

Interactive comment on “Pre-deliquescent water uptake in deposited nanoparticles observed with *in situ* ambient pressure X-ray photoelectron spectroscopy” by Jack J. Lin et al.

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We thank the reviewers for their time and many insightful comments and suggestions. In response to comments from both reviewers, we have strengthened the connection of our measurements to processes involving real atmospheric aerosols throughout the paper. We thank reviewer # 1 for the suggestions of additional relevant literature concerning pre-deliquescent water uptake.

In response to both reviewers' concerns about the curve fitting process, we have re-done the peaks fits including a thorough error analysis using a Monte Carlo method. This is done by replicating the measured spectrum as a noiseless version with a fit,

C1

which itself has no physical meaning but repeats the overall structure of the measured spectrum. The Monte Carlo procedure then produces a number of virtual experiments by adding random noise to the noiseless spectrum and these virtual spectra are subsequently fitted under the same assumptions as the original measured spectra. The procedure is run 100-200 times, and the uncertainty of each fitting parameter is estimated as $\pm 2\sigma$, where σ is the standard deviation of the set of parameters from the Monte Carlo simulations. A description of this error analysis has been added to the Data Analysis section 2.3. Results of the Monte Carlo error analysis are shown as error bars on experimental data and have also been included in the supplement for reference.

Below, we give a point-by-point response to each of the reviewers' comments and indicate corresponding changes made in the revised manuscript.

Reviewer #1

Specific comments:

1. Line 148: The authors discussed the shift in binding energy and the intensities of the peaks as two independent variables. I wonder if the intensity of the peak changes as a result of changes in the chemical environment. If this is the case, some of the discussions on peak ratios later need to incorporate this dependency.

Binding energies of the core-level electrons depend on the chemical state or surroundings of the element in question. Changes in the chemical state or environment are directly reflected as shifts in the electron binding energies. In XPS experiments, the intensities—or more accurately, the areas—of the spectral peaks directly reflect the amounts of the element in question which is in the chemical states or environments contributing to each peak. A change in peak intensity or area reflects a loss of the element from the chemical states or environments contributing to that peak. For NaCl, where we use the full integrated elemental

C2

peak areas to quantify the amounts of Na and Cl in the systems, a change in the respective peak areas would imply a loss of the element from the system. For the C 1s spectra of the organic aerosols, a change in chemical state of the carbon atoms would be reflected in equivalent opposing changes in the areas of the peaks corresponding to the states involved in the transformation. This proportionality is one of the major advantages of core-level XPS, compared to other surface sensitive techniques, where higher order effects may indeed affect the peak intensities in complex ways (e.g. Hüfner, 2003).

In this work, we use the total amount of Na and total amount of Cl (NaCl), total amount of C and total amount of O (sucrose), and amount of C and O in the carboxyl groups (malonic acid) for determining the peak area ratios. Changes in one of these ratios reflect changes in the amounts of each element from the chemical states used in obtaining the ratios, and therefore an effect of water on the chemical composition. Due to the relatively noisy data obtained in some conditions in our experiments, we cannot necessarily distinguish all the possible chemical states that could be formed in connection to the water adsorption onto the particle surfaces. From the present measurements, we can therefore assert that a loss of peak intensity/area reflects a change, even though we cannot give more details on the chemical nature of this change.

In practice, processes such as charging effects from increasingly ionizing the sample during the XPS experiments can affect the apparent binding energy of the detected photoelectrons, leading to peak broadening. However, this does not change the overall the peak area.

We have tried to clarify these points in the revised manuscript.

2. Figure 3: It is necessary to give more evidence to convince this reviewer that the 0.2eV memory effect is real, from the perspective of experimental uncertainty and peak fitting. Have they reproduced the results? Much of the discussion in that paragraph seems to be more on the results of NaCl(001) single crystals than of

C3

the particles. It is interesting to note the NaCl (001) data show another increase in shifts after reaching a plateau. Any reasons?

In this context, it is important to note that these type of experiments require very specialised systems (APXPS) which are not widely available. Most of the existing systems globally are hosted at synchrotron radiation facilities and accessed for a limited time period based on experiment time granted in a highly competitive application process. Therefore, we unfortunately did not have time to repeat all experiments during the time available for our experiments. Furthermore, data analysis is elaborate and key results may only become evident long after the experiment has been concluded. Nevertheless, each of the presented spectra are averages of tens of unique acquisitions (of entire spectra) and therefore in fact ensembles of individual measurements. We note that the individual spectral scans do not drift in energy with time, which means that the stability of the energy scale during the measurement period was very high. In addition, we performed uncertainty estimates for the spectral fits using the Monte Carlo procedure described above. We note that the uncertainties in the binding energy from the Monte Carlo simulations ($\pm 2\sigma$, confidence interval of 95%) are much smaller than the observed memory effect of 0.2 eV.

On a technical note, the energy step size used in the acquisition of our XPS spectra is 0.1 eV. This is greater than the energy accuracy (not to be confused with the resolution) of the analyser when operating at the 50 eV or 100 eV pass used in these experiments. We therefore consider an observed memory effect larger than 0.1 eV to be real and not explained by experimental uncertainties alone. A similar memory effect is also observed by Verdaguer et al. (2008) for the NaCl(001) crystal, which further supports our present findings for the aerosol samples.

These points have been clarified in the revised manuscript.

We agree that the discussion of our results relies strongly on the comparison

C4

to previous results for NaCl(001). We consider this as key to show that our results are in agreement with previous work and thereby anchoring our experiments as feasible also for NaCl nanoparticle samples with a more complex physical structure and further on for the organic malonic acid and sucrose particle samples. The increase in electron binding energy shifts after the plateau observed by Verdaguer et al. (2008) has been attributed to more efficient discharging (dissipation of the charge buildup from sample ionization during the XPS measurements) of the NaCl surface due to enhanced mobility of surface ions by solvation into the adsorbed water layer. In the present experiments, we were not able to reach sufficiently high humidities to observe whether such an additional increase would be present also for the nanoparticle samples, but the Cl 2p binding energy shifts do indicate the presence of a plateau after the initial shift. As experimental facilities are continually developed and enabling us to reach higher relative humidities, we hope to have the possibility of studying this phenomenon further in the near future.

3. Line 190-210: The authors discussed that peak broadening of the particles is less than that of single crystals. I don't have a good sense of the discussion because there was no quantitative information for comparison. In Figure 1, compared to 0 mbar peak, the 5 mbar peak did occur to me that there was broadening. It would be good to again have some sensitivity analysis on how fitting and experimental uncertainty would potentially affect the extent of broadening or the lack of it. Are we talking about results that are statistically significant?.

We thank the reviewer for pointing this out. We here refer to changes in the peak broadenings, not to peak broadenings themselves. This has been clarified in the revised manuscript.

In our experiments with aerosol samples, the overall resolution is lower than that in the single crystal experiments of Verdaguer et al. (2008) and we do not observe significant changes in the widths of the peaks as a function of humidity.

C5

We agree that the 5 mbar Na 1s peak does look broader than the corresponding peak at 0 mbar, but the statistics of the spectrum are poor. For the other humidity conditions, no significant changes in the peak broadening were observed. Therefore, the only meaningful information to report regarding the peak broadening in our experiments is the fact that it does not change between the investigated humidities.

We have performed Monte Carlo error analysis of our fits (see above) and included the resulting error estimation ($\pm 2\sigma$) to give the uncertainties (confidence of approximately 95%) due to spectral fitting. We are therefore confident that the results are statistically significant and indeed show changes in the chemical and elemental composition of the particle surfaces.

4. Line 209-213: I cannot follow the logic. It was suggested earlier that "the decrease in peak broadening observed for single crystals is ultimately attributed to adsorbed water reducing inhomogeneities in the surface potential". Do you mean that particles have more inhomogeneities and therefore the same amount of water will have a less effect in reducing inhomogeneities, when compared to single crystals?

Yes, this was what we meant. The decrease in the peak broadening for the single crystals is indeed attributed to reduced inhomogeneity due to adsorbed water. We attribute our observations for particle samples to the fact that the nanoparticle surfaces are very different from single crystal surfaces and contain a lot of surface sites that are different from one another in terms of coordination and morphology, and therefore we do not necessarily expect the overall inhomogeneity to be reduced as efficiently as for single crystals. Especially the nanoparticles can contain morphologically sharp regions, where electric fields become larger. While the amount of chemical inhomogeneities may be reduced, we expect that morphological changes would require larger amounts of water, or dissolution, and therefore we do not expect that all electric fields or potentials will be removed from

C6

the nanoparticle surfaces as efficiently as in the case of single crystals. We have clarified this point in the revised manuscript.

5. I am also confused by the term “immediately” in the sentence “The KPM experiments show that the inhomogeneities are removed immediately after water is adsorbed on the surface.” What does it mean in terms of the amount of water needed to remove the inhomogeneities?

With “immediately” we meant to say that this happens already at very low water coverage and does not require the formation of a thick water layer on the particle surfaces. Our point is that the decrease in peak broadening occurs when only very few water molecules are adsorbed onto the crystal surface. This is clarified in the revised manuscript, avoiding the term “immediately”, which indeed could unintentionally imply temporal aspects.

6. Line 217: How confident are the authors on these ratios, in light of the experimental uncertainty, especially that for Na 1s at high RH? Also, it is useful to include data of the UHV experiment and 0 mbar after dosing for comparison.

We have entirely redone the analysis related to the spectral fitting, with the same initial assumptions as in the original analysis, providing also a thorough error analysis for the peak area ratios. During this process, we spotted two systematic errors that were made in our original analysis when transferring data from the analysis software to the figures and manuscript. In the revised manuscript, we report the corrected values for the peak area ratios for NaCl and malonic acid and have made new figures with these corrected values, including error bars ($\pm 2\sigma$, 95% confidence interval) for the peak area ratios. We note that the overall trend of the malonic acid O:C ratio, as well as the Na:Cl ratio for NaCl, both remain unchanged with respect to our initially reported results.

We are confident that the changes in peak area ratios as shown in Fig. 3 are real and that they reflect changes in the chemical composition at the particle

C7

surfaces. For malonic acid particles, we cannot at this time offer an explanation to the values of the observed O:C ratio or the behavior at different humidities. However, we chose to report our observations as potentially meaningful in the context of future experiments. For NaCl particles, the possible reasons for the changes in the Na:Cl ratio with humidity are discussed in the manuscript.

We have presented results for 0 mbar after dosing H₂O (in the manuscript) and UHV (in the supplement) for NaCl particle samples. The Na:Cl ratio after dosing was determined as 0.62 ± 0.11 (2σ), or very nearly the same as before water dosing. This information has been added to the revised manuscript. For sucrose particles, similar results are not shown, as there were no significant changes observed between the conditions. For malonic acid, the “0 mbar” (inside the cell, without H₂O vapor) before or after dosing were unfortunately not measured, due to measurement time constraints. The UHV data is essentially the same as the 0 mbar data, albeit with somewhat better statistics, and therefore does not give more information on the system.

7. Line 268: The C-C/C-H peak dominates all the spectra of sucrose and may significantly affect the fitting of the C-O and O-C-O peaks in C1s. The authors need to do a better job in defending the validity of these fitted results.

We are confident in the fitting of the C 1s C-O and O-C-O peaks. Literature values from the XPS spectrum of sucrose in vacuum (Stevens and Schroeder, 2009) give a sound initial assumption for the starting points of the spectral fits, and our binding energies for C-O and O-C-O at 0 mbar are in good agreement with those of Stevens and Schroeder (2009), as noted in line 274 of the original version of our manuscript. In addition, we have now redone the fitting analysis with 100 Monte Carlo simulations which yielded essentially the same results as before. Results of the re-analysis and Monte Carlo error estimation are presented in the updated Fig. 5.

C8

8. Line 283: The O1s peak dominates in Figure 6 and the author attributed it to water vapor. I am surprised that whatever interactions between water vapor and the particles can lead to energy shift of the water vapor peak. Do you mean Adsorbed water? Did you see the same in NaCl experiments? Again, I am not sure how the uncertainty would affect the fitting results.

The strong peak in the O 1s spectra is indeed that of gas phase water. However, the binding energy of the gas phase water does not change in our fits. The gas phase O 1s binding energies are 536.05 ± 0.03 , 536.06 ± 0.02 , and 536.03 ± 0.01 eV for 0.2, 1, and 5 mbar H₂O, respectively. These numbers can now be found in the supplement. In line 280, we have clarified that it is the peak area of gas phase water, and therefore the total amount near the particle surfaces, that is increasing with relative humidity as expected.

We do observe a shift in binding energy of the individual O-C-O and C-O O 1s peaks relative to the gas phase water peak—about 0.5 eV going from 0 mbar to 5 mbar. This could be due to the influence of adsorbed water, but we cannot say for certain since the binding energy shift in both the O 1s and C 1s peaks are small. The shift could also be explained by change in the work function of the particle surfaces due to the presence of gas phase molecules (Axnanda et al., 2013). This appears as an apparent binding energy shift that would have a proper reference in ultra-high vacuum.

For the NaCl particle samples, we did not show any O 1s spectra, because we were unable to resolve the adsorbed water signal due to the strong signal from both gas-phase water and silicon oxide from the substrate. The malonic acid and sucrose particles were deposited on gold foil and therefore do not have the same issue with substrate oxide signal.

9. Line 292: I found the discussion “ the changes in relative ratios between C-O and O- C-O bound carbon C 1s and oxygen O 1s signals are small” somewhat arbitrary. From eyeballing the peaks, I feel that there are some changes in the

C9

ratios of the intensities of the two peaks. If these changes are considered small, I would argue that the changes in Na/Cl ratios are small too.

We agree with the reviewer that the changes are subtle, however they are clear, for both sucrose and NaCl particles. We have presented the corresponding error bars from the Monte Carlo simulations and clarified the discussion on this point in the revised manuscript.

10. Line 295: I found the use of EDB data to support their results not convincing, at least not consistent with the earlier claims that EDB, optical levitation and a host of other techniques do not provide adequate sensitivity in pre-deliqescence water uptake.

We agree that the comparison to EDB experiments could seem inconsistent, given that we present the results from XPS measurements as providing information which is generally not accessible with bulk-sensitive methods. The reference to EDB experiments was made, because these measurements provide some of the only data that our present results can be immediately compared against. We have reformulated this section to clarify that our XPS measurements with their higher sensitivity to condensed water confirm observations from previous EDB experiments.

11. Line 340: Is the comparison of absolute intensities across different spectra (C1s and O1s) valid? I guess ok for observing a trend but may need more justification to compare with the stoichiometric ratio of malonic acid.

No direct comparison is made between the absolute peak areas for C or O, only the C 1s to O 1s peak area ratio. In the data analysis we have taken the different measurement effects which are affecting the peak area ratios into account, by normalizing spectral peak areas to the photoionization cross section of each orbital and the attenuation from scattering of the photoelectrons in the water vapor.

The transmission function of the analyzer is constant at these kinetic energies (SPECS Surface Nano Analysis GmbH).

12. The authors may want to comment on the application of this technique at high RH values, which are more atmospherically relevant.

Our presented experiments cover relative humidities up to 16%, however, with further optimization of the experimental system, it will be possible to cover the full range of relative humidities from 0 to 100%, further enabled by combination with ultra-high brilliance synchrotron X-rays. We have expanded the discussion in the conclusions section about the applicability of APXPS at higher RH values.

Reviewer #2

The reviewer makes the important point, that “...the specific importance of this measurement on aerosols is not emphasized. Similar measurements have been performed for non-aerosol samples of the same substances. This is the major shortcoming of the work.”

We agree that this is a very important aspect of our presented work, which we have now tried to highlight more clearly in the revised manuscript. We thank the reviewer for their reflection of this and related aspects of implications for atmospheric aerosol processes, which are indeed at the heart of our fundamental motivation for this work. XPS is a powerful and well-known technique in surface science which has recently been applied to systems of more immediate resemblance to atmospheric aerosols. Combined with ambient pressure conditions, the possibilities emerge for also studying processes of immediate atmospheric relevance, including adsorption and desorption from surfaces, and heterogeneous and surface-specific chemistry.

The main aim of our present work has been to investigate the potential for obtaining meaningful results with APXPS for aerosol samples comprising atmospherically relevant chemical components. Considering the additional degrees of freedom in terms of

C11

variations in size, morphology and sample coverage introduced by the aerosol samples, this was not given a priori to be feasible. We have therefore used simple aerosol compositions and focused on contrasting our results to previous APXPS experiments on relatively simpler, macroscopic single crystal samples. Obtaining results which are in line with these previous measurements serves to benchmark the APXPS measurements for NaCl aerosol samples and allows us to have confidence in the results also for the aerosol samples comprising major atmospheric organic functional groups. The ability to obtain meaningful results with APXPS from deposited aerosol particles of atmospheric relevance opens for the application of this method to a wide range of laboratory-based studies of surface processes. This potentially enables direct and highly surface sensitive investigations of numerous atmospheric relevant processes with very high chemical selectivity.

Our present results confirm that XPS can be used to specifically observe chemical changes on aerosol surfaces which likely cannot be resolved with even high-resolution bulk methods, such as aerosol mass spectrometry. Several recent studies have shown unique features of surfaces, compared to the bulk phase, for systems of atmospherically relevant composition (e.g. Werner et al., 2018, and references therein). We therefore anticipate that the ability to directly probe aerosol surfaces with high sensitivity and chemical selectivity will enable new studies of surface specific processes and chemical properties with atmospheric significance.

Specifically related to the uptake of water to the aerosol phase, we anticipate that the presence of water at the aerosol surface could impact water catalyzed chemical reactions, as well as reactions involving mobilization of charged species such as organic and inorganic ions or components with acid-base functionalities. The existence of an aqueous layer may also impact adsorptive gas-particle equilibrium of other semi-volatile species in addition to water and in turn their further chemical fate in the atmosphere. The main significance of our current findings is that these processes could occur as a consequence of water adsorption in even very dry conditions. The

C12

surface-to-bulk volume ratios of finite-sized atmospheric aerosols are orders of magnitude larger than for macroscopic systems (Prisle et al., 2010b; Bzdek et al., 2020) and consequently even processes which are confined to the immediate surface region could significantly impact the overall aerosol transformation.

We have highlighted these aspects in the revised manuscript. Speculating on the exact nature and magnitude of the vast number of possible chemical and physical transformations of atmospheric aerosol surfaces is however outside the scope of this work.

Other major comments:

1. The literature review and discussion focus on aerosol water uptake, a phenomenon separate from water adsorption below deliquescence. More emphasis could be placed, in the introductory and concluding sections, on the chemistry occurring on surfaces of aerosol particles. Surface chemistry and reactions occurring during evaporation/condensation on aerosols is a separate and rapidly evolving branch of this science with many recent publications. This manuscript would have a larger impact if it included some references to recent developments in this topic. What reactions are promoted by adsorption of water molecules onto pre-deliquescent NaCl or carboxylic acids? This is an important question for this manuscript to discuss.

We have focused the literature review and discussion on observations of aerosol water uptake and pre-deliquescent water uptake to salt surfaces, since these provide the immediate context of our present measurements. The direct observation of water adsorption to surfaces of aerosol particles at very low humidities could indeed have significant implications for aerosol surface and heterogeneous chemistry, for example via mechanisms as suggested above. It could further-

C13

more readily be speculated that other volatile or semi-volatile atmospheric components similarly adsorb at aerosol surfaces, in quantities which go unnoticed in bulk-sensitive measurements, but with ability to significantly alter the chemical state and further chemical transformation of molecules in the top-most surface layers of the aerosol.

The reviewer makes an excellent point, so in the revised manuscript we have added discussion of recent aerosol surface chemistry and reactions to the introduction as context for our measurements.

The surfaces of aerosol and droplet particles are distinct physical and chemical environments compared to their associated bulk phases. The composition of the droplet surface can influence the mass transport and chemical reactions that occur at the surface (e.g. Cosman et al., 2008; Park et al., 2009; Roy et al., 2020). The acidity of organic acids on water surfaces has been measured to be much lower than predicted for the bulk aqueous phase (Enami et al., 2010; Werner et al., 2018). The presence of surface-active organic molecules on droplet surfaces can affect droplet surface tension (Shulman et al., 1996; Bzdek et al., 2020) and morphology (Kwamena et al., 2010) that affect both warm (Sareen et al., 2013; Ovadnevaite et al., 2017) and ice cloud nucleation (Knopf and Forrester, 2011; Perkins et al., 2020). The formation of an aqueous phase can lead to the partitioning of water-soluble gases to the condensed phase (Prisle et al., 2010a), including many reactive oxidants (Donaldson and Valsaraj, 2010), that can initiate a wide range of aqueous phase chemistry (McNeill, 2015).

A number of aqueous phase reactions occur between inorganic salt species and organic compounds. The hygroscopic properties of sodium halide particles coated with fatty acids depend on both the salt anion and the carboxylic acid, with some mixtures showing barriers to water uptake while others do not (Miñambres et al., 2014). Depletion of chloride and bromide from marine aerosol particles increases under the influence of biogenic wildfire emissions that contribute or-

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ganic acid to the aerosol (Braun et al., 2017). Enhanced production of sulfate aerosol via nitrate photolysis was observed to be facilitated by the presence of surface-active halide ions (Zhang et al., 2020).

2. The conclusions are not prevalent enough. The assessment summarizing each qualitative/quantitative description are lost in each paragraph, although the results are presented with very good attention to detail and thoughtful analysis. I recommend improving the prevalence of these conclusion sentences, e.g. through their placement at the head of each paragraph, through an increased number of headings, or via another method. This would significantly improve the comfort of the reader and perhaps the breadth of the readership.

We thank the reviewer for this observation and have done our best to restructure the discussion to emphasize the main conclusions throughout the revised manuscript.

3. The peak fitting parameters are missing, although the fitting of peaks in this work is described with great care. Further, displaying all the peak fits in the main text may not be necessary. The statistical analysis of these peaks (peak area, peak width, peak shifts) are more interesting. More emphasis on the statistics would be helpful in interpreting the quality of the data and the conclusions presented.

We now present the peak fitting parameters, specifically binding energy, peak area, Lorentzian FWHM, Gaussian FWHM, total FWHM, and asymmetry parameter in the tables in section S1 of the supplement. We have clarified the description of these parameters and their relation to the analysis in the revised manuscript. The main parameters used in our analysis—photoelectron binding energy, peak area, and total FWHM—are described in the main text. The remaining peak fitting parameters are described in the supplement for reference and completeness. Following the Monte Carlo error estimation of the peak fitting parameters, we have strengthened the emphasis on statistics in the data analysis.

C15

Minor comments:

1. The fitted peaks in each figure are visually blocking the data points. Please rectify the situation.

We thank the reviewer for pointing this out. We have made sure the data points are plotted on top of the fits in Figs. 1–2, and 5–8.

2. In the introduction or XPS measurement section, a brief description of the measurement mechanism and e.g. the meaning of the signal, for a slightly broader audience, would be appreciated. This topic is of great interest to various readers who do not use XPS.

We thank the reviewer for this very useful suggestion. We have clarified the key concept of electron binding energy underpinning the XPS measurements and the resulting XPS signal in the general experimental section and the significance of the electron binding energies and peak areas determined from the XPS spectra in the data analysis section. Specifically, we have made the following changes:

1. 70-75: *“Photoelectron spectroscopy utilizes the photoelectric effect, by which the sample is ionized from inelastic collisions with photons and the emitted electrons are detected and characterized in terms of their kinetic energy (E_k). When the ionizing photon energy ($h\nu$) is known, the binding energy (E_b) of electrons within the sample can be determined simply as $E_b = h\nu - E_k$. By using X-ray photons, core-level atomic-like orbitals can be ionized, and the electron binding energy gives a very sensitive fingerprint of the chemical composition and environment of the sample. XPS is furthermore a highly surface-sensitive technique, because the resulting kinetic energies of the photoelectrons yield very short characteristic attenuation lengths and the detected photoelectron signal originates mainly from the topmost few nanometers of the sample. An XPS measurement consists of measuring the intensity of photoelectrons emitted from the sample as*

C16

a function of the electron kinetic energy. Typically, an XPS spectrum presents the photoelectron signal intensity as function of the orbital binding energy and consists of a collection of peaks, each corresponding to a different chemical species or environment. Here, we quantify the spectral peaks in terms of their areas, which are directly proportional to the relative abundances of each species on the surface of the sample. Spectral fitting techniques are employed to obtain accurate results for both binding energies and peak areas.

The second paragraph of section 2.3: “The aim of the spectral fitting procedure is to characterize the measured spectra in terms of peak position and intensity. The position of a given peak gives the binding energy of the core electron for a given element. Changes in the binding energy as well as the width of the fitted peaks—or peak broadening—indicate changes in the chemical environment or physical state of the sampled surface. The area of the peak is directly proportional to the amount of the element being measured. For the analysis here, we determine the elemental composition of particle surfaces as the relative ratios of the core level peak areas. The peak area of the XPS signal depends on a number of factors, including experimental parameters of the incident radiation and electron spectrometer as well as physical and environmental properties affecting the orbital from which the photoelectron originated. If all of these parameters are known, the XPS signal can be used to quantify the amount of species *i*. While these parameters are not always known, comparison of XPS signals is still possible to quantify differences in elemental abundances and chemical states between experimental conditions. Before extracting relative ratios of the peaks, all spectra were normalized to the photoionization cross section (Yeh and Lindau, 1985) of the given core electron. The attenuation of photoelectron intensity due to scattering of the photoelectrons from the water vapor was estimated by using the kinetic theory formulation (Ogletree et al., 2009) and measured electron scattering cross section data (Muñoz et al., 2007). The attenuation must be taken into account, because the fixed excitation energy from the X-ray source leads to significantly

C17

different kinetic energies of the emitted photoelectrons and consequently different mean free paths in the vapor environment.”

3. “2.3 Data Analysis” – the peak fitting is described carefully but it is not clear to me how much the peak shape is derived from first principles and how much is empirical. If the shape of these peaks is not physically meaningful, less emphasis could be placed on justifying the process of fitting. Where there is a meaningful connection between the equation and the data, this could be emphasized.

Yes, the peak shape is derived from first principles. Photoelectrons experience a lifetime broadening effect from the uncertainty principle due to the lifetime of the core-hole created by an incident photon. This broadening is represented by a Lorentzian shape. The peak shape is also affected by measurement uncertainties that are best represented with a Gaussian shape. Together, these two broadening effects are represented with a Voigt function, or the convolution of a Lorentzian and a Gaussian (Jain et al., 2018).

We have clarified these aspects in the revised manuscript and moved some of the more technical discussion of the peak fitting process to section S1 of the supplement.

4. Line 163 – “after calibrating ... as described earlier” – this sentence is not needed, especially at the top of the paragraph

We have removed “as described earlier” from the sentence.

5. Lines 164-165 – parameters like signal-to-noise and error bars on the fits – the omission of which I feel are a major detriment to the paper – should be included in a table somewhere, or in the supplement.

We give uncertainty estimates from the Monte Carlo analysis for the binding energy, peak area, Lorentzian FWHM, Gaussian FWHM, total FWHM, and asymmetry parameter in the tables in section S1 of the supplement. These parameters

C18

give quantification of the quality of the fits. We do not consider that calculating the exact signal-to-noise ratios will provide more information on the fit quality than what can be immediately assessed from the spectra shown in Figs. 1–2 and 5–8.

6. Lines 192-195 and lines 209-211– How does drying the aerosol influence the crystal form significantly? This is one important way in which the aerosol measurement may prove different from the non-aerosolized measurements. In keeping with what I believe is the major shortcoming of this manuscript, this connection between your work and the aerosol in the atmosphere is important to discuss in a location and/or under a heading where the reader can find it easily.

Results of our study show that the aerosol particle samples investigated have more complex morphology than the simple single crystal surfaces previously studied by XPS. Several previous studies have observed that the process of drying an aerosol can indeed affect its crystalline form. For example, studies of NaCl aerosol particles generated from drying of aqueous droplets have inferred a non-crystalline structure with pores or pockets that trap liquid water (Weis and Ewing, 1999; Darr et al., 2014; Braun and Krieger, 2001). This is explained by the presence of liquid water detected below the deliquescence relative humidity but at much higher RH than in our study. Furthermore, the morphology of NaCl particles expressed via the shape factor has been shown to be controlled by the drying rate (Wang et al., 2010). A recent study (Archer et al., 2020) has explained the morphology of particles formed from drying of a colloid as a competition between diffusion of solute in solution versus loss of solvent, with higher solvent loss rate compared to solute diffusivity leading to more complex morphologies. For atmospheric samples, microscopy studies on sea salt particles has shown them to have complex morphologies (e.g. Cheng et al., 1988), similarly to what was found for the laboratory generated aerosol samples in the present study.

Atmospheric aerosols are likely to undergo drying and humidification cycles under a wide range of conditions and thus to exhibit a range of morphologies re-

C19

lated to drying. Our measurements on aerosol particle samples generated from nebulization and subsequent dessication are therefore expected to much more closely represent the morphologies of atmospheric aerosols, compared to the simple uniform morphologies of single-crystal samples.

We have added this discussion to Section 3.1 in the manuscript.

7. Lines 229-235 – the length of these statements could be reduced significantly.

We have tried to simplify and clarify this section. It was not possible to reduce the length significantly at the same time.

“To quantify the attenuation of the photoelectron signal, we use an exponential decay function $I_n = I_n^0 e^{-x/\lambda_n}$, where I_n is the attenuated intensity of peak n , I_n^0 is the corresponding unattenuated intensity, x is depth into the sample from where the signal originates, and λ_n the energy-dependent inelastic mean free path of photoelectrons contributing to peak n . The depth of origin can be expressed as $x = \frac{\lambda_1 \lambda_2}{\lambda_1 - \lambda_2} \ln R$, where $R = \frac{I_1 I_2^0}{I_2 I_1^0}$ is the relative ratio of attenuated and unattenuated signals from two separate peaks $n = 1, 2$. We here use the total integrated peak areas to represent signal intensities. In our experiments, the unattenuated signal ratio (measured without water vapor) for Na 1s and Cl 2p is $I_{\text{Na}}^0 / I_{\text{Cl}}^0 = 0.9$. With this, from the corresponding signal ratios at elevated humidities, the simple attenuation model gives depths of photoelectron origin (or water layer thickness) of approximately 14 and 4 Å for 6.3 and 16% RH, respectively. This is much more than the previous observations of 2.4 Å by Cabrera-Sanfelix et al. (2007) and also counterintuitive as it would mean decreasing layer thickness with increasing RH.”

8. Lines 242-245 – does curvature of the impacted particles resting on the substrate change the signal intensity corresponding to surface adsorption by virtue of the tilted angle of the sides of the particles? This is true of e.g. microscopy studies of impacted particles.

C20

This is an interesting question. Given that we are here studying an ensemble of submicron particles using an X-ray beam with a 10 mm spot size, we do not expect to see an effect from the curvature of individual particles on the measured photoelectron signal intensities in these experiments. Since our analysis is based on peak area ratios, any effect would not impact the conclusions of this work.

9. Lines 261-262 – specifically, how?

We agree this paragraph was poorly formulated. We have rephrased lines 258–62 in the original manuscript to:

“Using XPS on free-flying sub-2 nm CsBr water clusters, Hautala et al. (2017) found that Br⁻ ions were closer to the surface than their counter cations. This supports the interpretation of our present observations that pre-deliquescent water adsorption enhances chloride relative to sodium in the aerosol surface layer. The presence of halide ions, especially Cl⁻ and Br⁻, at the air-water interface has been connected to increased photochemical activity (e.g. George and Abbatt, 2010, and references therein). The mobilization of ions can lead to release of gaseous halogen compounds from sea salt aerosol due to reactions in the aqueous phase (Mozurkewich, 1995; Vogt et al., 1996; Kerminen et al., 1998; Keene et al., 1999). In the atmosphere, formation of solvated halogen ions even at very dry conditions via similar pre-deliquescent adsorption of water onto the surfaces of sea salt aerosol as seen for laboratory generated aerosol in this work could therefore have significant implications for the halogen cycle, including ozone chemistry in the polar regions (Simpson et al., 2007).”

10. Figure 4 – the “COOH:COOH” looks very redundant here.

We are not entirely sure what is meant here. The notation **COOH:COOH** where the oxygen and carbon atoms, respectively, are highlighted in boldface, refers to the ratios of the O 1s to C 1s peak areas from the carboxyl groups of malonic acid. We agree that the boldface emphasis may unfortunately not be easy to

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read, however, we have tried to clarify this point in the caption of Fig. 4 and in the main text of the revised manuscript. We have also taken the opportunity to streamline the legend and trace labels in the figure.

11. Lines 292-294, 301, and 303 – could these statements have come sooner in the section/paragraph?

We have rewritten these paragraphs in accordance with the reviewer’s second major comment.

12. Line 313 – please define “DP1” and “DP2”

We have clarified that these are the decomposition peaks observed by Ferreira Jr. et al. (2017).

“The decomposition peaks DP1 and DP2 observed by Ferreira Jr. et al. (2017) were included in the fit for 0% RH (UHV), where a good fit could not be produced without their inclusion.”

13. Figure 8 – the peaks are very close together. It would be helpful to see a 95% confidence interval of the peak, or similar.

The binding energy uncertainties for each peak are now included in Tables S1–S8 in the supplement.

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