



# 1 **Hygroscopic behavior of aerosols generated from solutions of 3-methyl-1,2,3-butanetricarboxylic** 2 **acid, its sodium salts, and its mixtures with NaCl**

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4 Li Wu<sup>1</sup>, Clara Becote<sup>2,3,4</sup>, Sophie Sobanska<sup>2</sup>, Pierre-Marie Flaud<sup>3,4</sup>, Emilie Perraudin<sup>3,4</sup>, Eric Villenave<sup>3,4</sup>,  
5 Young-Chul Song<sup>1</sup>, Chul-Un Ro<sup>1\*</sup>

6 <sup>1</sup>Department of Chemistry, Inha University, Incheon, South Korea

7 <sup>2</sup>Institut des Sciences Moléculaires, UMR CNRS 5255, University of Bordeaux, Talence, France

8 <sup>3</sup>University of Bordeaux, EPOC, UMR 5805, 33405 Talence cedex, France

9 <sup>4</sup>CNRS, EPOC, UMR 5805, 33405 Talence cedex, France

10

## 11 **Abstract**

12 Secondary organic aerosols (SOAs), which are formed and transformed through complex  
13 physicochemical processes in the atmosphere, have attracted considerable attention over the past decades  
14 because of their impacts on both climate change and human health. Recently, 3-methyl-1,2,3-  
15 butanetricarboxylic acid (MBTCA), a low volatile, highly oxidized, secondary generation product of  
16 monoterpenes, is one of the most relevant tracer compounds for biogenic SOAs. Therefore, MBTCA was  
17 selected to understand its hygroscopic properties better. In addition, interactions between the organic acid  
18 and inorganic components have been reported, which may alter their hygroscopic properties mutually. In  
19 this study, laboratory-generated, micrometer-sized, pure MBTCA, mono-/di-/tri-sodium MBTCA salts,  
20 and MBTCA-NaCl mixture aerosol particles of four mixing ratios (molar ratios = 1:1, 1:2, 1:3, and 2:1)  
21 were examined systematically to observe their hygroscopic behavior by varying the relative humidity  
22 (RH) from RH = ~95% to ~1% through a dehydration process, followed by a humidification process from  
23 RH = ~1% to ~95%, using in-situ Raman microspectrometry (RMS) assembled with a see-through  
24 impactor where the particles were deposited on a Si wafer. The hygroscopic behavior of pure MBTCA  
25 and MBTCA-NaCl mixture aerosol particles of three mixing ratios (molar ratios = 1:1, 1:2, and 1:3) were  
26 also examined using a levitation system mounted on in-situ RMS through a humidification process from

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\*Corresponding author. Tel.: +82 32 860 7676; Fax: +82 32 867 5604; E-mail: curo@inha.ac.kr



27 RH = ~10% to ~80% after a quenching process from droplets, followed by dehydration from RH = ~80%  
28 to ~10%. The pure MBTCA droplets effloresced at RH = ~30-57.8% and did not dissolve until RH >  
29 95%. The mono- and di-sodium MBTCA salt aerosols did not show clear efflorescence RH (ERH) and  
30 deliquescence RH (DRH). In contrast, the tri-sodium MBTCA salt exhibited ERH = ~44.4-46.8% and  
31 DRH = ~53.1%, during the hygroscopic experiment cycle. The mixture aerosols generated from solutions  
32 of MBTCA:NaCl = 1:1 and 2:1 showed no visible ERH and DRH in the see-through impactor because of  
33 the partial and total consumption of NaCl, respectively, through chemical reactions during the  
34 dehydration process. The mixture particles with a 1:1 molar ratio in the levitation system exhibited a clear  
35 DRH at ~71% and ERH at ~50%. This suggests less reaction between the mixtures and a larger portion  
36 of NaCl remaining in the levitation system. The other mixtures of MBTCA:NaCl = 1:2 and 1:3 displayed  
37 single-stage efflorescence and deliquescence at ERH = ~45-50% and DRH = ~74%, respectively, because  
38 of the considerable amount of NaCl present in the mixture aerosols in both systems. Observations and  
39 Raman analyses indicated that only monosodium MBTCA salt aerosols could be formed through a  
40 reaction between MBTCA and NaCl. The reaction occurred more rapidly with a more elevated  
41 concentration of either MBTCA or NaCl, and the controlling factor for the reactivity of the mixtures  
42 depended mostly on the availability of H<sup>+</sup> dissociated from the MBTCA tricarboxylic acid. The lower  
43 degree of reaction of the mixture particles in the levitation system might be caused by the relatively  
44 airtight circumstance inside, i.e., the less release of HCl. In addition, the quenching process, i.e., the  
45 starting point of the hygroscopicity experiments, induced the solidification of MBTCA, and further, a  
46 slow reaction between MBTCA and NaCl. The study revealed that the interactions between the MBTCA  
47 and NaCl could modify the properties of the organic acid in the atmosphere, leading to enhanced  
48 capability of the probable heterogeneous chemistry in the aqueous aerosols.

49

## 50 **1. Introduction**

51 Chemical processes, such as gas-phase oxidations of airborne biogenic and anthropogenic volatile  
52 organic compounds (VOCs) by ozone (O<sub>3</sub>), hydroxyl radical (OH), and nitrate radical (NO<sub>3</sub>), and their  
53 condensed-phase reactions with preexisting aerosols, can promote the formation of increasingly oxidized  
54 and less volatile secondary organic aerosols (SOAs). SOAs are a ubiquitous and dominant fraction of the



55 fine aerosol mass that exists as liquid, amorphous solid, semi-solid, and phase-separated aerosol particles  
56 (Jang et al., 2002; Hallquist et al., 2009; Jimenez et al., 2009; Virtanen et al., 2010; Koop et al., 2011;  
57 Bateman et al., 2015b; Shrivastava et al., 2015; Bernard et al., 2016; Pajunoja et al., 2016; Freedman,  
58 2017; Shrivastava et al., 2017; Kim et al., 2018; Srivastava et al., 2018; Liu et al., 2019; Slade et al., 2019;  
59 Song et al., 2019; Wu et al., 2019a). These aerosols are of critical importance because of their ability to  
60 scatter and absorb solar radiation directly, to affect the number of CCN (cloud condensation nuclei)  
61 through the formation of new particles and the growth of preexisting particles, and further impact the  
62 climate and human health (Haywood and Boucher, 2000; Topping et al., 2013; Poschl and Shiraiwa, 2015;  
63 Reid et al., 2018; Marsh et al., 2019). SOAs are highly dynamic, multiphase chemical systems with a  
64 range of volatility and solubility and model simulations have claimed that the phase state of SOAs differs  
65 according to the global locations and altitudes with an evolving relative humidity (RH), temperature, and  
66 particle composition (Kroll and Seinfeld, 2008; Shiraiwa et al., 2017).

67 Oxidative products of biogenic VOCs, such as monoterpenes (e.g.,  $\alpha$ - and  $\beta$ -pinene), act as a dominant  
68 source of SOAs as they have high emission rates on a global scale and give considerable SOA yields, and  
69 they play a central role in new particle formation (Guenther et al., 1995; Lignell et al., 2013; Mutzel et  
70 al., 2016; Holopainen et al., 2017). Carboxylic acid-containing organic compounds comprise a large  
71 fraction of SOAs in the Northern Hemisphere (Yatavelli et al., 2015). An extremely low-volatile  
72 tricarboxylic acid, 3-methyl-1,2,3-butanetricarboxylic acid (MBTCA,  $C_8H_{12}O_6$ ), has become one of the  
73 most relevant tracer compound for terpene SOAs (Jaoui et al., 2005; Szmigielski et al., 2007; Zhang et  
74 al., 2010; Donahue et al., 2012; Müller et al., 2012; Lai et al., 2015; Sato et al., 2016). In addition, it is  
75 also a few well-known compounds with a high O:C ratio that is formed in the oxidation of VOCs (Dunne  
76 et al., 2016). MBTCA is a second or later generation reaction product from monoterpenes by the OH-  
77 initiated oxidation of pinonic acid (PA) in the gaseous and aqueous phases and even at the air-water  
78 interface (Müller et al., 2012; Praplan et al., 2012; Aljawhary et al., 2016; Enami and Sakamoto, 2016).  
79 The MBTCA concentrations were found to be positively correlated with temperature because of the  
80 enhanced photochemical production of PA by OH radicals with increasing temperature (Hu et al., 2008;  
81 Zhang et al., 2010; Gómez-González et al., 2012; Miyazaki et al., 2012). A further reaction between  
82 MBTCA and OH radicals can result in  $CO_2$  loss (Kostenidou et al., 2018). MBTCA can also accelerate



83 the new particle formation by effectively stabilizing initial molecular clusters with or without sulfuric  
84 acid (Donahue et al., 2013; Elm, 2019). MBTCA was first observed at the Amazon basin and in summer  
85 aerosols from Ghent, Belgium (Kubátová et al., 2000; Kubátová et al., 2002). The compound was later  
86 found in the USA (Jaoui et al., 2005), Europe (Fu et al., 2009; Kourtchev et al., 2009; Zhang et al., 2010;  
87 Yasmeeen et al., 2011; Gómez-González et al., 2012; Vogel et al., 2013; Kammer et al., 2018; Vlachou et  
88 al., 2019), Japan (Miyazaki et al., 2012), the polar regions (Hu et al., 2013), China (Hu et al., 2008; Ding  
89 et al., 2012; Li et al., 2013; Fu et al., 2014; Kang et al., 2018; Hong et al., 2019), and Australia (Cui et al.,  
90 2019). In addition, it has been observed in forest, marine, mountainous, urban, and rural aerosols, with its  
91 levels ranging from 0.03 to 100 ng/m<sup>3</sup>, and the level was generally higher in the fine particle fraction than  
92 in the coarse fraction (Zhang et al., 2010).

93 The ability of the aerosol particles to uptake water in the air is dependent on one of the most important  
94 physicochemical properties, i.e., the hygroscopicity (Jimenez et al., 2009; Chu et al., 2014; Tang et al.,  
95 2019). Hygroscopicity can help better understand the (i) aerodynamic properties, (ii) cloud-droplet  
96 nucleation efficiency, (iii) optical properties, and (iv) physicochemical changes through complicated  
97 heterogeneous chemical reactions of aerosol particles with various atmospheric gas-phase species.  
98 MBTCA was predicted to partition significantly into aerosol-liquid-water (ALW) (Aljawhary et al.,  
99 2016). Therefore, a study on the hygroscopic behavior of MBTCA is important for understanding its  
100 phase states better when it interacts with water vapor at different RHs as well as its impacts on the  
101 heterogeneous chemical reactions, atmospheric environment, and human health (Parsons et al., 2004;  
102 Mikhailov et al., 2009; Bateman et al., 2015a; Freedman, 2017; Slade et al., 2019). Atmospheric particles  
103 typically involve complex internal mixtures of organic and inorganic compounds (Shrivastava et al.,  
104 2017; Karadima et al., 2019). The interactions between organic and inorganic compounds may alter the  
105 chemical compositions of SOAs, which in turn affect their physicochemical properties, such as  
106 hygroscopicity (Rudich et al., 2007; Wu et al., 2011; Wang et al., 2015; Jing et al., 2016; Wang et al.,  
107 2018). Dicarboxylic acids (DCAs) can undergo reactions with inorganics, such as NaCl, resulting in Cl  
108 depletion and HCl liberation (Ma et al., 2013; Li et al., 2017). On the other hand, the interactions between  
109 tricarboxylic acids and inorganics have never been investigated.



110 In this study, in situ Raman microspectrometry (RMS) was used to examine the hygroscopic behavior,  
111 evolution of the chemical composition, phase states, and microstructures, and chemical reactivity of  
112 laboratory-generated, micrometer-sized aerosols generated from a pure MBTCA solution, mono-/di-/tri-  
113 sodium MBTCA salt solutions, and MBTCA-NaCl mixture solutions. RMS was assembled with either a  
114 see-through impactor, where the particles were deposited on a Si wafer, or a levitation system. The  
115 particles on the Si wafer were exposed to a hygroscopic measurement cycle, where they experienced a  
116 dehydration process first (by decreasing RH from ~95 to ~1%), followed by a humidification process (by  
117 increasing RH from ~1 to ~95%). The particles in the levitation system experienced a humidification  
118 process first (by increasing the RH from ~10 to ~80%) after quenching from droplets, followed by a  
119 dehydration process (by decreasing RH from ~80 to ~10%). NaCl, one of the major components of marine  
120 aerosols, was selected as the inorganic component as it was previously reported that organic acids  
121 contributed significantly to Cl depletion through a reaction with NaCl (Laskin et al., 2012). In situ Raman  
122 analysis could clearly identify MBTCA and its sodium salts during the hygroscopicity measurement  
123 despite NaCl being Raman inactive. To the best of the authors' knowledge, this is the first study on the  
124 hygroscopic behavior and chemical reactivity of MBTCA and its sodium salts thus far. The results are  
125 expected to promote more precise thermodynamic models (Clegg et al., 2003). The phase transitions were  
126 observed by monitoring the size changes together with the Raman spectra evolutions of the aerosol  
127 particles as a function of the RH. RMS can provide the aerosol compositions, water contents, molecular  
128 interactions, and particle-phase states sensitively. Such data can help understand the hygroscopic behavior  
129 of complex aerosol particles better (Lee et al., 2008; Li et al., 2017; Wang et al., 2017). The molecular  
130 characterization of organic aerosols can provide better insights into the potential mechanisms of SOA  
131 formation and transformation (or aging) (Hallquist et al., 2009). Scanning electron microscopy  
132 (SEM)/energy-dispersive X-ray spectroscopy (EDX) mapping was used to examine the elemental  
133 composition distribution in effloresced particles.

134

## 135 **2. Experimental Section**

### 136 **2.1 Sample preparation**



137 Pure 0.3 M solutions of NaCl (>99.9% purity, Sigma-Aldrich) and MBTCA (98%, Toronto Research  
138 Chemicals, TCR) were prepared. The mixture solutions of MBTCA and NaCl were prepared with molar  
139 mixing ratios of MBTCA:NaCl = 1:1, 1:2, 1:3, and 2:1. Mono-/di-/tri-sodium MBTCA salt solutions were  
140 obtained by mixing MBTCA and NaOH (>99.9% purity, Sigma-Aldrich) with molar ratios of  
141 MBTCA:NaOH = 1:1, 1:2, and 1:3, respectively. A mixture solution of MBTCA and monosodium  
142 MBTCA salt with a molar mixing ratio of 1:1 was prepared as well. Aerosol particles were generated by  
143 nebulizing the solutions using a single jet atomizer (HCT4810) on the Si wafer substrates (MTI  
144 Corporation, 99.999% purity). The size of the droplets examined at RH > 90% ranged from 1 to 15  $\mu\text{m}$ .  
145

## 146 **2.2 In situ Raman microspectrometry (RMS) for particles deposited on a Si wafer**

147 During the hygroscopic measurements, in situ RMS was performed under a controlled RH to observe  
148 the hygroscopic behavior, structural changes, and chemical compositional variations of the aerosols  
149 generated from the solutions. The apparatus consisted of three parts: (A) see-through impactor, (B) Raman  
150 microscope/spectrometer, and (C) humidity-controlling system. The Si wafer substrate was mounted on  
151 the impaction plate in the see-through impactor. A more detailed discussion of the impactor and humidity-  
152 controlling system can be found elsewhere (Gupta et al., 2015). Briefly, the RH inside the impactor was  
153 controlled by mixing dry and wet (saturated with water vapor)  $\text{N}_2$  gases. The flow rates of total  $4 \text{ L} \cdot \text{min}^{-1}$   
154 of the dry and wet  $\text{N}_2$  gases were controlled by two mass flow controllers to obtain the desired RH in  
155 the range of  $\sim 1$ –95%, which was monitored using a digital hygrometer (Testo 645). The digital  
156 hygrometer was calibrated using a dew-point hygrometer (M2 Plus-RH, GE) to provide RH readings with  
157  $\pm 0.5\%$  reproducibility. The Raman spectra and optical images of the aerosol particles were recorded by  
158 Labspec6 using a confocal Raman microspectrometer (XploRA, Horiba Jobin Yvon) equipped with a  $\times 50$ ,  
159 0.5 numerical aperture objective (Olympus). An excitation laser with a wavelength of 532 nm and 6 mW  
160 power was used, and the scattered Raman signals were detected at specific RHs during the hygroscopic  
161 measurements using an air-cooled multichannel charge-coupled device (CCD) detector. The data  
162 acquisition time for each measurement was 120 s. The spectral resolution was  $1.8 \text{ cm}^{-1}$  using 1800 gr/mm.  
163 The optical images were recorded continuously in RH = 1% steps with a size of  $904 \times 690$  pixels during  
164 the first dehydration (by decreasing RH from  $\sim 95$  to  $\sim 1\%$ ), followed by the humidification (by increasing



165 RH from ~1 to ~95%) experiments using a top video camera assembled in the Raman instrument and  
166 processed using an image analysis software (Matrox, Inspector v9.0). The changes in particle size with  
167 the RH were monitored by measuring the particle 2-D area in the optical images to generate hygroscopic  
168 curves. These curves are represented by the area ratio ( $A/A_0$ ) as a function of RH, where the 2-D projected  
169 aerosol area at a given RH ( $A$ ) is divided by that at the end of the dehydration process ( $A_0$ ) (Ahn et al.,  
170 2010). All hygroscopic experiments were conducted at room temperature ( $T = 22 \pm 1^\circ\text{C}$ ). Aerosol particles  
171 generated from a pure NaCl aqueous solution to check the accuracy of the system showed typical  
172 hysteresis curves with deliquescence RH (DRH) =  $75.5(\pm 0.5)\%$  and efflorescence RH (ERH) =  $46.3\text{--}$   
173  $47.6\%$ , which are consistent with the theoretical and reported values.

174

### 175 **2.3 SEM/EDX X-ray mapping of effloresced particles deposited on Si wafer**

176 SEM/EDX X-ray mapping was performed for effloresced particles to determine the morphology and  
177 spatial distribution of the chemical elements after the hygroscopicity measurements of individual particles  
178 (Ahn et al., 2010; Gupta et al., 2015). The measurements were carried out using a Jeol JSM-6390 SEM  
179 equipped with an Oxford Link SATW ultrathin window EDX detector. The resolution of the detector was  
180 133 eV for Mn  $K\alpha$  X-rays. The X-ray spectra and elemental X-ray maps were recorded under the control  
181 of Oxford INCA Energy software. A 10 kV accelerating voltage and 0.7 nA beam current were used, and  
182 the typical measuring time for the elemental mapping was five minutes. An elemental quantification  
183 procedure, which is well described elsewhere (Wu et al., 2019a), was used for obtaining the elemental  
184 concentration.

185

### 186 **2.4 In situ RMS assembled with levitation system**

187 The levitation experimental set up consisted of coupling an acoustic (ultrasonic) levitator equipped  
188 with an environmental cell to an RMS, as shown in Fig. S1. The theory of acoustic levitation is described  
189 in detail elsewhere (Seaver et al., 1989). An ultrasonic levitator was modified (APOS BA 10, Tec5,  
190 Germany) to be installed within an environmental levitation cell consisting of two quartz windows,  
191 allowing the particle analysis (Seng et al., 2018). Two inlet/outlet valves were used for gas supplies to  
192 modify the relative humidity (RH) inside the cell. A sensor (SHT75 Sensirion) was placed into the cell to

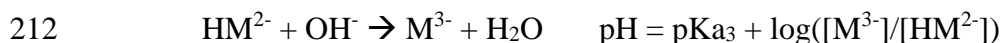
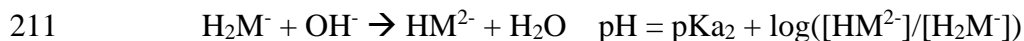
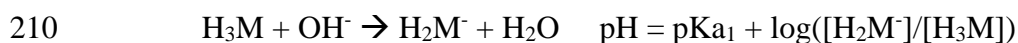


193 control the RH and temperature. The RH inside the chamber was controlled by mixing dry and wet Ar  
194 gases with a flow rate of  $200 \text{ mL}\cdot\text{min}^{-1}$  in the range of 10-80% ( $\pm 1\%$ ) RH, and the temperature was  $T =$   
195  $25\pm 3^\circ\text{C}$ , making the experiments close to static flow conditions. The control of humidity and temperature  
196 allows limited droplet evaporation and long-term monitoring of the particles. The RMS measurements  
197 were performed with a LabRAM HR evolution confocal spectrometer (Horiba Scientific, S.A) at certain  
198 RHs first during humidification and then during dehydration. The instrument was equipped with an  $\times 50,$   
199  $0.45$  numerical aperture Olympus objective ( $WD = 13.8 \text{ mm}$ ) and a He-Ne laser ( $\lambda = 632.8 \text{ nm} - 6 \text{ mW}$ )  
200 with a theoretical lateral resolution of  $\sim 2 \mu\text{m}$ , and a depth of the laser focus corresponding to  $16 \mu\text{m}$  with  
201 a  $\Delta z$  limit  $\geq \pm 3 \mu\text{m}$ . The cell was mounted on an XYZ stage under the objective, allowing an adjustment  
202 of the droplet in the optimal position for the measurements. The mean size of the initial droplet injected  
203 in the levitator was  $80 \mu\text{m}$ . The Raman spectra and optical images recorded at specific RHs were analyzed  
204 similarly to those obtained on the Si wafer.

205

## 206 **2.5 Measurement of acid dissociation constants of MBTCA**

207 MBTCA is a tri-carboxylic acid with three acid dissociation constants. To determine the three  
208 constants, a  $0.02 \text{ M}$ ,  $5 \text{ ml}$  MBTCA solution was titrated with a  $0.1 \text{ M}$  NaOH solution, where the constants  
209 were determined based on the Henderson-Hasselbalch equations (Harris, 2012):



213 where  $\text{H}_3\text{M}$ ,  $\text{H}_2\text{M}^-$ ,  $\text{HM}^{2-}$ , and  $\text{M}^{3-}$  represent aqueous MBTCA, mono-, di-, and tri-sodium MBTCA anions,  
214 respectively. The  $\text{pK}_{a1}$ ,  $\text{pK}_{a2}$ , and  $\text{pK}_{a3}$  are the pHs when  $[\text{H}_3\text{M}]$ ,  $[\text{H}_2\text{M}^-]$ , and  $[\text{HM}^{2-}]$  equal  $[\text{H}_2\text{M}^-]$ ,  
215  $[\text{HM}^{2-}]$ , and  $[\text{M}^{3-}]$ , respectively, during the acid-base titration. Specifically, when NaOH was added at  $0.5,$   
216  $1.5,$  and  $2.5 \text{ ml}$ , the corresponding pHs of the solution are the three constants, which were  $3.59, 4.85,$  and  
217  $6.79$ . Fig. 1 shows the calculated titration curve of MBTCA using the three determined  $K_a$  values, which  
218 is the same as the experimentally obtained titration data, supporting the validity of the  $K_a$  values, which  
219 were not reported so far.

220





## 221 3. Results and Discussion

### 222 3.1 Hygroscopic behavior of pure MBTCA particles

223 Wet-deposited MBTCA aerosols exhibited three types of hygroscopic behavior. As shown in Fig. 2,  
224 during the dehydration process, the exemplar droplets of types 1 and 2 shrank continuously with  
225 decreasing RH due to water evaporation until RHs = 58.4% and 40%, and then effloresced promptly at  
226 RH = 57.8% and gradually at RH = 39 - 35%, respectively. The effloresced particles maintained their size  
227 and shape with further decreases in RH. Whereas, the type 3 aerosols decreased continuously in size  
228 without a distinct change from RH = 94% to RH = 3% during the dehydration process. During the  
229 humidification process, types 1 and 2 particles kept the same size and shape until RH = ~90%, while type  
230 3 particles experienced a phase change at RH = 36.7% and remained the same until RH = ~85%. Fig. 2  
231 also presents the corresponding optical images and in situ Raman spectra to assess the structural evolution  
232 of the MBTCA aerosols during the dehydration and humidification processes. Briefly, Raman peaks at  
233 ~1411 - 1420  $\text{cm}^{-1}$ , ~1460 and ~2950  $\text{cm}^{-1}$ , ~1660 - 1730  $\text{cm}^{-1}$ , and ~3420 - 3475  $\text{cm}^{-1}$  are for vibrations  
234 of C=O from  $\text{COO}^-$ , CH, C=O from COOH, and OH from water, respectively (Edsall, 1937; An et al.,  
235 2016). The redshift of the C=O peak (from COOH) from 1715 to 1660  $\text{cm}^{-1}$  with decreasing FWHH (full  
236 width at half height), which is consistent with the standard MBTCA crystal, and the irregular shape and  
237 rough surface of types 1 and 2 aerosols at RH = 57.8% and 35%, respectively, confirmed that the particles  
238 effloresced into a solid phase. The optical images in the inset above the hygroscopic curve of the type 2  
239 particles showed gradual efflorescence at RH = 39 - 35%. The water peak at ~3475  $\text{cm}^{-1}$  disappeared as  
240 well after the efflorescence. In contrast, type 3 aerosols maintained a circular morphology until RH = 3%,  
241 as shown in the optical images in Fig. 2, even though an overlapped C=O (from COOH) peak at 1660 -  
242 1680  $\text{cm}^{-1}$  appeared during the dehydration process, and the water peak became undetectable, as shown  
243 in the Raman spectra at RHs = 45% and 3%, suggesting an amorphous/solid-state and the presence of an  
244 activation barrier or diffusional resistance to homogeneous nucleation required for the crystallization of  
245 MBTCA droplets as efflorescence is a kinetically controlled process (Martin, 2000; Freedman, 2017).  
246 Previous studies reported that  $\alpha$ -pinene SOAs were very likely to exist as a highly viscous semisolid or  
247 even glassy state at low humidity (Saukko et al., 2012; Renbaum-Wolff et al., 2013; Berkemeier et al.,  
248 2014; Dette et al., 2014; Kidd et al., 2014; Song et al., 2016; Lessmeier et al., 2018). In addition, many



249 organic substances, such as carboxylic acids, carbohydrates, and proteins, tend to form amorphous rather  
250 than crystalline phases upon the drying of aqueous solution droplets (Mikhailov et al., 2009). The different  
251 behavior of the MBTCA particles can be attributed to different nucleation mechanisms, i.e., homogeneous  
252 and heterogeneous nucleation, for pure and impure (seed-containing) MBTCA particles, respectively. A  
253 similar situation was reported for  $\text{NH}_4\text{NO}_3$ ,  $\text{NaNO}_3$ , and  $\text{NH}_4\text{HSO}_4$  particles (Lightstone et al., 2000;  
254 Hoffman et al., 2004; Gibson et al., 2006; Kim et al., 2012; Jing et al., 2018; Sun et al., 2018; Wu et al.,  
255 2019b). The Si substrates used in this study could also facilitate efflorescence (Eom et al., 2014; Wang et  
256 al., 2017). During the humidification process, the Raman spectra and morphology remained unchanged  
257 for types 1 and 2 particles until  $\text{RH} = \sim 90\%$ , where a slight change in morphology was observed due to  
258 structural re-arrangements by the absorption of moisture on the lattice imperfections (Gysel et al., 2002).  
259 Type 3 particles during the humidification process became irregular in shape, and the overlapped C=O  
260 (from COOH) peak shifted to  $1660\text{ cm}^{-1}$  at  $\text{RH} = 36.7\%$ , as shown in the optical image and Raman  
261 spectrum, indicating the formation of solids. With the further increase in RH, particles maintained their  
262 size and shape until  $\text{RH} = 85\%$ , where they started to decrease in size due to a re-arrangement in structure.  
263 The efflorescence of laboratory-generated particles during the humidification process was reported  
264 previously in the  $\text{NaCl-MgCl}_2$  mixture system as the condensed water can help overcome the kinetic  
265 barrier, leading to crystallization (Gupta et al., 2015). All types of MBTCA particles maintained the  
266 crystal phase until  $\text{RH} = 95\%$ . Among 100 particles, type 1-3 particles accounted for approximately 25%,  
267 5%, and 70%, respectively. Based on the experimental results, MBTCA droplets have  $\text{DRH} > 95\%$  and  
268  $\text{ERH} = 30\text{--}58\%$ . This is the first study reporting the hygroscopic properties of MBTCA. A previous study  
269 showed that MBTCA was not hydrated significantly in the ambient atmosphere (Kildgaard et al., 2018),  
270 implying that the MBTCA solids stay in the air once they effloresced, based on our results.

271

### 272 **3.2 Hygroscopic behavior of mono-/di-/tri-sodium MBTCA salt aerosols**

273 The hygroscopicity and Raman spectra of mono-/di-/tri-sodium MBTCA salt aerosols (hereafter,  
274 denoted as  $\text{NaH}_2\text{M}$ ,  $\text{Na}_2\text{HM}$ , and  $\text{Na}_3\text{M}$ , respectively) were studied to examine the hygroscopic behavior  
275 and estimate the chemical reactivity of MBTCA with NaCl. Figs. 3(a)-(c) show the 2-D projected area  
276 ratio plot of aerosol particles generated from 0.3 M  $\text{NaH}_2\text{M}$ ,  $\text{Na}_2\text{HM}$ , and  $\text{Na}_3\text{M}$  aqueous solutions as a



277 function of the RH together with the corresponding optical images and Raman spectra recorded at specific  
278 RHs. As shown in Figs. 3(a) and (b),  $\text{NaH}_2\text{M}$  and  $\text{Na}_2\text{HM}$  aerosols shrank and grew continuously without  
279 a phase transition during the dehydration and humidification processes, respectively, which is also  
280 reflected in the optical images and Raman spectra, where they maintained their circular morphology only  
281 with a change in size and the same Raman peak patterns and positions with small variations in the relative  
282 peak intensities during the entire process. The water peak at  $\sim 3400\text{-}3500\text{ cm}^{-1}$  can still be observed at the  
283 end of the dehydration process. Even after being kept in a desiccator for two months,  $\text{NaH}_2\text{M}$  and  $\text{Na}_2\text{HM}$   
284 particles still showed the same shapes and Raman spectra with those at RHs = 3.4% and 2.8%,  
285 respectively. These results indicate the non-crystallizable properties and supersaturated amorphous phase  
286 state of the particles. The  $\text{Na}_3\text{M}$  particles behaved differently as they did not crystallize during the  
287 dehydration process. On the other hand, the aerosols exhibited efflorescence at RH = 46.8% during the  
288 humidification process (Fig. 3(c)), deliquesced to become a droplet at RH = 53.1%, and grew continuously  
289 after that with increasing RH. The Raman spectra of the  $\text{Na}_3\text{M}$  particles in Fig. 3(c) showed that the peak  
290 at  $1420\text{-}1460\text{ cm}^{-1}$  became two sharp peaks when the particles effloresced, and the OH peak at  $3400\text{ cm}^{-1}$   
291 indicates that  $\text{Na}_3\text{M}$  particles possibly exist in the hydrated form. The  $\text{Na}_3\text{M}$  particles behaved  
292 analogously to type 3 MBTCA particles, which might be due to their similar structures when all three  
293 COOH in MBTCA were replaced with COONa upon the reaction between MBTCA with NaOH. Based  
294 on the top Raman spectra of aqueous MBTCA,  $\text{NaH}_2\text{M}$ ,  $\text{Na}_2\text{HM}$ , and  $\text{Na}_3\text{M}$  aerosols in Figs. 2 and 3, the  
295 ratios of the CH peak at  $\sim 1460\text{ cm}^{-1}$  to the C=O peak at  $\sim 1720\text{ cm}^{-1}$  (from COOH) and to the C=O peak  
296 at  $\sim 1420\text{ cm}^{-1}$  (from  $\text{COO}^-$ ) increased and decreased in the order of MBTCA,  $\text{NaH}_2\text{M}$ ,  $\text{Na}_2\text{HM}$ , and  $\text{Na}_3\text{M}$   
297 because of their reduced and elevated levels of COOH and  $\text{COO}^-$ , respectively.

298

### 299 3.3 Hygroscopic behavior of MBTCA-NaCl mixture aerosols

300 Aerosols were generated by the nebulization of MBTCA-NaCl mixture solutions of molar mixing  
301 ratios of MBTCA:NaCl = 1:1, 1:2, 1:3, and 2:1 and deposited on Si wafer substrates, while maintaining  
302 the entire hygroscopic measurement system at RH > 90%. The hygroscopic behavior was investigated for  
303  $\sim 10$  individual aerosols of each mixing ratio, which are discussed in the following sections.

304



### 305 3.3.1 Aerosols generated from solutions of MBTCA:NaCl = 1:1 and 2:1

306 Fig. 4 presents the hygroscopic curves of representative aerosols nebulized from solutions of  
307 MBTCA:NaCl mixtures at different molar ratios (1:1 and 2:1) along with the corresponding optical  
308 images and Raman spectra at specific RHs. During the dehydration process, the circular liquid droplets  
309 decreased in size gradually without any noticeable phase change. The Raman peak patterns were  
310 maintained only with the C=O peak at  $1721\text{ cm}^{-1}$  (from COOH) shifting mildly rightwards, the water peak  
311 at  $3466\text{ cm}^{-1}$  becoming undetectable, and the relative peak intensities at  $\sim 1411$ ,  $1457$ , and  $1721\text{ cm}^{-1}$   
312 varied when the RH was as low as 1.2%, indicating that the liquid droplets formed amorphous solids. The  
313 peak at  $1680\text{ cm}^{-1}$  on the Raman spectra of MBTCA:NaCl = 2:1 at RH = 1.2% suggested that the  
314 amorphous structure of the remaining MBTCA had been retained. Both MBTCA and NaCl have their  
315 DRHs and ERHs. Therefore, a step-wise efflorescence would happen if it is assumed that the mixture  
316 aerosols are an MBTCA-NaCl binary system, i.e., a component of the aqueous droplets precipitates first  
317 at their specific ERHs depending on their mixing ratios, and the second crystallization from the remnant  
318 eutonic solution occurs at their mutual ERH (MERH) with further decreases in RH, which is independent  
319 of the mixing ratios, generally forming a heterogeneous, core-shell crystal structure owing to the two-  
320 stage crystallization process (Ge et al., 1996; Gupta et al., 2015). However, the particles of MBTCA:NaCl  
321 = 1:1 and 2:1 mixing ratios did not follow the step-wise transitions in the present study, revealing that the  
322 aerosols do not belong to the MBTCA-NaCl binary system and the chemical compositions evolved during  
323 the hygroscopic experiment due to the reaction between MBTCA and NaCl, which will be discussed later.

324 During the humidification process, aerosol particles of two mixing ratios grew continuously when the  
325 RH was increased from 1.2% to 90% with C=O peak (from COOH) shifting back to  $\sim 1721\text{ cm}^{-1}$  and the  
326 water peak becoming significant, as shown in Fig. 4. Several small crystal-like spots, which are marked  
327 by a dotted circle on the inset optical image beside the hygroscopic curve in Fig. 4(a), appeared in the  
328 particles with the mixing ratio of MBTCA:NaCl = 1:1 when the RH was increased to 67.2% and dissolved  
329 completely at RH = 71.2%. As the Raman spectra did not show any signals of the crystallized organics  
330 and RH = 71.2% is close to the DRH of pure NaCl ( $75(\pm 0.5)\%$ ), the crystal-like moieties should result  
331 from the effloresced NaCl. The more noticeable water peak in the Raman spectrum taken at RH = 71.2%  
332 than that at RH = 67.2% also supports that the NaCl dissolved at RH = 71.2% as NaCl is quite hygroscopic



333 (Li et al., 2017). No phase transition of NaCl was detected during the dehydration process, probably  
334 because the supersaturated organic moiety inhibited the crystallization of NaCl. The observation of  
335 effloresced particles during the humidification process might be caused by the structural re-arrangement  
336 of the amorphous particles upon the slow and continuous absorption of moisture with increasing RH  
337 (Mikhailov et al., 2009), leading to less restriction to NaCl crystallization. Indeed, organics in organic-  
338 inorganic mixture aerosols were reported to be a minor disturbance to the DRH of inorganic salts; in  
339 contrast, they may markedly decrease the ERH of inorganic salts depending on the organic type (Parsons  
340 et al., 2004).

341

### 342 **3.3.2 Aerosols generated from solutions of MBTCA:NaCl = 1:2 and 1:3**

343 Fig. 5 shows the hygroscopic curves of aerosol particles nebulized from solutions of MBTCA:NaCl  
344 with molar mixing ratios of 1:2 and 1:3, together with the corresponding optical images and Raman  
345 spectra at the transition RHs. During the dehydration process, droplets from the solutions of  
346 MBTCA:NaCl = 1:2 and 1:3 decreased gradually in size owing to water evaporation until a single-stage  
347 transition was observed at RHs = 47.2-46.5% and 46.7-45.8%, respectively, where the particle shape  
348 became less circular in the optical images. At this point, the following were observed in the Raman spectra:  
349 the water peak at 3455 cm<sup>-1</sup> disappeared; the C=O peak at ~1722/1720 cm<sup>-1</sup> (from COOH) shifted slightly  
350 rightwards; the relative peak intensities at 1417/1416, 1461, and 1722/1720 cm<sup>-1</sup> varied. With the further  
351 decreases in RH until ~6%, the particles kept their size and shape. During the humidification process, all  
352 particles of MBTCA:NaCl = 1:2 and 1:3 maintained their structure until RHs = 50% and 40%,  
353 respectively, where they experienced a size decrease due to structural re-arrangement until RH = ~70%,  
354 grew continuously to become circular at RH = ~73%, and totally deliquesced into homogeneous droplets  
355 at RHs = 73.9% and 74.5%, respectively. Particle size and water peak increased rapidly, and the C=O  
356 peak (COOH) shifted back to 1720 cm<sup>-1</sup>. Upon a further increase in RH, they grew continuously by water  
357 absorption. The ERH and DRH were attributed to the NaCl moiety as the Raman spectra maintained the  
358 peak patterns during the entire process, and the organic components condensed onto the NaCl crystal core  
359 almost simultaneously as an amorphous shell when efflorescence occurred, which is also indicated by the  
360 optical images. Before the complete deliquescence of the NaCl crystal core, the water peak at ~3455 cm<sup>-1</sup>



361 <sup>1</sup> in the Raman spectra and the optical images at RH = 72.4% and 73.8% of the particles from the  
362 MBTCA:NaCl = 1:2 and 1:3 solutions show that the organic shell was in the liquid phase, meaning that  
363 the mixture particles were in a solid-liquid equilibrium state (Sun et al., 2018).

364 All the particles from MBTCA:NaCl = 1:2 and 1:3 solutions showed hysteresis curves with ERHs in  
365 the range of 46.7-45.2% and 47.2-45.6%, respectively, and DRHs = 73.9(±0.3)% and 74.5(±0.3)%,  
366 respectively.

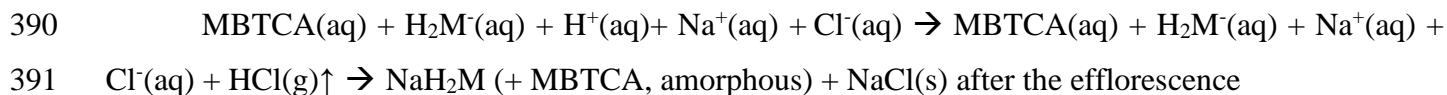
367

### 368 3.3.3 Chemical reactivity of aerosols generated from MBTCA–NaCl mixture solutions

369 The first Raman spectra of the aerosols generated from MBTCA–NaCl mixture solutions in Figs. 4  
370 and 5 were obtained before the dehydration process, which are comparable to that of pure MBTCA droplet  
371 particle in Fig. 2 except for a much stronger free water peak at 3450-3470 cm<sup>-1</sup> due to the presence of a  
372 more hygroscopic NaCl moiety. This suggests that upon nebulization from the solutions, the mixture  
373 droplets were mostly the MBTCA–NaCl binary system. The Raman spectra obtained at the beginning of  
374 the dehydration process and the end of the humidification process revealed increased and decreased ratios  
375 of the CH peak at ~1460 cm<sup>-1</sup> to the C=O peaks at ~1720 cm<sup>-1</sup> (from COOH) and ~1412 cm<sup>-1</sup> (from  
376 COO<sup>-</sup>), respectively, which implies that the reaction between MBTCA and NaCl occurred during the  
377 hygroscopic experiment, leading to the decreased and increased levels of the COOH and COO<sup>-</sup> moieties,  
378 respectively. Fig. 6(a) presents the Raman spectra of particles generated from MBTCA:NaCl = 1:1, 1:2,  
379 and 1:3 solutions together with that of NaH<sub>2</sub>M particles obtained at the end of humidification by  
380 normalizing to the CH peak at 1458 cm<sup>-1</sup>. The C=O peak intensities at 1720 cm<sup>-1</sup> (from COOH) and 1412  
381 cm<sup>-1</sup> (from COO<sup>-</sup>) of the particles generated from the mixture solutions were higher and lower,  
382 respectively, than those of the NaH<sub>2</sub>M particle, suggesting that the aerosols generated from the MBTCA–  
383 NaCl solutions produced only NaH<sub>2</sub>M as the reaction product between MBTCA and NaCl, regardless of  
384 the mixing ratios. The droplet particles after the humidification process were present as an MBTCA–  
385 NaCl–NaH<sub>2</sub>M ternary system with varying compositions. As the first acid dissociation constant of  
386 MBTCA (pK<sub>a1</sub> = 3.59) is more than 1 and 3 orders of magnitude larger than the second (pK<sub>a2</sub> = 4.85) and  
387 third (pK<sub>a3</sub> = 6.79), respectively, H<sub>2</sub>M<sup>-</sup> is more abundant than HM<sup>2-</sup> and M<sup>3-</sup>. The chemical reaction  
388 between NaCl and MBTCA would occur in the aqueous phase as follows:



389



392

393 The  $\text{NaH}_2\text{M}$  particles may exist as amorphous particles, as described before in section 3.2. Raman spectra  
394 of standard aerosols generated from solutions of  $\text{MBTCA}:\text{NaH}_2\text{M} = 0:1, 1:1, \text{ and } 1:0$  were obtained at  
395 different RHs to estimate the chemical reactivity of the aerosol particles generated from the  $\text{MBTCA}$ -  
396  $\text{NaCl}$  mixture solutions, which were used as a calibration curve to help determine the relative  $\text{MBTCA}$   
397 and  $\text{NaH}_2\text{M}$  contents in the aerosols at specific RHs. The estimation of the chemical reactivity between  
398 malonic acid and  $\text{NaCl}$  performed in the similar way was reported in a previous study (Li et al., 2017).  
399 The Raman spectra of  $\text{MBTCA}$ ,  $\text{NaH}_2\text{M}$ , and mixture aerosols of  $\text{MBTCA}:\text{NaH}_2\text{M} = 1:1$  obtained at  $\text{RH}$   
400  $= 90\%$  and normalized to the  $\text{CH}_3$  peak at  $1460 \text{ cm}^{-1}$  showed that the intensity ratio of the two peaks at  
401  $1460 \text{ cm}^{-1}$  ( $\text{CH}_3$ ) and  $\sim 1720 \text{ cm}^{-1}$  ( $\text{C}=\text{O}$  from  $\text{COOH}$ ) (i.e.,  $I_{1460}/I_{1720}$ ) increased with increasing  $\text{NaH}_2\text{M}$   
402 level because of the decreased  $\text{COOH}$  content, as shown in Fig. 6(b). The ratio,  $I_{1460}/I_{1720}$ , for each  
403 standard aerosol exhibited good linearity as a function of  $\text{RH}$ , as shown in Fig. 7(a), where the mean  
404 values obtained from 10 aerosols of each standard aerosol sample are plotted with error-bars. The Raman  
405 intensity ratios of the standard aerosols increased with decreasing  $\text{RH}$  because the  $\text{C}=\text{O}$  stretching  
406 vibrations of the free  $\text{COOH}$  group in the aqueous phase and the intramolecular hydrogen-bonded  $\text{COOH}$   
407 group in the supersaturated phase become weaker and stronger (Bertran et al., 2010), respectively, with  
408 decreasing  $\text{RH}$  during the dehydration process.

409 The dependency of the  $I_{1460}/I_{1720}$  ratios on  $\text{RH}$  can be used to estimate the  $\text{MBTCA}$  and  $\text{NaH}_2\text{M}$   
410 (monosodium  $\text{MBTCA}$  salt) contents in the  $\text{NaCl}$ - $\text{MBTCA}$  aerosols at specific RHs based on the  
411 calibration curve and to calculate the further reactivity. The chemical reactivity of the mixtures is  
412 represented as the degree of the reaction, which is defined as the ratio of consumed to the original amount  
413 of the limiting reactant. For example, for aerosols from solutions of  $\text{MBTCA}:\text{NaCl} = 2:1$  and  $1:2$ ,  $\text{NaCl}$   
414 and  $\text{MBTCA}$  are the limiting reactants, respectively. Fig. 7(b) shows the degree of the reaction of aerosols  
415 generated from solutions of each mixing ratio, where the mean degree of reaction has  $\sim 1.5\text{-}4\%$  deviations  
416 owing to statistical variations in the Raman peak intensities caused by the baseline correction procedure



417 and the uncertainties involved in the calibration measurements. The reactivity was estimated at five stages  
418 during one cycle hygroscopic experiment.

419

420 *Stage 1;* At the beginning of the hygroscopic experiment, no reaction occurred for all the mixed  
421 droplets based on their Raman spectra, i.e., the degree of the reaction is 0.

422

423 *Stage 2;* As the RH decreased during the dehydration process, the reaction continued in the  
424 aqueous aerosols until efflorescence of the droplets with mixing ratios of MBTCA:NaCl = 1:2 and  
425 1:3 had occurred, and until the water content of the aerosols with mixing ratios of MBTCA:NaCl  
426 = 1:1 and 2:1 became insignificant. The degrees of the reaction of aerosols with mixing ratios of  
427 1:1, 1:2, and 1:3 were approximately 30%, whereas that of 2:1 approached 85%.

428

429 *Stage 3;* The reaction of aerosols generated from the solution of mixing ratio of MBTCA:NaCl =  
430 2:1 was complete at the end of the dehydration process, indicating the total consumption of NaCl  
431 and the formation of an MBTCA:NaH<sub>2</sub>M = 1:1 mixture aerosol. The Raman spectra of the aerosols  
432 with mixing ratios of MBTCA:NaCl = 1:1, 1:2, and 1:3 at the end of the dehydration process were  
433 unsuitable for the reactivity estimation mostly due to their heterogeneous structure in the presence  
434 of a NaCl core.

435

436 *Stages 4 and 5;* The reaction proceeded after deliquescence when the free H<sup>+</sup> and Cl<sup>-</sup> became  
437 available again for aerosols with mixing ratios of MBTCA:NaCl = 1:1, 1:2, and 1:3, and a small  
438 increase in the degree of reaction (~5%) was observed at the end of humidification for these  
439 mixture droplets.

440

441 Most of the reactions occurred in the aqueous phase during the dehydration process with considerable  
442 amounts of aqueous H<sup>+</sup> from MBTCA and Cl<sup>-</sup> from NaCl available for HCl liberation. During the entire  
443 experiment, the reactivity followed the sequence of MBTCA:NaCl = 2:1 > 1:3 > 1:2 > 1:1, where the  
444 reactivity appeared to be enhanced when either of the reactants is enriched. On the other hand, the reaction





445 was complete only when aqueous  $H^+$  was sufficiently available, i.e., the reaction depended mostly on the  
446 triacid level. The real-time aerosol mixture components based on the reactivity estimation of each mixing  
447 ratio at specific RHs are shown on the hygroscopic curves in Figs. 4 and 5.

448 The morphology and elemental distribution of effloresced MBTCA-NaCl particles were examined by  
449 SEM/EDX. Figure 8(a) shows the secondary electron images (SEIs) of the exemplar particles of each  
450 mixing ratio. The elemental X-ray maps for MBTCA:NaCl = 1:1 and 1:2 particles suggest that the NaCl  
451 solid moiety (represented by Na and Cl X-ray maps) crystallized homogeneously at small spots inside the  
452 organic moiety. For MBTCA:NaCl = 1:3 particles with a significant amount of NaCl, the NaCl solid  
453 existed as a core surrounded by the organic moiety. The organic mixture of MBTCA and  $NaH_2M$   
454 (represented by C and Na) condensed onto the NaCl core almost simultaneously when efflorescence  
455 occurred, while maintaining a relatively circular morphology, even after being inserted into the vacuum  
456 SEM chamber, which also indicates the low crystallization tendency of the organic moiety. The different  
457 shapes of organic shell-inorganic core structures depending on the organic mass fraction and RH are  
458 reported elsewhere (Karadima et al., 2019). The homogeneous structure of C and Na and the absence of  
459 Cl for particles with mixing ratios of MBTCA:NaCl = 2:1, as shown in the corresponding SEIs and X-  
460 ray spectrum in Figs. 8(a) and (b), confirmed that the reaction was complete at the end of the dehydration  
461 process. The reaction between MBTCA and NaCl and the changes in the microstructures after the reaction  
462 are expected to have some atmospheric implications since they may have enhanced ability to facilitate  
463 further heterogeneous reactions in the atmosphere because of their low crystallization property. Na (from  
464 both  $NaH_2M$  and NaCl) and Cl (from NaCl) levels were used to estimate the degrees of reaction for the  
465 MBTCA:NaCl = 1:1, 1:2, 1:3, and 2:1 systems, which were estimated to be ~25%, ~30%, ~37%, and  
466 100%, respectively, with well matching to those from the Raman analysis by 5-8% differences.

467  
468 **3.4 Hygroscopic behavior of pure MBTCA and MBTCA–NaCl mixture particles in the levitation**  
469 **system**

470 The data acquired from the levitation system for contactless experiments on particles of ~80-100  $\mu m$   
471 were used to compare with those obtained for aerosols on the Si wafer in the see-through impactor.



472 Two types of hygroscopic behavior of pure MBTCA particles were observed, corresponding closely  
473 to types 1 and 3 aerosol particles in the see-through impactor system. In addition, the ERH was ~49-54%,  
474 confirming that once overcome the kinetic barrier and effloresce into solids, the MBTCA particles no  
475 longer capture water significantly. The Raman spectra and optical images are not shown separately.

476 The droplets composed of MBTCA:NaCl = 1:1, 1:2, and 1:3 mixing ratios were introduced into the  
477 levitator and dried rapidly at RH = ~10% within 15 minutes (first rapid dehydration, i.e., the quenching  
478 process), and humidified progressively to RH = 80%. Once RH = 80%, the particles dehydrated gradually  
479 until RH = ~10% (second dehydration). The Raman spectra and optical images are shown in Fig. S2.  
480 After the first rapid dehydration of the particles, the existence of peaks at 1660 and 1720 cm<sup>-1</sup> was  
481 observed for all the mixtures, and the relative intensity of the peak at ~1720 cm<sup>-1</sup> increased with increasing  
482 NaCl content, suggesting the formation of the mixture of solid MBTCA and amorphous moiety either  
483 from MBTCA or NaH<sub>2</sub>M. During the humidification process, the Raman peak at 1720 cm<sup>-1</sup> and the  
484 particle size grew continuously with increasing RH. Transitions were observed at RH = ~71%, ~74.5%,  
485 and ~75% for MBTCA:NaCl = 1:1, 1:2, and 1:3 mixture particles, respectively, with the water peak at  
486 ~3500 cm<sup>-1</sup> becoming significant for the three compositions. The observed transition points were  
487 attributed to the deliquescence of NaCl within the particle with the MBTCA moiety partially remaining  
488 as a solid phase, and the elevated NaCl content strongly enhanced the ability of the particles to uptake  
489 water. The peak related to the solid portion at 1655 cm<sup>-1</sup> disappeared only for the MBTCA:NaCl = 1:3  
490 mixture particles at the end of humidification, suggesting that the particle had transformed completely  
491 into a droplet. During the second dehydration process, the particles showed the entire release of water, as  
492 illustrated by the disappearance of the peak at 3500 cm<sup>-1</sup> at RH = ~50%, i.e., the ERH, for all the mixtures  
493 while maintaining the peak patterns and positions until the lowest RH. The Raman spectra recorded at the  
494 end of dehydration revealed both solid and amorphous phases for the MBTCA:NaCl = 1:1 and 1:2  
495 mixtures due to the existence of the peaks at 1660 and 1720 cm<sup>-1</sup>. In contrast, only the 1720 cm<sup>-1</sup>  
496 associated with the amorphous composition was observed for the MBTCA:NaCl = 1:3 mixture,  
497 suggesting that the reaction between MBTCA and NaCl was facilitated extensively by the increased NaCl  
498 concentration while absorbing sufficient moisture. The conspicuous DRHs and ERHs of all the mixtures  
499 in the levitation system demonstrated a smaller degree of reaction between MBTCA and NaCl compared



500 to those obtained in the see-through impactor, which might be caused by the relatively closed atmosphere  
501 in the levitator, i.e., less release of HCl, and the starting point of the hygroscopic cycle, i.e., the quenching  
502 process resulting in partially effloresced MBTCA before the humidification process.

503

#### 504 **4. Conclusions and atmospheric implication**

505 The hygroscopic behavior, physical states, and chemical reactivity of pure MBTCA particles, mono-  
506 /di-/tri-sodium MBTCA salt particles, and MBTCA-NaCl particles of different mixing ratios were  
507 examined by in situ RMS assembled with a see-through impactor as the starting point with dehydration.  
508 The DRHs and ERHs of the laboratory-generated particles in the micrometer size range at room  
509 temperature were determined by monitoring the change in the particle area in the 2-D optical images and  
510 the corresponding Raman spectra at transition points with RH variation of ~1-95%. Pure MBTCA showed  
511 three types of hygroscopic behaviors in that types 1 and 2 particles effloresced suddenly and gradually,  
512 respectively, at ERH = 30-58% during the dehydration process, whereas type 3 particles crystallized  
513 during the humidification process at RH = ~37%, not during the dehydration process because of a kinetic  
514 barrier to nucleation with limited condensed water. Subsequently, all particles maintained their crystal  
515 structure until RH = 95%. The mono- and di-sodium MBTCA salt aerosols did not show a clear  
516 efflorescence RH (ERH) and deliquescence RH (DRH) during the dehydration and humidification  
517 processes, respectively. In contrast, the tri-sodium MBTCA showed ERH = ~44.4-46.8% (during  
518 humidification) and DRH = ~53.1%. The MBTCA-NaCl droplets with molar mixing ratios of  
519 MBTCA:NaCl = 1:1 and 2:1 showed no distinct DRH and ERH because of the partial and complete  
520 reactions with NaCl, respectively, whereas those with ratios of MBTCA:NaCl = 1:2 and 1:3 experienced  
521 single-stage efflorescence and deliquescence governed by the excess NaCl. Only monosodium MBTCA  
522 (NaH<sub>2</sub>M) could be formed as a result of the reaction between NaCl and MBTCA regardless of the mixing  
523 ratios, mostly during the dehydration process within the timescale of one to two hours according to Raman  
524 analysis, indicating that the MBTCA-NaCl mixture systems are in an MBTCA-NaH<sub>2</sub>M-NaCl ternary  
525 system except when NaCl has reacted completely in the mixture aerosols of MBTCA:NaCl = 2:1 ratio.  
526 The MBTCA-NaH<sub>2</sub>M existed as amorphous solids, even when the excess crystalline NaCl acted as a  
527 heterogeneous nucleation core, which was also confirmed by X-ray mapping. The reaction occurred more



528 rapidly with a more elevated concentration of either MBTCA or NaCl, and the controlling factor for the  
529 reactivity of the mixtures depended mostly on the availability of H<sup>+</sup> dissociated from the MBTCA  
530 tricarboxylic acid. The hygroscopic experiments for pure MBTCA and MBTCA-NaCl mixture particles  
531 were also performed in a levitation system with the starting point from humidification after the quenching  
532 process and the RH variation of ~10 to 80%. The results acquired from the levitation system are consistent  
533 with those obtained from the see-through impactor, only with less reaction between MBTCA and NaCl  
534 resulting from the airtight atmosphere inside the levitator and the partial solidification of MBTCA after  
535 the quenching process. In addition, the elevated NaCl moiety can eventually transform the solidified  
536 MBTCA into droplets through reactions when absorbing adequate moisture.

537 These observations are expected to have important atmospheric implications in that they may help to  
538 better understand the complexity of real ambient SOA and inorganic mixture particles. In this study, the  
539 hygroscopicity of MBTCA was altered significantly when mixed with NaCl due to the reaction, so that  
540 they are more likely to contribute to further gas-particle interactions. The amorphous phase state may  
541 influence the uptake of gaseous photo-oxidants as well as the chemical transformation and aging of  
542 atmospheric aerosols (Mikhailov et al., 2009). The observed aqueous shell with the solid core upon the  
543 humidification of the mixture particles with mixing ratios of MBTCA:NaCl = 1:2 and 1:3 before the total  
544 dissolution of NaCl can scatter solar radiation more efficiently (Adachi et al., 2011; Sun et al., 2018). The  
545 aerosol liquid water can promote heterogeneous aqueous-phase chemical processes, resulting in the facile  
546 formation of secondary aerosols (Cheng et al., 2016; Li et al., 2019). Recently, heterogeneous reactions  
547 in aerosol water were reported to be a significant mechanism for haze formation in North China (Sun et  
548 al., 2018). Overall, the hygroscopic curve, Raman signatures, and X-ray maps of the effloresced particles  
549 provided clear features of the hygroscopic behavior and chemical reactivity of the MBTCA-NaCl mixture  
550 system covered in this study. These results are expected to provide insights into the physicochemical  
551 characteristics and atmospheric chemistry of highly oxidized SOAs mixed with inorganic particles.

552  
553 *Data availability.* The data used in this study are available upon request; please contact Chul-Un Ro  
554 (curo@inha.ac.kr).

555



556 *Author contributions.* LW, CB, SS, and CR designed the experiment. LW, CB, and SS carried out the  
557 measurements and/or analyzed the data. LW, CB, SS, PF, EP, EV, YS and CR contributed discussion of  
558 the data. LW, SS, and CR drafted the paper.

559

560 *Competing interests.* The authors declare that they have no conflict of interest.

561

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568

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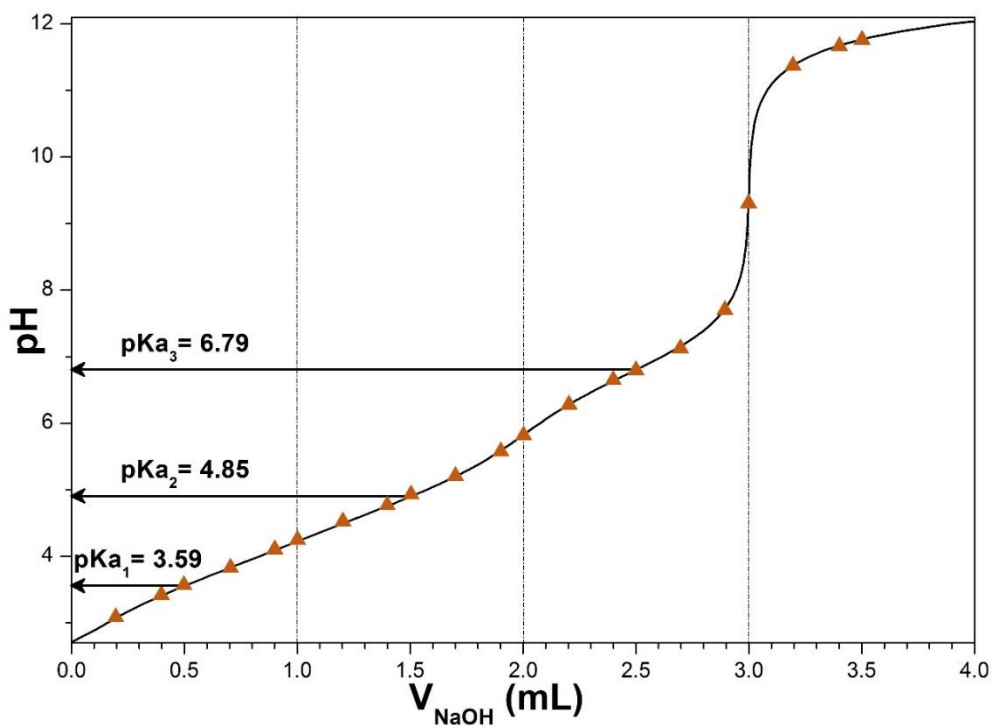
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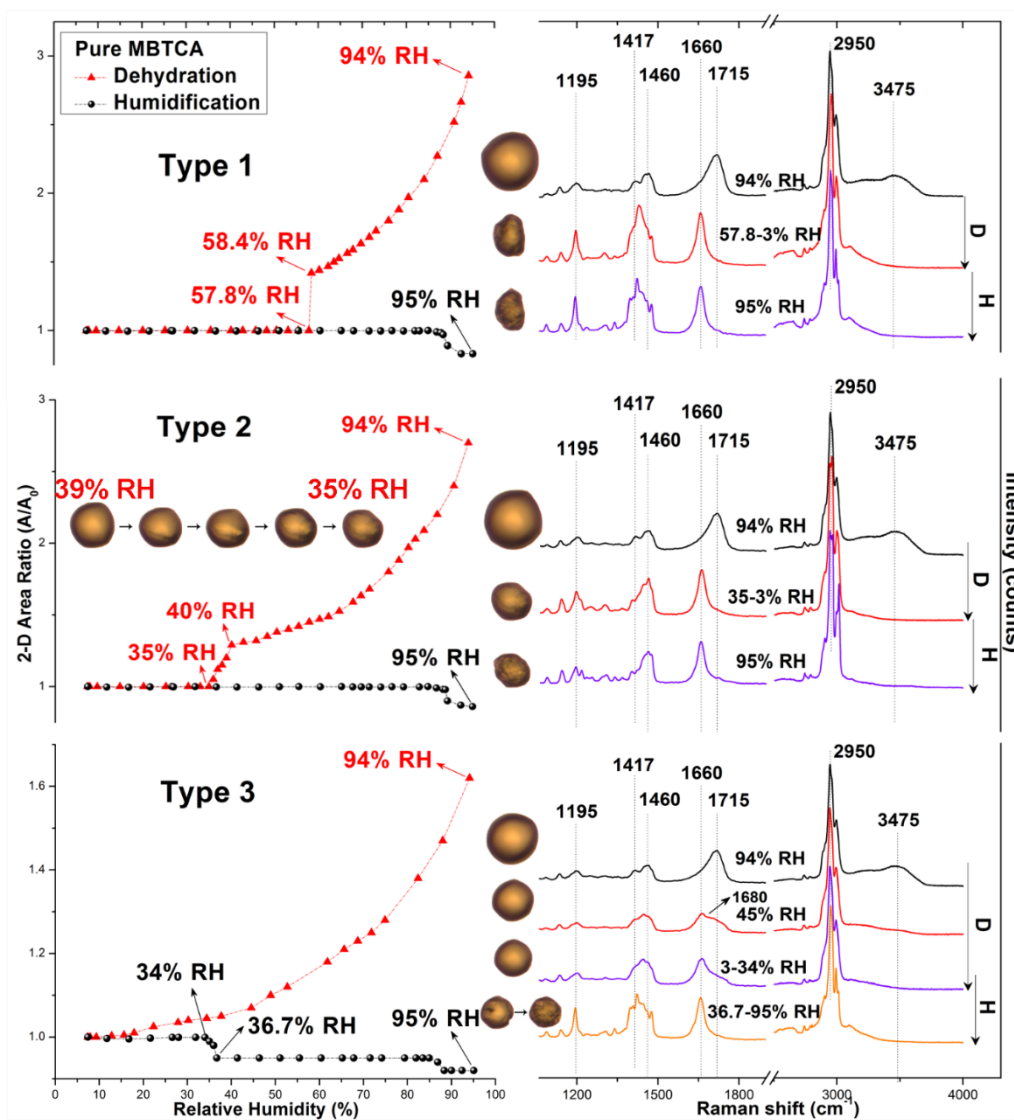


938 Figure 1. Calculated titration curve for MBTCA, noted as  $H_3M$  in this figure. The experimental data are  
939 shown as orange triangles. 5 mL of 0.02 M  $H_3M$  was titrated with a 0.1 M NaOH solution.  
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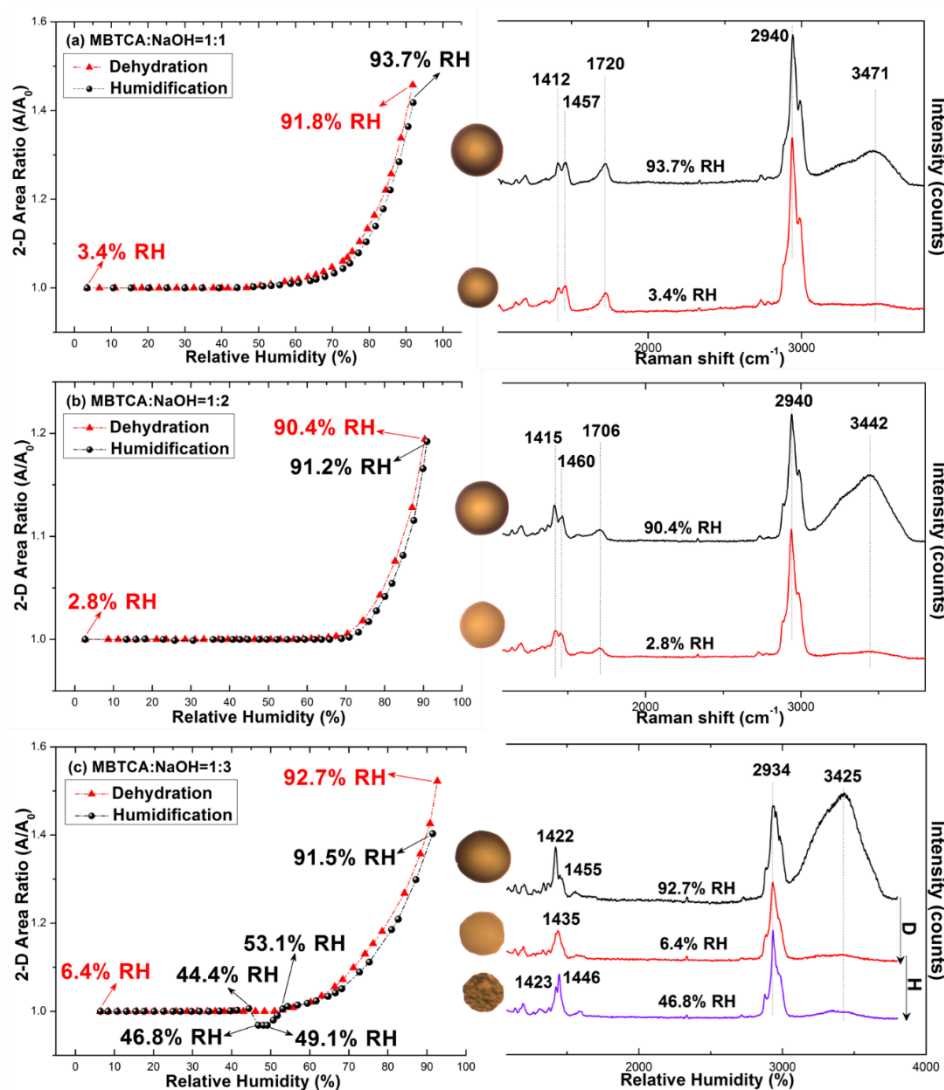
947 Figure 2. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of three  
948 types of pure MBTCA particles. The transition RHs recorded during the dehydration (D) and  
949 humidification (H) processes are marked with arrows in the hygroscopic curves.  
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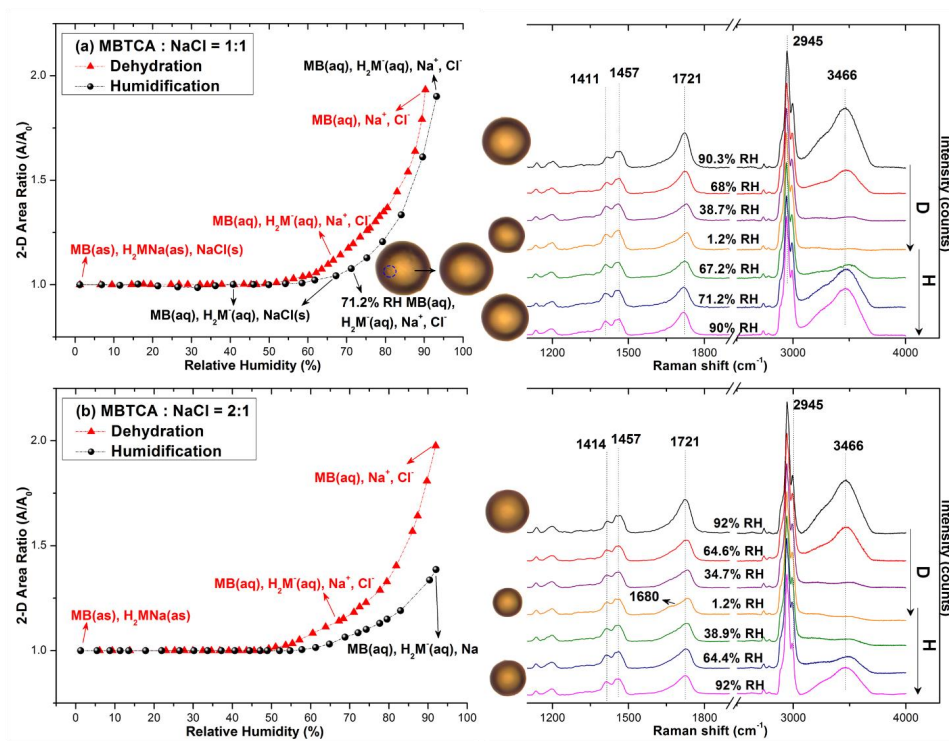


952 Figure 3. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of (a)  
 953 mono-, (b) di-, and (c) tri-sodium MBTCA salt aerosols. The recorded transition RHs during the  
 954 dehydration and humidification processes are marked with arrows in the hygroscopic curves.  
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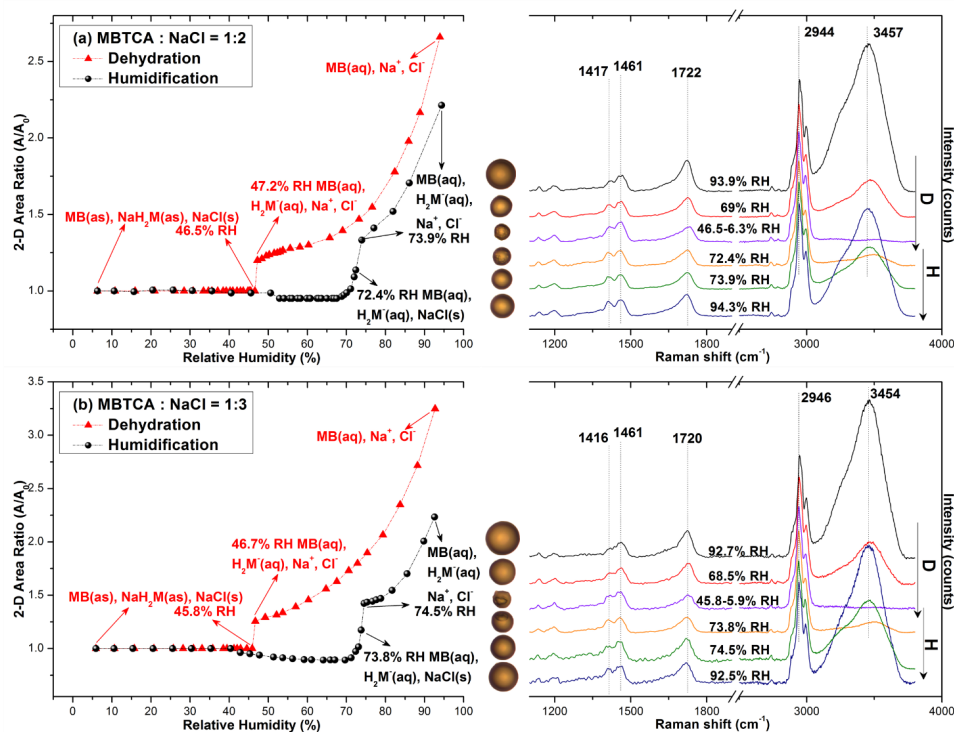


957 Figure 4. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of MBTCA:NaCl = (a) 1:1 and (b) 2:1.  
958 The recorded transition RHs during the dehydration (D) and humidification (H) processes and the chemical compositions of the mixtures  
959 at certain RHs are marked with arrows in the hygroscopic curves. The phase notations shown in parenthesis are s=solid; aq=aqueous;  
960 and as=amorphous solid.  
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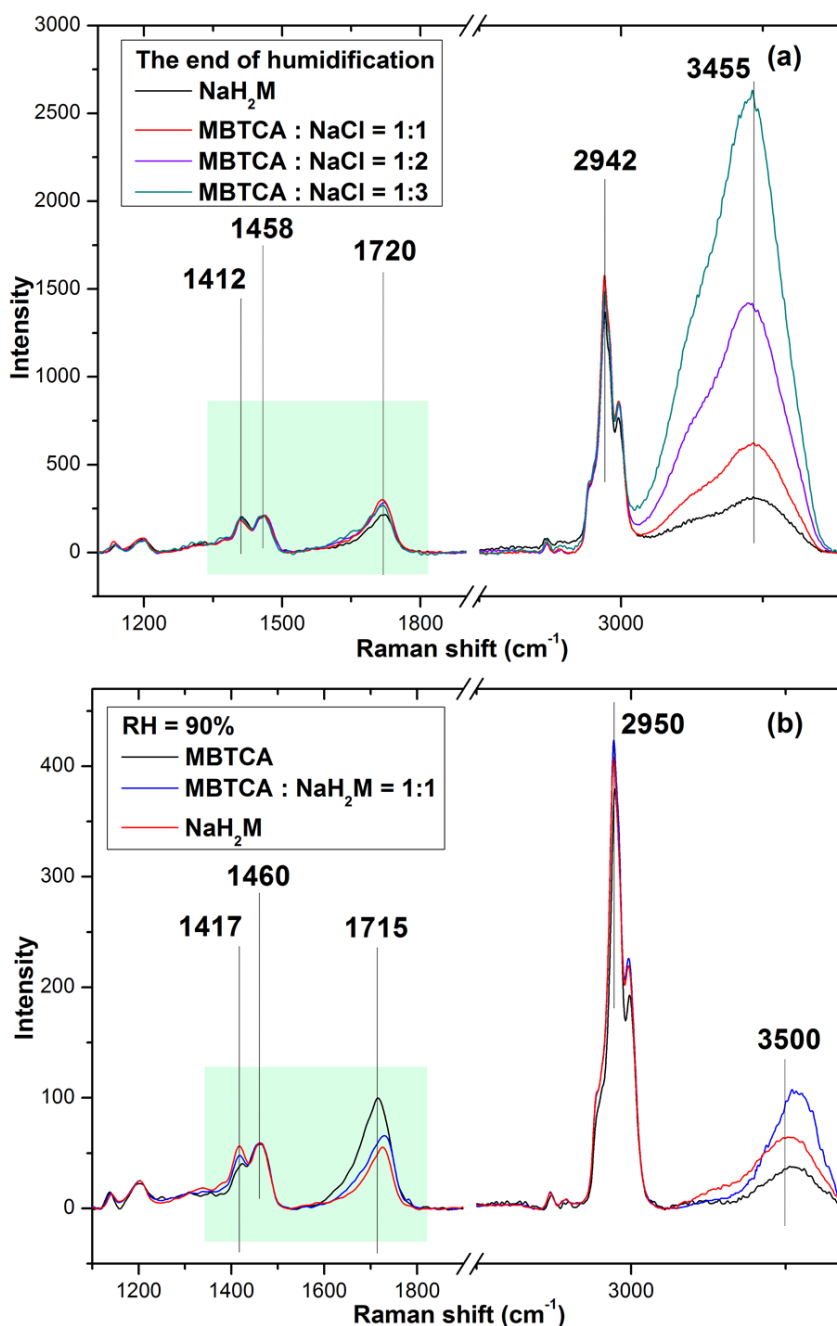


963 Figure 5. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of MBTCA:NaCl = (a) 1:2 and (b) 1:3.  
964 The recorded transition RHs during the dehydration (D) and humidification (H) processes and the chemical compositions of the mixtures  
965 at certain RHs are marked with arrows in the hygroscopic curves. The phase notations shown in parenthesis are s=solid; aq=aqueous;  
966 and as=amorphous solid.



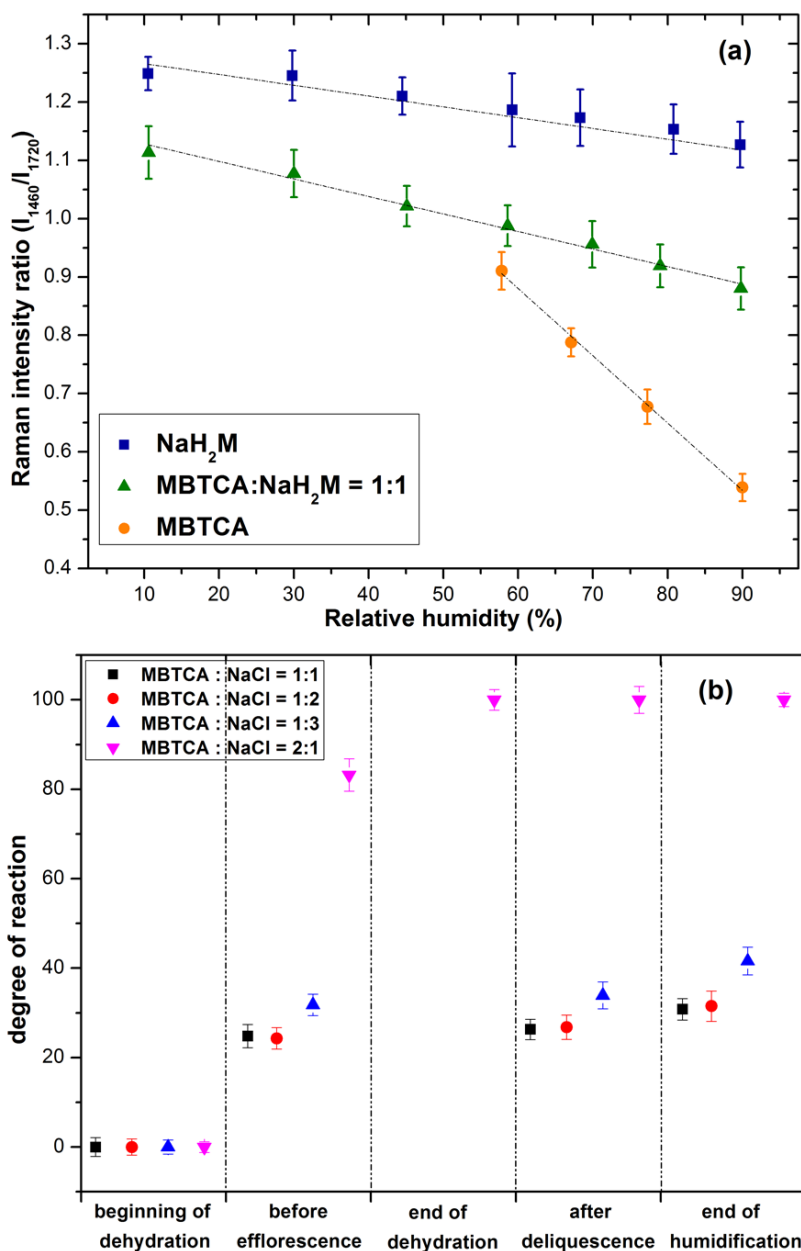


967 Figure 6. (a) Raman spectra of pure  $\text{NaH}_2\text{M}$  and mixture aerosols with mixing ratios of MBTCA: $\text{NaCl}$  =  
968 1:1, 1:2, and 1:3 obtained at the end of the humidification process, which were normalized to the  $\text{CH}_3$   
969 peak at  $1458\text{ cm}^{-1}$  and (b) Raman spectra of pure MBTCA, mixture of MBTCA: $\text{NaH}_2\text{M}$  = 1:1, and pure  
970  $\text{NaH}_2\text{M}$ , which are normalized to the  $\text{CH}_3$  peak at  $1460\text{ cm}^{-1}$ .  
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973 Figure 7. (a) Calibration curve calculated from the intensity ratios of two peaks at 1460 and 1720  $\text{cm}^{-1}$  as  
974 a function of RH for  $\text{NaH}_2\text{M}$ ,  $\text{MBTCA}:\text{NaH}_2\text{M} = 1:1$ , and  $\text{MBTCA}$  aerosols; (b) chemical reactivity  
975 represented as the degree of reaction for mixture aerosols of  $\text{MBTCA}:\text{NaCl} = 1:1, 1:2, 1:3,$  and  $2:1$  during  
976 the dehydration and humidification processes.  
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979 Figure 8. (a) Secondary electron images (SEIs) and elemental X-ray maps for C (from MBTCA and  
980 NaH<sub>2</sub>M), Na (from NaH<sub>2</sub>M and NaCl), and Cl (from NaCl). The scale bars are for 5 μm; (b) X-ray spectra  
981 and elemental concentrations of particles with four mixing ratios.  
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