

Hygroscopic behavior of aerosols generated from solutions of 3-methyl-1,2,3-butanetricarboxylic acid, its sodium salts, and its mixtures with NaCl

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Abstract

MBTCA (3-methyl-1,2,3-butanetricarboxylic acid), a low volatile, highly oxidized, secondary generation product of monoterpenes, is one of the most relevant tracer compounds for biogenic SOAs. In this study, laboratory-generated, micrometer-sized, pure MBTCA, mono-/di-/tri-sodium MBTCA salts, and MBTCA-NaCl mixture aerosol particles of four mixing ratios (molar ratios = 1:1, 1:2, 1:3, and 2:1) were examined systematically to observe their hygroscopic behavior by varying the relative humidity (RH) using in-situ Raman microspectrometry (RMS) assembled with either a see-through impactor where the particles were deposited on a Si wafer or a levitation system. The pure MBTCA droplets effloresced at $RH = \sim 30-57.8\%$ and did not deliquesce until $RH > 95\%$. The mono- and di-sodium MBTCA salt aerosols did not show clear efflorescence RH (ERH) and deliquescence RH (DRH). In contrast, the tri-sodium MBTCA salt exhibited $ERH = \sim 44.4-46.8\%$ and $DRH = \sim 53.1\%$, during the hygroscopic experiment cycle. The mixture aerosols generated from solutions of MBTCA:NaCl = 1:1 and 2:1 showed no visible ERH and DRH in the see-through impactor because of the partial and total consumption of NaCl, respectively, through chemical reactions during the dehydration process. The mixture particles with a 1:1 molar ratio in the levitation system exhibited a clear DRH at $\sim 71\%$ and ERH at $\sim 50\%$. This suggests less reaction between the mixtures and a larger portion of NaCl remaining in the levitation system. The other

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27 mixtures of MBTCA:NaCl = 1:2 and 1:3 displayed single-stage efflorescence and deliquescence at ERH
28 = ~45-50% and DRH = ~74%, respectively, because of the considerable amount of NaCl present in the
29 mixture aerosols in both systems. Observations and Raman analyses indicated that only monosodium
30 MBTCA salt aerosols could be formed through a reaction between MBTCA and NaCl. The reaction
31 occurred more rapidly with a more elevated concentration of either MBTCA or NaCl, and the controlling
32 factor for the reactivity of the mixtures depended mostly on the availability of H⁺ dissociated from the
33 MBTCA tricarboxylic acid. The lower degree of reaction of the mixture particles in the levitation system
34 might be caused by the relatively airtight circumstance inside, i.e., the less release of HCl. The study
35 revealed that the interactions between the MBTCA and NaCl could modify the properties of the organic
36 acid in the atmosphere, leading to enhanced capability of the probable heterogeneous chemistry in the
37 aqueous aerosols.

38

39 **1. Introduction**

40 Chemical processes, such as gas-phase oxidations of airborne biogenic and anthropogenic volatile
41 organic compounds (VOCs) by ozone (O₃), hydroxyl radical (OH), and nitrate radical (NO₃), and their
42 condensed-phase reactions with preexisting aerosols, can promote the formation of increasingly oxidized
43 and less volatile secondary organic aerosols (SOAs). SOAs are a ubiquitous and dominant fraction of the
44 fine aerosol mass that exists as liquid, amorphous solid, semi-solid, and phase-separated aerosol particles
45 (Jang et al., 2002; Hallquist et al., 2009; Jimenez et al., 2009; Virtanen et al., 2010; Koop et al., 2011;
46 Bateman et al., 2015b; Shrivastava et al., 2015; Bernard et al., 2016; Pajunoja et al., 2016; Freedman,
47 2017; Shrivastava et al., 2017; Kim et al., 2018; Srivastava et al., 2018; Liu et al., 2019; Slade et al., 2019;
48 Song et al., 2019; Wu et al., 2019a). These aerosols are of critical importance because of their ability to
49 scatter and absorb solar radiation directly, to affect the number of CCN (cloud condensation nuclei)
50 through the formation of new particles and the growth of preexisting particles, and further impact the
51 climate and human health (Haywood and Boucher, 2000; Topping et al., 2013; Poschl and Shiraiwa, 2015;
52 Reid et al., 2018; Marsh et al., 2019). SOAs are highly dynamic, multiphase chemical systems with a
53 range of volatility and solubility and model simulations have claimed that the phase state of SOAs differs

54 according to the global locations and altitudes with an evolving relative humidity (RH), temperature, and
55 particle composition (Kroll and Seinfeld, 2008; Shiraiwa et al., 2017).

56 Oxidative products of biogenic VOCs, such as monoterpenes (e.g., α - and β -pinenes), act as a
57 dominant source of SOAs as they have high emission rates on a global scale and give considerable SOA
58 yields, and they play a central role in new particle formation (Guenther et al., 1995; Lignell et al., 2013;
59 Mutzel et al., 2016; Holopainen et al., 2017). Carboxylic acid-containing organic compounds comprise a
60 large fraction of SOAs in the Northern Hemisphere (Yatavelli et al., 2015). An extremely low-volatile
61 tricarboxylic acid, 3-methyl-1,2,3-butanetricarboxylic acid (MBTCA, $C_8H_{12}O_6$), has become one of the
62 most relevant tracer compound for terpene SOAs (Jaoui et al., 2005; Szmigielski et al., 2007; Zhang et
63 al., 2010; Donahue et al., 2012; Müller et al., 2012; Lai et al., 2015; Sato et al., 2016). In addition, it is
64 also a few well-known compounds with a high O:C ratio that is formed in the oxidation of VOCs (Dunne
65 et al., 2016). MBTCA is a second or later generation reaction product from monoterpenes by the OH-
66 initiated oxidation of pinonic acid (PA) in the gaseous and aqueous phases and even at the air-water
67 interface (Müller et al., 2012; Praplan et al., 2012; Aljawhary et al., 2016; Enami and Sakamoto, 2016).
68 The MBTCA concentrations were found to be positively correlated with temperature because of the
69 enhanced photochemical production of PA by OH radicals with increasing temperature (Hu et al., 2008;
70 Zhang et al., 2010; Gómez-González et al., 2012; Miyazaki et al., 2012). A further reaction between
71 MBTCA and OH radicals can result in CO_2 loss (Kostenidou et al., 2018). MBTCA was first observed at
72 the Amazon basin and in summer aerosols from Ghent, Belgium (Kubátová et al., 2000; Kubátová et al.,
73 2002). The compound was later found in the USA (Jaoui et al., 2005), Europe (Fu et al., 2009; Kourtchev
74 et al., 2009; Zhang et al., 2010; Yasmeen et al., 2011; Gómez-González et al., 2012; Vogel et al., 2013;
75 Kammer et al., 2018; Vlachou et al., 2019), Japan (Miyazaki et al., 2012), the polar regions (Hu et al.,
76 2013), China (Hu et al., 2008; Ding et al., 2012; Li et al., 2013; Fu et al., 2014; Kang et al., 2018; Hong
77 et al., 2019), and Australia (Cui et al., 2019). In addition, it has been observed in forest, marine,
78 mountainous, urban, and rural aerosols, with its levels ranging from 0.03 to 100 ng/m^3 , and the level was
79 generally higher in the fine particle fraction than in the coarse fraction (Zhang et al., 2010).

80 The ability of the aerosol particles to uptake water in the air is dependent on one of the most important
81 physicochemical properties, i.e., the hygroscopicity (Jimenez et al., 2009; Chu et al., 2014; Tang et al.,

82 2019; Wu and Ro, 2020). Hygroscopicity can help better understand the (i) aerodynamic properties, (ii)
83 cloud-droplet nucleation efficiency, (iii) optical properties, and (iv) physicochemical changes through
84 complicated heterogeneous chemical reactions of aerosol particles with various atmospheric gas-phase
85 species. MBTCA was predicted to partition significantly into aerosol-liquid-water (ALW) (Aljawhary et
86 al., 2016). Therefore, a study on the hygroscopic behavior of MBTCA is important for understanding its
87 phase states better when it interacts with water vapor at different RHs as well as its impacts on the
88 heterogeneous chemical reactions, atmospheric environment, and human health (Parsons et al., 2004;
89 Mikhailov et al., 2009; Bateman et al., 2015a; Freedman, 2017; Slade et al., 2019). Atmospheric particles
90 typically involve complex internal mixtures of organic and inorganic compounds (Shrivastava et al.,
91 2017; Karadima et al., 2019). The interactions between organic and inorganic compounds may alter the
92 chemical compositions of SOAs, which in turn affect their physicochemical properties, such as
93 hygroscopicity (Rudich et al., 2007; Wu et al., 2011; Wang et al., 2015; Jing et al., 2016; Wang et al.,
94 2018). Dicarboxylic acids (DCAs) can undergo reactions with inorganics, such as NaCl, resulting in Cl
95 depletion and HCl liberation (Ma et al., 2013; Li et al., 2017). On the other hand, the interactions between
96 tricarboxylic acids and inorganics have never been investigated.

97 In this study, in situ Raman microspectrometry (RMS) was used to examine the hygroscopic behavior,
98 evolution of the chemical composition, phase states, and microstructures, and chemical reactivity of
99 laboratory-generated, micrometer-sized aerosols generated from a pure MBTCA solution, mono-/di-/tri-
100 sodium MBTCA salt solutions, and MBTCA-NaCl mixture solutions. RMS was assembled with either a
101 see-through impactor, where the particles were deposited on a Si wafer, or a levitation system. The
102 particles on the Si wafer were exposed to a hygroscopic measurement cycle, where they experienced a
103 dehydration process first (by decreasing RH from ~95 to ~1%), followed by a humidification process (by
104 increasing RH from ~1 to ~95%). The particles in the levitation system experienced a humidification
105 process first (by increasing the RH from ~10 to ~80%) after quenching from droplets, followed by a
106 dehydration process (by decreasing RH from ~80 to ~10%). The deposited particles (~6.5 μm size in
107 average in this study) may have some influences from the collecting substrate such as a facilitated
108 heterogeneous nucleation, which can be eliminated in the levitation system due to the substrate-free and
109 contactless properties. However, the particles in the levitation system are generally large in size (~80 μm

110 in average in this study), which is less atmospherically relevant. And thus, the analysis of the particles in
111 both systems is expected to give more detailed information on the hygroscopic behavior of MBTCA
112 aerosols. NaCl, one of the major components of marine aerosols, was selected as the inorganic component
113 since it was previously reported that organic acids contributed significantly to Cl depletion through a
114 reaction with NaCl (Laskin et al., 2012). In situ Raman analysis could clearly identify MBTCA and its
115 sodium salts during the hygroscopicity measurement despite NaCl being Raman inactive. To the best of
116 the authors' knowledge, this is the first study on the hygroscopic behavior and chemical reactivity of
117 MBTCA and its sodium salts thus far. The results are expected to promote more precise thermodynamic
118 models (Clegg et al., 2003). The phase transitions were observed by monitoring the size changes together
119 with the Raman spectra evolutions of the aerosol particles as a function of the RH. RMS can provide
120 information on chemical functional groups, water contents, molecular interactions, and phase states of the
121 aerosol particles. Such data can help understand the hygroscopic behavior of complex aerosol particles
122 better (Lee et al., 2008; Li et al., 2017; Wang et al., 2017). The molecular characterization of organic
123 aerosols can provide better insights into the potential mechanisms of SOA formation and transformation
124 (or aging) (Hallquist et al., 2009). Scanning electron microscopy (SEM)/energy-dispersive X-ray
125 spectroscopy (EDX) mapping was used to examine the elemental composition distribution in effloresced
126 particles.

127

128 **2. Experimental Section**

129 **2.1 Sample preparation**

130 Pure 0.3 M solutions of NaCl (>99.9% purity, Sigma-Aldrich) and MBTCA (98%, Toronto Research
131 Chemicals, TCR) were prepared. The mixture solutions of MBTCA and NaCl were prepared with molar
132 mixing ratios of MBTCA:NaCl = 1:1, 1:2, 1:3, and 2:1. Mono-/di-/tri-sodium MBTCA salt solutions were
133 obtained by mixing MBTCA and NaOH (>99.9% purity, Sigma-Aldrich) with molar ratios of
134 MBTCA:NaOH = 1:1, 1:2, and 1:3, respectively. A mixture solution of MBTCA and monosodium
135 MBTCA salt with a molar mixing ratio of 1:1 was prepared as well. All the solutions were made by
136 dissolving the chemicals in the ultrapure de-ionized (DI) water (18 M Ω , Millipore Direct-QTM). Aerosol
137 particles were generated by nebulizing the solutions using a single jet atomizer (HCT4810) on the Si

138 wafer substrates (MTI Corporation, 99.999% purity). The size of the droplets examined at RH > 90%
139 ranged from 1 to 15 μm .

140

141 **2.2 In situ Raman microspectrometry (RMS) for particles deposited on a Si wafer**

142 During the hygroscopic measurements, in situ RMS was performed under a controlled RH to observe
143 the hygroscopic behavior, structural changes, and chemical compositional variations of the aerosols
144 generated from the solutions. The apparatus consisted of three parts: (A) see-through impactor, (B) Raman
145 microscope/spectrometer, and (C) humidity-controlling system. The Si wafer substrate was mounted on
146 the impaction plate in the see-through impactor. A more detailed discussion of the impactor and humidity-
147 controlling system can be found elsewhere (Gupta et al., 2015). Briefly, the RH inside the impactor was
148 controlled by mixing dry and wet (saturated with water vapor) N_2 (99.999% purity) gases. The flow rates
149 of total $4 \text{ L} \cdot \text{min}^{-1}$ of the dry and wet N_2 gases were controlled by two mass flow controllers to obtain the
150 desired RH in the range of ~ 1 –95%, which was monitored using a digital hygrometer (Testo 645). The
151 digital hygrometer was calibrated using a dew-point hygrometer (M2 Plus-RH, GE) to provide RH
152 readings with $\pm 0.5\%$ reproducibility. The Raman spectra and optical images of the aerosol particles were
153 recorded by Labspec6 using a confocal Raman microspectrometer (XploRA, Horiba Jobin Yvon)
154 equipped with a $\times 50$, 0.5 numerical aperture objective (Olympus). An excitation laser with a wavelength
155 of 532 nm and 6 mW power was used, and the scattered Raman signals were detected at specific RHs
156 during the hygroscopic measurements using an air-cooled multichannel charge-coupled device (CCD)
157 detector. The data acquisition time for each measurement was 120 s. The spectral resolution was 1.8 cm^{-1}
158 using 1800 gr/mm. The optical images were recorded continuously in RH = 1% steps with a size of
159 904×690 pixels during the first dehydration (by decreasing RH from ~ 95 to $\sim 1\%$), followed by the
160 humidification (by increasing RH from ~ 1 to $\sim 95\%$) experiments using a top video camera assembled in
161 the Raman instrument and processed using an image analysis software (Matrox, Inspector v9.0). Each
162 humidity condition was sustained for at least 2 mins in order to provide an enough time for condensing
163 or evaporating of water. The changes in particle size with the RH were monitored by measuring the
164 particle 2-D area in the optical images to generate hygroscopic curves. These curves are represented by
165 the area ratio (A/A_0) as a function of RH, where the 2-D projected aerosol area at a given RH (A) is divided

166 by that at the end of the dehydration process (A_0) (Ahn et al., 2010). All hygroscopic experiments were
167 conducted at room temperature ($T = 22 \pm 1^\circ\text{C}$). Aerosol particles generated from a pure NaCl aqueous
168 solution to check the accuracy of the system showed typical hysteresis curves with deliquescence RH
169 ($\text{DRH} = 75.5(\pm 0.5)\%$) and efflorescence RH ($\text{ERH} = 46.3\text{--}47.6\%$) as shown in Fig. S2, which are
170 consistent with the theoretical and reported values.

171

172 **2.3 SEM/EDX X-ray mapping of effloresced particles deposited on Si wafer**

173 SEM/EDX X-ray mapping was performed for effloresced particles to determine the morphology and
174 spatial distribution of the chemical elements after the hygroscopicity measurements of individual particles
175 (Ahn et al., 2010; Gupta et al., 2015). The measurements were carried out using a Jeol JSM-6390 SEM
176 equipped with an Oxford Link SATW ultrathin window EDX detector. The resolution of the detector was
177 133 eV for Mn $K\alpha$ X-rays. The X-ray spectra and elemental X-ray maps were recorded under the control
178 of Oxford INCA Energy software. A 10 kV accelerating voltage and 0.7 nA beam current were used, and
179 the typical measuring time for the elemental mapping was five minutes. An elemental quantification
180 procedure, which is well described elsewhere (Wu et al., 2019a), was used for obtaining the elemental
181 concentration.

182

183 **2.4 In situ RMS assembled with a levitation system**

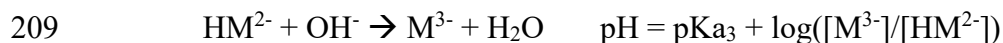
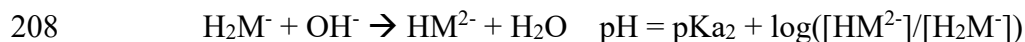
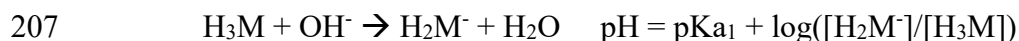
184 The levitation experimental set up consisted of coupling an acoustic (ultrasonic) levitator equipped
185 with an environmental cell to an RMS, as shown in Fig. S1. The theory of acoustic levitation is described
186 in detail elsewhere (Seaver et al., 1989). An ultrasonic levitator was modified (APOS BA 10, Tec5,
187 Germany) to be installed within an environmental levitation cell consisting of two quartz windows,
188 allowing the particle analysis (Seng et al., 2018). Two inlet/outlet valves were used for gas supplies to
189 modify the relative humidity (RH) inside the cell. A sensor (SHT75 Sensirion) was placed in the cell to
190 control the RH and temperature. The RH inside the chamber was controlled by mixing dry and wet Ar
191 gases with a flow rate of $200 \text{ mL} \cdot \text{min}^{-1}$ in the range of 10-80% ($\pm 1\%$) RH, and the temperature was $T =$
192 $25 \pm 3^\circ\text{C}$, making the experiments close to static flow conditions. The control of humidity and temperature
193 allows limited droplet evaporation and long-term monitoring of the particles. The RMS measurements

194 were performed with a LabRAM HR Evolution confocal spectrometer (Horiba Scientific, S.A) at certain
195 RHs first during humidification and then during dehydration. The instrument was equipped with an $\times 50$,
196 0.45 numerical aperture Olympus objective (WD = 13.8 mm) and a He-Ne laser ($\lambda = 632.8 \text{ nm} - 6 \text{ mW}$)
197 with a theoretical lateral resolution of $\sim 2 \mu\text{m}$, and a depth of the laser focus corresponding to $16 \mu\text{m}$ with
198 a Δz limit $\geq \pm 3 \mu\text{m}$. The cell was mounted on an XYZ stage under the objective, allowing an adjustment
199 of the droplet in the optimal position for the measurements. The mean size of the initial droplet injected
200 in the levitator was $80 \mu\text{m}$. The Raman spectra and optical images recorded at specific RHs were analyzed
201 similarly to those obtained on the Si wafer.

202

203 **2.5 Measurement of acid dissociation constants of MBTCA**

204 MBTCA is a tri-carboxylic acid with three acid dissociation constants. To determine the three
205 constants, a 0.02 M, 5 ml MBTCA solution was titrated with a 0.1 M NaOH solution, where the constants
206 were determined based on the Henderson-Hasselbalch equations (Harris, 2012):



210 where H_3M , H_2M^- , HM^{2-} , and M^{3-} represent aqueous MBTCA, mono-, di-, and tri-sodium MBTCA anions,
211 respectively. The $\text{pK}_{\text{a}1}$, $\text{pK}_{\text{a}2}$, and $\text{pK}_{\text{a}3}$ are the pHs when $[\text{H}_3\text{M}]$, $[\text{H}_2\text{M}^-]$, and $[\text{HM}^{2-}]$ equal $[\text{H}_2\text{M}^-]$,
212 $[\text{HM}^{2-}]$, and $[\text{M}^{3-}]$, respectively, during the acid-base titration. Specifically, when NaOH was added at 0.5,
213 1.5, and 2.5 ml, the corresponding pHs of the solution are the three constants, which were 3.59, 4.85, and
214 6.79. Fig. 1 shows the calculated titration curve of MBTCA using the three determined K_a values, which
215 is the same as the experimentally obtained titration data, supporting the validity of the K_a values, which
216 were not reported so far.

217

218 **3. Results and Discussion**

219 **3.1 Hygroscopic behavior of pure MBTCA particles**

220 Wet-deposited MBTCA aerosols generated by the nebulization from a pure MBTCA solution
221 exhibited three different types of hygroscopic behaviors, which are termed as “types 1, 2, and 3”. As

222 shown in Fig. 2, during the dehydration process, the exemplar droplets of types 1 and 2 shrank
223 continuously with decreasing RH due to water evaporation until RHs = 58.4% and 40%, and then
224 effloresced promptly at RH = 57.8% and gradually at RH = 39 - 35%, respectively. The effloresced
225 particles maintained their size and shape with further decreases in RH. Whereas, the type 3 aerosols
226 decreased continuously in size without a distinct change from RH = 94% to RH = 3% during the
227 dehydration process. During the humidification process, types 1 and 2 particles kept the same size and
228 shape until RH = ~90%, while type 3 particles experienced a gradual shrinkage at RH = 34-36.7% and
229 remained the same until RH = ~85%. Fig. 2 also presents the corresponding optical images and in situ
230 Raman spectra to assess the structural evolution of the MBTCA aerosols during the dehydration and
231 humidification processes. Briefly, Raman peaks at $\sim 1411 - 1420 \text{ cm}^{-1}$, ~ 1460 and $\sim 2950 \text{ cm}^{-1}$, $\sim 1660 -$
232 1730 cm^{-1} , and $\sim 3420 - 3475 \text{ cm}^{-1}$ are for vibrations of C=O from COO^- , CH, C=O from COOH, and OH
233 from water, respectively (Edsall, 1937; An et al., 2016). The redshift of the C=O peak (from COOH) from
234 1715 to 1660 cm^{-1} with decreasing FWHH (full width at half height), which is consistent with the standard
235 MBTCA crystal, and the irregular shape and rough surface of types 1 and 2 aerosols at RH = 57.8% and
236 35%, respectively, confirmed that the particles effloresced into a solid phase. The optical images in the
237 inset above the hygroscopic curve of the type 2 particles showed gradual efflorescence at RH = 39 – 35%.
238 The water peak at $\sim 3475 \text{ cm}^{-1}$ disappeared as well after the efflorescence. In contrast, type 3 aerosols
239 maintained a circular morphology until RH = 3%, as shown in the optical images in Fig. 2, even though
240 an overlapped C=O (from COOH) peak at $1660 - 1680 \text{ cm}^{-1}$ appeared during the dehydration process,
241 and the water peak became undetectable, as shown in the Raman spectra at RHs = 45% and 3%,
242 suggesting an amorphous/solid-state and the presence of an activation barrier or diffusional resistance to
243 homogeneous nucleation required for the crystallization of MBTCA droplets as efflorescence is a
244 kinetically controlled process (Martin, 2000; Freedman, 2017). Previous studies reported that α -pinene
245 SOAs were very likely to exist as a highly viscous semisolid or even glassy state at low humidity (Saukko
246 et al., 2012; Renbaum-Wolff et al., 2013; Berkemeier et al., 2014; Dette et al., 2014; Kidd et al., 2014;
247 Song et al., 2016; Lessmeier et al., 2018). In addition, many organic substances, such as carboxylic acids,
248 carbohydrates, and proteins, tend to form amorphous rather than crystalline phases upon the drying of
249 aqueous solution droplets (Mikhailov et al., 2009). During the humidification process, the Raman spectra

250 and morphology remained unchanged for types 1 and 2 particles until $RH = \sim 90\%$, where a slight decrease
251 in morphology was observed due to structural re-arrangements by the absorption of moisture on the lattice
252 imperfections (Gysel et al., 2002). Besides, the substrate can also affect this shrinkage. Especially, the
253 hydrophilic substrate (such as Si wafer used in this study) seems to favor the phenomenon (Eom et al.,
254 2014). Type 3 particles during the humidification process became irregular in shape, and the overlapped
255 C=O (from COOH) peak shifted to 1660 cm^{-1} at $RH = 36.7\%$, as shown in the optical image and Raman
256 spectrum, indicating the formation of solids. With the further increase in RH, particles maintained their
257 size and shape until $RH = 85\%$, where they started to decrease in size due to a re-arrangement in structure.
258 All types of MBTCA particles maintained the crystal phase until $RH = 95\%$.

259 Specifically, the types of hygroscopicity of pure MBTCA particles were classified as, “type 1: with a
260 prompt efflorescence at $\sim 50\%$ RH during dehydration; type 2: with a gradual efflorescence at $\sim 35\%$ RH
261 during dehydration; type 3: with a gradual efflorescence at $\sim 37\%$ RH during humidification”, based on
262 their different behavior when efflorescence occurred. The different efflorescence behavior was attributed
263 to different nucleation mechanisms: heterogeneous nucleation for types 1 and 2 particles (seed-
264 containing), and homogeneous nucleation for type 3 particles (pure). MBTCA powders, which was used
265 for making the MBTCA solution, has intrinsic unknown impurity of 2%, and they were used without any
266 purification. When MBTCA powders were dissolved in DI water and particles were generated by the
267 nebulization of the aqueous solution using N_2 gas, impurities were either absent or associated with the
268 droplets. The impurities existed in types 1 and 2 particles after nebulization, acting as seed crystals to
269 induce efflorescence. Aqueous moieties in particles were reported to effloresce more easily by
270 heterogeneous nucleation in the presence of seeds (Schlenker and Martin, 2005; Li et al., 2014; Gupta et
271 al., 2015). The lower ERH and gradual efflorescence of type 2 compared to type 1 particles might be due
272 to the less amount of impurities. Type 3 particles contain negligible or no seed crystals, and large kinetic
273 barrier and/or diffusional resistance make the formation of the crystal structure difficult owing to the
274 decreasing availability of condensed water during dehydration, so that they did not experience any
275 efflorescence. A similar situation was observed for NH_4NO_3 , $NaNO_3$, and NH_4HSO_4 particles (Lightstone
276 et al., 2000; Hoffman et al., 2004; Gibson et al., 2006; Kim et al., 2012; Jing et al., 2018; Sun et al., 2018;
277 Wu et al., 2019b). The Si substrates used in this study could also facilitate efflorescence (Eom et al., 2014;

278 Wang et al., 2017). The efflorescence during humidification like type 3 particles was previously reported
279 for Amazonian rain forest aerosols (Pöhlker et al., 2014) and the laboratory-generated NaCl–MgCl₂
280 mixture particles (Gupta et al., 2015). Thus, this phenomenon is not rare. And it was claimed that the
281 aerosol particles initially had amorphous or poly-crystalline structures and underwent restructuring
282 through kinetic water and ion mobilization in the presence of sufficient condensed water, resulting in
283 overcoming the kinetic barrier and crystallization during humidification.

284 Among 100 particles, type 1-3 particles accounted for approximately 25%, 5%, and 70%, respectively,
285 suggesting that MBTCA has slow homogeneous nucleation rate. Based on the experimental results,
286 MBTCA droplets have DRH > 95% and ERH = 30–58%. This is the first study reporting the hygroscopic
287 properties of MBTCA. A previous study showed that MBTCA was not hydrated significantly in the
288 ambient atmosphere (Kildgaard et al., 2018), and our results also implied that the MBTCA solids stay in
289 the air once they effloresced, based on our results.

290

291 **3.2 Hygroscopic behavior of mono-/di-/tri-sodium MBTCA salt aerosols**

292 The hygroscopicity and Raman spectra of mono-/di-/tri-sodium MBTCA salt aerosols (hereafter,
293 denoted as NaH₂M, Na₂HM, and Na₃M, respectively) were studied to examine the hygroscopic behavior
294 and estimate the chemical reactivity of MBTCA with NaCl. Figs. 3(a)-(c) show the 2-D projected area
295 ratio plot of aerosol particles generated from 0.3 M NaH₂M, Na₂HM, and Na₃M aqueous solutions as a
296 function of the RH together with the corresponding optical images and Raman spectra recorded at specific
297 RHs. As shown in Figs. 3(a) and (b), NaH₂M and Na₂HM aerosols shrank and grew continuously without
298 a phase transition during the dehydration and humidification processes, respectively, which is also
299 reflected in the optical images and Raman spectra, where they maintained their circular morphology only
300 with a change in size and the same Raman peak patterns and positions with small variations in the relative
301 peak intensities during the entire process. The water peak at ~3400-3500 cm⁻¹ can still be observed at the
302 end of the dehydration process. Even after being kept in a desiccator for two months, NaH₂M and Na₂HM
303 particles still showed the same shapes and Raman spectra with those at RHs = 3.4% and 2.8%,
304 respectively. These results indicate the non-crystallizable properties and supersaturated amorphous phase
305 state of the particles. The Na₃M particles behaved differently as they did not crystallize during the

306 dehydration process. On the other hand, the aerosols exhibited efflorescence at RH = 46.8% during the
307 humidification process (Fig. 3(c)), deliquesced to become a droplet at RH = 53.1%, and grew continuously
308 after that with increasing RH. The Raman spectra of the Na₃M particles in Fig. 3(c) showed that the peak
309 at 1420-1460 cm⁻¹ became two sharp peaks when the particles effloresced, and the OH peak at 3400 cm⁻¹
310 indicates that Na₃M particles possibly exist in the hydrated form. The Na₃M particles behaved
311 analogously to type 3 MBTCA particles, which might be due to their similar structures when all three
312 COOH in MBTCA were replaced with COONa upon the reaction between MBTCA with NaOH. Since
313 all the observed Na₃M particles on the substrate (around 100 particles) behaved exactly in the same way
314 with crystallization during humidification process, the major contribution to the crystallization of Na₃M
315 particles is homogeneous crystallization, instead of heterogeneous crystallization induced by impurities
316 and Si substrate effect. Based on the top Raman spectra of aqueous MBTCA, NaH₂M, Na₂HM, and Na₃M
317 aerosols in Figs. 2 and 3, the ratios of the CH peak at ~1460 cm⁻¹ to the C=O peak at ~1720 cm⁻¹ (from
318 COOH) and to the C=O peak at ~1420 cm⁻¹ (from COO⁻) increased and decreased in the order of MBTCA,
319 NaH₂M, Na₂HM, and Na₃M because of their reduced and elevated levels of COOH and COO⁻,
320 respectively.

321

322 **3.3 Hygroscopic behavior of MBTCA-NaCl mixture aerosols**

323 Aerosols were generated by the nebulization of MBTCA-NaCl mixture solutions of molar mixing
324 ratios of MBTCA:NaCl = 1:1, 1:2, 1:3, and 2:1 and deposited on Si wafer substrates, while maintaining
325 the entire hygroscopic measurement system at RH > 90%. The hygroscopic behavior was investigated for
326 ~10 individual aerosols of each mixing ratio, which are discussed in the following sections.

327

328 **3.3.1 Aerosols generated from solutions of MBTCA:NaCl = 1:1 and 2:1**

329 Fig. 4 presents the hygroscopic curves of representative aerosols nebulized from solutions of
330 MBTCA:NaCl mixtures at different molar ratios (1:1 and 2:1) along with the corresponding optical
331 images and Raman spectra at specific RHs. During the dehydration process, the circular liquid droplets
332 decreased in size gradually without any noticeable phase change. The Raman peak patterns were
333 maintained only with the C=O peak at 1721 cm⁻¹ (from COOH) shifting mildly rightwards, the water peak

334 at 3466 cm^{-1} becoming undetectable, and the relative peak intensities at ~ 1411 , 1457 , and 1721 cm^{-1}
335 varied when the RH was as low as 1.2%, indicating that the liquid droplets formed amorphous solids. The
336 peak at 1680 cm^{-1} on the Raman spectra of MBTCA:NaCl = 2:1 at RH = 1.2% suggested that the
337 amorphous structure of the remaining MBTCA had been retained. Both MBTCA and NaCl have their
338 DRHs and ERHs. Therefore, a step-wise efflorescence would happen if it is assumed that the mixture
339 aerosols are an MBTCA-NaCl binary system, i.e., a component of the aqueous droplets precipitates first
340 at their specific ERHs depending on their mixing ratios, and the second crystallization from the remnant
341 eutonic solution occurs at their mutual ERH (MERH) with further decreases in RH, which is independent
342 of the mixing ratios, generally forming a heterogeneous, core-shell crystal structure owing to the two-
343 stage crystallization process (Ge et al., 1996; Gupta et al., 2015). However, the particles of MBTCA:NaCl
344 = 1:1 and 2:1 mixing ratios did not follow the step-wise transitions in the present study, revealing that the
345 aerosols do not belong to the MBTCA-NaCl binary system and the chemical compositions evolved during
346 the hygroscopic experiment due to the reaction between MBTCA and NaCl, which will be discussed later.

347 During the humidification process, aerosol particles of two mixing ratios grew continuously when the
348 RH was increased from 1.2% to 90% with C=O peak (from COOH) shifting back to $\sim 1721\text{ cm}^{-1}$ and the
349 water peak becoming significant, as shown in Fig. 4. Several small crystal-like spots, which are marked
350 by a dotted circle on the inset optical image beside the hygroscopic curve in Fig. 4(a), appeared in the
351 particles with the mixing ratio of MBTCA:NaCl = 1:1 when the RH was increased to 67.2% and dissolved
352 completely at RH = 71.2%. As the Raman spectra did not show any signals of the crystallized organics
353 and RH = 71.2% is close to the DRH of pure NaCl ($75(\pm 0.5)\%$), the crystal-like moieties should result
354 from the effloresced NaCl. The more noticeable water peak in the Raman spectrum taken at RH = 71.2%
355 than that at RH = 67.2% also supports that the NaCl dissolved at RH = 71.2% as NaCl is quite hygroscopic
356 (Li et al., 2017). No phase transition of NaCl was detected during the dehydration process, probably
357 because the supersaturated organic moiety inhibited the crystallization of NaCl. The observation of
358 effloresced particles during the humidification process might be caused by the structural re-arrangement
359 of the amorphous particles upon the slow and continuous absorption of moisture with increasing RH
360 (Mikhailov et al., 2009), leading to less restriction to NaCl crystallization. Indeed, organics in organic-
361 inorganic mixture aerosols were reported to be a minor disturbance to the DRH of inorganic salts; in

362 contrast, they may markedly decrease the ERH of inorganic salts depending on the organic type (Parsons
363 et al., 2004).

364

365 **3.3.2 Aerosols generated from solutions of MBTCA:NaCl = 1:2 and 1:3**

366 Fig. 5 shows the hygroscopic curves of aerosol particles nebulized from solutions of MBTCA:NaCl
367 with molar mixing ratios of 1:2 and 1:3, together with the corresponding optical images and Raman
368 spectra at the transition RHs. During the dehydration process, droplets from the solutions of
369 MBTCA:NaCl = 1:2 and 1:3 decreased gradually in size owing to water evaporation until a single-stage
370 transition was observed at RHs = 47.2-46.5% and 46.7-45.8%, respectively, where the particle shape
371 became less circular in the optical images. At this point, the following were observed in the Raman spectra:
372 the water peak at 3455 cm^{-1} disappeared; the C=O peak at $\sim 1722/1720\text{ cm}^{-1}$ (from COOH) shifted slightly
373 rightwards; the relative peak intensities at $1417/1416$, 1461 , and $1722/1720\text{ cm}^{-1}$ varied. With the further
374 decreases in RH until $\sim 6\%$, the particles kept their size and shape. During the humidification process, all
375 particles of MBTCA:NaCl = 1:2 and 1:3 maintained their structure until RHs = 50% and 40%,
376 respectively, where they experienced a size decrease due to structural re-arrangement until RH = $\sim 70\%$,
377 grew continuously to become circular at RH = $\sim 73\%$, and totally deliquesced into homogeneous droplets
378 at RHs = 73.9% and 74.5%, respectively. Particle size and water peak increased rapidly, and the C=O
379 peak (COOH) shifted back to 1720 cm^{-1} . Upon a further increase in RH, they grew continuously by water
380 absorption. The ERH and DRH were attributed to the NaCl moiety as the Raman spectra maintained the
381 peak patterns during the entire process, and the organic components condensed onto the NaCl crystal core
382 almost simultaneously as an amorphous shell when efflorescence occurred, which is also indicated by the
383 optical images. Before the complete deliquescence of the NaCl crystal core, the water peak at $\sim 3455\text{ cm}^{-1}$
384 in the Raman spectra and the optical images at RH = 72.4% and 73.8% of the particles from the
385 MBTCA:NaCl = 1:2 and 1:3 solutions show that the organic shell was in the liquid phase, meaning that
386 the mixture particles were in a solid-liquid equilibrium state (Sun et al., 2018). The Raman spectra shown
387 in the figures were all obtained in the center of the particles. The Raman spectra were obtained both at
388 the center and the edge of the particles for comparison during the measurement when the heterogeneity
389 appeared during the hygroscopic measurements. The spectra from the center and the edge were different

390 only in the intensity since NaCl is Raman inactive. As shown in the Fig. S3, the Raman spectra which
391 were obtained from the center and the edge of an exemplar MBTCA:NaCl = 1:3 particle during the
392 humidification process, match well after normalization to the CH peak at 1460 cm^{-1} .

393 All the particles from MBTCA:NaCl = 1:2 and 1:3 solutions showed hysteresis curves with ERHs in
394 the range of 46.7-45.2% and 47.2-45.6%, respectively, and DRHs = $73.9(\pm 0.3)\%$ and $74.5(\pm 0.3)\%$,
395 respectively.

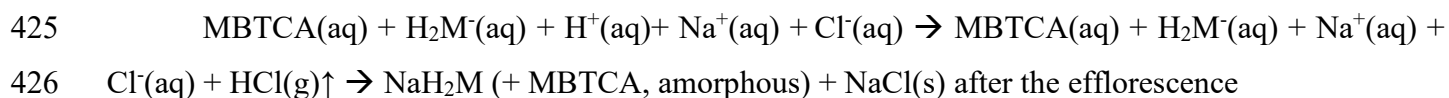
396

397 **3.3.3 Chemical reactivity of aerosols generated from MBTCA–NaCl mixture solutions**

398 The first Raman spectra of the aerosols generated from MBTCA-NaCl mixture solutions in Figs. 4
399 and 5 were obtained before the dehydration process, which are comparable to that of pure MBTCA droplet
400 particle in Fig. 2 except for a much stronger free water peak at $3450\text{-}3470\text{ cm}^{-1}$ due to the presence of a
401 more hygroscopic NaCl moiety. This suggests that upon nebulization from the solutions, the mixture
402 droplets were mostly the MBTCA-NaCl binary system. The Raman spectra obtained at the beginning of
403 the dehydration process and the end of the humidification process revealed increased and decreased ratios
404 of the CH peak at $\sim 1460\text{ cm}^{-1}$ to the C=O peaks at $\sim 1720\text{ cm}^{-1}$ (from COOH) and $\sim 1412\text{ cm}^{-1}$ (from
405 COO^-), respectively, which implies that the reaction between MBTCA and NaCl occurred during the
406 hygroscopic experiment, leading to the decreased and increased levels of the COOH and COO^- moieties,
407 respectively. It is worth noticing that the dehydration and humidification curves of the MBTCA-NaCl
408 mixtures did not overlap with each other mainly because of the different amounts of NaCl in the
409 dehydration and humidification processes. As shown in the Fig. S2, NaCl is quite hygroscopic with
410 around four times change in 2-D area after deliquescence, so the decreased amount of NaCl in the mixtures
411 also lead to the smaller 2-D area when the MBTCA-NaCl mixture particles experienced hygroscopic
412 growth during the humidification process, compared to those before dehydration. Fig. 6(a) presents the
413 Raman spectra of particles generated from MBTCA:NaCl = 1:1, 1:2, and 1:3 solutions together with that
414 of NaH_2M particles obtained at the end of humidification by normalizing to the CH peak at 1458 cm^{-1} .
415 The C=O peak intensities at 1720 cm^{-1} (from COOH) and 1412 cm^{-1} (from COO^-) of the particles
416 generated from the mixture solutions were higher and lower, respectively, than those of the NaH_2M
417 particle, suggesting that the aerosols generated from the MBTCA-NaCl solutions produced only NaH_2M

418 as the reaction product between MBTCA and NaCl, regardless of the mixing ratios. The droplet particles
419 after the humidification process were present as an MBTCA-NaCl-NaH₂M ternary system with varying
420 compositions. As the first acid dissociation constant of MBTCA (pK_{a1} = 3.59) is more than 1 and 3 orders
421 of magnitude larger than the second (pK_{a2} = 4.85) and third (pK_{a3} = 6.79), respectively, H₂M⁻ is more
422 abundant than HM²⁻ and M³⁻. The chemical reaction between NaCl and MBTCA would occur in the
423 aqueous phase as follows:

424



427

428 The NaH₂M particles may exist as amorphous particles, as described before in section 3.2. Raman spectra
429 of standard aerosols generated from solutions of MBTCA:NaH₂M = 0:1, 1:1, and 1:0 were obtained at
430 different RHs to estimate the chemical reactivity of the aerosol particles generated from the MBTCA-
431 NaCl mixture solutions, which were used as a calibration curve to help determine the relative MBTCA
432 and NaH₂M contents in the aerosols at specific RHs. The estimation of the chemical reactivity between
433 malonic acid and NaCl performed in the similar way was reported in a previous study (Li et al., 2017).
434 The Raman spectra of MBTCA, NaH₂M, and mixture aerosols of MBTCA:NaH₂M = 1:1 obtained at RH
435 = 90% and normalized to the CH₃ peak at 1460 cm⁻¹ showed that the intensity ratio of the two peaks at
436 1460 cm⁻¹ (CH₃) and ~1720 cm⁻¹ (C=O from COOH) (i.e., I₁₄₆₀/I₁₇₂₀) increased with increasing NaH₂M
437 level because of the decreased COOH content, as shown in Fig. 6(b). The ratio, I₁₄₆₀/I₁₇₂₀, for each
438 standard aerosol exhibited good linearity as a function of RH, as shown in Fig. 7(a), where the mean
439 values obtained from 10 aerosols of each standard aerosol sample are plotted with error-bars. The Raman
440 intensity ratios of the standard aerosols increased with decreasing RH because the C=O stretching
441 vibrations of the free COOH group in the aqueous phase and the intramolecular hydrogen-bonded COOH
442 group in the supersaturated phase become weaker and stronger (Bertran et al., 2010), respectively, with
443 decreasing RH during the dehydration process.

444 The dependency of the I₁₄₆₀/I₁₇₂₀ ratios on RH can be used to estimate the MBTCA and NaH₂M
445 (monosodium MBTCA salt) contents in the NaCl-MBTCA aerosols at specific RHs based on the

446 calibration curve and to calculate the further reactivity. The chemical reactivity of the mixtures is
447 represented as the degree of the reaction, which is defined as the ratio of consumed to the original amount
448 of the limiting reactant. For example, for aerosols from solutions of MBTCA:NaCl = 2:1 and 1:2, NaCl
449 and MBTCA are the limiting reactants, respectively. Fig. 7(b) shows the degree of the reaction of aerosols
450 generated from solutions of each mixing ratio, where the mean degree of reaction has ~1.5-4% deviations
451 owing to statistical variations in the Raman peak intensities caused by the baseline correction procedure
452 and the uncertainties involved in the calibration measurements. The reactivity was estimated at five stages
453 during one cycle hygroscopic experiment.

454
455 *Stage 1;* At the beginning of the hygroscopic experiment, no reaction occurred for all the mixed
456 droplets based on their Raman spectra, i.e., the degree of the reaction is 0.

457
458 *Stage 2;* As the RH decreased during the dehydration process, the reaction continued in the
459 aqueous aerosols until efflorescence of the droplets with mixing ratios of MBTCA:NaCl = 1:2 and
460 1:3 had occurred, and until the water content of the aerosols with mixing ratios of MBTCA:NaCl
461 = 1:1 and 2:1 became insignificant. The degrees of the reaction of aerosols with mixing ratios of
462 1:1, 1:2, and 1:3 were approximately 30%, whereas that of 2:1 approached 85%.

463
464 *Stage 3;* The reaction of aerosols generated from the solution of mixing ratio of MBTCA:NaCl =
465 2:1 was complete at the end of the dehydration process, indicating the total consumption of NaCl
466 and the formation of an MBTCA:NaH₂M = 1:1 mixture aerosol. The Raman spectra of the aerosols
467 with mixing ratios of MBTCA:NaCl = 1:1, 1:2, and 1:3 at the end of the dehydration process were
468 unsuitable for the reactivity estimation mostly due to their heterogeneous structure in the presence
469 of a NaCl core.

470
471 *Stages 4 and 5;* The reaction proceeded after deliquescence when the free H⁺ and Cl⁻ became
472 available again for aerosols with mixing ratios of MBTCA:NaCl = 1:1, 1:2, and 1:3, and a small

473 increase in the degree of reaction (~5%) was observed at the end of humidification for these
474 mixture droplets.

475
476 Most of the reactions occurred in the aqueous phase during the dehydration process with considerable
477 amounts of aqueous H^+ from MBTCA and Cl^- from NaCl available for HCl liberation. During the entire
478 experiment, the reactivity followed the sequence of MBTCA:NaCl = 2:1 > 1:3 > 1:2 > 1:1, where the
479 reactivity appeared to be enhanced when either of the reactants is enriched. On the other hand, the reaction
480 was complete only when aqueous H^+ was sufficiently available, i.e., the reaction depended mostly on the
481 triacid level. The real-time aerosol mixture components based on the reactivity estimation of each mixing
482 ratio at specific RHs are shown on the hygroscopic curves in Figs. 4 and 5.

483 The morphology and elemental distribution of effloresced MBTCA-NaCl particles were examined by
484 SEM/EDX. Figure 8(a) shows the secondary electron images (SEIs) of the exemplar particles of each
485 mixing ratio. The elemental X-ray maps for MBTCA:NaCl = 1:1 and 1:2 particles suggest that the NaCl
486 solid moiety (represented by Na and Cl X-ray maps) crystallized homogeneously at small spots inside the
487 organic moiety. For MBTCA:NaCl = 1:3 particles with a significant amount of NaCl, the NaCl solid
488 existed as a core surrounded by the organic moiety. The organic mixture of MBTCA and NaH_2M
489 (represented by C and Na) condensed onto the NaCl core almost simultaneously when efflorescence
490 occurred, while maintaining a relatively circular morphology, even after being inserted into the vacuum
491 SEM chamber, which also indicates the low crystallization tendency of the organic moiety. The different
492 shapes of organic shell-inorganic core structures depending on the organic mass fraction and RH are
493 reported elsewhere (Karadima et al., 2019). The homogeneous structure of C and Na and the absence of
494 Cl for particles with mixing ratios of MBTCA:NaCl = 2:1, as shown in the corresponding SEIs and X-
495 ray spectrum in Figs. 8(a) and (b), confirmed that the reaction was complete at the end of the dehydration
496 process. The reaction between MBTCA and NaCl and the changes in the microstructures after the reaction
497 are expected to have some atmospheric implications since they may have enhanced ability to facilitate
498 further heterogeneous reactions in the atmosphere because of their low crystallization property. Na (from
499 both NaH_2M and NaCl) and Cl (from NaCl) levels were used to estimate the degrees of reaction for the

500 MBTCA:NaCl = 1:1, 1:2, 1:3, and 2:1 systems, which were estimated to be ~25%, ~30%, ~37%, and
501 100%, respectively, with well matching to those from the Raman analysis by 5-8% differences.

502

503 **3.4 Hygroscopic behavior of pure MBTCA and MBTCA–NaCl mixture particles in the levitation** 504 **system**

505 The data acquired from the levitation system for contactless experiments on particles of ~80 μm were
506 used to compare with those obtained for aerosols on the Si wafer in the see-through impactor. The droplets
507 were introduced into the levitator and dried rapidly at RH = ~10% within 15 minutes (first rapid
508 dehydration, i.e., a quenching process), and humidified progressively to RH = 80%. Once RH = 80%, the
509 particles were dehydrated gradually until RH = ~10% (second dehydration).

510 Two types of hygroscopic behavior of pure MBTCA particles were observed, corresponding closely
511 to types 1 and 3 aerosol particles in the see-through impactor system, due to heterogeneous crystallization
512 induced by impurity seed crystals and homogeneous crystallization, respectively, confirming that once
513 the MBTCA particles overcome the kinetic barrier and effloresce into solids, they no longer capture water
514 significantly. The ERH was ~49-54%. The Raman spectra and optical images are not shown separately.

515 The Raman spectra and optical images of particles composed of MBTCA:NaCl = 1:1, 1:2, and 1:3
516 mixing ratios are shown in Fig. 9. After the first rapid dehydration of the particles, the existence of peaks
517 at 1655 and 1720 cm^{-1} was observed for all the mixtures, and the relative intensity of the peak at ~1720
518 cm^{-1} increased with the increase of the NaCl content, suggesting the formation of the mixture of solid
519 MBTCA and amorphous moiety either from MBTCA or NaH_2M . During the humidification process, the
520 Raman peak at 1720 cm^{-1} and the particle size grew continuously with increasing RH. Transitions were
521 observed at RH = ~71%, ~74.5%, and ~75% for MBTCA:NaCl = 1:1, 1:2, and 1:3 mixture particles,
522 respectively, with the water peak at ~3500 cm^{-1} becoming significant for the three compositions. The
523 observed transition points were attributed to the deliquescence of NaCl within the particle with the
524 MBTCA moiety partially remaining as a solid phase, and the elevated NaCl content strongly enhanced
525 the ability of the particles to uptake water. The peak related to the solid portion at 1655 cm^{-1} disappeared
526 only for the MBTCA:NaCl = 1:3 mixture particles at the end of humidification, suggesting that the particle
527 had transformed completely into a droplet. During the second dehydration process, the particles showed

528 the entire release of water, as illustrated by the disappearance of the peak at 3500 cm^{-1} at $\text{RH} = \sim 50\%$,
529 i.e., the ERH, for all the mixtures while maintaining the peak patterns and positions until the lowest RH.
530 The Raman spectra recorded at the end of dehydration revealed both solid and amorphous phases for the
531 MBTCA:NaCl = 1:1 and 1:2 mixtures due to the existence of the peaks at 1655 and 1720 cm^{-1} . In contrast,
532 only the 1720 cm^{-1} associated with the amorphous composition was observed for the MBTCA:NaCl =
533 1:3 mixture, suggesting that the reaction between MBTCA and NaCl was facilitated extensively by the
534 increased NaCl concentration while absorbing sufficient moisture. The conspicuous DRHs and ERHs of
535 all the mixtures in the levitation system demonstrated a smaller degree of reaction between MBTCA and
536 NaCl compared to those obtained in the see-through impactor, which might be caused by the relatively
537 closed atmosphere in the levitator as the default settings of N_2 flow inside the see-through impactor cell
538 and the levitation cell were 4 and $0.2\text{ L}\cdot\text{min}^{-1}$, respectively, i.e., less release of HCl. The larger size of the
539 levitated particles could limit the release of HCl (Kerminen et al., 1997). In addition, the quenching
540 process, i.e., the starting point of the hygroscopicity experiments, induced the solidification of MBTCA,
541 and further, a slow reaction between MBTCA and NaCl.

542

543 **4. Conclusions and atmospheric implication**

544 The hygroscopic behavior, physical states, and chemical reactivity of pure MBTCA particles, mono-
545 /di-/tri-sodium MBTCA salt particles, and MBTCA-NaCl particles of different mixing ratios were
546 examined by in situ RMS assembled with a see-through impactor as the starting point with dehydration.
547 The DRHs and ERHs of the laboratory-generated particles in the micrometer size range at room
548 temperature were determined by monitoring the change in the particle area in the 2-D optical images and
549 the corresponding Raman spectra at transition points with RH variation of ~ 1 -95%. Pure MBTCA showed
550 three types of hygroscopic behaviors in that types 1 and 2 particles effloresced suddenly and gradually,
551 respectively, at $\text{ERH} = 30$ -58% during the dehydration process, whereas type 3 particles crystallized
552 during the humidification process at $\text{RH} = \sim 37\%$, not during the dehydration process because of a kinetic
553 barrier to nucleation with limited condensed water. Subsequently, all particles maintained their crystal
554 structure until $\text{RH} = 95\%$. The mono- and di-sodium MBTCA salt aerosols did not show a clear ERH and
555 DRH during the dehydration and humidification processes, respectively. In contrast, the tri-sodium

556 MBTCA showed ERH = ~44.4-46.8% (during humidification) and DRH = ~53.1%. The MBTCA-NaCl
557 droplets with molar mixing ratios of MBTCA:NaCl = 1:1 and 2:1 showed no distinct DRH and ERH
558 because of the partial and complete reactions with NaCl, respectively, whereas those with ratios of
559 MBTCA:NaCl = 1:2 and 1:3 experienced single-stage efflorescence and deliquescence governed by the
560 excess NaCl. Only monosodium MBTCA (NaH₂M) could be formed as a result of the reaction between
561 NaCl and MBTCA regardless of the mixing ratios, mostly during the dehydration process within the
562 timescale of one to two hours according to Raman analysis, indicating that the MBTCA-NaCl mixture
563 systems are in an MBTCA-NaH₂M-NaCl ternary system except when NaCl has reacted completely in the
564 mixture aerosols of MBTCA:NaCl = 2:1 ratio. The MBTCA-NaH₂M existed as amorphous solids, even
565 when the excess crystalline NaCl acted as a heterogeneous nucleation core, which was also confirmed by
566 X-ray mapping. The reaction occurred more rapidly with a more elevated concentration of either MBTCA
567 or NaCl, and the controlling factor for the reactivity of the mixtures depended mostly on the availability
568 of H⁺ dissociated from the MBTCA tricarboxylic acid. The hygroscopic experiments for pure MBTCA
569 and MBTCA-NaCl mixture particles were also performed in a levitation system with the starting point
570 from humidification after the quenching process and the RH variation of ~10 to 80%. The results acquired
571 from the levitation system are consistent with those obtained from the see-through impactor, only with
572 less reaction between MBTCA and NaCl resulting from the airtight atmosphere inside the levitator and
573 the partial solidification of MBTCA after the quenching process. In addition, the elevated NaCl moiety
574 can eventually transform the solidified MBTCA into droplets through reactions when absorbing adequate
575 moisture.

576 These observations are expected to have important atmospheric implications in that they may help to
577 better understand the complexity of real ambient SOA and inorganic mixture particles. In this study, the
578 hygroscopicity of MBTCA was altered significantly when mixed with NaCl due to the reaction, so that
579 they are more likely to contribute to further gas-particle interactions. The amorphous phase state may
580 influence the uptake of gaseous photo-oxidants as well as the chemical transformation and aging of
581 atmospheric aerosols (Mikhailov et al., 2009). The observed aqueous shell with the solid core upon the
582 humidification of the mixture particles with mixing ratios of MBTCA:NaCl = 1:2 and 1:3 before the total
583 dissolution of NaCl can scatter solar radiation more efficiently (Adachi et al., 2011; Sun et al., 2018). The

584 aerosol liquid water can promote heterogeneous aqueous-phase chemical processes, resulting in the facile
585 formation of secondary aerosols (Cheng et al., 2016; Li et al., 2019). Recently, heterogeneous reactions
586 in aerosol water were reported to be a significant mechanism for haze formation in North China (Sun et
587 al., 2018). Overall, the hygroscopic curve, Raman signatures, and X-ray maps of the effloresced particles
588 provided clear features of the hygroscopic behavior and chemical reactivity of the MBTCA-NaCl mixture
589 system covered in this study. These results are expected to provide insights into the physicochemical
590 characteristics and atmospheric chemistry of highly oxidized SOAs mixed with inorganic particles.

591

592 *Data availability.* The data used in this study are available upon request; please contact Chul-Un Ro
593 (curo@inha.ac.kr).

594

595 *Author contributions.* LW, CB, SS, and CR designed the experiment. LW, CB, and SS carried out the
596 measurements and/or analyzed the data. LW, CB, SS, PF, EP, EV, YS and CR contributed discussion of
597 the data. LW, SS, and CR drafted the paper.

598

599 *Competing interests.* The authors declare that they have no conflict of interest.

600

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609

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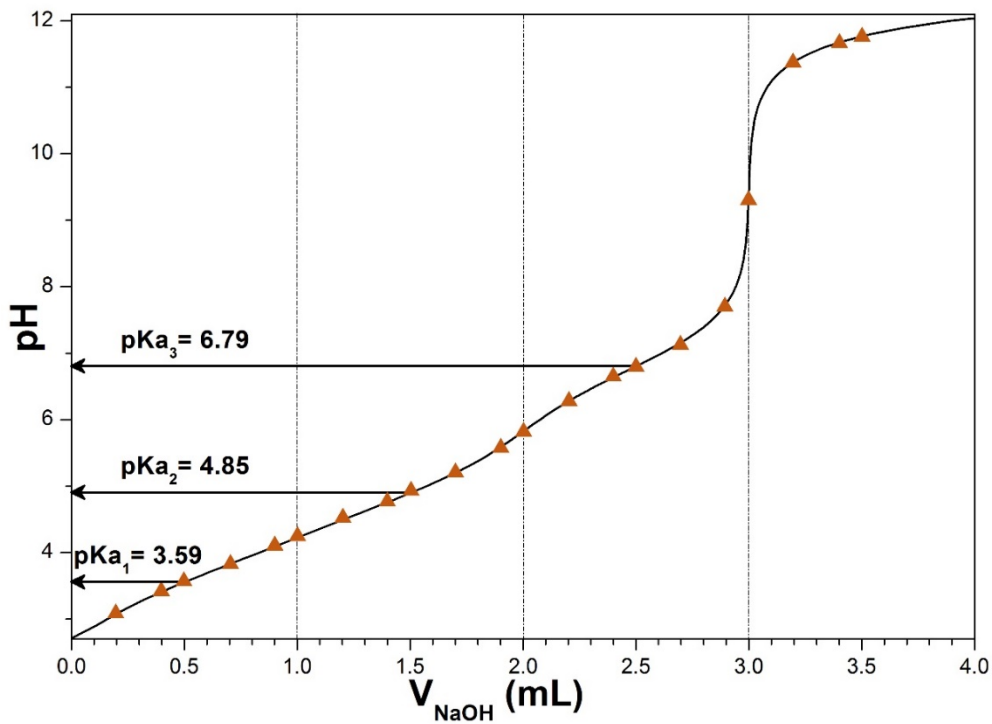
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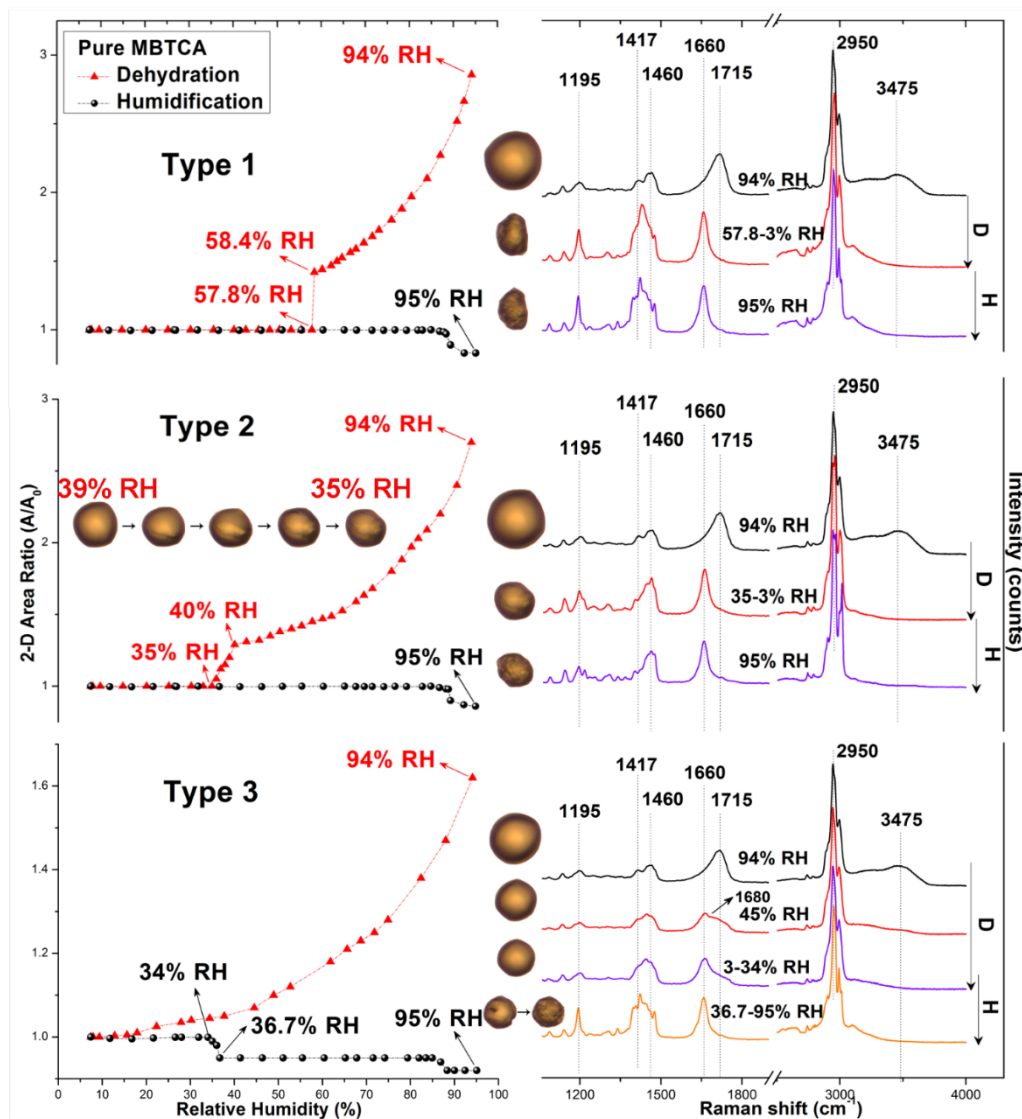
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981 Figure 1. Calculated titration curve for MBTCA, noted as H₃M in this figure. The experimental data are
982 shown as orange triangles. 5 mL of 0.02 M H₃M was titrated with a 0.1 M NaOH solution.
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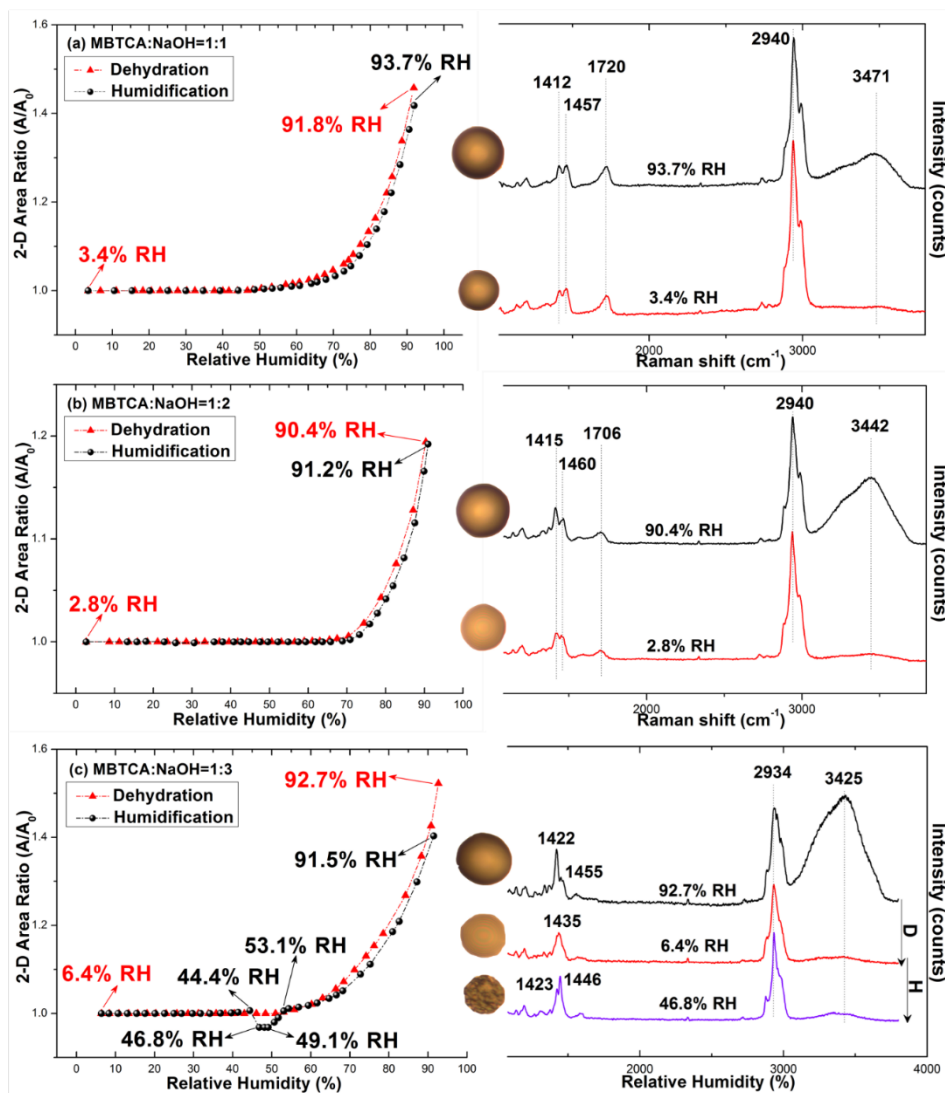


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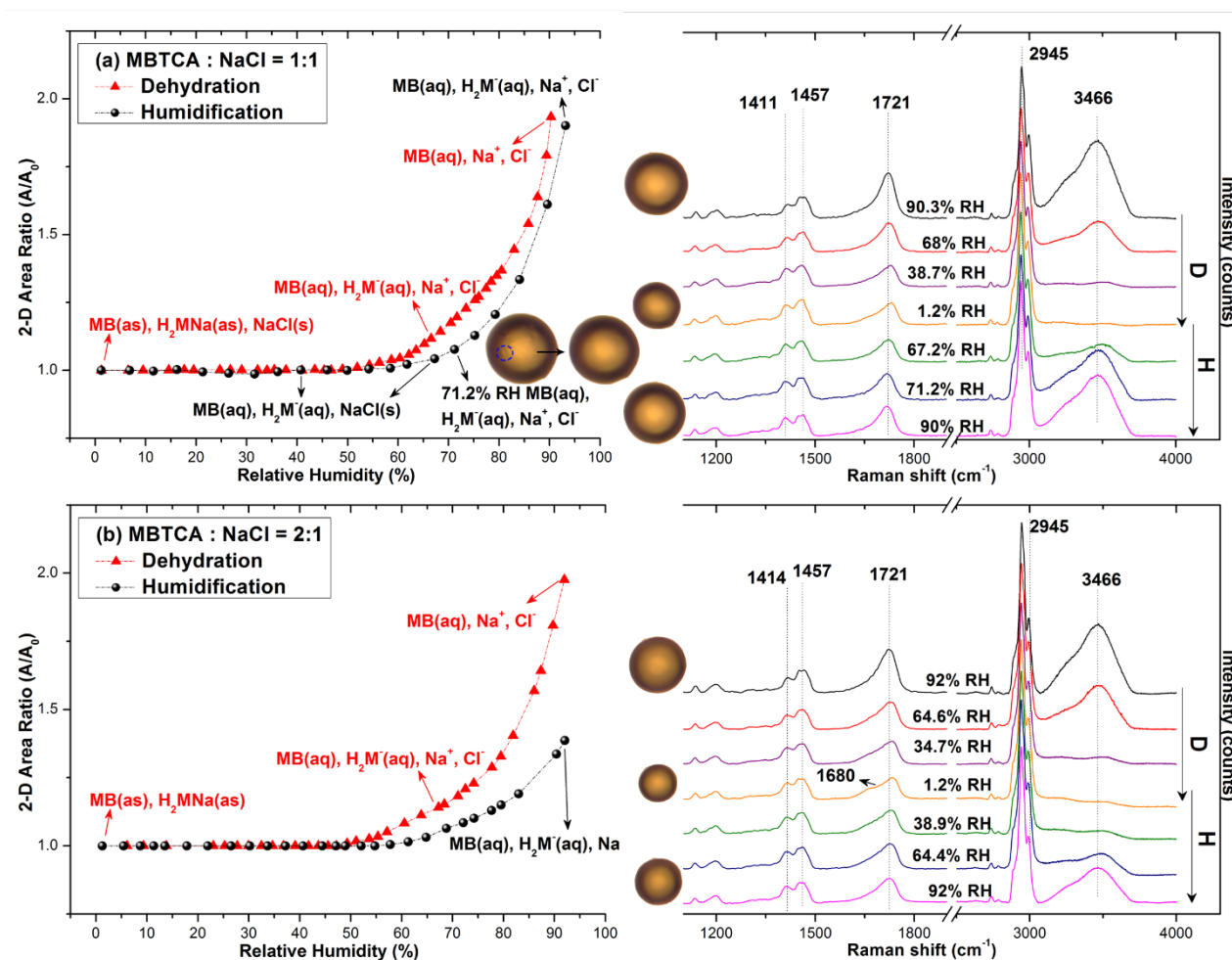
Figure 2. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of three types of pure MBTCA particles. The transition RHs recorded during the dehydration (D) and humidification (H) processes are marked with arrows in the hygroscopic curves.



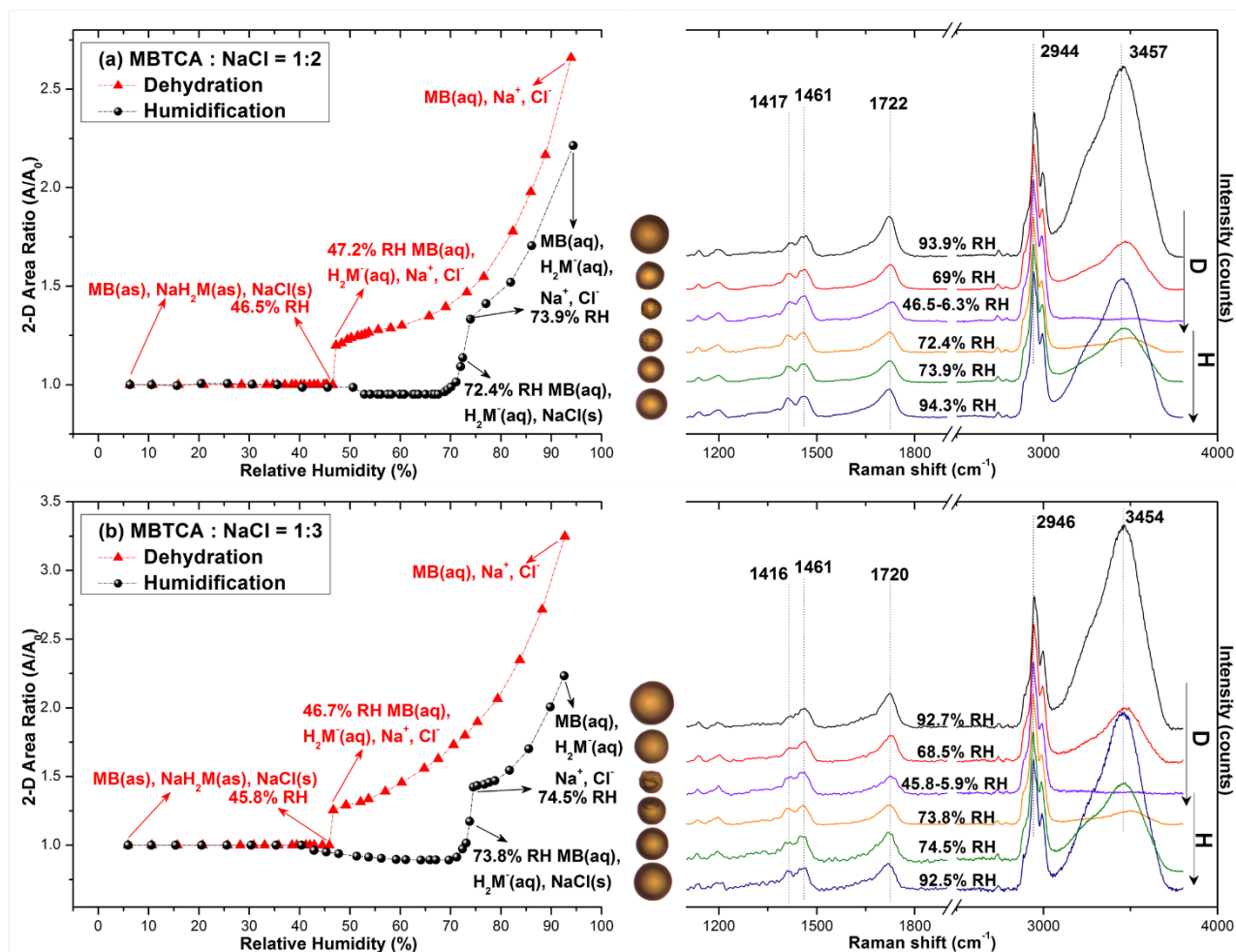
995 Figure 3. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of (a)
 996 mono-, (b) di-, and (c) tri-sodium MBTCA salt aerosols. The recorded transition RHs during the
 997 dehydration and humidification processes are marked with arrows in the hygroscopic curves.
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1000 Figure 4. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of MBTCA:NaCl = (a) 1:1 and (b) 2:1.
 1001 The recorded transition RHs during the dehydration (D) and humidification (H) processes and the chemical compositions of the mixtures
 1002 at certain RHs are marked with arrows in the hygroscopic curves. The phase notations shown in parenthesis are s=solid; aq=aqueous;
 1003 and as=amorphous solid.
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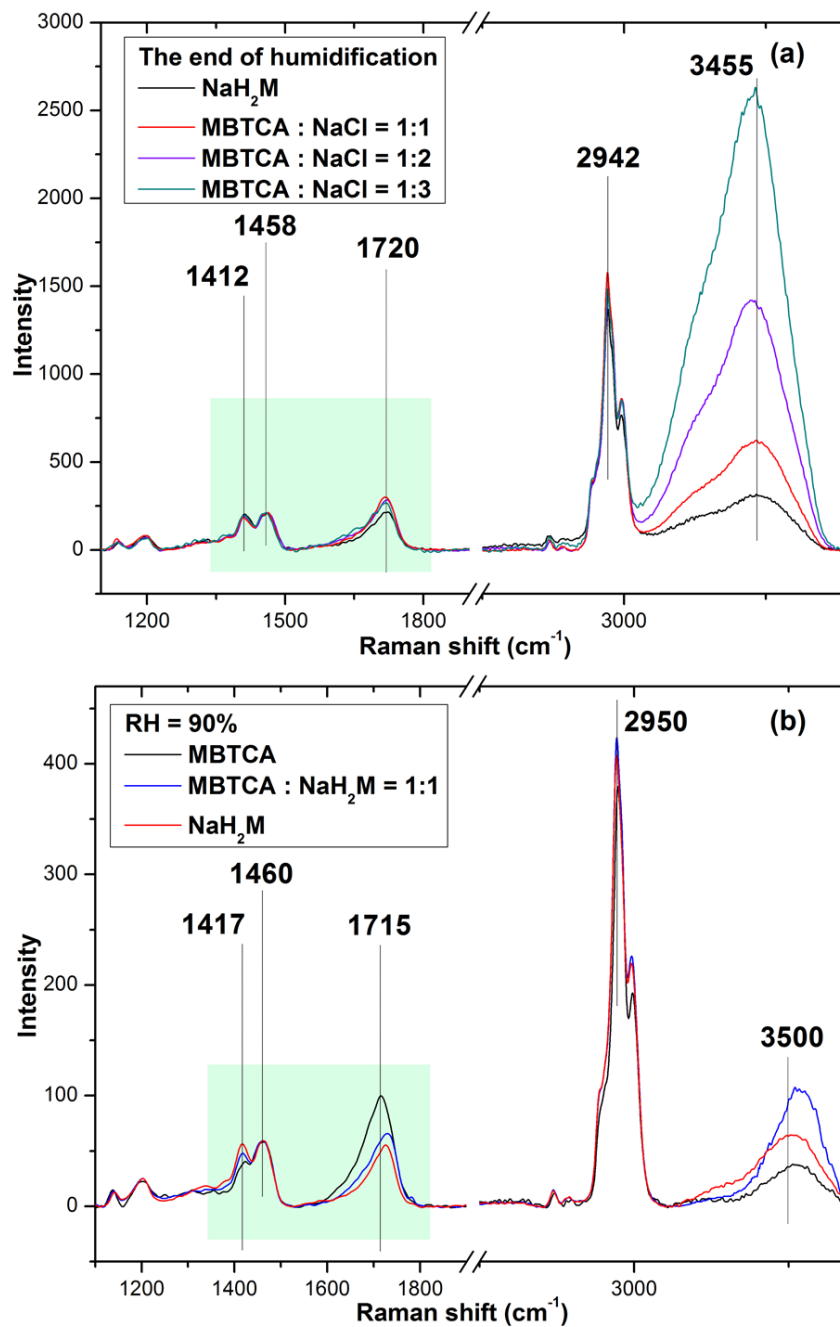


1006 Figure 5. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of MBTCA:NaCl = (a) 1:2 and (b) 1:3.
 1007 The recorded transition RHs during the dehydration (D) and humidification (H) processes and the chemical compositions of the mixtures
 1008 at certain RHs are marked with arrows in the hygroscopic curves. The phase notations shown in parenthesis are s=solid; aq=aqueous;
 1009 and as=amorphous solid.



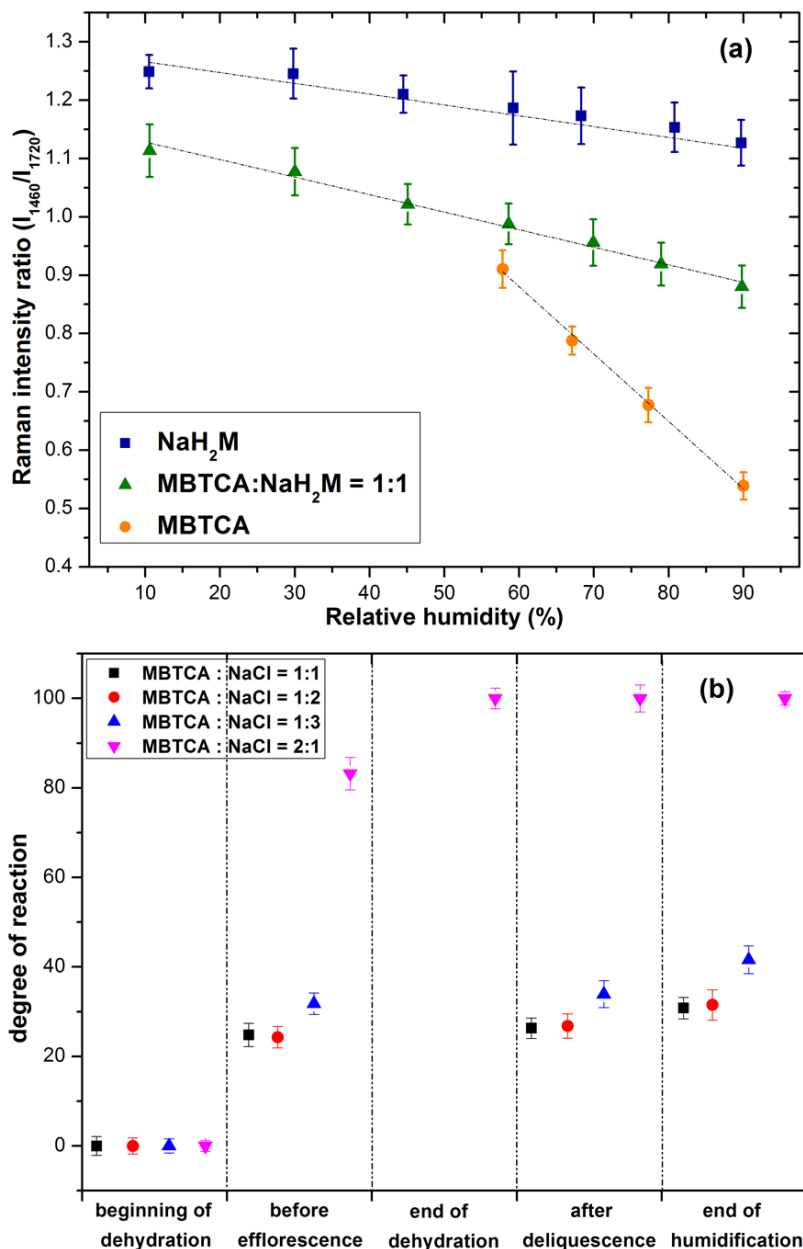
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Figure 6. (a) Raman spectra of pure NaH_2M and mixture aerosols with mixing ratios of MBTCA: NaCl = 1:1, 1:2, and 1:3 obtained at the end of the humidification process, which were normalized to the CH_3 peak at 1458 cm^{-1} and (b) Raman spectra of pure MBTCA, mixture of MBTCA: NaH_2M = 1:1, and pure NaH_2M , which are normalized to the CH_3 peak at 1460 cm^{-1} .



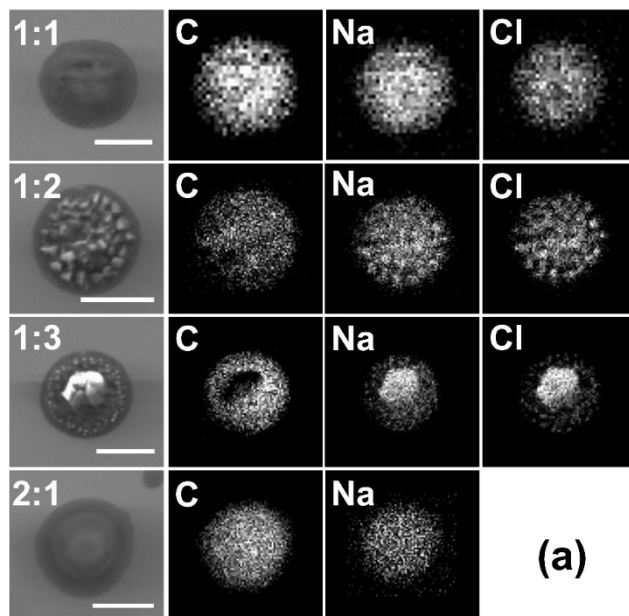
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Figure 7. (a) Calibration curve calculated from the intensity ratios of two peaks at 1460 and 1720 cm^{-1} as a function of RH for NaH_2M , $\text{MBTCA}:\text{NaH}_2\text{M} = 1:1$, and MBTCA aerosols; (b) chemical reactivity represented as the degree of reaction for mixture aerosols of $\text{MBTCA}:\text{NaCl} = 1:1, 1:2, 1:3,$ and $2:1$ during the dehydration and humidification processes.

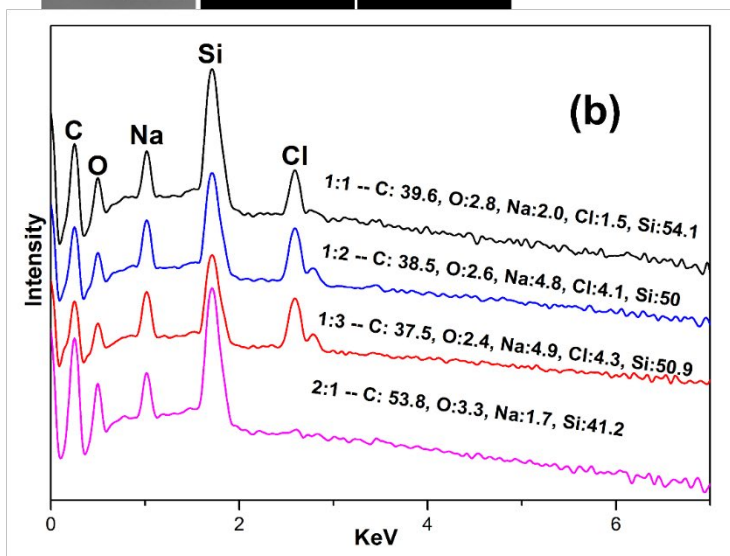


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Figure 8. (a) Secondary electron images (SEIs) and elemental X-ray maps for C (from MBTCA and NaH₂M), Na (from NaH₂M and NaCl), and Cl (from NaCl). The scale bars are for 5 μm; (b) X-ray spectra and elemental concentrations of particles with four mixing ratios.



(a)



(b)

1027 Figure 9. Hygroscopic curves, corresponding optical images, and Raman spectra at specific RHs of MBTCA:NaCl = (a) 1:1, (b) 1:2,
 1028 and (c) 1:3 mixture particles in the levitation system. The recorded transition RHs during the humidification (H) and dehydration
 1029 processes and the chemical compositions of the mixtures at certain RHs are marked with arrows in the hygroscopic curves. The phase
 1030 notations shown in parenthesis are s=solid; aq=aqueous; and as=amorphous solid.
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