Interactive comment on "Observations and explicit modeling of isoprene chemical processing in polluted air masses in rural areas of the Yangtze River Delta region: radical cycling and formation of ozone and formaldehyde" by Kun Zhang et al.

#### **Response to Referee #1**

Received and published: 29 September 2020

#### Major comments

I find two main issues with the analysis presented in sections 3.2 and 3.3. One is the lack of heterogeneous chemistry in the model. This is likely to impact both the levels and the budget of OH (because of the heterogeneous sources of HONO), of HO<sub>2</sub> (because of HO<sub>2</sub> uptake on aerosol), and of NO<sub>3</sub> (because of the equilibrium with  $N_2O_5$ ). I don't think that a complete analysis of radical chemistry can just ignore these processes. If the authors think that heterogeneous chemistry is negligible under the conditions of this study (and it may well be so), they should provide some evidence or reason why that is the case.

Response: We really appreciate the reviewers careful and valuable comments. We agree that the heterogeneous processes associated with HONO source, uptake of HO<sub>2</sub> and N<sub>2</sub>O<sub>5</sub> could be important for the budget of OH, HO<sub>2</sub> and NO<sub>3</sub> under certain conditions. Therefore, we tested the heterogeneous processes including uptake of N<sub>2</sub>O<sub>5</sub>, HCHO, and HO<sub>2</sub> on aerosols surface, and heterogenous sources of HONO in our simulation, as summarized in Table 1. Rate constants and uptake coefficients for these reactions were obtained from previous studies (Riedel et al. (2014); Xue et al. (2014); Li et al. (2014)). Since key parameters such as aerosol surface areas (S<sub>A</sub>) were not directly measured during our observation period, an average value of S<sub>A</sub> (640 nm<sup>2</sup>/cm<sup>3</sup> from the study of Wang et al. (2014)) was adopted in this study. Our results suggest that adding heterogenous processes in our simulation could lead to decrease of OH, HO<sub>2</sub>, RO<sub>2</sub> and NO<sub>3</sub> by 1.53%, 4.54%, 2.73% and 6.53%, respectively. These processes have been included in our base simulation and results are updated accordingly.

Reactions	Rate constants	No.		
$N \cap \sum CINO + UNO2$	$\gamma \omega S_A/4$ (for CLNO <sub>2</sub> formation)	Riedel et al.		
$N_2 O_5 \rightarrow C L N O_2 + H N O S$	$(2 - \emptyset)\gamma\omega S_A/4$ (for HNO <sub>3</sub> formation)	(2014)		
$NO_2 \rightarrow HONO$	$k_g = \frac{1}{8} \times \omega \gamma (\frac{S}{V})$ $k_a = \frac{1}{4} \omega \gamma S_A$	Xue et al. (2014)		
$HO_2 \rightarrow products$	$k = (\frac{r}{D_g + \gamma} \frac{4}{\gamma} \omega)^{-1} S_A$	Xue et al. (2014)		
$HCHO \rightarrow products1$	$k = \frac{1}{4}\omega\gamma S_A$	Li et al. (2014)		
$\gamma$ = uptake coefficient for the given reactant with aerosol surface area; $\phi$ = product yield; $\omega$ =mean				
molecular speed of the given reactant (m/s); SA=RH corrected aerosol surface area concentration				

Table 1. Additional heterogenous reactions and associated rate constants used by the model

 $(nm^2/cm^3)$ ; r=surface-weighted particle radius.

References:

Li, X., Rohrer, F., Brauers, T., Hofzumahaus, A., Lu, K., Shao, M., Zhang, Y. H., and Wahner, A. (2014). Modeling of HCHO and CHOCHO at a semi-rural site in southern China during the PRIDE-PRD2006 campaign, Atmospheric Chemistry and Physics, 14, 12291-12305, 10.5194/acp-14-12291-2014.

Riedel, T. P., Wolfe, G. M., Danas, K. T., Gilman, J. B., Kuster, W. C., Bon, D. M., Vlasenko, A., Li, S.-M., Williams, E. J., Lerner, B. M., Veres, P. R., Roberts, J. M., Holloway, J. S., Lefer, B., Brown, S. S., and Thornton, J. A. (2014). An MCM modeling study of nitryl chloride (CINO2) impacts on oxidation, ozone production and nitrogen oxide partitioning in polluted continental outflow, Atmos. Chem. Phys., 14, 3789–3800, https://doi.org/10.5194/acp-14-3789-2014.

Xue, L., Wang, T., Gao, J., Ding, A., Zhou, X., Blake, D. R., Fang, X., Saunders, S. M., Fan, S., Zuo, H., Zhang, Q., Wang, W. (2014). Ground-level ozone in four Chinese cities: precursors, regional transport and heterogeneous processes. Atmospheric chemistry and physics, 14(23), 13175-13188.

Wang, X., Chen, J., Cheng, T., Zhang, R., Wang, X. (2014) Particle number concentration, size distribution and chemical composition during haze and photochemical smog episodes in Shanghai[J]. Journal of Environmental Sciences, 2014, 26(009):1894-1902.

The other important issue is the HONO/NO<sub>2</sub> ratio which is set here to 2%, based on the Tan et al., 2019 paper. However that study examined Chinese megacities and I would expect HONO and NO<sub>2</sub> levels to be different in rural areas. I appreciate that without HONO measurements it is not possible to be very accurate on this point, but since the paper shows that HONO is a major source of OH, this issue should be discussed somewhere in the manuscript. I suggest at least a sensitivity study to assess how the estimate of HONO impacts the model results and hence the conclusions of the paper. If, on the other hand, the conditions in this study and in the Tan et al., 2019, paper are similar, that would bring into question the classification of the measurement site as "rural", which would necessarily reframe the subject and the conclusions of the paper.

Response: We agree that the HONO/NO<sub>2</sub> ratio in this study is different from that in Tan et al. (2019). To investigate the sensitivity of our results to the HONO/NO<sub>2</sub> ratio, a series of simulations with different HONO/NO<sub>2</sub> ratios were conducted and the results were summarized in Table 2. A lower HONO/NO<sub>2</sub> ratio (e.g. 0.005) could lead to decrease of OH radical by 15.3% and a higher ratio (e.g. 0.04) could increase OH concentration by

14.1%. This could be explained by the important role of HONO photolysis as one of the OH sources. Discussions on the sensitivity results have been added to the revised manuscript (Page 13, Line 258-266):

"Sensitive studies were conducted to quantify the influences of different HONO/NO<sub>2</sub> ratios on radical recycling (Text S3, Figure. S1 and Table S1). As expected, lower HONO/NO<sub>2</sub> ratio leads to lower HONO concentrations, and subsequent less OH generated from the photolysis of HONO. The sensitive study shows that when HONO/NO<sub>2</sub> ratio is 0.005, the daytime OH level could decrease by 15.3%. Vice versa, a higher HONO/NO<sub>2</sub> ratio (e.g., 0.04) can promote OH concentration by 14.08%. This result indicates that the photolysis of HONO is essential to the generation of OH, and therefore a simultaneous measurement of HONO is highly recommended for analyzing local radical recycling."



Fig. 1 Comparison of OH concentration under different HONO/NO<sub>2</sub> ratios.

HONO/NO <sub>2</sub> ratio	Change in OH (%)
0.005	-15.3%
0.01	-9.3%
0.03	7.5%
0.04	14.1%

Table 2 Model sensitivity test result.

With regards to the analysis of ozone and formaldehyde I am confused about the model setup. On line 141 it is said that  $O_3$ , NOx and VOCs (does it include HCHO?) are constrained in the model. However, on lines 147-151 and in Figure S1, "simulated ozone" is discussed. It is also not clear if HCHO is constrained or not. A species can be either constrained or calculated (simulated) in a model, but not both. The larger point, however, is that if  $O_3$  and/or HCHO are constrained, then the results in sections 3.4, 3.5, 3.6.2 and 3.6.3 need to be revised. It does not make much sense to look at the rate of production of a constrained variable because its value is set by the model and not calculated based on the values of the other variables. So the authors should first clarify whether  $O_3$  and HCHO are constrained or calculated in the model and then amend the discussion in sections 3.4, 3.5, 3.6.2 and 3.6.3 accordingly.

Response:  $O_3$  and HCHO were not constrained in our simulation, because we want to analyze the secondary formation of these compounds. To avoid misunderstanding, we have revised related descriptions in the revised manuscript (Line 146-147): "Hourly averaged concentrations of speciated VOCs (except HCHO), NO, NO<sub>2</sub> and meteorological parameters (such as T, RTH, P) were used to constrain the F0AM model."

#### Minor comments

In Table 1, and in the related text, I believe "42i" and "43i" need to be exchanged. Also, I suggest the detection limits and/or uncertainties are added to the Table 1.

Response: Thanks for the helpful advice. We have exchanged "42i" and "43i" in Table 1 and in the related text and add detection limits in Table 1.

line 103: correct to "Vaisala"

Response: We have corrected "Visala" into "Vaisala"

#### line 115: I imagine you mean 15m above the 5th floor?

Response: We are sorry for the unclear expression, and we mean the top of the 5-floorhigh building is 15 m above the ground level. Therefore, we have revised this sentence into "The instruments were housed on the top of a 5-floor-high building, which was about 15 m above the ground level."

#### line 142: correct to "RH".

Response: We have revised this word as suggested.

lines 143: correct to "nitrous acid".

Response: We have revised this word as suggested.

line 149: please define these indices (IOA, MB, NMB).

Response: The definition of IOA, MB and NMB are given in Line 153-157: "The index of agreement (IOA), mean bias (MB) and normalized mean bias (NMB) are frequently used to estimate the model performance. These three parameters can be calculated by Equation (2) to (4), where Si, Oi, and  $\overline{O}$  are the simulated, observed, and average value of the target compound. "

$$IOA = 1 - \frac{\sum (S_i - O_i)^2}{\sum (|S_i - \bar{O}| + |O_i - \bar{O}|)^2}$$
(2)

$$MB = \frac{\sum(S_i - O_i)}{N} \tag{3}$$

$$NMB = \frac{\sum (S_i - O_i)}{\sum O_i} \times 100$$
<sup>(4)</sup>

lines 199-201: I assume you are talking about simulated  $NO_3$  here. Please always make clear in the text, figures and captions, when you are talking about measurements and when about model results.

Response: Thanks for the reviewer's valuable suggestion. We have specified the "simulated  $NO_3$ " in Line 236-237. In addition, we have checked the similar unclear expression throughout the paper.

# lines 205-207: I am not aware of RO+NO<sub>3</sub> reactions forming RO<sub>2</sub>. Can you please clarify and/or correct?

Response: We are sorry for this mistake. It should be the reaction of  $VOCs+NO_3$  that account for over 70% RO<sub>2</sub> production during nighttime, and relevant description has been revised.

line 212: correct to "ozonolysis".

Response: We have corrected this error as suggested.

#### 1 **Response to Referee #2**

2 Received and published: 23 October 2020

1) The title of manuscript "Observations and explicit modeling of isoprene chemical processing
in polluted air masses in rural areas of the Yangtze River Delta region: radical cycling and
formation of ozone and formaldehyde" is not well supported by the work presented. The
observations are limited since key product species (i.e., MACR and MVK) and ROx radicals
of isoprene were not measured or observed.

8 Response: We are grateful for the comment. We admit that key product species of isoprene and 9 ROx radicals of isoprene were not measured during our observation. Therefore, we changed 10 the title into "Explicit modeling of isoprene chemical processing in polluted air masses in 11 suburban areas of the Yangtze River Delta region: radical cycling and formation of ozone and 12 formaldehyde"

13

2) Is DSH a rural site? It is characterized as suburban by Lin et al. (2020) and impacted by a
nearby freeway. Lin et al. (2020) indicate that both DSH and PD (urban site) are dominated by
vehicle emissions sites (Figure 10) and isoprene emission is less in DSH than PD (Figure 5)?
Can analysis be done for these five episodes in this study to demonstrate isoprene dominates
among VOCs? Otherwise, it is hard to justify the study objective.

Response: Thanks for the reviewers' helpful advice. According to former studies (e.g. Lin et 19 20 al. (2020)), DSL site is a suburban site. We have corrected our description in the revised 21 manuscript. Under stagnant conditions, typically during early morning, DSL could be affected by nearby vehicle emissions. Figure 5 of Lin et al. (2020) exhibited the relative incremental 22 reactivity (RIR) value of O<sub>3</sub> precursors. In their study, RIR of isoprene is lower at DSH than 23 that at PD site, suggesting that more O<sub>3</sub> could be produced at PD site when a same proportion 24 of isoprene was increased. However, at DSH, the ratio of the RIR from isoprene to RIR from 25 anthropogenic hydrocarbons (AHC) is higher than that at PD site, indicating that as DSL site, 26

isoprene plays a more important role than entire AHC in the secondary formation of O<sub>3</sub>. This 27 is consistent with our objective, and we aim to investigate the influence of isoprene chemistry 28 on O<sub>3</sub> formation at YRD region. To roughly estimate the influence of isoprene on atmospheric 29 oxidation capability, we adopted the approach presented in Zhu et al. (2020) to calculate the 30 OH reactivity. The results suggest that isoprene, accounting for  $\sim 19\%$  of the total k<sub>OH</sub>, is the 31 most significant VOC specie in terms of  $k_{OH}$ , with an average value of 0.89  $\pm$  0.44 s<sup>-1</sup>. This 32 33 illustrates the significant role of isoprene in the photochemistry in suburban area. These descriptions have been added in the revised manuscript (Line 193-198). 34



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Figure 5 Average relative incremental reactivity (RIR) values of the O<sub>3</sub> precursors NO*x*, anthropogenic
 hydrocarbons (AHCs), biogenic hydrocarbons (NHCs), and CO at (a) the DSH site and (b) the PD site
 from 11–26 July.(from Lin et al. (2020))

3) Model performance (i.e., OBM in this study) should be conducted against observed key 39 species such as ozone, formaldehyde, and NOx before the model can be confidently used to 40 simulate other key ROx species such as OH, HO<sub>2</sub>, RO, and RO<sub>2</sub> (e.g., Figures 4, 5, 7-8). For 41 instance, simulated local O<sub>3</sub> is shown in Figure 7(A) but correlative discussion with observed 42 O<sub>3</sub> profile is needed. Similarly, simulated HCHO concentration in Figure 8(A) should be 43 44 correlated with observed HCHO concentration. Without solid performance evaluation, simulated ROx radicals are questionable although they are comparable to other literature values, 45 as indicated in this study. 46

47 Response: We agree that solid performance evaluation is essential. Therefore, comparison of
48 simulated and observed O<sub>3</sub> and HCHO concentration are given in the supporting information.
49 The discussion of simulated and observed O<sub>3</sub> and HCHO concentrations are given in Line 153
50 to Line 164:

"The index of agreement (IOA), mean bias (MB) and normalized mean bias (NMB) are 51 frequently used for model performance evaluation. These three parameters are calculated by 52 Equation (2) to (4), where Si, O<sub>i</sub>, and O are the simulated, observed, and average value of the 53 target compound. In this study, the IOA, MB and NMB of O<sub>3</sub> was 0.90, 0.76 and 10%, 54 respectively, suggesting that the model can reasonably reproduce the variations of O<sub>3</sub> and could 55 be used for further analysis. As for HCHO, the IOA, MB, and NMB was 0.74, 2.43, and 48%, 56 respectively. In general, the model overestimated HCHO concentration, especially on July 29 57 and July 30. According to previous studies, the inconsistency between simulated and observed 58 HCHO could be caused by the uncertainties in the treatment of dry deposition, faster vertical 59 transport, uptake of HCHO, and fresh emission of precursor VOCs (Li et al., 2014). 60 Nevertheless, these results still provide valuable information of secondary formation of HCHO 61 at suburban area.". 62

- 63 The NO*x* concentrations are constrained by the observed value in our setup, so there is no need64 to compare the simulated and observed NO*x* concentration.
- 65 Reference:

Li, X., Rohrer, F., Brauers, T., Hofzumahaus, A., Lu, K., Shao, M., Zhang, Y. H., and Wahner,
A.: Modeling of HCHO and CHOCHO at a semi-rural site in southern China during the
PRIDE-PRD2006 campaign, Atmospheric Chemistry and Physics, 14, 12291-12305,
10.5194/acp-14-12291-2014, 2014.

70

4) As mention above, ROx radicals and key products (i.e., MACR and MVK) photochemically 71 produced by isoprene and other precursors were not measured so model performance couldn't 72 be conducted against these species. Without the validation, this is hard to evaluate the simulated 73 ROx radicals with confidence, as mentioned above. In addition, over 50 VOCs were measured 74 but they were not utilized in this study. As an example, some VOCs primarily react with OH 75 radical so those VOCs can be used as surrogates to estimate concentration of OH radicals, 76 77 which can then be compared to simulated OH radicals. For example, Lin et al (2020) used X/E to estimate OH. Another analysis of VOC data can be conducted to evaluate the relative 78 79 importance of isoprene in total VOCs. Isoprene has to be a significant part of VOCs emissions in order to achieve the objective of this study, evaluating isoprene's importance in rural areas. 80 Response: Thank for the helpful advice. The average regional OH concentration  $(8.39 \pm 5.11)$ 81  $\times 10^{6}$  molecules cm<sup>-3</sup>) was estimated by E/X ratio, and relevant descriptions have been added 82 in the revised manuscript. Please refer to Page 10, Line 228-233: 83

<sup>84</sup> "To verify the performance of OBM model, regional mixing ratios of OH during daytime were <sup>85</sup> also calculated by a parameterization method using ratios of measured ethylbenzene and m,p-<sup>86</sup> xylene concentrations (see Text S2). The calculated average regional concentrations of OH <sup>87</sup> ( $8.39 \pm 5.11 \times 10^6$  molecules cm<sup>-3</sup>) was in the same order of the magnitude of the OBM-<sup>88</sup> simulated result ( $4.59 \pm 5.11 \times 10^6$  molecules cm<sup>-3</sup>), suggesting that the OBM-simulated radical <sup>89</sup> concentration is reliable."

In addition, the OH reactivity (k<sub>OH</sub>) from VOCs was calculated and the result shows that
isoprene alone can accounted for 19% of the total k<sub>OH</sub>, indicating the significant role of isoprene
in suburban area. Please refer to Page 10, Line 194-199:

"To roughly estimate the influence of isoprene on atmospheric oxidation capability, we adopted
the approach given in the study of Zhu et al. (2020a) to calculate the OH reactivity (k<sub>OH</sub>). The
result suggested that isoprene, accounting for ~19% of the total k<sub>OH</sub>, was the most significant

96 VOC specie from the perspective of  $k_{OH}$ , with an average value of  $0.89 \pm 0.44$  s<sup>-1</sup>. This indicates 97 the significant role of isoprene in the photochemistry in suburban area."

98 Reference:

99 Zhu, J., Cheng, H., Peng, J., Zeng, P., Wang, Z., Lyu, X., and Guo, H.: O<sub>3</sub> photochemistry on

100 O<sub>3</sub> episode days and non-O<sub>3</sub> episode days in Wuhan, Central China, Atmospheric Environment,

101 223, 10.1016/j.atmosenv.2019.117236, 2020a

102

5) Measurements of VOCs are described in detail (Lines 116-125) but VOC analysis is lacking.
Additional analysis would be useful. For instance, several types of VOCs (e.g., alkenes and
aromatics) contribute to OVOC, an important specie focused in this study (in Figures 6 and 9),
so their relationship to OVOC can be evaluated, in addition to the VOC analyses suggested
above.

Response: Thanks for the reviewer's helpful advice. Analysis about VOCs and the relationship 108 between VOCs and OVOCs are added in the revised manuscript. Please refer to Line 194-214: 109 " To roughly estimate the influence of isoprene on atmospheric oxidation capability, we 110 adopted the approach presented in Zhu et al. (2020) to calculate the OH reactivity (k<sub>OH</sub>). The 111 results suggest that isoprene, accounting for  $\sim 19\%$  of the total  $k_{OH}$ , is the most significant VOC 112 species in terms of  $k_{OH}$ , with an average value of  $0.89 \pm 0.44 \text{ s}^{-1}$ . This illustrates the significant 113 role of isoprene in the photochemistry in suburban area. Based on the explicit calculation, the 114 115 total concentration of OVOC was obtained. Due to the complexity of OVOC formation, which involves hundreds of precursors for just one OVOC species, and the complicated chain 116 reactions converting VOCs to OVOCs, it is difficult to give the accurate relationship between 117 VOCs to OVOCs. Since VOCs were mainly oxidized by OH and O<sub>3</sub> during daytime, we applied 118 multi-linear regression model (given in Eq.(5)) to provide the roughly relationship between 119 VOCs and simulated OVOCs. 120

$$[OVOC] = \beta_0 + \beta_1[Alkane] + \beta_2[Alkene] + \beta_3[Aromatic] + \beta_4[OH] + \beta_5[O_3] \quad (1)$$

121

where  $\beta_0$ ,  $\beta_1$ ,  $\beta_2$ ,  $\beta_3$ ,  $\beta_4$ , and  $\beta_5$  are the coefficient from linear regression, [OVOC] and [OH] 122 123 are the simulated concentration of OVOC and OH, respectively; [Alkane], [Alkene], [Aromatic], [O<sub>3</sub>] are the observed concentration of alkane, alkene, aromatic, and O<sub>3</sub>. The Sig 124 value and statistical reliability criteria (R) was 0.000 and 0.853 (shown in Table S3), 125 respectively, indicating that the linear relationship represented by the equations (5) is 126 statistically reliable. The  $\beta_1$ ,  $\beta_2$ ,  $\beta_3$  was 0.027, 0.623, and 0.820, respectively, suggesting that 127 alkenes and aromatics are significant for the simulated OVOC concentration." 128 129 Technical comments: 130 1) Table 1: SO<sub>2</sub> is listed as one of the measured pollutants but it is not used in this study at all. 131 Please remove it from the table. CO is not listed here but shown in Figure 2. 132 Response: We are grateful for this comment. The description of SO<sub>2</sub> monitoring has been 133 removed from table 1 and CO monitor has been added in table 1. 134 135 2) Figure 2: CO concentration is almost flat so indicates this site is less impacted by traffic-136 related emissions. This contradicts with Lin et al (2020)'s observation (Figure 3), where NOx137 concentrations show traffic related variation in DSH. 138 Response: Thanks for the reviewer's careful comment. We agree that the CO concentration 139 was almost flat during the scenarios, but when we look at the variation of NO, clearly peaks of 140 141 NO was found during early morning, which is closed relate to traffic emission, and this result 142 is also consistent with the observation of Lin et al. (2020), which also found clearly morning peak in DSL. 143 144

145	3) Section 3.3 (line 210+): there is no discussion or description of Figure 5(B).		
146	Response: Thanks for the suggestion. Description of Figure 5 has been added in Line 386-		
147	390:"To investigate the underlying causes, we calculated the production rate of $ROx (P(ROx))$		
148	and loss rate of ROx (L(ROx)) in S1, respectively (Figure 5 (B)). From the comparison, we		
149	found most of the reaction rates in $P(ROx)$ and $L(ROx)$ showed a decrease trend in S1,		
150	suggesting that the absence of isoprene slows down the ROx recycling."		
151			
152	4) Figure 8: Net HCHO rate is negative for several hours around noon. What does that mean?		
153	Some discussion is needed.		
154	Response: The negative net HCHO rate around noon means the net reduction of HCHO.		
155	Relative description is given in Line 364-369:"Between 13:00 and 14:00, a negative net(HCHO)		

156 was found. Although the reaction of  $RO+O_2$  quickly produced HCHO at afternoon, the 157 depletion pathways, especially the photolysis of HCHO, became more competitive, leading to 158 the net reduction of HCHO. This also indicated that strong photochemical reactions do not 159 monotonously profit the accumulation of HCHO, it can also constrain high HCHO levels in 160 certain situations."

161

5) Figures 6 and 9: It seems the red lines indicate photolysis production of ROx radicals while
blue lines destruction or sink of these radicals. What does the black line represent? Some
description is needed.

Response: Thanks for this good suggestion. The black lines represent the processes in RO*x*recycling, and NO*x* recycling, and relative descriptions has been added for Figure 6 and Figure
9.

168

169 Minor comments:

- 170 1) Line 184: should be "series", not "serious"
- 171 Response: We have recorrected this mistake as suggested.
- 172
- 173 2) Lines 512 and 517: these two references seem identical.
- 174 Response: We have removed the replicated reference.
- 175
- 176 3) Term "loss" is used in Figure 5 and its associated text while "destruction" or "sink" in
- Figures 7 and 8 and their description. They probably meant the same thing but consistency is
- 178 preferred.
- 179 Response: Thanks for the helpful suggestion, the term "loss", "destruction" and "sink" has been180 unified in our manuscript.
- 181
- 182 4) Line 205: "by separate the formation of RO<sub>2</sub>" should be revised for clarity. Do you mean
- 183 "by separation from the formation of RO<sub>2</sub>"?
- 184 Response: Thanks for the reviewer's suggestion, we have changed this sentence into "By
- 185 separating the formation pathways of RO<sub>2</sub>".
- 186
- 187 5) Line 263-264, the last sentence should be "Primary ROx sources and sinks are in red and
- 188 blue, respectively."
- 189 Response: We have revised this sentence as suggested.
- 190

#### 191 Revised manuscript

192	Explicit modelling of isoprene chemical processing in polluted air
193	<mark>masses in suburban areas of the Yangtze River Delta region:</mark>
194	radical cycling and formation of ozone and formaldehyde
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204	
205	Abstract
206	Ozone pollution has become one of the most severe environmental problems in China in
207	recent years. Our online observations showed that high levels of $O_3$ were frequently observed
208	in suburban areas of the Yangtze River Delta (YRD) region even there was no obvious ozone
209	transport from the urban regions. To better understand the formation mechanism of local $O_3$
210	pollution and investigate the potential role of isoprene chemistry in the budgets of $ROx$
211	(OH+HO <sub>2</sub> +RO <sub>2</sub> ) radicals, synchronous observations of volatile organic compounds (VOCs),
212	formaldehyde (HCHO) and meteorological parameters were conducted at a suburban site of
213	the YRD region in 2018. Five episodes with elevated O <sub>3</sub> concentrations under stagnant
214	meteorological conditions were identified; an observation-based model (OBM) with the Master
215	Chemical Mechanism was applied to analyze the photochemical processes in these high-O <sub>3</sub>

216 episodes. High levels of O<sub>3</sub>, nitrogen oxides (NO*x*), and VOCs facilitated strong production

and recycling of ROx radicals with the photolysis of oxygenated VOCs (OVOCs) being the 217 primary source. Our results suggest that local biogenic isoprene is important to suburban 218 photochemical processes. Removing isoprene could drastically slow down the efficiency of 219 ROx recycling and reduce the concentrations of ROx. The absence of isoprene chemistry could 220 further lead to decrease in the daily average concentration of  $O_3$  and HCHO by 34% and 36%, 221 respectively. This study underlines that the isoprene chemistry in suburban atmosphere 222 becomes important with the participation of anthropogenic NOx and also provides insights into 223 the radical chemistry that essentially drives the formation of secondary pollutants (e.g. O<sub>3</sub> and 224 225 HCHO) in suburban YRD region.

226 Keywords: Isoprene; Observation-based model (OBM); Radical; Ozone; Yangtze River Delta

#### 227 **1. Introduction**

The hydroxyl radical (OH), hydro peroxy radical (HO<sub>2</sub>) and organic peroxy radical ( $RO_2$ ), 228 collectively known as ROx dominate the oxidative capacity of the atmosphere and hence 229 govern the removal of primary contaminants (e.g. volatile organic compounds (VOCs)) and 230 the formation of secondary pollutants (e.g. ozone (O<sub>3</sub>), secondary organic aerosols (SOAs)) 231 (Liu et al., 2012; Xue et al., 2016). ROx radicals can undergo efficient recycling (e.g.  $OH \rightarrow$ 232  $RO_2 \rightarrow RO \rightarrow HO_2 \rightarrow OH$ ) and produce  $O_3$  and oxygenated VOCs (OVOCs) (Liu et al., 2012;Tan 233 et al., 2019; Xue et al., 2016). In addition, the photolysis of OVOCs can in turn produce primary 234 RO<sub>2</sub> and HO<sub>2</sub> radicals, and further accelerate the recycling of ROx (Liu et al., 2012). The 235 reaction rates of different VOCs with ROx vary significantly (Atkinson and Arey, 2003; 236 Atkinson et al., 2006). For instance, the reaction rate constants for OH with ethane and ethene 237 are  $0.248 \times 10^{-12}$  (cm molecule<sup>-1</sup> s<sup>-1</sup>) and  $8.52 \times 10^{-12}$  (cm molecule<sup>-1</sup> s<sup>-1</sup>), respectively. Among 238 the hundreds thousands of VOC species, isoprene (C<sub>5</sub>H<sub>8</sub>, 2-methyl-1,3-butadiene) is one of the 239 most active species, and also the most abundant biogenic VOCs (BVOCs) species globally 240

(Wennberg et al., 2018). Isoprene emissions from biogenic sources have been extensively 241 studied over past decades (Gong et al., 2018) and recent works have switched from emissions 242 to the degradation pathways and the impact of isoprene chemistry on regional forest chemistry 243 (Gong et al., 2018; Wolfe et al., 2016a). Previous studies showed that isoprene could be quickly 244 oxidized by atmospheric oxidants (e.g. OH, O<sub>3</sub> or NO<sub>3</sub>) (Wolfe et al., 2016a; Gong et al., 2018; 245 Jenkin et al., 2015). Due to the rapid reaction between OH and isoprene  $(100 \times 10^{-12} \text{ cm}^3)$ 246 molecule<sup>-1</sup> s<sup>-1</sup> at 298 K), more than 90% of the total daytime isoprene is removed via this 247 248 reaction (Wennberg et al., 2018). The reaction between OH and isoprene is initiated by the addition of OH and can generate isoprene hydroxyperoxy radicals (ISOPO<sub>2</sub>) (Wennberg et al., 249 2018; D'Ambro et al., 2017; Liu et al., 2013; Jenkin et al., 2015). ISOPO<sub>2</sub> isomers could then 250 251 interconvert rapidly due to reversible O<sub>2</sub> addition and are finally removed via reactions with HO<sub>2</sub> or NO (Jenkin et al., 2015; Wolfe et al., 2016a). Hence, the degradation process of 252 isoprene is tightly associated with ROx recycling. According to He et al. (2019), isoprene 253 254 chemistry could strongly influence the photochemical formation of  $O_3$ , with a relative incremental reactivity (RIR) of ~0.06%/%. In addition to O<sub>3</sub>, HCHO is formed via several 255 pathways during the depletion of isoprene (Jenkin et al., 2015; Wolfe et al., 2016a) and HCHO 256 formation is found to be highly sensitive to isoprene (Zeng et al., 2019). 257

The Yangtze River Delta (YRD) region is one of the most developed city-clusters in 258 eastern China and has been suffering from serious O<sub>3</sub> pollution (Zhang et al., 2019; Zhang et 259 al., 2020a; Chan et al., 2017). At the suburban area of YRD, high levels of O<sub>3</sub> were frequently 260 observed (Zhang et al., 2019; Zhang et al., 2020a). A number of studies have been conducted 261 to investigate the relationships between O<sub>3</sub> precursors and O<sub>3</sub> (Chan et al., 2017; Lin et al., 262 2020; Zhang et al., 2020a; Zhang et al., 2020b), but few have attempted to address the 263 atmospheric oxidizing capacity and radical chemistry involved in these complicated 264 photochemical processes (Tan et al., 2019;Zhu et al., 2020b). Previous studies have pointed 265

out that high levels of O<sub>3</sub> at suburban areas of Shanghai could be attributed to the transport of
O<sub>3</sub> or O<sub>3</sub> precursors from urban areas (Lin et al., 2020; Zhang et al., 2019). However, high O<sub>3</sub>
concentrations were frequently observed in suburban areas under stable meteorological
conditions. Given the high vegetation coverage in suburban YRD and weak transport of air
masses, the importance of local isoprene chemistry to ozone formation remains unclear.

In this study, we conducted a comprehensive set of in-situ observations of isoprene, meteorological parameters, and trace gases to understand the important impact of isoprene chemistry on atmospheric photochemical processes in suburban YRD region. An observationbased model (OBM) was used to explore the role of local isoprene chemistry in radical budgets and the formation of  $O_3$  and HCHO. Results from this study can provide insights into the isoprene chemistry in the suburban region of a fast-developing city-cluster.

#### 277 **2. Methodology**

#### 278 **2.1 Measurement site and techniques**

The observations were conducted at a supersite  $(120.98^{\circ}E, 31.09^{\circ}N)$  in the suburban areas of the YRD region (Figure 1). It is located in the west of Shanghai and is close to the Dianshan Lake Scenic area, which has high vegetation coverage. To investigate the local isoprene chemistry and its influence on O<sub>3</sub> and HCHO formation, continuous measurements were conducted from Apr. 7 to Sep. 25, 2018, when the photochemical reactions are active and ozone formation is significant.

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Figure 1. Location of the Dianshan lake supersite (white dot) in the <mark>suburban</mark> areas of YRD region.

Table 1. Measurements performed during the ozone season

288 289 This picture was created with Google Earth© on 23<sup>rd</sup> July 2020.

Lower Detectable **Species/Parameter Experimental Technique Time resolution** limit Model 49i, Thermo Fischer O<sub>3</sub> <mark>60 s</mark> 0.5 ppbv Scientific. USA Model 42i, Thermo Fischer <mark>60 s</mark> 0.4 ppbv NO and NO<sub>2</sub> Scientific, USA Model 48i, Thermo Fischer CO <mark>60 s</mark> 40 ppbv Scientific, USA **HCHO** 90 s 0.1 ppbv AL4021, Aero-Laser, GER **VOCs** species GC866, Agilent., USA 1 hour Temperature, relative humidity, Meteorological station, 60 s wind speed and wind direction Vaisala, NLD

#### 290

The measuring instruments are shown in Table 1. Wind speed (WS), wind direction (WD), 291 292 temperature (T), and relative humidity (RH) were simultaneously observed by a meteorological station (Vaisala., FIN). According to China's air quality standard, several criteria air pollutants 293 were measured during this experiment. O<sub>3</sub> was measured by an ultraviolet photometric analyzer 294 (Model 49i, Thermo Fischer Scientific., USA), which has a detection limit of 0.5 ppbv at 60295 second resolution. 1 min resolution of nitrogen oxides (NO and NO<sub>2</sub>) data were simultaneously 296 observed by a chemiluminescence instrument (Model 42i, Thermo Fischer Scientific., USA), 297 which has a detection limit of 0.4 ppby. Carbon monoxide was monitored by a gas filter 298 correlation infrared absorption analyzer (Model 48i, Thermo Fischer Scientific., USA), which 299 has a detection limit of 0.04 ppm. All the online instruments used for gas analyzer were auto-300 zero every day, and were multi-point calibrated every month. All the instruments used for the 301 online observation were housed on top of a 5-floor-high building, which was about 15 m above 302 the ground level. 303

A total of 55 VOC species, including 28 alkanes, 10 alkenes, 16 aromatics and acetylene were continuously analyzed at our sampling site by two online gas chromatograph with flame ionization detector (GC-FID) systems (GC-866 airmoVOC  $C_2$ - $C_6$  #58850712 and airmoVOC  $C_6$ - $C_{12}$  #283607112, Agilent., USA) with a time resolution of 1 hour during our experiment.

Ambient samples are directly inhaled into this system by a pump. Low carbon VOCs ( $C_2$ - $C_6$ ) 308 are captured by a low temperature (-10 °C) preconcentration system, while high carbon VOCs 309 are concentrated by a built-in room temperature preconcentration system. Then the 310 preconcentration systems are heated and desorb VOCs, which are then carried into 311 chromatographic columns by helium. Individual VOCs separated in the columns are eventually 312 detected by FID systems. Formaldehyde (HCHO) was continuously measured by a Hantzsch 313 314 fluorescence technique (AL4201, Aerolaser GmbH., GER), which is based on fluorometric Hantzsch reaction in the liquid phase, requiring the quantitative transfer of HCHO from gas 315 316 phase to liquid phase. A Hantzsch reagent (acetylacetone) was used in this instrument.

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#### 2.2 Observation-based model

A user-friendly zero-dimensional (0-D) box model (F0AM) was used to simulate the 318 chemical processes in the atmosphere in this study. This model was developed by Wolfe et 319 320 al.(2016b) based on University of Washington Chemical Model (UWCM). Dry deposition, aloft exchange, and atmospheric dilution were considered in this model. We chose the Master 321 Chemical Mechanism (MCM) v3.3.1 as the chemical mechanism with more than 5,800 322 chemical species and 17,000 reactions, which enables a detailed description of the complex 323 reactions. In addition to gas-phase reactions, several heterogenous processes including the 324 uptake of HO<sub>2</sub>, N<sub>2</sub>O<sub>5</sub> and HCHO on aerosol surface, and heterogenous source of HONO were 325 also considered in our simulation. These reactions rate constants and uptake coefficient were 326 obtained from the study of Riedel et al. (2014), Xue et al. (2014) and Li et al. (2014). Since 327 key parameters such as aerosol surface areas  $(S_A)$  and particle diameters (r) were not measure 328 during our observation, an average  $S_A$  (640 nm<sup>2</sup>/cm<sup>3</sup>) was obtained from the field campaign in 329 Shanghai (Wang et al., (2014)). 330

 331
 Table 2. Heterogenous reactions and associated rate constants used in the OBM model

 Reactions
 Reaction rate constant
 Reference

	$\gamma \omega S_A/4$ (for CLNO <sub>2</sub> formation)	Riedel et		
$N_2O_5 \rightarrow CLNO_2 + HNO3$	$(2 - \emptyset)\gamma\omega S_A/4$ (for HNO <sub>3</sub> formation)	<mark>al. (2014)</mark>		
<mark>NO<sub>2</sub> → HONO</mark>	$k_g = \frac{1}{8} \times \omega \gamma_g(\frac{S}{V})$	Xue et al.		
	$k_a = \frac{1}{4}\omega\gamma_a S_A$	<mark>(2014)</mark>		
$HO_2 \rightarrow products$	$k = \left(\frac{r}{2} \frac{4}{\omega}\right)^{-1} S_{4}$	Xue et al.		
	$D_g + \gamma^{-1} $	<mark>(2014)</mark>		
$HCHO \rightarrow products1$	$k = \frac{1}{2} \cos \frac{1}{2}$	Li et al.		
	$\kappa = \frac{1}{4}\omega\gamma S_A$	<mark>(2014)</mark>		
$\gamma$ = uptake coefficient for the given reactant with aerosol surface area; $\phi$ = product yield; $\omega$ =mean				
molecular speed of the given reactant (m/s); S <sub>A</sub> =RH corrected aerosol surface area concentration				
$(nm^2/cm^3)$ ; r=surface-weighted particle radius.				

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Photolysis frequencies (*J* values) were calculated by a trigonometric parameterization
based on solar zenith angle (SZA):

$$J = Icos(SZA)^m \exp\left(-nsec(SZA)\right)$$
(2)

where *I*, *m* and *n* are constants unique to each photolysis reaction, derived from least-squares 335 336 fits to J values computed with fixed solar spectra and literature cross-section and quantum yields (Wolfe et al., 2016b). Hourly averaged concentrations of speciated VOCs (except 337 HCHO), NO, NO<sub>2</sub>, CO and meteorological parameters (such as T, RH and P) were used to 338 constrain the F0AM model. Nitrous acid (HONO) was not measured during our observation. 339 Therefore, it was fixed as 2% of the observed NO<sub>2</sub> concentration. This constant ratio is well 340 341 observed in different field studies and performed well in previous box model studies (Tan et al., 2019). Before each simulation, the model will run 3 days as spin up to reach a steady state 342 for unmeasured species (e.g., OH and NO<sub>3</sub> radicals). The comparison of simulated and 343 observed  $O_3$  and HCHO concentrations is shown in Figure S1 and Figure S2. The index of 344 agreement (IOA), mean bias (MB) and normalized mean bias (NMB) are frequently used to 345



$$OA = 1 - \frac{\sum (S_i - O_i)^2}{\sum (|S_i - \overline{O}| + |O_i - \overline{O}|)^2}$$

$$MB = \frac{\sum (S_i - O_i)}{N}$$
(3)
(4)

$$NMB = \frac{\sum(s_i - o_i)}{\sum o_i} \times 100\%$$
(5)

#### 361 **3. Results and discussions**

#### 362 **3.1 Overview of the observations**

To investigate the impact of local chemistry on ozone formation, five days with low daily average wind speed (<2m/s) and high maximum daily 8-h average (MDA8) O<sub>3</sub> concentration (>74.7 ppb) were identified as typical local chemistry cases. Figure 2 shows the time series of

observed meteorological parameters (P, T, and RH), trace gases (NO, NO<sub>2</sub> and O<sub>3</sub>), isoprene 366 and HCHO on selected days. During those episodes, the air masses reaching the site were 367 mainly from southeast and southwest (Figure 2). The weak wind was not conductive to the 368 regional transportation of air pollutants. The observed  $O_3$ ,  $NO_2$ ,  $NO_2$ ,  $NO_3$ ,  $CO_3$ , and TVOC ranged 369 from 1.40 to 155.40 ppbv ( $52.72 \pm 44.43$  ppbv, average value, the same below), 5.36 to 57.95 370 ppbv ( $21.58 \pm 12.88$  ppbv), 0.75 to 54.51 ppbv ( $5.40 \pm 8.13$  ppbv), 400 to 960 ppbv ( $597 \pm 153$ 371 ppbv), and 2.34 to 20.33 ppbv (7.28  $\pm$  4.32 ppbv) respectively. During the five episodes, the 372 average concentrations of alkanes, alkenes, and aromatics were  $13.97 \pm 9.12$ ,  $3.27 \pm 2.31$ , and 373 374  $4.93 \pm 2.69$  ppby, which were about 53%, 18%, and 50% higher than of the whole observation. The conditional probability function (CPF) is applied to exhibit the relationship between high 375 O<sub>3</sub> concentrations and wind (Figure 3). The detailed description of CPF can be found in 376 377 supplemental information (Text S1). The result suggests that high O<sub>3</sub> concentrations (>131 ppb) was usually observed when the site was influenced by weak south wind. This implies that high 378 O<sub>3</sub> was most likely formed locally. Although this site is far away from urban areas, high levels 379 of NO were found during early morning, which is likely caused by nearby fresh emissions. As 380 for NO<sub>2</sub>, only one peak was found at dusk. This was different from the results in urban areas 381 (Zhang et al., 2019). It is worth noting that NO<sub>2</sub> and O<sub>3</sub> concentrations were high even during 382 nighttime, suggesting that the AOC remained high at nighttime. The daily average isoprene 383 concentrations were  $0.37 \pm 0.36$  ppbv, which is comparable to that observed by Gong et al. 384 385 (2018) at a forested mountaintop site ( $0.287 \pm 0.032$  ppbv). To roughly estimate the influence of isoprene on atmospheric oxidation capability, we adopted the approach given in the study 386 of Zhu et al. (2020a) to calculate the OH reactivity (k<sub>OH</sub>). The result suggested that isoprene, 387 accounting for  $\sim 19\%$  of the total k<sub>OH</sub>, was the most significant VOC specie from the perspective 388 of  $k_{OH}$ , with an average value of 0.89  $\pm$  0.44 s<sup>-1</sup>. This indicates the significant role of isoprene 389 in the photochemistry in suburban area. The average HCHO was  $5.01 \pm 3.80$  ppby, which was 390

 $\sim$ 2 times of that observed at a rural site of Hong Kong (Yang et al., 2020). It is worth noting 391 that HCHO could reach 18.69 ppby at midday. Based on the explicit calculation, the total 392 393 concentration of OVOC was obtained. Due to the complexity of OVOC formation, which could have hundreds of precursors for just one OVOC specie, and the complicated chain reactions 394 converting VOCs to OVOCs, it is difficult to give the accurate relationship between VOCs to 395 396 OVOCs. Since VOCs were mainly oxidized by OH and O<sub>3</sub> during daytime, in this study, we 397 chose multi-linear regression model (given in Eq.(6)) to explore the roughly relationship 398 between VOCs and simulated OVOCs.  $[OVOC] = \beta_0 + \beta_1 [Alkane] + \beta_2 [Alkene] + \beta_3 [Aromatic] + \beta_4 [OH] + \beta_5 [O_3]$ <mark>(6)</mark> where  $\beta_0$ ,  $\beta_1$ ,  $\beta_2$ ,  $\beta_3$ ,  $\beta_4$ , and  $\beta_5$  are the coefficient from linear regression; [OVOC] and [OH] are 399 the simulated concentration of OVOC and OH, respectively; [Alkane], [Alkene], [Aromatic], 400 [O<sub>3</sub>] are the observed concentration of alkanes, alkenes, aromatics, and O<sub>3</sub>, respectively. The 401

- 402 Sig value and statistical reliability criteria (R) was 0.000 and 0.853 (shown in Table S2),
- 403 respectively, indicating that the linear relationship represented by equations (6) is statistically
- 404 reliable. The  $\beta_1$ ,  $\beta_2$ ,  $\beta_3$  was 0.027, 0.623, and 0.820, respectively, suggesting that alkenes and
- 405 aromatics are significant for the simulated OVOC concentration.





### Figure 3. CPF polar plot of O<sub>3</sub> at DSL station.

#### **3.2 Simulated concentrations of radicals**

412 Figure 4 shows the simulated average diurnal variation of major radicals in the base

413 scenario (S0). The average concentrations of OH, HO<sub>2</sub>, RO<sub>2</sub>, and NO<sub>3</sub> were estimated at  $\frac{2.11}{2.11}$ 

 $\times 10^6$ ,  $1.04 \times 10^8$ ,  $0.90 \times 10^9$ , and  $3.49 \times 10^8$  molecules cm<sup>-3</sup>, respectively. The simulated daily

average OH concentration lies between the simulated values during the summer in Beijing (9 415  $\times 10^{6}$  molecules cm<sup>-3</sup>) and the simulated value at a suburban site in Hong Kong in 2013 (1.5 ± 416  $0.2 \times 10^6$  molecules cm<sup>-3</sup>) (Liu et al., 2019; Xue et al., 2016). In addition, the average simulated 417 daytime OH concentration was ~50% lower than that simulated at a forested mountaintop site 418 in southern China (Gong et al., 2018). To verify the performance of OBM model, regional 419 mixing ratios of OH during daytime were also calculated by a parameterization method using 420 measured ethylbenzene and *m*,*p*-xylene ratios (see Text S2). The calculated average regional 421 concentrations of OH (8.39  $\pm$  5.11  $\times 10^{6}$  molecules cm<sup>-3</sup>) was in the same magnitude of the 422 OBM-simulated result (4.59  $\pm$  5.11  $\times 10^{6}$  molecules cm<sup>-3</sup>), suggesting that the OBM-simulated 423 radical concentration is reliable. The maximum HO<sub>2</sub> concentration simulated for DSL site (6.19) 424  $\times 10^8$  molecules cm<sup>-3</sup>) was close to that reported in Beijing (6.8×10<sup>8</sup> molecules cm<sup>-3</sup>) (Liu et 425 al., 2012), but was  $\sim 32\%$  higher than that in Wuhan (4.7×10<sup>8</sup> molecules cm<sup>-3</sup>) (Zhu et al., 426 2020a). Pretty high levels of simulated NO<sub>3</sub> (as high as  $\sim 19 \times 10^8$  molecules cm<sup>-3</sup>) was found 427 during nighttime. The average simulated nocturnal NO<sub>3</sub> concentration was  $\frac{8.80 \times 10^8}{10^8}$  molecule 428 cm<sup>-3</sup>, which was  $\sim 47\%$  higher than that simulated in the study of Gong et al.(2018). As 429 aforementioned, during nighttime, pretty high levels of NO<sub>2</sub> (27.71 ppbv) and O<sub>3</sub> (30.05 ppbv) 430 was observed, which favored the formation of NO<sub>3</sub>. Interestingly, a high level of RO<sub>2</sub> was also 431 found during nighttime. This result is different from the study of Liu et al. (2012), which found 432 the maximum value of RO<sub>2</sub> during daytime. By separating the formation pathways of RO<sub>2</sub>, we 433 found that during nighttime, over 70% RO<sub>2</sub> was produced via the oxidation of VOCs by NO<sub>3</sub> 434 435 radical, suggesting that the nighttime chemistry in the suburban site was also very important. 436



Figure 4. Simulated average diurnal variation of OH, HO<sub>2</sub>, RO<sub>2</sub> and NO<sub>3</sub> in S0 (solid lines) and S1
(dash lines).

#### 440 **3.3 Recycling of ROx radicals**

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441 Figure 5(A) shows the primary sources of ROx in S0 and the detailed daytime budget of 442 ROx. Minor ROx sources, e.g. ozonolysis of alkenes, are not shown. The photolysis of  $O_3$  was the predominant primary source of OH, with a daytime mean production rate of 0.50 ppbv h<sup>-1</sup>, 443 which was comparable to that found by Liu et al. (2012) in Beijing, but was 0.40 ppbv h<sup>-1</sup> lower 444 than the result in the study of Xue et al. (2016). Another important OH source is the photolysis 445 of HONO, contributing 0.32 ppbv h<sup>-1</sup> of daytime OH production in our simulation. This value 446 447 is much lower than the results of Liu et al. (2019) and Xue et al. (2016). Such low value was most likely caused by the excessive constrain on HONO since HONO was not directly 448 monitored during our experiment. Sensitive studies were conducted to quantify the influence 449 different of HONO/NO<sub>2</sub> ratio on radical recycling (Text S3, Figure. S1 and Table S1). As 450 expected, a lower HONO/NO<sub>2</sub> ratio leads to a lower HONO concentration, and subsequent less 451 OH reduce generated from the photolysis of HONO. The sensitive studies show that when 452 HONO/NO<sub>2</sub> ratio is 0.005, the daytime OH level could decrease by 15.28%. Vice versa, a 453 higher HONO/NO<sub>2</sub> (e.g., 0.04) can promote OH concentration by 14.08%. This result indicates 454

that the photolysis of HONO is essential to the generation of OH, and therefore a simultaneous 455 measurement of HONO is highly recommended for the analysis of local radical recycling in 456 the future. As for HO<sub>2</sub>, the photolysis of OVOC (excluding HCHO) is the predominant source 457 with a daytime mean production rate of 0.65 ppby h<sup>-1</sup> and maximum reaching 0.92 ppby h<sup>-1</sup>. 458 which is comparable to Xue et al. (2016). The photolysis of HCHO can also contribute 0.48459 ppbv  $h^{-1}$  to the daytime production of HO<sub>2</sub>, which is close to the results of Xue et al. (2016). 460 As for RO<sub>2</sub>, the photolysis of OVOC was the largest source (0.57 ppbv h<sup>-1</sup>), which was 461 relatively lower than the results found at urban site (Liu et al., 2012). From the ROx perspective, 462 463 the daytime primary radical production in DSL site was dominated by the photolysis of OVOC (except for HCHO), followed by the photolysis of HCHO and O<sub>3</sub>. But the photolysis of HONO 464 can become the overriding ROx source around run rising, which suggests that HONO can be 465 an important OH reservoir species during nighttime. Summing up all the sources of ROx gives 466 a total primary daytime ROx production rate of 2.55 ppby  $h^{-1}$  (0.84 ppby  $h^{-1}$  for OH, 1.14 ppby 467  $h^{-1}$  for HO<sub>2</sub>, and 0.57 ppby  $h^{-1}$  for RO<sub>2</sub>), which was 61~69% lower than those in Beijing (6.6) 468 ppby h<sup>-1</sup>, Liu et al. (2012)) and Hong Kong (8.11 ppby h<sup>-1</sup>, Xue et al. (2016)), indicating that 469 the recycling of  $RO_x$  in Beijing and Hong Kong could be much reactive. 470

ROx radicals are ultimate removed from the atmosphere via deposition of radical reservoir 471 species, e.g. H<sub>2</sub>O<sub>2</sub>, HNO<sub>3</sub>, and ROOH (Liu et al., 2012). The terminate processes of ROx was 472 dominated by their reactions with NOx. Specifically, the reaction of OH+NO<sub>2</sub>, RO<sub>2</sub>+NO<sub>2</sub>, 473 RO<sub>2</sub>+NO, forming HNO<sub>3</sub>, RO<sub>2</sub>NO<sub>2</sub>, and RONO<sub>2</sub>, accounting for 2.42, 0.56, and 0.41 ppbv h<sup>-1</sup> 474 of the ROx radical sink during daytime. This is consistent with the understanding that reactions 475 with NOx usually dominate the radical sink in high NOx environments (Xue et al., 2016; Liu 476 et al., 2012). In addition, RONO<sub>2</sub> and RO<sub>2</sub>NO<sub>2</sub> could in turn react with OH, leading to 0.41477 ppbv h<sup>-1</sup> of daytime OH sinks (Figure 6). Summing up the primary sources and sinks gives a 478

479 negative value of net ROx production, suggesting that the ROx was in a stage of gradual480 depletion.





#### Figure 5. Simulated primary daytime sources and sink of ROx in S0 (A) and S1 (B).

483 The daytime (6:00-18:00) average budget of ROx is shown in Figure 6, with primary sources of  $RO_x$  in red, sinks of  $RO_x$  in blue, and recycling processes in black. In the recycling 484 of ROx, the production of OH was dominated by the reaction of HO<sub>2</sub>+NO ( $\frac{8.29}{100}$  ppbv h<sup>-1</sup>). As 485 for RO<sub>2</sub>, it was produced by the reaction of OH with OVOC ( $\frac{3.02}{9}$  ppby h<sup>-1</sup>), alkyl (RH) ( $\frac{1.21}{1.21}$ 486 ppby  $h^{-1}$ ), and peroxides (0.14 ppby  $h^{-1}$ ). The reaction of RO<sub>2</sub>+NO can result in strong 487 production of RO (3.87 ppbv h<sup>-1</sup>). The reaction of RO and O<sub>2</sub> was the major contributor to 488 HO<sub>2</sub> production, followed by the reaction of OH with CO (1.89 ppby h<sup>-1</sup>), OVOC (1.59 ppby 489  $h^{-1}$ ), and RH (0.15 ppbv  $h^{-1}$ ). It should be noted that the top two fast reactions within the 490 recycling of ROx (HO<sub>2</sub>+NO and RO<sub>2</sub>+NO) were related to NOx. As mentioned in the study 491 of Liu et al. (2012), this result could be mainly due to the abundance of NO (e.g. ~50 ppbv in 492 the morning). Obviously, these recycling processes dominate the total production of OH, HO<sub>2</sub> 493 and RO<sub>2</sub> radicals. As suggested in the study of Xue et al. (2016) and Liu et al. (2012), the 494

- radical propagation is efficient and enhances the effect of the newly produced radicals in the 495
- polluted atmospheres with the co-existence of abundant NOx and VOCs. 496



Figure 6. Summary of daytime (06:00-18:00) average budgets of ROx radicals (in ppby  $h^{-1}$ ). 498 Primary ROx sources and sinks are in red and blue, respectively, and the black lines represent the 499 500

#### processes in RO<sub>x</sub> and NO<sub>x</sub> recycling.

3.4 Formation and sink of O<sub>3</sub> 501

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Figure 7 illustrates the diurnal variation of simulated  $O_3$  formation and sink pathways in 502 S0. Also shown is the simulated average diurnal pattern of  $O_3$  concentration and the net  $O_3$ 503 production rate. In the troposphere, the formation of  $O_3$  is via the reactions of NO with peroxy 504 radicals (e.g. HO<sub>2</sub> and RO<sub>2</sub>) (Liu et al., 2012; Xue et al., 2016; Zhu et al., 2020a). On average, 505 the reaction of HO<sub>2</sub>+NO and RO<sub>2</sub>+NO attributed 5.05 and 4.62 ppbv h<sup>-1</sup> of the production of 506 O<sub>3</sub>. The maximum rate of HO<sub>2</sub>+NO (15.36 ppbv h<sup>-1</sup>) and RO<sub>2</sub>+NO (13.26 ppbv h<sup>-1</sup>) both 507 occurred at 13:00. The total daytime production rate of  $O_3(P(O_3))$  is the sum of HO<sub>2</sub>+NO and 508  $RO_2$ +NO at 17.86 ppbv h<sup>-1</sup>, which lies between that simulated in Beijing (32 ppbv h<sup>-1</sup>, Liu et 509 al. (2012)) and Hong Kong (6.7 ppbv  $h^{-1}$ , Liu et al. (2019)). Due to the fast cycling of both  $O_3$ 510

and NO<sub>2</sub>, the sink of O<sub>3</sub> was due to several reactions leading to the destruction of O<sub>3</sub> and NO<sub>2</sub>. 511 In our cases, the reaction of  $NO_2$ +OH becomes the predominant scavenging pathways of  $O_3$ , 512 with an average daytime reaction rate of 1.89 ppbv  $h^{-1}$  (49%, percentage of the total O<sub>3</sub> sink 513 rate, same below). This is comparable to the study of Liu et al. (2012 and 2019). The reaction 514 of  $RO_2 + NO_2$  was the second contributor to  $O_3$  sink, with a mean contribution of 0.62 ppbv h<sup>-1</sup> 515 (16%). Other pathways, e.g. photolysis of  $O_3$ , ozonolysis of alkenes, and  $O_3$ +HO<sub>2</sub>, together 516 contributed 1.11 ppbv  $h^{-1}$  of the total sink rate of O<sub>3</sub> during daytime. The daytime mean L(O<sub>3</sub>) 517 was 3.87 ppbv  $h^{-1}$ , which was ~22% of P(O<sub>3</sub>), suggesting that O<sub>3</sub> could efficiently accumulate 518 519 during daytime. The net production of  $O_3$  (P(O<sub>3</sub>)-L(O<sub>3</sub>)) is also shown in Figure 7. The maximum O<sub>3</sub> concentration was found at around 16:00, which was also observed in other 520 suburban sites (Zong et al., 2018; Zhang et al., 2019). It is worth noting that, the reaction of 521 alkenes+ $O_3/NO_3$  serves as an important pathway of  $O_3 \frac{1}{1000}$  sink during nighttime (as high as 2.30) 522 ppbv  $h^{-1}$ ). 523



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S1 (B).

#### 527 **3.5 Formation and sink of HCHO**

As aforementioned, high levels of HCHO was observed at DSL. Figure 8 (A) shows the production and sink pathways of HCHO in S0. On average, HCHO formation was dominated by the reaction of  $RO+O_2$ , accounting for ~90% of the total production rate. Further

classification of RO+O<sub>2</sub> pathway suggested that the oxidation of CH<sub>3</sub>O made a significant 531 contribution of ~47%, followed by RO (form isoprene) +  $O_2$  reaction (12%) and RO (from 532 aromatics) +  $O_2$  reaction (~11%). This result is comparable to the study of (Yang et al., 2020; 533 Yang et al., 2018). It is notable that the reaction of RO (from aromatics) +  $O_2$  could become 534 the predominant pathway of HCHO production during nighttime. This could be attributed to 535 the high level of NO<sub>3</sub> during nighttime, by which styrene could be quickly oxidized and 536 537 generate N-containing RO radicals, and furtherly generate HCHO. During daytime, isoprene became the most important VOC specie of HCHO production, with a mean rate of 0.48 ppbv 538 539 h<sup>-1</sup>. As mentioned, this site is surrounded by highly vegetated areas, which can provide abundant biogenic isoprene. During daytime, over 90% of isoprene was oxidized by OH 540 radicals (Figure S4). According to MCMv3.3.1, several RO<sub>2</sub> species (e.g. ISOP34O2, 541 ISOPDO2, ISOPCO2, CISOPAO2, ISOPAO2) can be generated during the OH-initiated 542 degradation process of isoprene (Jenkin et al., 2015). With the present of NO, isoprene-543 originated RO<sub>2</sub> can transfer into RO (e.g. ISOPDO, ISOP34O, ISOPAO). The subsequent 544 degradation processes of isoprene-related RO, especially ISOP34O, ISOPDO, ISOPAO and 545 ISOPBO, are tightly related to the formation of HCHO (Jenkin et al., 2015). Other sources of 546 HCHO, such as the reaction between VOC and  $O_3$ , photolysis of OVOC and the reaction of 547 OVOC+OH only contributed minor amount of the total production rate during whole day. 548

As for HCHO depletion, the photolysis of HCHO and the reaction of HCHO+OH was the two dominate pathways, accounting for ~52% and ~48% of the total depletion rate, respectively. The net HCHO production rate (equals to P(HCHO) + L(HCHO)) was also shown in Figure 8. After sunrise, the net production rate of HCHO raised gradually until 8:00, when it reached the maximum rate (1.6 ppbv h<sup>-1</sup>). This result is comparable to the study of Yang et al. (2018). At around 12:00, the net(HCHO) dropped to ~0 ppbv h<sup>-1</sup>, that was roughly consistent with our observation, which shows that the HCHO peak occurs at around 12:00. Between 13:00 and

14:00, a negative net(HCHO) was found. Although the reaction of RO+O<sub>2</sub> quickly produced 556 HCHO at afternoon, the depletion pathways, especially the photolysis of HCHO, became more 557 competitive, leading to the net reduction of HCHO. This also indicated that strong 558 photochemical reactions do not monotonously profit the accumulation of HCHO, it can also 559 constrain high HCHO levels in certain situations. After 14:00, the photolysis of HCHO dropped 560 rapidly and the net depletion of HCHO back to ~0 ppby  $h^{-1}$  at around 15:00. The daytime net 561 HCHO production rate was 0.70 ppbv h<sup>-1</sup>, which was comparable to result of Yang et al. (2018). 562 The above analysis indicates that the photolysis of OVOC, HCHO, O<sub>3</sub> and HONO was 563 564 the primary source of ROx, which offers high oxidizing environment for the degradation of VOCs. As a typical by-product in the degradation of several VOCs, HCHO can be quickly 565 formatted during daytime. The insight into detailed photochemical processes shows the 566 important role of isoprene in the formation of HCHO. 567



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## Figure 8. Simulated average diurnal profiles of net rate (net (HCHO)), breakdown HCHO production rate and sink rate (ppbv h<sup>-1</sup>) in S0 (A) and S1 (B).

#### 571 **3.6 Impacts of isoprene chemistry on photochemistry**

572 3.6.1 Impact on ROx budget

573 As aforementioned, the degradation of isoprene is tightly related to the cycling of RO*x*.

574 To roughly explain the impact of isoprene chemistry on RO*x* budget, we carried out a parallel

simulation (S1) where isoprene chemistry is disabled (see in Figure 9). The diurnal variation 575 of OH, HO<sub>2</sub>, RO<sub>2</sub> and NO<sub>3</sub> in S1 is also shown in Figure 4 (B) which clearly suggests the 576 decline in ROx and NO<sub>3</sub> without isoprene input. To investigate the underlying causes, we 577 calculated the production rate of ROx (P(ROx)) and loss rate of ROx (L(ROx)) in S1, 578 respectively (Figure 5 (B)). From the comparison, we found most of the reaction rates in P(ROx)579 and L(ROx) showed a decrease trend in S1, suggesting that the absence of isoprene slows down 580 the RO<sub>x</sub> recycling. The photolysis of OVOC (0.67 ppbv h<sup>-1</sup>) is still the predominant primary 581 source of RO<sub>x</sub>. However, without isoprene, the photolysis rate of OVOC decreased by 0.49582 ppbv h<sup>-1</sup>. The total production and depletion rate of OH dropped to  $\frac{6.96}{100}$  and  $\frac{7.51}{100}$  ppbv h<sup>-1</sup>, 583 respectively. Although the absence of isoprene could reduce the consumption of OH, the OH 584 concentration would be reduced by ~16% compared to S0, suggesting that the amount of OH 585 produced via isoprene chemistry is large enough to compensate for the shift from OH to peroxy 586 radicals in the ROx family. As for RO<sub>2</sub>, the daytime production and sink rate falls to 3.25 and 587  $\frac{3.34}{1.34}$  ppby h<sup>-1</sup>, respectively. This means the concentration of RO<sub>2</sub> would be in a stage of gradual 588 decrease. In addition, the absence of isoprene could also reduce  $RO_2$  concentration by ~20%, 589 suggesting that isoprene was an important source of RO<sub>2</sub> at DSL site. As for HO<sub>2</sub>, drastic 590 decrease of ~53% was found in S1. The above-mentioned decrease in ROx obviously could not 591 be explained solely by the remove of isoprene-related radicals. Inspection of the model results 592 shows that OVOC concentrations decreased drastically ( $\sim 41\%$ ) after cutting isoprene (e.g.  $\sim 37\%$ 593 594 decrease in formaldehyde,  $\sim 65\%$  decrease in methylglyoxal,  $\sim 51\%$  decrease in glyoxal,  $\sim 100\%$ decrease in methacrolein (MACR), and ~100% decrease in methyl vinyl ketone (MVK)). The 595 decrease in OVOC can further pull down substantial amount of primary RO<sub>2</sub> and HO<sub>2</sub> (Figure 596 597 6 and Figure 9). It is interesting to note that, subtracting isoprene also cause drop of  $NO_3$ ( $\sim 23\%$ ). This result can be contributed to the decrease of secondary production of O<sub>3</sub> ( $\sim 35\%$ ), 598 which can further reduce the formation of NO<sub>3</sub>, especially during nighttime. 599



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Figure 9. Summary of daytime (06:00-18:00) average budgets of RO<sub>x</sub> radicals (in ppbv h<sup>-1</sup>) in S1.
 Primary RO<sub>x</sub> sources and sinks are in red and blue, respectively, and the black lines represent the

603 **processes in ROx and NOx recycling.** Values in the brackets represent the difference between S1 and S0.

604 3.6.2 Impact on O<sub>3</sub> formation

To investigate the detailed impact of isoprene on  $O_3$  formation, the production and sink 605 pathways of O<sub>3</sub> in S1 was also calculated (see Figure 7 (B)). In S1, the simulated maximum 606 607 and daily average level of  $O_3$  dropped to 84.95 and 41.23 ppbv, respectively, which is ~35% and  $\sim 34\%$  lower than that in S0. Comparisons of S1 and S0 show that the absence of isoprene 608 can reduce all the production and sink pathways of O<sub>3</sub>. For example, the rate of the two major 609 610 production pathways of  $O_3$  (HO<sub>2</sub>+NO and RO<sub>2</sub>+NO) decreased by ~37% and ~45%, respectively. This can be attributed to the drop in the concentration of HO<sub>2</sub> and RO<sub>2</sub> racial in 611 S1. As for the depletion of  $O_3$ , the absence of isoprene caused a decrease of 0.31 ppbv h<sup>-1</sup> in 612 the reaction rate of alkene+O<sub>3</sub>/NO<sub>3</sub>, followed by RO<sub>2</sub>+NO<sub>2</sub> (0.22 ppbv h<sup>-1</sup>) and NO<sub>2</sub>+OH 613

 $(0.265 \text{ ppbv h}^{-1})$ . Apparently, the absence of isoprene will reduce the total concentrations of alkenes and can further leads to the decrease of RO<sub>2</sub> and OH level, which ultimately slows down the depletion pathways of O<sub>3</sub>. Eventually, the absence of isoprene caused a decrease of  $5.78 \text{ ppbv h}^{-1}$  in the daytime mean net production rate of O<sub>3</sub>. Hence, isoprene chemistry plays an important role in the local O<sub>3</sub> formation at DSL site.

619 3.6.3 Impact on HCHO formation

The analysis of S0 revealed the important role of isoprene, aromatics, and alkenes in the 620 production of HCHO. To investigate the chain effect of isoprene chemistry on HCHO 621 production, the major reactions that dominate the formation and depletion of HCHO in S1 were 622 623 also analyzed by OBM model (see Figure 8 (B)). Comparison of S0 and S1 shows that the daily average HCHO decreased by 2.90 ppbv ( $\sim 39\%$ ) when cutting away isoprene chemistry. It is 624 obviously that the drop in HCHO concentration cannot be solely illustrated by the absence of 625 RO (from isoprene). As aforementioned, the absence of isoprene slows down the recycling of 626 RO<sub>x</sub> and can further lead to decrease in RO<sub>x</sub> concentration. According to the result of OBM 627 analysis, the concentration of CH<sub>3</sub>O, RO (from aromatics), RO (from alkanes), and RO (from 628 alkenes) decreased by  $2.70 \times 10^2$  molecule cm<sup>-3</sup>,  $1.59 \times 10^5$  molecule cm<sup>-3</sup>,  $3.35 \times 10^1$  molecule 629 cm<sup>-3</sup>, and 3.44 molecule cm<sup>-3</sup>, respectively. The drop in the HCHO precursor concentrations 630 ultimately lead to decrease in the daytime reaction rate of  $CH_3O + O_2$ , RO (from alkenes) +  $O_2$ , 631 and RO (from aromatics) +  $O_2$  decreased by 0.66 ppbv h<sup>-1</sup> (~36%), 0.06 ppbv h<sup>-1</sup> (~16%), and 632  $\frac{0.06}{0.06}$  ppby h<sup>-1</sup> (~40%), respectively. The total daytime formation rate of HCHO dropped to 1.71 633 ppbv  $h^{-1}$ , which was 1.66 ppbv  $h^{-1}$  (~49%) lower than that in S0. As a result of the lower HCHO 634 and OH concentration in S1, the daily mean depletion rate of HCHO decreased by 1.25 ppby 635  $h^{-1}$  (~49%). Finally, the absence of isoprene pulls down the daily average HCHO level by 636 1.61ppbv (~36%). 637

#### 638 **4. Conclusions**

Our observations at a suburban site of the YRD region from April to June in 2018 captured 639 5 typical local O<sub>3</sub> formation episodes. The detailed atmospheric photochemistry during these 640 episodes were analyzed. Under stagnant condition, the photolysis of OVOC served as the 641 predominant primary ROx sources. ROx achieves efficient recycling with the participation of 642 NOx. Influenced by the fast ROx recycling, local O<sub>3</sub> was efficiently produced and accumulated 643 under stagnant conditions. The reactions of RO radicals with O<sub>2</sub> dominate the photochemical 644 formation of HCHO. The higher atmospheric oxidative capacity lead to fast degradation of 645 VOCs, which can further lead to high levels of HCHO at the DSL site. Specifically, the 646 degradation of RO radicals (e.g. ISOP34O, ISOPDO, ISOPAO and ISOPBO) from isoprene 647 648 oxidation play an important role in the photochemical production of HCHO. To investigate the 649 role of isoprene in RO<sub>x</sub> recycle and the formation of secondary pollutant, a sensitivity scenario without isoprene (S1) input was simulated by OBM model. By comparing S1 to the standard 650 651 simulation (S0), we find that isoprene chemistry is important to local  $RO_x$  recycling. The absence of isoprene can obviously decrease the concentrations of OVOC and the reaction rates 652 in RO<sub>x</sub> propagations, and further reduce the concentrations of radicals (e.g. OH, HO<sub>2</sub>, RO<sub>2</sub>). 653 Our results indicate that the isoprene chemistry can strongly influence the formation of O<sub>3</sub> and 654 HCHO with the present of NO<sub>x</sub>. Removing isoprene can slow down the reaction of HO<sub>2</sub>+NO 655 and RO<sub>2</sub>+NO by  $\sim 37\%$  and  $\sim 45\%$ , respectively, and eventually cause  $\sim 34\%$  decrease of O<sub>3</sub>. 656 As a result of lower  $O_3$  concentration, average concentration of NO<sub>3</sub> dropped by  $\frac{23}{9}$  in S1. 657 The absence of isoprene can lead to decrease of RO (from isoprene) and RO<sub>x</sub> concentration 658 and cause an obvious drop of HCHO formation ( $\sim 49\%$ ). Overall, this study underlines the 659 significant role of isoprene chemistry in radical chemistry, photochemical reactions, and 660 secondary pollutant formation in the atmosphere of the YRD region and provides insights into 661 secondary pollution and its formation mechanisms. 662

- *Data availability*. The data that support the results are available from the corresponding author 665 upon request.
- *Authorship contribution.* Kun Zhang: Formal analysis, Methodology, Writing-original draft.
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  Yangjun Wang: Formal analysis. Qingyan Fu: Formal analysis. Li Li: Conceptualization,
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*Competing interest.* The authors declare that they have no known competing financial interests
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 675

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