

# A Two-Component Parameterization of Marine Ice Nucleating Particles Based on Seawater Biology and Sea Spray Aerosol Measurements in the Mediterranean Sea

Jonathan V. Trueblood<sup>1</sup>, Alesia Nicosia<sup>1</sup>, Anja Engel<sup>2</sup>, Birthe Zäncker<sup>2</sup>, Matteo Rinaldi<sup>3</sup>, Evelyn Freney<sup>1</sup>, Melilotus Thyssen<sup>4</sup>, Ingrid Obernosterer<sup>5</sup>, Julie Dinasquet<sup>5,6</sup>, Franco Belosi<sup>3</sup>, Antonio Tovar-Sánchez<sup>7</sup>, Araceli Rodriguez-Romero<sup>7</sup>, Gianni Santachiara<sup>3</sup>, Cécile Guieu<sup>5</sup>, and Karine Sellegri<sup>1</sup>

<sup>1</sup> Université Clermont Auvergne, CNRS, Laboratoire de Météorologie Physique (LaMP) F-63000 Clermont-Ferrand, France

<sup>2</sup> GEOMAR, Helmholtz Centre for Ocean Research Kiel, 24105 Kiel, Germany

<sup>3</sup> Institute of Atmospheric Sciences and Climate, National Research Council, 40129 Bologna, Italy

<sup>4</sup> Mediterranean Institute of Oceanography, 163 avenue de Luminy, Marseille, France

<sup>5</sup> CNRS, Sorbonne Université, Laboratoire d'Océanographie de Villefranche, UMR7093, Villefranche-sur-Mer

<sup>6</sup> Marine Biology Research Division, Scripps Institution of Oceanography, 92037 La Jolla, US

<sup>7</sup> Department of Ecology and Coastal Management, Institute of Marine Sciences of Andalusia (ICMAN-CSIC), 07190 Puerto Real, Spain

Correspondence to: [K.Sellegri@opgc.cnrs.fr](mailto:K.Sellegri@opgc.cnrs.fr)

**Abstract.** Ice nucleating particles (INP) have a large impact on the climate-relevant properties of clouds over the oceans. Studies have shown that sea spray aerosols (SSA), produced upon bursting of bubbles at the ocean surface, can be an important source of marine INP, particularly during periods of enhanced biological productivity. Recent mesocosm experiments using natural seawater spiked with nutrients have revealed that marine INP are derived from two separate classes of organic matter in SSA. Despite this finding, existing parameterizations for marine INP abundance are based solely on single variables such as SSA organic carbon (OC) or SSA surface area, which may mask specific trends in the separate classes of INP. The goal of this paper is to improve the understanding of the connection between ocean biology and marine INP abundance by reporting results from a field study and proposing a new parameterization of marine INP that accounts for the two associated classes of organic matter. The PEACETIME cruise took place from May 10 to June 10, 2017 in the Mediterranean Sea. Throughout the cruise, INP concentrations in the surface microlayer (INP<sub>SML</sub>) and in SSA (INP<sub>SSA</sub>) produced using a plunging aquarium apparatus were continuously monitored while surface seawater (SSW) and SML biological properties were measured in parallel. The organic content of artificially generated SSA was also evaluated. INP<sub>SML</sub> concentrations were found to be lower than those reported in the literature, presumably due to the oligotrophic nature of the Mediterranean Sea. A dust wet deposition event that occurred during the cruise increased the INP concentrations measured in the SML by an order of magnitude, in line with increases of iron in the SML and bacterial abundances. Increases of INP<sub>SSA</sub> were not observed until after a delay of three days compared to increases in the SML, and are likely a result of a strong influence of bulk SSW INP for the temperatures investigated ( $T = -18^\circ\text{C}$  for SSA,  $T = -15^\circ\text{C}$  for SSW). Results confirmed that INP<sub>SSA</sub> are divided into two classes depending on their associated organic matter. Here we find that warm ( $T \geq -22^\circ\text{C}$ ) INP<sub>SSA</sub> concentrations are correlated with water soluble organic matter (WSOC) in the SSA, but also to SSW parameters (POC<sub>SSW</sub> and INP<sub>SSW,-16C</sub>) while cold INP<sub>SSA</sub> ( $T < -22^\circ\text{C}$ ) are correlated with SSA water-insoluble organic carbon (WIOC), and SML dissolved organic carbon (DOC) concentrations. A relationship was also found between cold INP<sub>SSA</sub> and SSW nano- and micro-phytoplankton cell abundances, indicating that these species might be a source of water insoluble organic matter with surfactant properties and specific IN activities. Guided by these results, we formulated and tested multiple parameterizations for the abundance of INP in marine SSA, including a single component model based on POC<sub>SSW</sub> and a two-component model based on SSA WIOC and OC. We also altered a previous model based on OC<sub>SSA</sub> content to account for oligotrophy of the Mediterranean Sea. We then compared this formulation with the previous models. This new parameterization should improve attempts to incorporate marine INP emissions into numerical models.

## 43 1 Introduction

44 Ice nucleating particles are a subset of aerosol particles that are required for the heterogeneous nucleation of ice particles in  
45 the atmosphere. While extremely rare (Rogers et al., 1998), INP greatly control the ice content of clouds, which is crucial to a  
46 range of climate-relevant characteristics including precipitation onset, lifetime, and radiative forcing (Verheggen et al., 2007).  
47 Despite their importance, the knowledge of INP sources and concentrations, particularly in marine regions, remains low as  
48 evidenced by the large uncertainties in modelled radiative properties of clouds (McCoy et al., 2015; McCoy et al., 2016;  
49 Franklin et al., 2013).

50 While the ice nucleating (IN) ability of marine SSA particles is less efficient than their terrestrial counterparts (DeMott et  
51 al., 2016), modelling studies have shown that marine INP are of particular importance in part due to the lack of other INP  
52 sources in such remote regions (Burrows et al., 2013; Vergara-Temprado et al., 2017). For this reason, recent studies have  
53 been conducted to better understand which SSA particles contribute to the marine INP population as well as the relationship  
54 between SSA emission and ecosystem productivity. Results from these studies suggest that the IN ability of SSA is linked to  
55 the biological productivity of source waters, with higher productivity leading to greater IN activity (DeMott et al., 2016; Bigg,  
56 1973; Schnell and Vali, 1976). For example, it has been shown that both the cell surface and organic exudate of the marine  
57 diatom *Thalassiosira pseudonana* can promote freezing at conditions relevant to mixed-phase clouds (Knopf et al., 2011;  
58 Wilson et al., 2015). More recently, mesocosm studies on phytoplankton blooms using two separate in-lab SSA-generation  
59 techniques have furthered the understanding of the connection between ocean biology and the IN activity of SSA (McCluskey  
60 et al., 2017). In-depth chemical analysis of the artificially generated SSA during this set of experiments has revealed marine  
61 INP may be related to two classes of organic matter: a regularly occurring surface-active molecule type related to DOC and  
62 long-chain fatty acids, and an episodic heat-labile microbially-derived type (McCluskey et al., 2018a).

63 As the understanding of the connection between ocean biology and marine INP has improved, parameterizations for  
64 predicting marine INP abundance using readily available ocean parameters have been proposed. Wilson and co-authors  
65 (Wilson et al., 2015) identified a temperature-dependent relationship between TOC and ice nucleating entities (INE) number  
66 concentrations in the SML from samples collected in the North Atlantic and Arctic ocean basins. They then extended this  
67 relationship from the ocean to the atmosphere to predict the abundance of INP in SSA based on model estimates of marine  
68 organic carbon aerosol concentrations. The parameterization was tested for the first time on field measurements of marine  
69 aerosol over the North Atlantic at Mace Head and was found to overestimate INP abundance in pristine marine aerosol by a  
70 factor of 4 to 100 at -15°C and -20°C (McCluskey et al., 2018b). In the same study, a new parameterization based on SSA  
71 surface area and temperature was proposed (McCluskey et al., 2018b). However, this parameterization did not incorporate the  
72 recently observed heat labile organic INPs. Most recently, this parameterization was compared with observations of INP over  
73 the Southern Ocean, showing reasonable agreement between predictions and observations at -25°C (McCluskey et al., 2019).

74 Despite the recent progress made in the understanding of marine INP, there remains much room for improvement. To  
75 date, previous parameterizations have only been tested in the two field studies mentioned in the previous paragraph,  
76 underscoring the need for more real-world observations. Furthermore, the field studies conducted so far have taken place in  
77 regions of the ocean where biological productivity is high (i.e., North Atlantic and Southern Ocean). As modelling work has  
78 shown that the link between ocean biology and SSA organic content properties in oligotrophic waters differs from those in  
79 highly productive regions (Burrows et al., 2014) there is need for more measurements in waters with low primary productivity.  
80 Finally, despite the finding that marine INP may exist as two separate populations, no model has yet been proposed to account  
81 for this.

82 This paper addresses the current gaps in the knowledge of marine INP by 1) testing existing parameterizations of INP on  
83 a new set of field measurements by extending the current inventory of field measurements beyond eutrophic waters to more  
84 oligotrophic regions for the first time 2) improving the understanding of how INP in the SML and SSA are linked to both

85 seawater biological and SSA organic properties and 3) proposing a new parameterization based on the two-component nature  
86 of INP. Here we present results from the ProcEss studies at the Air-sEa Interface after dust deposition in the Mediterranean  
87 Sea (PEACETIME) cruise. The cruise took place in the central and western Mediterranean Sea from May 10 - June 10, 2017.  
88 Observations of INP concentrations both in the SML and SSA were compared with a suite of surface seawater, surface  
89 microlayer, and SSA properties to better determine how INP concentrations related to biology.

## 90 **2 Methods**

91 In the frame of the PEACETIME project (<http://peacetime-project.org/>), an oceanographic campaign took place aboard  
92 the French research vessel (R/V) ‘Pourquoi Pas?’ between May 10-June 10, 2017 with the purpose of investigating the  
93 processes that occur at the air-sea interface in the Mediterranean Sea. The cruise started in La Seyne, France and travelled in  
94 a clockwise fashion between 35° to 42° latitude and 0° to 20° longitude. The observations and process studies performed on  
95 board both in the whole water column and the atmosphere are described elsewhere (Freney et al., 2020). Here, we focus on the  
96 measurements conducted to describe the SML, SSW, and aerosol properties.

### 97 **2.1 Surface Seawater (SSW)**

98 SSW properties presented here were obtained from sampling at depths of 20 cm and 5 m. First, 21 parameters including  
99 various chemical properties, microbial assemblages, hydrological properties, and optical properties were monitored using the  
100 ship’s underway system that continuously collected seawater at 5 m under the ship using a large peristaltic pump (Verder VF40  
101 with EPDM hose). These measurements included counts of specific microbial classes (e.g., *Synechococcus*, *Prochlorococcus*,  
102 picoeukaryotes, nanoeukaryotes, microphytoplankton, high phycoerythrin containing cells, coccolithophores, cryptophytes), as  
103 well as seawater biovolume, chlorophyll-*a* (chl-*a*), and POC concentrations. Chl-*a* was determined from the particulate  
104 absorption spectrum line-height at 676 nm after adjusting to PEACETIME chl-*a* from high performance liquid chromatography  
105 (HPLC). POC was estimated from the particulate attenuation at 660 nm using an empirical relationship specific to  
106 PEACETIME ( $POC = 1405.1 \times c_p(660) - 52.4$ ). For enumeration of phytoplankton cells, an automated Cytosense flow  
107 cytometer (Cytobuoy, NL) operating at a time resolution of one-hour was connected to the continuous underway seawater  
108 system. Particles were carried in a laminar flow filtered seawater sheath fluid and subsequently detected with forward scatter  
109 and sideward scatter as well as fluorescence in the red (FLR > 652 nm) and orange (FLO 552-652 nm). Distinction between  
110 highly concentrated picophytoplankton and cyanobacteria groups and lower concentrated nano- and microphytoplankton was  
111 accomplished using two trigger levels (trigger level FLR 7.34 mV, sampling speed of  $4 \text{ mm}^3 \text{ s}^{-1}$  analysing  $0.65 \pm 0.18 \text{ cm}^3$  and  
112 trigger level FLR 14.87 mV at a speed of  $8 \text{ mm}^3 \text{ s}^{-1}$  analysing  $3.57 \pm 0.97 \text{ cm}^3$ ).

113 The second set of SSW measurements were made on seawater collected at ~20 cm depth from a pneumatic boat that was  
114 periodically deployed at a distance of 2 km from the R/V to avoid contamination. The SSW was manually collected using acid  
115 cleaned borosilicate bottles. From these discrete samples, microbial composition and cell abundance of the SSW was monitored  
116 as described in a companion paper (Tovar-Sanchez et al., 2019). Measurements included heterotrophic bacteria counts, high  
117 nucleic acid and low nucleic acid bacteria (HNA and LNA bacteria, respectively), total non-cyanobacteria like cells (NCBL),  
118 cyanobacteria like cells (CBL), and total phytoplankton concentration (NCBL+CBL). These were further segregated into size  
119 classes of small, medium, and large which roughly correspond to the pico-, nano-, and micro- size classifications from the  
120 underway measurements. Trace metals (i.e., Cd, Co, Cu, Fe, Ni, Mo, V, Zn, Pb) were analysed by inductively coupled plasma  
121 mass spectrometry, although here we only report on Fe. Finally DOC and marine gel-like particles, including abundance of  
122 transparent exopolymer particles (TEP) and Coomassie stainable particles (CSP) were also measured as described in literature  
123 (Engel, 2009).

## 124 2.2 Surface Microlayer

125 At the same time SSW samples were manually collected on the pneumatic boat, SML samples were also collected using  
126 a glass plate sampling method which has been previously described in the literature (Tovar-Sanchez et al., 2019). The glass  
127 plate was cleaned overnight with acid and rinsed with ultrapure Milli-Q water. Roughly 100 dips of the glass were conducted  
128 to collect 500 mL of SML water into 0.5 L acid cleaned low-density polyethylene plastic bottles. The samples were then  
129 acidified on board to pH<2 with ultrapure-grade hydrochloric acid in a class-100 HEPA laminar flow hood. The same  
130 measurements done for the SSW samples (see above, Section 2.1) were then made on the SML samples. Enrichment factor  
131 was calculated for relevant properties as the ratio of SML to SSW:

$$132 \quad EF = \frac{SML}{SSW}$$

133 In addition to biological measurements, concentrations of immersion freezing mode INP in SML samples (and a small  
134 number of SSW samples, n=4) were measured between May 22 - June 7 using an offline method described previously (Stopelli  
135 et al., 2014). Briefly, prior to acidification of the SML samples, additional aliquots were separated and stored in Corning  
136 Falcon 15 mL conical tubes and frozen at -20°C until analysis. Before INP measurement, each aliquot was gradually defrosted  
137 and distributed into an array of 26 Eppendorf tubes filled up to 200 µL. The array was then immersed inside an LED based Ice  
138 Nuclei Detection Apparatus (LINDA) and the number of ice nucleating particles per liter (INP/L) of SML water was following  
139 the method described in Stopelli et al. (2014) which was originally formulated by Vali (1971):

$$140 \quad \frac{INP}{volume} = \frac{\ln(N_{total}) - \ln(N_{unfrozen})}{V_{tube}}$$

141 where  $N_{total}$  is the total number of tubes,  $N_{unfrozen}$  the total number of unfrozen tubes, and  $V_{tube}$  the volume of sample in  
142 each tube. The number of unfrozen tubes is calculated by first blank correcting the number of frozen tubes, and then subtracting  
143 that value from the total number of tubes. We calculated uncertainty as the binomial proportion confidence interval (95%)  
144 using the Wilson score interval. Samples were not corrected for salinity in this study.

## 145 2.3 Artificially Generated Sea Spray Aerosol

146 Sea spray aerosols were generated using a sea spray generation apparatus which has been described previously (Schwier  
147 et al., 2015; Schwier et al., 2017). The characteristics of the setup were selected to mimic Fuentes et al. (2010). These  
148 parameters (water flow rates, plunging water depth, etc.) have been shown to mimic well nascent SSA. The apparatus consists  
149 of a 10 L glass tank with a plunging jet system. A continuous flow of seawater collected at 5 m depth using the ship's underway  
150 seawater circulating system (described above) was supplied to the apparatus. Particle free air was passed perpendicular to the  
151 water surface at a height of 1 cm to send a constant airflow across the surface of the water. Aerosols were then either dried  
152 with a 1 m long silica dryer for online instrumentation (see Section 2.3.3), with a 30 cm silica gel dryer cascade impactor  
153 sampling with subsequent chemical analysis, or were sampled directly from the sea spray generator onto filters for INP  
154 analysis.

### 155 2.3.1 Offline PM1 Filter Analysis

156 Aerosol particles were also sampled onto PM1 quartz fiber filters mounted on a 4-stage cascade impactor (10 LPM)  
157 on a daily basis (24-hour duration). Samples were then extracted in Milli-Q water by sonication for 30 minutes for the analysis  
158 of water-soluble components. Main inorganic ion abundance (i.e.,  $SO_4^{2-}$ ,  $NO_3^-$ ,  $NH_4^+$ ,  $Na^+$ ,  $Cl^-$ ,  $K^+$ ,  $Mg^{2+}$ ,  $Ca^{2+}$ ) was analysed  
159 via ion chromatography. An IonPac CS16 3x 250 mm Dionex separation column with gradient methanesulfonic acid elution  
160 was used for cations, while an IonPac AS11 2 x 250 mm Dionex column with gradient potassium hydroxide elution was used  
161 for anions. Water soluble organic carbon (WSOC) and water insoluble organic carbon (WIOC) were also determined. WSOC  
162 was measured after water extraction using a high-temperature catalytic oxidation instrument (Shimadzu; TOC 5000 A). Total

163 organic carbon (which we now refer to as OC), was measured using a Multi N/C 2100 elemental analyzer (Analytik Jena,  
164 Germany) with a furnace solids module. The analysis was performed on an 8 mm diameter filter punch, pre-treated with 40  
165  $\mu\text{L}$  of  $\text{H}_3\text{PO}_4$  (20% v/v) to remove contributions from inorganic carbon. WIOC was determined as the difference between OC  
166 and WSOC. Finally, we calculated organic mass fraction of SSA (OMSS) by taking the ratio of  $\text{OM}/(\text{OM}+\text{SeaSalt})$ , where  
167 OM is the sum of WSOM and WIOM, calculated as  $\text{WSOM} = \text{WSOC} \times 1.8$  and  $\text{WIOM} = \text{WIOC} \times 1.4$  and SeaSalt is the sum  
168 of inorganic ion abundance as determined above.

### 169 2.3.2 INP

170 INP concentrations were determined from filter-based samples of total suspended particles over a 24-hour duration daily  
171 or from the average of two filters (day and night). The volume sampled on each filter averaged  $8.95 \times 10^3 \pm 2.26 \text{ m}^3$  of air. The  
172 concentration of INP in the SSA was determined for the condensation freezing mode using a Dynamic Filter Processing  
173 Chamber (DFPC), which has been used in multiple previous studies and found to agree well with other INP monitoring  
174 instruments (DeMott et al., 2018; Hiranuma et al., 2019; McCluskey et al., 2018b). A full description of the instrument can be  
175 found in the literature (DeMott et al., 2018). Briefly, bulk SSA formed using the plunging apparatus were impacted  
176 onto 47 mm nitrocellulose filters which were then placed on a metal plate coated with a smooth surface of Vaseline. Air entered  
177 the chamber and was sent through a cooling coil allowing it to become saturated with respect to water. Different  
178 supersaturations with respect to ice and liquid water can be obtained by controlling the temperatures of the filter and the air  
179 flowing across the filter. Filter air temperature combinations were set three different ways, all resulting in a supersaturation  
180 with respect to liquid water of 1.02. The filter temperatures were -18, -22, and -25°C (-15.9, -19.6, and -22.3°C for air  
181 temperature). Under these conditions, condensation freezing is expected to be the dominant freezing mode for INP. It has been  
182 reported (Vali et al., 2015) that condensation freezing and immersion freezing are not distinguishable from one another. Filters  
183 were processed inside the DFPC for 15 minutes and monitored for formation of ice crystals upon activation of INPs. Based on  
184 sampling time and flow rate, the number of INP/volume were calculated. We report an uncertainty of  $\pm 30\%$  based on previous  
185 reports of the DFPC (DeMott et al., 2018).

### 186 2.3.3 Size Distribution Measurements

187 Particle size distribution and number concentrations of aerosols generated with the plunging apparatus were  
188 monitored using a custom-made differential mobility particle sizer (DMPS) preceded by a 1-micron size-cut impactor and X-  
189 ray neutralizer (TSI Inc.). Total counts from the DMPS system were checked using a condensation particle counter (CPC,  
190 TSI3010). Using the DMPS, a total of 25 size bins ranging between 10-500 nm (dry particle electrical mobility diameter) were  
191 scanned over a 10-minute time period. We then averaged the size distributions across each DFPC sampling period. Comparison  
192 of the total CPC-based SSA number concentration to the SSA number concentration derived from the DMPS revealed near  
193 unity, indicating nearly all of the particle number concentrations were captured by the DMPS. While studies typically  
194 present INP concentrations normalized by total SSA surface area, this was not possible in our experiment as the size  
195 distribution of supermicron particles was not monitored. However, in the supporting information, we do present a theoretical  
196 surface area normalized  $\text{INP}_{\text{SSA}}$  calculation for comparison with other studies. The theoretical distribution was based on in-  
197 situ particle number concentration measurements at Mace Head and open-ocean eddy correlation flux measurements from the  
198 Eastern Atlantic (Table S1) (Ovadnevaite et al., 2014), with the resulting surface area distribution shown in Figure S1.

**3.1 INP in the Seawater and SSA**

Ice nucleating particle characteristics were determined for the SSW, SML, and SSA. Figure 1a shows the concentration of INP in the SML ( $INP_{SML}$ ) at two different temperatures ( $-12^{\circ}C$ ,  $-15^{\circ}C$ ) and in the SSW ( $INP_{SSW}$ ) at  $-15^{\circ}C$  as determined using the LINDA instrument. An initial increase of  $INP_{SML}$  occurred on May 24 ( $1.8 \times 10^3$  INP/L at  $T = -15^{\circ}C$ ) relative to May 22 which was then followed by a further increase on June 4 ( $1.1 \times 10^4$  INP/L at  $T = -15^{\circ}C$ ). The enhancement on June 4 occurred on the same day as a dust deposition event which led to an enrichment of iron in the SML relative to the underlying water (see Section 3.2). While only four SSW samples were analysed for INP concentrations, they exhibited similar concentrations and trends to those seen in the SML, with an observed maximum on June 4 ( $2.4 \times 10^3$  INP/L at  $T = -15.0^{\circ}C$ ). Based on these four samples, no significant enrichment of INP was observed in the SML compared to SSW, except during the dust deposition event when the SML concentration was enriched by a factor 4.5.

Figure 1b shows the concentration of ice nucleating particles in SSA ( $INP_{SSA}$ ) normalized by SSA particle concentration for particles with diameters between 0.1 and 0.5  $\mu m$  at three different temperatures as observed by the DFPC. It should be noted that  $INP_{SSA}$  measurements were conducted at colder temperatures than for the  $INP_{SML}$  measurements due to differences between the LINDA and DFPC instruments. In general, the highest concentrations of  $INP_{SSA}$  were observed at the beginning of the voyage, with an initial value of  $4.0 \times 10^{-9}$   $INP_{SSA,-25C}/SSA$  observed on May 13, increasing to a maximum observed value of  $1.3 \times 10^{-8}$   $INP_{SSA,-25C}/SSA$  on May 20. After May 20, a considerable drop in  $INP_{SSA,-25C}$  concentrations was observed. Concentrations remained low, albeit with slight fluctuations, before increasing again to  $5.2 \times 10^{-9}$   $INP_{SSA,-25C}/SSA$  on June 7. It is also worth noting that the highest concentrations of INP active at  $-18^{\circ}C$  ( $INP_{SSA,-18C}/SSA$ ) were observed on this day. The increase of INP concentrations around the time of the dust deposition event in early June is similar to the trend observed for seawater INP, albeit with a lag of at least one day (no observations of  $INP_{SSA}$  were made on June 6).

Figure 2 shows the comparison of observed INP concentrations at various temperatures in the SML and SSW with those reported in previous studies. The concentrations we report here are lower than those from Arctic seawater samples reported by Irish et al. (2017; 2019) and from Arctic and North Atlantic seawater samples reported in Wilson et al. (2015). The difference can likely be attributed to the fact that eutrophic Arctic and North Atlantic seawater is more biologically active than the oligotrophic Mediterranean Sea. Our values agree well with those reported by Gong et al. (2020) who calculated INP concentrations in mid-latitude seawater off the coast of Cabo Verde. The authors of that study also posited that the low INP concentrations relative to Irish et al. (2017; 2019) and Wilson et al. (2015) was due to the lower biological activity of the oligotrophic seawater near Cabo Verde. As we did not measure the size distribution of particles larger than 500 nm, we cannot directly compare our  $INP_{SSA}$  abundance to values cited in previous studies, where concentrations are typically normalized by SSA surface area which is dominated by supermicron particles. However, we were able to calculate a theoretical surface area distribution for particles between 0.5-10  $\mu m$  based on previous studies. The resulting surface area normalized INP concentrations and comparison with literature values is shown in the supporting information (Figure S2).

**3.2 Correlations between INP and Biogeochemical Conditions**

As described in the methods section, various seawater biogeochemical properties were monitored throughout the voyage for the SSW and SML. Plots of selected continuous measurements from the R/V's underway sampling system and discrete measurements from the pneumatic boat of relevant biogeochemical values are found in the supporting information (Figure S3 and Figure S4, respectively). Biogeochemical properties are described in more detail in our companion papers (Freney et al., 2020; Tovar-Sanchez et al., 2019) and seawater gel properties will be discussed in an upcoming paper. Here, we present a broad summary of observed conditions.

239 In general, surface waters were characterized by oligotrophic conditions as expected for the season. Bacteria  
240 concentrations ranged between  $2 \times 10^5$  and  $7 \times 10^5$  cells/mL in the SSW and were greatest at the start and end periods of the  
241 voyage. NCBL abundance followed a similar trend and ranged between  $4.0 \times 10^2$ – $4.0 \times 10^3$  cells/mL. Observed DOC values  
242 ranged between 700–900  $\mu\text{gC/L}$  and POC between 42–80  $\mu\text{gC/L}$  and were within the range of expected values for the  
243 oligotrophic Mediterranean (540—860  $\mu\text{gC/L}$  for DOC and 9.6–104  $\mu\text{gC/L}$  for POC)(Pujo-Pay et al., 2011). SSW TEP  
244 concentrations ranged between  $1.2 \times 10^6$  and  $1.1 \times 10^7$  particles/L, with CSP between  $5.6 \times 10^6$  and  $9.3 \times 10^6$  particles/L, and will  
245 be discussed in a future paper.

246 Enrichment factors (EF) in the SML relative to the SSW remained low with an average of 1.10 for DOC, 1.07 for bacteria,  
247 and 1.17 for NCBL. As POC was not measured in the SML, we cannot report its EF. TEP was typically enriched relative to  
248 the SSW, with an average EF of 4.5, while CSP EF was on average 2.7. Of importance, the dust deposition event that occurred  
249 on June 4 lead to a drastic increase in SML dissolved iron relative to the SSW (EF ~800). This deposition event had important  
250 impacts on the biology of the surface seawaters, which is the focus of another paper (Freney et al., 2020). As a result, TEP EF  
251 increased to 17, bacteria EF increased to 1.5, and NBCL to 2.4. We next discuss the correlations between INP abundances and  
252 biogeochemical properties in the following sections.

### 253 3.2.1 Correlations Between $\text{INP}_{\text{SML}}$ Abundance and Seawater Properties

254 Table 1 shows the correlation statistics between  $\text{INP}_{\text{SML},-15\text{C}}$  and selected observed seawater properties (SSW and  
255 SML), calculated either for all days of the PEACETIME experiment or only for days before the dust deposition event (i.e.,  
256 days before June 4). Relationships are only listed in Table 1 if they were significant ( $p < .05$ ) for either all days or pre-dust only  
257 days. Figure 3 shows the corresponding scatterplots of  $\text{INP}_{\text{SML},-15\text{C}}$  abundance and SSW properties. We note a statistically  
258 significant correlation between  $\text{INP}_{\text{SML},-15\text{C}}$  and CSP ( $r=0.87$ ) as measured from the underway system. When considering only  
259 days before the dust deposition event,  $\text{INP}_{\text{SML},-15\text{C}}$  were significantly correlated with HNA bacteria ( $r=0.83$ ) while the  
260 correlation with CSP is no longer statistically significant.  $\text{INP}_{\text{SML},-15\text{C}}$  are actually negatively correlated with most of the  
261 measured SSW properties either when excluding the dust event (for micro-NCBL<sub>SSW</sub> and TEP<sub>SSW</sub>) or due to the dust event (for  
262 TOC<sub>SSW</sub>, DOC<sub>SSW</sub> and nanoeukaryotes cell abundances). This points to a non-proportional transfer of each species from the  
263 bulk seawater to the SML relative to one another. Given the high p-values and weak correlation coefficients, it is likely that  
264  $\text{INP}_{\text{SML}}$  are not strongly driven by the properties of the underlying SSW. Rather, we posit that INP in the SML are dictated by  
265 SML properties, as shown in the following paragraph.

266 Figure 4 shows scatterplots of statistically significant relationships between  $\text{INP}_{\text{SML},-15\text{C}}$  concentrations and various SML  
267 properties.  $\text{INP}_{\text{SML},-15\text{C}}$  were most strongly positively correlated with dissolved iron ( $r=0.99$ ), TEP EF ( $r=0.95$ ), and bacteria  
268 EF ( $r=0.93$ ). However, these relationships are skewed by the outlier due to the drastic increase in iron observed on June 4  
269 (Figure S2a) from the dust deposition event, as described previously. It is difficult to discriminate between the dust and  
270 biological impact on the  $\text{INP}_{\text{SML},-15\text{C}}$ , as dust is known to have good INP properties while also being capable of fertilizing the  
271 surface ocean with dissolved iron, leading to concomitant increases in biological activity. It is also possible that the dust  
272 deposition led to increased abundance of terrestrial OC, which would exhibit different INP activity. When considering days  
273 before the dust event,  $\text{INP}_{\text{SML},-15\text{C}}$  is only significantly correlated with dissolved iron ( $r=0.91$ ) and TOC in the SML ( $r=-0.93$ ).  
274 We note that while no longer statistically significant for pre-dust days, moderate correlations were still observed between  
275  $\text{INP}_{\text{SML},-15\text{C}}$  and total NCBL ( $r=0.48$ ), HNA bacteria ( $r=0.78$ ), and total bacteria ( $r=0.64$ ). Previous reports examining the  
276 correlation between INP and microbial abundance have yielded mixed results. For example, a report of INP in Arctic SML  
277 and SSW found no statistically significant relationship between the temperature at which 10% of droplets had frozen and  
278 bacteria or phytoplankton abundances in bulk SSW and SML samples (Irish et al., 2017). However, recent mesocosm studies  
279 using nutrient-enriched seawater found that INP abundances between  $-15^\circ\text{C}$  and  $-25^\circ\text{C}$  in the aerosol phase were positively  
280 correlated with aerosolized bacterial abundance (McCluskey et al., 2017).

281 A previous study by Wilson and co-authors presented an INP parameterization (hereafter termed W15) based on a  
282 positive relationship between seawater TOC and INP abundance in Arctic, North Pacific, and Atlantic SML and SSW (Wilson  
283 et al., 2015). Total organic carbon in the SML ( $\text{TOC}_{\text{SML}}$   $\mu\text{gC/L}$ ), derived here as the sum of POC in the SSW ( $\text{POC}_{\text{SSW}}$ ) and  
284 DOC in the SML ( $\text{DOC}_{\text{SML}}$ ), was poorly correlated with  $\text{INP}_{\text{SML},-15\text{C}}$  ( $r=0.31$ ,  $p=0.50$ ). Figure 5 shows the observed  $\text{INP}_{\text{SML},-15\text{C}}/\text{TOC}_{\text{SML}}$   
285 ratio (INP per gram of TOC) for various temperatures and days of the experiment compared with the W15  
286 parameterization (grey line). Our results show observed  $\text{INP}_{\text{SML}}/\text{TOC}_{\text{SML}}$  ratios below those expected by the model proposed  
287 by W15, indicating the  $\text{TOC}_{\text{SML}}$  in Mediterranean waters is less IN active at these temperatures than predicted by the W15  
288 parameterization.

289 In agreement with our findings, a recent study found that the W15 model over-predicted observed INP concentrations  
290 in the aerosol phase during two separate mesocosm experiments (McCluskey et al., 2017) by assuming the INP/TOC ratio in  
291 the SML was preserved in the aerosol phase. The authors of that study speculated that the overprediction by the W15 model  
292 was due to the fact that it does not account for the complex transfer mechanism of organic matter from the SML to the aerosol  
293 phase. Our results here show that the overprediction by W15 persists even when calculating INP in the SML and therefore the  
294 overprediction may be due to other factors beyond the transfer of organic matter from the SML to the atmosphere. We stress  
295 however, that the TOC value used in this study was derived using  $\text{DOC}_{\text{SML}}$  and  $\text{POC}_{\text{SSW}}$  values as POC measurements in the  
296 SML were not conducted. As there typically exists an enrichment of organic matter in the SML relative to the bulk seawater,  
297 it is possible that the  $\text{POC}_{\text{SSW}}$  we used to calculate  $\text{TOC}_{\text{SML}}$  was below the actual POC content in the SML, thus underestimating  
298  $\text{TOC}_{\text{SML}}$ . However, if this was the case, a higher abundance of  $\text{TOC}_{\text{SML}}$  would only further increase the overprediction of W15  
299 relative to our observations. Finally, it is possible that the oligotrophic nature of Mediterranean waters results in a pool of TOC  
300 with a different chemical composition than what is observed in more biologically productive waters such as the Arctic and  
301 Atlantic. For example, the pool of TOC during this study was dominated by DOC and featured low POC content, presumably  
302 due to low biological productivity.

303 In summary,  $\text{INP}_{\text{SML},-15\text{C}}$  increased with SML microbial cell counts (e.g., NCBL and heterotrophic bacteria),  $F_{\text{SML}}$   
304 and  $\text{DOC}_{\text{EF}}$  during a dust deposition event, but were overall not correlated with TOC nor DOC in the SML. Compared to  
305 previous studies, the INP/TOC ratio observed in the Mediterranean is low. We surmise that the overprediction of INP/TOC by  
306 the model may either be caused by a different relationship between INP and TOC at warmer temperatures, or possibly be due  
307 to the chemical characteristics of TOC in the oligotrophic Mediterranean. This complicated relationship between seawater  
308 TOC and  $\text{INP}_{\text{SML}}$  highlights the need for further studies focused on the chemical composition of DOC and POC in bulk SSW  
309 and SML. Further experiments during low and high biological productivity are needed in controlled environments to better  
310 determine under what conditions (oligotrophic and eutrophic) and location in the water column (i.e., bulk SSW vs SML) TOC,  
311 bacteria, and phytoplankton are linked to INP across a range of temperatures. Finally, regardless of the exact mechanism, the  
312 impact of dust deposition on  $\text{INP}_{\text{SML},-15\text{C}}$  is fairly large, as we observe an increase of by  $\text{INP}_{\text{SML},-15\text{C}}$  by almost an order of  
313 magnitude during the dust event. This impact may have climate implications if  $\text{INP}_{\text{SML},-15\text{C}}$  were efficiently transferred to the  
314 sea spray.

### 315 **3.2.2 Correlations Between $\text{INP}_{\text{SSA}}$ Abundance and Observed SSA and Seawater Conditions**

316 In the following section, we compare  $\text{INP}_{\text{SSA}}$  at various temperatures with seawater and SSA properties. Submicron  
317 particle concentrations ranged between 1000-3000 particles/ $\text{cm}^3$  (Figure S5) and its dependence of seawater biology is further  
318 explored in a separate manuscript (Sellegrì et al. under revision). For comparison with seawater properties,  $\text{INP}_{\text{SSA}}$  was first  
319 normalized by SSA particle concentration ( $0.1 < D_p < 0.5 \mu\text{m}$ ).

320 Table 2 shows the correlation statistics between  $\text{INP}_{\text{SSA}}$  normalized by SSA particle number concentration and select  
321 conditions in the SML for relationships that were statistically significant. Figure 6 shows the corresponding scatter plots for  
322 these relationships. We also tested for correlations on days not affected by the dust event (i.e., days before June 4), and their



323 statistics are shown in parentheses in Table 2 and Figure 6. Surprisingly, there were no significant correlations between  $INP_{SSA,-18C}$  and conditions in the SML, including TEP and CSP abundance and enrichment factors, bacteria abundance and enrichment factors, nor with  $INP_{SML}$  as measured by the LINDA instrument. This is somewhat unexpected considering INP in the SML at -15°C was correlated with SML phytoplankton and bacteria counts, which are all expected to transfer efficiently from the SML to the aerosol phase, an assumption widely used in the modelling community. Similarly, -22°C  $INP_{SSA}$  had no significant correlations with SML variables, except for TEP EF which was positively correlated ( $r=0.69$ ) when only considering days before the dust deposition event. At -25°C,  $INP_{SSA}$  were found to be significantly correlated with  $DOC_{SML}$  and  $TOC_{SML}$  on all days ( $r=0.82$  and  $r=0.80$  for DOC and TOC, respectively). When examining only pre-dust event days, the significant correlations included DOC enrichment as well as nano- and micro-CBL.

333 Table 3 and the corresponding scatter plots in Figure 7 show that a weak correlation exists between  $INP_{SSA}$  active at -18°C and  $POC_{SSW}$  for all days, but becomes significant and strong for days not including the dust event. This points to the possible interference of a different class of organic carbon (e.g., terrestrial OC) or the introduction of some other IN active material (e.g., dissolved iron) which masks the impact of the original pool of  $POC_{SSW}$  on INP concentrations.  $INP_{SSA,-18C}$  are also significantly correlated  $INP_{SSW,-16C}$ , (results not shown) but with a sample size of  $n=4$  this finding requires further validation. Nonetheless, this result could indicate that  $INP_{SSA}$  at this temperature come from the bulk water rather than the SML.  $INP_{SSA}$  at -22°C show a slightly weaker, yet still significant correlation with  $POC_{SSW}$  than  $INP_{SSA}$  at -18°C on pre-dust days ( $r=0.54$ ). Additionally, they have a correlation with Prochlorococcus, coccolithophores, and micro-NCBL. This finding is in agreement with a recent study in which particles generated from lysed Prochlorococcus cultures exhibited good ice nucleating capabilities, albeit at much colder temperatures than observed in our study (i.e.,  $T < -40^{\circ}C$ ) (Wolf et al., 2019).  $INP_{SSA}$  at -25°C were correlated with similar variables as  $INP_{SSA}$  at -22°C, with the exception  $POC_{SSW}$ . Furthermore, the correlations with the various microbial categories was stronger for  $INP_{SSA}$  at -25°C than at warmer temperatures, indicating these parameters are more associated with cold INP. Interestingly,  $INP_{SSA,-25C}$  was not correlated with  $DOC_{SSW}$ , yet was correlated with  $DOC_{SML}$  (Table 2), potentially indicating an important step in the process of transfer of IN active DOC material to the atmosphere is its prior enrichment at the SML.

349 Table 4 and Figure 8 show the significant correlations between  $INP_{SSA}$  and SSA properties. A timeseries of SSA chemical properties is shown in Figure S6. A positive correlation was observed between  $INP_{SSA,-18C}$  and SSA organic carbon (OC) as well as the ratio of SSA water-soluble organic carbon to organic carbon ( $WSOC/OC$ ). The correlation between  $WSOC/OC$  and  $INP_{SSA,-18C}$  makes sense given the finding that  $INP_{SSA,-18C}$  was correlated with  $POC_{SSW}$ . A higher  $WSOC/OC$  value would suggest a higher fraction of soluble organics which would be expected to transfer to the atmosphere from the bulk SSW rather than the SML due to their high solubility.

355 Figure 8 and Table 4 also show that  $INP_{SSA,-25C}$  had a significant correlation with WIOC and organic mass fraction of sea spray  
356 (OMSS) ( $r=0.58$  and  $r=0.65$ , respectively). As mentioned above,  $INP_{SSA,-25C}$  was found to be correlated with various microbes  
357 in the SSW, specifically prochlorococcus, coccolithophores, and nano- and micro-NCBL. Phytoplankton are known for their  
358 ability to produce extracellular polymeric substances (Thornton, 2014), and a previous mesocosm experiment showed  
359 microbially-derived long-chain fatty acids were efficiently ejected from the seawater as SSA, increasing the fraction of highly-  
360 aliphatic, WIOC (Cochran et al., 2017). A separate manuscript discusses the trend and controls on SSA chemical composition,  
361 linking the different classes of organic carbon in submicron SSA to seawater chemical and biological properties (Freney et al.,  
362 2020). In this work, OMSS was linked to  $POC_{SSW}$  and the coccolithophores cell abundance. In light of this and given the  
363 correlation of  $INP_{SSA,-25C}$  with seawater microbial abundance and with SSA OMSS and WIOC, it seems likely that  $INP_{SSA}$  at  
364 this temperature are related to the exudates of phytoplankton which are concentrated at the SML and then emitted into the SSA  
365 as WIOC.

366 To summarize the results thus far, we have found evidence for the existence of two classes of INP in SSA with  
367 separate sources: 1) a class of INP related to POC in the bulk SSW and SSA WSOC and 2) a class of INP related to microbial  
368 abundance and POC in the SSW, DOC in the SML, and WIOC in SSA. These findings of a two-component marine INP  
369 population agree with a recent study which also reported on the existence of dual classes of INP emitted as SSA during two  
370 mesocosm experiments, described as: 1) particulate organic carbon INP coming from intact cells or IN-active microbe  
371 fragments and 2) dissolved organic carbon INP composed of IN-active molecules enhanced during periods when the SML is  
372 enriched with exudates and cellular detritus (McCluskey et al., 2018a). However, in contrast to that study, we report here the  
373 existence of separate temperature regimes at which each INP class is active. Here, the first class of INP consists of INP that  
374 are more active at warmer temperatures ( $T=-18^{\circ}C$ ) while the second class of INP are active at colder temperatures ( $T=-25^{\circ}C$ ).  
375 INP at  $T=-22^{\circ}C$  correlates with items from both warm and cold categories.

#### 376 4 Proposal of New INP Parameterization and Comparison with Previous Models

377 To date, parameterizations for the estimation of INP in SSA have not incorporated the knowledge of a two-component  
378 INP population. Rather, they have predicted INP based on OC or SSA surface area (W15 and MC18, respectively). To improve  
379 upon existing models, we formulated various parameterizations consisting of different time periods, features, and number of  
380 components for temperature ranges. Predictor features were chosen based upon their correlation with INP concentrations as  
381 described in the previous section. Single component parameterizations in which INP across all three temperatures were linked  
382 with the same features were compared with two-component parameterizations in which INP were split into warm and cold  
383 categories, each having their own predictor features. Finally, we developed and compared an altered version of the W15 model  
384 to account for the oligotrophic seawater of the Mediterranean Sea, as the existing model was formulated from observations of  
385 eutrophic waters. An altered version of the MC18 model for oligotrophy is presented in the SI (Figure S7), based on  
386 calculations of INP concentrations normalized by theoretical total SSA surface area. Each parameterization was recalculated  
387 using data across all days of the cruise as well as for only days before the dust deposition event in order to determine the impact  
388 of the dust event on the ability to predict INP. The complete set of parameterizations and their associated fit metrics ( $R^2$  and  
389  $R_{adj.}^2$ ) are given in Table S2.

390 Figure 10a shows observed vs predicted  $INP_{SSA}$  for the W15 model. Similar to our results for seawater INP (Figure  
391 5), a large overprediction is found relative to our observations when using W15. We also present re-calculated best-fit-lines to  
392 data using the same features as in W15 (i.e., OC) in order to account for possible changes due to the oligotrophic nature of the  
393 Mediterranean Sea. We term this parameterization the altered Wilson fit for oligotrophy, which is given by:

$$\frac{INP}{m^3} = \exp(-7.332 - (0.2989 * T) + (0.3792 * OC_{SSA}))$$

394

395 The results for this fit is shown in Figure 9a alongside the results of the original W15 parameterization. The altered model  
396 offers an improvement over the original parameterization, with an adjusted  $R^2$  on log-transformed INP abundance of  
397  $R_{adj}^2=0.59$ .

398 We also tried a range of novel parameterizations based on the observed correlations between  $INP_{SSA}$  with seawater  
399 and SSA properties. Below we describe two parameterizations which offered good fits to the data. The single-component  
400 parameterization assumes the abundance of INP per SSA particle at each temperature can be predicted from  $POC_{SSW}$   
401 concentrations:

$$\frac{INP}{SSA} = \exp(-28.6963 - (0.2729 * T) + (0.0366 * POC_{SSW}))$$

402

403 The second parameterization separates INP into warm and cold classes, where warm INP ( $\geq -22^\circ C$ ) are related to SSA  
404 OC and cold INP ( $< -22^\circ C$ ) are related to the concentration of SSA WIOC. This two-component parameterization predicts the  
405 concentration of  $INP/m^3$  through the following equations:

$$\frac{INP_{T \geq -22^\circ C}}{m^3} = \exp(-7.9857 - (0.3178 * T) + (0.4643 * OC_{SSA}))$$

$$\frac{INP_{T < -22^\circ C}}{m^3} = \exp(-6.6606 - (0.2712 * T) + (0.5755 * WIOC_{SSA}))$$

406

407 Figure 9b,c shows the results of our single-component model using  $POC_{SSW}$  and the two-part model which uses SSA  
408 WIOC and OC and considers the separate temperature classes of INP. The adjusted  $R^2$  for each model on the log-transformed  
409 INP abundance were  $R_{adj}^2=0.402$  for the single component model using  $POC_{SSW}$  and  $R_{adj}^2=0.60$  for the two-component model  
410 using OC and WIOC. This result reveals that the two-component method performs as well as the altered Wilson  
411 parameterization. Each parameterization's fit to the data is improved when considering pre-dust days only ( $R_{adj}^2=0.63$  for the  
412 two-component parameterization and  $R_{adj}^2=0.57$  for the single-component parameterization). The improvement is more  
413 pronounced for the single-component parameterization using  $POC_{SSW}$ , further pointing to the fact that such dust deposition  
414 events can alter the INP properties of surface waters and the subsequent SSA, either through introduction of terrestrial OC or  
415 by triggering changes to the trophic status of the surface waters, resulting in a different class of biologically produced OC. We  
416 note that the ratio of  $INP_{SSA,-18C}/OC_{SSA}$  is on average  $2.08 \times 10^5 \pm 1.4 \times 10^5$  INP/gC while the ratio of  $INP_{SML,-15C}/TOC_{SML}$  as  
417 reported in Section 3.2.1 is  $3.2 \times 10^6 \pm 3.5 \times 10^6$  INP/gC. This points to a depletion in the abundance of INP active material by a  
418 factor 16 as it transfers from the seawater to the SSA, which is typically assumed to be negligible in modelling studies.  
419 However, when available, using a ratio of  $INP_{SSW}/TOC_{SSW}$  to predict sea spray originating INP in the atmosphere seems a  
420 better approach than using the ratio  $INP_{SSW}/NaCl_{SSW}$ . Finally, we remind the readers that the two-component parameterization  
421 uses results of SSA chemistry for submicron particles only. As previous studies have shown that the overwhelming majority  
422 of SSA OC is found in the submicron phase (Gantt and Meskhidze, 2013), we argue that our analysis of WIOC, WSOC, and  
423 OC concentrations in submicron SSA is representative of the whole size range of SSA.

424

425

## 426 5 Conclusions

427 In this paper we have presented results from the month-long PEACETIME cruise which took place in the Mediterranean  
428 Sea during the spring of 2017, which was characterized with a dust wet deposition event that occurred towards the end of the  
429 cruise. We find that the INP concentrations measured in the seawater are in agreement with previous studies on oligotrophic  
430 waters (Gong et al., 2020). We next investigated the relationship between seawater INP concentrations and seawater  
431 biogeochemical properties. In the SML, the increase of  $INP_{SML,-15C}$  concentrations during the dust deposition event followed  
432 the SML microbial cell counts (e.g., NCBL, CBL and heterotrophic bacteria),  $Fe_{SML}$  and  $DOC_{EF}$ . Excluding this dust event,  
433  $INP_{SML,-15C}$  were still correlated to Fe and bacteria (although not significantly) in the SML. Overall  $INP_{SML,-15C}$  were not

434 correlated with TOC nor DOC in the SML and compared to previous studies, the INP/TOC in the SML observed during the  
435 PEACETIME cruise was low. We surmise that these low INP/TOC is a result of TOC from the oligotrophic Mediterranean  
436 being less IN active.

437 The impact of dust deposition on  $INP_{SML,-15C}$  is fairly large, as we observe an increase of  $INP_{SML,-15C}$  by almost an order  
438 of magnitude during this event. This impact of dust deposition could have climate implications if  $INP_{SML,-15C}$  were efficiently  
439 transferred to the sea spray emitted to the atmosphere. However, we find that  $INP_{SSA}$  does not evolve in the same manner as  
440 the  $INP_{SML}$  does, as an increase of  $INP_{SSA}$  is observed with at least a three day delay after the dust wet deposition event. This  
441 difference could be attributed to the fact that  $INP_{SSA}$  measured at  $-18^{\circ}C$  are more influenced by the INP concentration in the  
442 bulk surface seawater (as shown by the correlation between  $INP_{SSA,-18C}$  and  $INP_{SSW,-16C}$ ). It is possible that IN active species  
443 deposited during the rain event, either dust- related or biology-related, take a few days before entering the bulk surface layer.

444 We also investigated the relationship between  $INP_{SSA}$  and various biogeochemical values in the SML, SSW, and SSA. In  
445 general, we observed the existence of two classes of  $INP_{SSA}$ , each linked to different classes of organic matter. Our results  
446 indicate each class is active at separate temperatures. Warm INP ( $INP_{SSA,-18C}$ ) are linked to water soluble organic matter in the  
447 SSA, but also to SSW parameters ( $POC_{SSW}$   $INP_{SSW,-16C}$ ). This indicates that INP at this temperature come from the bulk water  
448 rather than the SML. Colder INP ( $INP_{SSA,-25C}$ ) are rather correlated with SSA water-insoluble organic carbon, and SML  
449 properties (DOC). As the cold INP are also correlated to the SSW nano- and micro-NCBL cell abundance as well, we  
450 hypothesize that these classes of phytoplankton produce surface-active water-insoluble organic matter that is active as IN at  
451 these temperatures and are transferred to the atmosphere via the SML. Unfortunately, we do not have measurements of the  
452 “colder” temperatures INP in the SML to check this hypothesis.

453 We finally proposed a single-component model linking INP/SSA to  $POC_{SSW}$  and a two-component model linking warm  
454 INP to SSA OC and cold INP to SSA WIOC. Both models utilize features that are readily approximated either from satellite  
455 data, biogeochemical models, or from existing parameterizations and observations (Aumont et al., 2015; Rasse et al., 2017;  
456 Albert et al., 2010). We then showed these parameterizations fit the data much better than previous single component model  
457 based solely on OC content (W15) developed from studies of more biologically active waters. We also re-calculated  
458 parameterizations based on SSA OC content but for the oligotrophic Mediterranean Sea. The parameterization using SSA OC  
459 content fits almost as well as the two-component model using SSA OC and WIOC. However, given the results of correlation  
460 analysis with SSA properties as well as results from previous studies indicating a dual composition of INP, we believe the  
461 two-component model should help improve attempts to incorporate marine INP emissions into numerical models.

462  
463 **Data availability:** Underlying research data are being used by researcher participants of the “Peacetime” campaign  
464 to prepare other manuscripts, and therefore data are not publicly accessible at the time of publication. Data will be  
465 accessible (<http://www.obs-vlfr.fr/proof/php/PEACETIME/peacetime.php>) once the special issue is completed (all  
466 papers should be published by spring 2021). The policy of the database is detailed here [http://www. obs-  
467 vlfr.fr/proof/dataconvention.php](http://www.obs-vlfr.fr/proof/dataconvention.php).

468  
469 **Authors contribution:** KS designed the emission experiment, KS and AN performed them. AN performed the  
470 seawater, SML and sea spray aerosol sample INP analysis, with the help of FB and GS. JT performed the formal  
471 INP data analysis and merged data set investigation, with help from KS and EF. BZ and AT-S performed SML  
472 sampling and analysed the sample together with AR-R, AE, BZ and AT-S contributed to formal analysis of SML  
473 data. MR, EF, analysed the chemical composition of sea spray aerosol samples. MT and IO and JD contributed to  
474 the analysis of the chemical and biological composition of the SSW. CG contributed to funding acquisition and

475 management of the Peacetime project. JT and KS wrote the original draft. All authors contributed to writing and  
476 revision of the work.

477

478 **Competing interests:** The authors declare that they have no conflict of interest

479

480 **Acknowledgements** This study is a contribution to the PEACETIME project (<http://peacetime-project.org>), a joint  
481 initiative of the MERMEX and ChArMEX components supported by CNRS-INSU, IFREMER, CEA, and Météo-  
482 France as part of the programme MISTRALS coordinated by INSU. PEACETIME was endorsed as a process study  
483 by GEOTRACES. PEACETIME cruise <https://doi.org/10.17600/17000300>. We thank the captain and the crew of  
484 the R/V Pourquoi Pas? for their professionalism and their work at sea. The underway optical instrumentation was  
485 provided by Emmanuel Boss's group funded by Nasa Ocean Biology and biogeochemistry. This work has also  
486 received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research  
487 and innovation program (Sea2Cloud grant agreement No 771369). Sea2Cloud was endorsed by SOLAS.

488

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628 Tables

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**Table 1. Correlation statistics between  $INP_{SML-15C}$  and seawater properties in the SML and SSW, where  $p$  is the  $p$ -value test for significance and  $r$  is the Pearson correlation coefficient. Values in parentheses are calculated for days before the dust deposition event (i.e., days before June 4). Values that are not statistically significant ( $p > .05$ ) are italicized.**

Variable	$p_{all\ days}$ ( $p_{pre-dust}$ )	$r_{all\ days}$ ( $r_{pre-dust}$ )
<b>SSW</b>		
CSP	0.005 ( <i>0.78</i> )	0.87 ( <i>-0.15</i> )
TOC <sub>SSW</sub>	0.015 ( <i>0.36</i> )	-0.85 ( <i>-0.53</i> )
DOC <sub>SSW</sub>	0.045 ( <i>0.52</i> )	-0.76 ( <i>-0.39</i> )
Nanoeukaryotes <10 $\mu$ m	0.038 ( <i>0.20</i> )	-0.63 ( <i>-0.51</i> )
Micro-NCBL	<i>0.051</i> (0.021)	<i>-0.70</i> (-0.88)
TEP	<i>0.25</i> (0.022)	<i>-0.46</i> (-0.88)
Bacteria HNA	<i>0.14</i> (0.043)	<i>0.57</i> (0.83)
<b>SML</b>		
Dissolved Iron	.0000021 (.012)	0.99 (0.91)
TEP EF	0.00032 ( <i>0.42</i> )	0.95 ( <i>0.41</i> )
Total Bacteria EF	0.00075 ( <i>0.82</i> )	0.93 ( <i>-0.12</i> )
CSP	0.0053 ( <i>0.25</i> )	0.87 ( <i>-0.56</i> )
Total NCBL	0.0053 ( <i>0.34</i> )	0.87 ( <i>0.48</i> )
Pico-NCBL	0.0088 ( <i>0.43</i> )	0.84 ( <i>0.40</i> )
Total Bacteria	0.016 ( <i>0.17</i> )	0.81 ( <i>0.64</i> )
Phytoplankton (NCBL+CBL)	0.021 ( <i>0.68</i> )	0.78 ( <i>-0.22</i> )
NCBL EF	0.022 ( <i>0.92</i> )	0.78 ( <i>0.054</i> )
DOC EF	0.041 ( <i>0.38</i> )	0.78 ( <i>-0.51</i> )
Nano-NCBL	0.027 ( <i>0.42</i> )	0.77 ( <i>0.41</i> )
Bacteria HNA	0.012 ( <i>0.068</i> )	0.83 (0.78)
Bacteria LNA	0.037 ( <i>0.54</i> )	0.74 ( <i>0.32</i> )
TOC <sub>SML</sub>	<i>0.50</i> (0.020)	0.31 ( <i>-0.93</i> )

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**Table 2. Correlation statistics between  $INP_{SSA}$  and properties in the SML, where  $p$  is the  $p$ -value test for significance and  $r$  is the Pearson correlation coefficient. Values in parentheses are calculated for days before the dust deposition event (i.e., days before June 4). Values that are not statistically significant ( $p > .05$ ) are italicized.**

Variable	$p_{all\ days}$ ( $p_{pre-dust}$ )	$r_{all\ days}$ ( $r_{pre-dust}$ )
<b>-18°C</b>		
<i>No significant correlations</i>		
<b>-22°C</b>		
TEP EF	<i>0.80</i> (0.028)	<i>-0.081</i> (0.69)
<b>-25°C</b>		
DOC <sub>SML</sub>	0.0071 (0.00055)	0.82 (0.94)
TOC <sub>SML</sub>	0.016 (0.0066)	0.80 (0.89)
DOC EF	<i>0.45</i> (0.014)	<i>0.29</i> (0.81)
Nano+Micro CBL	<i>0.10</i> (0.021)	<i>0.47</i> (0.71)

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**Table 3. Correlation statistics between  $INP_{SSA}$  and properties in the SSW, where  $p$  is the  $p$ -value test for significance and  $r$  is the Pearson correlation coefficient. Values in parentheses are calculated for days before the dust deposition event (i.e., days before June 4). Values that are not statistically significant ( $p > .05$ ) are italicized.**

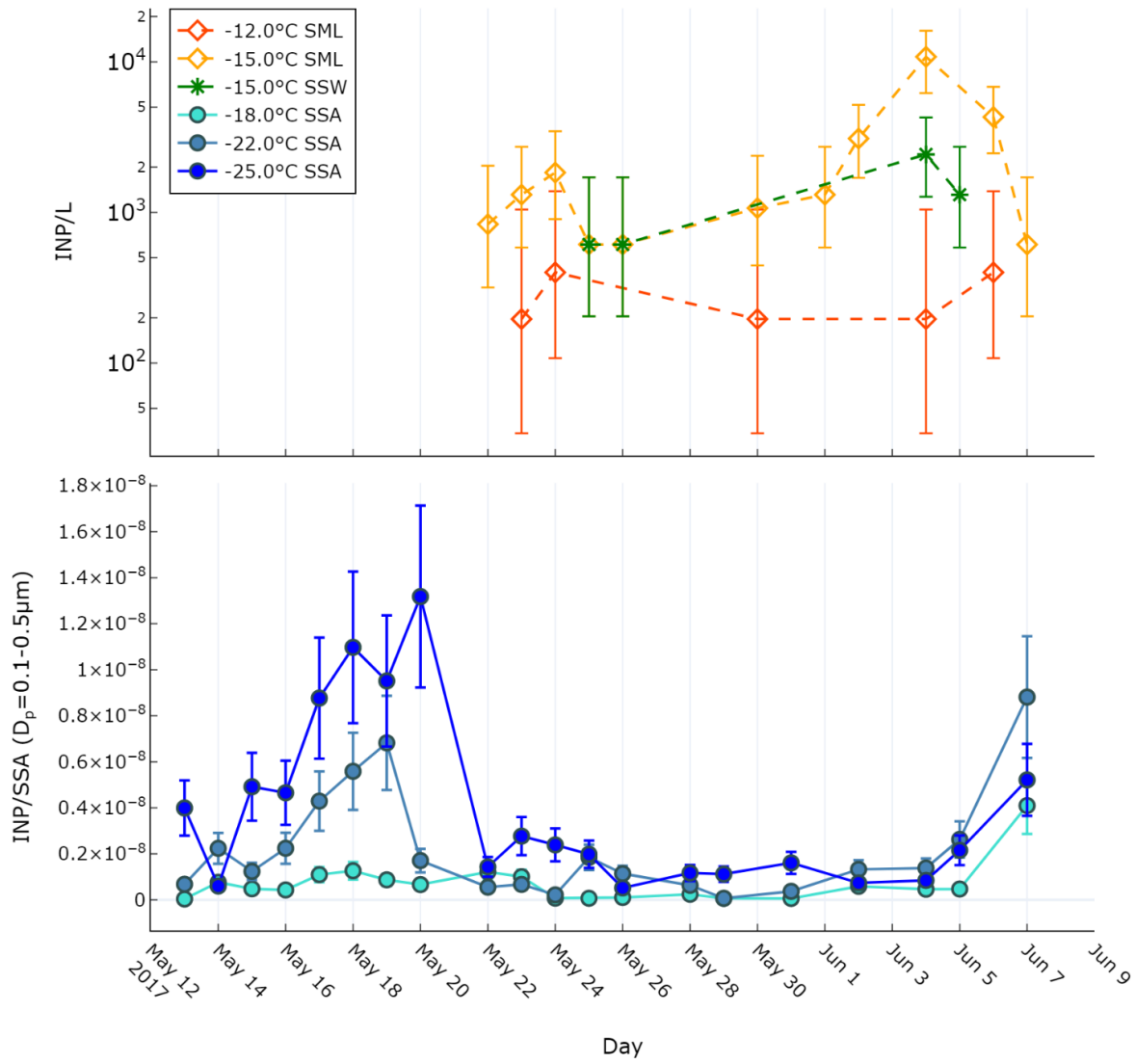
Variable	$p_{all\ days}$ ( $p_{pre-dust}$ )	$r_{all\ days}$ ( $r_{pre-dust}$ )
<b>-18°C</b>		
POC <sub>SSW</sub>	<i>0.95</i> (0.010)	<i>0.017</i> (0.64)
DOC <sub>SSW</sub>	<i>0.16</i> (0.023)	<i>-0.51</i> (-0.78)
<b>-22°C</b>		
Nanoeukaryotes <10µm	0.021 (0.050)	-0.51 (-0.48)
Prochlorococcus	<i>0.23</i> (0.000014)	<i>0.31</i> (0.90)
POC <sub>SSW</sub>	<i>0.44</i> (0.036)	<i>0.20</i> (0.54)
Coccolithophores	<i>0.67</i> (0.033)	<i>0.10</i> (0.52)
Micro-NCBL	0.14 (0.0085)	0.43 (0.77)
<b>-25°C</b>		
Nanoeukaryotes <10µm	0.0065 (0.0042)	-0.59 (-0.65)
Prochlorococcus	0.00033 (0.00014)	0.77 (0.84)
Coccolithophores	0.033 (0.039)	0.48 (0.50)
Cryptophytes	0.034 (0.052)	0.48 (0.48)
Micro-NCBL	0.0013 (0.0053)	0.79 (0.80)
Nano-NCBL	0.049 (0.059)	0.56 (0.61)

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**Table 4. Correlation statistics between  $INP_{SSA}$  and SSA properties, where  $p$  is the  $p$ -value test for significance and  $r$  is the Pearson correlation coefficient. Values in parentheses are calculated for days before the dust deposition event (i.e., days before June 4). Values that are not statistically significant ( $p > .05$ ) are italicized.**

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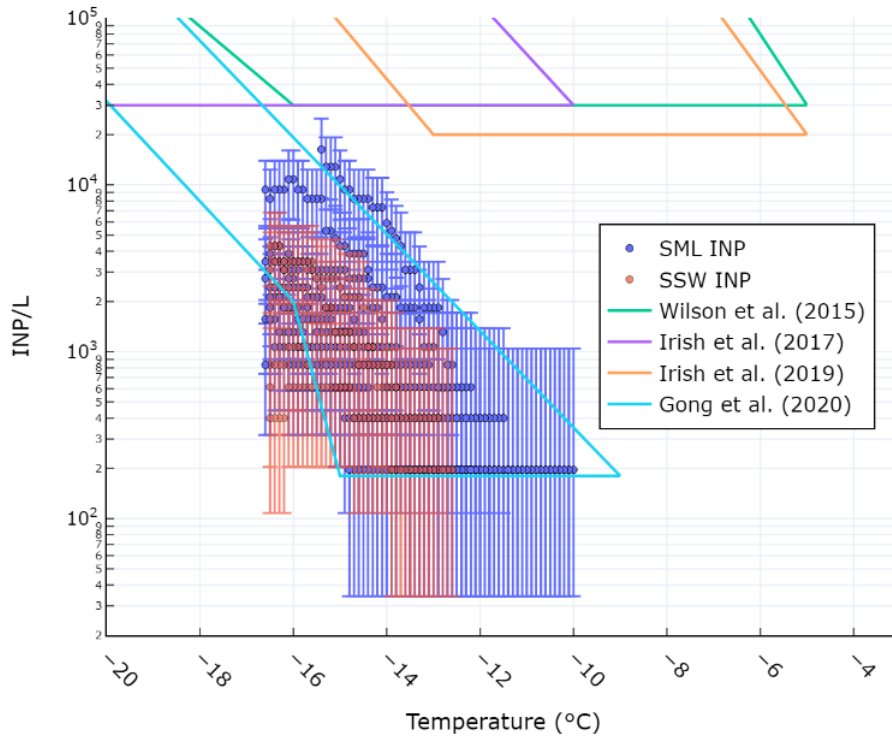
Variable	$p_{all\ days}$ ( $p_{pre-dust}$ )	$r_{all\ days}$ ( $r_{pre-dust}$ )
<b>-18°C</b>		
WSOC/OC	0.0099 (0.014)	0.68 (0.68)
OC	0.018 (0.021)	0.64 (0.65)
WSOC	<i>0.25</i> (0.0074)	<i>0.29</i> (0.66)
<b>-22°C</b>		
WSOC	0.042 (0.0082)	0.48 (0.65)
OC	0.015 (0.0080)	0.66 (0.72)
WIOC	<i>0.061</i> (0.043)	<i>0.53</i> (0.59)
OMSS	<i>0.066</i> (0.028)	<i>0.52</i> (0.63)
<b>-25°C</b>		
WIOC	0.037 ( <i>0.057</i> )	0.58 ( <i>0.56</i> )
OMSS	0.016 (0.025)	0.65 (0.64)



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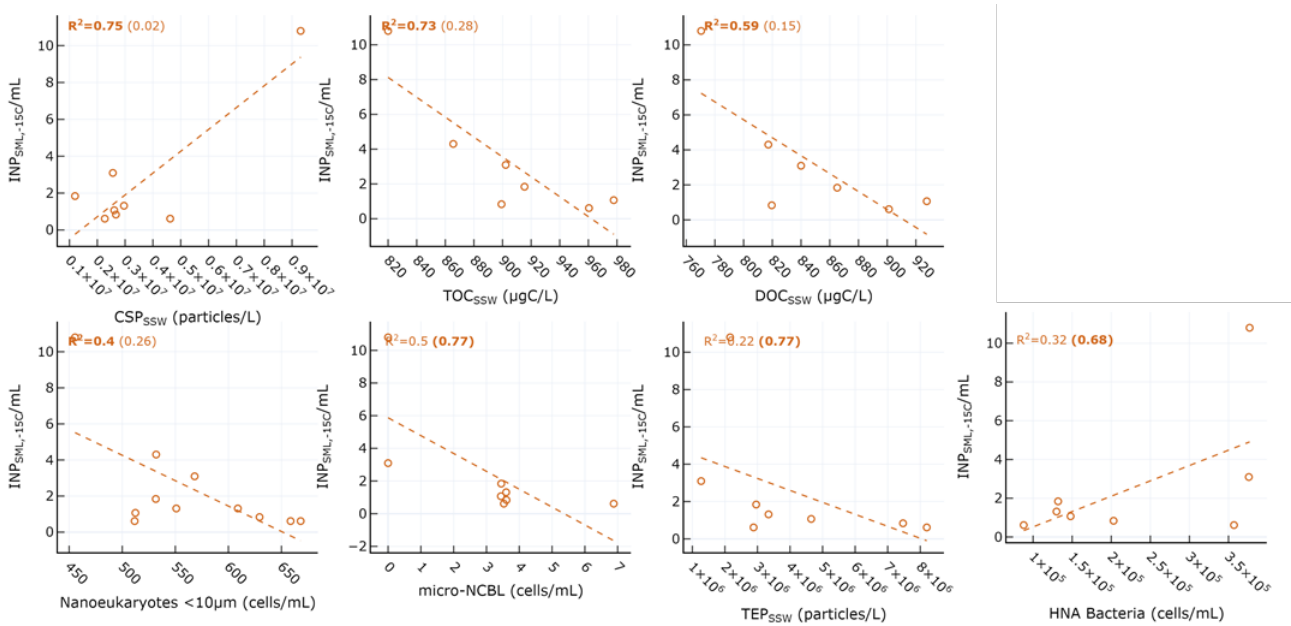
667 **Figure 1. a) INP concentrations observed during the PEACETIME cruise in the SML and SSW as measured using the LINDA**  
 668 **instrument. Error bars represent the binomial proportion confidence interval (95%) using the Wilson score interval. b) INP<sub>SSA</sub>**  
 669 **concentrations as observed by the DFPC normalized by SSA particle number concentration. Error bars represent  $\pm 30\%$  uncertainty**  
 670 **of the DFPC instrument, as cited previously (DeMott et al., 2018).**

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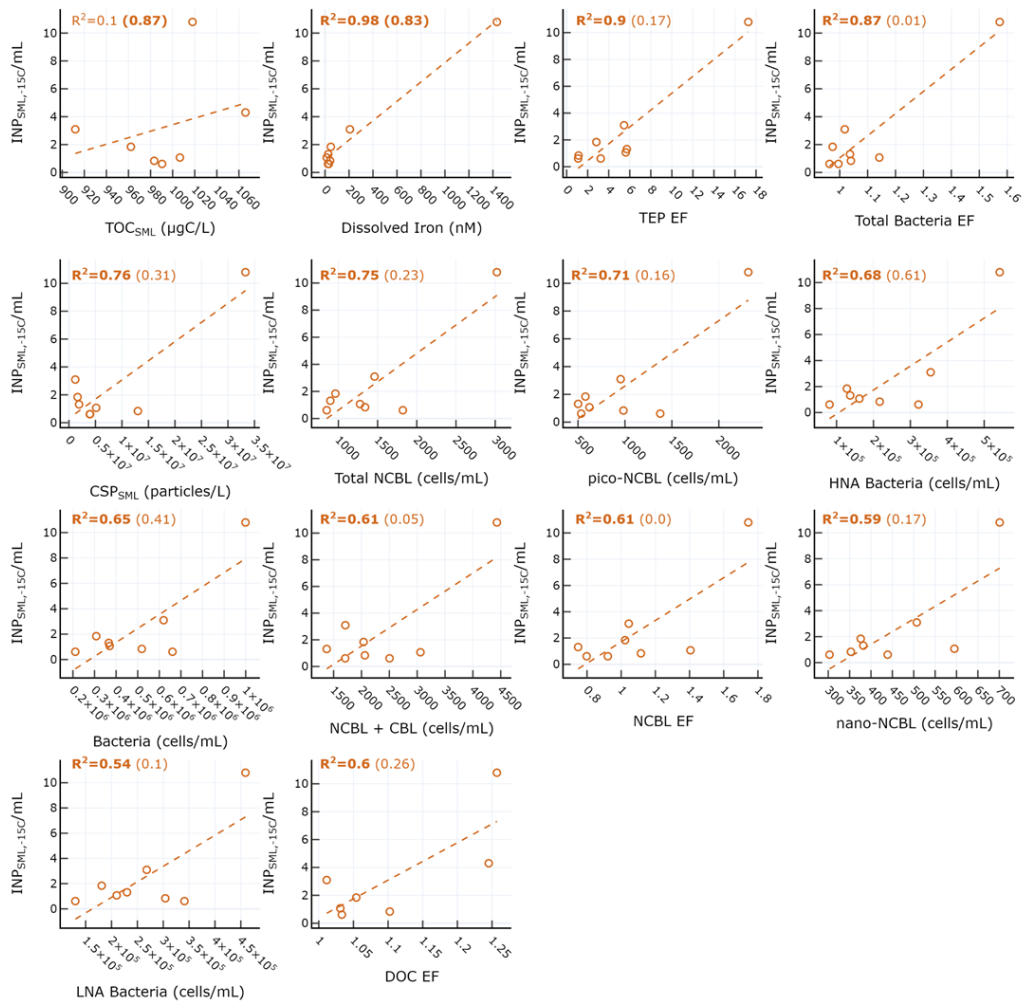
672 Figure 2. Comparison of observed SSW (blue markers) and SML (red markers) INP concentrations with previous studies. Error  
 673 bars represent the binomial proportion confidence interval (95%) using the Wilson score interval.

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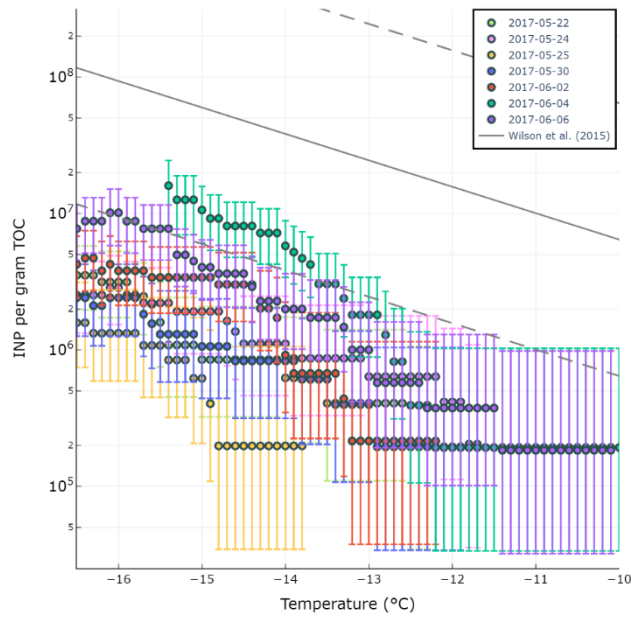
677 Figure 3. Scatter plot of INP in the SML and various biogeochemical parameters in the SSW.  $R^2$  for all days are shown in each plot,  
 678 with  $R^2$  calculated for only days before the dust event shown in parentheses. Statistically significant relationships are shown in bold.

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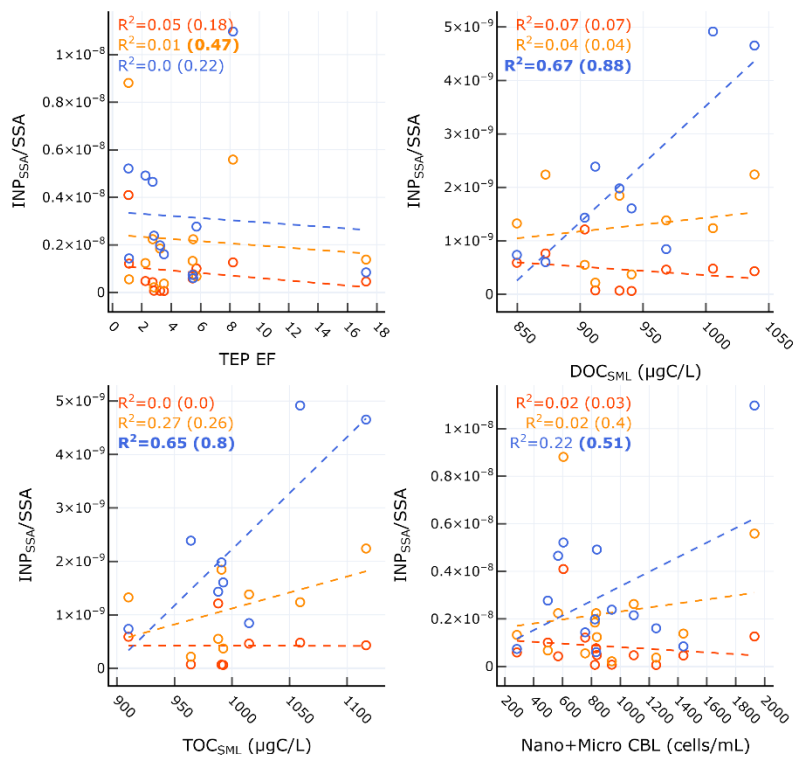


680 **Figure 4. Scatter plot of INP in the SML and various biogeochemical properties in the SML.  $R^2$  for all days are shown in each plot,**  
 681 **with  $R^2$  calculated for only days before the dust event shown in parentheses. Statistically significant  $R^2$  values are shown in bold.**

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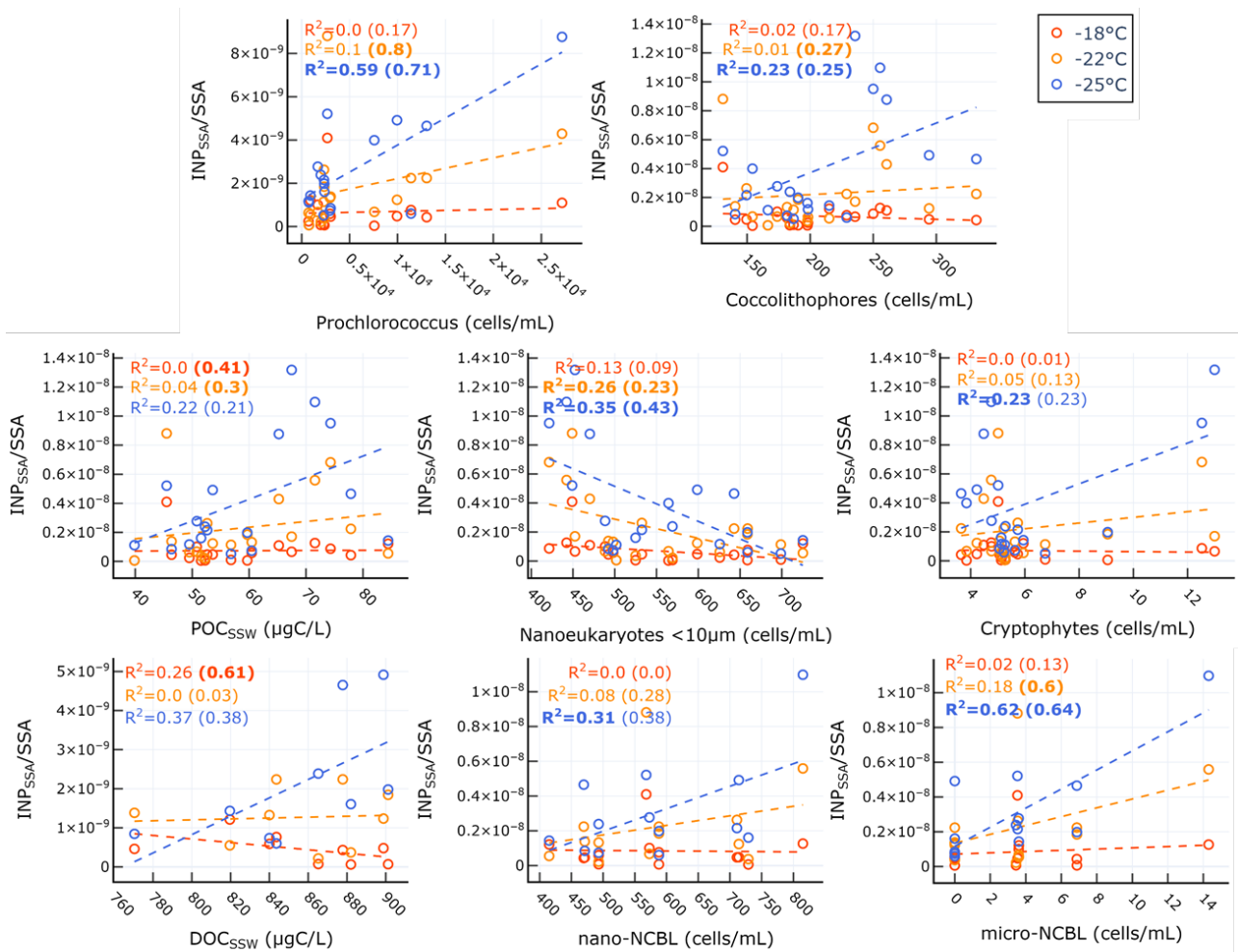


683 **Figure 5. Observed INP/TOC ratio in the SML during PEACETIME experiment for different temperatures. The gray line is the fit**  
 684 **from Wilson et al., 2015.**



685 **Figure 6. Scatter plots of  $INP_{SSA}$  normalized by SSA number concentration at three temperatures and select conditions in the SML**  
 686 **for relationships that were statistically significant. Corresponding correlation parameters are reported Table 2.  $R^2$  values for all**  
 687 **days are shown in each plot, with  $R^2$  values for days not including the dust deposition event (i.e., days before June 4) in parentheses.**  
 688  **$R^2$  for statistically significant relationships are shown in bold.**

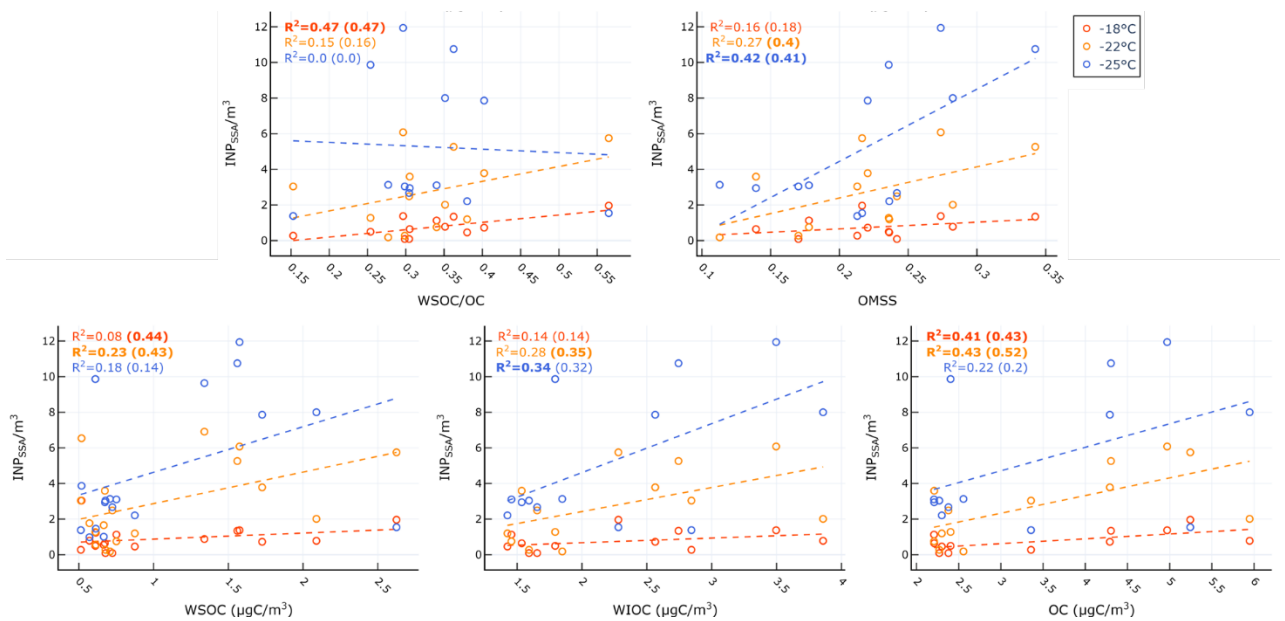
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Figure 7. Scatter plots of INP<sub>SSA</sub> normalized by SSA number concentration at three temperatures and select conditions in the SSW for relationships that were statistically significant. Corresponding correlation parameters are reported Table 3. R<sup>2</sup> values for all days are shown in each plot, with R<sup>2</sup> values for days not including the dust deposition event (i.e., days before June 4) in parentheses. R<sup>2</sup> for statistically significant relationships are shown in bold.

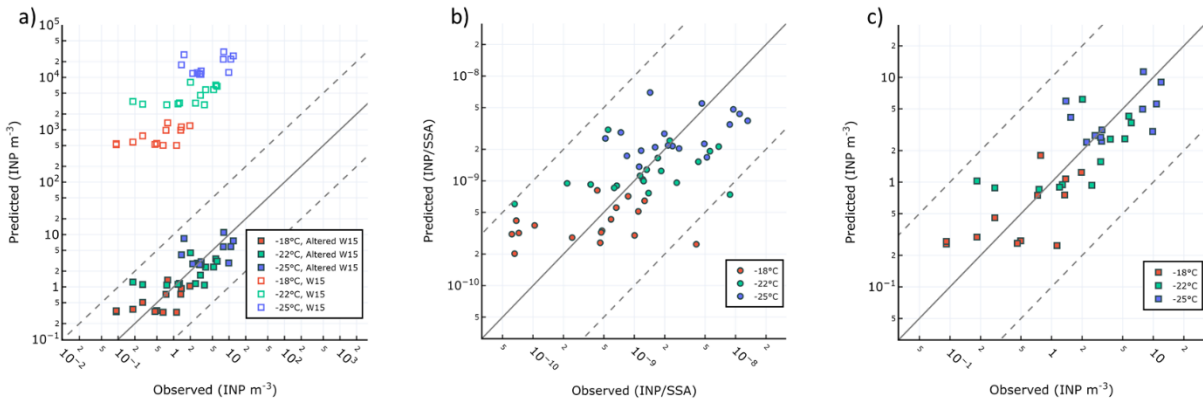
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Figure 8. Scatter plots of INP<sub>SSA</sub> at three temperatures and SSA properties for relationships that were statistically significant. Corresponding correlation statistics are reported Table 2. R<sup>2</sup> values for all days are shown in each plot, with values calculated pre-dust event (i.e., days before June 4) in parentheses. Statistically significant values are shown in bold.

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702 **Figure 9. Different parameterizations for prediction of INP in SSA. a) W15 and refit of same method using PEACETIME**  
 703 **observations b) single-component parameterization for INP/SSA where INP at all temperatures are related to  $POC_{SSW}$  c) two-**  
 704 **component parameterization for  $INP/m^3$  where  $INP_{\geq -22^\circ C}$  are related to OC and  $INP_{< -22^\circ C}$  are related to WIOC.**

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