

# **A Phenomenology of New Particle Formation (NPF) at Thirteen European Sites**

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## 43 **ABSTRACT**

44 New particle formation (NPF) events occur almost everywhere in the world and can play an  
45 important role as a particle source. The frequency and characteristics of NPF events vary spatially  
46 and this variability is yet to be fully understood. In the present study, long term particle size  
47 distribution datasets (minimum of three years) from thirteen sites of various land uses and climates  
48 from across Europe were studied and NPF events, deriving from secondary formation and not  
49 traffic related nucleation, were extracted and analysed. The frequency of NPF events was  
50 consistently found to be higher at rural background sites, while the growth and formation rates of  
51 newly formed particles were higher at roadsides, underlining the importance of the abundance of  
52 condensable compounds of anthropogenic origin found there. The growth rate was higher in  
53 summer at all rural background sites studied. The urban background sites presented the highest  
54 uncertainty due to greater variability compared to the other two types of site. The origin of  
55 incoming air masses and the specific conditions associated with them greatly affect the  
56 characteristics of NPF events. In general, cleaner air masses present higher probability for NPF  
57 events, while the more polluted ones show higher growth rates. However, different patterns of NPF  
58 events were found even at sites in close proximity (< 200 km) due to the different local conditions  
59 at each site. Region-wide events were also studied and were found to be associated with the same  
60 conditions as local events, although some variability was found which was associated with the  
61 different seasonality of the events at two neighbouring sites. NPF events were responsible for an  
62 increase in the number concentration of ultrafine particles of more than 400% at rural background

63 sites on the day of their occurrence. The degree of enhancement was less at urban sites due to the  
64 increased contribution of other sources within the urban environment. It is evident that, while some  
65 variables (such as solar radiation intensity, relative humidity or the concentrations of specific  
66 pollutants) appear to have a similar influence on NPF events across all sites, it is impossible to  
67 predict the characteristics of NPF events at a site using just these variables, due to the crucial role of  
68 local conditions.

69

70 **Keywords:** Nucleation; New Particle Formation; Ultrafine Particles; Roadside; Urban Background;  
71 Rural

72

## 73 1. INTRODUCTION

74 Ultrafine particles (particles with diameter smaller than 100 nm), while not yet regulated, are  
75 believed to have adverse effects upon air quality and public health (Atkinson et al., 2010; Politis et  
76 al., 2008; Tobías et al., 2018), as well as having a direct or indirect effect on atmospheric properties  
77 (Makkonen et al., 2012; Seinfeld and Pandis, 2012). The source of ultrafine particles can either be  
78 from primary emissions (Harrison et al., 2000; Masiol et al., 2017), including delayed primary  
79 emissions (Hietikko et al., 2018; Olin et al., 2020; Rönkkö et al., 2017), or from secondary  
80 formation from gaseous precursors (Brean et al., 2019; Chu et al., 2019; Kerminen et al., 2018;  
81 Kulmala et al., 2004a; Yao et al., 2018), which is considered as an important source of CCN in the  
82 atmosphere (Dameto de España et al., 2017; Kalivitis et al., 2015; Spracklen et al., 2008). For the  
83 latter, while the process of formation of initial clusters that subsequently lead to particle formation  
84 has been extensively studied (Dal Maso et al., 2002; Kulmala et al., 2014; Riipinen et al., 2007;  
85 Weber et al., 1998), there is no consistent explanation of the factors which determine the occurrence  
86 and development of NPF events in the atmosphere. Additionally, events that resemble NPF, with  
87 the initial particles deriving from primary emissions, especially close to traffic sources (Rönkkö et  
88 al., 2017), have been also reported but these are out of the scope of the present study.

89

90 A large number of studies both in laboratories and in real world conditions have been conducted to  
91 either describe or explain the mechanisms that drive NPF events. The role of meteorological  
92 conditions, such as solar radiation intensity (Kumar et al., 2014; Shi et al., 2001; Stanier et al.,

2004) and relative humidity (Li et al., 2019; Park et al., 2015), are well documented, while great diversity was found for the effect of other meteorological factors such as the wind speed (Charron et al., 2008; Németh and Salma, 2014; Rimnácová et al., 2011) or temperature (Jeong et al., 2010; Napari et al., 2002). There are also influences of atmospheric composition, with the positive role of low condensation sink and concentrations of pollutants such as NO<sub>x</sub> upon NPF event occurrence being widely agreed upon (Alam et al., 2003; Cheung et al., 2013; Kerminen et al., 2004; Wang et al., 2014; Wehner et al., 2007). Contrary to that, while the indirect role of SO<sub>2</sub> is well established in the nucleation process, via the formation of new clusters of H<sub>2</sub>SO<sub>4</sub> molecules (Boy et al., 2005; Iida et al., 2008; Kulmala et al., 2005; Sipila et al., 2010; Xiao et al., 2015), uncertainty exists in the role that different concentrations of SO<sub>2</sub> play in the occurrence of NPF events in real world atmospheric conditions (Alam et al., 2003; Dall'Osto et al., 2018; Wonaschütz et al., 2015; Woo et al., 2001). Ammonia is known to enhance the formation of initial clusters (Korhonen et al., 1999; Ortega et al., 2008; Schobesberger et al., 2015), and volatile organic compounds are regarded as the main drivers of the growth of the newly formed particles (Kulmala et al., 2013; Riccobono et al., 2014; Tröstl et al., 2016). NPF events in different locations do not appear to follow consistent trends with the concentrations of these compounds and meteorological parameters (McFiggans et al., 2019; Minguillón et al., 2015; Riipinen et al., 2007), though links between NPF events and sulphuric acid vapour concentrations (Petäjä et al., 2009; Weber et al., 1995) and organics (Bianchi et al., 2019; Ehn et al., 2014) have been reported.

112

113 It is evident that NPF events and their development are complex, and local conditions play an  
114 important role in their variability. Many studies have attempted to explain this variability by  
115 analyzing multiple datasets from wider areas. Studies in the UK (Bousiotis et al., 2019; Hama et al.,  
116 2017), Spain (Brines et al., 2014; Carnerero et al., 2018; Dall'Osto et al., 2013; Minguillón et al.,  
117 2015), Hungary (Németh and Salma, 2014; Salma et al., 2014, 2016), Greece (Kalkavouras et al.,  
118 2017; Siakavaras et al., 2016), Germany (Costabile et al., 2009; Ma and Birmili, 2015; Sun et al.,  
119 2019) and China (Peng et al., 2017; Shen et al., 2018; Wang et al., 2017) have attempted to explain  
120 the differences found in NPF event conditions and variability between different sites in close  
121 proximity, while larger scale studies using descriptive (Brines et al., 2015; Hofman et al., 2016;  
122 Jaatinen et al., 2009; Kulmala et al., 2005) or statistical methods (Dall'Osto et al., 2018; Rivas et  
123 al., 2020) have provided insights into the effect of the variability of parameters that are considered  
124 to play an important role in the occurrence and development of NPF events on a broader scale.

125  
126 The present study, combining thirteen long term datasets (minimum of three years) from different  
127 countries across Europe and combined with the results from a previous study in the UK, attempts to  
128 elucidate the effect of the local conditions on NPF event characteristics (frequency of NPF events,  
129 formation rate and growth rate) both for sites in close proximity ( $< 200$  km), and by  
130 intercomparison of sites on a continental scale in order to find general trends of the variables that  
131 affect the characteristics and development of NPF events on a larger scale. Finally, the effect of

132 NPF events upon the ultrafine particle number concentrations was calculated, providing insight to  
133 the potential of NPF events to influence the local air quality conditions in all areas studied.

134

## 135 **2. DATA AND METHODS**

### 136 **2.1 Site Description and Data Availability**

137 In the present study, particle number size distribution data from 13 sites in Europe (Figure 1) are  
138 analysed in the size range  $3 \text{ nm} < D_p < 1000 \text{ nm}$ . A detailed list of the site locations and the data  
139 available for each is found in Table 1 (seasonal data availability is found in Table S1). For site naming  
140 the first three letters refer to the country (DEN = Denmark, GER = Germany, FIN = Finland, SPA =  
141 Spain, GRE = Greece) while the next two refer to the type of site (RU = Rural background, UB =  
142 Urban background, RO = Roadside). Average meteorological conditions and concentrations of  
143 chemical compounds for all sites are found in Tables S2 and S3 respectively; their seasonal variation  
144 is found in Table S4.

145

### 146 **2.2 Methods**

#### 147 **2.2.1 NPF event selection**

148 The identification of NPF events was conducted manually using the criteria set by Dal Maso et al.  
149 (2005). According to these, a NPF event is considered to occur when:

- 150 • a distinctly new mode of particles appears in the nucleation range,
- 151 • this new mode prevails for some hours,



152 • the new mode shows signs of growth.

153

154 The NPF events extracted using this method are then classified into classes I or II depending on the  
155 level of confidence. Class I (high confidence) is further classified as Ia and Ib, with class Ia  
156 containing the events that both present a clear formation of a new mode as well as a distinct growth  
157 of this mode, while Ib includes those with a less distinct formation and development. In the present  
158 study, only the events classified as Ia were used as they are considered as more suitable for study.  
159 As the growth criterion is not fully defined, in the present study a minimum growth rate of 1 nm h<sup>-1</sup>  
160 is required for NPF events to be considered. The events found using this method should not be  
161 confused with the formation and growth of particles deriving from primary emissions next to  
162 pollution sources, such as traffic. While to an extent the particle formation found can be biased by  
163 primary emissions (especially at roadside sites), great effort was made using additional data, such as  
164 atmospheric composition data, to not include any incidents of traffic related nucleation.

165

### 166 **2.2.2 Calculation of condensation sink, growth rate, formation rate, Nucleation Strength** 167 **Factor (NSF) and NPF event probability**

168 The calculation of the condensation sink was made using the method proposed by Kulmala et al.  
169 (2001). The condensation sink (CS) is calculated as:

170

171 
$$CS = 4\pi D_{vap} \sum \beta_M r N$$

172 where  $r$  and  $N$  are the radius and the number concentration of the particles and  $D_{\text{vap}}$  is the diffusion  
 173 coefficient, calculated for  $T = 293 \text{ K}$  and  $P = 1013.25 \text{ mbar}$ , according to Poling et al. (2001):

174

$$175 \quad D_{\text{vap}} = 0.00143 \cdot T^{1.75} \frac{\sqrt{M_{\text{air}}^{-1} + M_{\text{vap}}^{-1}}}{P \left( D_{\text{x,air}}^{\frac{1}{3}} + D_{\text{x,vap}}^{\frac{1}{3}} \right)^2}$$

176

177 where  $M$  and  $D_{\text{x}}$  are the molar mass and diffusion volume for air and  $\text{H}_2\text{SO}_4$ .  $\beta_{\text{M}}$  is the Fuchs  
 178 correction factor calculated from Fuchs and Sutugin (1971):

179

$$180 \quad \beta_{\text{M}} = \frac{1 + K_{\text{n}}}{1 + \left( \frac{4}{3a} + 0.377 \right) K_{\text{n}} + \frac{4}{3a} K_{\text{n}}^2}$$

181

182  $K_{\text{n}}$  is the Knudsen number, defined as  $\text{Kn} = 2\lambda_{\text{m}}/d_{\text{p}}$ , with  $\lambda_{\text{m}}$  being the mean free path of the gas.

183

184 The growth rate of the newly formed particles is calculated according to Kulmala et al. (2012), as

185

$$186 \quad \text{GR} = \frac{D_{\text{P}_2} - D_{\text{P}_1}}{t_2 - t_1}$$

187

188 for the size range between the minimum available particle diameter up to 30 nm. For the calculation  
 189 of the growth rate, the time considered was from the start of the event until a) growth stopped, b)  
 190 GMD reached the upper limit set or c) the day ended. Due to the differences in the smallest particle  
 191 size available between the sites, a discrepancy would exist for the growth rate values presented  
 192 (sites with lower size cut would present lower values of growth rate, as the growth rate tends to  
 193 increase with particle size in this range (Deng et al., 2020)). As a result, a direct comparison of the  
 194 growth rate values found among sites with significant differences at the smallest particle size  
 195 available was avoided.

196  
 197 The formation rate  $J$  was calculated using the method proposed by Kulmala et al. (2012) in which:

$$199 \quad J_{d_p} = \frac{dN_{d_p}}{dt} + \text{Coag}S_{d_p} \times N_{d_p} + \frac{GR}{\Delta d_p} \times N_{d_p} + S_{\text{losses}}$$

200  
 201 where  $\text{Coag}S_{d_p}$  is the coagulation rate of particles of diameter  $d_p$ , calculated by:

$$203 \quad \text{Coag}S_{d_p} = \int K(d_p, d'_p) n(d'_p) dd'_p \cong \sum_{d'_p=d_p}^{d'_p=\max} K(d_p, d'_p) N_{d_p}$$

204

205 as proposed by Kerminen et al. (2001).  $K(d_p, d'_p)$  is the coagulation coefficient of particle sizes  $d_p$   
206 and  $d'_p$ .  $S_{\text{losses}}$  accounts for the additional loss terms (i.e. chamber walls), not considered here. Initial  
207 particle formation starts at about  $1.5 \pm 0.4$  nm (Kulmala et al., 2012). The formation rate calculated  
208 here refers to particles in the atmosphere that reached the diameter of 10 nm during NPF events for  
209 uniformity reasons. This means that these particles were formed earlier during the day of the events,  
210 survived and grew to this size later in the day. Furthermore, due to the effect of the morning rush  
211 which biased the results at roadsides, the averages are calculated for the time window between 9:00  
212 to 15:00 ( $\pm 3$  hours from noon, when  $J_{10}$  peaked in the majority of the events). This was done for all  
213 the sites in this study for consistency.

214  
215 As mentioned in the methodology for NPF event selection (chapter 2.2.1) days with particle  
216 formation resulting directly from traffic emissions were excluded. For those identified as NPF event  
217 days though, mainly for the roadside sites, such formation still occurs. It is impossible with the data  
218 available for this study to remove the traffic related particle formation in the calculations included  
219 in this study, by effectively separating it from secondary particle formation or calculate it. Using  
220 average conditions for comparison would lead to negative values in most cases since in order for an  
221 NPF event to occur other emissions are reduced. This may result in an overestimation of the  
222 formation rates at roadside sites presented in this study which, as mentioned earlier, was reduced as  
223 far as possible by choosing a time window for which we would have the maximum effect of

secondary particle formation and the minimum possible effect from traffic related particle formation.

The Nucleation Strength Factor (NSF) proposed by Nemeth and Salma (2014) is a measure of the effect of NPF events on ultrafine particle concentration. It can either refer to the effect of NPF events on the day of their occurrence, calculated by:

$$NSF_{NUC} = \frac{\left( \frac{N_{\text{smallest size available}-100nm}}{N_{100nm-\text{largest size available}}} \right)_{\text{nucleation days}}}{\left( \frac{N_{\text{smallest size available}-100nm}}{N_{100nm-\text{largest size available}}} \right)_{\text{non-nucleation days}}}$$

or their overall contribution on the ultrafine particle concentrations at a site calculated by:

$$NSF_{GEN} = \frac{\left( \frac{N_{\text{smallest size available}-100nm}}{N_{100nm-\text{largest size available}}} \right)_{\text{all days}}}{\left( \frac{N_{\text{smallest size available}-100nm}}{N_{100nm-\text{largest size available}}} \right)_{\text{non-nucleation days}}}$$

The NPF event probability is a simple metric of the probability of NPF events calculated by the number of NPF event days divided by the number of days with available data for the given group (temporal, wind direction etc.). Finally, it should be mentioned that all the results presented are normalised according the seasonal data availability for each site, based upon the expression:

$$NPF_{probability} = \frac{N_{NPF \text{ event days for group of days } X}}{N_{days \text{ with available data for group of days } X}}$$

242

### 243 3. RESULTS

244 The seasonal NPF probability for all sites is found in Table S5. The annual number of NPF events,  
245 growth rate and formation rate for all the sites is found in Table S6.

246

#### 247 3.1 Frequency and Seasonality of NPF Events

248 In Denmark, NPF events occurred at all three sites with a similar frequency for the urban sites  
249 (5.4% for DENRO and 5.8% for DENUB) and higher for the rural DENRU site (7.9%). The  
250 seasonal variation favoured summer at DENRU and DENRO, while at DENUB a similar frequency  
251 for spring and summer was found (Figure 2). The within-week variation of the events favours  
252 weekends compared to weekdays going from the rural background site to the roadside site (Figure  
253 3). Interesting is the increased frequency of NPF events found in all Danish sites on Thursday  
254 among the weekdays. This trend though does not have a plausible explanation and is probably  
255 coincidental.

256

257 A higher frequency of events for all types of environments is found for the German sites compared  
258 to all other countries in this study. The background sites had NPF events for more than 17% of the  
259 days, while the roadside had a lower frequency of about 9%, with a seasonal variability favouring  
260 summer at all sites. It should be noted though that, due to the lack of spring and summer data for the

261 first two years at the German roadside site, the frequency of events is probably a lot higher, and the  
262 seasonal variation should further favour these seasons. No substantial within-week variation was  
263 found for any of the sites in this country, a feature that is expected mainly at background sites. For  
264 GERRO, this may be due to not being as polluted as other sites of the same type, having an average  
265 condensation sink comparable to that of urban background sites in this study.

266  
267 NPF events at the sites in Finland presented the most diverse seasonal variation, peaking at the  
268 background sites in spring and at the roadside site in summer (while the spring data availability is  
269 somewhat reduced for the Finnish roadside site, the general trend remains the same if all seasons  
270 had the same data availability). The frequency of NPF events at FINRU was higher (8.66%)  
271 compared to the urban sites (4.97% at FINUB and 5.20% at FINRO). Strong within-week variation  
272 favouring weekends is found for the roadside site, while no clear variation was found for the  
273 background sites. This may be due to either the higher condensation sink during weekdays that  
274 suppresses the events, or the dominant impact of the traffic emissions which could make the  
275 detection of NPF events harder.

276  
277 For Spain, data was available for an urban and a rural background site in the greater area of  
278 Barcelona. NPF events were rather frequent, occurring on about 12% of the days at the rural  
279 background site and 13.1% at the urban site. Though the sites are in close proximity (about 50 km),  
280 the seasonality of NPF events was different between them, peaking in spring at SPARU and autumn

281 at SPAUB. The frequency of NPF events in winter was relatively high compared to the sites in  
282 central and northern Europe and higher than summer for both sites. For both sites a higher NPF  
283 probability was found on weekends compared to weekdays, though this trend is stronger at SPAUB.  
284 Finally, for Greece data are available for two background sites, though not in close proximity (the  
285 distance between the sites is about 350 km). While in Greece meteorological conditions are  
286 favourable in general for NPF events, with high solar radiation and low relative humidity, their  
287 frequency was only 8.5% for the urban background site in Athens and 6.5% for the rural  
288 background site in Finokalia, similar to the frequency of Class I events reported in the study by  
289 Kalivitis et al. (2019). Most NPF events occurred in spring at both sites, peaking in April. It is  
290 interesting that the sites in southern Europe (in Spain and Greece) have a considerable number of  
291 NPF events during winter, which might be due to the specific meteorological conditions found in  
292 this area, where winter is a lot warmer than at the sites in northern and central Europe, and  
293 insolation is higher.

294

### 295 **3.2 The Formation and Growth Rates**

296 For the Danish sites the growth rate was found to be higher at the roadside site at  $4.45 \pm 1.87 \text{ nm h}^{-1}$   
297 and it was similar for the other two sites ( $3.19 \pm 1.43$  for DENRU and  $3.19 \pm 1.45$  for DENUB)  $\text{nm h}^{-1}$   
298 (Figure 4), though the peak was found in different seasons (Figure 5), coinciding with that of the  
299 frequency of NPF events (the highest average for DENRO was found for winter but it was only for  
300 a single event that occurred in that season). The formation rate ( $J_{10}$ ) was found to be broadly similar



301 at the rural and urban background sites and higher at DENRO (Figure 6), favoured by different  
302 seasons at each site (summer at DENRU, spring at DENUB though with minimal differences and  
303 autumn at DENRO) (Figure 7).

304

305 Similar to the frequency of NPF events, the German sites also had higher growth rates compared to  
306 sites of the same type in other areas of this study, with GERRU having  $4.34 \pm 1.73 \text{ nm h}^{-1}$ , GERUB  
307  $4.24 \pm 1.69 \text{ nm h}^{-1}$  and GERRO  $5.17 \pm 2.20 \text{ nm h}^{-1}$  (Figure 3). While the difference between GERRU  
308 and GERUB is not statistically significant, there is a significant difference with GERRO ( $p <$   
309  $0.005$ ). Higher growth rates were found in summer compared to spring for all sites (Figure 5).  
310 Specifically, for the roadside though, the highest average growth rates were found in autumn, which  
311 may be either a site-specific feature or an artefact of the limited number of events in that season  
312 (total of 11 NPF events in autumn). Similarly,  $J_{10}$  at the German sites was also the highest among  
313 the sites of this study, increasing from the GERRU to GERRO. It was found to be higher in summer  
314 for the background sites and in autumn for GERRO.

315

316 For the Finnish sites, growth rates were similar at the background sites ( $2.91 \pm 1.68 \text{ nm h}^{-1}$  at FINRU  
317 and  $2.87 \pm 1.33 \text{ nm h}^{-1}$  at FINUB), peaking in the summer months, similar to the findings of Yli-Juuti  
318 et al. (2011), while the peak for FINRO (growth rate at  $3.74 \pm 1.48 \text{ nm h}^{-1}$ ) was found in spring,  
319 though the differences between the seasons for this site were rather small. The formation rate was

320 the highest at FINRO, peaking in autumn for both urban sites (with small differences with spring),  
321 while FINRU presented the highest  $J_{10}$  in summer.

322

323 At the Spanish sites, the growth rate was similar for the two sites, being  $3.62 \pm 1.86 \text{ nm h}^{-1}$  at  
324 SPARU and  $3.38 \pm 1.53 \text{ nm h}^{-1}$  at SPAUB, again being higher in autumn for the urban site (which  
325 appears to be a feature of more polluted sites), while the rural site follows the general trend of rural  
326 background sites, peaking in summer. The formation rate at SPAUB is comparable to the other  
327 urban background sites (apart from GERUB) and peaked in spring, while once again the peak at  
328 SPARU was found in summer, similar to the other rural sites of this study apart from the Greek. At  
329 the urban site both the growth and formation rates were higher on weekdays compared to weekends  
330 (both  $p < 0.001$ ). While the higher growth rate during weekdays may be associated with the  
331 increased presence of condensable species from anthropogenic activities, the higher formation rate  
332 might be affected by the increased emissions during these days, which bias to an extent its value.

333 Finally, the growth rate of particles was found to be similar at both Greek sites ( $3.68 \pm 1.41 \text{ nm h}^{-1}$   
334 for GREUB and  $3.78 \pm 2.01 \text{ nm h}^{-1}$  for GRERU) and was higher in summer compared to the other  
335 seasons, having a similar trend with the temperature and particulate organic carbon concentrations  
336 in the area. The formation rate presented a unique trend, having high averages in winter for both  
337 sites. Interestingly, contrary to most background sites in this study, the lowest average  $J_{10}$  was found  
338 for summer at both sites.

339

### 340 3.3 Conditions Affecting NPF Events

341 The average and NPF event day conditions are presented in tables S2 and S3 (for meteorological  
342 conditions and atmospheric composition respectively). A number of variables present consistent  
343 behaviour on NPF days. For all the sites in this study the solar radiation intensity was higher on  
344 NPF days compared to the average conditions, while the relative humidity was lower. Additionally,  
345 all the chemical compounds with available data present either lower or similar concentrations. This  
346 is consistent even for the chemical compounds which are associated with the NPF process (such as  
347 the SO<sub>2</sub>). This probably indicates that they are in sufficient concentrations for not being a limiting  
348 factor in the occurrence of the events, while higher concentrations are associated with increased  
349 pollution conditions which may suppress their occurrence. The exceptions found are SPARU and  
350 GRERU for NO<sub>2</sub> and FINRU for SO<sub>2</sub>. In these sites the concentrations of these gaseous components  
351 are very low in general (being rural background sites) and were found to be only marginally higher  
352 on NPF event days. These differences indicate that the variability of these compounds is not playing  
353 a significant role in the occurrence of the events and thus should not be considered as an important  
354 factor. The ozone concentration though, was found to be consistently higher on event days  
355 compared to the average conditions at all sites regardless of their geographical location and type. As  
356 the ozone concentration variability is directly associated with the solar radiation intensity, it is  
357 unknown whether it plays a direct role in the occurrence of the events or it is the result of its  
358 covariance with the solar radiation intensity.

359 Following that, differences were found in the variability of some of the meteorological conditions,  
360 as well as local conditions (either meteorological or specific pollution sources), which played a  
361 significant role in the occurrence and the metrics of NPF events across the sites of this study. These  
362 will be further explored in the following sections.

363

### 364 **3.3.1 Denmark**

365 The meteorological conditions that prevailed on NPF event days followed the general trend  
366 mentioned earlier, while wind speed and temperature were higher than average (consistently at all  
367 sites, meteorological condition variability was significant for all ( $p < 0.001$ ) except the wind speed).  
368 As meteorological data were available from the urban background site (the variation between the  
369 rural and urban sites should not be great since they are about 25 km away from each other), the  
370 average conditions for the three sites are almost the same, with the only variability being the data  
371 availability among the sites. Thus, the more common wind directions in the area are southwesterly;  
372 for all sites though the majority of NPF events are associated with direct westerly and northwesterly  
373 winds, similar to the findings of Wang et al. (2013) for the same site, which are those with the  
374 lowest concentrations of pollutants and condensation sink for all sites (Table S7), probably being of  
375 marine origin as elemental concentrations showed an increased presence of Na, Cl and Mg (results  
376 not included). The wind directions with the highest probability for NPF events presented low  
377 growth rates and vice versa (Table S4), though it was proposed by Kristensson et al. (2008) that  
378 there is a possibility for events observed at the nearby Vavihill site in Sweden with northwesterly

379 winds to be associated to the emissions of specific ship lanes that pass from that area. Wind  
380 direction sectors with higher concentrations of OC coincide with higher growth rates at DENRO,  
381 while this variability is not found at DENRU possibly showing that different compounds and  
382 mechanisms take part in the growth process of the newly formed particles (Kulmala et al., 2004b).  
383

384 As mentioned earlier, DENUB although close to the DENRO site has different seasonal variation of  
385 NPF events, with a marginally lower frequency in summer compared to the other two Danish sites,  
386 which have almost the same seasonal variation of NPF events. At DENUB, a strong presence of  
387 particles in the size range of about 50 – 60 nm is observed (Figure S1), especially during summer  
388 months, increasing the condensation sink in the area (this enhanced mode of particles is visible at  
389 DENRO as well, but its effect is dampened due to the elevated particle number concentrations in  
390 the other modes). This mode is probably part of the urban particle background. The strongest source  
391 though at DENUB appears to be from the east and consistently appears at both urban sites; this  
392 sector is where both elevated pollutant concentrations and condensation sink are found. In this  
393 sector, there are two possible local sources, either the port located 2 km to the east or the power  
394 plant located at a similar distance (or both). In general, both stations are located only a few  
395 kilometres away from the Øresund strait, a major shipping route. Studying the SMPS plots it can be  
396 seen that NPF events at DENUB, especially in summer, tend to start but are either suppressed after  
397 the start or have a lifetime of a couple of hours before the new particles are scavenged or evaporate.  
398 While this might explain to an extent the frequency and variability of NPF events at this site, the

399 balance between the condensation sink and the concentration of condensable compounds is  
400 highlighted. While at DENRO the condensation sink is considerably higher than at DENUB and the  
401 effect of the aforementioned mode of particles is present at both, the occurrence and development of  
402 NPF events at DENRO are more pronounced in the data, due to the higher concentrations of  
403 condensable compounds.

404

### 405 **3.3.2 Germany**

406 Compared to the average conditions, a higher temperature was found on NPF event days, while  
407 wind speed was lower at all German sites. The condensation sink was also higher on event days  
408 compared to the average, though this may be the result of the high formation rates found for the  
409 German sites. The wind profile is different between the urban and the rural sites, with mainly  
410 northeasterly and southwesterly winds at the rural site and a more balanced profile for the urban  
411 sites. This difference is probably due to differences in the local topography. For the urban sites the  
412 majority of NPF events are associated with easterly winds (to a lesser extent westerly as well for  
413 GERRO). At GERUB, along with the increased frequency of NPF events, the highest average  
414 growth rate is also found with easterly wind directions (though the differences are rather small). At  
415 GERRO the frequency and growth rate appear to be affected by the topography of the site.  
416 Eisenbahnstraße is a road with an axis at almost  $90^\circ - 270^\circ$  and although the H/W ratio  
417 (surrounding building height to width ratio) is not high, the effect of a street canyon vortex is  
418 observed (Voigtländer et al., 2006). Possibly as a consequence of this, the probability of NPF events

419 is low for direct northerly and southerly winds, although there are high growth rates of the newly  
420 formed particles (highest growth rates observed with southerly winds, associated with cleaner air).  
421

422 At GERRU an increased probability of NPF events and growth rate are also found for wind  
423 directions from the easterly sector, although these are not very frequent for this site. For this site  
424 chemical composition data for PM<sub>2.5</sub> and PM<sub>10</sub> are available, and it is found that the generally low  
425 (on average) concentrations of pollutants (such as elemental carbon, nitrate and sulphate), in general  
426 are elevated for wind directions from that sector. This is also reported for the Melpitz site (GERRU)  
427 by Jaatinen et al. (2009) and probably indicates that in a relatively clean area, the presence of low  
428 concentrations of pollutants may be favourable in the occurrence and development of NPF events,  
429 as in general pollutant concentrations are lower on NPF event days compared to average conditions.

430 Another interesting point is the concentration of organic carbon at the site (average of 2.18 µg m<sup>-3</sup>  
431 in PM<sub>2.5</sub>), having the highest average concentration among the rural background sites studied. As  
432 other pollutant concentrations are relatively low at this site, it is possible that a portion of this  
433 organic carbon is of biogenic origin, considering also that the area is largely surrounded by forests  
434 and green areas, with a minimal effect of marine air masses (as indicated by the low marine  
435 component concentrations – data not included) and possibly pointing to increased presence of  
436 BVOCs. The increased presence of organic species at GERRU may explain to some extent the  
437 increased frequency of NPF events as well as the highest growth and formation rates found among  
438 the sites of this study.

### 439 3.3.3 Finland

440 At the background sites in Finland, temperature was lower on NPF event days compared to the  
441 average conditions, whereas it was higher for FINRO associated with the different seasonality of  
442 the events. No significant differences were found for the wind speed on NPF events for all sites.  
443 There are though some significant differences in the wind conditions for NPF events compared to  
444 average conditions. At FINRU, NPF events were more common with northerly wind directions, as  
445 was also found by Nieminen et al. (2014) and Nilsson et al. (2001). This is probably due to the  
446 lower condensation sink which can be associated with the lower relative humidity also found for  
447 incoming winds from that sector and explains the lower temperatures found with NPF events at this  
448 site. Similarly, at FINUB NPF events were favoured by wind directions from the northerly sector,  
449 while there is almost a complete lack of NPF on southerly winds. This is due to its position at the  
450 north of both the city centre and the harbour, though winds from that sector are not common in  
451 general for that site. Finally, the wind profile for NPF events at FINRO also favours northerly winds  
452 with an almost complete absence of NPF in southerly winds, probably due to the elevated pollutant  
453 concentrations and condensation sink associated with them.

454  
455 At all sites, NPF event days had a lower condensation sink compared to the average for the site. The  
456 seasonal variation of NPF events in Finland favouring spring, was explained by earlier work as the  
457 result of the seasonal variation of  $\text{H}_2\text{SO}_4$  concentrations (Nieminen et al., 2014), which in the area  
458 peak in spring. The variation of  $\text{H}_2\text{SO}_4$  concentrations is directly associated with  $\text{SO}_2$  concentrations



459 in the area, which follow a similar trend. The seasonal variation of NPF events at FINRO though  
460 cannot be explained by the variation of  $\text{H}_2\text{SO}_4$  in the area.  $\text{SO}_2$  concentrations, which were available  
461 only for the nearby urban background site at Kalio (about 3 km away from FINRO) and may  
462 provide information upon the trends of  $\text{SO}_2$  in the greater area, peak during January (probably due  
463 to increased heating in winter and the limited oxidation processes due to lower incoming solar  
464 radiation) and are higher during spring months compared to summer. In general, the variation of  
465 pollutant concentrations and the condensation sink is not great for the spring and summer seasons.  
466 The only variable out of the ones considered that may explain to an extent the seasonality of NPF  
467 events at the site is the increased concentrations of  $\text{PM}_{10}$  found for spring months, which might be  
468 associated with road sanding and salting that takes place in Scandinavian countries during the  
469 colder months (Kupiainen et al., 2016) with emissions to the ambient air during spring months  
470 (Stojiljkovic et al., 2019). The source of these particles though is uncertain, as no major differences  
471 in the wind roses are found between the two seasons. Another study by Sarnela et al. (2015) at a  
472 different site in southern Finland attributed the seasonality of NPF events in Finland to the absence  
473 of  $\text{H}_2\text{SO}_4$  clusters during summer months due to a possible lack of stabilizing agents (e.g.  
474 ammonia). This could explain the limited number of small particles (smaller than 10 nm) at the  
475 background sites during summer. In the more polluted environment at a roadside site these agents  
476 may exist, but such data was unfortunately not available.  
477

478 Finally, a feature mentioned by Hao et al. (2018) in their study at the site of Hyytiälä, in which late  
479 particle growth is observed was also found in this study. This happened on about 20% of NPF days  
480 at FINRU (and a number of non-event days) and in most cases in early spring (before mid-April) or  
481 late autumn (after mid-September). New particles were formed and either did not grow or grew very  
482 slowly until later in the day when growth rates increased (Figure S2). In all these cases, growth  
483 started when solar radiation was very low or zero, which probably associates the growth of particles  
484 with nighttime chemistry leading to the formation of organonitrates (as found by the same study). A  
485 similar behaviour was also occasionally found at FINUB. Particle growth at late hours is not a  
486 unique feature for the Finnish sites, as it was found at all sites studied. What is different in the  
487 specific events is the lack or very slow growth during the daytime. Lower temperature ( $-0.81^{\circ}\text{C}$ ),  
488 incoming solar radiation ( $112 \text{ Wm}^{-2}$ ) and higher relative humidity (68.4%) occurred on event days  
489 with later growth, while no clear wind association was found. Lower concentrations of organic  
490 matter and nitrate were found throughout the days with later growth compared to the rest of the  
491 NPF days. The very high average particle number concentration in the smaller size bins is due to  
492 particles, though not growing to larger sizes for some time, persisting in the local atmosphere for  
493 hours. These results though should be used with caution due to the limited number of observations.

494

#### 495 **3.3.4 Spain**

496 The atmospheric conditions favouring NPF events at both sites are similar to most other sites,  
497 though with lower wind speed on event days compared to the average conditions ( $p < 0.001$  at

498 SPAUB). The wind profile between the two sites is different, with mainly northwesterly and  
499 southeasterly winds for SPARU (which seems to be affected by the local topography), while a more  
500 balanced profile is found at SPAUB. For both sites, though, increased probability for NPF events is  
501 found for westerly and northwesterly winds. These incoming wind directions originate from a rather  
502 clean area with low concentrations of pollutants and condensation sink. At SPARU, incoming wind  
503 from directions with higher concentrations of pollutants and condensation sink were associated with  
504 lower frequency of NPF events but higher growth rates. At SPAUB, NPF events were relatively  
505 rare and growth rates were lower with easterly wind directions, as air masses originating from that  
506 section have passed from the city centre and the industrial areas from the Besos River. Due to this,  
507 incoming air masses from these sectors had higher concentrations of pollutants and condensation  
508 sink.

509

510 While NPF events with subsequent growth of the particles were rare during summer, cases of bursts  
511 of particles in the smallest size range available were found to occur frequently, especially in August  
512 and July (the month with the fewest NPF events, despite the favourable meteorological conditions).  
513 In such cases, a new mode of particles appears in the smallest size available, persisting for many  
514 hours though without clear growth (brief or no growth is only observed), as reported by Dall'Osto  
515 et al. (2012). Due to the lack of growth of the particles these burst events do not qualify as NPF  
516 events using the criteria set in the present study. These burst events are associated with southerly  
517 winds (known as Garbí-southwest and Migjorn-south in Catalan, which are common during the

518 summer in the area) that bring a large number of particles smaller than 30 nm to the site from the  
519 nearby airport (located about 15 km to the southwest) and port (7 km south), as well as Saharan  
520 dust, increasing the concentrations of PM (Rodríguez et al., 2001) and thus suppressing NPF events  
521 due to the increased condensation sink.

522  
523 Finally, the wind direction profile at SPARU appears to have a daily trend, with almost exclusively  
524 stronger southeasterly winds at about midday (Figure S3), probably due to a local mesoscale  
525 circulation caused by the increased solar activity during that time (which results in different heating  
526 patterns of the various land types in the greater area). These incoming southeast winds are more  
527 polluted and have a higher condensation sink (being affected by the city of Barcelona), and almost  
528 consistently bring larger particles at the site during the midday period. This may explain to an  
529 extent the lowest probability for NPF events from that sector, despite the very high concentrations  
530 of O<sub>3</sub> associated with them, with some extreme values well above 100 µg m<sup>-3</sup> (Querol et al., 2017).  
531 The highest average growth rates are also found from that direction.

532

### 533 **3.3.5 Greece**

534 Temperature and wind speed are found to be lower on NPF event days at the Greek sites, though the  
535 differences are minimal and are associated with the seasonal variability of the events. The wind rose  
536 in GREUB mainly consists of northeasterly and southwesterly winds. Due to its position, the site is  
537 heavily affected by emissions in Athens city centre with westerly winds, resulting in increased

538 particle number concentrations and condensation sink. Despite this, the highest NPF probability and  
539 growth rates were found with a northwesterly wind directions. This may be due to them being  
540 associated with the highest solar radiation (probably the result of seasonal and diurnal variation),  
541 temperature and the lowest relative humidity, along with the highest condensation sink and particle  
542 number concentrations of almost all sizes. Chemical composition data was not available for  
543 GREUB, though SO<sub>2</sub> concentrations are rather low in Athens and kept declining after the economic  
544 crisis (Vrekoussis et al., 2013). The seasonality of SO<sub>2</sub> concentration in Athens favoured winter  
545 months and was at its lowest during summer for the period studied (YIIEKA, 2012) (this trend  
546 changed later as SO<sub>2</sub> concentrations further declined), which may also be a factor in the seasonality  
547 of NPF events, though this will be further discussed later.

548  
549 At the GRERU site, the wind profile is mainly westerly, and though it coincides with the most  
550 important source of pollutants in the area, the city of Herakleio, its effect while observable is not  
551 significant due to the topography in the area. The wind profile for NPF events is similar to the  
552 average with significantly higher wind speeds ( $p < 0.001$ ). In general, GRERU has very low  
553 pollutant concentrations, with an average NO of 0.073  $\mu\text{g m}^{-3}$ , NO<sub>2</sub> of 0.52  $\mu\text{g m}^{-3}$  and SO<sub>2</sub> in  
554 concentrations below 1 ppb (Kouvarakis et al., 2002). Due to this, the differences in the chemical  
555 composition in the atmosphere are also minimal. For the specific site two different patterns of  
556 development of NPF events were found. In one case, NPF events occurred in a rather clear  
557 background, while in the other one they were accompanied with an increase in number

558 concentrations of larger particles or a new mode appearing at larger sizes (about a third of the  
559 events). No differences were found in the seasonal variation between the two groups; increased  
560 gaseous pollutant and particulate organic carbon concentrations were found for the second group  
561 (though the differences were rather small) and a wind rose that favoured southwesterly winds  
562 (originating from mainland Crete) instead of the northwesterly (originating from the sea) ones for  
563 the first group. The growth rate for the two groups was found to be  $3.56 \text{ nm h}^{-1}$  for the first group  
564 and  $4.17 \text{ nm h}^{-1}$  for the second, which might be due to the increased presence of condensable  
565 compounds. As the dataset starts from the particle size of  $8.77 \text{ nm}$ , the possibility that these  
566 particles were advected from nearby areas should not be overlooked, though they persisted and  
567 grew at the site. Other than that, no significant differences were found for the different wind  
568 directions.

569

570 As mentioned earlier, both sites had a very low frequency of events and  $J_{10}$  in summer similar to  
571 previous studies also reporting few or no events during summer (Vratolis et al., 2019; Ždímal et al.,  
572 2011), though the incoming solar radiation is the highest and relative humidity is the lowest during  
573 that season. This variation was also observed by Kalivitis et al. (2012) who associated the seasonal  
574 variation of NPF events at GRERU with the concentrations of atmospheric ions. The effect of the  
575 Etesian winds (known as Meltemia in Greek), which dominate the southern Aegean region during  
576 the summer months though should not be overlooked. These result in very strong winds with an  
577 average wind speed of  $8.15 \text{ m s}^{-1}$  during summer at the Finokalia site, and increased turbulence

578 found in all years with available data, affecting both sites of this study. During this period,  $N_{<30\text{nm}}$   
579 drops to half or less compared to other seasons at both sites, while  $N_{>100\text{nm}}$  is at its maximum due to  
580 particle aging (Kalkavouras et al., 2017), increasing the condensation sink, especially in GRERU  
581 (the effect in GREUB is less visible due to both the wind profile, blowing from east which is a less  
582 polluted area, as well as the reduction of urban activities during summer months in Athens). Both  
583 the increased condensation sink and turbulence are possible factors for the reduced number of NPF  
584 events found at both sites in summer. Another possible factor is the effect of high temperatures in  
585 destabilising the molecular clusters critical to new particle formation.

586

### 587 **3.4 Region-Wide Events**

588 Region-wide events are NPF events which occur over large-scale areas, that may cover hundreds of  
589 kilometres (Shen et al., 2018). In the present study, NPF events that took place on the same day at  
590 both background sites (urban background and rural) are considered as regional and their conditions  
591 are studied (Table S8). The background sites in Greece were not considered due to the great  
592 distance between them (about 350 km). There is also uncertainty for the background sites in  
593 Finland, where the distance is about 190 km, though a large number of days were found when NPF  
594 events occurred on the same day. The number of region-wide events per season (or the fraction of  
595 region-wide events to total NPF events) is found in Figure 8 and it appears as if they are more  
596 probable in spring at all the sites of the present study (apart from Finland, though the number of  
597 events in winter was low), despite the differences found in absolute numbers.

598 In Denmark, about 20% of NPF events in DENRU were regional (the percentage is higher for  
599 DENUB due to the smaller number of events, at 29%). The relatively low frequency of region-wide  
600 NPF events can be explained by the different seasonal dependence of NPF events (region-wide NPF  
601 events were more frequent in spring compared to the average due to the seasonality of NPF events  
602 in DENUB). Compared to local NPF event conditions, higher wind speed and solar radiation, as  
603 well as O<sub>3</sub> and marine compound concentrations (results not included) were found, while the  
604 concentrations of all pollutants (such as NO, NO<sub>x</sub>, sulphate, elemental and organic carbon) were  
605 lower. These cleaner atmospheric conditions are also confirmed by the lower CS associated with  
606 region-wide events, which is probably one of the most important factors in the occurrence of these  
607 large-scale events. The exceptions found at DENRU (increased relative humidity and less incoming  
608 solar radiation) are probably due to the different seasonality between local and region-wide NPF  
609 events at the site, though region-wide events rarely present similar characteristics at different sites  
610 even in the same country due to the differences in the initial meteorological and local conditions  
611 (Hussein et al., 2009). The growth rates of region-wide events were found to be lower than those of  
612 local events at both sites, which is probably associated with the limited concentrations of  
613 condensable compounds due to the cleaner air masses of marine origin (as confirmed by the higher  
614 concentrations of marine compounds).

615

616 In Germany, the majority of NPF events of this study were region-wide (about 60%). Compared to  
617 the average, the meteorological conditions found for NPF event days compared to average



618 conditions were more distinct for the region-wide events, with even lower wind speed and relative  
619 humidity and higher temperature and solar radiation, and all of these differences were significant ( $p$   
620  $< 0.001$ ). At GERRU where chemical composition data was available, higher concentrations of  
621 particulate organic carbon and sulphate and lower nitrate concentrations were found. The  
622 differences are significant ( $p < 0.001$ ) and may explain the higher growth rates found in region-wide  
623 events at both sites compared to the average, which is a unique feature. It should be noted that as  
624 the majority of NPF events at the German sites are associated with easterly winds, it is expected that  
625 in most cases the region-wide events will be associated with these, carrying the characteristics that  
626 come along with them (increased growth rates and concentrations of organic carbon, as discussed in  
627 Section 3.2).

628

629 In Finland, about a quarter of the NPF event days at FINRU (26%) occurred on the same day as at  
630 FINUB (the frequency is a lot higher for FINUB, at 39%). As in Germany, the meteorological  
631 conditions found on NPF event days compared to average conditions were more distinct during  
632 region-wide events. Thus, for both sites temperature and relative humidity were lower while solar  
633 radiation was higher. The different trend found for the wind speed at the two sites (being higher on  
634 average NPF days at FINRU and lower at FINUB compared to average conditions) was enhanced  
635 as well at the two sites for region-wide events. At FINRU where chemical composition data was  
636 available,  $\text{NO}_x$  and  $\text{SO}_2$  had similar concentrations on region-wide event days compared to the

637 averages on total event days, while O<sub>3</sub> was significantly higher ( $p < 0.001$ ). As at most other sites,  
638 the growth rate was found lower on region-wide event days compared to the average at both sites.  
639

640 Finally, in Spain the datasets of the two sites did not overlap greatly, having only 322 common  
641 days. Among these days, 13 days presented with NPF events that took place simultaneously at both  
642 sites, with smaller growth rates on average compared to local events (43% of the events at SPARU  
643 and 36% of the events at SPAUB in the period 8/2012 to 1/2013 and 2014 when data for both sites  
644 were available). Due to the small number of common events the results are quite mixed with the  
645 only consistent result being the lower relative humidity and higher O<sub>3</sub> concentrations for regional  
646 events at both sites, though none of these differences is significant. The wind profile at SPAUB  
647 seems to further favour the cleaner sector, with the majority of incoming winds being from the NW  
648 and even higher wind speeds (though with low significance). The result is similar at SPARU,  
649 though less clear and with lower wind speeds.

650

651 These results are in general in agreement with those found in the UK in a previous study, where  
652 meteorological conditions were more distinct on region-wide event days compared to local NPF  
653 events; pollutant concentrations were lower as well as the growth rates of the newly formed  
654 particles (Bousiotis et al., 2019).

655

656 Common events were also found between either of the background sites and the roadside, but they  
657 were always fewer in number, due to the difference in their temporal variability compared to the  
658 background sites, resulting from the effect of roadside pollution.

659

### 660 **3.5 The Effect of NPF Events on the Ultrafine Particle Concentrations**

661 The NSF is a metric of the effect of NPF events upon particle concentrations on either the days of  
662 the events or over a larger timescale. Both the  $NSF_{NUC}$  and  $NSF_{GEN}$  were calculated for all sites of  
663 this study and the results are presented in Figure 9. For almost all rural background sites  $NSF_{NUC}$ ,  
664 which indicates the effect of NPF on ultrafine particle concentrations on the day of the event, was  
665 found to be greater than 2 (the only exception was GERRU), which means that NPF events more  
666 than double the number of ultrafine particles (particles with diameter smaller than 100 nm) at the  
667 site on the days of the events, as NPF events are one of the main sources of ultrafine particles in this  
668 type of sites, especially below 30 nm. This reaches up to 4.18 found at FINRU (418% more  
669 ultrafine particles on the day of the events – 100% being the average), showing the great effect NPF  
670 events have on rather clean areas. The long-term effect was smaller, and it was found that at FINRU  
671 NPF events increase the number of ultrafine particles by an additional 130% in general. The effect  
672 of NPF events was a lot smaller at the urban sites, though still significant at urban background sites  
673 (reaching up 240% at FINUB on the days of events), while roadsides had the smallest NSF  
674 compared to their respective background sites. This is because of the increased effect of local

675 sources such as traffic or heating, and the associated increased condensation sink found within these  
676 sites, which cause the new particles to be scavenged by the more polluted background.

677

678 The calculation of NSF at the sites around Europe showed a weakness of the specific metric, which  
679 points to the need for more careful interpretation of the results of this metric, especially at roadside  
680 sites. At FINRO, the  $NSF_{NUC}$  provided a value smaller than 1, which translates as ultrafine particles  
681 are lost instead of formed on NPF event days. This though is the result of both the sharp reduction  
682 in particle number concentrations at all modes that are required for NPF events to occur at a busy  
683 roadside (much lower condensation sink), as well as a difference in the ratio between smaller to  
684 larger particles (smaller or larger than 100 nm) on NPF event days (favouring the larger particles) at  
685 the specific site. Similarly, the long-term effect of NPF events at the site was found to be 1, which  
686 means that NPF events appear to cause no changes in the number concentration of ultrafine  
687 particles.

688

## 689 **4. DISCUSSION**

### 690 **4.1 Variability of the Frequency and Seasonality of the Events**

691 The most consistent result found throughout the areas studied, regardless of the geographical  
692 location was the higher frequency of NPF events at rural background sites compared to roadsides.  
693 This pattern comes in contrast with what was found for the more polluted Asian cities (Peng et al.,  
694 2017; Wang et al., 2017), where NPF events were more frequent at the urban sites. This is probably

695 associated with the even greater abundance of condensable species (which further enhances the  
696 growth of the particles, thus increasing their chance of survival), deriving from anthropogenic  
697 emissions, found in Asian megacities compared to European ones and results in a greater frequency  
698 of NPF events in Asian cities, even compared to the most polluted cities in Europe. This contrast  
699 emphasises the differences in the occurrence of NPF events between the polluted cities in Europe  
700 and Asia, which are associated with the level of pollution found in them, as well as the influence  
701 that the level of pollution has on the occurrence of NPF events in general.

702

703 The type of site dependence found in Europe together with the average conditions found on NPF  
704 event days compared to the average for each site, underline the importance of clear atmospheric  
705 conditions (high solar radiation and low relative humidity and pollutant concentrations) at all types  
706 of sites in Europe, especially for region-wide events. The temperature and wind speed presented  
707 more diverse results which in many cases are associated with local conditions. The origin of the  
708 incoming air masses though, appears to have a more important influence upon the NPF events.  
709 Cleaner air masses tend to have higher probability for NPF events, a result which was consistent  
710 among the sites of this study regardless of their type.

711

712 The frequency of NPF events at roadsides peaked in summer in all three countries with available  
713 data. Greater variability in the seasonality of NPF events was found at the background sites. The  
714 urban background sites presented more diverse results, for both the occurrence and development of

715 NPF events, especially compared to rural background sites. The within-week variation of NPF  
716 events was found to favour weekends in most cases, as the pollution levels decrease, due to the  
717 weekly cycle, especially at the roadsides. As background sites have smaller variations between  
718 weekdays and weekends, the within-week variation of NPF events is smaller at the urban  
719 background sites and almost non-existent at the rural background sites. Finally, it should be noted  
720 that no clear interannual trend was found in the frequency of the events for any site, even for those  
721 with longer datasets.

722

## 723 **4.2 Variability and Seasonality of the Formation and Growth Rate**

724 The growth rate of the newly formed particles was found to be higher at all the roadsides compared  
725 to their respective rural and urban background. The picture is similar for  $J_{10}$ , (the rate of formed  
726 particles associated with NPF events that reached 10 nm diameter), for which urban background  
727 sites were between their respective rural background sites and the roadsides with the sole exception  
728 of DENUB (the difference with DENRU is rather small though). The growth and formation rate at  
729 the rural background sites (apart from the Greek site) were found to be higher in summer compared  
730 to the other seasons. On the other hand, the seasonality of the growth rate at the roadsides is not  
731 clear but the formation rate peaks in the autumn at all three roadside sites. While the trend at the  
732 rural sites is probably associated with the enhanced photochemistry and increased concentrations of  
733 BVOCs during summer, the seasonality of the growth rate at the roadside sites is more difficult to  
734 explain and probably shows the smaller importance of the BVOCs compared to the compounds of

anthropogenic origin (which are in less abundance in summer) in this type of environment. In general, higher temperatures were associated with higher growth rates. This though applies only for the specific conditions at each site and cannot be used as a general rule for the expected growth rate at a site, as locations with higher temperatures did not present higher growth rates. Additionally, the origin of the incoming air masses appears to have an effect on the growth of the particles as well. In most of the sites in this study, incoming air masses from directions associated with higher concentrations of pollutants presented higher growth rates of the newly formed particles. The effect of the different wind directions upon the formation rate was more complex and a definitive conclusion cannot be made. Finally, as with the frequency of the events, no significant interannual trend was found in the variation of the formation or the growth rate across the sites studied.

745

### 746 **4.3 Effect of Local Conditions in the Occurrence and Development of NPF Events**

Apart from the general meteorological and atmospheric conditions that affect the occurrence and the metrics of NPF events, conditions with a more local character were found to play a significant role as well. These include synoptic systems, such as the one occurring during the summer at the Greek sites, affecting the frequency and seasonality of the events. As a result, sites or seasons with conditions that favoured NPF presented decreased frequency of events and unexpected seasonality, due to the increased turbulence caused by such pressure systems. Additionally, local sources of pollution can also have a significant impact in the temporal trends and metrics of the events, even for sites of very close proximity. One such example was the urban sites in Denmark, which despite

755 being affected by the same source of pollution (the nearby port) and being only a few kilometres  
756 away from each other, presented different outcomes in the occurrence of the events. This was due to  
757 the different atmospheric composition found between them, being a background and a roadside site,  
758 which led to a different response in that local variable. In this case, the effect of the specific source  
759 was more prominent at the urban background site compared to the roadside, resulting in fewer NPF  
760 events, as the newly formed particles were more effectively suppressed at the urban background site,  
761 due to their slower growth.

762

## 763 **5. CONCLUSIONS**

764 There are different ways to assess the occurrence of new particle formation (NPF) events. In this  
765 study, the frequency of NPF events, the formation and growth rate of the particles associated with  
766 secondary formation of particles and not primary emissions, at 13 sites from five countries in  
767 Europe are considered. NPF is a complicated process, affected by many meteorological and  
768 environmental variables. The seasonality of these variables, which varies throughout Europe, results  
769 in the different temporal trends found for the metrics studied in this paper. Apart from  
770 meteorological conditions though, some of which have a uniform effect (such as the solar radiation  
771 intensity and relative humidity), many local variables can also have a positive or negative effect in  
772 the occurrence of these events. Sites with less anthropogenic influence seem to have temporal  
773 trends dependant on the seasonality of synoptic conditions and general atmospheric composition.  
774 The urban sites though and especially those with significant sources of pollution in close proximity,



775 present more complex trends as the NPF occurrence depends less upon favourable meteorological  
776 conditions and more upon the local atmospheric conditions, including composition. As NPF event  
777 occurrence is based on the balance between the rapid growth of the newly formed particles and their  
778 loss from processes, such as the evaporation or coagulation of the particles, the importance of  
779 significant particle formation, fast growth (which is enhanced by the increased presence of  
780 condensable compounds from anthropogenic activities found in urban environments) and low  
781 condensation sink is increased within such environments, also affecting the temporal trends of the  
782 events, making them more probable during periods with smaller pollution loads (e.g. summer,  
783 weekends). This explains the smaller frequency of NPF events at roadside sites compared to their  
784 respective background sites, despite the greater formation and growth rates observed in them.  
785 Consequently, NPF events have a smaller influence on the ultrafine particle load at the urban sites  
786 compared to background sites, due to both the increased presence of ultrafine particles from  
787 anthropogenic emissions as well as the smaller probability of ultrafine particles to survive in such  
788 environments.

789  
790 Nevertheless, NPF events are an important source of ultrafine particles in the atmosphere for all  
791 types of environment and are an important factor in the air quality of a given area. The present  
792 study underlines the importance of both the synoptic and local conditions on NPF events, the mix of  
793 which not only affects their development but can also influence their occurrence even in areas of  
794 very close proximity. NPF is a complex process, affected by numerous variables, making it

795 extremely difficult to predict any of its metrics without considering multiple factors. Since the  
796 mechanisms and general trends in NPF events are yet to be fully explained and understood, more  
797 laboratory and field studies are needed to generate greater clarity and predictive capability.

798

#### 799 **DATA ACCESSIBILITY**

800 Data supporting this publication are openly available from the UBIRA eData repository at  
801 <https://doi.org/10.25500/edata.bham.00000467>

802

#### 803 **AUTHOR CONTRIBUTIONS**

804 The study was conceived and planned by MDO and RMH who also contributed to the final  
805 manuscript. The data analysis was carried out by DB who also prepared the first draft of the  
806 manuscript. AM, JKN, CN, JVN, HP, NP, AA, GK, SV and KE have provided with the data for the  
807 analysis. FDP, XQ, DCB and TP provided advice on the analysis.

808

#### 809 **COMPETING INTERESTS**

810 The authors have no conflict of interests.

811

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1346 **TABLE LEGENDS:**

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1348 **Table 1:** Location and data availability (seasonal data availability is found in Table S4) of the  
1349 sites in the present. In the studies referenced an extended description of the sites can be  
1350 found.

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1353 **FIGURE LEGENDS**

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1355 **Figure 1:** Map of the areas of study.

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1357 **Figure 2:** Frequency (a) and seasonal variation (b) of New Particle Formation events (Winter – DJF;  
1358 Spring – MAM; Summer – JJA; Autumn – SON).

1359 **Figure 3:** Ratio of New Particle Formation event probability between weekends to weekdays. The  
1360 greater the ratio the more probable it is for an event to take place during weekends  
1361 compared to weekdays.

1362 **Figure 4:** Growth rate of particles up to 30 nm (with standard deviations) during New Particle  
1363 Formation events at all sites.

1364 **Figure 5:** Seasonal variation of growth rate of particles up to 30 nm on New Particle Formation at  
1365 (a) the rural background, (b) urban background and (c) roadside sites.

1366 **Figure 6:** Formation rate of 10 nm particles ( $J_{10}$ ) (with standard deviations) from New Particle  
1367 Formation at all sites.

1368 **Figure 7:** Seasonal variation of formation rate of 10 nm particles ( $J_{10}$ ) (with standard deviations)  
1369 from New Particle Formation events at (a) the rural background, (b) urban background  
1370 and (c) roadside sites.

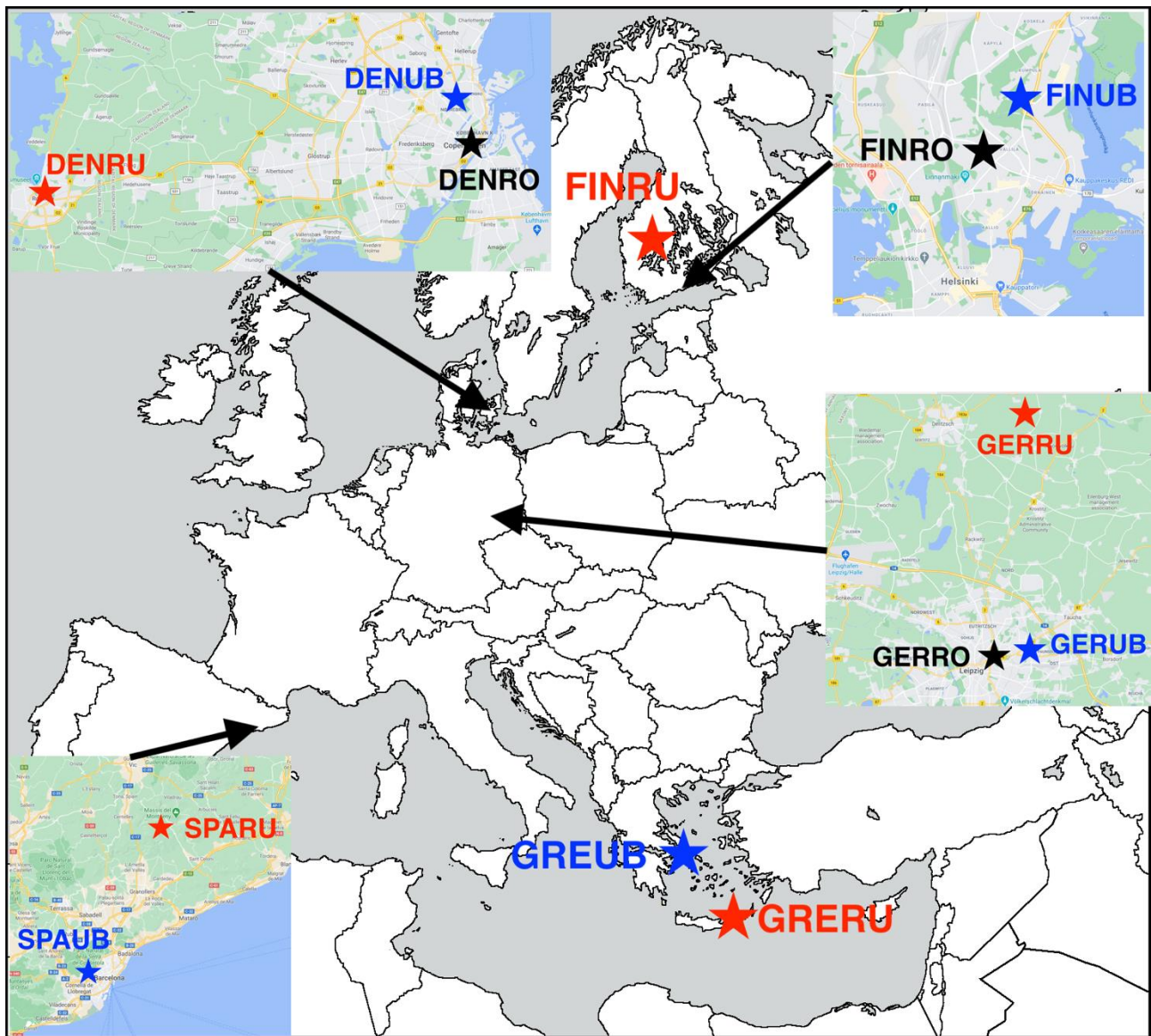
1371 **Figure 8:** (a) Number of region-wide New Particle Formation events per season and (b) fraction of  
1372 region-wide events to total New Particle Formation events per season for each site.  
1373 Region-wide events are considered those that occur on the same day on both background  
1374 sites (Rural and Urban background).

1375 **Figure 9:** (a)  $NSF_{NUC}$  (average relative increase of ultrafine particles – particles of diameter up to  
1376 100 nm) due to New Particle Formation events on event days) and (b)  $NSF_{GEN}$  (average  
1377 annual relative increase of ultrafine particles due to New Particle Formation events) at all  
1378 sites.

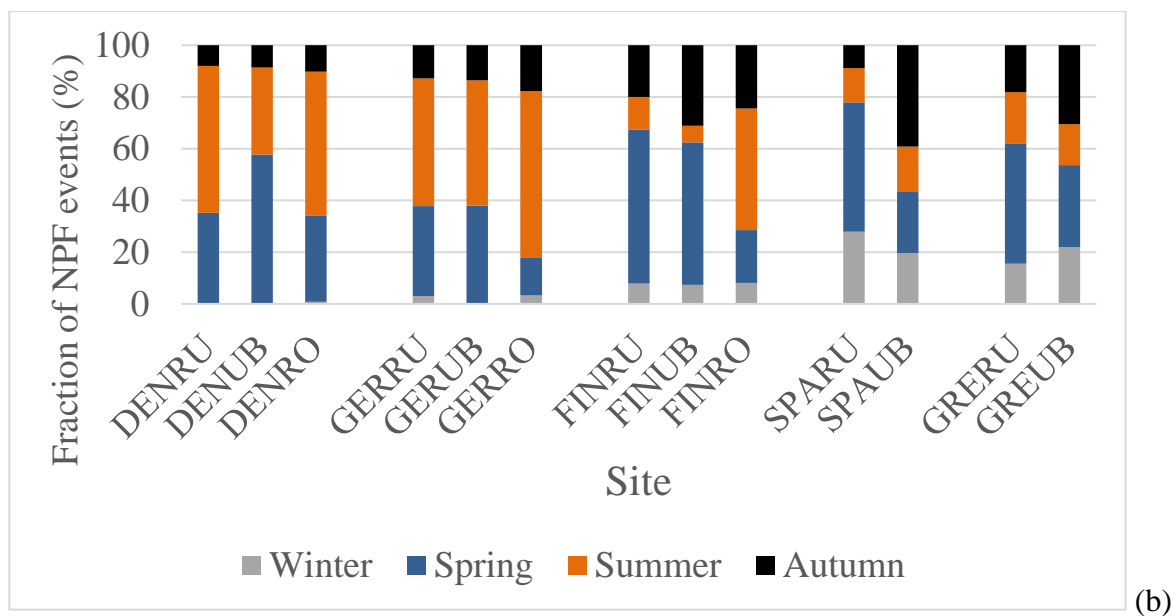
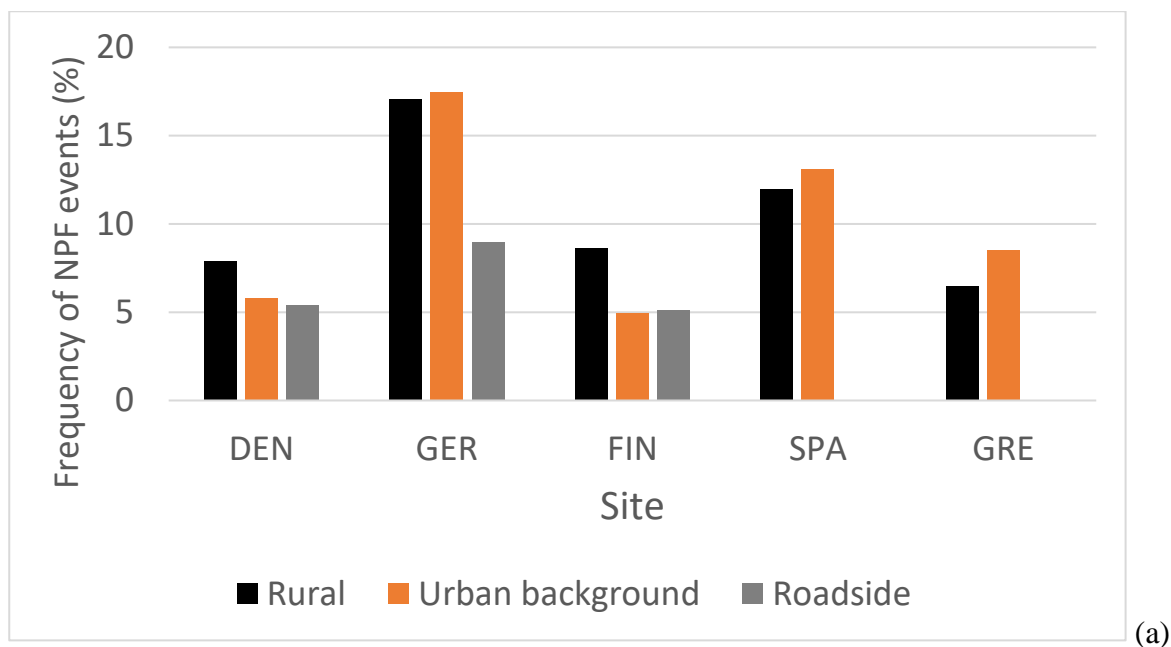
**Table 1:** Location and data availability (seasonal data availability is found in Table S4) of the sites in the present study. In the studies referenced an extended description of the sites can be found.

Site	Location	Available data	Meteorological data location	Data availability	Reference
DENRU	Lille Valby, 25 km W of Copenhagen, (55° 41' 41" N; 12° 7' 7" E) (2008 – 6/2010) Risø, 7 km north of Lille Valby, (55° 38' 40" N; 12° 5' 19" E) (7/2010 – 2017)	DMPS and CPC (5.8 - 700 nm, 65.4% availability), NO, NO <sub>x</sub> , SO <sub>2</sub> , O <sub>3</sub> , minerals, OC, EC, NO <sub>3</sub> <sup>-</sup> , SO <sub>4</sub> <sup>2-</sup> , NH <sub>4</sub> <sup>+</sup>	Ørsted – Institute station	2008 – 2017	Ketzel et al., 2004
DENUB	Ørsted - Institute, 2 km NE of the city centre, Copenhagen, Denmark (55° 42' 1" N; 12° 33' 41" E)	DMPS and CPC (5.8 - 700 nm, 59.0% availability), NO, NO <sub>x</sub> , O <sub>3</sub> , minerals, EC	On site	2008 – 2017	Wang et al., 2010
DENRO	H.C. Andersens Boulevard, Copenhagen, Denmark (55° 40' 28" N; 12° 34' 16" E)	DMPS and CPC (5.8 - 700 nm, 65.0% availability), NO, NO <sub>x</sub> , SO <sub>2</sub> , O <sub>3</sub> , minerals, OC, EC, NO <sub>3</sub> <sup>-</sup> , SO <sub>4</sub> <sup>2-</sup> , NH <sub>4</sub> <sup>+</sup>	Ørsted – Institute station	2008 – 2017	Wang et al., 2010
GERRU	Melpitz, 40 km NE of Leipzig, Germany (51° 31' 31.85" N; 12° 26' 40.30" E)	TDMPS with CPC (4.8 - 800 nm, 87.1% availability), OC, NO <sub>3</sub> <sup>-</sup> , SO <sub>4</sub> <sup>2-</sup> , NH <sub>4</sub> <sup>+</sup> , Cl <sup>-</sup>	On site	2008 – 2011	Birmili et al., 2016
GERUB	Tropos, 3 km NE from the city centre of Leipzig, Germany (51° 21' 9.1" N; 12° 26' 5.1" E)	TDMPS with CPC (3 - 800 nm, 88.0% availability)	On site	2008 – 2011	Birmili et al., 2016
GERRO	Eisenbahnstraße, Leipzig, Germany (51° 20' 43.80" N; 12° 24' 28.35" E)	TDMPS with CPC (4 - 800 nm, 64.4% availability)	Tropos station	2008 – 2011	Birmili et al., 2016
FINRU	Hyttiälä, 250 km N of Helsinki, Finland (61° 50' 50.70" N; 24° 17' 41.20" E)	TDMPS with CPC (3 – 1000 nm, 98.7% availability), NO, NO <sub>x</sub> , SO <sub>2</sub> , O <sub>3</sub> , CO, CH <sub>4</sub> , VOCs, H <sub>2</sub> SO <sub>4</sub>	On site	2008 – 2011 & 2015 – 2018	Aalto et al., 2001
FINUB	Kumpula Campus 4 km N of the city centre, Helsinki, Finland (60° 12' 10.52" N; 24° 57' 40.20" E)	TDMPS with CPC (3.4 - 1000 nm, 94.0% availability)	On site	2008 – 2011 & 2015 – 2018	Järvi et al., 2009
FINRO	Mäkelänkatu street, Helsinki, Finland (60° 11' 47.57" N; 24° 57' 6.01" E)	DMPS (6 - 800 nm, 90.0% availability), NO, NO <sub>2</sub> , NO <sub>x</sub> , O <sub>3</sub> , BC and SO <sub>2</sub> from Kalio Station	Pasila station and on site	2015 – 2018	Hietikko et al., 2018
SPARU	Montseny, 50 km NNE from Barcelona, Spain (41° 46' 45" N; 2° 21' 29" E)	SMPS (9 – 856 nm, 47.7% availability), NO, NO <sub>2</sub> , SO <sub>2</sub> , O <sub>3</sub> , CO, OM, SO <sub>4</sub> <sup>2-</sup>	On site	2012 - 2015	Dall'Osto et al., 2013
SPAUB	Palau Reial, Barcelona, Spain (41° 23' 14" N; 2° 6' 56" E)	SMPS (10.9 – 478 nm, 64.2% availability), NO, NO <sub>2</sub> , SO <sub>2</sub> , O <sub>3</sub> , CO, BC, OM, SO <sub>4</sub> <sup>2-</sup> , PM <sub>2.5</sub> , PM <sub>10</sub>	On site	2012 – 2015	Dall'Osto et al., 2012

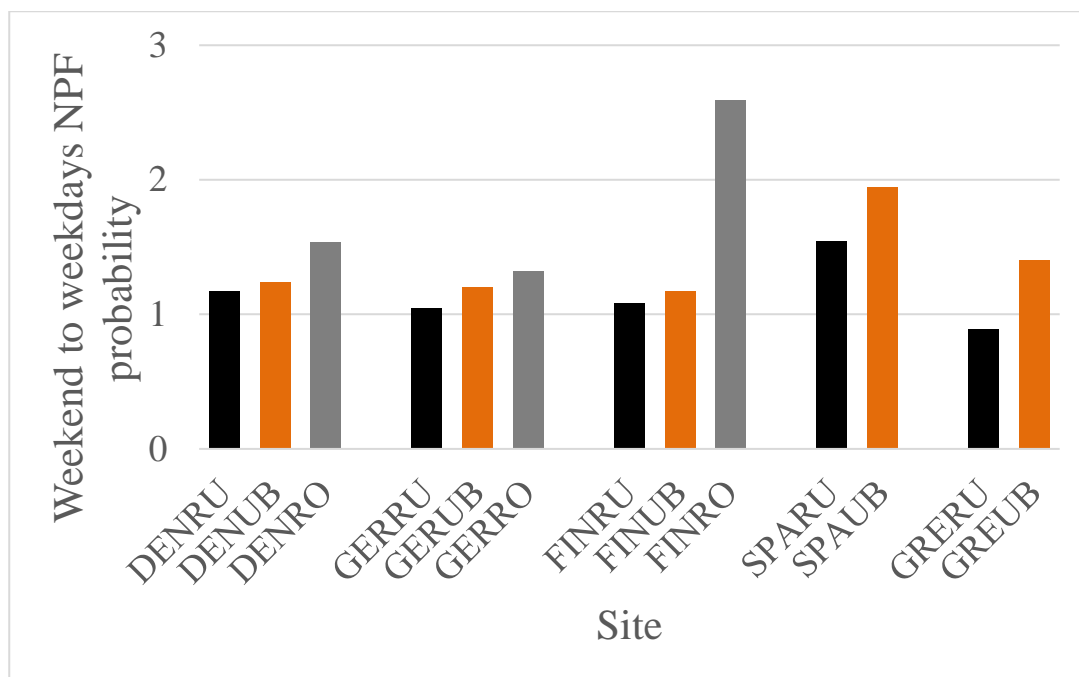
GRERU	Finokalia, 70 km E of Heraklion, Greece (35° 20' 16.8" N; 25° 40' 8.4" E)	SMPS (8.77 - 849 nm, 92.4% availability), NO, NO <sub>2</sub> , O <sub>3</sub> , OC, EC	On site	2012 – 2018	Kalkavouras et al., 2017
GREUB	“Demokritos”, 12 km NE from the city centre, Athens, Greece (37° 59' 41.96" N; 23° 48' 57.56" E)	SMPS (10 – 550 nm, 77.2% availability)	On site	2015 – 2018	Vassilakos et al., 2005



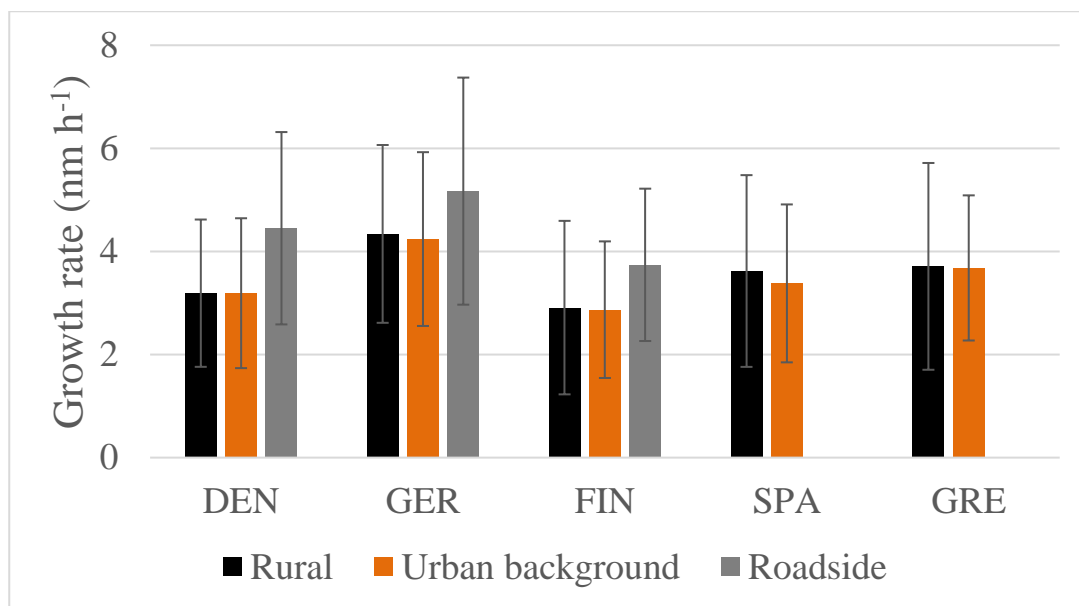
**Figure 1:** Map of the areas of study.



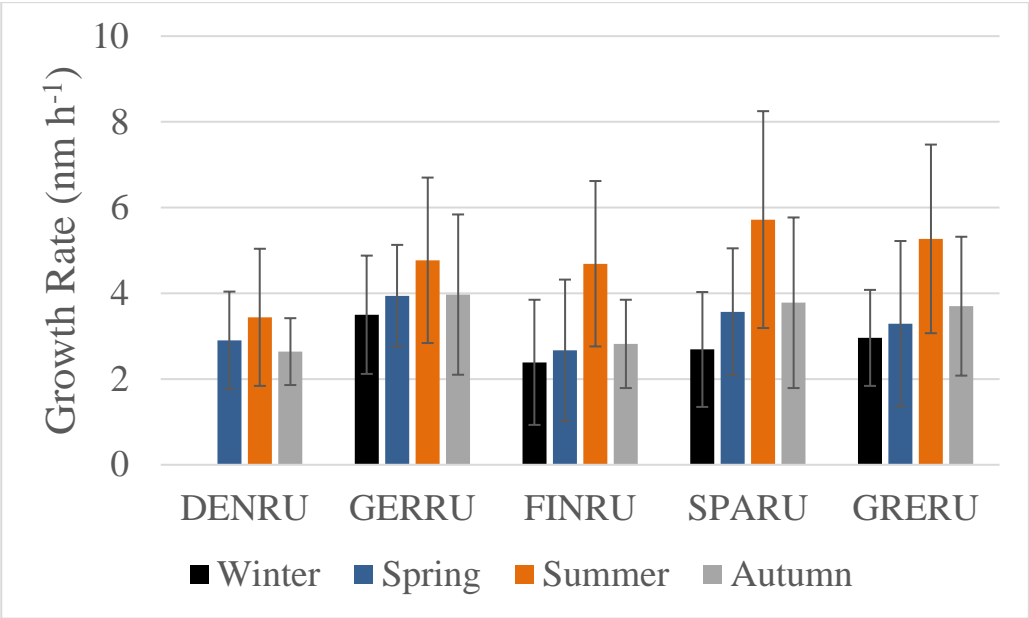
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**Figure 3:** Ratio of New Particle Formation event probability between weekends to weekdays. The greater the ratio the more probable it is for an event to take place during weekends compared to weekdays.

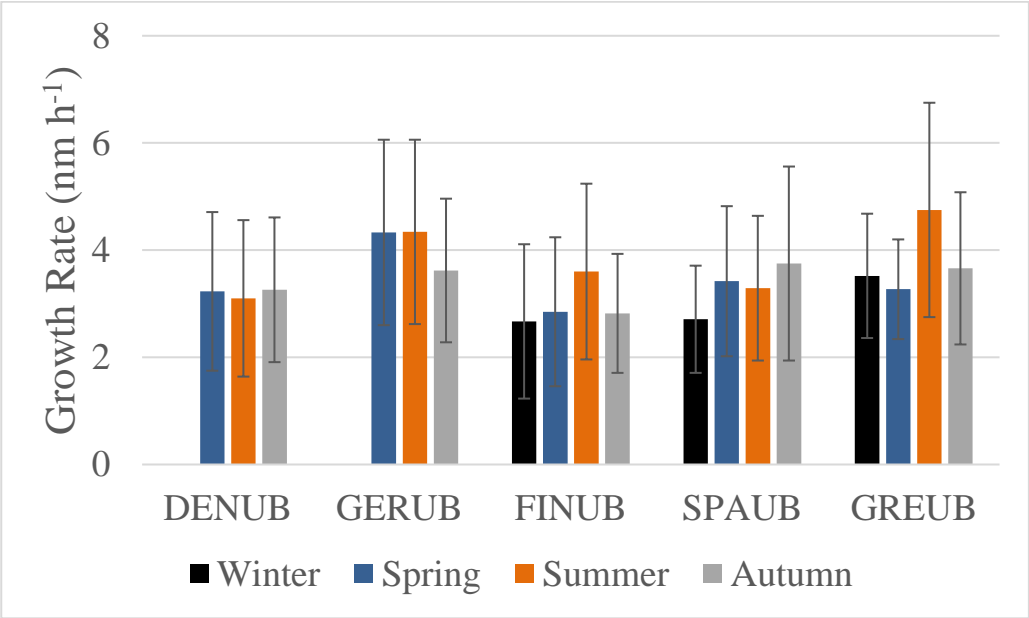


1405 **Figure 4:** Growth rate of particles up to 30 nm (with standard deviations) during New Particle Formation events at all sites.



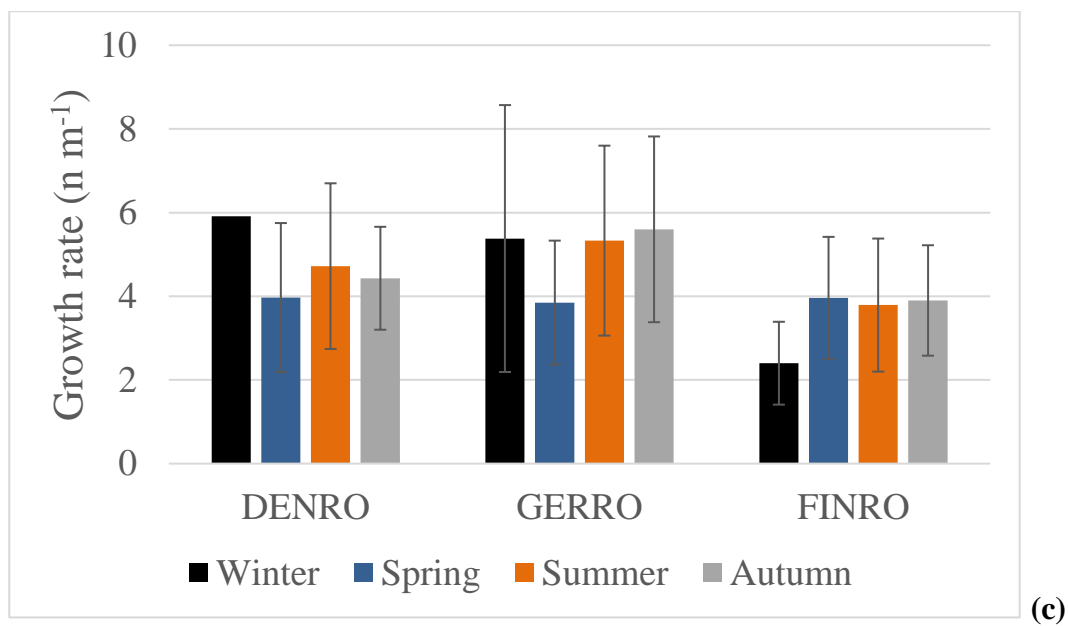
(a)

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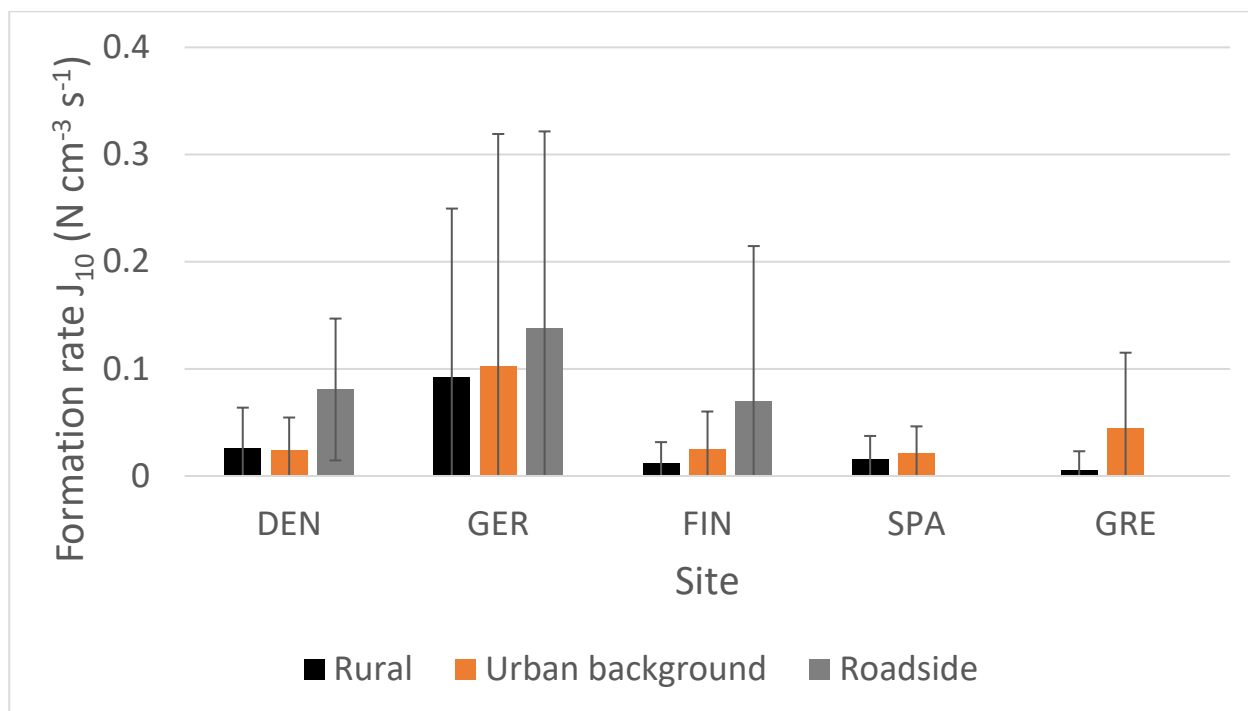


(b)



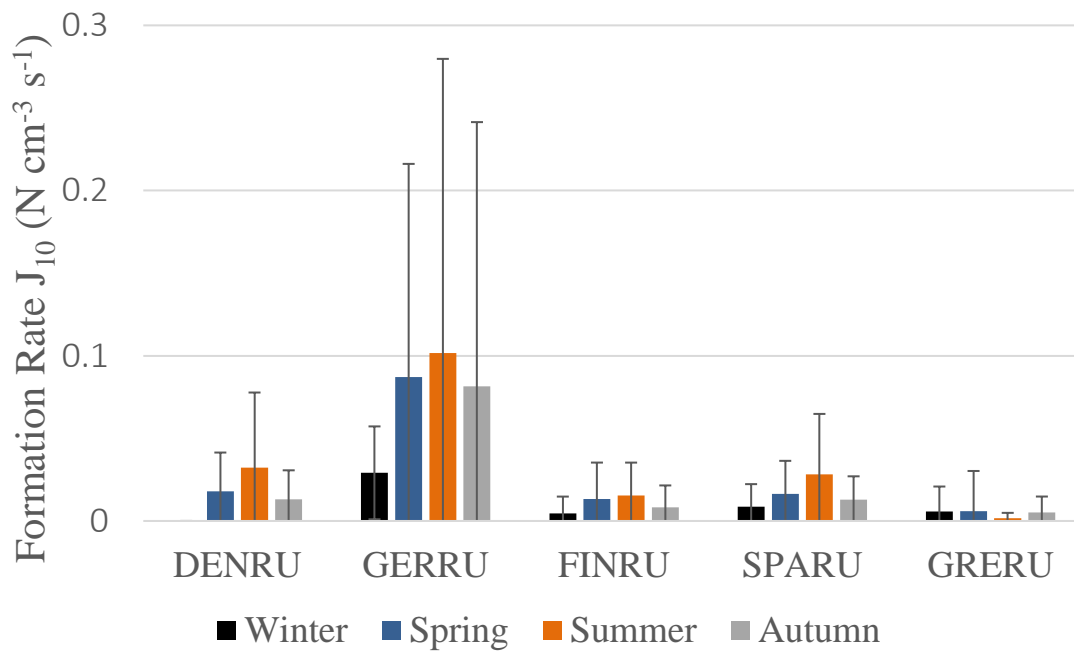


**Figure 5:** Seasonal variation of growth rate of particles up to 30 nm on New Particle Formation at 1415 (a) the rural background, (b) urban background and (c) roadside sites.

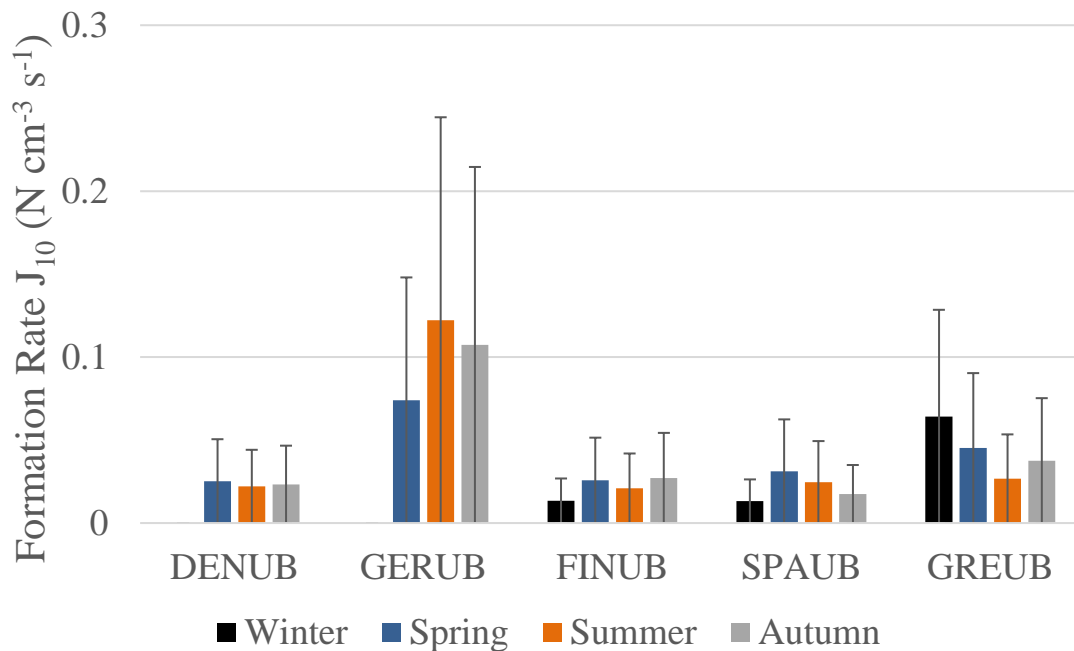


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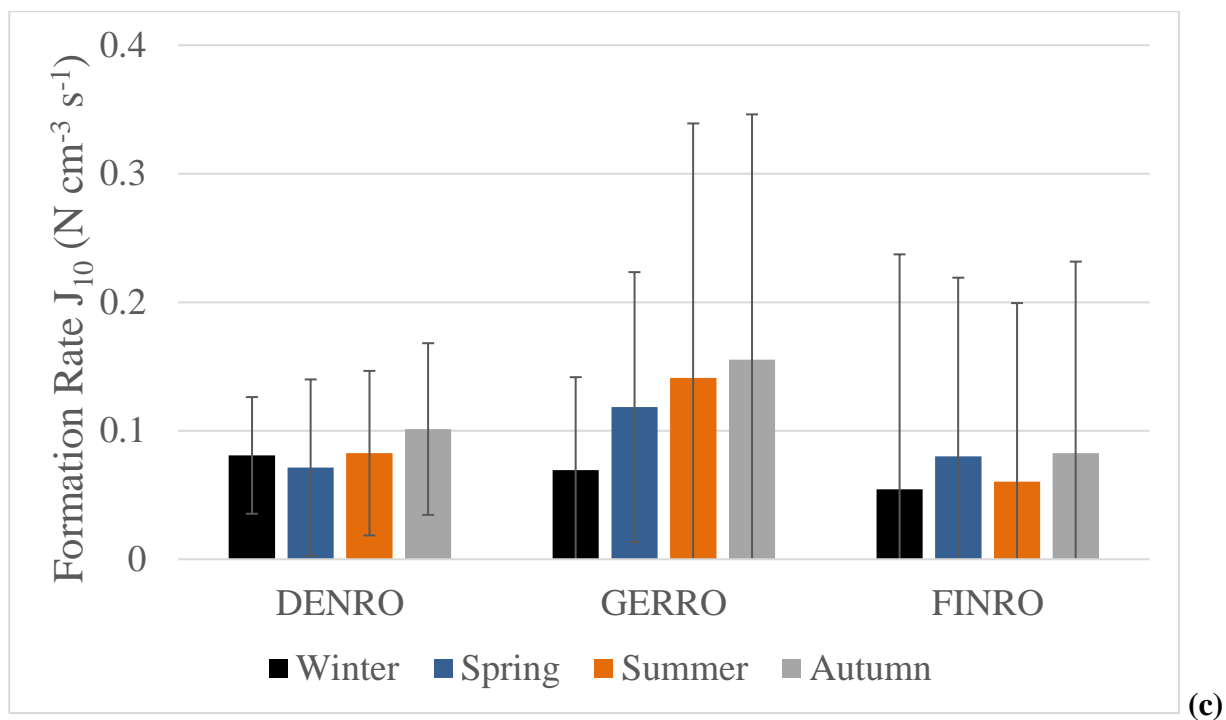
**Figure 6:** Formation rate of 10 nm particles ( $J_{10}$ ) (with standard deviations) during New Particle Formation events at all sites.



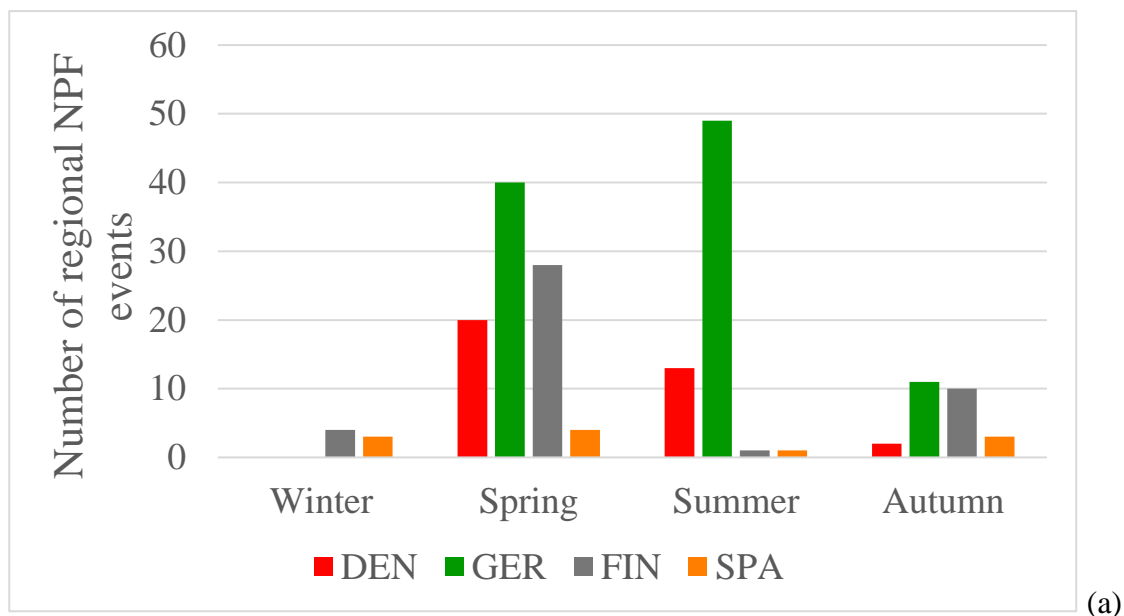
(a)



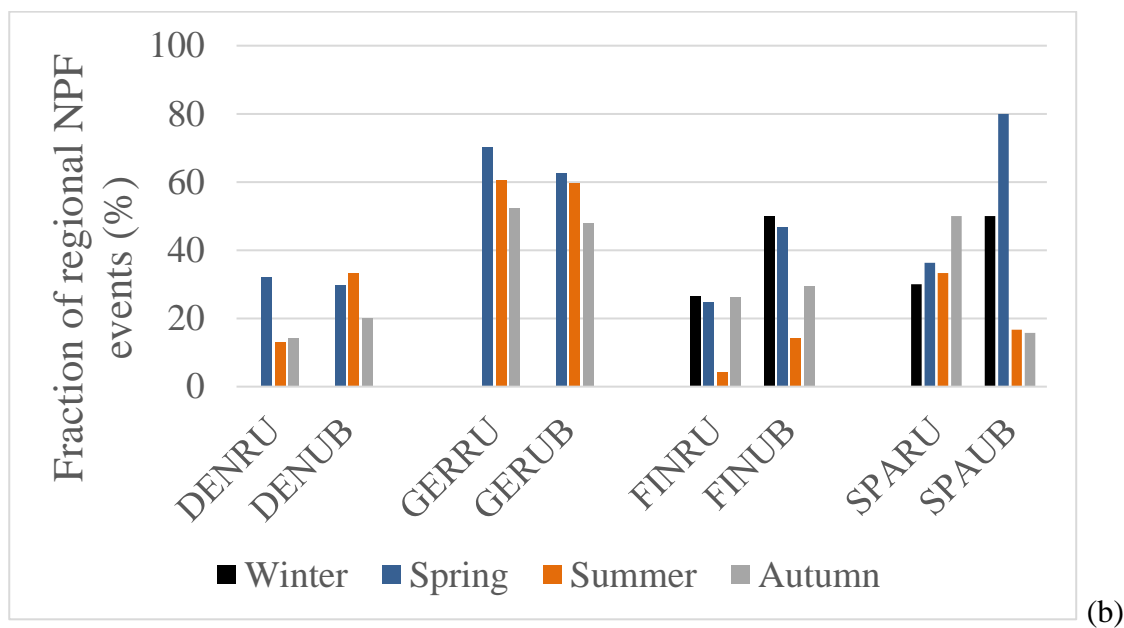
(b)



**Figure 7:** Seasonal variation of formation rate of 10 nm particles ( $J_{10}$ ) (with standard deviations) from New Particle Formation events at (a) the rural background, (b) urban background and (c) roadside sites.

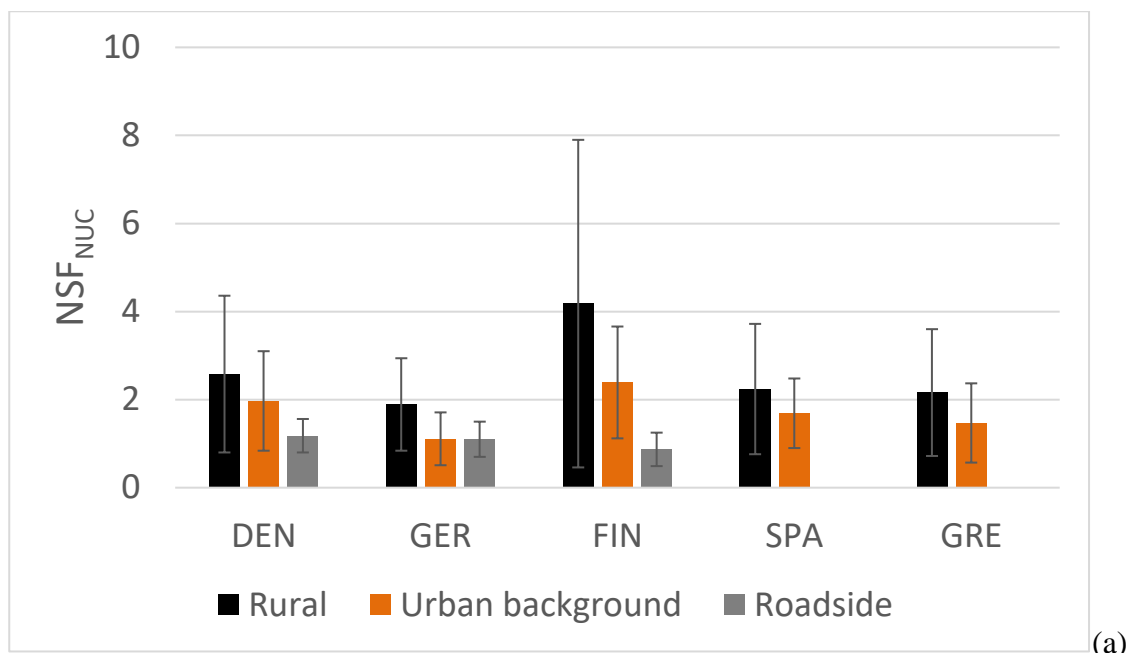


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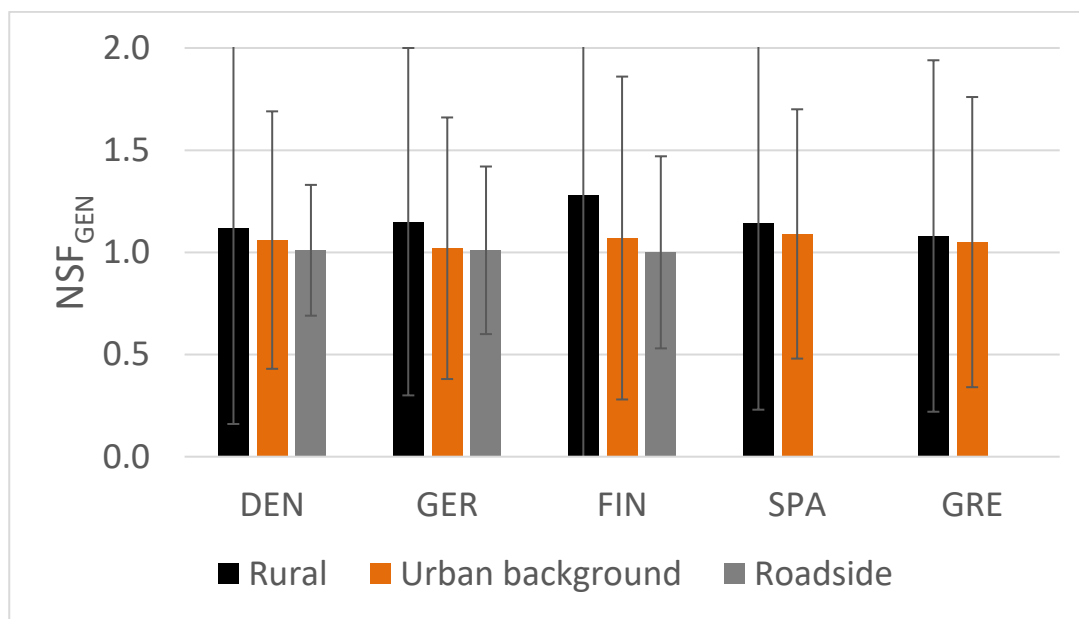


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**Figure 8:** (a) Number of region-wide New Particle Formation events per season and (b) fraction of region-wide events to total New Particle Formation events per season for each site. Region-wide events are defined as those that occur on the same day at both background sites (Rural and Urban background).



(a)



(b)

1445

**Figure 9:** (a)  $NSF_{NUC}$  (average relative increase of ultrafine particles – particles of diameter up to 100 nm) due to New Particle Formation events on event days) and (b)  $NSF_{GEN}$  (average annual relative increase of ultrafine particles due to New Particle Formation events) at all sites.