



## The Production and Hydrolysis of Organic Nitrates from OH Radical Oxidation of $\beta$ -Ocimene

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**Abstract.** Biogenic volatile organic compounds (BVOCs) emitted by plants represent the largest source  
15 of non-methane hydrocarbon emissions on Earth. Photochemical oxidation of BVOCs represents a  
significant pathway in the production of secondary organic aerosol (SOA), affecting Earth's radiative  
balance. Organic nitrates (RONO<sub>2</sub>), formed from the oxidation of BVOCs in the presence of NO<sub>x</sub>,  
represent important aerosol precursors, and affect the oxidative capacity of the atmosphere, in part by  
sequestering NO<sub>x</sub>. In the aerosol phase, RONO<sub>2</sub> hydrolyze to form nitric acid and numerous water-soluble  
20 products, thus contributing to an increase in aerosol mass. However, only a small number of studies have  
investigated the production of RONO<sub>2</sub> from •OH oxidation of terpenes, among those, few have studied  
their hydrolysis. Here, we report a laboratory study of OH radical-initiated oxidation of  $\beta$ -ocimene, an  
acyclic, triolefinic monoterpene released during the daytime from vegetation, including forests,  
agricultural landscapes, and grasslands. We conducted studies of the OH radical oxidation of  $\beta$ -ocimene  
25 in the presence of NO<sub>x</sub> using a 5.5 m<sup>3</sup> all-Teflon photochemical reaction chamber, during which we  
quantified the total (gas- and particle-phase) RONO<sub>2</sub> yield and the SOA yields. We sampled the organic  
nitrates produced and measured their hydrolysis rate constants at different solution pH. The total organic  
nitrate yield was determined to be 33(±7)%, consistent with the available literature regarding the



dependence of organic nitrate production (from  $\text{RO}_2 + \text{NO}$ ) on carbon number. We found the hydrolysis  
30 rate constants to be highly pH-dependent, with a hydrolysis lifetime of  $51(\pm 13)$  min at  $\text{pH}=4$ , and  $24(\pm 3)$   
min at  $\text{pH} = 2.5$ , a typical pH for deliquesced aerosols. We also employed high-resolution mass  
spectrometry for product identification, which is used to infer key mechanisms of gas-particle  
partitioning. The results indicate that the ocimene SOA yield under relevant aerosol mass loadings in the  
atmosphere is significantly lower ( $<1\%$ ) than reported yields from cyclic terpenes, such as  $\alpha$ -pinene, likely  
35 due to alkoxy radical decomposition and formation of smaller higher-volatility products. This is also  
consistent with the observed lower particle-phase organic nitrate yields of  $\beta$ -ocimene,  $1.5(\pm 0.5)\%$ , under  
dry conditions. We observed the expected hydroxy nitrates by chemical ionization mass spectrometry  
(CIMS), and some secondary production of the di-hydroxy di-nitrates, likely produced by oxidation of  
the first-generation hydroxy nitrates. Lower  $\text{RONO}_2$  yields were observed under high relative humidity  
40 (RH) conditions, indicating the importance of aerosol-phase  $\text{RONO}_2$  hydrolysis under ambient RH. This  
study provides insight into the formation and fate of organic nitrates, ocimene SOA yields, and  $\text{NO}_x$   
cycling in forested environments from daytime monoterpenes, which are not currently included in  
atmospheric models.

## 1 Introduction

45 Biogenic volatile organic compounds (BVOCs) constitute the largest flux (88%) of all non-  
methane organic compounds to the atmosphere (Goldstein and Galbally, 2007; Guenther et al., 1995,  
2012). Isoprene and monoterpenes account for  $\sim 60\%$  of the total global BVOC emissions (Goldstein and  
Galbally, 2007; Guenther et al., 1995). BVOCs participate in chemical reactions with criteria air  
pollutants, including  $\text{NO}_x$  and  $\text{O}_3$ , and with radical species such as OH and  $\text{NO}_3$ , which leads to the  
50 formation of low volatility oxygenated compounds that partition into aerosol particles and represent a  
source of secondary organic aerosol (SOA) (Atkinson and Arey, 2003; Hallquist et al., 2009; Hatakeyama  
et al., 1991; Isaksen et al., 2009; Lee et al., 2016; Monks et al., 2009; Perring et al., 2013; Pye et al.,  
2015). Globally, the oxidation of BVOCs emitted from forests represents the largest source of SOA and  
affect climate and air quality. (Hallquist et al., 2009).



55 One specific group of BVOC oxidation products of interest is the organic nitrates (RONO<sub>2</sub>). By sequestering NO<sub>x</sub>, RONO<sub>2</sub> slows the production of tropospheric O<sub>3</sub>, but can act as a source of NO<sub>x</sub> and O<sub>3</sub> downwind upon further oxidation (Browne and Cohen, 2012; Pusede et al., 2015). These low volatility, water-soluble compounds are important precursors to and constituents of SOA due to their efficient partitioning with the condensed-phase (Biesenthal et al., 1997; Fry et al., 2009, 2014; Perraud et al., 2012; 60 Rollins et al., 2012). Ambient measurements have indicated that up to 23% of molecules in organic aerosol contain the RONO<sub>2</sub> functional group, suggesting RONO<sub>2</sub> represent a significant fraction of BVOC-derived oxidation products (Ditto et al., 2020; Rollins et al., 2013). However, the hydrolysis lifetime in the aerosol phase (~1-3 hours) is much shorter than the lifetime of the aerosol itself (~1 week), which suggests the contribution of organic nitrates to aerosol mass may have been underestimated from 65 the observational data (Romer et al., 2016).

It has been shown that these low-volatility oxidation products readily partition from the gas phase into the particle phase and undergo further reactions (Perraud et al., 2012). Once in the particle phase, organic nitrates can undergo rapid hydrolysis under acid-catalyzed conditions, representing a sink for NO<sub>x</sub> in the form of HNO<sub>3</sub> (Bean and Hildebrandt Ruiz, 2016; Darer et al., 2011; Hu et al., 2011; Liu et 70 al., 2012; Rindelaub et al., 2015; Takeuchi and Ng, 2019). Hydrolysis rates and the relative contribution of particle phase hydrolysis as a sink for organic nitrates (compared to reaction with ozone, OH, photolysis, and deposition) vary significantly and depend on molecular structure (Boyd et al., 2015; Zare et al., 2018). To date, there are few studies of hydrolysis rate constants for monoterpene-derived organic nitrates (Rindelaub et al., 2015). The chemical structure (primary, secondary, and tertiary) can influence 75 the rate of hydrolysis of organic nitrates (Boyd et al., 2015; Zare et al., 2018). Thus, more studies are needed to assess the structural dependence of both RONO<sub>2</sub> production yields and the hydrolysis rate constants.

Although monoterpenes contribute significantly to the total annual BVOC budget, current models underestimate the impact of RONO<sub>2</sub> on SOA mass measured in an ambient environment (Pye et al., 2013). 80 Importantly, RONO<sub>2</sub> yields for many BVOCs are unknown. Monoterpenes have been shown to produce more SOA than from isoprene in boreal regions (Lee et al., 2006; Tsigaridis and Kanakidou, 2007). Pratt et al. (2012) showed that, for a temperate mixed-forest in Michigan, isoprene-derived nitrates dominate



the daytime simulated gas-phase organic nitrates, however, ~20% is derived from monoterpene nitrates. Of this, nearly one third results from OH-initiated oxidation of  $\beta$ -ocimene, a tri-olefinic monoterpene of high reactivity that is emitted during the daytime (Pratt et al., 2012). To the best of our knowledge, the production of  $\beta$ -ocimene organic nitrates and aqueous-phase processing of its atmospheric oxidation products have not previously been studied.

To expand our understanding of the chemical evolution and fate of monoterpene-derived  $\text{RONO}_2$ , we investigated the formation of  $\text{RONO}_2$  produced from the  $\bullet\text{OH}$ -initiated oxidation of ocimene in the presence of  $\text{NO}_x$  using a photochemical reaction chamber. These experiments were conducted as a function of chamber RH to provide insight on aqueous phase partitioning and processing. The aqueous phase processing of these species was explored using linear quadrupole ion trap mass spectrometry to determine hydrolysis kinetics. This study aims to quantify the yields of ocimene-derived  $\text{RONO}_2$  and the chemistry of these species to better understand how organic nitrates may impact air quality and climate.

## 2 Methods

Experiments were conducted in a custom-made,  $5.5 \text{ m}^3$  photochemical reaction chamber consisting of PFA-Teflon walls, perfluoroalkoxy-coated endplates, a mixing fan, and UV lamps as described in Chen et al., 1998 and Lockwood et al., 2010. These experiments were conducted as a function of chamber RH, keeping other variables constant as possible, and at  $\sim 25^\circ\text{C}$ . Eighteen experiments were carried out under variable humidity conditions, i.e.  $<3\%$  up to  $70\%$  RH, as listed in Table 1.

Before the experiment, the chamber walls were cleaned by adding  $\sim 500$  ppb  $\text{O}_3$ , irradiating for 1 hour, and flushing with ultra-zero (UZ) air in the dark until the  $\text{O}_3$  concentration was  $\sim 0$  ppb. The  $\text{O}_3$  concentration was monitored using a dual beam ozone monitor (2B Technologies, model 205), which is calibrated using an ozone calibration source (2B technologies, model 306). The chamber RH was monitored using a LI-COR hygrometer (model 7000). The LI-COR was calibrated from known RH sampled over a saturated  $\text{K}_2\text{SO}_4$  solution at a fixed temperature. Experiments were started only if the chamber particle number concentration was  $<10$  particles per cubic centimeter.

First, ocimene ( $\geq 90\%$ , Sigma-Aldrich; (*E*)-/(*Z*)- $\beta$ -ocimene mixture) was introduced to the chamber via injection through a T-shaped heated glass inlet, through which ultrapure  $\text{N}_2$  was used to



110 transfer the injected ocimene via 1/4" PFA tubing at a flowrate of 5 lpm. Formaldehyde (37% v/v in water, Sigma) and pure NO (99.5%, Praxair) were injected using the same set-up. Formaldehyde photolysis serves as an OH radical precursor in the presence of NO (Possanzini et al., 2002). Ammonium Sulfate ((NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>) seed particles were generated using a 10 wt.% (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> aqueous solution and a commercial atomizer (TSI, Inc., model 3076), and subsequently dried by passing through a diffusion  
115 dryer prior to entering the reaction chamber. For humid experiments, chamber RH was adjusted by bubbling UZ air through nano-pure water using a commercial bubbler immersed in a temperature-controlled bath. The chamber fan was started during the initial injections, ensuring reactants were well mixed. Following mixing, initial concentrations were measured before the chamber UV lights were activated. After initial concentrations were measured, the fan was stopped to minimize wall losses, the  
120 chamber lights were activated (time = 0), and real-time measurements were obtained. A representative experimental time series is shown in Figure 1, where the area between the blue vertical lines represents the time when the UV lights were switched on.

The ocimene concentration was quantified using gas chromatography – flame ionization detection (GC-FID; HP 5890 Series II) equipped with a gas injection loop. The GC-FID was calibrated using the  
125 same ocimene standard injected during the experiments, with gas phase concentrations prepared in ~200-liter PFA-Teflon bags. Seven-point calibration curves with  $R^2 > 0.995$  were obtained and used for ocimene quantitation. NO and NO<sub>2</sub> concentrations were measured using a custom-built chemiluminescence NO<sub>x</sub> analyzer (Lockwood et al., 2010). Size-resolved particle mass concentration was determined using a scanning mobility particle sizer (SMPS, TSI, Inc., model 3062) directly connected to  
130 the chamber via copper sampling lines. The hydroxy nitrates were quantified using I<sup>-</sup> chemical ionization mass spectrometry (CIMS) (Xiong et al., 2015, 2016). The inlet of the CIMS was maintained at a high relative humidity via saturated UHP N<sub>2</sub> carrier flow to eliminate the effects of water vapor on the CIMS sensitivity (Lee et al., 2016; Xiong et al., 2015). A synthesized  $\alpha$ -pinene hydroxy nitrate standard was used as a surrogate standard to calibrate the CIMS for ocimene hydroxy nitrate determination (Rindelaub  
135 et al., 2016b; Slade et al., 2017). O<sub>3</sub> measurements were not possible due to interference of the ozone monitor by ocimene (Walker and Hawkins, 1952). However, for the NO and NO<sub>2</sub> concentrations present in the chamber, and the  $J_{\text{NO}_2}$  value ( $7 \times 10^{-4} \text{ s}^{-1}$ ), the calculated steady state O<sub>3</sub> concentration was < 1 ppb



(considering loss via reaction with NO and ocimene) and was not an important reactant in the experiments. The photochemical reaction was terminated by turning off the chamber lights when one third of the NO concentration remained in the chamber to ensure that ocimene oxidation occurred via •OH oxidation only, and all RO<sub>2</sub> radicals reacted only with NO (Atkinson et al., 2006; Capouet et al., 2004; Rindelaub et al., 2015). A series of blank experiments was conducted to evaluate i) chamber reactions without ocimene or NO, ii) gas- and particle-phase product loss to chamber walls under different RH, and iii) dark reactions of NO<sub>2</sub> with ocimene. These blank experiments served as a confirmation that all the RONO<sub>2</sub> detected during oxidation experiments were produced from the OH-initiated oxidation in the presence of NO. Additionally, the SOA, gas-, and particle-phase yields were all corrected for the product loss to chamber walls.

The gas- and particle-phase products were separated and collected for offline analysis by sampling through a XAD-4 resin coated 8 channel annular denuder (URG-200) followed by a 47 mm PTFE particle filter. A series of quality control experiments was performed to evaluate the gas-phase organic nitrate collection efficiency by the denuder, particle transmission efficiency through the denuder, and particle collection efficiency on the filter, as described in Slade et al., 2017. The denuder and filter were separately extracted in tetrachloroethylene (≥99.9%, Sigma-Aldrich) and subsequently analyzed for total organic nitrate concentration using Fourier transform infrared spectroscopy (FTIR, Thermo Nicolet 6700) using a 1.00 cm liquid cell, as described by Rindelaub et al., 2015. The organic nitrate concentrations were determined using the asymmetric -ONO<sub>2</sub> stretch at 1640 cm<sup>-1</sup> (Nielsen et al., 1995), using an absorption coefficient of 12900 L mole<sup>-1</sup> cm<sup>-1</sup>. After the FTIR analysis, samples were concentrated to near-complete dryness with ultra-high-purity nitrogen for use in high resolution mass spectrometry analysis for structure elucidation and hydrolysis studies.

To better understand the oxidation products, denuder and filter extracts were analyzed for their chemical composition via ultra-high-performance liquid chromatography with electrospray ionization time-of-flight tandem mass spectrometry (UPLC-ESI-ToF-MS/MS, Sciex 5600+ TripleToF with Shimadzu 30 series pumps and autosampler) (Slade et al., 2017). The separation was achieved using reverse-phased liquid chromatography (Phenomenex Kinetex EVO C18 column, 100 Å, 100 mm × 2.1 mm, 5 µm) following the gradient elution described in Surratt et al., 2008, and MS analyses were



completed using negative and positive electrospray ionization (ESI) modes with multiple-reaction monitoring (MRM) in the W reflectron geometry mode. The mass resolution ( $m/\Delta m$ ) of this mass spectrometer is 25,000 at  $m/z$  195 using an accumulation time of 50 ms. The denuder and filter extracts were reconstituted in a 1:1 v:v solvent mixture of HPLC-grade methanol with 0.1% acetic acid and HPLC-  
170 grade water. The gradient elution was performed at  $0.3 \text{ mL min}^{-1}$  with a binary mobile phase system: (A) 0.1% acetic acid in water (B) 0.1% acetic acid in methanol. The 12 min gradient elution was as follows: the concentration of eluent B was 0% for the first 2 min, increased to 90% from 2 to 10 min, held at 90% from 10 to 10.2 min, and then decreased back to 0% from 10.2 to 12 min (Surratt et al., 2008).

For hydrolysis studies, 3 experiments were selected representing dry, mid, and high RH  
175 conditions. The denuder and filter extracts were combined to form a bulk (gas- and particle-phase) ocimene nitrate solution and was then concentrated by solvent evaporation. The mixture was then reconstituted in 2.0 mL of a buffer solution at pH = 2.5, 4.1, and 7.0. The solution was agitated using a magnetic stir bar and 50  $\mu\text{L}$  were extracted every 2 minutes. The extract was then mixed with 50  $\mu\text{L}$  of methanol (99.9%, Fisher Chemical). The resulting solution was stirred and analyzed via direct infusion  
180 electrospray ionization (ESI) into a Thermo Scientific LTQ XL Linear Ion Trap mass spectrometer operated in negative ionization mode. The hydrolysis rate constants were determined from the first-order decay of various organic nitrate-related functional groups monitored by  $\text{MS}^2$  fragmentation experiments. Briefly, fragmentation of the isolated molecular ion  $m/z$  214.1 ( $\text{C}_{10}\text{H}_{16}\text{NO}_4$ , expected first-generation oxidation product) was monitored at the instrument setting of collisional dissociation energy (CE) of 35  
185 eV. The  $m/z$  46 ( $\text{NO}_2^-$ ) and 62 ( $\text{NO}_3^-$ ) mass fragments were used to quantify relative concentrations of  $\text{C}_{10}\text{H}_{16}\text{NO}_4$  species as a function of the hydrolysis time. The exponential decrease in peak area of  $m/z$  46 ( $\text{NO}_2^-$ ) was used to quantify the observed hydrolysis rate constant, which is effectively the weighted average for the different structural isomers present in the solution, weighted by their relative concentrations. The  $m/z$  62 ( $\text{NO}_3^-$ ) fragment was used as a confirmation ion.



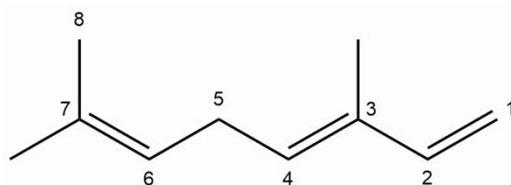
## 190 3 Results and Discussion

### 3.1 Organic nitrate yields

A primary objective for this study is quantitative measurements of organic nitrate yields from  $\bullet\text{OH}$  reaction with ocimene, where the yields are defined as the concentration of  $\text{RONO}_2$  produced ( $\Delta\text{RONO}_2$  in ppb) relative to the concentration of BVOC consumed ( $\Delta\text{BVOC}$  in ppb), i.e.,  $Y_{\text{RONO}_2} = \Delta\text{RONO}_2/\Delta\text{BVOC}$  (Slade et al., 2017), corrected for losses of the organic nitrates. In our experiments, all peroxy radicals react with NO. Thus



$-\text{d}[\text{ocimene}]/\text{dt} = k_2[\text{RO}_2][\text{NO}]$ , where  $k_2 = k_{2a} + k_{2b}$ , and  $\text{d}[\text{RONO}_2]/\text{dt} = k_{2b}[\text{RO}_2][\text{NO}]$ . Thus,  $(\text{d}[\text{RONO}_2]/\text{dt})/(-\text{d}[\text{ocimene}]/\text{dt}) = k_{2b}/k_2$ . A plot of  $\Delta[\text{RONO}_2]$  vs  $-\Delta[\text{ocimene}]$  yields the  $\text{RO}_2$ -weighted average “branching ratio”,  $k_{2b}/k_2$  for the  $\text{RO}_2$  radicals produced when  $\bullet\text{OH}$  reacts with ocimene in the presence of  $\text{O}_2$ . We utilized the method of Kwok and Atkinson, 1995 to estimate the fraction of time that  $\bullet\text{OH}$  adds to any of carbon #1, 2, 3, 4, 6, or 7, with numbers as shown here.



(*E*)-3,7-dimethylocta-1,3,6-triene

Because of the multiple double bonds, at which  $\bullet\text{OH}$  can add, and because of the resonance structures of the multiple allylic radicals produced when  $\bullet\text{OH}$  adds to C#s 1 or 4 (as shown for the most prevalent addition point, C4, in Figure 2), there are 10 possible main organic nitrate isomers, for each stereoisomer, i.e. the cis- or trans-ocimene, complicating the product analysis. Additional isomers may form from H-abstraction of the methyl groups, but these are of less significance than the isomers produced from  $\bullet\text{OH}$ -addition to the double bond. The measured total hydroxynitrate, gas-, and particle-phase total organic nitrate yields under different RH are shown in Fig. 3. Yields are corrected for dilution in the chamber, loss to the chamber walls, loss during preconcentration, and hydroxynitrate consumption by reaction with  $\bullet\text{OH}$  (Rindelaub et al., 2015; Slade et al., 2017). The error bars on individual points reflect the propagated



analytical uncertainties of the yields. The analytical uncertainty accounts for analytical errors associated  
215 with all the steps involved in sample collection, extraction, and analysis, including denuder and filter  
extraction and collection efficiencies, uncertainty in the FTIR calibration when using a proxy for -ONO<sub>2</sub>  
quantitation, and, (for gas-phase hydroxynitrates), uncertainty in the CIMS sensitivity to the  
hydroxynitrate proxy. In Figure 3, we see that the observed total RONO<sub>2</sub> yield, and that for both gas- and  
aerosol-phases, and for the CIMS-determined hydroxy nitrates, are RH dependent, decreasing by ~×2  
220 over the range studied (~3-70% RH). We interpret this as we did for the  $\alpha$ -pinene case (Rindelaub et al.,  
2015), i.e. that hydroxy nitrates partition to the aerosol phase, where they are lost by hydrolysis. At higher  
RH, there will be more water associated with the particles, and the hydroxy nitrates are then more particle-  
soluble (Rindelaub et al., 2015). If we then extrapolate to 0% RH, the extent of particle-phase loss will  
be minimized, and thus the intercept represents the determined lower limit to the branching ratio. The  
225 intercept for the total RONO<sub>2</sub> yield, as shown in Figure 3, is 33(±7)%, represented by the y-intercept of  
the black markers in Fig. 3a. In Figure 4, we present a summary of a range of observations of branching  
ratios for alkanes, alkenes, isoprene and the two terpenes for which we have determined the total yield  
(assumed RO<sub>2</sub>-weighted average branching ratio). It is shown that the branching ratio for ocimene- and  
 $\alpha$ -pinene- derived RONO<sub>2</sub> follows the trend observed for simple alkanes/alkenes, within the uncertainty  
230 of the measurement (Arey et al., 2001; O'Brien et al., 1998; Rindelaub et al., 2015; Teng et al., 2015,  
2017; Xiong et al., 2015).

We found that the first-generation hydroxy nitrates exist primarily in the gas phase (red markers  
in Fig. 3a), which is consistent with the low SOA yield, shown in Figure 5. Although the total RONO<sub>2</sub>  
yield of ocimene is greater than that for  $\alpha$ -pinene oxidation, the particle phase organic nitrate yield is  
235 much larger in the  $\alpha$ -pinene case (Rindelaub et al., 2015). This is likely due to the fact that the SOA yield  
is much larger for  $\alpha$ -pinene (34±12%; Rindelaub et al., 2016a), since, as discussed in the next section,  
ocimene oxidation produces smaller, more volatile, acyclic oxidation products. However, some of the low  
particle phase RONO<sub>2</sub> yield must occur because of the hydrolysis in the aerosol phase. The relatively low  
SOA yield can be attributed to the alkoxy radical decomposition products that produce the remaining 67%  
240 of the first-generation oxidation products following the •OH-initiated pathway in the presence of NO<sub>x</sub>,  
e.g. as shown producing methyl vinyl ketone and 2-methyl-2-pentenal, in Fig. 2. These decomposition



products are expected to be primarily C6- and smaller carbonyl compounds, of much higher volatility than the ocimene hydroxy nitrate. This occurs in the case of this linear tri-alkene, because scission of the C-C bond at the  $\alpha$ -position to the alkoxy radical breaks the carbon chain into smaller chain carbonyl  
245 compound products, as shown in Figure 2. As previously stated, the expected first-generation oxidation products (Fig. 2) are in the upper range of semi-volatile species, confirming their preferential presence in the gas phase (Donahue et al., 2011).

The CIMS-measured hydroxynitrate yields (green markers in Fig. 3a) are lower than the total gas-phase  $\text{RONO}_2$  yield likely due to a lower CIMS sensitivity to the ocimene hydroxy nitrates than assumed,  
250 using the  $\alpha$ -pinene hydroxy nitrate standard used as a proxy. However, it is important to note that we expect all organic nitrates in this system to be hydroxy nitrates. In this regard, it is important that the CIMS-observed hydroxy nitrate concentration decayed to the same relative extent as for the FTIR-determined total, as shown in Figure 3. When the hydroxynitrate undergoes hydrolysis, the reaction proceeds likely via  $\text{SN}_1$  unimolecular nucleophilic substitution, as discussed in (Rindelaub et al., 2015).  
255 The nitrooxy functional group serves as a leaving group and is replaced with a hydroxyl group, ultimately forming a diol. We calculated the vapor pressure of the diol produced from hydrolysis of Compound A in Figure 2, using SIMPOL (Pankow and Asher, 2008), and obtained  $5.5 \times 10^{-8}$  atm at  $20^\circ\text{C}$ , which is identical to that calculated for compound A itself. However, it is likely that the Henry's Law constant for dissolution of the diol into water will increase (Shepson et al., 1996), effectively increasing the  
260 partitioning into the aerosol phase.

### 3.2 SOA yields

Aerosol-mass-dependent secondary organic aerosol yields ( $Y_{\text{SOA}}$ ) were calculated using the change in aerosol mass concentration ( $\Delta M$  in  $\mu\text{g m}^{-3}$ ) relative to the ocimene mass consumed ( $\Delta \text{BVOC}$  in  $\mu\text{g m}^{-3}$ ), i.e.,  $Y_{\text{SOA}} = \Delta M / \Delta \text{BVOC}$  (Slade et al., 2017). The aerosol mass concentration was derived  
265 from the SMPS data, assuming spherical particles of  $1.25 \text{ g cm}^{-3}$  density (based on Ng et al., 2006), as shown in Fig. 1. The measured SOA yields as a function of aerosol mass and chamber RH are shown in Fig. 5a, where the error bars reflect the propagated analytical uncertainty of the yields when considering the wall loss and dilution correction. While there is an apparent increase in aerosol yield with increasing



humidity, it is not statistically significant (Figure 5a). We calculate from the data in Figure 5a that the  
270 SOA yield from OH radical oxidation of ocimene is less than 1% for typical aerosol mass concentrations  
in a moderately polluted forested environment,  $\sim 10 \mu\text{g m}^{-3}$ . These yields are lower than reported SOA  
yields from  $\alpha$ -pinene ( $34 \pm 12\%$ ), (Rindelaub et al., 2015). Again, we hypothesize that this is related to  
structural differences of the alkoxy radicals, as shown in Figures 2 and 6, specifically that in the ocimene  
case, cleavage of the  $\alpha$ -carbon-carbon bond leads to two smaller radical fragments (Figure 2) and  
275 ultimately more volatile smaller carbonyl compounds (Gaona-Colmán et al., 2018; Reissell, 2002). For  
 $\alpha$ -pinene, most of the products retain a C10 backbone (Rindelaub et al., 2016a), e.g. due to ring opening  
reaction resulting from the  $\alpha$ -carbon-carbon bond cleavage. To better understand the SOA yields, two-  
product absorptive partitioning model fits of this study were compared to model fits and SOA yields of  
similar acyclic, tri-olefinic monoterpenes, shown in Fig. 5b (Böge et al., 2013; Griffin et al., 1999;  
280 Hoffmann et al., 1997). On average, the SOA yields in this study at all relative humidity values are less  
but similar to that measured from ocimene photooxidation in a previous study, likely due to the absence  
of  $\text{O}_3$  in our study (Hoffmann et al., 1997). We find that the SOA yields for myrcene (also a linear triene)  
were greater than those for ocimene (Böge et al., 2013). This is likely because of the two terminal double  
bonds on myrcene that would result in a greater fraction of  $\text{C}_9$  carbonyl compounds.

285 Low-volatility/water soluble organic nitrates can partition to the particle phase and contribute to  
the SOA mass. The estimated vapor pressure ( $V_P$ ) of the ocimene hydroxy nitrate is  $5.6 \times 10^{-8}$  atm at  $20^\circ\text{C}$   
(Pankow and Asher, 2008), which is in the upper range of semi-volatile species, explaining the low  
aerosol phase organic nitrate yields. This is in contrast to our experiments with alpha-pinene derived  
hydroxy nitrates, where at low relative humidities, most  $\text{RONO}_2$  was in the aerosol phase (Rindelaub et  
290 al., 2015). The lower vapor pressure species likely lead to the greater aerosol yield ( $\sim \times 6$ ) for  $\alpha$ -pinene,  
which in turn increased the partitioning of organic nitrates to the aerosol phase (Rindelaub et al., 2015).  
It is now well known that aerosol liquid water can also influence the SOA growth. In the ambient  
environment, as relative humidity increases (and as aerosol ages), the aerosol liquid water also increases  
(Carlton and Turpin, 2013), driving uptake of water-soluble oxidized organic compounds (WSOC).  
295 However, in the photochemical smog chamber used in this study, the extent of VOC oxidation is very



low (i.e. mostly primary products), and the resulting aerosols likely have relatively low O:C, resulting in a small correlation between relative humidity and aerosol yield, as shown in Figure 5.

The gas- and particle-phase extracts were analyzed using UHPLC-ToF-MS/MS to elucidate oxidation product structures. In Figure 7, the selected ion chromatograms (SIC) of expected oxidation products from ESI negative mode are presented for both the filter (7a) and denuder (7b) extracts for experiment #6. As shown in the light blue trace in Figure 7, we do observe the dihydroxydinitrate, although the effective gas phase concentration could not be determined. As described above, we do correct for loss of the primary hydroxy nitrate by reaction with  $\bullet\text{OH}$ . In the case of the dinitrate, such production would increase the effective measured organic nitrate yield. On the other hand, we also observe some of the trihydroxynitrate, as shown in Figure 7, indicating partial hydrolysis of the dinitrate. In this case, the product is neutral in its impact on the measured organic nitrate yield, and as discussed below, given the hydrolysis rate constants, and the time scale of the experiments, it is likely that there is some hydrolysis of the primary organic nitrates to the corresponding diol, leading to the negative slope observed in Figure 3. We hypothesize that the smaller (C6 or C4) fragments produced during the oxidation were lost during the solvent evaporation process, due to their high volatility.

### 3.3 Aqueous phase hydrolysis

The aqueous phase hydrolysis kinetics were further investigated by examining the decay of the gas- and particle-phase products using mass spectrometry. The RH dependence of the  $\text{RONO}_2$  yields indicates acid-catalyzed hydrolysis. To date, there have only been hydrolysis rate constant determinations for a few organic nitrates (Darer et al., 2011; Hu et al., 2011) and the  $\alpha$ -pinene nitrate (Rindelaub et al., 2015), and a range of smaller hydroxy nitrates (Jacobs et al., 2014). Thus, we conducted measurements of the aqueous hydrolysis rate constants for the sum of the ocimene nitrates, via electrospray MS/MS, as a function of the solution pH. In Figure 8, we plot  $\ln A_0/A_t$  vs  $t$ , in seconds, where zero is an arbitrary starting point for the pH-adjusted (buffered) samples. The resulting plots were linear as expected for a first order exponential decay. The slope of each line is equal to the first-order hydrolysis rate constant. The symbols in this figure represent replicates for each pH, and each panel is a different pH, all on the same scale for each pH. As shown in Figure 8, the rate constants increase significantly, i.e. by  $\sim \times 12$ , over



the range  $\text{pH} = 7.0$  to  $\text{pH} = 2.5$ . These bulk rate constants represent those for the yield-average weighted organic nitrates produced.

325 In Figure 9, we plot our data for the ocimene nitrates, along with those for the  $\alpha$ -pinene nitrate studied by Rindelaub et al., (2015). The equation for the best-fit line in Figure 9 is  $\log_{10} k = -(0.24(\text{pH}) + 2.58)$ , for ocimene. This shows that the natural lifetime against hydrolysis is 51 minutes at  $\text{pH}=4$  and decreases to 11 minutes at  $\text{pH}=1$ . These are very short, compared to the lifetime of fine aerosol, i.e.  $\sim 1$  week. Thus, we might expect that the aerosol phase concentration of such hydroxy nitrates might typically  
330 be quite low, even though they have contributed significantly to aerosol mass, in the form of the diols. We also see that the hydrolysis lifetimes are very similar for the quite structurally different cyclic  $\alpha$ -pinene nitrate and acyclic ocimene nitrates, i.e. 78 and 51 minutes, respectively, at  $\text{pH}=4$ . This implies that these values may be close to representative for a range of hydroxy nitrates. This rapid aqueous-phase hydrolysis can explain the difficulty to detect the presence of substantial aerosol phase organic nitrates  
335 under ambient conditions (Ditto et al., 2020). As hydroxy nitrate production can represent an important fate for daytime oxidation of isoprene and terpenes in forest-impact environments, the uptake of these compounds into acidic aerosol followed by hydrolysis can represent an important mechanism for conversion of  $\text{NO}_x$  to  $\text{HNO}_3$ , as discussed in Romer Present et al., 2019 and Zare et al., 2018.

While the fate of the resulting diol in solution is unclear, there is a range of possible processes in  
340 the aqueous phase, including OH radical attack and oligomerization. Further oxidation of these olefinic diols would likely result in fragmentation, which might then cause their release into the gas phase (Otto et al., 2017). Diols, and these olefinic diols, can also undergo oligomerization to form even lower volatility products which may have profound effects on the overall aerosol properties, such as viscosity and rate of diffusion (Glasius and Goldstein, 2016; Slade et al., 2019). The presence of oligomers from diols has  
345 previously been identified, but molecular characterization is quite limited (Stropoli et al., 2019). Careful investigation of the structures of these oligomers is necessary. The nature of the oligomers must be determined to develop reliable predictive models of SOA formation (Budisulistiorini et al., 2017; Pye et al., 2013; Surratt et al., 2007).



#### 4 Atmospheric Implications and Conclusions

350 The production of organic nitrates serves as an important sink for gas phase  $\text{NO}_x$ . The first generation organic nitrate products are relatively high vapor pressure and, for ocimene, are subject to further gas phase oxidative loss, to produce smaller, but more oxidized products (Bateman et al., 2011). While the main products of that oxidation will be hydroxy nitrooxy carbonyl compounds of lower carbon number, and thus perhaps higher vapor pressure, the yield of dihydroxy dinitrates will be significant. The latter compounds will be much lower vapor pressure, e.g. that for Compound B shown in Figure 2, is 355  $2.44 \times 10^{-12}$  atm at 20 °C (Pankow and Asher, 2008), low enough that it should mostly undergo uptake into the aerosol phase, followed by hydrolysis to produce a 10-carbon tetrol and  $\text{NO}_3^-$ . However, the extent to which the gas phase oxidation of the primary product olefinic hydroxy nitrates re-releases  $\text{NO}_x$  needs to be studied from laboratory experiments with the pure compounds. The primary organic nitrates will 360 undergo hydrolysis in the particle phase, transforming from a hydroxynitrate to a diol. The nitrooxy group will be released as the nitrate ion, which can impact regional nitrogen cycling by either remaining in the particle phase (at certain pHs) or be released as gas phase nitric acid (at very high particle acidities). In either case,  $\text{NO}_x$  has been permanently removed from the system (Galbavy et al., 2007; Rindelaub et al., 2015; Suarez-Bertoa et al., 2012; Zafiriou and True, 1979; Zare et al., 2018; Romer Present et al., 2020). 365 Therefore, knowledge of the hydrolysis rate constants, especially for isoprene nitrates, is badly needed. The relatively large organic nitrate yield ( $33 \pm 7\%$ ) in combination with the rapid aqueous-phase hydrolysis both tend to make organic nitrate production an important sink for  $\text{NO}_x$  in terpene-impacted forest environments. In addition, the light dependent emission rate of ocimene suggests that in dense forest environments, like that of UMBS (Pratt et al., 2012), there could be steep vertical gradients in organic 370 nitrate concentrations between canopy and ground, exceeding that of the other terpene nitrates modelled in Schulze et al., 2017. It is thus necessary to further assess the organic nitrate yield values, hydrolysis rate constants, and major oxidation products for a range of terpenes to fully understand the impact of their oxidation on the fate and distribution of  $\text{NO}_x$ .

Further work should be done to examine the structural dependence of hydroxy nitrate hydrolysis 375 kinetics, e.g. the difference between  $\beta$ -hydroxy and  $\delta$ -hydroxy nitrates. Previous work has determined thermodynamic stabilities of sterically different organic nitrates (primary, secondary, tertiary) based on



acid-catalyzed hydrolysis, where tertiary organic nitrates are less stable than primary or secondary organic nitrates at atmospherically-relevant pHs, suggesting potential differences in hydrolysis rates between  $\beta$ -hydroxy and  $\delta$ -hydroxy nitrates (Hu et al., 2011). Differences between the hydrolysis rates of ocimene organic nitrates from  $\text{NO}_3^-$ - and OH-initiated oxidation should be explored, as significant differences in the hydrolysable fractions have been shown between organic nitrates formed from  $\text{NO}_3^-$ - and OH-initiated oxidation of both  $\alpha$ - and  $\beta$ -pinene (Takeuchi and Ng, 2019), and daytime  $\text{NO}_3$  concentrations can be relevant in forests like that of UMBS (Pratt et al., 2012; Schulze et al., 2017). It is necessary to understand the SOA components produced during this oxidation to better predict aqueous phase processing. Further work on the condensed phase chemistry of alcohols and olefinic oxygenated compounds in the aerosol phase is necessary. These results suggest that ocimene hydroxy organic nitrates may be an important sink for gas phase  $\text{NO}_x$  in forest environments.

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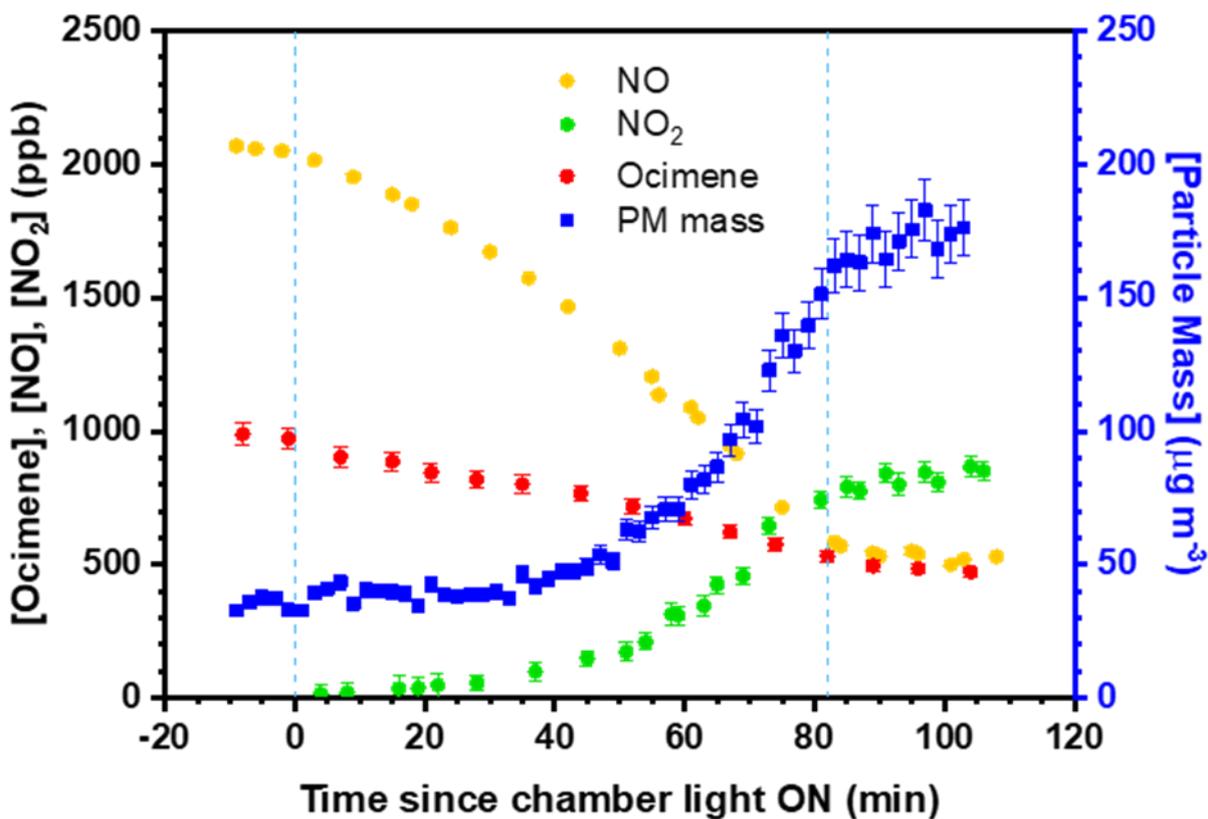
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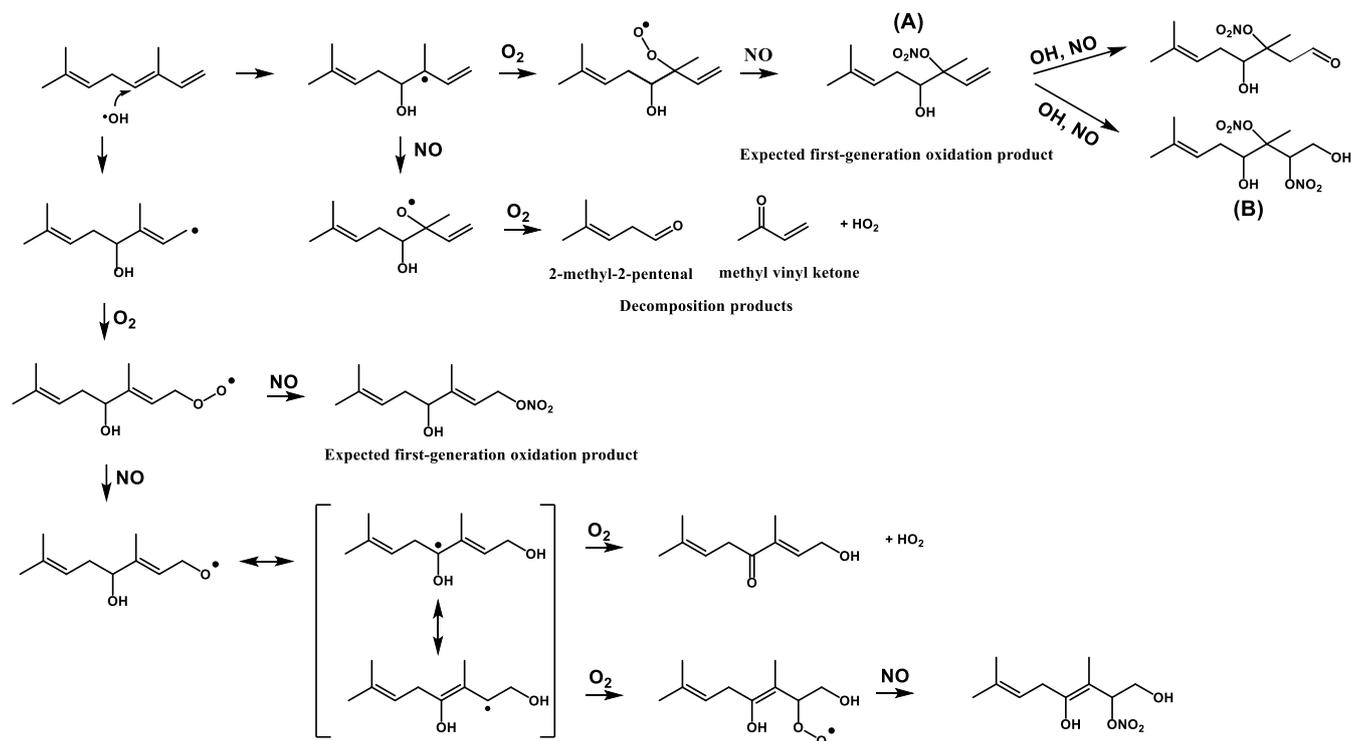


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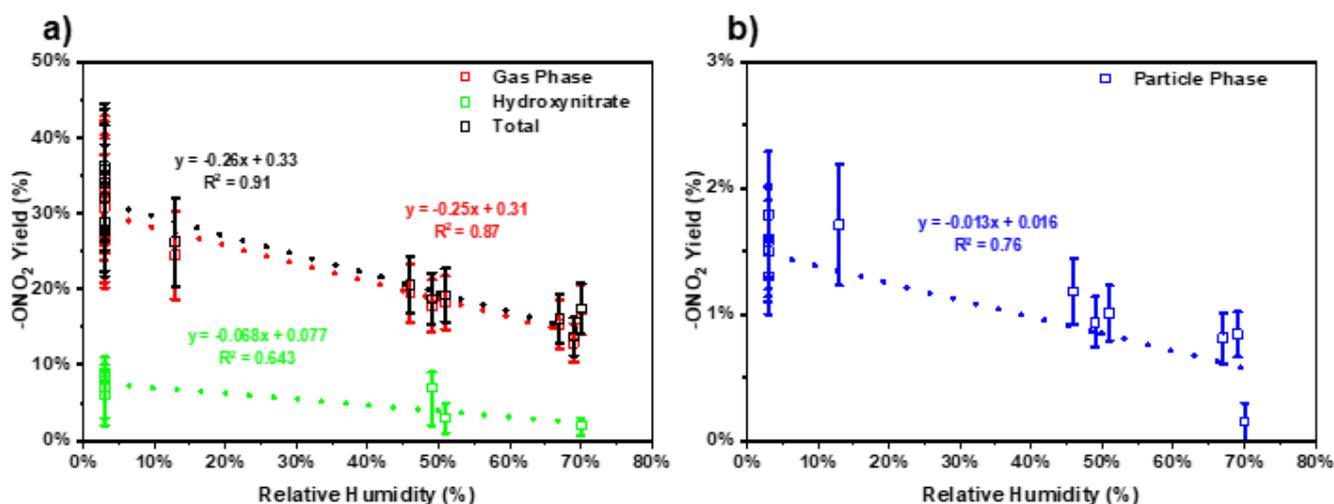
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Figure 1: Time series of ocimene oxidation and particle formation for experiment #6. The error bars represent the propagated analytical uncertainty and blue dashed lines represent the time of UV light irradiation. (PM = particulate matter).



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**Figure 2:** Example reaction pathways of the  $\cdot\text{OH}$ -initiated oxidation of  $\beta$ -ocimene in the presence of  $\text{NO}_x$ .



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**Figure 3:** a) Wall-loss and dilution-corrected, total gas-phase organic nitrate ( $-\text{ONO}_2$ ), total (both gas- and particle-phase) organic nitrate (quantified by FTIR) and hydroxynitrate (quantified by CIMS) yield at



different RH. b) Wall-loss and dilution-corrected, total particle-phase organic nitrate yield at different RH. Error bars represent the propagated analytical uncertainty of the yields.

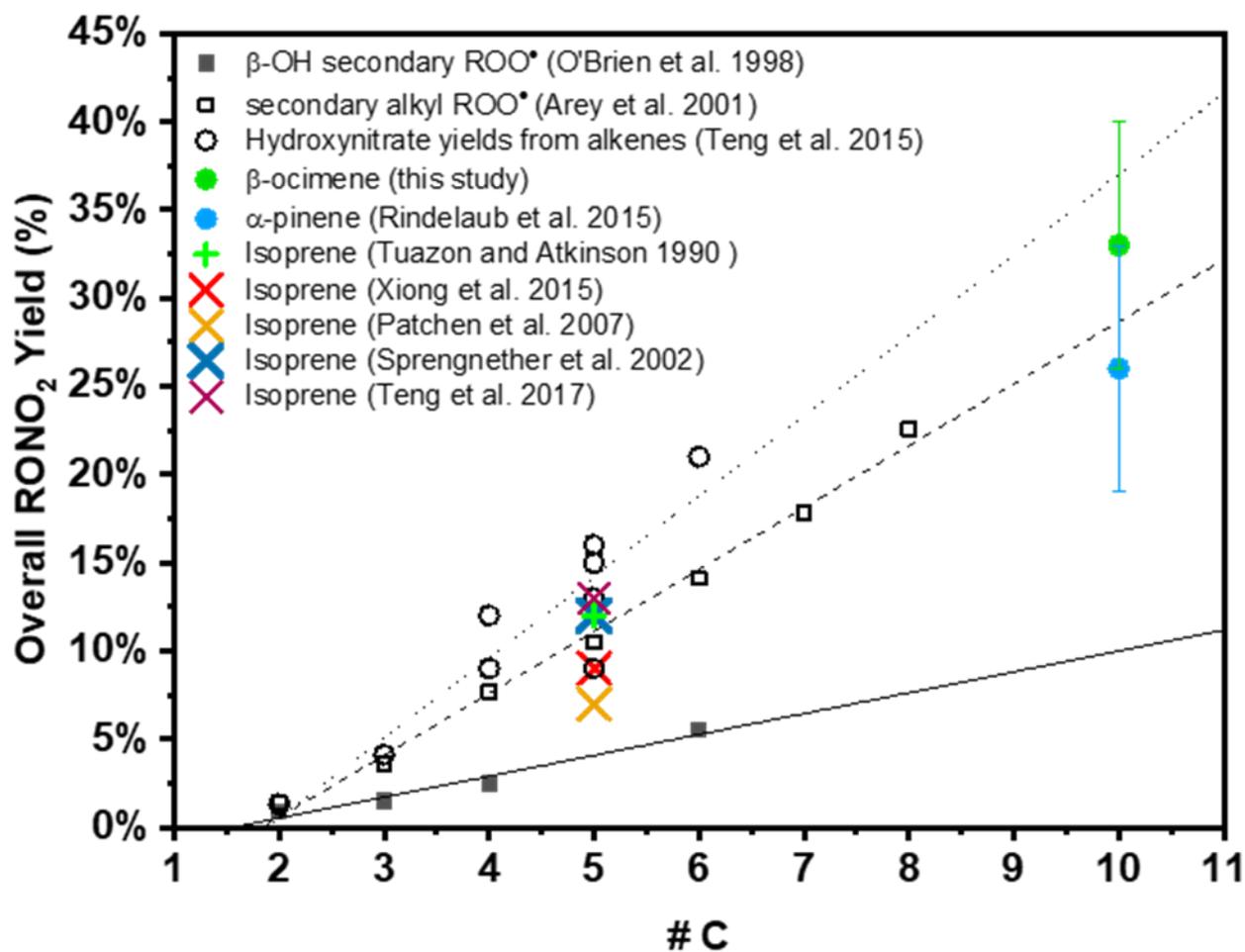
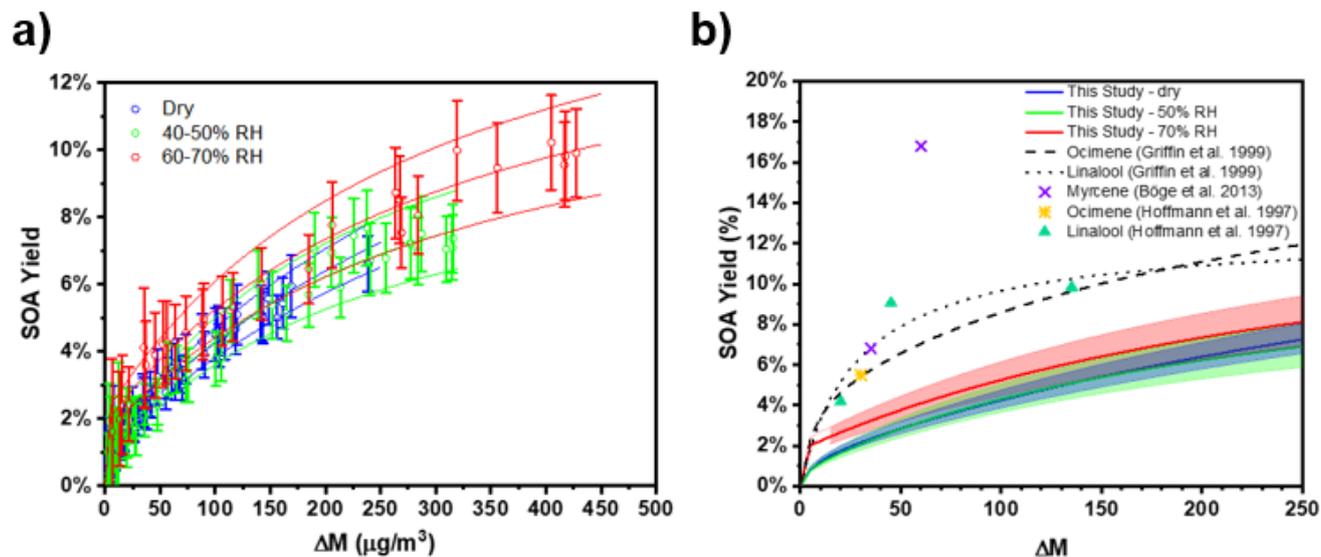
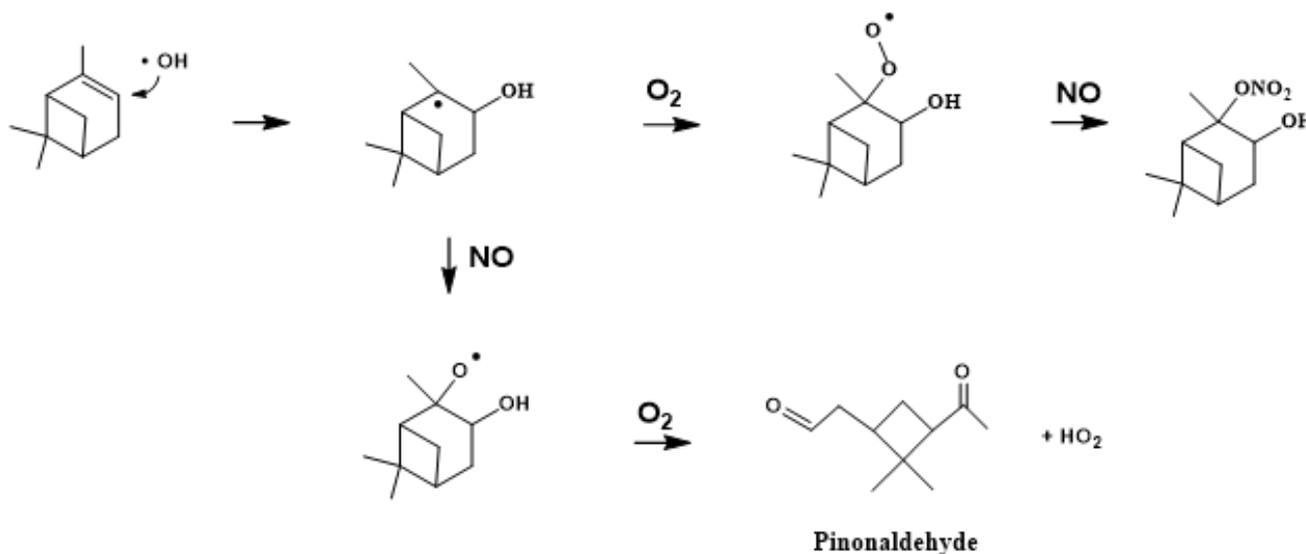


Figure 4: Atmospheric pressure branching ratio vs size for alkyl peroxy radicals.

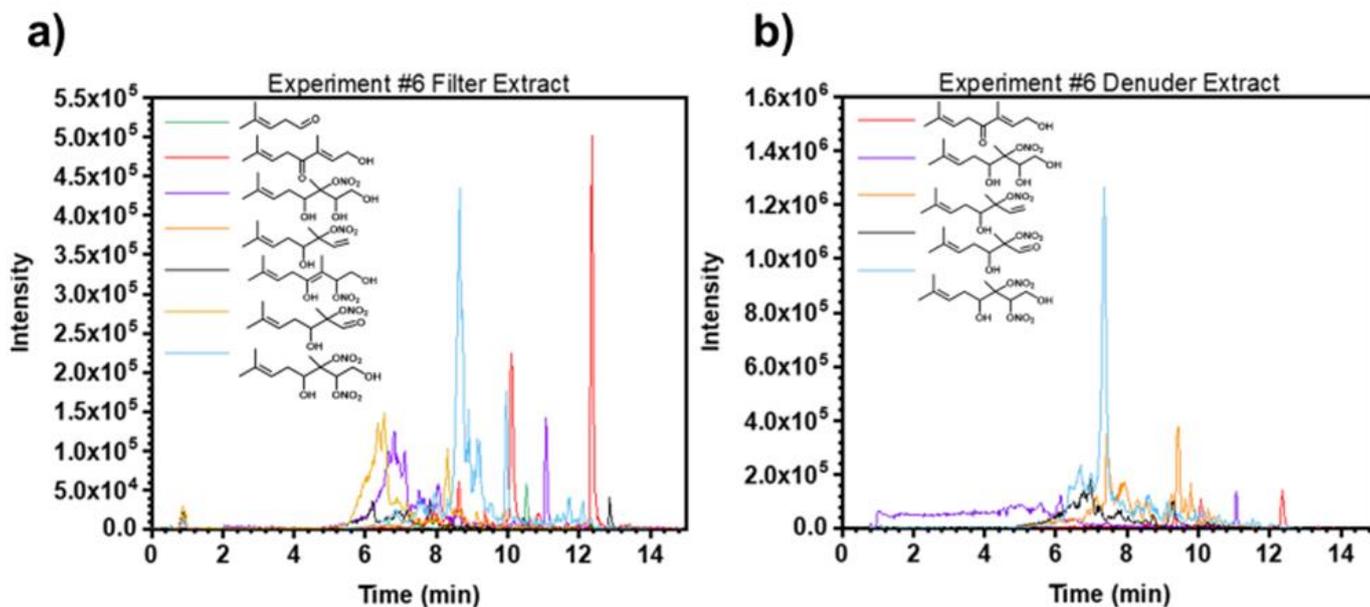


675 **Figure 5:** a) Change in PM mass concentration ( $\Delta M$ ) and secondary organic aerosol yields for seeded experiments under different RH conditions. The solid lines indicate the two-product absorptive partitioning model fit and the dashed lines represent the 95% confidence intervals of the fitting function. b) Two-product absorptive partitioning model fits of this study vs model fits and SOA yield % reported in the literature for the acyclic triolefinic and oxygenated terpenes. The shaded regions represent the 95% confidence intervals of the fitting function.

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**Figure 6:** Example reaction pathways of the OH-initiated oxidation of  $\alpha$ -pinene in the presence of  $\text{NO}_x$ .



685 **Figure 7: Selected ion chromatograms (SIC) of expected oxidation products in electrospray (ESI) negative mode for experiment #6 in the a) filter extract and b) denuder extract.**

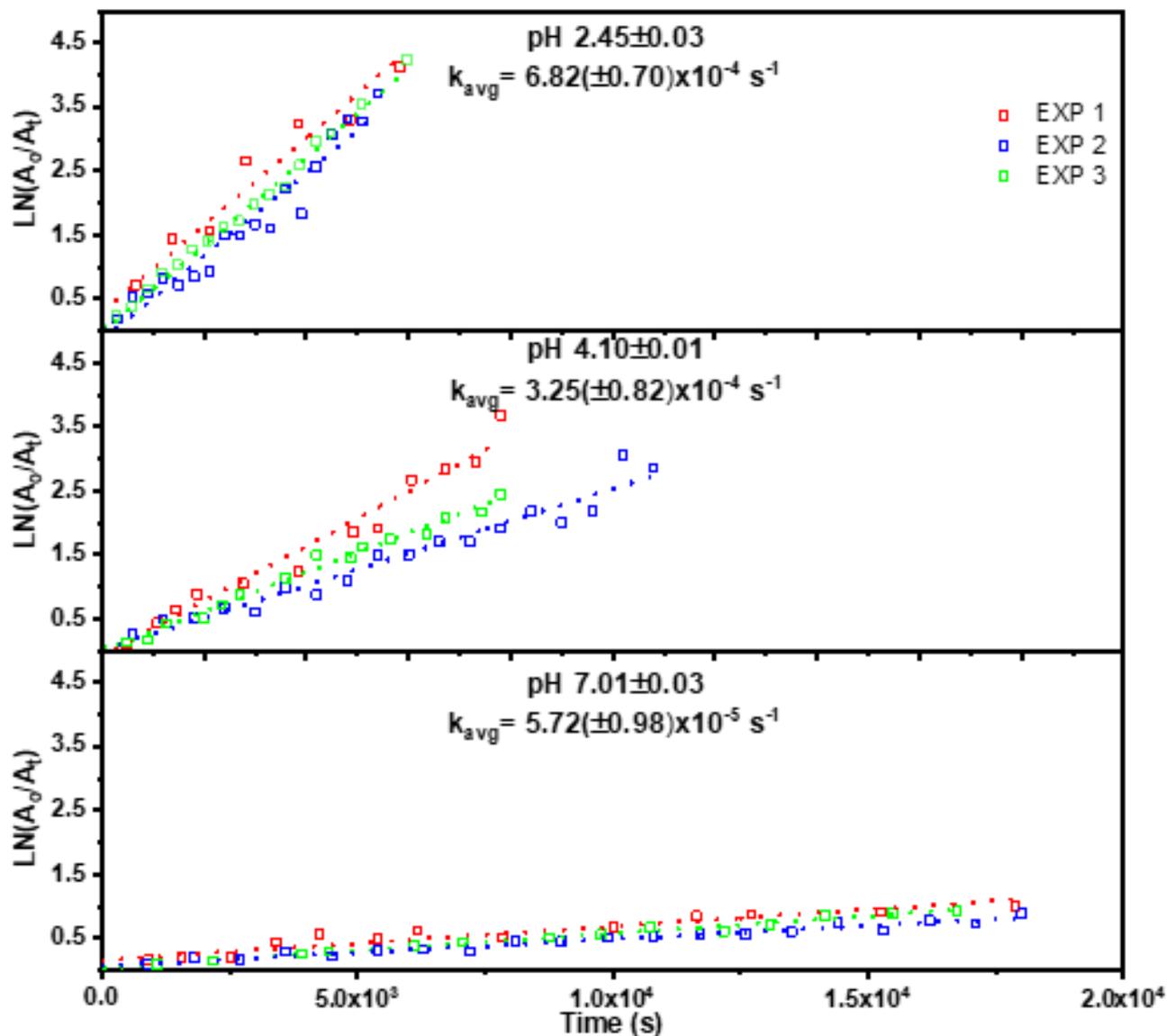
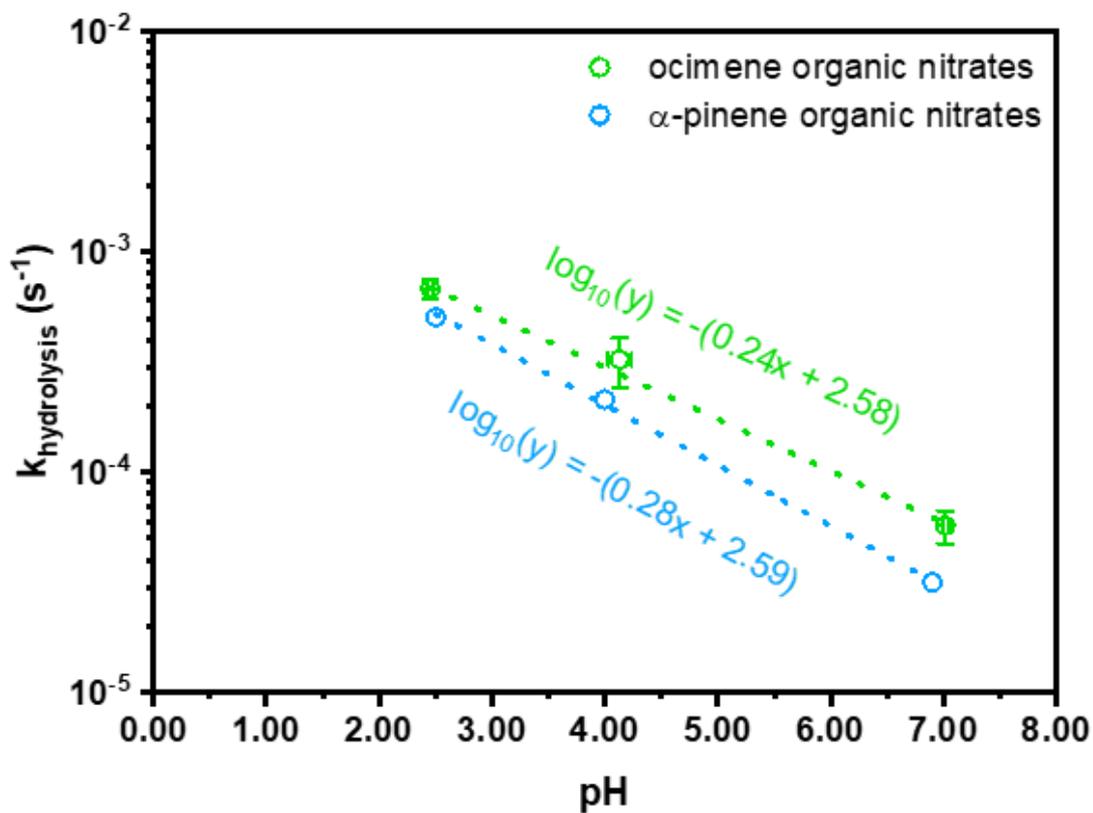


Figure 8: Hydrolysis rate constants for the bulk (gas- and particle-phase) organic nitrates at different pHs. Each pH was analyzed in triplicate and the  $k_{\text{avg}}$  is the average slope of each of the 3 trials.



690 **Figure 9: Hydrolysis rate constant for ocimene (this study, green) and alpha-pinene (Rindelaub et al., 2015, blue) as a function of pH.**



**Table 1: Experimental conditions and yield summary of individual experiments. Error represents the propagated analytical uncertainty.**

| Exp #          | Seed  | Irradiation time (min) | RH (%)                | $\Delta$ [Ocimene] (ppb) | $\Delta$ [NO] (ppb) | $\Delta$ [NO <sub>2</sub> ] (ppb) | $\Delta$ [SOA mass] ( $\mu\text{g m}^{-3}$ ) | Gas phase - ONO <sub>2</sub> Yield (%) | Particle phase - ONO <sub>2</sub> Yield (%) | Hydroxynitrate Yield (%) |
|----------------|---|------------------------|-----------------------|--------------------------|---------------------|-----------------------------------|--|--|---|--------------------------|
| 1              | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 19                     | <3%                   | 266 ± 67                 | 509 ± 57            | 475 ± 45                          | 96 ± 10                                      | nm                                     | nm  | nm                       |
| 2              | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 54                     | <3%                   | 223 ± 30                 | 392 ± 29            | 284 ± 14                          | 63 ± 5                                       | nm                                     | nm  | nm                       |
| 3 <sup>a</sup> | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 82                     | <3%                   | 1357 ± 102               | 2604 ± 101          | nm                                | 933 ± 62                                     | 22% ± 5%                               | 4.1% ± 1.1%                                 | 7(+2/-5)%                |
| 4              | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 73                     | <3%                   | 425 ± 58                 | 914 ± 13            | 587 ± 24                          | nm   | 27% ± 6%                               | 1.5% ± 0.5%                                 | 6(+2/-4)%                |
| 5              | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 78                     | <3%                   | 645 ± 49                 | 1448 ± 11           | 817 ± 40                          | nm   | 35% ± 8%                               | 1.6% ± 0.4%                                 | nm                       |
| 6              | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 82                     | <3%                   | 516 ± 44                 | 1540 ± 11           | 852 ± 33                          | 143 ± 11                                     | 33% ± 8%                               | 1.3% ± 0.3%                                 | 7(+2/-5)%                |
| 7              | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 102                    | <3%                   | 524 ± 48                 | 1091 ± 19           | 856 ± 33                          | 189 ± 14                                     | 31% ± 7%                               | 1.3% ± 0.3%                                 | 9(+2/-6)%                |
| 8              | none  | 95                     | <3%                   | 653 ± 45                 | 1691 ± 11           | 1021 ± 38                         | 101 ± 6                                      | 26% ± 6%                               | 1.5% ± 0.4%                                 | 8(+2/-5)%                |
| 9              | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 92                     | 46%                   | 556 ± 44                 | 1545 ± 52           | 1041 ± 38                         | 238 ± 17                                     | 19% ± 4%                               | 1.2% ± 0.3%                                 | nm                       |
| 10             | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 89                     | 49%                   | 692 ± 47                 | 2019 ± 11           | 1185 ± 43                         | 277 ± 24                                     | 18% ± 3%                               | 0.9% ± 0.2%                                 | 7(+2/-5)%                |
| 11             | none  | 19                     | <3 - 54% <sup>b</sup> | 666 ± 38                 | nm                  | nm                                | BDL  | 13% ± 3%                               | BDL   | 6(+2/-4)%                |
| 12             | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 72                     | 51%                   | 798 ± 48                 | 1913 ± 16           | 1034 ± 39                         | 315 ± 23                                     | 18% ± 4%                               | 1.0% ± 0.2%                                 | 3(+2/-2)%                |
| 13             | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 84                     | 70%                   | 641 ± 46                 | 1628 ± 12           | 721 ± 41                          | 269 ± 19                                     | 17% ± 3%                               | 0.2% ± 0.2%                                 | 2(+1/-1.3)%              |
| 14             | none  | 24                     | 67%                   | 407 ± 56                 | 474 ± 11            | 90 ± 30                           | BDL  | 15% ± 3%                               | 0.8% ± 0.2%                                 | nm                       |
| 15             | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 92                     | 69%                   | 783 ± 47                 | 1643 ± 10           | 1209 ± 51                         | 417 ± 26                                     | 13% ± 3%                               | 0.8% ± 0.2%                                 | nm                       |
| 16             | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 86                     | 13%                   | 648 ± 49                 | nm                  | nm                                | 238 ± 18                                     | 25% ± 6%                               | 1.7% ± 0.5%                                 | nm                       |
| 17             | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 87                     | <3%                   | 569 ± 41                 | 1418 ± 20           | 878 ± 39                          | 231 ± 18                                     | 32% ± 8%                               | 1.8% ± 0.5%                                 | nm                       |
| 18             | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 88                     | <3%                   | 560 ± 47                 | 1256 ± 16           | 666 ± 27                          | 156 ± 12                                     | 34% ± 8%                               | 1.6% ± 0.4%                                 | nm                       |

a-high concentration experiment. Did not used for yield calculations; b-Irradiated under dry conditions, then increased RH in dark up to 54%

nm-not measured; BDL-below the detection limit