Referee 1:

This study characterizes the properties of long-range transport aerosols observed by analyzing in-situ measurements from the ACE-ENA field campaigns and ECMWFCAMS aerosol reanalysis data. Cloud-resolving WRF simulations are used to assess the possible influence of long-range transport aerosols on marine boundary-layer clouds. Results show that long-range transport biomass burning aerosols from U.S. continent and dust plumes from Sahara are observed during the field campaign. In situ measurements show that long-range transport aerosol layer is some distance away from the cloud top for one case and adjacent to the cloud top for another case. A series of WRF simulations suggest that the aerosol plume cannot affect underlying MBL cloud properties when the center of the plume is over 100 m higher than cloud top.

Noticeable effect of aerosol on cloud properties is found if the aerosol layer is right on top of the stratified MBL cloud deck. The manuscript is well written and the results and conclusions are clearly presented. I think the manuscript is suitable for publication in ACP after minor revision.

We appreciate the reviewer's valuable comments and constructive suggestions. We have carefully revised the manuscript according to these comments. Point-by-point responses are provided below. The reviewer's comments are in black, our responses are in blue, and the quotes from our manuscript are in italics.

1. Line 188: "July 18 and 12 presents the typical high- and low-plume cases. . ." The signal is clear from Figure 3 (in-situ measurements), but not clear in Figure 1. In fact, based on Figure 1 (reanalysis product), I think July 18 is likely to be low-plume case, while July 12 is high-plume case. Please comment on the difference and add some explanations/clarifications in the manuscript.

We have now clarified that sulfate occurrence below 1 km during 18-21 July in the reanalysis is unlikely caused by the long-range transport. The sulfate concentration experienced an increase in the MBL followed by a lag increase in the free troposphere. The elevated sulfate concentration within the boundary layer is due likely to some local sources such as oxidation of marine dimethyl sulfate (DMS) in the CAMS model. Also, the aircraft did not detect such a sulfate enhancement within the boundary layer on 18 July. Therefore, the July 18 is still considered as a high-plume case in this study.

2. Figure 6&7: Results are horizontally average in domain d04 or from one column where ENA site located? I guess it is averaged. Please clearly state it in the text and caption.

In the captions of Fig. 6&7, we have now added that "The model results are averaged over 10×10 grid points centering at the ENA ground site location".

3. Figure 9: Caption is not completed. b), d), f) are case with the aerosol plume removed?

We have completed the caption of Fig. 9 as "*WRF simulated CCN concentration, liquid water content (LWC), and cloud fraction for the low-altitude plume case, with observed aerosol profile (a,c,e) and idealized profile that removed aerosol transport in the free troposphere (b,d,f).*"

Referee 2:

In their manuscript, Wang et al. compare observed vertical profiles of aerosols at the Azores from the July 2017 flight campaign around the ACE-ENA ground site with reanalysis data from CAMS and ERA5 and with new simulations from nested WRF-AAM simulations. The WRF simulations span from "regional model" (20 km) to "cloud resolving" (300 m horizontal) resolution. Observed and reanalysis aerosol distributions match qualitatively. It is shown that variations in both aerosol plume bottom and cloud top height matter for whether and how much aerosol is actually entrained into the marine boundary layer. The authors conclude that MBL aerosol variations influence cloud properties more than free troposphere variations. I agree with this conclusion overall but have some questions about their interpretation of the idealized experiments in Figure 10. That notwithstanding, the manuscript is well-organized, clearly written, and presents interesting new results. I recommend publication following minor revisions.

We appreciate the reviewer's valuable comments and constructive suggestions. We have carefully revised the manuscript according to these comments. Point-by-point responses are provided below. The reviewer's comments are in black, our responses are in blue, and the quotes from our manuscript are in italics.

Major comments:

1. It would be helpful to keep in mind that the observations only show instantaneous contact between aerosol in the free troposphere (from long-range transport) and MBL. Although the MBL concentrations seemingly are assumed to be from local sources, it is also possible that there is a contribution from FT aerosol entrained earlier and transported with the MBL flow. Instantaneous snapshots of FT aerosol-MBL top contact (or lack thereof) cannot capture the effects of previously entrained aerosol.

The reviewer made a good point here. This is also the reason why we did not simply compare N_{CN} below and above cloud layer and take further step to examine their vertical variations (increasing or decreasing with height) above cloud top as well as the variations of cloud top height. As suggested, the uncertainty of aircraft observations has now been discussed on Page 15: "Note that in situ observations only show instantaneous conditions of aerosols in the free troposphere and MBL, and they are subject to the influence from earlier aerosol entrainment or horizontal transports with the MBL flow".

2. Page 14, Lines 378-389: If the CCN perturbation is being averaged between 500 m and 3 km (as stated in the Figure 10 caption), then a lot of the CCN in the elevated plume case is irrelevant to the cloud properties. The lower susceptibility values are those an artifact of averaging in aerosol that isn't doing anything to affect the clouds. This is consistent with the interpretation of different above-cloud and below-cloud susceptibility values in Diamond et al. (2018). I'm not convinced this says anything in particular about aerosol source *once that aerosol is in the MBL*. (E.g., the difference between 500 particles/mg from a local source versus the entrainment of 500 particles/mg in the FT from long-range transport, assuming the same initial MBL background concentration.) The conclusions as written strike me as being overly broad for the evidence presented.

We agree with the reviewer that it is difficult to accurately pinpoint the aerosols involved in aerosolcloud interactions, considering the possible aerosol exchange between MBL and FT. The rationale in our paper to average over multiple levels between 500 and 3000 m is to include all possible relevant aerosols and facilitate a fair comparison of two aerosol scenarios with distinctive profiles, i.e. one mainly in the MBL (0.5-1.5 km) and the other mainly in the FT (1-3 km). Those two scenarios are defined not by where we see the aerosols during the simulations, but by the initial profiles we imposed. For the cases with aerosols originated from MBL, we use three bottom-heavy profiles (well-mixed in MBL and exponentially decreasing in FT) as the initial conditions for CCN. The CCN concentrations in MBL are 10, 100, and 1000 cm⁻³ in three sensitivity runs. We have now clarified that the cloud susceptibility in our analysis is defined as the ratio between logarithmic cloud property changes in the simulations and logarithmic CCN differences in the initial profiles.

We acknowledge that averaging CCN over broad spatial range may introduce uncertainty to the susceptibility quantification. However, the purpose of this analysis is to compare the relative importance of aerosols in different levels, rather than the calculation of absolute values of cloud susceptibility. We have now discussed the caveat of this analysis method on page 15.

Specific comments:

1. Page 2, Line 16: No evidence in the text is provided about the accuracy of the instrumentation, so this descriptor probably doesn't belong in the abstract.

The word "accurate" has been removed.

2. Page 2, Line 26: Why "preferably"? Aerosol near the MBL top is a necessary condition for entrainment and thus influence on indirect effects. Perhaps an argument can be made that absorbing aerosol well-separated from the MBL could have important semi-direct effects, but that's not addressed in the paper.

The word "preferably" has been removed and add "plume" after aerosol. We meant to say aerosol plume should get close to the cloud deck, and the plume here means the majority of aerosols. Some aerosol may settle downward and touch the cloud, but it doesn't necessarily require the majority of the aerosols in that plume behave the same.

3. Page 4, Line 64: There is similar work to Diamond et al. (2018) looking at several aircraft campaigns based out of California (Mardi et al., 2019). This may be worth mentioning as the aerosol concentrations typical of the Azores are likely more similar to the northeast Pacific than to the southeast Atlantic during seasons with extensive biomass burning aerosol plumes.

Mardi, A. H., Dadashazar, H., MacDonald, A. B., Braun, R. A., Crosbie, E., Coggon, M. M., et al. (2019). Effects of Biomass Burning on Stratocumulus Droplet Characteristics, Drizzle Rate, and Composition. Journal of Geophysical Research: Atmospheres, 124.

As suggested, we have now added the discussion as "in the northeast Pacific where aerosol types are similar with the Azores, biomass burning aerosols from the episodic wildfire events are found less efficient in altering cloud microphysics than the non-biomass burning aerosols (Mardi et al., 2019)".

4. Page 4, Lines 69-70: There are two distinct issues that may be getting blurred here: satellites missing thin aerosol layers (what is addressed explicitly) and satellites saturating and underestimating the extent of thick layers (e.g., Rajapakshe et al., 2017).

Rajapakshe, C., Zhang, Z., Yorks, J. E., Yu, H., Tan, Q., Meyer, K., et al. (2017). Seasonally transported aerosol layers over southeast Atlantic are closer to underlying clouds than previously reported. Geophysical Research Letters, 44(11), 5818-5825.

we have now discussed those two issues separately by adding "Also, when plumes are too thick near the aerosol source regions, satellite signals will be saturated and the retrievals may underestimate the extent of thick layers (Rajapakshe et al., 2017)".

5. Page 5, Line 104: Is there any relevant literature you can cite for the accuracy of the measurements during the campaign? They are used as "truth" and not evaluated directly in the present paper.

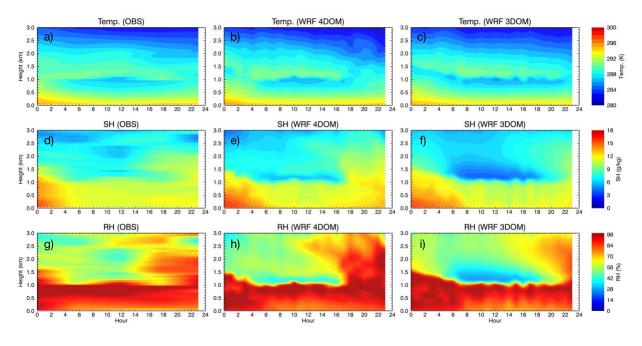
We haven't found any literature on the product validation during the ACE-ENA campaign. The accuracy of each individual instrument can be found in the instrument handbooks available at the ARM website. We have clarified it in the text.

6. Page 6, Line 141: There is no supporting information I could find. Did you mean to reference the map in Figure 5 here?

The typo has been fixed.

7. Page 11, Line 308: You may want to consider adding an in-text or supporting information figure here showcasing the improvement when using the outmost domain. This discussion seems very useful for others interested in performing similar modeling work and could probably be highlighted a bit more.

As suggested, we have now added three new panels in Figure 6 to show the model sensitivity simulation without the outmost domain and to illustrate the importance of the large-scale forcing on the MBL cloud structure.



New Figure 6.

8. Page 12, Lines 331-333: I would urge some caution in the comparison with Painemal et al. (2014), as that paper's results may have been influenced by the low bias in CALIOP-derived plume base altitude discussed earlier (Rajapakshe et al., 2017) and the authors do discuss this issue as well.

The comparison with Painemal et al. (2014) is removed now. Instead, we state "*This finding echoes the importance of accurate detection of plume base altitude using the remote sensing instruments (Rajapakshe et al., 2017)*".

9. Page 13, Line 347: Where, vertically, is the CCN that is being quantified here? I was interpreting this as an MBL average, but it would be helpful to be explicit here.

We have now clarified that the reported CCN changes occur between 500 and 3000 m in altitude.

10. Page 15, Line 415: Isn't it the bottom of the FT plume, not its "center", that should matter for the discussion here? One can easily imagine a very thick plume (like in the southeast Atlantic) that interacts with the cloud top significant but is "centered" at much higher altitude.

It should be the "bottom" instead of "center". Revised.

11. Figure 9: The caption does not seem to describe the entire figure. It should more fully explain the differences between the two columns.

Now we have revised the Fig. 9 caption as "WRF simulated CCN concentration, liquid water content (LWC), and cloud fraction from the low-altitude plume case, with observed aerosol profile (a,c,e) and idealized profile that removes aerosol transport in the free troposphere (b,d,f). The two different vertical profiles are shown in Fig. 5".

Technical comments:

1. Page 5, Line 124: "Were" instead of "are"? I believe you are referring to the previously published results of Wu et al. (2020) to justify the assertion here, but the current phrasing makes it sound like this work is performed in the present paper.

Changed to "were" as suggested.

2. Page 8, Line 206: "However" instead of "either"? I don't understand what the "either" would be referring to.

Changed to "however" as suggested.

1 Impacts of Long-range Transport of Aerosols on Marine Boundary Layer Clouds in

- 2 the Eastern North Atlantic
- Yuan Wang^{1,2,*}, Xiaojian Zheng³, Xiquan Dong³, Baike Xi³, Peng Wu³, Timothy Logan⁴,
 Yuk L. Yung^{1,2}
- ¹Division of Geological and Planetary Sciences, California Institute of Technology, Pasadena,
 CA, USA
- 7 ²Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, USA
- 8 ³Department of Hydrology and Atmospheric Sciences, University of Arizona, Tucson, AZ, USA
- ⁹ ⁴Department of Atmospheric Sciences, Texas A&M University, College Station, TX, USA
- 10
- 11 *Corresponding author: Yuan Wang (<u>yuan.wang@caltech.edu</u>)

12 Abstract

13 Vertical profiles of aerosols are inadequately observed and poorly represented in climate models, 14 contributing to the current large uncertainty associated with aerosol-cloud interactions. The DOE 15 ARM Aerosol and Cloud Experiments in the Eastern North Atlantic (ACE-ENA) aircraft field 16 campaign near the Azores islands provided ample accurate-observations of vertical distributions 17 of aerosol and cloud properties. Here we utilize the in situ aircraft measurements from the ACE-18 ENA and ground-based remote sensing data along with an aerosol-aware Weather Research and 19 Forecast (WRF) model to characterize the aerosols due to long-range transport over a remote 20 region and to assess their possible influence on marine boundary-layer (MBL) clouds. The vertical 21 profiles of aerosol and cloud properties measured via aircraft during the ACE-ENA campaign 22 provide detailed information revealing the physical contact between transported aerosols and MBL 23 clouds. The ECMWF-CAMS aerosol reanalysis data can reproduce the key features of aerosol 24 vertical profiles in the remote region. The cloud-resolving WRF sensitivity experiments with 25 distinctive aerosol profiles suggest that the transported aerosols and MBL cloud interactions (ACI) 26 require not only low-altitude aerosol preferablyplume getting close to the marine boundary layer 27 top, but also large cloud top height variations. Based on those criteria, the observations show the 28 occurrence of ACI involving the transport of aerosol over the Eastern North Atlantic is about 62% 29 in summer. For the case with noticeable long-range transport aerosol effect on MBL cloud, the 30 susceptibilities of droplet effective radius and liquid water content are -0.11 and +0.14, 31 respectively. When varying on the similar magnitude, aerosols originating from the boundary layer 32 exert larger microphysical influence on MBL clouds than those entrained from free troposphere.

33 1. Motivation and Background

34 It has been long hypothesized that increased high concentrations of aerosols serving as 35 cloud condensation nuclei (CCN) can reduce cloud droplet effective radius, enhance cloud albedo, 36 suppress drizzle formation, and change cloud lifetime and fraction, the so-called aerosol indirect 37 effects (AIE) (Twomey, 1977; Seinfeld et al., 2016). However, current radiative forcing stemming 38 from cloud responses to anthropogenic aerosols remains highly uncertain in the climate system, 39 representing the largest challenge in climate predictions (Fan et al., 2016). Note that the current 40 IPCC assessment mainly considers the warm stratus and stratocumulus response to aerosols 41 (Myhre et al., IPCC, 2013), while aerosol induced convective cloud response (Wang et al., 2014) 42 as well as with anthropogenic aerosol effect as ice nuclei (Zhao et al., 2019) have not been fully 43 accounted for yet. Even for warm clouds, the climate significance of whether liquid water content 44 and cloud lifetime are enhanced or reduced by CCN is still widely debated (Malavelle et al., 2017; 45 Toll et al., 2019; Rosenfeld et al., 2019). Due to the nonlinear nature of cloud responses to CCN 46 perturbations, the largest cloud susceptibility and AIE typically occurs for marine boundary layer 47 (MBL) clouds over remote regions (Garrett and Hobbs, 1995; Carslaw et al., 2014; Dong et al., 48 2015). Under the pristine conditions with extremely low background CCN concentration 49 (Kristensen et al., 2016), any aerosol intrusion following long-range transport has great potential 50 to alter the local aerosol/CCN budget (Roberts et al., 2006). Hence, in this study, we aim to 51 characterize long-range transport of aerosols and to assess their impacts on MBL clouds by 52 combining in situ aircraft measurements with cloud-resolving model simulations.

53 For those aerosols resulting from long-range transport, one of the most important aspects 54 pertinent to aerosol-cloud interactions (ACI) is their vertical distribution, or in other words, their 55 position relative to cloud layers. The vertical distribution of aerosols can be affected by a number 56 of complex atmospheric processes, such as emission, transport, deposition, as well as 57 microphysical and chemical processes. Previous studies suggest that aerosols can alter MBL cloud 58 microphysical properties and enhance indirect effects through entrainment into the cloud top when 59 either aerosol particles settle or the cloud deck deepens (Painemal et al., 2014, Lu et al., 2018). In 60 the boundary layer of remote regions like the equatorial Pacific, the majority of CCN were found 61 to be supplied by long-range transport instead of local emission or formation (Clarke et al., 2013). 62 In the northeast Pacific where aerosol types are similar with the Azores, biomass burning aerosols 63 from the episodic wildfire events are found less efficient in altering cloud microphysics than the

64 <u>non-biomass burning aerosols (Mardi et al., 2019).</u> Recent aircraft observations from the NASA's 65 Ob-seRvations of Aerosols above CLouds and their intEractionS (ORACLES) campaign showed 66 distinctive MBL cloud responses to aerosols above and below cloud depending on the history of 67 smoke entrainment (Diamond et al., 2018). Therefore, it is critical to understand aerosol variability 68 as a function of height and its influence on the aerosol indirect forcing assessment over the regions 69 where MBL clouds are abundant.

70 Spaceborne active sensors that possess vertically profiling capability have been widely 71 used to characterize aerosol and cloud spatial variations and to detect the aerosol above clouds 72 (Painemal et al., 2014; Jiang et al., 2018). However, satellites likely miss the thin aerosol layers 73 with relatively low concentration (but still higher than maritime background values), and thus 74 overestimate the distance between the aerosol plume base and the cloud top using the spaceborne 75 observations. Also, when plumes are too thick near the aerosol source regions, satellite signals will 76 be saturated and the retrievals may underestimate the extent of thick layers (Rajapakshe et al., 77 2017). Therefore, aircraft observations with continuous vertical sampling are the most reliable 78 source that can accurately characterize the vertical relationship between aerosol and cloud. The 79 DOE ARM Aerosol and Cloud Experiments in the Eastern North Atlantic (ACE-ENA) aircraft 80 field campaign near the Azores islands provided a unique opportunity to study aerosols from 81 different sources and their impacts on MBL clouds (Wang et al., 2019). The ENA site is located 82 in the remote northeastern Atlantic Ocean where MBL clouds are prevalent throughout the year 83 due to the warm sea surface temperature and prevailing subsidence near the edge of the Hadley 84 cell (Wood et al., 2015, Dong et al. 2014). The site also receives complex air mass dictated by 85 different wind patterns. In addition to the local maritime air, the airflows originating from either 86 the North American or the Saharan region complicate the local aerosol types and sources (Logan 87 et al., 2014). This study leverages the airborne measurements of aerosol vertical profiles for 88 different chemical species to understand aerosols and their influence on MBL cloud microphysical 89 properties over the Azores, with the ultimate goal to provide observational constraints on the global 90 climate model simulations. An aerosol reanalysis product is evaluated in the present study as well.

Even with aircraft measured vertical relationship between aerosol and cloud, it is difficult to estimate whether the aerosol aloft can impact the cloud beneath, as the microphysical processes such as entrainment into cloud top cannot be directly measured. Hence, we employ aerosol-aware cloud-resolving simulations to simulate the MBL cloud development and aerosol transport in the

95 free troposphere and to quantify the AIE. Through the sensitivity experiment by imposing different 96 aerosol vertical profiles, we can disentangle aerosol and other confounding meteorological factors 97 in ACI, which is challenging to do using only short-term observations. Section 2 describes the 98 main observational data and introduces the numerical modeling tools. Section 3 reports the 99 observed aerosols and clouds based on aircraft measurements and reanalysis product. Section 4 100 presents the analyses of cloud-resolving simulations using the WRF model. Section 5 summarizes 101 the key finding in this study and provide additional discussions for the study's caveats and future 102 work.

103 **2. Methodology**

104 2.1 Aircraft Observations and Ancillary Data Descriptions

105 Vertical distributions of aerosols and MBL cloud microphysical properties over the Azores 106 were obtained during ACE-ENA two intensive operational periods (IOPs), i.e. early summer 2017 107 (late June to July) and winter 2018 (January to February). Since the aerosol concentration and 108 variability are much larger in the summertime of Azores, we will mainly focus on the 2017 July 109 in this study. The ARM Aerial Facility (AAF) Gulfstream-159 (G-1) provides accurate 110 measurements of aerosol size distribution, total aerosol number concentration, and chemical 111 constituents below and above cloud layers during the summer IOP. The Condensation Particle 112 Counter (CPC) on board the G1 can detect aerosol particles larger than 10 nm, and it can provide 113 profiles of condensation nuclei number concentration (N_{CN}) when the aircraft ascends or descends. 114 Note that N_{CN} measurements inside cloud can be contaminated and thus have large uncertainty. 115 Cloud condensation nuclei (CCN) number concentration (N_{CCN}) is obtained by the CCN-200 116 particle counter on board the G1 aircraft. The N_{CCN} is measurement under the controlled 117 supersaturation of 0.35% with the humidified particle size range from 0.75 μ m to 10 μ m (Rose et 118 al., 2008). We analyze sulfate and organic carbon (OC) mass concentrations measured by the 119 Aerodyne high-resolution time of flight aerosol mass spectrometer (HR-ToF-AMS) and refractory 120 black carbon (BC) from the Single Particle Soot Photometer (SP2). The accuracy of each 121 individual instrument can be found in the instrument handbooks available at the ARM website. 122 We use cloud and drizzle microphysical property profiles retrieved from a combination of

we use cloud and drizzle microphysical property profiles retrieved from a combination of
 ground-based observations including a Ka-band ARM Zenith Radar, ceilometer, and microwave
 radiometer. Fast Cloud Droplet Probe (Glienke and Mei, 2020) measured cloud droplet properties
 (diameter between 1.5 and 46 μm), and 2-Dimensional Stereo Prob (2DS, Glienke and Mei, 2019)

126 measured drizzle properties (diameter greater than 45 μ m) were used to evaluate the ground-based 127 retrievals. Following Dong et al. (1997) and Frisch et al. (1995, 1998), cloud droplet size 128 distribution was assumed as a lognormal distribution. Differently, drizzle size distribution was 129 assumed as a normalized Gamma distribution, as suggested by O'Connor et al. (2005) and Ulbrich 130 (1983). The retrieved cloud and drizzle properties arewere validated against collocated aircraft in 131 situ measurements during ACE-ENA (Wu et al., 2020). Both the time series and vertical profiles 132 from the retrievals agree well with in situ observations. Treating the aircraft measurements as cloud 133 truth, the median retrieval uncertainties are estimated as $\sim 20\%$ for cloud droplet effective radius, 134 ~30% for cloud droplet number concentration, liquid water content (LWC) and drizzle drop 135 median radius.

136 To characterize long-range aerosol intrusions over the monthly time scale, we employ 137 global aerosol reanalysis data, namely the Copernicus Atmosphere Monitoring Service (CAMS). 138 It provides four-dimensional mass concentrations of aerosols and reactive gases with a horizontal 139 spatial resolution of approximately 80 km and 60 vertical levels. The CAMS reanalysis was 140 constructed by assimilating several satellite products of the atmospheric constituents into a global 141 model and data assimilation system (Flemming et al., 2017). The assimilated satellite datasets 142 include aerosol optical depth (AOD) from MODIS and AATSR, CO from MOPITT, NO2 and O3 143 from OMI, GOMES, etc.

144 **2.2 Model Description**

145 The Weather Research and Forecasting (WRF) model version 3.6 is employed in this study 146 to simulate MBL clouds and their possible interactions with transported aerosols. Four nested 147 domains are setup with horizontal resolutions of 19.2 km, 4.8 km, 1.2 km, and 300 m (SI-Fig. 15). 148 Even for the innermost domain, we try to cover as large area as possible, considering the highly 149 heterogeneous meteorological conditions in the mid-latitudes. The innermost domain is configured 150 in a similar way with large-eddy simulations and it uses the 3-dimensional Smagorinsky first order 151 closure for eddy coefficient computation. Boundary layer parameterization is turned off for this 152 domain. Note that 300-m horizontal resolution does not strictly meet the classic LES requirement, 153 but recent simulations with similar resolutions successfully reproduced the structure and drizzle 154 onset of MBL clouds (Wang and Feingold, 2009) and were used to study boundary layer cloud 155 interactions with aerosols (Lin et al., 2016). The 65 stretched sigma levels are used with a 40 m 156 vertical resolution within MBL. The large-scale forcing is adopted from the ERA5 reanalysis data 157 with 25 km horizontal resolution (Copernicus Climate Change Service, 2017).

158 To accurately depict MBL cloud microphysical processes, a spectral bin microphysical 159 (SBM) scheme is employed which utilizes a pair of 33 bins to represent cloud/rain drops and 160 aerosols separately without prescribed size distributions (Fan et al., 2012; Wang et al., 2013). 161 Aerosol activation is explicitly calculated using the model predicted water vapor supersaturation. 162 The Kölher theory is used to calculate the critical radius. The hygroscopicity of sulfate is assumed 163 for aerosols in each size bin. At each timestep, aerosols with radius greater than the critical radius 164 are removed from the aerosol spectrum and the mass of the activated droplets is added to the cloud 165 spectrum. Aerosol regeneration from complete evaporation of droplets and/or raindrops is also 166 considered in SBM. Since the aerosol size distribution in SBM ranges from a few nanometers to a 167 few microns, the definition of aerosol in the model is closer to the condensation nuclei in the 168 aircraft observation. Hence, observed vertical profiles of N_{CN} from selected cases are used for the 169 initial and lateral boundary conditions of aerosols in the model. The model integrates from 1200 170 UTC on the day before the selected case, and the first 12 hours is considered as spin-up. Shortwave 171 and longwave radiation transfer calculations are accounted for by the Goddard and RRTM 172 schemes, respectively. The radiative effect of aerosols above the cloud decks is not considered in 173 the present model setup. We speculate such an effect is small, because of rather low aerosol optical 174 depth over this remote region, even with the long-range transported aerosols (aside from thick dust 175 plumes from the Saharan Desert).

176 **3. Observational Data Analysis**

177 **3.1** Characterization of aerosol vertical distribution using the CAMS reanalysis

178 Previous study showed that the CAMS aerosol product exhibit good agreement with 179 ground-based observations such as AERONET and unassimilated satellite products such as MISR 180 on the global scale (Christophe et al., 2019). The global spatial correlation of CAMS AOD with 181 AERONET is about 0.83, and the bias in CAMS AOD seasonal variation is between -10% and 182 +20%. Here we utilize this dataset to characterize the aerosol vertical distribution over the 183 northeast Atlantic during the ACE-ENA field campaign. Vertical distributions and their temporal 184 evolutions for five types of aerosols, including sulfate, organic carbon (OC), black carbon (BC), 185 sea salt, and dust, over the whole month of July 2017 are displayed in Fig. 1 based on the CAMS 186 aerosol reanalysis. Sulfate, OC, and BC are the predominant aerosol types possibly possessing an 187 anthropogenic signature. BC and OC can also originate from biomass burning. Those aerosols

188 share a similar spatiotemporal pattern in the free troposphere, indicating that they undergo similar 189 long-range transport before arriving over the Azores island. Marked and persistent low-altitude (1-190 2 km) pollution transport occurred between 1-13 July, as shown in the evolution of vertical profiles 191 of sulfate, OC and, BC (Figs. 1a-1c). High-altitude (3-6 km) pollution transport occurred between 192 6-20 July for those three aerosol types as well. Both modes of pollution transport occurred 50% of 193 the time during July 2017, indicating a high frequency of long-range transport over this area. July 194 18 and 12 presents the typical high- and low- plume cases, respectively, so they will be investigated 195 thoroughly in the later aircraft data analyses and model simulations. The concentrations of OC, 196 BC, and sulfate are generally low in the MBL, so aerosol penetration from the free troposphere 197 into the lower MBL may be not significant during this month. One exception is sulfate during 18-198 21 July. Sulfate concentration experienced an increase in the MBL followed by a lag increase in 199 the free troposphere. Since there is no significant transport signal before and during that time 200 period, the elevated sulfate concentration within the boundary layer is due likely to some local 201 sources such as oxidation of marine dimethyl sulfate (DMS). July 18 and 12 presents the typical 202 high- and low- plume cases, respectively, so they will be investigated thoroughly in the later 203 aircraft data analyses and model simulations.

204 The aerosols of natural sources, namely sea salt and dust, show different vertical 205 distributions (Figs. 1d -1e). Sea salt aerosols mainly reside near the surface and are rarely found 206 above 1000 m. Dust particles are mainly found at high altitudes, typically above 3 km, during 5-207 14 July, indicating their long-range transport. However, the dust spatiotemporal pattern in the free 208 troposphere are quite distinctive from sulfate and smoke, implying the different sources of long-209 range transport. Previous studies suggest the possible dust transport from the Saharan Desert to 210 the northeast Atlantic region (Logan et al., 2014; Weinzierl et al., 2015). To address those issues, 211 back-trajectory analyses were conducted, and the results will be discussed later. During 15-19 July, 212 dust particles are found within the boundary layer and even near the surface following the presence 213 of dust plume in the free troposphere earlier. Such a downward propagation does not occur for 214 anthropogenic aerosols eitherhowever, likely explained by the fact that dust particles are bigger in 215 size with larger settling velocity.

216 **3.2 Identification of source regions using back-trajectory analysis**

The backward ensemble trajectories were computed using the NOAA Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) (Stein et al., 2015) model, based on the 219 large-scale meteorological fields from Global Data Assimilation System (GDAS) with a spatial 220 resolution of 0.5° . We focus on three cases/days to examine the sources of typical high- and low-221 altitude plumes of anthropogenic aerosols and mineral dust. The model uses an end-point height 222 of 1.5, 2.4, and 3 km for three selected cases to represent the air parcels in the anthropogenic low-223 altitude, high-altitude, and dust plumes, respectively. To capture the different lengths of transport 224 procedure, the model was backward integrated for 7 days for the anthropogenic aerosols and 13 225 days for the mineral dust case. 20 ensemble members are employed for each case. They agree with 226 each other better on horizontal trajectory than vertical displacement. Larger differences are found 227 among the ensemble members after three days for anthropogenic aerosols and after two days for 228 dust.

229 The back-trajectory analyses confirm that the source region of sulfate, BC, and OC in the 230 plumes is the North American continent (Fig. 2a,c), consistent with previous analyses of data from 231 the earlier field campaign over the ENA site (Logan et al., 2014). The westerly jet carries the 232 pollutants across the Atlantic Ocean, and it takes three to four days to arrive the Azores. Temporal 233 evolutions of trajectory vertical displacement reveal when aerosols are elevated from the PBL to 234 the free troposphere and such information can be used to pinpoint the aerosol source. Fig. 2b,d 235 suggests that aerosols are mainly from the central US in the high-plume case, and from eastern US 236 in the low-plume case. The curved trajectories in the low-plume case reflect the influence of the 237 Bermuda/Azores High located to the south. The dust transports exhibit a much different pathway. 238 Starting at 3km altitude, the back-trajectory develops westward initially, but sharply turn around 239 and point to the North Africa (Fig. 2e,f). It suggests that Sahara is the most likely source for the 240 dust particles observed over the Azores.

Note that back-trajectory analysis of air mass has its own limitations. For example, shipping emissions over Northern Atlantic Ocean are not considered in the present analysis. Also, the source attribution based on episodic events may be not representative for the climatological mean scenario. Therefore, the source attribution results here need to be further evaluated in future studies which can utilize more sophisticated approach such as source tagging in the GCM nudged by the reanalysis data (Wang et al., 2014).

247 **3.3 Vertical distributions of different aerosols in aircraft observations**

Aircraft observations during the ACE-ENA provide more accurate depictions of aerosol vertical distribution and aerosol layer heights relative to cloud layer heights, with differentiation 250 of aerosols type and hygroscopicity. During the summer IOP, quite diverse aerosol vertical profiles 251 are found. Here we focus on those with noticeable aerosol plumes in the free troposphere. Fig. 3 252 shows two representative vertical distributions of aerosol mass concentrations averaged over the 253 flights on July 18 and 12, corresponding to the high- and low-altitude aerosol plume, respectively. 254 In the high-altitude plume case, BC, OC, and sulfate concentrations all increase with height above 255 clouds, indicating downward propagation of aerosol plumes and possible interaction with MBL 256 clouds. BC and OC concentrations are even higher than that of sulfate in the free troposphere, 257 suggesting the biomass burning signature of the plume on that day. Conversely, within MBL, much 258 higher concentration of sulfate in the MBL than those of BC and OC. This phenomenon is also 259 captured by the CAMS aerosol reanalysis (Fig. 1a), lending support to the fidelity of the reanalysis 260 dataset. For the low altitude plume (Fig. 3b), the vertical gradients of aerosol concentrations are 261 not clear above clouds, but aerosol concentrations within 500 m right above clouds are higher than 262 those near the cloud base (Fig. 3b), corroborating the physical contact between aerosol plumes and 263 MBL clouds. Comparing Fig. 3 and 1, the CAMS reanalysis data generally agree with aircraft 264 observed aerosol profiles on the selected days, but the predicted aerosol mass mixing ratios are an 265 order of magnitude higher in the reanalysis data. Those discrepancies point out that any 266 quantitative usage of aerosol reanalysis product should be cautious.

267 Aerosol and CCN concentration vertical profiles are also available from the aircraft observations. For the high-altitude plume, N_{CN} reaches a peak of ~ 600 cm⁻³ at 2.5 km, and then 268 decreases dramatically downwards to ~180 cm⁻³ near cloud top (~ 1.1 km), which is even lower 269 than N_{CN} values within the boundary layer ranging from 200 to 300 cm⁻³ on that day (Figure 4a). 270 The measured 200-m average of N_{CN} above cloud top is 185 cm⁻³, smaller than that below cloud 271 base 290 cm⁻³ (Table 1). From the surface to the 2.5 km height, the minimum N_{CN} occurs near 272 273 cloud top, reflecting the disconnection between MBL aerosols and those from long-range transport 274 aloft. The characteristics of N_{CCN} profile are similar with those of N_{CN}. In the low-altitude plume, 275 both N_{CN} and N_{CCN} show a slower decline of above the cloud layer (Fig. 4c,d). Also, the right-276 above-cloud-top N_{CN} and N_{CCN} at 1 km are higher than those below the cloud layer, indicating the 277 physical contact of the aerosol plume with the cloud deck.

During the summer IOP, the aircraft was deployed in twenty days to collect data. Among those days, only eight of them have stable MBL clouds during the flight hours, according to the ground-based cloud radar. We summarize the aircraft observed aerosol and cloud vertical distribution characterizations for those eight days/cases in Table 1. Among those eight cases, five days show an increase in above-cloud N_{CN} along with height, and one day shows roughly constant N_{CN} above clouds, all of which indicate the existence of long-range transport of aerosols in the free troposphere and downward propagating influence on the aerosol budget near the cloud top. Moreover, five out of eight cases have above-cloud N_{CN} (within 200 m) significantly larger than below cloud N_{CN} , implying the potential influence of free-troposphere aerosols on MBL clouds from another angle of view.

4. WRF modeling of MBL clouds and their response to transported aerosols

289 In observation of quite diverse aerosol vertical profiles in the real atmosphere, an 290 outstanding science question is under what conditions the long-range transported aerosols can 291 exert significant impacts on the MBL clouds beneath. To answer this question and to quantify the 292 related aerosol indirect effects, cloud-resolving WRF simulations are performed, focusing on the 293 two selected cases with the high- and low-altitude plume on 18 July and 11 July, respectively. In 294 the model control simulations, the aircraft measured aerosol profiles are used to set up initial and 295 lateral boundary conditions of aerosol total number concentration for the two cases (Fig. 5). 296 Sensitivity simulations for clean scenarios are conducted by replacing the observed aerosol 297 concentrations above cloud with an assumed exponential decrease of N_{CN} along with height in the 298 free troposphere instead. Before sensitivity analyses, we want to examine to what extent the cloud-299 resolving simulations can reproduce the local-scale meteorological variations and MBL cloud 300 structure at Azores. Here we use the high-altitude plume case as an example to evaluate the 301 model's fidelity in the northeast Atlantic.

302 The large-scale wind pattern and boundary layer structure from the model control run are 303 compared against the interpolated soundings over the ARM ENA site. Fig. 6 shows that the model 304 exhibits good agreement with the observed air temperature, moisture content, and relative 305 humidity. The model captures the cold/dry air advection at 1 km height in the morning followed 306 by the warm/moist air in the afternoon. The persistent supersaturation between 500 and 1000 m 307 and associated cloud deck are also reproduced in the simulation. We find that the key model 308 configuration to reproduce the main features of meteorological variability is to have appropriate 309 domain nesting and dynamical downscaling. Particularly, the outmost domain with 19.2 km grid 310 spacing is crucial and necessary for this mid-latitude region. The region is featured by frequent 311 mesoscale weather systems, and local wind and moisture fields vary drastically even within a day.

The model setup with only three domains of 4.8 km, 1.2 km, and 300 m horizontal resolution induce large errors in the vertical profiles of moisture and temperature. (Fig. 6c,f,i). A persistent dry bias occurs near the MBL top when the outmost domain with 19.2 km grid spacing is absent. Such meteorological biases further influence cloud simulation and result in discontinuous cloud layer in its temporal evolution. The critical role of the outmost domain reveals the importance of large-scale flows and thermodynamical states in regulating the MBL properties and resultant cloud vertical profiles.

319 MBL cloud properties simulated by WRF are evaluated against the retrievals from a 320 combination of ground-based observations. The simulation captures the cloud top height at 1km 321 and cloud bottom height at 500 m during the day (Fig. 7a,b). Therefore, the cloud physical 322 thickness is comparable between model and observation. LWC is generally smaller in the model 323 than that in the observation. Meanwhile, the simulation captures the larger LWC near the top of 324 the cloud, reflecting the adiabatic growth of cloud droplet starting from the cloud bottom. The 325 temporal evolution of simulated LWCs does not match well with retrievals, partly due to the spatial 326 sampling bias. Cloud droplet effective radius (Re) in the model is calculated as a function of 327 volume-mean droplet radius as well as relative dispersion (a ratio between standard deviation and 328 mean radius in a size distribution) (Liu and Daum, 2002). The model shows the comparable 329 vertical distribution of Re with cloud radar retrievals, e.g. the larger Re near the cloud top, but with 330 larger variability in the size range than observations (Fig. 7c,d).

331 To explore the sensitivity of MBL cloud microphysical properties to the long-range aerosol 332 transport, we contrast the simulations with and without observed long-range aerosol plumes in the 333 free troposphere. For the high-altitude plume (July 18) case, the comparisons of model run with 334 different aerosol vertical profiles show that both LWC and cloud fraction remain largely 335 unchanged, whether the aerosol plume above 1.5 km exists or not. In fact, the cloud top height on 336 that day experienced some temporal variations near the Azores, as it extended to 1.5 km during 337 the night due to strong radiative cooling and reduced to 1 km during the most of daytime. As a 338 result, the distance between the aerosol plume and cloud deck varied from 500 m to less than 100 339 m. Fig. 8a-f show that the long-range transported aerosols have no significant impacts on the MBL 340 cloud properties underneath when the physical distance between aerosol plume and cloud layer is 341 greater than 100 m. This finding does not support choes the previous study based on satellite 342 products arguing that aerosol-cloud interactions are still discernable with aerosol plumes 1 km

343 above importance of accurate detection of plume base altitude using the eloud deck
 344 (Painemal remote sensing instruments (Rajapakshe et al. 2014., 2017).

345 To answer the question at what height aerosol plume starts to influence MBL cloud 346 microphysical properties, we perform an additional simulation by lowering the aerosol plume 347 bottom from 1.5 km to 1.1 km which is considered as the height of MBL and cloud tops during 348 the daytime. In this sensitivity run, the aerosol indirect effect remains largely muted during the 349 daytime. It suggests that when boundary layers and cloud decks are relatively stable, long-range 350 transport aerosols have a low chance of being entrained into the cloud top and being activated to 351 cloud droplets. However, when the cloud deck becomes deeper at night, particularly after 2200 352 UTC when a significant part of the cloud extends into the aerosol layer above 1.1 km, an increase 353 in LWC by up to 0.1 g m⁻³ is observed (Fig. 8g-h).

354 In contrast, the simulated clouds in the low-altitude plume (July 12) case exhibit large 355 variations in the vertical (Fig. 9), and consequently the aerosol plume just above the cloud top 356 imposes significant influence on the MBL cloud micro- and macro-physical properties. The mean 357 LWC is increased by 5.7%, and cloud fraction is increased by 5.4%, due to a 48.0% increase in 358 CCN between 500 and 3000 m in altitude under the influence of the long-range aerosol transport. 359 The distinctive responses of MBL clouds to aerosol plumes at different heights reinforce the notion 360 that the vertical overlap between aerosol and cloud layers is crucial for ACI pertinent to the long-361 range aerosol transport. Moreover, the extent of overlap is jointly controlled by aerosol plume 362 height and cloud top variation. The latter is particularly important, when the boundary layer is 363 relatively stable, and the aerosol vertical mixing is rather weak for most marine stratus.

364 It is a nontrivial task to identify the physical contact between an aerosol plume and a cloud 365 deck based on the aircraft measurements. Especially when the center of an aerosol plume is 366 hundreds of meters above cloud top and aerosol concentration right above the cloud is lower than 367 that within PBL, it is difficult to estimate whether aerosols can be entrained into the cloud layer. 368 As the above model results suggested, ACI requires critical mass of aerosols immersed into the 369 cloud layers. Here we define a "critical altitude" at which above-cloud N_{CN} is equal to the below-370 cloud N_{CN}. With such a concept, we can compare this altitude to the cloud top variation during a 371 period of interest. Take the July 18 case for example, according to the airborne measurements, the 372 critical altitude is 1674 m, well beyond the range of cloud top variation (880 - 1300 m) on that 373 day (Table 1). Thus, we can reach a conclusion that, even though long transport of aerosols was

found in the free troposphere on that day, they were unlikely to interfere with MBL clouds below. Here we take all the airborne measured vertical information into account, including aerosol changes above clouds, comparison of above- and below-cloud N_{CN} , as well as cloud top height variations, and We revisit the eight observed cases in Table 1. We find that five days (0628, 0630, 0706, 0712, and 0715) out of eight during the summer phase of the ACE-ENA field campaign clearly show the interactions between aerosols from long-range transport and local MBL clouds, corresponding to a 62.5% occurrence frequency.

381 The previous cloud-resolving modeling studies of aerosol effects on MBL cloud properties 382 either used a constant CCN concentration throughout the whole domain (Yamaguchi et al., 2019) 383 or the CCN profiles in MBL were prescribed with an exponential decrease in the free troposphere 384 (Wang et al., 2013, 2018; Lin et al., 2016). The consequent sensitivity experiments were conducted 385 by perturbing CCN at different heights with the same scaling factor, without differentiating the 386 aerosols from different sources. Therefore, those studies share a common assumption that the 387 CCNs are solely from a local source impacted by local boundary layer processes. Here we repeat 388 this type of CCN perturbation experiment and compare the resultant aerosol effects with our 389 current assessment for the effects of long-rang transported aerosols only. Three idealizedbottom-390 heavy CCN profiles (well-mixed in MBL and exponentially decreasing in FT) are used forto 391 initialize the July 18 cases.case. The CCN concentrations in MBL are 10, 100, and 1000 cm⁻³ in 392 three sensitivity runs. The cloud susceptibility (here is defined as the ratio between logarithmic 393 cloud property changechanges in the simulations and logarithmic CCN change)differences in the 394 initial profiles between 0.5 and 3 km. The cloud susceptibility derived from the comparison of 395 those three idealized runs are found to range from -0.22 to -0.25 for R_e and from +0.18 to +0.30396 for LWC (Fig. 10a-b). Both Re and LWC susceptibility values are close to the high ends of the 397 most of current AIE assessments (Sato and Suzuki, 2018; Zheng et al., 2020). For the noticeable 398 long-range transport effect in the July 12 case, the Re and LWC susceptibilities are -0.11 and 399 +0.14, respectively. They are smaller than those from the idealized MBL aerosol perturbation 400 experiments. Hence, this suggests that the aerosols of long-range transport are less efficient in 401 altering MBL cloud properties than those originating from local sources. It can be attributed to the 402 fact that dry air likely enters cloud layer along with CCN, resulting in less supersaturation and 403 reduced activation rate. One caveat for our susceptibility calculation is that averaging CCN over 404 broad spatial range (0.5-3 km) may introduce uncertainty to the absolute values of susceptibility

405 <u>by involving aerosols not involved in the aerosol-cloud interactions.</u>

406 **5. Conclusion and Discussion**

407 Located in the remote eastern North Atlantic, the Azores islands experience frequent long-408 range transport of smoke and anthropogenic aerosols from continental U.S. A recent DOE ARM 409 ACE-ENA aircraft field campaign near the Azores in the summer of 2017 provides ample 410 observations of aerosols and clouds with detailed vertical information. In this study, we combine 411 the aircraft measurements, CAMS aerosol reanalysis, and an aerosol-aware and cloud-resolving 412 WRF model to characterize spatial variations of aerosols from long-range transport over the 413 Azores islands and assess their possible influence on the marine boundary layer clouds. The 414 reanalysis data show high frequency of occurrence of long-range transport over this area. 415 Evaluated by airborne aerosol measurement, the CAMS reanalysis data generally reproduce 416 observed aerosol profiles over this remote region, but the predicted aerosol mass mixing ratios are 417 still significantly biased. Our back-trajectory analyses confirm that anthropogenic and/or biomass 418 burning aerosols were mainly from the U.S. continent during the summer phase of ACE-ENA, 419 while the dust plumes are mainly originated from Sahara.

420 Aircraft observations show distinctive aerosol vertical distribution scenarios when long-421 range transport of aerosols is noticeable. In some cases, there is a sharp decrease in aerosol 422 concentration in the free troposphere downwards the cloud top, with a minimal value right above 423 the cloud top, while inindicating possible disconnect between aerosol in the clouds and in the 424 plume high above. In some other cases, a moderate decrease with a higher above cloud occurs, and 425 the aerosol concentration near the cloud top is higher than that below the cloud bottom-, implying 426 the possible downward propagating influence on the aerosol budget near the cloud top. During the 427 summer IOP, about 62.5% cases share such a feature of the influence of long-range transport, when 428 shallow clouds co-exist. Note that in situ observations only show instantaneous conditions of 429 aerosols in the free troposphere and MBL, and they are subject to the influence from earlier aerosol 430 entrainment or horizontal transports with the MBL flow. This is intrinsic uncertainty associated 431 with aircraft observations.

To identify the requirement for the long-range transported aerosols to exert significant impacts on the MBL clouds beneath, a series of cloud resolving WRF simulations are conducted for the selected cases. The model with dynamical downscaling from 19 km horizontal resolution down to 300 m grid spacing is found reliable in simulating the vertical variability of temperature 436 and humidity fields over the Azores island, as well as in capturing the basic cloud structure. By 437 imposing aerosol plumes at the observed heights and varying them in the sensitivity runs, the 438 simulation results suggest the aerosol plume cannot affect underlying MBL cloud properties when 439 the <u>centerbottom</u> of the plume is over 100 m higher than cloud top. Even when the aerosols are 440 right on top of the stratified MBL cloud deck, the deepening of cloud and destabilization of 441 boundary layer are required to have significant aerosol-cloud interactions. We find more marine 442 cloud fractions with larger water content by the aerosols from long-range transport when the 443 aerosol layer is emerged into the cloud deck. For the case with noticeable long-range transport 444 aerosol effect on MBL cloud, the susceptibilities of droplet effective radius and liquid water 445 content are -0.11 and +0.14, respectively. Additional model sensitivity experiments are conducted, 446 which perturbscale the whole-column aerosol concentration without changing but keep the same 447 bottom-heavy profile shape of their vertical profiles by assuming aerosols originate from MBL. The results show much larger susceptibility of cloud effective radius and liquid water path to the 448 449 similar magnitude of aerosol perturbation in PBL, indicating that the long-range transported 450 aerosols are less efficient in altering MBL cloud properties than those originated originating from 451 local sources.

452 Through the comparisons of above- and below-cloud aerosol concentrations and the 453 examination of aerosol plume and cloud top height variations, we find about 63% occurrence 454 frequency of the interaction between remote aerosol and local MBL cloud based on the eight flights 455 during the summer phase of the ACE-ENA field campaign. Such a high frequency indicates the 456 importance of long-range transport aerosols on MBL clouds. Note that, due to the limited sample 457 size, the frequency may not be accurate to represent the true value on the daily basis. To our 458 knowledge, our study represents the first effort to utilize the ACE-ENA aircraft campaign data to 459 study the impacts of long-range transported aerosols on MBL clouds. Future study will focus on 460 the comparison of AIE involving long-range transport aerosols between different ARM sites and 461 field campaigns.

- 462
- 463

464 **Code availability**

465 The code of WRF model used in this study is available at 466 <u>https://www2.mmm.ucar.edu/wrf/users/downloads.html</u>. 467

468 **Data availability**

469 All the WRF model simulation output used for this research can be downloaded from the website 470 at http://web.gps.caltech.edu/~yzw/share/Wang-2020-ACP-Azores. The aircraft and ground-471 based measurements used in this study were obtained from the Atmospheric Radiation 472 Measurement (ARM) Program sponsored by the U.S. Department of Energy (DOE) Office of 473 Energy Research, Office of Health and Environmental Research, and Environmental Sciences 474 Division. The data can be downloaded from http://www.archive.arm.gov/. CAMS global aerosol 475 reanalysis product at pressure level used in this study can be downloaded at 476 https://apps.ecmwf.int/datasets/data/cams-nrealtime/levtype=pl/. ERA5 data is available for 477 download via the Copernicus Climate Data Store website (https://cds.climate.copernicus.eu). 478

479 Acknowledgement

This study was primarily supported by the collaborative NSF grant (Award No. AGS-1700727, 1700728). We acknowledge helpful discussions on the model setup with Dr. Zheng Lu at Texas A&M University. We thank the instrument mentors of the AMS, SP2, and CPC instruments and the individuals collecting measurements during the ACE-ENA field campaign. We also acknowledge high-performance computing support from Pleiades provided at NASA Ames. All requests for materials in this paper should be addressed to Yuan Wang (<u>yuan.wang@caltech.edu</u>).

- 486 **References**
- 487

488 Christophe, Y., Schulz, M., Bennouna, Y., Eskes, H.J., Basart, S., Benedictow, A., Blechschmidt,

- 489 A.-M., Chabrillat, S., Clark, H., Cuevas, E., Flentje, H., Hansen, K.M., Im, U., Kapsomenakis,
- 490 J., Langerock, B., Petersen, K., Richter, A., Sudarchikova, N., Thouret, V., Wagner, A., Wang,
- 491 Y., Warneke, T. and Zerefos, C.: Validation report of the CAMS global Reanalysis of aerosols
- 492 and reactive gases, years 2003-2018, Copernicus Atmosphere Monitoring Service (CAMS)
- 493 report, CAMS84_2018SC1_D5.1.1-2018_v1.pdf, doi:10.24380/dqws-kg08, 2019
- 494 Clarke, A. D., Freitag, S., Simpson, R. M. C., Hudson, J. G., Howell, S. G., Brekhovskikh, V. L.,
- 495 Campos, T., Kapustin, V. N. and Zhou, J.: Free troposphere as a major source of CCN for the
- 496 equatorial pacific boundary layer: Long-range transport and teleconnections, Atmos. Chem.
 497 Phys., doi:10.5194/acp-13-7511-2013, 2013.
- 498 Copernicus Climate Change Service (C3S): ERA5: Fifth generation of ECMWF atmospheric
 499 reanalyses of the global climate. Copernicus Climate Change Service Climate Data Store
 500 (CDS), available at https://cds.climate.copernicus.eu/cdsapp (last access:), 2017.
- Diamond, M. S., Dobracki, A., Freitag, S., Griswold, J. D. S., Heikkila, A., Howell, S. G., Kacarab,
 M. E., Podolske, J. R., Saide, P. E. and Wood, R.: Time-dependent entrainment of smoke
 presents an observational challenge for assessing aerosol-cloud interactions over the
 southeast Atlantic Ocean, Atmos. Chem. Phys., doi:10.5194/acp-18-14623-2018, 2018.
- 505 Dong, X., Ackerman, T. P., Clothiaux, E. E., Pilewskie, P. and Han, Y.: Microphysical and 506 radiative properties of boundary layer stratiform clouds deduced from ground-based 507 measurements, J. Geophys. Res. Atmos., doi:10.1029/97jd02119, 1997.
- Dong, X., Ackerman, T. P. and Clothiaux, E. E.: Parameterizations of the microphysical and
 shortwave radiative properties of boundary layer stratus from ground-based measurements, J.
 Geophys. Res. Atmos., doi:10.1029/1998JD200047, 1998.
- 511 Dong, X., Schwantes, A. C., Xi, B. and Wu, P.: Investigation of the marine boundary layer cloud
 512 and CCN properties under coupled and decoupled conditions over the azores, J. Geophys.
 513 Res., doi:10.1002/2014JD022939, 2015.
- Fan, J., Leung, L. R., Li, Z., Morrison, H., Chen, H., Zhou, Y., Qian, Y. and Wang, Y.: Aerosol
 impacts on clouds and precipitation in eastern China: Results from bin and bulk microphysics,
 J. Geophys. Res. Atmos., doi:10.1029/2011JD016537, 2012.
 - 18

- Fan, J., Wang, Y., Rosenfeld, D. and Liu, X.: Review of aerosol-cloud interactions: Mechanisms,
 significance, and challenges, J. Atmos. Sci., doi:10.1175/JAS-D-16-0037.1, 2016.
- 519 Flemming, J., Benedetti, A., Inness, A., Engelen J, R., Jones, L., Huijnen, V., Remy, S., Parrington,
- M., Suttie, M., Bozzo, A., Peuch, V. H., Akritidis, D. and Katragkou, E.: The CAMS interim
 Reanalysis of Carbon Monoxide, Ozone and Aerosol for 2003-2015, Atmos. Chem. Phys.,
 doi:10.5194/acp-17-1945-2017, 2017.
- Frisch, A. S., Uttal, T., Fairall, C. W. and Snider, J. B.: On the measurement of stratus cloud
 properties with a cloud radar and microwave radiometer, in International Geoscience and
 Remote Sensing Symposium (IGARSS)., 1997.
- Frisch, A. S., Feingold, G., Fairall, C. W., Uttal, T., and Snider, J. B.: On cloud radar and
 microwave radiometer measurements of stratus cloud liquid water profiles, J. Geophys. Res.
 Atmos., doi:10.1029/98JD01827, 1998.
- Garrett, T. J. and Hobbs, P. V.: Long-range transport of continental aerosols over the Atlantic
 Ocean and their effects on cloud structures, J. Atmos. Sci., doi:10.1175/15200469(1995)052<2977:LRTOCA>2.0.CO;2, 1995.
- 532 Glienke, S. and Mei, F.: Two-Dimensional Stereo (2D-S) Probe Instrument Handbook, DOE ARM
- 533ClimateResearchFacility,DOE/SC-ARM-TR-233,availableat534https://www.arm.gov/publications/tech_reports/handbooks/doe-sc-arm-tr-233.pdf, 2019.
- Glienke, S., & Mei, F. (2020). Fast Cloud Droplet Probe (FCDP) Instrument Handbook, DOE
 ARM Climate Research Facility, DOE/SC-ARM-TR-238, available at
 https://www.arm.gov/publications/tech reports/handbooks/doe-sc-arm-tr-238.pdf, 2020.
- 538 Jiang, J. H., Su, H., Huang, L., Wang, Y., Massie, S., Zhao, B., Omar, A. and Wang, Z.:
- Contrasting effects on deep convective clouds by different types of aerosols, Nat. Commun.,
 doi:10.1038/s41467-018-06280-4, 2018.
- Kristensen, T. B., Müller, T., Kandler, K., Benker, N., Hartmann, M., Prospero, J. M.,
 Wiedensohler, A. and Stratmann, F.: Properties of cloud condensation nuclei (CCN) in the
 trade wind marine boundary layer of the western North Atlantic, Atmos. Chem. Phys.,
 doi:10.5194/acp-16-2675-2016, 2016.
- Lin, Y., Wang, Y., Pan, B., Hu, J., Liu, Y. and Zhang, R.: Distinct impacts of aerosols on an
 evolving continental cloud complex during the RACORO field campaign, J. Atmos. Sci.,
 doi:10.1175/JAS-D-15-0361.1, 2016.

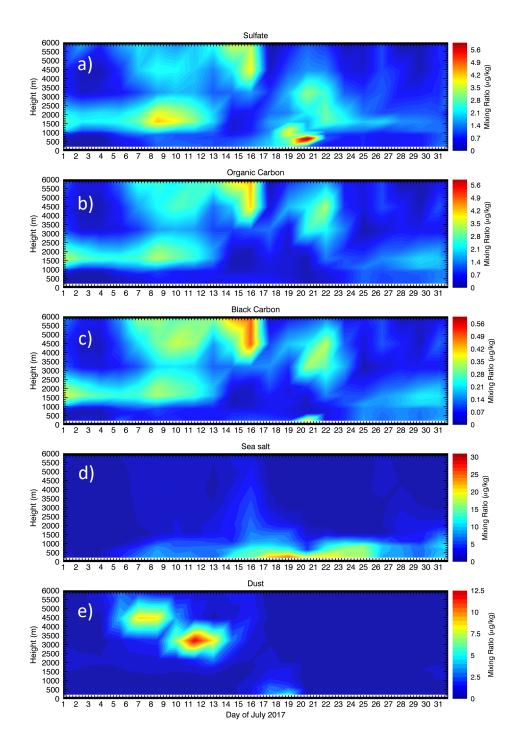
- Liu, Y. G., and Daum, P.H.: Anthropogenica erosols Indirect warming effect from dispersion
 forcing. Nature, 419, 580-581, 10.1038/419580a, 2002.
- Logan, T., Xi, B. and Dong, X.: Aerosol properties and their influences on marine boundary layer
 cloud condensation nuclei at the ARM mobile facility over the Azores, J. Geophys. Res.,
 doi:10.1002/2013JD021288, 2014.
- Lu, Z., Liu, X., Zhang, Z., Zhao, C., Meyer, K., Rajapakshe, C., Wu, C., Yang, Z. and Penner, J.
 E.: Biomass smoke from southern Africa can significantly enhance the brightness of stratocumulus over the southeastern Atlantic Ocean, Proc. Natl. Acad. Sci. U. S. A., doi:10.1073/pnas.1713703115, 2018.
- Malavelle, F. F., Haywood, J. M., Jones, A., Gettelman, A., Clarisse, L., Bauduin, S., Allan, R. P.,
 Karset, I. H. H., Kristjánsson, J. E., Oreopoulos, L., Cho, N., Lee, D., Bellouin, N., Boucher,
- 559 O., Grosvenor, D. P., Carslaw, K. S., Dhomse, S., Mann, G. W., Schmidt, A., Coe, H., Hartley,
- 560 M. E., Dalvi, M., Hill, A. A., Johnson, B. T., Johnson, C. E., Knight, J. R., O'Connor, F. M.,
- Stier, P., Myhre, G., Platnick, S., Stephens, G. L., Takahashi, H. and Thordarson, T.: Strong
 constraints on aerosol-cloud interactions from volcanic eruptions, Nature,
 doi:10.1038/nature22974, 2017.
- Mardi, A. H., Dadashazar, H., MacDonald, A. B., Braun, R. A., Crosbie, E., Coggon, M. M., et al.
 Effects of Biomass Burning on Stratocumulus Droplet Characteristics, Drizzle Rate, and
 Composition. J. Geophys. Res., 124, 2019.
- 567 O'Connor, E. J., Hogan, R. J. and Illingworth, A. J.: Retrieving stratocumulus drizzle parameters
 568 using doppler radar and lidar, J. Appl. Meteorol., doi:10.1175/JAM-2181.1, 2005.
- Painemal, D., Kato, S. and Minnis, P.: Boundary layer regulation in the southeast Atlantic cloud
 microphysics during the biomass burning season as seen by the A-train satellite constellation,
- 571 J. Geophys. Res., doi:10.1002/2014JD022182, 2014.
- 572 <u>Rajapakshe, C., Zhang, Z., Yorks, J. E., Yu, H., Tan, Q., Meyer, K., et al. Seasonally transported</u>
 573 <u>aerosol layers over southeast Atlantic are closer to underlying clouds than previously reported.</u>
 574 <u>Geophy. Res. Lett.</u>, 44(11), 5818-5825, 2017.
- 575 Rémillard, J., Kollias, P. and Szyrmer, W.: Radar-radiometer retrievals of cloud number
 576 concentration and dispersion parameter in nondrizzling marine stratocumulus, Atmos. Meas.
 577 Tech., doi:10.5194/amt-6-1817-2013, 2013.

- Roberts, G., Mauger, G., Hadley, O. and Ramanathan, V.: North American and Asian aerosols
 over the eastern Pacific Ocean and their role in regulating cloud condensation nuclei, J.
 Geophys. Res. Atmos., doi:10.1029/2005JD006661, 2006.
- Rosenfeld, D., Zhu, Y., Wang, M., Zheng, Y., Goren, T. and Yu, S.: Aerosol-driven droplet
 concentrations dominate coverage and water of oceanic low-level clouds, Science,
 doi:10.1126/science.aav0566, 2019.
- Rosenfeld, D., Wang, H., and Rasch, P. J.: The roles of cloud drop effective radius and LWP in
 determining rain properties in marine stratocumulus, Geophys. Res. Lett., 39, L13801,
 doi:10.1029/2012GL052028, 2012.
- Seinfeld, J. H., Bretherton, C., Carslaw, K. S., Coe, H., DeMott, P. J., Dunlea, E. J., Feingold, G.,
 Ghan, S., Guenther, A. B., Kahn, R., Kraucunas, I., Kreidenweis, S. M., Molina, M. J., Nenes,
- 589 A., Penner, J. E., Prather, K. A., Ramanathan, V., Ramaswamy, V., Rasch, P. J., Ravishankara,
- 590 A. R., Rosenfeld, D., Stephens, G. and Wood, R.: Improving our fundamental understanding
- of the role of aerosol-cloud interactions in the climate system, Proc. Natl. Acad. Sci. U. S. A.,
 doi:10.1073/pnas.1514043113, 2016.
- Stein, A. F., Draxler, R. R., Rolph, G. D., Stunder, B. J. B., Cohen, M. D. and Ngan, F.: Noaa's
 hysplit atmospheric transport and dispersion modeling system, Bull. Am. Meteorol. Soc.,
 doi:10.1175/BAMS-D-14-00110.1, 2015.
- Toll, V., Christensen, M., Quaas, J. and Bellouin, N.: Weak average liquid-cloud-water response
 to anthropogenic aerosols, Nature, doi:10.1038/s41586-019-1423-9, 2019.
- Twomey, S. and Twomey, S.: The Influence of Pollution on the Shortwave Albedo of Clouds, J.
 Atmos. Sci., doi:10.1175/1520-0469(1977)034<1149:TIOPOT>2.0.CO;2, 1977.
- Ulbrich, C. W.: Natural variations in the analytical form of the raindrop size distribution., J. Clim.
 Appl. Meteorol., doi:10.1175/1520-0450(1983)022<1764:NVITAF>2.0.CO;2, 1983.
- Wang, H. and Feingold, G.: Modeling mesoscale cellular structures and drizzle in marine
- stratocumulus. Part I: Impact of drizzle on the formation and evolution of open cells, J. Atmos.
 Sci., doi:10.1175/2009JAS3022.1, 2009.
- Wang, H., Rasch, P. J., Easter, R. C., Singh, B., Zhang, R., Ma, P.-L., Qian, Y., Ghan, S. J., and
 Beagley, N. Using an explicit emission tagging method in global modeling of source-receptor
 relationships for black carbon in the Arctic: Variations, sources, and transport pathways. J.
 Geophys. Res., 119, 12888–12909, 2014.

- 609 Wang, J., Wood, R., Jensen, M., Azevedo, E., Bretherton, C., Chand, D., Chiu, C., Dong, X., Fast,
- 610 J., Gettelman, A., Ghan, S., Giangrande, S., Gilles, M., Jefferson, A., Kollias, P., Kuang, C.,
- 611 Laskin, A., Lewis, E., Liu, X., Liu, Y., Luke, E., McComiskey, A., Mei, F., Miller, M.,
- 612 Sedlacek, A., Shaw, R.: Aerosol and Cloud Experiments in Eastern North Atlantic (ACE-
- 613 ENA) Field Campaign Report, DOE ARM Climate Research Facility, DOE/SC-ARM-19-
- 614 012, available at https://www.arm.gov/publications/programdocs/doe-sc-arm-19-012.pdf,
- 615 2019.
- Wang, Y., Fan, J., Zhang, R., Leung, L. R. and Franklin, C.: Improving bulk microphysics
 parameterizations in simulations of aerosol effects, J. Geophys. Res. Atmos.,
 doi:10.1002/jgrd.50432, 2013.
- 619 Wang, Y., Wang, M., Zhang, R., Ghan, S. J., Lin, Y., Hu, J., Pan, B., Levy, M., Jiang, J. H. and 620 Molina, M. J.: Assessing the effects of anthropogenic aerosols on Pacific storm track using a 621 multiscale global climate model. Proc. Natl. Acad. Sci. U. S. A., 622 doi:10.1073/pnas.1403364111, 2014.
- Wang, Y., Vogel, J. M., Lin, Y., Pan, B., Hu, J., Liu, Y., Dong, X., Jiang, J. H., Yung, Y. L. and
 Zhang, R.: Aerosol microphysical and radiative effects on continental cloud ensembles, Adv.
 Atmos. Sci., doi:10.1007/s00376-017-7091-5, 2018.
- Weinzierl, B., Ansmann, A., Prospero, J. M., Althausen, D., Benker, N., Chouza, F., Dollner, M.,
 Farrell, D., Fomba, W. K., Freudenthaler, V., Gasteiger, J., Groß, S., Haarig, M., Heinold, B.,
 Kandler, K., Kristensen, T. B., Mayol-Bracero, O. L., Müller, T., Reitebuch, O., Sauer, D.,
 Schäfler, A., Schepanski, K., Spanu, A., Tegen, I., Toledano, C. and Walser, A.: The Saharan
- aerosol long-range transport and aerosol-cloud-interaction experiment: Overview and
 selected highlights, Bull. Am. Meteorol. Soc., doi:10.1175/BAMS-D-15-00142.1, 2017.
- Wood, R., Wyant, M., Bretherton, C. S., Rémillard, J., Kollias, P., Fletcher, J., Stemmler, J., De
 Szoeke, S., Yuter, S., Miller, M., Mechem, D., Tselioudis, G., Chiu, J. C., Mann, J. A. L.,
- 634 O'Connor, E. J., Hogan, R. J., Dong, X., Miller, M., Ghate, V., Jefferson, A., Min, Q., Minnis,
- 635 P., Palikonda, R., Albrecht, B., Luke, E., Hannay, C. and Lin, Y.: Clouds, aerosols, and
- 636 precipitation in the marine boundary layer: An arm mobile facility deployment, Bull. Am.
- 637 Meteorol. Soc., doi:10.1175/BAMS-D-13-00180.1, 2015.
- Wu, P., Dong, X., Xi, B., Tian, J. and Ward, D. M.: Profiles of MBL cloud and drizzle
 microphysical properties retrieved from ground-based observations and validated by aircraft

- in-situ measurements over the Azores-, J. Geophys. Res. Atmos., doi:10.1029/2019jd032205,
 2020.
- Yamaguchi, T., Feingold, G. and Kazil, J.: Aerosol-Cloud Interactions in Trade Wind Cumulus
 Clouds and the Role of Vertical Wind Shear, J. Geophys. Res. Atmos.,
 doi:10.1029/2019JD031073, 2019.
- Zhao, B., Wang, Y., Gu, Y., Liou, K. N., Jiang, J. H., Fan, J., Liu, X., Huang, L. and Yung, Y. L.:
 Ice nucleation by aerosols from anthropogenic pollution, Nat. Geosci., doi:10.1038/s41561019-0389-4, 2019.
- 648 Zheng, X., Xi, B., Dong, X., Logan, T., Wang, Y. and Wu, P.: Investigation of aerosol-cloud
 649 interactions under different absorptive aerosol regimes using Atmospheric Radiation
- 650 Measurement (ARM) southern Great Plains (SGP) ground-based measurements, Atmos.
- 651 Chem. Phys., doi:10.5194/acp-20-3483-2020, 2020.

655 Figures



657 Figure 1. Temporal evolutions of vertical distributions for five types of aerosols as shown in a)

658 sulfate, b) organic carbon, c) black carbon, d) sea salt, and e) dust during July 2017 over the Azores

659 based on the ECMWF-CAMS aerosol reanalysis product.

660

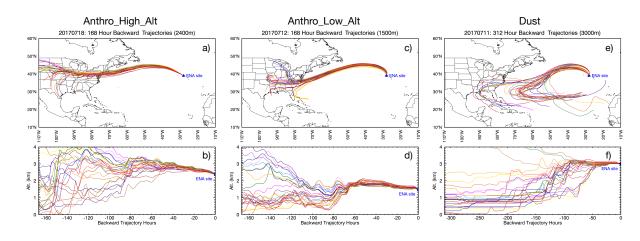




Figure 2. Back-trajectory analyses of airmass history starting from the ENA site for the three
selected cases using the NOAA HYSPLIT Trajectory Model. Anthropogenic aerosols dominated
plume with high altitude (Anthro_High_Alt) and low altitude (Anthro_High_Alt), dust plume
(Dust).

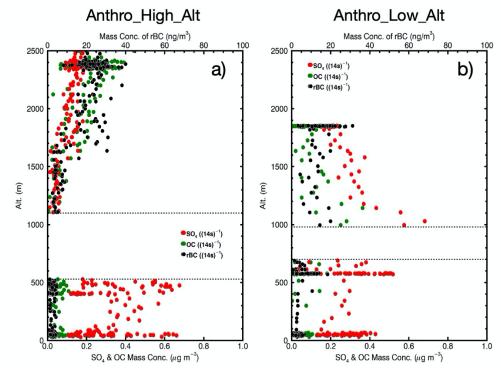




Figure 3. Airborne measured vertical profiles of sulfate (SO₄, red dots), organic carbon (OC, green dots), and refractory BC (rBC, black dots) mass mixing ratios averaged over multiple flights in two characteristic cases: (a) high-altitude aerosol plume on 18 July and (b) low-altitude aerosol plume on 12 July, 2017. The highly uncertain and noisy aerosol observations due to cloud contamination are not shown (between two dash lines), so the blank regions approximately denote cloud layer.

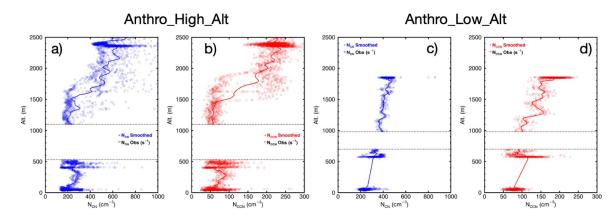


Figure 4. Airborne measured profiles of condensation nuclei (N_{CN} , <u>blue</u>) and cloud condensation nuclei (N_{CCN} , <u>red</u>) averaged over multiple flights in two cases with high- and low-altitude aerosol plumes. The highly uncertain and noisy aerosol observations due to cloud contamination are not shown (between two dash lines), so the blank regions approximately denote cloud layer.

680

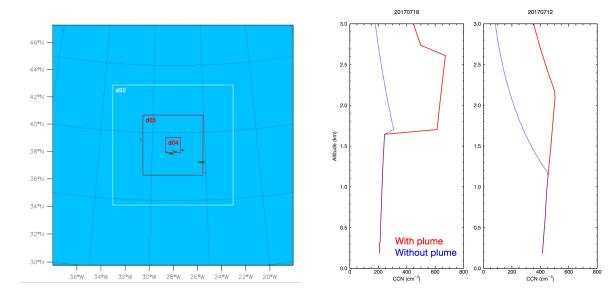
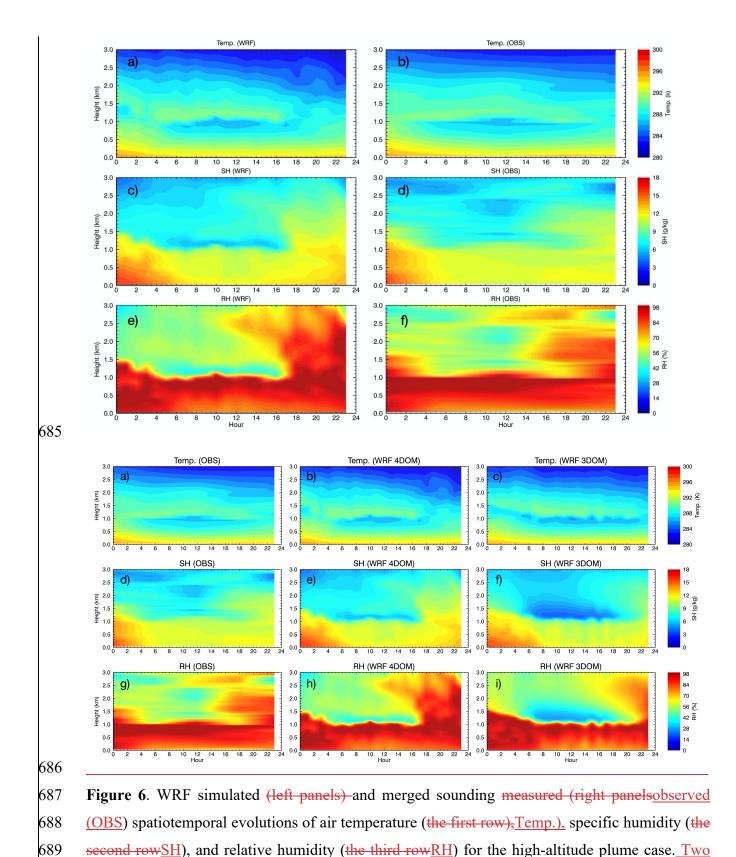


Figure 5. WRF domain map and aerosol concentration profiles used in the model as initial and

683 boundary conditions for the sensitivity runs of the two cases.



690 <u>sets of WRF simulations are presented here, one with four domains (the baseline configuration)</u>

- and one with three domains (removing the outmost domain). The model results are averaged over
- $692 <u>10 \times 10 grid points centering at the ENA ground site location.</u>$

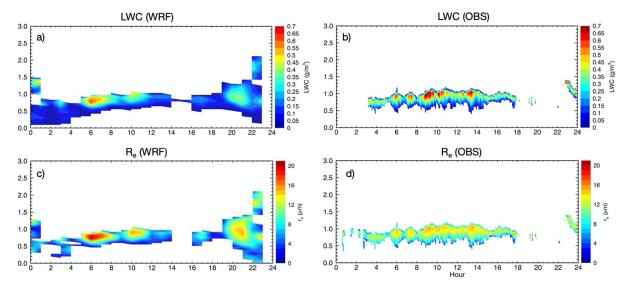
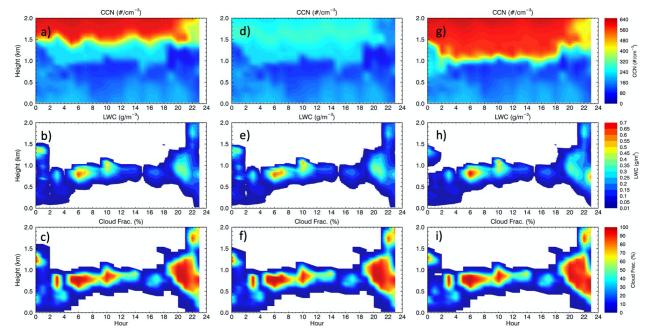


Figure 7. WRF simulated (top panels) and cloud radar retrieved (bottom panels) spatiotemporal
evolution of liquid water content (the left column) and droplet effective radius (the right column)
for the high-altitude plume case. The model results are averaged over 10×10 grid points centering
at the ENA ground site location.



701 Figure 8. WRF simulated CCN concentration, liquid water content (LWC), and cloud fraction

for the high-altitude plume case (averaged over 20×20 grid points): a-c) with the observed

aerosol plume due to long-range transport (above 1.5 km), d-f) with the aerosol plume removed,

and g-i) with the aerosol plume moved downward to 1.1 km.

705

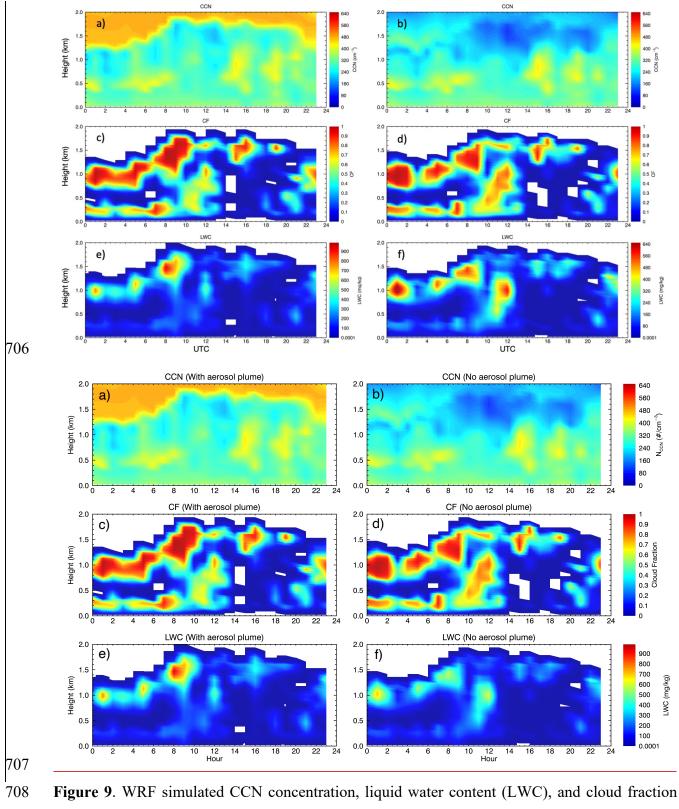


Figure 9. wKF simulated CCN concentration, inquid water content (LwC), and cloud fraction for(averaged over 20 × 20 grid points near the ENA site) from the low-altitude plume case, with observed aerosol profile (a,c,e) and idealized profile that removes aerosol transport in the free

711 troposphere (b,d,f). The two different vertical profiles are shown in Fig. 5.

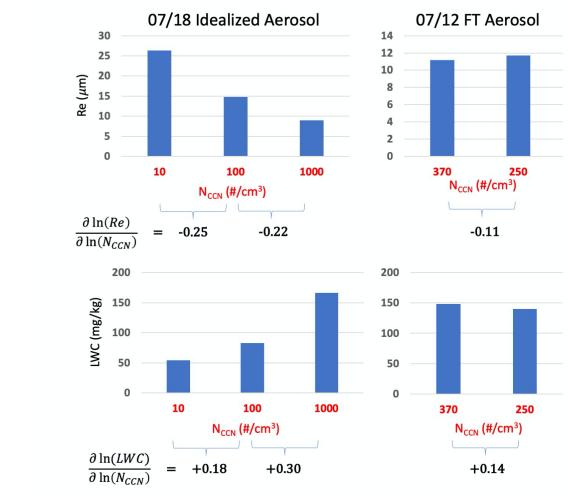




Figure 10. Model predicted cloud susceptibilities for the idealized CCN variations in the MBL

for the July 18 case and the influence of CCN variations in the free troposphere (FT) for the July

716 12 case. The cloud properties are averaged over all cloud points in the innermost domain. N_{CCN}

values are obtained from the initial CCN profiles and averaged over between 0.5-3 km.

Date of Flight	Cloud Type	Above-Cloud Aerosol Changes with Height	Above- cloud N _{CN} * (# cm ⁻³)	Below- cloud N _{CN} * (# cm ⁻³)	Cloud Top Height Variation** (m)	Critical Altitude*** (m)
20170628	Thin Stratus	Increase	471	353	670 - 1060	N/A
20170630	Thin Stratus	Increase	456	391	820 - 1270	N/A
20170706	StCu.	Keep constant	354	272	1210 - 1720	1820
20170707	Stratus	Decrease	266	247	1540 - 1960	N/A
20170712	StCu.	Increase	464	331	760 - 1360	N/A
20170715	StCu.	Increase	237	205	1120 - 1750	N/A
20170718	StCu.	Increase	185	290	880 - 1300	1674
20170720	StCu.	Decrease	224	311	970 - 1660	N/A

719 Table 1. Characteristics of condensation nuclei concentration (CN)and cloud vertical profiles for720 all eight cases during the summer phase of the DOE ACE-ENA field campaign.

721 * Average within 200 m of above (below) cloud top (base)

722 ** For continuous cloud layer

723 *** Critical altitude is defined as the height at which above-cloud N_{CN} is equal to the below-

 $724 \quad \ \ cloud \ N_{CN}.$