

The authors thank the referees to review our manuscript and particularly for the valuable comments and suggestions that have significantly improved the manuscript. We provide below point-by-point responses (in blue) to the referees' comments and have made changes accordingly in the revised manuscript.

Referee #2

The paper reports the contribution of PM_{2.5}-bound nitrated aromatic compounds to the optical properties of brown carbon. Seasonal variations of concerned species were discussed as well. The topic is interesting and suitable for the journal, and the paper is well organized and understandable. However, some problems need further discussion.

In conclusion, I suggest it for publication after the authors addressing the following specific points:

1. Line 63-65, considering that this paper mainly focuses on the optical properties of NACs, the introduction should include more previous research findings regarding the light absorption ability of NACs rather than just one sentence.

Response: Thanks for pointing this out. We have added more previous research findings in line 66-73, it now reads "...; Teich et al., 2017; Li et al., 2020). For example, Zhang et al. (2013) estimated the contribution of NACs to BrC light absorption of ~4% in the Los Angeles Basin. Mohr et al. (2013) calculated the contribution of NACs to BrC light absorption of about 4% in Detling, United Kingdom. Teich et al. (2017) investigated the contribution of NACs to BrC light absorption during six campaigns of 0.02-4.41% for acidic conditions and 0.02-9.86% for alkaline conditions. Li et al. (2020) estimated the contribution of NACs to BrC light absorption in Beijing of 0.28-3.44% in fall and 1.03-6.49% in winter."

2. Line 66-67, could the author add a few more sentences on why NACs are harmful to human health?

Response: We have added a few more sentences in line 75-77, it now reads "...For

example, NACs can interact with DNA and cause mutagenesis (Purohit and Basu, 2000; Ju and Parales, 2010). NACs can also damage cells, resulting in cell degeneration and canceration (Kovacic and Somanathan, 2014).”

3. Line 153, what are the uncertainties of the input species?

Response: We re-checked the uncertainties (RSDs) and have now added these values in the revised manuscript. In line 160, it now reads “..., with uncertainties (RSD) < 10%.”

4. Line 154, The constrain of specific species in different sources will influence the Q value of the solution, thus the setting should be extremely cautious. After this kind of setting, is the %dQ value acceptable? Is the PMF solution still robust?

Response: The constrain of specific species in different sources do affect the Q value of the solution. In this study, the Q/Q_{exp} value was 1-5 after setting, which is acceptable, and the PMF was ran in the robust model.

5. Line 160, any reason to choose a 72-h backward trajectory instead of 24-h or 48h?

Response: We have added the reason in Line 171-172, it now reads “According to the lifetimes of different secondary species (Wojcik and Chang, 1997; Chow et al., 2015), ...”

6. Line 298-300, besides the high emissions of NACs in winter, are any other PM2.5 components that may contribute to the enhanced light absorption between 300-500 nm during winter?

Response: In addition to NACs, some PAHs also have strong light absorption capacity in wavelength of 300-500 nm (Huang et al., 2018; Lin et al., 2018). In winter, the emissions of PAHs increase because of heating activities, which may contribute to the enhanced light absorption.

7. Section 3.4, based on the PMF results, the author may consider using multilinear

regression analysis to investigate which source contributes most to the light absorption ability of NACs.

Response: Multilinear regression analysis and PMF receptor model can both be used to investigate the sources of BrC. In this study, to get non-negative result, we used PMF rather than multilinear regression analysis to analyse the sources of NACs, and the results are discussed in Section 3.2. "Sources of NACs".

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Referee #3

This work provides a comprehensive report to the variation, sources, origins, and light absorption of nitrated aromatic compounds (NACs) in four seasons in a mega city in Northwest China. The results highlight the elevated concentrations and enhanced light absorption of NACs in winter in East Asia and confirm the dominant contributions from combustions sources including coal combustion, biomass burning, and vehicle exhausts. The manuscript is generally well written with clear logic, full discussion, and fluent language. It can be accepted after addressing a few minor comments.

Specific comments:

1. Line 105-107, are any blank samples collected or obtained in this study?

Response: In this study, at least one blank filter sample was measured for light absorption and organic compounds for every ten ambient samples. In line 126-127, it now reads, "At least one blank filter sample was measured for every ten ambient samples."

2. Line 118-119, is any inner standard used when determining the concentrations of NACs?

Response: In our study, 4-nitrophenol-2,3,5,6-d₄ was used as an internal standard to correct for potential loss for NAC quantification (Chow et al., 2015).

3. What is the wavelength range of the UV-Vis spectrophotometer used in this study?

Response: 300-700 nm.

4. Line 262-263, it's better to derive some implications to pollution control here.

Response: Thanks for pointing this out. In line 279-280, it now reads "..., suggesting that control of anthropogenic emissions (biomass burning and coal burning) is important for mitigating pollution of NACs in this region."

5. Line 271-275, were the relatively high concentrations of NACs in the air masses from Gansu and Xinjiang mainly caused by the intensive emissions from urban areas along the trajectories?

Response: As far as we known, there was no studies reporting the concentration of NACs in Gansu and Xinjiang. However, the annual average concentration of PM_{2.5} was about 40 µg/m³ in 14 cities in Gansu in 2016, especially, the PM_{2.5} concentration in Lanzhou in 2016 was over 50 µg/m³ (Liao et al., 2020). The annual average concentration of PM_{2.5} was about 55 µg/m³ in 16 cities in Xinjiang in 2016 (Rupakheti et al., 2021). Therefore, it is possible that NACs were transported/formed along the trajectories from areas with strong emissions.

6. Line 332-333, the difference in the light absorption ability among different NACs is of course the major cause. Suggest elaborating on the differences here, e.g., 4NC has high light absorption ability.

Response: Thanks for pointing this out. In line 353-354, it now reads “...For example, 4-nitrocatechol has lower mass concentration, but higher light absorption contribution, compared to 4-nitrophenol.”

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1 **Measurement report: PM_{2.5}-bound nitrated aromatic compounds in Xi'an,**
2 **Northwest China: Seasonal variations and contributions to optical properties of**
3 **brown carbon**

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5 Wei Yuan^{1,6}, Ru-Jin Huang^{1,2}, Lu Yang¹, Ting Wang^{1,6}, Jing Duan^{1,6}, Jie Guo¹, Haiyan Ni^{1,7},
6 Yang Chen³, Qi Chen⁴, Yongjie Li⁵, Ulrike Dusek⁷, Colin O'Dowd⁸, Thorsten Hoffmann⁹

7

8 ¹State Key Laboratory of Loess and Quaternary Geology, Center for Excellence in Quaternary
9 Science and Global Change, Key Laboratory of Aerosol Chemistry & Physics, Institute of
10 Earth Environment, Chinese Academy of Sciences, Xi'an 710061, China

11 ²Institute of Global Environmental Change, Xi'an Jiaotong University, Xi'an 710049, China

12 ³Chongqing Institute of Green and Intelligent Technology, Chinese Academy of Sciences,
13 Chongqing 400714, China

14 ⁴State Key Joint Laboratory of Environmental Simulation and Pollution Control, College of
15 Environmental Sciences and Engineering, Peking University, Beijing 100871, China

16 ⁵Department of Civil and Environmental Engineering, Faculty of Science and Technology,
17 University of Macau, Taipa, Macau SAR 999078, China

18 ⁶University of Chinese Academy of Sciences, Beijing 100049, China

19 ⁷Centre for Isotope Research (CIO), Energy and Sustainability Research Institute Groningen
20 (ESRIG), University of Groningen, 9747 AG, The Netherlands

21 ⁸School of Physics and Centre for Climate and Air Pollution Studies, Ryan Institute, National
22 University of Ireland Galway, University Road, Galway H91CF50, Ireland

23 ⁹Institute of Inorganic and Analytical Chemistry, Johannes Gutenberg University Mainz,
24 Duesbergweg 10–14, 55128 Mainz, Germany

25 *Correspondence to:* Ru-Jin Huang (rujin.huang@ieecas.cn)

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27

28 **Abstract**

29 Nitrated aromatic compounds (NACs) are a group of key chromophores for brown
30 carbon aerosol (light absorbing organic carbon, i.e., BrC), which affects radiative forcing. The
31 chemical composition and sources of NACs and their contributions to BrC absorption,
32 however, are still not well understood. In this study, PM_{2.5}-bound NACs in Xi'an, Northwest
33 China, were investigated for 112 daily PM_{2.5} filter samples from 2015 to 2016. Both the total
34 concentrations and contributions from individual species of NACs show distinct seasonal
35 variations. The seasonally averaged concentrations of NACs are 2.1 (spring), 1.1 (summer),
36 12.9 (fall), and 56.3 ng m⁻³ (winter). Thereinto, 4-nitrophenol is the major NAC component in
37 spring (58%). The concentrations of 5-nitrosalicylic acid and 4-nitrophenol dominate in
38 summer (70%), and the concentrations of 4-nitrocatechol and 4-nitrophenol dominate in fall
39 (58%) and winter (55%). The NAC species show different seasonal patterns in concentrations,
40 indicating differences in emissions and formation pathways. Source apportionment results
41 using positive matrix factorization (PMF) further show large seasonal differences in the
42 sources of NACs. Specifically, in summer, NACs were highly influenced by secondary
43 formation and vehicle emissions (~80%), while in winter, biomass burning and coal
44 combustion contributed the most (~75%). Furthermore, the light absorption contributions of
45 NACs to BrC are wavelength dependent and vary greatly by seasons, with maximum
46 contributions at ~330 nm in winter and fall and ~320 nm in summer and spring. The
47 differences in the contribution to light absorption are associated with the higher mass
48 fractions of 4-nitrocatechol (λ_{\max} =345 nm) and 4-nitrophenol (λ_{\max} =310 nm) in fall and winter,
49 4-nitrophenol in spring, and 5-nitrosalicylic acid (λ_{\max} =315 nm) and 4-nitrophenol in summer.
50 The mean contributions of NACs to BrC light absorption at the wavelength of 365 nm in
51 different seasons are 0.14% (spring), 0.09% (summer), 0.36% (fall) and 0.91% (winter),
52 which are about 6-9 times higher than their mass fractional contributions of carbon in total
53 organic carbon. Our results indicate that the composition and sources of NACs have profound
54 impacts on the BrC light absorption.

55

56 **1 Introduction**

57 Brown carbon (BrC) aerosol has received growing attention over the past years, because
58 it can affect the atmospheric radiation balance and air quality through absorption of solar
59 radiation in the near ultraviolet and visible range (Feng et al., 2013; Laskin et al., 2015;
60 Zhang et al., 2017; [Ma et al., 2018](#); [Ma et al., 2019](#)). Nitrated aromatic compounds (NACs)
61 belong to a major group of BrC chromophores. They are ubiquitous in the atmosphere and
62 have been detected in cloud water (Desyaterik et al., 2013), rainwater (Schummer et al., 2009),
63 fog water (Richartz et al., 1990), snow water (Vanni et al., 2001), as well as in gas and
64 particle phases (Cecinato et al., 2005; Zhang et al., 2013; Chow et al., 2015; Al-Naiema and
65 Stone, 2017). Field studies have shown that ~4% of BrC light absorption at 370 nm is
66 contributed by those measured NACs (Zhang et al., 2013; Mohr et al., 2013; [Teich et al., 2017](#);
67 [Li et al., 2020](#)). [For example, Zhang et al. \(2013\) estimated the contribution of NACs to BrC](#)
68 [light absorption of ~4% in the Los Angeles Basin. Mohr et al. \(2013\) calculated the](#)
69 [contribution of NACs to BrC light absorption of about 4% in Detling, United Kingdom. Teich](#)
70 [et al. \(2017\) investigated the contribution of NACs to BrC light absorption during six](#)
71 [campaigns of 0.02-4.41% for acidic conditions and 0.02-9.86% for alkaline conditions. Li et](#)
72 [al. \(2020\) estimated the contribution of NACs to BrC light absorption in Beijing of](#)
73 [0.28-3.44% in fall and 1.03-6.49% in winter.](#) In addition, with molecular structures commonly
74 containing nitro (-NO₂) and hydroxyl (-OH) functional groups on the aromatic ring, NACs are
75 harmful to human health (Taneda et al., 2004). [For example, NACs can interact with DNA](#)
76 [and cause mutagenesis \(Purohit and Basu, 2000; Ju and Parales, 2010\). NACs can also](#)
77 [damage cells, resulting in cell degeneration and canceration \(Kovacic and Somanathan, 2014\).](#)
78 There is also evidence that NACs affect plant growth and contributed to forest decline (Hinkel
79 et al., 1989; Natangelo et al., 1999). The significant role of NACs in the atmosphere and their
80 adverse effects on ecosystems call for studies to investigate their sources and characteristics.

81 NACs in atmospheric aerosol can be derived from primary emissions, including biomass
82 burning (Wang et al., 2017; Teich et al., 2017; Lin et al., 2018), coal combustion (Olson et al.,
83 2015; Lu et al., 2019a), and vehicle exhausts (Taneda et al., 2004; Inomata et al., 2013;
84 Perrone et al., 2014; Lu et al., 2019b). The emission factors of NACs from biomass burning
85 can be over 10 mg kg⁻¹ (Wang et al., 2017), which makes them good tracers of biomass

86 burning organic aerosol (BBOA) (Hoffmann et al., 2007; Iinuma et al., 2010). Lu et al.
87 (2019a) determined that the emission factors of fine particulate NACs for residential coal
88 combustion was 0.2-10.1 mg kg⁻¹ and the total NAC emission from residential coal burning
89 was nearly 200 Mg in China in 2016. NACs from vehicle exhaust also have been detected,
90 with emission factors of up to 26.7 µg km⁻¹ (Lu et al., 2019b). Secondary formation from
91 various atmospheric reactions is also an important source of NACs. For example,
92 photochemical oxidation of benzene, toluene (Wang et al., 2019), and *m*-cresol (Iinuma et al.,
93 2010) can form certain NACs. NACs can also form in aerosol or cloud water through
94 aqueous-phase reactions (Vione et al., 2001, 2005), for example, photonitration of guaiacol in
95 the aqueous phase (Kitanovski et al., 2014). However, little is known about the importance of
96 primary versus secondary sources for particle-bound NACs because speciation of NACs and
97 quantification of their sources are still very limited so far.

98 Speciation of particle-bound NACs was mostly performed in Europe (Cecinato et al.,
99 2005; Iinuma et al., 2010; Delhomme et al., 2010; Mohr et al., 2013; Kahnt et al., 2013), and
100 still very scarce in Asia (Chow et al., 2015; Wang et al., 2018; Ikemori et al., 2019). In general,
101 the average concentrations of measured NACs vary from less than one to dozens of ng m⁻³ in
102 different seasons and regions. As far as we know, only one study has quantified the sources of
103 NACs with a positive matrix factorization (PMF) receptor model (Wang et al., 2018). Here,
104 we carried out chemical analyses together with light absorption for PM_{2.5} samples collected in
105 Xi'an to: 1) investigate the seasonal variations in the concentration of NACs and
106 contributions of individual species; 2) quantify the sources of NACs in different seasons
107 based on PMF model; and 3) evaluate the optical properties of NACs and their contributions
108 to BrC light absorption.

109 **2 Experiments and methods**

110 **2.1 Aerosol sampling**

111 24 h-integrated PM_{2.5} samples were collected in four seasons from November 2015 to
112 November 2016 (i.e., from 30 November to 31 December 2015 for winter; 19 April to 19 May
113 2016 for spring; 1 to 31 July 2016 for summer; and 9 October to 15 November 2016 for fall)

114 in the campus of the Institute of Earth Environment, Chinese Academy of Sciences (IEECAS,
115 34.22°N, 109.01°E) in Xi'an, China. The sampling site is an urban background site
116 surrounded by residential areas and has no obvious industrial activities. A total of 112 samples
117 were collected on pre-baked (780 °C, 3 h) quartz-fiber filters (20.3 × 25.4 cm, Whatman,
118 QM-A, Clifton, NJ, USA) by a Hi-Vol PM_{2.5} sampler (Tisch, Cleveland, OH) operating at
119 1.05 m³ min⁻¹. The filter samples were stored at -20 °C until laboratory analysis.

120 **2.2 Chemical analysis**

121 The concentration of organic carbon (OC) was measured by a Thermal/Optical Carbon
122 Analyzer (DRI, Model 2001, Atmoslytic Inc., Calabasas, CA, USA) with the IMPROVE-A
123 protocol (Chow et al., 2011). Ten NACs and 19 organic markers (see Table S1) were
124 quantified by a gas chromatograph-mass spectrometer (GC-MS) using a well-established
125 approach (e.g., Wang et al., 2006; Al-Naiema and Stone, 2017) and the details are described in
126 Yuan et al., 2020. At least one blank filter sample was measured for every ten ambient
127 samples. Baseline separation with symmetrical peak shapes was achieved for the measured
128 NACs (Fig. 1). The linear ranges, instrument detection limit (IDL), instrument quantitation
129 limit (IQL), extraction efficiency, and regression coefficients for the measured NACs are
130 shown in Table S2. The response of calibration curves for the NACs was linear ($R^2 \geq 0.995$)
131 from 10 to 5000 $\mu\text{g L}^{-1}$. The IDL ranged from 2 $\mu\text{g L}^{-1}$ to 20 $\mu\text{g L}^{-1}$ except for 5-nitrosalicylic
132 acid (52.6 $\mu\text{g L}^{-1}$). The IQL ranged from below 10 $\mu\text{g L}^{-1}$ to 70 $\mu\text{g L}^{-1}$ except for
133 5-nitrosalicylic acid ($> 100 \mu\text{g L}^{-1}$). The IDL and IQL are comparable to those in Al-Naiema
134 and Stone (2017) (2.7-14.9 $\mu\text{g L}^{-1}$ for IDL and 8.8-49.5 $\mu\text{g L}^{-1}$ for IQL) and are sufficient for
135 the quantification of our samples.

136 **2.3 Light absorption of NACs**

137 The UV-Vis spectrophotometer equipped with a Liquid Waveguide Capillary Cell
138 (LWCC-3100, World Precision Instrument, Sarasota, FL, USA) was used to measure the light
139 absorption of methanol-soluble BrC and NAC standards, following the method established by
140 Hecobian et al. (2010). The absorption coefficient (Abs_{λ} : M m^{-1}) can be obtained from
141 measured absorption data by equation (1):

142
$$Abs_{\lambda} = (A_{\lambda} - A_{700}) \frac{V_l}{V_a \times L} \ln(10) \quad (1)$$

143 where A_{700} is the absorption at 700 nm used to correct for baseline drift, V_l is the volume of
 144 methanol used for extracting the filter, V_a is the volume of sampled air, L is 0.94 m for the
 145 optical path length used in LWCC, and $\ln(10)$ is used to convert the absorption coefficient
 146 from log base-10 to natural logarithm.

147 The mass absorption efficiency (MAE: $\text{m}^2 \text{g}^{-1}$) of NAC standards in the methanol solvent
 148 at wavelength of λ can be calculated as Laskin et al. (2015):

149
$$MAE_{NAC,\lambda} = \frac{A_{\lambda} - A_{700}}{L \times C} \ln(10) \quad (2)$$

150 where C ($\mu\text{g mL}^{-1}$) is the concentration of the NAC standards in the methanol solvent.

151 The light absorption contribution of NACs to BrC at wavelength of λ ($Cont_{NAC/BrC,\lambda}$) can
 152 be obtained using equation (3).

153
$$Cont_{NAC/BrC,\lambda} = \frac{MAE_{NAC,\lambda} \times C_{NAC}}{Abs_{BrC,\lambda}} \quad (3)$$

154 where the C_{NAC} ($\mu\text{g m}^{-3}$) is the atmospheric concentration of NACs and the $Abs_{BrC,\lambda}$ is the Abs
 155 of BrC at wavelength of λ .

156 2.4 Source apportionment

157 The sources of NACs was resolved by PMF receptor model, which was performed by the
 158 multilinear engine (ME-2; Paatero, 1997) through the Source Finder (SoFi) interface encoded
 159 in Igor Wavemetrics (Canonaco et al., 2013). The input species include five to ten NACs (as
 160 the number of NACs detected varies among seasons) and nineteen additional organic tracer
 161 species (see Table S1), with uncertainties (RSD) < 10%. These include phthalic acid for
 162 secondary formation, picene for coal combustion, hopanes for vehicle emission, fluoranthene,
 163 pyrene, chrysene, benzo(a)pyrene, benzo(a)anthracene, benzo(k)fluoranthene,
 164 benzo(b)fluoranthene, benzo(ghi)perylene, and indeno[1,2,3-cd]pyrene for combustion
 165 emission, and vanillin, vanillic acid, syringyl acetone, and levoglucosan for biomass burning.
 166 To separate the source profiles clearly, the contribution of those markers unrelated to a certain
 167 source was set to 0 in the respective source profile (see Table S3).

168 To better understand the source origins of the NACs, air mass origins during the
 169 sampling period were derived from backward-trajectory analysis. This method was used in

170 trajectory clustering based on the GIS-based software-TrajStat (Wang et al., 2009). The
171 archived meteorological data was obtained from the National Center for Environmental
172 Prediction's Global Data Assimilation System (GDAS). [According to the lifetimes of different](#)
173 [secondary species \(Wojcik and Chang, 1997; Chow et al., 2015\)](#), in this study, 72-h backward
174 trajectories terminated at a height of 500 m above ground level were calculated during the
175 study period. The trajectories were calculated every 12 h with starting times at 09:00 and 21:00
176 local time.

177 **3 Results and discussion**

178 **3.1 Seasonal variations of NAC composition**

179 The concentrations of NACs show clear seasonal differences, with the highest mean
180 values in winter, followed by fall, spring, and summer (see Fig. 2). The concentration ranges
181 of total NACs were 1.4-3.4 ng m⁻³ (spring), 0.1-3.8 ng m⁻³ (summer), 1.6-44.2 ng m⁻³ (fall),
182 and 20.2-127.1 ng m⁻³ (winter). The average concentrations were 2.1 ± 0.6 ng m⁻³, 1.1 ± 0.8
183 ng m⁻³, 12.9 ± 11.6 ng m⁻³ and 56.3 ± 23.2 ng m⁻³, respectively (see Table S4). Nitrophenols
184 (4-nitrophenol, 2-methyl-4-nitrophenol, 3-methyl-4-nitrophenol, 2,6-dimethyl-4-nitrophenol)
185 and nitrocatechols (4-nitrocatechol, 3-methyl-5-nitrocatechol, 4-methyl-5-nitrocatechol) show
186 the highest concentrations in winter and the lowest in summer, while nitrosalicylic acids
187 (3-nitrosalicylic acid, 5-nitrosalicylic acid) show the highest concentrations in winter and the
188 lowest in spring. The average ratios between wintertime and summertime concentrations are a
189 factor of about 40 for nitrophenols, 175 for nitrocatechols, and 21 for nitrosalicylic acids. The
190 large seasonal differences in NAC concentrations might be due to the differences in sources,
191 emission strength and atmospheric formation processes, as discussed below. Table 1
192 summarizes the NAC concentrations measured in this study together with those measured in
193 Europe, the USA and other places in Asia. In general, the NAC concentrations in winter are
194 higher than those in summer, and the observed concentrations of different species are higher
195 in Asia than in Europe and the USA. The only exception is a study in Ljubljana, Slovenia,
196 which shows that in winter nitrocatechol concentrations are higher than those in Asia, likely
197 due to strong biomass burning activities (Kitanovski et al., 2012). The elevated concentrations

198 of NACs in Asia suggest that NACs may have a significant impact on regional climate and air
199 quality in Asia due to its optical and chemical characteristics, as discussed below.

200 Among all measured NACs, 4-nitrophenol, 2-methyl-4-nitrophenol,
201 3-methyl-4-nitrophenol, 4-nitrocatechol and 5-nitrosalicylic acid were detected in four
202 seasons, 3-methyl-5-nitrocatechol and 4-methyl-5-nitrocatechol in fall and winter,
203 2,6-dimethyl-4-nitrophenol, 3-nitrosalicylic acid and 4-nitro-1-naphthol only in winter, as
204 shown in Fig. 3a. In general, 4-nitrophenol and 4-nitrocatechol had elevated concentrations in
205 all seasons, which is consistent with other observations (Chow et al., 2015; Ikemori et al.,
206 2019) and might be related to their larger emissions or formation and longer atmospheric
207 lifetime than other NACs (Harrison et al., 2005; Chow et al., 2015; Finewax et al., 2018;
208 Wang et al., 2019; Lu et al., 2019a). For example, Lu et al. (2019a) measured the emission of
209 NACs from coal combustion and founded that the emission factors of 4-nitrocatechol was
210 about 1.5-6 times higher than other NAC. Wang et al. (2019) quantified the concentration of
211 4-nitrophenol and 4-nitrocatechol formed under high NO_x and anthropogenic VOC conditions,
212 which is about 3-7 times higher than other NAC. The concentration of 2-methyl-4-nitrophenol
213 was higher than that of 3-methyl-4-nitrophenol in all seasons, which is similar to previous
214 studies (Kitanovski et al., 2012; Chow et al., 2015; Teich et al., 2017; Ikemori et al., 2019)
215 and likely due to the efficient formation of 2-methyl-4-nitrophenol from photochemical
216 oxidation of volatile organic compounds (VOCs) in the presence of NO₂ (Lin et al., 2015;
217 Wang et al., 2019). It should be noted that the contribution of 5-nitrosalicylic acid (27%) to
218 total NAC mass in summer is much higher than that in other seasons (4%-13%), suggesting
219 that 5-nitrosalicylic acid is mainly produced by secondary formation, for example, through
220 nitration of salicylic acid (Li et al., 2020), photochemical oxidation of toluene in the presence
221 of NO_x (Jang and Kamens, 2001; Wang et al., 2018).

222 **3.2 Sources of NACs**

223 Correlation analysis was conducted among NACs measured in this study (Table S5). The
224 four nitrophenols were positively correlated with each other ($r^2 = 0.52-0.98$) and the three
225 nitrocatechols were also highly correlated with each other ($r^2 = 0.94-0.96$), indicating that
226 different nitrophenols and nitrocatechols might have similar sources or origins. Previous

227 studies showed that 4-nitrophenol was mainly from primary emission of biomass burning
228 (Wang et al., 2017), and 3-methyl-5-nitrocatechol and 4-methyl-5-nitrocatechol were
229 identified as secondary products from biomass burning (Inuma et al., 2010). Positive
230 correlations were also observed between nitrophenols and nitrocatechols ($r^2 = 0.59-0.90$),
231 suggesting that they were partly of similar sources or formation processes. For example, both
232 nitrophenols and nitrocatechols can be emitted through biomass burning (Wang et al., 2017)
233 and coal combustion (Lu et al., 2019a) and can be formed by photochemical oxidation of
234 VOCs in the presence of NO_2 (Wang et al., 2019). However, for nitrosalicylic acids, the
235 correlation between 3-nitrosalicylic acid and 5-nitrosalicylic acid was weak ($r^2 = 0.29$). This
236 is because 5-nitrosalicylic acid is mainly from secondary formation by nitration of salicylic
237 acids, while 3-nitrosalicylic acid is mainly from combustion emission (Wang et al., 2017; Li
238 et al., 2020). The correlations between nitrosalicylic acids with nitrophenols ($r^2 = 0.01-0.13$)
239 and with nitrocatechols ($r^2 = 0.04-0.25$) were also weak, suggesting that they may have
240 different sources or formation processes. Nitrosalicylic acids were dominated by
241 5-nitrosalicylic acids, which is mainly from secondary formation (Andreozzi et al., 2006;
242 Wang et al., 2018). On the other hand, nitrophenols and nitrocatechols were dominated by
243 4-nitrophenol and 4-nitrocatechol, respectively, which are mainly from primary emissions
244 (Wang et al., 2017; Lu et al., 2019a).

245 To identify and quantify the sources of NACs observed in Xi'an, the PMF model was
246 employed and four major factors were resolved with uncertainties $< 15\%$. The factor profiles
247 are shown in Fig. S1. The first factor, vehicle emission, characterized by high levels of
248 hopanes, shows large relative contributions to NACs in spring and summer. Direct traffic
249 emissions of NACs have also been verified in laboratory studies (Trempe et al., 1993; Perrone
250 et al., 2014). The second factor is considered to be coal combustion for residential heating and
251 cooking, which is characterized with the higher loadings of picene, benzo(a)pyrene,
252 benzo(b)fluoranthene, benzo(k)fluoranthene, indeno[1,2,3-cd]pyrene, and
253 benzo(ghi)perylene. This factor accounted for $\sim 40\%$ of the NACs in winter. The emission of
254 NACs from coal combustion for residential usage was reported by Lu et al. (2019a), which
255 showed emission factors of 0.2 to 10.1 mg kg^{-1} . It is worth noting that with the emission

256 control of residential coal burning after 2017, the contribution feature of coal burning to
257 NACs could be different. The third source is identified as secondary formation because of the
258 highest level of phthalic acid and its highest contribution in summer. The formation of
259 secondary NACs is also supported by both field and modeling studies (Harrison et al., 2005;
260 Inuma et al., 2010; Yuan et al., 2016). The last source factor, with high loadings of
261 levoglucosan, vanillic acid, vanillin and syringyl acetone, was identified as biomass burning,
262 which has higher contributions in fall and winter. The emission of NACs from biomass
263 burning was reported by field studies, and was considered to be an important source of NACs
264 (Mohr et al., 2013; Lin et al., 2016; Teich et al., 2017).

265 The sources contributions for NACs in Xi'an are shown in Fig. 4, which shows obvious
266 seasonal differences. In spring, vehicular emission (41%) was the main contributor to NACs.
267 Secondary formation (26%) and biomass burning (20%) also contributed significantly. In
268 summer, secondary formation had the highest contribution (45%), which was likely due to
269 enhanced photochemical oxidation leading to the formation of NACs. Besides, vehicular
270 emission also contributed significantly (34%) in summer. In fall, biomass burning (45%)
271 contributed the most, while secondary formation (30%) and vehicular emission (23%) also
272 had significant contributions. In winter, coal burning (39%) and biomass burning (36%) were
273 the main contributors, which can be attributed to emissions from residential heating activities.
274 It is worth noting that the absolute concentrations of NACs attributed by vehicle emission
275 (see Table S6) were higher in winter than those in spring and summer, yet these differences of
276 less than 20 times are not as significant as the differences (spring and summer vs. winter) for
277 NACs attributed by other primary emissions (> 80 times for coal burning and > 40 for
278 biomass burning). These results indicate that anthropogenic primary sources are the main
279 contributors to NACs in Xi'an, suggesting that control of anthropogenic emissions (biomass
280 burning and coal burning) is important for mitigating pollution of NACs in this region.
281 Secondary formation also contributes significantly to NACs, especially in summer. Further
282 comprehensive field studies are necessary for understanding the formation mechanisms of
283 NACs under different atmospheric conditions.

284 3.3 Backward trajectory analysis of NACs

285 To reveal the source origins of the NACs, the concentrations of NACs were grouped
286 according to their trajectory clusters that represent different air mass origins, as shown in Fig.
287 5. In general, the air masses from local emissions (Cluster 1 in spring and fall and Cluster 2 in
288 summer and winter), which showed the features of small-scale and short-distance air transport,
289 caused significant increases in NAC concentrations. As for regional transport, the air masses
290 from the neighboring Gansu province across Baoji city before arriving at Xi'an presented
291 higher concentrations of NACs in fall and winter (Cluster 2 and Cluster 3, respectively). In
292 addition, air masses from Xinjiang across Gansu caused increased concentrations of NACs in
293 spring and summer (Cluster 2 and Cluster 1, respectively). A small proportion of air masses
294 from the northwest (Cluster 3 in spring and Cluster 1 in winter), the south (Cluster 3 in
295 summer) and the west (Cluster 3 in fall), which showed long or moderate transport patterns,
296 are related to the lowest concentrations of NACs. This may be due to the long-distance
297 transport or relatively clean air from those regions. In the same season, the source origins of
298 air masses were different between clusters, thus causing the difference in concentrations of
299 NACs. However, the composition of NACs was similar between clusters, which is
300 comparable to the results of Chow et al. (2015).

301 **3.4 Light absorption of NACs**

302 The correlations between NAC concentration and $Abs_{BrC,365}$ for each season are shown in
303 Fig. S2. The correlations are stronger in fall ($r^2 = 0.68$) and winter ($r^2 = 0.63$) compared to
304 those in spring ($r^2 = 0.15$) and summer ($r^2 = 0.40$). These results indicate that NACs are
305 important components of BrC chromophores in fall and winter.

306 Fig. 6 shows the contributions of NACs to BrC light absorption at wavelength from 300
307 to 500 nm ($Abs_{BrC,300-500}$) as well as the carbon mass contributions of NACs to OC. The
308 contributions of NACs to $Abs_{BrC,300-500}$ are wavelength dependent and vary largely in different
309 seasons. High contributions at wavelengths of 350-400 nm were observed in fall and winter,
310 but the contributions in spring and summer were mainly at wavelengths shorter than 350 nm.
311 These results may be due to the high proportion of nitrocatechols in fall and winter (see
312 discussion above), which have strong light absorption at wavelength above 350 nm (see Fig.
313 S3). The seasonal average contributions of NACs to $Abs_{BrC,365}$ were highest in winter ($0.91 \pm$

314 0.30%), followed by fall ($0.36 \pm 0.22\%$), spring ($0.14 \pm 0.04\%$), and summer ($0.09 \pm 0.06\%$)
315 (see Table S4). These contributions were comparable to a previous study where eight NACs
316 were measured (Teich et al., 2017). The contributions of NACs to $Ab_{\text{BrC},365}$ in winter were
317 about 10 times higher compared to those in summer, which could be due to the high
318 emissions of NACs in winter. Alternatively, enhanced atmospheric oxidizing capacity in the
319 summer can lead to enhanced formation of secondary NACs or the degradation/bleaching of
320 certain NACs (Barsotti et al., 2017; Hems and Abbatt, 2018; Wang et al., 2019) which might
321 eventually reduce the contributions in summer. The fractions of NACs to total OC also show
322 obvious seasonal variation, with average contributions higher in winter ($0.14 \pm 0.05\%$) and
323 fall ($0.05 \pm 0.02\%$) and lower in spring ($0.02 \pm 0.01\%$) and summer ($0.01 \pm 0.01\%$). The
324 contributions of NACs to BrC light absorption at 365 nm are, however, 6-9 times larger than
325 their carbon mass contributions to total OC. Our results echo previous studies that even small
326 amounts of chromophores can have a non-negligible impact on the optical characteristics of
327 BrC due to their disproportional absorption contributions (Mohr et al., 2013; Zhang et al.,
328 2013; Teich et al., 2017; Xie et al., 2017).

329 The daily contributions of the individual NACs to light absorption of total NACs at
330 wavelength of 300-500 nm are shown in Fig. 7. Similar to the concentration fractions in
331 NACs, nitrocatechols were the main contributors in winter and fall with contributions of
332 38-65% and 18-62%, respectively. On the other hand, nitrophenols dominated in spring and
333 summer with contributions of 61-96% and 27-100%, respectively. As for nitrophenols,
334 4-nitrophenol was the most important chromophore, followed by 2-methyl-4-nitrophenol,
335 3-methyl-4-nitrophenol, and 2,6-dimethyl-4-nitrophenol (only observed in winter). As for
336 nitrocatechols, 4-nitrocatechol was the main contributor in four seasons, while
337 3-methyl-5-nitrocatechol and 4-methyl-5-nitrocatechol also contributed significantly in fall
338 and winter. For nitrosalicylic acids, 5-nitrosalicylic acid contributed in all four seasons but
339 contributed the most in summer, while 3-nitrosalicylic acid was only observed in winter,
340 which could be attributed to their different sources, as discussed above.

341 The seasonal contributions of individual NACs to total light absorption of NACs at
342 wavelength of 365 nm are shown in Fig. 3b. The relative contribution trends of

343 4-nitrophenol > 4-nitrocatechol > 2-methyl-4-nitrophenol > 5-nitrosalicylic acid >
344 3-methyl-4-nitrophenol, 4-nitrophenol > 4-nitrocatechol > 5-nitrosalicylic acid >
345 2-methyl-4-nitrophenol > 3-methyl-4-nitrophenol, 4-nitrocatechol > 4-nitrophenol >
346 4-methyl-5-nitrocatechol > 3-methyl-5-nitrocatechol > 5-nitrosalicylic acid >
347 2-methyl-4-nitrophenol > 3-methyl-4-nitrophenol, 4-nitrocatechol >
348 4-methyl-5-nitrocatechol > 4-nitrophenol > 3-methyl-5-nitrocatechol >
349 2-methyl-4-nitrophenol > 4-nitro-1-naphthol > 5-nitrosalicylic acid >
350 3-methyl-4-nitrophenol > 3-nitrosalicylic acid > 2,6-dimethyl-4-nitrophenol were observed in
351 spring, summer, fall and winter, respectively. These trends were different from their
352 concentration fractions in OC, which may be mainly due to the differences in light absorption
353 ability (see Fig. S3). For example, 4-nitrocatechol has lower mass concentration, but higher
354 light absorption contribution, compared to 4-nitrophenol. These results suggest that mere
355 compositional information of NACs might not be directly translated into impacts on optical
356 property, because they have startlingly different absorption properties.

357 4 Conclusion

358 In this study, ten individual NAC species were quantified, together with 19 organic
359 markers, in PM_{2.5} in Xi'an, Northwest China. The average concentrations of NACs were 2.1,
360 1.1, 12.9, and 56.3 ng m⁻³ in spring, summer, fall, and winter, respectively. Higher
361 concentrations of NACs in winter than in summer were also observed in previous studies in
362 Asia, Europe and the USA. Four major sources of NACs were identified in Xi'an based on
363 PMF analysis, including vehicle emission, coal combustion, secondary formation and biomass
364 burning. On average, in spring, vehicular emission (41%) was the main contributor of NACs,
365 and secondary formation (26%) and biomass burning (20%) also had relatively large
366 contributions. In summer, secondary formation contributed the most (45%), which was likely
367 due to the enhanced photochemical formation of secondary NACs that outweighs
368 photo-degradation/bleaching. Besides, vehicular emission (34%) also had significantly
369 contribution in summer. In fall, biomass burning (45%) contributed the most, and secondary
370 formation (30%) and vehicular emission (23%) also made significant contributions. In winter,
371 coal burning (39%) and biomass burning (36%) contributed the most, which can be attributed

372 to emissions from residential heating activities. Backward trajectory cluster analyses indicate
373 that both regional and local contributions for NACs were significant in Xi'an. Local
374 contributions were 53, 47, 66 and 44% in the four seasons, and regional transport was mainly
375 through the northwest transport channel. The light absorption contributions of NACs to BrC
376 were quantified and also showed large seasonal variations. The seasonal average contributions
377 of total NACs to BrC light absorption at wavelength of 365 nm ranged from 0.1% to 0.9%,
378 which were 6-9 times higher than their carbon mass fractions in total OC. Our results suggest
379 that even a small amount of chromophores can have significant impacts on the optical
380 characteristics of BrC and more studies are needed to better understand the seasonal
381 differences in chemical composition and formation processes of NACs and the link with their
382 optical properties.

383

384 *Data availability.* Raw data used in this study are archived at the Institute of Earth
385 Environment, Chinese Academy of Sciences, and are available on request by contacting the
386 corresponding author.

387 *Supplement.* The Supplement related to this article is available online at

388 *Author contributions.* RJH designed the study. Data analysis was done by WY, LY, and RJH.
389 WY, LY and RJH interpreted data, prepared the display items and wrote the manuscript. All
390 authors commented on and discussed the manuscript.

391 *Competing interests.* The authors declare that they have no conflict of interest.

392

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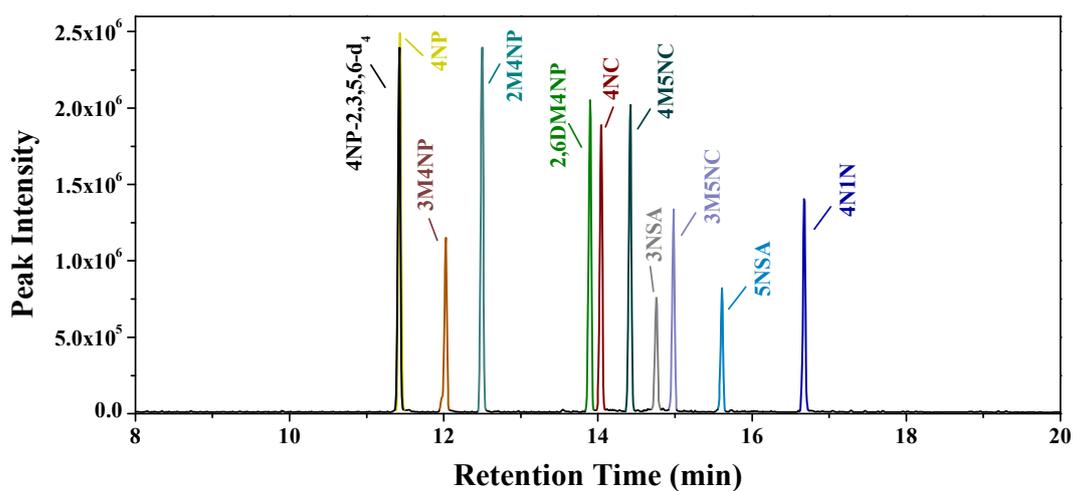
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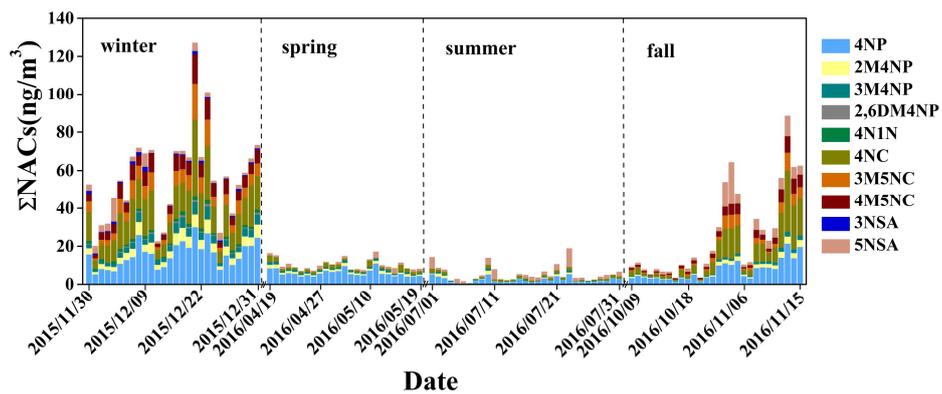
614 **Table 1.** Mean and standard deviation (if applicable) of the measured mass concentrations of
615 individual NAC in Xi'an in comparison to those in other studies.

Locations	Concentrations (ng m ⁻³)										Reference
	4NP	2M4NP	3M4NP	2,6DM4NP	4N1N	4NC	3M5NC	4M5NC	3NSA	5NSA	
Europe											
TROPOS, Germany, winter 2014	7.09 (7.08)	3.64 (3.05)	2.60 (2.22)	0.65 (0.58)					1.36 (1.02)	0.94 (0.75)	Teich et al., 2017
Melpitz, Germany, summer 2014	0.06 (0.03)	0.04 (0.00)	0.03 (0.00)						0.17 (0.15)	0.09 (0.09)	Teich et al., 2017
Melpitz, Germany, winter 2014	4.09 (3.27)	3.64 (3.06)	2.44 (2.20)	0.91 (0.90)					0.66 (0.69)	0.32 (0.24)	Teich et al., 2017
Ljubljana, Slovenia, summer 2010	0.15	0.05	<0.03			0.24	0.1	0.06	0.09	0.18	Kitanovski et al., 2012
Ljubljana, Slovenia, winter 2010	1.8	0.75	0.61			75	34	29	1.3	1.4	Kitanovski et al., 2012
Villa Ada park, Rome, spring 2003	17.8 (5.6)		7.8 (2.6)	5.9 (2.9)							Cecinato et al., 2005
Waldstein, Germany, summer 2014									0.17 (0.11)	0.23 (0.12)	Teich et al., 2017
USA											
Research Triangle Park, USA, summer 2013	0.018 (0.027)	0.005 (0.009)				0.057 (0.042)					Xie et al., 2019
Lowa City, USA, fall 2015	0.63 (0.48)	0.08 (0.05)				1.60 (2.88)		1.61 (1.77)		0.14 (0.08)	Al-Naiema and Stone, 2017
Asia											
Hong Kong, China, spring 2012	0.36	0.18	0.03	0.01		0.25	0.05	0.05			Chow et al., 2015
Hong Kong, China, summer 2012	0.54	0.3	0.02	0.01		1.48	0.63	0.25			Chow et al., 2015
Hong Kong, China, fall 2012	0.92	0.39	0.04	0.01		2.45	0.94	0.44			Chow et al., 2015
Hong Kong, China, winter 2012	1.13	0.65	0.07	0.01		2.39	1.35	0.53			Chow et al., 2015
Xianghe, China, summer 2013	0.98 (0.78)	0.32 (0.21)	0.09 (0.07)	0.06 (0.05)					1.21 (1.45)	0.88 (0.64)	Teich et al., 2017
Wangdu, China, summer 2014	2.63 (2.66)	0.68 (0.78)	0.21 (0.35)	0.06 (0.09)					3.14 (3.05)	1.63 (0.78)	Teich et al., 2017
Xi'an, China, spring 2016	1.19 (0.36)	0.24 (0.08)	0.18 (0.05)			0.28 (0.18)				0.15 (0.15)	This study
Xi'an, China, summer 2016	0.45 (0.28)	0.10 (0.10)	0.07 (0.06)			0.16 (0.11)				0.29 (0.41)	This study
Xi'an, China, fall 2016	3.6 (2.6)	0.73 (0.54)	0.44 (0.35)			3.9 (4.0)	1.23 (1.34)	1.35 (1.24)		1.72 (2.3)	This study
Xi'an, China, winter 2015	15.6 (6.6)	4.5 (1.72)	3.4 (1.52)	0.55 (0.39)	1.16 (0.53)	15.5 (7.4)	6.4 (3.7)	6.2 (2.9)	0.84 (0.56)	2.3 (2.4)	This study
Nagoya, summer 2013	1.1 (0.54)	0.49 (0.48)	0.17 (0.13)		0.98 (1.5)	0.74 (0.72)		0.081 (0.077)	0.33 (0.38)	0.75 (0.84)	Ikemori et al., 2019
Nagoya, Japan, fall 2013	7.0 (3.9)	3.2 (2.7)	1.1 (0.76)		0.76 (0.64)	6.8 (10.8)		1.6 (2.9)	0.27 (0.20)	0.67 (0.41)	Ikemori et al., 2019



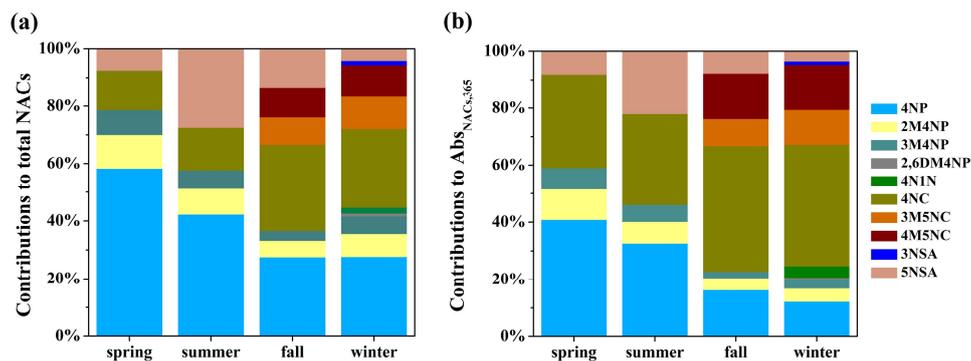
616

617 **Figure 1.** Selected ion monitoring chromatograms for the nitrated aromatic compound
 618 standards (2 ug mL⁻¹). (4NP-2,3,5,6-d₄: 4-nitrophenol-2,3,5,6-d₄, 4NP: 4-nitrophenol, 3M4NP:
 619 3-methyl-4-nitrophenol, 2M4NP: 2-methyl-4-nitrophenol, 2,6DM4NP:
 620 2,6-dimethyl-4-nitrophenol, 4NC: 4-nitrocatechol, 4M5NC: 4-methyl-5-nitrocatechol, 3NSA:
 621 3-nitrosalicylic acid, 3M5NC: 3-methyl-5-nitrocatechol, 5NSA: 5-nitrosalicylic acid, 4N1N:
 622 4-nitro-1-naphthol).



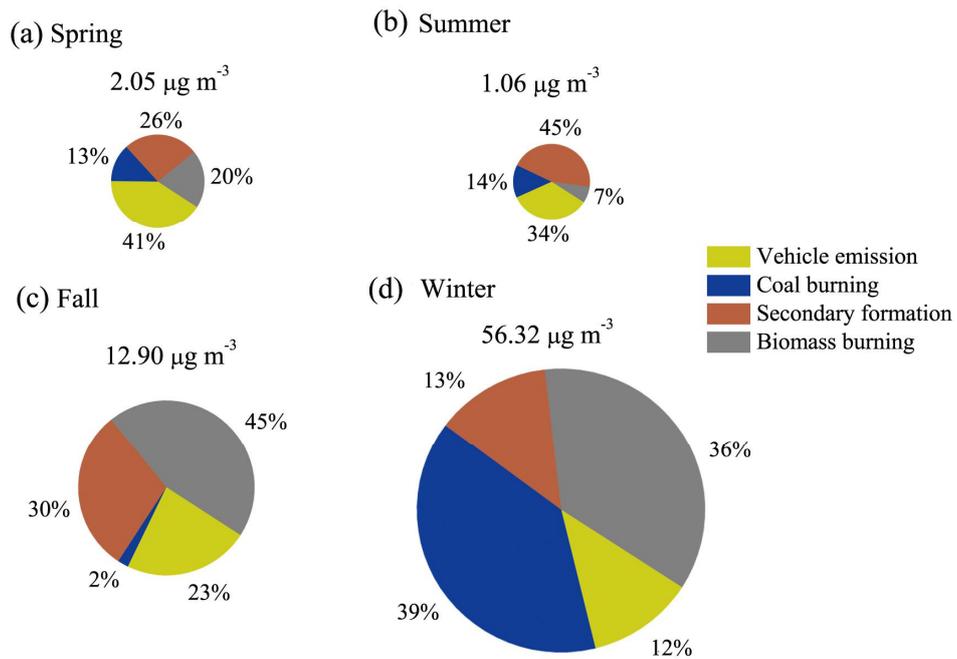
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624 **Figure 2.** Time series of the concentrations of nitrated aromatic compounds in the aerosol
 625 sample (spring and summer $\times 5$, fall $\times 2$). The full names of these compounds are shown in
 626 Table S1.

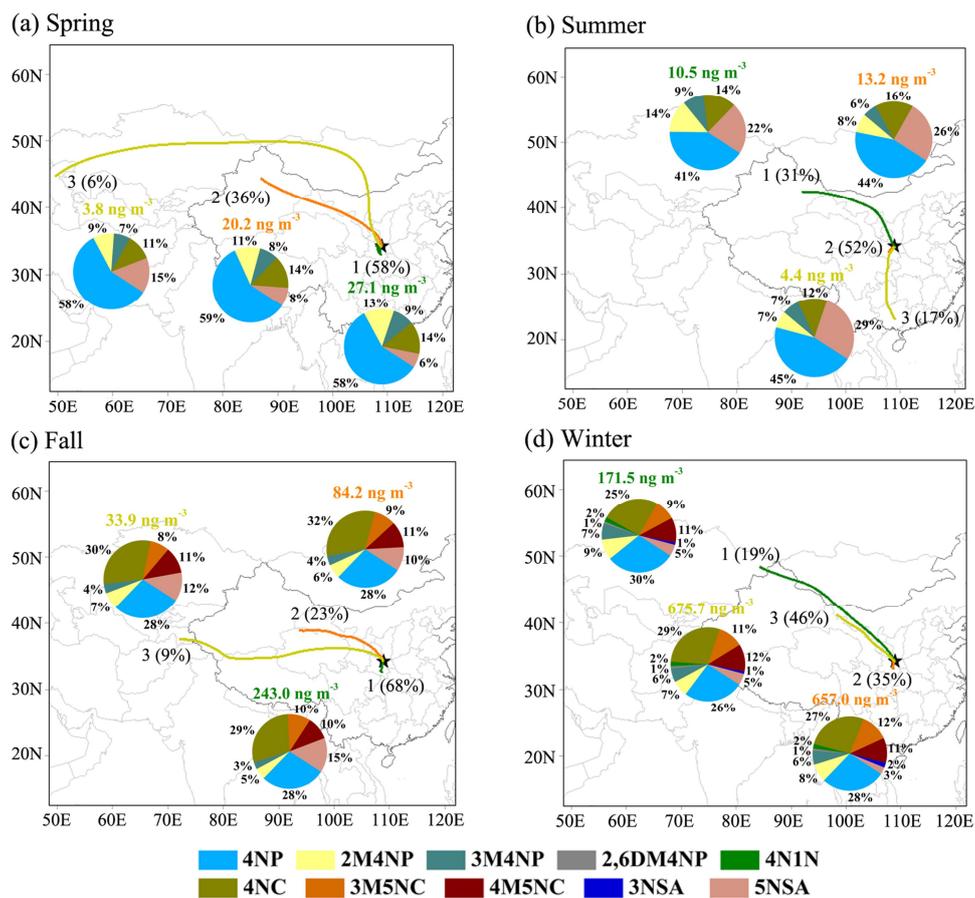


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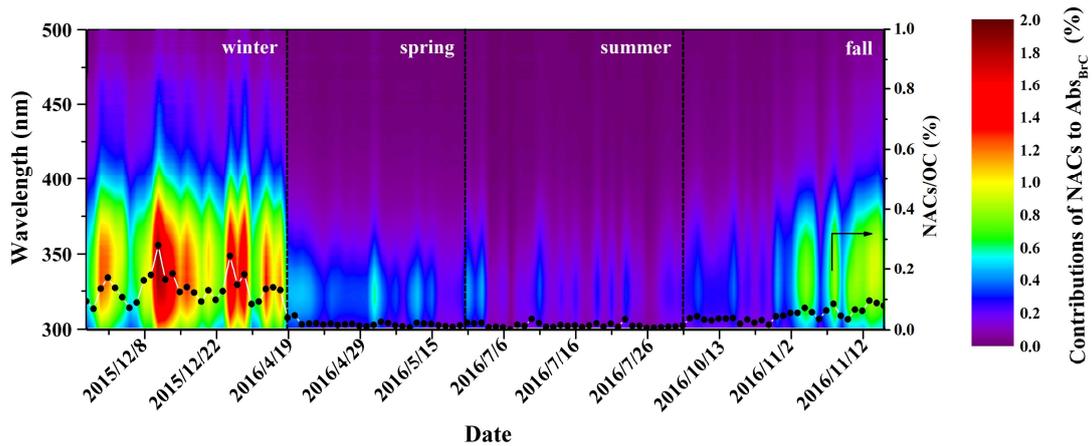
628 **Figure 3.** The average contributions of individual nitrated aromatic compounds to (a) the total
 629 concentration and (b) the total light absorption at wavelength 365 nm of particulate nitrated
 630 aromatic compounds in four seasons. The full names of these compounds are shown in Table
 631 S1.



632 **Figure 4.** Contributions of source factors to the concentrations of NACs in four seasons.

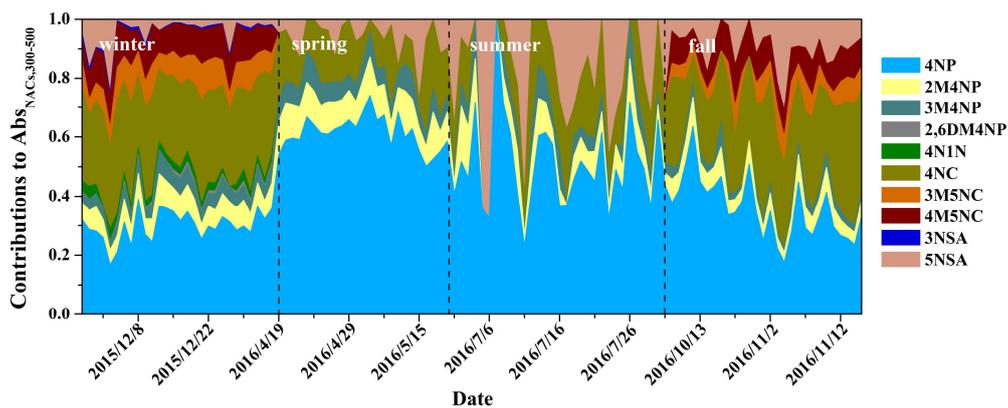


633 **Figure 5.** NACs at each 72-h backward trajectory cluster during (a) spring, (b) summer, (c)
 634 fall and (d) winter. The full names of these compounds are shown in Table S1.



635

Figure 6. Time series of the light absorption contributions of total NACs to Abs of brown carbon over the wavelength from 300 to 500 nm (color scale and left axis), and the ratio of concentration of NACs to organic carbon (dots and right axis).



636

637 **Figure 7.** Daily contributions of individual NACs to light absorption of total NACs at

638 wavelength of 300-500 nm. The full names of these compounds are shown in Table S1.