Point to point responses to Reviewers

Reviewer #1's comments:

- 1. As mentioned above, my main concern is that the scope of the paper could be expanded a little bit, and would be focused more on the recent progress of method and data. That might be more helpful for the whole research community instead of local scientists. It invites more review on published work for the YRD region, and more comparison and discussion with other inventories. Some examples include: NMVOC emission estimation: As major source of NMVOC, there are many working procedures involved with VOC release for given type of chemical plant. Some more detailed methodologies were suggested and applied in the region. How did the authors evaluate the quality and feasibility of the more complicated methods for the region NH3 emission estimation: Similarly, different methods have been conducted for the region (Atmos. Chem. Phys., 20, 4275-4294, 2020), and how did the authors analyze the advantage of various methods? Some more examples include agricultural machines (Environmental Pollution 266 (2020) 115075). It might not be necessary for the authors to recalculate the emissions, but a more careful review and discussion for the method choice and further improvement should be sufficient.
- Re: Thanks for the comment. We agree with the comment that the method of emission inventory compilation is improving in recent years. In the revised manuscript, we supplemented some reviews and discussions in the introduction and method sections. Please see the following changes.

Changes in manuscript:

(1) Section 1, lines 72-78: We added the reviews on the recent progress of method and data and rewrote this section to be: "In the last five years, only individual province (Zhou et al., 2017) and some sources were updated with the progress of method and data. Fan et al. (2016) established a high-resolved ship emission inventory for 2010 base on Automatic Identification System (AIS) data over the YRD region and East China Sea. Huang et al. (2018a) developed a non-road machinery emission inventory for 2014 based on local surveys in the cities of YRD. Zhang et al. (2020) further developed a "grid-based" (30 × 30 m) inventory of agricultural machinery with daily emissions for 2015 by combining satellite data, land and soil information, and in-house investigation. Wang et al. (2018b) established an emission inventory

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of civil aviation for landing take-off (LTO) cycles for 2017. Yang and Zhao (2019) estimated air pollutant emissions from open biomass burning for 2005–2015 using three (traditional bottom-up, fire radiative power (FRP), and constraining) approaches. Zhao et al. (2020) developed a NH₃ emission inventory for 2014 based on dynamic emission factors (EFs) and activity data integrating the local information of soil, meteorology, and agricultural processes. These studies provided novel methods for emission estimation and expanded our understanding on the emissions over the YRD region. However, with the implementation of air pollution prevention and control measures, PM_{2.5} pollution in the YRD region has improved significantly in recent years as the region's energy, industry, and vehicle structures have been modified accordingly (Zheng et al., 2016; Wang et al., 2017a; Zhang et al., 2017a). A comprehensive update of activity levels and sources in the YRD region could assist with accurate air quality simulations and emission reduction measures."

New references:

Zhang, J., Liu, L., Zhao, Y., Li, H., Lian, Y., Zhang, Z., Huang, C., and Du, X.: Development of a high-resolution emission inventory of agricultural machinery with a novel methodology: A case study for Yangtze River Delta region, Environ. Pollut., 266, 115075, 2020.

Zhao, Y., Yuan, M., Huang, X., Chen, F., and Zhang, J.: Quantification and evaluation of atmospheric ammonia emissions with different methods: a case study for the Yangtze River Delta region, China, Atmos. Chem. Phys., 20, 4275-4294, 2020.

(2) Section 2.3, line 152-155: According to the reviewer's comment, we explained the segment-based method for large-point source emission estimation and discussed the advantages of this method. The specific modifications are as follows: "For large point sources, we established a segment-based emission estimation method based on local surveys. For example, we subdivided the ferrous metal manufacturing industry into raw material yard, iron making (including sintering, pelletizing, and blast furnace), steel making (including converter and electric furnace), casing steel, rolling steel, and ferroalloy production. The petroleum refining industry was subdivided into eight segments including process devices, equipment leak, storage tank, bulk loading, flare, wastewater treatment, cooling tower, and petrochemical furnace. The activity data and EFs of each segment were both derived from on-site surveys and measurements. Emissions from industrial solvent-use sources were calculated using the mass balance method based on the consumption and NMVOC content of solvents, such as paints, coatings, inks, adhesives, thinners, etc. Small

amounts of NMVOC remaining in products, wastewater and waste were not considered in this calculation. The solvent consumption and their VOC content of large point sources were mainly from field surveys and then extended to similar industries and solvent varieties."

- (3) Section 2.3, line 159-163: We added some discussions on the difference between the methods in this study and other novel method published in previous studies. The specific modifications are as follows: "Non-road machinery emissions were estimated from the NONROAD model (US EPA, 2010), which was based on fuel consumption and fuel-based EFs. Fuel consumption was calculated from the population, working hours and fuel consumption rate per hour derived from local survey in typical cities like Shanghai and Hangzhou. The method was introduced in our previous study (Huang et al., 2018a). Limited by the data source, we haven't achieved a daily-resolved emission estimation of agricultural machinery introduced by Zhang et al. (2020), which may cause higher uncertainty on its total amount and temporal and spatial distribution."
 - 2. Another issue is the comparison between inventories. Different data and methods resulted in discrepancy in emission estimation, as well as the spatial distribution. The authors compared the emission levels of this work compared with the national inventory MEIC in particular, but how about some other information, like temporal and spatial distribution?
- Re: Thanks for the comment. In the revised manuscript, we supplemented some discussions on the spatial distribution. Considering the temporal distribution is not the focus of this study, we haven't covered too much. Please see the following changes.

Changes in manuscript:

(1) Section 3.1.3: We added a paragraph at the end of this section to compare the spatial distribution of our study with the MEIC and previous studies in the YRD region. Please see the details as follows: "Previous studies have shown that the unit-based bottom-up approach based on local activity data can improve the spatial distribution of emission inventories (Zhao et al., 2015; Zheng et al., 2017; Zhao et al., 2018; Zheng et al., 2019). The spatial distribution of major air pollutants obtained in this study is consistent to theother unit-based inventories based on local surveys. For example, the distribution of NMVOC emissions is consistent with that obtained from the on-site surveys in Jiangsu Province (Zhao et al., 2017); the distribution of NH3 emissions is also consistent with the results using dynamic emission factors

and localized information (Zhao et al., 2020). Compared with the national-scale inventory like the MEIC, this study has improved the distribution along the Yangtze River and Hangzhou Bay where large point sources were denser, and it also reduced the misjudgment of NOx and NMVOC emission hotspots in the northern and southern areas, as shown in Figure S1. The distribution of NH₃ emissions was also improved in the northern areas of the region and in the city centers with more localized EFs of mobile and agriculture sources."

New references:

Zhao, Y., Qiu, L. P., Xu, R. Y., Xie, F. J., Zhang, Q., Yu, Y. Y., Nielsen, C. P., Qin, H. X., Wang, H. K., Wu, X. C., Li, W. Q., and Zhang, J.: Advantages of a city-scale emission inventory for urban air quality research and policy: the case of Nanjing, a typical industrial city in the Yangtze River Delta, China, Atmos. Chem. Phys., 15, 12623–12644, 2015.



Figure S1. Comparisons of spatial distributions of SO_2 , NO_x , NMVOCs, NH_3 , and $PM_{2.5}$ emissions between this study and the MEIC. The black dots represent for large point sources.

Moreover, as the authors indicate in the introduction, the region experienced dramatic change for the past years, how did they evaluate the data for 2017 compared to earlier years?

Re: Thanks for the comment. We have added some discussions on the comparison of different years. Please see the following changes.

Changes in manuscript:

(1) Section 3.1.1: We added a paragraph at the end of this section to compare the results

for 2017 with the earlier years. Please see the details as follows: "Compared with our previous inventory for 2014 (Li et al., 2019; Ni et al., 2020), SO₂, NO_x, PM₁₀, and PM_{2.5} emissions in the YRD region have decreased by 47%, 15%, 20%, and 24%, respectively, which were consistent with the trends of regional air quality improvement (SO₂ 44 %; NO₂ 5%; PM₁₀ 22%; PM_{2.5} 27%). However, it should be noted that the approach of emission estimation in this study has made a number of localized corrections in terms of emission factors and activity data. For example, CO, NMVOC, and NH₃ emissions have increased significantly compared to 2014, which mainly because more point sources were included in this study and more localized EFs, which were generally higher than those in previous studies, were applied to estimate NO_x, CO, NMVOC, and NH₃ emissions from solvent-use, motor vehicles, non-road machinery, and agricultural sources. Next, it is necessary to estimate the emission inventories by the same approach for different years to evaluate the changes in air pollutant emissions in recent years."

New references:

Ni, Z., Luo, K., Gao, Y., Gao, X., Jiang, F., Huang, C., Fan, J., Fu, J., and Chen, C.: Spatial–temporal variations and process analysis of O₃ pollution in Hangzhou during the G20 summit, Atmos. Chem. Phys., 20, 5963–5976, 2020.

- 3. Some data sources were unclear. For example, the environment statistics did not provide all the information used in the emission calculation for point sources. Did the authors make more on-site investigations or surveys?
- Re: Yes. As the reviewer mentioned, the environment statistics didn't provide all the information like the aftertreatment technologies and their efficiencies, especially for NMVOCs. The information was mainly obtained from on-site investigations in typical cities in the YRD region. In the revised manuscript, we supplemented some discussions. Please see the following changes.

Changes in manuscript:

(1) Section 2.4, line 189: We added some sentences to explain how we obtain other information on point sources. Please see the details as follows: "However, the database didn't include all the information like the technologies of NMVOC removal and their efficiencies, especially for the median and small-size factories. To obtain more detailed information, we have conducted more on-site investigations on the removal technologies and efficiencies of industrial sources in typical cities including Shanghai, Hangzhou, Suzhou, etc. According to the investigations, we classified the proportions of removal technologies and efficiencies to different industrial sectors and then extended them to the entire region."

Biomass burning was not reported, how did the authors estimate the activity data "based on statistics"?

Re: Thanks for the comment. Biomass burning was reported in this study, but only the emissions from household biomass-fueled stoves were included. We have made some explanation in the revised manuscript. Please see the following changes.

Changes in manuscript:

(1) Section 2.4, line 201: We added some description on the estimation method of the activity data for biomass burning emissions in this study. Please see the details as follows: "The biomass burning emissions in this study only included the emissions from household biomass-fueled stoves. Their activity data was estimated based on the crop yields and grain straw ratios combined with the proportions of household burning in each city. The crop yields were obtained from the statistical yearbooks; the grain straw ratios and the proportions of household burning were derived from the surveys from agricultural department. In 2017, the average household burning ratio of various types of straw was about 12% (3%–16%), 3% in developed cities such as Shanghai; the highest ratios (16%) were in the cities of Anhui Province; and the ratios in other cities were about 12%."

Could the authors also provide the emission contribution of point sources by species and region (province)?

- Re: Sure. We have uploaded the gridded emissions of air pollutants from various sources for the YRD region developed by this study at a horizontal resolution of 4 × 4km and a summary table of emissions by cities and sources. Please see the download link (https://doi.org/10.6084/m9.figshare.13340648) in the "Data availability" section.
 - 4. Please provide more information on the method of uncertainty analysis. How did the authors evaluate the bias of each category of parameters? At least the information needs to be given in the Supplement.
- Re: Thanks for the comment. We have supplemented some explanation on the method of uncertainty analysis in the revised manuscript. Please see the following changes.

Changes in manuscript:

(1) Section 2.8: To supplement more information the method of uncertainty analysis, the whole paragraph was rewritten to be: "Uncertainty was mainly derived from the activity data and EFs. The coefficients of variation of the activity data and EFs for each source were classified into seven grades in the range of 2%-100% using expert judgment. The coefficient of variation for the activity data was determined according to the data source. Environmental statistical data with specific source information was assigned the lowest coefficient of uncertainty (2%), while activity data estimated from the statistical yearbooks, such as biomass burning, was assigned the highest uncertainty value (98%). The coefficients of uncertainty for other activity data sources were assigned to be 18%, 34%, 50%, 66%, and 82% in turn. The principle for assignments of the coefficients of variation for EFs was the same as the activity level. EFs derived from local measurements in the YRD region with large samples were assigned the lower coefficients of uncertainty (18%), while those from USEPA or EMEP/EEA datasets were assigned higher coefficients (98%). Then the uncertainty of each pollutant from each emission source can be combined by Eq. (3-5). A detailed description of the analytical methods used can be found in our previous study (Huang et al., 2011).

$$CV = \frac{U}{E} = 1.96 \times \sqrt{(1 + C_a^2) \times (1 + C_f^2) - 1}$$
(3)

$$U_j = \sqrt{\sum_k U_{j,k}^2}$$

(4)

$$U = \sqrt{\sum_{j} U_j^2} \tag{5}$$

where, CV is the coefficient of variation of the emission rate, E is the emission rate, U is the uncertainty of the emission source, C_a is the uncertainty of activity data, C_f is the uncertainty of EF, j and k represent for pollutant and emission source, respectively."

5. It is great that the authors made detailed evaluation (validation might not be a proper word) with CMAQ modeling and provided the results in the supplement. However, the discussion in the main text seems descriptive. Could you be more specific on the reasons for the relatively big discrepancy due to emission data, and also suggest the possible direction for future improvement on emission estimation? Re: Thanks for the comment. We have added more discussions in section 3.3 "Model validation". Please see the following changes.

Changes in manuscript:

(1) Section 3.3, line 512-527: We rewrote the second paragraph in Section 3.3 to further describe the results of model validation Please see the details as follows: "Figure 8 shows a comparison of the simulated and observed concentrations for SO₂, NO₂, PM_{2.5}, PM₁₀, O₃, and CO for cities in the YRD region in January and July 2017. The simulated concentration distribution of the different pollutants was consistent with the observed results, indicating that the updated EI generally reflected the distribution of air pollution sources in the YRD region. Comparatively, agreement between the simulated concentration distribution and the observed results for the cities in the central areas of the YRD region was stronger than cities of the northern and southern border areas. This was mainly because border areas were more susceptible to the effects of emissions from areas outside the region, which resulted in greater deviation of the simulation results. A statistical analysis of the hourly concentrations obtained from the model for the pollutants in each city can be found in Table S7 of the supporting information. Figure 9 shows the mean fractional error (MFE) and the mean fractional bias (MFB) between the simulated and observed daily average concentrations in the cities of the region. Overall, the MFB and MFE of simulation and observation results of all the pollutants in January and July were all within the criteria (MFB $\leq \pm 60\%$, MFE $\leq 75\%$) of model performance recommended by Boylan and Russell (2006), and most of them were with the performance goals (MFB $\leq \pm 30\%$, MFE $\leq 50\%$), which indicated that the EI in this study could reflect the air pollution in winter in the YRD region. In July, the MFB and MFE of O₃ and PM_{2.5} model performance all fell within the criteria range. However, the simulation results of primary pollutants like SO₂, NO₂, PM₁₀ and CO were somewhat underestimated. Especially for SO2 and CO, nearly half of the cities had MFBs lower than -60%, and the cities with large deviations were mainly concentrated in peripheral areas of the YRD region (such as Huangshan, Chizhou, Xuancheng, Lishui, etc.). These cities generally had higher contributions of area emissions from residential and agriculture sources instead of large point industrial sources. The activity data of these sources usually had higher uncertainties and would easily cause the deviation of emission estimation. For example, the underestimation of the amount of residential coal combustion would undoubtedly lead to a severely low estimate of SO2 and CO emissions. However, since PM2.5 and O₃ pollution were more regional, their simulation results were less affected by insufficient local activity data in these cities. Conducting more detailed on-site investigations to obtain more accurate activity data is the key to further improving

the performance of EI in the future."



Figure 9. MFB (a) and MFE (b) between the simulation and observation data for daily average concentrations of various pollutants of the cities in the YRD region in January and July 2017

New references:

Boylan, J. W., and Russell, A. G.: PM and light extinction model performance metrics, goals, and criteria for three-dimensional air quality models, Atmos. Environ., 40, 4946-4959, 2006.

Emery, C., Liu, Z., Russell, A. G., Odman, M. T., Yarwood, G., and Kumar, N.: Recommendations on statistics and benchmarks to assess photochemical model performance, J. Air Waste Manag. Assoc., 67, 582-598, 2017.

6. Language should be improved as well. Some English expression is not correct.

Re: Thanks for the comment. The grammar and vocabulary in the manuscript has been polished by a native speaker. Please see the modifications in the revised manuscript.

Reviewer #2's comments:

- 1. Method. Since this study updates an emission inventory developed by the same group before, the method section should put more focus on the new features of the updated emission inventory compared to the last version. Please summarize the new data development process and give a detailed table to show the new methods developed and the new data sources used in this paper. In my opinion, only an update of emission inventory for another year without any novel method or data source cannot be published as a research article in ACP.
- Re: Thanks for the comment. In the revised manuscript, we added a comparison with the methods and data sources in our previous study and provided a detailed table

to show the differences in this study. Please see the following changes.

Changes in manuscript:

(1) Section 2.3, line 512-527: We added a paragraph at the end of this section to compare the methods and data sources in this study with our previous study. Please see the details as follows: "The emission estimation method of this study has been improved on the basis of our previous study (the latest version was for 2014) (Li et al., 2019; Ni et al., 2020). Table 1 shows the differences between the methods and data sources of this study and the previous. First, the source category has been refined from the third-level 135 categories to the fourth-level 2812 categories. Among them, large point sources such as iron & steel and petroleum refining sectors were further subdivided into different emission segments. Secondly, in addition to the environmental statistics data, the activity data has been refined through local investigations on the removal technologies and efficiencies, operating hours, and working conditions of industrial and mobile sources including motor vehicles and non-road machinery; emissions from ships and aircrafts, which were not considered in our previous study, were estimated based on dynamic activity data like AIS provided by local department. In terms of the EFs, most of them were corrected based on local measurements."

| Table 1. Comparison of the methods and data sources in th | his study with ou | r previous study |
|---|-------------------|------------------|
|---|-------------------|------------------|

| Methods/Data sources | This study | Our previous study | | |
|--------------------------------|--|------------------------------------|--|--|
| Source classification | 2812 source categories, subdivided | 135 source categories, subdivided | | |
| | into four levels, detailed to emission | into three levels | | |
| | segments for large point sources | | | |
| Activity data | | | | |
| Stationary combustion sources | Based on environmental statistics | Based on environmental statistics | | |
| Industrial process sources | Based on environmental statistics and | Based on environmental statistics | | |
| | local investigation on removal | | | |
| | technologies and efficiencies | | | |
| Industrial solvent-use sources | Based on environmental statistics and | Based on environmental statistics | | |
| | local investigation on solvent types | | | |
| | and consumption | | | |
| Motor vehicles | Based on city statistics and local | Based on city statistics and local | | |
| | activity surveys | activity surveys | | |
| Non-road machinery | Based on city statistics and local | Not considered | | |
| | activity surveys | | | |
| Ships | Based on AIS data | Not considered | | |
| Aviation aircraft | Based on LTO cycles from department | Not considered | | |
| | surveys | | | |
| Dust sources | Estimated based on city statistics | Estimated based on city statistics | | |

| | Oil storage and transportation sources | Based on city statistics | Based on city statistics |
|-----|--|--|------------------------------------|
| | Residential sources | Based on city statistics | Based on city statistics |
| | Waste treatment and disposal sources | Based on city statistics | Based on city statistics |
| | Livestock and poultry breeding | Based on city statistics | Based on city statistics |
| | N-fertilizer application | Based on city statistics | Based on city statistics |
| | Biomass burning | Estimated based on city statistics | Estimated based on city statistics |
| EFs | 1 | | |
| | Stationary combustion sources | Based on literature surveys | Based on literature surveys |
| | Industrial process sources | Updated the EFs for major segments | Based on literature surveys |
| | | of iron & steel and petroleum refining | |
| | | sectors based on local measurements | |
| | Industrial solvent-use sources | Estimated by solvent contents of | Based on literature surveys |
| | | different solvent types from local | |
| | | investigations | |
| | Motor vehicles | IVE model corrected by local | IVE model |
| | | measurements | |
| | Non-road machinery | NONROAD model corrected by local | Not considered |
| | | measurements | |
| | Ships | Based on local measurements | Not considered |
| | Aviation aircraft | Recommended by ICAO | Not considered |
| | Dust sources | Based on literature surveys | Based on literature surveys |
| | Oil storage and transportation sources | Estimated based on local | Based on literature surveys |
| | | investigations | |
| | Residential sources | Based on local investigations and | Based on literature surveys |
| | | measurements | |
| | Waste treatment and disposal sources | Based on literature surveys | Based on literature surveys |
| | Livestock and poultry breeding | Based on local measurements | Based on literature surveys |
| | N-fertilizer application | Based on local measurements | Based on literature surveys |
| | Biomass burning | Based on local measurements | Based on literature surveys |

The method section lacks the descriptions of WRF-CMAQ model configurations and the estimation methods of OFP and SOAP.

Re: Thanks for the comment. In the revised manuscript, we supplemented the description of the WRF-CMAQ model configurations and the estimation methods of OFP and SOAP in Section 2.9 "model configurations" and Section 2.10 "Estimation of O_3 and SOA formation potentials". Please see the following changes.

Changes in manuscript:

 Section 2.9: "To verify the reliability of the EI, we used CMAQ (version 5.3) to simulate the concentrations of SO₂, NO₂, PM_{2.5}, PM₁₀, O₃, and CO in the YRD region for January and July 2017, and compared these with the observation data for each city in the region. The meteorological field for the CMAQ model was obtained from the WRF (version 3). The EI developed in this study was then used to produce an emission system for the YRD region while emissions beyond the YRD were obtained from the MEIC 2016. The anthropogenic data was then combined with biogenic data obtained from the Model for Emissions of Gases and Aerosol from Nature modelling system (version 2.10) as the final input for the EI of the model. Figure S1 and Table S6 show the domain and settings for the model system. Detailed information is provided in Section 6 of the Supporting information."

(2) Section 2.10: "To characterize the regional O₃ and SOA formation contributions of different NMVOC species and their sources, we used the O₃ formation potential (OFP) and SOA formation potential (SOAP) methods of estimation. OFP and SOAP were obtained from the sum of the individual NMVOC species emissions multiplied by the maximum incremental reactivity (MIR) and SOA yield, respectively. MIR and SOA yield for individual NMVOC species were obtained from previous studies (Carter, 1994; Wu and Xie, 2017). The estimation methods were shown in Eq. (6) and (7).

$$OFP_i = \sum_{j=1}^{N} E_{i,j} \times MIR_j \tag{6}$$

$$SOAP_i = \sum_{j=1}^{N} E_{i,j} \times Y_j \tag{7}$$

where, OFP_i and SOAP_i are the ozone formation potential and SOA formation potential of source *i*, respectively, $E_{i,j}$ is the VOC emission of species *i*, MIR_j is the maximum increment reactivity for the *j*th chemical species, Y_j is the SOA yield for the *j*th chemical species."

- 2. Result. The manuscript in its current format just briefly describes the new inventory by source sector but does not provide any discussions on the improvement of the new emission inventory. Figure 8 only shows a map of modelled air pollutant concentrations with observation stations on it. It is difficult to say the simulated results are consistent with observed values. Table S7 provides statistical results of model performance in each city, which should be included in the main text using a few figures. And the evaluation part in the main text should be rewritten accordingly.
- Re: Thanks for the comment. To discuss on the improvement of the new emission inventory, we rewrote the Section 3.1.1 in the revised manuscript. In addition, we have supplemented more discussions in section 3.3 "Model validation". Please see

the following changes.

Changes in manuscript:

- (1) Section 3.1.1: "Compared with our previous inventory for 2014 (Li et al., 2019; Ni et al., 2020), SO₂, NO_x, PM₁₀, and PM_{2.5} emissions in the YRD region have decreased by 47%, 15%, 20%, and 24%, respectively, which were consistent with the trends of regional air quality improvement (SO₂ 44 %; NO₂ 5%; PM₁₀ 22%; PM_{2.5} 27%). However, it should be noted that the approach of emission estimation in this study has made a number of localized corrections in terms of emission factors and activity data. For example, CO, NMVOC, and NH₃ emissions have increased significantly compared to 2014, which mainly because more point sources were included in this study and more localized EFs, which were generally higher than those in previous studies, were applied to estimate NO_x, CO, NMVOC, and NH₃ emissions from solvent-use, motor vehicles, non-road machinery, and agricultural sources. Next, it is necessary to estimate the emission inventories by the same approach for different years to evaluate the changes in air pollutant emissions in recent years."
- (2) Section 3.3, line 512-527: We rewrote the second paragraph in Section 3.3 to further describe the results of model validation Please see the details as follows: "Figure 8 shows a comparison of the simulated and observed concentrations for SO₂, NO₂, PM_{2.5}, PM₁₀, O₃, and CO for cities in the YRD region in January and July 2017. The simulated concentration distribution of the different pollutants was consistent with the observed results, indicating that the updated EI generally reflected the distribution of air pollution sources in the YRD region. Comparatively, agreement between the simulated concentration distribution and the observed results for the cities in the central areas of the YRD region was stronger than cities of the northern and southern border areas. This was mainly because border areas were more susceptible to the effects of emissions from areas outside the region, which resulted in greater deviation of the simulation results. A statistical analysis of the hourly concentrations obtained from the model for the pollutants in each city can be found in Table S7 of the supporting information. Figure 9 shows the mean fractional error (MFE) and the mean fractional bias (MFB) between the simulated and observed daily average concentrations in the cities of the region. Overall, the MFB and MFE of simulation and observation results of all the pollutants in January and July were all within the criteria (MFB $\leq \pm 60\%$, MFE $\leq 75\%$) of model performance recommended by Boylan and Russell (2006), and most of them were with the performance goals (MFB $\leq \pm 30\%$, MFE $\leq 50\%$), which indicated that the EI in this study could reflect the air pollution in winter in the YRD region. In July, the MFB and MFE of O₃ and PM_{2.5} model performance all fell within the criteria range.

However, the simulation results of primary pollutants like SO₂, NO₂, PM₁₀ and CO were somewhat underestimated. Especially for SO₂ and CO, nearly half of the cities had MFBs lower than -60%, and the cities with large deviations were mainly concentrated in peripheral areas of the YRD region (such as Huangshan, Chizhou, Xuancheng, Lishui, etc.). These cities generally had higher contributions of area emissions from residential and agriculture sources instead of large point industrial sources. The activity data of these sources usually had higher uncertainties and would easily cause the deviation of emission estimation. For example, the underestimation of the amount of residential coal combustion would undoubtedly lead to a severely low estimate of SO₂ and CO emissions. However, since PM_{2.5} and O₃ pollution were more regional, their simulation results were less affected by insufficient local activity data in these cities. Conducting more detailed on-site investigations to obtain more accurate activity data is the key to further improving the performance of EI in the future."



Figure 9. MFB (a) and MFE (b) between the simulation and observation data for daily average concentrations of various pollutants of the cities in the YRD region in January and July 2017

New references:

Boylan, J. W., and Russell, A. G.: PM and light extinction model performance metrics, goals, and criteria for three-dimensional air quality models, Atmos. Environ., 40, 4946-4959, 2006.

Emery, C., Liu, Z., Russell, A. G., Odman, M. T., Yarwood, G., and Kumar, N.: Recommendations on statistics and benchmarks to assess photochemical model performance, J. Air Waste Manag. Assoc., 67, 582-598, 2017.

3. Data availability. Emission inventories are an important input to air quality models. Can a way for people to access the gridded emissions data by source sector that be included in the manuscript? This will allow other researchers to replicate and build on the modeling results if they wish. The authors now only provided gridded maps of total emissions, which are not enough to drive an air quality model. I suggest that the authors upload gridded emission maps by source sector at a regular spatial and temporal resolution, and also provide summary tables of emissions by city and source (i.e., emissions by source in each city).

Re: Sure. We have uploaded the gridded emissions of air pollutants from various sources for the YRD region developed by this study at a horizontal resolution of 4 \times 4km and a summary table of emissions by cities and sources. Please see the download link (https://doi.org/10.6084/m9.figshare.13340648) in the "Data availability" section.

| 1 | Emission inventory of air pollutants and chemical speciation for |
|----|---|
| 2 | specific anthropogenic sources based on local measurements in |
| 3 | the Yangtze River Delta region, China |
| 4 | Jingyu An ^{1,2} , Yiwei Huang ¹ , Cheng Huang ^{1*} , Xin Wang ³ , Rusha Yan ¹ , Qian Wang ¹ , Hongli |
| 5 | Wang ^{1**} , Sheng 'ao Jing ¹ , Yan Zhang ² , Yiming Liu ² , AJ Yuan Chen ⁴ , Chang Xu ¹ , Liping Qiao ¹ , |
| 6 | Min Zhou ¹ , Shuhui Zhu ¹ , Qingyao Hu ¹ , Jun Lu ¹ , Changhong Chen ¹ |
| 7 | 1. State Environmental Protection Key Laboratory of the Formation and Prevention of Urban Air |
| 8 | Pollution Complex, Shanghai Academy of Environmental Sciences, Shanghai 200233, China |
| 9 | 2. Shanghai Key Laboratory of Atmospheric Particle Pollution and Prevention, Department of |
| 10 | Environmental Science and Engineering, Fudan University, Shanghai 200438, China |
| 11 | 3. China National Environmental Monitoring Centre, Beijing 100012, China |
| 12 | 4. University of Southern California, Los Angeles, CA 90089, USA |
| 13 | |
| 14 | Abstract: A high-resolution air pollutant emission inventory in-for the Yangtze River |
| 15 | Delta (YRD) region was updated for the year-2017 using emission factors and chemical |
| 16 | speciation <u>based</u> mainly <u>from-on</u> local measurements in this study. The inventory |
| 17 | includes included 424 non-methane volatile organic compounds (NMVOC) species and |
| 18 | 43 fine particulate matter (PM2.5) species, which can be subdivided from into 259 |
| 19 | specific source <u>s</u> -categories. The total emissions of SO ₂ , NO _x , CO, NMVOCs, PM ₁₀ , |
| 20 | PM _{2.5} , and NH ₃ in the YRD region in 2017 are were 1,552, 3,235, 38,507, 4,875, 3,770, |
| 21 | 1,597, and 2,467 Gg , respectively. SO ₂ and CO emissions are-were mainly from boilers, |
| 22 | accounting for 49% and 73% of the total, respectively. Mobile sources dominated the |
| 23 | NO _x emissions and contribute contributing 57% of to the total. <u>NM</u> VOC emissions, |
| 24 | mainly come from industrial sources, occupying made up 61% of the total. Dust sources |
| 25 | take upaccounted for to 55% and 28% of PM_{10} and $PM_{2.5}$ emissions, respectively. |

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^{*} Correspondence to C. Huang (<u>huangc@saes.sh.cn</u>) and <u>H.L. Wang (wanghl@saes.sh.cn</u>) 1

26 Agricultural sources accounted for 91% of NH3 emissions. Major PM2.5 species are 27 were OC, Ca, Si, PSO₄ and EC, accounting for 9.0%, 7.0%, 6.4%, 4.6% and 4.3% of 28 total PM2.5 emissions respectively. The main species of NMVOCs are-were aromatic 29 hydrocarbons, accounting making upfor 25.3% of the total. Oxygenated volatile organic 30 compounds (OVOCs) contributed 21.9% of to the total NMVOC emissions. Toluene 31 has had the highest comprehensive contribution to $ozone (O_3)$ and secondary organic 32 aerosol (SOA) formation potentials, and while the others NMVOCs included are 1,2,4-33 trimethylbenzene, m,p-xylene, propylene, ethene, o-xylene, ethylbenzene-and so on. 34 Industrial process and solvent use sources are-were the main sources of O3ozone and 35 SOA formation potential, followed by motor vehicles. Among industrial sources, 36 chemical manufacturing, rubber & plastic manufacturing, appliance manufacturing and 37 textile have-made relatively outstandingsignificant contributions. Thise emission 38 inventory can should provide scientific guidance for future joint control of air pollutants 39 in the YRD region of, China. 40 Key words: emission inventory; PM2.5 species; NMVOC species; the Yangtze River 41 Delta region; air pollutant emissions

42 1. Introduction

43 Air pollutant emissions from anthropogenic sources have attracted wide 44 considerable attentions due to their adverse impacts on air quality (Monks et al., 2009), 45 human health (Guan et al., 2016; Requia et al., 2018), and climate change (Fiore et al., 46 2012). Air pollutants include gaseous compounds, such as sulfur dioxide (SO₂), 47 nitrogen oxides (NOx), carbon monoxide (CO), non-methane volatile organic 48 compounds (NMVOCs), ammonia (NH₃), etc., and particles particulate matter (PM) 49 defined by with different sizes including PM10 and PM2.5, whose aerodynamic 50 diameters that are generally less than 10 µm, e.g. PM10 and PM2.5 µm. NMVOCs and 51 PM2.5 are aggregates of various chemical compositions. NMVOCs contains are a large

- 52 and diverse group of organic compounds, thousands of species such as alkanes, alkenes,
- 53 aromatic <u>hydrocarbons</u> and oxygenated <u>volatile</u> organic compounds (OVOCs), They

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设置了格式:非上标/下标 **设置了格式:**下标 are-and is the_key precursors of ozone (O₃) and secondary organic aerosols (SOA).
PM_{2.5} are complex aggregates is-composed of a complex mixture, including e.g. sulfate
(SO₄²⁻), nitrate (NO₃⁻), ammonium (NH₄⁺), organic carbon (OC), elemental carbon (EC),
and various elements₇. They which-can_degrades atmospheric_visibility and threaten
adversely affect public-human health (Qiao et al., 2014; Liang et al., 2016).

59 The Eemission inventory (EI) is a key fundamental an important tool for air 60 pollution source apportionment, air quality forecasting, and decision-making of in air 61 pollutant-pollution control-measures. In the last two decades, emission inventoriesEI 62 have been improved both in on both global and regional scales. According to recently reported inventoriesrecent EI, anthropogenic emissions still show growinghave shown 63 64 increasing trends in-on a global scale (Janssens-Maenhout et al., 2015; Klimont et al., 65 2017; Crippa et al., 2018; Hoesly et al., 2018). China's air pollutant emission intensityEI 66 is-were at a higher level in-compared with the rest of the world due to the-increasing 67 energy consumption, urbanization and motorization vehicle population. However, China's emissions are undergoing dramatic changes, especially in key regions, such as 68 69 the Jing-Jin-Ji-(JJJ), Yangtze River Delta (YRD), and Pearl River Delta (PRD) regions, 70 with which have seen the efforts introduction of air pollution prevention and control 71 measures in these-recent years (Cai et al., 2018; Zheng et al., 2018). Consequently, 72 Uupdating the national EI has become very necessaryan important requirement. 73 The YRD region is located in Eastern eastern China, which and covers includes 74 areas of Jiangsu, Zhejiang, Anhui, and Shanghai, accounts for a significant proportion

of China's population and gross domestic product (GDP). which has the most intensiveA rapidly growing economy, and increased urbanization population and transportation and results have resulted in its-the highest emission levels in China for this region. According to the new released dData_recently released by the Multiresolution EI for China (MEIC, http://meicmodel.org/), the showed that emissions

80 intensities <u>EI per unit area</u> of SO₂, NO_x, NMVOCs, PM_{2.5}, and NH_{3,} <u>per unit area</u> in the

81 YRD region<u>are-were</u> 2.3, 4.5, 5.2, 3.4, and 3.0 times<u>, respectively, that</u> of the national

| 82 | average. To understand the emission levels for the YRD region, Huang et al., (2011)We | |
|-----|---|------------------|
| 83 | have established an EI for the core cities in the YRD region in 2007 (Huang et al., 2011).; | |
| 84 | After that, Fu et al. (2013) updated the EI for Jiangsu, Zhejiang, and Shanghai in the | |
| 85 | YRD region-in 2010- and refined the inventory of PM2.5 and NMVOC speciation; In-in | 设置了格式: 下标 |
| 86 | the last five years, only individual provinces (Zhou et al., 2017) or part of and some | |
| 87 | sources were updated based on with the recent progress of method and data. Fan et al. | |
| 88 | (2016) established a high-resolved ship emission inventory for 2010 base on Automatic | |
| 89 | Identification System (AIS) data over the YRD region and East China Sea. Huang et al. | |
| 90 | (2018a) developed a non-road machinery emission inventory for the YRD region in | |
| 91 | 2014 based on local surveys in the cities of YRD. Zhang et al. (2020) further developed | |
| 92 | <u>a</u> "grid-based" (30 \times 30 m) inventory of agricultural machinery with daily emissions | |
| 93 | for 2015 by combining satellite data, land and soil information, and in-house | |
| 94 | investigation. Wang et al. (2018b) established an emission inventory of civil aviation | |
| 95 | for landing take-off (LTO) cycles for 2017. Yang and Zhao (2019) estimated air | |
| 96 | pollutant emissions from open biomass burning for 2005-2015 using three (traditional | |
| 97 | bottom-up, fire radiative power (FRP), and constraining) approaches. Zhao et al. (2020) | |
| 98 | developed a NH ₂ emission inventory for 2014 based on dynamic emission factors (EFs) | 设置了格式: 下标 |
| 99 | and activity data integrating the local information of soil, meteorology, and agricultural | |
| 100 | processes.(Fan et al., 2016; Zhou et al., 2017; Huang et al., 2018a; Wang et al., 2018b; | |
| 101 | Chen et al., 2019; Yang and Zhao, 2019). These studies provided novel methods for | |
| 102 | emission estimation and expanded our understanding on the emissions over the YRD | |
| 103 | region. However, with Due to the implementation of air pollution prevention and | |
| 104 | control measures, PM _{2.5} pollution in the YRD region has-been improved significantly | |
| 105 | in recent yearsalleviated, and as the regional region's energy, industry, and vehicle | |
| 106 | structures fleet are have undergoing great changesbeen modified accordingly in recent | |
| 107 | years (Zheng et al., 2016; Wang et al., 2017a; Zhang et al., 2017a). A comprehensive | |
| 108 | Updatingupdate of the activity levels for and detailed sources in the YRD region ean | |
| 109 | could help to assist with accurate simulate air quality simulations and guide emission | |

110 reduction measures-more accurately.

111 Besides of In addition to activity levels, speciation profiles of PM2.5 and NMVOC 112 emissions are also very important torequired to improve the performance of chemical 113 transport models (CTMs) in simulatingthat simulate O3 mixing ratios and PM2.5 114 concentrations. Source profiles from USEPA's repository of organic gas and PM 115 speciation profiles of air pollution sources (SPECIATE) database has beenwere 116 commonly used to conduct for source apportionment and create speciated EI for air 117 quality modeling since the 1990s (USEPA, 2009; Simon et al., 2010). However, the 118 emission characteristics of anthropogenic sources have can vary considerable 119 considerably difference between different regions. Differences in fuel properties, 120 operating conditions, raw materials, and after-treatment techniques can result in 121 inconsistent speciation profiles for PM2.5 and NMVOCs. A previous study indicates 122 indicated that useing of the speciation profiles from the SPECIATE database leads 123 togave relatively poor model performance for trace elements at an urban site in Beijing, 124 China (Ying et al., 2018). The emission estimates for individual NMVOC species differ 125 varied between by one and to three orders of magnitude for some species when different 126 sets of speciation profiles are were used, which will could lead toresult in significant 127 deviations in the outputs of O₃ and SOA simulations (Li et al., 2014; Zhao et al., 2017; 128 Stroud et al., 2018; Wang et al., 2018c). In view of its importance to model 129 performanceConsequently, detailed and observation-based emissions of individual 130 speciated PM2.5 and NMVOCs have become eritical essential for accurate CTM. 131 In this study, we updated an anthropogenic air pollutant EI in the for the 2017 YRD

region for the year of 2017-using the emission factors (EFs) and PM_{2.5} and NMVOCs speciation profiles mainly derived from local measurements. The pollutants include<u>d:</u> SO₂, NO_x, CO, NMVOCs, PM₁₀, PM_{2.5}, and NH_{3²} In addition, the NMVOC comprised 424 individual NMVOC species including such as alkanes, alkenes, aromatics hydrocarbons, haloalkanes, and OVOCs; and ______ and ____43 PM_{2.5} species including_containing organic carbon (OC), elemental carbon (EC), ions, and elements were included in the inventory. To obtain detailed sources of emissions sources, the EI
was refined into 259 specific source categories in 4 four levelscategories, broadly based
on the fuel types, industrial sectors, equipment types, and emission levels, to give a total
of ete259 specific emission sources. Finally, the EI was validated using the Community
Multiscale Air Quality (CMAQ) modeling system and observations from 2017 in the
YRD region in 2017.

144 **2. Materials and methods**

145 2.1 <u>Study Dd</u>omain of this study

146 The YRD region in this study covers the three provinces, of including Jiangsu, 147 Zhejiang, and Anhui-provinces, as well as Shanghai municipality. The region has a land 148 area of approximately 350,400 km², accounting for 3.7% of the whole<u>of</u> China. 149 However, the whole region The produced a gross domestic product (GDP) for the 150 region was-of 2,893 billion USD in 2017, i.e. about ~24% of the total national GDP in 151 2017 that year, and was growing at a rate of about ~9.3% per year in the last decade 152 (NBSC, 2018). Correspondingly, the region consumed 717.8 million ton coal 153 equivalentstee of energy which was, about ~17% of the national total in 2017. Coal is 154 the main energy type in this region the YRD, contributing about ~60% of the total energy 155 consumption (NBSC, 2018). The automobile population reached 40.9 million in 2017, 156 occupying accounting for 19.6% of the total in China. The region also has a high 157 concentration of traditional industries, producing 13.9%, 11.3%, 9.0%, 18.2% and 19.1% 158 of the total products-production of gasoline, diesel, coke, cement, and crude steel 159 respectively in China in 2017 (NBSC, 2018). Figure 1 shows the domain of the YRD 160 region in this study. The coastal waters within the dashed line on the right 161 figureexpanded image of the region are China's ship emission control areas. The ship 162 emissions mentioned_used in this study are-were the a combination summary of 163 emissions in-from this region and the inland waters in-of the YRD region.





167 2.2 Sources classification

164

168 A total of 241 categories of eThe EImission sources was separated into fourin 4 169 levelsmain categories were divided in this study. The first level category is was sub-170 divided into 9-nine major sources, including, stationary combustion-sources, industrial 171 process-sources, industrial solvent-use-sources, mobile sources, dust-sources, oil storage 172 and transportation-sources, residential-sources, waste treatment and disposal-sources, 173 and agricultural-sources. The second level-category has comprised a total of 36 source 174 types categories, mainly based on combustion facilities and the industrial, 175 transportation, residential, and agriculture sectors. The third-level-category comprised 176 127 sources elassification classified is mainly based on by fuel, product and material 177 types, and contains a total of 127 categories. The fourth -level category included sources 178 classification includesby combustion types, emission segments, and control levels. The 179 <u>Detailed classification is shown in Table S1 in of the supporting information.</u> 180 2.3 Emission estimation methods 181 The emissions of SO₂, PM₁₀, and PM_{2.5} from stationary combustion sources are 182 were calculated using the mass balance method by according to Eq. (1) and (2). Other

183 pollutant emissions are-were calculated using the EF method, as shown ingiven by Eq.

184 (3).

185
$$E_{SO_2} = 2 \times S \times F \times C_s \times (1 - \eta_{SO_2}) \tag{1}$$

186 $E_{\rm PM} = A \times F \times C_A \times P_{ratio} \times (1 - \eta_{\rm PM})$

187
$$E_{i,j} = AL_j \times EF_{i,j} \times (1 - \eta_{i,j}) \times 10^{-3}$$
(3)

188 Where E_{SO2} and E_{PM} represent the emissions of SO₂ and PM₁₀ or PM_{2.5} (t) S and A 189 represent fuel sulfur content and ash in fuel (%): F is the fuel consumption (t): Cs and 190 C_A are the conversion efficiencies from sulfur and ash to SO₂ and PM (%); P_{ratio} is the 191 mass percentage of PM₁₀ or PM_{2.5} in total PM_{$\frac{1}{2}$} η_{SO2} and η_{PM} represent the removal 192 efficiency of SO₂ and PM₁₀ or PM_{2.5⁻¹/2} $E_{i,j}$ represents the emissions of pollutant *i* from 193 source j(t);- AL_i is the activity data of source j, such as fuel consumption, product output, 194 and raw material consumption, etc.; $EF_{i,j}$ is the EF of pollutant *i* from source *j* (kg per 195 activity data): $H_{i,j}$ is the removal efficiency of pollutant *i* from source *j*.

(2)

196 Emissions from the industrial process sources are-were calculated using the EF 197 method shown in Eq. (3). For large point sources, we established a segment-based 198 emission estimation method based on local surveys. For example, we subdivided the 199 ferrous metal manufacturing industry into raw material yard, iron making (including 200 sintering, pelletizing, and blast furnace), steel making (including converter and electric 201 furnace), casing steel, rolling steel, and ferroalloy production. The petroleum refining 202 industry was subdivided into eight segments including process devices, equipment leak, 203 storage tank, bulk loading, flare, wastewater treatment, cooling tower, and 204 petrochemical furnace. The activity data and EFs of each segment were both derived 205 from on-site surveys and measurements. Emissions from industrial solvent-use sources 206 are-were calculated using the mass balance method based on the consumption and 207 MVOC content of solvents, such as paints, coatings, inks, adhesives, thinners, etc. A 208 sSmall amounts of NMVOC remaining in products, wastewater and waste was-were 209 not considered in this calculation. The solvent consumptions and their VOC content of 210 large point sources were mainly from field surveys and arethen extended to similar 211 industries and solvent varieties.

- For motor v
- For motor vehicles, we use the International Vehicle Emission (IVE) modelwas

213 used to calculate the emissions. However, the EFs and activity data including for driving 214 conditions, fleet composition, vehicle mileage travelsed (VMT), and meteorological 215 parameters in the model were localized-restricted tovia real-world measurements and 216 surveys-in this study. Non-road machinery emissions are were estimated with reference 217 to the from the NONROAD model (USEPA, 2010), which is was based on the fuel 218 consumption and fuel-based emission factor EFs. The amount of fFuel consumption is 219 was calculated based onfrom the population, working hours and fuel consumption rate 220 per hour derived from local survey in typical cities like Shanghai and Hangzhou. The 221 method was introduced in our previous study (Huang et al., 2018a). Limited by the data 222 source, we haven't achieved a daily-resolved emission estimation of agricultural 223 machinery introduced by Zhang et al. (2020), which may cause higher uncertainty on 224 its total amount and temporal and spatial distribution. Ship emissions are were 225 estimated according to the method of Fan et al. (2016) using the an approach based on 226 the Automatic Identification System (AIS) data. The detailed method has been reported 227 by Fan et al. (2016). The Ccivil aviation aircraft source refers to aircraft included 228 emissions under the defined by the International Civil Aviation Organization (ICAO) for 229 landing take-off (LTO) cycles, which included four operating modes, like approaching, 230 taxing, taking offtake-off, and climbing. SO2 emission from the civil aviation aircraft 231 source is was estimated using the mass balance method. The sulfur content in aviation 232 fuel is of 0.068%, which is was the default value provided in a previous study (Wayson 233 et al., 2009). NOx, CO, and NMVOC emissions are were estimated using the EF method; 234 aswhich the product of multiplied the fuel consumption rate by the and EFs. PM 235 emission is was calculated using the first-order approximation (FOA3.0) method 236 (Wayson et al., 2009). The rated thrust and working hours of the aircraft in each LTO 237 mode cycle are were referenced to from the recommended parameters recommended 238 by the International Civil Aviation Organization (ICAO). The climbing mode specified 239 by the ICAO refers-referred to thean altitude of about ~1 km from the end of take-off 240 to the top of the atmospheric boundary layer. However, since the height of boundary

| layer in the actual atmosphere will change with the was dependent on meteorological |
|---|
| conditions-, In this study, a-The Weather Research and Forecast meteorological model |
| (WRF- v3.9.1) Model (version 3.9.1) was used to simulate the boundary layer height to |
| and correct the timeduration of the climbing mode. A Ddetailed description of the |
| methodology_used for aviation emission estimation is provided in our previous study |
| (Wang et al., 2018b) |
| Emissions from the-other sources (dust-source, oil storage and transportation |
| source, residential source, waste treatment and disposal source, and agricultural source) |
| are-were all calculated using the EF method. |
| The emission estimation method of this study has been improved on the basis of |
| our previous study (the latest version was for 2014) (Li et al., 2019; Ni et al., 2020). |
| Table 1 shows the differences between the methods and data sources of this study and |
| the previous. First, the source category has been refined from the third-level 135 |
| categories to the fourth-level 2812 categories. Among them, large point sources such as |
| iron & steel and petroleum refining sectors were further subdivided into different |
| emission segments. Secondly, in addition to the environmental statistics data, the |
| activity data has been refined through local investigations on the removal technologies |
| and efficiencies, operating hours, and working conditions of industrial and mobile |
| sources including motor vehicles and non-road machinery; emissions from ships and |
| aircrafts, which were not considered in our previous study, were estimated based on |
| dynamic activity data like AIS provided by local department. In terms of the EFs, most |
| of them were corrected based on local measurements. |
| |

Table 1. Comparison of the methods and data sources in this study with our previous study.

| Methods/Data sources | This study | Our previous study | 带格式表格 |
|-------------------------------|--|-----------------------------------|------------------------|
| Source classification | 2812 source categories, subdivided | 135 source categories, subdivided | • 设置了格式: 字体: 加粗 |
| | into four levels, detailed to emission | into three levels | |
| | segments for large point sources | | |
| Activity data | | | 设置了格式: 字体:小五 |
| Stationary combustion sources | Based on environmental statistics | Based on environmental statistics | 设置了格式: 字体:加粗 |

| | Industrial process sources | Based on environmental statistics and | Based on environmental statistic | <u>s</u> |
|----|--|--|--|-------------------------|
| | | local investigation on removal | | |
| | | technologies and efficiencies | | |
| | Industrial solvent-use sources | Based on environmental statistics and | Based on environmental statistic | <u>8</u> |
| | | local investigation on solvent types | | |
| | | and consumption | | |
| | Motor vehicles | Based on city statistics and local | Based on city statistics and local | _ |
| | | activity surveys | activity surveys | |
| | Non-road machinery | Based on city statistics and local | Not considered | |
| | | activity surveys | | |
| | Ships | Based on AIS data | Not considered | |
| | Aviation aircraft | Based on LTO cycles from | Not considered | |
| | | department surveys | | |
| | Dust sources | Estimated based on city statistics | Estimated based on city statistics | 1 |
| | Oil storage and transportation sources | Based on city statistics | Based on city statistics | |
| | Residential sources | Based on city statistics | Based on city statistics | |
| | Waste treatment and disposal sources | Based on city statistics | Based on city statistics | |
| | Livestock and poultry breeding | Based on city statistics | Based on city statistics | |
| | N-fertilizer application | Based on city statistics | Based on city statistics | |
| | Biomass burning | Estimated based on city statistics | Estimated based on city statistics | Long |
| EF | <u>s</u> | | | 设置了格式: 字体:小五 |
| | | | | |
| | Stationary combustion sources | Based on literature surveys | Based on literature surveys | 议直了格式: 子体:加祖 |
| | Stationary combustion sources Industrial process sources | Based on literature surveys Updated the EFs for major segments | Based on literature surveys Based on literature surveys | 放重 1 桥式: 子体: 加租 |
| | Stationary combustion sources Industrial process sources | Based on literature surveys Updated the EFs for major segments of iron & steel and petroleum refining. | Based on literature surveys Based on literature surveys | 双重 J 桥式: 子体: 加祖 |
| | Stationary combustion sources Industrial process sources | Based on literature surveys Updated the EFs for major segments of iron & steel and petroleum refining sectors based on local measurements | Based on literature surveys Based on literature surveys | 放重了格式: 子体:加祖 |
| | Stationary combustion sources Industrial process sources Industrial solvent-use sources | Based on literature surveys Updated the EFs for major segments of iron & steel and petroleum refining sectors based on local measurements Estimated by solvent contents of | Based on literature surveys Based on literature surveys Based on literature surveys | 发重了格式: 子体:加祖 |
| | Stationary combustion sources Industrial process sources | Based on literature surveys Updated the EFs for major segments of iron & steel and petroleum refining sectors based on local measurements Estimated by solvent contents of different solvent types from local | Based on literature surveys Based on literature surveys Based on literature surveys | 发重了格式 :子体:加祖 |
| | Stationary combustion sources Industrial process sources Industrial solvent-use sources | Based on literature surveys Updated the EFs for major segments of iron & steel and petroleum refining sectors based on local measurements Estimated by solvent contents of different solvent types from local investigations | Based on literature surveys Based on literature surveys Based on literature surveys | 发重了格式 :子体:加祖 |
| | Stationary combustion sources Industrial process sources Industrial solvent-use sources Motor vehicles | Based on literature surveys Updated the EFs for major segments of iron & steel and petroleum refining sectors based on local measurements Estimated by solvent contents of different solvent types from local investigations IVE model corrected by local | Based on literature surveys Based on literature surveys Based on literature surveys IVE model | 发重了格式 :子体:加祖 |
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| | Stationary combustion sources Industrial process sources Industrial solvent-use sources Motor vehicles Non-road machinery Ships Aviation aircraft | Based on literature surveysUpdated the EFs for major segmentsof iron & steel and petroleum refiningsectors based on local measurementsEstimated by solvent contents of | Based on literature surveys Based on literature surveys Based on literature surveys Based on literature surveys IVE model Not considered Not considered Not considered Not considered Not considered | 发重了格式 : 子体: 加祖 |
| | Ships Aviation aircraft | Based on literature surveysUpdated the EFs for major segmentsof iron & steel and petroleum refiningsectors based on local measurementsEstimated by solvent contents of adifferent solvent types from localinvestigationsIVE model corrected by localmeasurementsNONROAD model corrected by localmeasurementsBased on local measurementsRecommende by ICAOBased on literature surveys | Based on literature surveys Based on literature surveys Based on literature surveys Based on literature surveys IVE model Based on literature surveys Not considered Based on literature surveys Not considered Based on literature surveys | 牧重り格式 : 子体: 加祖 |
| | Stationary combustion sources Industrial process sources Industrial solvent-use sources Motor vehicles Non-road machinery Ships Aviation aircraft Dust sources Oil storage and transportation sources | Based on literature surveys Updated the EFs for major segments of iron & steel and petroleum refining sectors based on local measurements Based on solvent contents of different solvent types from local investigations IVE model corrected by local measurements NONROAD model corrected by local Based on local measurements Based on literature surveys Extimated by Sol VACO Based on literature surveys | Based on literature surveys Based on literature surveys Based on literature surveys Image: Comparison of the surveys IVE model Image: Comparison of the surveys Not considered Image: Comparison of the surveys Not considered Image: Comparison of the surveys Based on literature surveys Image: Comparison of the surveys Based on literature surveys Image: Comparison of the surveys Based on literature surveys Image: Comparison of the surveys | 改重了格式 : 子体: 加祖 |
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| | Stationary combustion sources Industrial process sources Industrial solvent-use sources Motor vehicles Non-road machinery Ships Aviation aircraft Dust sources Oil storage and transportation sources Residential sources | Based on literature surveysUpdated the EFs for major segmentsof iron & steel and petroleum refiningsectors based on local measurementsEstimated by solvent contents of | Based on literature surveys Based on literature surveys Based on literature surveys IVE model Not considered Not considered Based on literature surveys | 改重了格式 : 子体: 加祖 |
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| | Stationary combustion sources Industrial process sources Industrial solvent-use sources Motor vehicles Non-road machinery Ships Aviation aircraft Dust sources Oil storage and transportation sources Residential sources Waste treatment and disposal sources | Based on literature surveysUpdated the EFs for major segmentsof iron & steel and petroleum refiningsectors based on local measurementsEstimated by solvent contents of anddifferent solvent types from localinvestigationsIVE model corrected by localmeasurementsBased on local measurementsBased on local measurementsBased on literature surveysEstimated based on localinvestigationsBased on local investigations andmeasurementsBased on local investigations andBased on literature surveys | Based on literature surveys Based on literature surveys Based on literature surveys IVE model Not considered Not considered Based on literature surveys Based on literature surveys Based on literature surveys | 改重了格式 : 子体: 加祖 |
| | Stationary combustion sources Industrial process sources Industrial solvent-use sources Motor vehicles Non-road machinery Ships Aviation aircraft Dust sources Oil storage and transportation sources Residential sources Waste treatment and disposal sources Livestock and poultry breeding | Based on literature surveysUpdated the EFs for major segmentsof iron & steel and petroleum refiningsectors based on local measurementsEstimated by solvent contents ofdifferent solvent types from localinvestigationsIVE model corrected by localmeasurementsNONROAD model corrected by localBased on local measurementsBased on local measurementsExtimated by SOLADBased on local measurementsinvestigationsBased on local investigations andmeasurementsBased on literature surveysBased on literature surveysBased on literature surveysBased on literature surveysBased on local measurementsBased on literature surveysBased on literature surveysBased on local measurementsBased on literature surveysBased on local measurementsBased on local measurements | Based on literature surveys Image: Surveys surve | 牧重り拾 式: 子体: 加祖 |
| | Stationary combustion sources Industrial process sources Industrial solvent-use sources Motor vehicles Non-road machinery Ships Aviation aircraft Dust sources Oil storage and transportation sources Oil storage and transportation sources Residential sources Usate treatment and disposal sources Livestock and poultry breeding N-fertilizer application | Based on literature surveysUpdated the EFs for major segmentsof iron & steel and petroleum refiningsectors based on local measurementsEstimated by solvent contents of andinvestigationsUVE model corrected by localmeasurementsNORROAD model corrected by localBased on local measurementsBased on local measurementsinvestigationsBased on literature surveysinvestigationsBased on local investigations andmeasurementsBased on literature surveysBased on local measurementsBased on local measurements | Based on literature surveys Based on literature surveys Based on literature surveys IVE model IVE considered Not considered Not considered Based on literature surveys | Y 文工 J 裕式: 子体: 加祖 |

264 2.4 Activity data sources

265 The aActivity data related to the industrial sources (including e.g. stationary 266 combustion sources, industrial process and solvent-use sources) of this study arewere 267 mainly from the 2017 Environmental Statistics Database, which contains contained the 268 information on fuel consumption, product output, raw material consumption, and 269 removal technology and efficiencies. There are were nearly ~30,000 major point 270 sources in for the YRD region in the database. However, the database didn't include all 271 the information like the technologies of NMVOC removal and their efficiencies, 272 especially for the median and small-size factories. To obtain more detailed information, 273 we have conducted more on-site investigations on the removal technologies and 274 efficiencies of industrial sources in typical cities including Shanghai, Hangzhou, 275 Suzhou, etc. According to the investigations, we classified the proportions of removal 276 technologies and efficiencies to different industrial sectors and then extended them to 277 the entire region. Considering that As environmental statistics do not include all 278 industrial sources, we take derived an area source using the difference between the total 279 fuel consumption and product output in the statistical yearbook and the sum of 280 environmental statistics for each city-as an area source. To improve the accuracy of 281 mobile source emissions, a number of several local activity surveys on the activity data 282 (such ase.g. population, vehicle or machine type, fuel type, and emission standard, etc.) 283 were conducted for motor vehicles, non-road machinery, and civil aviation aircrafts. 284 The activity data of ships come-was obtained from the AIS data for the East China Sea 285 in 2017. The activity data of area sources are were derived from the statistical yearbooks 286 of cities in the YRD region. For the sSources whose of activity data are not recorded in 287 the statistical yearbooks (such ase.g. the number of construction sites, civil solvent 288 usage, catering, biomass burning, etc.) were, we make some estimations estimated 289 based on from statistical data, such as population, building area, and crop yields, etc. 290 The biomass burning emissions in this study only included the emissions from 291 household biomass-fueled stoves. Their activity data was estimated based on the crop 292 yields and grain straw ratios combined with the proportions of household burning in 293 each city. The crop yields were obtained from the statistical yearbooks; the grain straw 294 ratios and the proportions of household burning were derived from the surveys from 295 agricultural department. In 2017, the average household burning ratio of various types 296 of straw was about 12% (3%-16%), 3% in developed cities such as Shanghai; the 297 highest ratios (16%) were in the cities of Anhui Province; and the ratios in other cities 298 were about 12% .- Table S2 in the support information summarizes the emission 299 estimation methods, activity data sources and their data reliability.

300 2.5 Determination of emission factor<u>EF</u>s

301 The EFs of each specific emission source were determined by local measurements 302 (or surveys) in the YRD region, domestic EI guidebook of China (MEP, 2014), and 303 those recommended given in USEPA's Compilation of Air Pollutant Emissions Factors 304 AP 42 (AP-42; USEPA, 2002) and Joint European Monitoring and Evaluation 305 Programme (EMP)/European Environment Agency European's (EMEAP) datasets 306 (EEA, 2013) in turnrespectively. To minimize the uncertainty of in the EI, this study 307 localizes localized the EFs of from 80 source categories, This which included the 308 majority of anthropogenic emission sources, such as coal-fired power plants and boilers 309 (Yao et al., 2009; Zhao et al., 2010; Wang et al., 2011; Lou, 2014; Sun, 2015; Xu et al., 310 2018), petroleum refining and ferrous metal manufacturing (Guo et al., 2017), gasoline 311 and diesel vehicles (Huang et al., 2016; Huang et al., 2017; Huang et al., 2018b; Huang 312 et al., 2018c), non-road machinery (Fu et al., 2012; Fu et al., 2013; Ge et al., 2013; Qu 313 et al., 2015; Li et al., 2016), and emissions from cooking (Wang et al., 2018a; Gao et 314 al., 2019), livestock and poultry breeding (Chen, 2017; Zhou, 2019), N-fertilizer 315 application (Chen et al., 2017; Xia et al., 2018), and biomass burning (Tang et al., 2014), 316 etc. The NMVOC EFs for some evaporation loss sources, such as, like industrial and 317 residential solvent-use-sources and, oil storage, and transportation-sources, are were estimated based onfrom the results of field surveys of some typical sources in the YRD 318

region. For the sources that <u>could not behave not been</u> measured or investigated, the
EFs were obtained in the following order of preference:recommended in the EI
guidebook of China (MEP, 2014)≥ are preferred, followed by the recommended factors
in the USEPA's AP-42 (USEPA, 2002)≥ and European's EMEP/EEA datasets (EEA,
2013). The EFs for each emission source and their references are provided in Table S1
in of the supporting information.

325 2.6 PM_{2.5} and NMVOC speciation

326 PM2.5 and NMVOC emissions are were converted into profiles offurther split into 327 individual species to simulate PM2.5 chemical components and O3 mixing ratios in the 328 atmosphere. The profile for PM2.5 comprised There are ____43 chemical species in PM2.5, 329 including OC, EC, particulate sulfate (PSO₄), nitrate (PNO₃), and ammonium (PNH₄) 330 and 36 elemental components such as Na, Mg, K, Ca, Al, and Si, etc. Additional species 331 such as particulate water (H2O), noncarbon organic matter (NCOM), metal bound 332 oxygen (MO), and other unspeciated PM2.5 (PMO) are were calculated according to the 333 method introduced byof Reff et al. (2009). The profile for NMVOC There are 424 334 species of VOCs, includeding 96 alkanes, 45 alkenes and alkynes, 44 aromatic hydrocarbons, 164 OVOCs, 43 haloalkanes, and 32 other organic compounds. 335 336 The method for-used to determining determine the PM2.5 and NMVOC source 337 profiles is similar tofollowed that used for the EFs and. The results of data was 338 preferentially selected as follows: -local measurements-are prioritized in this study, 339 followed by domestic >measurements from in-previous domestic studies, and finally 340 the_USEPA's SPECIATE_4.4 database (Hsu et al., 2014). To enhance-improve the 341 representativeness of source profiles in the inventory, the PM2.5 chemical compositions

of <u>from</u> 34 sources and the NMVOC chemical compositions of <u>from</u> 64 sources were
localized according to the measurements in the YRD region. <u>The sSource categories of</u>
<u>used for localization</u> <u>the localized for PM_{2.5} profiles included power plants, coal-fired</u>
boilers, ferrous metal manufacturing, gasoline and diesel vehicles, non-road machinery,

ships, catering, and biomass burning, etc. (Zheng et al., 2013; Tang et al., 2014; Huang

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347 et al., 2016; Xu et al., 2018). The localized NMVOC-source categoriess used for the 348 localized NMVOC profiles included coal combustion, gasoline and diesel vehicles, 349 ships, catering, biomass burning, and the majority of industrial process and solvent-use 350 sources, like-such as petroleum refining, coke production, chemical manufacturing, 351 textile, furniture manufacturing, package and printing, auto manufacturing, 352 shipbuilding, and architectural coating, etc. (Wang et al., 2014a; Wang et al., 2014b; 353 Wang et al., 2016; Wang et al., 2017b; Wang et al., 2017c; Huang et al., 2018d; Gao et 354 al., 2019). Detailed information for the concerning references, samples, and sampling 355 and analytical methods for the sources are represented given in Table S3. For thethose 356 species which cannot could not be analyzed determined by the analytical methods, we 357 supplement the mass fractions data was supplemented with of these species that obtained from the SPECIATE database. Figure 2 and Figure 3 shows the PM2.5 and 358 359 NMVOC speciation profiles of for the major emission sources in the YRD region, 360 respectively.





Figure 2. <u>SThe speciation profiles for the major emission sourcesof: (a)</u> PM_{2.5}(a); and (b)
 NMVOCs (b) for major emission sources.

364 2.7 Spatial distributions

365 Emissions from industrial sources, e.g. including power plants, boilers, industrial 366 process and solvent-use sources, were allocated from the Environmental Statistics 367 Database based on their latitude and longitudegeographical coordinates from 368 Environmental Statistics Database. Vehicle emissions were determined basedcalculated 369 from on the mileage sharing distributions of various vehicle typescategories on 370 different levels types of roads. The composition of traffic flow on different levels types 371 of roads was obtained from the previous surveys in Shanghai and Hangzhou (Huang et 372 al., 2015; Yang et al., 2017). The approach of spatial allocation for road dust was 373 consistent with that used for vehicle emissions. The spatial distribution of emissions 374 from non-road machinery varies varied in different ways depending on the type of 375 machinery. The emissions from construction and agricultural machinery were allocated 376 according to the built upurban and farmland areas given in the 2015 land use data 377 released by European Space Agency (ESA) (https://www.esa-landcover-cci.org/). Emissions from port and factory machinery, and airport ground handling equipment 378 379 were allocated assigned according to their latitude and longitudegeographical 380 coordinates. Emissions from residential sources were allocated assigned based from 381 population distribution data on-with a 1 km resolved-resolution population distribution 382 data. Those of Emissions from agriculture agricultural sources were allocated based 383 onfrom the farmland areas in the land_-use data (ibid.).

384 2.8 Uncertainty analysis

385 The uUncertainty is-was mainly derived from the activity data and EFs-in the EI.* 386 In this study, we classify tThe coefficients of variation of the activity data and EFs of 387 for each source were classified into seven grades in the range of 2%-100% based 388 onusing expert judgment. The coefficient of variation for the activity data is was 389 determined based on according to the data source. The eEnvironmental statistical data 390 with individualspecific source information is was assigned the lowest coefficient of 391 uncertainties uncertainty (2%), while the estimated activity data based estimated on 392 from the statistical yearbooks, such as biomass burning, are-was assigned the highest 393 uncertainty values (98%). The coefficients of uncertainty for other activity data sources 394 were assigned to be 18%, 34%, 50%, 66%, and 82% in turn. The principle for 395 assignments of the coefficients of variation of the for emission factor uncertaintyEFs 396 iswas the same as the activity level. The EFs derived from local measurements in the 397 YRD region with large samples are were assigned the lowest lower coefficients of 398 uncertaintyvalues (18%), while those from USEPA's or EMEP/EEAEuropean's datasets 399 are-were assigned higher coefficients (98%). Then the uncertainty of each pollutant 400 from each emission source can be combined by Eq. (3-5). A Ddetailed description of

401 <u>the analysis analytical methods used</u> can be found in our previous study (Huang et al.,

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402 2011).<u>–</u>

$$CV = \frac{U}{E} = 1.96 \times \sqrt{(1 + C_a^2) \times (1 + C_f^2) - 1}$$

$$U_j = \sqrt{\sum_k U_{j,k}^2}$$

$$U = \sqrt{\sum_j U_j^2}$$

$$(4)$$

$$(5)$$

403 <u>where, CV is the coefficient of variation of the emission rate, E is the emission rate, U</u>

404 is the uncertainty of the emission source, \underline{C}_e is the uncertainty of activity data, \underline{C}_f is the

405 <u>uncertainty of EF, *j* and *k* represent for pollutant and emission source, respectively.</u>

406 <u>2.9 Uncertainty analysisModel configurations-validation</u>

407 To verify the reliability of the EI, we used CMAQ (version 5.3) to simulate the 408 concentrations of SO₂, NO₂, PM_{2.5}, PM₁₀, O₃, and CO in the YRD region for January 409 and July 2017, and compared these with the observation data for each city in the region. 410 The meteorological field for the CMAQ model was obtained from the WRF (version 411 3). The EI developed in this study was then used to produce an emission system for the 412 YRD region while emissions beyond the YRD were obtained from the MEIC 2016. The 413 anthropogenic data was then combined with biogenic data obtained from the Model for 414 Emissions of Gases and Aerosol from Nature modelling system (version 2.10) as the 415 final input for the EI of the model. Figure S1 and Table S6 show the domain and settings 416 for the model system. Detailed information is provided in Section 6 of the Supporting 417 information. 418 2.10 Model configurations Estimation of O3 and SOA formation potentials 419 To characterize the regional O3 and SOA formation contributions of different 420 NMVOC species and their sources, we used the O3 formation potential (OFP) and SOA 421 formation potential (SOAP) methods of estimation. OFP and SOAP were obtained from 422 the sum of the individual NMVOC species emissions multiplied by the maximum

423 incremental reactivity (MIR) and SOA yield, respectively. MIR and SOA yield for

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424 individual NMVOC species were obtained from previous studies (Carter, 1994; Wu and

425 Xie, 2017). The estimation methods were shown in Eq. (6) and (7).

$$OFP_i = \sum_{j=1}^{N} E_{i,j} \times MIR_j$$
(6)

(7)

$$SOAP_i = \sum_{j=1}^{N} E_{i,j} \times Y_j$$

426 where, *OFP_i* and *SOAP_i* are the ozone formation potential and SOA formation potential

- 427 of source *i*, respectively OFP_i is the ozone formation potential of source *i*, $E_{i,j}$ is the
- 428 VOC emission of species *i*, *MIR_j* is the maximum increment reactivity for the *j*th
- 429 <u>chemical species, *Y_j* is the SOA yield for the *j*th chemical species.</u>

430 3. Results and discussion

- 431 3.1 Emission and source contributions
- 432 3.1.1 Emissions and their comparisons with previous studies
- 433 The total emissions of SO₂, NO_x, CO, NMVOCs, PM₁₀, PM_{2.5}, and NH₃ in the
- 434 YRD region for the year of 2017 were 1,552, 3,235, 38,507, 4,875, 3,770, 1,597 and
- 435 2,467 Gg, respectively. If <u>Excluding</u> ship emissions were not included, the air pollutant
- 436 emissions above would bewere 1,437, 2,936, 38,486, 4,867, 3,754, 1,583 and 2,467 Gg,
- 437 respectively. Detailed information of air pollutant emissions for each city is shown in
- Table S1 in-of the supporting information the Supplement.

439 Table 1-2 shows the estimated emissions in for the YRD region estimated in this 440 study and their comparisons with previous studies. SO2 emissions were close to the that 441 from result in the MEIC in 2016, and were-much lower than those reported in other 442 studies in the past few years 2015. Emission reductions on from coal-fired facilities, 443 including power plants and boilers, were could be the main reason for the significant 444 decline in SO₂ emissions (Zheng et al., 2018). NO_x emissions were generally lower than 445 the results in from previous studies. Some modeling and satellite studies verified that 446 the NO_x emissions in previous studies were overestimated, partly due to the failure to 447 consider the improved improvements in NO_x control measures for the power sector 19

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448 which were not considered at that time (Zhao et al., 2018; Sha et al., 2019). The NO_x 449 emission factorEFs for coal-fired power plants and boilers in this study were derived 450 from local measurements which were generally lower than those in previous studies, so 451 the NO_x emissions from the power sector were 47% lower than those from the MEIC. 452 CO emissions were higher than the MEIC's results but close similar to those reported 453 by Sun et al. (2018a). NMVOC emissions for key sources in this study were 454 individually estimated base onusing the "bottom-up" method, so the estimates were 455 lower than the others whothose used using the "top-down" approach. Another reasonIn 456 addition, is the majoritymost of the emission factorEFs selected in this study were 457 detailed into different process segments, which are were generally lower than the 458 comprehensive factors-EFs used for whole industrial sectors in the previous studies. 459 Since dust sources were not included in the MEIC inventory, PM10 and PM2.5 emissions 460 estimated in this study were 1.7 and 0.5 times higher-than the results in MEIC, 461 respectively. A previous study has pointed out also showed that the existing NH₃ 462 emissions in China were underestimated, mainly due to the underestimate of NH3the 463 application of lower emission rates from fertilizer applications and livestock and 464 missing the omission of some emission other sources (Zhang et al., 2017). Therefore, 465 we used the local measured NH3 emission factorEFs for fertilizer application and part 466 some of the livestock breeding sources in the YRD region instead in this study. Another 467 difference came from transportation sector. NH3 emissions from the transportation 468 sector were also 2.8 times higher (by a factor of 2.8) than those from the in-MEIC when 469 due to the localized NH₃ emission factorEFs form-from light-duty gasoline vehicles 470 (Huang et al., 2018) were-used in this study. In addition, NH3 slip from selective catalyst 471 reduction (SCR)-devices in the power sector (not included in previous studies) was also 472 considered in this study. However, this emission source has not been included in 473 previous studies. 474 Compared with our previous inventory for 2014 (Li et al., 2019; Ni et al., 2020),

475 <u>SO₂, NO_x, PM₁₀, and PM_{2.5} emissions in the YRD region have decreased by 47%, 15%,</u>

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| 476 | 20%, and 24%, respectively, which were consistent with the trends of regional air |
| 477 | quality improvement (SO ₂ 44 %; NO ₂ 5%; PM ₁₀ 22%; PM _{2.5} 27%). However, it should |
| 478 | be noted that the approach of emission estimation in this study has made a number of |
| 479 | localized corrections in terms of emission factors and activity data. For example, CO, |
| 480 | <u>NMVOC</u> , and NH_3 emissions have increased significantly compared to 2014, which |
| 481 | mainly because more point sources were included in this study and more localized EFs, |
| 482 | which were generally higher than those in previous studies, were applied to estimate |
| 483 | NOx, CO, NMVOC, and NH3 emissions from solvent-use, motor vehicles, non-road |
| 484 | machinery, and agricultural sources. Next, it is necessary to estimate the emission |
| 485 | inventories by the same approach for different years to evaluate the changes in air |
| 486 | pollutant emissions in recent years. |

487 **Table 12.** Air pollutant emissions in the YRD region in this study and their comparisons with

488 other studies.

| Desiens | Dete server | D | Annual air pollutant emissions (Gg/year) | | | | | | | |
|----------|---------------------|-------------|--|----------------|-----------------|----------------|----------------|-------------------|-----------------|--------------------------------|
| Regions | Data source | Base year | SO_2 | NO_x | СО | NMVOCs | PM_{10} | PM _{2.5} | NH ₃ | |
| YRD | This study | 2017 | 1 <u>,</u> 437 | 2 <u>.</u> 936 | 38 <u>,</u> 486 | 4 <u>.</u> 867 | 3 <u>.</u> 754 | 1 <u>.</u> 583 | 2 <u>.</u> 467 | |
| | MEIC | 2016 | 1 <u>.</u> 136 | 3 <u>.</u> 753 | 19 <u>.</u> 560 | 5 <u></u> 527 | 1 <u>.</u> 374 | 1_025 | 1 <u>.</u> 153 | |
| | Simayi et al., 2019 | 2016 | | | | 4,984 | | | | |
| | Sun et al., 2018a | 2015 | 3 <u>.</u> 050 | 4 <u>.</u> 160 | 30,210 | 5,490 | | | | |
| | Zhang et al., 2017b | 2015 | | | | | | | 1 <u>.</u> 632 | |
| | Our previous study | <u>2014</u> | <u>2,737</u> | 3,457 | <u>33,118</u> | 4,069 | 4,681 | 2,085 | 1,582 | 设置了格式: 字体:(中文)+中文正文(等线) |
| | Wu et al., 2018 | 2013 | | | | 6 <u>,</u> 198 | | | \square | 设置了格式: 字体:(中文)+中文正文(等线) |
| Shanghai | This study | 2017 | 57 | 225 | 1,393 | 418 | 124 | 56 | 54 | 设置了格式: 字体:(中文)+中文正文(等线) |
| U | MEIC | 2016 | 168 | 345 | 1.192 | 683 | 69 | 51 | 25 | 设置了格式: 字体:(中文)+中文正文(等线) |
| | Simavi et al. 2010 | 2016 | | | 2 | 778 | | | | 设置了格式: 字体:(中文)+中文正文(等线) |
| | | 2010 | 550 | 470 | 2250 | 720 | | | | |
| | Sun et al., 2018a | 2015 | 550 | 470 | 2250 | 580 | | | | |
| | Zhang et al., 2017b | 2015 | | | | | | | 50 | |
| | Our previous study | 2014 | <u>100</u> | 256 | <u>1,699</u> | <u>391</u> | <u>197</u> | <u>93</u> | 73 | 设置了格式:字体:(中文)+中文正文(等线) |
| | Wu et al., 2018 | 2013 | | | | 838 | | | | 设置了格式: 字体:(中文)+中文正文(等线) |
| | Fu et al., 2013 | 2010 | 260 | 453 | | 422 | 86 | 59 | 65 | 设置了格式:字体:(中文)+中文正文(等线) |
| liangsu | This study | 2017 | 619 | 1 165 | 17 309 | 2.056 | 1 440 | 577 | 1.093 | 设置了格式:字体:(中文)+中文正文(等线) |
| Juigsu | MERC | 2017 | 4.60 | 1,500 | 0.101 | 2,000 | 516 | 200 | 1 <u>1</u> 075 | 设置了格式: 字体:(中文)+中文正文(等线) |
| | MEIC | 2016 | 468 | 1 <u>.</u> 586 | 8 <u>,</u> 191 | 2 <u>.</u> 128 | 516 | 388 | 532 | |
| | Simayi et al., 2019 | 2016 | | | | 2,024 | | | | |
| | Sun et al., 2018a | 2015 | 1 <u>.</u> 230 | 1 <u>.</u> 700 | 13 <u>,</u> 780 | 2 <u>,</u> 000 | | | | |
| | Zhang et al., 2017b | 2015 | | | | | | | 703 | |

| | Our previous study | <u>2014</u> | <u>1,002</u> | <u>1,315</u> | 12,667 | 1,560 | <u>1,761</u> | <u>779</u> | <u>544</u> |
|----------|---------------------|-------------|----------------|----------------|-----------------------|----------------------|----------------|------------|----------------|
| | Wu et al., 2018 | 2013 | | | | 2 <u>,</u> 240 | | | |
| | Zhou et al., 2017 | 2012 | 1 <u>.</u> 142 | 1 <u>.</u> 642 | 7 <u>.</u> 680 | 1 <u>.</u> 747 | 1 <u>.</u> 394 | 941 | 1 <u>.</u> 100 |
| | Fu et al., 2013 | 2010 | 1 <u>,</u> 126 | 1 <u>.</u> 257 | | 1 <u>.</u> 759 | 619 | 401 | 976 |
| Zhejiang | This study | 2017 | 339 | 676 | 7 <u>.</u> 036 | 1,484 | 775 | 308 | 363 |
| | MEIC | 2016 | 280 | 867 | 3 <u>.</u> 779 | 1 <u>.</u> 671 | 219 | 151 | 159 |
| | Simayi et al., 2019 | 2016 | | | | 1 <u>.</u> 624 | | | |
| | Sun et al., 2018a | 2015 | 730 | 980 | 5 <u>,</u> 110 | 1 <u>.</u> 810 | | | |
| | Zhang et al., 2017b | 2015 | | | | | | | 257 |
| | Our previous study | <u>2014</u> | <u>646</u> | 903 | <u>9,372</u> | <u>1,346</u> | <u>1,199</u> | <u>508</u> | 374 |
| | Wu et al., 2018 | 2013 | | | | 2 <mark>,</mark> 214 | | | |
| | Fu et al., 2013 | 2010 | 762 | 1 <u>,</u> 067 | | 1 <u>.</u> 641 | 301 | 184 | 398 |
| Anhui | This study | 2017 | 422 | 869 | 12 <mark>,</mark> 748 | 910 | 1 <u>.</u> 415 | 642 | 957 |
| | MEIC | 2016 | 221 | 954 | 6 <u>.</u> 398 | 1 <u>.</u> 045 | 570 | 435 | 437 |
| | Simayi et al., 2019 | 2016 | | | | 608 | | | |
| | Sun et al., 2018a | 2015 | 540 | 1 <u>.</u> 010 | 9 <u>.</u> 070 | 1 <u>.</u> 100 | | | |
| | Sun et al., 2018b | 2015 | 434 | 688 | | | | 323 | 422 |
| | Zhang et al., 2017b | 2015 | | | | | | | 622 |
| | Our previous study | <u>2014</u> | 725 | 983 | <u>9,380</u> | 772 | 1,524 | 706 | 592 |
| | Wu et al., 2018 | 2013 | | | | 906 | | | |

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489 3.1.2 Source contributions

490 Figure 3 shows the emission contributions of the major air pollutants in the YRD 491 region from the contributions of emission sources divided by different main source 492 categories category sources (a), industrial sector sources (b), and mobile sources types 493 (c). Further Detailed_detailed_information of the emissions from each source was-is 494 provided in Table S5. SO2 and CO emissions were mainly from boilers, accounting for 495 49% and 73% of the total emissions, respectively. Notably, the emission contributions 496 of from power plants were much significantly lower than those in other from previous 497 inventories (MEIC, http://meicmodel.org/; Zhou et al., 2017), resulting mainly from the 498 significant reduction in power plant emissions due to the implementation of ultra-499 lowstringent emission reduction measures in recent years (Wu et al., 2019; Zhang et al., 500 2019).

501 Mobile sources <u>accounted for dominated</u> the <u>majority (57%) of</u> NO_x emissions in 502 the YRD region, which contributed 57% of the total. This estimate <u>which</u> was generally

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503 higher than the proportion of mobile sources contributions given in the MEIC and other 504 inventories-EI (Zhou et al., 2017; Sun et al., 2018a). Emission control measures for 505 power plants had demonstrably played an important role in reducingreduced their 506 contributions on NO_x emissions. In addition, other studies did not include ship 507 emissions, which had not been included in previous studies, accounted for 16% of NO_x 508 emissions from mobile sources in the YRD region, (see as shown in Figure 3(c), 509 'Marine'). Furthermore, Another reason that cannot be ignored was the NO_x emission 510 factorEFs from for gasoline and diesel vehicles used in this study, were modified based 511 onderived from local measurements-in this study, which-were generally higher than 512 those recommended-given by MEP (2014). Some real-world measurements based on 513 portable emission measurement systems-(PEMS), on-road chasing, and tunnel 514 experiments also indicated that the NO_x emissions from vehicles in China were higher 515 than expected, probably due to deficiencies in the type-approval protocols and emission 516 controlsthe existence of high emitting vehicles (Wu et al., 2012; Huang et al., 2017; 517 Song et al., 2018; Wen et al., 2019).

518 Industrial sources accounted for the majority of total NMVOC emissions were 519 mainly contributed by industrial sources, accounting for (61%) of the total, of which 520 industrial process and solvent-use sources accounted forcontributed 34% and 27%, 521 respectively. Mobile and residential sources contributed 20% and 10%, respectively. 522 Dust sources were the main contributors to PM10 (55%) and PM2.5 (28%) emissions, 523 occupied 55% and 28%, respectively. Agricultural sources contributed up toaccounted 524 for 91% of NH₃ emissions with minor contributions from. In addition, residential (3%) 525 and mobile (1%) sources contributed 3% and 1% of NH3 emissions, respectively. 526 Although NH₃ emission factor EFs from vehicles have been were considered in this 527 study, their NH₃-emissions was stillwere significantly lower than those from agricultural 528 sources. However, vehicle emissions were mainly concentrated in urban areas where, 529 and their contribution to NH₃ emissions in urban areas would be considerable.

530 Industrial_<u>emissions of SO2</u> and CO <u>emissions were</u> mainly <u>came</u> from <u>the</u> five

531 major sectors, including of petroleum refining, coking, chemical manufacturing, non-532 metallic mineral manufacturing, and ferrous metal manufacturing. Non-metallic 533 mineral manufacturing and ferrous metal manufacturing dominated the industrial NO_x, 534 PM10, and PM2.5 emissions. The top five sectors of industrial sector sources of 535 **NM**VOCs emissions in the YRD region were chemical manufacturing, Furniture 536 furniture and wood manufacturing, Appliance appliance manufacturing, rubber and 537 plastic manufacturing, and non-metallic mineral manufacturing, These accounting accounted for 27%, 12%, 9%, 9%, and 6% of the total <u>NMVOC emissions</u>, respectively. 538 539 Chemical manufacturing contributed to the majority of industrial NH3 emissions in the 540 YRD region.

541 The ports and harbors of the YRD region has the largest portare collectively the 542 largest group in the world, and, as expected, so the emissions from the transportation of 543 ships and heavy-duty trucks dominated the mobile source emissions. Among them, 544 sShips accounted for 94%, 16%, 12%, and 12% of mobile source SO₂, NO_x, PM₁₀, and 545 PM2.5 emissions, respectively while and heavy-duty trucks occupied contributed 31%, 546 37%, and 36% of to mobile source NOx, PM10, and PM2.5 emissions; respectively. Light-547 duty vehicles contributed significantly to CO, MVOCs, and NH3 emissions, 548 accounting for 61%, 46%, and 90%, respectively. Non-road machinery accounted for 549 27%, 18%, 12%, 21%, and 22% of NOx, CO, MMVOCs, PM10, and PM2.5 emissions 550 from mobile sources, respectively. Construction and agriculture machinery were also 551 major contributors.



Figure 3. Emission Source contributions of major air pollutants emissions in the YRD region_
 <u>from-:</u> (a) Divided by major Major source categoriescategory sources; (b) Divided by detailed
 industrial sectors sources; (c) Divided by detailed mobile sources.

556 3.1.3 Spatial distribution

557 Figure- 4 shows the spatial allocation distribution of SO2, NOx, CO, NMVOCs, 558 PM2.5, and NH3 emissions in the YRD region. SO2 emissions were mainly concentrated 559 in the high-density shipping regions of the Yangtze River and East China Sea estuary, 560 where ships were densely populated. SO₂ emissions from power plants and boilers 561 along the Yangtze River and in the cities of northern Anhui and Jiangsu provinces 562 were also dense, mainly from power plants and boilers _ also significant in these 563 regions. The spatial distribution of NO_x and NMVOCs was similar and, mainly 564 concentrated along the Yangtze River and Hangzhou Bay, where the industries industry 565 and logistics were most developed. CO and PM2.5 emissions were mainly concentrated 566 in the built-upurban areas of cities due to intensive road traffic and human activities 567 such as construction sites work. NH3 emissions were relatively high in northern Anhui 568 and Jiangsu provinces, resulting mainly from their developed agriculture. Large cities 569 such as Shanghai presented higher NH3 emission intensities, largely due to The 570 contributions of NH3 emissions from residential and mobile sources has led to higher 571 NH3 emission densities for large cities such as Shanghai.



572

| 573 574 | Figure 4. Spatial distribution of major air pollutant emissions in the YRD region |
|------------|--|
| 575 | Figure 5 shows the spatial distribution of $\frac{1}{100} \frac{1}{100} \frac$ |
| 576 | industrial sectors of NO*- and NOCs emissions in the XRD region. There were large |
| 577 | differences in the spatial distribution of <u>the</u> different industrial sectors. <u>Key sources of</u> |
| 578 | NO _x emissions, shown in Figure 5(a)-(d), included: The power Power plants were |
| 579 | mainly distributed along the Yangtze River and Hangzhou Bay and the northern part of |
| 580 | the YRD region-: The-iron &-and steel manufacturing sector was-concentrated along |
| 581 | the Yangtze River-; and Cement-cement and brick manufacturing sectors were-mainly |
| 582 | distributed in the western and northern regions of the YRD. In comparisonCompared |
| 583 | with NOx emissions, the key sectors sources of <u>NM</u> VOC emissions (Figure 5(e)(l)) |
| 584 | were mainly concentrated in the central and eastern regions of the $YRD_{\overline{\tau_{-}}}$ These |
| 585 | including included the regions of Shanghai, Suzhou, Wuxi, Changzhou, Nanjing, |
| 586 | Hangzhou, Ningbo, Jiaxing, and Shaoxing, etc., which also had the strongest-highest |
| 587 | NO_x emission intensities in the YRD region. High intensities levels of NO_x and |
| 588 | <u>NM</u> VOC emissions are the were key factors leading to responsible for serious harmful |
| 589 | pollution of by ozone and secondary particulate matter PM and O3 in this region (Li et |
| 590 | al., 2018; Li et al., 2019). Refining the specific industrial sectors of emissions can help |

591 to find out the detailed sources inducing air pollution.

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593 Figure 5. Spatial distribution of the major NO_x and $\underline{NM}VOC$ emission sources in the YRD region. 594 Previous studies have shown that the unit-based bottom-up approach based on 595 local activity data can improve the spatial distribution of emission inventories (Zhao et 596 al., 2015; Zheng et al., 2017; Zhao et al., 2018; Zheng et al., 2019). The spatial 597 distribution of major air pollutants obtained in this study is consistent to theother unit-598 based inventories based on local surveys. For example, the distribution of NMVOC 599 emissions is consistent with that obtained from the on-site surveys in Jiangsu Province 600 (Zhao et al., 2017); the distribution of NH₃ emissions is also consistent with the results 601 using dynamic emission factors and localized information (Zhao et al., 2020). 602 Compared with the national-scale inventory like the MEIC, this study has improved the 603 distribution along the Yangtze River and Hangzhou Bay where large point sources were 604 denser, and it also reduced the misjudgment of NOx and NMVOC emission hotspots in 605 the northern and southern areas, as shown in Figure S1. The distribution of NH₃ 606 emissions was also improved in the northern areas of the region and in the city centers 607 with more localized EFs of mobile and agriculture sources.

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608 3.1.4 Uncertainty assessment

609 The inventory EI was compiled using a the "bottom-up" approach based on local 610 emission factorEFs and activity data in from the region. The activity data of for 611 industrial sources, including fuel consumption, sulfur content, ash content, raw material 612 used, and control efficiency, were collected from the Environmental Statistics Database. 613 Emission factorEFs from some key sources, such as coal-fired power plants and boilers, 614 iron & and steel manufacturing, gasoline and diesel vehicles, non-road machinery, 615 catering, and agricultural sources, etc., have beenwere modified based on the local 616 measurements. These all-measured helped to reduce the uncertainty of the emission 617 estimates. Table 2-3 shows the uncertainties of major sources at the 95% confidence 618 interval in this inventoryEI. The average uncertainties of emissions from the YRD 619 region were estimated as -29 to 36% for SO₂, -28 to 33% for NO_x, -42 to 75% for CO, 620 -44 to 68% for NMVOCs, -36 to 62% for $PM_{10},$ -30 to 46% for $PM_{2.5},$ and -58 to 117% 621 for NH3. The overall uncertainty uncertainties of this inventory was were reduced lower 622 compared to with our previous inventory EI for the YRD region (Huang et al., 2011). 623 The uncertainty assessment indicates indicated that emissions from the stationary 624 combustion sources, such as including power plants and boilers, were more reliable, 625 because the emissions were estimated estimates were based on the detailed activity data 626 and local measurements. The uncertainties of for emissions from major industrial 627 sectors, such as ferrous metal manufacturing, non-ferrous metal manufacturing, and 628 non-metallic mineral manufacturing, were greatly-significantly improved when using 629 by the detailed emission estimation approach for the different process segments. In 630 comparisonHowever, the large uncertainties remained for emissions from chemical

manufacturing still have large uncertainties since there are adue to the many uncategorized large number of processes and emissions for that sector-segments and unorganized emissions. The uncertainties of for emissions from vehicles and non-road machinery in this study were mainly came from the activity data. Although their population could be obtained from the statistical yearbooks, their mileage VMT travels 636 orand working hours were still difficult tocould not be estimated accurately. Dust 637 emissions including from construction and roads dust have had much higher 638 uncertainties due toas less information of their activity data lacked detail and fewer 639 emission factorEFs was were available. Most of the area sources, like such as residential 640 and agricultural-sources, were estimated based onfrom the activity data obtained from <u>the</u> statistical yearbooks, resulting in higher uncertainties of <u>in</u> their emission estimates. 641 642 Despite these limitations, Overall, using of the emission estimation approach, based on 643 refined process segments and local measurements, can help to reduced the overall 644 uncertainties of the EI. However, more detailed comprehensive activity data and 645 accurate emission factor EFs are still very critical required to improve the quality of EIs 646 in the future.

Table 23. Uncertainty assessment of for the major emission sources in the YRD region.

| Sources | SO_2 | NOx | CO | NMVOCs | PM_{10} | PM _{2.5} | NH ₃ |
|--|--------------|--------------|--------------|--------------|--------------|-------------------|-----------------|
| Power plants | (-25%, 28%) | (-33%, 15%) | (-26%, 27%) | (-28%, 22%) | (-24%, 29%) | (-25%, 28%) | (-45%, 76%) |
| Boilers | (-29%, 38%) | (-23%, 27%) | (-24%, 30%) | (-19%, 23%) | (-24%, 30%) | (-24%, 30%) | (-46%, 56%) |
| Petroleum refining | (-49%, 84%) | (-45%, 72%) | (-51%, 90%) | (-40%, 57%) | (-53%, 60%) | (-53%, 64%) | (-39%, 62%) |
| Chemical manufacturing | | | | (-71%, 167%) | | | |
| Ferrous metal manufacturing | | | | (-41%, 61%) | (-23%, 48%) | (-12%, 34%) | |
| Non-ferrous metal manufacturing | (-37%, 78%) | (-42%, 62%) | | (-44%, 70%) | (-38%, 60%) | (-52%, 94%) | |
| Non-metallic mineral manufacturing | (-48%, 75%) | (-46%, 71%) | (-47%, 72%) | (-45%, 69%) | (-44%, 74%) | (-43%, 68%) | |
| Vehicles | | (-38%, 55%) | (-48%, 73%) | (-46%, 69%) | (-50%, 83%) | (-44%, 67%) | (-55%, 98%) |
| Non-road machinery | (-47%, 75%) | (-44%, 66%) | (-57%, 112%) | (-50%, 86%) | (-46%, 76%) | (-45%, 77%) | |
| Construction dust | | | | | (-56%, 104%) | (-57%, 102%) | |
| Road dust | | | | | (-35%, 71%) | (-43%, 68%) | |
| Oil storage and transportation sources | | | | (-43%, 69%) | | | |
| Residential solvent-use | | | | (-57%, 116%) | | | |
| Residential combustion | (-64%, 143%) | (-44%, 79%) | (-70%, 88%) | (-68%, 165%) | (-43%, 66%) | (-43%, 66%) | (-44%, 72%) |
| Biomass burning | (-66%, 171%) | (-62%, 124%) | (-67%, 153%) | (-65%, 142%) | (-66%, 149%) | (-66%, 152%) | (-68%, 159%) |
| Livestock and poultry farming | | | | | | | (-67%, 148%) |
| Fertilizer application | | | | | | | (-78%, 213%) |
| Overall | (-29%, 36%) | (-28%, 33%) | (-42%, 75%) | (-44%, 68%) | (-36%, 62%) | (-30%, 46%) | (-58%, 117%) |

648 3.2 PM_{2.5} and <u>NM</u>VOC species emissions

649 3.2.1 PM_{2.5} species

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Figure 6 shows the <u>major emissions and source contributions</u> and species

651 comprisingof major PM_{2.5} species in the inventoryEI. OC, Ca, Si, PSO₄, and EC were 652 top five components in of primary PM_{2.5} in the YRD region, accounting for 9.0%, 7.0%, 653 6.4%, 4.6%, and 4.3% of PM_{2.5} emissions, respectively. There were large differences in 654 the emission contributions of for the different PM2.5 species. Among the industrial 655 sources, the non-metallic mineral manufacturing sector had made the largest 656 contributions to Ca, Si, and Al emissions, accounting for 51.6%, 15.9%, and 18.8% of 657 these species, respectively. Ferrous metal manufacturing was the main source of Fe 658 emissions, accounting for 57.9%. Vehicles was-were major contributors to OC and EC 659 emissions, taking up at 18.0% and 43.5%, respectively. K and Cl emissions mainly 660 came from biomass burning, accounting for 50.4% and 78.5%, respectively. 661 Construction dust was also an important source of PM2.5 componentsspecies, accounting for 15.9%, 34.1% and 20.4% of Ca, Si, and Al emissions, respectively. 662



667 3.2.2 <u>NM</u>VOC species

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Figure 7 shows <u>the major VOC species emissions and their</u> source contributions for species comprising NMVOC. The a<u>A</u>romatic <u>hydrocarbons and alkanes were the</u> maindominated the <u>NM</u>VOC species, accounting for <u>~25.3% each</u> of the total <u>NM</u>VOC emissions in the YRD region, followed by the alkanes, occupying 24.7%. Among the alkanesm, the straight chainlinear, branched, and cycloalkanes took-made_up 11.9%, 31

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673 9.9%, and 2.8% of the total, respectively. OVOCs also accounted for a considerable 674 proportion (21.9%) of MMVOC emissions in the YRD region, about 21.9% of the total. 675 Among thesem, the aldehydes, ketones, alcohols and esters took made up 5.0%, 4.4%, 676 9.0% and 3.5% of the total respectively. In addition, the hHaloalkanes occupied 677 accounted forabout 3.1% of the total NMVOC emissions. In the previous study of 678 NMVOC emissions in the YRD region by Wu et al. (2017), The aromatic hydrocarbons 679 were also the dominant species in VOC emissions in the YRD region in a previous study 680 reported by Wu et al. (2017), even higher (40%) than the proportion in ours. The 681 proportion of, concentrations of OVOCs was quite close were similar to our study, while 682 the proportion of alkenes and haloalkanes were generally lower-than ours.

683 The cChemical manufacturing accounted for a considerable proportion of various 684 MVOC species in the YRD region, accounting for 12.7%, 21.5%, 13.7%, and 10.8% 685 of the alkanes, alkenes, aromatics hydrocarbon, and OVOC emissions, respectively. 686 Industrial solvent-use sources, including-which included furniture and wood processing, 687 textiles, package packaging and printing, pharmaceutical manufacturing, metal 688 products, auto manufacturing, and appliance manufacturing, etc., were also an 689 important source of **NMVOC** emissions in this region, Industrial solvent-use sources 690 which occupied accounted for 29.3% and 33.3% of the aromatics hydrocarbon and 691 OVOC emissions respectively, while residential solvent-use sources accounted for 23.7% 692 and 4.9% of the aromatics hydrocarbon and OVOC emissions respectively in the YRD 693 region. Motor vehicles also have a very importantmade a significant contribution to 694 various <u>NM</u>VOC species in the YRD region, occupying making up 31.2%, 10.4%, 695 15.1%, and 10.5% of the total emissions of alkanes, alkenes, aromatics, and OVOC 696 emissions in the region respectively. Biomass burning contributed to 12.0% of the 697 aldehyde emissions, although it accounted for only 2.5% of the total **NMVOC** 698 emissions in the region.

699 Overall, the refinement of VOC the source profiles can helphelped to provide an 700 important support for assessing the impacts of <u>NM</u>VOC emissions on ambient air quality in the region. However, there are still considerable differences of in NMVOC
compositions between in different studies remained and. It is necessary to strengthen
efforts should be made to improve the verification of NMVOC species emissions in the
future emissions studies.



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Figure 7. <u>Major Emissions and source contributions of species comprising major NM</u>VOC species in the YRD region.

709 3.3 Model validation

| 710 | To verify the reliability of the emission inventoryEI, we used the Community |
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| 711 | Modeling and Analysis System (CMAQ (version 5.3) to simulate the concentrations of |
| 712 | SO ₂ , NO ₂ , PM _{2.5} , PM ₁₀ , O ₃ , and CO in the YRD region in for January and July 2017, |
| 713 | and compared these with the observation data in for each city of in the region. The |
| 714 | Weather Research and Forecast (WRF) version 3 supplied the meteorological field for |
| 715 | the CMAQ model was obtained from the WRF (version 3). The emission inventoryEI |
| 716 | developed in this study was then used to produce the an emission system in for the YRD |
| 717 | region while emissions beyond the YRD was were supplied obtained from by |
| 718 | Multiresolution Emission Inventory for Chinathe (MEIC(2016) |
| 719 | (http://www.meicmodel.org). The anthropogenic data was then combined with the |
| 720 | biogenic data obtained from the Model for Emissions of Gases and Aerosol from Nature |

<u>modelling_system_(MEGAN_version_2.10)</u> as the final input of <u>for_the_emission</u>
 inventory<u>EI</u> for <u>of</u> the model. Figure S1 and Table S6 show the domain and settings of
 <u>for the model system, respectively. Detailed information is provided in Section 6 of the</u>
 Supporting information Section 6.

725 Figure 8 shows a comparison of compares the simulated and observed 726 concentrations for SO₂, NO₂, PM_{2.5}, PM₁₀, O₃, and CO for cities in the YRD region in 727 January and July 2017-in the YRD region with those of the observation data. The 728 simulated concentration distribution of the differentvarious pollutants is was consistent 729 with the observation observed results, which indicates indicating that the updated 730 inventory EI generally reflects reflected the distribution of air pollution sources in the 731 YRD region. Comparatively, the consistency agreement between the simulated 732 concentration distribution and the observed results of for the cities in the central areas 733 of the YRD region is was stronger than those cities of the northern and southern border 734 areas. This is was mainly because the concentration in the border areas is were more 735 susceptible to the effects of emissions from the outer areas outside the region, which 736 leads toresulted in greater deviation of the simulation results-deviation. A statistical 737 analysis of the resultshourly concentrations obtained from the Detailed statistical results of the model performance model for simulating various the pollutants in each city is 738 739 shown can be found in Table S7 of the supporting information. Figure 9 shows the mean 740 fractional error (MFE) and the mean fractional bias (MFB) between the simulated and 741 observed daily average concentrations in the cities of the region. Overall, the MFB and 742 MFE of simulation and observation results of all the pollutants in January and July were 743 all within the criteria (MFB $\leq \pm 60\%$, MFE $\leq 75\%$) of model performance recommended 744 by Boylan and Russell (2006), and most of them were with the performance goals (MFB 745 $\leq \pm 30\%$, MFE $\leq 50\%$), which indicated that the EI in this study could reflect the air 746 pollution in winter in the YRD region. In July, the MFB and MFE of O3 and PM2.5 747 model performance all fell within the criteria range. However, the simulation results of

primary pollutants like SO₂, NO₂, PM₁₀ and CO were somewhat underestimated.

| 749 | Especially for SO ₂ and CO, nearly half of the cities had MFBs lower than -60%, and |
|-----|--|
| 750 | the cities with large deviations were mainly concentrated in peripheral areas of the YRD |
| 751 | region (such as Huangshan, Chizhou, Xuancheng, Lishui, etc.). These cities generally |
| 752 | had higher contributions of area emissions from residential and agriculture sources |
| 753 | instead of large point industrial sources. The activity data of these sources usually had |
| 754 | higher uncertainties and would easily cause the deviation of emission estimation. For |
| 755 | example, the underestimation of the amount of residential coal combustion would |
| 756 | undoubtedly lead to a severely low estimate of SO2 and CO emissions. However, since |
| 757 | PM _{2.5} and O ₃ pollution were more regional, their simulation results were less affected |
| 758 | by insufficient local activity data in these cities. Conducting more detailed on-site |
| 759 | investigations to obtain more accurate activity data is the key to further improving the |
| 760 | performance of EI in the futureOverall, the simulation results of O3-were relatively |
| 761 | high in January, while SO ₂ , NO ₂ , PM _{2.5} , PM ₁₀ , and CO were relatively low. While in |
| 762 | July, except that the O_3 -simulation concentrations were slightly higher than the observed |
| 763 | results, the average NO2-simulation values were consistent with the measured averages, |
| 764 | other pollutants were relatively lower. |
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Figure 8. Comparisons of simulated and observed (circles) monthly average pollutant concentrations for cities in the YRD region in January and July 2017: (a) of SO₂₇; (b) NO₂₇; (c) daily maximum 8-hour of O3 (O3_8h); (d) PM2.5; (e) PM10; and (f) and CO in cities in the YRDregion in January and July 2017.



To characterize the regional O2 ozone and SOA formation contributions of different

776 <u>NMVOC species and their sources, we used the O3 ozone formation potential (OFP) and</u> SOA formation potential (SOAP) methods to <u>of</u> estimate<u>estimation</u>. OFP and SOAP are
 were obtained from the sum of the individual <u>NM</u>VOC species emissions multiplied by
 the maximum incremental reactivity (MIR) and SOA yield, respectively. The MIR and
 SOA yield of for individual <u>NM</u>VOC species was were referenced obtained from
 previous studies (Carter, 1994; Wu and Xie, 2017).

782 Figure 9 shows the OFP and SOAP contributions from for major NMVOC species, 783 emission sources, and industrial sectors in the region. In terms of individual species, 784 Toluene is was the most important NMVOC species for both OFP and SOAP, which and 785 contributed to 45.0% of the total (7.5% OFP and 37.5% SOAP), followed by 1,2,4-786 trimethylbenzene, which contributing contributed to 29.1% of the total (2.1% OFP and 787 27.0% SOAP). Others <u>NMVOC includedwere</u> m/p-xylene, propylene, ethene, o-xylene, 788 ethylbenzene, which gave combined etc., their contributions to both OFP and SOAP 789 were of 14.9%, 16.6%, 10.7%, 5.7%, and 2.7% in turnrespectively-; Their OFP was the 790 major contribution-was relatively more prominent.

791 Industrial process sources dominated had the highest OFP and SOAP in the region, 792 which contributed contributing 44.9% and 26.7%, respectively. Industrial solvent-use sources followed, with OFP and SOAP contributions of 15.0% and 33.8%;; and their 793 794 contribution to SOAP even-exceeded that of the industrial process sources. The 795 contributions of motor vehicles to regional OFP and SOAP were 13.9% and 13.5%, 796 respectively, which was close to those from residential solvent-use sources. These two 797 sources were the major contributors of to O₃ozone and SOA formation in urban areas. 798 There are fFour major industrial sectors could account for most of the OFP and 799 SOAP with significant potential contribution to ozone and SOA production in the YRD 800 region--- The chemical manufacturing sector contributed 16.4% and 14.8% of-to_OFP 801 and SOAP, respectively.; The the second was rubber & plastic manufacturing sector, 802 had with a SOAP contribution rate of 11.8%, while (its OFP was relatively low at, about 803 1.2%); the third and fourth were appliance manufacturing and textile sectors, 804 accounting accounted for 10.5% and 10.4% of both-OFP and SOAP contributions

805 <u>respectively</u>.

Based on the above, it <u>can bewas</u> concluded that the reduction of aromatic <u>hydrocarbon</u> emissions from industrial and vehicular sources were of vital greatest importance for the YRD region₇. In particular, especially for the high reactivity species, such as toluene, xylene, and trimethylbenzene, etc., which should be <u>given the top</u> priority on in NMVOCs pollution control measures for the region.





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 <u>OFPOzone</u> and SOAP formation potentials <u>for from</u> different <u>variables</u>: (a) <u>NMV</u> species, (b) emission sources, and (c) industrial sectors.

814 4. Conclusions

815 A high-resolution air pollutant emission inventoryEI in-for the YRD region was 816 updated using emission factorEFs derived mainly from local measurements in this study. 817 In addition to the conventional pollutants, 424 NMVOCs and 43 PM_{2.5} components 818 were also included in the inventory. Source The EI categories was refined were divided 819 into 4-four main categories levels and comprising 259 specific sources. The results 820 indicated that the total emissions of SO₂, NO_x, CO, NMVOCs, PM₁₀, PM_{2.5}, and NH₃ 821 in the YRD region in 2017 are were 1,552, 3,235, 38,507, 4,875, 3,770, 1,597, and 822 2,467 Gg, respectively. Overall, the SO₂ and NO_x emissions estimated in this study are 823 were lower than the existing previous EIs such as the MEIC. The sSubstantial 824 reductions in emissions from power plants and boilers -in recent years are-were a 825 significant factorthe main reason. The NMVOC emissions is were also slightly lower 826 than the results of the previous studies,. This was which is mainly due to the fact that 827 this study the uses of emission factorEFs refined to the specific process sectors segments 828 in this study, which are were generally usually lower than the comprehensive emission 829 factorEFs used elsewhere. Due to the consideration of dust sources, PM₁₀ and PM_{2.5} 830 emissions were respectively are-1.7 times and 0.5 times higher than the MEIC, 831 respectivelydue to the inclusion of dust sources. The NH3 emissions of from this study, 832 are ____estimated using localized emission factorEFs, and the results arewere 833 significantly higher than those of previous studies.

834 SO2 and CO emissions are were mainly from boilers in the region, accounting for 835 49% and 73% of the total. Mobile sources dominated the NO_x emissions from 836 anthropogenic sources in the YRD region, accounting for 57% of the total. MMVOC 837 emissions were mainly mostly come from industrial sources, accounting for 61% of the 838 total. The main contributing industrial sectors are were chemical manufacturing and 839 solvent-use sources like furniture manufacturing, appliance manufacturing, textiles, 840 package packaging and printing, and machinery manufacturing. Dust sources were 841 responsible for 55% and 28% of PM₁₀ and PM_{2.5}-come from dust sources, respectively.

842 Agricultural sources accounted for 91% of NH₃ emissions.

843 The Majormain PM2.5 species emitted from anthropogenic sources in the YRD 844 region are were OC, Ca, Si, PSO₄ and EC, which accounted for 9.0%, 7.0%, 6.4%, 4.6% 845 and 4.3% of total primary PM2.5 emissions respectively. The main species of NMVOCs 846 are aromatic hydrocarbons, accounting for 25.3% of the total. OVOCs also occupy 847 made up a relatively high proportion of NMVOC, accounting for 21.9% of the total. 848 Among themthese, aldehydes, ketones, alcohols, and esters accounted for 5.0%, 4.4%, 849 9.0% and 3.5%, respectively. Toluene has had the highest comprehensive contribution 850 to ozoneOFP and SOAP formation potentials, and the others while other contributors 851 included are 1,2,4-trimethylbenzene, m,p-xylene, propylene, ethene, o-xylene, 852 ethylbenzene-and so on. Industrial process and solvent use sources are-were the main 853 sources of OFPozone and SOAP-formation potential, followed by motor vehicles. 854 Among the industrial sources, chemical manufacturing, rubber & plastic manufacturing, 855 appliance manufacturing and textiles all have made relatively outstandingsignificant 856 contributions.

857 In recent years, the ambient air quality in the YRD region has improved 858 significantly. At the same time, the contributions of to air pollutants from emissions 859 have also beenchanged subtly changing in these years. While The emissions of primary 860 pollutants such as SO₂ and NO_x from power plants and boilers have dropped decreased 861 significantly, but the contribution of from mobile sources has have become increasingly 862 prominentimportant, Furthermore, and the emissions of reactive organic compounds 863 from industrial sources are were still at a high level, resulting in outstanding elevated 864 secondary pollution issues. We hope that tThe high-resolution EI in detailed sources 865 and species established in this study canshould provide scientific guidance for the future joint control of air pollutants in the YRD region, of China. 866

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872 Data availability

- 873 The gridded emissions of air pollutants <u>from various sources</u> for <u>Yangtze River</u>
- 874 Deltathe YRD region developed by this study at a horizontal resolution of 4 × 4km and
- 875 <u>a summary table of emissions by cities and sources</u> can be downloaded from website
- 876 (https://doi.org/10.6084/m9.figshare.13340648https://doi.org/10.6084/m9.figshare.12
- 877 <u>720938</u>). Additional related data is available upon request by contacting the
 878 corresponding author (Cheng Huang; huangc@saes.sh.cn).

879 Author contribution

- 880 C.H., H.W. and C.C. designed the research. J.A., Y.H., X.W., R.Y., Q.W., Y.L., and
- 881 C.X. performed the research. H.W. and S.J. collected the NMVOC species data. L.Q.,
- 882 M.Z., and S.Z. collected the PM_{2.5} species data. C.H., Q.H., and J.L. supported the
- 883 emission factor data. J.A., Y.H., C.H., X.W., H.L., Y.Z., Y.C., and C.C. analyzed the
- results. J.A., Y.H, C.H., and X.W. wrote the paper.

885 Competing interests

886 The authors declare that they have no conflict of interest.

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