

1 **Measurement report: PM<sub>2.5</sub>-bound nitrated aromatic compounds in Xi'an,**  
2 **Northwest China: Seasonal variations and contributions to optical properties of**  
3 **brown carbon**

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28 **Abstract**

29 Nitrated aromatic compounds (NACs) are a group of key chromophores for brown  
30 carbon aerosol (light absorbing organic carbon, i.e., BrC), which affects radiative forcing. The  
31 chemical composition and sources of NACs and their contributions to BrC absorption,  
32 however, are still not well understood. In this study, PM<sub>2.5</sub>-bound NACs in Xi'an, Northwest  
33 China, were investigated for 112 daily PM<sub>2.5</sub> filter samples from 2015 to 2016. Both the total  
34 concentrations and contributions from individual species of NACs show distinct seasonal  
35 variations. The seasonally averaged concentrations of NACs are 2.1 (spring), 1.1 (summer),  
36 12.9 (fall), and 56.3 ng m<sup>-3</sup> (winter). Thereinto, 4-nitrophenol is the major NAC component in  
37 spring (58%). The concentrations of 5-nitrosalicylic acid and 4-nitrophenol dominate in  
38 summer (70%), and the concentrations of 4-nitrocatechol and 4-nitrophenol dominate in fall  
39 (58%) and winter (55%). The NAC species show different seasonal patterns in concentrations,  
40 indicating differences in emissions and formation pathways. Source apportionment results  
41 using positive matrix factorization (PMF) further show large seasonal differences in the  
42 sources of NACs. Specifically, in summer, NACs were highly influenced by secondary  
43 formation and vehicle emissions (~80%), while in winter, biomass burning and coal  
44 combustion contributed the most (~75%). Furthermore, the light absorption contributions of  
45 NACs to BrC are wavelength dependent and vary greatly by seasons, with maximum  
46 contributions at ~330 nm in winter and fall and ~320 nm in summer and spring. The  
47 differences in the contribution to light absorption are associated with the higher mass  
48 fractions of 4-nitrocatechol ( $\lambda_{\max}=345$  nm) and 4-nitrophenol ( $\lambda_{\max}=310$  nm) in fall and winter,  
49 4-nitrophenol in spring, and 5-nitrosalicylic acid ( $\lambda_{\max}=315$  nm) and 4-nitrophenol in summer.  
50 The mean contributions of NACs to BrC light absorption at the wavelength of 365 nm in  
51 different seasons are 0.14% (spring), 0.09% (summer), 0.36% (fall) and 0.91% (winter),  
52 which are about 6-9 times higher than their mass fractional contributions of carbon in total  
53 organic carbon. Our results indicate that the composition and sources of NACs have profound  
54 impacts on the BrC light absorption.

56 **1 Introduction**

57 Brown carbon (BrC) aerosol has received growing attention over the past years, because  
58 it can affect the atmospheric radiation balance and air quality through absorption of solar  
59 radiation in the near ultraviolet and visible range (Feng et al., 2013; Laskin et al., 2015;  
60 Zhang et al., 2017; Ma et al., 2018; Ma et al., 2019). Nitrated aromatic compounds (NACs)  
61 belong to a major group of BrC chromophores. They are ubiquitous in the atmosphere and  
62 have been detected in cloud water (Desyaterik et al., 2013), rainwater (Schummer et al., 2009),  
63 fog water (Richartz et al., 1990), snow water (Vanni et al., 2001), as well as in gas and  
64 particle phases (Cecinato et al., 2005; Zhang et al., 2013; Chow et al., 2015; Al-Naiema and  
65 Stone, 2017). Field studies have shown that ~4% of BrC light absorption at 370 nm is  
66 contributed by ~~the~~ these measured NACs (Zhang et al., 2013; Mohr et al., 2013; Teich et al.,  
67 2017; X. Li et al., 2020). For example, Zhang et al. (2013) estimated the contribution of  
68 NACs to BrC light absorption of ~4% in the Los Angeles Basin. Mohr et al. (2013) calculated  
69 the contribution of NACs to BrC light absorption of about 4% in Detling, United Kingdom.  
70 Teich et al. (2017) investigated the contribution of NACs to BrC light absorption during six  
71 campaigns of 0.02-4.41% for acidic conditions and 0.02-9.86% for alkaline conditions. X. Li  
72 et al. (2020) estimated the contribution of NACs to BrC light absorption in Beijing of  
73 0.28-3.44% in fall and 1.03-6.49% in winter. In addition, with molecular structures commonly  
74 containing nitro (-NO<sub>2</sub>) and hydroxyl (-OH) functional groups on the aromatic ring, NACs are  
75 harmful to human health (Taneda et al., 2004). For example, NACs can interact with DNA  
76 and cause mutagenesis (Purohit and Basu, 2000; Ju and Parales, 2010). NACs can also  
77 damage cells, resulting in cell degeneration and canceration (Kovacic and Somanathan, 2014).  
78 There is also evidence that NACs affect plant growth and contributed to forest decline (Hinkel  
79 et al., 1989; Natangelo et al., 1999). The significant role of NACs in the atmosphere and their  
80 adverse effects on ecosystems call for studies to investigate their sources and characteristics.

81 NACs in atmospheric aerosol can be derived from primary emissions, including biomass  
82 burning (Wang et al., 2017; Teich et al., 2017; Lin et al., 2018), coal combustion (Olson et al.,  
83 2015; Lu et al., 2019a), and vehicle exhausts (Taneda et al., 2004; Inomata et al., 2013;  
84 Perrone et al., 2014; Lu et al., 2019b). The emission factors of NACs from biomass burning  
85 can be over 10 mg kg<sup>-1</sup> (Wang et al., 2017), which makes them good tracers of biomass

86 burning organic aerosol (BBOA) (Hoffmann et al., 2007; Iinuma et al., 2010). Lu et al.  
87 (2019a) determined that the emission factors of fine particulate NACs for residential coal  
88 combustion ~~were~~ was 0.2-10.1 mg kg<sup>-1</sup> and the total NAC emission from residential coal  
89 burning was nearly 200 Mg in China in 2016. NACs from vehicle exhaust also have been  
90 detected, with emission factors of up to 26.7 µg km<sup>-1</sup> (Lu et al., 2019b). Secondary formation  
91 from various atmospheric reactions is also an important source of NACs. For example,  
92 photochemical oxidation of benzene, toluene (Wang et al., 2019), and *m*-cresol (Iinuma et al.,  
93 2010) can form certain NACs. NACs can also form in aerosol or cloud water through  
94 aqueous-phase reactions (Vione et al., 2001, 2005), for example, photonitration of guaiacol in  
95 the aqueous phase (Kitanovski et al., 2014). However, little is known about the importance of  
96 primary versus secondary sources for particle-bound NACs because speciation of NACs and  
97 quantification of their sources are still very limited so far.

98 Speciation of particle-bound NACs was mostly performed in Europe (Cecinato et al.,  
99 2005; Iinuma et al., 2010; Delhomme et al., 2010; Mohr et al., 2013; Kahnt et al., 2013), and  
100 ~~is~~ still very scarce in Asia (Chow et al., 2015; Wang et al., 2018; Ikemori et al., 2019). In  
101 general, the average concentrations of measured NACs vary from less than one to dozens of  
102 ng m<sup>-3</sup> in different seasons and regions. As far as we know, only one study has quantified the  
103 sources of NACs with a positive matrix factorization (PMF) receptor model (Wang et al.,  
104 2018). Here, we carried out chemical analyses together with light absorption for PM<sub>2.5</sub>  
105 samples collected in Xi'an to: 1) investigate the seasonal variations in the concentration of  
106 NACs and contributions of individual species; 2) quantify the sources of NACs in different  
107 seasons based on ~~the~~ PMF model; and 3) evaluate the optical properties of NACs and their  
108 contributions to BrC light absorption.

## 109 **2 Experiments and methods**

### 110 **2.1 Aerosol sampling**

111 24 h-integrated PM<sub>2.5</sub> samples were collected in four seasons from November 2015 to  
112 November 2016 (i.e., from 30 November to 31 December 2015 for winter; 19 April to 19 May  
113 2016 for spring; 1 to 31 July 2016 for summer; and 9 October to 15 November 2016 for fall)

114 in the campus of the Institute of Earth Environment, Chinese Academy of Sciences (IEECAS,  
115 34.22°N, 109.01°E) in Xi'an, China. The sampling site is an urban background site  
116 surrounded by residential areas and has no obvious industrial activities. A total of 112 samples  
117 were collected on pre-baked (780 °C, 3 h) quartz-fiber filters (20.3 × 25.4 cm, Whatman,  
118 QM-A, Clifton, NJ, USA) by a Hi-Vol PM<sub>2.5</sub> sampler (Tisch, Cleveland, OH) operating at  
119 1.05 m<sup>3</sup> min<sup>-1</sup>. The filter samples were stored at -20 °C until laboratory analysis.

120 **2.2 Chemical analysis**

121 The concentration of organic carbon (OC) was measured by a Thermal/Optical Carbon  
122 Analyzer (DRI, Model 2001, Atmoslytic Inc., Calabasas, CA, USA) with the IMPROVE-A  
123 protocol (Chow et al., 2011). Ten NACs and 19 organic markers (see Table S1) were  
124 quantified by a gas chromatograph-mass spectrometer (GC-MS) using a well-established  
125 approach (e.g., Wang et al., 2006; Al-Naiema and Stone, 2017) and the details are described in  
126 Yuan et al., (2020). At least one blank filter sample was analyzed~~measured~~ for every ten  
127 ambient samples. Baseline separation with symmetrical peak shapes was achieved for the  
128 measured NACs (Fig. 1). The linear ranges, instrument detection limit (IDL), instrument  
129 quantitation limit (IQL), extraction efficiency, and regression coefficients for the measured  
130 NACs are shown in Table S2. The response of calibration curves for the NACs was linear ( $R^2$   
131  $\geq 0.995$ ) from 10 to 5000  $\mu\text{g L}^{-1}$ . The IDL ranged from 2  $\mu\text{g L}^{-1}$  to 20  $\mu\text{g L}^{-1}$  except for  
132 5-nitrosalicylic acid (5352.6  $\mu\text{g L}^{-1}$ ). The IQL ranged from below 10  $\mu\text{g L}^{-1}$  to 70  $\mu\text{g L}^{-1}$   
133 except for 5-nitrosalicylic acid ( $> 100 \mu\text{g L}^{-1}$ ). The IDL and IQL are comparable to those in  
134 Al-Naiema and Stone (2017) (2.7-14.9  $\mu\text{g L}^{-1}$  for IDL and 8.8-5049.5  $\mu\text{g L}^{-1}$  for IQL) and are  
135 sufficient for the quantification of our samples.

136 **2.3 Light absorption of NACs**

137 AThe UV-Vis spectrophotometer equipped with a Liquid Waveguide Capillary Cell  
138 (LWCC-3100, World Precision Instrument, Sarasota, FL, USA) was used to measure the light  
139 absorption of methanol-soluble BrC and NAC standards, following the method established by  
140 Hecobian et al. (2010). The absorption coefficient ( $\text{Abs}_{\lambda}$ :  $\text{M m}^{-1}$ ) can be obtained from the  
141 measured absorption data by equation (1):

142 
$$Abs_{\lambda} = (A_{\lambda} - A_{700}) \frac{V_l}{V_a \times L} \ln(10) \quad (1)$$

143 where  $A_{700}$  is the absorption at 700 nm used to correct for baseline drift,  $V_l$  is the volume of  
 144 methanol used for extracting the filter,  $V_a$  is the volume of sampled air,  $L$  is 0.94 m for the  
 145 optical path length used in the LWCC, and  $\ln(10)$  is used to convert the absorption coefficient  
 146 from log base-10 to natural logarithm.

147 The mass absorption efficiency (MAE:  $\text{m}^2 \text{ g}^{-1}$ ) of NAC standards in the methanol solvent  
 148 at wavelength of  $\lambda$  can be calculated as Laskin et al. (2015):

149 
$$MAE_{NAC,\lambda} = \frac{A_{\lambda} - A_{700}}{L \times C} \ln(10) \quad (2)$$

150 where  $C$  ( $\mu\text{g mL}^{-1}$ ) is the concentration of the NAC standards in the methanol solvent.

151 The light absorption contribution of NACs to BrC at wavelength of  $\lambda$  ( $Cont_{NAC/BrC,\lambda}$ ) can  
 152 be obtained using equation (3).

153 
$$Cont_{NAC/BrC,\lambda} = \frac{MAE_{NAC,\lambda} \times C_{NAC}}{Abs_{BrC,\lambda}} \quad (3)$$

154 where the  $C_{NAC}$  ( $\mu\text{g m}^{-3}$ ) is the atmospheric concentration of NACs and the  $Abs_{BrC,\lambda}$  is the Abs  
 155 of BrC at wavelength of  $\lambda$ .

156 **2.4 Source apportionment**

157 The sources of the NACs ~~were~~ was resolved by the PMF receptor model, which was  
 158 performed by the multilinear engine (ME-2; Paatero, 1997) through the Source Finder (SoFi)  
 159 interface encoded in Igor Wavemetrics (Canonaco et al., 2013). The input species include five  
 160 to ten NACs (as the number of NACs detected varies among seasons) and nineteen additional  
 161 organic tracer species (see Table S1), with uncertainties (RSD) < 10%. These include phthalic  
 162 acid for secondary formation, picene for coal combustion, hopanes for vehicle emission,  
 163 fluoranthene, pyrene, chrysene, benzo(a)pyrene, benzo(a)anthracene, benzo(k)fluoranthene,  
 164 benzo(b)fluoranthene, benzo(ghi)perylene, and indeno[1,2,3-cd]pyrene for combustion  
 165 emission, and vanillin, vanillic acid, syringyl acetone, and levoglucosan for biomass burning.  
 166 To separate the source profiles clearly, the contribution of those markers unrelated to a certain  
 167 source was set to 0 in the respective source profile (see Table S3).

168 To better understand the source origins of the NACs, air mass origins during the  
 169 sampling period were derived from backward-trajectory analysis. This method was used in

170 trajectory clustering based on the GIS-based software-TrajStat (Wang et al., 2009). The  
171 archived meteorological data was obtained from the National Center for Environmental  
172 Prediction's Global Data Assimilation System (GDAS). According to the lifetimes of [the](#)  
173 different secondary species (Wojcik and Chang, 1997; Chow et al., 2015), in this study, 72-h  
174 backward trajectories terminated at a height of 500 m above ground level were calculated  
175 during the study period. The trajectories were calculated every 12 h with [start](#)ing times at  
176 09:00 and 21:00 local time.

177 **3 Results and discussion**

178 **3.1 Seasonal variations of NAC composition**

179 The concentrations of [the](#) NACs show clear seasonal differences, with the highest mean  
180 values in winter, followed by fall, spring, and summer (see Fig. 2). The concentration ranges  
181 of total NACs were 1.4-3.4 ng m<sup>-3</sup> (spring), 0.1-3.8 ng m<sup>-3</sup> (summer), 1.6-44.2 ng m<sup>-3</sup> (fall),  
182 and 20.2-127.4 ng m<sup>-3</sup> (winter). The average concentrations were  $2.1 \pm 0.6$  ng m<sup>-3</sup>,  $1.1 \pm 0.8$   
183 ng m<sup>-3</sup>,  $12.9 \pm 11.6$  ng m<sup>-3</sup> and  $56.3 \pm 23.2$  ng m<sup>-3</sup>, respectively (see Table S4). Nitrophenols  
184 (4-nitrophenol, 2-methyl-4-nitrophenol, 3-methyl-4-nitrophenol, 2,6-dimethyl-4-nitrophenol)  
185 and nitrocatechols (4-nitrocatechol, 3-methyl-5-nitrocatechol, 4-methyl-5-nitrocatechol) show  
186 the highest concentrations in winter and the lowest in summer, while nitrosalicylic acids  
187 (3-nitrosalicylic acid, 5-nitrosalicylic acid) show the highest concentrations in winter and the  
188 lowest in spring. The average ratios between wintertime and summertime concentrations are a  
189 factor of about 40 for nitrophenols, 175 for nitrocatechols, and 21 for nitrosalicylic acids. The  
190 large seasonal differences in NAC concentrations might be due to the differences in sources,  
191 emission strength and atmospheric formation processes, as discussed below. Table 1  
192 summarizes the NAC concentrations measured in this study together with those measured in  
193 Europe, the USA and other places in Asia. In general, the NAC concentrations in winter are  
194 higher than those in summer, and the observed concentrations of different species are higher  
195 in Asia than in Europe and the USA. The only exception is a study in Ljubljana, Slovenia,  
196 which shows that in winter nitrocatechol concentrations are higher than those in Asia, likely  
197 due to strong biomass burning activities (Kitanovski et al., 2012). The elevated concentrations

198 of NACs in Asia suggest that NACs may have a significant impact on regional climate and air  
199 quality in Asia due to ~~theirs~~ optical and chemical characteristics, as discussed below.

200 Among all measured NACs, 4-nitrophenol, 2-methyl-4-nitrophenol,  
201 3-methyl-4-nitrophenol, 4-nitrocatechol and 5-nitrosalicylic acid were detected in four  
202 seasons, 3-methyl-5-nitrocatechol and 4-methyl-5-nitrocatechol in fall and winter,  
203 2,6-dimethyl-4-nitrophenol, 3-nitrosalicylic acid and 4-nitro-1-naphthol only in winter, as  
204 shown in Fig. 3a. In general, 4-nitrophenol and 4-nitrocatechol had elevated concentrations in  
205 all seasons, which is consistent with other observations (Chow et al., 2015; Ikemori et al.,  
206 2019) and might be related to their larger emissions or formation and longer atmospheric  
207 lifetime than other NACs (Harrison et al., 2005; Chow et al., 2015; Finewax et al., 2018;  
208 Wang et al., 2019; Lu et al., 2019a). For example, Lu et al. (2019a) measured the emission of  
209 NACs from coal combustion and found~~ed~~ that the emission factors of 4-nitrocatechol  
210 ~~were~~was about 1.5-6 times higher than ~~those of~~ other NAC~~s~~. Wang et al. (2019) quantified the  
211 concentrations~~s~~ of 4-nitrophenol and 4-nitrocatechol formed under high NO<sub>x</sub> and  
212 anthropogenic VOC conditions, and found that they are~~which is~~ about 3-7 times higher than  
213 ~~those of~~ other NAC~~s~~. The concentration of 2-methyl-4-nitrophenol was higher than that of  
214 3-methyl-4-nitrophenol in all seasons, which is similar to previous studies (Kitanovski et al.,  
215 2012; Chow et al., 2015; Teich et al., 2017; Ikemori et al., 2019) and likely due to the efficient  
216 formation of 2-methyl-4-nitrophenol from photochemical oxidation of volatile organic  
217 compounds (VOCs) in the presence of NO<sub>2</sub> (Lin et al., 2015; Wang et al., 2019). It should be  
218 noted that the contribution of 5-nitrosalicylic acid (27%) to total NAC mass in summer is  
219 much higher than ~~that~~ in other seasons (4%-13%), suggesting that 5-nitrosalicylic acid is  
220 mainly produced by secondary formation, for example, through nitration of salicylic acid (M.  
221 Li et al., 2020) and, photochemical oxidation of toluene in the presence of NO<sub>x</sub> (Jang and  
222 Kamens, 2001; Wang et al., 2018).

223 **3.2 Sources of NACs**

224 Correlation analysis was conducted among ~~the~~ NACs measured in this study (Table S5).  
225 The four nitrophenols were positively correlated with each other ( $r^2 = 0.52-0.98$ ) and the three  
226 nitrocatechols were also highly correlated with each other ( $r^2 = 0.94-0.96$ ), indicating that ~~the~~

227 different nitrophenols and nitrocatechols might have similar sources or origins. Previous  
228 studies showed that 4-nitrophenol was mainly from primary emission of biomass burning  
229 (Wang et al., 2017), and 3-methyl-5-nitrocatechol and 4-methyl-5-nitrocatechol were  
230 identified as secondary products from biomass burning (Inuma et al., 2010). Positive  
231 correlations were also observed between nitrophenols and nitrocatechols ( $r^2 = 0.59-0.90$ ),  
232 suggesting that they were partly of similar sources or formation processes. For example, both  
233 nitrophenols and nitrocatechols can be emitted through biomass burning (Wang et al., 2017)  
234 and coal combustion (Lu et al., 2019a) and can be formed by photochemical oxidation of  
235 VOCs in the presence of  $\text{NO}_2$  (Wang et al., 2019). However, for nitrosalicylic acids, the  
236 correlation between 3-nitrosalicylic acid and 5-nitrosalicylic acid was weak ( $r^2 = 0.29$ ). This  
237 is because 5-nitrosalicylic acid is mainly from secondary formation by nitration of salicylic  
238 acids, while 3-nitrosalicylic acid is mainly from combustion emission (Wang et al., 2017; M.  
239 Li et al., 2020). The correlations ~~of between~~ nitrosalicylic acids with nitrophenols ( $r^2 =$   
240  $0.01-0.13$ ) and with nitrocatechols ( $r^2 = 0.04-0.25$ ) were also weak, suggesting that they may  
241 have different sources or formation processes. Nitrosalicylic acids were dominated by  
242 5-nitrosalicylic acids, which ~~are is~~ mainly from secondary formation (Andreozzi et al., 2006;  
243 Wang et al., 2018). On the other hand, nitrophenols and nitrocatechols were dominated by  
244 4-nitrophenol and 4-nitrocatechol, respectively, which are mainly from primary emissions  
245 (Wang et al., 2017; Lu et al., 2019a).

246 To identify and quantify the sources of ~~the~~ NACs observed in Xi'an, the PMF model was  
247 employed and four major factors were resolved with uncertainties  $< 15\%$ . The factor profiles  
248 are shown in Fig. S1. The first factor, vehicle emission, characterized by high levels of  
249 hopanes, shows large relative contributions to NACs in spring and summer. Direct traffic  
250 emissions of NACs have also been verified in laboratory studies (Tremp et al., 1993; Perrone  
251 et al., 2014). The second factor is considered to be coal combustion for residential heating and  
252 cooking, which is characterized ~~by with~~ the higher loadings of picene, benzo(a)pyrene,  
253 benzo(b)fluoranthene, benzo(k)fluoranthene, indeno[1,2,3-cd]pyrene, and  
254 benzo(ghi)perylene. This factor accounted for  $\sim 40\%$  of the NACs in winter. The emission of  
255 NACs from coal combustion for residential usage was reported by Lu et al. (2019a), which

256 showed emission factors of 0.2 to 10.1 mg kg<sup>-1</sup>. It is worth noting that with the emission  
257 control of residential coal burning after 2017, the contribution feature of coal burning to  
258 NACs could be different. The third source is identified as secondary formation because of the  
259 highest level of phthalic acid and its highest contribution in summer. The formation of  
260 secondary NACs is also supported by both field and modeling studies (Harrison et al., 2005;  
261 Iinuma et al., 2010; Yuan et al., 2016). The last source factor, with high loadings of  
262 levoglucosan, vanillic acid, vanillin and syringyl acetone, was identified as biomass burning,  
263 which has higher contributions in fall and winter. The emission of NACs from biomass  
264 burning was reported by field studies, and was considered to be an important source of NACs  
265 (Mohr et al., 2013; Lin et al., 2016; Teich et al., 2017).

266 The sources contributions for NACs in Xi'an are shown in Fig. 4, which shows obvious  
267 seasonal differences. In spring, vehicular emission (41%) was the main contributor to NACs.  
268 Secondary formation (26%) and biomass burning (20%) also contributed significantly. In  
269 summer, secondary formation had the highest contribution (45%), which was likely due to  
270 enhanced photochemical oxidation leading to the formation of NACs. Besides, vehicular  
271 emission also contributed significantly (34%) in summer. In fall, biomass burning (45%)  
272 contributed the most, while secondary formation (30%) and vehicular emission (23%) also  
273 had significant contributions. In winter, coal burning (39%) and biomass burning (36%) were  
274 the main contributors, which can be attributed to emissions from residential heating activities.  
275 It is worth noting that the absolute concentrations of NACs attributed by vehicle emission  
276 (see Table S6) were higher in winter than those in spring and summer, yet these differences of  
277 less than 20 times are not as significant as the differences (spring and summer vs. winter) for  
278 NACs attributed by other primary emissions (> 80 times for coal burning and > 40 for  
279 biomass burning). These results indicate that anthropogenic primary sources are the main  
280 contributors to NACs in Xi'an, suggesting that control of anthropogenic emissions (biomass  
281 burning and coal burning) is important for mitigating pollution of NACs in this region.  
282 Secondary formation also contributes significantly to NACs, especially in summer. Further  
283 comprehensive field studies are necessary for understanding the formation mechanisms of  
284 NACs under different atmospheric conditions.

285    **3.3 Backward trajectory analysis of NACs**

286    To reveal the source origins of the NACs, the concentrations of [the](#) NACs were grouped  
287    according to their trajectory clusters that represent different air mass origins, as shown in Fig.  
288    5. In general, the air masses from local emissions (Cluster 1 in spring and fall and Cluster 2 in  
289    summer and winter), which showed the features of small-scale and short-distance air transport,  
290    caused significant increases in NAC concentrations. As for regional transport, the air masses  
291    from the neighboring Gansu province across Baoji city before arriving at Xi'an presented  
292    higher concentrations of NACs in fall and winter (Cluster 2 and Cluster 3, respectively). In  
293    addition, air masses from Xinjiang across Gansu caused increased concentrations of NACs in  
294    spring and summer (Cluster 2 and Cluster 1, respectively). A small proportion of air masses  
295    from the northwest (Cluster 3 in spring and Cluster 1 in winter), the south (Cluster 3 in  
296    summer) and the west (Cluster 3 in fall), which showed long or moderate transport patterns,  
297    are related to the lowest concentrations of NACs. This may be due to the long-distance  
298    transport or relatively clean air from those regions. In the same season, the source origins of  
299    air masses were different between clusters, thus causing the difference in concentrations of  
300    NACs. However, the composition of NACs was similar between clusters, which is  
301    comparable to the results of Chow et al. (2015).

302    **3.4 Light absorption of NACs**

303    The correlations between NAC concentration and  $\text{Abs}_{\text{BrC},365}$  for each season are shown in  
304    Fig. S2. The correlations are stronger in fall ( $r^2 = 0.68$ ) and winter ( $r^2 = 0.63$ ) compared to  
305    those in spring ( $r^2 = 0.15$ ) and summer ( $r^2 = 0.40$ ). These results indicate that NACs are  
306    important components of BrC chromophores in fall and winter.

307    Fig. 6 shows the contributions of NACs to BrC light absorption at wavelength from 300  
308    to 500 nm ( $\text{Abs}_{\text{BrC},300-500}$ ) as well as the carbon mass contributions of NACs to OC. The  
309    contributions of NACs to  $\text{Abs}_{\text{BrC},300-500}$  are wavelength dependent and vary largely in different  
310    seasons. High contributions at wavelengths of 350-400 nm were observed in fall and winter,  
311    but the contributions in spring and summer were mainly at wavelengths shorter than 350 nm.  
312    These results may be due to the high proportion of nitrocatechols in fall and winter (see

313 discussion above), which have strong light absorption at wavelength above 350 nm (see Fig.  
314 S3). The seasonal average contributions of NACs to  $\text{Abs}_{\text{BrC},365}$  were highest in winter ( $0.91 \pm$   
315  $0.30\%$ ), followed by fall ( $0.36 \pm 0.22\%$ ), spring ( $0.14 \pm 0.04\%$ ), and summer ( $0.09 \pm 0.06\%$ )  
316 (see Table S4). These contributions ~~are~~were comparable to a previous study where eight  
317 NACs were measured (Teich et al., 2017). The contributions of NACs to  $\text{Abs}_{\text{BrC},365}$  in winter  
318 were about 10 times higher compared to those in summer, which could be due to the high  
319 emissions of NACs in winter. Alternatively, enhanced atmospheric oxidizing capacity in the  
320 summer can lead to enhanced formation of secondary NACs or the degradation/bleaching of  
321 certain NACs (Barsotti et al., 2017; Hems and Abbatt, 2018; Wang et al., 2019) which might  
322 eventually reduce the contributions in summer. The fractions of NACs to total OC also show  
323 obvious seasonal variation, with average contributions higher in winter ( $0.14 \pm 0.05\%$ ) and  
324 fall ( $0.05 \pm 0.02\%$ ) and lower in spring ( $0.02 \pm 0.01\%$ ) and summer ( $0.01 \pm 0.01\%$ ). The  
325 contributions of NACs to BrC light absorption at 365 nm are, however, 6-9 times larger than  
326 their carbon mass contributions to total OC. Our results echo previous studies that even small  
327 amounts of chromophores can have a non-negligible impact on the optical characteristics of  
328 BrC due to their disproportional absorption contributions (Mohr et al., 2013; Zhang et al.,  
329 2013; Teich et al., 2017; Xie et al., 2017).

330 The daily contributions of the individual NACs to light absorption of total NACs at  
331 wavelength of 300-500 nm are shown in Fig. 7. Similar to the concentration fractions in  
332 NACs, nitrocatechols were the main contributors in winter and fall with contributions of  
333 38-65% and 18-62%, respectively. On the other hand, nitrophenols dominated in spring and  
334 summer with contributions of 61-96% and 27-100%, respectively. As for nitrophenols,  
335 4-nitrophenol was the most important chromophore, followed by 2-methyl-4-nitrophenol,  
336 3-methyl-4-nitrophenol, and 2,6-dimethyl-4-nitrophenol (only observed in winter). As for  
337 nitrocatechols, 4-nitrocatechol was the main contributor in all four seasons, while  
338 3-methyl-5-nitrocatechol and 4-methyl-5-nitrocatechol also contributed significantly in fall  
339 and winter. For nitrosalicylic acids, 5-nitrosalicylic acid contributed in all four seasons but  
340 contributed the most in summer, while 3-nitrosalicylic acid was only observed in winter,  
341 which could be attributed to their different sources, as discussed above.

342 The seasonal contributions of individual NACs to total light absorption of NACs at  
343 wavelength of 365 nm are shown in Fig. 3b. The relative contribution trends of  
344 4-nitrophenol > 4-nitrocatechol > 2-methyl-4-nitrophenol > 5-nitrosalicylic acid >  
345 3-methyl-4-nitrophenol, 4-nitrophenol > 4-nitrocatechol > 5-nitrosalicylic acid >  
346 2-methyl-4-nitrophenol > 3-methyl-4-nitrophenol, 4-nitrocatechol > 4-nitrophenol >  
347 4-methyl-5-nitrocatechol > 3-methyl-5-nitrocatechol > 5-nitrosalicylic acid >  
348 2-methyl-4-nitrophenol > 3-methyl-4-nitrophenol, and 4-nitrocatechol >  
349 4-methyl-5-nitrocatechol > 4-nitrophenol > 3-methyl-5-nitrocatechol >  
350 2-methyl-4-nitrophenol > 4-nitro-1-naphthol > 5-nitrosalicylic acid >  
351 3-methyl-4-nitrophenol > 3-nitrosalicylic acid > 2,6-dimethyl-4-nitrophenol were observed in  
352 spring, summer, fall and winter, respectively. These trends are were different from their  
353 concentration fractions in OC, which may be mainly due to the differences in light absorption  
354 ability (see Fig. S3). For example, 4-nitrocatechol has lower mass concentration, but higher  
355 light absorption contribution, compared to 4-nitrophenol. These results suggest that mere  
356 compositional information of NACs might not be directly translated into impacts on optical  
357 property, because they have startlingly different absorption properties.

#### 358 **4 Conclusions**

359 In this study, ten individual NAC species were quantified, together with 19 organic  
360 markers, in PM<sub>2.5</sub> in Xi'an, Northwest China. The average concentrations of the NACs were  
361 2.1, 1.1, 12.9, and 56.3 ng m<sup>-3</sup> in spring, summer, fall, and winter, respectively. Higher  
362 concentrations of NACs in winter than in summer were also observed in previous studies in  
363 Asia, Europe and the USA. Four major sources of NACs were identified in Xi'an based on  
364 PMF analysis, including vehicle emission, coal combustion, secondary formation and biomass  
365 burning. On average, in spring, vehicular emission (41%) was the main contributor of NACs,  
366 and secondary formation (26%) and biomass burning (20%) also had relatively large  
367 contributions. In summer, secondary formation contributed the most (45%), which was likely  
368 due to the enhanced photochemical formation of secondary NACs that outweighs  
369 photo-degradation/bleaching. Besides, vehicular emission (34%) also had a significantly  
370 contribution in summer. In fall, biomass burning (45%) contributed the most, and secondary

371 formation (30%) and vehicular emission (23%) also made significant contributions. In winter,  
372 coal burning (39%) and biomass burning (36%) contributed the most, which can be attributed  
373 to emissions from residential heating activities. Backward trajectory cluster analyses indicate  
374 that both regional and local contributions for NACs were significant in Xi'an. Local  
375 contributions were 53, 47, 66 and 44% in the four seasons, and regional transport was mainly  
376 through the northwest transport channel. The light absorption contributions of NACs to BrC  
377 were quantified and also showed large seasonal variations. The seasonal average contributions  
378 of total NACs to BrC light absorption at wavelength of 365 nm ranged from 0.1% to 0.9%,  
379 which were 6-9 times higher than their carbon mass fractions in total OC. Our results suggest  
380 that even a small amount of chromophores can have significant impacts on the optical  
381 characteristics of BrC. ~~and more~~ More studies are needed to better understand the seasonal  
382 differences in chemical composition and formation processes of NACs and the link with their  
383 optical properties.

384

385 *Data availability.* Raw data used in this study are archived at the Institute of Earth  
386 Environment, Chinese Academy of Sciences, and are available on request by contacting the  
387 corresponding author.

388 *Supplement.* The Supplement related to this article is available online at

389 *Author contributions.* RJH designed the study. Data analysis was done by WY, LY, and RJH.  
390 WY, LY and RJH interpreted data, prepared the display items and wrote the manuscript. All  
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392 *Competing interests.* The authors declare that they have no conflict of interest.

393

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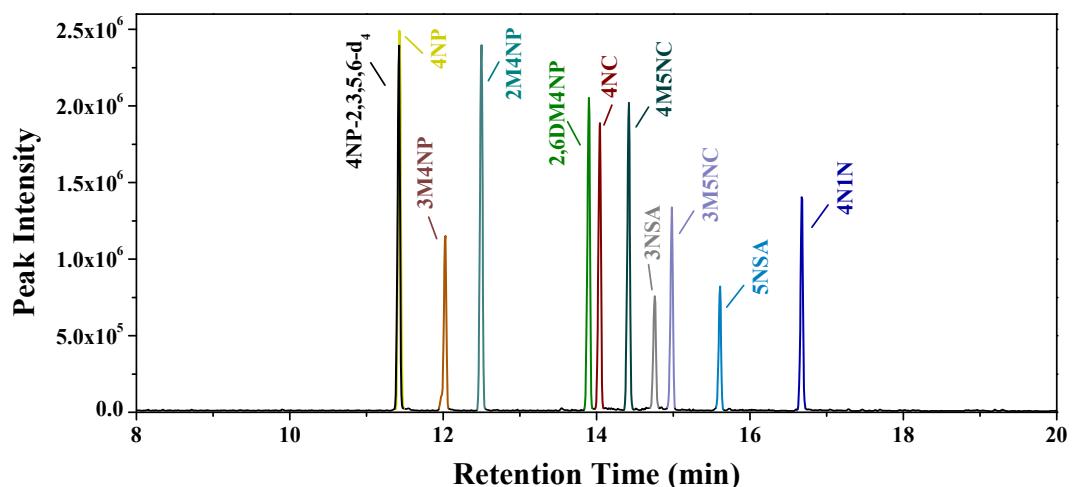
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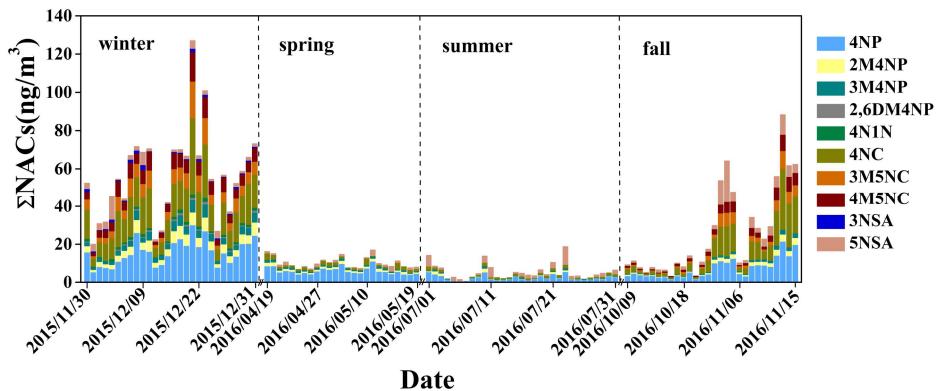
614

615 **Table 1.** Mean and standard deviation (if applicable) of the measured mass concentrations of  
 616 individual NACs in Xi'an in comparison to those in other studies.

Locations	Concentrations (ng m <sup>-3</sup> )									Reference
	4NP	2M4NP	3M4NP	2,6DM4NP	4N1N	4NC	3M5NC	4M5NC	3NSA	
<b>Europe</b>										
TROPOS, Germany, winter 2014	7.09 (7.08)	3.64 (3.05)	2.60 (2.22)	0.65 (0.58)					1.36 (1.02)	0.94 (0.75)
Melpitz, Germany, summer 2014	0.06 (0.03)	0.04 (0.00)	0.03 (0.00)						0.17 (0.15)	0.09 (0.09)
Melpitz, Germany, winter 2014	4.09 (3.27)	3.64 (3.06)	2.44 (2.20)	0.91 (0.90)					0.66 (0.69)	0.32 (0.24)
Ljubljana, Slovenia, summer 2010	0.15	0.05	<0.03		0.24	0.1	0.06	0.09	0.18	Kitanovski et al., 2012
Ljubljana, Slovenia, winter 2010	1.8	0.75	0.61		75	34	29	1.3	1.4	Kitanovski et al., 2012
Villa Ada park, Rome, spring 2003	17.8 (5.6)		7.8 (2.6)	5.9 (2.9)						Cecinato et al., 2005
Waldstein, Germany, summer 2014								0.17 (0.11)	0.23 (0.12)	Teich et al., 2017
<b>USA</b>										
Research Triangle Park, USA, summer 2013	0.018 (0.027)	0.005 (0.009)			0.057 (0.042)					Xie et al., 2019
Lowa City, USA, fall 2015	0.63 (0.48)	0.08 (0.05)			1.60 (2.88)	1.61 (1.77)		0.14 (0.08)	0.14 (0.08)	Al-Naiema and Stone, 2017
<b>Asia</b>										
Hong Kong, China, spring 2012	0.36	0.18	0.03	0.01	0.25	0.05	0.05			Chow et al., 2015
Hong Kong, China, summer 2012	0.54	0.3	0.02	0.01	1.48	0.63	0.25			Chow et al., 2015
Hong Kong, China, fall 2012	0.92	0.39	0.04	0.01	2.45	0.94	0.44			Chow et al., 2015
Hong Kong, China, winter 2012	1.13	0.65	0.07	0.01	2.39	1.35	0.53			Chow et al., 2015
Xianghe, China, summer 2013	0.98 (0.78)	0.32 (0.21)	0.09 (0.07)	0.06 (0.05)				1.21 (1.45)	0.88 (0.64)	Teich et al., 2017
Wangdu, China, summer 2014	2.63 (2.66)	0.68 (0.78)	0.21 (0.35)	0.06 (0.09)				3.14 (3.05)	1.63 (0.78)	Teich et al., 2017
Xi'an, China, spring 2016	1.19 (0.36)	0.24 (0.08)	0.18 (0.05)		0.28 (0.18)				0.15 (0.15)	This study
Xi'an, China, summer 2016	0.45 (0.28)	0.10 (0.10)	0.07 (0.06)		0.16 (0.11)				0.29 (0.41)	This study
Xi'an, China, fall 2016	3.6 (2.6)	0.73 (0.54)	0.44 (0.35)		3.9 (4.0)	1.23 (1.34)	1.35 (1.24)		1.72 (2.3)	This study
Xi'an, China, winter 2015	15.6 (6.6)	4.5 (1.72)	3.4 (1.52)	0.55 (0.39)	1.16 (0.53)	15.5 (7.4)	6.4 (3.7)	6.2 (2.9)	0.84 (0.56)	2.3 (2.4)
Nagoya, summer 2013	1.1 (0.54)	0.49 (0.48)	0.17 (0.13)		0.98 (1.5)	0.74 (0.72)		0.081 (0.077)	0.33 (0.38)	0.75 (0.84)
Nagoya, Japan, fall 2013	7.0 (3.9)	3.2 (2.7)	1.1 (0.76)		0.76 (0.64)	6.8 (10.8)		1.6 (2.9)	0.27 (0.20)	0.67 (0.41)

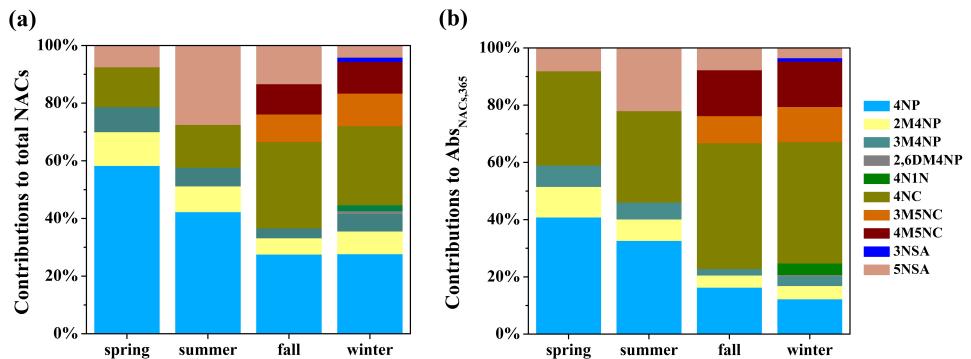


617  
618 **Figure 1.** Selected ion monitoring chromatograms for the nitrated aromatic compound  
619 standards ( $2 \text{ ug mL}^{-1}$ ). (4NP-2,3,5,6-d<sub>4</sub>: 4-nitrophenol-2,3,5,6-d<sub>4</sub>, 4NP: 4-nitrophenol, 3M4NP:  
620 3-methyl-4-nitrophenol, 2M4NP: 2-methyl-4-nitrophenol, 2,6DM4NP:  
621 2,6-dimethyl-4-nitrophenol, 4NC: 4-nitrocatechol, 4M5NC: 4-methyl-5-nitrocatechol, 3NSA:  
622 3-nitrosalicylic acid, 3M5NC: 3-methyl-5-nitrocatechol, 5NSA: 5-nitrosalicylic acid, 4N1N:  
623 4-nitro-1-naphthol).



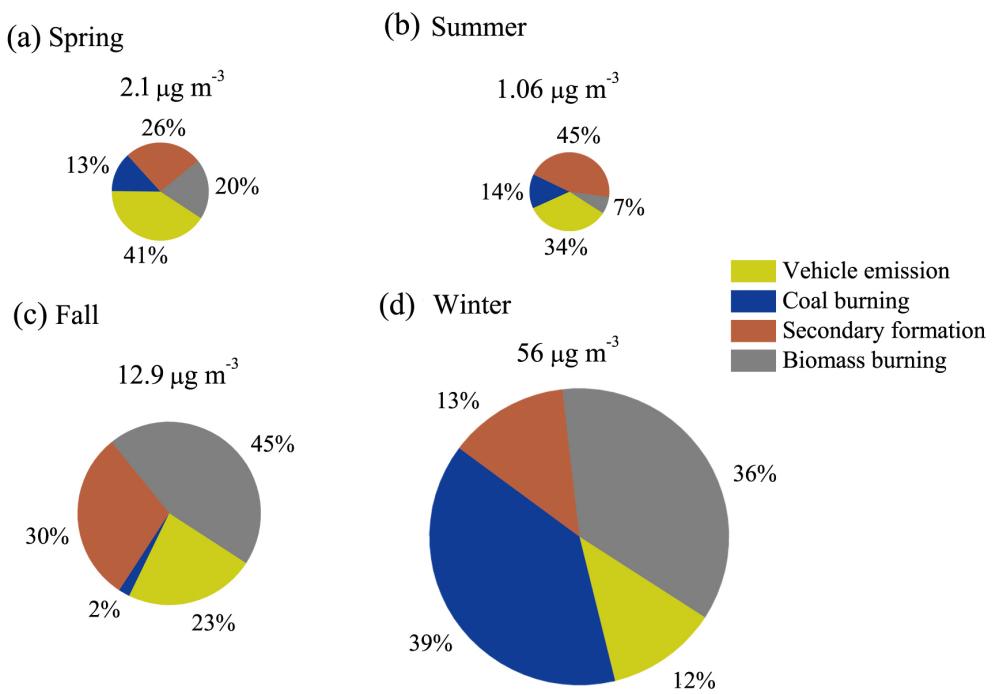
624

625 **Figure 2.** Time series of the concentrations of nitrated aromatic compounds in the aerosol  
 626 sample (spring and summer  $\times 5$ , fall  $\times 2$ ). The full names of [these](#) compounds are  
 627 [given](#) [shown](#) in Table S1.



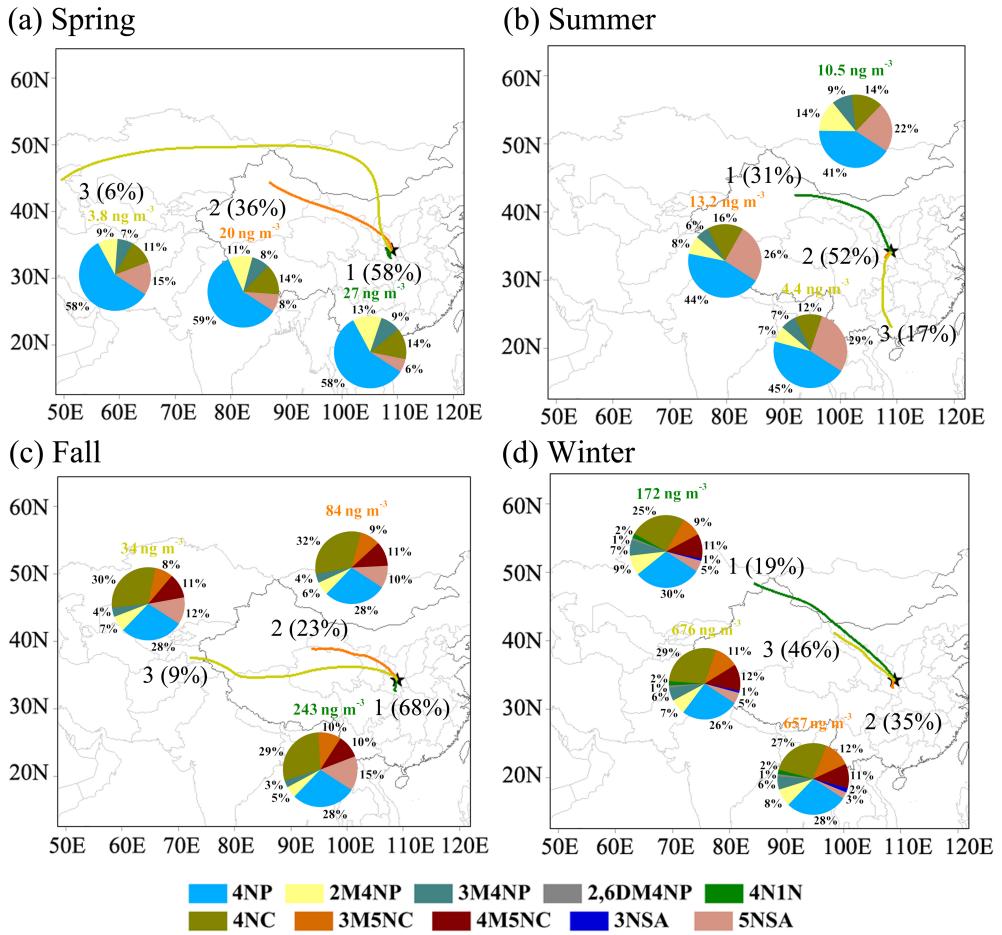
628

629 **Figure 3.** The average contributions of individual nitrated aromatic compounds to (a) the  
 630 total concentration and (b) the total light absorption at wavelength 365 nm of particulate  
 631 nitrated aromatic compounds in four seasons. The full names of these compounds are  
 632 given shown in Table S1.

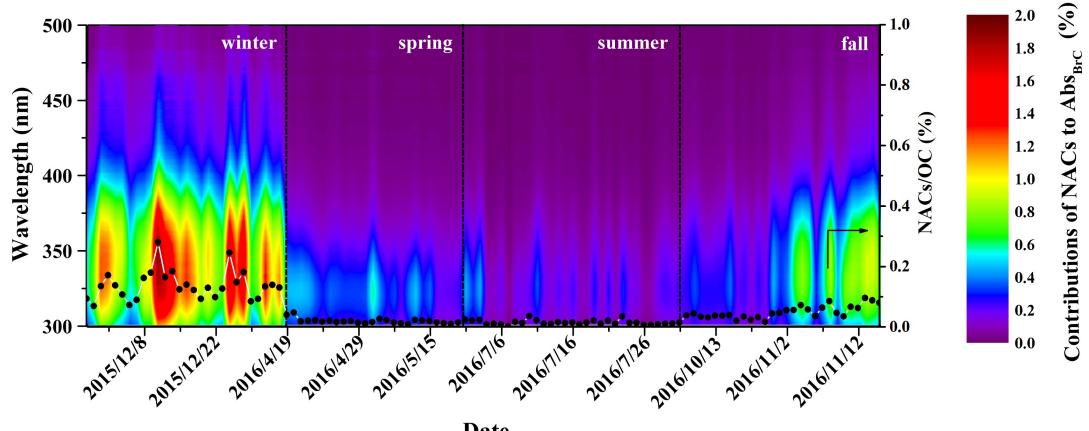


633

**Figure 4.** Contributions of source factors to the concentrations of NACs in four seasons.

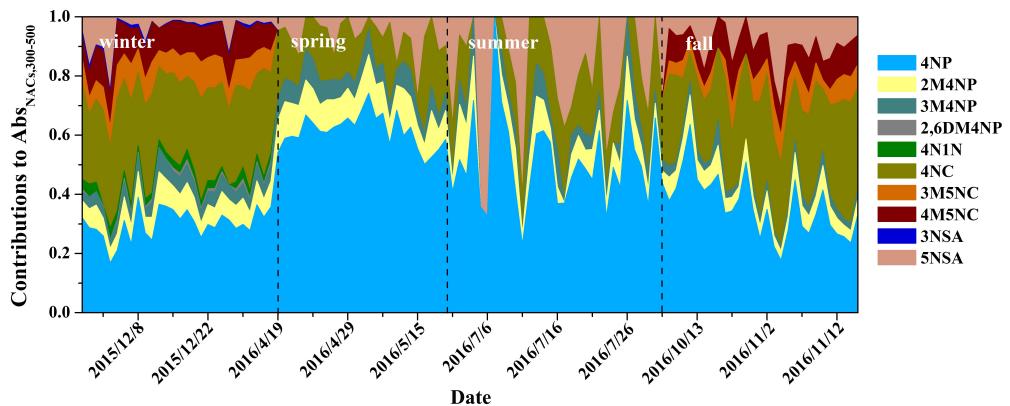


634 **Figure 5.** NACs at each 72-h backward trajectory cluster during (a) spring, (b) summer, (c)  
 635 fall and (d) winter. The full names of [these](#) compounds are [given](#) [shown](#) in Table S1.



636

**Figure 6.** Time series of the light absorption contributions of total NACs to Abs of brown carbon over the wavelength from 300 to 500 nm (color scale and left axis), and the ratio of concentration of NACs to organic carbon (dots and right axis).



637

638 **Figure 7.** Daily contributions of individual NACs to light absorption of total NACs at  
 639 wavelength of 300-500 nm. The full names of [thethese](#) compounds are [givenshown](#) in Table  
 640 S1.