



## Hygroscopicity of urban aerosols and its link to size-resolved chemical composition during spring/summertime in Seoul, Korea

Najin Kim<sup>1</sup>, Seong Soo Yum<sup>1\*</sup>, Minsu Park<sup>1</sup>, Jong Sung Park<sup>2</sup>, Hye Jung Shin<sup>2</sup>, Joon Young Ahn<sup>2</sup>

<sup>1</sup>Department of Atmosphere Science, Yonsei university, Seoul, 03722, Korea

5 <sup>2</sup>Air Quality Research Division, National Institute of Environment Research, Incheon, 22689, Korea

*Correspondence to:* Seong Soo Yum (ssyum@yonsei.ac.kr)

**Abstract.** Chemical effects on the size-resolved hygroscopicity of urban aerosols were examined based on the KORUS-AQ field campaign data. The information on size-resolved hygroscopicity and chemical composition of aerosols were obtained by a hygroscopic tandem differential mobility analyzer (HTDMA) and a high-resolution time of flight aerosol mass spectrometer (HR-ToF-AMS), respectively. Good correspondences were shown between the measured and estimated  $\kappa$  values calculated from the combination of bulk chemical composition data and oxidation parameters of organic aerosols ( $f_{44}$  and O/C). These results infer that chemical composition is closely associated with aerosol hygroscopicity. However, the correlation between measured and estimated  $\kappa$  values degraded as particle size decreased, implying that the size-resolved chemical composition data is required for more detailed hygroscopicity analysis. In addition to size-resolved chemical data, the  $m/z$  tracer method was applied for size-resolved organic factors. Specifically,  $m/z$  57 and 44 were used as AMS spectral markers for HOA and OOA, respectively. These size-resolved chemical composition data were found to be critical in explaining the size-dependent hygroscopicity as well as the diurnal variation of  $\kappa$  for small particles, i.e., low  $\kappa$  in the morning and high  $\kappa$  in the afternoon. Additionally, aerosol mixing state information was associated with the size-resolved chemical composition data. That is, the relationship between the number fraction of each hygroscopicity mode and volume fraction of different chemical composition was investigated. For example, the HOA volume fraction explained about 60 % of the variation of less hygroscopic (LH) mode number fraction for externally mixed aerosols.



## 25 1. Introduction

Aerosol hygroscopicity, an ability of aerosols to absorb water vapor from its surrounding, describes an interaction between water vapor and particle under the sub- and supersaturated conditions and determines the critical supersaturation for cloud droplet activation (McFiggans et al., 2006; Swietlicki et al., 2008). In general, aerosols can be characterized as hygroscopic, neutral or hydrophobic, depending on their affinity for water (Roger and Yau; 1989). Hygroscopicity of aerosols is considered to be a crucial parameter in aerosol studies as it affects the number concentration of cloud condensation nuclei (CCN) and the lifetime of clouds, and thereby indirectly influence on regional and global climate change (Zhang et al., 2008; Su et al., 2010; IPCC, 2013; Rosenfeld et al., 2014). Moreover, it is responsible for degradation of visibility and multiphase chemical reactions, which are closely related to air quality problem as cross-sectional areas of aerosol particles increase after particles take up water vapor under a humid condition (Tang et al., 1996; Cheng et al., 2008; Liu et al., 2013; Zheng et al., 2015).

Hygroscopicity measurement has been mainly performed with a hygroscopic tandem differential mobility analyzer (HTDMA) introduced by Liu et al., (1978), and/or with a combined system of a CCN counter (CCNC), a differential mobility analyzer (DMA) and a condensation particle counter (CPC) (Moore et al., 2010). Particularly, HTDMA provides the information of hygroscopic growth factor (GF) distribution at a given dry particle diameter for a fixed relative humidity (RH). Furthermore, we can infer the extent of mixing state of aerosols, i.e., external versus internal mixing, through the HTDMA measurement (Swietlicki et al. 2008). If internally mixed, all particles are considered to have identical composition and hygroscopicity, whereas external mixture indicates that particles of different composition and hygroscopicity would coexist in a sample volume. So, even if the sizes of particles are the same, the critical supersaturation for activation can vary, depending on the mixing state of atmospheric particles. From HTDMA measurement, we may obtain a monomodal GF distribution for perfect internal mixture, or bimodal or trimodal GF distribution (sometimes more than trimodal) for external mixture of atmospheric aerosols. Various field experiments around the world have conducted hygroscopicity



50 measurements for ambient aerosols. In marine environments, including Pacific, Atlantic, Indian and  
Arctic Oceans, atmospheric particles had higher GF values than in other environments and mostly showed  
a monomodal pattern of GF distribution (Berg et al., 1998; Massling et al., 2003, 2006; Swietlicki et al.,  
2000; Tomlinson et al., 2007; Zhou et al., 2001). In rural sites, both aged and freshly formed particles  
were observed, and mixing state patterns tended to be different depending on their locations. Aerosols in  
55 the pristine Amazon forest showed moderate GF values due to organic compounds (Rissler et al., 2004;  
Thalman et al., 2017; Zhou et al., 2002). Hygroscopic properties of aerosols in urban regions where  
considerable anthropogenic emissions exist have been measured actively in recent years (Baltensperger  
et al., 2002; Cocker et al., 2001; Massling et al., 2005; Wang et al., 2017; Wu et al., 2016). The external  
mixture of hygroscopic aerosols from the background and freshly emitted hydrophobic aerosols was  
60 dominantly observed in these regions.

In addition to direct measurements, various estimation methods to derive the aerosol  
hygroscopicity have been suggested based on the relationship between chemical composition and  
hygroscopicity of aerosols (Chang et al., 2010; Gunthe et al., 2009; Gysel et al., 2007; Wu et al., 2013).  
In general, the Zdanovskii-Stokes-Robinson (ZSR) mixing rule (Zandnovskii, 1948; Stokes and  
65 Ronbinson, 1966) was applied for the estimation. Inorganic aerosols are well known to be hygroscopic  
from many field and laboratory studies. However, the hygroscopicity of organic materials that occupied  
a significant portion of atmospheric aerosols (Zhang et al., 2007) is relatively unknown and shows variant  
water uptake abilities. Recent studies have focused on examining the hygroscopic properties of organics  
based on the measurements of organic fraction in various environments (Chang et al., 2010; Wu et al.,  
70 2013; Mei et al., 2013; Hong et al., 2015, 2018). According to several previous studies, the oxidation level  
of organics is the main factor that affects the water uptake ability of the organic fraction in aerosols.  
Despite these efforts, knowledge on aerosol hygroscopicity is still limited and subject to significant  
uncertainties due to the difficulties in the identification and quantification of numerous organic  
compounds in ambient aerosols and their hygroscopic properties. Notably, various emission sources and



75 complex chemical mechanisms of aerosol production and the aging processes in the urban area make it  
difficult to fully understand the hygroscopic properties of aerosols and their link to aerosol chemical  
composition.

The Seoul Metropolitan Area (SMA) is one of the largest metropolitan areas in the world where  
commercial, residential, and industrial facilities of Korea are concentrated on a massive scale. The air  
80 masses in SMA are influenced not only by local anthropogenic emission sources but also by biogenic  
sources to the east (Kim et al., 2010) and industrial emissions in the west of SMA (Kim et al., 2018b).  
Furthermore, long-range transport of air pollutants from the Asian continent significantly impacts the air  
quality of SMA. In addition to local and regional sources, atmospheric processes and meteorological  
conditions affect aerosol properties. Nevertheless, knowledge of aerosol properties and their impact on  
85 air quality in SMA is still limited. Therefore, understanding the various sources and complex mechanisms  
of atmospheric aerosols in SMA is critical in establishing appropriate and effective environmental policies  
to mitigate air quality problems. Moreover, enhanced understanding of these characteristics of urban  
aerosols based on reliable measurement data can eventually be utilized for improving the estimation of  
global climate change from a global perspective.

90 The Korea-US Air Quality Study (KORUS-AQ) is an international cooperative air quality field  
study that was conducted over the Korean territory during spring/summer in 2016. A comprehensive set  
of measurements from aircraft, ships, satellite and ground sites along with air quality model calculations  
were made to assemble integrated observational data and examine the factors controlling the air quality  
in East Asia, where air pollution has increased so much in the past decades due to the fast industrialization  
95 and urbanization (Swietlicki et al., 2008; Larkin et al., 2016). As part of the KORUS-AQ campaign,  
ground measurements of aerosol properties, gas-phase concentration, and meteorological parameters in  
SMA were conducted at Olympic Park, a supersite of the campaign.

In this study, we focus on the measurement of the size-resolved hygroscopicity and size-resolved  
chemical composition by hygroscopic tandem differential mobility analyzer (HTDMA) and high



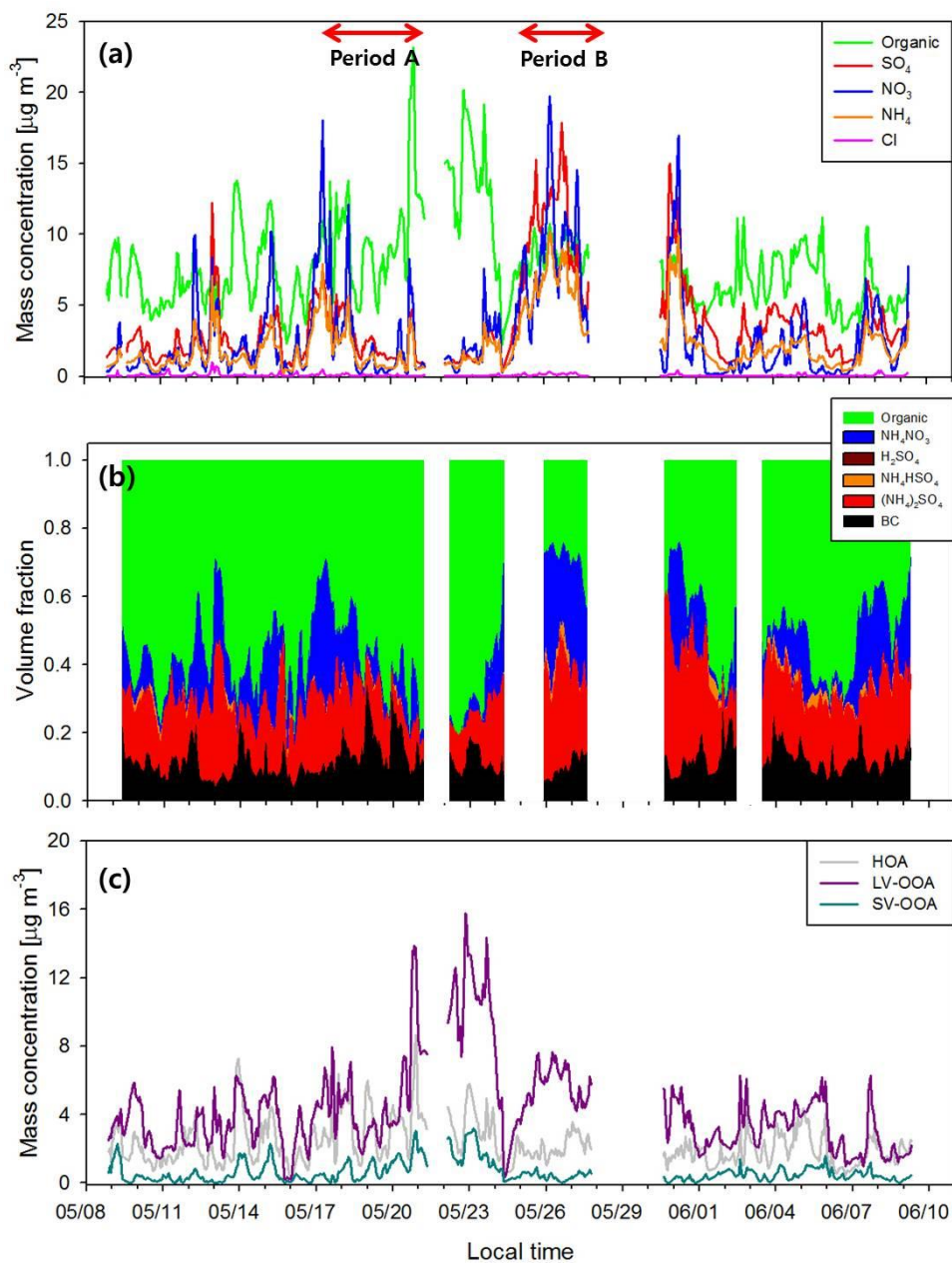
100 resolution time of flight aerosol mass spectrometer (HR-ToF-AMS), respectively. Our study aims to  
identify the relationship between chemical composition and the hygroscopicity of aerosols in SMA. For  
this effort, aerosol hygroscopicity is analyzed in association not only with the size-resolved chemical  
composition data but also with the size-resolved organic factor data. In addition, aerosol hygroscopicity  
and CCN capability are examined in relation to the mixing state of aerosols.

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## 2. Experimental description

### 2.1 Measurement site

The KORUS-AQ field campaign was conducted at multiple ground sites as well as in the air above  
the Korean Peninsula by aircraft (DC-8 and King Air) from May to June 2016. This study focuses only  
110 on the ground measurement at Olympic Park, the main supersite of KORUS-AQ, in SMA (37.6°N,  
127.04°E). The measurement period was 9 May – 15 June. Although the measurement shelter was  
surrounded by trees and grass fields of the park, this site was mainly influenced by anthropogenic sources  
from the nearby residential areas, and heavy traffics on the roads. A detailed description of the site and  
the meteorological conditions during the campaign can be found in Kim et al. (2018a). Briefly, Olympic  
115 Park, in general, was affected by dominant westerly winds. However, in some periods during the  
campaign, such pattern disappeared. Specifically, a persistent high pressure with stagnant condition  
prevailed on 17-21 May (Period A in Fig.1), and pollution transport from southwestern China occurred  
on 25-28 May (Period B in Fig.1). As for meteorological conditions, campaign averaged value for relative  
humidity (RH) and temperature (T) were 61.0 % and 20.8 °C, respectively. Both RH and T in May are  
120 generally lower than those in June. The instruments installed in the measurement shelter are described in  
the following section.



**Figure 1.** Time series of (a) mass concentration and (b) volume fraction of aerosol chemical composition, and (c) mass concentration of three OA factors (\*Additional blank of time series of volume fraction of chemical composition in (b) is due to BC data.)

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## 2.2 H-TDMA measurement

The measurement of size-resolved hygroscopicity by HTDMA in Seoul is detailed in Kim et al. (2017, 2018a) and therefore briefly described here. First, ambient aerosols were dried to below 20% RH by silica gel. Next dry aerosols were neutralized by Kr-85 aerosol neutralizer and then were classified to produce monodisperse particles by the first DMA. These classified particles grew under the humid condition of 85% RH. The number size distribution of grown particles was measured by the second DMA with TSI CPC 3010. Two RH sensors were placed at the exit of the Nafion humidifier and sheath air of the second DMA. After the campaign, we conducted the deliquescence relative humidity (DRH) measurement for NaCl and  $(NH_4)_2SO_4$  to validate the HTDMA measurement. In this study, four different dry diameters of 30, 50, 100, and 150 nm were chosen to classify in the first DMA for hygroscopicity analysis. The hygroscopic GF, the ratio of humidified ( $d_w$ ) and dry ( $d_d$ ) particle mode diameters at a given RH, can be derived from HTDMA output (Eq. 1):

$$GF = \frac{d_w}{d_d} . \quad (1)$$

In this study, we obtained the GF distribution for each dry diameter with 3 min time resolution. The experiment repeated five times for each size. Simultaneously, the information of the mixing state is estimated from the shape of GF distribution and GF values themselves. Kim et al. (2017) suggested an aerosol type classification based on mixing state and GF values as will be briefly introduced later.

## 2.3 Aerosol chemical composition

Real-time measurement of size-resolved chemical composition is done with a high-resolution time of flight aerosol mass spectrometer (HR-TOF-AMS, Aerodyne Research Inc, USA). It is based on the highly successful design of the first generation quadrupole-based system, the Q-AMS. However, the ToF-AMS differs from the Q-AMS as the quadrupole mass filter is replaced by a time-of-flight mass



150 spectrometer. In this study, the non-refractory submicron aerosols (NR-PM1) were collected using a PM1  
cyclone (URG-2000-30EN, URG, USA). A Nafion drier (Perma-Pure, Toms River, NJ, USA) was used  
to dry the sampled ambient air. For calibration purposes, ammonium nitrate and polystyrene latex spheres  
(PSL) particles were produced by using a constant output atomizer (TSI 3936, TSI Inc., USA) from  
ammonium nitrate and PSL solution, respectively. Ammonium nitrate particles with 300 nm and PSL  
155 particles ranging from 50 to 450 nm were used to calibrate the ionization efficiency (IE) and particle size  
distribution, using a DMA. Mass spectrum data were saved every 5 min resolution. Collection efficiency  
of 0.5 was applied to all species. The software SQUIRREL V 1.51H and PIKA V 1.10H were used to  
analyze the collected data.

For the specification of organics, positive matrix factorization (PMF) analysis was performed  
160 using the organic compounds of submicron particles. The PMF analysis of organic matters that account  
for more than 30 % of ultra-fine particles was used to identify aerosol characteristics, depending on the  
oxidation state. PMF result could provide information about the aging characteristic of organic matters  
such as the effect of direct emission or long-distance transport. The PMF Evaluation Tool (PET V 2.06)  
was used to analyze mass spectrum for mass-to-charge ratios ( $m/z$ ) from 12 to 100. The modeling  
165 conditions are as follows: 1) MDL = 0.15  $\mu\text{g m}^{-3}$ ; 2) Down weighting of low-SNR (0.2 ~ 2) data; 3) no  
use of bad-SNR (under 0.2) data; 4) Down weighting of repeated information ( $m/z$  44 and related  $m/z$   
values). Factor analysis was performed according to the PMF analysis procedure described by Zhang et  
al. (2011). In this study, three OA factors are used: 1) hydrocarbon-like organic aerosol (HOA), 2) semi-  
volatile oxygenated organic aerosol (SV-OOA), and 3) low-volatility oxygenated organic aerosol (LV-  
170 OOA). Figure S1 shows the high resolution mass spectra and time series of the three OA factors.

Mass concentration of black carbon (BC) was measured by the multi-angle absorption photometer  
(MAAP) with a PM2.5 inlet system as HR-TOF-AMS only provides information on chemical  
composition for non-refractory (NR) aerosols.





### 175 3. Overview of hygroscopic and chemical properties of aerosols

#### 3.1 Temporal variation of aerosol chemical composition

Figure 1 shows the temporal variations of aerosol chemical compositions, including sulfate, nitrate, ammonium and, organics, at Olympic Park during the campaign period. The bulk mass concentration of PM1 (=NR-PM1+BC) ranged from 4.4 to 57.1  $\mu\text{g m}^{-3}$  with a mean value of 19.1  $\mu\text{g m}^{-3}$  and there was  
180 substantial variation of chemical composition (Fig.1 a). Among non-refractory aerosols, organics occupied about 42.5 % of total mass concentration of PM1 aerosols during the whole period followed by sulfate (28.4%), nitrate (16.3%), ammonium (12.2%) and chloride (0.6%). Campaign averaged BC mass concentration was about 2.5  $\mu\text{g m}^{-3}$ . In this study, 1300 kg m<sup>-3</sup> and 1700 kg m<sup>-3</sup> were assumed for densities of organic (Cross et al., 2007; Florou et al., 2017) and BC (Wu et al., 2013), respectively, to  
185 calculate the volume for each species. For BC, PM 2.5 mass concentration is used for calculation, assuming that BC mass is mainly determined by submicron particles (e.g., Clarke et al., 2004; Wu et al., 2013). It can be said from the good agreement between predicted and measured  $\text{NH}_4^+$  that observed anions ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$  and  $\text{Cl}^-$ ) are fully neutralized by  $\text{NH}_4^+$  (Fig. S2) and ion species mainly existed in the form of  $(\text{NH}_4)_2\text{SO}_4$  and  $\text{NH}_4\text{NO}_3$  (Reilly and Wood (1969); Gysel et al. (2007)). Predominant  
190 volume fractions of  $(\text{NH}_4)_2\text{SO}_4$  and  $\text{NH}_4\text{NO}_3$  among inorganic compounds can also be found in Fig 1b. For organics, HOA, SV-OOA, and LV-OOA accounted for 32.0%, 8.8%, and 59.2%, respectively, of the total OA mass concentration during the campaign.

Chemical composition of PM1 aerosol showed substantial variation, especially for periods A and B. Organics were dominant in period A when stagnant conditions prevailed due to persistent high  
195 atmospheric pressure and weak synoptic flow (Kim et al. 2018a). The average ratio of organic to (inorganic + BC) was  $1.60 \pm 0.82$ , ranging from 0.48 to 3.60. The average mass concentrations of each chemical species during period A were 7.9  $\mu\text{g m}^{-3}$  (organic), 3.7  $\mu\text{g m}^{-3}$  (sulfate), 2.9  $\mu\text{g m}^{-3}$  (nitrate), 2.2  $\mu\text{g m}^{-3}$  (ammonium) and 2.4  $\mu\text{g m}^{-3}$  (BC). At the beginning of period A, mass concentrations of both HOA and LV-OOA increased sharply, and that of LV-OOA remained high until 23 May (Fig.1c). For period B,



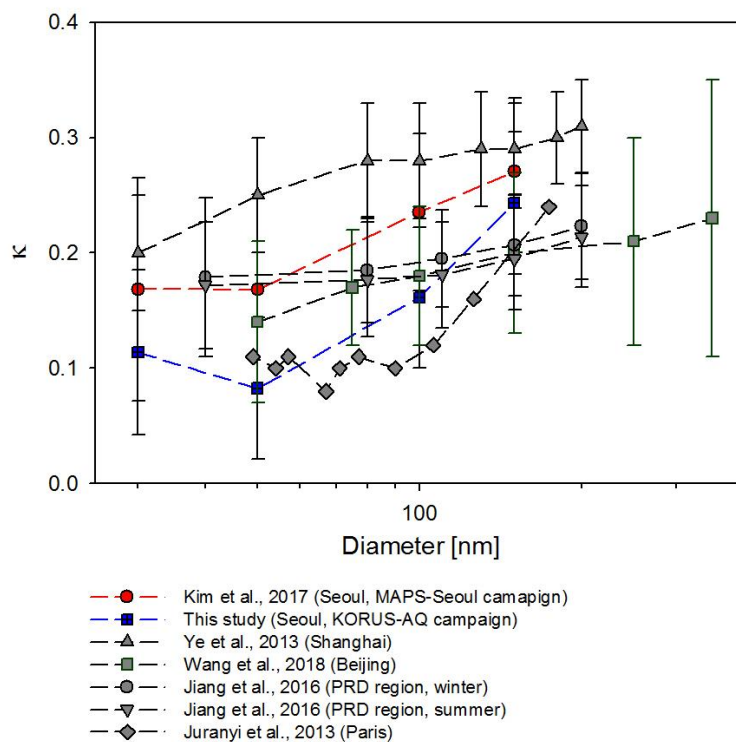
200 total mass concentration increased as polluted air masses were transported directly from southwestern  
China, and inorganics were dominantly observed with a mean value of 0.32 for organic/(inorganic + BC).  
The volume fraction of inorganics reached up to 80% during period B. These contrasting chemical  
compositions of the two periods result in very different hygroscopic properties of aerosols for these two  
periods (Kim et al. 2018a). For example, hygroscopicity values of period A, an organic-dominant period,  
205 was much lower than the normal period that excludes periods A and B, although particle sizes are larger  
than those in the normal period.

### 3.2 Size-resolved hygroscopicity of urban aerosols

As mentioned above, size-resolved hygroscopicity for four dry diameters (30, 50, 100, and 150  
210 nm) was measured during the campaign. The average value of  $\kappa$ , a representative single hygroscopicity  
parameter (Petters and Kreidenweis 2007), ranged from 0.11 to 0.24 with distinct diurnal variation (Kim  
et al., 2018a). Figure 2 shows the size-resolved  $\kappa$  values measured in SMA from the two campaigns  
(MAPS-Seoul and KORUS-AQ) as well as the results from some other urban measurements including  
Shanghai (Ye et al., 2013), Beijing (Wang et al., 2018), the Pearl River Delta (PRD) region (Jiang et al.,  
215 2016) and Paris (Jurányi et al., 2013). The  $\kappa$  values in the figure were derived from HTDMA GF  
measurements except for Paris that derived  $\kappa$  from CCN measurement. The  $\kappa$  values of SMA were lower  
than those in Shanghai and similar to Beijing but the lowest  $\kappa$  values were observed from Paris for most  
diameters. According to Fig.2, most  $\kappa$  values increase with particle size. It is closely related to the fact  
that the mass fraction of inorganic species increases with increasing particle size (Fig. S3). Inorganic  
220 components measured by AMS are considered as the major water-soluble chemical components,  
influencing the hygroscopic behavior of atmospheric aerosols. Wu et al. (2016) showed increase of the  
particle number fraction of hydrophilic mode with increasing particle size, and this trend was more  
conspicuous for smaller particles ( $< 150$  nm). The size-dependency of  $\kappa$  is also shown in other  
environments such as coastline in UK (Gysel et al., 2007), forested site in Colorado (Levin et al. 2012,



225 2014) and Wakayama, Japan (Deng et al., 2019), and boreal environment in Finland (Paramonov et al.  
2013). Although the Kelvin effect may cause some decrease of  $\kappa$  with decreasing particle size, this effect  
is small, less than 5%, for particles in the diameter ranged from 50 to 200 nm (Swietlicki et al., 2008;  
Wang et al., 2018). The average  $\kappa$  values of urban aerosols shown in Fig. 2 are smaller than 0.3 for  
diameters smaller than 300 nm, implying that the suggested typical continental  $\kappa$  value of 0.3 by Andreae  
230 and Rosenfeld (2008) is an overestimation for these urban aerosols. Consequently, it can cause the over-  
prediction of CCN number concentration ( $N_{CCN}$ ) in urban areas.



**Figure 2. Size-resolved hygroscopicity of aerosols in Seoul and other urban areas.**

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### 3.3 $\kappa$ closure

Closure on hygroscopicity has been studied to understand the relationship between chemical composition and aerosol hygroscopicity (Chang et al., 2010; Gunthe et al., 2009; Gysel et al., 2007; Kim et al., 2017; Wu et al., 2013). The ZSR mixing rule (Eq. 2) with a volume fraction of aerosol composition is generally applied for the hygroscopicity closure.

$$\kappa_{chem} = \sum_i \varepsilon_i \kappa_i, \quad (2)$$

where  $\kappa_{chem}$  is the  $\kappa$  value of the mixed particle,  $\kappa_i$  is the hygroscopicity value of the chemical component,  $i$ , in pure form and  $\varepsilon_i$  is the volume fraction of this chemical component. Unlike inorganic species, the hygroscopicity of organic aerosols is relatively unknown, and many estimation methods have been suggested for  $\kappa$ -closure. In general, oxidation parameters like O/C and  $f_{44}$  are used for the organic hygroscopicity. Among them, we compared the two methods suggested by Kim et al. (2017) that uses O/C (Eq. (3)) and by Mei et al. (2013) (Eq. (4)) that uses  $f_{44}$ :

$$\kappa_{org} = 0.1 \times (O/C), \quad (3)$$

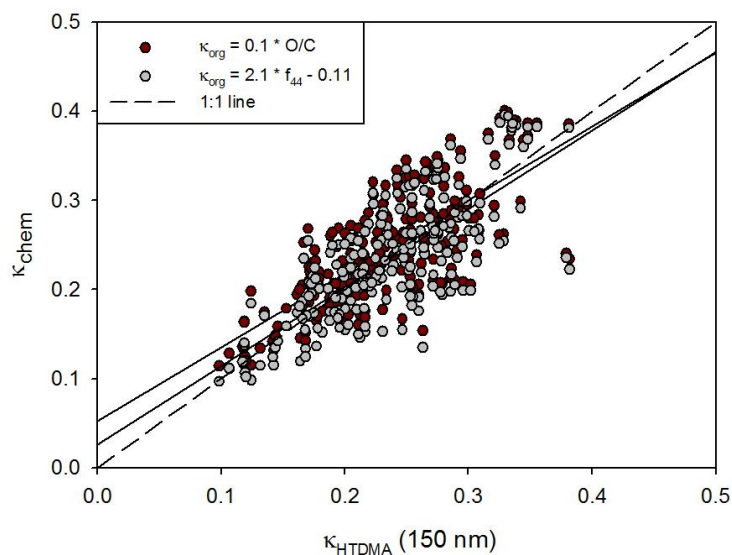
$$\kappa_{org} = 2.10(\pm 0.07) \times f_{44} - 0.11(\pm 0.01). \quad (4)$$

For inorganics,  $(NH_4)_2SO_4$  and  $NH_4NO_3$ ,  $\kappa$  values of 0.47 and 0.58 are applied, respectively (Gysel et al., 2007; Topping et al., 2005). BC is assumed to be hydrophobic.

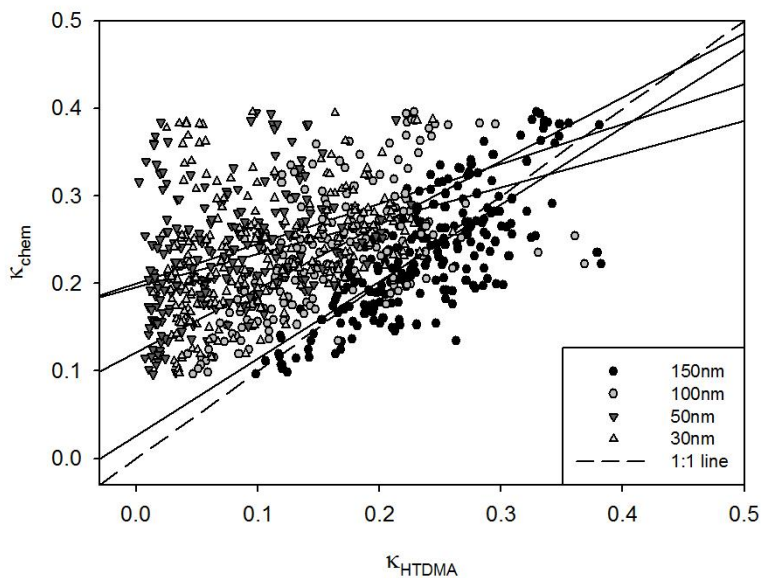
Figure 3 presents the scatterplot of  $\kappa_{HTDMA}$  vs.  $\kappa_{chem}$ , which incorporates the  $\kappa_{org}$  values derived from the two estimation methods above. Only 150 nm results are used for  $\kappa_{HTDMA}$ . The agreement between  $\kappa_{HTDMA}$  and  $\kappa_{chem}$  looks good regardless of the  $\kappa_{org}$  estimation method and therefore it can be said that such oxidation parameters are suitable to use for estimating hygroscopicity of organic aerosols. Perhaps the similar results of the two methods was in part due to the fact that inorganic species having high  $\kappa$  values compared to organics occupied a major portion of the total mass. In this study, we adopted the method using  $f_{44}$  for further analysis because it produced better results than the



260 method using O/C, in terms of the linear regression analysis (i.e., slope and the coefficient of  
determination) and the average ratio between  $\kappa_{HTDMA}$  and  $\kappa_{chem}$  values (Table S1). According to Fig.  
4, however, a good agreement between  $\kappa_{HTDMA}$  and  $\kappa_{chem}$  is shown only for 150 nm. As particle size  
becomes smaller, widely dispersed scatterplots between  $\kappa_{HTDMA}$  and  $\kappa_{chem}$  are shown. Furthermore,  
the overestimation of  $\kappa_{chem}$  is clearly shown for small particles. It is because large particles mainly  
265 determine the volume fraction in bulk chemical composition data. This result implies that size-resolved  
chemical composition data should be accompanied when we analyze the relationship between  
hygroscopicity and chemical composition, especially for small particles.



270 **Figure 3. Scatterplot between  $\kappa_{HTDMA}$  and  $\kappa_{chem}$  using two different organic  $\kappa$  estimation methods.** Dashed line and solid line indicate 1:1 line and linear regression line, respectively.



**Figure 4.** Scatterplot between  $\kappa_{HTDMA}$  and  $\kappa_{chem}$  for four different diameters. Dashed line and solid line indicate 1:1 line and linear regression line, respectively.

## 275 4. Size-resolved chemical composition and its link to hygroscopicity and mixing state

### 4.1 Size-resolved chemical composition

The importance of size-resolved chemical composition data has been manifested in the analyses of size-resolved hygroscopicity of aerosols (Bhattu et al., 2016; Levin et al., 2014; Meng et al., 2014). However, particle time-of-flight (P-ToF) mode for the size-resolved species cannot provide sufficient information of mass size distribution directly because of the relatively low signal to noise ratio compared to the bulk mass concentration from mass spectrum (MS) mode. Instead, reconstructed size-resolved mass concentration is applied combining with a bulk mass concentration from the MS mode and a size-resolved mass distribution from the P-ToF mode for individual species as described in Eq. (5) (Thalman et al. 2017).



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$$m_i(D_p) = M_{i,b} \times \frac{\overline{m}_i(D_p)}{\int_{D_{p,min}}^{D_{p,max}} \overline{m}_i(D'_p) d \log D'_p}, \quad (5)$$

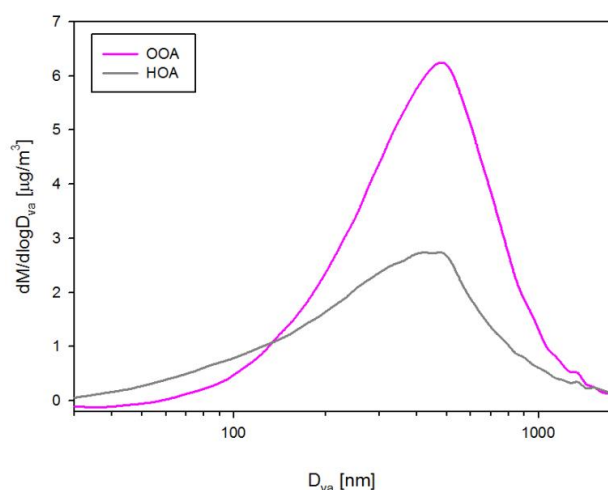
where  $M_{i,b}$  is the bulk mass concentration from MS mode measurement for chemical species  $i$  and  $\overline{m}_i(D_p)$  is the average mass size distribution for chemical species  $i$  with respect to  $\log D_p$ .  $D_{p,max}$  and  $D_{p,min}$  indicate the maximum and minimum diameters of the average mass size distribution, respectively. The average mass size distribution for the whole campaign period is shown in Fig. S3; from now on, the reconstructed mass size distribution is denoted as ‘size-resolved’ for simplicity. It is noted that size-resolved composition data for particles smaller than 70 nm are excluded due to high uncertainties. According to Fig. S3, the mass fraction of organics increases as the particle diameter decreases as expected. Notably, organics occupied more than 70 percent for aerodynamic diameter smaller than 150 nm. In other words, specified organic factor information should be accompanied, especially for small particles, to analyze the size-resolved aerosol hygroscopicity.

#### 4.2 Size-resolved organic factors

Zhang et al. (2005) proposed a technique that uses  $m/z$  57 and 44 as AMS mass spectral marker to quantify the mass concentrations of HOA and OOA (= SV-OOA + LV-OOA), using highly time-resolved organic mass spectra obtained with HR-ToF-AMS.  $m/z$  44, most likely  $CO_2^+$ , is known to be a major oxygenated organic species in AMS mass spectra and often increases in the afternoon when photochemical reaction is active (Alfarra et al., 2004; Zhang et al., 2005), whereas  $m/z$  57, most likely  $C_4H_9^+$ , is known to be a major species in mass spectra of hydrocarbon, which is associated with combustion exhaust and often increases in rush hours (Allan et al., 2004 and 2003; Alfarra et al., 2004; Canagaratna et al., 2004). Good correspondences between  $m/z$  57 and HOA and between  $m/z$  44 and OOA for bulk chemical data (not shown) support these assumptions. Although  $m/z$  43 is also known to show a prominent peak for combustion exhaust like  $m/z$  57, it is also influenced by oxygenated organic aerosols ( $C_2H_3O^+$ ) and perhaps that is the reason why the correlation with HOA is not as good as that between



HOA and  $m/z$  57 (Fig. S4). Size-resolved organic factors are reconstructed by multiplying a number for  
310 each size bin. This number for each reconstructed HOA and OOA is the slope of the linear regression  
between each organic factor (HOA and OOA) and  $m/z$  (57 and 44) from bulk mass concentration. The  
slopes of the linear regressions are 35.29 and 7.89 for HOA and OOA, respectively. Each reconstructed  
organic factor is well correlated with the measured one, and the reconstructed organic mass concentration  
(= HOA + OOA) shows a good correspondence with measured bulk organic mass concentration (Fig. S5).  
315 In other words, organic mass concentration in this study can be explained substantially by the two organic  
factors. Also,  $m/z$  57 and 44 can be considered as first-order tracers of the two major organic components.  
The correlation coefficient between measured and reconstructed HOA is slightly lower than that of OOA  
(Fig. S5) because the contribution of  $m/z$  57 on HOA varies depending on time and/or sources, whereas  
 $m/z$  44 contains a broader range of OOA. Figure 5 shows the campaign averaged size distribution of  
320 reconstructed HOA and OOA (From now on, 'reconstructed' HOA and OOA are just called as HOA and  
OOA in short.). The mode diameter of OOA is somewhat larger than that of HOA. Mass fraction of HOA  
is larger than that of OOA for small particles (< 120 nm) but the opposite is true for larger particles (>  
120 nm).



325 **Figure 5. Campaign averaged size distributions of reconstructed HOA (grey) and OOA (pink).**





### 4.3 Size-resolved chemical effect on hygroscopicity

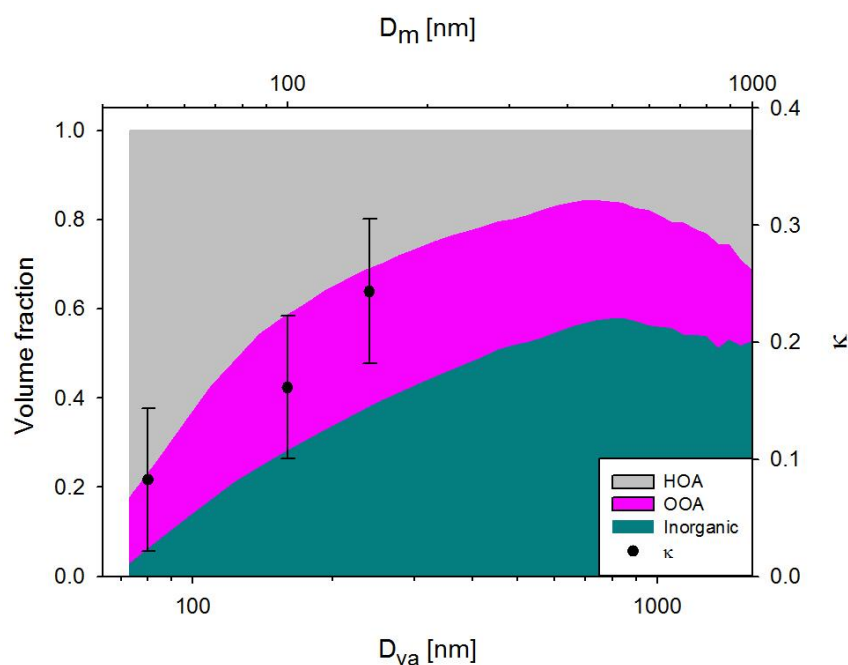
Figure 6 presents the campaign averaged size-resolved volume fraction of chemical species with size-resolved  $\kappa$  values. For direct comparison between aerosol hygroscopicity and chemical composition, the conversion of diameter is essential due to different particle sizing techniques (i.e., mobility diameter ( $d_m$ ) for HTDMA and vacuum aerodynamic diameter ( $d_{va}$ ) for AMS). Under the assumption of a spherical particle,  $d_{va}$  can be converted into  $d_m$  with density information as described in Eq. (6) (DeCarlo et al., 2004).

$$d_m = \frac{\rho_0}{\rho_p} d_{va} , \quad (6)$$

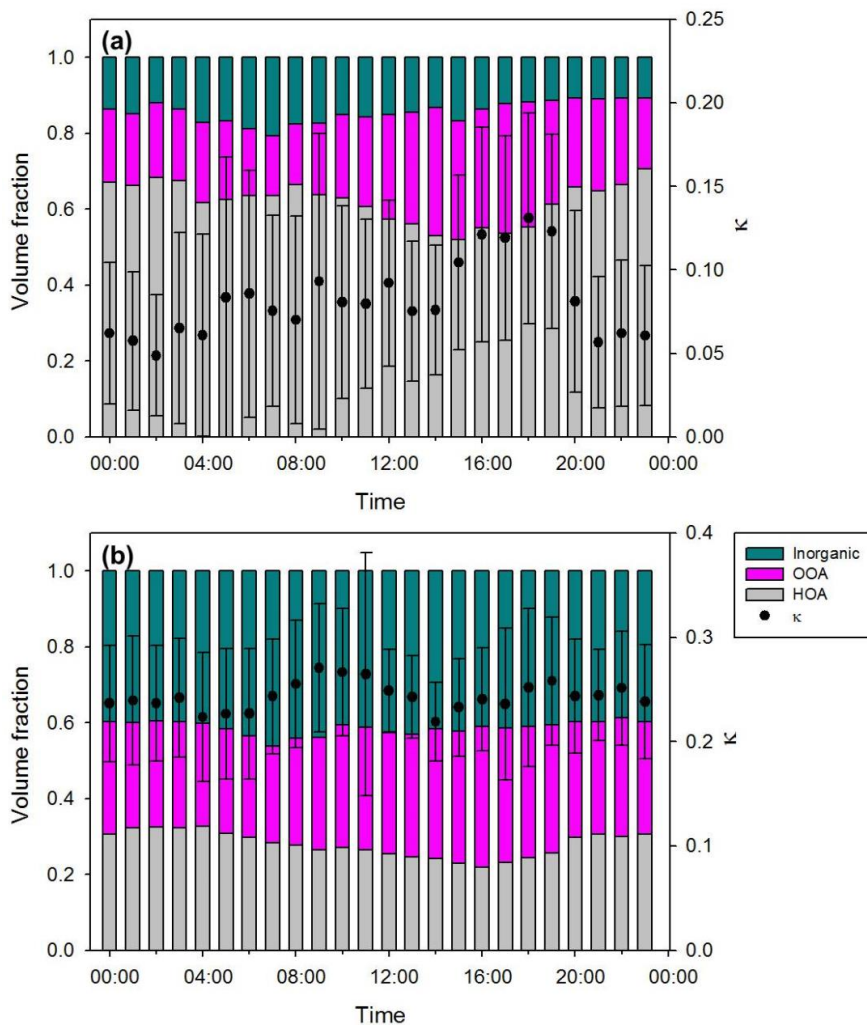
where  $\rho_p$  is the particle density and  $\rho_0$  is the standard density (1000 kg m<sup>-3</sup>). In this study, 1300 kg m<sup>-3</sup> is used as the particle density since organics are the most dominant chemical composition in the particle size range of hygroscopicity measurement. As mentioned above, the  $\kappa$  value of 30 nm particle is excluded due to high uncertainties. Densities of chemical species are assumed for calculation of volume fraction: 930 kg m<sup>-3</sup> (HOA), 1500 kg m<sup>-3</sup> (OOA), and 1769 kg m<sup>-3</sup> (inorganics). For small particles, volume fraction is dominated by organics (= HOA+OOA) and HOA, widely known to be hydrophobic, explains more than 50%. However, the volume fraction of inorganics, which is hygroscopic, increases as particle size increases. Among organics, a sharp decrease of HOA volume fraction and an increase of OOA with size are clearly shown. These results support the size-dependent hygroscopicity. Moreover, the dominant organic volume fraction for small particles ( $d_{va} < 100$  nm) manifests the importance of size-resolved organic factors to explain the variation of hygroscopicity. Figure 7 illustrates the diurnal variation of  $\kappa$  with chemical composition for 50 nm and 150 nm particles. For 50 nm (Fig. 7a), HOA explained more than 50% among chemical compositions, and the two organic factors showed considerable temporal variation compared to inorganics. The volume fraction of HOA increased slightly in rush hours and decreased gradually after midday until 18:00. Conversely, the volume fraction of OOA decreased in the



350 morning and increased in the afternoon when the photochemical reaction is active. It is consistent with  
the diurnal variation of  $\kappa$ , showing the relatively low values in the night hours and high values in the late  
afternoon. On the other hand, for 150 nm (Fig. 7b) chemical compositions showed little variation.  
Therefore, it can be said that the effect of chemical composition on diurnal variation of  $\kappa$  is more sensitive  
for small particles than for large particles. Such results demonstrate that, without proper specification of  
355 organic factors, it is difficult to explain the diurnal variation of  $\kappa$ . Also noted is that  $\kappa$  variation for small  
particles is mostly affected by the volume fraction of organics rather than that of inorganics.



360 **Figure 6. Campaign averaged size-resolved volume fraction of chemical species with  $\kappa$  values ( $\kappa_{HTDMA}$ ) for 50, 100 and 150 nm (Mobility diameter ( $D_m$ )) for  $\kappa$  value is converted to aerodynamic diameter ( $D_{va}$ ) for comparison).**



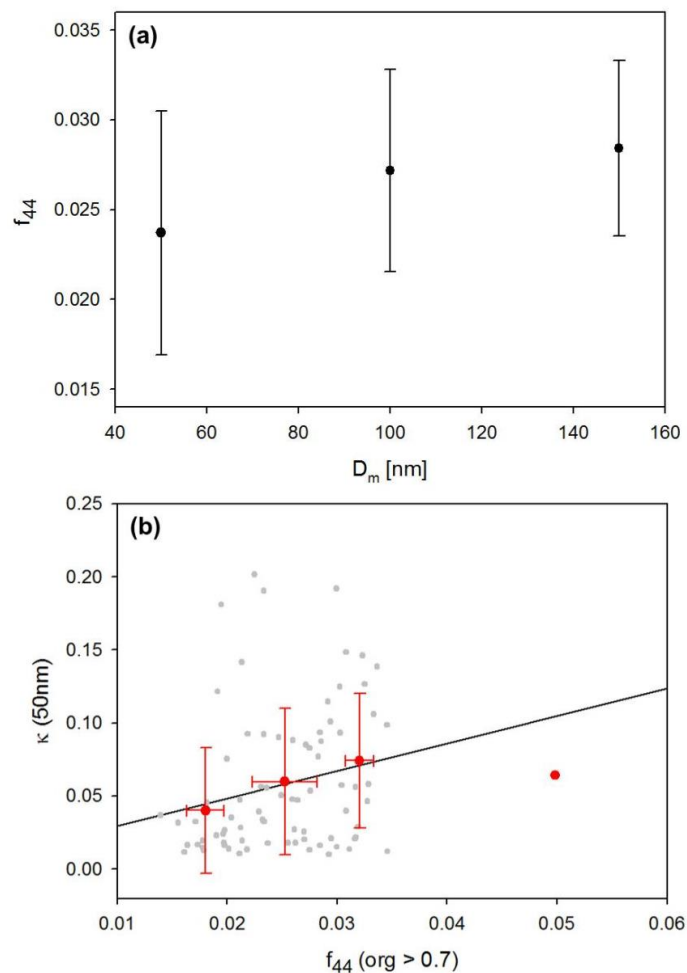
**Figure 7. Diurnal variation of  $\kappa$  values ( $\kappa_{HTDMA}$ ) and chemical composition of (a) 50 nm and (b) 150 nm particle.**

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As mentioned above, oxidation parameters, such as  $f_{44}$  and O/C ratio, are appropriate to use for indicating organic hygroscopicity and thereby several estimation methods using them have been proposed (e.g., Chang et al., 2010; Cerully et al., 2015; Kim et al., 2017; Mei et al., 2013; Hong et al., 2018). Notably, the estimation method using  $f_{44}$  (bulk data) produces a good correlation between

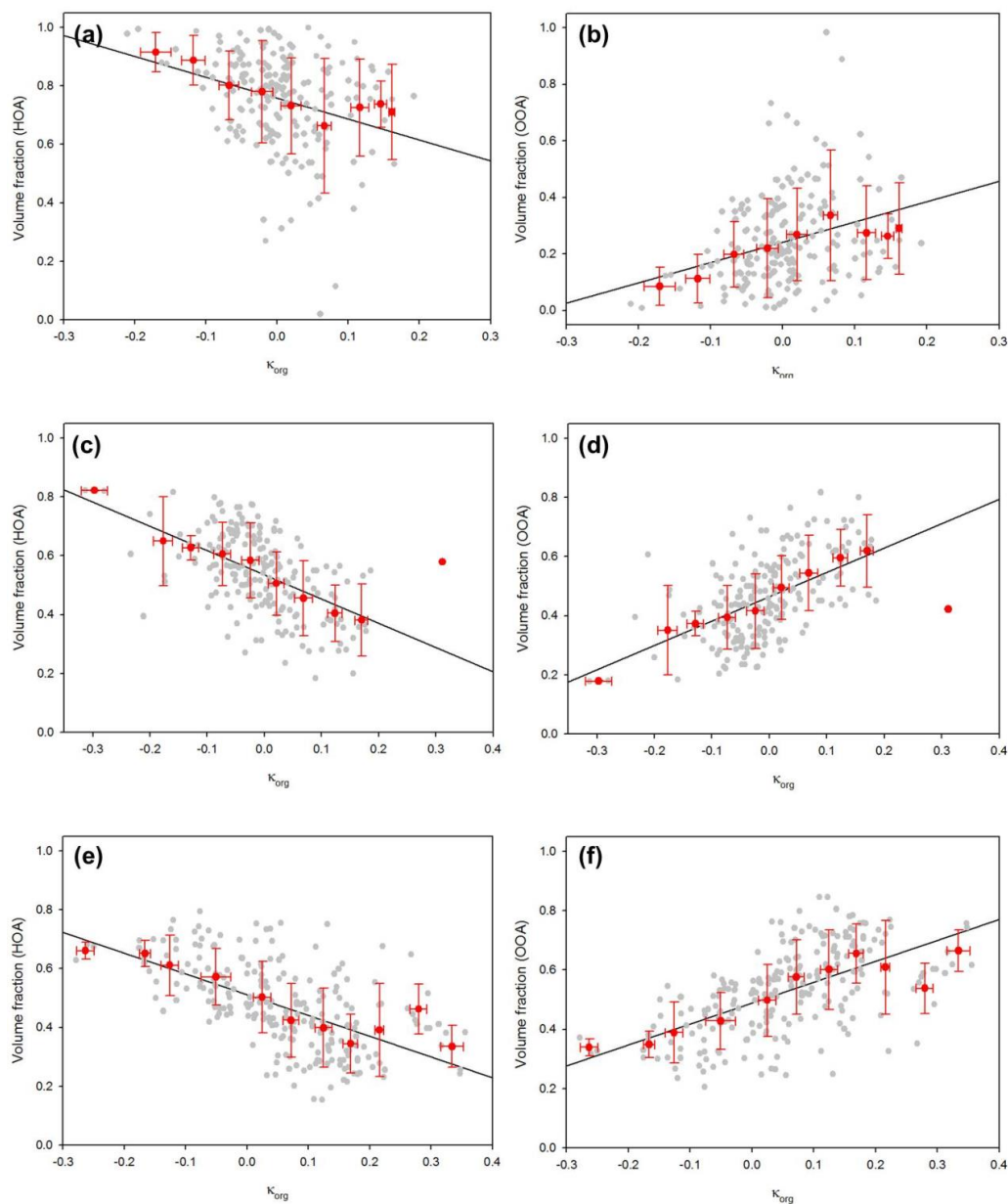


measured and estimated  $\kappa$  as shown in Fig. 3. The increase in  $f_{44}$  value is known to be the result of  
370 photochemical oxidation. The size-dependent  $\kappa$  is also reflected in the degree of oxidation, as can be seen  
from the increase in size-resolved  $f_{44}$  with increasing particle diameter (Fig.8a). The positive  
relationship between size-resolved  $f_{44}$  and  $\kappa$  values for 50 nm particles (Fig.8b) also explains that the  
oxidation of organics affects the hygroscopic properties of particles. It is noted that data that the volume  
fraction of organics is larger than 0.7 were only used to exclude the effect of inorganics. Figure 9 presents  
375 scatterplots between  $\kappa_{org}$  (30, 50, and 150 nm) and volume fraction of HOA and OOA among organics.  
 $\kappa_{org}$  is calculated by subtracting inorganic part from  $\kappa_{HTDMA}$ . As expected, the volume fraction of HOA  
was negatively correlated with  $\kappa$  values, whereas that of OOA was positively correlated with  $\kappa$  values for  
all sizes of particles. These results demonstrate that the specification of size-resolved organic factor is an  
indispensable part of describing the relationship between size-resolved hygroscopicity and chemical  
380 composition of aerosols.



**Figure 8. (a) Size-resolved  $f_{44}$  and (b) relationship between  $f_{44}$  and  $\kappa$  values ( $\kappa_{HTDMA}$ ) for 50 nm particles** (The  $f_{44}$  values are only used when organic volume fraction is higher than 0.7). Red dots and bars indicate average and standard deviations for each of the 0.01 interval bins.

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**Figure 9** Scatterplot of  $\kappa_{org}$  vs. volume fraction of HOA (left column) and OOA (right column) among organics for 50 nm ((a) and (b)), 100 nm ((c) and (d)) and 150 nm ((e) and (f)) dry diameters.  $\kappa_{org}$  is calculated by equation as follows:  $\kappa_{org} = (\kappa_{HTMDA} - \epsilon_{inorg}\kappa_{inorg})/\epsilon_{org}$ .



#### 4.4 Relevance to mixing state

HTDMA measurement data can provide information on the mixing state of atmospheric particles, i.e., external or internal mixing. We can also infer the extent of chemical mixing of particles from this information (Swietlicki et al., 2008). External mixing was prevalently observed in Seoul during the  
395 MAPS-Seoul (2015), and the KORUS-AQ (2016) campaigns (Kim et al., 2017;2018a) like in other urban regions (Enroth et al., 2018; Wang et al., 2010; Hong et al., 2018). Also found was that the mixing state had a distinct diurnal pattern. Kim et al. (2017) suggested an aerosol type classification based on the GF values and the mixing state information taken from the HTDMA GF distribution data; Type 1 (externally mixed aerosols: less and more hygroscopic particles are externally mixed), Type 2 (internally mixed  
400 aerosols with  $GF > 1.1$ : all particles are more hygroscopic), and Type 3 (internally mixed aerosols with  $GF < 1.1$ : all particles are less hygroscopic). For externally mixed particles (Type 1), the GF distributions were mostly bimodal but trimodal or higher modal distributions were only occasionally observed. So, it is safe to assume that externally mixed particles are bimodal. Then the first peak (denoted as Peak 1) in the GF distribution is defined as less hygroscopic (LH) mode that usually had GF value lower than 1.1,  
405 and the second peak (denoted as Peak 2) is defined as more hygroscopic (MH) mode that has GF value larger than 1.1.

**Table 1.** The area ratio, GF value and  $\kappa$  value for less hygroscopic (LH) mode and more hygroscopic (MH) mode for four dry diameters for all three types of aerosols

	LH mode area ratio	MH mode area ratio	GF (LH mode)	$\kappa$ (LH mode)	GF (MH mode)	$\kappa$ (MH mode)
<b>30 nm</b>	0.61	0.39	1.07	0.05	1.26	0.25
<b>50 nm</b>	0.69	0.31	1.04	0.02	1.28	0.22
<b>100 nm</b>	0.35	0.65	1.01	0.01	1.34	0.25
<b>150 nm</b>	0.22	0.78	1.02	0.01	1.43	0.31



410 **Table 2.** The area ratio, GF value and  $\kappa$  value for less hygroscopic (LH) mode and more hygroscopic (MH) mode for four dry diameters for only Type 1 (externally mixed aerosol) aerosol.

	LH mode area ratio	MH mode area ratio	GF (LH mode)	$\kappa$ (LH mode)	GF (MH mode)	$\kappa$ (MH mode)
30 nm	0.60	0.40	1.04	0.03	1.34	0.37
50 nm	0.57	0.43	1.01	0.01	1.32	0.25
100 nm	0.42	0.58	1.01	0.01	1.36	0.26
150 nm	0.28	0.72	1.02	0.01	1.45	0.32

Table 1 presents the area ratio, GF, and  $\kappa$  value of LH and MH modes for the four different dry diameters. The area ratio of each mode is directly related to the number fraction of each mode as the area of each mode is calculated by integrating the GF distribution,  $dN/d\log(GF)$ , for each mode. The results in Table 1 contain all three types of aerosols. LH mode includes Peak 1 of Type 1 aerosols and all Type 3 aerosols. MH mode includes Peak 2 of Type 1 aerosols and all Type 2 aerosols. The area ratio of LH mode is substantially high for small particles compared to MH mode, and the area ratio of MH mode becomes larger as particle size increases. It is directly connected to the size-dependency of  $\kappa$ . The GF value of MH mode increases as particle size increases. Table 2 shows only the Type 1 aerosols, the externally mixed aerosols, for comparison. An increasing trend of MH mode area ratio and GF value with increasing diameter is similar to the results in Table 1. However, GF values of MH mode particles are slightly higher than those in Table 1, especially for smaller diameters (30 nm, 50 nm). It can be explained by the fact that Type 2 aerosols usually had lower GF values than the MH mode aerosol of Type 1 aerosols. During the campaign, the number fraction of Type 2 aerosols was the highest in the afternoon, whereas that of Type 3 aerosols was the lowest at that time for all diameters (Kim et al., 2018a). Moreover, a bimodal GF distribution, implying Type 1, in the morning mostly changed to unimodal in the afternoon. It can be inferred that less hygroscopic particles gained hygroscopicity due to quick coating by secondary hygroscopic species and LH mode disappeared as the day went on. Kim et al. (2018b) suggested from the

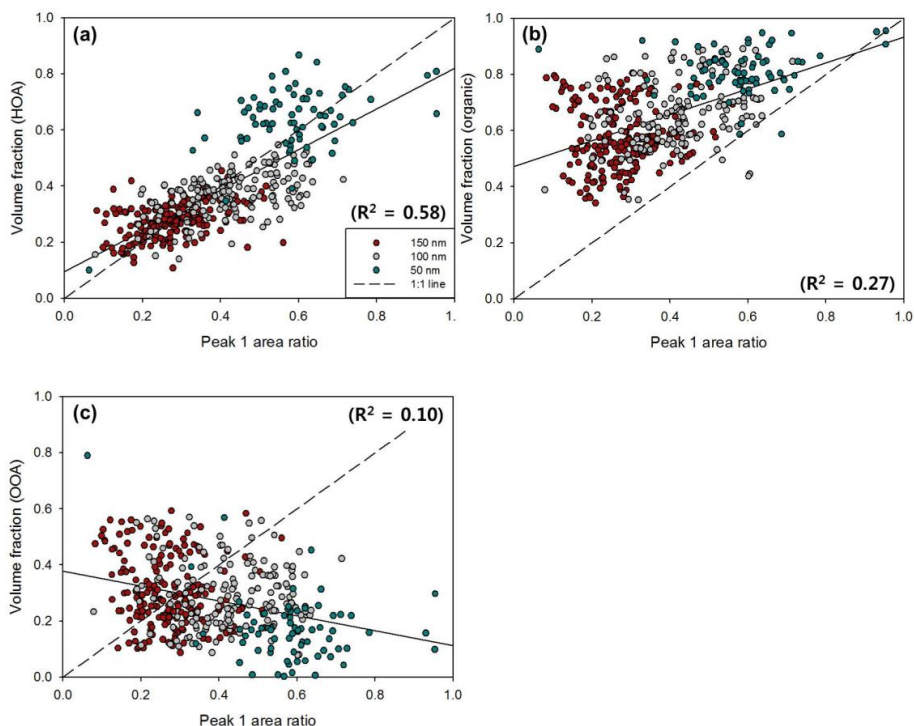




430 strong correlation between OOA vs.  $O_x$  that the photochemical reaction occurred actively in the afternoon during the campaign period. Although the GF value of hydrophobic particles increased by coating and GF distribution changed from bimodal to unimodal, the GF values of coated particles (Type 2) were still slightly lower than that of the existing hygroscopic particles, MH mode of Type 1 aerosols.

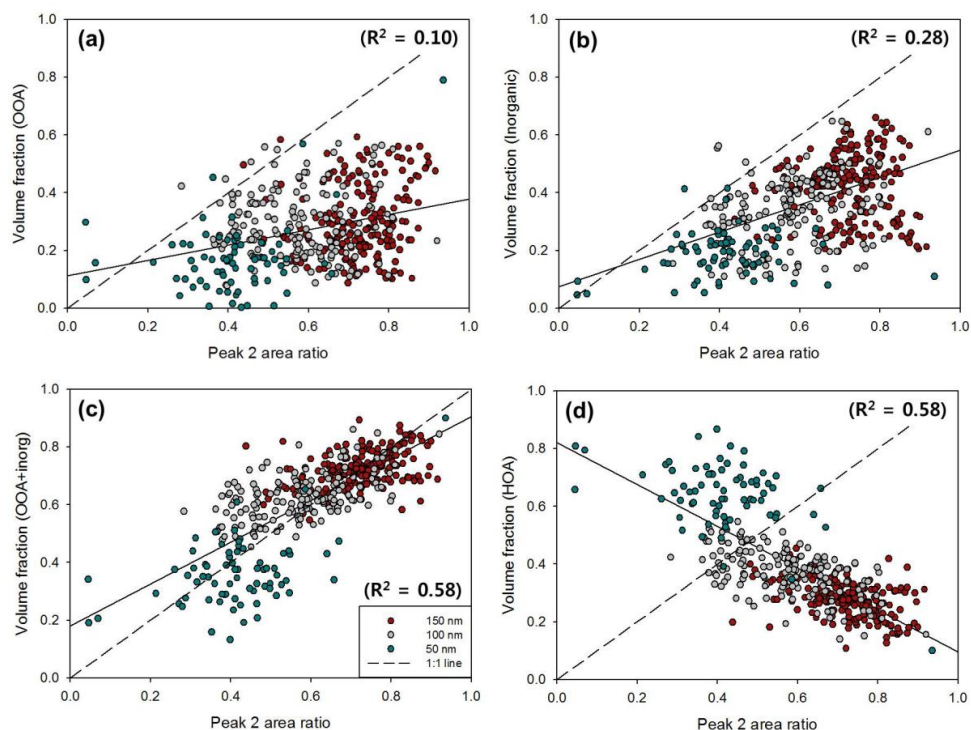
For NCCN prediction, several studies have considered the mixing state of aerosols with chemical species data (e.g., Bhattu et al., 2015; Ervens et al., 2010; Ren et al., 2018; Wang et al., 2010). For externally mixed aerosols, chemical species can be divided into two modes, LH and MH mode, based on their hygroscopic properties. In general, BC and organics (or only HOA) are classified into LH mode, whereas inorganics and/or OOA are classified into MH mode in externally mixed aerosols. In this study, we identify and quantify chemical species of each mode for externally mixed aerosols with GF distribution data and size-resolved chemical data. Figure 10 shows the scatterplot of the Peak 1 (LH mode) area ratio vs. the volume fraction of each chemical species for different diameters. As mentioned above, the area ratio of each mode in the GF distribution represents the number fraction of particles in each mode and thereby can be compared directly with the volume fraction of each chemical species for a diameter. Note that only the observed externally mixed aerosols (Type 1) are used for comparison. The volume fraction of HOA is positively correlated with Peak 1 area ratio (Fig.10a) when all sizes are combined but not for each diameter. The slope between them and the coefficient of determination ( $R^2$ ) were 0.73 and 0.58, respectively. In other words, the HOA volume fraction can explain about 58% of the variation of number fraction for LH mode in externally mixed aerosols. We can infer that the unexplained part can be complemented by BC, which is known to be hydrophobic. Unfortunately, size-resolved BC is not available in this study. The results in Fig. 10b and 10c also support this speculation. The volume fraction of all organics, including both HOA and OOA (Fig. 10b), is much higher than the number fraction of LH mode. Furthermore, negative and even weak correlation was shown between the volume fraction of the OOA and Peak 1 area ratio (Fig. 10c).

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455 **Figure 10. Scatterplots between Peak 1 (LH mode) area ratio and volume fraction of (a) HOA, (b)**  
organics (HOA+OOA) and **(c) OOA** for 50 nm (turquoise), 100 nm (grey) and 150 nm (red) particles.  
Dashed line and solid line present 1:1 line and linear regression line, respectively. The coefficient of  
determination ( $R^2$ ) of each scatterplot is indicated.

460 For Peak 2 (MH mode), OOA (Fig. 11a) and inorganic (Fig. 11b) did show a positive correlation  
but it was not strong enough to explain a significant portion of MH mode. The sum of OOA and inorganic  
volume fraction (Fig. 11c) does explain a significant portion of MH mode variation in externally mixed  
aerosols, whereas a negative correlation is clearly shown between the volume fraction of HOA and Peak  
2 area ratio (Fig. 11d). For individual diameters, correlations tended to be stronger for larger (100 nm and  
465 150 nm) than smaller (50 nm) diameters. It is related to the fact that there are high uncertainties of size-  
resolved chemical composition data for small diameters. Nevertheless, these results give meaningful  
implications on the close link between chemical composition and hygroscopic mode.



**Figure 11. Scatterplots between Peak 2 (MH mode) area ratio and volume fraction of (a) OOA, (b)**  
470 **inorganic, (c) OOA+inorganic, and (d) HOA for 50 nm (turquoise), 100 nm (grey) and 150 nm (red)**  
particles. Dashed line and solid line present 1:1 line and linear regression line, respectively. The  
coefficient of determination ( $R^2$ ) of each scatterplot is indicated.

Specifically, the volume fraction of HOA (and BC) can explain a major portion of the number  
475 fraction of LH mode particles, whereas the volume fraction of the sum of OOA and inorganics can explain  
a mjnor portion of the number fraction of MH mode particles. During the campaign, externally mixed  
aerosols (Type 1) were observed about 50% of the total period, meaning that LH mode particles (i.e.,  
HOA) and MH mode particles (i.e., inorganics and OOA) were externally mixed in the atmosphere half  
of the time during the campaign.

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## 5. Summary and Conclusions



This study investigated the chemical effects on size-resolved hygroscopicity of urban aerosols based on the KORUS-AQ field campaign data. Mainly, the information of size-resolved hygroscopicity and mixing state of aerosols for four dry diameters (30, 50, 100 and, 150 nm) was obtained by HTDMA. During the campaign period, averaged mass concentration of PM1 aerosols was  $19.1 \mu\text{g m}^{-3}$ , and observed anions were fully neutralized by  $\text{NH}_4^+$ . On average, organics occupied more than 40% of the mass concentration for non-refractory aerosols. Among three organic factors (HOA, SV-OOA, and LV-OOA) analyzed by PMF analysis, OOA accounted for 66.4%. Substantial differences of aerosol chemical composition were shown in the two specific periods, organic dominant period (Period A) and inorganic dominant period (Period B), and these differences affected aerosol hygroscopicity of each period. The averaged  $\kappa$  values ranged from 0.11 to 0.24, and as in other urban regions  $\kappa$  clearly showed size-dependency. Estimated  $\kappa$  values calculated with bulk chemical composition data and oxidation parameters,  $f_{44}$  and O/C ( $\kappa_{chem}$ ), showed good correspondence with measured  $\kappa$  values ( $\kappa_{HTDMA}$ ) for 150 nm particles. It implies that chemical composition is closely associated with aerosol hygroscopicity, and such oxidation parameters are suitable for representing the hygroscopicity of organic aerosols. However, for small particles such good relationship was not shown between  $\kappa_{HTDMA}$  and  $\kappa_{chem}$  due to the fact that bulk chemistry might have been determined mainly by larger particles that might not have the same chemical composition of small particles.

These results emphasize the importance of size-resolved chemical composition data for examining the relationship between chemical composition and aerosol hygroscopicity, especially for small particles. Furthermore, the size-resolved organic factor information is essential as organic particles are mostly small. The m/z tracer method is applied in this study to obtain size-resolved organic factors. m/z 57 and m/z 44 are used as AMS spectral markers for HOA and OOA, respectively. According to the campaign averaged size-resolved volume fraction, the volume fraction of inorganics, which is known to be hygroscopic, increases as particle size increases. For organics, a decrease of HOA and an increase of OOA are shown as particle size increases, which support the size-dependency of aerosol hygroscopicity. Particularly, the



size-resolved organic factor can give a detailed explanation of the diurnal variation of  $\kappa$  for small particles. Low  $\kappa$  in the morning is associated with the large volume fraction of HOA, whereas high  $\kappa$  in the afternoon is related to the large volume fraction of OOA. Scatterplots of volume fraction of organic factors vs.  $\kappa$  values clearly illustrate that chemical composition is closely associated with hygroscopic properties of aerosols, not only for large particles but also for small particles.

Lastly, the characteristics of the mixing state of aerosols were investigated in association with size-resolved chemical composition data. Externally mixed aerosols were observed about 50% of the time during the campaign period, especially for large particles. Importantly, the number fraction and GF value of MH mode increased as particle size increased. The relationship between the number fraction of each hygroscopicity mode and volume fraction of different chemical composition is analyzed. For example, the HOA volume fraction explained about 60% of the variation of LH mode number fraction for externally mixed aerosols. It can be inferred that the volume fraction of BC can explain the rest. On the other hand, the chemical composition of MH mode can be explained by the sum of inorganics and OOA. Such relationship between chemical composition and mixing state of atmospheric particles can be of crucial use in accurate  $N_{CCN}$  prediction.

It can be concluded that size-resolved chemical composition data did provide more detailed and essential information than bulk data, which are highly needed when examining the relationship between chemical composition and hygroscopic properties of aerosols as well as the mixing state. Specified organic factors were found to be critically important mainly in estimating the hygroscopicity of small particles as organics occupied a significant portion of these particles. Although the two OA factors, HOA and OOA, can represent the total organic mass concentration and can also explain the variability of  $\kappa$  reasonably well, more detailed analysis can be made when more spectral tracers are added to derive subdivided organic factors. The results presented here were obtained during spring/summer season. It would be very informative to make the observation during other seasons to find seasonal variability, especially



during winter season when aerosol properties and meteorological conditions would be so much different from spring/summer. Our future work includes such endeavor.

*Data availability.* KORUS-AQ data are available via <https://espo.nasa.gov/korus-aq/content/KORUS-AQ>  
535 (doi: 10.5067/Suborbital/KORUSAQ/DATA01).

*Author contribution.* NK carried out the observation, analyzed the data and wrote the manuscript. SSY acquired funding for the study, contributed to the analysis of the data and edited the manuscript. MP contributed to carrying out the observation and analyzing the data. JSP, HJS, JYA provided HR-ToF-AMS  
540 data. All authors discussed the results, read and commented on the manuscript.

*Competing interests.* The authors declare no competing interests.

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