



1 **A measurement and model study on ozone characteristics in marine air at a remote**
2 **island station and its interaction with urban ozone air quality in Shanghai, China**

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11 **Abstract**

12 To understand the characteristics and changes of baseline ozone (O_3) in oceanic air in
13 East China, a six-year measurement of O_3 concentration was conducted from January 1
14 2012 to September 15 2017 at a remote offshore station located on the Sheshan Island
15 (SSI) near the megacity of Shanghai. The observed monthly mean O_3 concentrations at
16 SSI ranged from 33.4 to 61.4 ppbv during the study period, which were about 80% and 12%
17 higher, respectively than those measured at downtown and rural sites in Shanghai.
18 Compared to the remarkable O_3 increases observed at urban and rural sites in Shanghai,
19 observed O_3 concentrations at SSI exhibited statistically insignificant increasing changes
20 ($1.12 \text{ ppbv yr}^{-1}$, $\alpha > 0.10$) during the observation period, suggesting less impacts of
21 anthropogenic emissions on O_3 levels in oceanic air. In addition, an insignificant
22 decreasing change ($-0.72 \text{ ppbv yr}^{-1}$, $\alpha > 0.10$) was detected in O_3 concentrations at SSI in
23 September and October when the influence of regional transport was minimum
24 throughout the year, providing a good proxy to study the baseline oxidation capacity of the
25 oceanic atmosphere. City plumes from Shanghai usually carried higher levels of NO_x ,
26 resulting in decreased O_3 concentrations at SSI during southwesterly and westerly winds.
27 However, In MAM (March–May) and JJA (June–August), due to the enhanced oxidation of
28 oxygenated volatile organic compounds, O_3 could be continuously produced during
29 daytime in aged city plumes, resulting in elevated O_3 concentrations transported to SSI.
30 The impacts of the offshore O_3 on O_3 levels in Shanghai are quantified during an easterly
31 wind dominant episode (September 1–30, 2014) using the WRF-Chem model. Sensitivity
32 results suggest that O_3 in the oceanic air inflows can lead to 20–30% increases in urban



- 33 O₃ concentrations, which should be crucially considered in dealing with urban O₃ pollution
- 34 in large coastal cities like Shanghai.



35 1 Introduction

36 Ground-level ozone (O_3) is a harmful photochemical oxidant detrimental to air quality,
37 human health and land ecosystems (Yue and Unger 2014; Monks et al., 2015; Li et al.,
38 2019a). High ambient O_3 has been proved to increase the risks of respiratory and
39 cardiovascular mortality (Goodman et al., 2015) and enhance the greenhouse effect
40 (IPCC, 2013). In recent years, O_3 pollution has drawn increasing attention in China, since
41 O_3 pollution is getting worse in spite of the implementation of Chinese Clean Air Action
42 Plan. In contrast to the 28-40% decreases in $PM_{2.5}$ (fine particulate matter; diameter ≤ 2.5
43 μm) levels, the observed daily maximum 8-h average (MDA8) O_3 concentrations show
44 increasing rates of 1–3 ppb yr^{-1} in summer in megacities over eastern China during 2013–
45 2017 (Li et al., 2019b). To address the underlying causes of the increasing O_3 pollution
46 has become an urgent issue that triggers lots of discussions based on observational and
47 model studies worldwide.

48 Observational and model studies indicated that the elevated O_3 levels in urban and
49 rural areas in eastern China were strongly related to the changes in anthropogenic
50 emissions of O_3 precursors (Ma et al., 2016; Lu et al., 2018; Li et al., 2019b; Gu et al.,
51 2020). Since the O_3 formation was reported to be under volatile organic compound (VOC)
52 limited regime in most Chinese megacities (e.g. Beijing, Shanghai, and Guangzhou), the
53 sharp decreases in nitrogen oxides ($NO_x=NO+NO_2$) emissions combined with slight
54 increases in VOC levels were suggested to be main causes of the observed enhancement
55 of O_3 concentrations in East China (Gao et al., 2017; Xu et al., 2019). In remote areas,
56 changes of baseline O_3 also exhibit sensitive responses to human activities (Vingarzan,



57 2004; Meng et al., 2009; Wang et al., 2009; Lin et al., 2015). Based on 14-year
58 observations, Wang et al. (2009) pointed out that enhanced pollution flow from the upwind
59 coastal regions contributed to most of the observed increases in O₃ concentrations during
60 1994–2007. And the increase in background O₃ likely made a strong contribution (81%) to
61 the increasing rate of O₃ in urban Hong Kong. To understand the background O₃ changes
62 and its response to human activities are thus necessary in developing long-term
63 strategies to mitigate local O₃ pollution. However, compared to the intensive field studies
64 in polluted cities and surrounding rural regions, continuous observations of O₃ at
65 representative background sites are relatively limited in China (Wang et al., 2017).

66 To better understand the characteristics of the background O₃ changes in mainland
67 China, the China Meteorological Administration (CMA) started to conduct continuous
68 measurements of surface O₃ at several regional background stations (e.g. Shangdianzi,
69 Linan, and Longfengshan) since 2005. Over 10-year records from the three sites and
70 Waliguan, a baseline Global Atmospheric Watch (GAW) station in Tibetan Plateau region,
71 exhibited different increases in background continental O₃ concentrations especially
72 during daytime in China (Lin et al., 2008; Xu et al., 2008; Meng et al., 2009; Ma et al.,
73 2016; Xu et al., 2016). The detected positive trends of surface O₃ were in a range of 0.24–
74 1.13 ppbv yr⁻¹, suggesting enhanced atmospheric oxidation capacity response to the rapid
75 development of urbanization and industrialization in the past decades. In addition to the
76 changes in background O₃ in terrestrial stations mentioned above, the characteristics of
77 baseline O₃ at remote marine sites are also important. It is because that large amounts of
78 O₃ pollution events occurred in coastal urban agglomerations in East China (Lu et al.,



79 2018; Li et al., 2019a, b), affected by both city plumes and oceanic air inflows (Tie et al.,
80 2009; Shan et al., 2016). For example, model work of Tie et al. (2009) suggested that sea
81 air masses carried by oceanic inshore air flows aggravated urban O₃ pollution in Shanghai
82 under convergence conditions. Understanding the O₃ characteristics in offshore oceanic
83 regions is therefore an important prerequisite for understanding the land-sea O₃
84 interactions and its impacts on O₃ pollution in coastal cities. However, to our knowledge,
85 studies on the characteristics and changes of O₃ in marine air are quite limited in mainland
86 China since it is very difficult to conduct systematic and continuous observations under
87 remote oceanic air conditions.

88 In this report, we present the first relatively long and continuous measurements of O₃
89 conducted on a remote offshore island (Sheshan Island, SSI) from Jan 2012 to Sep 2017
90 in eastern China. The SSI is located at the confluence of the Yellow Sea and the East
91 China Sea, covering about 0.4 square kilometers area. Since there are no inhabitants in
92 the island, the observed O₃ is seldom affected by local anthropogenic emissions. The
93 collected O₃ data are used to understand the magnitudes and variabilities of O₃ in the
94 offshore regions and their impacts on the O₃ concentrations in coastal city areas. First
95 shown are the general impacts of regional transport on the remote atmosphere over the
96 SSI region. Then the diurnal patterns of O₃ at SSI are investigated by comparing them
97 with those observed at a downtown site (XJH) in Shanghai. Multi-year changes of O₃
98 concentrations at SSI are analyzed to examine the overall changes of baseline O₃ in
99 marine air and possible causes. Also analyzed are the impacts of urban plumes on O₃
100 levels in oceanic air in offshore regions. At last, the influence of O₃ carried by oceanic air



101 inflows on urban O₃ air quality in Shanghai is assessed using the Weather Research and
102 Forecasting model coupled with Chemistry (WRF-Chem).

103 2 Material and methods

104 2.1 The SSI site and ozone observations

105 To investigate the characteristics and variabilities of O₃ in marine air and their interactions
106 with urban air quality in coastal areas, ground O₃ concentrations were continuously
107 measured at SSI site (31.4°N, 122.3°E, 73.5 m a.s.l.), which is approximately 75
108 kilometers away from the east edge of Shanghai city. Figure 1 shows the location of SSI
109 and the surrounding environment. As mentioned in Sect. 1, there is no resident and tourist
110 on the island. The observed O₃ at SSI site can represent the background O₃ conditions in
111 oceanic air which are seldom contaminated by anthropogenic emissions. Hourly O₃ data
112 was collected during January 1 2012 to September 15 2017, with a capture rate of 89.7%.
113 O₃ was measured using an analyzer from Ecotech, Australia (Model EC9810), which
114 combined microprocessor control with ultraviolet photometry. The instrument met the
115 technical specifications for United States Environmental Protection Agency, with a quality
116 control check every 3 days, filter replaced every 2 weeks and calibration every month.

117 2.2 Observational data at urban and rural sites in Shanghai

118 To better understand the characteristics of the offshore O₃ in oceanic air at SSI, O₃
119 observations obtained from a downtown site, Xujiahui (XJH) are used for comparisons.
120 The XJH site is located at downtown Shanghai, approximately 80 km west from the SSI. In
121 addition, since measurements of NO_x, carbon monoxide (CO) and meteorological
122 parameters (e.g. wind direction and wind speed) were unavailable at SSI, observations



123 obtained at an adjacent site, Dongtan (DT), are substituted for the investigation. The DT
124 site was set up in a national nature reserve near the coast of Shanghai, where the
125 observed pollutant levels have been reported to well reflect the impacts of pollution
126 transport during the MIRAGE-Shanghai (Megacities Impact on Regional and Global
127 Environment at Shanghai) field campaign (Tie et al., 2013). Similar to SSI, the DT site is
128 also little affected by human activities. The obtained observations of meteorology and
129 pollutants are therefore applied for analyzing the impacts of regional transport on
130 observed O₃ concentrations at SSI. NO_x concentrations were measured with a
131 chemiluminescent trace level analyzer (TEI; Model 42iTL), with detection limit of 0.025
132 ppb. CO concentrations were measured by the Model 48iTL Enhanced CO analyzer,
133 based on gas filter correlation technology. The wind speed and wind direction were
134 measured by using a DZZ4 Automatic Weather Station certificated by the China
135 Meteorological Administration. The geographical locations and surrounding environment
136 of XJH, DT, and SSI are displayed in Fig. 1.

137 2.3 The WRF-Chem model

138 We simulate O₃ using the regional chemical transport model WRF-Chem (version 3.8,
139 <https://www2.aocom.ucar.edu/wrf-chem>), collaboratively developed through efforts of
140 several institutes, such as the National Center for Atmospheric Research (NCAR) and the
141 National Oceanic and Atmospheric Administration (the National Centers for Environmental
142 Prediction (NCEP)). The model includes on-line calculation of meteorological parameters,
143 transport, mixing, emission, and chemical transformation of trace gases and aerosols
144 (Grell et al., 2005). The Regional Acid Deposition Model version 2 (RADM2, Stockwell et



145 al., 1990) gas-phase chemical mechanism is used for the O₃ formation chemistry.
146 Photolysis rates are calculated by using the fast radiation transfer module (FTUV)
147 followed those in Madronich and Flocke (1999) and Tie et al. (2003). ISORROPIA II
148 secondary inorganic (Fountoukis and Nenes, 2007) and the Secondary ORGANIC Aerosol
149 Model (SORGAM) (Schell et al., 2001) schemes are used for aerosol chemistry. Dry
150 deposition follows the standard resistance-in-series model of Wesely (1989). The major
151 physical processes employed in the model follow the Lin microphysics scheme (Lin et al.,
152 1983), the Yonsei University (YSU) planetary boundary layer (PBL) scheme (Hong and
153 Lim, 2006), the Noah Land surface model (Chen and Dudhia, 2001), and the long-wave
154 radiation parameterization (Dudhia, 1989).

155 The model used in this study has a horizontal resolution of 6km×6km, including 150
156 un-staggered grids in west-east, 150 un-staggered grids in south-north, and 35 vertical
157 layers extending from the surface to 50 hPa. The domain encompasses Shanghai and
158 surrounding region, centered at 31.3°N, 121.4°E. The NCEP FNL (Final) Operational
159 Global Analysis data are used for meteorological initial and boundary conditions, with
160 lateral meteorological boundary updated every 6 h. Basic chemical lateral boundary
161 conditions are constrained by a global chemical transport model (MOZART-4, Model for
162 OZone And Related chemical Tracers, version 4) (Tie et al., 2001; Emmons et al., 2010).
163 Anthropogenic emissions are derived from the Multi-resolution Emission Inventory for
164 China (MEIC inventory, <http://www.meicmodel.org/>; Li et al., 2014) for year 2010. Biogenic
165 emissions are calculated online using model of emissions of gases and aerosols from
166 nature (MEGAN2, Guenther et al., 2006).



167 2.4 Methods for assessing the trend of ozone
168 The daily mean O₃ concentrations are used to examine the overall changes in O₃
169 concentrations during the period 2012–2017, including all time of day with qualified
170 measurements. The trends are assessed using two nonparametric methods, which are
171 commonly used to detect trends of non-normally distributed data with seasonality (Xu et
172 al., 2016). The Mann-Kendall (MK) trend test (Mann, 1945; Kendall, 1975; Gilbert, 1987) is
173 used to examine the trend significance, and the Theil-Sen trend estimate method (Sen,
174 1968) is used to estimate the slope of trend, which could also be considered as the rate of
175 change, during the six-year period. Compared to the linear fitting analysis which require
176 data to be independent and follow a Gaussian distribution, the non-parametric trend test
177 methods only need the data be independent (Gocic and Trajkovic, 2013). To determine if
178 the calculated rate of change is statistically significant, the confidence level of at least 95%
179 is adopted in the MK trend test, with α value less than 0.05 being considered a statistically
180 significant trend. The trend significance is examined by comparing the value of a
181 standardized test statistic Z to that of a standard normal variate at a given significance
182 level (Z_{α} , $\alpha=0.05$). If $|Z| > Z_{1-\alpha/2}$, then the dataset is non-stationary, exhibiting either an
183 increasing or a declining trend; If $|Z| \leq Z_{1-\alpha/2}$, then the dataset is stationary with no
184 significant trend. Detailed calculation of Z can be referred to Xu et al. (2016).

185 3 Results and discussion

186 3.1 Regional transport characteristics at SSI

187 The observed O₃ concentrations at SSI were inevitably influenced by regional transport
188 depending on the prevailing winds in various seasons. Figure 2 displays the monthly wind



189 rose diagrams averaged over the period of 2012 to 2017 at DT. As mentioned in Sect. 2.2,
190 the DT site is a rural site located quite close to SSI. The observed wind speeds and wind
191 directions could then be applied to deduce the origins of the air mass arriving at SSI in
192 adjacent region. Generally, observed prevailing winds exhibited distinct seasonal
193 variabilities which were greatly affected by the East Asian monsoon. In warm seasons
194 (May-August), the site was predominately influenced by easterly and southeasterly winds,
195 accounting for 40–50% of the total winds. While in cold seasons (November-February),
196 the northwesterly and northerly winds became the predominant flows that affected SSI,
197 accounting for about 45% of total winds. During transitional months (e.g. March, April,
198 September and October), the dominant winds presented more diversities, with wind
199 directions dispersedly distributed in all the directions. The observed seasonal variations of
200 prevailing winds are typical at coastal cities at mid-latitude region (Shan et al., 2016; Xu et
201 al., 2019), suggesting that air masses arriving at SSI originated from various regions and
202 could result in different impacts on the offshore atmospheric composition in different
203 months.

204 Since CO has a relative long chemical lifetime of a few months, the observed CO
205 concentrations at DT could be regarded as a consequence of regional transport from
206 polluted regions (Tie et al., 2009). Figure 3 displays the observed monthly mean CO
207 mixing ratios under wind directions of north (N), northeast (NE), east (E), southeast (SE),
208 south (S), southwest (SW), west (W), and northwest (NW) at DT during the 2012–2017
209 period. Observed CO exhibited relative higher concentrations under SW and W winds in
210 all months, with mean mixing ratios of 0.44 and 0.56 ppmv, respectively during 2012–2017



211 (Table 1). The observed high CO mixing ratios suggested that the atmosphere
212 constituents at SSI could be more affected by regional transport of air pollutants under
213 SW and W wind conditions. As SSI is located to the northeast of the Shanghai city (Fig. 1),
214 air masses carried by the SW and W flows usually contain more urban pollutants from
215 upwind city areas, and those carried by E, SE, and NE flows mostly come from the ocean.
216 The oceanic air masses are cleaner compared to those from the cities, leading to lower
217 CO mixing ratio at SSI. For example, observed CO exhibited a mean concentration of
218 0.23 ppmv under SE wind conditions, which was about 50% lower than that influenced by
219 W winds. To further examine the impacts of the SW and W winds on the atmosphere
220 constituents at SSI, Table 2 lists the calculated monthly mean occurrence frequency of the
221 SW and W winds in separate months during the studied period. The SW and S winds
222 were most infrequent in September (6.1 %) and October (5.2 %), suggesting that the
223 atmosphere at SSI during the two months could be less contaminated by pollutants
224 transported from the city and might be more close to the baseline oceanic air conditions.

225 3.2 The diurnal pattern of ozone at SSI

226 Figure 4 displays the monthly mean diurnal variations of O₃ at SSI and XJH in different
227 months during 2012–2017. The observed O₃ concentrations at the two sites exhibited
228 similar seasonal distinctions, with monthly mean values highest (61.4 ppbv for SSI and
229 35.9 ppbv for XJH) in May and lowest (33.4 ppbv for SSI and 12.5 ppbv for XJH) in
230 December. Since the O₃ formation in urban Shanghai is VOC-limited, observed O₃ could
231 be significantly depressed by large NO_x emissions at downtown site (XJH) (Gu et al.,
232 2020). In Fig. 4, observed O₃ levels at XJH were quite lower than those at SSI in all



233 months, with mean concentrations of 27.8 and 50.1 ppbv, respectively at XJH and SSI
234 during the observation period. The observed mean O₃ concentration at the rural site of DT
235 (44.7 ppbv) was also lower than that at SSI, which suggested that the O₃ levels in marine
236 air were higher than the continental O₃ at both urban and rural sites. The observed diurnal
237 patterns of O₃ at SSI and XJH were similar to those reported for other sites in eastern
238 China (Xu et al., 2008; Geng et al., 2015; Gao et al., 2017), exhibiting minimums in early
239 morning (06:00–08:00 LST) and maximums in the afternoon (13:00–15:00 LST). However,
240 compared to those at the urban site (XJH), observed amplitudes of O₃ diurnal variations
241 were much smaller at SSI. The diurnal variations of surface O₃ can be mainly attributed to
242 the O₃ production through photochemical reactions in the daytime and O₃ depression via
243 NO titration at nighttime (Sillman, 2003). Due to few emissions of O₃ precursors (NO_x and
244 VOCs), the O₃ production and depression could be weaker at remote site, resulting in
245 flatter diurnal cycle of O₃ compared to that at polluted urban site.

246 Since the amplitudes of O₃ diurnal variations usually exhibited much smaller values in
247 background areas compared to those in polluted urban regions, the ratio of daily
248 maximum O₃ concentration (O_{3-max}) to minimum O₃ concentration (O_{3-min}) was regarded as
249 an indicator to identify if the local O₃ pollution was significantly influenced by
250 anthropogenic emissions (Cvitas and Klasinc 1993; Vingarzan, 2004). The O_{3-max}/O_{3-min}
251 ratio displayed larger values in polluted regions (Cvitas et al., 1995) and lower values in
252 less contaminated rural regions. A ratio of about 1.4 suggested that the site could be
253 regarded as a typical background site (Scheel et al., 1997). In Fig. 4, observed O₃
254 displayed different diurnal variabilities in different months, indicating different influence of



255 regional transport on O_3 levels at SSI. Figure 5 displays the calculated monthly mean
256 $O_{3\text{-max}}/O_{3\text{-min}}$ ratios at SSI and XJH, respectively during 2012–2017. Generally, the
257 observed ratios of $O_{3\text{-max}}/O_{3\text{-min}}$ at SSI were much lower than those at XJH in all the
258 months, suggesting less impact of anthropogenic emissions on O_3 levels. The calculated
259 mean ratios were 3.03 and 5.20, respectively at SSI and XJH, and most of the calculated
260 values were larger than 4.50 at the urban site. Besides, the ratios presented distinct
261 seasonal differences at XJH and SSI sites. Higher values were observed in summer,
262 indicating stronger photochemical production of daytime O_3 during June to August. At SSI,
263 the $O_{3\text{-max}}/O_{3\text{-min}}$ ratio exhibited relatively low values in September and October, ranging
264 from 1.61–2.35 during the studied period. Since the observed temperature and solar
265 radiation still exhibited higher values during the two months in Shanghai (Gao et al., 2017),
266 the observed low O_3 diurnal amplitudes should not be attributed to the weakened
267 photochemical formation of O_3 as those in winter. Due to the persistent control of
268 anticyclone, Shanghai and its neighboring areas are usually dominated by stable weather
269 conditions in September and October, resulting in more gentle and diversified wind
270 conditions. During the two months, the occurrences of more polluted SW and W winds
271 were lowest (6.1% and 5.2%) throughout the year. The corresponding wind speed (2.49
272 and 2.50 m s^{-1}) also exhibited values 20% lower those in other months (Table 2). The
273 transport conditions led to fewer pollutants transported to the SSI region, which could
274 explain the observed weak diurnal variabilities of O_3 in September and October. The
275 transport conditions together with O_3 response further confirmed that the transport of city
276 pollutants had minimum impacts on the offshore O_3 levels in oceanic air at SSI in



277 September and October.

278 3.3 Overall changes of ozone in oceanic air at SSI

279 Several studies have observed increasing trends of ground-level O₃ in metropolitan areas
280 over eastern China since 2013, suggesting that the O₃ increases were mostly attributed to
281 the NO_x emission reductions (Ma et al., 2016; Gao et al., 2017; Lu et al., 2018; Li et al.,
282 2019b). However, the O₃ changes at remote sites were relatively not well elucidated
283 during past years. Figure 6a presents the monthly variations of O₃ concentrations at SSI
284 and XJH during the 2012–2017 period. The statistical results of the MK test and Theil–
285 Sen trend estimate method indicated that observed monthly mean O₃ mixing ratios (O_{3-ave})
286 exhibited increasing changes at both urban (XJH) and remote sites (SSI) in Shanghai,
287 with calculated increasing rate of 1.97 and 1.12 ppbv yr⁻¹, respectively in XJH and SSI.
288 Though an overall upward trend of O₃ was detected at SSI, the changes were not as
289 remarkable as those observed at XJH, which could not even pass the MK trend test at the
290 90% confidence level. The detected increasing trend of O₃ in oceanic air at SSI were also
291 not as remarkable as those observed at remote continental sites (e.g. DT and Lin'an) over
292 the Yangtze River Delta (YRD) region (Xu et al., 2008; Gao et al., 2017; Gu et al., 2020),
293 suggesting few influence of anthropogenic emissions on the observed O₃ levels in
294 oceanic air.

295 As discussed in Sect. 3.1, different prevailing winds led to different transport
296 conditions at SSI in various months. Comparatively, the observed O₃ concentrations at
297 SSI were least contaminated by the regional transport of air pollutants in September and
298 October. To further examine the changes of nearly uncontaminated O₃, we present the



299 variations of daily mean surface O₃ mixing ratios in September and October at SSI and
300 XJH, respectively during the six-year period in Fig. 6b. The corresponding mean O₃
301 mixing ratios were 60.9 and 31.3 ppbv, respectively at SSI and XJH. Compared to the
302 significant increasing changes of O₃ (0.59 ppbv yr⁻¹, $\alpha < 0.05$) at urban site (XJH), observed
303 O₃ at SSI in September and October exhibited insignificant decreasing changes during
304 the studied period, with an average rate of -0.72 ppbv yr⁻¹. The changes were smaller and
305 quite different from the overall changes at SSI as well as those detected at XJH, which
306 further indicated that the observed O₃ levels at SSI in September and October should be
307 seldom influenced by urban plumes, providing a good proxy to study the baseline O₃ and
308 oxidation capacity of background atmosphere in eastern China.

309 To investigate possible drivers of the observed changes in the least contaminated O₃
310 concentrations in September and October at SSI, Table 3 displays the statistical results of
311 the MK test and Theil-Sen trend estimate for NO_x, CO mixing ratios, temperature, and
312 wind speed during the 2012–2017 period. Statistically significant upward trends were
313 detected in NO_x concentrations and wind speed with estimated increasing rates of 0.48
314 ppbv yr⁻¹ and 0.21 m s⁻¹ yr⁻¹, respectively during the observation period ($\alpha < 0.05$). The
315 results suggested that increases in surface wind speed might be an important
316 meteorological driver of the observed decreasing changes in O₃ levels at SSI from 2012 to
317 2017. Since both NO_x and CO levels exhibited different increases, it means more
318 pollutants could be transported to the island and might resulted in elevated O₃
319 depressions during the period. Figure 6c presents corresponding variations of daytime
320 and nighttime mean O₃ concentrations at SSI. Similarly, insignificant downward changes



321 were detected in both daytime and nighttime O_3 levels, indicating that the diffusion and
322 depression of O_3 might be enhanced at SSI due to elevated wind speeds and NO_x
323 concentrations. Since observations of solar radiation were not available during the study
324 period, the influence of radiation cannot be analyzed which might also play important role
325 in determining the overall changes of the observed O_3 at SSI.

326 3.4 Impacts of urban plumes on ozone in oceanic air at SSI

327 Due to the relatively long residence lifetime (about one month), O_3 produced at urban
328 regions could be transported several hundred kilometers away to downwind areas.
329 Meanwhile, the urban plumes become more aged with continuous production/depletion of
330 O_3 and its precursors, resulting in non-linear variations of O_3 in downwind areas (Geng et
331 al., 2011; Tie et al., 2009, 2013). Several studies suggested that there tended to be
332 considerable O_3 formations in aged urban plumes in the downwind region of Shanghai
333 (Geng et al., 2011; Tie et al., 2013). To investigate the impacts of urban plumes on the O_3
334 levels in oceanic air at SSI, the relationships between observed O_3 and NO_x under
335 different wind conditions at SSI and DT are investigated in this section.

336 Figure 7 presents the daytime and nighttime O_3/NO_x -wind relationships in MAM
337 (March–May), JJA (June–August), SON (September–November), and DJF (December–
338 February), respectively during 2012–2017. The SW and W winds were associated with
339 higher NO_x concentrations in both daytime and nighttime. The result is consistent with the
340 observed CO changes in Sect. 3.1. Since there is no local anthropogenic emission at SSI,
341 the higher levels of NO_x and CO were mainly resulted from the transport of more polluted
342 urban plumes by the SW and W winds. Generally, observed daytime O_3 and NO_x



343 concentrations presented opposite variations with the wind direction changes (Fig. 7a). In
344 SON and DJF, the correlation coefficients (R_s) between daytime O_3 and NO_x were -0.72
345 and -0.75, respectively, indicating that the O_3 formation was inhibited by increased NO_x
346 concentrations. The results are in accordance with Tie et al. (2013), who suggested that
347 the VOC-limited regime of O_3 formation was not only confined in urban Shanghai, but also
348 extended to a broader regional area surrounding Shanghai. However, in MAM and JJA,
349 the daytime O_3 - NO_x variations presented totally different patterns under SW and W wind
350 conditions. As wind directions turned from E-SE to SW-W, observed mean NO_x
351 concentrations increased from about 10 ppbv to 20 ppbv, while observed mean O_3
352 concentrations increased from 50–60 ppbv to 70–80 ppbv. The enhancements in daytime
353 O_3 levels suggested that there should be persistent production of O_3 in the polluted air
354 masses carried by the SW and W winds in MAM and JJA.

355 Based on observations and WRF-Chem simulations, Tie et al. (2013) suggested
356 considerable O_3 production in aged city plumes in the downwind area of Shanghai. Since
357 air masses affecting SSI site were directly originated from Shanghai under the SW and W
358 wind conditions (Fig. 1), the observed O_3 enhancements should be mainly attributed to the
359 O_3 production in the city plumes carried by SW and W winds. Studies during the
360 MIRAGE-Shanghai campaign suggested several factors that contributed to the O_3
361 enhancements in aged city plumes downwind Shanghai. First, as there is a large area of
362 forest located in the south of Shanghai, Geng et al. (2011) suggested that continuous
363 oxidation of isoprene emitted by the biogenic sources could result in enhanced production
364 of hydrogen radicals (HO_2) especially in warm seasons. Once the air masses were



365 transported north and mixed with high NO_x emissions, O_3 would be quickly produced.
366 However, the impacts of biogenic emissions on O_3 production were mainly limited in the
367 south part of Shanghai, which can hardly influence the atmosphere in the SSI region.
368 Then, Tie et al. (2013) further illustrated that the OH reactivity of alkane, alkene, aromatics,
369 and oxygenated VOCs (OVOCs) contributed to the O_3 formation in city plumes. Among
370 them, the influence of alkane, alkene and aromatics mostly occurred within or near the city,
371 while the OVOCs could be produced or emitted during the transport of the city plumes,
372 resulting in substantial O_3 enhancements in aged city plumes at 100–200 km downwind
373 Shanghai.

374 The SSI is located approximately 100 km northeast from the downtown area of
375 Shanghai. In MAM and JJA, the SW and W winds carried air masses with enhanced
376 OVOCs oxidation and O_3 production, resulting in elevated daytime O_3 levels on the island.
377 While in SON and DJF, the observed O_3 decreases at SSI during SW and W winds
378 suggested lower efficiency of O_3 productivity in the city plumes. That might be because
379 that fewer OVOCs were released or produced downwind the city due to the lower
380 temperature and weaker solar radiation (Cai et al., 2009). In addition, in SON and DJF, the
381 SW and W winds were usually related to low pressure system with large cloud cover and
382 rich water vapor in Shanghai, which could also lead to depressed photochemical reactions
383 and decreased O_3 levels. At night, observed O_3 and NO_x displayed totally opposite
384 changes with wind directions (Fig. 7b), indicating O_3 depression by nighttime NO_x titration
385 in all the seasons. High O_3 levels were observed under northeasterly, easterly and
386 southeasterly oceanic wind conditions, ranging from 50–60, 30–55, 55–60, and 40–50



387 ppbv respectively at night in MAM, JJA, SON, and DJF. More detailed measurements are
388 still needed to further understand the impacts of city plumes on the O₃ levels in oceanic
389 air.

390 3.5 Impacts of offshore ozone on urban ozone air quality in Shanghai

391 As is presented in Sect. 3.2 and 3.3, observed O₃ concentrations at SSI were much higher
392 than those at urban site (XJH), suggesting higher levels of O₃ in oceanic air than those on
393 the continent. Therefore, sea breezes tend to bring more O₃ to the continent, aggravating
394 O₃ pollution in coastal cities. Shanghai is one of the largest cities located on the east coast
395 of China, experiencing severe O₃ pollution in recent years (Xu et al., 2019; Gu et al.,
396 2020). The easterly winds from the ocean greatly affect the Shanghai region, accounting
397 for 64–78 % of the total flows in non-winter months during the period 2012–2017. To
398 understand the impacts of higher O₃ in oceanic air on the urban air quality, numerical
399 experiments are conducted using the WRF-Chem model to examine the response of O₃
400 levels in Shanghai to various oceanic air inflow conditions in this section.

401 Simulations are performed during September 1–30 2014 when the prevailing winds
402 were mostly northeasterly and easterly in the Shanghai region. The occurrence
403 frequencies of the northeasterly and easterly winds were 23% and 27% respectively,
404 during the simulation period, suggesting dominant influence of the oceanic air inflows on
405 the city of Shanghai. Consistent with above analysis, observed air O₃ concentrations were
406 much higher in oceanic regions than those in city areas, with monthly mean values of 30.9
407 and 57.7 ppbv, respectively at XJH and SSI in September 2014. The chemical boundary
408 conditions (BCs) of the regional model can represent the inflows conditions to explore



409 their impacts on surface concentrations of air pollutants over a certain continent region.
410 Using this method, Pfister et al. (2011) proposed that chemical inflows taken from different
411 observational and model datasets could result in differences of ± 15 ppbv in O_3 levels in
412 the US west coast region. Therefore, three sets of numerical experiments are conducted
413 as follows to assess the impacts of oceanic O_3 air inflows on the urban O_3 air quality in
414 Shanghai. All the simulations are driven by the same emissions, initial conditions, physical
415 and chemical schemes.

416 (1) BC_40: O_3 concentrations at the eastern lateral boundary of the domain on the
417 ocean are assigned to 40 ppbv, which is provided by the MOZART-4 model, closed to the
418 observed urban O_3 levels (29.0–38.4 ppbv) in Shanghai in September. The chemical BCs
419 are updated every 6 hours.

420 (2) BC_50: Same as BC_40, but with O_3 concentrations setting to 50 ppbv at the
421 eastern lateral boundary of the domain.

422 (3) BC_60: Same as BC_40, but with O_3 concentrations at the eastern lateral
423 boundary of the domain setting to 60 ppbv according to the observed O_3 levels at SSI
424 (50.9–71.0 ppbv) in September.

425 Figure 8 displays the simulated monthly mean distributions of surface O_3
426 concentrations in BC_40, BC_50 and BC_60 scenarios, respectively. The calculated
427 distributions of O_3 agree with observations, which exhibit lower values in urban regions
428 compared to those in rural and ocean areas, indicating strong O_3 depressions in the city of
429 Shanghai due to the VOC-limited O_3 formation regime. The R values between the
430 simulated and observed O_3 concentrations are all larger than 0.50 at XJH, suggesting



431 good prediction of O₃ variations by the model. Table 4 displays the statistical results of the
432 comparisons between the simulated and observed surface O₃ concentrations at SSI and
433 XJH, respectively. Generally, the WRF-Chem model underestimates O₃ concentrations at
434 both XJH and SSI site. Taken the BC_40 scenario for example, the O₃ concentrations are
435 underestimated by 27% and 36% respectively at XJH and SSI, suggesting larger
436 underestimation of O₃ concentrations in ocean regions. Model results suggest that
437 increases in the eastern boundary O₃ lead to increases in O₃ concentrations at both urban
438 and remote sites when the prevailing winds are mostly easterly in Shanghai. With O₃
439 concentrations increasing from 40 to 60 ppbv in the easterly inflows, the simulated
440 monthly mean O₃ concentrations increase by 7.0 and 10.4 ppbv, respectively at XJH and
441 SSI. The underestimation of O₃ levels by the model is also significantly improved in the
442 BC_60 scenario, with the chemical BCs of O₃ more close to the observed chemical inflow
443 conditions. Compared to those in the BC_40 scenario, the normalized mean bias (NMBs)
444 of the predicted O₃ concentrations reduced from -36.1 % to -18.1 % at SSI and -27.6% to
445 -4.6% at XJH in the BC_60 scenario, suggesting a crucial role of the eastern oceanic air
446 inflows in influencing O₃ air quality in Shanghai.

447 The calculated monthly mean differences in surface O₃ concentrations between
448 simulations in different scenarios are further presented in Fig. 9. Since the dominant winds
449 are easterly during the simulation period, distinct changes in surface O₃ concentrations
450 throughout Shanghai are generated, exhibiting generally gradient increases from the
451 ocean to the continent as O₃ increases in the oceanic air inflows. With every 10 ppbv
452 increases in O₃ levels in oceanic air, the simulated surface mean O₃ concentrations



453 increase by 3–6 ppbv in the land area and 4–7 ppbv in the offshore region. Due to the
454 strong O₃ depressions associated with high anthropogenic emissions, the simulated O₃
455 enhancements are relatively lower in the central urban region compared to those in
456 surrounding areas. Even so, simulated mean O₃ concentrations still exhibit 6–8 ppbv
457 increases in the BC₆₀ scenario, accounting for approximately 30% of the simulated O₃
458 concentrations in the BC₄₀ case. During the period 2012–2017, most of the measured
459 O₃ concentrations ranged between 50–60 ppbv at SSI in non-winter seasons. Carried by
460 the easterly inflows, these oceanic air masses with higher O₃ levels (50–60 ppbv) could
461 be transported to the coastal regions, resulting in approximately 20–30% increases in
462 urban O₃ concentrations in Shanghai according to the sensitivity results.

463 4 Conclusions

464 In this paper, we present the first relatively long and continuous measurements of oceanic
465 air O₃ conducted at an offshore monitoring station on the Sheshan Island during January 1
466 2012 to September 15 2017. The southwesterly and westerly winds are proved to carry
467 more pollutants to the SSI site, exerting greater influence of human activities on the
468 oceanic atmosphere over the offshore region of the East China Sea. Since the two kinds
469 of winds exhibited minimum occurrence frequencies and wind speeds in September and
470 October, atmosphere at SSI during the two months are considered to be less affected by
471 the transport of regional pollution.

472 Compared to those in urban (XJH) and rural (DT) sites, the observed O₃ levels were
473 higher at SSI, with mean concentrations of 50.1 ppbv during the observation period.
474 Similar seasonal and diurnal patterns of O₃ were observed at SSI and XJH; however, the



475 amplitudes of O_3 variations were much smaller at the offshore site (SSI). Since O_3
476 formation in Shanghai and its surrounding regions were VOC-limited, the observational
477 results suggested that the production and depression of O_3 could be weaker in the ocean
478 regions due to weak influence of the anthropogenic emissions. Observed mean
479 O_{3-max}/O_{3-min} ratios also exhibited lower values at SSI (3.03) than those at XJH (5.20), with
480 minimum values ranging from 1.61–2.35 in September and October. The result further
481 illustrated that SSI was seldom affected by the anthropogenic emissions, especially in
482 September and October.

483 The multi-year changes of the oceanic O_3 at SSI are investigated using the
484 Mann-Kendall trend test and the Theil-Sen trend estimate method during 2012–2017.
485 Different from the significant O_3 increases detected at XJH and other rural sites reported
486 in previous studies, the observed mean O_3 concentrations at SSI exhibited statistically
487 insignificant increasing changes ($1.12 \text{ ppbv yr}^{-1}$, $\alpha > 0.10$) during the observation period
488 and insignificant decreasing changes ($-0.72 \text{ ppbv yr}^{-1}$, $\alpha > 0.10$) in September and October
489 when the transport of city pollutants had minimum impacts on the island. Due to fewer
490 impacts of anthropogenic emissions, most of the observed changes in O_3 at SSI could be
491 attributed to the changes of meteorological parameters. Observed wind speed exhibited
492 significant increases (0.21 m s^{-1} , $\alpha > 0.10$) in September and October during the
493 observation period, suggesting that enhanced diffusion conditions could be an important
494 meteorological factor in determining the decreases in O_3 concentrations during the
495 observation period.

496 The impacts of urban plumes on O_3 levels in oceanic air at SSI are evaluated by



497 studying the relationships between observed O_3 and NO_x under different wind conditions.
498 The SW and W winds usually carried air masses with higher NO_x concentrations in both
499 daytime and nighttime to the island. Generally, observed daytime and nighttime O_3
500 concentration decreased as NO_x concentration increases in SW and W winds, exhibiting
501 typical VOC-limited characteristics of O_3 formation. The pattern was more typical in SON
502 and DJF, with R values of -0.72 and -0.75, respectively between O_3 and NO_x
503 concentrations. In MAM and JJA, the daytime O_3 - NO_x variations presented kind of
504 positive relationships under SW and W wind conditions, suggesting continuous O_3
505 production in aged city plumes from Shanghai. As reported in previous studies during the
506 MIRAGE-Shanghai campaign, enhanced OVOCs oxidation should be the most important
507 driver of the observed O_3 enhancements in the city plumes transported by the SW and W
508 winds.

509 The influence of the oceanic O_3 air inflows on urban O_3 air quality in Shanghai are
510 quantified during an easterly wind dominant episode (September 1–30, 2014). Numerical
511 experiments are conducted with chemical BCs of O_3 assigned according to different inflow
512 conditions using the WRF-Chem model. Model results suggest that increases of O_3 in the
513 easterly oceanic air inflows will lead to gradient increases from the ocean to the continent.
514 With every 10 ppbv O_3 increases, the calculated surface mean O_3 concentrations can
515 increase by 3–6 ppbv in the land and 4–7 ppbv in the offshore region. Compared to those
516 in surrounding regions, O_3 in central city of Shanghai exhibited lower enhancements in
517 response to the O_3 increases in oceanic air inflows due to strong O_3 depression processes.
518 Even so, the impacts of the oceanic air inflows can still lead to 20–30% increases in



519 urban O₃ concentrations which should be crucially considered in dealing with O₃ pollution
520 in large coastal cities like Shanghai.

521

522 *Data availability.* The data used in this paper can be provided upon request from Dr.
523 Jianming Xu (metxujm@163.com).

524

525 *Author contribution.* YG and JX came up with the original idea, designed the analysis
526 methods, developed the model code, and performed the simulations. WG provided the
527 observational data. YG and YQ conducted the analysis of the observations and model
528 results. YG prepared the manuscript with contributions from all co-authors.

529

530 *Competing interest.* The authors declare that they have no conflict of interest.

531

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686 Table 1 Mean CO mixing ratios (ppmv) under north (N), northeast (NE), east (E),
687 southeast (SE), south (S), southwest (SW), west (W), northwest (NW) and calm (C) wind
688 conditions at Dongtan (DT) site, a remote rural site near the Sheshan Island (SSI) during
689 2012 to 2017.

	N	NE	E	SE	S	SW	W	NW	C
CO	0.31	0.27	0.25	0.23	0.27	0.44	0.56	0.38	0.34



690 Table 2 Monthly mean wind speeds (m s^{-1}) and occurrence frequencies (%) of the
691 southwest (SW) and west (W) winds at Dongtan (DT) site, a remote rural site near the
692 Sheshan Island (SSI) during 2012 to 2017.

	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	Dec.
SW+W	11.5	9.2	11.9	13.2	12.7	9.8	17.7	10.8	6.1	5.2	11.9	15.1
Wind speed	2.70	2.93	2.98	3.04	2.86	2.51	2.65	2.77	2.49	2.50	2.55	2.54



693 Table 3 Statistical results of the Mann-Kendall test and Theil-Sen trend estimate for daily
694 mean values of NO_x, CO mixing ratios, temperature (T), and wind speed (WS) in
695 September and October at Dongtan (DT) site, a remote rural site near Sheshan Island
696 (SSI) site during the 2012–2017 period. The units of the calculated slopes are ppbv yr⁻¹ for
697 NO_x and CO, °C yr⁻¹ for T, and m s⁻¹ yr⁻¹ for WS.

	NO _x	CO	T	WS
Slope Estimate	0.48*	2.67 ^Δ	0.15 ^Δ	0.21*

698 *The result is significant at the 95% confidence level.

699 ^ΔThe result cannot pass the Mann-Kendall trend test at the 90% confidence level.



700 Table 4 Statistical results of the comparisons between the simulated and observed
701 surface O₃ concentrations at Xujiahua (XJH) and Sheshan Island (SSI) sites during
702 September 2014. The calculated O₃ levels are obtained from BC_40, BC_50 and BC_60
703 simulations, respectively. Values of the average surface O₃ concentrations (Mean) and
704 normalized mean bias (NMB) are displayed. The NMB is defined as $NMB = \frac{\sum_{i=1}^n (P_i - O_i)}{\sum_{i=1}^n O_i}$,
705 where P_i and O_i are predicted and observed ozone mixing ratios for sample i , n is the
706 number of total samples (numbers in parentheses).

	Cases	XJH (641)	SSI (720)
	Observation	30.4	57.7
Mean (ppbv)	BC_40	22.0	36.9
	BC_50	25.1	41.8
	BC_60	29.0	47.3
NMB (%)	BC_40	-27.6	-36.1
	BC_50	-17.5	-27.5
	BC_60	-4.6	-18.1



707 **Figure Captions**

708 **Figure 1** Land cover of Shanghai and corresponding locations and landscapes of Xujiahui
709 (XJH, urban), Dongtan (DT, rural) and Sheshan Island (SSI, remote and oceanic) stations.

710 **Figure 2** Monthly wind rose diagrams averaged over the period of 2012 to 2017 at
711 Dongtan (DT) site, a remote rural site near the Sheshan Island (SSI).

712 **Figure 3** Monthly mean CO mixing ratios under north (N), northeast (NE), east (E),
713 southeast (SE), south (S), southwest (SW), west (W), northwest (NW) and calm (C) wind
714 conditions at Dongtan (DT) site, a remote rural site near the Sheshan Island (SSI) during
715 2012 to 2017.

716 **Figure 4** Monthly and year-round mean diurnal variations of O₃ (ppbv) at Sheshan Island
717 (SSI, remote and oceanic) and Xujiahui (XJH, urban) sites during 2012 to 2017.

718 **Figure 5** Calculated monthly mean ratios of daily maximum O₃ concentrations (O_{3-max}) to
719 minimum O₃ concentrations (O_{3-min}) at Sheshan Island (SSI, remote and oceanic) and
720 Xujiahui (XJH, urban) sites, respectively during 2012 to 2017.

721 **Figure 6** Variations of (a) monthly mean O₃ concentrations at Sheshan Island (SSI,
722 remote and oceanic) and Xujiahui (XJH, urban) sites during the period 2012–2017, (c)
723 corresponding variations of daily mean O₃ concentrations at SSI and XJH in September
724 and October, and (c) variations of mean O₃ concentrations during daytime (10:00-16:00
725 LST) and nighttime (23:00-04:00 LST) at SSI.

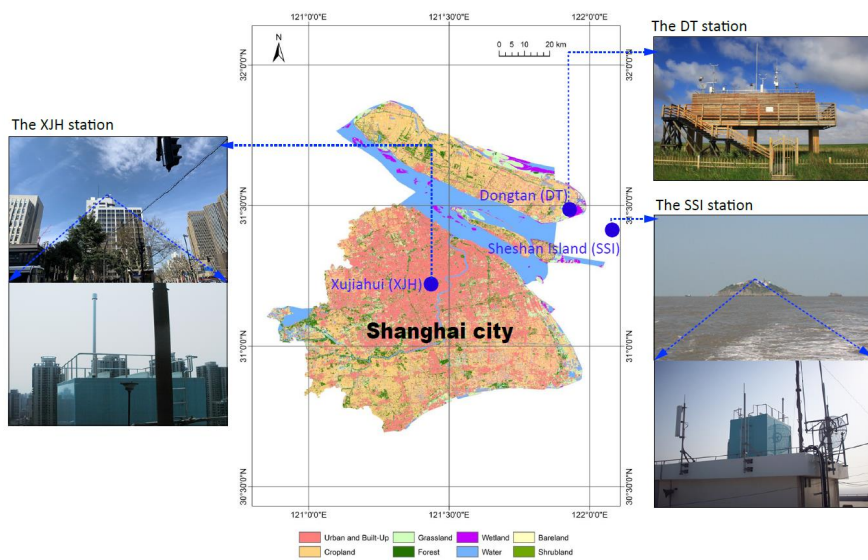
726 **Figure 7** Daytime and nighttime mean O₃ mixing ratios (ppbv) at Sheshan Island (SSI)
727 and NO_x mixing ratios (ppbv) at Dongtan (DT) site, a remote rural site near SSI under
728 north (N), northeast (NE), east (E), southeast (SE), south (S), southwest (SW), west (W),



729 and northwest (NW) wind conditions in MAM (March–May), JJA (June–August), SON
730 (September–November), and DJF (December–February), respectively during 2012 to
731 2017.

732 **Figure 8** Calculated distributions of monthly mean O₃ concentrations (shades, ppbv) from
733 BC_40, BC_50 and BC_60 simulations, respectively in September 2014. Model results
734 are compared with observed mean O₃ concentrations (circles, ppbv) obtained from
735 Xujiahui (XJH, urban) and Sheshan Island (SSI, remote and oceanic) sites. Also shown is
736 the calculated wind field (m s⁻¹) averaged over the same period.

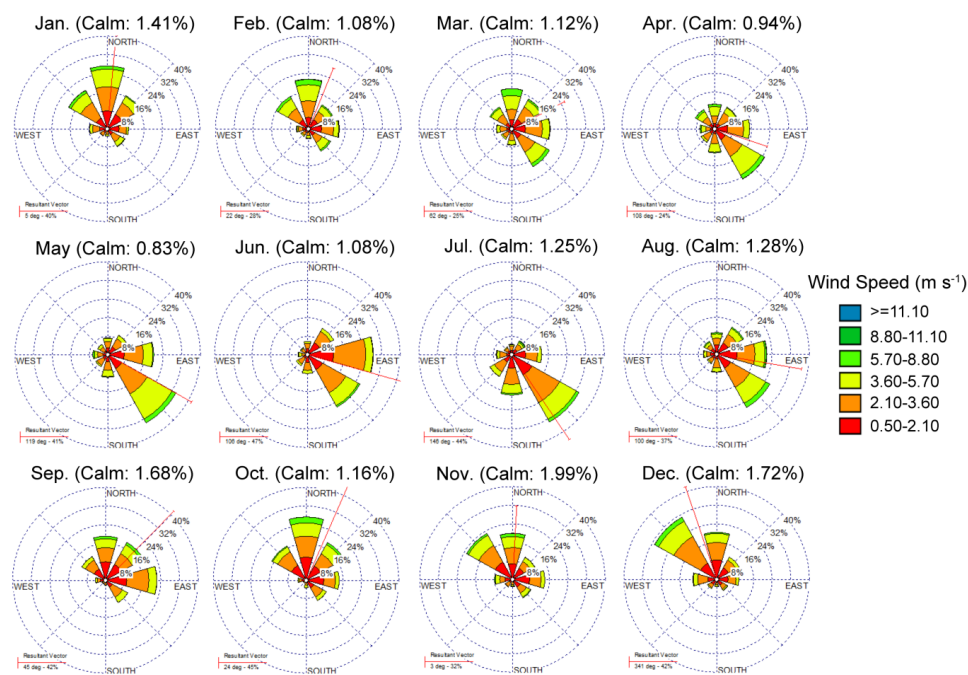
737 **Figure 9** Mean differences in surface O₃ concentrations (ppbv) simulated with different
738 chemical boundaries: (a) BC_50 minus BC_40, (b) BC_60 minus BC_40, and (c) BC_60
739 minus BC_50 in September 2014. Also shown is the calculated wind field (m s⁻¹) averaged
740 over the simulation period.



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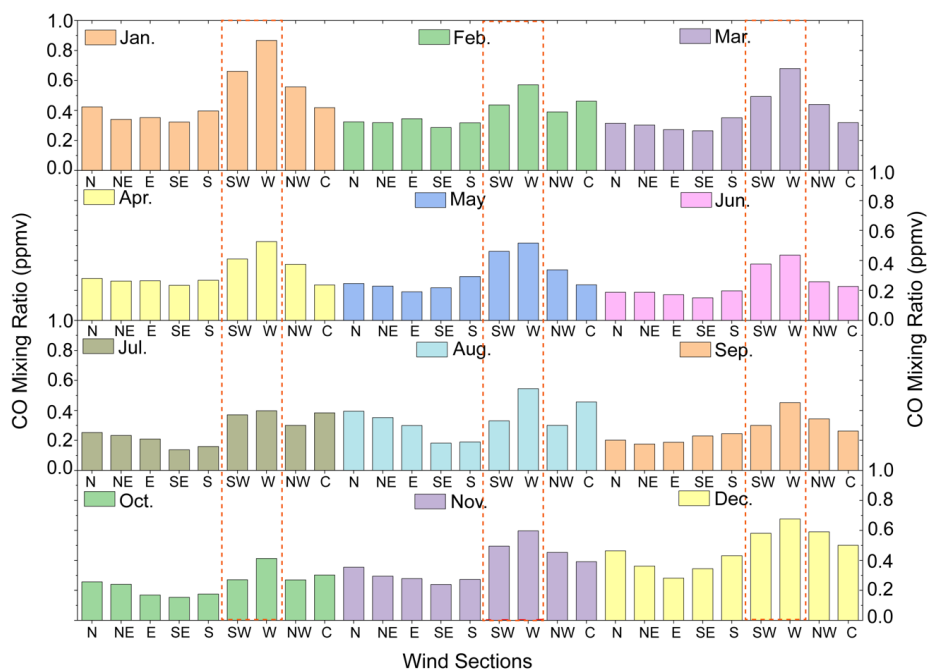
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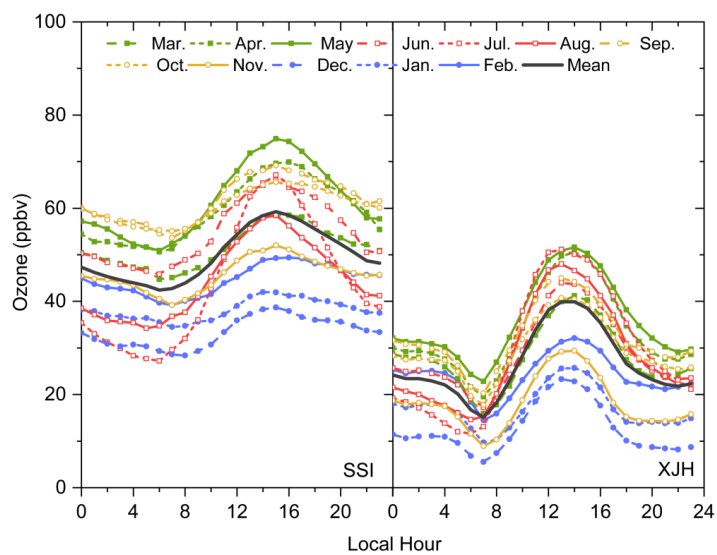
745 Figure 2 Monthly wind rose diagrams averaged over the period of 2012 to 2017 at

746 Dongtan (DT) site, a remote rural site near the Sheshan Island (SSI).



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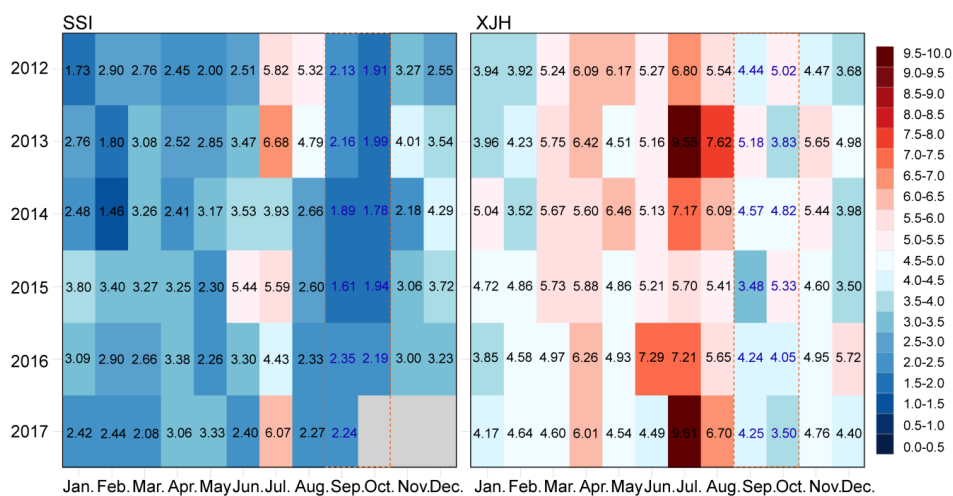
748 Figure 3 Monthly mean CO mixing ratios under north (N), northeast (NE), east (E),
 749 southeast (SE), south (S), southwest (SW), west (W), northwest (NW) and calm (C) wind
 750 conditions at Dongtan (DT) site, a remote rural site near the Sheshan Island (SSI) during
 751 2012 to 2017.



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753 Figure 4 Monthly and year-round mean diurnal variations of O_3 (ppbv) at Sheshan Island

754 (SSI, remote and oceanic) and Xujianghai (XJH, urban) sites during 2012 to 2017.



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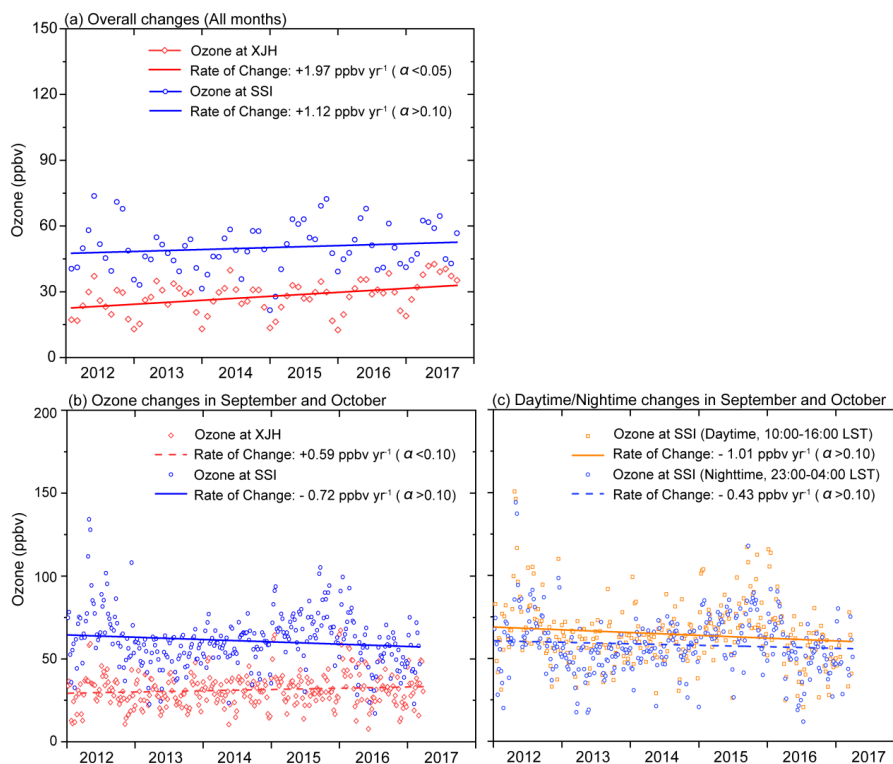
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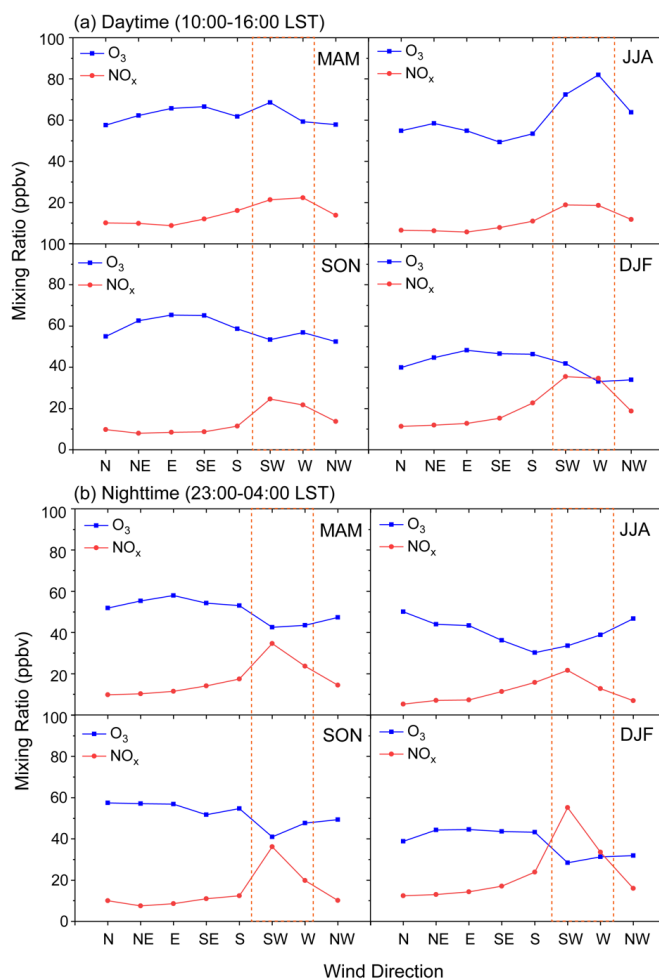
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Xujiahui (XJH, urban) sites, respectively during 2012 to 2017.

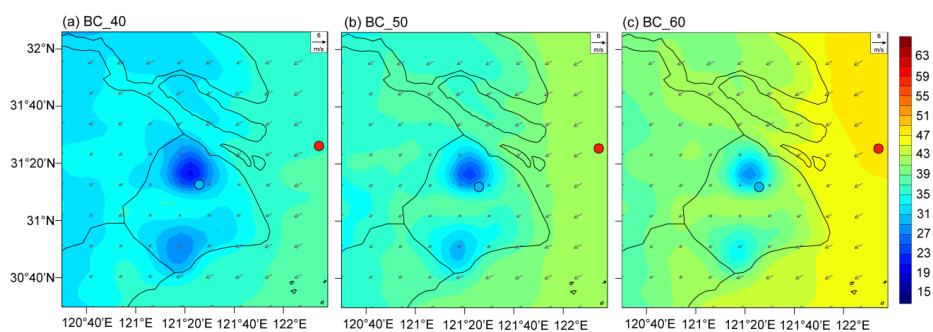


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763 and October, and (c) variations of mean O₃ concentrations during daytime (10:00-16:00
764 LST) and nighttime (23:00-04:00 LST) at SSI.

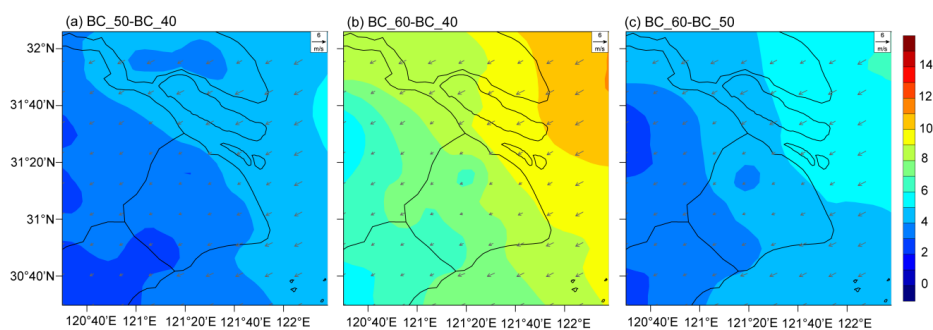


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 766 Figure 7 Daytime and nighttime mean O_3 mixing ratios (ppbv) at Sheshan Island (SSI) and
 767 NO_x mixing ratios (ppbv) at Dongtan (DT) site, a remote rural site near SSI under north (N),
 768 northeast (NE), east (E), southeast (SE), south (S), southwest (SW), west (W), and
 769 northwest (NW) wind conditions in MAM (March–May), JJA (June–August), SON
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772

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