

Brown carbon's emission factors and optical characteristics in household biomass burning: Developing a novel algorithm for estimating the contribution of brown carbon

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1 **Abstract.** Recent studies have highlighted the importance of brown carbon (BrC) in various fields,
2 particularly relating to climate change. The incomplete combustion of biomass in open and contained
3 burning conditions is believed to be a significant contributor to primary BrC emissions. So far, few
4 studies have reported the emission factors of BrC from biomass burning, and few studies have
5 specifically addressed which form of light absorbing carbon, such as black carbon (BC) or BrC, plays a
6 leading role in the total solar light absorption by biomass burning. In this study, the optical integrating
7 sphere (IS) approach was used, with carbon black and humic acid sodium salt as reference materials for
8 BC and BrC, respectively, to distinguish BrC from BC on the filter samples. Eleven widely used
9 biomass types in China were burned in a typical stove to simulate the real household combustion
10 process. (i) Large differences existed in the emission factors of BrC (EF_{BrC}) among the tested biomass
11 fuels, with a **geometric** mean EF_{BrC} of 0.71 g/kg (0.24-2.09). Both the plant type (herbaceous or
12 ligneous) and burning style (raw or briquetted biomass) might influence the value of EF_{BrC} . **The**
13 **observed reduction in the emissions of light absorbing carbon (LAC) confirmed an additional benefit of**
14 **biomass briquetting in climate change mitigation.** (ii) The calculated annual BrC emissions from
15 China's household biomass burning amounted to 712 Gg, higher than the contribution from China's
16 household coal combustion (592 Gg). (iii) The average absorption Ångström exponent (AAE) was
17 (2.46 ± 0.53), much higher than that of coal-chunks combustion smoke ($AAE = 1.30 \pm 0.32$). (iv) For
18 biomass smoke, the contribution of absorption by BrC to the total absorption by BC + BrC across the
19 strongest solar spectral range of 350–850 nm (F_{BrC}) was 50.8%. This was nearly twice that for BrC in
20 smoke from household coal combustion (26.5%). (v) Based on this study, a novel algorithm was
21 developed for estimating the F_{BrC} for perhaps any combustion sources ($F_{BrC} = 0.5519\ln AAE + 0.0067$,
22 $R^2 = 0.999$); the F_{BrC} value for global entire biomass burning (open + contained) ($F_{BrC-entire}$) was 64.5%
23 (58.5–69.9%). This corroborates the dominant role of BrC in total biomass burning absorption.
24 Therefore, an inclusion of BrC is not optional but indispensable when considering the climate energy
25 budget, particularly for biomass burning emissions (contained and open).

26 1 Introduction

27 Brown carbon (BrC) refers to the fraction of organic carbon (OC) that is light-absorbing, with a
28 pronounced wavelength dependence of absorption (Kirchstetter et al., 2004; Bosch et al., 2014;
29 Chakrabarty et al., 2014; Mo et al., 2017; Jiang et al., 2018; Sun et al., 2018). Recent studies have
30 highlighted the importance of BrC in not only atmospheric chemistry, air quality and human health, but
31 also for climate change (Chakrabarty et al., 2010; Huang et al., 2018; Yan et al., 2018; Han et al., 2020).
32 The light absorption by BrC is more emphasised towards short wavelengths (IPCC, 2014; Pokhrel et al.,
33 2017; Li et al., 2018; Xie et al., 2018; Ferrero et al., 2020). By calculating the radiative forcing (RF) of
34 BrC at the surface and at the top of the atmosphere, Park et al. (2010) found that more than 15% of the
35 total RF caused by light absorbing carbon (LAC, including BrC and BC) could be attributed to BrC.
36 Yao et al. (2017) demonstrated that a positive direct radiative effect (DRE) of absorption ($+0.21 \text{ W}\cdot\text{m}^{-2}$)
37 was caused by BrC-containing organic aerosols from the burning of crop residues in East China during
38 the summer harvest season. This is indicative of the negative effects on not only air quality, but also on
39 climate. Pokhrel et al. (2017) found that the absorption by BrC at shorter visible wavelengths was equal
40 to or greater than that by BC.

41 The incomplete smouldering combustion of biomass in open environments or contained stoves is a
42 major contributor to primary BrC emissions (Lukács et al., 2007; Chakrabarty et al., 2010; Hecobian et
43 al., 2010; Chakrabarty et al., 2013). High gas and particle emissions have often been observed during
44 these combustion processes (Kirchstetter et al., 2004; Chen and Bond, 2010; Bosch et al., 2014;
45 Budisulistiorini et al., 2017). Ground-based observations and model simulations have revealed that in
46 some regions with high biomass consumption intensities, such as South America, South Asia, Africa,
47 Russia, China, and India, high column concentrations of BrC ($10\text{--}35 \text{ mg}\cdot\text{m}^{-2}$) are found in the
48 atmosphere (Arola et al., 2011; Feng et al., 2013; Huang et al., 2018). In these regions, the climatic
49 effects of BrC are expected to be stronger than in other regions.

50 In China, biomass burning contributes a substantial quantity of carbonaceous particles, along with
51 many other air pollutants. The available emission inventories show that approximately 20% of primary
52 fine particulate matter ($\text{PM}_{2.5}$) originates from biomass burning (open and contained) (Yao, 2016). Zong
53 et al. (2017) used the Positive Matrix Factorisation (PMF) method, linked with radiocarbon analysis, to

54 conduct a source apportionment study of PM_{2.5} at a regional background site in northern China. They
55 found that biomass combustion comprised a significant contribution (19.3%) to atmospheric PM_{2.5}.
56 Cheng et al. (2013) confirmed the significance of biomass burning in air pollution, finding that
57 approximately 50% of OC and elemental carbon (EC) in Beijing were associated with biomass burning
58 processes. It is also suggested that more biomass is burned in stoves than in open fields, due to China's
59 continued efforts to prevent and control forest fires and the burning of field stalks (Tian et al., 2011;
60 Zhi et al., 2015a; Cheng et al., 2016). Hence, more attention should be paid to the household sector
61 than to open burning, as far as biomass-related emissions are concerned in China. In addition, unlike
62 other regions where firewood often plays a major role as a biomass fuel, China has more access to
63 agricultural waste (e.g. maize straw, wheat straw, and rice straw) for household heating/cooking
64 purposes (Huang et al., 2012; Shen et al., 2013; Chen et al., 2015a). This suggests that studies of BrC
65 originating from China's household biomass fuel combustion should consider as many biomass fuel
66 varieties as possible, so that the actual characteristics of BrC emissions can be comprehensively
67 investigated and represented.

68 The available literature dealing with BrC from biomass burning in China to date has generally
69 focussed on ambient observation (Arola et al., 2011; Chakrabarty et al., 2014; He et al., 2017; Zhao et
70 al., 2018) and modelling (Gustafsson et al., 2009; Feng et al., 2013) of the basic characteristics of
71 atmospheric BrC, such as the concentrations and temporal and spatial distributions. Even though a few
72 studies have collected emission samples at some sources, the objectives of these studies was to further
73 understand the general properties of water soluble organic carbon (WSOC) or methanol soluble organic
74 carbon (MSOC) (Cheng et al., 2013, 2016; Fan et al., 2016; Lin et al., 2017; Phillips et al., 2017; Huo
75 et al., 2018; Wu et al., 2019; Yan et al., 2020). Consequently, there is a lack of knowledge regarding
76 source emission strengths (emission factors; EFs) and regarding how BrC's role of absorption differs
77 from that of BC (Lack et al., 2012; Healy et al., 2015; Washenfelder et al., 2015; Srinivas, et al., 2016;
78 Zhang et al., 2016) because there is still no standard quantitative method to determine BrC. An
79 intensive study on BrC from China's household biomass emission sources is therefore necessary to
80 provide insight into both the EFs and light absorption properties of particulate emissions.

81 In the present study, eleven biomass fuels that are widely used in China were burned in an ordinary

82 stove, to simulate domestic burning practices. Particulate emissions were collected on quartz filters to
83 measure the EFs of BrC (EF_{BrC}) and BC (EF_{BC}) for China's household biomass burning, for
84 investigating the spectral characteristics of absorption by BrC and estimating the contribution of BrC to
85 total light absorption by BC + BrC across a broad solar spectral range (350–850 nm). The integrating
86 sphere (IS) method, which had been refined in a previous study of residential coal combustion (Sun et
87 al., 2017), was used here to simultaneously quantify BrC and BC. Furthermore, based on this intensive
88 study of contained biomass burning (in stoves), we extrapolated the results to develop a novel
89 algorithm for estimating the contribution of solar light absorption by BrC to the sum of BC + BrC for
90 perhaps any combustion source. This will help to gain a clearer idea of whether BC or BrC dominates
91 the light absorption properties of biomass burning (contained plus open) on a global scale.

92 **2 Experimental Section**

93 **2.1 Biomass fuels and stove**

94 **Eleven biomass fuels tested in this work were classified into three groups: crop residue (CR, nine**
95 **types), firewood (FW, one type), and pellet (PF, one type) fuels.** The details of these fuels are given in
96 Table S1-I. The stove that we used in this study was a natural draft stove developed specifically for
97 biomass fuels (see Figure S1 in the Supplement). It is simple and traditional, accounting for
98 approximately a half of biomass stoves in China (World Bank, China, 2013; Ran et al., 2014).

99 **2.2 Combustion experiment and sample collection**

100 The burning and sampling procedures used in this study were in general similar to those described in
101 a previous coal combustion experiment (Sun et al., 2017). Briefly, each biomass fuel was burned in the
102 most commonly used biomass-burning stove with cold start. The size of a fuel was the same as that
103 used in rural households. The fuels were burned in natural combustion processes and rural operation
104 mode. For each biomass fuel, the first batch (30–300 g) was put into the stove and then ignited with
105 solid alcohol. Sampling and monitoring were immediately initiated. When the combustion began to
106 fade (the first burning cycle, 3–5 min), a second batch of the fuel was added into the stove until it had
107 been burned out (the second burning cycle, 3–5 min). Some biomass fuels (e.g. rice and wheat straws)
108 burned so fast that a third or fourth addition was needed to sustain the combustion for an adequate
109 sampling period. Each of the 11 biomass fuels was burned for 2-3 individual times and the emissions

110 were collected on individual filters. The 2-3 duplicate samples helped check the reproducibility and
111 analysis procedure. Background concentrations in ambient air were obtained separately. The modified
112 combustion efficiency (MCE) ranged from 84.0% (peanut stalk) to almost 100% (Sorghum stalk), with
113 an average of $93.9 \pm 5.9\%$ (see Table S4 in the Supplement), generally comparable to the results for
114 residential coal combustion (average MCE values were $88.0 \pm 4.0\%$ and $82.5 \pm 17.4\%$ for bituminous
115 chunk and anthracite chunk, respectively, and were $90.1 \pm 1.3\%$ and $92.8 \pm 1.7\%$ for all briquettes
116 tested) (Zhang et al., 2020).

117 Although usually biomass fuels are ignited by gas lighters by ordinary stove users, there are some
118 difficult-to-ignite biomass fuels (e.g., wood) that need to be kindled by some flammable soft materials
119 (e.g., wheat straw, rice straw, or even leaves). Additional emissions from the flammable soft materials
120 are inevitable. In such situations, using solid alcohol to ignite experimental biomass fuels in this study
121 was important because no pollutants other than CO₂ and H₂O were released from alcohol combustion,
122 though the MCE value of each sample might be a little higher than it would have been without the solid
123 alcohol.

124 A diversion-dilution-sampling system (the Supplement, Figure S2) was set up to sample and/or
125 monitor the combustion emissions. The dilution factors were set between 3 to 140 to confine the
126 measured BrC of collected samples in the range of linearity (See Table S1- II). It should be pointed out
127 that the sampling concentration is an important factor in the partitioning of semi-volatile species, which,
128 if collected on the filter, may contribute to BrC absorption. The quartz fibre filters used for sampling
129 were pre-baked in a muffle furnace at 450 °C for 6 h to remove carbonaceous substances from the
130 filters. Each combustion experiment was repeated 2–3 times to determine the reproducibility. After
131 sampling, the particle-loaded filters were kept in a freezer at -20 °C until needed for further analysis.

132 **2.3 Measurement of BrC with the integrating sphere method**

133 The differentiation of BrC from BC is a key step toward determining BrC. The mechanism and
134 procedure of the IS method were detailed in a previous study (Sun et al., 2017). Briefly, a 150 mm IS
135 (manufactured by Labsphere, Inc, see Figure S3) was built into a UV-Vis-NIR spectrophotometer
136 (Perkin Elmer Lambda 950). The sphere was internally coated with Polytetrafluoroethylene (PTFE),
137 which can reflect more than 99% of the incident light in the range of 0.2–2.5 μm (Wonaschütz et al.,

138 2009). A specially customized transparent quartz cuvette was placed in the center of the sphere using a
139 specially customized cuvette holder. Inside the cuvette was 3 mL of a 1:1 mixture of acetone and an 80 :
140 20 mixture of water and isopropanol in which a filter punch (rectangle punch, 30 × 8 mm) could be
141 immersed. With this assembly, we scanned through the wavelength range of 350–850 nm to measure
142 the light absorption by the collected samples. As the samples are immersed in a liquid, the absorption
143 enhancement by possible non-absorbing coatings is negligible (Hitzenberger and Tohno, 2001;
144 Wonaschütz et al., 2009; Sun et al., 2017).

145 Two reference materials were used as proxies for BC and BrC. They were carbon black (CarB) (e.g.
146 Elftex 570, Cabot Corporation) for BC (Fisher, 1970; Andre et al., 1981; Heintzenberg, 1982;
147 Hitzenberger et al., 1996; Wonaschütz et al., 2009) and humic acid sodium salt (HASS) (Acros
148 Organics, no. 68131-04-4) for BrC (Wonaschütz et al., 2009). CarB had been used as proxy for BC in
149 diesel exhaust by Medalia et al. (1983) and HASS had been used as proxy for BrC from wood
150 combustion by Wonaschütz et al. (2009). In a previous study, CarB and HASS were used as proxies for
151 BC and BrC, respectively, to characterise household coal burning samples, by assuming that BC and
152 BrC in household coal emissions had the same light-absorbing properties as CarB and HASS,
153 respectively (Sun et al., 2017). In the present study, we continued this logic, and assumed that BC and
154 BrC in household biomass smoke have the same light-absorbing properties as CarB and HASS,
155 respectively. In other words, the reported BC and BrC masses here are essentially CarB-C-equivalent
156 and HASS-C-equivalent, respectively, from the perspective of light absorption and are different from
157 those measured by other measurement techniques (e.g., thermal–optical method or aethalometer) (Chen
158 et al., 2006; Zhi et al., 2008, 2009; Shen et al., 2013, 2014; Aurell and Gullett, 2013) or reference
159 materials (e.g., fulvic acid, humic acid, or humic-like substances) (Duarte et al., 2007; Lukács, et al.,
160 2007; Baduel et al., 2009, 2010). Although such an assumption is not perfect, researchers can take
161 advantage of these two reference materials to relatively quantify and assess the features (chemical or
162 optical) of BrC and BC derived from different combustion sources or regions. It should be noted that
163 the IS method does not depend on an actual chemical separation, but on a virtual optical allocation of a
164 mixed absorption signal to BrC and BC, with HASS and CarB used as references, respectively.

165 Calibration curves (see Figure S4) were plotted for CarB masses from 1.5–90 µg and HASS masses

166 from 3–240 μg , according to their respective absorption signals as measured by the IS device, at both
167 650 nm and 365 nm (Sun et al., 2017). The BrC and BC masses of the samples were calculated through
168 an iterative procedure based on the different spectral dependences of absorption by BrC and BC (See
169 methods for the calculation using iteration procedure and Figure S4 in the Supplement). In most cases,
170 20 iterative calculations will achieve a convergent value for either BrC or BC. Note that carbon
171 accounts only for 47% of the mass of HASS, and therefore all measured HASS equivalent values based
172 on the calibration curves in Figure S4 were multiplied by 0.47 to obtain the mass of pure brown
173 ‘carbon’ (rather than that of the BrC-containing compounds).

174 The CarB used in this study was Elftex 570, Cabot Corporation. It had an AAE of 0.91 and mass
175 absorption efficiencies (MAEs) of 27.96 m^2/g and 20.64 m^2/g , respectively, for 365 nm and 650 nm.
176 The HASS used in this study was from Acros Organics. It had an AAE of 1.86 and MAEs of 6.78 m^2/g
177 and 0.57 m^2/g , respectively, for 365 nm and 650 nm. Both of materials are similar to actual BC and
178 BrC in source emissions or ambient particles (Hitzenberger et al., 1996, 2001, 2006; Reisinger et al.,
179 2008; Wonaschütz et al., 2009; Sun et al., 2017).

180 2.4 Calculation methods

181 Details of the methods for calculating EF_{BrC} , EF_{BC} , absorption Ångström exponent (AAE), the
182 wavelength-dependent BrC contribution to total light absorption ($f_{\text{BrC}}(\lambda)$), and average BrC contribution
183 to total solar light absorption (F_{BrC}) in the range of 350–850 nm are provided in the Supplement.

184 3 Results and Discussion

185 3.1 Emission factors of BrC from biomass fuels

186 The calculated EFs of the 11 biomass fuels are presented in Table 1. EF_{BrC} varied significantly
187 among biomass fuels. Rape straw had the highest EF_{BrC} (7.26 ± 0.01 g/kg), whereas pellet fuel had the
188 lowest (0.13 ± 0.06 g/kg). The observed differences may be related to the type of plant (see Figure 1).
189 We notice that the EFs of BrC for herbaceous plants (HP, the former nine samples in Figure 1) were
190 higher than those for ligneous plants (LP, the latter two samples in Figure 1). This possibly implies that
191 herbaceous plants have a higher potential for forming BrC than ligneous plants. Although the reason
192 underlying this difference is currently unknown, in view of the lower contents of C and H in HPs than
193 in LPs, it seems reasonable to speculate that burning herbaceous plants in household stoves releases

194 less heat than burning ligneous ones, which leads to a lower burning temperature for the former than
195 for the latter, and therefore favours the generation of BrC for the former (Chen et al., 2015b; Wei et al.,
196 2017). In this study, the temperature measured in the stovepipe (50 cm above the stove's upper surface)
197 during HP combustion was 62.9 °C while during LP combustion, increased to 77.1 °C. Another
198 possible explanation is the distinction in the modified combustion efficiency (MCE) values between
199 LPs and HPs. Our measurements show that HPs tended to have lower MCEs ($93.4 \pm 6.49\% < 95.9 \pm$
200 2.05%), resulting in a greater chance for the formation of BrC (Shen et al., 2013). In this perspective,
201 greater importance ought to be attached to herbaceous biomass fuels than to ligneous ones as far as BrC
202 emissions are concerned.

203 The EF_{BrC} values for PFs were the lowest among all the tested biomass fuels; the briquetting effect
204 helped to lower the occurrence of incomplete combustion and thus likely decreased the formation of
205 primary carbonaceous particles (including BC and BrC) (Zhi et al., 2008, 2009). This agrees with the
206 findings of Lei et al. (2018a), as the sum of LAC (BrC + BC) was observed to decrease after the maize
207 straw was transformed to a maize briquette. In view of the virtues of biomass briquetting, regarding
208 both air quality (less pollutant emissions) and climate change mitigation (carbon-neutral), the present
209 study identified an additional benefit of biomass briquetting in climate change mitigation, because of
210 the reduction of the emission of LAC (Sun and Xu, 2012; Arshanitsa et al., 2016; Chen et al., 2016).

211 Geometrically averaging the EF_{BrC} values over all tested biomass fuels yielded a value of 0.71 g/kg.
212 This value was comparable to the obtained EF_{BrC} for forest fires in the south-eastern United States,
213 measured with an aethalometer AE52 (1.0–1.4 g/kg, BC-equivalent) (Aurell and Gullett, 2013). In
214 another study by Schmidl et al. (2008), the IS method was used to measure the BrC and BC emission
215 characteristics of the open fires of three kinds of leaves. As BrC accounted for 18.5% (w/w) of the
216 PM_{10} of leaf smoke (Schmidl et al., 2008) and as the PM_{10} EF for biomass fuel combustion (given by
217 Cao et al. (2011)) is 5.77 g/kg (field burning), the EF_{BrC} can be inferred for the open fires of the three
218 kinds of leaves, i.e. 1.07 g/kg. This value is also comparable to the averaged EF_{BrC} obtained in this
219 study. In addition, the current EF_{BrC} average value, 0.71 g/kg, was closer to the values obtained for the
220 combustion of anthracite-chunks (1.08 ± 0.80 g/kg) and anthracite-briquettes (1.52 ± 0.16 g/kg) than to
221 those obtained for the combustion of bituminous-chunks (8.59 ± 2.70 g/kg) and bituminous-briquettes

222 (4.01 ± 2.19 g/kg) (Sun et al., 2017). This suggests the specific importance of the residential
223 combustion of bituminous coals in BrC emissions.

224 Figure 1 compares EF_{BrC} and EF_{BC} . The ratios of EF_{BrC} to EF_{BC} ($R_{BrC/BC}$) varied greatly among
225 various biomass fuels and corncobs and sorghum stalks gave the highest (10.0) and lowest (1.5) $R_{BrC/BC}$
226 values, respectively. Generally, the large range of $R_{BrC/BC}$ values among different biomass fuels is
227 attributable to the individual biomass fuels themselves, or more concretely their chemical composition
228 and physical structure. Here both BrC and BC were products of incomplete combustion of biomass
229 fuels (Andreae and Gelencsér, 2006; Yan et al., 2015). Different biomass fuels were composed of
230 different organics that had different combustion performances (Reid et al., 2005; Saleh et al., 2014);
231 meanwhile, different biomass fuels were also different in densities and moistures (Shen et al., 2014;
232 Jacobson et al., 2015), which also have a potential influence on combustion performance. The
233 combustion performance relates to something like the combustion speed and temperature, both of
234 which are important to the formation of BrC and BC. Usually a low combustion temperature is more
235 favorable for BrC formation and a relatively high combustion temperature is more favorable for BC
236 formation (Chen and Bond, 2010; Bond et al., 2013; Shen et al., 2014). This makes the generation
237 processes of BC and BrC often not synchronous but in opposite trend, which may account for wide
238 variations of $R_{BrC/BC}$ for different fuels of combustion conditions.

239 More importantly, each of the 11 biomass fuels tested in this study had a higher EF_{BrC} than EF_{BC} ;
240 that is, the ratios of EF_{BrC} to EF_{BC} ($R_{BrC/BC}$) were all >1. The average $R_{BrC/BC}$ over all biomass fuels was
241 6.7 ± 2.7 . Kirchstetter et al. (2004) measured the light absorption by filter-based aerosol samples from
242 biomass burning before and after acetone treatment (which removed OC). They found that 50% of total
243 light absorption was attributable to OC. In view of the much smaller average absorption efficiency of
244 BrC relative to that of BC (for example, Yang et al. (2009) reported that the MAEs at 550 nm were 9.5,
245 0.5, and 0.03 m²/g, respectively, for BC, BrC, and dust), the contribution of BrC to the mass of total
246 LAC is undoubtedly far higher than that of BC, an inference which is consistent with the present study.

247 **3.2 Spectral dependence of absorption**

248 AAE represents the spectral dependence of the light absorption efficiency (Martinsson et al., 2015;
249 Washenfelder et al., 2015; Yan et al., 2015). Usually, the AAE is close to 1.0 (Lack and Langridge,

250 2013; Laskin et al., 2015) for BC that is pronounced by a graphitic structure. This has been
251 demonstrated by several studies of diesel exhaust or urban particulate matter (Rosen et al., 1978;
252 Horvath, 1997). However, the existence of BrC in aerosols makes the mass absorption efficiency
253 (MAE) increase more strongly towards shorter wavelengths, due to a larger AAE for BrC than for BC,
254 which makes the AAEs of BrC-containing carbonaceous aerosols larger than 1 (Chakrabarty et al.,
255 2013; Yan et al., 2015).

256 In this study, the measured AAE values for smoke from the combustion of the 11 biomass fuels (see
257 Table S2-I) ranged from 1.38 (sorghum stalk) to 2.98 (rice straw), with an average of 2.46 ± 0.53 . This
258 suggests the existence of BrC in the particulate emissions. As a comparison, in a previous study that
259 used the IS method for household coal combustion (Sun et al., 2017), average AAE values of $2.55 \pm$
260 0.44 for coal-briquettes and 1.30 ± 0.32 for coal-chunks were obtained (Sun et al., 2017). Cai et al.
261 (2014) observed an AAE value of 3.02 ± 0.18 for the open burning of wheat straw, and of 1.43 ± 0.26
262 for household coal burning, using an aethalometer (AE31). Other studies have reported a wide range of
263 AAE values, dependent on fuels, combustion conditions, aging effects after emission, the wavelengths
264 covered and the pre-treatment experienced. (see Table S3 in the Supplement).

265 However, as $AAE > 1$ for aerosol samples theoretically results from BrC instead of BC (Martinsson
266 et al., 2015; Washenfelder et al., 2015; Zhi et al., 2015b; Yuan et al., 2016), the wide range of AAE
267 literature values is believed to be linked to variation in the ratio of BrC to BC ($R_{BrC/BC}$). That is, the
268 increase in $R_{BrC/BC}$ theoretically leads to an increase in AAE (Lack and Langridge, 2013). Indirect
269 support for this interpretation can be inferred from the existing literature. For example, Saleh et al.
270 (2014) noticed that the effective absorptivity of organic aerosol in biomass burning emissions could be
271 parameterised as a function of the ratio of BC to OC (an umbrella term that also includes BrC).
272 Costabile et al. (2017) found that the AAE (467–660 nm) in the atmosphere of the urban Po-Valley was
273 positively correlated with the ratio of organic aerosol (OA) to BC ($R^2 = 0.78$), rather than to OA
274 concentrations alone. The more persuasive scenario concerns WSOC, which is free of BC ($R_{BrC/BC} = +$
275 ∞), for this scenario the AAE reaches its maximum (also see Table S3).

276 The EFs and AAEs of 11 biomass fuels used in this study and the EFs and AAEs of seven coals used
277 in a previous study (Sun et al., 2017) are collated and arranged in a scatter plot in Figure 2. Obviously

278 the AAE values are positively correlated with $R_{\text{BrC/BC}}$ values. Considering that the AAE for pure BC
279 (i.e., $R_{\text{BrC/BC}} = 0$) is conventionally accepted as 1.0, we set the intercept to 1.0 to comply with the
280 theoretical constraint. The relation between AAE and $R_{\text{BrC/BC}}$ can be expressed in Equation (1).

$$281 \quad \text{AAE} = 0.199R_{\text{BrC/BC}} + 1.00 \quad (R^2 = 0.7527) \quad (1)$$

282 Equation (1) supports the AAE- $R_{\text{BrC/BC}}$ relation in a quantitative way.

283 **3.3 Light absorption by BrC from household biomass combustion in household stoves**

284 With the EF_{BrC} and EF_{BC} obtained in the present study, as well as publicly available consumption
285 data of household biomass fuels, China's BrC and BC emissions from biomass fuels burned in
286 household stoves can be calculated, following the method described in the Supplement. In 2013, the
287 biomass fuels consumed in China comprised 695 Tg (1 Tg = 10^{12} g) for household cooking/heating
288 purposes (Lu et al., 2011; Tian et al., 2011; NBSC, 2014). The calculated BrC emissions were as high
289 as 712 Gg. We acknowledge that the calculated emissions contained large uncertainties resulting from
290 the amounts and forms of different types of biomass fuels and the representativity of BrC EFs
291 measured in this study. Improved fuel consumption data and EFs will lead to better future emission
292 estimates. South Asia funeral pyres release 92 Gg of BrC in 2011 (calculated with the double IS system
293 method) (Chakrabarty et al., 2014), which is much less than that from China's household biomass
294 combustion. This implies a clear need to control BrC emissions from household biomass burning in
295 China.

296 Figure 3 compares the emissions of BrC and BC from biomass fuels in this study, and from coals as
297 reported in a previous study (Sun et al., 2017). It is obvious that BrC emissions were always higher
298 than BC emissions for both household biomass fuels and coals, which is attributable to the higher EF_{BrC}
299 than EF_{BC} for both biomass fuels and coals. It is also interesting to note that, for BrC, biomass fuel
300 dominated, whereas for BC, coal was more important. This suggests the relative importance of biomass
301 fuels in controlling BrC.

302 The calculated huge emissions of BrC for China's household biomass-fuel combustion represent a
303 strong argument for including BrC in estimating the total light absorption by emissions from burning
304 biomass. Here, we used $f_{\text{BrC}}(\lambda)$ to represent the fraction of BrC absorption in the sum of light absorption
305 by BrC + BC at individual wavelengths of the scanned spectral ranges (350–850 nm), measured with

306 the IS. A detailed description of the theory and method for calculating $f_{\text{BrC}}(\lambda)$ is given in the
307 Supplement. The detailed values of f_{BrC} for biomass fuel and coal (Sun et al., 2017) from 350-850 nm
308 were given in Table S2-II in the Supplement. The results of $f_{\text{BrC}}(\lambda)$ for biomass fuels in this study are
309 plotted in Figure 4 (blue line).

310 Evidently, the $f_{\text{BrC}}(\lambda)$ increased towards shorter wavelengths: the $f_{\text{BrC}}(\lambda)$ at 850 nm was 0.25, whereas
311 the $f_{\text{BrC}}(\lambda)$ at 350 nm increased to 0.8. In addition to the spectrally-dependent $f_{\text{BrC}}(\lambda)$ for biomass fuels,
312 Figure 4 also presents the spectrally dependent $f_{\text{BrC}}(\lambda)$ values for coal (red line) as obtained in a
313 previous study (Sun et al., 2017). The lowest value of $f_{\text{BrC}}(\lambda)$ for coal occurred at 0.061 (850 nm), and
314 the highest value occurred at 0.47 (355 nm). The average $f_{\text{BrC}}(\lambda)$ for coal was 0.26, which is distinctly
315 lower than that for biomass fuels. This difference in f_{BrC} between coal and biomass smoke can be
316 explained by the difference in $R_{\text{BrC/BC}}$ between coal and biomass smoke. It is necessary to exercise
317 caution when attributing the absorption to BrC vs BC based on wavelength dependence (expressed as
318 AAE). For example, Lack and Langridge (2013) found that the uncertainties in attributed BrC
319 absorption might be $\pm 33\%$ when BrC contributed 23% to 41% to total absorption (assuming an
320 absorption measurement uncertainty of $\pm 5\%$).

321 Integrating $f_{\text{BrC}}(\lambda)$ over the solar spectrum results in F_{BrC} , which represents the fraction of solar
322 radiance absorbed by BrC relative to the total absorption by BC + BrC (refer to the Supplement for the
323 method for the calculation of F_{BrC}). The standard solar spectrum is also plotted in Figure 4 (yellow line)
324 as a contrast and reference. A value of 0.508 (0.471–0.542) was obtained for the F_{BrC} of household
325 biomass fuels across the wavelength range of 350–850 nm, which was nearly twice that of household
326 coal combustion (0.265) in China (Sun et al., 2017).

327 **3.4 Extrapolation towards a novel algorithm for estimating the relative contribution of BrC**

328 As F_{BrC} is defined as the ratio of the solar light absorption by BrC to that by (BrC + BC) across
329 350–850 nm, it is physically dependent on $R_{\text{BrC/BC}}$. There is a scarcity of reported $R_{\text{BrC/BC}}$ values,
330 whereas conversely AAE is frequently reported in the existing literature. Therefore, the logarithmical
331 function that can be fitted to the relationship between $R_{\text{BrC/BC}}$ and AAE (Figure 2) can be used for the
332 practical application of expressing F_{BrC} as a function of AAE.

333 To construct the function for F_{BrC} , with AAE as the independent variable, we managed to gather four

334 pairs of F_{BrC} vs AAE values. Two of these pairs were based on theory. For pure BC (free of BrC), AAE
335 and F_{BrC} were 1.0 (Lack and Langridge, 2013; Laskin et al., 2015; Yan et al., 2015; Zhang et al., 2020)
336 and 0.0, respectively; whereas for samples of pure BrC (free of BC), we averaged over the AAE values
337 in the literature for WSOC or MSOC (free of BC), thus obtaining an AAE value of 6.09 ± 1.45 (Hoffer
338 et al., 2006; Hecobian et al., 2010; Voisin et al., 2012; Srinivas and Sarin, 2013, 2014; Srinivas et al.,
339 2016; Lei et al., 2018b) (Table S3 Part I). The other two pairs of the F_{BrC} vs AAE values were obtained
340 from our previous and current studies. The previous study (Sun et al., 2017) demonstrated that, when
341 AAE was 1.58, F_{BrC} was 0.265. In the present study, as mentioned in Section 3.3, an AAE of 2.46 led
342 to an F_{BrC} of 0.508. These four F_{BrC} vs AAE pairs were used to construct the relationship between F_{BrC}
343 and AAE (Figure 5). It should be noted that we used the average value for each of the latter three points
344 so that all the four points in Figure 5 were given equal weight (25%). A logarithmical equation was
345 established between F_{BrC} and AAE, with a very high correlation coefficient.

$$346 \quad F_{\text{BrC}} = 0.5519 \ln \text{AAE} + 0.0067 \quad (R^2 = 0.999, 1 \leq \text{AAE} \leq 6.09) \quad (2)$$

347 Equation (2) provides a novel algorithm for deriving F_{BrC} from AAE, without consideration of the
348 process details for perhaps any kinds of combustion sources. Uncertainties are unavoidable due to the
349 uncertainties of each of the points (Lack and Langridge, 2013; Sun et al., 2017; references in Part I of
350 Table S3). For example, Lack and Langridge (2013) estimated that the uncertainty in short wavelength
351 absorption by BC determined by extrapolation using an AAE=1, ranged from +7% to -22%. Equation
352 (2) helps to broaden insight into biomass burning issues from contained conditions to open conditions.
353 The results of F_{BrC} for open fresh emissions from open biomass burning ($F_{\text{BrC-open}}$) vary in the literature,
354 and most have values below 0.50 (or 50%) (Lack et al., 2012; Healy et al., 2015; Washenfelder et al.,
355 2015; Srinivas, et al., 2016). We collected AAE_{open} data from available journal articles and included
356 them in Table S3 (Part II). The calculated average AAE_{open} value was 3.44 ± 1.75 , which was larger
357 than the AAE_{contained} value obtained in this study (2.46 ± 0.53). Substitution of the AAE_{open} value (3.44
358 ± 1.75) into Equation (2) leads to a value of 0.685 for $F_{\text{BrC-open}}$, which is higher than the F_{BrC} for
359 contained combustion ($F_{\text{BrC-contained}}$) (0.508), indicating that BrC's light absorption was more dominant
360 in open biomass burning emissions than in contained biomass burning emissions.

361 Assuming that the AAE_{contained} and AAE_{open} identified above apply to global biomass burning, we

362 can now assess BrC's role in the biomass burning globally (contained + open) ($F_{\text{BrC-entire}}$), in
363 combination with the respective shares of open and contained burning. Previous studies show that the
364 annual open and contained biomass burning amounts are 5953 Tg (Wiedinmyer et al., 2011) and 2457
365 Tg (Fernandes et al., 2007), respectively. This implies that open biomass burning represents 71% of
366 total biomass burning and contained biomass burning represents 29%. Subsequently, the $F_{\text{BrC-entire}}$ can
367 be calculated according to the following equation:

$$368 \quad F_{\text{BrC-entire}} = 0.29 \times (0.5519 \ln \text{AAE}_{\text{-contained}} + 0.0067) + 0.71 \times (0.5519 \ln \text{AAE}_{\text{-open}} + 0.0067) \quad (3)$$

369 With Equation (3), the distribution of $F_{\text{BrC-entire}}$ was simulated through the Monte Carlo approach, as
370 shown in Figure 6. The $F_{\text{BrC-entire}}$ was 0.644 on average, and with an 80% probability range it lay
371 between 0.585–0.699. Particularly, the probability of $F_{\text{BrC-entire}}$ being larger than 0.500 was higher than
372 99%, corroborating the leading role of BrC in the absorption by solar light for total biomass burning
373 emissions. Kirchstetter and Thatcher (2012), calculate that OC from wood smoke would account for
374 14% of solar radiation absorbed by wood smoke in the atmosphere (integrated over the solar spectrum
375 from 300 to 2500 nm). 14% is much smaller than our data $F_{\text{BrC-entire}} = 64.4\%$ because Kirchstetter and
376 Thatcher (2012) only focus on rural California wintertime wood combustion but we calculated the
377 global contribution to absorption by BrC originating from biomass combustion.

378 **4 Conclusions**

379 The optical IS approach was used to distinguish BrC from BC in filter samples of the emissions of
380 11 types of biomass after burning in a typical stove. The measured average EF of household biomass
381 fuels for BrC was 0.71 g/kg, and the calculated annual BrC emissions from China's household biomass
382 burning amounted to 712 Gg. This is higher than the emissions from China's household coal
383 combustion (592 Gg). Moreover, it was observed that BrC contributed to approximately half of all light
384 absorption by BC + BrC across the strongest solar spectral range (350–850 nm; $F_{\text{BrC}} = 50.8\%$).
385 Furthermore, a novel relationship was constructed ($F_{\text{BrC}} = 0.5519 \ln(\text{AAE}) + 0.0067$, $R^2 = 0.999$), which
386 can simplify the calculation of F_{BrC} by using AAE. With this mathematical relationship, we calculated
387 the F_{BrC} values for open biomass burning ($F_{\text{BrC-open}} = 70.1\%$) and entire biomass burning ($F_{\text{BrC-entire}} =$
388 64.4%), thereby establishing the dominant role of BrC in biomass burning absorption. From this
389 perspective, we recommend that it is necessary to include BrC in the climate discussion, particularly

390 concerning biomass burning (contained and open). The algorithm developed here omits the long
391 procedures of chemical treatment, optical measurement and tedious calculations, and provides a
392 scheme for estimating the contribution of BrC relative to BC in perhaps any combustion process with
393 LAC emissions.

394 **Data availability**

395 The research data can be accessed, on request, from the corresponding author (zhigr@craes.org.cn).

396

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402

403 *Competing interests.* The authors declare that they have no conflicts of interest.

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Table 1. Measured EF_{BrC} and EF_{BC} (g/kg) values for household biomass burning

Biomass fuels	EF_{BrC}	EF_{BC}	$R_{BrC/BC}$
Rape straw	7.26 ± 0.01	2.54 ± 0.01	2.86 ± 0.02
Rice straw	2.50 ± 3.06	0.31 ± 0.25	8.06 ± 6.67
Wheat straw	1.25 ± 0.07	0.13 ± 0.04	9.62 ± 5.17
Cotton straw	0.89 ± 0.51	0.10 ± 0.02	8.91 ± 2.99
Bean straw	0.57 ± 0.12	0.09 ± 0.04	6.41 ± 2.21
Corn cob	0.56 ± 0.55	0.056 ± 0.02	10.01 ± 8.77
Peanut stalk	0.54 ± 0.15	0.13 ± 0.054	4.15 ± 1.42
Sorghum stalk	0.45 ± 0.32	0.30 ± 0.054	1.51 ± 0.39
Maize straw	0.45 ± 0.76	0.053 ± 0.014	8.49 ± 4.97
Pine	0.27 ± 0.29	0.034 ± 0.017	7.94 ± 3.41
Pellet fuels	0.13 ± 0.06	0.023 ± 0.037	5.65 ± 2.58
Geometric mean	0.71 (0.24, 2.09)	0.12 (0.033, 0.436)	5.90 (3.26, 10.68)

Note: The last row for geometric mean is expressed as geometric mean (lower limit, upper limit). The lower/upper limits are calculated via geometric mean divided/multiplied by the geometric standard deviation (GSD). The GSDs for EF_{BrC} , EF_{BC} , and $R_{BrC/BC}$ are 2.95, 3.63, and 1.81, respectively.

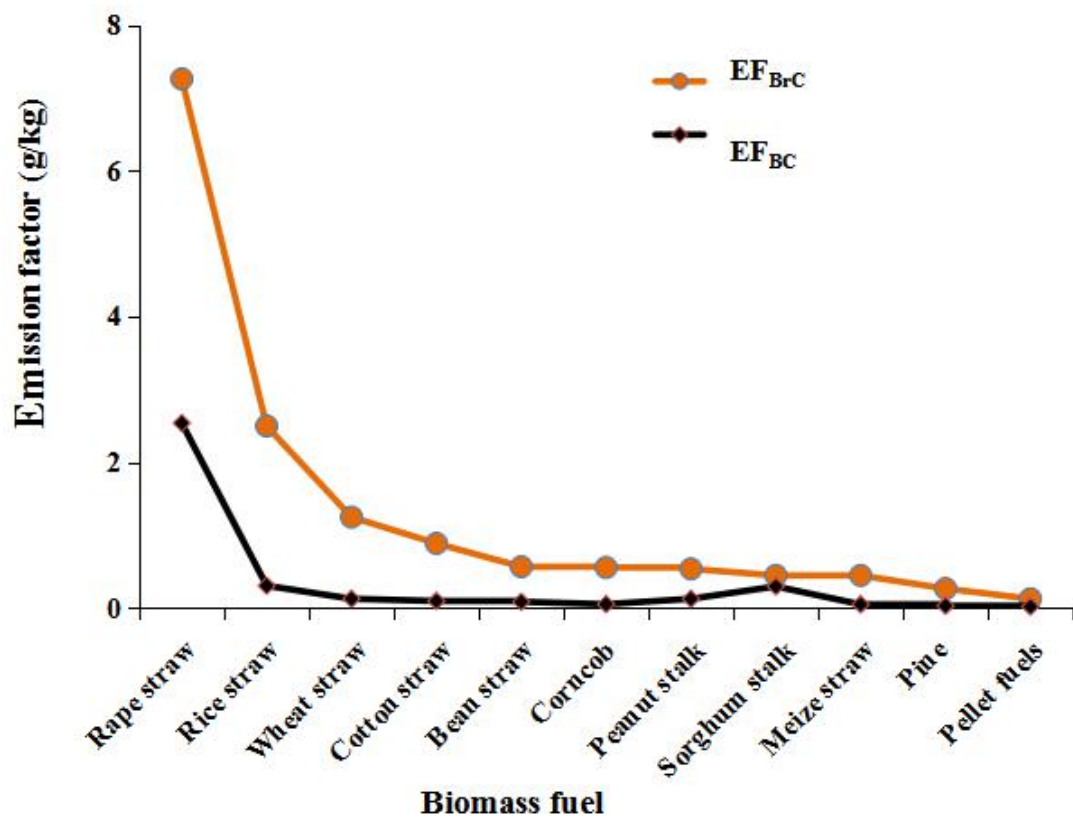


Figure 1. EFs of tested biomass fuels

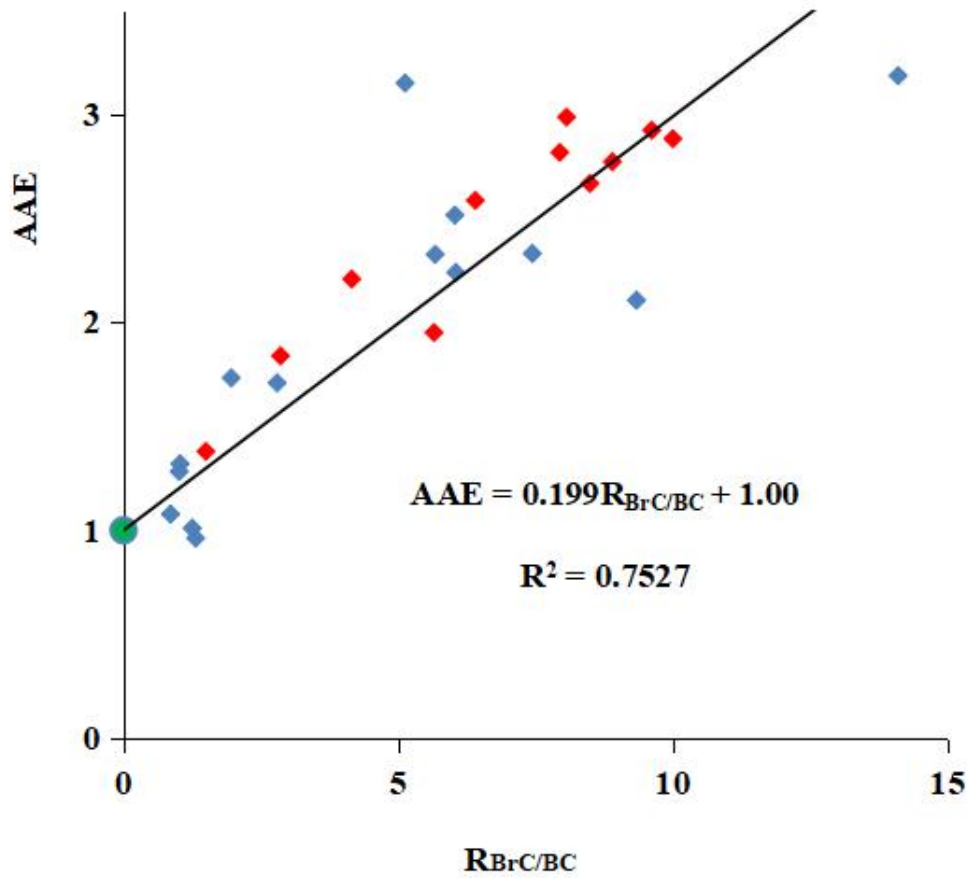


Figure 2. Relationship between AAE and EF_{BrC}/EF_{BC} ratio ($R_{BrC/BC}$) for both biomass fuel (red) and coal (blue). The intercept is designated as 1.0 to echo the conventionally accepted notion that the AAE for pure BC (i.e., $R_{BrC/BC} = 0$) is 1.0.

5

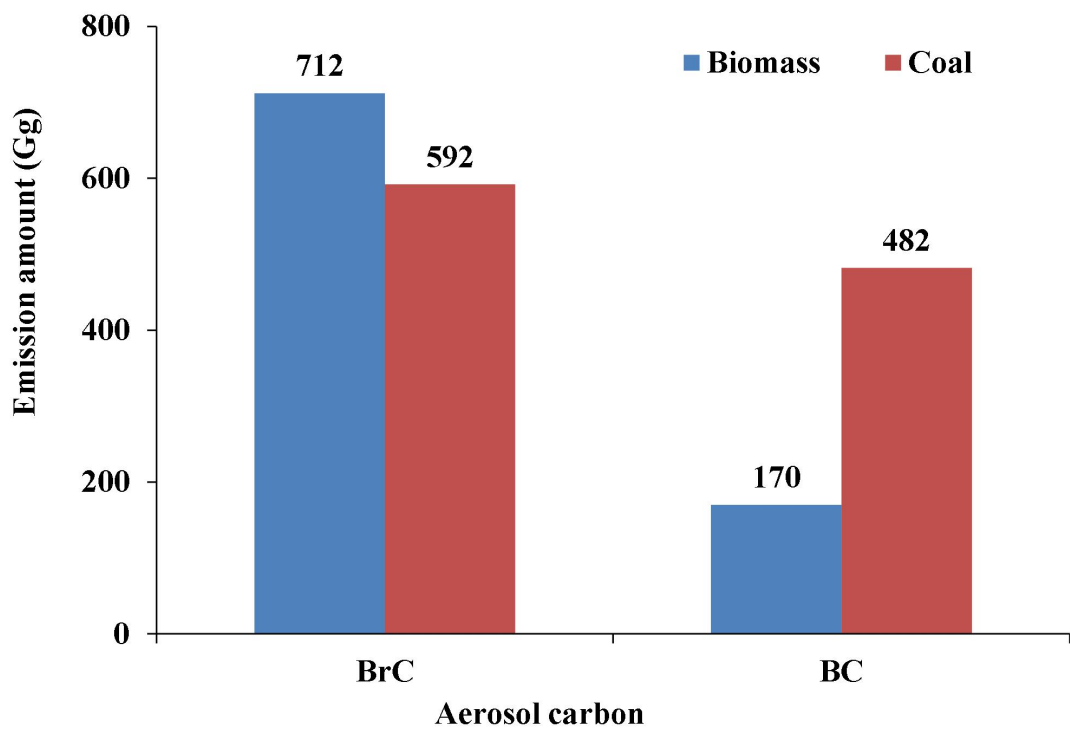


Figure 3. Comparison of BrC and BC emissions between biomass burning and coal combustion in China's household sector of 2013

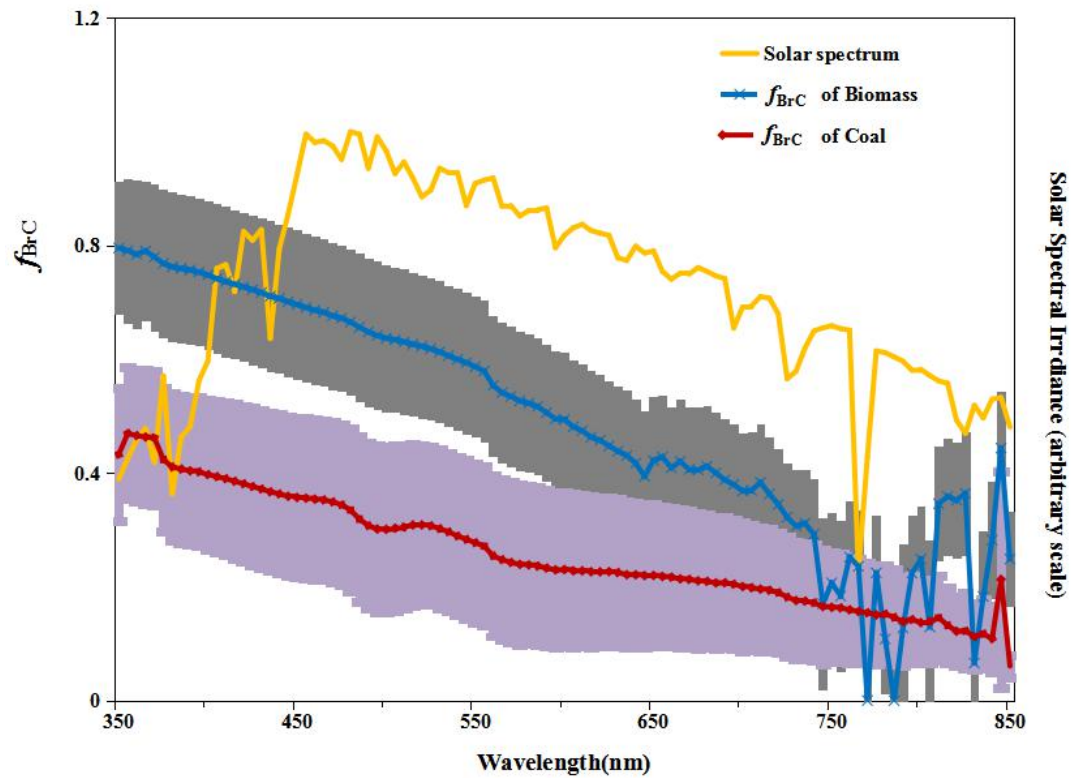


Figure 4. Ratios of light absorption by BrC to total absorption by total mass with respect to China’s household biomass and coal burning

Note: The ratio is expressed as f_{BrC} and was calculated in accordance with the method described in the Supplement. The yellow line is the clear sky global horizontal solar spectrum at the earth’s surface for one optical air mass in relative units (Levinson et al., 2010; Chakrabarty et al., 2014).

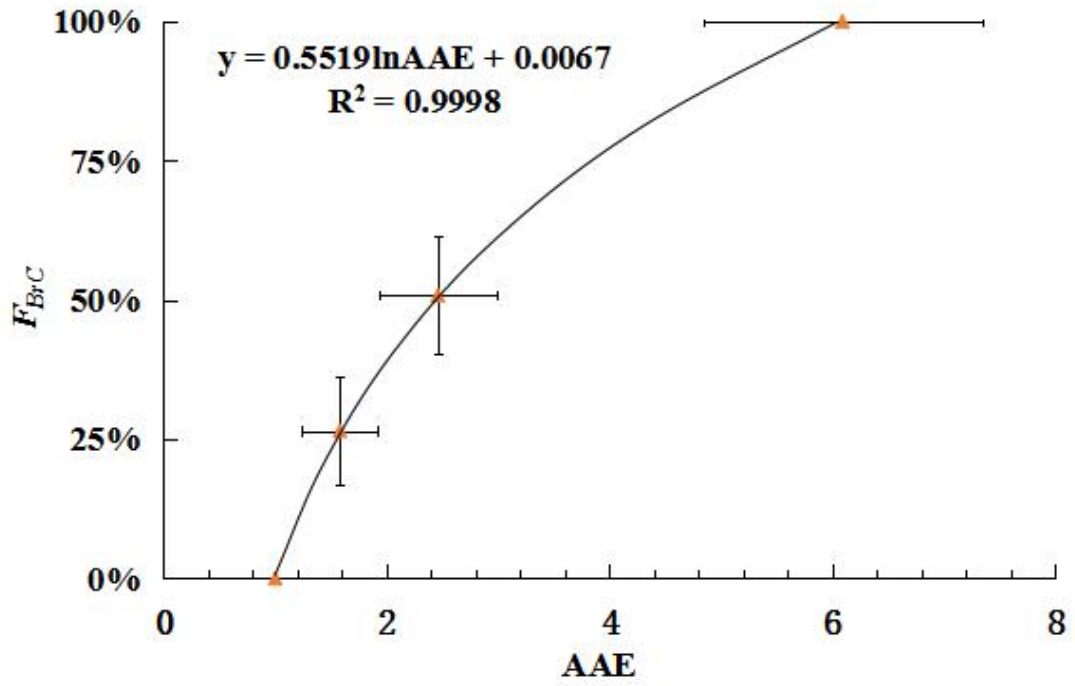


Figure 5. Relationship between F_{BrC} and AAE

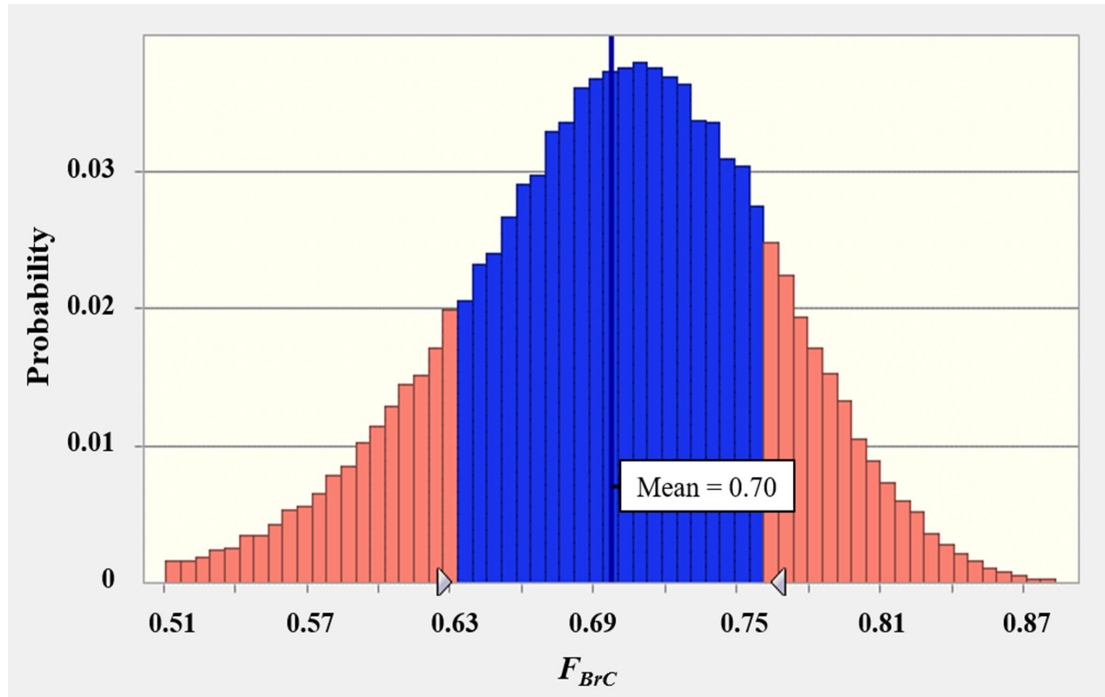


Figure 6. The probability distribution of calculated $F_{BrC-entire}$. Assuming the AAE-contained value of 2.46 ± 0.16 (mean \pm SD of the means) and AAE-open value of 3.44 ± 0.42 (mean \pm SD of the means) apply to whole world biomass burning, the combined value for entire biomass burning ($F_{BrC-entire}$) can be calculated as: $F_{BrC-entire} = 0.71 \times (0.5519 \ln AAE_{-open} + 0.0067) + 0.29 \times (0.5519 \ln AAE_{-contained} + 0.0067)$