



Impact of in-cloud aqueous processes on the chemical

2 compositions and morphology of individual atmospheric

3 aerosols

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Abstract. The composition, morphology, and mixing structure of individual cloud residues (RES) and interstitial particles (INT) at a mountain-top site were investigated. Eight types of particles were identified, including sulfaterich (S-rich), S-organic matter (OM), aged soot, aged mineral, aged fly ash, aged metal, fresh mixture, and aged mixture. A shift of dominant particle types from S-rich (29%) and aged soot (27%) in the INT to S-OM (24%) and aged mixture (22%) in the RES is observed. In particular, particles with organic shells are enriched in the RES (30%) relative to the INT (12%). Our results highlight the in-cloud formation of more oxidized organic shells on the activated particles. We also show that in-cloud processes may result in less compact soot, with the fractal dimensions (D_f) of soot in the RES (1.82 ± 0.12) lower than those in the INT (2.11 ± 0.09). This research emphasizes the role of in-cloud processes on the chemistry and microphysical properties of individual particles. Given that organic coatings may determine the particle hygroscopicity, activation ability, and heterogeneous chemical reactivity, the increase of OM-shelled particles upon in-cloud processes should have considerable implications.





1 Introduction

Aerosol-cloud interaction is regarded as one of the most significant sources of uncertainty in assessing the radiative forcing of aerosols so far (IPCC, 2013). On the one hand, aerosols can participate in the formation of cloud droplets, which is primarily influenced by their chemical composition and size at a certain supersaturation (Fan et al., 2016; Maskey et al., 2017; Ogawa et al., 2016; Raymond and Pandis, 2002; Zelenyuk et al., 2010). On the other hand, in-cloud processes, including the formation of sulfate, nitrate, and water-soluble organics, and the physical processes such as collision and coagulation, would substantially change the physical and chemical properties of the activated particles (Kim et al., 2019; Ma et al., 2013; Roth et al., 2016; Wu et al., 2013). Given that the morphology and mixing state are vital in determining the optical properties of particles (Adachi et al., 2010; Wu et al., 2018), changes of these properties upon in-cloud processes would further affect the subsequent atmospheric processes (e.g., cloud activation, heterogeneous reactions) and radiative forcing of particles after droplet evaporation.

Understanding the morphology and mixing state of particles upon in-cloud processes is of considerable significance to improve the knowledge of aerosol-cloud interactions. For instance, Zelenyuk et al. (2010) found that both cloud droplet residues (RES) and interstitial particles (INT, or unactivated particles in the cloud) are mainly composed of organics, sulfate, biomass burning particles, and processed sea salt at the North Slope of Alaska. Kamphus et al. (2010) observed that 92% of RES are particles containing sulfates, organics, and nitric oxide at the Jungfraujoch (Swiss Alps). At Mt. Tai, Liu et al. (2018b) observed that main particle types are S (sulfate)-soot (36%), S-fly ash/metal-soot (26%) and S-rich (24%) for RES and S-rich (61%), S-soot (15%) and soot (15%) for INT. These results indicate that both RES and INT present complex mixtures, generally decomposed as mixing state between carbonaceous (i.e., organic materials (OM) and soot) and inorganic compositions.

While there are extensive studies reporting the extent of aqueous phase processing on the modification of aerosol bulk (e.g., mass) and/or chemical (e.g., mixing state, hygroscopicity) properties (Chakraborty et al., 2016; Ervens et al., 2011), the influence of in-cloud processes on the physical properties (e.g., shape, mixing structure) of individual particles is still ambiguous. In particular, physical properties can become dominant in the role of cloud activation for particles with inorganic/organic mixed (Topping et al., 2007). A hydrophobic organic-rich coating will form on a hygroscopic particle core if liquid-liquid phase separation occurs (Song et al., 2013). Besides, the distribution of organics and its association with other aerosol types is also crucial for the correct calculation of its radiative effects (Zhu et al., 2017). However, to what extent in-cloud processes play a role in reshaping the distribution of organic and inorganic compositions remains unknown, although such coating structures have been identified in ambient aerosols (Adachi and Buseck, 2008; Li and Shao, 2010; Yu et al., 2019). Considering that in-cloud processes contribute to a substantial fraction (up to 60%) of organic aerosols (Ervens et al., 2011; Liu et al., 2012; Myriokefalitakis et al., 2011; Spracklen et al., 2011), this process might not be neglected.

For another type of carbonaceous material, soot, there is extensive evidence that the absorption and cloud activation of soot-containing particles can be significantly affected by coatings (Adachi et al., 2010; Wu et al.,





2018). The critical factors to accurate predicting of such impact include the amount and nature of the coating material, the exact particle morphology, and the size distribution (Qiu et al., 2012; Radney et al., 2014). Fractal dimension (D_f) is widely used to indicate the extent of branching of soot (Brasil et al., 1999), with densely packed or compacted soot particles having higher D_f than chain-like branched clusters or open structures. While some studies have found that soot compaction occurs after cloud processing (Bhandari et al., 2019; Ma et al., 2013; Mikhailov et al., 2006), Khalizov et al. (2013) suggested that soot with thin organic coating did not become more compact under high humidity. However, the morphology and mixing structure of soot involving the formation of organics upon cloud processing is also poorly constrained because of the limited field observation.

To further improve our understanding of the morphology and mixing structures between the various components within individual RES and INT, we conducted a 25-day field observation of cloud events at a background site in southern China. A transmission electron microscope (TEM) combined with energy-dispersive X-ray spectrometry (EDS) was used to analyze the chemical composition, size, morphology, and mixing structure of individual RES and INT. Previously, the chemical composition and mixing state of RES at the same site have been investigated with a single particle aerosol mass spectrometer (SPAMS) (Lin et al., 2017; Zhang et al., 2017a). Herein, we focus on the mixing structure (e.g., chemical compositions and morphology) of individual particles, in particular, OM-containing particles. Meanwhile, particle types and mixing state of RES and INT are also discussed. The difference between the mixing structure of RES and INT may indicate the impact of in-cloud aqueous processes.

97 2 Materials and Methods

2.1 Sampling site

Sampling was conducted at the top of Mt. Tianjing (112°53′56″ E; 24°41′56″ N; 1690 m above sea level) in southern China from 18 May to 11 June 2017. The sampling site is located in a natural preserve, and it is almost unaffected by local anthropogenic sources. It is about 50 km and 350 km away from the north of the Pearl River Delta (PRD) region and the South China Sea, respectively.

2.2 Collection of RES and INT

A cloud event was identified with visibility below a threshold of 3 km and relative humidity (RH) above a threshold of 95%, using a ground-based counterflow virtual impactor (GCVI, model 1205, Brechtel Mfg. Inc., USA). The GCVI was automatically triggered when there was a cloud event, whereas it was not allowed to sample when a precipitation sensor detected rain or snow. Then cloud droplets were introduced into the GCVI, followed by removing water in an evaporation chamber (40 °C) to obtain RES. The sampling process might experience some particle loss due to the evaporation of highly volatile substances. The droplet cut size, at which the transmission efficiency of CVI was 50%, was set at a size larger than 7.5 μm (Shingler et al., 2012). INT was sampled using another inlet (PM_{2.5} cyclone inlet, 5 lpm), followed by passing through a silica gel diffusion dryer.

A DKL-2 sampler (Genstar Electronic Technology Co., Ltd., China) was used to collect RES and INT on copper grids coated with carbon film with an airflow of 1 L min⁻¹. The collection efficiency of the sampler is 50% at 80





nm, assuming the particle density is 2 g cm⁻³. To avoid particle overlapping, the sampling duration was set within 10 minutes. All samples were placed in a sealed plastic sample box and stored in a desiccator at room temperature

116 for subsequent analysis.

The information about cloud events and samples are summarized in Table S1. We focused on three cloud events (#1, #2, and #3), with a duration of 14, 34, and 47 hours, respectively. RES and INT samples from these cloud events were analyzed, with INT not available for the cloud event #1. To minimize the influence of rapid change of cloud condition, all the samples were collected during the stable and mature periods (Visibility < 100 m).

2.3 Analysis of RES and INT

Chemical composition, size, and morphology of individual RES and INT were characterized by a TEM (FEI Talos F200S) operated at 200 kV. TEM/EDS is a very effective tool to analyze the microscopic characteristics of individual particles. The resolution of images between 1 μ m and 100 nm can be magnified from 7,000 to 36,000 folds, which depended on the size of particles. The EDS is coupled with TEM to detect the intensity of elements heavier than carbon ($Z \ge 6$). The produced X-rays signal in the EDS system is detected by a silicon (Si) drift detector (SSD), and thus Si is not considered in the discussion. Cu is also not considered due to the interference from the copper grids. In the TEM vacuumed chamber, some volatile substances (e.g., NH₄NO₃ and volatile organic matter) would be lost. Moreover, volatile materials are often sensitive to strong electron beams. Due to the analysis error of volatile materials, TEM/EDS studies typically focus on refractory compositions. Using an image analysis software (ImageJ), the equivalent circle diameters (ECD) of all particles can be obtained from the scanned images from the TEM. For particles with rim, only the nucleus is counted, because the rims contain only a small amount of OM. Overall, 780 particles, including RES and INT, were analyzed. A SPAMS (Hexin Analytical Instrument Co., Ltd., Guangzhou, China) was used to analyse the chemical composition of RES and INT simultaneously in real-time, and the data of SPAMS is not the focus of this study.

2.4 Calculating morphology parameters of soot

The fractal dimension of soot is characterized in the following statistical scaling law (Brasil et al., 1999; Köylü et al., 1995):

$$N = k_g \left(\frac{2R_g}{d_p}\right)^{D_f}$$

140 Where N is the number of monomers within a certain soot aggregate, k_g is the fractal pre-factor, R_g is the radius of gyration, d_p is the diameter of the monomer, and D_f is the mass fractal dimension. R_g can be obtained by using a simple relationship between R_g and L_{max} , the maximum length of the soot aggregate (Brasil et al., 1999):

$$L_{max}/2R_a = 1.50 \pm 0.05$$

And, the number of monomers, *N*, can be calculated by a power-law correlation of projected area of monomer and aggregate:





 $N = k_a \left(\frac{A_a}{A_p}\right)^{\alpha}$

147 Where k_a is a constant, A_a and A_p are the projected area of aggregate and monomer, respectively, and α is an 148 empirical projected area exponent. The value of k_a and α depends on the degree of monomer overlap in the 149 aggregate. The detailed calculation process has been described elsewhere (Wang et al., 2017).

3 Results and Discussion

3.1 Particle type and mixing state of RES and INT

According to mixing state, RES and INT were divided into following eight types (Figure 1): S-rich, S-OM, fresh mixture (soot/mineral/metal/fly ash), aged soot (S/OM-soot), aged mineral (S/OM-mineral), aged metal (S/OM-metal), aged fly ash (S/OM-fly ash), and aged mixture (S/OM-soot/mineral/metal/fly ash). The details involving the identification of each component (S, OM, soot, mineral, metal, fly ash) are provided in the Supporting Information. S-rich or OM, generally considered to be aged since they are mainly secondarily produced in the atmosphere, are internally mixed with refractory fractions (soot/mineral/metal/fly ash) (Canagaratna et al., 2007; Huang et al., 2012; Jiang et al., 2019). Such internally mixed S/OM-refractory particles are named as aged refractory particles herein. Particle types containing two or more refractory components are named as "mixture". It is worth noting that fresh mixture are refractory particles without S-rich and OM, which are collectively defined as the fresh mixture due to the small amount.

Figure 2 shows the number fraction of different particle types in the RES and INT during cloud events #2 and #3. S-rich, S-OM, aged soot, and aged mixture particles are dominant particle types. The most abundant particles in the RES are aged mixture (23%), followed by S-OM (22%), aged soot (20%), S-rich (16%), aged metal (9%), aged fly ash (5%), aged mineral (4%), and fresh mixture (1%). Differently, INT is predominated by S-rich (29%), aged soot (27%), S-OM (15%), aged mixture (10%), and the lesser percentage of aged fly ash (8%), fresh mixture (5%), aged mineral (4%), and aged metal (2%) were also observed. Among three cloud events, the RES are dominated by S-OM in cloud event #1 and #2 and aged mixture particles in cloud event #3 (Figure S1). Influenced by air masses (Figure S1, S2), the proportion of aged mineral particles in the RES during cloud event #1 (14%) is nearly four times those in the other two cloud events. Aged fly ash particles have the highest proportion in cloud event #3 (10%) compared with the other two cloud events. However, the influence of air masses on the compositions of the RES during cloud events #2 and #3 is limited, as confirmed by the SPAMS data (Figure S4). It is also shown that the RES and INT analyzed by TEM/EDS can represent their compositions throughout cloud events #2 and #3, since such compositions were relatively stable throughout these periods (Figure S5).

3.2 The morphology and mixing structure of carbonaceous particles

OM-containing particles, including all the S-OM particles, part of aged refractory (S-OM/OM-refractory), and aged mixture (S-OM/OM-soot/mineral/metal/fly ash) particles, accounted for 60% of RES and 33% of INT during cloud events #2 and #3. According to the mixing structures between OM and other materials (Figure 3), OM-containing particles are classified into the following five categories: coating (Figure 3b), core-shell (Figure 3c),





embedded (Figure 3d), attached (Figure 3e) and homogenous-like (Figure 3f) structures (Li et al., 2016). A particle is classified as coating structure when wrapped with a thin layer of OM. The thickness of the coating layer ranges from 12 to 150 nm. Generally, the shapes of OM-containing particles with coating structure are elliptical or irregular. The difference between the core-shell structure and coating structure is the relative thickness of OM: Core-shell structure possessed thicker organics than coating structure. The thickness of the shell varies from 86 to 2110 nm, and the ratio of the projected area of the shell to particle ranges from 0.20 to 0.97. Moreover, OM-containing particles with core-shell structure are round. Embedded structure refers to the particle with OM embedded in other materials (e.g., sulfate). The attached structure refers to the particle of OM attached to other materials. The homogenous-like structure represents particles with evenly mixed and no identifiable boundary between organic and non-organic matter.

The first most abundant particles are coating geometry comprising 53% of RES and 59% of INT during cloud event #2 and #3, respectively. The second are core-shell particles for RES and attached particles for INT. The percentage of core-shell particles in the RES is almost 2.5 times that in the INT (27% vs. 12%). Embedded and homogenous-like particles account for minor proportions (< 4%) for both RES and INT.

Soot-containing particles, including all the aged soot particles (S/OM-soot) and part of a fresh mixture (soot/mineral/metal/fly ash) and aged mixture particles (S/OM-soot/mineral/metal/fly ash), account for 36% of RES and 39% of INT during cloud event #2 and #3, respectively. The fraction is consistent with the range of those ($< 30\% - \sim 60\%$) observed at the same site by SPAMS (Zhang et al., 2017a). Most of the soot are distributed around the periphery of particles (Figure S6). Figure 4 shows the D_f of soot within RES and INT of cloud event #2 and #3. The result shows that the D_f of soot is smaller in the RES (1.82 ± 0.12) than in the INT (2.11 ± 0.09), which means that soot is more branched in the RES. It is noted that 62.5% of all soot-containing particles with clear boundaries are included in the D_f calculation since thick coating around soot might make the boundary of monomers not clear enough (Bhandari et al., 2019). The obtained D_f are close to those (1.83 - 2.16) reported at a background site (Wang et al., 2017). The D_f of soot in the RES and INT likely represents partly coated soot (1.82 ± 0.05) (Yuan et al., 2019) and embedded soot (2.16 ± 0.05) (Wang et al., 2017), respectively. In addition to emission sources and coating processes, high relative humidity (RH) during nighttime is a critical factor to increase the compactness of soot (Yuan et al., 2019).

3.3 In-cloud formation of OM

It can be seen from Figure 2 that a shift of dominant particle types from S-rich (29%) and aged soot (27%) in the INT to the aged mixture (23%) and S-OM (22%) in the RES. In particular, the fraction of OM-containing particles increases from 33% in the INT to 60% in the RES. It is unlikely due to the favorable activation of S-OM or aged mixture, since mixing with OM generally lower the hygroscopicity of inorganic-dominant particles (e.g., S-rich) (Brooks et al., 2004; Pierce et al., 2012). OM coating at the same site has been shown to inhibit the CCN activation of soot-containing particles (Zhang et al., 2017a). Instead, it is most probably attributed to the in-cloud formation of OM on the surface of some S-rich particles, shifting the dominant particle type from S-rich to S-OM particles. It can be supported by the relatively larger median size of S-OM particles (0.76 μ m) (Figure S7), since in-cloud formation of OM is expected to enlarge the original S-rich particles (Pierce et al., 2012).





In addition, the fraction of OM-containing particles with core-shell mixing structure in the RES is almost 2.5 times that in the INT (Figure 3a). Such a mixing structure is similar to those observed in the Arctic, background, or rural atmosphere (Hiranuma et al., 2013; Li et al., 2016; Yu et al., 2019), but is different from previous findings in polluted air where OM is typically mixed with sulfate (Li et al., 2016). It is also consistent with several laboratory simulations demonstrating that reactive uptake of volatile organic compounds (VOCs) on inorganic sulfate and follow-up strong interactions between there species would lead to a core-shell morphology (e.g., Zhang e al., 2018; Riva et al., 2019; Zhang et al., 2019). Our results support the hypothesis that the core-shell morphology with secondary organic aerosol (SOA) in the shell phase is predominant (Gorkowski et al., 2020). Based on the measurements from aerosol optical tweezers experiments and literature data, the authors come up with a particle morphology prediction framework developed for mixtures of organic aerosol.

Moreover, we estimated the O/C ratio of coating and shell within OM-containing particles. It should be noted that the O/C ratio of organic coating and shell is underestimated herein due to the copper grid evenly covered by carbon film. Nevertheless, the average value of the O/C ratio of RES is higher than INT, and the average value of the O/C ratio of RES with core-shell structure is two times that with coating structure (Table S2), indicating that these RES with core-shell particles are more oxidized. At the same site, we have previously observed enhanced aqueous SOA, such as oxalate in the cloud (Zhang et al., 2017b). Higher O/C ratio of core-shell particles is also consistent with current studies reporting more oxidized organic species in cloud/fog residues (Brege et al., 2018; Chakraborty et al., 2016; Zhang et al., 2017b). With high levels of VOCs at the sampling site (Lv et al., 2019), prevalent formation of aqueous SOA through the uptake of VOCs in cloud droplets would be expected (Kim et al., 2019; Liu et al., 2018a). The contribution from photochemical processes may also be reflected by the association of the highest fraction (81%) of OM-containing particles with a higher concentration of O₃ during cloud event #2 (Table S3).

However, one may expect that such core-shell mixing structure in the RES can also be explained by the primary activation of S-OM particles with larger sizes. Unfortunately, no sample is available before cloud events. However, with evidence from the collocated SPAMS, we show that this is not convincing. As shown in Table S4, the ratios of relative peak area between organics and sulfate are similar between the INT and particles before cloud event, whereas they are higher in the RES. This is corresponding to the production of oxidized organics during in-cloud processes (Zhang et al., 2017b). It is noted that while some loss of volatile organic compounds during the TEM/EDS analysis may affect the O/C of particles, the relatively higher O/C ratio for the RES is still affirmative. Droplets are expected to dissolve more abundance of volatile organic compounds (Chakraborty et al., 2016), evaporation of which would result in an underestimate of O/C to a higher degree rather than the INT.

3.4 The D_f of soot in the RES and INT

While some previous studies demonstrated that soot aggregates tend to be more compact (with larger D_f) after aging or cloud processing (Adachi and Buseck, 2013; Wu et al., 2018), our results suggest that in-cloud processes may result in more branched soot, as shown in Figure 4. Considering that D_f is controlled mainly by emission sources, combustion conditions, and aging processes (Adachi et al., 2007), we propose three possible explanations for the lower D_f of soot in the RES than that in the INT. The first and the most likely reason is that the relatively large deformation and reconfiguration of soot aggregates are inhibited when non-volatile materials fill the spaces





between the branches during transport and activation into cloud droplets (Zhang et al., 2018). And, if the soot aggregates are not coated by non-volatile materials, they may shrink and become more compact during long-distance transport (Adachi and Buseck, 2013). We show that soot aggregates in the INT have higher D_f and lower average ECD (247 nm vs. 266 nm) than that in the RES, which means that smaller, tighter soot particles are less likely to act as CCN, and larger, less dense soot particles are likely to act as CCN. This is consistent with a study reporting that small particles are more compact than large particles (Adachi et al., 2014). The second is that water-soluble substances within aerosols will be miscible after activating to cloud droplets (Gorkowski et al., 2020), and the coating materials of soot may be released, which makes soot more branched in the droplets and the following-up droplet evaporation. The third possible explanation is that different combustion materials and combustion conditions produce soot-containing particles with different mixing states and morphology (China et al., 2014; Khalizov et al., 2013; Liu et al., 2017; Zhang et al., 2018).

This result is in contrast to the current study reporting that soot sampled after cloud droplet evaporating are more compact than freshly emitted and interstitial soot (Bhandari et al., 2019). Our observations in the background site show that the majority of soot aggregates in both RES and INT (~80%) are located in off-center positions, having less compact shapes even after being coated. This is quite different from the core-shell model currently used in the climate models (Bond and Bergstrom, 2006; Wu et al., 2018). Through theoretical calculation, Adachi et al. (2010) suggested that absorption cross-sections could be reduced by 20-30% with off-center positions of soot relative to center positions. This means that the models based on core-shell assumption may overestimate the absorption of soot-containing particles after cloud processing.

4 Conclusion and atmospheric implications

The result highlights the different morphology and mixing structures of activated and interstitial particles, which may imply the substantial role of in-cloud aqueous processes in reshaping the activated particles. While Yu et al. (2019) considered organic coatings on sulfate in the Arctic as a result of the increase of SOA following particle aging and growth during transport, our data further imply a specific role of in-cloud processes in the coating on sulfate. The prevalence of OM shelled particles upon in-cloud processes also supports a recent laboratory observation depicting that rapid film formation and fast heterogeneous oxidation can provide an efficient way of converting water-insoluble organic films into more water-soluble components in aerosols or cloud droplets (Aumann and Tabazadeh, 2008).

Gorkowski et al. (2020) suggested that mixing structures of OM-containing particles are related to the oxidation degree of OM. We also show that OM shells formed in-cloud have a higher degree of oxidation. Such a chemical and morphology modification of aerosol particles may influence species diffusivities from the interior to the surface region of the shell and gas-particle partitioning between the shell and gas (Liu et al., 2016; Shiraiwa et al., 2013). Such a reshaping may also have an influence on aerosol hygroscopicity. Extrapolating the linear relationship between the O/C ratio and the hygroscopicity parameter (κ_{org}) indicates that $\kappa_{org-shell}$ is about 1.4 times $\kappa_{org-coating}$ (Jimenez et al., 2009; Lambe et al., 2011). In addition, the formation of the organic film could result in a change of surface tension and thus affect the critical supersaturation required for particle activation (Ovadnevaite et al., 2017). For mineral particles, the heterogeneous ice nucleation potential may be suppressed when coated by





OM (Möhler et al., 2008). Given the critical contribution of in-cloud aqueous SOA, several mixing structures of OM-containing aerosols upon in-cloud processes may have substantial implications in modeling the direct and indirect radiative forcing of aerosols (Scott et al., 2014; Zhu et al., 2017).

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- 297 Data availability. Data are available on request from Guohua Zhang (zhanggh@gig.ac.cn) and Xinhui Bi
- 298 (bixh@gig.ac.cn).
- 299 Author contribution. GHZ and XHB designed the research (with input from XMW and GYS). YZF, GHZ, and
- 300 XHB analyzed the data, and wrote the manuscript. YZF, XFL, YXY, FJ, and QHL conducted sampling work
- 301 under the guidance of GHZ, XHB and XMW. LL, DHC and JO had an active role in supporting the sampling
- 302 work. YZF performed the laboratory analysis of individual particles by TEM/EDS, with support from YPY and
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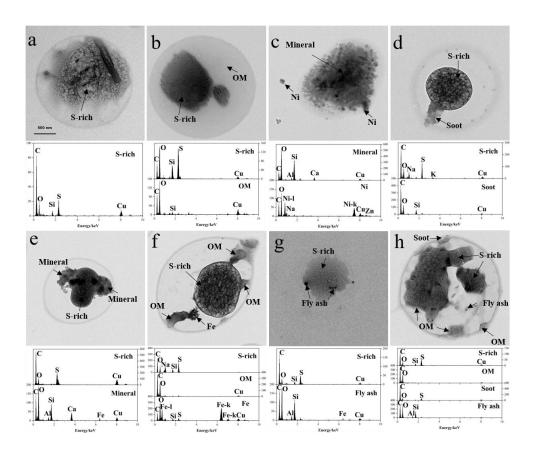


Figure 1. TEM images and EDS spectra of individual RES and INT particles with different particle types: (a) S-rich; (b) S-OM; (c) fresh mixture; (d) aged soot; (e) aged mineral; (f) aged metal; (g) aged fly ash; (h) aged mixture.



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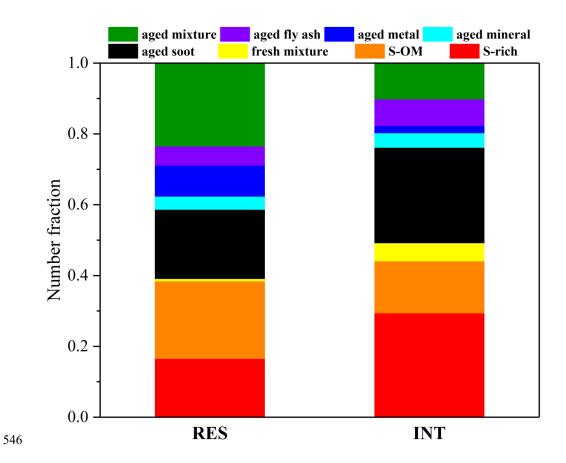


Figure 2. Number fractions of different particle types in the RES and INT of cloud event #2 and #3 measured by TEM/EDS.



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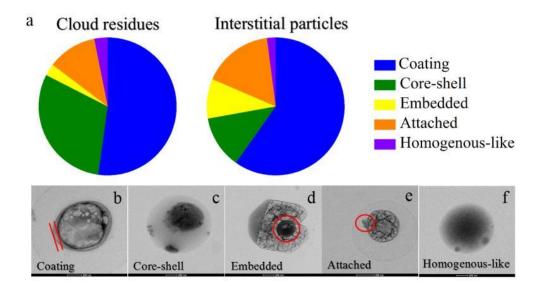


Figure 3. Number fractions of OM-containing particles with different mixing structures in the RES and INT (a) and typical TEM images: coating (b); core-shell (c); embedded (d); attached (e); homogenous-like (f) during cloud event #2 and #3.





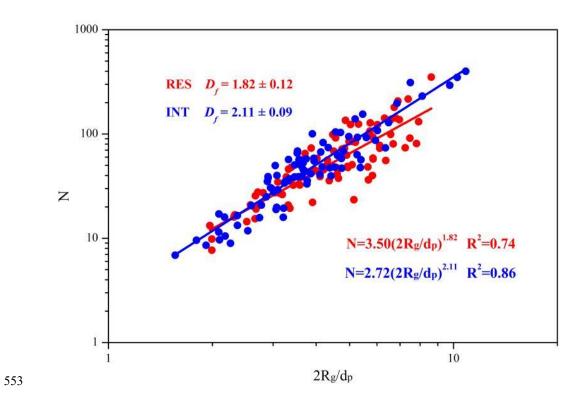


Figure 4. Fractal dimensions of soot in the RES and INT during cloud event #2 and #3.