

We would like to thank the reviewers for their positive and constructive feedback, which helped improving the quality of the paper. The reviewers have pointed out issues that required further improvements or explanations. Below we address each specific issue and the manuscript has been updated accordingly.

5 **Anonymous Referee #1**

**Received and published: 17 September 2020**

10 The lockdowns instituted by many governments around the world in response to the COVID-19 pandemic have had significant effects on emissions of air pollutants and resulting ambient air quality. This topic has already received a lot of attention in the scientific literature within a relatively short period of time. The manuscript by Guevara et al. provides a timely contribution to the quantification of the emission changes due to lockdown measures implemented in Europe. Traditionally, the compilation of emission inventories is a long, slow process, with reliable emission data usually becoming available after several years. Given the strong interest from modelling groups in simulating the effects of these lockdowns on air quality, there is clearly a need for a fast-track estimate of COVID-19-related changes in emissions for use in modelling studies

15 Guevara et al. compile a set of national, sectoral emission reduction factors for European countries based on various datasets which are available now. The methodology used to derive the reduction factors is clearly described, the contingent nature of the resulting reduction factors is acknowledged and clearly described, and the reduction factors themselves are provided for the community. This aspect alone makes the paper a valuable contribution to the literature.

20 Guevara et al. also apply these emission reduction factors in a model simulation and compare the reductions in modelled NO<sub>2</sub> with observed reductions in selected European cities during the lockdowns. The analysis of the model simulations is relatively superficial, but the value of the paper is clearly in the transparent calculation of the reduction factors and the provision of these factors to the community.

I only have one minor comment. The authors should indicate the year on which the CAMS-REG-AP emission inventory used in the modelling component of the study is based.

25 The reference year of the CAMS-REG-AP emission inventory used is 2016, which is the most recent year available at the time of the study. We have added this information in the revised version of the manuscript as follows:

*“The base year of the CAMS-REG-APv3.1 emissions used in the three scenarios is 2016, which was the most recent year available at the time of the study”* (lines 370-371 of the revised manuscript)

**Anonymous Referee #2**

30 Received and published: 28 September 2020

The authors estimated the daily reductions in air pollutant emissions due to COVID-19 in Europe and evaluated the time-resolved emissions data through air quality model simulations of NO<sub>2</sub>. Activity indicators including electricity demand, heating degree day, and Google mobility reports are used in this study to represent the relative changes in emissions from different source sectors. The comparisons between simulated and observed NO<sub>2</sub> concentrations suggest the improvement of modeling results driven by the daily emission reduction factors based on the activity indicators. This paper provides important results on the effect of COVID-19 on anthropogenic emissions and air quality, which is a hot topic at present not only in Europe but also in the other continents. Overall, I think this paper deserves publication in ACP but I still have concerns about the uncertainties in the method and results. I suggest that the authors carefully clarify the uncertainties in the method and add a specific section in the main text to discuss the uncertainties in detail

40 Major comments:

1. Energy industry. The electricity demand is estimated to have increased during COVID-19 over the Northern European countries such as Denmark, Norway, and Sweden. Why did this happen? Are these weird results relevant to the errors in the ML models designed to account for the influence of temperature fluctuations on electricity demand? Are there any temperature anomalies over North Europe during the COVID period? The authors did not explain the potential errors in the method but just assumed a null reduction of the electricity demand in Denmark, Finland, and Norway (Lines 178 to 180 in Page 6), which is not acceptable in my opinion.

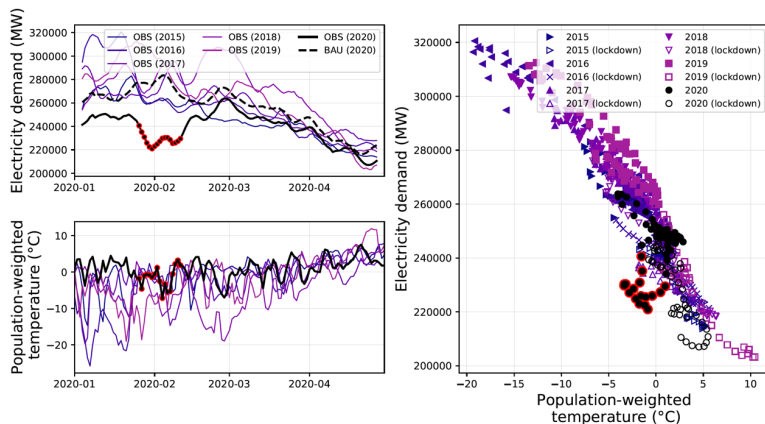
Regarding the case of Sweden, as mentioned in the manuscript, we hypothesize that the obtained increase in electricity demand is due to the combination of the two factors: (i) the electricity demand from public and commercial services may have remained unperturbed as there was no enforced lockdown in contrast to most other countries and (ii) the voluntary self-isolation of a fraction of the population may have increased household electricity consumption.

The reasons for assuming a null reduction of electricity demand for the other countries mentioned by the reviewer are detailed below.

In this study, we used a ML method for predicting the fluctuations of electricity demand based on temperature, assuming that temperature is a strong driver of electricity demand (for heating and air conditioning). However, temperature is obviously not the only driver of electricity demand variability, which can be influenced by various other factors (e.g. change of technology, behaviour, regulation). In addition, the gradient boosting machine models used in this study are non-parametric, meaning that they cannot extrapolate, i.e. predict electricity demand values outside the range of values used during the training phase. As a consequence, such models may perform poorly when overly strong trend and/or inter-annual variability (not directly due to temperature variability) are affecting the target variable of interest. In practice, the results obtained in this study show that this approach performs relatively well in most countries, although exceptions cannot be excluded, as shown by the case of Finland.

In Finland, the electricity demand reported by ENTSO-E in early 2020 (around late January/early February) was substantially lower than during all previous years. However, this anomaly in the power data cannot be explained by a drastic change in the temperature, as this parameter remained within the same range of values than during previous years. In such a situation, where changes in power demand cannot be related to changes in temperature, the ML cannot produce accurate predictions. We have included the following multi-panel plot (with time series and scatter plot) in the Supplement material (Fig. S1) to illustrate the behaviour observed in the input data. Besides temperature, electricity demand in Finland is thus likely driven by other factors not included in our ML framework. Improving the predictions of electricity demand would require more complex models including heterogeneous socio-economic data, which is far beyond the scope of the present study. For this reason, we decided

70 to discard the use of ML for this country and assume a null reduction of emissions, given that no strong lockdown was imposed to the population and no clear reduction of electricity demand was observed during the lockdown period.



75 **Figure S1.** Time plots representing 7-day running averages of the electricity demand [MW] (ENTSO-E, 2020) and population-weighted outdoor temperature [°C] (C3S, 2017) in Finland for the years 2015 until 2020 (left) and corresponding scatterplot between both variables (right). The electricity demand data represented in the time plots include ENTSO-E reported values for 2015-2020 (OBS) and the business as usual 2020 values predicted with the ML algorithm (BAU). The values of the scatterplot with the "lockdown" label (non-filled symbols) correspond to the period of the year 15/03-31/05, while the others correspond to the rest of the year. The data corresponding to the 2020 period in which negative anomalies in the electricity demand data were detected are highlighted with a dark red outline.

80 A relatively similar situation was observed in Denmark. We found higher-than-usual electricity demand levels reported by ENTSO-E in late February/early March 2020 which, as in the case of Finland, could not be explained by drastic changes in temperature. At this time of the year, such relatively high power demand were already observed in 2018 but because of strong cold waves, while temperature was not particularly cold in 2020. Similar to the Finland case, we also included the following multi-panel plot in the supplementary material (Fig. S2). Thus, like in Finland, other (non-meteorological) factors are likely driving this substantial increase of electricity demand in Denmark, which explains the bias obtained from mid-February to mid-March. Without additional sources of information regarding this, we assumed again a null emission reduction.

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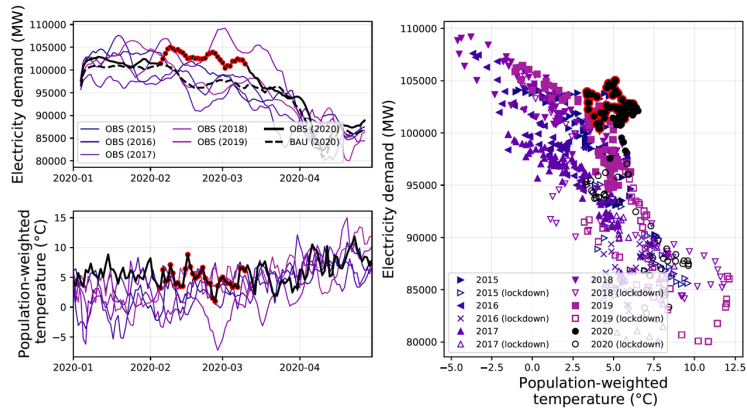


Figure S2. Similar to Fig. S1 but for Denmark. The period with strongest discrepancies between observed and predicted electricity demand before the lockdown is indicated in red.

90

In Norway, although the mean bias over the entire pre-lockdown period in 2020 (2020/01/01-2020/03/15) is low (and comparable to the biases obtained in the other countries), looking at the time series shows that the bias was low at the beginning of the period but started to increase in mid-February (i.e. well before the lockdown), and persisted (with some variability) during the lockdown period. The reliability of these predictions is thus lower. In addition, the increase in electricity demand obtained during the lockdown period was found to be in the same order of magnitude of the bias found before. Considering again the fact that COVID-19-related mobility restrictions were relatively soft in this country, we preferred to discard the use of ML for this country and assume a null reduction of electricity demand.

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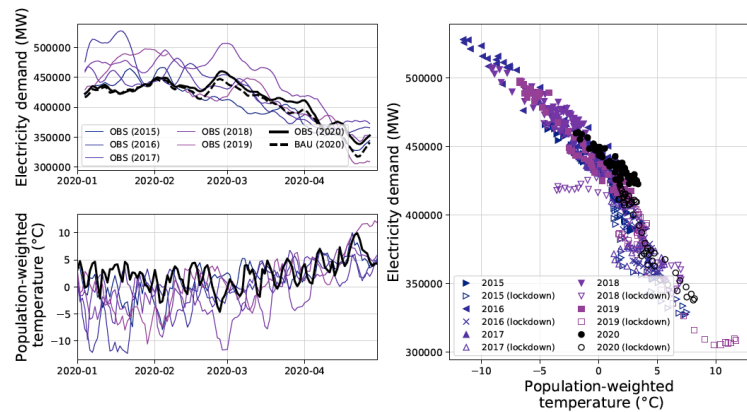


Figure S3. Similar to Fig. S1 but for Norway.



100 For these different countries, we do not expect that the assumed null reductions of the electricity demand will cause a significant impact on the computed emission reductions, as the majority of the electricity production in these countries comes from renewable energy sources. For instance, in the case of Norway more than 90% of the electricity production comes from hydropower (IEA, 2020). Moreover, the assumption of a null reduction for these countries is in line with the very low average changes in electricity demand reported by Le Quéré et al. (2020) (e.g. Finland, -2%, Denmark +1%).

105 All this information has been included in the revised version of the manuscript as follows:

110 *“In this study, ML models are used for predicting the fluctuations of electricity demand based on temperature (and additional time features), which assumes that temperature is a strong driver of electricity demand (for heating and air conditioning). However, temperature is obviously not the only driver of electricity demand variability, which can be influenced by various other factors (e.g. change of technology, behaviour, regulation). In addition, the gradient boosting machine models used in this study are non-parametric, meaning that they cannot extrapolate, i.e. predict electricity demand values outside the range of values used during the training phase. As a consequence, such models may perform poorly when a strong trend and/or inter-annual variability (not directly due to temperature variability) are affecting the electricity demand to predict. In practice, the results obtained in this study show that this approach performs well in most countries, although there are some exceptions. The poorest performance was obtained in Finland ( $r = 0.33$ ), due to a strong negative anomaly (-12% on average) in electricity demand during January-February 2020 compared to previous years used for training. As shown in Fig. S1, the electricity demand reported by ENTSO-E for this country in early 2020 (i.e. late January/early February) was substantially lower than during all previous years (2015-2019). However, this anomaly in the power data cannot be explained by a drastic change in the temperature, as this parameter remained within the same range of values than during previous years. In such situations, where changes in power demand cannot be related to changes in temperature, the ML cannot produce accurate predictions. Compared to most other countries, a larger NRMSE and lower correlation was also found in Luxembourg. In this case, we attribute the low performance of the ML algorithm to the large data gap found in the historical data used for training. For instance, for the year 2019 the ENTSO-E dataset presents a temporal coverage lower than 50%. In addition, despite relatively good statistics in early 2020, the electricity demand computed in Denmark and Norway shows a substantial and unexpected increase during the COVID-19 lockdown (up to +12%). In the case of Denmark, we found higher-than-usual electricity demand levels reported by ENTSO-E in late February/early March 2020 which, as in the case of Finland, could not be directly explained by drastic changes in temperature (Fig. S2). At this time of the year, such relatively high power demand were already observed in 2018 but because of strong cold waves, while temperature was not particularly cold in 2020. Like in the case of Finland, unexplained changes in the electricity demand induce errors in the predictive ML algorithm. For Norway, although the mean bias on the entire test period is relatively low, a closer look to the time series indicates that this bias was low at the beginning of the period and started to increase in mid-February and persisted during the lockdown (Fig. S3). Therefore, it is unclear to which extent the increase of electricity demand during the lockdown is real or simply the persistence of the bias previously observed before the lockdown starts (as both are in the same order of magnitude). Without additional sources of information and given the relatively soft mobility restrictions imposed in Norway, we also discarded the use of ML for this country and assumed that electricity demand during the lockdown period was not significantly impacted.*

135 *Considering all of the above, and as a precautionary measure, we assumed a null reduction of the electricity demand in Denmark, Finland and Norway, and a fixed -16% reduction in Luxembourg starting the first day of the national lockdown implementation (15th of March), following the results reported by Le Quéré et al. (2020). Importantly, we do not expect that assuming a null reduction will cause a significant impact on the computed emission reductions, as the majority of the electricity production in these countries comes from renewable energy sources. For instance, in the case of Norway more than 90% of the electricity production comes from hydropower (IEA, 2020a).” (lines 174-210 of the revised manuscript)*

IEA: Key energy statistics. Norway. Available at: <https://www.iea.org/countries/norway> (last access: October 2020), 2020a.

145 Le Quéré, C., R. B. Jackson, M. W. Jones, A. J. P. Smith, S. Abernethy, R. M. Andrew, A. J. De-Gol, D. R. Willis, Y. Shan, J. G. Canadell, P. Friedlingstein, F. Creutzig and G. P. Peters: Temporary reduction in daily global CO<sub>2</sub> emissions during the COVID-19 forced confinement. *Nature Climate Change*, <https://doi.org/10.1038/s41558-020-0797-x>, 2020.

2. Manufacturing industry. This study attributed 25% of the total electricity demand reduction to the reduction in manufacturing industry activity, which is rather arbitrary. What is the uncertainty involved in using such a uniform factor for the industry sector in different European countries? The authors said that the manufacturing industry sector has maintained certain activities during the COVID-19 pandemic (Line 215 in Page 7), which is not consistent with what I saw in Fig. 4a. The production of cement, iron, steel, and glass all declined significantly during April 2020 in Spain.

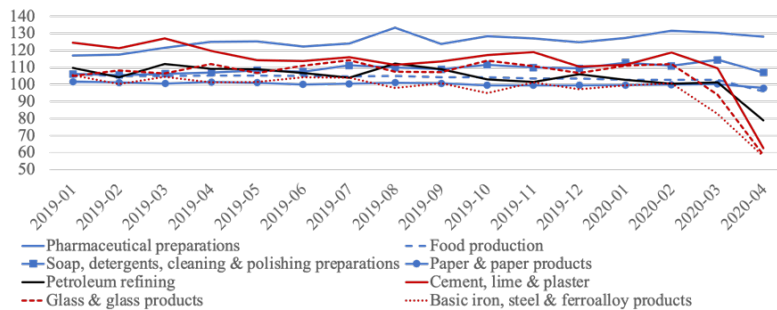
The attribution of 25% of the total electricity demand reduction to the reduction in manufacturing industry activity is consistent with the -27% decrease in electricity use by the manufacturing sector reported by the electricity transmission system operator of France (RTE, 2020). We have added this information in the revised version of the manuscript.

We believe the uncertainty involved in using this uniform factor is not significant compared to the uncertainty associated to the assumption of the same reduction factors for all the industry branches. We illustrated this fact in the revised version of the manuscript as follows:

*“Manufacturing industry: For this sector, the same reduction factors are assumed for all the industry branches. Yet, information reported by national industrial production indexes are indicating that not all industrial sectors were affected in the same way by the lockdown restrictions. For instance, Spanish pharmaceutical, food and paper industries experienced almost no changes in their activity during April 2020 when compared to the previous year (between 0 and -9%), while industries related to the production of petroleum and mineral products showed moderate to significant decreases in April (between -28% to -43%). For this month and country, the average reduction factor computed with the current methodology is -12.5% which, despite falling within the range of the aforementioned reductions, is not representative of the changes reported for any of the specific industrial branches. In order to overcome this limitation, specific reduction factors should be developed for each industrial branch or groups of industrial branches presenting a similar behaviour” (lines 649-664 of the revised manuscript)*

Regarding the second part of the comment, we updated Fig. 4a by adding the evolution of the Industrial production Index in Spain for the food and paper industries, which remained almost unaffected during the COVID-19 lockdowns (similar to what we were already showing for the manufacturing of pharmaceutical and cleaning products). We also clarified in the text that the industrial branches responsible of manufacturing essential goods (e.g. food, pharmaceutical preparations and other chemical products) were the ones that remained almost unaffected during the COVID-19 lockdowns.

**Evolution of the Industrial Production Index (Spain)**



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3. Road transport. The authors acknowledged that the emission reduction factors for the traffic sector may be overestimated because the activity levels of heavy-duty vehicles on interurban roads did not decline as much as those light-duty vehicles on urban roads. This could be the largest source of uncertainty in this study because the transport sector is the major source of NOx emissions and the heavy-duty vehicles account for a large part of transport emissions. I suggest that the authors provide more discussions on this uncertainty and try to reduce it if possible.

We agree with the reviewer that the potential overestimation of the emissions drop from heavy duty vehicles when using the Google mobility trends may be one of the largest sources of uncertainty in this study. Therefore, an extended discussion on this topic has been added in the revised manuscript.

We used the Spanish official EMEP road transport emissions (EMEP/CEIP, 2020) which, unlike CAMS-REG-AP, are reported by vehicle category, to quantify the impact of omitting the distinction between light and heavy-duty vehicle when developing the reduction factors. We computed the evolution in daily NOx road transport emissions [t·day<sup>-1</sup>] during the entire period of study (20 January to 26 April) for Spain and for three different scenarios: (i) considering the reduction factors reported by the Google reports for Spain (Google, 2020) for all vehicle types (Google), (ii) considering the reduction factors reported by the Google reports for Spain for light duty vehicles and the ones reported by DGT (2020) for heavy duty vehicles (Google-HDV) and (iii) without considering any reduction factor (Business as usual scenario, i.e. BAU). The following figure, which has been included in the revised version of the supplementary material (Figure S4), shows the computed results:

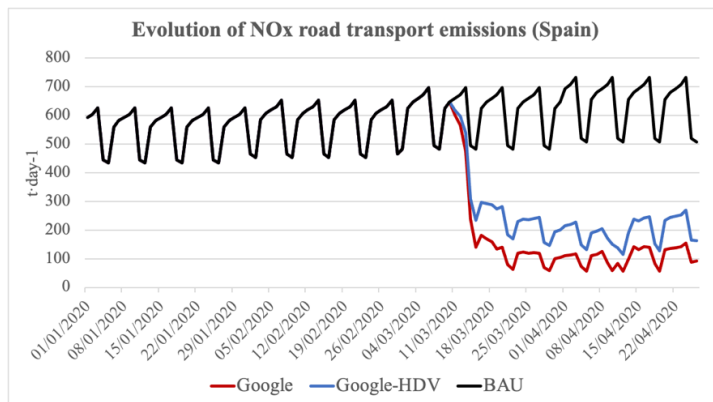


Figure S4. Evolution in daily NO<sub>x</sub> road transport emissions [t·day<sup>-1</sup>] during the entire period of study (20 January to 26 April) for Spain and for three different scenarios: (i) considering the reduction factors reported by the Google reports for Spain (Google, 2020) for all vehicle types (Google), (ii) considering the reduction factors reported by the Google reports for Spain for light duty vehicles and the reduction factors reported by DGT (2020) for heavy-duty vehicles (Google-HDV) and (iii) without considering any reduction factor (Business as usual scenario, i.e. BAU). In all three cases, the results are based on the Spanish official EMEP emissions as reported in CEIP (EMEP/CEIP, 2020)

We compared the average emissions computed for each scenario during the strictest lockdown period (23 March to 26 April). Results indicate a -18% difference between the computed average reductions (-528.5 t when using Google trends for all vehicle categories and -434.4 t when considering specific heavy-duty vehicle trends). This difference may vary across countries due to differences in: (i) the impact of COVID-19 restriction on the activity of heavy-duty vehicles and (ii) the contribution of the heavy-duty vehicles to the overall traffic emissions.

This discussion has been introduced in the revised version of the manuscript as follows:

*"In order to quantify this uncertainty, we used the Spanish official EMEP road transport emissions (EMEP/CEIP, 2020) which, unlike CAMS-REG-AP, are reported by vehicle category, to quantify the impact of omitting the distinction between light and heavy-duty vehicle when developing the reduction factors. We compared the NOx average emission reductions obtained for the road transport sector during the strictest lockdown period (23 March to 26 April) when considering the DGT (2020) trends for heavy duty vehicles instead of the Google movement trends. Results indicate a -18% difference between the computed average reductions, i.e. -528.5 t when using Google trends for all vehicle categories and -434.4 t when considering specific heavy-duty vehicle trends (Fig. S4). This difference may vary across countries due to differences in: (i) the impact of COVID-19 restriction on the activity of heavy-duty vehicles and (ii) the contribution of the heavy-duty vehicles to the overall traffic emissions (lines 314-321 of the revised manuscript)*

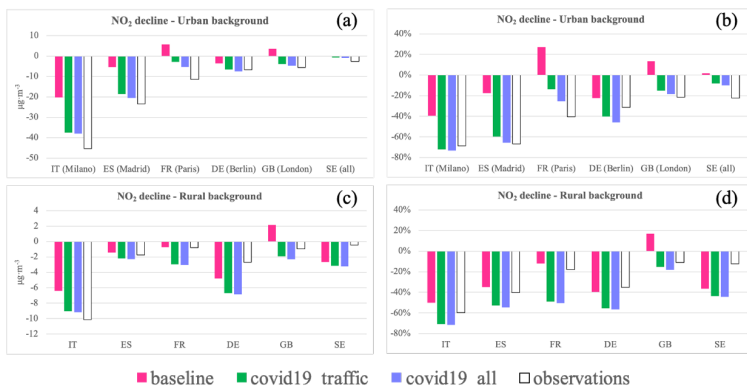
We also detailed how this uncertainty could be reduced in future versions of the dataset. For that, we added the following discussion in a new subsection of the manuscript entitled "5.2 Future perspective":

*"Future works will focus on amending the shortcomings mentioned above, particularly for the case of road transport emissions and the potential overestimation of the emissions drop from heavy duty vehicles when using the Google mobility trends. Measured traffic counts from other countries will be collected in order to perform an intercomparison exercise against the Google movement trends and derive a set of European adjustment factors to consider when using the original Google dataset for computing changes in emissions from heavy-duty vehicles."* (lines 683-687 of the revised manuscript)

**4. Modeling results.** This study evaluated modeled NO<sub>2</sub> concentrations with observations (Fig. 12) during the pre-lockdown and lockdown periods, respectively, which is very helpful to understand the uncertainties in the estimates of daily emissions.

I suggest the authors add another figure that compares the observed and simulated NO<sub>2</sub> decline from pre-lockdown to lockdown periods, which gives the audience more information on the accuracy of the estimated emission reduction factors.

We agree with the reviewer. A new figure (Figure 13) has been added to the manuscript, which shows the comparison between observed and simulated NO<sub>2</sub> decline from pre-lockdown (20 January to 20 February) to lockdown periods (23 March to 26 April) in each region of study. Results are provided in absolute and relative terms:



230 **Figure 13. Absolute [ $\mu\text{g}\cdot\text{m}^{-3}$ ] and relative [%] observed and modelled  $\text{NO}_2$  concentration declines from pre-lockdown (20 January to 20 February) to lockdown (23 March to 26 April) periods at urban (a, b) and rural (c, d) background stations for selected countries (cities).**

235 The discussion of the results shown in the figure were added in section 4.2 of the revised manuscript:

240 *“The simulated  $\text{NO}_2$  declines from pre-lockdown to lockdown periods when considering the covid19\_all scenario are fairly in line with the observed ones (Fig.13.a), although a general underestimation is shown (i.e.  $-7.3 \mu\text{g}\cdot\text{m}^{-3}$  and  $-6.2 \mu\text{g}\cdot\text{m}^{-3}$  differences between modelled and observed declines in Italy and France, respectively). This underestimation could be related to the fact that we are currently not considering emission reductions from fuel combustion processes in commercial and institutional buildings, which were obliged to close during the lockdown period in almost all European countries.”* (lines 534-543 of the revised manuscript)

245 *“The modelled decline of  $\text{NO}_2$  concentrations from pre-lockdown to lockdown periods presents a slight overestimation in all rural background regions except for Italy (Fig. 13.b). The largest differences occur in Germany and France, where modelled declines are  $4.1 \mu\text{g}\cdot\text{m}^{-3}$  and  $2.8 \mu\text{g}\cdot\text{m}^{-3}$  larger than the observed ones. Rural background levels can be determined by the combination of multiple emission sources and therefore it is difficult to attribute these differences to a sole reason. Nevertheless, one plausible explanation for the obtained results could be the limitation of the Google mobility trends in representing the drop of emissions from heavy-duty vehicles, as discussed in Sect. 2.3”* (lines 563-569 of the revised manuscript)

250 5. Conclusions. The conclusion section is not organized well. Some paragraphs repeated the text from the method section, such as lines 509 to 517 in Page 16. Besides, the discussions on the uncertainties are not the conclusions of this study and should be written in a specific new section. Please remove the unnecessary text in the conclusions, add a new section of ‘Uncertainties’, and provide a condensed conclusion section

255 The conclusion section has been reorganised following the reviewer’s comment. A new subsection entitled “5.1 Uncertainties” has been added to include the discussion related to the limitations and uncertainties of the present study. The original discussion has been restructured in the form of bullet points and extended considering comments #2 and #3 of the reviewer. Moreover, a new subsection called “5.2 Future perspective” has also been added, which includes the discussion related to future works. The repeated text from the method section has also been modified.

## Time-resolved emission reductions for atmospheric chemistry modelling in Europe during the COVID-19 lockdowns

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**Abstract.** We quantify the reductions in primary emissions due to the COVID-19 lockdowns in Europe. Our estimates are provided in the form of a dataset of reduction factors varying per country and day that will allow modelling and identifying the associated impacts upon air quality. The country- and daily-resolved reduction factors are provided for each of the following source categories: energy industry (power plants), manufacturing industry, road traffic and aviation (landing and take-off cycle). We computed the reduction factors based on open access and near-real time measured activity data from a wide range of information sources. We also trained a machine learning model with meteorological data to derive weather-normalised electricity consumption reductions. The time period covered is from 21 February, when the first European localised lockdown was implemented in the region of Lombardy (Italy), until 26 April 2020. This period includes five weeks (23 March until 26 April) with the most severe and relatively unchanged restrictions upon mobility and socio-economic activities across Europe. The computed reduction factors were combined with the Copernicus Atmosphere Monitoring Service's European emission inventory using adjusted emission temporal profiles in order to derive time-resolved emission reductions per country and pollutant sector. During the most severe lockdown period, we estimate the average emission reductions to be -33% for NO<sub>x</sub>, -8% for NMVOC, -7% for SO<sub>x</sub> and -7% for PM<sub>2.5</sub> at the EU-30 level (EU-28 plus Norway and Switzerland). For all pollutants more than 85% of the total reduction is attributable to road transport, except SO<sub>x</sub>. The reductions reached -50% (NO<sub>x</sub>), -14% (NMVOC), -12% (SO<sub>x</sub>) and -15% (PM<sub>2.5</sub>) in countries where the lockdown restrictions were more severe such as Italy, France or Spain. To show the potential for air quality modelling we simulated and evaluated NO<sub>2</sub> concentration decreases in rural and urban background regions across Europe (Italy, Spain, France, Germany, United-Kingdom and Sweden). We found the lockdown measures to be responsible for NO<sub>2</sub> reductions of up to -58% at urban background locations (Madrid, Spain) and -44% at rural background areas (France), with an average contribution of the traffic sector to total reductions of 86% and 93%, respectively. A clear improvement of the modelled results was found when considering the emission reduction factors, especially in Madrid, Paris and London where the bias is reduced with more than 90%. Future updates will include the extension of the COVID-19 lockdown period covered, the addition of other pollutant sectors potentially affected by the

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restrictions (commercial/residential combustion and shipping) and the evaluation of other air quality pollutants such as O<sub>3</sub> and PM<sub>2.5</sub>. All the emission reduction factors are provided in the supplementary material.

## 1 Introduction

295 Since the end of February 2020, most European countries have imposed lockdowns to combat the spread of the COVID-19 pandemic, forcing many industries, businesses and transport networks to either close down or drastically reduce their activity. Such a socioeconomic disruption, which is unprecedented in many ways, has resulted in a sudden drop of atmospheric anthropogenic emissions, including both criteria pollutants and greenhouse gases. The fall of pollutant levels across countries has been identified in multiple studies through the analysis of air quality ground-based and satellite observations (e.g. Bauwens et al., 2020; Collivignarelli et al., 2020; Petetin et al., 2020). While these studies have assessed changes in pollutant concentrations, further understanding of the lockdown impacts upon air quality and climate requires quantifying the reduction of primary emissions. Emissions and weather changes are entangled and looking at concentrations changes only can be largely affected by specific weather conditions, especially considering that the past winter and spring 2020 were exceptionally hot in Europe (C3S, 2020).

305 Understanding and quantifying the impact of the COVID-19 lockdowns upon European emissions and air quality is difficult due to the heterogeneous implementation of restrictions across different countries, including: (i) different starting dates of the restrictions, (ii) diversity in the levels and type of restrictions, (iii) changes in time of the restriction levels and (iv) different spontaneous response by individuals (e.g. voluntary decision to change the way of commuting). The chronology of the lockdowns is illustrated in Fig. 1, which shows stringency index trends computed by the Oxford COVID-19 Government Response Tracker (OxCGRT) for selected countries (Hale et al., 2020). The stringency index reports how the response of governments varied over several indicators (e.g. school closures, restrictions in movement, implementation of economic policies), becoming stronger or weaker over the course of the COVID-19 pandemic. The analysis of the stringency index trends is focussed on 6 European countries with different lockdown patterns for illustration (Italy, Spain, France, Germany, the United Kingdom and Sweden). As observed, Italy was the country where restrictions first started, followed by Spain and France, where national lockdowns were imposed on 14 and 17 March, respectively. In contrast to Italy, where the transition from low to high stringency levels was gradual, these two countries abruptly experienced severe restrictions on movements, and commercial and industrial activities. A similar pattern is observed for Germany and the United-Kingdom (UK), where national lockdowns were imposed on the 20 and 23 March, respectively. Sweden, on the other hand, was one of the few European countries where no national lockdowns were implemented and only national recommendations (e.g. relatively soft social distancing measures) were provided to citizens. This is clearly illustrated in the evolution of its stringency index, which remained lower than in the other countries during the whole period.

325 Considering all of the above, the quantification of emission changes due to the COVID-19 lockdown requires the use of  
reduction factors that are, at least: (i) country-dependent, (ii) pollutant sector-dependent and (iii) daily dependent for some  
sectors. Some studies focussing on the quantification of emission reductions are beginning to be published. Le Quéré et al.  
(2020) quantified the reduction in daily CO<sub>2</sub> emissions during the COVID-19 lockdown from January 2020 to April 2020 over  
69 countries, 50 US states and 30 Chinese provinces for a total of six sectors of the economy (i.e. energy industry,  
manufacturing industry, road transport, residential sector, public sector and aviation). The study, which calculates the emission  
reductions based on national activity data, was focussed on estimating the expected impact of the lockdowns upon the 2020  
330 annual CO<sub>2</sub> emissions and climate, but it did not include an analysis of emission cuts of criteria pollutants (NO<sub>x</sub>, SO<sub>x</sub>, NMVOC,  
NH<sub>3</sub>, PM10 and PM2.5) or air pollution levels. More recently, Menut et al. (2020) developed an emission scenario for Western  
Europe to quantify the impact of the lockdowns on air quality levels. Although focussing on criteria pollutants, the emission  
scenario was limited to March 2020 and was set up using only the Apple movement trends, which were used to derived  
emission reductions not only for road transport but also for other anthropogenic sources (i.e. manufacturing industry, non-road  
335 transport and residential/commercial combustion).

We present an open-source dataset of daily, sector- and country-dependent emission reduction factors for Europe associated  
with the COVID-19 lockdowns. These factors are designed to both support the quantification of European primary emission  
reductions and the associated impacts upon air quality. Our emission reduction factors are based on a bottom-up approach that  
340 considers a wide range of information sources, including open access and near-real time measured activity data, proxy  
indicators and other available reports. The resulting dataset covers from the 21<sup>st</sup> February 2020, the beginning of localised  
lockdown in Italy (region of Lombardy), to the 26<sup>th</sup> April 2020 and the following anthropogenic source categories: energy  
industry, manufacturing industry, road transport and aviation (landing and take-off cycle, LTO).

345 To assure easy adoption of the emission reduction factors they are produced in a format consistent with the CAMS-REG-AP  
emission inventory developed under the Copernicus Global and Regional emissions service (CAMS\_81) (Kuenen et al., 2014;  
Granier et al., 2019), whose main objective is to provide gridded distributions of global and European emissions in direct  
support of the Copernicus Atmosphere Monitoring Service (CAMS) production chains (Marécal et al., 2015; Huijnen et al.,  
2019; Rémy et al., 2019). In the framework of CAMS, the CAMS-REG-AP emission inventory is currently used by several  
350 modelling services, mainly to provide short term air quality forecasts, long-term air quality re-analysis or policy support  
products. To illustrate the potential application of our reduction factors, we also performed air quality simulations to quantify  
and evaluate the observed changes in NO<sub>2</sub> concentrations across Europe. We considered three emission scenarios: (i) a first  
one with business as usual emissions using the default CAMS-REG-AP inventory, (ii) a second one considering only the  
traffic-related emission reductions, and (iii) a third one including the reductions from all the aforementioned sectors. The  
355 difference between scenarios allows quantifying the impact of the lockdown measures on emissions and air quality levels and,  
particularly, the contribution of the road transport activity to the overall reductions. The study period of these modelling



exercises covers one month prior to the first day of lockdown in Italy (20 January to 20 February) and more than two months of COVID-19 lockdown conditions (21 February to 26 April). Therefore, the focus of the work is on the transition to full lockdown conditions. The process toward normal conditions is a still ongoing process and will be assessed in future works.

360

Section 2 describes the methods and datasets used to estimate the European emission reduction factors for each one of the aforementioned pollutant sectors. Section 3 describes the setup of the modelling experiment to test the performance of the reduction factors on modelling the decrease of emissions and NO<sub>2</sub> concentrations across Europe. Section 4 discusses the results obtained in terms of emissions and NO<sub>2</sub> level reductions. Section 5 includes our main conclusions and perspectives for future

365 updates.

## 2 Time-, country- and sector-resolved emission reduction factors

We computed a set of emission reduction factors for Europe that vary per day, country and sector. The resulting dataset follows the sector classification reported by the CAMS-REG\_AP emission inventory, which corresponds to the Gridded aggregated Nomenclature For Reporting (GNFR). We considered four GNFR sectors, GNFR\_A (energy industry), GNFR\_B (manufacturing industry), GNFR\_F (road transport) and GNFR\_H (aviation), which we assumed to be the ones suffering the largest reduction in their activity during the COVID-19 lockdowns, in line with Le Quéré et al. (2020). Other sectors potentially affected by the COVID-19 lockdown such as GNFR\_C (other stationary combustion activities) or GNFR\_G (shipping) were not included in this first assessment and will be addressed in future releases of the dataset.

370

375 In terms of spatial coverage, we included as many countries as possible that are covered by the CAMS-REG\_AP European working domain (30° W – 60° E and 30° N – 72°N) (a complete list of the countries can be found in Granier et al. (2019)), giving a special priority to EU-30 (EU-28 plus Norway and Switzerland). A list of the countries included for each sector is summarised in Table 2. The time span of the reduction factors is from 21 February to 26 April 2020. The beginning of the period corresponds to the date of the first localised lockdown in the region of Lombardy, Italy. Three distinct phases can be identified from the OxCGRT stringency index trends in Fig. 1: (i) a first phase without restrictions, with the exception of Italy (1st January to 12th March), (ii) a second phase with increasingly severe restrictions (12 to 23 March) and (iii) a third and final phase when the restrictions were at their maximum and remained almost unchanged for five weeks (23 March to 26 April).

380

We collected and processed daily measured time-series representing the main activities of each sector. We then combined this information with specific methods in order to derive daily emission reduction factors as a function of the country and sector. Table 1 summarises the main sources of information used and the countries included for each sector. The following subsections describe the data and methods for each sector along with the underlying assumptions.

385

## 2.1 Energy industry

We assumed the changes in emissions from the energy industry (which includes power and heat plants) to follow the changes  
390 observed in the electricity demand data reported by the European Network of Transmission System Operators for Electricity  
(ENTSO-E) transparency platform (Hirth et al., 2018; ENTSO-E, 2020). ENTSO-E centralizes the collection and publication  
of the electricity generation for each European Member State. For each country, we collected daily electricity demand data for  
years 2015 to 2020 (January to April). Data gaps and inconsistencies found in the original dataset were corrected using the  
electricity generation statistics reported by the national Transmission System Operators (TSOs). For Russia, we derived the  
395 electricity demand data directly from Russia’s Federal Grid Company of Unified Energy System (FGC UES, 2020).

In addition to its characteristic weekly variability, with higher values during weekdays, part of the electricity demand is driven  
by temperature fluctuations. Therefore, to calculate the reduction in electricity demand during the COVID-19 lockdowns, we  
first estimated the business-as-usual (BAU) electricity demand, i.e., the demand that would have occurred in the absence of  
400 lockdowns under the same meteorological conditions. To estimate the BAU electricity demand we used ML models trained  
with meteorological data and other time features. This approach has been used to weather-normalize NO<sub>2</sub> surface concentration  
time-series, whose variability is also partly driven by the meteorological conditions, to quantify actual reductions of NO<sub>2</sub>  
during the COVID-19 lockdown (Petetin et al., 2020). More specifically, we used gradient boosting machine (GBM) models  
trained and tuned independently for each country using daily data from January to April between 2015 and 2019. As inputs,  
405 we considered the following features: country-level daily population-weighted Heating Degree Days, date index (number of  
days since 2015/01/01), Julian date, day of week and a Boolean feature indicating the country-specific bank holidays. The  
HDD is defined relative to a threshold temperature ( $T_b$ ) above which a building needs no heating and is used to approximate  
the daily energy demand for heating a building (Quayle and Diaz, 1980). In order to provide a more realistic estimate of the  
potential electricity demand for space heating on a national level, we computed country-specific population-weighted HDD  
410 values ( $HDD_{pop}(d)$ ) following Eq. (1):

$$HDD_{pop}(d) = \sum_{x=1}^n \frac{(\max(T_b - T_{2m}(x,d), 0)) \cdot Pop(x)}{\sum_{x=1}^n Pop(x)} \quad (1)$$

Where  $T_{2m}(x, d)$  is the daily mean 2 meter outdoor temperature for grid cell  $x$  and day  $d$  [°C];  $Pop(x)$  is the amount of  
415 population included in grid cell  $x$  [n° of inhabitants] and  $n$  is the total number of grid cells that corresponds to a specific country.  
A threshold temperature value of 15.5°C was selected following Spinoni et al. (2015). Outdoor temperature information was  
obtained from the ERA5 reanalysis dataset for the period 2015 – 2020 (C3S, 2017), while information on gridded population  
was derived from the Gridded Population of the World, Version 4 (GPWv4; CIESIN, 2016). Each grid cell was assigned to a  
specific country following the global country mask available in the Emissions of atmospheric Compounds and Compilation of  
420 Ancillary Data system (ECCAD, <https://eccad.aeris-data.fr/>).

Julian day and day of week serve here as proxies for the (climatological) main drivers of the seasonal and weekly variability of the power demand, and the date index acts as the trend term. We replicated the tuning strategy previously used in Petetin et al. (2020) with random search in the hyper-parameter space and rolling-origin cross-validation (appropriate for time series).

425 While the training and tuning of the GBM models was performed from 2015 to 2019, we used the two first months of 2020 (January-February) to test the performance of the models.

Figure 2 summarizes the main statistics (normalized mean bias, NMB; normalized root mean square error, NRMSE and correlation,  $r$ ) obtained from the comparison between measured and ML-based electricity demand during the first two months of 2020 for selected countries. Generally, a high correlation (above 0.9) and low NMB and NRMSE (below 5%) are observed for all cases, especially in those countries with stronger lockdown restrictions such as Italy, France or Spain. In this study, ML models are used for predicting the fluctuations of electricity demand based on the temperature (and additional time features), assuming that temperature is a strong driver of electricity demand (for heating and air conditioning). However, temperature is obviously not the only driver of electricity demand variability that can be influenced by various other factors (e.g. change of technology, behaviour, regulation). In addition, the GBM models used in this study are non-parametric, meaning that they cannot extrapolate, i.e. predict electricity demand values outside the range of values used during the training phase. As a consequence, such models may perform poorly when overly strong trend and/or inter-annual variability (not directly due to temperature variability) are affecting the electricity demand to predict. In practise, the results obtained in this study show that this approach performs relatively well in most countries, although there are some exceptions. The poorest performance was obtained in Finland ( $r = 0.33$ ), due to a strong negative anomaly (-12% on average) of electricity demand in January-February 2020 compared to previous years used for training. As shown in Fig. S1, the electricity demand reported by ENTSO-E for this country in early 2020 (i.e. late January/early February) was substantially lower than during all previous years (2015-2019). However, this anomaly in the power data cannot be explained by a drastic change in the temperature, as this parameter remained within the same range of values than during previous years. In such situation, where changes in power demand cannot be related to changes in temperature, the ML cannot produce accurate predictions. Compared to most other countries, a larger NRMSE and lower correlation was also found in Luxembourg. In this case, we attribute the low performance of the ML algorithm to the large data gap found in the historical data used for training. For instance, for the year 2019 the ENTSO-E dataset presents a temporal coverage lower than 50%. In addition, despite relatively good statistics in early 2020, the electricity demand computed in Denmark and Norway shows a substantial and unexpected increase during the COVID-19 lockdown (up to +12%). In the case of Denmark, we found higher-than-usual electricity demand levels reported by ENTSO-E in late February/early March 2020 which, as in the case of Finland, could not be directly explained by drastic changes in temperature (Fig. S2). At this time of the year, such relatively high-power demand was already observed in 2018 but because of strong cold waves, while temperature was not particularly cold in 2020. Like in the case of Finland, unexplained changes in the electricity demand induce errors in the predictive ML algorithm. For Norway, although the mean bias on the entire test period is relatively

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455 low, a closer look to the time series indicates that this bias was low at the beginning of the period and started to increase in  
mid-February and persisted during the lockdown (Fig. S3). Therefore, it is unclear to which extent the increase of electricity  
demand during the lockdown is real or simply the persistence of the bias previously observed before the lockdown starts (as  
both are in the same order of magnitude). Without additional sources of information and given the relatively soft mobility  
restrictions imposed in Norway, we also discarded the use of ML for this country and assumed that electricity demand during  
460 the lockdown period was not significantly impacted.

Considering all of the above, and as a precautionary measure, we assumed a null reduction of the electricity demand in  
Denmark, Finland and Norway, and a fixed -16% reduction in Luxembourg starting the first day of the national lockdown  
implementation (15th of March), following the results reported by Le Quéré et al. (2020). Importantly, we do not expect that  
465 assuming a null reduction will cause a significant impact on the computed emission reductions, as the majority of the electricity  
production in these countries comes from renewable energy sources. For instance, in the case of Norway more than 90% of  
the electricity production comes from hydropower (IEA, 2020a).

The electricity demand started to decrease by the end of February and the beginning of March 2020 compared to the BAU  
470 electricity demand estimated from the GBM models in countries where strong restrictions had been implemented. We  
attributed these discrepancies to the direct effect of lockdown measures, regardless of the meteorological conditions, and used  
them to derive quantitative daily emission reduction factors for the energy industry sector (Eq. 2)

$$RF_{ener\_indu}(d, c) = \left( \frac{ED_{COVID-19}(d, c) - ED_{measured}(d, c)}{ED_{measured}(d, c)} \right) * 100 \quad (2)$$

475 where  $RF_{ener\_indu}(d, c)$  is the final reduction factor for the energy industry sector for day  $d$  and country  $c$  [%];  
 $ED_{COVID-19}(d, c)$  is the estimated BAU electricity demand computed using ML for day  $d$  and country  $c$  [MW] and  
 $ED_{measured}(d, c)$  is the measured electricity demand for day  $d$  and country  $c$  [MW].

480 Figure 3.a illustrates the reduction factor trends obtained for selected countries. As expected, the strong weekly cycle of  
electricity demand normally observed in most countries smoothed down during the COVID-19 lockdown. The resulting trends  
are consistent with the national lockdown calendars and restriction levels implemented in each country. Italy is the first country  
where traffic activity reductions happened, followed by Spain, France, Germany, UK and Sweden. This is in line with the  
starting dates of lockdown restrictions in each country (Sect. 2). For Spain, reduction increased between March 30th and April  
485 9<sup>th</sup>, the most restrictive phase of the Spanish lockdown when only essential activities including food trade, pharmacy, and some  
industries were authorized. In the case of Sweden, positive values are observed for certain days until the beginning of April.  
These results agree with the ones reported in Le Quéré et al. (2020), who obtained a 4% increase during the lockdown for this

**Deleted:** Compared to most other countries, a larger NRMSE and lower correlation was also found in Luxembourg. In addition, despite relatively good statistics in early 2020, the electricity demand computed in Denmark and Norway shows a substantial and unexpected increase during the COVID-19 lockdown (up to +12%). As a precautionary measure, we assumed a null reduction of the electricity demand in Denmark, Finland and Norway, and a fixed -16% reduction in Luxembourg starting the first day of the national lockdown implementation (15th of March), following the results reported by Le Quéré et al. (2020).

country. It is likely that electricity demand from public and commercial services remained unperturbed as, in contrast to most countries, there was no enforced lockdown in Sweden. We also hypothesize that a voluntary self-isolation of a fraction of the population may have increased household electricity consumption. During the strictest period of the COVID-19 lockdown (23 March – 26 April), Italy was the country experiencing the largest reductions (-21%), followed by Spain (-15%) and France (-14.4%).

The countries for which daily reduction factors could be computed are shown in Table 1. For countries with no data, we constructed a set of reduction factors based on the average data of all the available countries except Italy, where the lockdown restrictions began approximately 3+ weeks before other countries.

## 2.2 Manufacturing industry

The reduction factors for manufacturing industry are based on the daily electricity demand reduction factors described in Sect. 2.1. We attributed 25% of the total electricity demand reduction to the reduction in manufacturing industry activity, which is consistent with the -27% decrease in electricity use by the manufacturing sector reported by the electricity transmission system operator of France (RTE, 2020). We estimated this value considering that: (i) the European industry sector consumes 22.3% of the total final electricity demand (Eurostat, 2020a) and (ii) most of the electricity reduction during the lockdown can be linked to commercial and public services. Indeed, those industrial branches responsible of manufacturing essential goods (e.g. food, pharmaceutical preparations and other chemical products) remained almost unaffected during the COVID-19 lockdowns, in contrast to the commercial and public services sectors, which were forced to drastically reduce or even completely halt their activities (i.e. restaurants and hotels, office buildings, shopping centres). This fact is illustrated in Fig. 4 which shows, on the one hand, the evolution of the Industrial Production Index (IPI) for selected industrial branches in Spain between January 2019 and April 2020 (INE, 2020) and, on the other hand, the contribution of each Spanish commercial and public service branch to the total electricity consumption (IDAE, 2018). While certain industrial branches have suffered important decreases on their production levels during March and April 2020 (i.e. production of mineral products, steel industry), the essential ones kept about the same level of productivity (i.e. pharmaceutical preparations, manufacturing of soap and detergents, food and paper production and, to a lesser extent, petroleum refining). In contrast, office and commercial buildings, schools, universities, restaurants and hotels, which represent more than 70% of the total electricity consumption, were obliged, in most cases, to close their facilities during the lockdown.

The reduction of power demand attributable to the manufacturing industry sector was then translated into a total reduction in industrial activity using the national energy balances reported in Eurostat (2020a) (Eq. 3):

$$RF_{manuf\_indu}(d, c) = \frac{RF_{ene\_indu}(d, c)^{0.25}}{S_{indu}(c)} \quad (3)$$

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where  $RF_{manuf\_indu}(d, c)$  is the final reduction factor for the manufacturing industry sector for day  $d$  and country  $c$  [%],  $RF_{ene\_indu}(d, c)$  is the reduction factor for the total electricity demand for day  $d$  and country  $c$  estimated as described in Sect. 2.1 [%], and  $S_{indu}(c)$  is the share of final electricity consumed by the industrial sector in country  $c$  [%] (Eurostat, 2020a).

540

Figure 3.b shows the daily reduction factors computed for selected countries. The original positive values (i.e. increase of electricity consumption) obtained for the energy industry sector (Fig. 3.b) were replaced by zeros for the calculations, as we consider unlikely that average increases in manufacturing industrial emissions occurred during the lockdown. In general, the trends observed in all countries follow the same pattern as the ones presented for the energy industry. During the strictest period of the COVID-19 lockdown, computed reductions are between -13 and -10% for Italy, Spain, France and UK, -4% for Germany and -0.8% for Sweden.

545

### 2.3 Road transport

The emission reduction factors considered for the road transport sector are based on the Google COVID-19 Community Mobility Reports (Google LLC, 2020). The Google dataset reports daily movement trends over time by geography (country and region) across different categories of places (i.e. groceries and pharmacies, parks, transit stations, retail and recreation, residential and workplaces) based on aggregated and anonymized sets of data from users who have turned on the Location History setting for their Google Account on their mobile devices. For the present study, we used the mobility trends reported for the transit stations category, which includes places like public transport hubs such as subway, bus, and train stations. The assumption behind this choice is that movement trends observed in public transport hot-spots correlate with private transport trends. Reductions for each day are calculated by Google from a baseline taken as the median value, for the corresponding day of the week, over a 5-week period prior to the lockdowns (3 January to 6 February).

555

We evaluated the Google movement trends with actual measured traffic counts from the city of Barcelona (ATM, personal communication) and other major interurban roads in Spain (DGT, 2020), the latter discriminated by vehicle type (light- and heavy-duty) (Fig. 5). Note that for the Barcelona and DGT data, the information is available from 3 and 9 March onwards, respectively. In general terms, Google data reproduce the measured-based trends obtained for the city of Barcelona (BCN) and the Spanish interurban roads (DGT-all), with correlations of 0.96 and 0.92, respectively. Overall, the average reductions reported by each of these three datasets are similar: -74.6% (Google), -69.1% (BCN) and -63.62% (DGT-all). Using Google data at transit stations tends to slightly overestimate the reductions observed during the weekdays. However large discrepancies are shown when comparing the Google trend against the one reported by DGT for heavy-duty vehicles (DGT-heavy). The data from the DGT reports an average reduction of heavy-duty vehicles of only -31% (more than 2 times lower than the one reported by Google), as these vehicles supported the delivery of essential goods and products (e.g. food, medical supplies). Nevertheless,

565

570 we omitted the distinction between light and heavy-duty vehicle when developing the reduction factors because CAMS-  
REG\_AP/GHG traffic-related emissions are not discriminated by type of vehicle. Consequently, our factors for the traffic  
sector may overestimate the overall reduction of emissions, especially in areas with a higher share of heavy-duty vehicles,  
typically interurban roads. In order to quantify this uncertainty, we used the Spanish official EMEP road transport emissions  
(EMEP/CEIP, 2020) which, unlike CAMS-REG-AP, are reported by vehicle category, to quantify the impact of omitting the  
distinction between light and heavy-duty vehicle when developing the reduction factors. We compared the NO<sub>x</sub> average  
emission reductions obtained for the road transport sector during the strictest lockdown period (23 March to 26 April) when  
575 considering the DGT (2020) trends for heavy duty vehicles instead of the Google movement trends. Results indicate a -18%  
difference between the computed average reductions, i.e. -528.5 t when using Google trends for all vehicle categories and -  
434.4 t when considering specific heavy-duty vehicle trends (Fig. S4). This difference may vary across countries due to  
differences in: (i) the impact of COVID-19 restriction on the activity of heavy-duty vehicles and (ii) the contribution of the  
heavy-duty vehicles to the overall traffic emissions. This approach may be improved in the future but was constrained in this  
580 study by data availability.

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Figure 3.c shows the reduction factors proposed for selected countries. As in the case of energy industry, the resulting trends  
are in line with the implementation and evolution of the national restrictions imposed in each country. The decrease of the  
traffic activity in Italy starts two days after the implementation of the localized lockdown and intensified once the national  
585 lockdown was imposed on 12 March, reaching reductions of about -80%. In the case of Spain and France, similar traffic  
reduction levels were reached just 3 days after the beginning of the corresponding national lockdowns. For UK and Germany,  
the largest reductions are around -70% and -50%, respectively. The lower reductions in Sweden (around -40%) are consistent  
with the lack of enforced mobility restrictions in this country at any point. In all cases, the activity started recovering during  
the last week of the period of study, coinciding with the relaxation of the mobility restrictions.

590 The list of countries included for this sector is summarised in Table 1. For countries without available data we constructed a  
set of average reduction factors considering all countries except Italy.

## 2.4 Aviation

595 We derived the reduction factors related to air traffic emissions during Landing and Take-Off cycles (LTO) in airports from  
statistics provided by FlightRadar24 (FlightRadar24, 2020), which reports every day the total number of tracked operations per  
airport over the preceding 30 days. For each country, we selected the largest airport to represent a national proxy. We computed  
country specific daily flight operation trends using as a baseline value the average number of operations per airport from the  
previous year reported by Eurostat statistics (Eurostat, 2020b).

We started collecting the information from FlightRadar24 for all airports on 6 March, and the information from previous dates could not be retrieved as it is not archived. Therefore, our reduction factors have as initial date the 6 March in all cases, independently of the lockdown calendars. As shown in Fig. 3.d for most countries the reductions in flight activity were starting to occur during those dates and therefore the trends presented are consistent. However, in some other countries such as Italy, reductions were already in a more advanced state (first day of reduction is -15%). We do not expect this lack of information to affect significantly the emission and air quality modelling results, as the contribution of this pollutant sector to total European emissions is very low, i.e. 1.1% and 0.14% to total NO<sub>x</sub> and PM<sub>10</sub> emissions, according to the last available EMEP official reported emission data (EMEP/CEIP, 2020). We expect to complement this information from alternative sources of data in a future release of the dataset. Regarding the obtained results, it is observed that in almost all countries, the reduction levels reached values of -90% or more before the beginning of April. In contrast to road transport, there were no signs of recovery during the last week of April for this sector, as the movements between countries were still restricted at that time.

### 3 Evaluating the reduction factors with air quality modelling

We performed an emission and air quality modelling study as a first demonstration and evaluation of the applicability of the developed emission reduction factors. We used the Multiscale Online Nonhydrostatic Atmosphere Chemistry model (MONARCH) (see section 3.1) and the High-Resolution Modelling Emission System version 3 (HERMESv3) (Sect. 3.2) both developed at the Barcelona Supercomputing Center. The simulation period for the case study is from 20 January to 26 April 2020. The study period covers one month of pre-COVID lockdown conditions (the first localised lockdowns in Europe began on 21 February in the region of Lombardy) and more than two months of lockdown conditions, including five weeks (23 March to 26 April) during which the most severe restrictions were already implemented in most (22) European countries. Therefore, the selected period of study allows analysing the changes in concentrations between the lockdown period and before.

Three air quality simulations were run: (i) using the default CAMS-REG-APv3.1 emissions without considering any emission reduction, hereafter referred to as *baseline* scenario, (ii) considering the traffic-related emission reduction factors only, hereafter referred to as *covid19\_traffic* scenario, and (iii) including the reduction factors from the traffic, energy and manufacturing industry and aviation sectors, hereafter referred to as *covid19\_all* scenario. The base year of the CAMS-REG-APv3.1 emissions used in the three scenarios is 2016, which was the most recent year available at the time of the study.

We also compared the model results against measurements of the European Environmental Agency (EEA) AQ e-Reporting (EEA, 2020) available through the Globally Harmonised Observational Surface Treatment (GHOST) project (Sect. 3.3). The model and evaluation work focus on NO<sub>2</sub>. Given that our main focus are the emission reductions and their evaluation, the inclusion of other relevant, yet more model-dependent secondary pollutants such as O<sub>3</sub> or PM<sub>2.5</sub> is beyond the scope of this

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635 paper. The impact of the lockdown upon secondary pollutants, which are affected by more complex chemical interactions and  
640 source contributions, may be addressed in a follow-up multi-model study.

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### 3.1 MONARCH model

MONARCH v1.0 (Pérez et al., 2011; Haustein et al. 2012; Jorba et al., 2012; Spada et al., 2013; Badia and Jorba, 2015; Badia  
645 et al., 2017) is a fully online integrated system for meso- to global-scale applications developed at the Barcelona  
Supercomputing Center (BSC). A flexible gas-phase module combined with a hybrid sectional-bulk multicomponent mass-  
based aerosol module is implemented in the MONARCH model, that uses the Nonhydrostatic Multiscale Model on the B-grid  
(NMMB; Janjic and Gall, 2012) as the meteorological core driver. The Carbon Bond 2005 chemical mechanism (CB05;  
Yarwood, 2005) extended with Toluene and Chlorine chemistry is the gas-phase scheme used in MONARCH. The CB05 is  
650 well formulated for urban to remote tropospheric conditions and it considers 51 chemical species and solves 156 reactions.  
The rate constants were updated based on evaluations from Atkinson et al. (2004) and Sander et al. (2006). The photolysis  
scheme used is the Fast-J scheme (Wild et al. 2000). It is coupled with physics of each model layer (e.g., aerosols, clouds,  
absorbers as ozone) and it considers grid-scale clouds from the atmospheric driver. The Fast-J scheme has been updated with  
CB05 photolytic reactions. The quantum yields and cross section for the CB05 photolysis reactions have been revised and  
655 updated following the recommendations of Atkinson et al. (2004) and Sander et al. (2006). The aerosol module in MONARCH  
describes the lifecycle of dust, sea-salt, black carbon, organic matter (both primary and secondary), sulfate and nitrate aerosols.  
While a sectional approach is used for dust and sea-salt, a bulk description of the other aerosol species is adopted. A simplified  
gas-aqueous-aerosol mechanism has been introduced in the module to account for the sulfur chemistry, the production of  
secondary nitrate - ammonium aerosol is solved using the thermodynamic equilibrium model EQSAM, and a two-product  
660 scheme is used for the formation of secondary organic aerosols from biogenic gas-phase precursors. Meteorology driven  
emissions are computed within MONARCH. Mineral dust emissions are calculated with an updated version of Pérez et al.  
(2011) scheme, the sea salt aerosol emissions following Jaeglé et al. (2011), and biogenic gas-phase species using the  
MEGANv2.04 model (Guenther et al., 2006). The model provides operational regional mineral dust forecasts for the World  
Meteorological Organization (WMO; <https://dust.aemet.es/>), and participates to the WMO Sand and Dust Storm Warning  
Advisory and Assessment System for Northern Africa-Middle East-Europe (<http://sds-was.aemet.es/>). Since 2012, the system  
665 contributes with global aerosol forecast to the multi model ensemble of ICAP initiative (Xian et al., 2019) and since 2019, it  
is a candidate model of the CAMS - Air Quality Regional Production (Marecal et al., 2015).

In this work, the model is configured for a regional domain covering Europe and part of northern Africa. The rotated lat-lon  
665 projection is used, with a regular horizontal grid spacing of 0.2 degrees, and the top of the atmosphere is set at 50 hPa using  
48 vertical layers. Figure S1 displays the domain of study. Meteorological initial and boundary conditions were obtained from  
the ECMWF global model forecasts at 0.125 degrees and chemical boundary conditions from the CAMS global model

forecasts at 0.4 degrees (Flemming et al., 2015). For an efficient execution of the modelling chain, the autosubmit workflow manager is used (Manubens-Gil et al., 2016).

### 3.2 HERMESv3 emission system

670 The original annual CAMS-REG-APv3.1 emission inventory was processed using the HERMESv3 system, an open source, stand-alone multi-scale atmospheric emission modelling framework developed at the BSC that computes gaseous and aerosol emissions for use in atmospheric chemistry models (Guevara et al., 2019). The HERMESv3 system was used to remap the original CAMS-REG-AP data (0.1x0.05 degrees) onto the MONARCH modelling domain and to derive hourly and speciated emissions. Aggregated annual emissions were broken down into hourly resolution using the emission temporal profiles  
675 reported by Denier van der Gon et al. (2011). The speciation of NMVOC and PM emissions was performed using the split factors reported by TNO (Kuenen et al., 2014).

For the *covid19\_traffic* and *covid19\_all* scenarios, the estimated reduction factors (Fig. 3.a,b,c,d) were combined with the original temporal profiles in order to model dynamic emission reductions for each sector and country. For each pollutant sector,  
680 we constructed a dataset of country-specific COVID-19 daily temporal profiles by combining the original temporal weight factors reported by Denier van der Gon et al. (2011) with the computed emission reduction factors, following Eq. (4):

$$DF_{covid19_s}(c, d) = DF_s(d) * \left(1 + \frac{RF_s(c, d)}{100}\right) \quad (4)$$

685 where  $DF_s(d)$  are the daily temporal factors for pollutant source  $s$  and day of the year  $d$  [0 to 366], and  $RF_s(c, d)$  is the reduction factor computed for sector  $s$ , day of the year  $d$  and country  $c$  [%]. The  $DF_s(d)$  weight factors were obtained by combining the original monthly (January to December) and weekly (Monday to Sunday) temporal profiles reported by Denier van der Gon et al. (2011). Figure 3.e illustrates the COVID-19 daily temporal factors for the road transport sector in selected countries. The original daily profile for this sector, which is used in *baseline* scenario, is also plotted for comparison purposes.  
690 In general, the temporal disaggregation of emissions would require the sum of the daily weight factors to be 366 (as in this case the year of study is a leap year). Nevertheless, and due to the application of the reduction factors, the sum of the COVID-19 daily factors do not add up to this number, which allows simulating time-resolved emission reductions.

### 3.3 Observational dataset

The GHOST project is a BSC initiative dedicated to the harmonisation of publicly available global surface observations (most notably air quality pollutants) and metadata, for the purpose of facilitating a greater quality of observational/model comparison  
695 in the atmospheric chemistry community (Bowdalo, in preparation). Numerous networks are currently processed and contained under the umbrella of GHOST including, among other, the EBAS and EEA networks. For each network, all relevant numerical

and textual metadata (e.g. station classifications, measurement methodologies) is standardised and all data is passed through numerous quality control tests, giving detailed quality assurance (QA) flags.

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In this work, we used the NO<sub>2</sub> near-real time EEA data. We selected rural and urban background stations located at selected countries (Italy, Spain, France, Germany, UK and Sweden). In the case of urban background stations, we selected those located in Milano, Madrid, Paris, Berlin and London. For Sweden, and due to the low density of stations found in individual cities (e.g. Stockholm, 1 station), we decided to consider all urban background stations available country wise (6). GHOST provides a wide range of harmonized metadata and quality assurance (QA) flags for all pollutant measurements. In this study, we took benefit of these flags to apply an exhaustive QA screening. More details on the QA flags used can be found in Appendix A. Note that for Italy, there is a data gap between 1 February and 13 February in all stations. We nevertheless decided to keep this country in our evaluation study since it is one of the European countries most affected by the COVID-19 pandemic and the data gap does not affect the lockdown period. In the case of Sweden, only 1 rural background station was available for the entire country, which may reduce the representativity of the computed results. A detailed description of the stations is available in Table S1 and Fig. S5 of the supplementary material.

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#### 4 Results and discussion

Figure 6 shows maps of daily average NO<sub>x</sub> emissions [ $\text{kg}\cdot\text{s}^{-1}\cdot\text{m}^{-2}$ ] and NO<sub>2</sub> concentrations [ $\mu\text{g}\cdot\text{m}^{-3}$ ] obtained for the *baseline* scenario between 23 March and 26 April, as well as the differences with respect to the *covid19\_all* scenario (i.e. *covid19\_all minus baseline*). During this 5-week period most European countries were under severe national lockdown restrictions, which allows illustrating the largest impacts upon emissions and air quality levels.

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For both NO<sub>x</sub> emissions and NO<sub>2</sub> concentrations, the main reductions occurred in urban areas and main interurban roads, especially within the most affected countries (i.e. Italy, Spain, France, the UK). The largest emission reductions are related to traffic (Sect. 4.1), which is the main contributor to urban NO<sub>2</sub> levels, with approximately a 40% share on average (EEA, 2019). Below we discuss the results obtained from the modelling experiments in terms of daily changes in emissions (Sect. 4.1) and NO<sub>2</sub> air quality concentrations (Sect. 4.2) during the study period.

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##### 4.1 Emissions

Figure 7 (a, b, d, c) shows the evolution of daily NO<sub>x</sub>, NMVOC, SO<sub>x</sub> and PM<sub>2.5</sub> emissions during the entire period of study (20 January to 26 April) for EU-30 and for each of the three scenarios. The largest emission reductions occurred during the second and third week of March, when several European countries enforced national lockdown restrictions. After this period, there was a stabilization of the emission reductions until approximately the 19 April. Thereafter, a slight recovery of the emission levels started to occur, which is consistent with the recovery of traffic activity shown in Fig. 3.c. Overall, and when comparing

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730 the *baseline* and *covid19\_all* scenarios, the reduction of total emissions is -33% for NO<sub>x</sub>, -8% for NMVOC, -7% for SO<sub>x</sub> and  
-7% for PM2.5. The contribution of the traffic sector to total reductions is especially relevant for NO<sub>x</sub> (90%), NMVOC (87%)  
and PM2.5 (82%) while for SO<sub>x</sub> most of the total reduction can be attributable to the decreases in the energy and manufacturing  
industries (97%), according to the results shown by the *covid19\_traffic* scenario. Figure 7 (e, f) illustrates the average and  
5th/95<sup>th</sup> percentiles (p05, p95) of the daily relative changes [%] in the gridded NO<sub>x</sub> emissions for Italy and Sweden. The results  
735 were computed considering all the grid cells within each of the countries. In Italy, the last two weeks of March and first two  
weeks of April certain shows areas of the country reaching reductions up to -75%, whereas in other areas less affected by  
anthropogenic (and particularly road transport) emissions the reductions were significantly lower (~ -25%). In the case of  
Sweden, the reductions ranged between -6% (p95) and -36% (p05).

740 Figure 8 summarises the average, minimum and maximum national daily emission changes [%] obtained for NO<sub>x</sub>, NMVOC,  
SO<sub>x</sub> and PM2.5 between 23 March and 26 April for selected countries along with the average at EU-30 level. Changes in  
emissions present strong variations from country to country and pollutant to pollutant. For NO<sub>x</sub> and SO<sub>x</sub>, all countries except  
Germany and Sweden present stronger average reductions than the ones reported at the EU-30 level (-33% and -7%,  
respectively), and Italy and France are the two countries with the largest reductions (-50% for NO<sub>x</sub> and -12% for SO<sub>x</sub>). For  
745 NO<sub>x</sub>, minimum and maximum daily emission reductions are in general relatively close to the average (e.g. Italy: avg = -50%,  
min = -47% and max = -56%; Spain: avg = -40%, min = -43% and max = -46%). In contrast, there are large differences among  
the average, minimum and maximum daily SO<sub>x</sub> changes, especially in Germany (Sweden) where changes in emissions go  
from 0.6% (0.15%) to -12% (-5%). The different behaviours observed for NO<sub>x</sub> and SO<sub>x</sub> are related to the different trends of  
the road transport and energy industry (Fig. 3.a and c). The daily variability of the reduction factors for road transport is  
750 generally low; in the case of the energy industry large day-to-day variations are observed.

Despite having experienced one of the largest reductions in road transport activity (more than -80%), Spain was the country  
with the lowest decrease in total PM2.5 emissions (-4.3%), and the second lowest in terms of NMVOC (-4.4%). Sweden shows  
a PM2.5 emission reduction of -7.6%, almost two times larger than Spain and very close to Italy (-9.2%), despite its lower  
755 traffic activity decrease (less than -40%). This is explained by the different contributions of the road transport sector  
contribution to total emissions in each country. Figure 9 shows the relationship between the reduction of traffic activity and  
contribution of the road transport sector to total emissions per country and pollutant. In the case of Sweden, road transport  
represents around 21.3% of total PM2.5 emissions, while in Spain the contribution is just 7.9%. Similarly, in the case of  
NMVOC emissions the contribution of road transport emissions is 15.9% in Italy and 8.9% in France, while in Spain is only  
760 4.3%.

## 4.2 Air quality

Figure 10 shows the observed and modelled hourly NO<sub>2</sub> concentrations between 20 January and 26 April at selected urban background sites in Italy (Milano), Spain (Madrid), France (Paris), UK (London), Germany (Dusseldorf) and Sweden (all available sites). In the same way, the results at rural background stations are presented in Fig. 11. In both cases, the results are presented separately for each of the emission scenarios considered: *baseline* (in magenta), *covid19\_traffic* (in green) and *covid19\_all* (in blue). Statistical parameters computed on an hourly basis (i.e. mean bias, MB; root mean square error, RMSE; correlation coefficient, r) are presented for each emission scenario, country and station type for the pre-lockdown (20 January to 20 February) and most restrictive lockdown period (23 March to 26 April) (Fig. 12). For the pre-lockdown period, the calculated statistics are equal for all scenarios, as no emission reductions are considered during that time. The computation of statistics during the pre-lockdown period allows quantifying the performance of the system under BAU conditions. We also compare the observed and simulated NO<sub>2</sub> decline from pre-lockdown to lockdown periods in each region, to quantify the accuracy of the estimated emission reduction factors (Fig. 13). Finally, Table 2 summarises the absolute and relative changes of NO<sub>2</sub> concentrations modelled at each station type and country between 23 March and 26 April.

The MONARCH model is capable of reproducing fairly well the urban background NO<sub>2</sub> observations during the pre-lockdown period, particularly in London (MB = -0.25 µg·m<sup>-3</sup>, RMSE = 16 µg·m<sup>-3</sup>, r = 0.74), Madrid (MB = -4 µg·m<sup>-3</sup>, RMSE = 19 µg·m<sup>-3</sup>, r = 0.64) and Paris (MB = -7.7 µg·m<sup>-3</sup>, RMSE = 13 µg·m<sup>-3</sup>, r = 0.78). Milano is the location with the largest MB (-14 µg·m<sup>-3</sup>) and RMSE (22 µg·m<sup>-3</sup>). The relatively low performance in Milano may be related with the inability of reproducing the strong atmospheric stability conditions of the Po Valley region, a general problem for chemical transport models. After the implementation of the national lockdowns, a decrease in NO<sub>2</sub> is simulated in all sites for both the *covid19\_traffic* and *covid19\_all* scenarios. Nevertheless, the decreasing rate strongly varies from one country to the next. In Madrid and Paris, NO<sub>2</sub> concentrations drop abruptly just a few days after the beginning of the lockdown, while in Milano, Berlin and London the decreases occur at a slower pace. These results are consistent with the traffic activity reduction trends computed for these countries (Fig. 3.c). The statistics computed for the most restrictive lockdown period (23 March to 26 April) clearly reveal a general improvement of the model performance when the emission reductions are considered. As shown in Fig. 12, the calculated MB and RMSE values for the *baseline* scenario are significantly reduced when considering the *covid19\_traffic* and *covid19\_all* scenarios, especially in Madrid, Paris and London where overestimations of 9 to 14 µg·m<sup>-3</sup> are drastically reduced to 1 to -1.5 µg·m<sup>-3</sup>. In Berlin the performance of the model slightly decreases when considering the lockdown scenarios. Both the MB and RMSE of the *baseline* scenario remain lower in magnitude. This feature is attributed to a significant increase in observed NO<sub>2</sub> during the week of 7 April that neither the *baseline* nor the *covid* scenarios capture, either due to missed emission activity changes or errors in meteorology. In terms of correlation, no significant changes are observed when comparing the *baseline* and *covid* scenarios (for all cases except Milano values stay above 0.6). The simulated NO<sub>2</sub> declines from pre-lockdown to lockdown periods when considering the *covid19\_all* scenario are fairly in line with the observed ones (Fig.13.a).

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800 although a general underestimation is shown (i.e.  $-7.3 \mu\text{g}\cdot\text{m}^{-3}$  and  $-6.2 \mu\text{g}\cdot\text{m}^{-3}$  differences between modelled and observed declines in Italy and France, respectively). This underestimation could be related to the fact that we are currently not considering emission reductions from fuel combustion processes in commercial and institutional buildings, which were obliged to close during the lockdown period in almost all European countries. The computed absolute and relative decreases of  $\text{NO}_2$  urban background levels during the most restrictive lockdown period reveals that the differences between the *covid19\_traffic* and *covid19\_all* scenarios are generally low, i.e. the decrease in modelled  $\text{NO}_2$  concentrations is mainly driven by reduction of road traffic emissions. This is consistent with the large contribution of the traffic sector to total  $\text{NO}_x$  emission reductions as discussed in Sect. 4.1. According to the modelling results, the largest decreases in urban background  $\text{NO}_2$  levels during the lockdown period occur in Madrid (-58% and -51% for *covid19\_all* and *covid19\_traffic*, respectively) and Milano (-56% and -54%), followed by Paris (-41% and -32%), Berlin (-30% and -23%), London (-28% and -25%) and Sweden (-11% and -10%). Among these, Paris and Berlin are the locations where non-traffic sources contribute more to total  $\text{NO}_2$  reductions (around 23% in both cases).

810 When it comes to rural background levels, pre-lockdown statistics also indicate a good capability of MONARCH in reproducing observed values, particularly in France (MB =  $1.5 \mu\text{g}\cdot\text{m}^{-3}$ , RMSE =  $2.8 \mu\text{g}\cdot\text{m}^{-3}$ ,  $r = 0.93$ ) and Spain (MB =  $-0.16 \mu\text{g}\cdot\text{m}^{-3}$ , RMSE =  $1.3 \mu\text{g}\cdot\text{m}^{-3}$ ,  $r = 0.52$ ). A persistent overestimation is observed in Germany, UK and Sweden (MB between  $3.5$  and  $4.6 \mu\text{g}\cdot\text{m}^{-3}$ ), while in Italy the system tends to underestimate (MB =  $-3.6 \mu\text{g}\cdot\text{m}^{-3}$ ). The overestimation in Germany, UK and Sweden occurs mainly at night-time (not shown). Similar to what is observed at urban background sites, modelled and observed concentrations between 23 March and 26 April tend to be more in agreement when considering the emission reduction scenarios. The UK and Germany are the countries where the performance improves more, with MB values going from  $7.5$  and  $2.3 \mu\text{g}\cdot\text{m}^{-3}$  (baseline) to  $3.4$  and  $0.42 \mu\text{g}\cdot\text{m}^{-3}$  (*covid19\_traffic*) and  $3$  and  $0.29 \mu\text{g}\cdot\text{m}^{-3}$  (*covid19\_all*). On the other hand, the improvement is not obvious in Italy, as the model shows a negative bias during the pre-lockdown period and the lockdown scenarios constitutes an important reduction of the modelled values. However, the trend is in agreement with results in Spain, France and Germany but with some additional underestimations. The modelled decline of  $\text{NO}_2$  concentrations from pre-lockdown to lockdown periods presents a slight overestimation in all rural background regions except for Italy (Fig. 13.b). The largest differences occur in Germany and France, where modelled declines are  $4.1 \mu\text{g}\cdot\text{m}^{-3}$  and  $2.8 \mu\text{g}\cdot\text{m}^{-3}$  larger than the observed ones. Rural background levels can be determined by the combination of multiple emission sources and therefore it is difficult to attribute these differences to a sole reason. Nevertheless, one plausible explanation for the obtained results could be the limitation of the Google mobility trends in representing the drop of emissions from heavy-duty vehicles, as discussed in Sect. 2.3. The rural background  $\text{NO}_2$  modelled concentrations in the two lockdown scenarios are substantially lower than in the baseline run. Nevertheless, the relative decreases modelled during the lockdown period are generally lower than in urban environments (Table 2). France (-44% and -42% for *covid19\_all* and *covid19\_traffic*, respectively) and Italy (-43% and -41%) are the countries that experience the largest decreases, followed by Spain, UK and Germany (around -30% and -28% in all of them). In Sweden, relative reductions are almost equal to the ones obtained in urban background locations (-12% and -11%).

Although no robust conclusions can be extrapolated as the results are based on only one rural station, the similar reductions obtained in both environments could be related to the soft restrictions implemented in this country. When comparing the *covid19\_all* and *covid19\_traffic* scenarios, only around 4 to 8% of the total reduction can be attributed to non-traffic sources.

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## 5 Conclusions

835 This paper presents a dataset of daily, sector- and country-dependent emission reduction factors that allows quantifying the impact of the COVID-19 lockdown on European primary emissions and air quality levels. The reduction factors are provided for a period that goes from 21 February, when the first European localised lockdown was implemented in the region of Lombardy (Italy), to 26 April 2020, and for the four emission sectors presumably most affected by the mobility restrictions, i.e., road transport, energy industry, manufacturing industry and aviation. Our emission reduction factors are based on a wide  
840 range of information sources, including open access and near-real time measured activity data, proxy indicators and other available reports. We also make use of machine learning techniques trained with meteorological data to estimate reductions in electricity consumption.

845 We combine the computed emission reduction factors with the Copernicus CAMS-REG-APv3.1 European gridded emission inventory to spatially and temporally quantify reductions in emissions from criteria pollutants. The resulting gridded and time-resolved emission reductions are used to perform an air quality modelling study to evaluate its capability on reproducing observed NO<sub>2</sub> concentration changes in selected rural and urban background regions across Europe (Italy, Spain, France, Germany, UK and Sweden). Three emission scenarios were considered: *baseline scenario* (no emission reductions applied), *covid19\_traffic* scenario (consideration of emission reductions only from road transport), and *covid19\_all* scenario  
850 (consideration of emission reductions from all four sectors).

**Deleted:** We combined the computed reduction factors with the Copernicus CAMS European emission inventory using adjusted temporal profiles in order to derive time-resolved emission reductions per country and pollutant sector. We also performed an air quality modelling study to evaluate the potential of the computed emission reductions on reproducing observed NO<sub>2</sub> concentration decreases in selected rural and urban background regions across Europe

**Deleted:** The selection of countries was made considering the heterogeneous levels of lockdown restrictions and timing of implementations in each one of them.

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The main findings and conclusions of this work are as follows:

- During the most severe lockdown period (23 March to 26 April), estimated emission reductions at the EU-30 level were -33% for NO<sub>x</sub>, -8% for NMVOC, -7% for SO<sub>x</sub> and -7% for PM<sub>2.5</sub>, with road transport being the main contributor to total reductions in all cases (85% or more) except for SO<sub>x</sub>, for which reductions were mainly driven by the energy and manufacturing industry sectors.
- Italy, France and Spain are the countries that experienced the major NO<sub>x</sub> and SO<sub>x</sub> emission reductions (up to -50% and -12%, respectively), a result that is in line with the strong lockdown restrictions implemented by their corresponding governments. On the contrary, Sweden shows reductions of only -15% (NO<sub>x</sub>) and -2.5% (SO<sub>x</sub>) due to implementation of national recommendations instead of a state-enforced lockdown.
- Despite showing lower reductions of road transport activity, calculated reductions of total PM<sub>2.5</sub> in Sweden are much larger (-8%) than in Spain (-4%). This is due to the variation in the contribution of the road transport sector to total

875 emissions from country to country. While in Sweden road transport represents around 21.3% of total PM<sub>2.5</sub> emissions, in Spain this contribution is of just 7.9%. A similar outcome is obtained for NMVOC when comparing traffic activity and total emission reductions in Spain and France.

- According to air quality modelling results, the larger decreases of urban background NO<sub>2</sub> levels occurred in Madrid (-58%) and Milano (-56%). The calculated NO<sub>2</sub> relative reductions at rural background areas are generally lower, with France (-44%) and Italy (-43%) being the countries that experience the largest decreases.
- In both urban and rural environments, the comparison between *covid19\_traffic* and *covid19\_all* results, indicates that the road transport sector is on average responsible for 90% of the total NO<sub>2</sub> reductions, with the largest and lowest contributions found in Milano (97%) and Berlin (76%), respectively.
- Overall, we found the performance of the modelled NO<sub>2</sub> results to clearly improve when considering the emission reduction scenarios. Calculated MB values for the *covid19\_traffic* and *covid19\_all* scenarios are significantly lower than the ones estimated for the *baseline* scenario, especially in Madrid, Paris and London where overestimations of 9 to 14 µg·m<sup>-3</sup> are drastically reduced to 1 to -1.5 µg·m<sup>-3</sup>. On the other hand, the improvement is not so obvious at locations where the modelled results already display an important bias during the pre-lockdown period.

## 5.1 Uncertainties

890 In this work we present and evaluate a methodology not only to calculate time-resolved emission reductions associated to the COVID-19 lockdown, but also to adapt them for air quality modelling purposes, which may be relevant for the modelling community. There are, however, some limitations associated to the current version of the reduction factors dataset:

- First, and most importantly, emission changes in each sector were inferred from changes not observed directly in emissions but in general activity proxies such as electricity demand or mobility indicators. The use of such general indicators may lead to disregard changes associated to specific processes or sources.
  - Road transport: Comparisons against observed traffic counts showed that the Google movement trends are not representative of observed changes in heavy-duty vehicle's activity, and that their use may lead to a potential overestimation of the overall traffic activity reduction, especially in interurban roads, where the share of these vehicle categories is more important.
  - Energy industry: The association between changes in electricity demand and emissions from power and heat plants neglects potential changes in the national power mixes. As recently presented by the International Energy Agency (IEA), certain countries have shifted their electricity production towards renewables following lockdown measures due to low operating costs and priority access to the grid through regulations, among other (IEA, 2020b). Omitting this aspect may be leading to an underestimation of the emission reductions for this sector, and therefore will be revised in future versions of the dataset.
  - Manufacturing industry: For this sector, the same reduction factors are assumed for all the industry branches. Yet, information reported by national industrial production indexes are indicating that not all industrial

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sectors were affected in the same way by the lockdown restrictions. For instance, Spanish pharmaceutical, food and paper industries experienced almost no changes in their activity during April 2020 when compared to the previous year (between 0 and -9%), while industries related to the production of petroleum and mineral products showed moderate to significant decreases in April (between -28% to -43%). For this month and country, the average reduction factor computed with the current methodology is -12.5% which, despite falling within the range of the aforementioned reductions, is not representative of the changes reported for any of the specific industrial branches. In order to overcome this limitation, specific reduction factors should be developed for each industrial branch or groups of industrial branches presenting a similar behaviour.

- It is important to note that the specificity of the computed reduction factors also depends upon the degree of sectoral disaggregation used to report the original CAMS inventory. In the case of the manufacturing industry sector, all emissions are reported under a unique category, which hampers the consideration of industrial divisions. Similarly, traffic emissions are split by fuel category but not by vehicle category, which difficult the use of different emission reduction factors as a function of the vehicle type.
- Another important shortcoming is related to the spatial variability of the proposed reduction factors. In its current version, the reduction factors are country-dependent and therefore do not take into account potential variations within each country. This includes, for instance, the contrast between the large cut in road traffic to and from airports on the one hand and the traffic congestion of heavy-duty vehicles at the national borders captured by the Copernicus satellite images on the other (EU, 2020). This aspect will be also relevant when extending the time series of the dataset and including the period when governments started to soften lockdown measures. In some countries such as Spain this process was implemented heterogeneously across the different administration units.

## 5.2 Future perspective

Despite the aforementioned limitations, we believe that providing these timely emission modelling results will help with the understanding of air quality related aspects of the pandemic and also to better prepare in case of new waves or resurgences. As a matter of fact, this dataset supports a number of studies that are on-going in particular within CAMS and under the Global Atmosphere Watch Programme of the World Meteorological Organization (WMO/GAW). Future works will focus on amending the shortcomings mentioned above, particularly for the case of road transport emissions and the potential overestimation of the emissions drop from heavy duty vehicles when using the Google mobility trends. Measured traffic counts from other countries will be collected in order to perform an intercomparison exercise against the Google movement trends and derive a set of European adjustment factors to consider when using the original Google dataset for computing changes in emissions from heavy-duty vehicles. Other works will be performed to extend the number of sectors considered, in particular the residential/commercial and shipping sectors, and cover the transition period towards the post-lockdown conditions. The investigation of the calculated emission reductions obtained when combining the reduction factors with the new CAMS

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950 emission temporal profiles (Guevara et al., 2020) will be also studied. New datasets and information sources will become soon  
available and therefore allow for an improvement in the representativeness of the current emission reductions. Moreover, the  
evaluation of the reduction factors in reproducing observed changes in other air pollutants such as O<sub>3</sub> or PM<sub>2.5</sub> will be also  
addressed in the future. We also expect to perform inter-comparisons of our modelled results against reductions associated to  
the COVID-19 lockdown derived from satellite-based observations, following Barré et al. (2020).

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#### Appendix A: Quality Assurance (QA) applied to NO<sub>2</sub> observational dataset

Using the information provided by GHOST (Globally Harmonised Observational Surface Treatment), we applied numerous  
QA screening to the NO<sub>2</sub> dataset, in order to remove : missing measurements (flag 0), infinite values (flag 1), negative  
measurements (flag 2), zero measurements (flag 4), measurements associated with data quality flags given by the data provider  
960 which have been decreed by the GHOST project architects to suggest the measurements are associated with substantial  
uncertainty or bias (flag 6), measurements for which no valid data remains to average in temporal window after screening by  
key QA flags (flag 8), measurements showing persistently recurring values (rolling 7 out of 9 data points; flag 10),  
concentrations greater than a scientifically feasible limit (above 5000 ppbv) (flag 12), measurements detected as distributional  
outliers using adjusted boxplot analysis (flag 13), measurements manually flagged as too extreme (flag 14), data with too  
965 too coarse reported measurement resolution (above 1.0 ppbv) (flag 17), data with too coarse empirically derived measurement  
resolution (above 1.0 ppbv) (flag 18), measurements below the reported lower limit of detection (flag 22), measurements above  
the reported upper limit of detection (flag 25), measurements with inappropriate primary sampling for preparing NO<sub>2</sub> for  
subsequent measurement (flag 40), measurements with inappropriate sample preparation for preparing NO<sub>2</sub> for subsequent  
measurement (flag 41) and measurements with erroneous measurement methodology (flag 42).

#### 970 6 Data availability

The computed emission reduction factors per country, sector and day are provided in the supplementary material.

#### 7 Author contribution

Marc Guevara conceived and coordinated the development of the European emission reduction factors. Marc Guevara and  
Albert Soret collected and analysed the input information required to compute the reduction factors. Hervé Petetin developed  
975 the Machine Learning algorithm for computing business-as-usual electricity demand during the COVID-19 lockdown period.  
Marc Guevara and Oriol Jorba prepared the requirements for the modelling simulations. Marc Guevara, Carles Tena and Kim  
Serradell supervised the emission and air quality modelling simulations. Dene Bowdalo performed the evaluation of the air  
quality modelling results. Hugo Denier van der Gon and Jeroen Kuenen developed the CAMS-REG-AP emission inventory  
and have provided comments about the work. Vincent-Henri Peuch has provided comments about the work and ensured liaison

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985 with wider activities in CAMS related to COVID-19 and air quality. Oriol Jorba and Carlos Pérez García-Pando helped  
conceiving the European emission reduction factors dataset and supervised the work. Marc Guevara prepared the manuscript  
with contributions from all co-authors.

## 8 Competing interests

The authors declare that they have no conflict of interest.

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**Table 1: GNFR sector classification with the definition and sources of information used to derive emission reduction factors. The countries considered for each sector are also listed.**

Sector	Description	Sources of information	Countries included
GNFR_A	Energy industry	<ul style="list-style-type: none"> <li>Electricity demanda data: ENTSO-E (2020); FGC UES (2020)</li> <li>Outdoor temperature: C3S (2017)</li> <li>Population map: CIESIN (2016)</li> </ul>	Austria, Belgium, Bulgaria, Croatia, Czech Republic, Estonia, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, UK, Russia
GNFR_B	Manufacturing industry	<ul style="list-style-type: none"> <li>Electricity demanda data: ENTSO-E (2020); FGC UES (2020)</li> <li>Outdoor temperature: C3S (2017)</li> <li>Population map: CIESIN (2016)</li> <li>Energy balances: Eurostat (2020a)</li> </ul>	Austria, Belgium, Bulgaria, Croatia, Czech Republic, Estonia, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, UK, Russia
GNFR_F	Road Transport	<ul style="list-style-type: none"> <li>Movement trend reports: Google (2020)</li> </ul>	Austria, Belgium, Bulgaria, Croatia, Republic of Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, UK, Turkey, Georgia, Bosnia and Herzegovina, Moldova, North Macedonia, Malta, Belarus
GNFR_H	Aviation	<ul style="list-style-type: none"> <li>Airport movement statistics: FlightRadar (2020); Eurostat (2020b)</li> </ul>	Austria, Belgium, Bulgaria, Croatia, Republic of Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, UK, North Macedonia, Norway



**Table 2: Absolute [ $\mu\text{g}\cdot\text{m}^{-3}$ ] and relative changes [%] of modelled NO<sub>2</sub> concentrations at urban and rural background stations (UB, RB) for selected countries between 23 March and 26 April. The “N” column indicates the number of stations used to compute the changes.**

Country	Station Type	N	covid19_traffic - baseline (abs)	covid19_all - baseline (abs)	covid19_traffic - baseline (rel)	covid19_all - baseline (rel)
IT (Milano)	UB	6	-17.1	-17.7	-54%	-56%
ES (Madrid)	UB	19	-13.1	-14.9	-51%	-58%
FR (Paris)	UB	16	-8.5	-11.0	-32%	-41%
DE (Berlin)	UB	6	-2.9	-3.9	-23%	-30%
GB (London)	UB	8	-7.4	-8.3	-25%	-28%
SE (all)	UB	8	-0.9	-1.1	-10%	-11%
IT	RB	69	-2.6	-2.7	-41%	-43%
ES	RB	58	-0.7	-0.8	-28%	-31%
FR	RB	23	-2.2	-2.3	-42%	-44%
DE	RB	74	-1.9	-2.0	-26%	-28%
GB	RB	14	-3.7	-4.0	-28%	-30%
SE	RB	1	-0.5	-0.6	-11%	-12%

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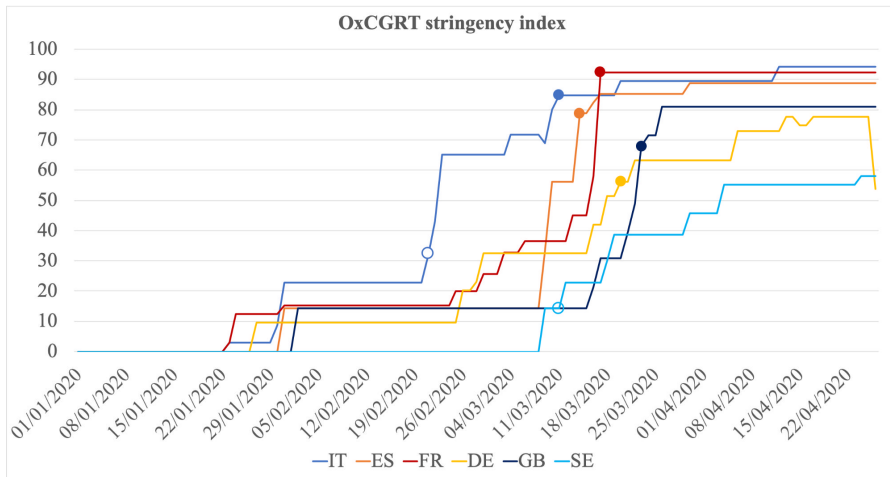


Figure 1: Evolution of the stringency index (0 to 100) computed by the Oxford COVID-19 Government Response Tracker (OxCGRT) (Hale et al., 2020) from 1 January to 26 April 2020 for selected countries (IT, Italy; ES, Spain; FR, France; DE, Germany; GB, United Kingdom; SE, Sweden). Filled circles indicate the starting dates of national lockdowns and unfilled circles indicate the starting dates of the localised lockdown in Italy and national recommendations in Sweden.

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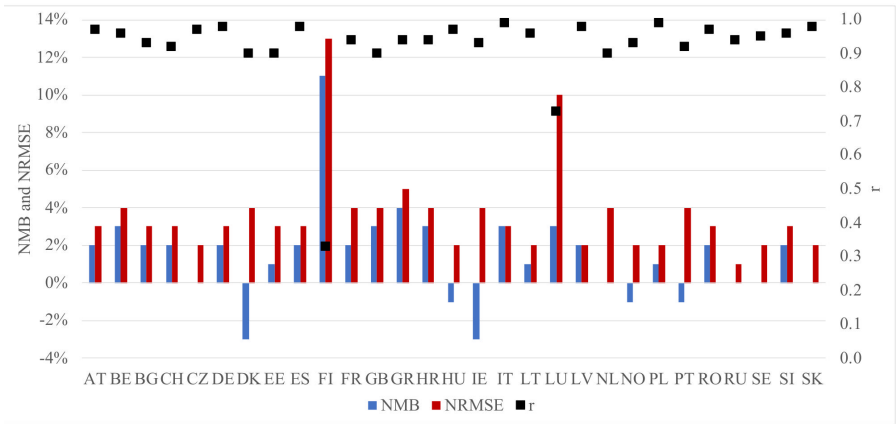
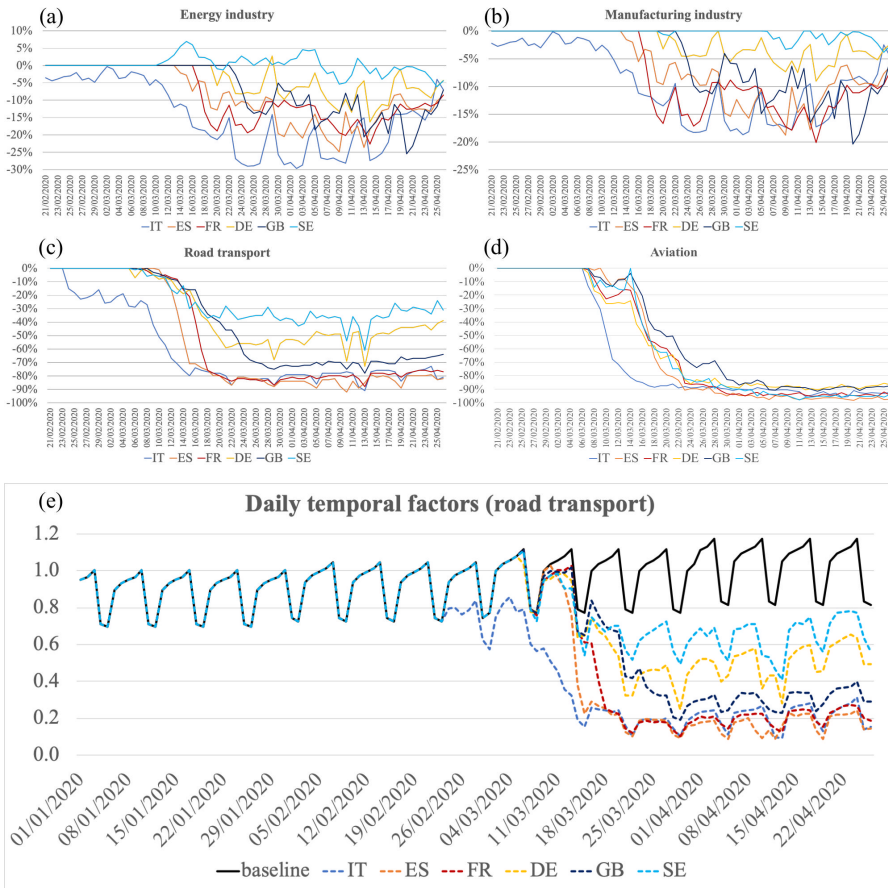


Figure 2: Summary of the statistics (normalized mean bias, NMB; normalized root mean square error, NRMSE and correlation, r) obtained from the comparison between measured and computed electricity demand during the first two months of 2020 for selected countries.



1180 **Figure 3. Emission reduction factors computed for the energy (a) and manufacturing (b) industry, road transport (c) and aviation (d) for selected countries (IT, Italy; ES, Spain; FR, France; DE, Germany; GB, Great Britain; SE, Sweden) for the period 21 February to 26 April 2020. Original and COVID-19 version of the emission daily temporal factors computed for the road transport sector and used for emission modelling (e).**

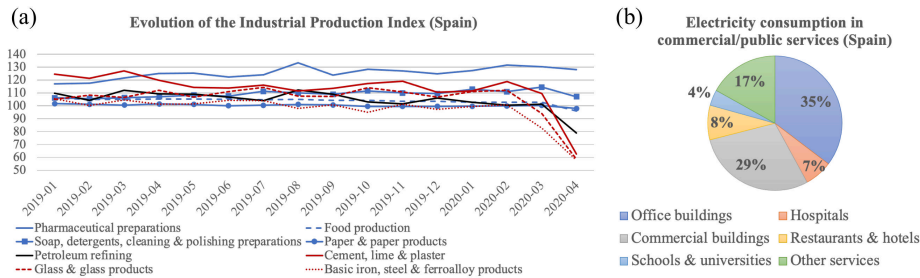


Figure 4. Evolution of the Industrial production Index in Spain for selected manufacturing industrial branches between January 2019 and April 2020 (INE, 2020) (a). Contribution of each commercial and public service branch to total electricity consumption in Spain for 2017 (IDAE, 2018) (b).

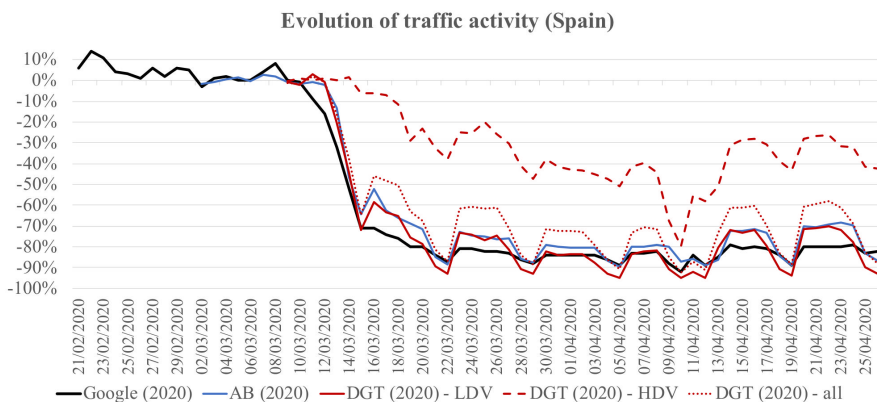
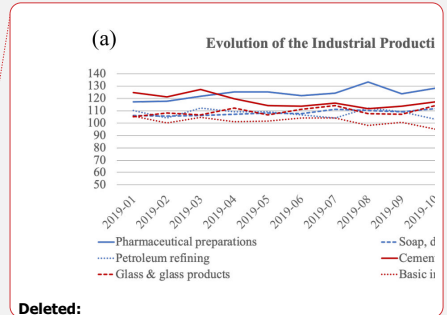
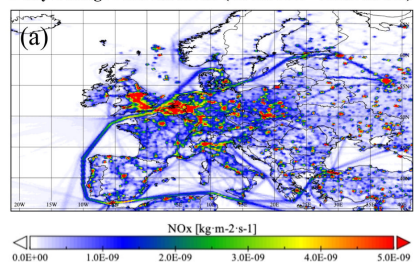
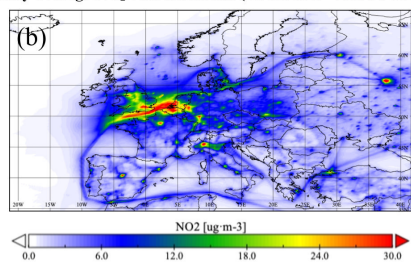


Figure 5. Comparison of traffic movement trends for Spain derived from Google reports (Google, 2020) and measured traffic counts in the city of Barcelona (ATM, personal communication) and the main Spanish interurban roads (DGT, 2020), the latter one being also distinguished by type of vehicle (i.e. light duty vehicles, LDV; heavy duty vehicles HDV).

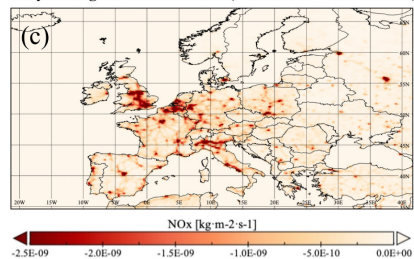
Daily average NO<sub>x</sub> emissions (23/03/2020 – 26/04/2020)



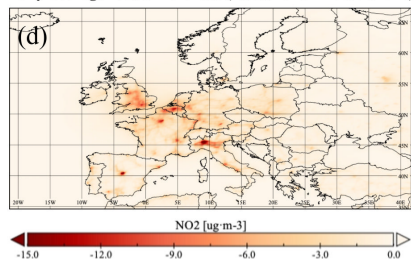
Daily average NO<sub>2</sub> concentrations (23/03/2020 – 26/04/2020)



Daily average NO<sub>x</sub> differences (23/03/2020 – 26/04/2020)

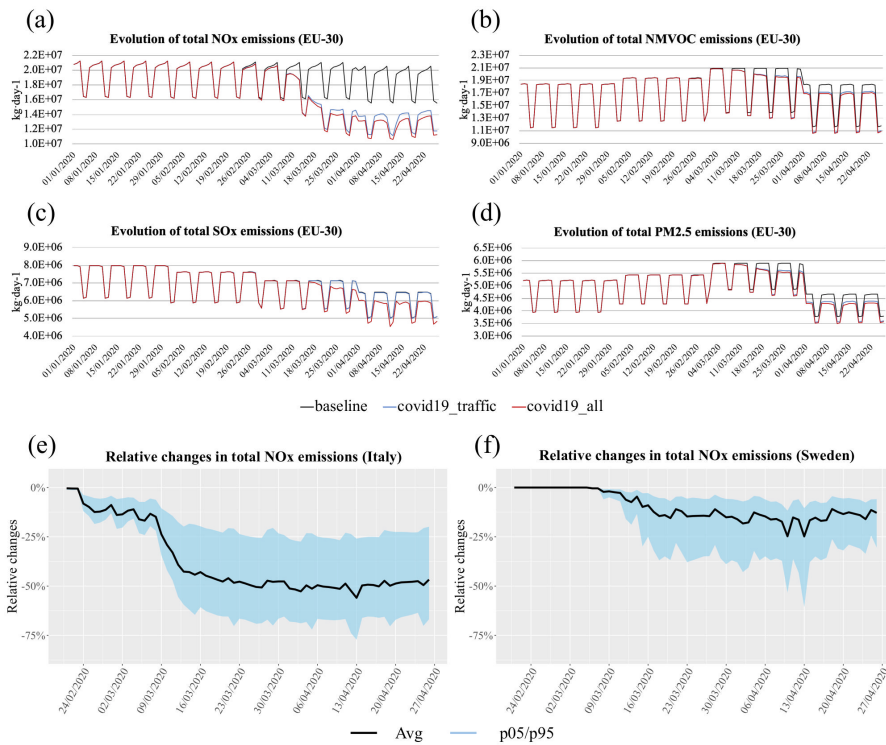


Daily average NO<sub>2</sub> differences (23/03/2020 – 26/04/2020)

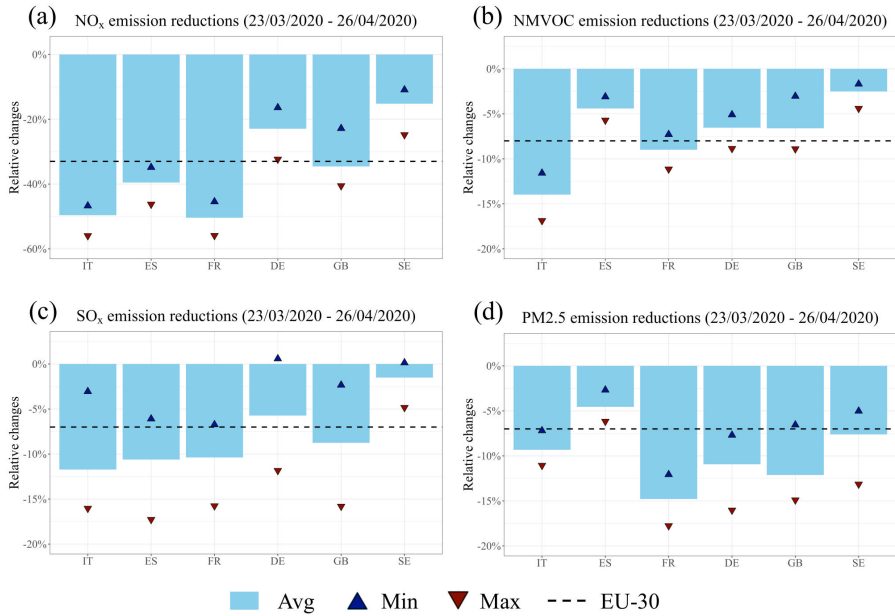


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Figure 6. Maps of the daily average NO<sub>x</sub> emissions [ $\text{kg}\cdot\text{s}^{-1}\cdot\text{m}^{-2}$ ] (a) and NO<sub>2</sub> concentrations [ $\mu\text{g}\cdot\text{m}^{-3}$ ] (b) obtained for the *baseline* scenario (23 March to 26 April) and differences (c and d) when compared to the *covid19\_all* scenario (i.e. *covid19\_all* minus *baseline*). The spatial resolution of all maps is 0.2x0.2 degrees.



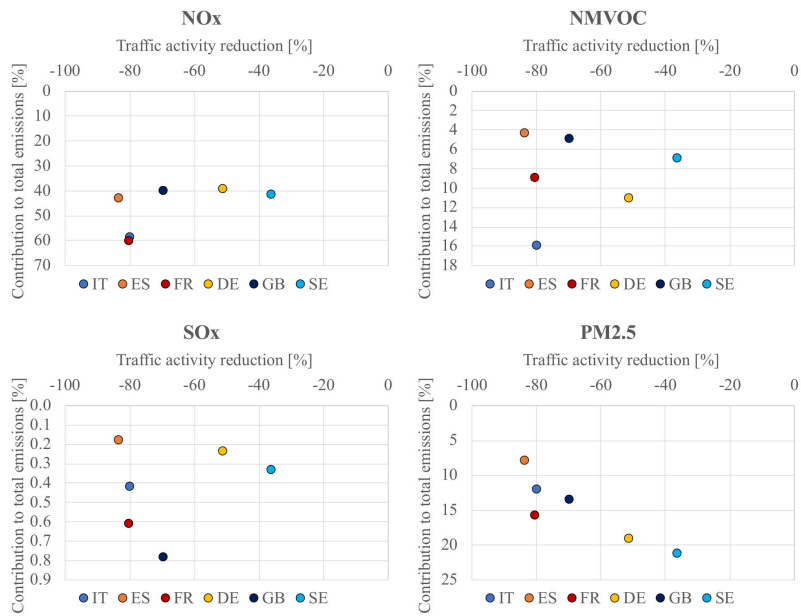
1200 **Figure 7. Evolution in daily NO<sub>x</sub> (a), NMVOC (b), SO<sub>x</sub> (c) and PM<sub>2.5</sub> (d) emissions [kg·day<sup>-1</sup>] during the entire period**  
 of study (20 January to 26 April) for EU-30 and for each of the emission scenarios (*baseline*, *covid19\_traffic* and  
 1205 *covid19\_all*). Average (black) and 5th/95<sup>th</sup> percentiles (p05/p95) (light blue shading) relative changes [%] in gridded  
 NO<sub>x</sub> emissions in Italy (e) and Sweden (f) for the period 21 February to 26 April. The changes are computed considering  
 the differences in total emissions reported by the *covid19\_all* and *baseline* scenarios.



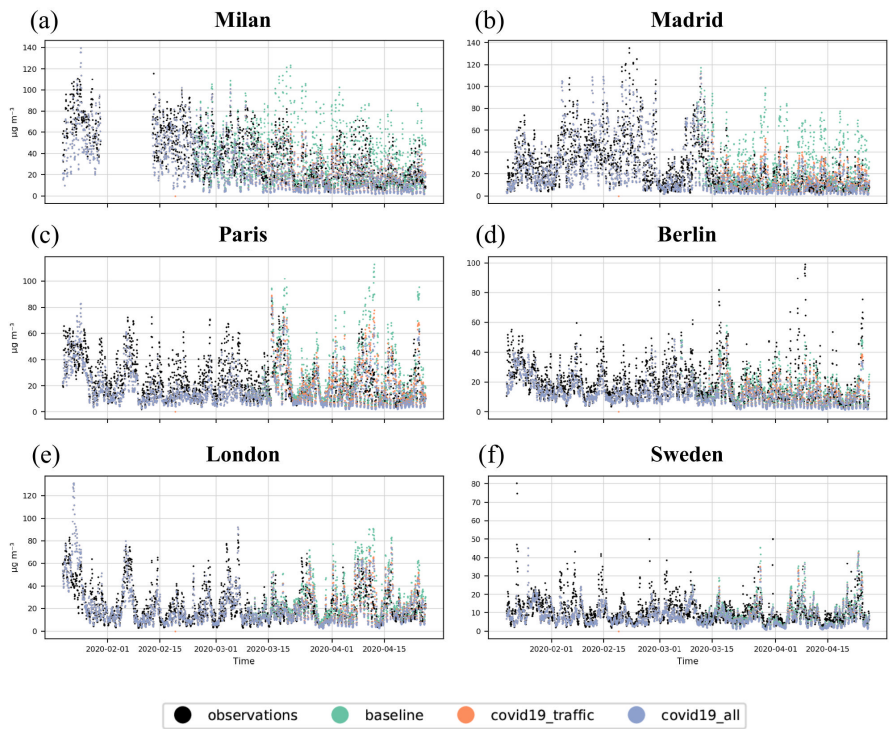
**Figure 8. Average (Avg), maximum (Max) and minimum (Min) relative changes [%] in total national NO<sub>x</sub> (a), NMVOC (b), SO<sub>x</sub> (c) and PM<sub>2.5</sub> (d) emissions for selected countries (IT, Italy; ES, Spain; FR, France; DE, Germany; GB, United Kingdom; SE, Sweden) between 23 March and 26 April. The dashed lines indicate the relative changes at the EU-30 level. The changes are computed considering the differences in total emissions reported by the *covid19\_all* and *baseline* scenarios.**

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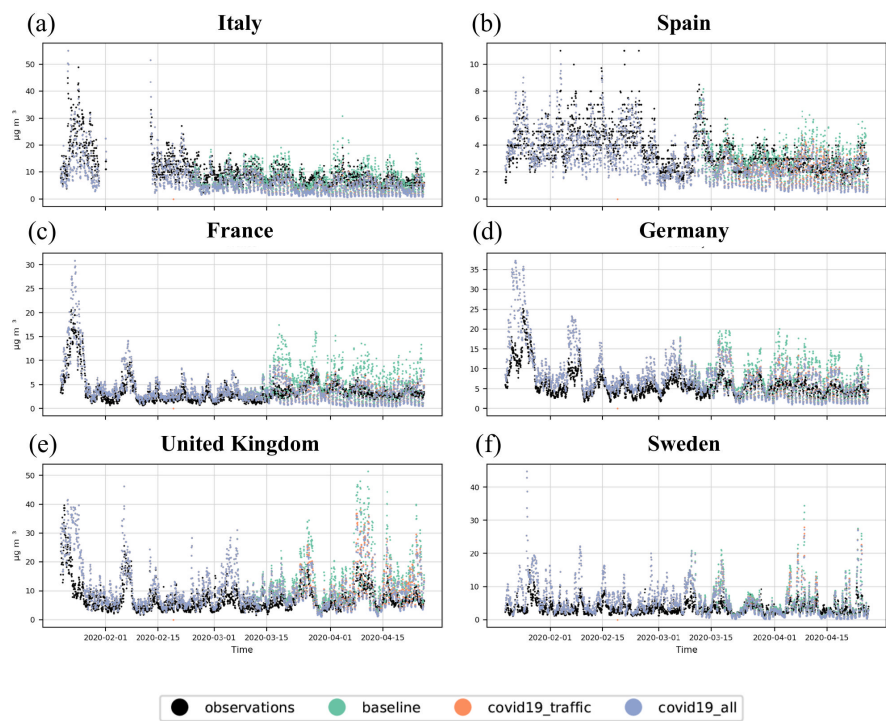


1215 **Figure 9. Relationship between the reduction of traffic activity (23 March to 26 April) and contribution of the road transport sector to total emissions per country (IT, Italy; ES, Spain; FR, France; DE, Germany; GB, Great Britain; SE, Sweden) and pollutant (NO<sub>x</sub>, NMVOC, SO<sub>x</sub>, PM<sub>2.5</sub>)**



1220 **Figure 10. Observed (black) and modelled hourly NO<sub>2</sub> concentrations [µg·m<sup>-3</sup>] (20 January to 26 April) at selected**  
**urban background sites, including: Milan (a), Madrid (b), Paris (c), Berlin (d), London (e) and Sweden (f, all available**  
**sites). Modelled results are presented separately for each of the emission scenarios considered: *baseline* (in magenta),**  
***covid19\_traffic* (in green) and *covid19\_all* (in purple).**

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Figure 11. Observed (black) and modelled hourly  $\text{NO}_2$  concentrations [ $\mu\text{g}\cdot\text{m}^{-3}$ ] (20 January to 26 April) at selected rural background sites, including: Italy (a), Spain (b), France (c), Germany (d), United Kingdom (e) and Sweden (f). Modelled results are presented separately for each of the emission scenarios considered: *baseline* (in magenta), *covid19\_traffic* (in green) and *covid19\_all* (in purple).

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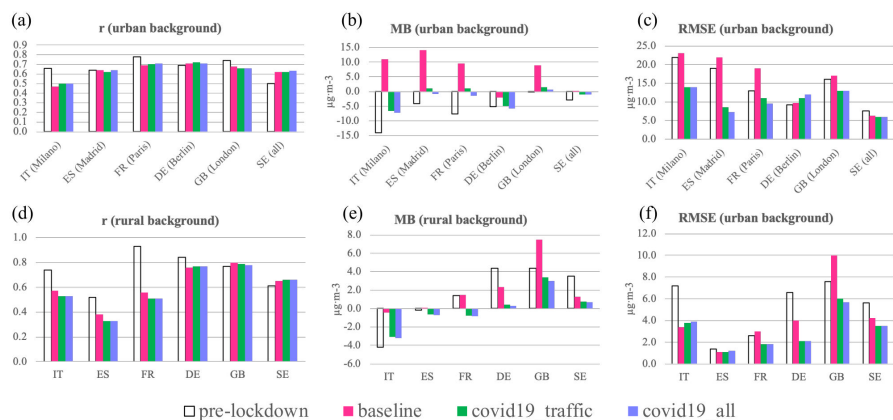
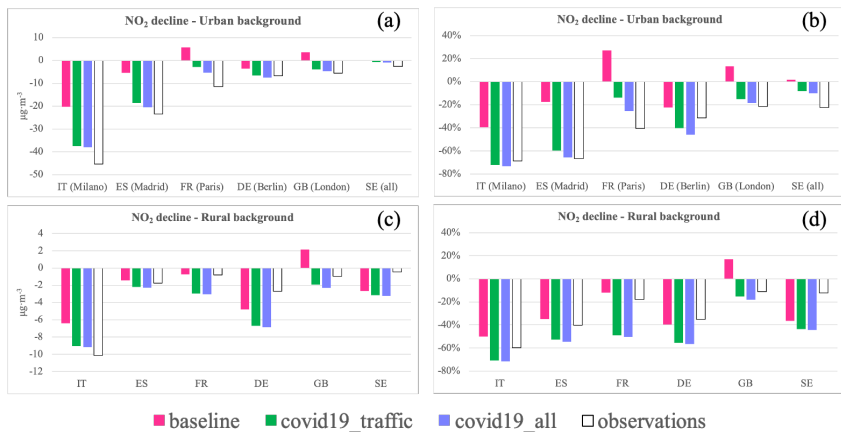


Figure 12. Statistics calculated for  $\text{NO}_2$  on an hourly basis for the pre-lockdown period (20 January to 20 February) and the strictest lockdown period (23 March to 26 April) at urban (a, b, c) and rural (d, e, f) background stations for selected countries (cities). Statistics calculated for most severe lockdown period are reported separately for each emission scenario (baseline, covid19\_traffic and covid19\_all), while for the pre-lockdown period this distinction is not made as the same emissions were used in all scenarios. The calculated statistics are mean bias (MB,  $\mu\text{g}\cdot\text{m}^{-3}$ ), root mean square error (RMSE,  $\mu\text{g}\cdot\text{m}^{-3}$ ) and correlation coefficient (r).

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**Figure 13. Absolute [ $\mu\text{g}\cdot\text{m}^{-3}$ ] and relative [%] observed and modelled NO<sub>2</sub> concentration declines from pre-lockdown (20 January to 20 February) to lockdown (23 March to 26 April) periods at urban (a, b) and rural (c, d) background stations for selected countries (cities).**

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