

Comment from the editor:

Dear Authors,

Thank you for addressing my comments at this stage. I will go ahead and start the formal review process (ACPD). I did want to point out that your Figure 1 in the reply has a mass spectrum similar to Figure 1 from Hatch et al. (2011, ES&T). Hatch et al. saw a small fraction as well, but is this due to the ionization efficiency being low? Meaning, even though the ion intensity is low, do you really know if that means it is low in abundance in the RES? Please consider that comment during the review process.

Thanks for your handling and comments to further improve our manuscript. It is possible that the ionization efficiency may lead to the small fraction of organosulfate, however, there is still no data to support this. Thus, we cannot confirm that it is low in abundance in the RES, since the SPAMS only provides the relative intensity of ion peaks, which can be used to indicate the relative abundance of a species in a particle. However, we observed both the small number fraction of particles containing organosulfate and extremely low relative intensity for organosulfate may still reflect the limited occurrence of organosulfate in the RES.

Comment from Referee #1:

Interactive comment on “Impact of in-cloud aqueous processes on the chemical compositions and morphology of individual atmospheric aerosols” by Yuzhen Fu et al.

Anonymous Referee #1 Received and published: 26 May 2020

In this paper, the authors did a good job of presenting their results on the different morphologies and mixing states of activated and interstitial particles. I think that the analysis could be strengthened by further exploring the connection between the offline TEM-EDS analysis and the online SPAMS analysis.

We would like to thank the referee for the positive and valuable comments to improve our manuscript. We agree with the comments and have strengthened the analysis, in particular, the connection between the TEM/EDS and the SPAMS results. As suggested, the size distribution and mass spectral information were included to support the discussion. We have addressed the specific comments in the sections below and made the appropriate revisions to the manuscript. The referee’s comments are in the black text followed by our response in the blue text.

As Prof. Surratt mentioned, it has been previously shown that SOA can form via in-cloud processes and the formation of SOA can result in core-shell phase morphology. As such, it would be beneficial to assess the SPAMS mass spectra for SOA products, especially given the increase in the number fraction of S-OM relative to S-rich particles in the RES v. INT particles.

Thanks for your comment. Indeed, we also hope to obtain more information about OM from SPAMS to explain and corroborate the results obtained from TEM/EDS. In the section 3.3, we use the ratios of relative peak area of organics to sulfate of OM particles during in-cloud (RES and INT) and pre-cloud (Ambient) periods from the data of SPAMS (Table S2), to help explain the in-cloud formation of OM found by TEM/EDS, which show that the ratios of relative peak area between organics and sulfate are similar

between the INT and particles before cloud event, whereas they are higher in the RES.

Additionally, the size distribution of different particle morphologies and mixing states could be assessed and compared to the size-resolved mass spectra from the SPAMS.

Thanks for your comment. We have added the size-resolved number fraction distributions of the RES and INT by TEM/EDS (Figure S8) and SPAMS (Figure S9).

We also added simple description and comparison in the SI:

The size distribution of different particle types revealed that S-rich and aged soot particles were predominant in smaller size segments, and aged mixture particles in larger size segments (Figure S8). Likewise, the size-resolved number fractions of different particle types from the results of the SPAMS also showed that the BC-containing particles were mainly distributed between 0.1 and 1.3 μm , representing $\sim 80\%$ of the submicron RES and $\sim 73\%$ of the submicron INT population, respectively (Figure S9).

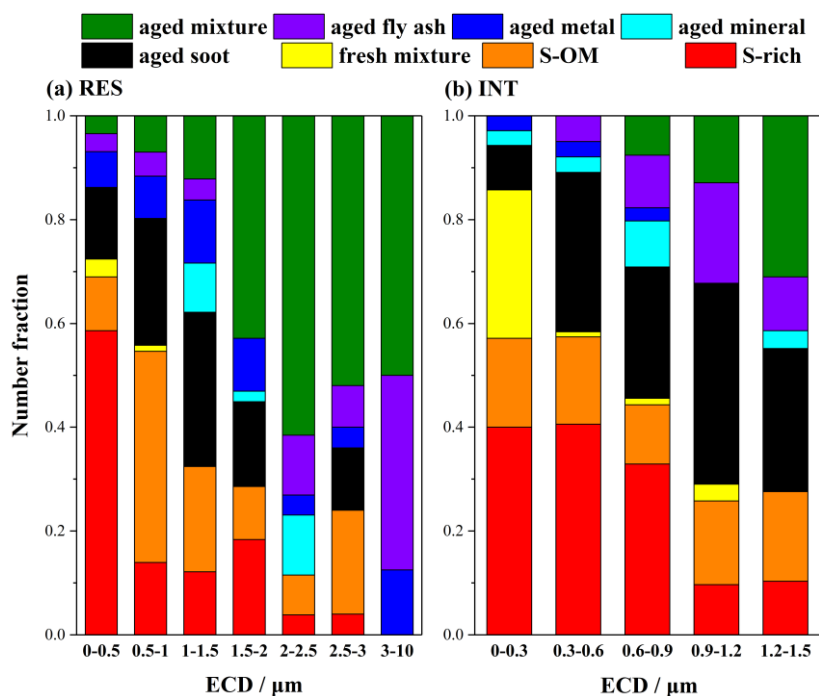


Figure S8. Size-resolved number fraction distributions of RES and INT by TEM/EDS.

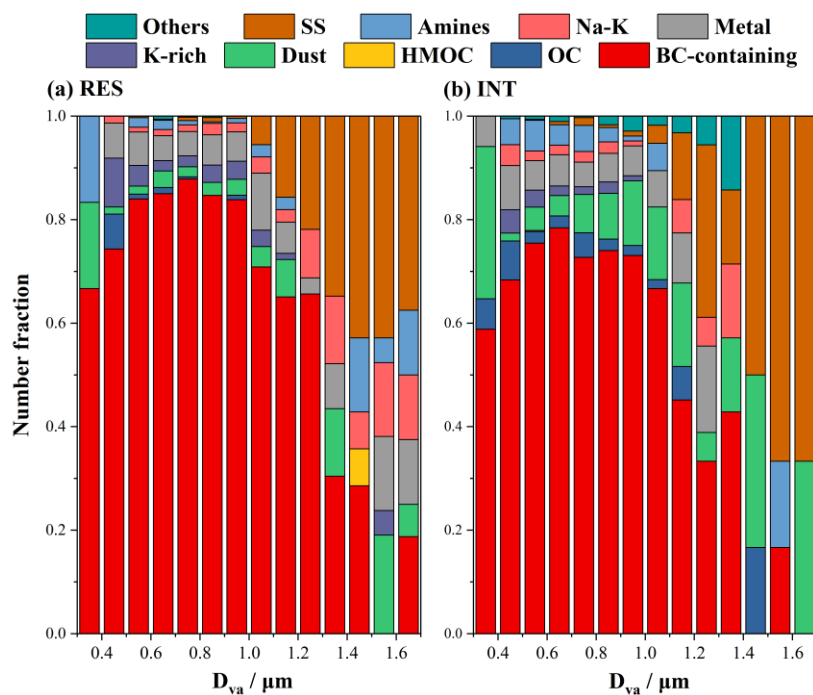


Figure S9. Size-resolved number fraction distributions of RES and INT by SPAMS.

Additionally, I would recommend that the authors include the EDS spectra that correspond to the TEM images presented in Figure 3.

Thanks for your suggestion. We have added the EDS spectra of the OM-containing particles corresponding to each TEM image in Figure 3 (that is Figure 5 now):

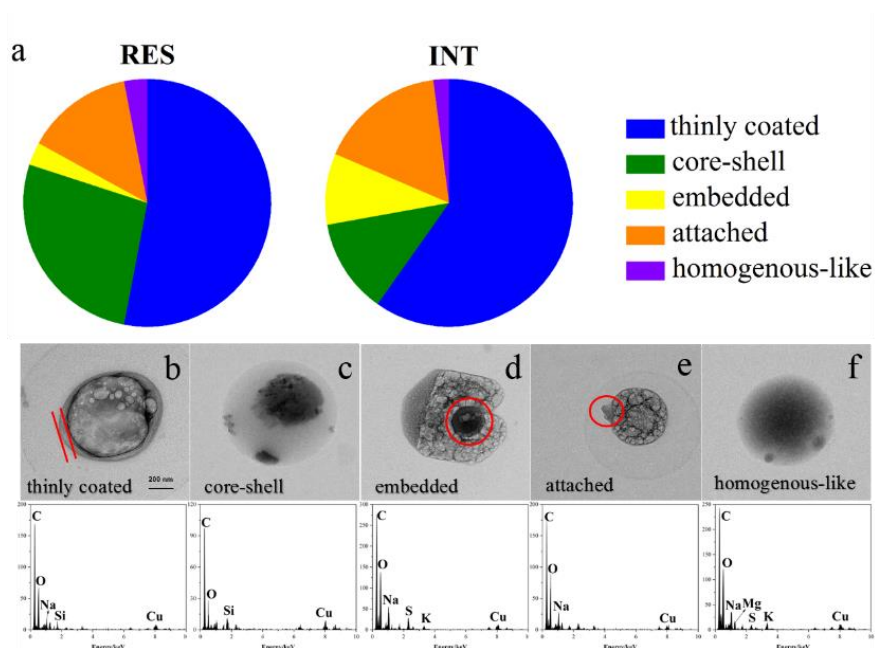


Figure 5. Number fractions of the OM-containing particles with different mixing structures in the RES and INT (a) and typical TEM images and corresponding EDS spectra of OM: thinly coated (b); core-shell (c); embedded (d); attached (e); homogenous-like (f) during cloud event #2 and #3.

In the SI, to clarify the difference between figures S1 and S3, I would recommend that the authors change the title of S1 to indicate that the fraction types correspond to EDS.

Thanks for pointing out this. We have changed the title of Figure S1 (that is Figure 3 now) from “Number fraction of different particle types in the RES during three cloud events.” to “Number fraction of different particle types in the RES during three cloud events measured by TEM/EDS.”.

Comment from Referee #2:

General:

This paper compares single-particle measurements of particle size, composition, and morphology of in-cloud and interstitial particles collected at a rural site in China. This paper mainly comments on the role of aqueous chemistry in forming organic shells and the observation of more branched soot particles in cloud. I have several comments about this work to be considered before publication.

We appreciate the constructive suggestions and comments from the referee. The referee's comments are in the black text followed by our response in the blue text as follows.

Major Comments:

1. A lot of the most important details and figures are in the SI rather than in the main text. Also, a lot of the main supporting data came from SPAMS analysis which was not described in the methods.

Thanks for your suggestions. We have moved some tables and figures from the SI to the main text, and we have added more information about the SPAMS in the methods. Please see below for specific responses.

2. The argument regarding core-shell and liquid-liquid phase separations was a bit confusing since previous work has shown that the core-shell morphology can break down as RH is increased.

We agree with your comment. What we want to express is that the oxidized organic matter formed in the cloud processes has a great influence on the mixing structure of organic particles after water evaporation within particles, which is conducive to the existence of organic particles in a core-shell structure after cloud events. Since we did not express clearly, we modified the relevant statements in the manuscript. Please refer

to lines 36-37 and 319-322:

Lines 36-37: The sentence, “Our results highlight the in-cloud formation of more oxidized organic shells on the activated particles.”, has been changed to “Our results highlight that the formation of more oxidized organic matter in the cloud contributes to the existence of organic shells after cloud processing.”.

Lines 319-322: The sentence, “The prevalence of OM shelled particles upon in-cloud processes also supports a recent laboratory observation depicting that rapid film formation and fast heterogeneous oxidation can provide an efficient way of converting water-insoluble organic films into more water-soluble components in aerosols or cloud droplets (Aumann and Tabazadeh, 2008).”, has been changed to “The prevalence of OM shelled particles after cloud processing also supports a current laboratory observation depicting that rapid film formation and fast heterogeneous oxidation can provide an efficient way of converting water-insoluble organic films into more water-soluble components in aerosols or cloud droplets (Aumann and Tabazadeh, 2008).”.

Specific Comments:

Introduction:

1. Lines 59-60: Nitric oxide is a gas, not particulate matter.

Thank you for pointing out this. In the article we quoted, the authors used “nitric oxide” to represent the NO^+ signal measured by ATOFMS, which refers to nitrate. In order to avoid ambiguity, we have changed “nitric oxide” to “nitrate”.

2. Line 63: not sure how “decomposed” is being used in this sentence.

We are sorry for the misunderstanding. The word (decomposed) has been removed, and the sentence has been changed to “These results indicate that both RES and INT present complex mixtures, and carbonaceous matter (i.e., organic materials (OM) and soot) is important material in the cloud mass.”. Please refer to lines 62-63.

3. Line 76: reword “this process might not be neglected”

The sentence, “this process might not be neglected.”, has been changed to “the influence of this process in atmospheric chemistry cannot be neglected.”. Please refer to lines 75-76.

4. Line 78: Also see [Moffet and Prather, 2009]

The literature has been cited in the main text. Please refer to lines 77-79:

“For another type of carbonaceous material, soot, there is extensive evidence that the absorption and cloud activation of soot-containing particles can be significantly affected by coatings (Adachi et al., 2010; Wu et al., 2018; Moffet and Prather, 2009).”

5. Lines 77-86: please also comment on the finding that organic coatings caused the collapse of soot particles from [Spencer and Prather, 2006].

The original sentence, “While some studies have found that soot compaction occurs after cloud processing (Bhandari et al., 2019; Ma et al., 2013; Mikhailov et al., 2006), Khalizov et al. (2013) suggested that soot with thin organic coating did not become more compact under high humidity.”, has been changed to “While some studies have found that soot restructuring occurs after water processing (Bhandari et al., 2019; Ma et al., 2013; Mikhailov et al., 2006), or being coated by OM (Spencer and Prather, 2006) and sulfate (Zhang et al., 2008), Khalizov et al. (2013) suggested that soot with thin organic coating did not become more compact under high humidity.” Please refer to lines 83-86.

Reference:

Spencer, M. T., and Prather, K. A.: Using ATOFMS to determine OC/EC mass fractions in particles, *Aerosol Science and Technology*, 40, 585-594,

10.1080/02786820600729138, 2006.

Zhang, R., Khalizov, A. F., Pagels, J., Zhang, D., Xue, H., and McMurry, P. H.: Variability in morphology, hygroscopicity, and optical properties of soot aerosols during atmospheric processing, *Proceedings of the National Academy of Sciences of the United States of America*, 105, 10291-10296, 10.1073/pnas.0804860105, 2008.

Methods:

1. Lines 100-101: What is meant by “almost unaffected by local anthropogenic sources”?

The sampling site is surrounded by a national park forest (273 km²), and there are scarcely any emissions from anthropogenic activities.

2. Lines 117-120: I think that Table S1 and the air mass back trajectories should be shown in the main paper. It will help give context for what was different between the different cloud events to help interpret the results.

We agree with you. Table S1 and Figure S2 (the air mass back trajectories) have been moved to the main text, and are numbered as Table 1 and Figure 4.

3. Line 125: change “folds” to “fold”.

It has been changed accordingly.

4. Line 128: change “vacuumed” to “vacuum” and define NH₄NO₃.

It has been changed accordingly. The sentence has been changed to “In the TEM vacuum chamber, some volatile substances (e.g., ammonium nitrate (NH₄NO₃) and volatile organic matter) would be lost.”. Please refer to lines 129-130.

5. I couldn't follow the methodology given in section 2.4. Please add more details.

We have added detailed calculations in the section 2.4 (that is section 2.5 now). We have now included an introduction on two parameters including k_a and α , which depends on the degree of monomer overlap (δ) in the aggregate. Furthermore, we have also supplemented the calculation of δ herein. Please refer to lines 174-180:

“The value of k_a and α depends on the degree of monomer overlap (δ) in the aggregate (Oh and Sorensen, 1997), and δ can be determined by:

$$\delta = \frac{2a}{l}$$

where a is monomer radius, and l is the center distance of adjacent monomers. The value of parameters including a , l , A_a , A_p , L_{max} , and d_p can be obtained by analyzing TEM images. Then D_f can be calculated by the above four formulas.”

Reference:

Oh, C., and Sorensen, C. M.: The effect of overlap between monomers on the determination of fractal cluster morphology, *Journal of Colloid and Interface Science*, 193, 17-25, 10.1006/jcis.1997.5046, 1997.

6. A lot of SPAMS data is brought in to corroborate the results. I suggest that details on the SPAMS needs to be added to the methods if the data is being used.

The detailed information of the SPAMS has been added in the methods (section 2.4). And the identification of particles measured by the SPAMS is provided in the SI.

Results:

1. Line 153: some fresh soot particles can have sulfate, see [Moffet and Prather, 2009].

We agree with your comment. Since there is hardly any anthropogenic source around our sampling site, the collected soot particles are assumed to experience long-distance

transport and complex aging processes. The aging state of the soot particles may also be reflected by the associated more intense sulfate peaks measured by the SPAMS, compared with those observed in urban areas, as discussed in our previous publication (Zhang et al., 2017). Considering that much secondary inorganic matter and organic matter might be generated during the cloud processes, this study distinguishes fresh and aged particles by the presence or absence of secondary inorganic matter (S-rich) and organic matter (OM).

Zhang, G., Lin, Q., Peng, L., Bi, X., Chen, D., Li, M., Li, L., Brechtel, F. J., Chen, J., Yan, W., Wang, X., Peng, P., Sheng, G., and Zhou, Z.: The single-particle mixing state and cloud scavenging of black carbon: a case study at a high-altitude mountain site in southern China, *Atmospheric Chemistry and Physics*, 17, 14975-14985, 10.5194/acp-17-14975-2017, 2017.

2. Lines 154-156: the methods for identifying each component should be moved from the SI to the methods section of the paper.

The identification of each component has been moved from SI to the methods section of main text (section 2.3), and original sentence of lines 154-156, “The details involving the identification of each component (S, OM, soot, mineral, metal, fly ash) are provided in the Supporting Information.”, has been canceled.

3. Lines 159-161: I would think it would be important to explicitly detail the mixture for your results. I found these classifications really confusing and hard to keep straight.

Thanks for your comment. Fresh mixture has been changed to “refractory” in the full text and SI, and the sentence has been changed to “Aged particle types containing two or more refractory components are named as “aged mixture”. It is worth noting that “refractory” refers to the refractory particles without S-rich and OM.”. Please refer to lines 188-190.

4. Lines 167-168: I recommend bringing Figure S1 into the main paper.

Thanks for your suggestion. Figure S1 has been moved to the main text, and is numbered as Figure 3.

5. Lines 168-169: Not sure what is meant by “influenced by air masses”. More description of the different conditions and air mass conditions encountered for each cloud event will help the authors interpret their single particle findings.

To make it clear, “influenced by air masses” has been removed, and we moved the content about the influence of air masses on the distribution of particle types in the RES in the SI to the main text. Please refer to Lines 200-212:

“The different air masses are expected to affect the distribution of particle types. The distribution of several types of particles in the RES were observed to be divergent in different cloud events, corresponding to different air masses, as shown in Figure 3 and Figure 4. The number fraction of OM-containing particles was the highest (81%) in cloud event #2, which might be partly attributed to the higher concentration of O₃ during cloud event #2 (Table S1). And the samples of cloud event #2 sampled at noon. Higher solar radiation at the sampling time might also promote heterogeneous photochemical oxidation reactions during the cloud process and increased the generation of OM within cloud droplets (Xu et al., 2017). Aged metal particles accounted a similar percentage (7-12%) for three cloud events. The proportion of aged mineral during cloud event #1 (14%) was nearly four times those in the other two cloud events. Aged fly ash particles had the highest proportion (10%) in cloud event #3 compared with the other two cloud events, which is most probably influenced by the different air masses (Figure 4). Aged mineral particles of cloud event #1 may be influenced by the long-distance transportation of dust from Southeast Asia (Salam et al., 2003). Clearly, aged fly ash particles of cloud event #3 are associated with the air

masses from the PRD region with a dense distribution of industrial facilities there (Cao et al., 2006).”

6. Lines 171-172: What is meant by “as confirmed by SPAMS data”?

That sentence has been removed in the revised manuscript.

7. Line 176: what is meant by “part of”? Can this be made more quantitative?

Since aged refractory and aged mixture particles include S/S-OM/OM-refractory and S/S-OM/OM-soot/mineral/metal/fly ash. OM-containing particles refer to S-OM/OM-refractory and S-OM/OM-soot/mineral/metal/fly ash, which is part of aged refractory and aged mixture particles. In the RES and INT during cloud event #2 and #3, OM-containing particles account for 63% and 32% of the aged refractory particles and 51% and 43% of the aged mixture particles.

8. Figure 3, should “coating” be “thin coating” instead to better distinguish the morphology?

Thanks for your suggestion. We have changed the mixing structure “coating” to “thinly coated” in the full text and SI.

9. I found the coating thickness definitions to be confusing especially because they overlap. I’m not quite sure how the coating thickness was used to robustly distinguish particles classified as “coating” vs “core-shell”.

The electron beam of TEM penetrates the particle, and the internal structure of the particle can be observed (Li et al., 2016). Thus, although the organic matter wraps the internal material, the organic coating and shell can still be easily identified. The major difference between “coating (that is thinly coated now)” and “core-shell” is the relative

thickness of organic coating and shell in the particle is. Core-shell structure possessed thicker organics than thinly coated structure, and the thickness of OM-coating and OM-shell is 12-150 nm and 86-2110 nm in this study, respectively. Please refer to Lines 218-224.

Reference:

Li, W., Sun, J., Xu, L., Shi, Z., Riemer, N., Sun, Y., Fu, P., Zhang, J., Lin, Y., Wang, X., Shao, L., Chen, J., Zhang, X., Wang, Z., and Wang, W.: A conceptual framework for mixing structures in individual aerosol particles, *Journal of Geophysical Research-Atmospheres*, 121, 13784-13798, 10.1002/2016jd025252, 2016.

10. Lines 219-222 imply that the site is polluted, but the site was presented as a background site.

We are sorry for the misunderstanding. As we described, the results of this study are similar to those of unpolluted remote areas, but different from those in polluted areas. The original sentence has been changed from “Such a mixing structure is similar to those observed in the Arctic, background, or rural atmosphere (Hiranuma et al., 2013; Li et al., 2016; Yu et al., 2019), but is different from previous findings in polluted air where OM is typically mixed with sulfate (Li et al., 2016).” to “Such a mixing structure is similar to those observed in the Arctic, background, or rural atmosphere (Hiranuma et al., 2013; Li et al., 2016; Yu et al., 2019), but is different from other findings in polluted areas where OM is typically mixed with sulfate (Li et al., 2016).”

11. Line 223: reword “follow up strong interactions” to “heterogeneous and multiphase reactions”

It has been changed accordingly.

12. Lines 225-227 seem to imply that there is more data that was not presented. Please

rephrase.

Thanks for pointing out this. The sentence has been changed to “Recently, Gorkowski et al. (2020) came up with a particle morphology prediction framework developed for mixtures of organic aerosol based on the measurements from aerosol optical tweezers experiments and literature data, and they hypothesized the core-shell morphology dominated by secondary organic aerosols (SOA) in the shell phase.”. Please refer to lines 262-265.

13. I’m very confused as to how the O/C ratios were determined. Perhaps I missed something, but I thought that the detector used only detected elements heavier than C and it is not clear how the background from the carbon film is accounted for.

The O/C value is measured by EDS (energy-dispersive X-ray spectrometry), which can obtain the weight and atomic number proportion of elements heavier than carbon ($Z \geq 6$), and some limitations of O/C have also been expressed in the main text. Please refer to lines 266-271:

“It should be noted that the O/C ratio of organic coating and shell is underestimated herein due to the copper grid evenly covered by carbon film. And, while some loss of volatile organic compounds during the TEM/EDS analysis may affect the O/C of particles, the relatively higher O/C ratio for the RES is still affirmative. Droplets are expected to dissolve more abundance of volatile organic compounds (Chakraborty et al., 2016), evaporation of which would result in an underestimate of O/C to a higher degree rather than the INT.”

14. One of the main conclusions of this paper is regarding oxidized coatings formed via aqueous chemistry, yet the main table showing this is in the SI. I suggest bringing Table S2 into the main paper.

Thanks for your suggestion. Table S2 has been moved to the main text, and numbered as Table 2.

15. The O/C values should be stated in the main paper.

The O/C value of organic shell and coating has been in the main text. Please refer to lines 271-274:

“We found that the average value of the O/C ratio of RES is higher than INT, and the average value of the O/C ratio of RES with core-shell structure is 0.23, which is two times that with thinly coated structure (0.11) (Table 2), indicating that these RES with core-shell particles are more oxidized.”

16. Lines 242-245: If ion peak ratios from SPAMS are discussed, then SPAMS must be included in the methods section and the interpretation of the ion peak ratios needs much more interpretation to connect to the data presented in this paper.

We agree with your comment. The operating principle of the SPAMS has been added in the method section (section 2.4), and the introduction of the relative peak area is also described, that is “The relative peak area of characteristic peaks of specific material in the mass spectra is generally applied to indicate its relative abundance in the particle.”.

17. Line 251: also cite [Moffet and Prather, 2009]

It has been added in the text. Please refer to line 289.

Lines 288-290: While some previous studies demonstrated that soot aggregates tend to be more compact (with larger D_f) after aging or cloud processing (Adachi and Buseck, 2013; Wu et al., 2018; Moffet and Prather, 2009), our results suggest that in-cloud processes may result in more branched soot, as shown in Figure 6.

Reference:

Moffet, R. C., and Prather, K. A.: In-situ measurements of the mixing state and optical properties of soot with implications for radiative forcing estimates, Proceedings of the National Academy of Sciences of the United States of America, 106, 11872-11877, 10.1073/pnas.0900040106, 2009.

18. Lines 254-256: I don't follow the logic regarding non-volatile material and branching. I suggest that the authors more clearly present this argument.

Thank you for pointing out this. We have described that in detail. The sentences have been changed to “The first and the most likely reason is that some of the soot aggregates are immediately encapsulated by non-volatile materials (such as organic matter) after emission by combustion sources. These coatings fill the spaces between the branches of soot aggregates, which inhibits the relatively large deformation and reconfiguration of the soot aggregates during transport and activation into cloud droplets (Zhang et al., 2018). Differently, soot aggregates may shrink easily and become more compact during long-distance transport, if the soot aggregates are emitted without non-volatile coatings (Adachi and Buseck, 2013).” Please refer to lines 292-298.

19. Lines 258-260: could this just be showing the role of particle size where unaged soot is larger and more CCN active than smaller, aged particles?

We are sorry for the misunderstanding. We described the D_f (fractal dimension) of soot particles in the RES and INT in the section 3.2, which is 1.82 ± 0.05 and 2.16 ± 0.05 , respectively. And here, we described the ECD (equivalent circle diameter) of soot particles in the RES and INT, which is 266 nm and 247 nm. So, compared with soot in the RES, soot in the INT particles have larger D_f and smaller ECD. To be more clear, the sentence has been changed to “We show that soot aggregates have higher D_f and lower average ECD in the INT (247 nm) than in the RES (266 nm), which means that larger, less dense soot particles are easier to act as CCN.” Please refer to lines 298-300.

20. Lines 268-270: is there a figure showing the off-center positions of the soot?

Yes. The Figure S4 (a, b, c, g) show the off-center positions of the soot. “Figure S4” is added in the sentence. Please refer to lines 307-309:

“Our observations at the background site show that the majority of soot aggregates in both RES and INT (~80%) are located in off-center positions (Figure S4), having less compact shapes even after being coated.”

References:

Moffet, R. C., and K. A. Prather (2009), In-situ measurements of the mixing state and optical properties of soot with implications for radiative forcing estimates, *Proceedings of the National Academy of Sciences of the United States of America*, 106(29), 11872-11877.

Spencer, M. T., and K. A. Prather (2006), Using ATOFMS to determine OC/EC mass fractions in particles, *Aerosol Science and Technology*, 40(8), 585-594.

1 **Impact of in-cloud aqueous processes on the chemical**
2 **compositions and morphology of individual atmospheric**
3 **aerosols**

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30 **Correspondence to:* Guohua Zhang (zhanggh@gig.ac.cn) and Xinhui Bi (bixh@gig.ac.cn)

31 **Abstract.** The composition, morphology, and mixing structure of individual cloud residues (RES) and interstitial
32 particles (INT) at a mountain-top site were investigated. Eight types of particles were identified, including sulfate-
33 rich (S-rich), S-organic matter (OM), aged soot, aged mineral, aged fly ash, aged metal, refractory, and aged
34 mixture. A shift of dominant particle types from S-rich (29%) and aged soot (27%) in the INT to S-OM (24%)
35 and aged mixture (22%) in the RES is observed. In particular, particles with organic shells are enriched in the
36 RES (30%) relative to the INT (12%). Our results highlight that the formation of more oxidized organic matter in
37 the cloud contributes to the existence of organic shells after cloud processing. We also show that in-cloud
38 processes may result in less compact soot, with the fractal dimensions (D_f) of soot in the RES (1.82 ± 0.12) lower
39 than those in the INT (2.11 ± 0.09). This research emphasizes the role of in-cloud processes on the chemistry and
40 microphysical properties of individual particles. Given that organic coatings may determine the particle
41 hygroscopicity, activation ability, and heterogeneous chemical reactivity, the increase of OM-shelled particles
42 upon in-cloud processes should have considerable implications.

43 1 Introduction

44 Aerosol-cloud interaction is regarded as one of the most significant sources of uncertainty in assessing the
45 radiative forcing of aerosols so far (IPCC, 2013). On the one hand, aerosols can participate in the formation of
46 cloud droplets, which is primarily influenced by their chemical composition and size at a certain supersaturation
47 (Fan et al., 2016; Maskey et al., 2017; Ogawa et al., 2016; Raymond and Pandis, 2002; Zelenyuk et al., 2010). On
48 the other hand, in-cloud processes, including the formation of sulfate, nitrate, and water-soluble organics, and the
49 physical processes such as collision and coagulation, would substantially change the physical and chemical
50 properties of the activated particles (Kim et al., 2019; Ma et al., 2013; Roth et al., 2016; Wu et al., 2013). Given
51 that the morphology and mixing state are vital in determining the optical properties of particles (Adachi et al.,
52 2010; Wu et al., 2018), changes of these properties upon in-cloud processes would further affect the subsequent
53 atmospheric processes (e.g., cloud activation, heterogeneous reactions) and radiative forcing of particles after
54 droplet evaporation.

55 Understanding the morphology and mixing state of particles upon in-cloud processes is of considerable
56 significance to improve the knowledge of aerosol-cloud interactions. For instance, Zelenyuk et al. (2010) found
57 that both cloud droplet residues (RES) and interstitial particles (INT, or unactivated particles in the cloud) are
58 mainly composed of organics, sulfate, biomass burning particles, and processed sea salt at the North Slope of
59 Alaska. Kamphus et al. (2010) observed that 92% of RES are particles containing sulfates, organics, and **nitrate**
60 at the Jungfrauoch (Swiss Alps). At Mt. Tai, Liu et al. (2018b) observed that main particle types are S (sulfate)-
61 soot (36%), S-fly ash/metal-soot (26%) and S-rich (24%) for RES and S-rich (61%), S-soot (15%) and soot (15%)
62 for INT. These results indicate that both RES and INT present complex mixtures, **and carbonaceous matter (i.e.,**
63 **organic materials (OM) and soot) is important material in the cloud mass.**

64 While there are extensive studies reporting the extent of aqueous phase processing on the modification of
65 aerosol bulk (e.g., mass) and/or chemical (e.g., mixing state, hygroscopicity) properties (Chakraborty et al., 2016;
66 Ervens et al., 2011), the influence of in-cloud processes on the physical properties (e.g., shape, mixing structure)
67 of individual particles is still ambiguous. In particular, physical properties can become dominant in the role of
68 cloud activation for particles with inorganic/organic mixed (Topping et al., 2007). A hydrophobic organic-rich
69 coating will form on a hygroscopic particle core if liquid-liquid phase separation occurs (Song et al., 2013).
70 Besides, the distribution of organics and its association with other aerosol types is also crucial for the correct
71 calculation of its radiative effects (Zhu et al., 2017). However, to what extent in-cloud processes play a role in
72 reshaping the distribution of organic and inorganic compositions remains unknown, although such coating
73 structures have been identified in ambient aerosols (Adachi and Buseck, 2008; Li and Shao, 2010; Yu et al., 2019).
74 Considering that in-cloud processes contribute to a substantial fraction (up to 60%) of organic aerosols (Ervens et
75 al., 2011; Liu et al., 2012; Myriokefalitakis et al., 2011; Spracklen et al., 2011), **the influence of this process in**
76 **atmospheric chemistry cannot be neglected.**

77 For another type of carbonaceous material, soot, there is extensive evidence that the absorption and cloud
78 activation of soot-containing particles can be significantly affected by coatings (Adachi et al., 2010; Wu et al.,

79 2018; Moffet and Prather, 2009). The critical factors to accurately predicting of such impact include the amount and
80 nature of the coating material, the exact particle morphology, and the size distribution (Qiu et al., 2012; Radney
81 et al., 2014). Fractal dimension (D_f) is widely used to indicate the extent of branching of soot (Brasil et al., 1999),
82 with densely packed or compacted soot particles having higher D_f than chain-like branched clusters or open
83 structures. While some studies have found that soot restructuring occurs after water processing (Bhandari et al.,
84 2019; Ma et al., 2013; Mikhailov et al., 2006), or being coated by OM (Spencer and Prather, 2006) and sulfate
85 (Zhang et al., 2008), Khalizov et al. (2013) suggested that soot with thin organic coating did not become more
86 compact under high humidity. However, the morphology and mixing structure of soot involving the formation of
87 organics upon cloud processing is also poorly constrained because of the limited field observation.

88 To further improve our understanding of the morphology and mixing structures between the various
89 components within individual RES and INT, we conducted a 25-day field observation of cloud events at a
90 background site in southern China. A transmission electron microscope (TEM) combined with energy-dispersive
91 X-ray spectrometry (EDS) was used to analyze the chemical composition, size, morphology, and mixing structure
92 of individual RES and INT. Previously, the chemical composition and mixing state of RES at the same site have
93 been investigated with a single particle aerosol mass spectrometer (SPAMS) (Lin et al., 2017; Zhang et al., 2017a).
94 Herein, we focus on the mixing structure (e.g., chemical compositions and morphology) of individual particles,
95 in particular, OM-containing particles. Meanwhile, particle types and mixing state of RES and INT are also
96 discussed. The difference between the mixing structure of RES and INT may indicate the impact of in-cloud
97 aqueous processes.

98 **2 Materials and Methods**

99 **2.1 Sampling site**

100 Sampling was conducted at the top of Mt. Tianjing (112°53'56" E, 24°41'56" N; 1690 m above sea level) in
101 southern China from 18 May to 11 June 2017. The sampling site is located in a natural preserve, and it is almost
102 unaffected by local anthropogenic sources. It is about 50 km and 350 km away from the north of the Pearl River
103 Delta (PRD) region and the South China Sea, respectively.

104 **2.2 Collection of RES and INT**

105 A cloud event was identified with visibility below a threshold of 3 km and relative humidity (RH) above a
106 threshold of 95%, using a ground-based counterflow virtual impactor (GCVI, model 1205, Brechtel Mfg. Inc.,
107 USA). The GCVI was automatically triggered when there was a cloud event, whereas it was not allowed to sample
108 when a precipitation sensor detected rain or snow. Then cloud droplets were introduced into the GCVI, followed
109 by removing water in an evaporation chamber (40 °C) to obtain RES. The sampling process might experience
110 some particle loss due to the evaporation of highly volatile substances. The droplet cut size, at which the
111 transmission efficiency of CVI was 50%, was set at a size larger than 7.5 μm (Shingler et al., 2012). INT was
112 sampled using another inlet (PM_{2.5} cyclone inlet, 5 lpm), followed by passing through a silica gel diffusion dryer.

113 A DKL-2 sampler (Genstar Electronic Technology Co., Ltd., China) was used to collect RES and INT on copper
114 grids coated with carbon film with an airflow of 1 L min⁻¹. The collection efficiency of the sampler is 50% at 80
115 nm, assuming the particle density is 2 g cm⁻³. To avoid particle overlapping, the sampling duration was set within
116 10 minutes. All samples were placed in a sealed plastic sample box and stored in a desiccator at room temperature
117 for subsequent analysis.

118 The information about cloud events and samples are summarized in Table 1. We focused on three cloud events
119 (#1, #2, and #3), with a duration of 14, 34, and 47 hours, respectively. RES and INT samples from these cloud
120 events were analyzed, with INT not available for the cloud event #1. To minimize the influence of rapid change
121 of cloud condition, all the samples were collected during the stable and mature periods (Visibility < 100 m).

122 2.3 TEM analysis of RES and INT

123 Chemical composition, size, and morphology of individual RES and INT were characterized by a TEM (FEI
124 Talos F200S) operated at 200 kV. TEM/EDS is a very effective tool to analyze the microscopic characteristics of
125 individual particles. The resolution of images between 1 μm and 100 nm can be magnified from 7,000 to 36,000
126 fold, which depended on the size of particles. The EDS is coupled with TEM to detect the intensity of elements
127 heavier than carbon ($Z \geq 6$). The produced X-rays signal in the EDS system is detected by a silicon (Si) drift
128 detector (SSD), and thus Si is not considered in the discussion. Cu is also not considered due to the interference
129 from the copper grids. In the TEM vacuum chamber, some volatile substances (e.g., ammonium nitrate (NH₄NO₃))
130 and volatile organic matter) would be lost. Moreover, volatile materials are often sensitive to strong electron
131 beams. Due to the analysis error of volatile materials, TEM/EDS studies typically focus on refractory
132 compositions. Using an image analysis software (ImageJ), the equivalent circle diameters (ECD) of all particles
133 can be obtained from the scanned images from the TEM. For particles with rim, only the nucleus is counted,
134 because the rims contain only a small amount of OM. Overall, 780 particles, including RES and INT, were
135 analyzed.

136 Base on various element spectra, RES and INT were mainly classified as sulfate-rich (S-rich), carbonaceous
137 material, mineral, metal, and fly ash (Twohy and Anderson, 2008; Li et al., 2016). Elemental compositions of S-
138 rich were dominated by S and O, and some of them were associated with minor N, K and Na. Low intensity of N
139 could be due to the evaporation of ammonia nitrate under the high energy electron beam (Smith et al., 2012). This
140 led to the bubbly appearance of S-rich. In this case, S-rich represented secondary inorganic particles. The
141 elemental compositions of carbonaceous materials were characteristics of abundant C and minor O. Carbonaceous
142 materials were divided into soot and OM according to different morphology. Soot were composed of tens to
143 hundreds of carbon spheres ranging from 21 to 108 nm in diameter (average diameter was 47.7 nm), which often
144 displayed botryoidal aggregates. OM did not have chain-like structure, which generally exhibited amorphous state
145 and spherical or irregular shapes. Mineral particles were consisted of Si, Al, Ca, O and minor Fe. Mineral were
146 mainly clay, feldspar, calcite and gypsum, usually showing irregular shapes. Metal particles were represented as
147 Fe, Zn, Ti, Mn, or Ni. Metal particles were characteristic of spherical, rectangular or irregular morphologies. They
148 were largely from natural dust and industrial combustion (Silva et al., 2000; Ye et al., 2018). The presence of
149 spherical metal particles indicated that they experienced melting at high temperature (Giere et al., 2003; Giere et

150 al., 2006). Fly ash particles mainly contained Si, Al and O. Fly ash particles tended to be spherical in morphology
151 and they were generally produced from the process of coal combustion (Chen et al., 2012; Henry and Knapp,
152 1980).

153 2.4 SPAMS analysis of RES and INT

154 A SPAMS (Hexin Analytical Instrument Co., Ltd., Guangzhou, China) was used to analyze chemical
155 composition and size distribution of individual particles in real-time. Particles entering SPAMS were first focused
156 into a beam of particles, and then their vacuum dynamic size (D_{va}) were measured by two continuous diode
157 Nd:YAG laser beams (532 nm). Next, the pulsed laser (266 nm) was precisely triggered to ionize target particle
158 according to the intrinsic velocity of each particle. Finally, we obtained the information of individual particles
159 including vacuum dynamic particle size and the positive and negative ion mass spectrometry. The relative peak
160 area of characteristic peaks of specific material in the mass spectra is generally applied to indicate its relative
161 abundance in the particle.

162 2.5 Calculating morphology parameters of soot

163 The fractal dimension of soot is characterized in the following statistical scaling law (Brasil et al., 1999; Köylü
164 et al., 1995):

$$165 N = k_g \left(\frac{2R_g}{d_p} \right)^{D_f}$$

166 where N is the number of monomers within a certain soot aggregate, k_g is the fractal pre-factor, R_g is the radius of
167 gyration, d_p is the diameter of the monomer, and D_f is the mass fractal dimension. R_g can be obtained by using a
168 simple relationship between R_g and L_{max} , the maximum length of the soot aggregate (Brasil et al., 1999):

$$169 L_{max}/2R_g = 1.50 \pm 0.05$$

170 And, the number of monomers, N , can be calculated by a power-law correlation of projected area of monomer
171 and aggregate:

$$172 N = k_a \left(\frac{A_a}{A_p} \right)^\alpha$$

173 where k_a is a constant, A_a and A_p are the projected area of aggregate and monomer, respectively, and α is an
174 empirical projected area exponent. The value of k_a and α depends on the degree of monomer overlap (δ) in the
175 aggregate (Oh and Sorensen, 1997), and δ can be determined by:

$$176 \delta = \frac{2a}{l}$$

177 where a is monomer radius, and l is the center distance of adjacent monomers. The value of parameters including
178 a , l , A_a , A_p , L_{max} , and d_p can be obtained by analyzing TEM images. Then D_f can be calculated by the above four
179 formulas.

180 3 Results and Discussion

181 3.1 Particle type and mixing state of RES and INT

182 According to mixing state, RES and INT were divided into following eight types (Figure 1): S-rich, S-OM,
183 refractory (soot/mineral/metal/fly ash), aged soot (S/OM-soot), aged mineral (S/OM-mineral), aged metal (S/OM-
184 metal), aged fly ash (S/OM-fly ash), and aged mixture (S/OM-soot/mineral/metal/fly ash). S-rich or OM, generally
185 considered to be aged since they are mainly secondarily produced in the atmosphere, are internally mixed with
186 refractory materials (soot/mineral/metal/fly ash) (Canagaratna et al., 2007; Huang et al., 2012; Jiang et al., 2019).
187 Such internally mixed S/OM-refractory particles are named as aged refractory particles herein. Aged particle types
188 containing two or more refractory components are named as “aged mixture”. It is worth noting that refractory are
189 refractory particles without S-rich and OM.

190 Figure 2 shows the number fraction of different particle types in the RES and INT during cloud events #2 and
191 #3. S-rich, S-OM, aged soot, and aged mixture particles are dominant particle types. The most abundant particles
192 in the RES are aged mixture (23%), followed by S-OM (22%), aged soot (20%), S-rich (16%), aged metal (9%),
193 aged fly ash (5%), aged mineral (4%), and refractory (1%). Differently, INT is predominated by S-rich (29%),
194 aged soot (27%), S-OM (15%), aged mixture (10%), and the lesser percentage of aged fly ash (8%), refractory
195 (5%), aged mineral (4%), and aged metal (2%) were also observed. Among three cloud events, the RES are
196 dominated by S-OM in cloud event #1 and #2 and aged mixture particles in cloud event #3 (Figure 3). It is also
197 shown that the RES and INT analyzed by TEM/EDS can represent their compositions throughout cloud events #2
198 and #3, since such compositions were relatively stable throughout these periods (Figure S3).

199 The different air masses are expected to affect the distribution of particle types. The distribution of several types
200 of particles in the RES were observed to be divergent in different cloud events, corresponding to different air
201 masses, as shown in Figure 3 and Figure 4. The number fraction of OM-containing particles was the highest (81%)
202 in cloud event #2, which might be partly attributed to the higher concentration of O_3 during cloud event #2 (Table
203 S1). And the samples of cloud event #2 sampled at noon. Higher solar radiation at the sampling time might also
204 promote heterogeneous photochemical oxidation reactions during the cloud process and increased the generation
205 of OM within cloud droplets (Xu et al., 2017). Aged metal particles accounted a similar percentage (7-12%) for
206 three cloud events. The proportion of aged mineral during cloud event #1 (14%) was nearly four times those in
207 the other two cloud events. Aged fly ash particles had the highest proportion (10%) in cloud event #3 compared
208 with the other two cloud events, which is most probably influenced by the different air masses (Figure 4). Aged
209 mineral particles of cloud event #1 may be influenced by the long-distance transportation of dust from Southeast
210 Asia (Salam et al., 2003). Clearly, aged fly ash particles of cloud event #3 are associated with the air masses from
211 the PRD region with a dense distribution of industrial facilities there (Cao et al., 2006).

212 3.2 The morphology and mixing structure of carbonaceous particles

213 OM-containing particles, including all of S-OM particles, part of aged refractory (S-OM/OM-refractory) and
214 aged mixture (S-OM/OM-soot/mineral/metal/fly ash) particles, accounted for 60% of RES and 33% of INT during
215 cloud events #2 and #3. According to the mixing structures between OM and other materials (Figure 5), OM-
216 containing particles are classified into the following five categories: **thinly coated** (Figure 5b), core-shell (Figure
217 5c), embedded (Figure 5d), attached (Figure 5e) and homogenous-like (Figure 5f) structures (Li et al., 2016). A
218 particle is classified as **thinly coated** structure when wrapped with a thin layer of OM. The thickness of **the OM**
219 **layer of thinly coated particles** ranges from 12 to 150 nm. Generally, the shapes of OM-containing particles with
220 **thinly coated** structure are elliptical or irregular. The difference between the core-shell structure and **thinly coated**
221 structure is the relative thickness of OM: Core-shell structure possessed thicker organics than **thinly coated**
222 structure. The thickness of the shell varies from 86 to 2110 nm, and the ratio of the projected area of the shell to
223 particle ranges from 0.20 to 0.97. Moreover, OM-containing particles with core-shell structure are round.
224 Embedded structure refers to the particle with OM embedded in other materials (e.g., sulfate). The attached
225 structure refers to the particle of OM attached to other materials. The homogenous-like structure represents
226 particles with evenly mixed and no identifiable boundary between organic and non-organic matter.

227 The first most abundant particles are **thinly coated** geometry, comprising 53% of RES and 59% of INT during
228 cloud event #2 and #3, respectively. The second are core-shell particles for RES and attached particles for INT.
229 The percentage of core-shell particles in the RES is almost 2.5 times that in the INT (27% vs. 12%). Embedded
230 and homogenous-like particles account for minor proportions (< 4%) for both RES and INT.

231 Soot-containing particles, including all of aged soot particles (S/OM-soot) and part of **refractory**
232 (soot/mineral/metal/fly ash) and aged mixture particles (S/OM-soot/mineral/metal/fly ash), account for 36% of
233 RES and 39% of INT during cloud event #2 and #3, respectively. The fraction is consistent with the range of those
234 (< 30% – ~60%) observed at the same site by SPAMS (Zhang et al., 2017a). Most of the soot are distributed
235 around the periphery of particles (Figure S4). Figure 6 shows the D_f of soot within RES and INT of cloud event
236 #2 and #3. The result shows that the D_f of soot is smaller in the RES (1.82 ± 0.12) than in the INT (2.11 ± 0.09),
237 which means that soot is more branched in the RES. It is noted that 62.5% of all soot-containing particles with
238 clear boundaries are included in the D_f calculation since thick coating around soot might make the boundary of
239 monomers not clear enough (Bhandari et al., 2019). The obtained D_f are close to those (1.83 – 2.16) reported at a
240 background site (Wang et al., 2017). The D_f of soot in the RES and INT likely represents partly coated soot (1.82
241 ± 0.05) (Yuan et al., 2019) and embedded soot (2.16 ± 0.05) (Wang et al., 2017), respectively. In addition to
242 emission sources and coating processes, high relative humidity (RH) during nighttime is a critical factor to
243 increase the compactness of soot (Yuan et al., 2019).

244 3.3 In-cloud formation of OM

245 It can be seen from Figure 2 that a shift of dominant particle types from S-rich (29%) and aged soot (27%) in
246 the INT to the aged mixture (23%) and S-OM (22%) in the RES. In particular, the fraction of OM-containing
247 particles increases from 33% in the INT to 60% in the RES. It is unlikely due to the favorable activation of S-OM
248 or aged mixture, since mixing with OM generally lower the hygroscopicity of inorganic-dominant particles (e.g.,

249 S-rich) (Brooks et al., 2004; Pierce et al., 2012). OM coating at the same site has been shown to inhibit the CCN
250 activation of soot-containing particles (Zhang et al., 2017a). Instead, it is most probably attributed to the in-cloud
251 formation of OM on the surface of some S-rich particles, shifting the dominant particle type from S-rich to S-OM
252 particles. It can be supported by the relatively larger median size of S-OM particles (0.76 μm) than S-rich particles
253 (0.56 μm) (Figure S5), since in-cloud formation of OM is expected to enlarge the original S-rich particles (Pierce
254 et al., 2012).

255 In addition, the fraction of OM-containing particles with core-shell mixing structure in the RES is almost 2.5
256 times that in the INT (Figure 5a). Such a mixing structure is similar to those observed in the Arctic, background,
257 or rural atmosphere (Hiranuma et al., 2013; Li et al., 2016; Yu et al., 2019), but is different from other findings in
258 polluted areas where OM is typically mixed with sulfate (Li et al., 2016). It is also consistent with several
259 laboratory simulations demonstrating that reactive uptake of volatile organic compounds (VOCs) on inorganic
260 sulfate and heterogeneous and multiphase reactions between these species would lead to a core-shell morphology
261 (e.g., Zhang et al., 2018; Riva et al., 2019; Zhang et al., 2019). Recently, Gorkowski et al. (2020) came up with a
262 particle morphology prediction framework developed for mixtures of organic aerosol based on the measurements
263 from aerosol optical tweezers experiments and literature data, and they hypothesized the core-shell morphology
264 dominated by secondary organic aerosols (SOA) in the shell phase.

265 Moreover, we estimated the O/C ratio of coating and shell within OM-containing particles. It should be noted
266 that the O/C ratio of organic coating and shell is underestimated herein due to the copper grid evenly covered by
267 carbon film. And, while some loss of volatile organic compounds during the TEM/EDS analysis may affect the
268 O/C of particles, the relatively higher O/C ratio for the RES is still affirmative. Droplets are expected to dissolve
269 more abundance of volatile organic compounds (Chakraborty et al., 2016), evaporation of which would result in
270 an underestimate of O/C to a higher degree rather than the INT. We found that the average value of the O/C ratio
271 of RES is higher than INT, and the average value of the O/C ratio of RES with core-shell structure is 0.23, which
272 is two times that with thinly coated structure (0.11) (Table 2), indicating that these RES with core-shell particles
273 are more oxidized. At the same site, we have previously observed enhanced aqueous SOA, such as oxalate in the
274 cloud (Zhang et al., 2017b). Higher O/C ratio of core-shell particles is also consistent with current studies reporting
275 more oxidized organic species in cloud/fog residues (Brege et al., 2018; Chakraborty et al., 2016; Zhang et al.,
276 2017b). With high levels of VOCs at the sampling site (Lv et al., 2019), prevalent formation of aqueous SOA
277 through the uptake of VOCs in cloud droplets would be expected (Kim et al., 2019; Liu et al., 2018a). The
278 contribution from photochemical processes may also be reflected by the association of the highest fraction (81%)
279 of OM-containing particles with a higher concentration of O_3 during cloud event #2 (Table S1).

280 However, one may expect that such core-shell mixing structure in the RES can also be explained by the primary
281 activation of S-OM particles with larger sizes. Unfortunately, no sample is available before cloud events. However,
282 with evidence from the collocated SPAMS, we show that this is not convincing. As shown in Table S2, the ratios
283 of relative peak area between organics and sulfate are similar between the INT and particles before cloud event,
284 whereas they are higher in the RES. This is corresponding to the production of oxidized organics during in-cloud
285 processes (Zhang et al., 2017b).

286 3.4 The D_f of soot in the RES and INT

287 While some previous studies demonstrated that soot aggregates tend to be more compact (with larger D_f) after
288 aging or cloud processing (Adachi and Buseck, 2013; Wu et al., 2018; Moffet and Prather, 2009), our results
289 suggest that in-cloud processes may result in more branched soot, as shown in Figure 6. Considering that D_f is
290 controlled mainly by emission sources, combustion conditions, and aging processes (Adachi et al., 2007), we
291 propose three possible explanations for the lower D_f of soot in the RES than that in the INT. The first and the most
292 likely reason is that some of the soot aggregates are immediately encapsulated by non-volatile materials (such as
293 organic matter) after emission by combustion sources. These coatings fill the spaces between the branches of soot
294 aggregates, which inhibits the relatively large deformation and reconfiguration of the soot aggregates during
295 transport and activation into cloud droplets (Zhang et al., 2018). Differently, soot aggregates may shrink easily
296 and become more compact during long-distance transport, if the soot aggregates are emitted without non-volatile
297 coatings (Adachi and Buseck, 2013). We show that soot aggregates have higher D_f and lower average ECD in the
298 INT (247 nm) than in the RES (266 nm), which means that larger, less dense soot particles are easier to act as
299 CCN. This is consistent with a study reporting that small particles are more compact than large particles (Adachi
300 et al., 2014). The second is that water-soluble substances within aerosols will be miscible after activating to cloud
301 droplets (Gorkowski et al., 2020), and the coating materials of soot may be released, which makes soot more
302 branched in the droplets and the following-up droplet evaporation. The third possible explanation is that different
303 combustion materials and combustion conditions produce soot-containing particles with different mixing states
304 and morphology (China et al., 2014; Khalizov et al., 2013; Liu et al., 2017; Zhang et al., 2018).

305 This result is in contrast to the current study reporting that soot sampled after cloud droplet evaporating are
306 more compact than freshly emitted and interstitial soot (Bhandari et al., 2019). Our observations at the background
307 site show that the majority of soot aggregates in both RES and INT (~80%) are located in off-center positions
308 (Figure S4), having less compact shapes even after being coated. This is quite different from the core-shell model
309 currently used in the climate models (Bond and Bergstrom, 2006; Wu et al., 2018). Through theoretical calculation,
310 Adachi et al. (2010) suggested that absorption cross-sections could be reduced by 20-30% with off-center
311 positions of soot relative to center positions. This means that the models based on core-shell assumption may
312 overestimate the absorption of soot-containing particles after cloud processing.

313 4 Conclusion and atmospheric implications

314 The result highlights the different morphology and mixing structures of activated and interstitial particles,
315 which may imply the substantial role of in-cloud aqueous processes in reshaping the activated particles. While Yu
316 et al. (2019) considered organic coatings on sulfate in the Arctic as a result of the increase of SOA following
317 particle aging and growth during transport, our data further imply a specific role of in-cloud processes in the
318 coating on sulfate. The prevalence of OM shelled particles after cloud processing also supports a current laboratory
319 observation depicting that rapid film formation and fast heterogeneous oxidation can provide an efficient way of
320 converting water-insoluble organic films into more water-soluble components in aerosols or cloud droplets
321 (Aumann and Tabazadeh, 2008).

322 Gorkowski et al. (2020) suggested that mixing structures of OM-containing particles are related to the oxidation
323 degree of OM. We also show that OM shells formed in-cloud have a higher degree of oxidation. Such a chemical

324 and **morphological** modification of aerosol particles may influence species diffusivities from the interior to the
325 surface region of the shell and gas-particle partitioning between the shell and gas (Liu et al., 2016; Shiraiwa et al.,
326 2013). Such a reshaping may also have an influence on aerosol hygroscopicity. Extrapolating the linear
327 relationship between the O/C ratio and the hygroscopicity parameter (κ_{org}) indicates that $\kappa_{\text{org-shell}}$ is about 1.4 times
328 $\kappa_{\text{org-coating}}$ (Jimenez et al., 2009; Lambe et al., 2011). In addition, the formation of the organic film could result in
329 a change of surface tension and thus affect the critical supersaturation required for particle activation (Ovadnevaite
330 et al., 2017). For mineral particles, the heterogeneous ice nucleation potential may be suppressed when coated by
331 OM (Möhler et al., 2008). Given the critical contribution of in-cloud aqueous SOA, several mixing structures of
332 OM-containing aerosols upon in-cloud processes may have substantial implications in modeling the direct and
333 indirect radiative forcing of aerosols (Scott et al., 2014; Zhu et al., 2017).

334

335 *Data availability.* Data are available on request from Guohua Zhang (zhanggh@gig.ac.cn) and Xinhui Bi
336 (bixh@gig.ac.cn).

337 *Author contribution.* GHZ and XHB designed the research (with input from XMW and GYS). YZF, GHZ, and
338 XHB analyzed the data, and wrote the manuscript. YZF, XFL, YXY, FJ, and QHL conducted sampling work
339 under the guidance of GHZ, XHB and XMW. LL, DHC and JO had an active role in supporting the sampling
340 work. YZF performed the laboratory analysis of individual particles by TEM/EDS, with support from YPY and
341 JXZ. All authors contributed to the discussions of the results and refinement of the manuscript.

342 *Competing interests.* The authors declare that they have no conflict of interest.

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614 **Table 1. The information of cloud events and samples, including starting and ending time of each cloud event, the number and type of analyzed particles, the mean value**
 615 **of visibility and number concentration of RES or INT during sampling time.**
 616

Cloud event	Starting Time*	Ending Time*	Particles	Type	Visibility/m	Number Concentration/cm ⁻³
Cloud #1	2017/5/20 18:19	2017/5/21 8:34	190	RES	66	195
Cloud #2	2017/5/23 20:35	2017/5/25 6:35	161	INT	50	99
			162	RES	88	299
Cloud #3	2017/6/8 18:30	2017/6/10 17:30	132	INT	44	996
			135	RES	33	111

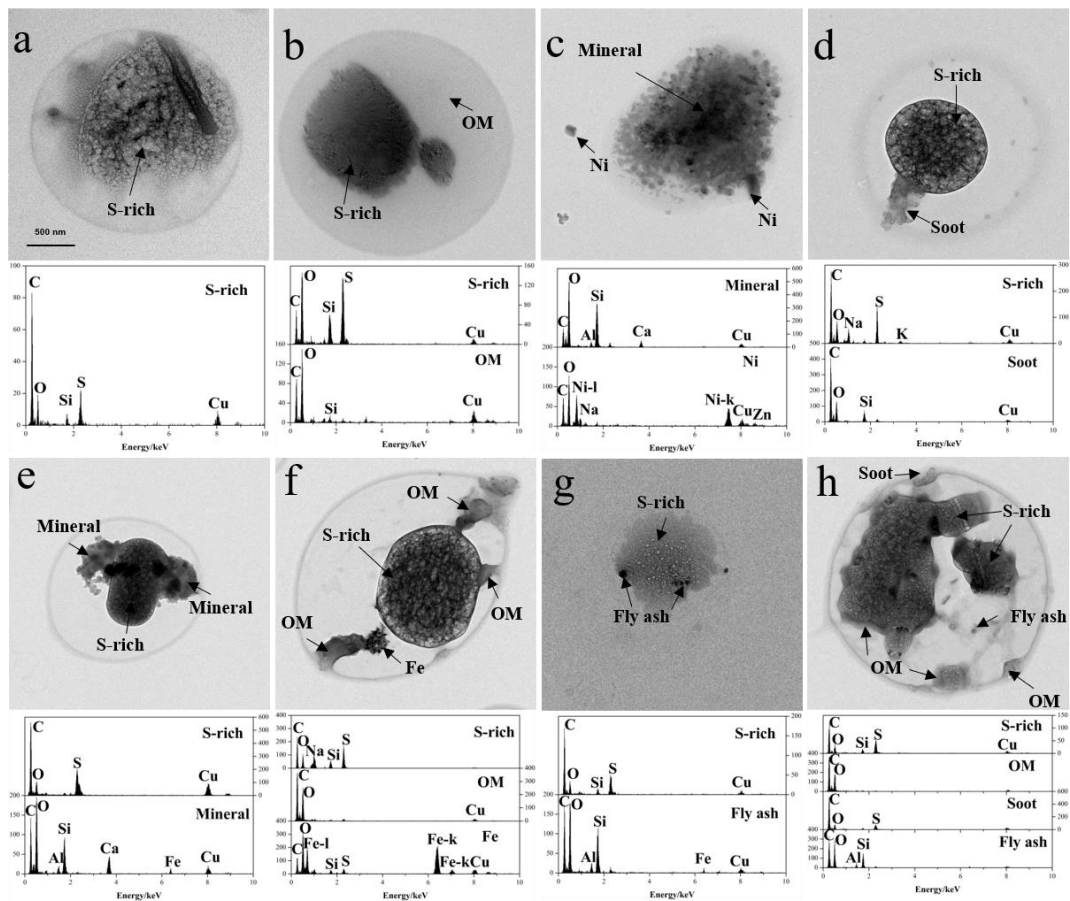
617 * The time is the local time and that is Chinese Standard Time, UTC+8.

618 **Table 2. The average value of O/C ratio of OM-containing particles with thinly coated and core-shell mixing structures.**

619

Type	thinly coated	core-shell
RES	0.11	0.23
INT	0.08	0.06

620

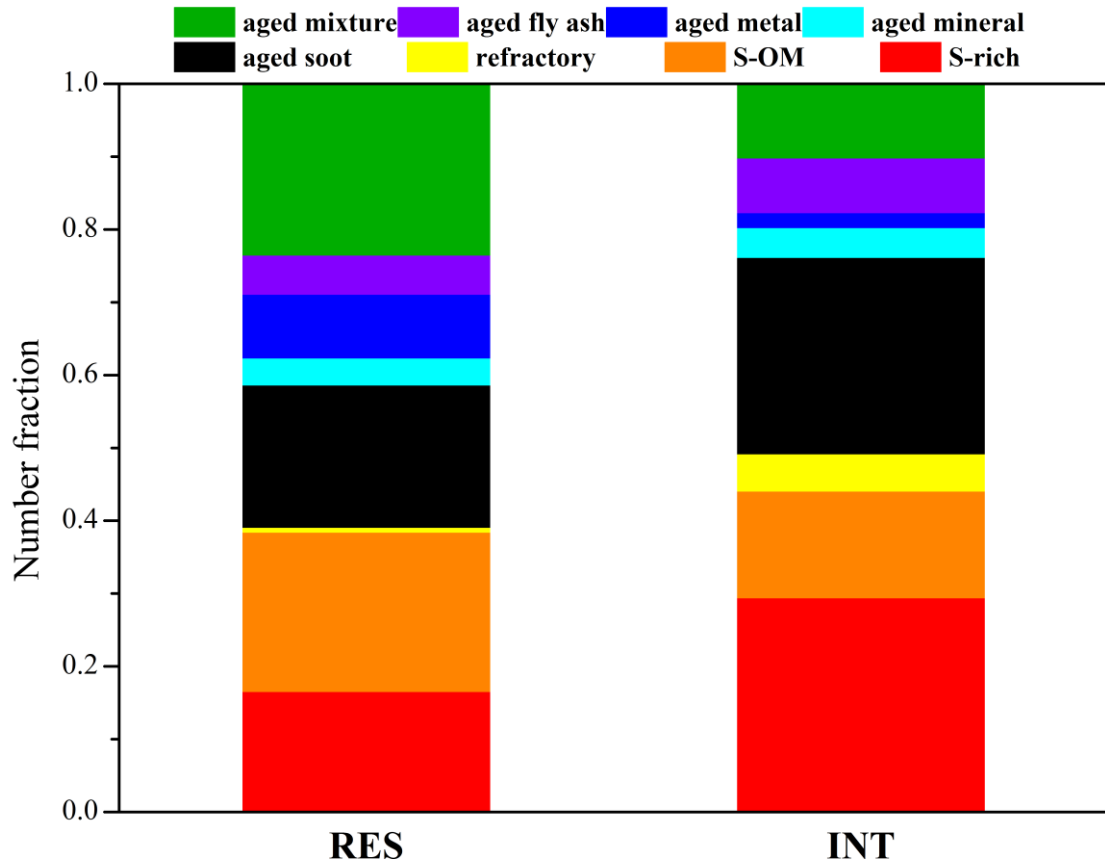


621

622 Figure 1. TEM images and EDS spectra of individual RES and INT particles with different particle types: (a)

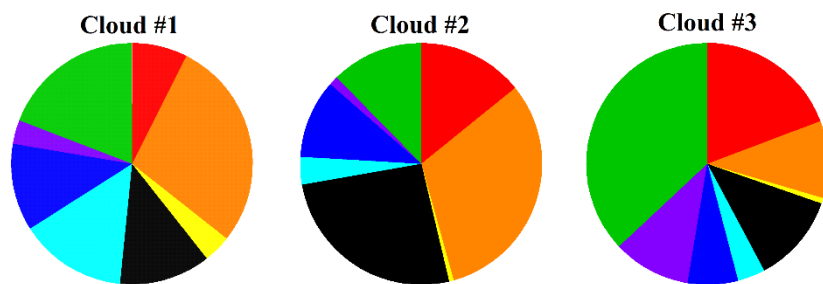
623 S-rich; (b) S-OM; (c) refractory; (d) aged soot; (e) aged mineral; (f) aged metal; (g) aged fly ash; (h) aged

624 mixture.



625

626 Figure 2. Number fractions of different particle types in the RES and INT of cloud event #2 and #3 measured
 627 by TEM/EDS.

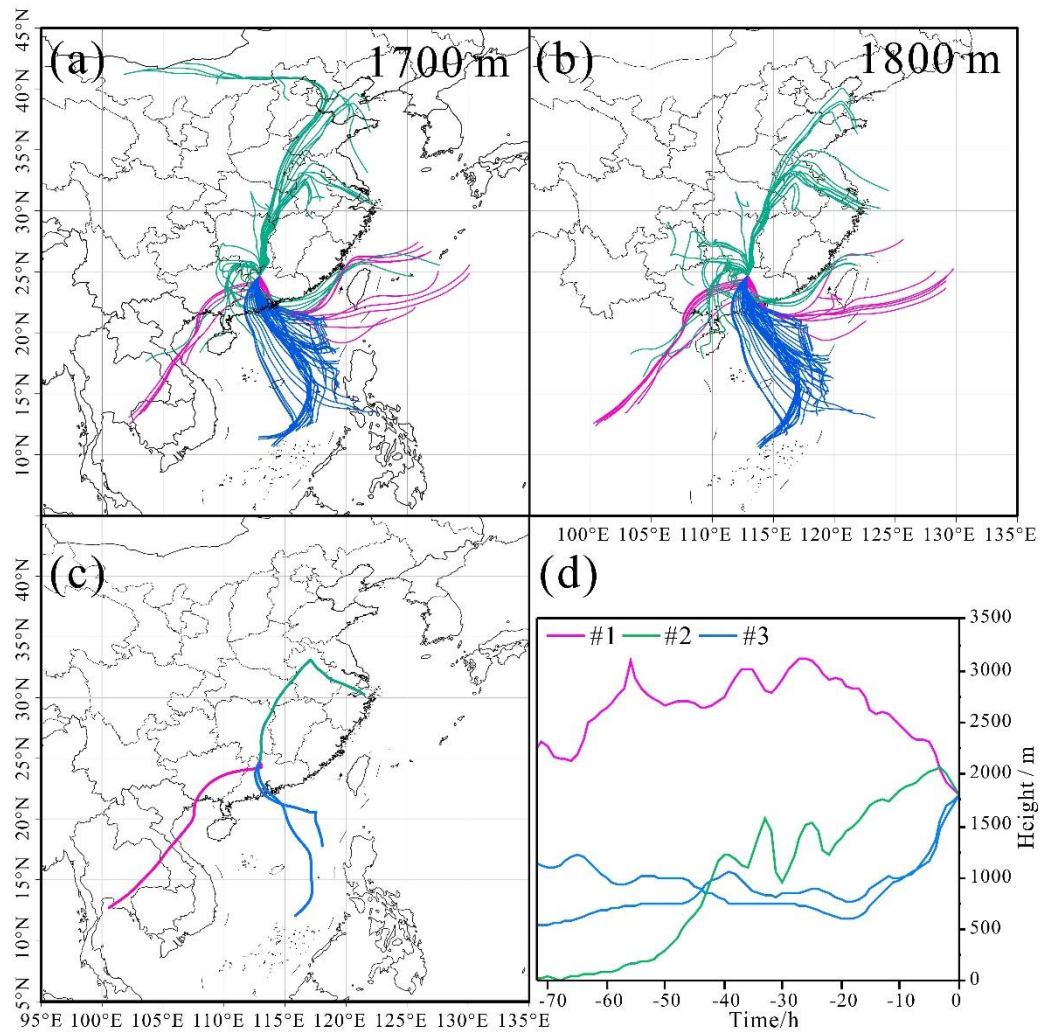


628 ■ S-rich ■ S-OM ■ refractory ■ aged soot ■ aged mineral ■ aged metal ■ aged fly ash ■ aged mixture

628

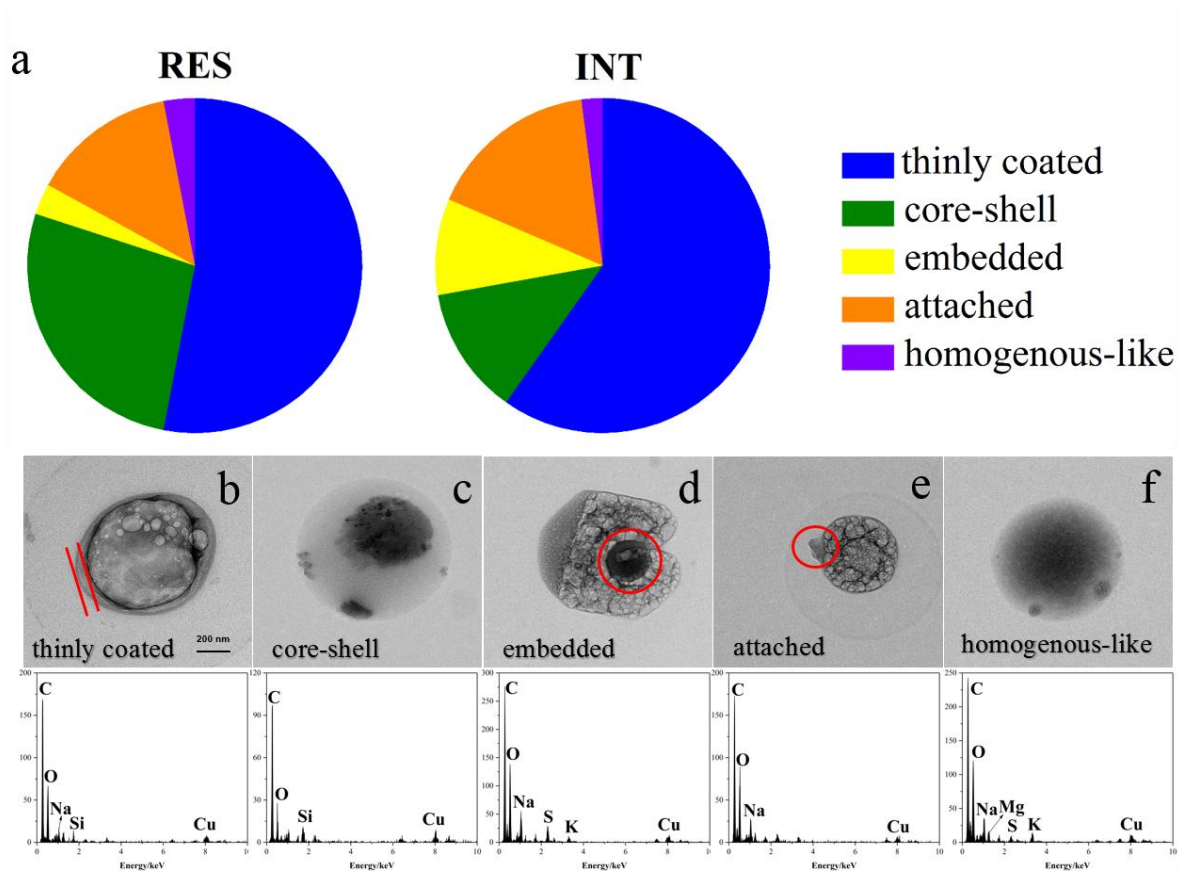
629 **Figure 3. Number fraction of different particle types in the RES during three cloud events measured by**

630 **TEM/EDS.**



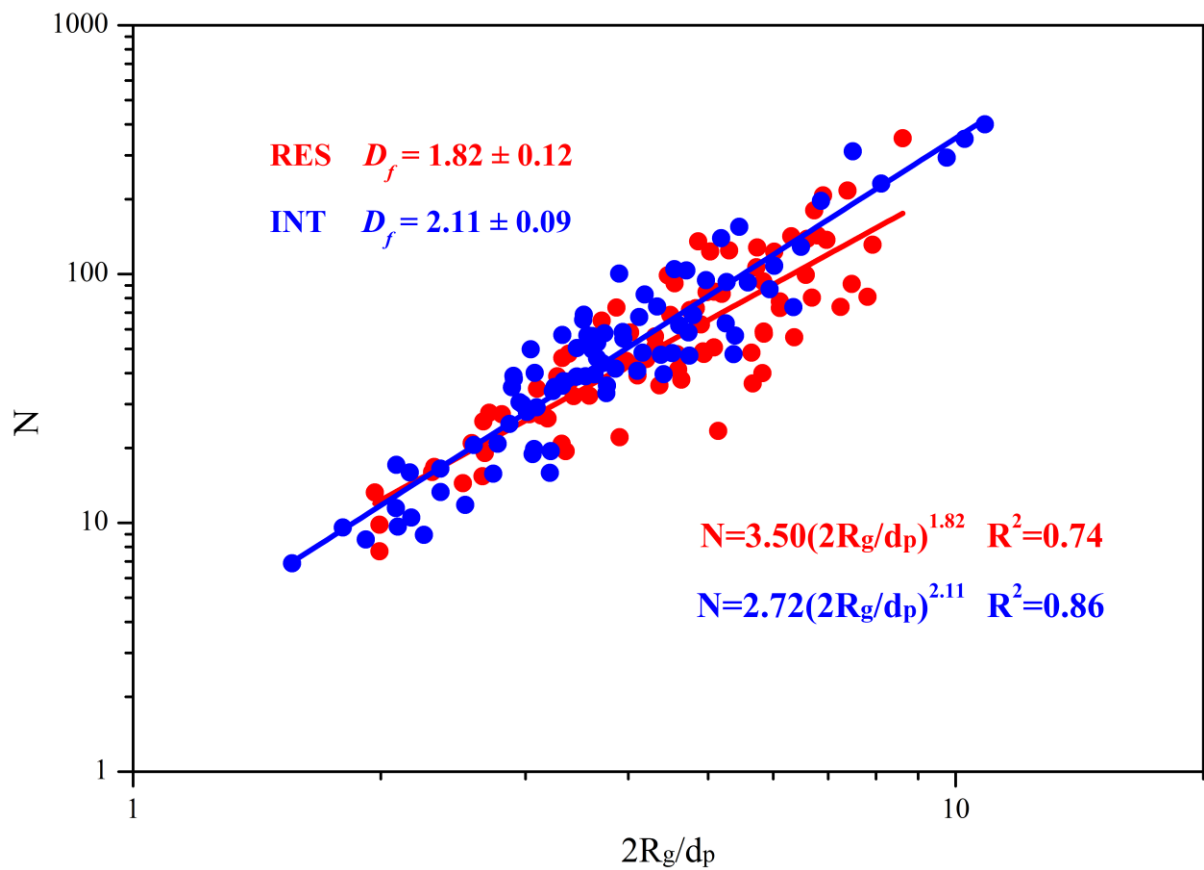
631

632 **Figure 4. HYSPLIT back trajectories (72 h) for air masses arriving at our sampling site at the height of**
 633 **1700 m (a) and 1800 m (b) hourly during the three cloud events. The HYSPLIT back trajectories at the height of**
 634 **1800 m during sampling periods (c) and heights (above sea level) of the air masses during transport (d). The**
 635 **horizontal axis represents several time points (0-72 h) before the time point input into the HYSPLIT model.**



636

637 **Figure 5.** Number fractions of OM-containing particles with different mixing structures in the RES and INT (a) and
 638 typical TEM images **and corresponding EDS spectra of OM: thinly coated (b); core-shell (c); embedded**
 639 **(e); homogenous-like (f)** during cloud event #2 and #3.



640

641

Figure 6. Fractal dimensions of soot in the RES and INT during cloud event #2 and #3.

1 *Supplement of*

2 **Impact of in-cloud aqueous processes on the chemical**
3 **compositions and morphology of individual atmospheric**
4 **aerosols**

5 **Yuzhen Fu et al.**

6 *Correspondence to: Guohua Zhang (zhanggh@gig.ac.cn) and Xinhui Bi (bixh@gig.ac.cn)

7 **1 Air mass backward trajectories and meteorology conditions**

8 The backward trajectory and the height (above sea level) of air masses during sampling were calculated
9 by the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model
10 (<http://ready.arl.noaa.gov>). During three cloud events, the sampling site was greatly influenced by air
11 masses from Southeast Asia, northern China and the South China Sea. Compared with the cloud event
12 #1, the air masses of cloud event #2 and #3 passed through a relatively low path on the way to the
13 sampling site. Thus, the air masses of cloud event #2 and #3 were affected more by the ground
14 anthropogenic emissions. The ambient temperature at the sampling station varied from 12.1 to 18.6 °C
15 during three cloud events, which means the generation of liquid cloud droplets. All samples were
16 collected during the stable period of cloud events, when the mass concentration of PM_{2.5} was less than 5
17 µg m⁻³ and visibility was less than 100 m. The concentrations of PM_{2.5} during cloud event #1 were lower
18 than those during cloud event #2 and #3. Consistently, the mean concentrations of O₃, SO₂ and NO_x were
19 higher in the cloud event #2 and #3 (Table S1).

20 **2 The size distribution of RES and INT**

21 In this study, a PM_{2.5} cyclone inlet and a GCVI (ground-based counterflow virtual impactor) inlet were
22 used to collect INT and RES, which is similar to Cozic et al. (2007). Additionally, the particle size in this
23 study is ECD (equivalent circle diameter) obtained from TEM images, which is larger than ESD
24 (equivalent spherical diameter). Liu et al. (2018) showed that the ECD of individual dry particles on the
25 substrate is 0.4952 times that of the ESD.

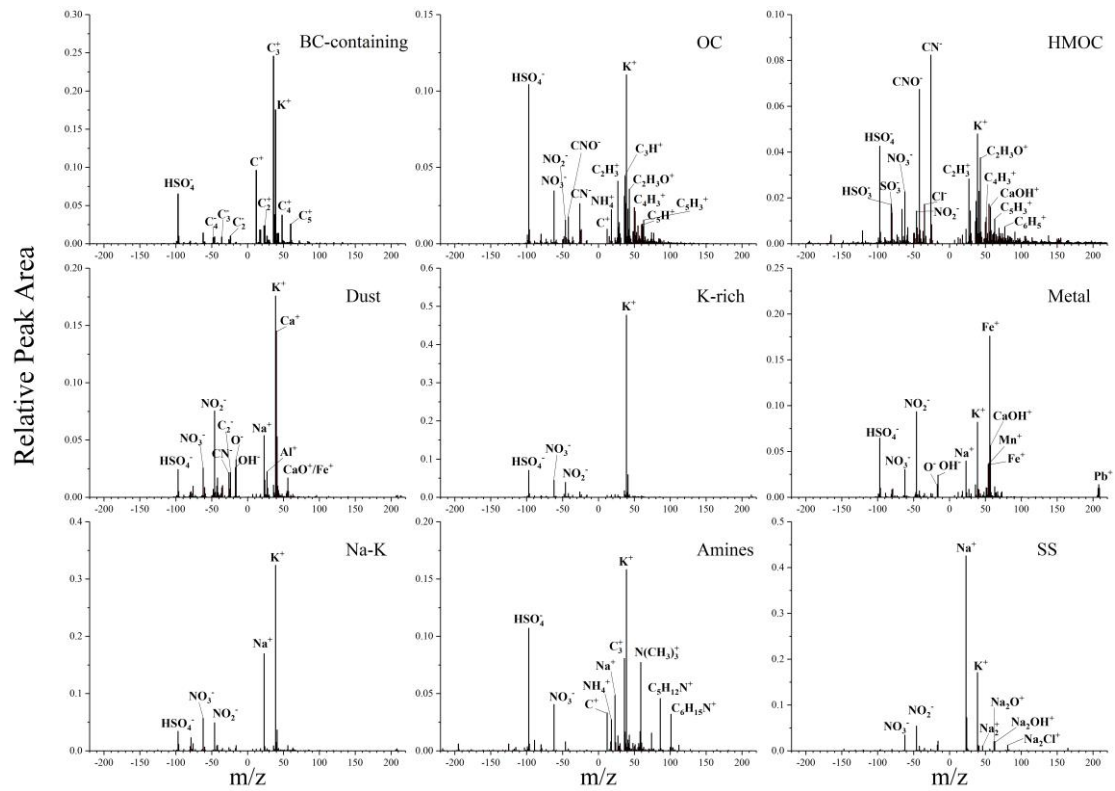
26 The size distribution data shows a higher median diameter of RES (1.20 µm) than INT (0.63 µm)
27 (Figure S2), which are higher than those (0.8 and 0.45 µm, respectively) at Mount Tai in northern China
28 (Li et al., 2011). This could be due to that Mount Tai is located in an industrial area, whereas our site
29 represents a background region mainly influenced by long-range transport. Additionally, the formation
30 of secondary compounds during cloud events increase the size of RES (Zhang et al., 2017).

31 The size distribution of different particle types revealed that S-rich and aged soot particles were
32 predominant in smaller size segments, and aged mixture particles in larger size segments (Figure S8).
33 Likewise, the size-resolved number fractions of different particle types from the results of the SPAMS

34 also showed that the BC-containing particles were mainly distributed between 0.1 and 1.3 μm ,
35 representing ~80% of the submicron RES and ~73% of the submicron INT population, respectively
36 (Figure S9).

37 **3 Identification of several types of particles within RES and INT measured by the SPAMS**

38 All particles with bipolar mass spectra and the size range of d_{va} 0.1–1.9 μm were classified several
39 clusters by an adaptive resonance theory neural network (ART-2a) with a learning rate of 0.05, a
40 vigilance factor of 0.8 and 20 iterations, and merged similar clusters manually. Ten characteristic particle
41 types (Figure S1) were obtained including BC (black carbon)-containing, OC (organic carbon), HMOC
42 (highly molecular organic carbon), Dust, K-rich, Metal, Na-K, Amines, SS (sea salt) and Others. BC-
43 containing particles are characterized by elemental carbon cluster ions (m/z 12C $^{\pm}$, 24C $_2^{\pm}$, 36C $_3^{\pm}$,
44 48C $_4^{\pm}$, ...). OC particles mainly contain fragment ions of organics (m/z 27C $_2$ H $_3^+$, 37C $_3$ H $^+$, 43C $_2$ H $_3$ O $^+$, -
45 26CN $^-$, ...). The mass spectra of HMOC particles show the presence of peaks of OC particles and some
46 other organic peaks (such as m/z 77C $_6$ H $_5^+$, 91C $_7$ H $_7^+$). Furthermore, HMOC particles are distinguished
47 from OC particles by marked ion fragments detected in range of $m/z > 100$. Dust particles present
48 significant ions at m/z 27Al $^+$, 40Ca $^+$ and 56CaO $^+$ /Fe $^+$. K-rich particles are identified according to the
49 strong signal at m/z 39K $^+$ only in positive mass spectra. Metal particles show the presence of metal ion
50 peaks (such as Fe $^+$ (m/z 54 and 56), Mn $^+$ (m/z 55), Pb $^+$ (m/z 206, 207 and 208)) in positive mass spectra.
51 Na-K particles are characterized by peaks at m/z 23Na $^+$, 39K $^+$, and less intense peaks at m/z -46NO $_2^-$, -
52 62NO $_3^-$, -97HSO $_4^-$. The mass spectra of amines particles contain ions signals at m/z 59N(CH $_3$) $_3^+$,
53 86C $_5$ H $_{12}$ N $^+$, 101C $_6$ H $_{15}$ N $^+$. SS particles are mainly composed of ions peaks at m/z 23Na $^+$, 46Na $_2^+$, 62Na $_2$ O $^+$,
54 63Na $_2$ OH $^+$ and 81Na $_2$ Cl $^+$. Most particles are observed to internally mixed with sulfate and nitrate (m/z -
55 46, -62, -97). Particles with unobvious mass spectrum characteristics are named others. Specific
56 classification criteria were described in detail elsewhere (Zhang et al., 2015).



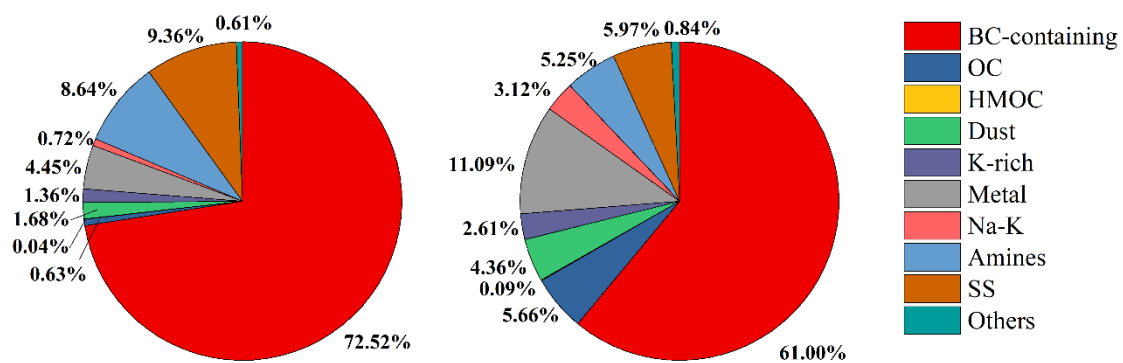
57

58 **Figure S1.** Average positive and negative mass spectra of main nine types particles (BC-containing, OC,

59 HMOC, Dust, K-rich, Metal, Na-K, Amines, SS) measured by SPAMS.

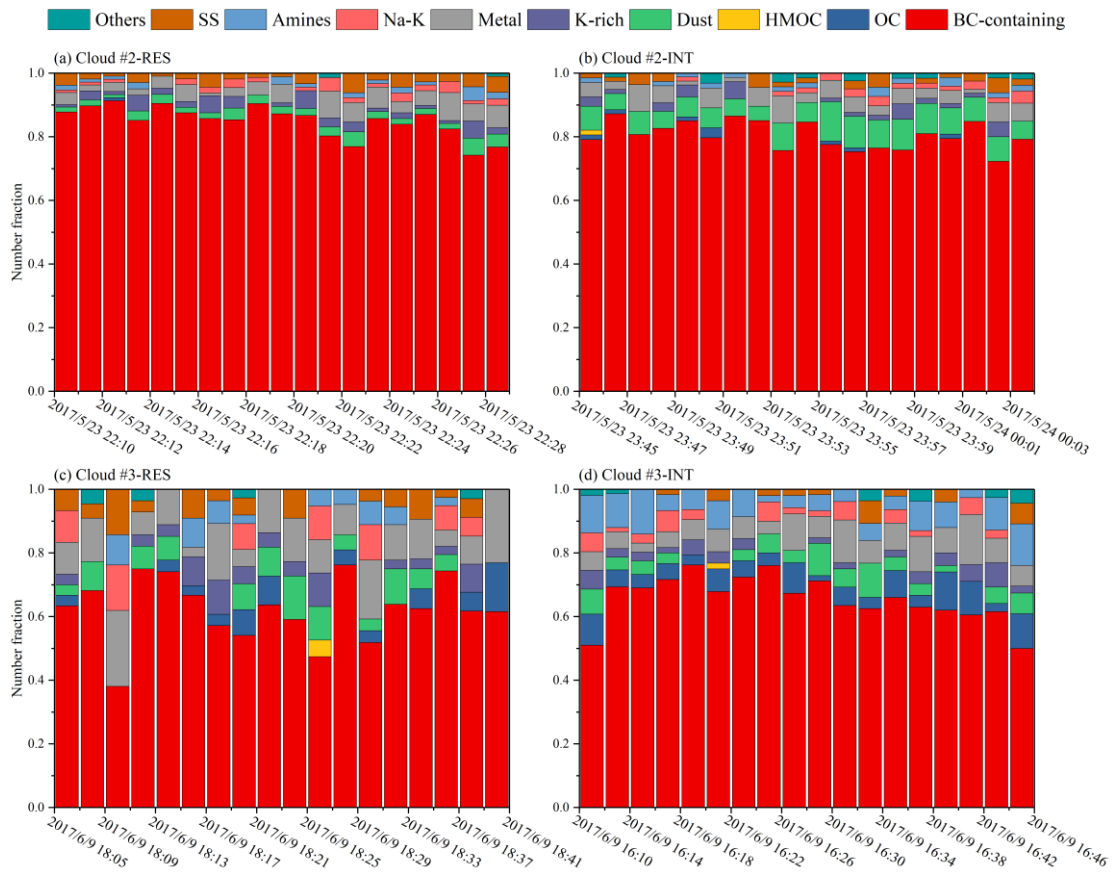
(a) Cloud #2-RES

(b) Cloud #3-RES



60

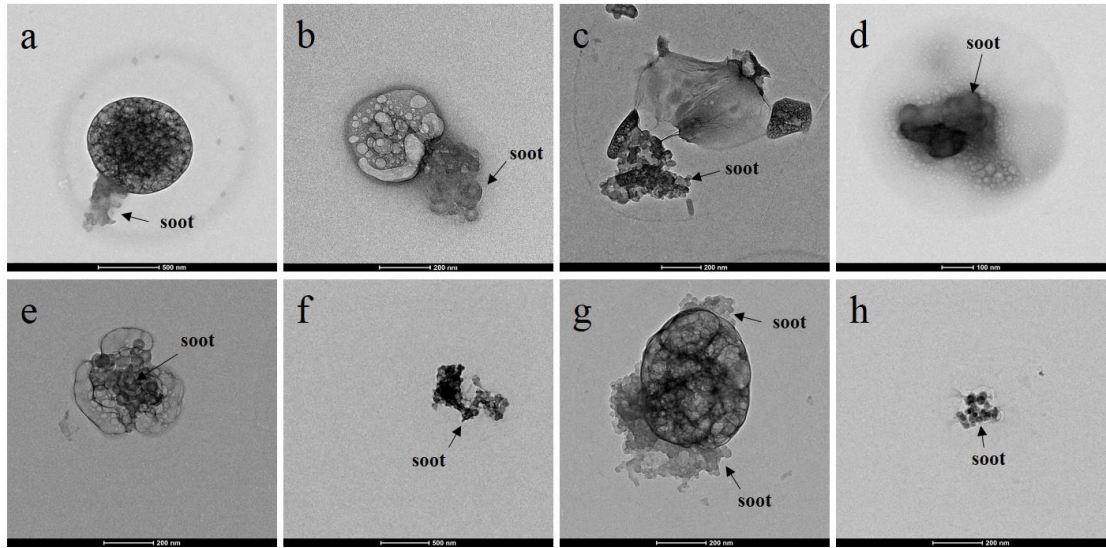
61 **Figure S2.** The chemical composition of RES during cloud event #2 and #3 measured by SPAMS.



62

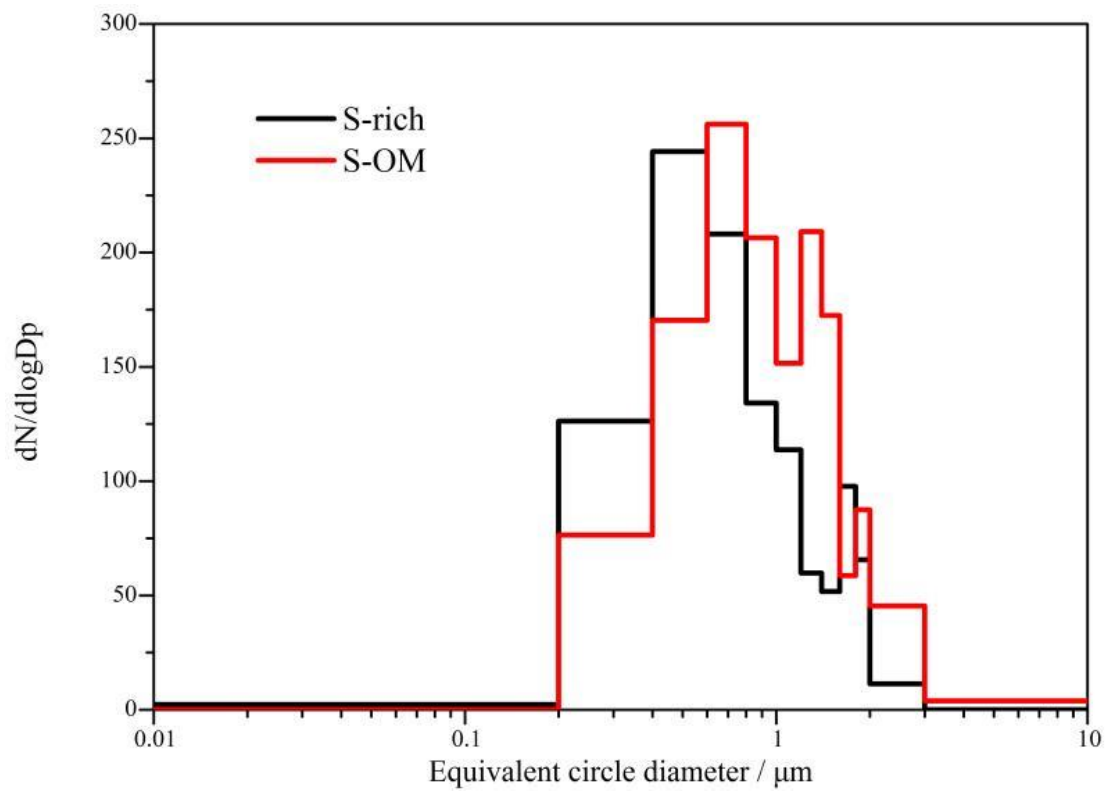
63 **Figure S3.** Time series of chemical composition of RES and INT during sampling periods of cloud event #2

64 and #3 measured by SPAMS.



65

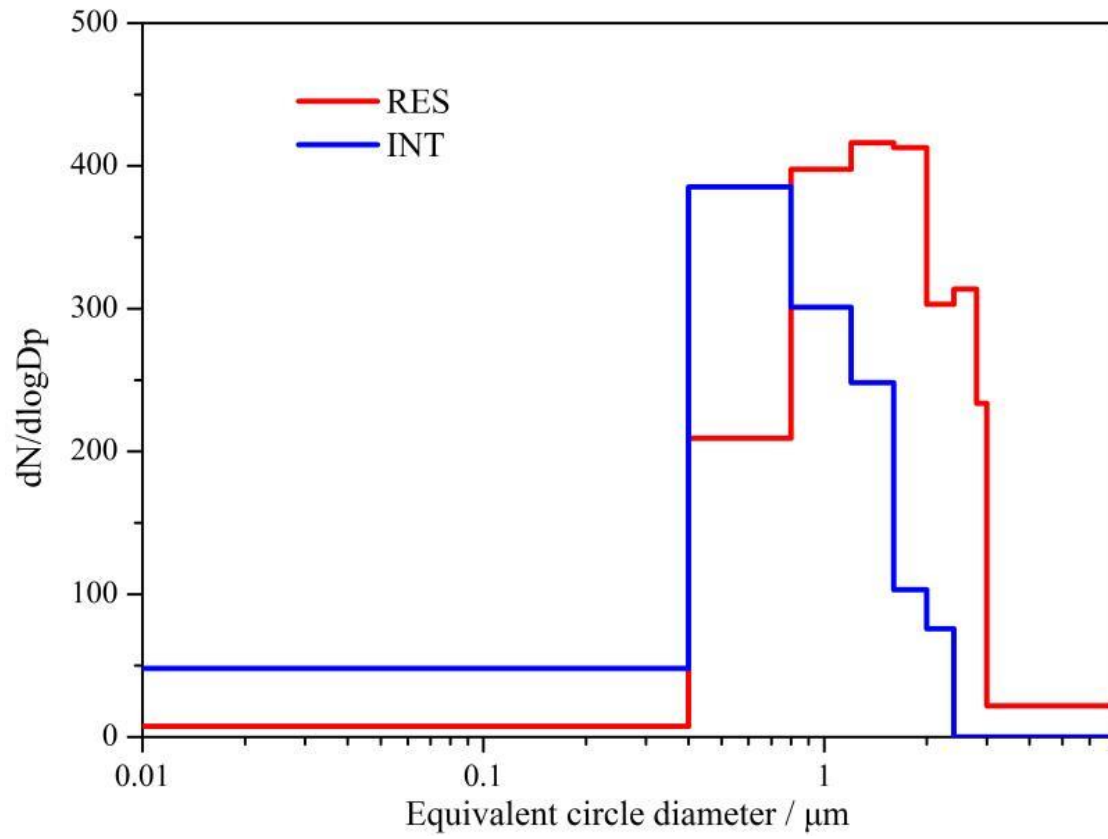
66 **Figure S4.** Typical TEM images of soot particles in the RES (a-d) and INT (e-h).



67

68 **Figure S5.** The size distribution of S-rich and S-OM particles. There are few S-rich particles with the size of

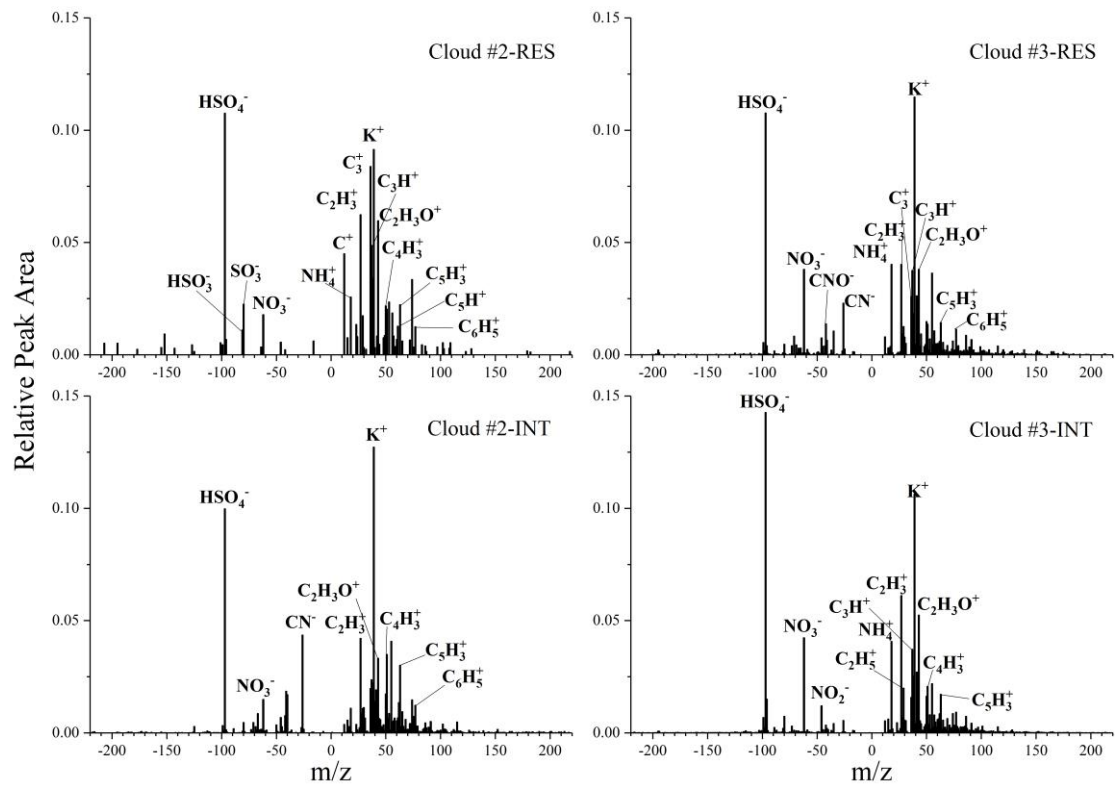
69 less than 0.2 μm , and the median size are 0.56 μm and 0.76 μm for S-rich and S-OM particles, respectively.



70

71 **Figure S6.** Size distribution of RES and INT during cloud event #2 and #3. There are more INT particles

72 when the size is less than 0.8 μm , and more RES particles when the size is larger than 0.8 μm .



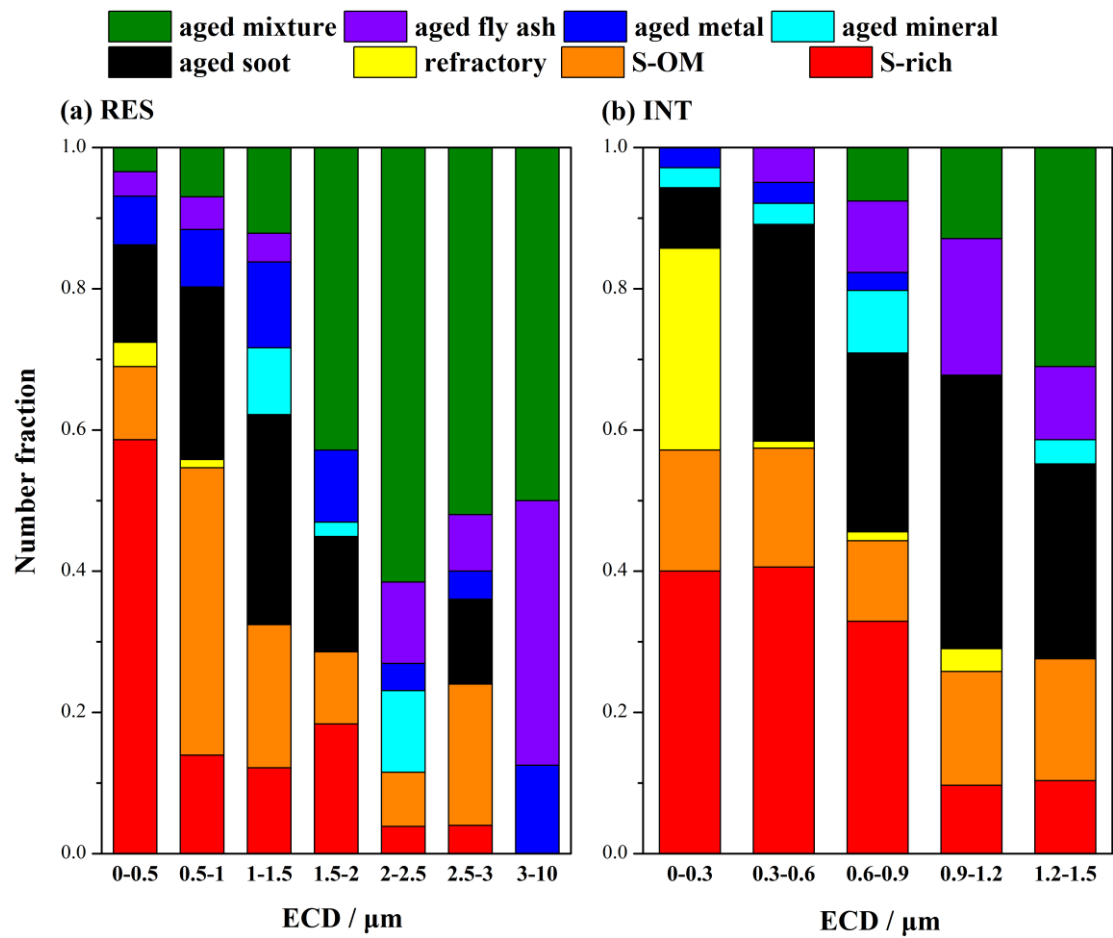
73

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Figure S7. Average positive and negative mass spectra of OM particles (OC and HMOC) of RES and INT

75

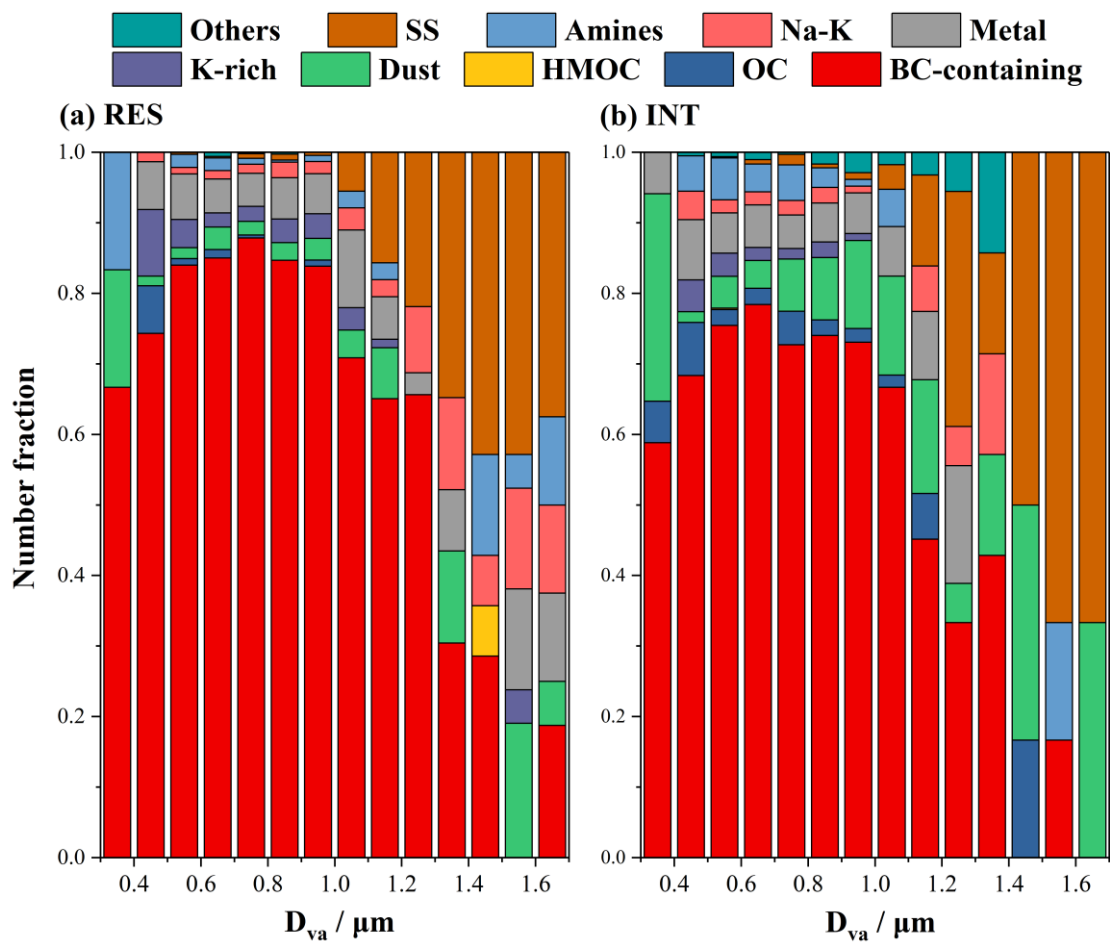
particles during cloud event #2 and #3 measured by SPAMS.



76

77

Figure S8. Size-resolved number fraction distributions of RES and INT by TEM/EDS.



78

79

Figure S9. Size-resolved number fraction distributions of RES and INT by SPAMS.

80 **Table S1.** The concentration of NO_x, SO₂, O₃, PM₁₀ and PM_{2.5} during three cloud events.

81

cloud event	NO _x (ppb)	SO ₂ (ppb)	O ₃ (ppb)	PM ₁₀ (µg m ⁻³)	PM _{2.5} (µg m ⁻³)
#1	2.6	0.4	30.5	3.6	1.1
#2	3.5	1.2	39.1	4.8	1.9
#3	4.3	0.6	34.4	11.4	4.7

82

83 **Table S2.** The ratios of relative peak area between organics (m/z 27, 29, 37, 43, 50, 51, 61, 63) and sulfate
84 (m/z -97) of OM particles (OC and HMOC) during in-cloud (RES and INT) and pre-cloud (Ambient)
85 periods.

86

87

	RES	INT	Ambient
Organics/Sulfates	1.676	1.566	1.594

88 **Table S3.** Morphological descriptors of soot particles within RES and INT.

89

parameters	A_p	d_p	L_{max}	N	D_f	k_g
RES	1658(175)	43(2)	255(12)	66(8)	1.82(0.12)	3.5(0.08)
INT	1842(133)	46(2)	316(16)	68(6)	2.11(0.09)	2.72(0.05)

90 A_p , mean projected area of the monomer; d_p , monomer diameter; L_{max} , maximum length of soot

91 aggregates; N , number of monomers in a soot aggregate; D_f , mass fractal dimension; k_g , structural

92 coefficient. In parentheses are the standard error of A_p , d_p , L_{max} , N , D_f and k_g .

93 **Table S4.** Overlap (δ), constant (k_a) and empirical exponent (α).

94

parameters	δ	k_a	α
RES	1.54	1.52	1.13
INT	1.4	1.44	1.11

95

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