Reply to Reviewer #2:

Comments to the Author: This paper documents the PM2.5 changes as a result of COVID-19 in China. Following my previous comments the authors have made many useful revisions and the paper is much improved. I recommend it **be accepted** subject to the following mainly **minor comments being addressed**. Overall I think my revisions are minor, but they are important:

1. Figure S1 is very helpful and should be added to the main text. With reference to Figure S1a, the authors should comment on the substantial underestimate of the PM2.5 in northern China (not just in Beijing) and in the westernmost parts of your figure at 35N, and calculate a normalized mean bias from their figure and add it to the text with a comparison to the number obtained by Dang and Liao (2019).

They should also discuss Figure S1b in detail in the text, rather than mentioning it in passing. It shows that on average the 2010 emissions substantially overestimate PM2.5 everywhere and the 1985 emissions still overestimate PM2.5, especially in the south, but agree better with the measurements. This is a good justification for using the 1985 inventory, which the authors should explain at line 119, and then add a substantial new paragraph to the text detailing their evaluation.

Reply:

Appreciate for your detailed and valuable comments. In terms of the evaluation of GEOS-Chem model, we have **made a more complete description based on your comments** and **added Figure S1 to the main text as Figure 2**.

(1) Dang and Liao (2019) compared the simulated and observed daily mean $PM_{2.5}$ concentrations at the Beijing with a normalized mean bias (NMB) of -9.2%. The simulations in February 2017 in this study substantially underestimated the $PM_{2.5}$ in northern China with a normalized mean bias (NMB) of -3.0%. Among them, the NMB in The Beijing-Tianjin-Hebei region was -3.3%. However, in the Fenwei plain (the westernmost parts of the figure at 35N), the underestimation was even more pronounced, with NMB reaching -16.3%.

(2) In North China, Yangtze River Delta and Hubei Province, the correlation coefficients between daily $PM_{2.5}$ observations and simulated data under 2010 (1985) emission scenario reached 0.83 (0.82), 0.67 (0.63), and 0.79 (0.73), respectively. The correlation coefficients under 2010 emission scenario were all higher than that under

1985 emission scenario maybe due to the **emissions from each sector in 2010 were more similar to recent years**, which was more reasonable. Therefore, we selected the percentages due to different meteorology between 2020 and 2017 calculated under the 2010 emission scenario, instead of making the selection based on the simulation results of the real $PM_{2.5}$ value, which was also **mentioned in the following text** as below.

 $PM_{2.5}$ between each year and 2017 under the same emission scenario divided by the simulated $PM_{2.5}$ in 2017. For example, the

130 percentages due to different meteorology between 2020 and 2017 were 22.1% (21.4%), -1.2% (-0.7%) and 9.0% (8.2%) in

131 NC, YRD and HB under the low (high) emissions (Fig. S2). The percentage under 2010 emission scenario was selected as the

- 132 final percentage because the emissions from each sector in 2010 were more similar to recent years, and thus was more
- 133 reasonable. Then, through multiplying the 2017 observation by this percentage, PMd_M can be quantified in each simulation

The evaluation of the simulated $PM_{2.5}$ concentration under 2010 emission and 1985 emission in February 2020 was also introduced in the old version as below. In the revised version, we present this section **as a new and separate paragraph** and give a more detailed evaluation and explanation.

Revision:

Lines 92-98: The absolute biases were larger in the south of China, which was consistent with Dang and Liao (2019). They also compared the simulated and observed daily mean PM_{2.5} concentrations at the Beijing, Shanghai, and Chengdu grids, which had a low bias in Beijing with a normalized mean bias (NMB) of -9.2% and high biases with NMBs of 18.6% and 28.7% in Shanghai and Chengdu, respectively. The simulations in February 2017 in this study substantially underestimated the PM_{2.5} in NC with an NMB of -3.0% (Fig. 1a). Among them, the NMB in The Beijing-Tianjin-Hebei region was -3.3%. However, in the Fenwei plain, the underestimation was even more pronounced, with NMB reaching -16.3%.

Lines 102-109: In NC, YRD and HB, the correlation coefficients between daily $PM_{2.5}$ observations and simulated data under 2010 (1985) emission scenario reached 0.83 (0.82), 0.67 (0.63), and 0.79 (0.73), respectively (Fig.1b), and could capture the maximum and minimum $PM_{2.5}$ concentrations...... The correlation coefficients under 2010 emission scenario were all higher than that under 1985 emission scenario maybe due to the emissions from each sector in 2010 were more similar to recent years, which was more reasonable.

2. Rephrase first sentence: "blew China" is not conventional English. "swept through China" would be OK. In general, the quality of the written English could still be improved significantly in several other places not mentioned below, I recommend the authors seek advice from colleagues if at all possible, or assistance from the copy-editors.

Reply:

Thank you for this detailed suggestions. We have rephrased "blew China" to "swept through China" and checked the quality of the written English of the whole text. *Revisions:*

Line 23: The COVID-19 pandemic devastatingly swept through China in the beginning of 2020.....

3. Line 50-52 are you referring to the same rebound. Rephrase to avoid repetition. *Reply:*

These were **two different rebound**. One was the severe haze events occurring in 2016 December, indicating a rebound of $PM_{2.5}$ comparing to 2014-2015, and the other was the rebound of $PM_{2.5}$ in winter 2018 comparing to 2017 under the same intensified regional air pollution preventions. We have rephrased the explanation to make it clearer. *Revision:*

Lines 43-47: The continuous low surface wind speed of less than 2ms-1, high humidity above 80% and strong temperature inversion lasting for 132h caused the serious haze event in 2016 (Yin and Wang, 2017). In winter 2017, the air quality in North China largely improved; however, the stagnant atmosphere in 2018 resulted in a major PM_{2.5} rebound comparing to 2017 by weakening transport dispersion and enhancing the chemical production of secondary aerosols (Yin and Zhang 2020).

4. Line 92 which aerosol microphysics is used here? *Reply:*

According to the official website of GEOS-Chem, in the mechanism we run, these two alternate simulations of aerosol microphysics were both simulated. We have explained in the text.

Revision:

Lines 85-86: Two alternate simulations of aerosol microphysics are implemented in

GEOS-Chem: the TOMAS simulation (Kodros and Pierce, 2017) and the APM simulation (Yu and Luo, 2009), which were both simulated in the experiments.

5. Line 103 please be quantitative, add the magnitude of the biases to the text. *Reply:*

Dang and Liao (2019) compared the simulated and observed daily mean PM2.5 concentrations at the Beijing, Shanghai, and Chengdu grids, which had a low bias in Beijing with a normalized mean bias (NMB) of **-9.2%** and high biases with NMBs of **18.6%** and **28.7%** in Shanghai and Chengdu, respectively. We have added the specific biases to the text.

Revisions:

Lines 94-96: They also compared the simulated and observed daily mean $PM_{2.5}$ concentrations at the Beijing, Shanghai, and Chengdu grids, which had a low bias in Beijing with a normalized mean bias (NMB) of -9.2% and high biases with NMBs of 18.6% and 28.7% in Shanghai and Chengdu, respectively.

6. Line 203 and 206 need to explain how the significance testing was carried out. *Reply:*

We use t test which depends on t distribution. According to querying the critical value table of correlation coefficient by reliability and degree of freedom, the critical correlation coefficient that passes the t test is obtained. If the calculated correlation coefficient is greater than the critical correlation coefficient, it means passing the significance t test of the corresponding reliability. We have explained in the text that we used t test to test the significance of correlation coefficients.

Revision:

Line 188: all of which passed the 95% significance test using *t* test method Line 191: exceeding the 99% significance test using *t* test method

7. Line 262 "break-off transportation" needs rephrasing.

Reply:

We have rephrased the "break-off transportation" to "the disruption of transportations".

Revision:

Line 245: Because of the disruption of transportations.....

8. Line 296 "approximation was lack of considering"-> "approximation did not consider"

Reply:

We have changed "approximation was lack of considering" to "approximation did not consider".

Revisions

Line 275: we must note that this approximation did not consider the meteorology-

emission interactions.....

9. The word 'conjecture' is inappropriate, I would comment that the technique introduces uncertainty.

Reply:

We have changed "conjecture" to "estimated value", which could show our meaning appropriately.

Revision:

Line 280:it is still estimated value rather than true value.....

10. Figure 1b caption: specify time period for ratios

Reply:

The time period for ratios is **until the end February**. We have specified it in the caption.

Revisions:

Line 450:(b) The ratio of work resumption in large industrial enterprises in the east of China until the end February.....

Evident PM_{2.5} Drops in the East of China due to the COVID-19 Quarantines in February

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10 Abstract. The top-level emergency response to the COVID-19 pandemic involved an exhaustive quarantine in China. The impacts of COVID-19 quarantine on the decline in fine particulate matter (PM2.5) were quantitatively assessed based on 11 12 numerical simulations and observations in February. Relative to both of February 2017 and climate mean, anomalous 13 southerlies and moister air occurred in the east of China in February 2020, which caused considerable PM_{2.5} anomalies. Thus, 14 it is a must to disentangle the contributions of stable meteorology from the effects of the COVID-19 lockdown. The 15 contributions of routine emission reductions were also quantitatively extrapolated. The top-level emergency response 16 substantially alleviated the level of haze pollution in the east of China. Although climate variability elevated the PM_{2.5} by 29% 17 (relative to 2020 observations), 59% decline related to COVID-19 pandemic and 20% decline from the expected pollution 18 regulation dramatically exceeded the former in North China. The COVID-19 quarantine measures decreased the PM_{2.5} in 19 Yangtze River Delta by 72%. In Hubei Province where most pneumonia cases were confirmed, the impact of total emission 20 reduction (72%) evidently exceeded the rising percentage of PM_{2.5} driven by meteorology (13%).

21 Keywords: COVID-19, PM_{2.5}, Emission Reduction, Climate Variability, Haze

22 1 Introduction

23 The COVID-19 pandemic devastatingly blewswept through China in the beginning of 2020 (Luo, 2020; Xia et al., 2020; 24 Cao et al., 2020). By April 2020, more than 84 thousand confirmed cases were reported by the National Health Commission 25 of China, approximately 75% of which were confirmed in February (Fig. 1a). To effectively control the large spread of COVID-26 19 pneumonia, stringent quarantine measures were implemented by the Chinese government and people themselves, including 27 prohibiting social activities, shuttering industries, stopping transportation, etc. (Chen S. et al., 2020). The abovementioned 28 emergency response measures were first carried out in Wuhan on 23 January, which resulted in the delayed arrival of COVID-29 19 in other cities by 2.91 days, and these response measures were in effect in all cities across China, thus limiting the spread 30 of the COVID-19 epidemic in China (Tian et al., 2020). Since March 7, the number of newly confirmed cases in China has

31 been nearly below 100. On the other hand, the COVID-19 quarantine measures greatly reduced anthropogenic emissions, and 32 therefore, the air quality in China was considerably improved (Wang et al., 2020). Chen K. et al. (2020) simply compared 33 observations of atmospheric components before and during the guarantine and found that the concentration of fine particulate 34 matter (PM_{2.5}) in Wuhan decreased 1.4 µg/m³, but it decreased 18.9 µg/m³ in 367 cities across China. Shi et al. (2020) quantified 35 a 35% reduction of PM_{2.5} on average during the COVID-19 outbreak compared to the pre-COVID-19 period. Huang et al. 36 (2020) used comprehensive measurements and modeling to show that the haze during COVID-19 lockdown was driven by 37 enhancements of secondary pollution, which offset reduction of primary emissions during this period in China. However, the 38 impacts of meteorology on the air quality were neglected in many previous studies.

39 Climate variability notably influences the formation and intensity of haze pollution in China (Yin and Wang 2016; Xiao 40 et al., 2015; Zou et al., 2017), and the impacts are embodied by variations in surface wind, boundary layer height and moisture 41 conditions (Shi et al., 2019; Niu et al., 2010; Ding et al., 2014). During December 16th-21st 2016, although most aggressive 42 control measures for anthropogenic emissions were implemented, severe haze pollution with $PM_{2.5}$ concentrations $\approx 1100 \mu g$ 43 m⁻³ still occurred and covered 710,000km². The continuous low surface wind speed of less than 2ms⁻¹, high humidity above 44 80% and strong temperature inversion lasting for 132h caused the rebound of serious haze event wintertime PM2.5-in 2016 45 (Yin and Wang, 2017). In winter 2017, the air quality in North China largely improved; however, the stagnant atmosphere in 46 2018 resulted in a major PM_{2.5} rebound <u>comparing to 2017</u> by weakening transport dispersion and enhancing the chemical 47 production of secondary aerosols (Yin and Zhang 2020). Wang et al. (2020) applied the Community Multiscale Air Quality 48 model to emphasize that the role of adverse meteorological conditions cannot be neglected even during the COVID-19 49 outbreak. From February 8 to 13 2020, North China suffered severe pollutions, with maximum daily PM_{2.5} exceeding 200µg 50 m⁻³. During this period, weak southerly surface winds lasted for nearly 5 days, relative humidity was close to 100%, and 51 atmospheric inversion reached more than 10°C. Although pollution emissions from basic social activities have been reduced, 52 heavy pollution still occurred when adverse meteorological conditions characterized by stable air masses appeared (Wang et 53 al., 2020).

54 After the severe haze events of 2013, routine emission reductions resulted in an approximately 42% decrease in the annual 55 mean PM_{2.5} concentration between 2013 and 2018 in China (Cleaner air for China, 2019). In November 2019, the Ministry of 56 Environmental Protection of China issued a series of Autumn-Winter Air Pollution Prevention and Management Plans 57 indicating that the routine emission reductions would be conventionally implemented in the following winter (Ministry of 58 Environmental Protection of China, 2019). As reported by the government, the mean ratio of work resumption in large 59 industrial enterprises was approximately 90% in the east of China until the end of February (Fig. 1b). In this study, we attempted 60 to quantify the impacts of the COVID-19 pandemic on the observed PM2.5 concentration in February 2020 when the quarantine 61 measures were the strictest. The official 7-day Chinese New Year holiday occurs in January and February and commonly 62 accounts for approximately 25% of a month. From 2013–2020, there were only two years (2017 and 2020) when the official

63 7-day holiday occurred in January (Fig. 1c). Thus, to avoid the impacts of the Spring Festival, the observed PM_{2.5} concentration

64 in February 2017 (Fig. 1a) was adopted to calculate the $PM_{2.5}$ difference, which was decomposed into the results due to

65 expected routine emission reductions, changing meteorology climate variability, and COVID-19 quarantines.

66 2 Datasets and methods

67 2.1 Data description

Monthly mean meteorological data from 2015 to 2020 were obtained from NCEP/NCAR reanalysis datasets, with a horizontal resolution of $2.5^{\circ} \times 2.5^{\circ}$, including the geopotential height at 500 hPa (H500), zonal and meridional winds at 850 hPa, vertical wind from the surface to 150 hPa, and relative humidity at the surface (Kalnay et al., 1996). PM_{2.5} concentration data from 2015 to 2020 were acquired from the China National Environmental Monitoring Centre (https://quotsoft.net/air/). The monitoring network expanded from 1500 sites in 2015 to 1640 sites in 2020, covering approximately 370 cities nationwide. The PM_{2.5} data were monitored every 5 min using two methods: a tapered element oscillating microbalance and β-rays, which were operated under the China National Quality Control.

75 2.2 GEOS-Chem description, evaluation and experimental design.

76 We used the GEOS-Chem model (http://acmg.seas.harvard.edu/geos/) to simulate the PM2.5 concentration, driven by 77 MERRA-2 assimilated meteorological data (Gelaro et al., 2017). The nested grid over China (15° N-55° N, 75-135° E) had a 78 horizontal resolution of 0.5° latitude by 0.625° longitude and consisted of 47 vertical layers up to 0.01 hPa. The GEOS-Chem 79 model included the fully coupled O₃-NOx-hydrocarbon and aerosol chemistry module with more than 80 species and 300 80 reactions (Bey et al., 2001; Park et al., 2004). The PM2.5 components simulated in the GEOS-Chem model included sulfate, 81 nitrate, ammonium, black carbon and primary organic carbon, mineral dust, and sea salt. Aerosol thermodynamic equilibrium 82 is computed by the ISORROPIA package, which calculates the gas-aerosol partitioning of the sulfate-nitrate-ammonium 83 system (Fountoukis and Nenes, 2007). Heterogeneous reactions of aerosols include the uptake of HO₂ by aerosols (Thornton 84 et al., 2008), irreversible absorption of NO₂ and NO₃ on wet aerosols (Jacob, 2000), and hydrolysis of N₂O₅ (Evans and Jacob, 85 2005). Two alternate simulations of aerosol microphysics are implemented in GEOS-Chem: the TOMAS simulation (Kodros 86 and Pierce, 2017) and the APM simulation (Yu and Luo, 2009), which were both simulated in the experiments.

GEOS-Chem model has been widely used to examine the historical changes in air quality in China and quantitatively separate the impacts of physical-chemical processes. Using the GEOS-Chem model, Yang et al. (2016) found an increasing trend of winter $PM_{2.5}$ concentrations during 1985–2005, 80% of which due to anthropogenic emissions and 20% due to meteorological conditions. Here, we simulated the $PM_{2.5}$ concentrations in February 2017 and evaluated the performance of GEOS-Chem (Fig. <u>\$12</u>a). The values of mean square error / mean equals were 5.8%, 7.0% and 5.4% in North China (NC),

92 Yangtze River Delta (YRD) and Hubei Province (HB), respectively, indicating the good performance of reproducing the haze-93 polluted conditions. The absolute biases were larger in the south of China, which was consistent with Dang and Liao (2019). 94 They also compared the simulated and observed daily mean PM_{2.5} concentrations at the Beijing, Shanghai, and Chengdu grids, 95 which had a low bias in Beijing with a normalized mean bias (NMB) of -9.2% and high biases with NMBs of 18.6% and 28.7% 96 in Shanghai and Chengdu, respectively. The simulations in February 2017 in this study substantially underestimated the PM_{2.5} 97 in NC with an NMB of -3.0% (Fig. 2a). Among them, the NMB in The Beijing-Tianjin-Hebei region was -3.3%. However, in 98 the Fenwei plain, the underestimation was even more pronounced, with NMB reaching -16.3%. The simulated biases possibly 99 affected the subsequent results and brought uncertainties to some extent. The simulated spatial distribution of $PM_{2.5}$ was also 100 similar to that of observations with spatial correlation coefficient = 0.78.

101 We further verified whether the simulations could capture the roles of meteorological changes in February 2020 under a 102 substantial reduction in emissions because of COVID-19 quarantines. In NC, YRD and HB, the correlation coefficients 103 between daily PM_{2.5} observations and simulated data under 2010 (1985) emission scenario reached 0.83 (0.82), 0.67 (0.63), 104 and 0.79 (0.73), respectively (Fig. 2b-d), and could capture the maximum and minimum PM_{2.5} concentrations. For example, 105 in NC, the simulation could well simulate severe haze events (e.g., from 8-13 and 19-25 February) and good air quality events 106 (e.g., from 14–18 February), reflecting that it has ability to accurately capture the change of meteorological conditions-(Fig. 107 S1b). The correlation coefficients under 2010 emission scenario were all higher than that under 1985 emission scenario maybe 108 due to the emissions from each sector in 2010 were more similar to recent years, which was more reasonable.

109 The $PM_{2.5}$ concentration in February from 2015 to 2020 was simulated in this study. Due to delayed updates of the 110 emission 2010 inventory, we used the emissions data of 111 (http://geoschemdata.computecanada.ca/ExtData/HEMCO/AnnualScalar) and 1985 (M. Li et al., 2017) for the simulations, 112 which represented high- and low-emission scenarios, respectively. In total, we conducted two sets of numerical experiments 113 to drive the GEOS-Chem simulations, one combining the meteorological conditions from 2015 to 2020 with fixed emissions 114 in 1985 and the other with fixed emissions in 2010, which could determine the stability of simulated results.

115 2.3 The method to quantify the influence of the COVID-19 quarantine.

As mentioned above, we aimed to examine the impact of the COVID-19 quarantines on $PM_{2.5}$ over the February 2017 level basing on an observational-numerical hybrid method. The observed $PM_{2.5}$ difference in February 2020 (PMd_{OBS}) was linearly decomposed into three parts: the impacts of changing meteorology (PMd_M), expected routine emissions reductions (PMd_R) and COVID-19 quarantines (PMd_C), which was a reasonable approximation, and the decomposition equation was $PMd_{OBS} = PMd_M + PMd_R + PMd_C$. That is, $PMd_C = PMd_{OBS} - PMd_M - PMd_R$. It should be noted that PMd_C is the impact of the COVID-19 quarantines over the situation whereby the pandemic did not occur and routine emission reductions conventionally were in effect. The value of PMd_E (i.e., $PMd_R + PMd_C$) was the total impact of the emission reductions in 123 February 2020 over the 2017 level.

124 Simulated PM_{2.5} data driven by changing meteorology with two fixed-emissions (1985 and 2010) were employed to 125 determine the ratio of PMd_M of each year/ observed $PM_{2.5}$ in 2017. Depending on the GEOS-Chem simulations, we found that 126 the percentage of changed PM_{2.5} due to the differences in meteorology remained nearly constant regardless of the emission 127 level (Fig. S12), which was consistent with the results of Yin and Zhang (2020). This percentage was the difference of simulated 128 PM_{2.5} between each year and 2017 under the same emission scenario divided by the simulated PM_{2.5} in 2017. For example, the 129 percentages due to different meteorology between 2020 and 2017 were 22.1% (21.4%), -1.2% (-0.7%) and 9.0% (8.2%) in 130 NC, YRD and HB under the low (high) emissions (Fig. S12). The percentage under 2010 emission scenario was selected as 131 the final percentage because the emissions from each sector in 2010 were more similar to recent years, and thus was more 132 reasonable. Then, through multiplying the 2017 observation by this percentage, PMd_M can be quantified in each simulation 133 grid with respect to 2017 (STEP 1).

134 From 2015 to 2019, $PMd_c = 0$; thus, $PMd_R = PMd_{OBS} - PMd_M$. Here, we repeated STEP 1 to determine PMd_M in each year 135 from 2015 to 2019 relative to 2017 (i.e., $PMd_M = 0$ in 2017). After removing the effect of meteorological conditions in $PM_{2.5}$ 136 differences, PMd_R in all years except 2020 can also be calculated. According to many previous studies, the change in emissions 137 resulted in a linear change in air pollution in China from 2013-2019 (Wang et al., 2020; Geng et al., 2020) which might be 138 related to the huge emission reduction due to the implementation of clean air action. Because the signal of emissions reduction 139 in China had been particularly strong since 2013, it could be easily detected and the assumption of a linear reduction in 140 pollution caused by emission reduction was applicable in China in the past few years. Based on this approximation, we used 141 the method of extrapolation to speculate the impact of routine emission reduction on PM_{2.5}. We performed linear extrapolation 142 based on known PMd_R values from 2015 to 2019 to obtain PMd_R in 2020 (STEP 2, Fig. S23). This PMd_R in 2020was calculated 143 as the change of $PM_{2.5}$ caused by expected routine emission reduction, which did not actually happen, but merely gave an 144 assessment in the case of "if no COVID-19". In Beijing and Shanghai, for example, PM2.5 fell by 23.1% and 26.6% due to 145 routine emission reduction in 2019, respectively, compared with 2015. Zhou et al. (2020) indicated that emission reductions 146 caused 20-26% decreases in winter in Beijing which has been translated into 5 years. Zhang et al. (2020) also showed that the 147 emission controls in Beijing-Tianjin-Hebei (BTH) region have led to significant reductions in PM_{2.5} from 2013 to 2017 of 148 approximately 20% after excluding the impacts of meteorology. Geng et al. (2020) found a 20% drop in the main component 149 of PM_{2.5} in the Yangtze River Delta from 2013 to 2017. These results are consistent with our extrapolated results. Therefore, 150 it is reasonable to obtain PMd_R by extrapolation after disentangling the effects of meteorological conditions.

151 Through STEP 1 and STEP 2, PMd_c and PMd_R , respectively, in 2020 can be determined. PMd_{OBS} can be directly 152 calculated from the observed data. After removing the influences of climate anomalies and routine emission reductions, the 153 impact of COVID-19 quarantines on $PM_{2.5}$ (PMd_c) was extracted as $PMd_{OBS} - PMd_M - PMd_R$ (STEP 3). 155 The mean PM_{2.5} concentration in February 2020 was nearly below 80 μ g/m³ at the vast majority of sites in the east of 156 China, which was much lower than before (Fig. S₃₄). North China (NC) was still the most polluted region (>40 μ g/m³), but 157 the PM_{2.5} concentrations in the Pearl River Delta (PRD) and Yangtze River Delta (YRD) were $< 20 \ \mu g/m^3$ and $< 40 \ \mu g/m^3$, 158 respectively. Relative to the observations in February 2017, negative PM2.5 anomalies were centered in NC, with values of 159 approximately -60 to -40 µg/m³ in southern Hebei Province and northern Henan Province (Fig. 32). In Hubei Province (HB), 160 where the COVID-19 pneumonia cases were the most severe in February, the PM_{2.5} concentration was 20~40 μ g/m³ lower 161 than that in 2017. The PM_{2.5} differences were also negative in YRD and PRD. Therefore, how much did air pollution decrease 162 due to the COVID-19 quarantines in February in east of China?

163 Climate variability notably influences the interannual-decadal variations in haze pollution as verified by both 164 observational analysis (Yin et al., 2015) and GEOS-Chem simulations (Dang and Liao, 2019). Furthermore, Zhang et al. (2020) 165 reported that meteorology contributes 50% and 78% of the wintertime PM_{2.5} reduction between 2017 and 2013 in the BTH 166 and YRD, respectively. Therefore, it is necessary to disentangle the influences of climate anomalies before quantifying the 167 contributions of the COVID-19 quarantines on the air quality. The highest observed PM_{2.5} concentrations were 274, 223, and 168 303 µg/m³ in Beijing, Tianjin and Shijiazhuang, respectively. Although human activities had sharply decreased, severe haze 169 pollution (e.g., 8-13 and 19-25 February 2020) was not avoided, which was attributed to the stagnant atmosphere (Wang et 170 al., 2020), and these severe haze events were also reproduced by the GEOS-Chem simulation (see Section 2.2 and Fig. <u>\$12</u>b). 171 As shown in Figure 4a-b, the meteorological conditions in February 2020 were more favorable for the occurrence of haze 172 pollution in NC. In the mid-troposphere, an anomalous anticyclone was located over NC and the Sea of Japan (Fig. 4a). These 173 anticyclonic anomalies clearly stimulated anomalous southerlies over eastern China, which not only transported sufficient 174 water vapor to NC but also overwhelmed the climatic northerlies in winter (Fig. 4b). In addition, the anomalous upward motion 175 associated with anomalous anticyclones prevented the downward transportation of westerly momentum and preserved the 176 thermal inversion layer over NC (Fig. S45). Particularly, in the stagnant days (i.e., 8–13 and 19–25 February), the East Asia 177 deep trough, one of the most significant zonally asymmetric circulations in the wintertime Northern Hemisphere (Song et al., 178 2016), shifted eastwards and northwards than climate mean, which steered the cold air to North Pacific instead of North China 179 (Fig. 4c). The climatic northerlies in February, related to East Asia winter monsoon, also turned to be south winds in the east 180 of China (Fig. 4d). Physically, the weakening surface winds and strong thermal inversion corresponded to weaker dispersion 181 conditions, and the higher humidity indicated a favorable environment for the hygroscopic growth of aerosol particles to 182 evidently decrease the visibility. Compared with the climate (February 2017) monthly mean, boundary layer height (BLH) 183 decreased by 19.5m (34.5m), surface relative humidity (rhum) increased by 5% (10.6%) and surface air temperature (SAT) 184 rose by 1.6°C (0.9°C) after detrending, which were conductive to the increase of PM_{2.5} concentration in February 2020.

185 Furthermore, the correlation coefficients of daily PM_{2.5} and BLH, rhum, wind speed and SAT in North China were -0.63, 0.44, 186 -0.45 and 0.46, respectively, all of which passed the 95% significance test using t test method and indicated importance of 187 meteorology. We used the meteorological data in February 2017 to establish a multiple linear regression equation to fit PM_{2.5}. 188 The correlation coefficients between the fitting results and the observed PM_{2.5} concentration in NC, YRD and HB reached 0.84, 189 0.64 and 0.65, exceeding the 99% significance test using t test method. Then, we put the observed meteorological data in 190 February 2020 into this established multiple regression equation to get the predicted PM_{2.5} concentration. Using the regress-191 predicted value, the percentage of changed PM_{2.5} due to the differences in meteorology between 2017 and 2020 were re-192 calculated and is 20.7%, -3.2% and 9.5% in NC, YRD and HB, respectively (Fig. S12), which is consistent with and enhanced 193 the robustness of the results obtained by our previous model simulation. Based on the GEOS-Chem simulations, PMd_M was 194 calculated between February 2020 and 2017 (see Methods). To the south of 30°N, most PMd_M values were negative with small 195 absolute values, at < 10 μ g/m³. To the north of 30°N, the PMd_M values were mostly positive, ranging from 30~60 μ g/m³ in 196 BTH (Fig. <u>5</u>3a).

197 Since 2013, the Chinese government has legislated and implemented stringent air pollution prevention and management 198 policies that have clearly contributed to air quality improvement (Wang et al., 2019). As mentioned above, without the COVID-199 19 pandemic, these emission reduction policies would certainly remain in effect in February 2020. Thus, we extrapolated PMd_R 200 (i.e., the PM_{2.5} difference due to expected routine emission reductions) between February 2020 and 2017 to isolate the impacts 201 of the COVID-19 quarantines (i.e., PMd_c). PMd_R was mostly negative in the east of China (Fig. 53b). Because the impacts of 202 meteorology were proactively removed, these negative values illustrated that routine emission reductions substantially reduced 203 the wintertime PM_{2.5} concentration. The contributions of the emission reduction policies were the greatest in the south of BTH 204 and were also remarkable in Hubei Province (Fig. 35). Although the PMd_R of Beijing in 2016 did not strictly comply with 205 the pattern of monotonous decrease, which might be caused by the fluctuation of policy and its implementation, the value of 206 PMd_R in 2020 relative to 2017 was $-8.4 \ \mu g/m^3$ and was comparable to the 11.5 $\mu g/m^3$ reductions due to policy during 2013– 207 2017 (Zhang et al., 2020). In Shanghai, PMd_R was $-12.0 \ \mu g/m^3$ (Fig. 65), whose magnitude was proportional with assessments 208 by Zhang et al. (2020), and the trend was nearly linear. The rationality of the extrapolations of PMd_R was also proved in Section 209 2.3. The trend of PMd_R in Wuhan was $-9.6 \,\mu \text{g/m}^3$ per year from 2015–2019, which indicated high efficiency of the emission 210 reduction policies and resulted in large PMd_R values in 2020 (i.e., $-21.8 \ \mu g/m^3$).

By disentangling the impacts of meteorology and routine emission reduction policies, the change in PM_{2.5} due to the COVID-19 quarantines was quantitatively extracted. As expected, this severe pandemic caused dramatic slumps in the PM_{2.5} concentration across China (Fig. <u>53</u>c). Large PMd_c values (approximately -60 to -30 μ g/m³) were located in the high-polluted NC regions where intensive heavy industries were stopped and the traditional massive social activities and transportations around Chinese New Year were cancelled as part of the COVID-19 quarantine measures. To the south of 30°N, the impacts of the COVID-19 quarantines on the air quality were relatively weaker (-30 ~ 0 μ g/m³) than those in the north. Generally, the 217 south region was less polluted than the north, therefore the baseline of PM_{2.5} concentration was relatively lower (Fig. S_{34a}). 218 In addition, meteorological conditions in the south in February 2020 had no positive contribution (Fig. 53a), which would not 219 lead to the increase of PM_{2.5} concentration. These two possible reasons resulted in a smaller space for PM_{2.5} decrease due to 220 COVID-19 quarantines in the south and accompanying regional differences. To reduce the assessment uncertainties, the 221 percentage of changed PM_{2.5} due to the differences in meteorology were recalculated based on the GEOS-Chem simulations 222 with fixed emission in 1985. As described in the Methods section, the recalculated PMd_c in Figure S₅₆ were consistent with 223 those in Figure 53c, showing a high robustness. Furthermore, the mean $PM_{2.5}$ concentration decreases due to the COVID-19 224 quarantines in NC, HB and YRD were analyzed, which accounted for 59%, 26% and 72% of the observed February PM_{2.5} 225 concentration in 2020 (Fig. 76).

226 It should be noted that the sum of PMd_R and PMd_C (i.e., PMd_E) is the total contribution of the emission reduction in 227 February 2020 with respect to 2017 (Fig. 53d). In NC, YRD and HB, the COVID-19 quarantines and routine emission 228 reductions drove PM_{2.5} in the same direction. The mean PM_{2.5} decrease in NC, due to the total emission reduction, was -43.3 229 $\mu g/m^3$, accounting for 79% of the observed February PM_{2.5} concentration in 2020 (Fig. 76). Although the absolute values of 230 both PMd_R and PMd_C in YRD were smaller than those in NC, the change percentage (92%) was larger because of the lower 231 base $PM_{2.5}$ concentration. In HB, where more than 80% of the confirmed COVID-19 cases in China occurred and the cities 232 were in emergency lockdown, the total anthropogenic emissions were clearly limited, which resulted in a 72% decline in PM_{2.5} 233 in the atmosphere (Fig. 76). In particular, if the anthropogenic emissions did not decline, the PM_{2.5} concentration in NC, YRD 234 and HB would increase to nearly twice the current observation (Fig. 76), indicating significant contributions of human activities 235 to the air pollution in China.

236 The declines of PM_{2.5} seemed not to be directly proportional to the almost complete shutoff of vehicle traffics and 237 industries, that is, the reduction ratio of PM2.5 concentrations were smaller than that of precursor emissions (Wang et al., 2020). 238 The unexpected air pollutions during the marked emission reductions were closely related to the stagnant air flow, enhanced 239 productions of secondary aerosols, and uninterrupted residential heating, power plants and petrochemical facilities (Le et al., 240 2020). The partial impacts of stagnant meteorological conditions have been explained earlier (Fig. 4). In Wuhan, the PM_{2.5} 241 remained the main pollutant during the city lockdown and the high level of sulphur dioxide (SO_2) may be related to the 242 increased domestic heating and cooking (Lian et al., 2020). In North China, large reductions of primary aerosols were observed, 243 but the decreases in secondary aerosols were much smaller (Sun et al., 2020; Shi et al., 2020). Because of the disruption of 244 break off transportations, reduced nitrogen oxide (NOx) increased the concentrations of ozone and nighttime nitrate (NO₃) 245 radical formations. The increased oxidizing capacity in the atmosphere enhanced the formation of secondary particulate matters 246 (Huang et al., 2020). Thus, the non-linear relationship of emission reduction and secondary aerosols also partially contributed 247 to the haze occurrence during the COVID-19 lockdown.

249 In the beginning of 2020, the Chinese government implemented top-level emergency response measures to contain the 250 spread of COVID-19. The traditional social activities surrounding Chinese New Year, industrial and transportation activities, 251 etc. were prohibited, which effectively reduced the number of confirmed cases in China. Concomitantly, anthropogenic 252 emissions, which are the fundamental reason for haze pollution, were dramatically reduced by the COVID-19 quarantine 253 measures. In this study, we employed observations and GEOS-Chem simulations to quantify the impacts of the COVID-19 254 quarantines on the air quality improvement in February 2020 after decomposing the contributions of expected routine emission 255 reductions and climate variability. Although the specific influences varied by the region, the COVID-19 quarantines 256 substantially decreased the level of haze pollution in the east of China (Fig. 76). In North China, the meteorological conditions 257 were stagnant that enhanced the PM_{2.5} concentration by 30% (relative to the observations in 2020). In contrast, the expected 258 routine emissions reductions and emergency COVID-19 quarantine measures resulted in an 80% decline. In YRD, the impacts 259 of meteorology were negligible but the COVID-19 quarantines decreased PM_{2.5} by 72%. In Hubei Province, the impact of the 260 total emission reduction (72%) evidently exceeded the PM_{2.5} increase due to meteorological conditions (13%). In March, due 261 to the continued control of the COVID-19, the quarantines measures still contributed to the negative anomalies of the observed 262 PM_{2.5} between 2020 and 2017 (Fig. 87a). Because the activities in production and life have been gradually resumed in March, 263 the PM_{2.5} drops caused by the COVID-19 quarantines became weaker compared with February (Fig. 87b, c). The contributions 264 of PMd_C to the change of PM_{2.5} concentration in NC, YRD and HB declined from 32.2, 21.0 and 12.1 µg/m³ in February to 265 7.0, 2.4 and 6.7 μ g/m³ in March respectively.

266 Because of the common update delay of the emission inventory, we employed a combined analysis consisting of 267 observational and numerical methods. We strictly demonstrated the rationality of this method and the results, mainly based on 268 the relatively constant contribution ratio of changing meteorology from GEOS-Chem simulations under the different emissions 269 (Yin and Zhang 2020). However, there was a certain bias in the simulations by GEOS-Chem model, and the biases also showed 270 regional differences (Dang and Liao, 2019). Therefore, gaps between the assessed results and reality still exist, which requires 271 further numerical experiments when the emission inventory is updated. Furthermore, during the calculation process, the 272 observed PM_{2.5} difference in February 2020 was linearly decomposed into three parts. Although this linear decomposition was 273 reasonable in China in the past few years, we must note that this approximation did not consider was lack of considering the 274 meteorology-emission interactions, the product of the emission, the loss lifetime and particularly the sulfate-nitrate-ammonia 275 thermodynamics (Cai et al., 2017), which brought some uncertainties. The actual emission reduction effect is considerable 276 (Fig. 3d), in line with the increasingly strengthened emission reduction policies in recent years. When calculating the PMd_R in 277 2020, we use the method of extrapolation. Although the result is consistent with others observational and numerical studies 278 (Geng et al., 2020; Zhang et al., 2020; Zhou et al., 2019), it is still conjectures estimated value rather than true values. These

issues need to be examined in the future studies to unlock respective effects of emissions and meteorological conditions on PM_{2.5} over eastern China. To restrict the possible uncertainties, we set up some constraints: 1. The pivotal contribution ratio of changing meteorology were calculated under two emission levels and recalculated by statistical regressed model; 2. The values of PMd_M and PMd_R were widely compared to previous studies.

283 If the COVID-19 epidemic did not occurred, the concentrations of $PM_{2.5}$ would increase up to 1.3–1.7 times the 284 observations in February 2020 (Fig. 76). Therefore, the pollution abatement must continue. Because of the huge population 285 base in the east of China, the anthropogenic emissions exceeded the atmospheric environmental capacity even during COVID-286 19 quarantines. Although the PM_{2.5} dropped much, marked air pollutions also occurred during this unique experiments that the 287 human emissions were sharply closed. This raised new scientific questions, such as changes of atmospheric heterogeneous 288 reactions and oxidability under extreme emission control, quantitative meteorology-emission interactions, and so on. This also 289 implied reconsiderations of policy for pollution controls and necessity to cut off secondary productions of particulate matters 290 basing on sufficient scientific research (Le et al., 2020; Huang et al., 2020). Some studies estimated that thousands of deaths 291 were prevented during the quarantine because of the air pollution decrease (Chen K. et al., 2020). However, medical systems 292 were still overstressed, and transportation to hospitals also decreased. Furthermore, the deaths related to air pollution were 293 almost all due to respiratory diseases (Wang et al., 2001), and their corresponding medical resources were also further stressed 294 by COVID-19. Therefore, the mortality impacted by the air pollution reduction during the COVID-19 outbreak should be 295 comprehensively assessed in future work.

296 Data availability. Monthly mean meteorological data are obtained from ERA5 reanalysis data archive: 297 https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset. PM_{2.5} concentration data are acquired from the China 298 National Environmental Monitoring Centre: http://beijingair.sinaapp.com/. The emissions data of 1985 can be downloaded 299 from http://geoschemdata.computecanada.ca/ExtData/HEMCO/AnnualScalar/, and that of 2010 can be obtained from MIX: 300 http://geoschemdata.computecanada.ca/ExtData/HEMCO/MIX.

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305 Authors' contribution

306 Wang H. J. and Yin Z. C. designed and performed researches. Zhang Y. J. simulated the PM_{2.5} by GEOS-Chem model and Li

307 Y. Y. did the statistical analysis. Yin Z. C. prepared the manuscript with contributions from all co-authors.

308 Competing interests

309 The authors declare no conflict of interest.

310 References

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417 Figure Captions

- Figure 1. (a) Variation in existing confirmed cases (bar; red: increase, blue: decrease) and the ratio of accumulated confirmed cases to total confirmed cases (black line) in China. (b) The ratio of work resumption in large industrial enterprises in the east of China. (c) Time of the official 7-days holiday of Chinese New Year from 2013 to 2020.
- Figure 2. (a) Spatial distribution of observed (dots) and GEOS-Chem simulated (shading) PM_{2.5} (unit: μg/m³) in February
- 422 <u>2017. Observed PM_{2.5} concentrations (black, unit: μg/m³) and simulated PM_{2.5} concentrations under 2010 emission (red) and</u>
- 1985 emission (blue) in February 2020 in (b) North China (NC), (c) Yangtze River Delta (YRD) and (d) Hubei Province (HB).
- Figure <u>3</u>2. Differences in the observed $PM_{2.5}$ (unit: $\mu g/m^3$) in February between 2020 and 2017. The black boxes indicate the
- 425 locations of North China (NC, 32.5-42°N,110-120°E), the Yangtze River Delta (YRD, 28-32.5°N,118-122°E) and Hubei
- 426 Province (HB, 30-32.5°N,109.5-116°E). Figure 3. PM_{2.5}-difference (unit: μg/m³) in February between 2020 and 2017 due to
- 427 (a) changing meteorology (PMd_M), (b) expected routine emission reductions (PMd_R), (c) the COVID-19-quarantines (PMd_C),
- 428 and (d) due to the total emission reduction ($PMd_{E} = PMd_{R} + PMd_{C}$).
- 429 Figure 4. Differences in the observed atmospheric circulation in February between 2020 and 2017, including (a) geopotential 14/21

- potential height at 500 hPa (unit: gpm), (b) wind at 850 hPa (arrows; unit: m/s), surface relative humidity (shading; unit: %).
 The atmospheric circulations in the stagnant days (e.g., from 8–13 and 19–25 February 2020) were also showed, including (c)
 geopotential potential height at 500 hPa (shading; unit: gpm) and its climate mean in February (contour), and (d) wind at 850
 hPa (black arrows; unit: m/s), its climate mean (blue arrows) and the increased surface relative humidity (shading; unit: %,
 stagnant days minus climate mean).
- 435 <u>Figure 53. $PM_{2.5}$ difference (unit: $\mu g/m^3$) in February between 2020 and 2017 due to (a) changing meteorology (PMd_M), (b) 436 <u>expected routine emission reductions (PMd_R), (c) the COVID-19 quarantines (PMd_C), and (d) due to the total emission 437 <u>reduction ($PMd_E = PMd_R + PMd_C$).</u></u></u>
- Figure 65. Variation in PMd_R (unit: $\mu g/m^3$) with respect to the February 2017 level in Beijing, Shanghai and Wuhan from 2015 to 2019. PMd_R in 2020 was linearly extrapolated from that in the 2015–2019 period. The dotted line is the linear trend.
- Figure <u>76</u>. Contributions of PMd_M (orange bars with hatching), PMd_R (purple bars with hatching) and PMd_C (blue bars with hatching) to the change in PM_{2.5} concentration (unit: μ g/m³) between 2020 and 2017 in the three regions. The observed PM_{2.5} concentration in February 2017 (black) and 2020 (gray) was also plotted, and the expected PM_{2.5} concentration without the COVID-19 quarantine is indicated by black hollow bars. The contribution ratios of the three factors (relative to the PM_{2.5} observations in 2020) are also indicated on the corresponding bars.
- Figure <u>87</u>. (a) Differences in the observed $PM_{2.5}$ (unit: $\mu g/m3$) in March between 2020 and 2017. (b) Contributions of PMd_C to the change in $PM_{2.5}$ concentration (unit: $\mu g/m^3$) between 2020 and 2017 and (c) the contribution ratios of PMd_C (relative to the $PM_{2.5}$ observations in 2020) in March (blue) and February (red) in the three regions.
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Figure 1. (a) Variation in existing confirmed cases (bar; red: increase, blue: decrease) and the ratio of accumulated confirmed
cases to total confirmed cases (black line) in China. (b) The ratio of work resumption in large industrial enterprises in the east
of China until the end February. (c) Time of the official 7-days holiday of Chinese New Year from 2013 to 2020.



462 Figure 2. (a) Spatial distribution of observed (dots) and GEOS-Chem simulated (shading) PM_{2.5} (unit: μg/m³) in February

463 2017. Observed PM_{2.5} concentrations (black, unit: $\mu g/m^3$) and simulated PM_{2.5} concentrations under 2010 emission (red) and

1985 emission (blue) in February 2020 in (b) North China (NC), (c) Yangtze River Delta (YRD) and (d) Hubei Province (HB).



Figure <u>32</u>. Differences in the observed PM_{2.5} (unit: μg/m³) in February between 2020 and 2017. The black boxes indicate the
locations of North China (NC, 32.5-42°N,110-120°E), the Yangtze River Delta (YRD, 28-32.5°N,118-122°E) and Hubei
Province (HB, 30-32.5°N,109.5-116°E).



470 **Figure 3.** $PM_{2.5}$ -difference (unit: $\mu g/m^3$) in February between 2020 and 2017 due to (a) changing meteorology (PMd_M), (b) 471 expected routine emission reductions (PMd_R), (c) the COVID-19 quarantines (PMd_C), and (d) due to the total emission 472 reduction (PMd_E = PMd_R+_PMd_C).

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Figure 4. Differences in the observed atmospheric circulation in February between 2020 and 2017, including (a) geopotential potential height at 500 hPa (unit: gpm), (b) wind at 850 hPa (arrows; unit: m/s), surface relative humidity (shading; unit: %). The atmospheric circulations in the stagnant days (e.g., from 8–13 and 19–25 February 2020) were also showed, including (c) geopotential potential height at 500 hPa (shading; unit: gpm) and its climate mean in February (contour), and (d) wind at 850 hPa (black arrows; unit: m/s), its climate mean (blue arrows) and the increased surface relative humidity (shading; unit: %, stagnant days minus climate mean).



 $\frac{\text{Figure 53. PM}_{2.5} \text{ difference (unit: } \mu g/m^3) \text{ in February between 2020 and 2017 due to (a) changing meteorology (PMd_M), (b)}}{expected routine emission reductions (PMd_R), (c) the COVID-19 quarantines (PMd_C), and (d) due to the total emission}}{reduction (PMd_E = PMd_R + PMd_C).}$





Figure 56. Variation in PMd_R (unit: $\mu g/m^3$) with respect to the February 2017 level in Beijing, Shanghai and Wuhan from 2015 to 2019. PMd_R in 2020 was linearly extrapolated from that in the 2015–2019 period. The dotted line is the linear trend.



Figure 76. Contributions of PMd_M (orange bars with hatching), PMd_R (purple bars with hatching) and PMd_C (blue bars with hatching) to the change in PM_{2.5} concentration (unit: μ g/m³) between 2020 and 2017 in the three regions. The observed PM_{2.5} concentration in February 2017 (black) and 2020 (gray) was also plotted, and the expected PM_{2.5} concentration without the COVID-19 quarantine is indicated by black hollow bars. The contribution ratios of the three factors (relative to the PM_{2.5} observations in 2020) are also indicated on the corresponding bars.





Figure <u>87</u>. (a) Differences in the observed $PM_{2.5}$ (unit: $\mu g/m^3$) in March between 2020 and 2017. (b) Contributions of PMd_C to the change in $PM_{2.5}$ concentration (unit: $\mu g/m^3$) between 2020 and 2017 and (c) the contribution ratios of PMd_C (relative to the $PM_{2.5}$ observations in 2020) in March (blue) and February (red) in the three regions.