

Dear editor and reviewers,

We appreciate all your detail and valuable suggestions on our manuscript (acp-2020-676). We have carefully considered the comments and revised the manuscript accordingly. Please see the point-by-point response below and changes are marked [blue](#) in the revised manuscript.

Thanks for your kind help.

Best regards,

Min Hu

## Point-by-point response to review comments

### Referee #1

*This study investigated the emission factors (EFs) and light absorption of carbonaceous components, including water-soluble organic carbon (WSOC), humic-like substances (HULIS), and water-insoluble organic carbon (WISOC), from burning crop residues (wheat and corn). Also, the influences of biofuel moisture and burning conditions on EFs and brown carbon (BrC) absorption were analyzed by using data of modified combustion efficiency (MCE). Although a clear dependence of EFs on MCE values was illustrated, the influence of burning conditions on biomass burning (BB) BrC absorption can hardly be observed or validated. This might be due to the limit in sample number, smoldering combustion conditions ( $MCE = 0.68 - 0.88$ ), or uncertainties in the calculation of mass absorption efficiency ( $MAE_{\lambda}$ ). Before the acceptance to publication, the following issues should be addressed.*

**Response:** Thanks for your valuable comments on our manuscript and pointing out the deficiency in discussing the influence of burning conditions on biomass burning BrC absorption. We have now carefully revised the manuscript and addressed the following comments.

1. Page 5, line 137. Why total OC was used to represent the concentration of extracted OC?

*In Page 127, it was stated that “total OC was analyzed by a thermal/optical carbon analyzer (Subset Laboratory)”.*

*These two “total OC” should be different. The first is used to calculate EFs of OC, while the second is derived for  $MAE_{\lambda}$  calculation.*

*Furthermore, the authors can perform better estimation on extracted OC (or WISOC) mass by measuring residue OC on filter samples after solvent extractions. Then the calculation of  $MAE_{\lambda}$  of WISOC will be less uncertain.*

*Typically, the residue OC would account for ~10% of the total, and WSOC contributed more than 50% of total OC in this work. Then the inter-sample variability of residue OC will lead to substantial uncertainty on the estimation of WISOC mass and absorption.*

**Response:** Thanks for pointing out the uncertainties in estimating “extracted OC” concentrations. Yes, we used the total OC analyzed by the thermal/optical carbon analyzer to calculate the EFs and also to represent the “total extracted OC” to derive the  $MAE_{\lambda}$ . We agree with the referee that this may lead to an underestimation of MAE of WISOC, which we mentioned in [lines 143-144](#).

The OC concentration measured by the thermal/optical carbon analyzer has been widely employed to estimate the absorption capability of OC or WISOC extracted by methanol in previous studies (Liu, 2014; Zhu et al., 2018). Chen and Bond (2010) suggested that more than 92% of OC emitted from biomass pyrolysis could be extracted by methanol. Xie et al. (2019) suggested that 93.6%-99.7% of biomass burning-generated OC could be extracted by methanol (added in [lines 144-146](#)). Thus, the residue OC was relatively small compared with the

methanol-extracted OC, and the difference between the total WISOC (estimated by the difference between thermal/optical carbon analyzer measured OC and WSOC) and methanol-extracted WISOC were relatively small and not taken into consideration in this study.

We agree with the referee that it is very important to estimate the residue OC and make an accurate calculation of WISOC absorption. However, during the extraction procedures in this study, we first cut up the filter samples and then extracted them by water and then methanol. The thermal/optical carbon analyzer quantified OC on certain size filter by converting the carbon species to methane, and measuring by a flame ionization detector. Thus, it's difficult for us to measure the residue OC due to the organic solvent absorbed on the extracted filters and the fragmentized filters after extraction. We will design experiments, such as those conducted in Chen and Bond (2010) and Xie et al. (2019), to estimate the residue OC concentrations and make a more accurate calculation of the MAE of WISOC in our future studies.

Lines 143-146:

“It is noted that the total OC was used to represent the concentration of total extracted OC, which may lead to an underestimation of MAE of WISOC. Previous studies suggested that 92%-99.7% of BBOA could be extracted by methanol (Chen and Bond, 2010; Xie et al., 2019), thus the residue OC un-extracted by methanol was relatively small compared with the extracted fraction.”

References:

- Chen, Y. and Bond, T. C.: Light absorption by organic carbon from wood combustion, *Atmos. Chem. Phys.*, 10, 1773-1787, 10.5194/acp-10-1773-2010, 2010.
- Liu, J., Scheuer, E., Dibb, J., Ziemba, L. D., Thornhill, K. L., Anderson, B. E., Wisthaler, A., Mikoviny, T., Devi, J. J., Bergin, M., and Weber, R. J.: Brown carbon in the continental troposphere, *Geophys. Res. Lett.*, 41, 2191-2195, 10.1002/2013gl058976, 2014.
- Xie, M., Chen, X., Hays, M. D., and Holder, A. L.: Composition and light absorption of N-containing aromatic compounds in organic aerosols from laboratory biomass burning, *Atmos Chem Phys*, 19, 2899-2915, 10.5194/acp-19-2899-2019, 2019.
- Zhu, C. S., Cao, J. J., Huang, R. J., et al.: Light absorption properties of brown carbon over the southeastern Tibetan Plateau, *Sci. Total Environ.*, 625, 246-251, 10.1016/j.scitotenv.2017.12.183, 2018.

2. *The dependence of EFs on burn conditions was well illustrated in Figures 3 and 4. But Figure 7d-f did not show any influence of burn conditions on light absorption. Figure 7a-c and Figure 3b-d tell the same thing—smoldering combustion has higher EFs of carbonaceous aerosols.*

*Page 11, lines 296-297, “Furthermore, the MAE<sub>365</sub> of WSOC and HULIS emitted from straw burning were slightly higher under less efficient burning conditions (Figures 7d, 7e)”*

*In previous studies, MAE values tend to be greater under more flaming conditions or higher burning temperatures. The observation results reported here seems not reasonable.*

*Due to the sample number limit and small variability in MAE<sub>365</sub> for most observations, the light*

*absorption of BB BrC did not show any dependence on burn conditions.*

**Response:** We deleted the statement “Furthermore, the  $MAE_{365}$  of WSOC and HULIS emitted from straw burning were slightly higher under less efficient burning conditions.” in the revised version and revised the sentence as in [lines 320-321](#). We carefully checked the correlation between  $MAE_{365}$  and MCE again, and found their correlations were not significant at the 0.01 level (2-tailed) for either WSOC or HULIS. The slight increasing trends of  $MAE_{365}$  for WSOC and HULIS as the decreasing of MCE were really due to the two outliers in wheat burning experiments.

We agree with the referee that limited sample number conducted light absorption measurements and small variability in  $MAE_{365}$  for most observations may be the reasons for lack of dependence of MAE on burning conditions. We added this reasons in the revised manuscript ([lines 310-312](#)). In this study, we intended to simulate the real combustion conditions of agriculture residue burning in the field. Smoldering-dominated conditions, with expected  $MCE < 0.9$  or even lower, have been widely observed during the burns of agricultural residues in the agricultural area in China (Figure R1) and India (Figure R2). Thus, the burning conditions were controlled to be dominated by smoldering (as shown in Figure 1, with  $MCE = 0.68-0.88$ ) in our experiments, and the small variation in burning conditions could be the reasons for small variability in  $MAE_{365}$  for most observations. More lab-controlled burning experiments, involving larger numbers of experiments and more variable burning conditions, are required in our future studies to address the influence of combustion conditions on the BrC absorption ([lines 327-331](#)).



Figure R1 Intense straw burning in agriculture area (Anhui province, China) in China. (Wang, et al., 2017)



Figure R2 Post-harvest crop residue burning in northwest India. (IARI, 2012)

Lines 320-321:

“We did not observe obvious dependence of MAE<sub>365</sub> on the combustion efficiency for either water-soluble fractions or WISOC (Figure 7d-f).”

Lines 327-331:

“It is noted that limited sample population was selected to conduct the light absorption measurements and smoldering dominated the burning conditions in this study, which could be the reasons that we did not observe an obvious dependence of MAE on combustion conditions. More lab experiments, involving larger numbers of experiments and more variable burning conditions, are required to address the influence of combustion efficiency on light absorption capability of biomass burning-emitted carbonaceous aerosols in future studies.”

References:

Crop Residues Management with Conservation Agriculture: Potential, Constraints and Policy Needs, edited by: Institute, I. A. R., India, 2012.

Wang, Y., Hu, M., Lin, P., et al.: Molecular characterization of nitrogen-containing organic compounds in humic-like substances emitted from straw residue burning, *Environ. Sci. Technol.*, 51, 5951-5961, 10.1021/acs.est.7b00248, 2017.

*Page 12, lines 339-342, the final conclusion “Our results suggested that the influence of varied combustion efficiency on the emission levels and light absorption of BBOA could surpass the differences between biofuel types. Thus, the burning efficiency or combustion conditions should be taken into consideration when estimate the influence of biomass burning.” was not fully supported by the experiments results.*

**Response:** We agree with the referee that the influence of burning conditions on MAE of BBOA was not obvious in this study, as mentioned above. We revised this sentence as follows: “Our results suggested that the influence of varied combustion efficiency on the emission levels of BBOA could surpass the differences between biofuel types. (lines 361-362)”. The emission factors of PM<sub>2.5</sub> or BBOA (OC, WSOC, HULIS) from more smoldering conditions were 2.8-4.3 times of those from more flaming conditions in the present study. While the differences between

wheat burning and corn burning under similar combustion conditions (or MCE) were not such obvious, as shown in Figures 3.

## Referee #2

*The paper by Wang et al. summarizes results on aerosol emission factors and optical properties in burning of agricultural residues (wheat and corn straw) under different burn conditions. They determine the emission factors of PM<sub>2.5</sub>, EC, OC, and different components of OC (water soluble, including HULIS and low-molecular weight oxygenated molecules, and the insoluble fraction) and also determine the wavelength-dependent absorbance, mass absorption efficiency, and Angstrom Exponent of Absorption. They highlight that the EFs of all species except EC was higher at the lower combustion efficiency values (estimated by measurements of CO and CO<sub>2</sub>) and that the WSOC had the largest contribution to the measured absorbance; however, wavelength dependence of absorption was strongest for the WSOC and HULIS. The results are interesting to the community and the paper fits the scope of ACP. The paper is overall well written although some parts benefit from some editing (I suggest below). I'd like the authors to clarify the points I highlight below before the paper is accepted for publication:*

**Response:** Thanks for your valuable comments on our manuscript. We have now carefully revised the manuscript and addressed the following points.

### Technical points:

*L76-77: I think this statement underestimates all the studies that have been carried out in the Fire lab in Missoula, that characterize influence of combustion efficiency on aerosol optical properties. I can imagine that for agricultural residue burning, the studies are limited, so a more accurate statement should be included here.*

**Response:** Thanks for the kind reminding. We added the related studies from the Fire lab in Missoula in [lines 59-60](#), and revised this statement to be more accurate ([lines 77-79](#)).

Lines 59-60:

“The light absorption of biomass burning aerosols are also largely dependent on the combustion conditions (Cheng et al., 2016a; Liu et al., 2014; Pokhrel et al., 2016; Saleh et al., 2014).”

Lines 77-79:

“However, few studies have been conducted to gain a comprehensive understanding on the influence of combustion conditions on the chemical composition and light absorption of different BBOA fractions [from agricultural residue burning](#).”

*L110-111: Do authors mean that fuels were weighed before and after drying? If so please add this detail.*

**Response:** Yes, the fuels were weighed before and after drying. We have added this detail and revised this sentence as follows: “The moisture content was measured by weighing the fuels before and after drying the biofuels in the oven at 105°C for 24 h.” ([lines 112-113](#))

*Table S1: There doesn't seem to be a consistent picture between MCE and the moisture content. For example, MCE ~0.77 was observed at all different moisture content values of the wheat. Please explain the reason for this variability. Because of this lack of obvious trend, I would not mention this in the conclusions either (L318-319)*

**Response:** We plotted the variations of MCE as a function of moisture contents (added in [Figure S2](#) in the supporting information) and checked their correlation. The MCE generally decreased as moisture contents increased (Pearson correlation=0.73 at the 0.01 significance level). Burning conditions are not only influenced by biofuel moisture contents, but also biofuel structures (e.g., biomass sizes), combustion temperatures and ambient conditions, etc. (Chen and Bond, 2010; Lu et al., 2009; Sanchis et al., 2014) The variations in other factors could be the reasons for observing similar MCE values under different moisture contents. To exclude the influence of other factors, we thus conducted three parallel experiments under each condition (the same type of straw with the same level of moisture content, as listed in Table S1).

We added the explanation in [lines 178-183](#), and revised the related sentence in the conclusion section ([Line 330](#)).

[Lines 178-183:](#)

“Similar MCE was also observed among wheat burning experiments with different levels of moisture contents (Table S1). This was because that MCE is not only influenced by biofuel moisture contents but also the variations of biofuel structures (e.g. size), burning temperatures or ambient conditions (Chen and Bond, 2010; Lu et al., 2009; Sanchis et al., 2014). We cannot completely exclude the differences of other factors between each parallel experiment, which was the reason for repeating each condition for three times in our experiment (Table S1).”

[Line 342:](#)

“The emission levels, compositions and light absorption of BBOA were influenced by the burning conditions.”

[Newly added Figure S2 in the supporting information:](#)

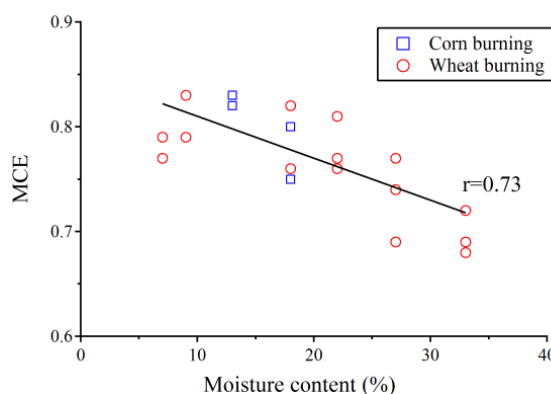


Figure S2 Variations of MCE as a function of moisture contents. The Pearson correlation=0.73 at the 0.01 significance level.



References:

- Chen, Y. and Bond, T. C.: Light absorption by organic carbon from wood combustion, *Atmos. Chem. Phys.*, 10, 1773-1787, 10.5194/acp-10-1773-2010, 2010.
- Lu, H., Zhu, L., and Zhu, N.: Polycyclic aromatic hydrocarbon emission from straw burning and the influence of combustion parameters, *Atmos. Environ.*, 43, 978-983, 10.1016/j.atmosenv.2008.10.022, 2009.
- Sanchis, E., Ferrer, M., Calvet, S., et al.: Gaseous and particulate emission profiles during controlled rice straw burning, *Atmos. Environ.*, 98, 25-31, 10.1016/j.atmosenv.2014.07.062, 2014.

*Eqn of Abs(l): why is absorbance at 700 nm subtracted from the absorbance at the wavelength of interest? Why should this be a relative absorbance? Shouldn't the absorbance at a specific wavelength be corrected for the background absorbance at the same wavelength while sampling only pure water?*

**Response:** Yes, the spectrum and absorption at a specific wavelength were determined and corrected relative to a reference cuvette which contained the same extraction solvent (water or methanol) during the measurement.  $Abs_{700}$  (no absorption for BrC extracts) is subtracted from  $Abs_{\lambda}$  to correct the systematic baseline drift of the of the instrument (Xie et al., 2017; Xie et al., 2019; Zhang et al., 2013; Zhu et al., 2018) (added in lines 139-140).

Lines 139-140:

“ $A_{\lambda}$  is referenced to the  $A_{700}$  to account for systematic baseline drift (Xie et al., 2019; Zhang et al., 2013).”

References:

- Xie, M., Hays, M. D., and Holder, A. L.: Light-absorbing organic carbon from prescribed and laboratory biomass burning and gasoline vehicle emissions, *Scientific Reports*, 7, 7318, 10.1038/s41598-017-06981-8, 2017.
- Xie, M., Chen, X., Hays, M. D., and Holder, A. L.: Composition and light absorption of N-containing aromatic compounds in organic aerosols from laboratory biomass burning, *Atmos Chem Phys*, 19, 2899-2915, 10.5194/acp-19-2899-2019, 2019.
- Zhu, C. S., Cao, J. J., Huang, R. J., et al: Light absorption properties of brown carbon over the southeastern Tibetan Plateau, *Sci. Total Environ.*, 625, 246-251, 10.1016/j.scitotenv.2017.12.183, 2018.

*L178-180: The average EFs of corn are higher, but still considering the variabilities that were observed for both fuels, the difference isn't significant and beyond the observed variabilities.*

**Response:** Thanks for the reminding. We have removed this statement in the revised version.

*Fig. 3g: why not showing all the fits as in the other panels? Also, are the fits a double-sided regression line, considering the uncertainties in the x and y values?*

**Response:** We have revised Figure 3g to show all the fits as in the other panels. Yes, all the fits

are Pearson correlations considering the uncertainties in the x and y values. We have added the significant levels (2-tailed) in the revised figure.

Revised Figure 3:

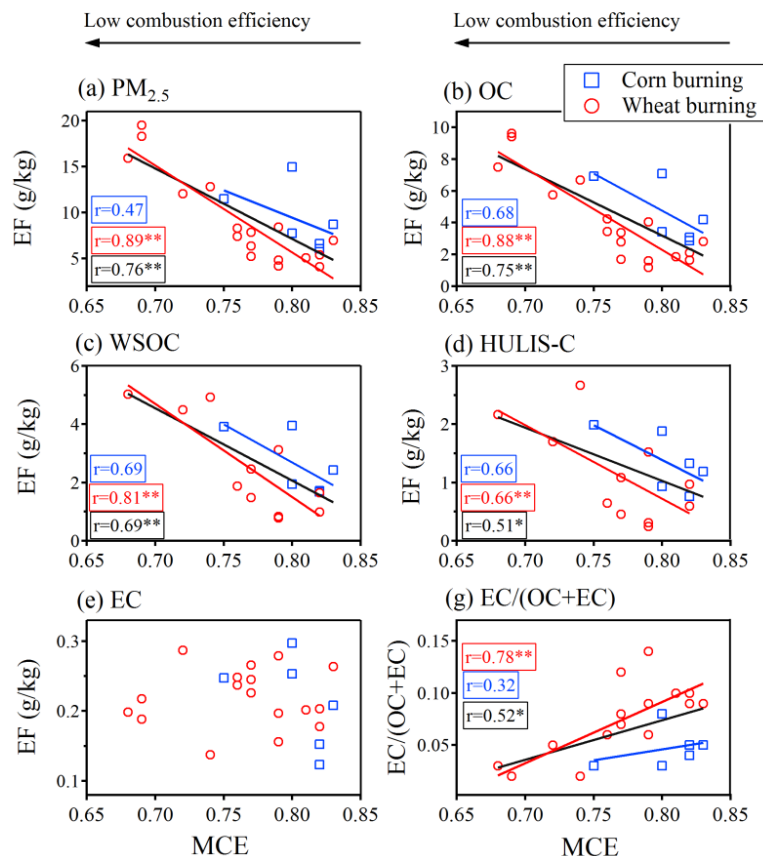


Figure 3 Emission factors of  $PM_{2.5}$ , carbonaceous aerosols (OC, WSOC, HULIS<sub>C</sub> and EC) and EC/(OC+EC) ratios as a function of modified combustion efficiency (MCE). Corn and wheat burning emissions are denoted by red and blue colors, respectively. The  $r$  values in each panel are the Pearson correlations between emission factors and MCE for corn (blue), wheat (red) and the overall (black) burning experiments. The \*\* or \* following the  $r$  value indicates the correlation is significant at the 0.01 level or 0.05 level (2-tailed).

L233: what precluded the possibility of having burns with  $MCE > 0.9$  that's more representative of flaming conditions? I think some discussion should be provided.

Also it would be valuable to mention what the expected MCE in real world burns of agricultural residues are so readers get an idea of how applicable the results are and what values are most meaningful to be used in models.

**Response:** We are afraid that the reviewer may misread our writing: “As the conducted experiments were mostly dominated by smoldering combustions ( $MCE=0.68-0.88$ ) in this study, we CANNOT EXCLUDE the possibility that the EC emissions may be higher under flaming-dominated combustions (e.g.  $MCE > 0.9$ ).” (lines 252-253) Though we observed

flaming-dominated conditions during the initial period of low-moisture biomass burning experiment (as shown in Figure 1a), the whole combustion period was generally dominated by smoldering conditions based on the averaged MCE of 0.68-0.88 in this study.

Smoldering-dominated conditions, with expected  $MCE < 0.9$  or even lower, have been widely observed during the real world burns of agricultural residues in the agricultural area in China (Figure R1) and India (Figure R2). Thus, we believe our results, obtained under smoldering-dominated conditions ( $MCE = 0.68-0.88$ ), are applicable to the field or related model studies. Referring the observed or expected MCE or EC/OC ratios in specific study would help to select more suitable values in models. We have added the description in [lines 170-172](#).



Figure R1 Intense straw burning in agriculture area (Anhui province, China) in China. (Wang, et al., 2017)



Figure R2 Post-harvest crop residue burning in northwest India. (IARI, 2012)

Lines 170-172:

“Smoldering-dominated conditions, with expected  $MCE < 0.9$  or even lower, have been widely observed during the combustion of agricultural residues in the field (IARI, 2012; Wang et al., 2017), thus the results in this study are applicable to the field or related model studies.”

References:

Crop Residues Management with Conservation Agriculture: Potential, Constraints and Policy Needs, edited by: Institute, I. A. R., India, 2012.

Wang, Y., Hu, M., Lin, P., et al: Molecular characterization of nitrogen-containing organic compounds in humic-like substances emitted from straw residue burning, *Environ. Sci. Technol.*, 51, 5951-5961, 10.1021/acs.est.7b00248, 2017.

*Figure 4. There are some wheat burns for which the  $K^+/OC$  and  $Cl^-/OC$  ratios are highly variable; are all the burns from the same batch of fuel? Could this variability be explained by variable K and Cl content of the fuel itself?*

**Response:** Yes, all the wheat burns are from the same batch of biofuels. Thus, the differences in the K and Cl contents of biofuels among different experiments may be small.

Previous studies suggested that the contents of K and Cl released into smokes are related to elevated combustion temperatures during the biomass burning. The K and Cl begin to be released into the smokes when fire temperatures are higher than certain values (e.g. Temp.>600-700°C for K, and Temp.> 200°C for Cl), and the released proportion increase as the combustion temperatures further increased (Jensen et al., 2000; Knudsen et al., 2004). We checked the burning conditions of the two experiments with high  $K^+/OC$  and  $Cl^-/OC$  ratios. The moisture contents were 7% (the lowest moisture level in our experiments) and MCE of the two experiments were 0.77 and 0.79. Though the average MCE is not the highest, high fire temperatures were observed during the initial flaming combustion periods of the two low-moisture biomass burning experiments (added in [Figure S4](#)). The temperatures during these periods were much higher than the smoldering periods. We think that the higher ratios of released K and Cl were related to the elevated combustion temperatures during the initial flaming periods. We measured the fire temperatures using a sensor above the fires (as shown in [Figure S1](#)), the real combustion temperatures could be higher than the measured ones (e.g. higher than 600-700°C, which were suggested to be the K released temperatures during biomass burning). We have added the explanation in [lines 266-268](#) and [Figure S4](#).

Lines 273-275:

“The two wheat burning experiments (moisture content=7%) with higher  $K^+/OC$  and  $Cl^-/OC$  ratios (>0.5) than others were related to the higher combustion temperatures during the initial flaming periods of the burning experiments ([Figure S4](#)).”

Newly added [Figure S4](#) in the supporting information:

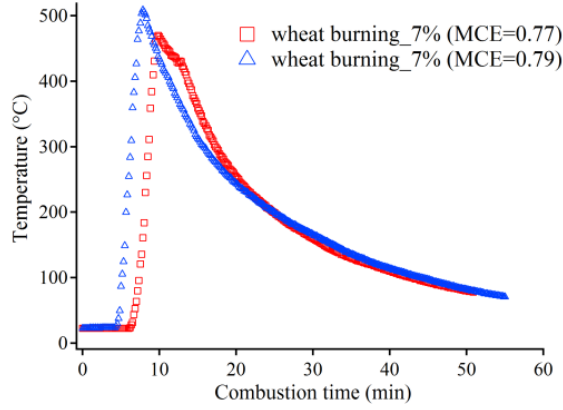


Figure S4 Variations of measured fire temperatures during two wheat straw (moisture content=7%) burning experiments with MCE=0.77 and 0.79.

L290-291: Since this paper has reported on MAE as well as EF of the different components of OC, it will be very valuable to combine the two results and present the EF of absorption to be able to more directly compare radiative impacts of WISOC, WSOC, and HULIS.

**Response:** We estimated the radiation effects of WISOC, WSOC and HULIS relative to elemental carbon using a simplified model in the revised manuscript. Related descriptions have been added in [section 2.3 \(lines 149-155\)](#) and [lines 313-314](#).

[Lines 149-155 in section 2.3:](#)

“The radiation effects of different BrC fractions (WSOC, HULIS and WISOC) relative to elemental carbon (EC, f) were estimated using a simplified model (Kirillova et al., 2014; Wu et al., 2020):

$$f = \frac{\int I_0(\lambda) \left\{ 1 - e^{-\left( MAE_{BrC,365} \left( \frac{365}{\lambda} \right)^{AAE} \cdot C_{BrC} \cdot h_{ABL} \right)} \right\} d\lambda}{\int I_0(\lambda) \left\{ 1 - e^{-\left( MAE_{EC,870} \left( \frac{870}{\lambda} \right) \cdot C_{EC} \cdot h_{ABL} \right)} \right\} d\lambda} \quad (3)$$

where  $MAE_{BrC,365}$  and  $MAE_{EC,870}$  represent the MAE of different BrC fractions at 365 nm and MAE of EC at 870 nm. AAE is the AAE values of different BrC fractions obtained in this study, and the AAE of EC is set to 1.  $C_{BrC}$  and  $C_{EC}$  are the concentrations of BrC and EC, and  $h_{ABL}$  is the height of atmospheric boundary layer (1000 m).  $I_0(\lambda)$  represents the clear sky Air Mass 1 Global Horizontal solar irradiance (Levinson et al., 2010).”

[Lines 313-314:](#)

“The solar energy absorbed by biomass burning-emitted WISOC relative to EC (25%) among the wavelength range of 300-700 nm was higher than those of WSOC (10%) or HULIS (4%).”

L297-298, 338-339: I disagree; there are really two points that might be considered as outliers and without those, the MAE(365) vs MCE looks pretty flat. I suggest removing this statement.

**Response:** Thanks for the reminding. We carefully checked the correlations between MAE<sub>365</sub> and MCE again, and found their correlations were not significant at the 0.01 level (2-tailed) for either WSOC or HULIS. As suggested, we have removed this statement in the revised version.

### **Suggested Edits:**

*L 33: remove observed in “..were also observed higher under. . .”*

**Response:** Revised accordingly.

*L39 and 334: remove if in “if without considering the burning conditions. . .”*

**Response:** Removed accordingly.

*L 61: Add “. . .was reported to be higher for more . . .”*

**Response:** Added accordingly.

*L112: consider changing “weighted” to “weighed”*

**Response:** Changed accordingly.

*L118: include the volumetric unit for both 10 and 5 units of water and methanol, respectively.*

**Response:** Revised accordingly.

*L121: why did you use a smaller size filter for the WSOC fraction?*

**Response:** We used a smaller size filter to extract the WSOC fraction for further analysis using HPLC-MS. It's just a test experiment this time due to the limited samples, and we plan to characterize the molecular compositions of water-insoluble BrC in our future studies.

*L129-L130: consider changing “minus. . .” to “difference between total OC and WSOC.”*

**Response:** Changed accordingly.

*Eqns. Consider adding equation numbers*

**Response:** We have added the equation numbers in the revised manuscript.

*L174-175: I think I know what the authors try to say (in higher moisture fuel burns, some energy is first used to dry up the fuel and so the temperature is lower); however, as written the sentence is confusing. Consider rephrasing it.*

**Response:** The sentence is now revised as: “In higher-moisture fuel burns, some energy released from the combustion is first used to dry up the higher moistures of the biofuels, thus the fire temperatures and burning efficiency were lower than those of the low-moisture biomass burning.” ([lines 195-197](#))

*L186 and 191: change negligible to “neglected”*

**Response:** Revised accordingly.

*L200, 315: change “dominated” to “dominant”*

**Response:** Changed accordingly. I think you may mean changing “negligible” to “neglected” in line 315 (line 339 in the revised version).

*L234-235: rephrase the beginning of the sentence; the structure is not correct*

**Response:** The sentence is now revised as: “Though the EC emission factors did not show obvious variation trends as a function of MCE, a positive correlation between EC/(OC+EC) ratios and combustion efficiency was observed (Figure 3g).” ([lines 254-255](#))

*L237: data “are”. . .*

**Response:** Revised accordingly.

*L247: remove “that”*

**Response:** Removed as suggested.

*L272: consider changing “occupy” to “contribute to”*

**Response:** Changed accordingly.

*L271-273: the % contributions are for 300 nm and 400 nm, respectively? It’s unclear when a range of 300-400nm is mentioned. Please clarify.*

**Response:** Thanks for pointing out the unclear statement. The % contributions here are for the HULIS<sub>C</sub> and high-polarity WSOC fractions, respectively. To be clear, the sentence is now revised as: “In the wavelength range of 300-400 nm, HULIS<sub>C</sub> and other high-polarity WSOC (WSOC-h=WSOC-HULIS<sub>C</sub>) respectively contribute to 16%-28% and 1%-10% of the total BBOA absorption for corn burning, and 17%-29% and 12%-15% for wheat burning.” ([lines 294-296](#))

*L296, 338: change “as the decreasing of MCE” to “. . . as MCE decreases. . .”*

**Response:** Revised accordingly.

# Chemical composition and light absorption of carbonaceous aerosols emitted from crop residue burning: Influence of combustion efficiency

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**Abstract.** Biomass burning is one of the major sources of carbonaceous aerosols, which affects air quality, radiation budget and human health. Field straw residue burning is a widespread type of biomass burning in Asia, while its emissions are poorly understood compared with the wood burning emissions. In this study, lab-controlled straw (wheat and corn) burning experiments were designed to investigate the emission factors and light absorption properties of different biomass burning organic aerosol (BBOA) fractions, including water soluble organic carbon (WSOC), humic-like substances (HULIS) and water insoluble organic carbon (WISOC). The influences of biofuel moisture content and combustion efficiency on emissions are comprehensively discussed. The emission factors of PM<sub>2.5</sub>, OC and EC were 9.3±3.4, 4.6±1.9 and 0.21±0.07 g/kg for corn burning and 8.7±5.0, 3.9±2.8 and 0.22±0.05 g/kg for wheat burning, generally lower than wood or forest burning emissions. Though the mass contribution of WISOC among OC (32%-43%) was lower than WSOC, the light absorption contribution of WISOC (57%–84% @300-400 nm) surpassed WSOC due to the higher mass absorption efficiency (MAE) of WISOC. The results suggested that BBOA light absorption would be largely underestimated if only considering the water soluble fractions. However, the light absorption of WSOC among near-UV ranges, occupying 39%-43% of the total extracted OC absorption at 300 nm, cannot be negligible due to the sharper increase of absorption towards shorter wavelength compared with WISOC. HULIS were the major light absorption contributors among WSOC, due to the higher MAE of HULIS than other high-polarity WSOC components. The emission levels and light absorption of BBOA were largely influenced by the burning conditions, indicated by modified combustion efficiency (MCE) calculated by measured CO and CO<sub>2</sub> in this study. The emission factors of PM<sub>2.5</sub>, OC, WSOC, HULIS and organic acids were enhanced under lower-MCE conditions or during higher-moisture straw burning experiments. Light absorption coefficients of BBOA at 365



nm were also higher under lower-MCE conditions, which was mainly due to the elevated mass emission factors. Our results suggested that the influence of varied combustion efficiency on particle emissions could surpass the differences caused by different types of biofuels. Thus, the burning efficiency or conditions should be taken into consideration when estimating the influence of biomass burning. In addition, we observed that the ratios of  $K^+/OC$  and  $Cl^-/OC$  increased under higher-MCE conditions due to the enhancement of released potassium and chlorine under higher fire temperatures during flaming combustion. This indicates that potassium ion, as a commonly used biomass burning tracer, may lead to estimation uncertainty without considering the burning conditions.

## 1 Introduction

Biomass burning emissions, as a major primary source of carbonaceous aerosols, have significant effects on the air quality, human health as well as regional or global radiation budget (Bond, 2004; Chen et al., 2017a; Reid et al., 2005; Saleh et al., 2015). Biomass burning could contribute one-third of the black carbon (BC) budget and two-thirds of the primary organic aerosol budget on the global scale (Bond, 2004; Bond et al., 2013). In recent years, biomass burning organic aerosols (BBOA) also attracted much attention due to their substantial contribution to light-absorbing organic aerosols, known as brown carbon (BrC) (Andreae and Gelencsér, 2006; Laskin et al., 2015; Lin et al., 2016; Saleh et al., 2014; Washenfelder et al., 2015; Yan et al., 2018). Emission factors (EF) of BrC ranged from 1.0 to 1.4 g/kg biomass, comparable to those of BC (Aurell and Gullett, 2013). Majority of BrC aerosol mass was associated with biomass burning emissions in rural southeast US (Washenfelder et al., 2015). Regional radiative forcing effects of BrC could be comparable to those of BC over major areas dominated by biomass burning and biofuel combustion, such as South and East Asia (Feng et al., 2013).

Emission factors, chemical compositions and light absorption properties of biomass burning aerosols could be obviously influenced by different types of biomass, biofuel structures, moisture contents, and especially varied burning conditions (Chen and Bond, 2010; Holder et al., 2016; Reisen et al., 2018). The emissions of particulate organics could span several orders of magnitude depending on different burning conditions (Chen et al., 2017a; Jen et al., 2019). In general, higher levels of particulate matters (PM) and organic aerosols were emitted during less efficient biomass burning, due to prolonged incomplete or smoldering combustion (Holder et al., 2016; Jen et al., 2019; Reisen et al., 2018). Open biomass burning, especially smoldering combustion, dominates the organic carbon (OC) emissions in many regions of the world on an annual-average basis (Bond, 2004). [The light absorption of biomass burning aerosols are also largely dependent on the combustion conditions \(Cheng et al., 2016a; Liu et al., 2014; Pokhrel et al., 2016; Saleh et al., 2014\).](#) The contribution of BrC to aerosol light absorption at near-UV wavelength was reported [to be](#) higher for more smoldering combustion compared with more flaming combustion (Holder et al., 2016). The reported variation trends of BBOA absorption properties as a

63 function of combustion conditions, however, are not consistent from different studies. High variability in reported emission  
64 factors and optical properties of BBOA from different burning conditions complicates their treatment in climate models (Liu  
65 et al., 2014; Saleh et al., 2014), and indicates the importance of further investigations on biomass burning emissions,  
66 especially the influence of burning conditions.

67 Unlike the well-understood BC, the light-absorbing OC or BrC comprise a wide range of poorly characterized organic  
68 compounds, which exhibit highly variable chemical and light absorption properties (Andreae and Gelencsér, 2006; Laskin et  
69 al., 2015; Lin et al., 2016; Saleh et al., 2014; Washenfeller et al., 2015; Yan et al., 2018). Previous studies have suggested  
70 that methanol extracted BrC were usually more light-absorbing than water extracts for BBOA or ambient aerosols (Chen and  
71 Bond, 2010; Liu et al., 2013). More than 92% of the light absorbing OC emitted from solid fuel pyrolysis could be extracted  
72 by methanol, compared with 73% for water-extracted compounds (Chen and Bond, 2010). Alkaline or methanol extracted  
73 OC fractions were also observed with higher mass absorption efficiency (MAE) at 365 nm than water soluble organic carbon  
74 (WSOC) for residential coal combustion (Li et al., 2018). Only considering the water soluble BrC would result in  
75 underestimation of BrC absorption and radiative forcing (Cheng et al., 2016b; Cheng et al., 2017). Different light absorption  
76 properties of organic fractions could be attributed to the varied chemical compositions and structures (Chen et al., 2016a;  
77 Chen et al., 2016b; Chen et al., 2017b). [However, few studies have been conducted to gain a comprehensive understanding](#)  
78 [on the influence of combustion conditions on the chemical composition and light absorption of different BBOA fractions](#)  
79 [from agricultural residue burning.](#)

80 Field open burning of agriculture wastes or crop residues is a widespread type of biomass burning in Asia (IARI, 2012;  
81 Bond, 2004; Streets et al., 2003a). Open crop residue burning during harvest season would result in severely adverse impacts  
82 on regional air quality and human health (Chen et al., 2017a; Li et al., 2014; Lin and Yu, 2011; Streets et al., 2003b; Zhang  
83 et al., 2010). The PM emission factors from agricultural waste burning range from 1.7 to 17.8 g/kg (Bond, 2004). Source  
84 apportionment results showed that ~50% of carbonaceous aerosols in Beijing were associated with biomass burning, with  
85 crop residue combustion as a major source (Cheng et al., 2013). Straw residue burning could contribute as high as 51% of  
86 PM and 76% of OC during harvest seasons in the agriculture regions in China (Li et al., 2014). Considering the large  
87 contribution of straw residue burning, the chemical compositions and light absorption properties of BBOA in Asia may  
88 differ from other regions with wood burning as the major type of biomass burning. However, the understanding on field  
89 straw residue burning emissions is still limited. A better characterization of the emission levels and optical properties of  
90 straw burning aerosols is required to quantify their effects on air quality and regional radiation forcing in agriculture areas  
91 (Hungershoefer et al., 2008). Laboratory simulation experiment has been suggested as a good way to study biomass burning  
92 emissions due to its advantage in quantifying emission factors and controlling combustion conditions within well-defined  
93 limits. In this study, a series of lab burning experiments were designed to systematically investigate the emission factors,

chemical compositions and light absorption properties of both water-soluble and water-insoluble carbonaceous aerosols emitted from straw residue burning. The influence of biofuel moisture contents, burning conditions and combustion efficiency on the BBOA emission levels and light absorption properties are comprehensively discussed.

## 2 Methods

### 2.1 Simulation and sampling of biomass burning aerosols

Lab-controlled burning experiments were conducted in the Laboratory of Biomass Burning Simulation at Peking University Shenzhen Graduate School. The simulation system was designed and optimized on the basis of the one used in He et al. (2010) (He et al., 2010), which included combustion system, dilution system, sampling system and data acquisition system (Figure S1). During each experiment, about 1-2 kg biomass fuels were ignited on the combustion pan. The emitted smoke was collected by the hood above the fire, and diluted by zero air (21 mol% O<sub>2</sub> and 79 mol % N<sub>2</sub>) before collected on filters or monitored by online instruments. Smoke aerosols were collected on both Teflon (Whatman Inc.) and quartz fiber (Whatman Inc.) filters, using a PM<sub>2.5</sub> cutoff with a sampling flow rate of 16.7 L/min. During each burning experiment, CO and CO<sub>2</sub> were measured continuously by CO and CO<sub>2</sub> analyzers (Thermo Scientific Inc., Bremen, Germany). The burning efficiency, calculated based on the online CO and CO<sub>2</sub> data, were monitored continuously during each experiment (Table S1). The variation of fire temperatures during each experiment was also measured by a sensor above the fire (Figure S1).

In this study, corn and wheat, two kinds of primary grain crops in China, burning was simulated to represent the straw residue burning in China. To investigate the influence of biofuel moisture contents on burning emissions, straws with different levels of moisture contents were burned, including low (13%) and high (18%) levels for corn burning experiments, low (7%-9%), medium (18%-22%), and high (27%-33%) levels for wheat burning experiments (Table S1). The moisture content was measured by weighing the fuels before and after drying the biofuels in the oven at 105°C for 24 h. Straw residues with different moisture contents were prepared by mixing weighed biofuels with weighed pure water in a plastic box, and shaking until the water was absorbed. Each experiment condition was repeated three times. All the conducted experiment conditions as well as burning conditions are summarized in Table S1.

### 2.2 Isolation of carbonaceous aerosols

The quartz fiber filters were used to extract different carbonaceous aerosol fractions, including water-insoluble organic carbon (WISOC), WSOC, and carbon component of HUmic-Like Substances (HULIS<sub>C</sub>). The filter samples were firstly extracted in an ultrasonic bath twice using 10 mL, and 10 mL ultrapure water, each time for 30 min. The extracts were then combined and filtered with a 0.45 µm pore size syringe filter (Gelman Sciences) to obtain the WSOC solutions. After removing the WSOC fraction on filters, the WISOC fractions were then extracted in an ultrasonic bath twice using 5 mL,

and 5 mL methanol, each time for 30 min. The extracts then were combined and filtered using a 0.25 µm syringe filter. The HULIS fraction was isolated from the WSOC solutions via solid phase extraction (SPE), with majority of low molecular weight organic acids (with relatively higher polarities) and sugars removed from the water solutions. Details about the HULIS extraction procedures were described in our previous paper (Wang et al., 2017). The WSOC fraction excluded HULIS was named as high-polarity WSOC (WSOC-h) in this study.

### 2.3 Quantification and light absorption measurements of carbonaceous aerosols

The total OC abundance was analyzed by a thermal/optical carbon analyzer (Sunset Laboratory). The concentrations of water soluble carbonaceous aerosol fractions, including WSOC and HULIS<sub>C</sub>, were measured using a total organic carbon (TOC) analyzer (AnalytikJena multi N/C 3100). The WSOC concentrations were obtained by the difference between total OC and WSOC. Light absorption of the extracted solutions (WSOC, HULIS<sub>C</sub> and WSOC) were measured by a UV-vis spectrometer (UV-1780, Shimadzu) over the wavelength range of 300-700 nm. The absorptions of WSOC and WSOC were added up to represent the absorption of the total extracted OC. The absorption coefficients ( $Abs_{\lambda}$ ,  $Mm^{-1}$ ) and mass absorption efficiency ( $MAE_{\lambda}$ ) of isolated solutions at a wavelength  $\lambda$  were calculated as follow (Cheng et al., 2011; Cheng et al., 2016b):

$$Abs_{\lambda} = (A_{\lambda} - A_{700}) \frac{V_{sol}}{V_{air} \times L} \times \ln(10) \quad (1)$$

$$MAE_{\lambda} = \frac{Abs_{\lambda}}{C} \quad (2)$$

where  $A_{\lambda}$  and  $A_{700}$  represent the measured absorbance at wavelength  $\lambda$  and 700 nm.  $A_{\lambda}$  is referenced to the  $A_{700}$  to account for systematic baseline drift (Xie et al., 2019; Zhang et al., 2013).  $V_{sol}$  is the volume of extracted solutions and  $V_{air}$  is the volume of air sampled through the filter punch. The optical path length (L) is 1 cm in the present experiments. Ln (10) is used to convert from common logarithm to natural logarithm. C corresponds to the concentrations of OC, WSOC, WSOC or HULIS<sub>C</sub> fractions. It is noted that the total OC was used to represent the concentration of total extracted OC, which may lead to an underestimation of MAE of WSOC. Previous studies suggested that 92%-99.7% of BBOA could be extracted by methanol (Chen and Bond, 2010; Xie et al., 2019), thus the residue OC un-extracted by methanol was relatively small compared with the extracted fraction. The wavelength dependence of light absorption is described using the Absorption Angstrom Exponent (AAE), which is calculated by a linear regression fit of  $\log(Abs_{\lambda})$  versus  $\log(\lambda)$  in the wavelength range of 300-450 nm.

The radiation effects of different BrC fractions (WSOC, HULIS and WISOC) relative to elemental carbon (EC, f) were estimated using a simplified model (Kirillova et al., 2014; Wu et al., 2020):

$$f = \frac{\int I_0(\lambda) \left\{ 1 - e^{-\left( MAE_{BrC,365} \left( \frac{365}{\lambda} \right)^{AAE} \cdot C_{BrC} \cdot h_{ABL} \right)} \right\} d\lambda}{\int I_0(\lambda) \left\{ 1 - e^{-\left( MAE_{EC,870} \left( \frac{870}{\lambda} \right) \cdot C_{EC} \cdot h_{ABL} \right)} \right\} d\lambda} \quad (3)$$

where  $MAE_{BrC,365}$  and  $MAE_{EC,870}$  represent the MAE of different BrC fractions at 365 nm and MAE of EC at 870 nm. AAE is the AAE values of different BrC fractions obtained in this study, and the AAE of EC is set to 1.  $C_{BrC}$  and  $C_{EC}$  are the concentrations of BrC and EC, and  $h_{ABL}$  is the height of atmospheric boundary layer (1000 m).  $I_0(\lambda)$  represents the clear sky Air Mass 1 Global Horizontal solar irradiance (Levinson et al., 2010).

Water-soluble  $K^+$ ,  $Cl^-$  and low molecular weight organic acids (acetic acid, formic acid, succinic acid, oxalic acid, propionic acid and methanesulfonic acid) were analyzed by ion chromatograph (DIONEX, ICS2500/ICS2000), following the procedures described in Guo et al. (2010) (Guo et al., 2010).

### 3 Results and discussion

#### 3.1 Burning conditions and combustion efficiency

The burning conditions and combustion efficiency, calculated by measured CO and CO<sub>2</sub> concentrations, of the simulation experiments are shown in Figure 1 and Table S1. Modified combustion efficiency (MCE), defined as  $\Delta CO_2 / (\Delta CO_2 + \Delta CO)$ , is used to indicate the burning conditions during a fire (Akagi et al., 2011; Andreae and Merlet, 2001). The burning conditions in this study varied from different fires, with the MCE ranging from 0.68 to 0.88 and an average value of 0.77. The amount and compositions of substances emitted from a given fire are determined to a large extent by the burning conditions or the ratio of flaming to smoldering combustion, which is often expressed as “combustion efficiency”. Higher MCE (>0.9) indicates more flaming combustions, and lower MCE indicates more smoldering conditions. A previous study suggested that pure flaming has an MCE near 0.99, and the MCE of most smoldering combustion is around or lower than 0.8 (Akagi et al., 2011). The burning experiments were generally dominated by smoldering combustions in the present study. Smoldering-dominated conditions, with expected MCE<0.9 or even lower, have been widely observed during the combustion of agricultural residues in the field (IARI, 2012; Wang et al., 2017), thus the results in this study are applicable to the field or related model studies.

The biomass fuels with lower moisture contents are generally burned more efficiently, with relatively higher MCE values (Table S1, Figure S2), which suggested higher proportion of flaming combustion during the fire. The MCE of higher-moisture biomass burning was generally lower, and prolonged smoldering combustion was observed (Figures 1, S2).

176 Previous lab-controlled burning experiments also reported similar phenomenon that higher fuel moistures would lower the  
 177 combustion efficiency, shorten flaming phase and introduce prolonged smoldering combustion (Chen et al., 2010). The  
 178 relative proportion of flaming versus smoldering phases can vary considerably as a function of fuel moistures. Similar MCE  
 179 was also observed among wheat burning experiments with different levels of moisture contents (Table S1). This was because  
 180 that MCE is not only influenced by biofuel moisture contents but also the variations of biofuel structures (e.g. size), burning  
 181 temperatures or ambient conditions (Chen and Bond, 2010; Lu et al., 2009; Sanchis et al., 2014). We cannot completely  
 182 exclude the differences of other factors between each parallel experiment, which was the reason for repeating each condition  
 183 for three times in our experiment (Table S1).

184 Figure 1 displays variations of the monitored parameters ( $\text{CO}$ ,  $\text{CO}_2$ ,  $\Delta\text{CO}/\Delta\text{CO}_2$  and fire temperature) during two  
 185 selected burning experiments (low-moisture biomass burning with  $\text{MCE}=0.83$ , and high-moisture biomass burning with  
 186  $\text{MCE}=0.68$ ). Different burning conditions dominate at different periods of a fire and the length of each period varied by  
 187 experiments (Figure 1). Actually, flaming and smoldering phases occur simultaneously during a fire and the proportions of  
 188 different combustion types vary over time (Akagi et al., 2011; Andreae and Merlet, 2001). For example, the initial period of  
 189 low-moisture biomass burning experiment (Figure 1a) is dominated by flaming, wherein  $\text{CO}_2$  increased rapidly to the highest  
 190 level and  $\Delta\text{CO}/\Delta\text{CO}_2$  ratios were lower (MCE was higher) compared with the smoldering-dominated period. The fire  
 191 temperatures were very high during this initially high-efficiency burning period. During the later period, smoldering  
 192 dominated the burning conditions. The burning efficiency and fire temperatures decreased during this period, and  
 193  $\Delta\text{CO}/\Delta\text{CO}_2$  ratios were higher than the first period. Previous ground-based and aircraft measurements of wildfire emissions  
 194 also observed gradually decreased combustion efficiency of a fire over time (Collier et al., 2016). For the high-moisture  
 195 biomass burning, smoldering combustion dominated the fire types during the whole period (Figure 1b). In higher-moisture  
 196 fuel burns, some energy released from the combustion is first used to dry up the higher moistures of the biofuels, thus the fire  
 197 temperatures and burning efficiency were lower than those of the low-moisture biomass burning.

### 198 3.2 Emission factors of carbonaceous aerosols

199 The average emission factors of  $\text{PM}_{2.5}$ , OC and EC were  $9.3\pm3.4$ ,  $4.6\pm1.9$  and  $0.21\pm0.07$  g/kg for corn burning and  
 200  $8.7\pm5.0$ ,  $3.9\pm2.8$  and  $0.22\pm0.05$  g/kg for wheat burning (Figure 2). The measured emission factors in this study fall within  
 201 the range of previous straw burning experiments ( $4.7\text{-}12.9$ ,  $1.2\text{-}8.9$ ,  $0.17\text{-}1.2$  g/kg for  $\text{PM}_{2.5}$ , OC and EC, respectively)(Akagi  
 202 et al., 2011; Hays et al., 2005; Li et al., 2007). The estimated EFs from crop residue burning were generally lower than wood  
 203 or forest burning emissions (Akagi et al., 2011; Aurell and Gullett, 2013; Jen et al., 2019). However, open crop residue  
 204 burning in the field could result in severe air pollution during harvest season, especially in agriculture areas in China and  
 205 South Asia (IARI, 2012; Li et al., 2014; Streets et al., 2003a; Venkataraman et al., 2006). This type of biomass burning

cannot be neglected in these regions.

Organic matter (OM), calculated by multiplying OC by 1.3 (Li et al., 2007), was the dominant component of straw burning aerosols, which accounted for ~64% and ~55% of the  $PM_{2.5}$  emitted from corn and wheat burning (Figure 2). Around 57% and 68% of the OC from corn and wheat burning are water soluble, and  $HULIS_C$  represent 53% and 46% of the WSOC. Though the mass contributions of WSOC were lower than WSOC in straw burning aerosols (Figure 2), the WSOC fractions cannot be neglected, especially for considering the light absorption properties of BBOA (see section 3.4). Previous studies also suggested a large portion of WSOC in ambient aerosols, which are important contributor of light-absorbing BrC (Cheng et al., 2016b; Cheng et al., 2017).

The average EFs of water-soluble acetic acid, formic acid, succinic acid and oxalic acid were respectively  $13.3 \pm 13.9$ ,  $4.1 \pm 3.3$ ,  $8.8 \pm 10.6$ ,  $2.2 \pm 1.1$  mg/kg for corn burning and  $13.0 \pm 14.5$ ,  $4.7 \pm 5.3$ ,  $9.9 \pm 13.5$ ,  $3.1 \pm 1.9$  mg/kg for wheat burning (Figure 2). Propionic acid and methanesulfonic acid in most samples were below the instrument detection limits in this study, and their emissions were not taken into consideration in the following discussion. The quantified water-soluble low-molecular-weight acids averagely accounted for 0.84% (0.16%-1.6%) and 0.88% (0.24%-1.8%) of the water-soluble OM (WSOM) emitted from corn and wheat burning. Previous study has suggested that low molecular weight organic acids represented an important fraction of WSOC in BBOA, and oxalic acid was a dominant short dicarboxylic (C2-C6) acids (Falkovich et al., 2005). The estimated emission factors of acetic acid and formic acid in this work were lower than those emitted from eucalypt forest fires, which were reported 17 and 26 mg/kg for flaming combustion, and 104 and 94 mg/kg for smoldering combustion based on ground-based field measurements (Reisen et al., 2018). The difference could be attributed to different biofuels, burning conditions as well as conducted experimental methods.

Figure 2 compares the emission factors of  $PM_{2.5}$ , carbonaceous aerosols and low molecular weight organic acids from straw residue burning under different levels of moisture contents. The EFs of fine particles and organic carbonaceous aerosols from high-moisture biomass burning were obviously higher than those from low-moisture biomass burning. Substantial particulate carbonaceous aerosols could be generated from burning of higher-moisture biofuels, which is mainly associated with the prolonged smoldering phases and less efficient combustions (Figure 1, Table S1). Similar variation trends were also reported in previous biomass burning studies (Chen et al., 2010; Sanchis et al., 2014). Different levels of biofuel moisture contents will actually influence the burning conditions, and thus impact the emission levels and compositions of particulate matters.

### 3.3 Influence of combustion efficiency on emission factors

As shown in Figure 3, the emission factors of  $PM_{2.5}$  and organic carbonaceous components increased with decreasing MCE. Particle emissions were obviously enhanced under less efficient burning conditions. The emission factors of  $PM_{2.5}$ ,



OC, WSOC and HULIS<sub>C</sub> from the most smoldering combustion experiment were about 3.4, 4.3, 3.8 and 2.8 times of those from the most flaming combustion condition, regardless of the biomass types. The emissions of low molecular weight organic acids also follow the similar variation trends with combustion efficiency as those of OC or WSOC emission factors (Figure S3). These trends are generally in agreement with previous studies (Dhammapala et al., 2006; Holder et al., 2016; Jen et al., 2019; Reisen et al., 2018; Wang et al., 2013). Under the same burning conditions, the emission factors of particles or organic aerosols from corn burning were slightly higher than those from wheat burning (Figure 3). This was mainly due to the different pyrolysis temperatures and combustion efficiency of different biofuels, which would influence the burning processes (Khan et al., 2009; Zanatta et al., 2016). Our results suggested that the influence of varied burning conditions or combustion efficiency on particle emissions could surpass the differences between the two types of straw residue burning measured in this study (Figure 3). Thus, the burning efficiency or conditions should be taken into consideration when simulate or estimate the influence of biomass burning emissions in future models.

Different from organic compounds, the emission factors of EC under different combustion efficiency remain relatively consistent (Figure 3e). Holder et al. (2016) summarized the results from lab and field studies, and also found that the black carbon emission factors from different studies are relatively constant, despite the differences in plume dilution or measurement methods (Holder et al., 2016). Some studies, however, reported an increasing trend in EC or BC emission levels with the increasing of combustion efficiency in wildfires or forest burns in U.S. (Aurell and Gullett, 2013; Jen et al., 2019). As the conducted experiments were mostly dominated by smoldering combustions (MCE=0.68-0.88) in this study, we cannot exclude the possibility that the EC emissions may be higher under flaming-dominated combustions (e.g. MCE>0.9). [Though the EC emission factors did not show obvious variation trends as a function of MCE, a positive correlation between EC/\(OC+EC\) ratios and combustion efficiency was observed \(Figure 3g\).](#) Due to the obvious dependence of EC/OC or EC/(OC+EC) ratios on burning efficiency, these ratios could be employed to indicate different burning conditions when the emitted CO and CO<sub>2</sub> data [are](#) not available, which have been used in previous studies (Xie et al., 2018; Xie et al., 2019).

To further investigate the influence of burning conditions on the chemical compositions of biomass burning aerosols, mass ratios of WSOC/OC, HULIS<sub>C</sub>/OC, K<sup>+</sup>/OC and Cl<sup>-</sup>/OC as a function of burning efficiency are plotted in Figure 4. The WSOC/OC and HULIS<sub>C</sub>/OC mass ratios ranged from 0.52-0.78 and 0.16-0.54 among different burning experiments. The HULIS<sub>C</sub>/OC ratios were comparable to those (0.26-0.44, with an average of 0.34) reported in field or controlled chamber combustion experiments (Lin et al., 2010). We did not observe obvious variation trends of WSOC/OC or HULIS<sub>C</sub>/OC ratios with MCE (Figure 4), which indicated relative constant BBOA chemical compositions under different combustion conditions. However, the K<sup>+</sup>/OC and Cl<sup>-</sup>/OC ratios showed consistent variation trends under different MCE conditions, which increased from <0.1 under the more smoldering condition to >0.5 under the more flaming condition for K<sup>+</sup>/OC, and from 0.05 to >0.5 for Cl<sup>-</sup>/OC (Figure 4). The highest K<sup>+</sup>/OC (0.64) and Cl<sup>-</sup>/OC (0.61) ratios were observed in a low-moisture wheat burning



experiment with a MCE of 0.79. This is because the K and Cl emissions from combustion are highly affected by fire temperatures and burning conditions. Lab-controlled experiments suggested that the proportions of released potassium and chlorine from the biomass fuels increase with the applied combustion temperatures (Jensen et al., 2000; Knudsen et al., 2004). The flaming combustion (with higher MCE) was observed much higher fire temperatures than the smoldering combustion (with lower MCE) (Figure 1). Though the emission levels of particles or organic aerosols decreased during higher efficiency burning (Figure 3), elevated proportions of potassium and chlorine were released into smokes during the flaming combustion phase under this condition (Figure 4b). The two wheat burning experiments (moisture content=7%) with higher  $K^+/OC$  and  $Cl/OC$  ratios ( $>0.5$ ) than others were related to the higher combustion temperatures during the initial flaming periods of the burning experiments (Figure S4). Potassium ion is a commonly used tracer to indicate the biomass burning emissions. However, our results revealed that  $K^+$  cannot correctly indicate the emission levels of biomass burning aerosols under obviously different burning conditions, which may lead to large uncertainty in estimating burning emissions if without considering the combustion conditions.

### 3.4 Light absorption of BBOA

The light absorption of straw burning organic aerosols decreased sharply from near-UV to visible wavelengths (Figure 5), indicating their properties as biomass burning-generated BrC. The absorption of WISOC, WSOC and HULIS<sub>C</sub> at 300 nm was as high as 4.5, 15.2 and 11.2 times of those at 400 nm for corn burning emissions, and 4.8, 9.2 and 10.6 times for wheat burning emissions. The wavelength dependence property of BBOA light absorption was described by AAE derived from the absorption in the range of 300-450 nm. The AAE of WISOC, WSOC and HULIS<sub>C</sub> were respectively 5.8-5.9, 8.6-11.3, 8.9-10.2 for corn burning aerosols and 5.7-6.0, 8.1-9.0, 9.0-10.5 for wheat burning aerosols, and the averaged values were also shown in Figure 5. The water-soluble BBOA fractions (WSOC and HULIS) showed stronger wavelength dependence than the water insoluble fractions. The estimated AAE values of straw burning organic aerosols in this study are comparable to those of BBOA (5.3-8.1) and biomass burning-influenced atmospheric aerosols (5.2-9.4) reported in previous studies (Hecobian et al., 2010; Hoffer et al., 2006; Wu et al., 2018; Wu et al., 2019; Xie et al., 2017; Xie et al., 2019; Zhu et al., 2018). The strong light absorption of biomass burning-generated BrC in near-UV range would lead to an increase in aerosol light absorption and radiative forcing efficiency (Chakrabarty et al., 2010).

The WISOC was the most important light-absorption fraction among straw burning organic aerosols, which contributed 61%–84% and 57%–72% of the light absorption (@300-400 nm) by extracted BrC emitted from corn and wheat burning (Figure 5). In the wavelength range of 300-400 nm, HULIS<sub>C</sub> and other high-polarity WSOC ( $WSOC-h=WSOC-HULIS_C$ ) respectively contribute to 16%-28% and 1%-10% of the total BBOA absorption for corn burning, and 17%-29% and 12%-15% for wheat burning. Though the mass contribution of WISOC was lower than WSOC (Figure 2), the light absorption of

297 WISOC surpassed WSOC due to the higher light absorption capability of water-insoluble BBOA, indicated by the higher  
298 MAE of WISOC (Figure 6). Meanwhile, the light absorption of water-soluble BBOA among near-UV ranges cannot be  
299 neglected due to their sharper increase of absorption towards shorter wavelength compared with WISOC (Figure 5). The  
300 light absorption contribution of WSOC to extracted BrC increased substantially from 16%-28% at 400 nm to 39%-43% at  
301 300 nm. Among the water-soluble BBOA, HULIS were the major contributors of light absorption, which occupied 74% and  
302 68% of the WSOC absorption at 300 nm for corn and wheat burning emissions, respectively. This was due to the higher light  
303 absorption capability of HULIS than other high-polarity WSOC fractions (Figure 6), though their mass contributions were  
304 comparable in straw burning aerosols (Figure 2).

305 The light absorption capabilities of different BBOA fractions are compared in Figure 6. The estimated MAE<sub>365</sub> values of  
306 straw burning-generated BrC in this study are comparable to those reported in previous studies (Fan et al., 2018; Xie et al.,  
307 2017). The MAE of WISOC are higher than water-soluble BBOA (WSOC and HULIS) among the measured wavelength  
308 ranges for both corn and wheat burning aerosols. The MAE<sub>300</sub> of WISOC was 1.6 and 1.7 times of WSOC emitted from corn  
309 and wheat burning, and comparable to those of HULIS (Figure 6). Due to the slower decrease of WISOC absorption towards  
310 visible wavelengths than the water-soluble fractions (Figure 5), the MAE<sub>365</sub> of WISOC was as high as 2.5 and 2.2 times of  
311 WSOC from corn and wheat burning emissions, and 1.7 and 1.6 times of HULIS. Though the mass contribution of WISOC  
312 among BBOA could be smaller than WSOC, their contribution to light absorption cannot be neglected due to the higher  
313 MAE of water insoluble BBOA. The solar energy absorbed by biomass burning-emitted WISOC relative to EC (25%)  
314 among the wavelength range of 300-700 nm was higher than those of WSOC (10%) or HULIS (4%). The light absorption of  
315 BBOA would be largely underestimated if only considering the water soluble fractions. Previous studies also reported a large  
316 proportion of WISOC absorption in BBOA and ambient aerosols (Cheng et al., 2016b; Cheng et al., 2017; Park et al., 2018;  
317 Sengupta et al., 2018).

318 Figure 7 clearly shows the dependence of BBOA absorption coefficient (Abs<sub>365</sub>) on burning conditions. Higher Abs<sub>365</sub>  
319 of biomass burning-generated BrC were observed under less efficient burning conditions for both corn and wheat burning  
320 experiments. This is mainly due to the elevated BBOA emission factors as MCE decreases (Figure 3). We did not observe  
321 obvious dependence of MAE<sub>365</sub> on the combustion efficiency for either water-soluble fractions or WISOC (Figure 7d-f).  
322 Previous lab and field studies suggested that the optical properties of biomass burning aerosols are more dependent on  
323 burning conditions other than fuel types (Liu et al., 2014; Xie et al., 2017). The MAE<sub>365</sub> of BBOA emitted from flaming  
324 combustion were reported higher than those from smoldering combustion based on lab-controlled burning experiments (Xie  
325 et al., 2019). Another lab experiment also suggested the dependence of MAE<sub>365</sub> of methanol-extracted BBOA on burning  
326 conditions, while the variation trends are different regarding different fuel types or sampling methods among different  
327 experiments (Xie et al., 2017). It is noted that limited sample population was selected to conduct the light absorption

measurements and smoldering dominated the burning conditions in this study, which could be the reasons that we did not observe an obvious dependence of MAE on combustion conditions. More lab experiments, involving larger numbers of experiments and more variable burning conditions, are required to address the influence of combustion efficiency on light absorption capability of biomass burning-emitted carbonaceous aerosols in future studies.

## 4 Conclusions

The emission factors of  $PM_{2.5}$ , OC and EC were 9.3, 4.6 and 0.21 g/kg for corn burning and 8.7, 3.9 and 0.22 g/kg for wheat burning, generally lower than wood or forest burning emissions. Around 57% and 68% of the OC emitted from corn and wheat burning are WSOC, among which  $HULIS_C$  represent 53% and 46% of the WSOC mass concentrations. Though the mass contribution of WISOC was lower than WSOC, the light absorption contribution of WISOC (57%–84% @300-400 nm) surpassed WSOC due to the higher MAE of WISOC. The BBOA light absorption would be largely underestimated if only considering the water soluble fractions. Meanwhile, the light absorption of WSOC among near-UV ranges, occupying 39%-43% of extracted OC absorption at 300 nm, cannot be neglected due to their sharper increase of absorption towards shorter wavelength compared with WISOC.  $HULIS$  were the major light absorption contributors among WSOC, and their light absorption capability was higher than other high-polarity WSOC components.

The emission levels, compositions and light absorption of BBOA were influenced by the burning conditions. The combustion conditions varied from different burning experiments, with the MCE ranging from 0.68 to 0.88. The emission factors of  $PM_{2.5}$  and organic carbonaceous aerosols were obviously enhanced under less efficient burning conditions (lower MCE). The emission factors of  $PM_{2.5}$ , OC, WSOC and  $HULIS_C$  from the most smoldering combustion experiment were about 3.4, 4.3, 3.8 and 2.8 times of those from the most flaming combustion condition, regardless of the biofuel types employed in this study. The emission factors of  $PM_{2.5}$  and carbonaceous aerosols from high-moisture straw burning were obviously elevated compared with those from low-moisture straw burning experiments. This is mainly due to the prolonged smoldering and incomplete combustion period during high-moisture biomass burning.

The  $EC/(EC+OC)$  ratios showed a positive correlation with MCE, though EC emission factors remain relative constant under different combustion conditions. Thus, it is reasonable to employ  $EC/OC$  or  $EC/(EC+OC)$  ratios as an indicator of biomass burning conditions. The mass ratios of  $WSOC/OC$  or  $HULIS_C/OC$  did not display obvious variation trends under different combustion efficiency. However, the  $K^+/OC$  and  $Cl^-/OC$  ratios showed continuous increasing trends during higher efficiency burning, from  $<0.1$  under the more smoldering condition to  $>0.5$  under the more flaming condition for  $K^+/OC$ , and from 0.05 to  $>0.5$  for  $Cl^-/OC$  ratios. This is mainly attributed to the elevated proportions of released potassium and chlorine from biofuels under the higher fire temperatures during flaming combustions. Our results indicate that potassium ion, as a

commonly used biomass burning tracer, may lead to large uncertainty in estimating biomass burning emission levels without considering the combustion conditions.

Higher absorption coefficient ( $Abs_{365}$ ) of straw burning-generated BrC, including WSOC, HULIS and WISOC, were observed under less efficient burning conditions for both corn and wheat burning. This is mainly attributed to the higher BBOA emission factors as MCE decreases. Our results suggested that the influence of varied combustion efficiency on the emission levels of BBOA could surpass the differences between biofuel types. Thus, the burning efficiency or combustion conditions should be taken into consideration when estimate the influence of biomass burning.

*Data availability.* The data presented in this article are available from the authors upon request (minhu@pku.edu.cn).

The Supplement related to this article is available online

*Author contributions.* MH, ZW, XH, and LH organized the project. YW conducted the simulation experiments. YW, NX and YQ analyzed the samples. YW wrote the manuscript with input from all co-authors. All authors contributed to discussing the results and commenting on the manuscript.

*Competing interests.* The authors declare that they have no conflict of interest.

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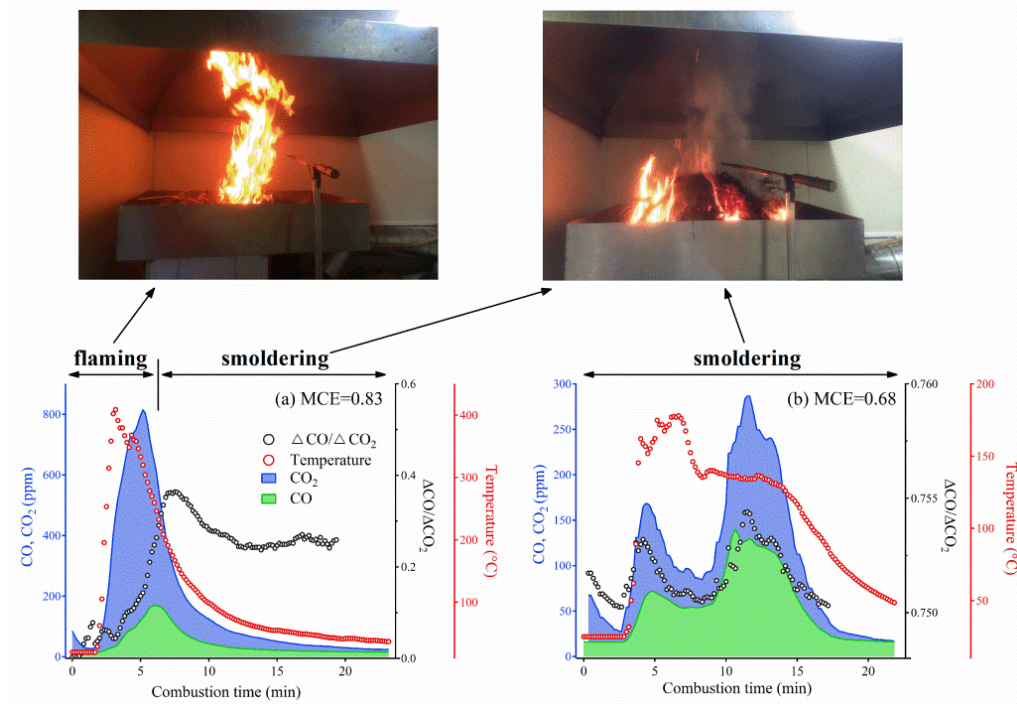
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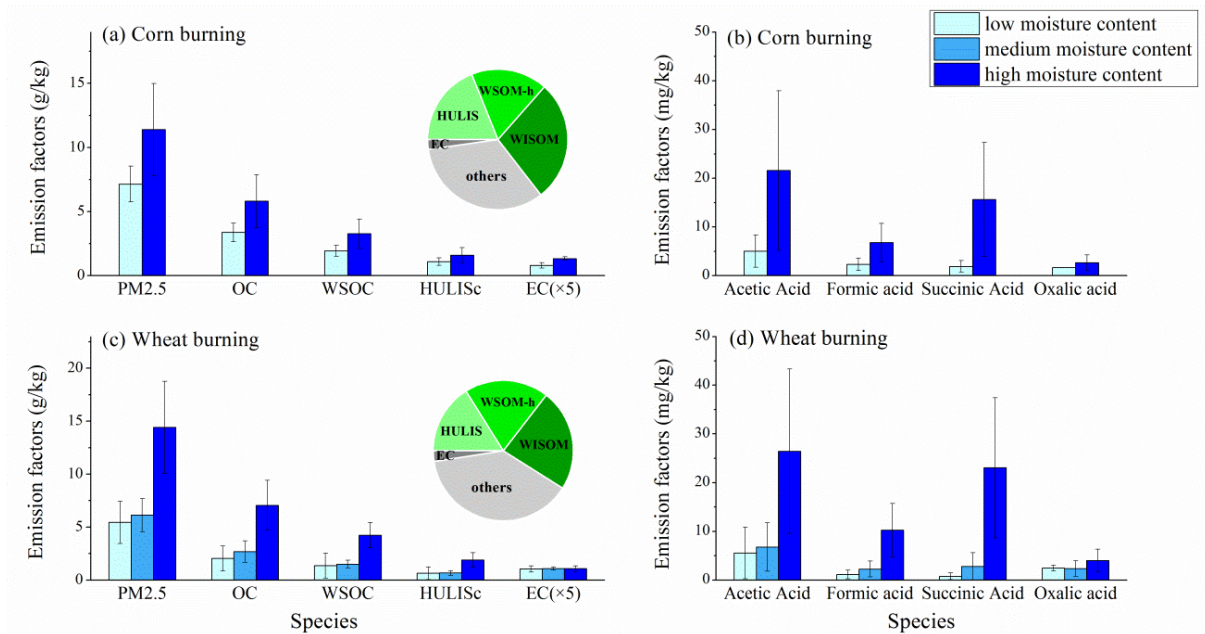
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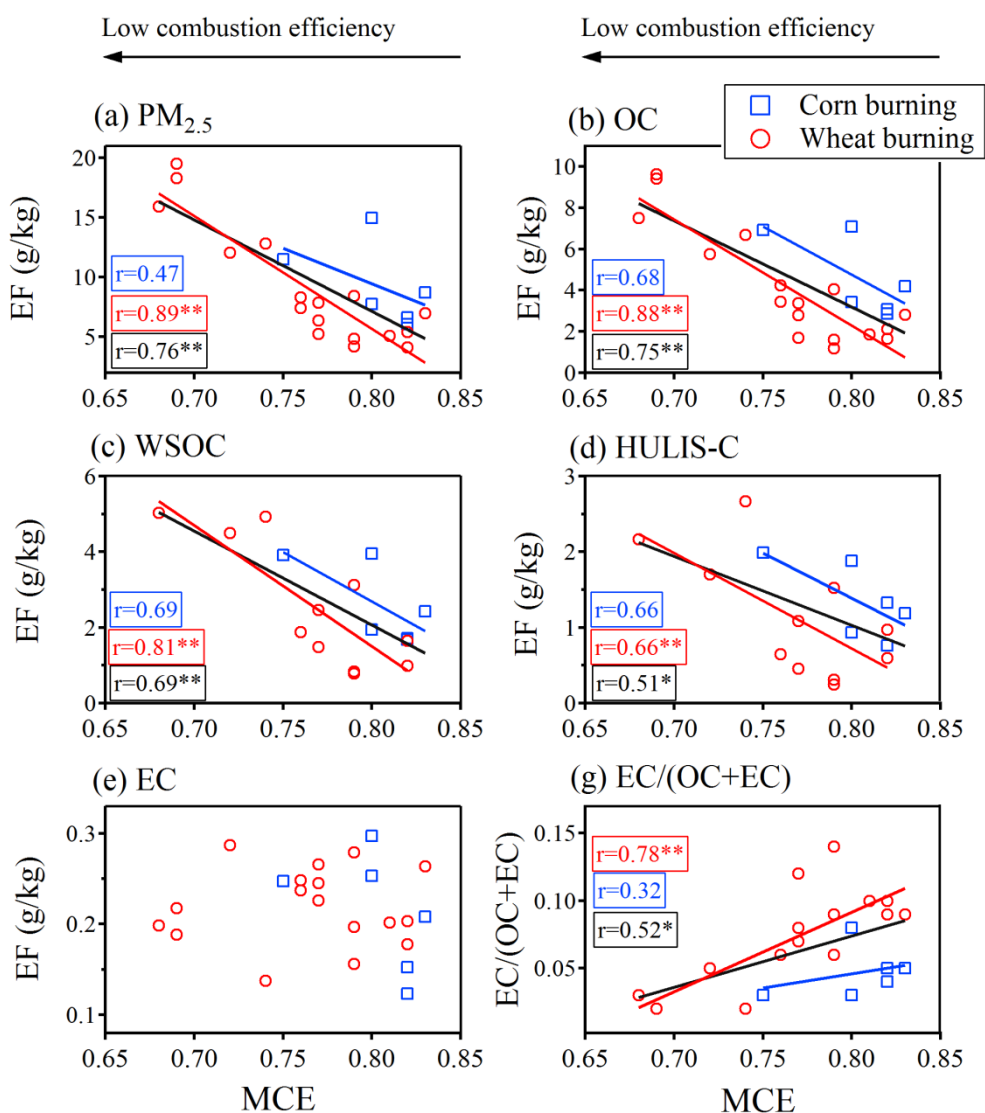


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582 Figure 1 Variations of measured CO, CO<sub>2</sub> concentrations, ΔCO/ΔCO<sub>2</sub>, fire temperatures and burning conditions during two  
583 selected experiments, with an averaged MCE value of (a) 0.83 and (b) 0.68.  
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585  
586 Figure 2 Emission factors of PM<sub>2.5</sub>, OC, WSOC, HULIS<sub>c</sub> and EC from (a) corn burning and (c) wheat burning, and emission  
587 factors of low molecular weight organic acids (acetic acid, formic acid, succinic acid, and oxalic acid) from (b) corn burning  
588 and (d) wheat burning. The EC emission factors are represented by 5×EC due to the low values. The pie charts in panels (a)  
589 and (c) represent the contribution of major carbonaceous aerosols among PM<sub>2.5</sub>. The high-polarity WSOM (WSOM-h) is

590 calculated by subtracting HULIS from WSOM. Different moisture content levels correspond to those shown in Table S1.  
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593  
 594 Figure 3 Emission factors of  $PM_{2.5}$ , carbonaceous aerosols (OC, WSOC, HULIS<sub>C</sub> and EC) and EC/(OC+EC) ratios as a  
 595 function of modified combustion efficiency (MCE). Corn and wheat burning emissions are denoted by red and blue colors,  
 596 respectively. The  $r$  values in each panel are the Pearson correlations between emission factors and MCE for corn (blue),  
 597 wheat (red) and the overall (black) burning experiments. The \*\* or \* following the  $r$  value indicates the correlation is  
 598 significant at the 0.01 level or 0.05 level (2-tailed).  
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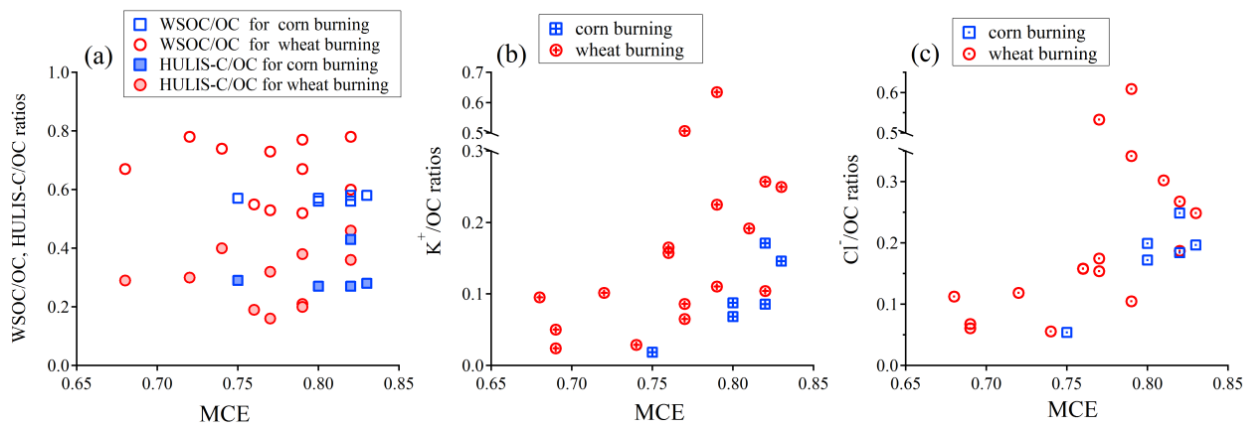


Figure 4 Variations of (a) WSOC/OC and HULIS<sub>C</sub>/OC ratios, (b) K<sup>+</sup>/OC, and (c) Cl<sup>-</sup>/OC ratios as a function of modified combustion efficiency (MCE) for corn and wheat burning experiments. Corn and wheat burning emissions are denoted by red and blue colors, respectively.

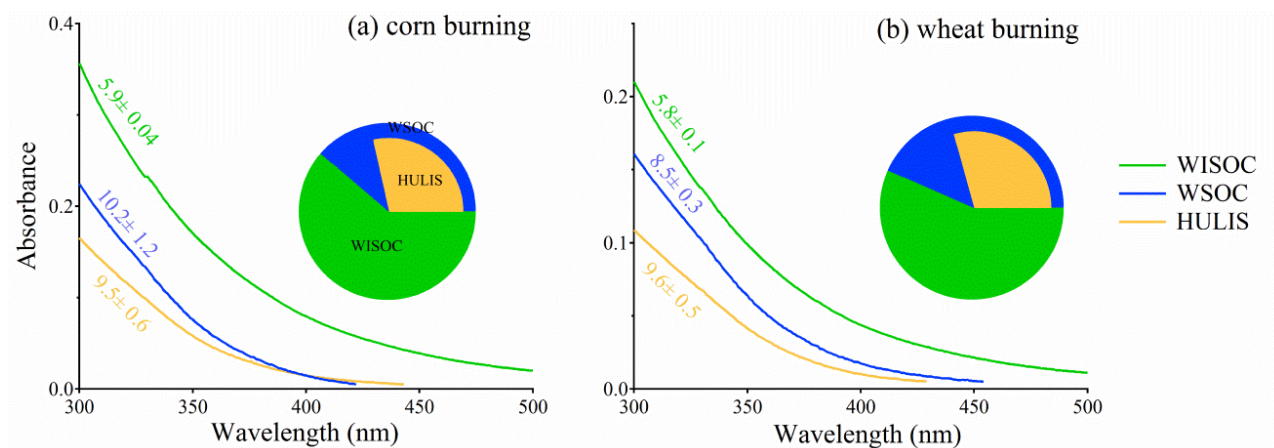
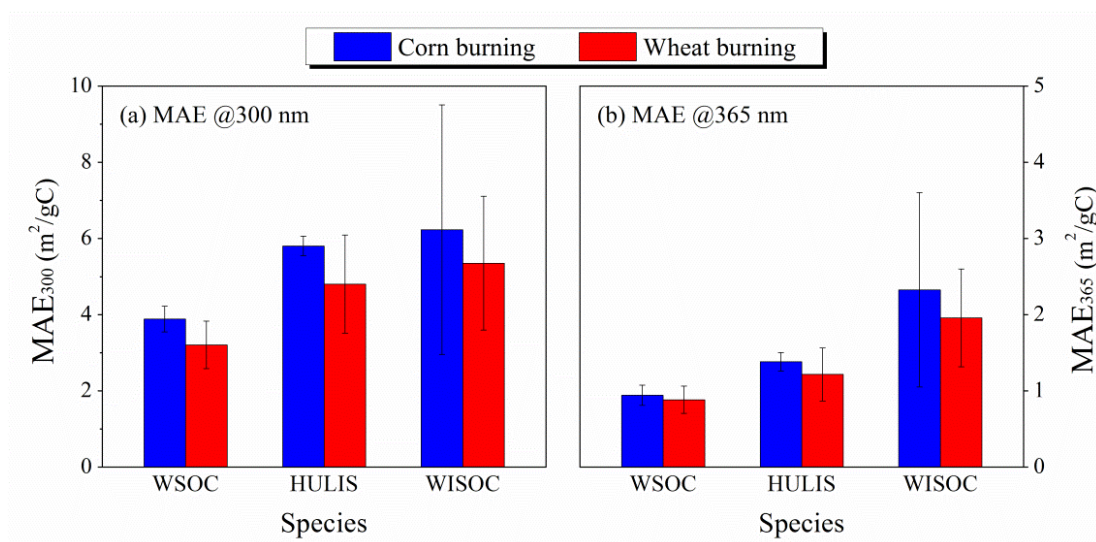


Figure 5 UV-vis spectra of carbonaceous aerosol solutions, including WSOC, HULIS<sub>C</sub> and WISOC, from (a) corn and (b) wheat burning experiments. The pie chart in each panel is the absorption contribution of different BBOA fractions at 300 nm. The number represents the average AAE of each BBOA fraction derived from the absorption in the wavelength range of 300-450 nm.

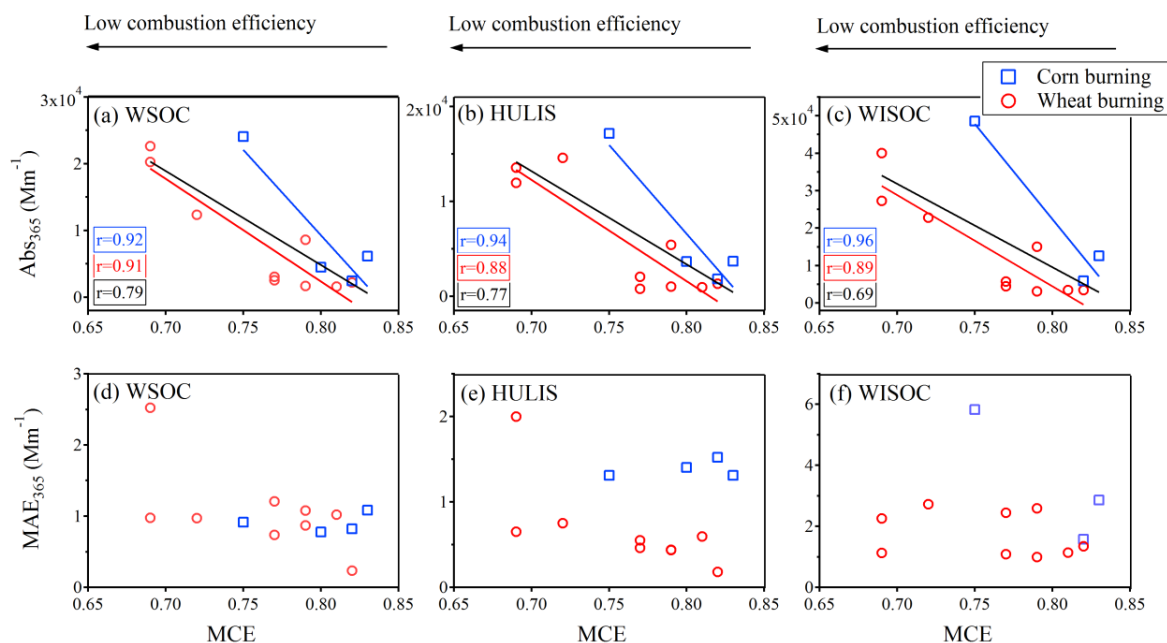


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617 Figure 6 Mass absorption efficiency (MAE) of different organic carbonaceous aerosols, including WSOC, HULIS and  
 618 WISOC emitted from corn and wheat burning.

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622 Figure 7 (a-c) Light absorption coefficients ( $Abs_{365}$ ) and (d-f) mass absorption efficiency ( $MAE_{365}$ ) of WSOC, HULIS<sub>c</sub> and  
 623 WISOC at 365 nm as a function of combustion efficiency. Corn and wheat burning emissions are denoted by red and blue  
 624 colors, respectively. The  $r$  values in panels (a-c) are the correlation coefficients for corn (blue), wheat (red) and overall (black)  
 625 burning experiments.

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# Supplement for

## Chemical composition and light absorption of carbonaceous aerosols emitted from crop residue burning: Influence of combustion efficiency

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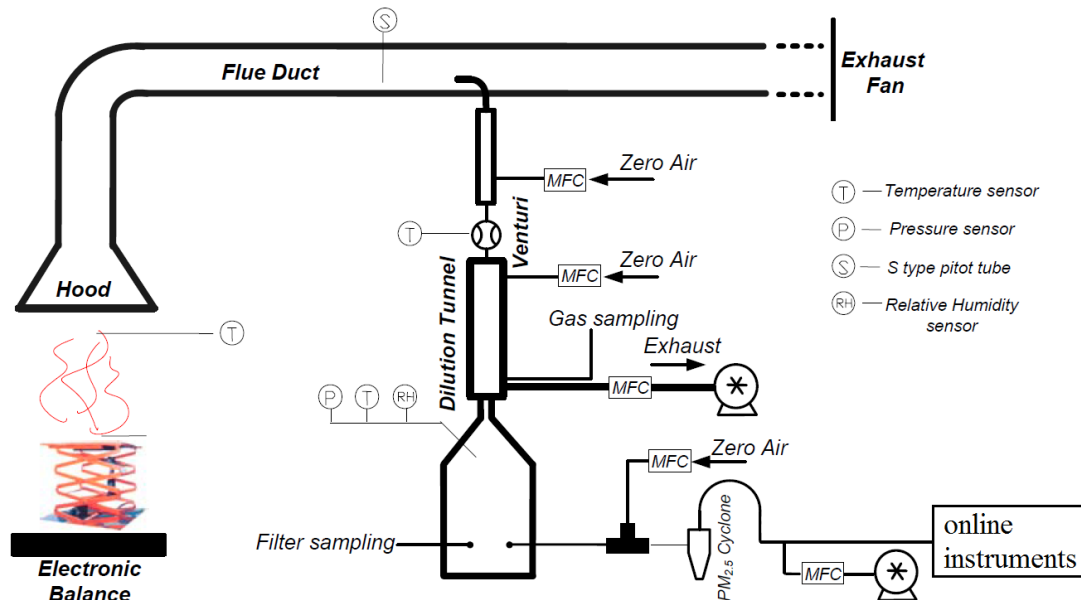


Figure S1 Scheme of the biomass burning simulation system (He et al., 2010).

Table S1 Burning conditions, including biofuel types, moisture contents and modified combustion efficiency (MCE), of simulated biomass burning experiments in this study

Types of biomass fuels	Moisture content		MCE
Corn	low level	13%	0.83
		13%	0.82
		13%	0.82
	high level	18%	0.80
		18%	0.80
		18%	0.75
Wheat	low level	7%	0.77
		7%	0.79
		7%	0.79
		9%	0.83
		9%	0.79
		9%	/
	medium level	18%	0.76
		18%	0.82
		18%	0.82
		22%	0.81
		22%	0.77
		22%	0.76
	high level	27%	0.77
		27%	0.74
		27%	0.69
		33%	0.69
		33%	0.68
		33%	0.72

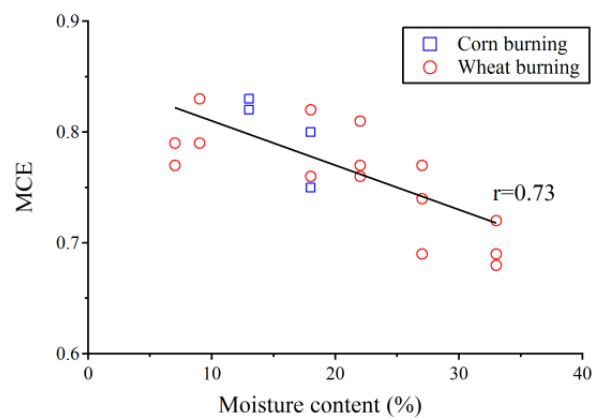


Figure S2 Variations of MCE as a function of moisture contents. The Pearson correlation=0.73 at the 0.01 significance level.

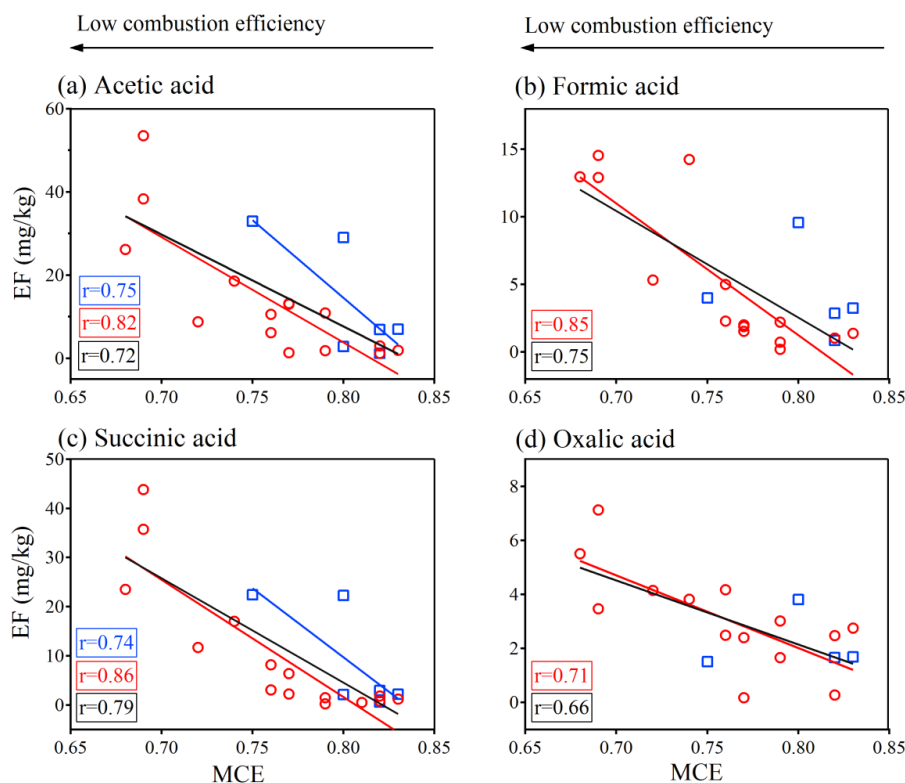


Figure S3 Emission factors of low molecular weight organic acids (acetic acid, formic acid, succinic acid, and oxalic acid) as a function of combustion efficiency. Corn and wheat burning emissions are denoted by red and blue color, respectively. The r values in each panel are the correlation coefficients between emission factors and MCE for corn (blue), wheat (red) and overall (black) burning experiments.

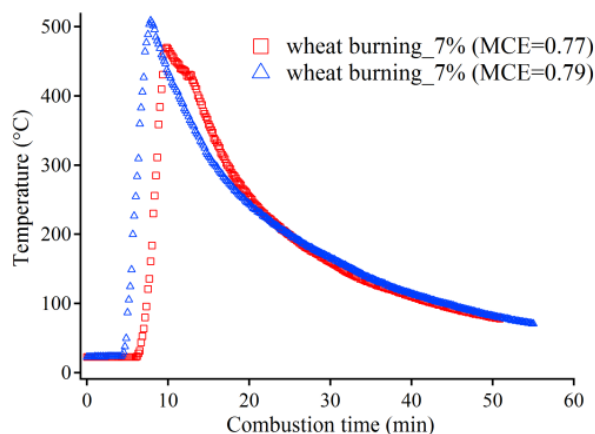


Figure S4 Variations of measured fire temperatures during two wheat straw (moisture content=7%) burning experiments with MCE=0.77 and 0.79.

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