIA,
221 Price et al., 1997). Biogenic emissions were derived from the Model Emissions of
222 Gases and Aerosols from Nature developed under the Monitoring Atmospheric
223 Composition and Climate project (MEGAN MACC, Sindelarova et al., 2014).

224 Meteorological fields were provided by the Weather Research and Forecasting
225 Model (WRF) version 3.4, a state-of-the-art atmospheric modeling system designed
226 for both numerical weather prediction and meteorological research (Skamarock et al.,
227 2008). The simulated parameters from WRF for D2 in January, April, July and
228 October of 2016 were compared with the observation dataset of US National Climate

229 Data Center (NCDC), as summarized in Table S1 in the Supplement. The index of
230 agreement (IOA) of wind speed for the four months between the two datasets was
231 larger than 0.8. The Root Mean Square Error (RMSE) of wind directions for the four
232 months was smaller than 40° , and the index of agreement (IOA) of temperature and
233 Relative humidity between the two datasets was larger than 0.8 and 0.7, respectively.
234 The simulated meteorological parameters in D2 could reach the benchmarks derived
235 from Emery et al. (2001) and Jiménez et al. (2006).

236 The hourly NO_2 and O_3 concentrations were observed at 230 state-operated
237 stations of air quality monitoring in 41 cities within the YRD region, and they were
238 applied to evaluate the model performance. Locations of the stations are indicated in
239 Figure 1, and the observation data were derived from the China National
240 Environmental Monitoring Center (<http://www.cnemc.cn/>). The observations of SO_4^{2-} ,
241 NO_3^- and NH_4^+ (SNA) concentrations in $\text{PM}_{2.5}$ for the YRD region during 2015-2017
242 were collected and applied to evaluate the influence of the top-down estimation of
243 NO_x emissions on SNA simulation. In particular, the hourly SNA concentrations of
244 $\text{PM}_{2.5}$ at Jiangsu Provincial Academy of Environmental Science, an urban site in the
245 capital city of Jiangsu Province, Nanjing (JSPAES; Chen et al., 2019b), were
246 observed with the Monitor for Aerosols and Gases in ambient Air (MARGA;
247 Metrohm, Switzerland) for January, April, July and October 2016. Meanwhile, the
248 daily average concentrations of SNA were also available from MARGA measurement
249 for the four months at the Station for Observing Regional Processes and the Earth
250 System, a suburban site in eastern Nanjing (SORPES; Ding et al., 2019). Besides, the
251 seasonal average concentrations of SNA were available at another four sites in YRD,
252 including the Nanjing University of Information Science & Technology site in
253 Nanjing (NUIST, Zhang, 2017), and three sites respectively in the cities of Hangzhou
254 (HZS; Li, 2018), Changzhou (CZS; Liu et al., 2018) and Suzhou (SZS; Wang et al.,
255 2016). Details of the collected SNA measurement studies are summarized in Table S2
256 in the supplement, and the locations of those sites are illustrated in Figure 1.

257 **2.3 Scenario setting of sensitivity analysis**

258 In general, there are two categories of chemical regimes (VOC-limited and
259 NO_x-limited) in O₃ formation (Wang et al., 2009; Jin et al., 2017). In the VOC-limited
260 regime, growth in O₃ concentrations occurs with increased VOCs emissions and
261 declined NO_x emissions, while the increased NO_x emissions result in enhancement of
262 O₃ concentrations in the NO_x-limited regime. To explore the sources and potential
263 control approaches of O₃ pollution, the sensitivity of O₃ formation to its precursor
264 emissions for April was analyzed with CMAQ modeling in the YRD region. In YRD,
265 the peaking time of O₃ concentration has gradually moved from summer to late spring,
266 and the mean observed O₃ concentration in April was 72.5 μg/m³, slightly higher than
267 that in July (71.9 μg/m³). In addition, the model performance of O₃ was better for
268 April than that for July in this work (see details in Section 3.2). Therefore, we selected
269 April to explore the sensitivity analysis of O₃ formation in the region. As summarized
270 in Table S3 in the supplement, eight cases were set besides the base scenario with the
271 top-down NO_x estimates for April 2016. Cases 1 and 6 reduced only the NO_x
272 emissions by 30% and 60%, and Cases 2 and 7 reduced only the VOC_s emissions by
273 30% and 60%, respectively. To explore the co-effect of VOCs and NO_x emission
274 controls on O₃ concentrations, cases with different reduction rates of VOCs and NO_x
275 emissions were designed. The emissions of NO_x and VOCs in Case 4 were decreased
276 by 30% and 60%, and in Case 5 by 60% and 30%, respectively. Both NO_x and VOCs
277 emissions were reduced 30% and 60% in Cases 3 and 8, respectively.

278 The response of SNA concentrations to the changes in precursor emissions was
279 influenced by various factors including the abundance of NH₃, atmospheric oxidation,
280 and the chemical regime of O₃ formation (Wang et al., 2013; Cheng et al., 2016; Zhao
281 et al., 2020). To explore the sensitivity of SNA formation to its precursor emissions,
282 four cases were set besides the base scenario for January 2016, the month with the
283 largest observed SNA concentrations. As shown in Table S4 in the supplement, the
284 emissions of NO_x, SO₂ and NH₃ were reduced by 30% in Cases 9-11, respectively,
285 and the emissions of NO_x, SO₂ and NH₃ were simultaneously decreased by 30% in
286 Case 12.

287

3. Results and discussion

288 3.1 Evaluation of the bottom-up and top-down estimates of NO_x emissions

289 Figure 2 compares the magnitude of the NO_x emissions estimated based on the
290 bottom-up (MEIC) and top-down methods by month in the YRD region. The
291 top-down estimates were smaller than the bottom-up ones for all the concerned four
292 months, and the average of the monthly NO_x emissions were calculated at 260.0
293 Gg/month for 2016 with the top-down method, 24% smaller than the bottom-up
294 estimation. The comparison indicates a probable overestimation in NO_x emissions
295 with current bottom-up methodology, attributed partly to the insufficient consideration
296 of the effect of recent control on emission abatement. Stringent measures have
297 gradually been conducted to improve the local air quality in the YRD region. For
298 example, the “ultra-low” emission policy for power sector started in 2015, requiring
299 the NO_x concentration in the flue gas of coal-fired unit the same as that of gas-fired
300 unit. The technology retrofitting on power units have been widely conducted,
301 significant improving the NO_x removal efficiencies of selective catalytic reduction
302 (SCR) systems. Those detailed changes in emission control, however, could not be
303 fully and timely incorporated into the national emission inventory that relied more on
304 the routinely reported information and policy of environmental management over the
305 country. With the on-line data from continuous emission monitoring systems (CEMS)
306 incorporated, the NO_x emissions from power sector were estimated to be 53% smaller
307 than MEIC for the China in 2015 in our previous work (Zhang et al., 2019). The bias
308 between the top-down and bottom-up estimates could be larger in earlier years and
309 reduced more recently. According to Yang et al. (2019b) and Qu et al. (2017), for
310 example, the top-down NO_x emissions were 44% and 31% smaller than bottom-up
311 ones for the YRD region and the whole China in 2012. Benefiting from the better data
312 availability, the bottom-up inventory has been improved with the inclusion of more
313 information on individual power and industrial plants for recent years (Zheng et al.,
314 2018).

315 The differences in the spatial distribution of NO_x emissions between the

316 bottom-up and top-down estimates are illustrated by month for the YRD in Figure 3.
317 The top-down estimates were commonly smaller than the bottom-up ones in the
318 east-central YRD with intensive manufacturing industry and population, and larger
319 than those in most of Zhejiang Province with more hilly and suburban regions. The
320 bias might result from following issues. From a bottom-up perspective, on one hand,
321 more stringent control measures were preferentially conducted for power and
322 industrial plants in regions with heavier air pollution like east-central YRD. As
323 mentioned above, the effects of such actions were difficult to be fully tracked in the
324 bottom-up inventory, leading to the overestimation in emissions for those regions.
325 Due to the lack of precise locations of individual industrial plants (except for large
326 point sources), moreover, the spatial allocation of the emissions relied commonly on
327 the densities of population and economy, assuming a strong correlation with
328 emissions for them. Such assumption, however, would not still hold in recent years, as
329 a number of factories in the relatively developed region were moved to the less
330 developed suburban regions (e.g., southern Zhejiang) for both environmental and
331 economic purposes. The insufficient consideration of the movings of emission sources
332 was thus expected to result in overestimation in emissions for developed regions and
333 underestimation for the less developed. On the other hand, the satellite-derived
334 TVCDs were relatively small in southern Zhejiang (Fig. S1), and larger error in
335 satellite retrieval and thereby emission constraining with the inversed modeling was
336 expected.

337 Figure 4 illustrates the observed and simulated hourly NO_2 concentrations using
338 the bottom-up and top-down estimates of NO_x emissions in the CMAQ by month.
339 The NO_2 concentrations simulated with the bottom-up estimates were clearly larger
340 than the observation in all the four concerned months, with the largest and smallest
341 normalized mean bias (NMB) reaching 111% and 34 % for July and January,
342 respectively. The result suggests again the overestimation in NO_x emissions in the
343 current bottom-up inventory for the YRD. The model performance based on the
344 top-down estimates was much better than that based on the bottom-up ones, indicating
345 that the inversed modeling with satellite observation constraint effectively improved

346 the estimation of NO_x emissions. The biggest improvement was found for July, with
347 the NMB reduced from 111% to -0.4% and the NME reduced from 111% to 33%. As
348 shown in Fig. 2, relatively big reduction from the bottom-up to top-down estimation
349 in NO_x emissions was found for July compared to most of other months.

350 Scatter plots of the annual means of the observed and simulated surface NO₂
351 concentrations are shown in Figure S2 in the supplement. The slope between the
352 observation and simulation with the top-down estimate (0.99) was much closer to 1
353 than that with the bottom-up one (1.57), indicating clearly the advantage of the
354 top-down method on the constraining of the magnitude of the total emissions in the
355 YRD region. The difference in the two slopes implies that the surface NO₂
356 concentrations simulated with the bottom-up estimation were over 50% larger than
357 those based on top-down ones. As a comparison, the total emissions in the bottom-up
358 inventory were only 30% larger than the top-down estimation for the whole YRD
359 region. The larger overestimation in the concentrations than the emissions from the
360 bottom-up inventory could result partly from the bias of the locations of
361 state-operated ground observation sites. Most of those sites were located in the urban
362 areas where excess emissions were allocated according to the high density of
363 economy and population, and elevated concentrations were thus simulated compared
364 to rural areas. The similar correlation coefficients (R) suggested that the spatial
365 distribution of NO_x emissions was not greatly improved in the top-down estimation
366 on an annual basis of urban observation. Uncertainty existed in the satellite
367 observation: the NMB between NO₂ TVCDs in POMINO and available ground-based
368 MAX-DOAS observations was 21% in cloud-free days (Liu et al., 2019). Due mainly
369 to the NO_x transport, moreover, a bias of 13%-33% on the spatial distribution of
370 emissions was estimated for the inversed method at the horizontal resolution of 9 km
371 or finer (Yang et al., 2019b). Inclusion of more available observation in rural areas
372 helps improve the comprehensive evaluation of emission estimation.

373 Figure 5 illustrates the spatial distribution of monthly mean NO₂ concentrations
374 simulated based on the top-down estimates and the differences between the
375 simulations with the top-down and bottom-up ones. The larger NO₂ concentrations

376 existed in the east-central YRD for all the months (left column in Fig. 5), and the
377 difference in spatial distribution of NO₂ concentrations (right column in Fig. 5) was
378 similar with that in NO_x emissions (Fig. 3). Larger reduction in NO₂ concentrations
379 based on the top-down estimates was commonly found in east-central YRD, while the
380 increased concentrations were found in most of Zhejiang.

381 **3.2 Evaluation of the O₃ simulation based on the top-down NO_x estimates**

382 Figure 6 shows the observed and simulated hourly O₃ concentrations based on
383 the bottom-up and top-down estimates of NO_x emissions by month. Indicated by the
384 smaller NMBs and NMEs, the model performance of O₃ based on the top-down
385 estimates was better than that based on the bottom-up ones for most months. It
386 suggests that the constrained NO_x emissions with satellite observation could play an
387 important role on the improvement of O₃ simulation. The largest improvement was
388 found in January, for which the NMB and NME were changed from -44% and 49% to
389 13% and 40%, respectively, attributed to the biggest change in NO_x emissions
390 between the top-down and bottom-up estimates for the month. The worse O₃
391 modeling performance was found for July when the top-down estimate instead of the
392 bottom-up one was applied in the simulation, indicated by the increased NMB and
393 NME. Since the top-down estimation of NO_x emissions was justified by the improved
394 NO₂ simulation in July (Fig. 4c), the worse O₃ simulation might result from the
395 uncertainty in emissions of the volatile organic compounds (VOCs) and the chemical
396 mechanism of AQM in summer. As suggested by Li (2019), the biogenic VOCs
397 (BVOCs) emissions of the YRD region could be overestimated by 121% in summer
398 attributed to ignoring the effect of droughts, and such overestimation might elevate
399 the O₃ concentrations in AQM. In order to explore the influence of uncertainty of
400 BVOCs emissions on O₃ model performance, we conducted an extra case in which the
401 BVOCs emissions were cut by 50% in CMAQ. As shown in Figure S3 in the
402 supplement, the NMB between the observed and simulated O₃ based on the top-down
403 estimate of NO_x emissions and the reduced BVOCs emissions declined 27% in July.
404 However, it was still larger than the NMB at 1.1% when the bottom-up estimate of

405 NO_x emissions was applied (Fig. 4c). This comparison thus suggested that the
406 complicated mechanism for summer O₃ formation was insufficiently considered in
407 current model. A recent study conducted an intercomparison of surface-level O₃
408 simulation from 14 state-of-the-art chemical transport models, and implied that the
409 larger overestimation of summer O₃ than winter for eastern China resulted possibly
410 from the uncertainty in the photochemical treatment in models (Li et al., 2019).

411 Table 1 summarizes the observed and simulated daily maximum 8-hour averaged
412 (MDA8) O₃ concentrations based on the bottom-up and top-down estimates of NO_x
413 emissions are summarized by month for the YRD region. The MDA8 O₃
414 concentrations simulated with the top-down estimates were larger than those with the
415 bottom-up ones, and were closer to the observation for most months. As most of the
416 YRD was identified as the VOC-limited region (Li et al., 2012; Zhou et al., 2017), the
417 reduced NO_x emissions with the top-down method enhanced the O₃ levels in the
418 AQM. Similar to the hourly concentrations, the most significant improvement for
419 MDA8 was found in January, with the NMB and NME reduced from -35% and 39%
420 to 11% and 28%, respectively. Moreover, the improvement of April and October for
421 MDA8 was larger than that for the hourly concentrations, indicating that the improved
422 NO_x emissions were more beneficial for the simulation of daytime peak O₃
423 concentrations in spring and winter. Figure 7 illustrates the spatial distribution of the
424 monthly mean O₃ concentrations simulated based on the top-down NO_x estimates and
425 the differences between the simulations with the top-down and bottom-up estimates
426 by month. In contrast to NO₂, the smaller O₃ concentrations existed in the east-central
427 YRD for most months, as it was identified as the VOC-limited region with relatively
428 high NO₂ level (Wang et al., 2019). Larger O₃ concentrations were found for the
429 surrounding regions in the YRD, e.g., southern Zhejiang, attributed partly to the
430 relatively abundant BVOC emissions (Li, 2019). An exception existed for July, with
431 clearly larger O₃ concentrations in east-central YRD. With the largest population
432 density and most developed economy in YRD, the area contains a large number of
433 chemical industrial plants and solvent storage, transportation and usage (Zhao et al.,
434 2017). High temperature in summer promoted the volatilization of chemical products

435 and solvent, and thereby enhanced the seasonal VOCs emissions more significantly
436 compared to other less developed YRD regions. Moreover, the lowest NO₂
437 concentration found in summer helped increase the O₃ concentration for the region
438 (Gu et al., 2020). Regarding the simulation difference with two emission estimates,
439 application of the top-down estimates instead of the bottom-up ones elevated the O₃
440 concentrations in most of the YRD region. In particular, the big reduction in NO_x
441 emissions for the east-central YRD (Fig. 3) was expected to be responsible for the
442 evident growth in O₃ concentrations. As east-central YRD was identified as a
443 VOC-limited region in terms of O₃ formation, the O₃ concentration in the region
444 would be elevated along with the reduced NO_x emissions, reflecting the negative
445 effect of NO_x control on O₃ pollution alleviation (Wang et al., 2019).

446 **3.3 Evaluation of SNA simulation based on the top-down NO_x estimates**

447 Shown in Table 2 is the comparison between the observed and simulated SNA
448 (SO₄²⁻, NO₃⁻ and NH₄⁺) concentrations by season. Larger observed and simulated
449 SNA concentrations were found in winter and spring, and smaller were found in
450 summer and autumn. For most seasons, the simulations of NO₃⁻ concentrations were
451 moderately improved with the top-down estimates of NO_x emissions for all the
452 concerned YRD cities, with an exception of Nanjing in autumn. The largest
453 improvement was found in summer, with the mean bias between the simulation and
454 observation reduced 35% for all the involved cities. Compared to the bottom-up
455 inventory, the commonly smaller NO_x emissions in the top-down estimates limited
456 the NO₂ concentration and suppressed the formation of NO₃⁻, while the enhanced O₃
457 from the reduced NO_x emissions promoted it (Cai et al., 2017; Huang et al., 2020). In
458 summer, the former dominated the process with the most evident improvement in NO₂
459 simulation (Figure 4), thus the reduced NO₃⁻ concentrations that were closer to
460 observation were simulated for all the cities.

461 The simulations with both top-down and bottom-up estimates of NO_x emissions
462 underestimated the NH₄⁺ concentrations for most cases, and such underestimation was
463 slightly corrected with the application of the top-down estimates except for summer.

464 The average change in NH_4^+ concentrations was 2.3%, much smaller than that of
465 NO_3^- at 14%. The moderate improvement in NH_4^+ simulation with the reduced NO_x
466 emissions in the top-down estimates resulted partly from the enhancement of the
467 simulated O_3 concentrations and thereby the promoted NH_4^+ formation. In summer,
468 however, the significant drop in the simulated NO_2 concentration was assumed to
469 reduce the NO_3^- and NH_4^+ formation, and to weaken the consistency between the
470 simulated and observed NH_4^+ . The difference between the simulated SO_4^{2-} with the
471 bottom-up and top-down NO_x emission estimates were small for most seasons,
472 implying a limited benefit of improved NO_x emissions on SO_4^{2-} modeling. Besides
473 emission data, the chemical mechanisms included in the model should be important
474 for the model performance. For example, adding SO_2 heterogeneous oxidation in the
475 model could largely improve the sulfate simulation in Nanjing (Sha et al., 2019)

476 Figure 8 shows the differences in the spatial distribution of SNA concentrations
477 simulated with the bottom-up and top-down estimates of NO_x emissions by month. In
478 most of the region, the differences of NO_3^- concentrations were larger than those of
479 NH_4^+ and SO_4^{2-} for all seasons, and they were mainly controlled by the changed
480 ambient NO_2 or O_3 level. The difference in spatial pattern of NO_3^- was similar to that
481 of O_3 for January, and the larger growth attributed to the application of the top-down
482 estimates was found in northern Anhui and eastern Zhejiang (Fig. 8a). The result
483 implies that the change in NO_3^- concentration in winter could result partly from the
484 improved O_3 simulation, i.e., the elevated O_3 was an important reason for the
485 enhanced the formation of SNA in winter (Huang et al., 2020). Similarly, the
486 increased NO_3^- was found for more than half of the YRD region in April, along with
487 the growth of O_3 concentrations (Fig. 8d). For July, however, the difference in spatial
488 pattern of NO_3^- (Fig. 8g) was similar with NO_2 (Fig. 5g), and the larger reduction
489 attributed to the application of the top-down estimates was found in northern YRD.
490 The result suggests that the declining NO_x emissions and thereby NO_2 concentration
491 dominated the reduced NO_3^- formation in summer. It was mainly because the
492 reduction of top-down NO_x emission estimate from the bottom-up emission inventory
493 was much larger for July compared to spring or autumn (Fig 2). In addition, the

494 VOC-limit mechanism in O₃ formation was found weaker in summer than winter (see
495 Fig. 7e and Fig. 7g), resulting in less O₃ formation and thereby nitrate aerosol through
496 oxidation. In October, the growth in NO₃⁻ concentrations was found again in most
497 YRD when the top-down estimates were applied (Fig. 8j). The growth in the north
498 resulted mainly from the increased O₃ level, while that in the south was associated
499 with the increased NO₂. The differences in spatial patterns of simulated NH₄⁺
500 concentrations were similar to those of NO₃⁻ for the four months, suggesting that the
501 change in NH₄⁺ was associated with formation and decomposition of NH₄NO₃.
502 However, the changes of spatial distribution of SO₄²⁻ were similar with those of O₃
503 concentration. Since NH₄⁺ was preferred to react with SO₄²⁻ rather than NO₃⁻ (Wang
504 et al., 2013), the formation of SO₄²⁻ was mainly influenced by the atmospheric
505 oxidizing capacity when only NO_x emissions were changed.

506 Figure 9 illustrates the observed and simulated hourly NO₃⁻ concentrations based
507 on the bottom-up and top-down estimate of NO_x emissions by month at JSPAES. The
508 NMBs and NMEs for simulation with the top-down emissions were smaller than those
509 with bottom-up ones in January and July, implying the benefit of the improved NO_x
510 emissions on hourly NO₃⁻ concentration simulation in winter and summer. The best
511 model performance with the top-down estimates was found in January, with the
512 hourly variation commonly caught with AQM. However, the NO₃⁻ concentration was
513 seriously overestimated and the model failed to catch the hourly variations in summer
514 indicated by the large NMB and NME. As shown in Figure S4 in the supplement, both
515 the NO₂ and O₃ concentrations at JSPAES were significantly overestimated for July
516 except O₃ with the bottom-up NO_x emission estimate, and it partly explained the
517 elevated NO₃⁻ level from CMAQ simulation.

518 Figures S5 and S6 in the supplement compare the observed and simulated hourly
519 concentrations at JSPAES by month for NH₄⁺ and SO₄²⁻, respectively. The NMBs and
520 NMEs for NH₄⁺ simulation with the top-down estimates were smaller than those with
521 the bottom-up ones for most months, while the changes in SO₄²⁻ concentration were
522 small. The NH₄⁺ and SO₄²⁻ concentrations were largely underestimated with the
523 top-down estimates in January, indicated by the NMB at -44% and -38%, respectively.

524 Meanwhile, as shown in Figure S7 in the supplement, the SO₂ concentrations were
525 overestimated by 61% at the site. The results thus imply a great uncertainty in the
526 gas-particle partitioning of (NH₄)₂SO₄ formation in the model in winter, attributed
527 probably to the missed oxidation mechanisms of SO₂ (Chen et al., 2019c).

528 **3.4 Sensitivity analysis of O₃ and SNA formation in the YRD region**

529 Table 3 summarizes the relative changes in the simulated O₃ concentrations for
530 April 2016 in different cases. The mean O₃ concentration would decline by 8.9% and
531 19.5% with 30% and 60% VOCs emissions off (Cases 2 and 7), while it would
532 increase by 14.2% and 23.7% with 30% and 60% NO_x emissions off (Cases 1 and 6),
533 respectively. The result confirmed the VOC-limited regime of O₃ formation in the
534 YRD region: controlling VOCs emissions was an effective way to alleviate O₃
535 pollution, while reducing NO_x emissions alone would aggravate O₃ pollution.

536 The growth of O₃ concentrations was also found when the reduction rate of NO_x
537 emissions was equal to or larger than that of VOCs. The O₃ concentration would
538 increase by 7.1% and 14.5% respectively when both NO_x and VOCs emissions were
539 reduced by 30% and 60% (Cases 3 and 8), and it would increase by 19.8% when NO_x
540 and VOCs emissions were respectively declined by 60% and 30% (Case 5). In
541 contrast, small abatement of O₃ concentrations (2.1%) was achieved from the 30%
542 and 60% reduction of emissions respectively for NO_x and VOCs (Case 4), implying
543 that the O₃ level could be restrained when the reduction rate of VOCs was twice of or
544 more than that of NO_x. To control the O₃ pollution effectively and efficiently,
545 therefore, the magnitude of VOCs and NO_x emission reduction should be carefully
546 planned and implemented. In actual fact, controlling VOCs is more difficulty than
547 NO_x. Compared to NO_x that comes mainly from fossil fuel combustion (Zheng et al.,
548 2018), it is more complicated to identify the sources of specific VOCs species that are
549 most active in O₃ formation (Wei et al., 2014; Zhao et al., 2017). Moreover,
550 substantial VOC emissions are from area or fugitive sources, for which the emission
551 control technology can hardly be effectively applied. Therefore, it is a big challenge to
552 control O₃ pollution by reducing more VOCs than NO_x.

553 Figure 10 illustrates the differences in spatial patterns of the simulated monthly
554 mean O₃ concentrations between the base and sensitivity cases in April. The O₃
555 concentrations were expected to decline for the whole YRD region in the cases of
556 30% and 60% VOCs emissions off (Fig. 10b and 10d), indicating the VOC-limited
557 regime of O₃ formation for the entire YRD. For other cases, the O₃ concentrations
558 were clearly elevated in the central-eastern YRD with relatively large population and
559 developed industry, particularly for the cases with NO_x control only (Fig. 10a and 10c)
560 or relatively large NO_x abatement together with VOC control (Fig. 10f and 10g).
561 Even for the case with 60% of VOCs reduction and 30% of NO_x (Fig. 10h), there was
562 still small increase in O₃ concentration in central-eastern YRD, in contrast to the
563 slight O₃ reduction found for most of YRD areas. Those results reveal the extreme
564 difficulty in O₃ pollution control for the region. In southwestern Zhejiang, the O₃
565 concentrations were found to decline in the cases with large abatement of NO_x
566 emissions (Fig. 10c, 10f and 10g), suggesting a shifting from VOC-limited to NO_x
567 limited region for the O₃ formation.

568 Table 4 summarizes the change in the simulated monthly means of SNA (NO₃⁻,
569 NH₄⁺ and SO₄²⁻) concentrations between the base case and sensitivity cases in January.
570 The SNA concentrations were decreased in most cases, implying that the reduction in
571 precursor emissions was useful for mitigating the SNA pollution. Compared to that of
572 precursor emissions, however, the reduction rate of SNA was much smaller attributed
573 to the strong nonlinearity of SNA formation. The largest reductions were found at
574 11.7% and 12.4% when emissions of NH₃ and all the three precursors were decreased
575 by 30% (Cases 11 and 12), respectively. In contrast, the SNA concentrations declined
576 slightly by 1% and increased by 0.5% when NO_x and SO₂ emissions were reduced by
577 30% (Cases 9 and 10), respectively. The results suggest that most of YRD was in an
578 NH₃-neutral or even NH₃-poor condition in winter, consistent with the judgment
579 through AQM based on an updated NH₃ emission inventory (Zhao et al., 2020), as the
580 NH₃ volatilization in winter was much smaller than other seasons. Reducing NH₃
581 emissions was the most efficient way to control SNA pollution for the region in winter.
582 In Case 11 with NH₃ control only, the reduced NO₃⁻ and NH₄⁺ were much larger than

583 that of SO_4^{2-} . As NH_3 reacted with SO_2 prior to NO_x , NH_4NO_3 was assumed easier to
584 decompose than $(\text{NH}_4)_2\text{SO}_4$ when NH_3 emissions were reduced. The growth of NO_3^-
585 concentrations was found for Case 10 (SO_2 control only), since the free NH_3 from the
586 reduced SO_2 emissions could react with NO_x in the NH_3 -poor condition. Similarly, the
587 SO_4^{2-} concentrations increased for Case 9 (NO_x control only), as the elevated O_3
588 attributed to the reduction of NO_x emissions promoted the SO_4^{2-} formation.

589

4. Summary

590 From a “top-down” perspective, we have estimated the monthly NO_x emissions
591 for the YRD region in 2016, based on the nonlinear inversed modeling and NO_2
592 TVCDs from POMINO, and the bottom-up and top-down estimates of NO_x emissions
593 were evaluated with AQM and ground NO_2 observation. Due to insufficient
594 consideration of improved controls on power and industrial sources, the NO_x
595 emissions were probably overestimated in current bottom-up inventory (MEIC),
596 resulting in significantly higher simulated NO_2 concentrations than the observation.
597 The simulated NO_2 concentrations with the top-down estimates were closer to the
598 observation for all the four seasons, suggesting the improved emission estimation with
599 satellite constraint. Improved O_3 and SNA simulations with the top-down NO_x
600 estimates for most months indicate the importance role of precursor emission
601 estimation on secondary pollution modeling for the region. Through the sensitivity
602 analysis of O_3 formation, the mean O_3 concentrations were found to decrease for most
603 YRD when only VOCs emissions were reduced or the reduced rate of VOCs was
604 twice of NO_x , and the result indicates the effectiveness of controlling VOCs
605 emissions on O_3 pollution abatement for the region. For part of southern Zhejiang,
606 however, the O_3 concentrations were simulated to decline with the reduced NO_x
607 emissions, implying the shifting from VOC-limited to NO_x -limited region. Compared
608 to reducing NO_x or SO_2 only, larger reduction in SNA concentrations was found when
609 30% of emissions were cut for NH_3 or all the three precursors (NO_2 , NH_3 and SO_2).
610 The result suggests that reducing NH_3 emissions was crucial to alleviate SNA
611 pollution of YRD in winter.

612 Limitations remain in this study. Due to the limited horizontal resolution of OMI,
613 relatively big bias existed in the spatial distribution of the constrained NO_x emissions
614 at the regional scale compared to national or continental one, and the uncertainty
615 could exceed 30% for the YRD region (Yang et al., 2019b). Therefore the
616 improvement on the top-down estimates of NO_x emissions can be expected when the
617 more advanced and reliable products of satellite observation get available at finer
618 horizontal resolution (e.g., TROPOspheric Monitoring Instrument, TROPOMI).
619 Besides, more SNA observations from on-line measurement are recommended for a
620 better space coverage and temporal resolution, to explore more carefully the response
621 of SNA to the changes in emissions of NO_x and other precursors.

622

623 **Data availability**

624 All data in this study are available from the authors upon request.

625

626 **Author contributions**

627 YY developed the strategy and methodology of the work and wrote the draft. YZ
628 improved the methodology and revised the manuscript. LZ provided useful comments
629 on the methodology. JZ and XH provided observation data of secondary inorganic
630 aerosols. XZ, YZ, MX and YL provided comments on air quality modeling.

631

632 **Competing interests**

633 The authors declare that they have no conflict of interest.

634

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642

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FIGURE CAPTIONS

Figure 1. The modeling domain and locations of meteorological and air quality monitoring sites. The map data provided by Resource and Environment Data Cloud Platform are freely available for academic use (<http://www.resdc.cn/data.aspx?DATAID=201>), © Institute of Geographic Sciences & Natural Resources Research, Chinese Academy of Sciences.

Figure 2. The bottom-up and top-down estimates of NO_x emissions by month for the YRD region in 2016.

Figure 3. The spatial differences between the bottom-up and top-down estimates of NO_x emissions for January, April, July and October 2016 (Top-down minus Bottom-up, mol N/s).

Figure 4. The observed and simulated hourly NO₂ concentrations based on the bottom-up and top-down NO_x emissions for January, April, July and October 2016.

Figure 5. The spatial distribution of the simulated monthly mean NO₂ concentration with the top-down estimates and differences between the simulations with the top-down and bottom-up NO_x emissions in January, April, July and October 2016 (top-down minus bottom-up).

Figure 6. The observed and simulated hourly O₃ concentrations with the bottom-up and top-down NO_x emission estimates for January, April, July and October 2016.

Figure 7. The spatial distribution of the simulated monthly mean O₃ concentration with the top-down NO_x estimates and the spatial differences between the simulations with the top-down and bottom-up NO_x emissions in January, April, July and October 2016 (top-down minus bottom-up).

Figure 8. The spatial differences between the simulated SNA concentrations with the bottom-up and top-down NO_x emission estimates for January, April, July

and October 2016 (top-down minus bottom-up).

Figure 9. The observed and simulated hourly NO_3^- concentrations with the bottom-up and top-down NO_x emission estimates for January, April, July and October 2016 at JSPEAS.

Figure 10. The spatial differences of monthly mean O_3 concentrations between the simulations based on base case (top-down estimates) and sensitivity cases in April 2016 (sensitivity case minus base case).

TABLES

Table 1. The model performance statistics of daily maximum 8-hour averaged (MDA8) O₃ concentrations in January, April, July and October 2016 with the bottom-up and top-down NO_x emissions.

Month	Emission input	Observed ($\mu\text{g}/\text{m}^3$)	Simulated ($\mu\text{g}/\text{m}^3$)	NMB	NNE
January	Bottom-up	50.6	33.0	-34.8%	38.6%
	Top-down		56.3	11.3%	27.7%
April	Bottom-up	101.5	87.2	-14.1%	20.2%
	Top-down		108.5	6.9%	16.1%
July	Bottom-up	107.4	117.3	9.2%	15.7%
	Top-down		140.7	31.0%	31.0%
October	Bottom-up	65.9	53.9	-18.3%	23.2%
	Top-down		73.4	11.3%	21.7%

Table 2. Comparison of observed and simulated NO₃⁻, NH₄⁺ and SO₄²⁻ concentrations by site and season in 2016 (unit: μg/m³). The information of SNA observation sites is provided in Table S2 in the supplement. BU and TD indicate the CMAQ modeling with the bottom-up and top-down estimate of NO_x emissions, respectively.

	Spring			Summer			Autumn			Winter		
	NO ₃ ⁻	NH ₄ ⁺	SO ₄ ²⁻	NO ₃ ⁻	NH ₄ ⁺	SO ₄ ²⁻	NO ₃ ⁻	NH ₄ ⁺	SO ₄ ²⁻	NO ₃ ⁻	NH ₄ ⁺	SO ₄ ²⁻
JSPAES	19.1	16.5	12.7	5.7	9.3	10.5	10.3	6.1	9.7	31.1	16.5	20.3
CMAQ (BU)	20.7	8.5	12.0	14.4	6.0	9.1	10.9	5.0	9.0	25.6	9.3	12.8
CMAQ (TD)	22.3	9.0	12.2	11.8	5.4	9.5	11.6	5.2	9.1	26.2	9.4	12.8
SORPES	14.1	8.6	13.2	7.5	6.6	11.5	8.8	5.2	8.3	23.0	13.4	15.7
CMAQ (BU)	18.5	7.3	8.0	12.2	4.3	5.2	9.3	4.0	5.4	23.6	8.7	10.9
CMAQ (TD)	18.0	7.0	7.4	8.3	3.7	5.0	9.8	4.2	5.4	23.6	8.8	10.1
NUIST	16.9	11.0	15.9	6.8	7.1	13.1	N/A	N/A	N/A	20.9	14.3	16.8
CMAQ (BU)	20.0	7.9	9.9	14.0	5.8	7.5				24.3	9.0	11.3
CMAQ (TD)	21.8	8.5	9.9	11.8	5.3	7.8				24.6	9.1	11.3
HZS	19.9	6.6	19.9	1.9	2.8	6.2	12.7	8.3	13.3	25.3	6.6	19.5
CMAQ (BU)	14.1	5.7	8.8	5.0	1.5	2.1	8.3	3.6	6.5	18.5	6.6	9.1
CMAQ (TD)	16.0	6.3	8.6	3.7	1.3	2.8	9.3	3.9	6.6	19.9	6.8	8.9
CZS	N/A	N/A	N/A	5.1	5.1	10.9	N/A	N/A	N/A	20.4	11.8	10.9
CMAQ (BU)				11.6	4.9	7.1				23.1	9.1	11.3
CMAQ (TD)				10.7	5.0	7.3				23.1	9.1	11.3
SZS	17.8	10.2	14.7	7.9	8.0	14.9	14.2	9.0	13.1	23.2	12.5	15.1
CMAQ (BU)	14.5	6.0	7.1	13.3	5.3	7.1	6.2	2.9	6.3	19.6	7.8	11.7
CMAQ (TD)	15.5	6.3	7.1	11.7	5.0	7.7	6.9	3.0	6.3	19.9	7.9	11.7
Mean	17.6	10.6	15.3	5.8	6.5	11.2	11.5	7.1	11.1	24.0	12.5	16.4
CMAQ (BU)	17.6	7.1	9.1	11.7	4.6	6.3	8.7	3.9	6.8	22.5	8.4	11.2
CMAQ (TD)	18.7	7.4	9.1	9.7	4.3	6.7	9.4	4.1	6.8	22.9	8.5	11.0

Table 3. The changed percentages of ozone concentration based on the sensitivity analysis for April 2016.

	No reduction	-30% VOCs emissions	-60% VOCs emissions
No reduction	-	-8.9% (Case 2)	-19.5% (Case 7)
-30% NO _x emissions	14.2% (Case 1)	7.1% (Case 3)	-2.1% (Case 4)
-60% NO _x emissions	23.7% (Case 6)	19.8% (Case 5)	14.5% (Case 8)

Table 4. The changed percentages of NO_3^- , NH_4^+ and SO_4^{2-} concentrations based on the sensitivity analysis for January 2016.

	NO_3^-	NH_4^+	SO_4^{2-}	SNA
-30% NO_x emissions (Case 9)	-3.3%	-1.2%	3.8%	-1.0%
-30% NH_3 emissions (Case 10)	-16.3%	-14.5%	-0.6%	-11.7%
-30% SO_2 emissions (Case 11)	2.0%	0.2%	-2.4%	0.5%
-30% ($\text{NO}_x+\text{NH}_3+\text{SO}_2$) emissions (Case 12)	-15.5%	-15.5%	-4.0%	-12.4%

Figure 1.

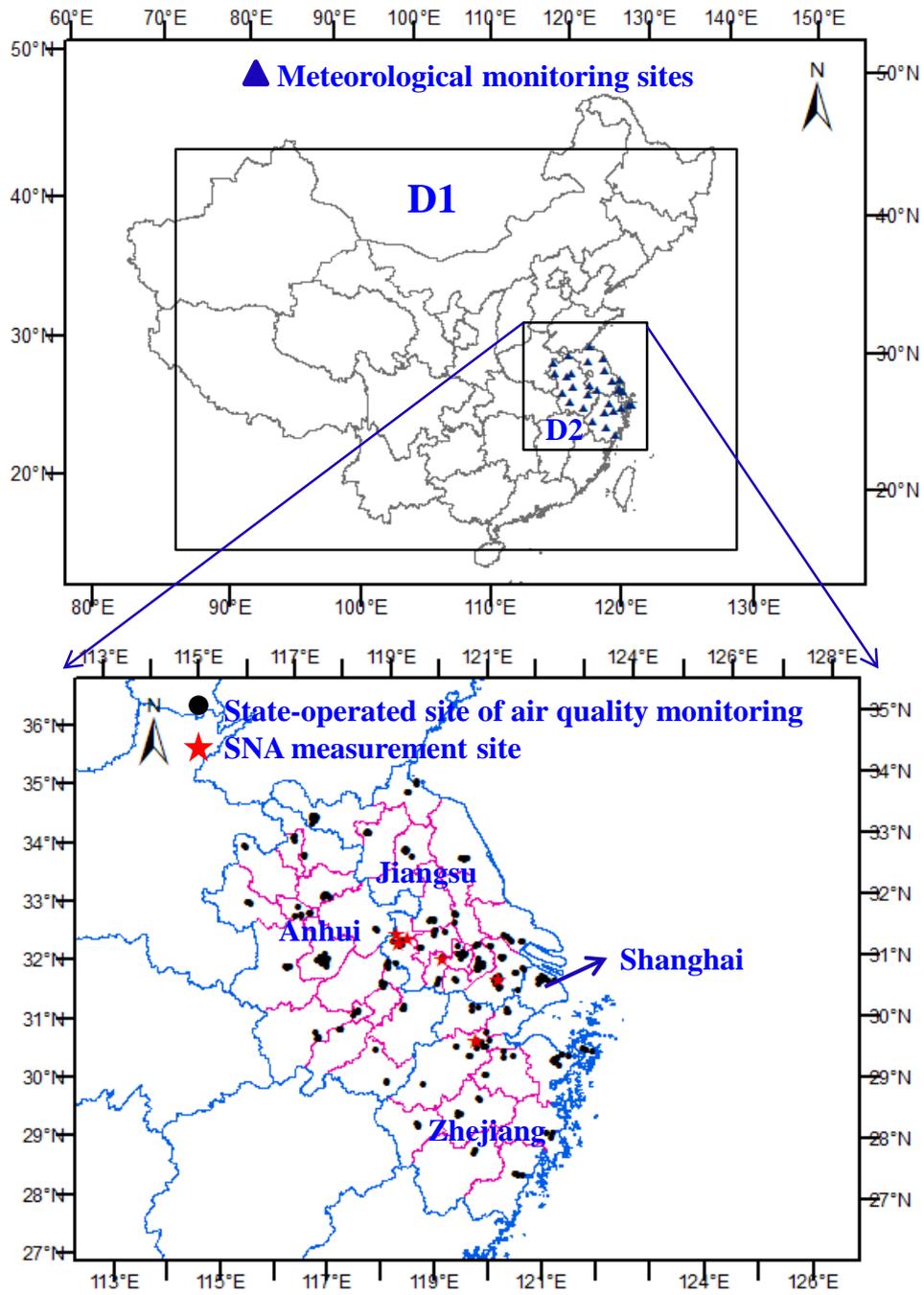


Figure 2.

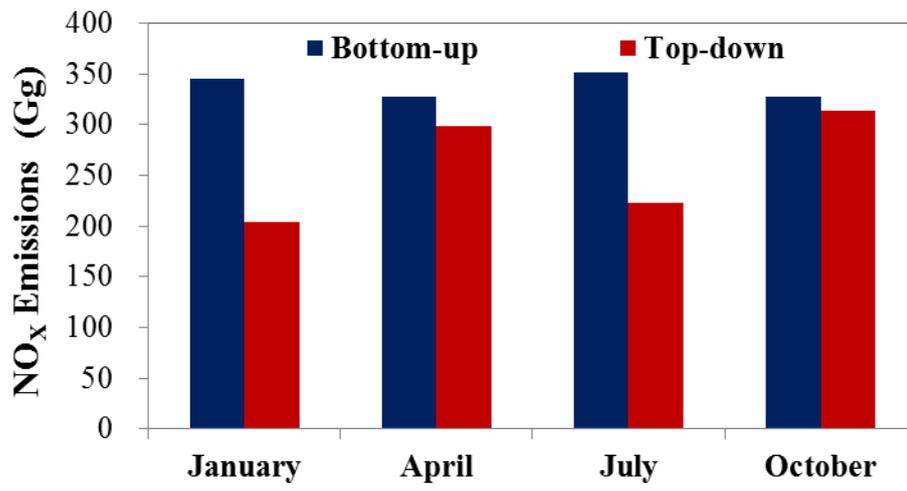
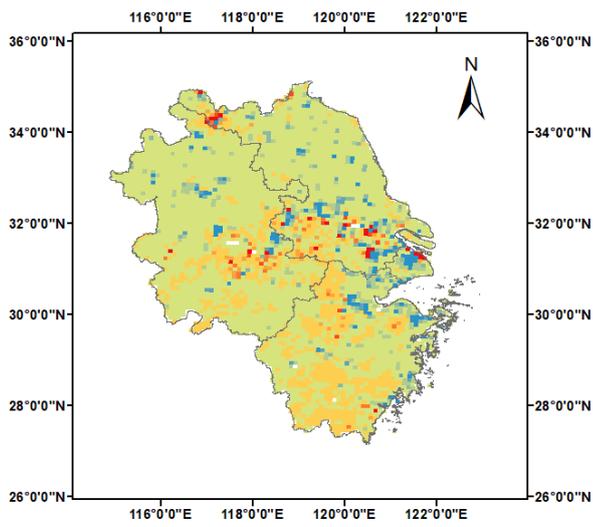
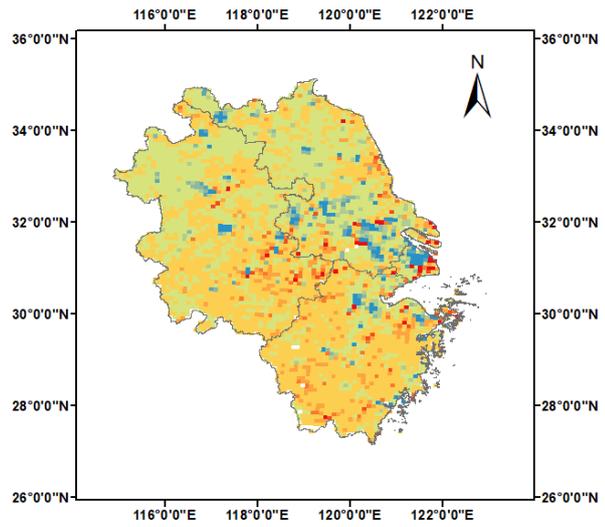


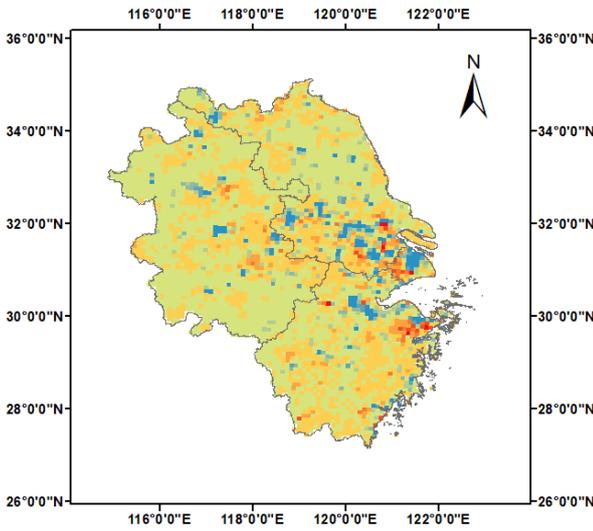
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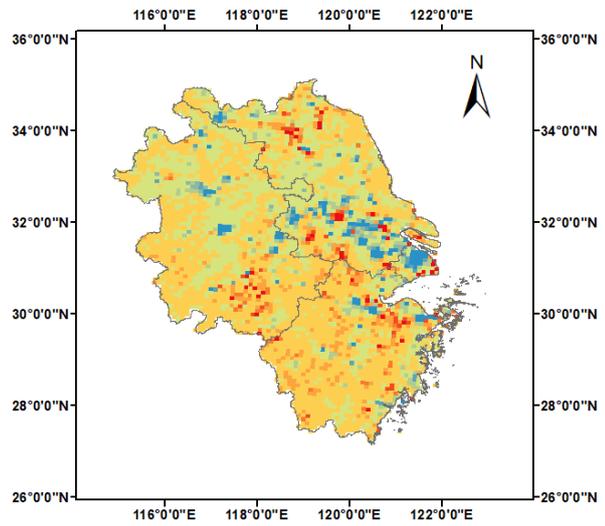
(a) January



(b) April

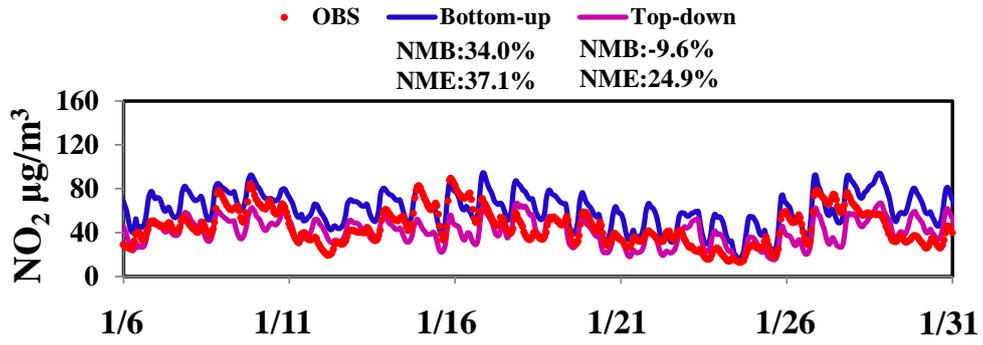


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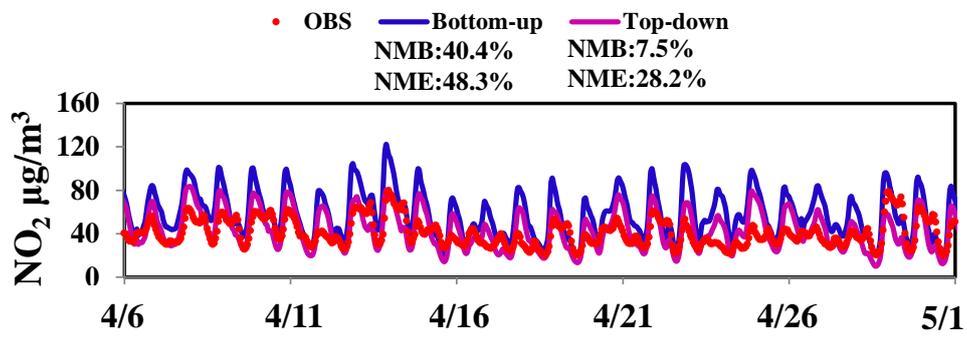


(d) October

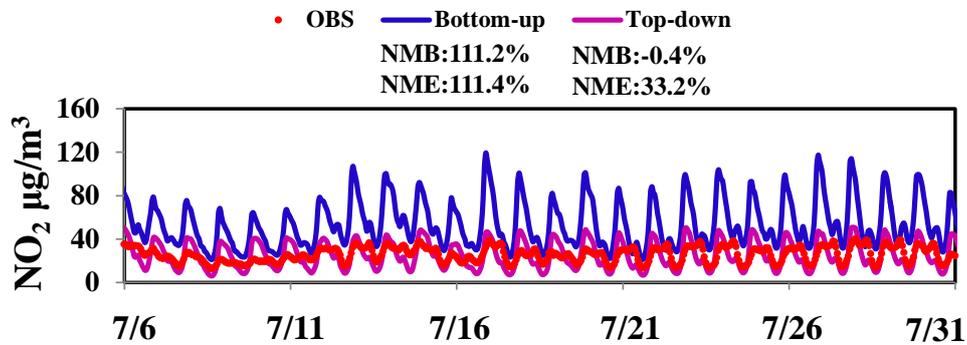
Figure 4.



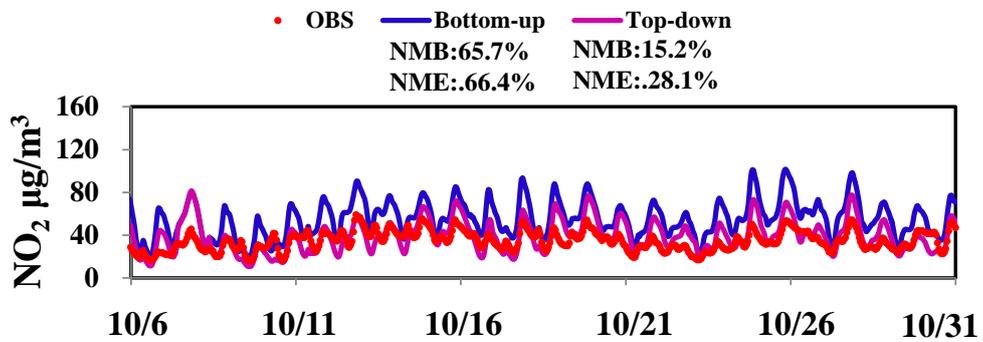
(a) January



(b) April

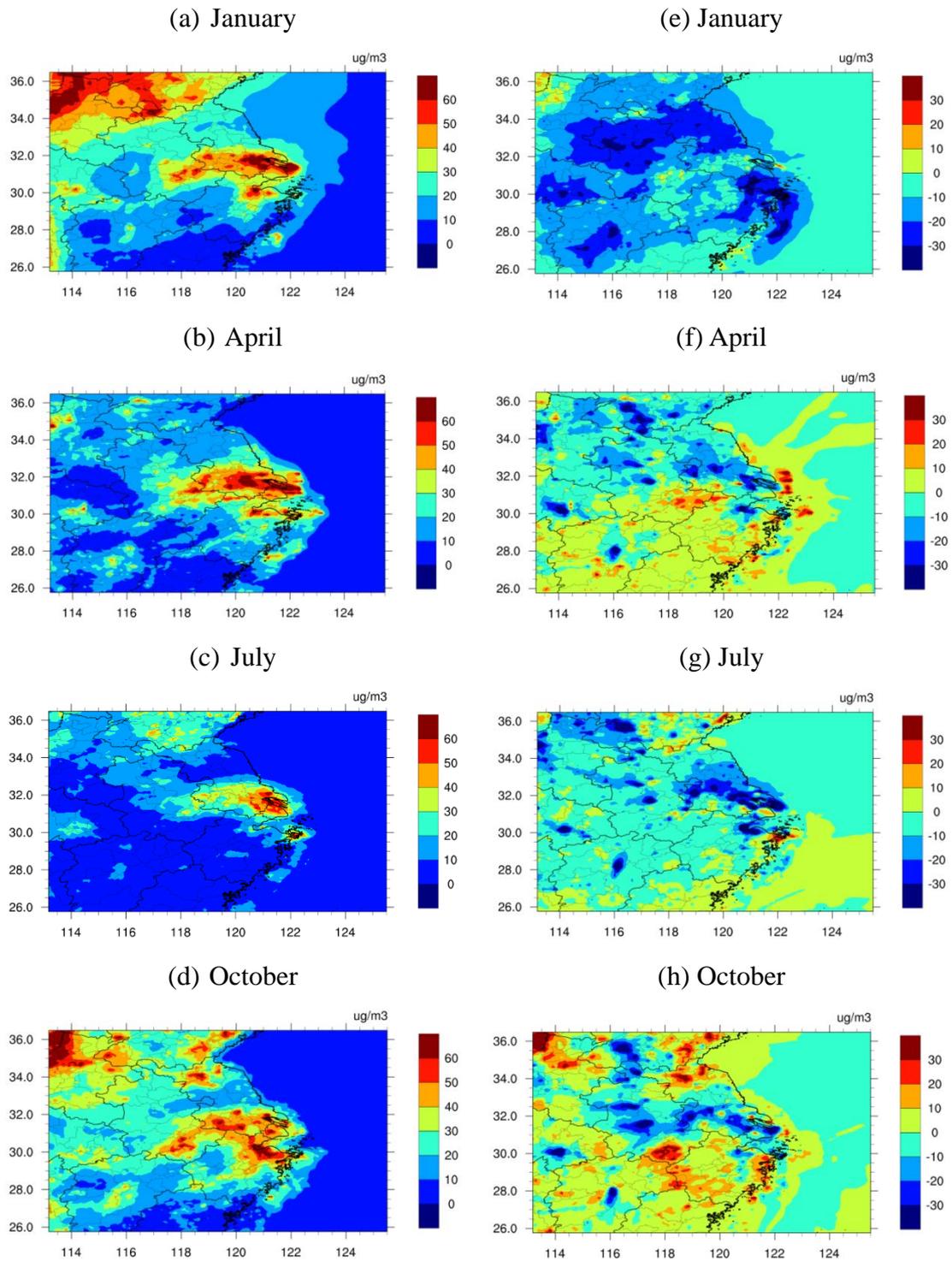


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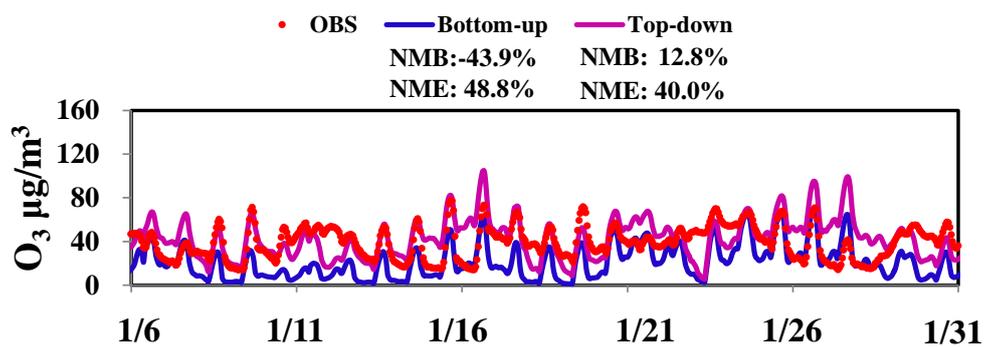
Figure 5.



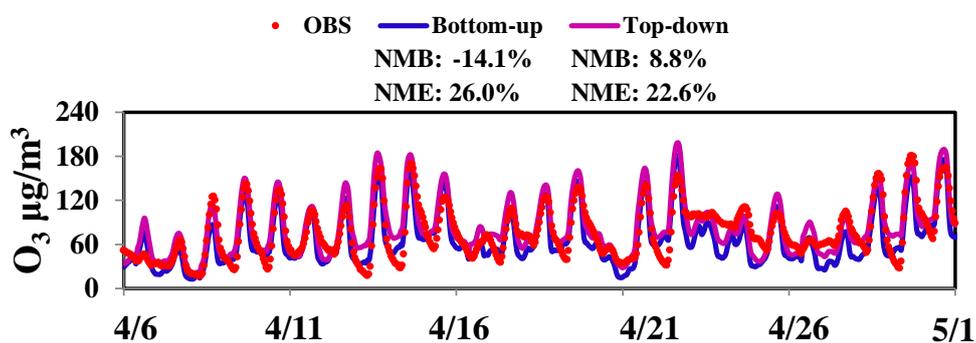
Top-down estimates

Difference (Top-down minus Bottom-up)

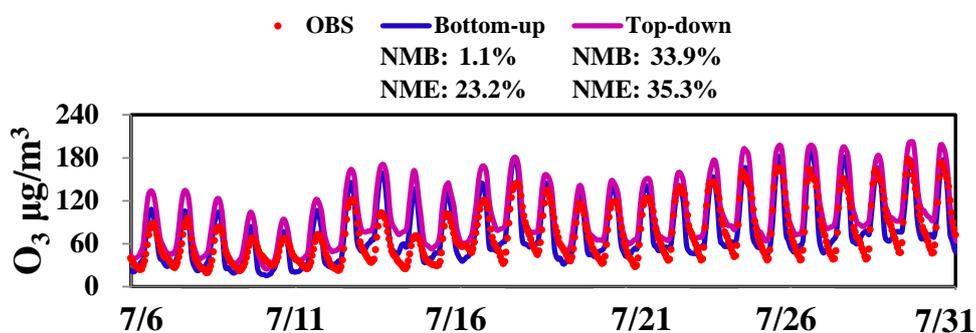
Figure 6.



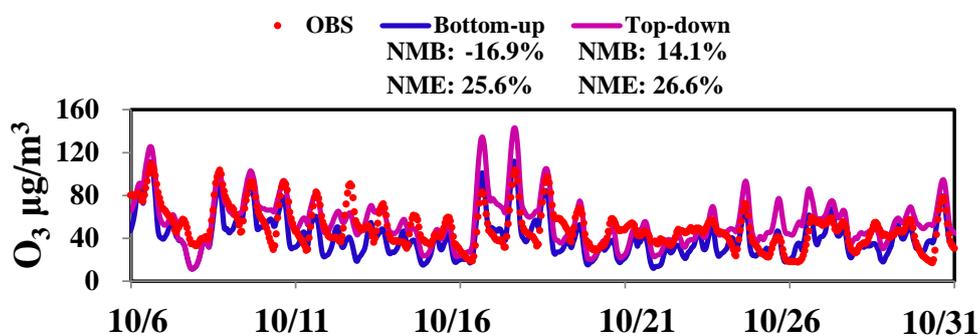
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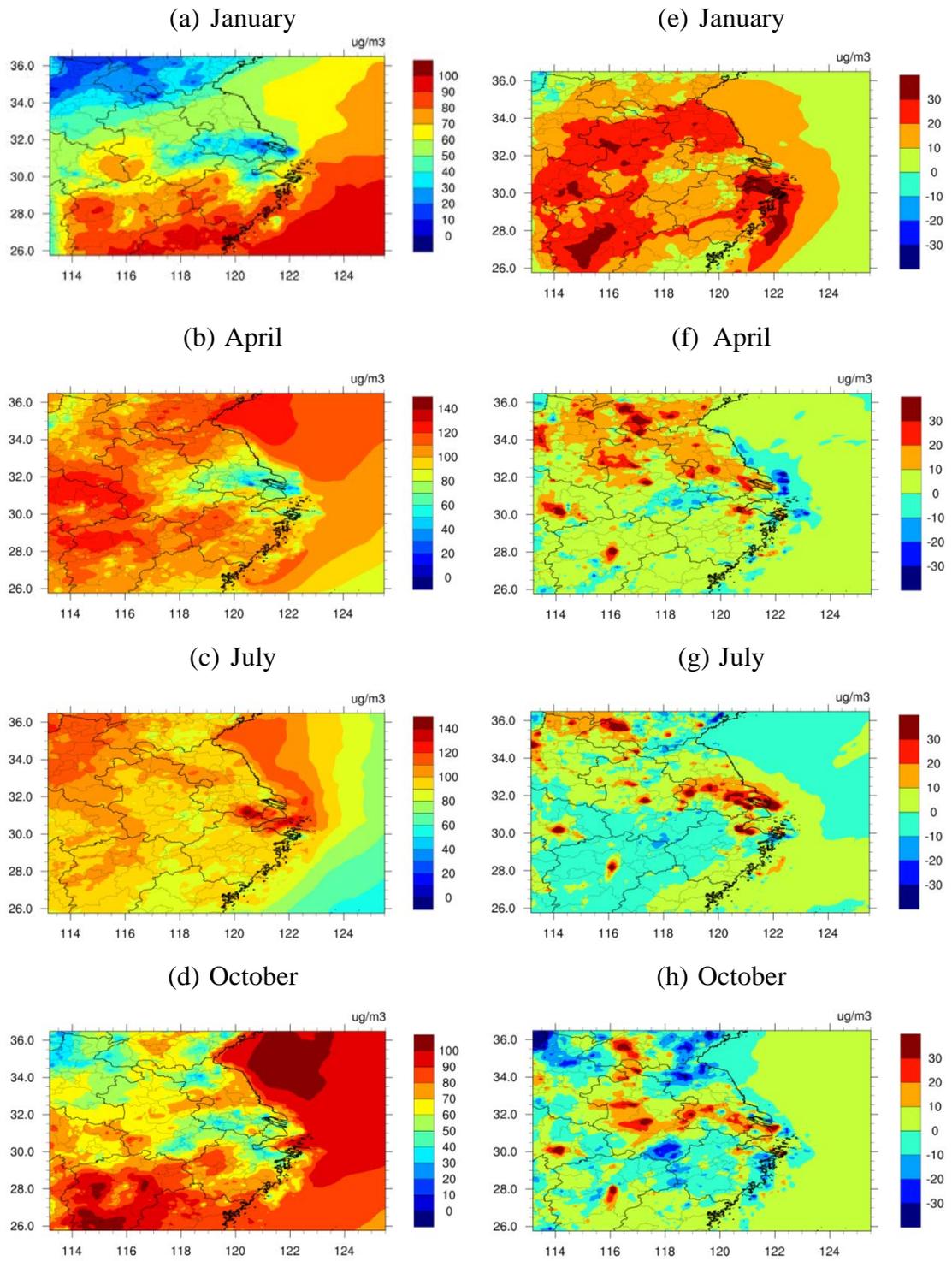


(c) July



(d) October

Figure 7.



Top-down estimates

Difference (Top-down minus Bottom-up)

Figure 8.

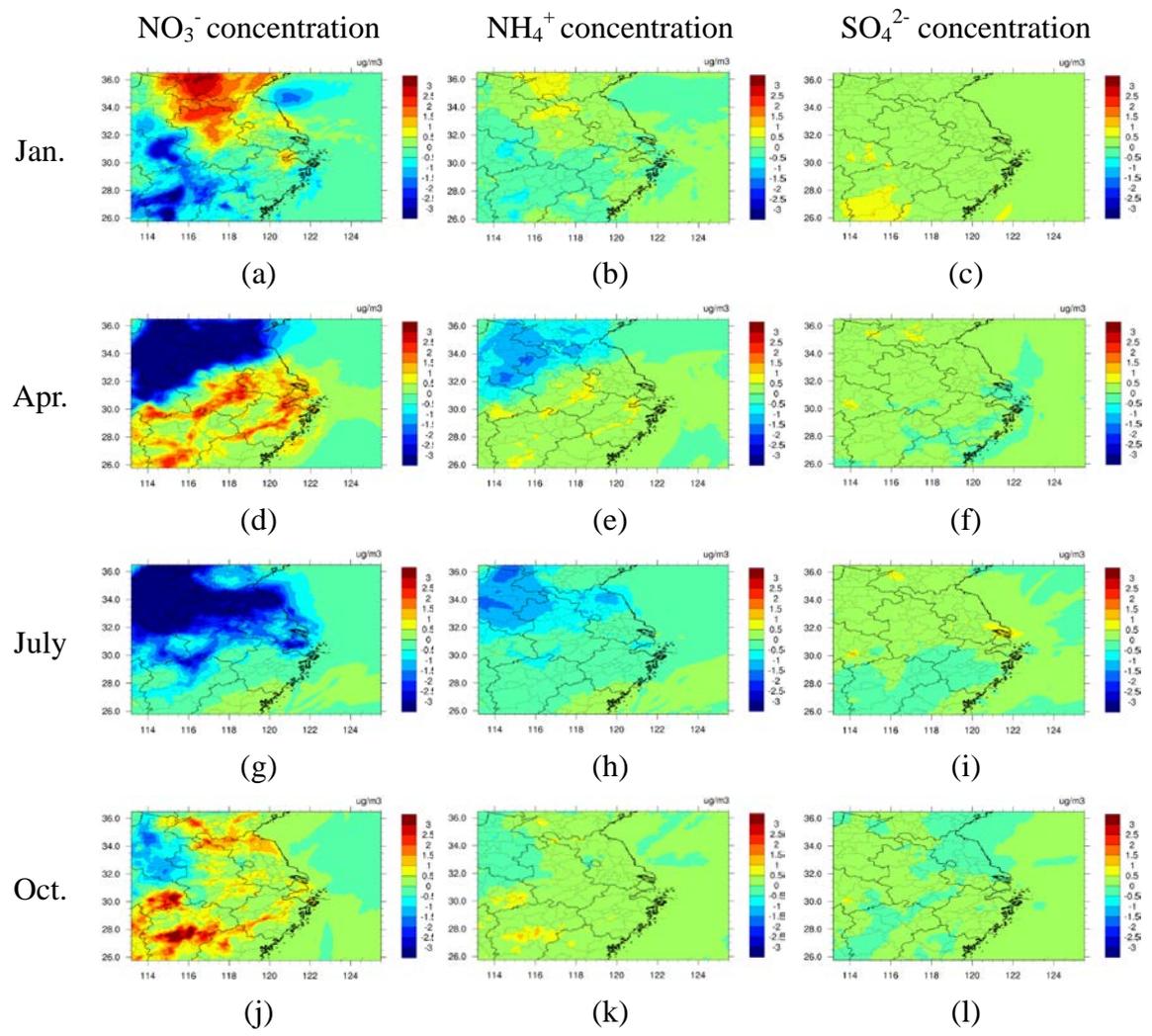
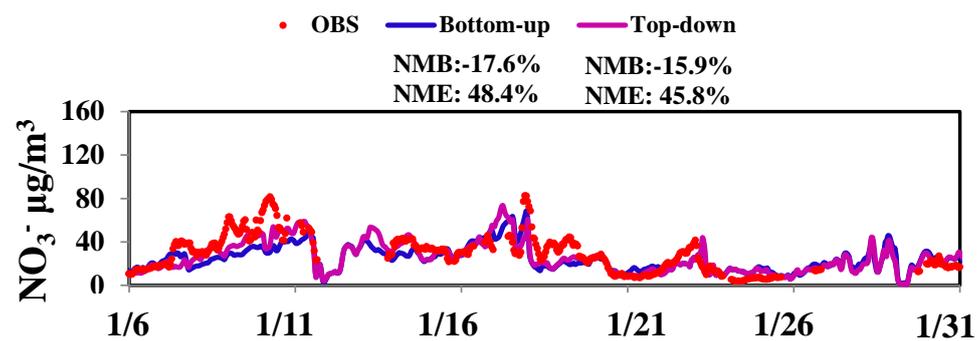
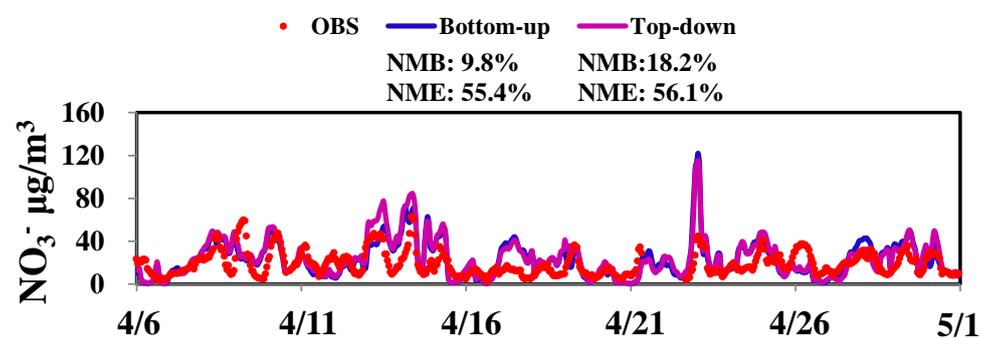


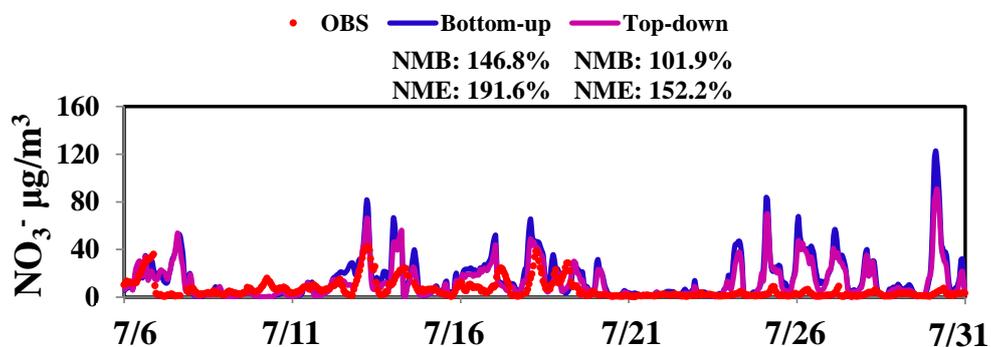
Figure 9.



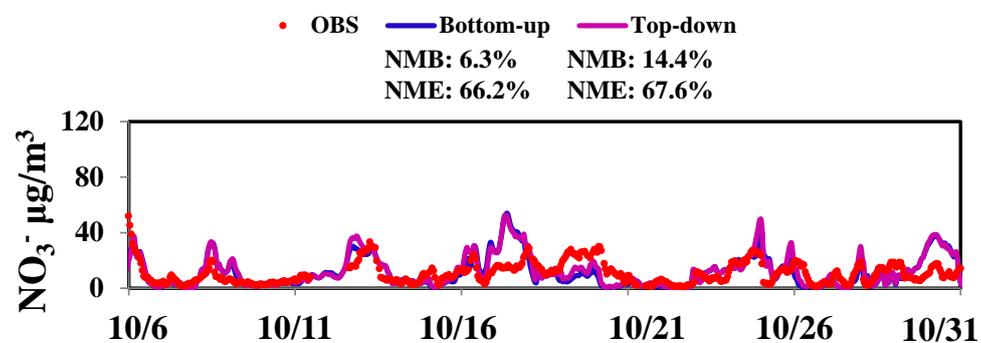
(a) January



(b) April



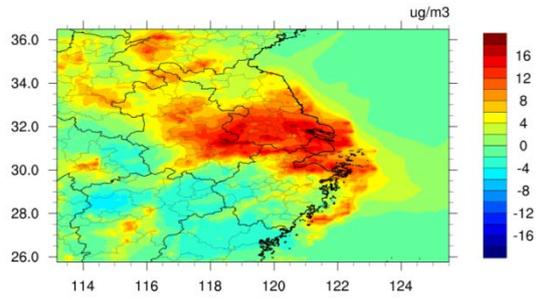
(c) July



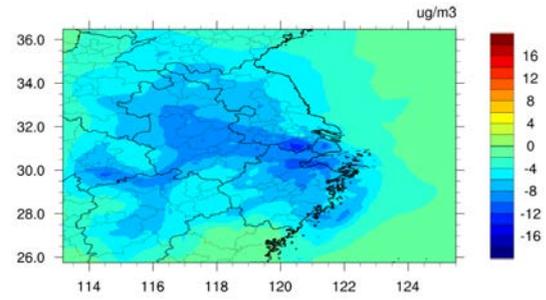
(d) October

Figure 10.

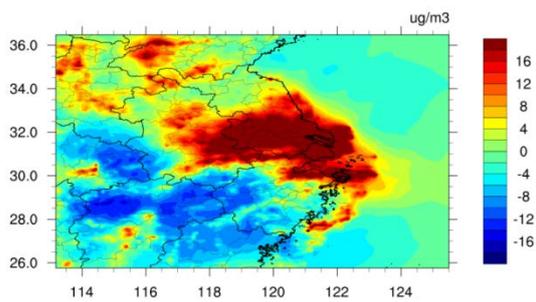
(a) -30% NO_x



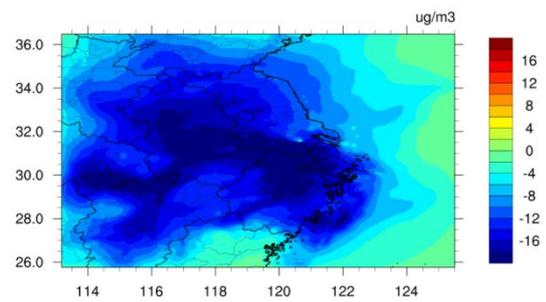
(b) -30% VOCs



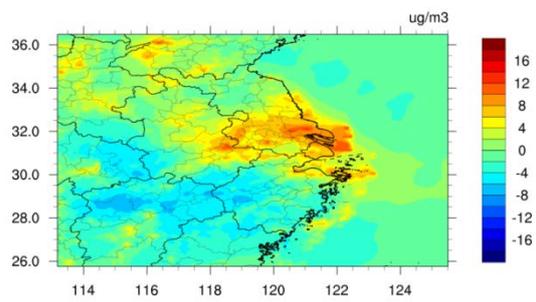
(c) -60% NO_x



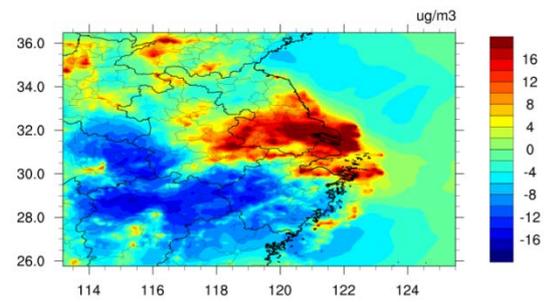
(d) -60% VOCs



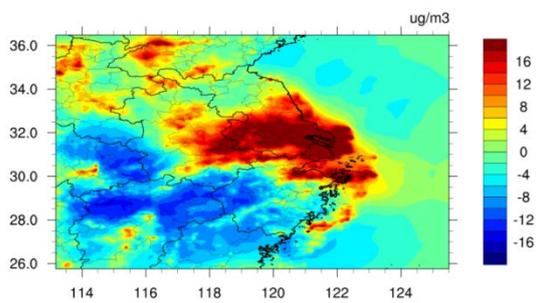
(e) -30% NO_x , -30% VOCs



(f) -60% VOCs , -60% NO_x



(g) -60% NO_x , -30% VOCs



(h) -60% VOCs , -30% NO_x

