

Secondary ice production in summer clouds over the Antarctic coast: an underappreciated process in atmospheric models

Georgia Sotiropoulou^{1,2}, Étienne Vignon^{3,4}, Gillian Young⁵, Hugh Morrison^{6,7}, Sebastian J.
5 O'Shea⁸, Thomas Lachlan-Cope⁹, Alexis Berne³, Athanasios Nenes^{1,10}

¹Laboratory of Atmospheric Processes and their Impacts (LAPI), Ecole Polytechnique
Fédérale de Lausanne (EPFL), Lausanne, Switzerland

²Department of Meteorology, Stockholm University & Bolin Center for Climate Research,
10 Sweden

³Environmental Remote Sensing Laboratory (LTE), EPFL, Lausanne, Switzerland

⁴Laboratoire de Météorologie Dynamique (LMD), IPSL, Sorbonne Université, CNRS, UMR
8539, Paris, France

⁵School of Earth and Environment, University of Leeds, UK

⁶National Center for Atmospheric Research, Boulder, CO, USA

⁷ARC Centre for Excellence in Climate System Science, University of New South Wales,
Sydney, Australia

⁸Centre for Atmospheric Science, University of Manchester, UK

⁹British Antarctic Survey, Cambridge, UK

¹⁰ICE-HT, Foundation for Research and Technology Hellas (FORTH), Patras, Greece

Correspondence to: georgia.sotiropoulou@epfl.ch, athanasios.nenes@epfl.ch

Abstract

25 The correct representation of Antarctic clouds in atmospheric models is crucial for accurate
projections of the future Antarctic climate. This is particularly true for summer clouds which
play a critical role in the surface melting of the ice-shelves in the vicinity of Weddell Sea. The
pristine atmosphere over the Antarctic coast is characterized by low concentrations of Ice
Nucleating Particles (INPs), which often result in the formation of supercooled liquid clouds.
30 However, when ice formation occurs, the ice crystal number concentrations (ICNCs) are
substantially higher than those predicted by existing primary ice nucleation parameterizations.
The rime-splintering mechanism, thought to be the dominant secondary ice production (SIP)
mechanism at temperatures between -8 and -3°C, is also weak in the Weather and Research
Forecasting model. Including a parameterization for SIP due to break-up (BR) from collisions

35 between ice particles improves ICNC representation in the modeled mixed-phase clouds,
suggesting that BR could account for the enhanced ICNCs often found in Antarctic clouds.
The model results indicate that a minimum concentration of about 0.1 L^{-1} primary ice crystals
is necessary and sufficient to initiate significant break-up, while there is little sensitivity to
increasing INPs. The BR mechanism is currently not represented in most weather prediction
40 and climate models; including this process can have a significant impact on the Antarctic
radiation budget.

1. Introduction

Predictions of Antarctic climate are hampered by the poor representation of mixed-phase
clouds over the Southern Ocean and the Antarctic Seas (Haynes et al., 2011; Flato et al.,
45 2013; Bodas-Salcedo et al., 2014; Hyder et al., 2018). Model simulations reveal significant
discrepancies in the Antarctic surface radiation budget, associated with cloud biases that are
driven by errors in the representation of the cloud microphysical structure (Lawson and
Gettelman, 2014; King et al., 2015; Listowski and Lachlan-Cope, 2017). A correct
representation of the cloud radiative impacts largely depends on the parameterization of cloud
50 microphysical processes (Listowski and Lachlan-Cope, 2017; Hines et al., 2019; Young et al.,
2019) and precipitation (Vignon et al., 2019), which determine the concentration and
characteristics of liquid drops and ice crystals.

Ice crystals form at temperatures above -38°C through heterogeneous nucleation
(Pruppacher and Klett, 1997); this means that the presence of insoluble aerosols that act as ice
55 nucleating particles (INPs) is required. However, Antarctica and Southern Ocean are
relatively clean regions and INPs are sparse (McCluskey et al., 2018; Schmale et al., 2019;
Welti et al., 2020). Thus it is especially surprising that enhanced ice crystal number
concentrations (ICNCs) have been observed in Antarctic clouds (Lachlan-Cope et al., 2016;
O'Shea et al., 2017). Secondary ice processes are believed to magnify ICNCs in polar clouds
60 with important implications for the surface radiative balance (Young et al., 2019), yet the
underlying mechanisms remain highly uncertain (Field et al., 2017).

The only well-established SIP mechanism that has been extensively implemented in
weather forecast and climate models is rime-splintering (Hallett and Mossop, 1974), also
known as the Hallett-Mossop process (H-M), which refers to the production of ice splinters
65 after collisions of supercooled droplets with ice particles (Hallett and Mossop, 1974;
Heymsfield and Mossop, 1984). This process is effective only in a limited temperature range,
between -8 and -3°C , and requires the presence of supercooled liquid droplets both smaller

and larger than 13 μm and 24 μm , respectively (Mossop and Hallett, 1974; Choularton et al., 1980). However, recent studies have shown that H-M cannot sufficiently explain the enhanced ICNCs observed in both Arctic (Sotiropoulou et al., 2020) and Antarctic (Young et al., 2019) clouds. While some Antarctic studies (Vergara-Temprado et al., 2018; Young et al., 2019) suggest that the underestimation of ice multiplication in models might be related to uncertainties in the description of the H-M process, we argue that this is likely driven by the fact that almost no models include other SIP mechanisms.

Another SIP mechanism, identified in recent laboratory studies (Leisner et al., 2014; Lauber et al., 2018), is the generation of ice fragments from shattering of relatively large frozen drops. This process however, while very efficient in convective clouds (Korolev et al., 2019), has been found ineffective in polar regions (Fu et al., 2019; Sotiropoulou et al., 2020). This is in agreement with Lawson et al. (2017) and Sullivan et al. (2018a) who have shown that drop-shattering occurs in clouds with a relatively warm cloud base.

Mechanical break-up (BR) of ice particles that collide with each other is another process that results in ice multiplication (Vardiman, 1978; Takahashi et al., 1995) and it operates over a wide temperature range with maximum efficiency around -15°C . Limited knowledge of the BR mechanism comes from few laboratory experiments (Vardiman, 1978; Takahashi et al., 1995) and small-scale modeling (Fridlind et al., 2007; Yano and Phillips, 2011, 2016; Phillips et al., 2017a,b; Sullivan et al., 2018a; Sotiropoulou et al., 2020). To the authors knowledge only two attempts have been made to incorporate this process in mesoscale models (Sullivan et al., 2018b; Hoarau et al., 2018). Specifically, Hoarau et al. (2018) assumed a constant number of fragments (F_{BR}) generated per snow-graupel collision in Meso-NH model, while Sullivan et al. (2018b) implemented a temperature-dependent relationship for F_{BR} in COSMO-ART for several types of collisions (e.g. crystal-graupel, graupel-hail, etc), based on the results of Takahashi et al. (1995). Phillips et al. (2017a) recently developed a physically-based description of F_{BR} , which is a function of collisional kinetic energy and accounts for the effect of the colliding particles' size and rimed fraction (Ψ). While being more advanced than any other parameterization proposed for BR, this scheme has never been implemented in mesoscale models before; it has only been tested in small-scale models for convective clouds (Phillips et al., 2017b; Qu et al., 2020) and Arctic stratocumulus (Sotiropoulou et al., 2020).

Sotiropoulou et al. (2020) recently showed that the observed ICNCs in Arctic clouds within the H-M temperature zone can be explained only by the combination of BR with the H-M process, which results in a 10 to 20-fold enhancement of the primary ice crystals. Based

on their results, we postulate that BR may also play a critical role in Antarctic clouds and can potentially explain the discrepancy between the observed and modeled ICNCs in the region (Young et al., 2019). To test this hypothesis, we implement parameterizations of the BR process in the Morrison microphysics scheme (Morrison et al., 2005) (hereafter M05) in the Weather and Research Forecasting (WRF) model V4.0.1 and examine their influence on the Antarctic clouds observed during the Microphysics of Antarctic Clouds (MAC) field campaign (O'Shea et al., 2017; Young et al., 2019).

2. Observations

2.1. MAC Instrumentation

The MAC field campaign was conducted in November–December 2015 over coastal Antarctica and the Weddell Sea, with the aim to offer detailed measurements of the microphysical and aerosol properties of the coastal Antarctic atmosphere. MAC included an extensive suite of airborne and ground-based instruments, a detailed description of which can be found in O'Shea et al. (2017). Here we only offer a brief recap of the instrumentation used in this study.

Cloud particle size distributions were derived using the images from a 2D Stereo (2DS, SPEC Inc., USA; Lawson et al., 2006) probe with a nominal size range from 10 to 1280 μm (10 μm pixel resolution). Shattering effects at the probes' inlet were corrected by applying “antishatter” tips (Korolev et al., 2011) and inter-arrival time (IAT) post analysis (Crosier et al., 2011). The 2DS is a single particle instrument, measuring all particles that pass through its sample volume, which depends on particle size and the data integration period. For example, at 300 μm , 1 count measured using over a 1-sec averaging window equals to a concentration of 0.27 L^{-1} ; the uncertainty due to counting statistics is 100%. Total uncertainty is even higher but cannot be quantified.

Aerosol particle measurements of sizes 0.25 to 32 μm were made using the Grimm optical particle counter (GRIMM model 1.109), while a Cloud Aerosol Spectrometer (CAS, DMT; Baumgardner et al., 2001; Glen and Brooks, 2013) measured particles between 0.6 to 50 μm . Following the methodology of Young et al. (2019) and O'Shea et al. (2017), we only consider Grimm measurements of particles with diameter smaller than 1.6 μm in our analysis to avoid including data subject to inlet losses at larger particle sizes. Finally, the aircraft also included instrumentation to measure temperature, turbulence, humidity, radiation and surface temperature (King et al., 2008).

2.2 Case study

For our investigations we focus on the MAC case examined in Young et al. (2019), for which they showed that the H-M process, as currently parameterized in WRF, cannot explain the observed ICNCs. Young et al. (2019) utilized measurements from two MAC flights, M218 and M219, combined in one case study; both flights were conducted on 27 November 2015 over the Weddell Sea (Fig. 1): M218 between 15.3-16.7 UTC and M219 between 20.45-22.5 UTC. On that day, a low pressure system persisted over the eastern Weddell Sea, resulting in a southeasterly flow reaching the aircraft with air mass back trajectories from around the low pressure system, towards the Antarctic Peninsula and southern Patagonia (O'Shea et al., 2017).

The temperature and microphysical conditions encountered during these flights are representative of the MAC campaign (see Table 1 in O'Shea et al., 2017, and Fig. S6 in Young et al., 2019). Cloud measurements were collected mainly within the lowest 1.1 km above sea-level (a.s.l.) during both flights and within a temperature range of ~ -9 to -3°C . The sampled stratocumulus clouds were dominated by supercooled liquid drops, while ice formation occurred in isolated ice patches characterized by substantially enhanced ICNCs; the mean (max) ICNCs in these cloud regions were 1.16 (9.03) L^{-1} and 3.33 (87.31) L^{-1} for M218 and M219, respectively. The mean concentration of aerosols with sizes between 0.5 - $1.6 \mu\text{m}$ was 0.56 scm^{-3} and 0.41 scm^{-3} (cm^{-3} at standard temperature and pressure) for the two flights. Such low aerosol conditions and concurrent high ICNC concentrations within this temperature range are frequently found in West Antarctic Peninsula (Lachlan-Cope et al., 2016). Moreover, similar cloud droplet concentrations (N_{drop}) were measured during both flights (Young et al., 2019): the mean N_{drop} was 82.7 cm^{-3} for M218 and 100.4 cm^{-3} for M219, which are comparable with previous observations from the Antarctic Peninsula (Lachlan-Cope et al., 2016).

3. Modeling Methods

3.1. Model set-up

This study is conducted with the WRF model (Skamarock et al., 2008), version 4.0.1, by applying the same model set-up as in Young et al. (2019). Two domains with a respective horizontal resolution of 5 km and 1 km are used, where the inner one is two-way nested to the parent domain (Fig. 1). The polar stereographic projection is applied. The outer domain is

170 centered at 74.2°N, 30°E and includes 201×201 grid points, while the second domain consists of 326×406 grids. Both domains have a high vertical resolution with 70 eta levels, 25 of which correspond to lowest 2 km of the atmosphere. The model top is set to 50 hPa. The simulation period spans from 26 to 28 November 2014, 00:00 UTC, allowing for a 24-hour spin up period before the day of interest (27 November). The model timestep is set to 30 (6)
175 sec for the outer (inner) domain, while output data are produced every 30 minutes.

Input data for the initial, lateral and boundary conditions for the simulations are obtained from the European Centre for Medium-Range Weather Forecasting reanalysis (Dee et al., 2011), as recommended by Bromwich et al. (2013). For both shortwave and longwave radiation components, the RRTMG radiation scheme (Rapid Radiative Transfer Model for
180 GCMs) is applied. The Mellor-Yamada-Nakanishi-Niino (MYNN; Nakanishi and Niino, 2006) 2.5-level closure planetary boundary layer (PBL) and surface options are also implemented, in combination with the Noah Land Surface Model (Noah LSM; Chen and Dudhia, 2001), which includes a simplified thermodynamic sea-ice model. Given the short run length, time-varying sea ice concentrations are not utilized. Young et al. (2019) used the
185 Polar WRF V3.6.1 to represent fractional sea-ice, a capability not available in standard WRF V3.6. However, this option has been made available in the more recent V4.0.1 that we use in this study. Following Young et al. (2019), the sea-ice albedo is set to 0.82, with a default thickness of 3 m, and snow accumulation depth on sea ice is allowed to vary between 0.001 m and 1.0 m.

190 A so-called ‘cumulus parameterization’ for shallow-convection subgrid processes is not activated in both domains to ensure all cloud processes are represented by the grid-scale microphysics scheme. Note that 5 km is a general upper limit for a convection-resolving resolution (Klemp, 2006; Prein et al., 2015). Cloud microphysics are parameterized following Morrison et al. (2005), hereafter M05. M05 performs well in reproducing Antarctic clouds,
195 resulting in improved representation of the liquid phase and thus the cloud radiative effects compared to less advanced microphysical schemes (Listowski and Lachlan-Cope, 2017; Hines et al., 2019). This bulk microphysics scheme predicts mixing ratios and number concentrations for cloud ice, rain, snow and graupel species. While the mass mixing ratio of cloud water is a prognostic variable, N_{drop} is constant parameter. The default value of the
200 scheme is 200 cm^{-3} ; here N_{drop} is set to 92 cm^{-3} , which is the mean value of M218 and M219 flight measurements (see Section 2.2).

3.2 Sensitivity Simulations

A detailed description of the ice formation processes in M05 and the implemented BR parameterizations is offered in Appendix A and B, respectively. We assume that collisions that include at least one large particle (thus ice-snow, ice-graupel and graupel-snow, snow-snow and graupel-graupel) result in ice multiplication; contribution from collisions between small ice particles (cloud ice) are neglected. In addition to the control (CNTRL) simulation, which corresponds to the default set-up of M05 and accounts only for H-M, we perform seven sensitivity simulations with varying description of F_{BR} . We also perform an additional simulation as in CNTRL except with no H-M, and thus no SIP at all, which is referred as NOSIP in the text.

In two sensitivity simulations with active break-up we assume, as in Hoarau et al. (2018), a constant number of fragments generated per collision. This number is constrained by in-situ measurements from the Arctic (Schwarzenboeck et al., 2009) which indicated that one-branch ice-crystals are more common in polar clouds, resulting in ejection of a single fragment after collision with another ice particle. However, this analysis (Schwarzenboeck et al., 2009) included only dendritic crystals with size larger than 300 μm . Based on these results we perform two simulations: FRAG1 assumes all collision types generate one fragment without any size restrictions, while FRAG1siz allows for ice multiplication only if the particle that undergoes fragmentation is larger than 300 μm . Note that because cloud ice with characteristic diameter larger than 250 μm is converted to snow in the M05 scheme, collisions that include cloud ice are assumed to not result in any multiplication in FRAG1siz.

The standard temperature-dependent formula of Takahashi et al. (1995) for F_{BR} , applied in Sullivan et al. (2018b), is tested here in the TAKAH simulation. However, Takahashi et al. (1995) used 2-cm hailballs in their experiments, which is an unrealistic set-up. For this reason we perform an additional simulation, TAKAHsc, in which this relationship is further scaled with size (see Appendix B).

Finally, the Phillips parameterization is implemented in three simulations with varying rimed fraction (Ψ) for the cloud ice/snow particles that undergo fragmentation; Ψ is not predicted in most bulk microphysics scheme, including M05, and thus it is prescribed as a constant. Note that F_{BR} is a function of Ψ only for the ice crystals or snowflakes that undergo break-up, but not for graupel (Appendix B). Graupel is assumed to have $\Psi \geq 0.5$, while the other ice types are characterized by lower rimed fraction. For this reason, we will consider values of Ψ for cloud ice and snow between 0.2 (lightly rimed) and 0.4 (heavily rimed) (Phillips et al., 2017a, b). These simulations are referred as PHIL0.2, PHIL0.3 and PHIL0.4 in the text, where the number indicates the assumed values of Ψ for cloud ice and snow.

4. Results

4.1 BR effect on microphysical properties

In Fig. 2a the modeled total ice number concentrations (cloud ice + snow + graupel, N_{isg}) derived for the region encompassing the 2 MAC flights (Fig. 1) are compared with measurements derived from the 2D Stereo (2DS) probe (see Section 2.1 for details). ICNCs in Fig. 2 are interpolated to match the time resolution of the temperature measurements. Then cloud ice statistics are calculated for $N_{isg} > 0.005 \text{ L}^{-1}$, an indicator for the presence of an ice patch (O'Shea et al., 2017; Young et al., 2019). Moreover, since 2DS cannot resolve the shape (thus cloud phase) of particles smaller than $80 \mu\text{m}$, only modeled ice particles with sizes larger than this threshold are considered in Fig. 2, like in Young et al. (2019). While mean and maximum statistics are discussed below, additional statistical metrics (e.g. median and interquartile range) are shown in Fig. S1 (Text S1).

The mean observed N_{isg} for the whole MAC campaign generally fluctuates between $0.5\text{--}4.5 \text{ L}^{-1}$. The variation in N_{isg} with temperature is somewhat larger for our case study (November 27), as maximum mean concentration goes up to $\sim 6.4 \text{ L}^{-1}$ at $T = -6.5^\circ\text{C}$. Consistently lower concentrations are observed for temperatures $\leq -7^\circ\text{C}$, but the temperature statistics are poor for this temperature range as very few observations are available (Fig. 2a). The CNTRL simulation consistently underestimates the mean observations, producing mean $N_{isg} \sim 0.1 \text{ L}^{-1}$ over the examined temperature range (Fig. 2a). NOSIP produces similar results to CNTRL, suggesting that the H-M process included in default M05 (CNTRL) is hardly effective at all.

PHIL0.2 and PHIL0.3 also produce similar mean ICNCs to CNTRL (Fig. 2a, b), suggesting that lightly to moderately rimed ice particles do not contribute to ice multiplication through collisional break-up. The higher rimed fraction in PHIL0.4 results in very good agreement with mean observations (Fig. 2a), especially over the whole MAC campaign. FRAG1siz also agrees well with mean observations, but when the size restrictions are ignored (FRAG1) the model gives substantial ICNC overestimation. TAKAH simulation also produces unrealistically high mean N_{isg} , while TAKAHsc is in closer agreement with observations. The largest deviations from observations for TAKAHsc are observed for temperatures below -7°C , where no good measurement statistics are available (see discussion above).

Overall, CNTRL, PHIL0.2 and PHIL0.3 cannot reproduce the observed spectrum (Fig. 2b) and substantially underestimate the frequency of ICNCs larger than 1 L^{-1} . PHIL0.4,

FRAG1siz and TAKAHsc, however, can successfully reproduce the observed range of values (Fig. 2b), but the relative frequency remains somewhat underestimated. FRAG1 is in closest agreement with the observed spectrum, while TAKAH often overestimates the relative frequency (Fig. 2b). Maximum ICNCs in FRAG1 and TAKAH are 6403 and 2600 L⁻¹, respectively, which are about 70 and 30 times larger than the observed maximum value: 88 L⁻¹. This suggests that BR parameterizations that do not account for the impact of size are rather unrealistic. The maximum ICNCs in PHIL0.4, FRAG1siz and TAKAHsc are 174 L⁻¹, 150 L⁻¹ and 173 L⁻¹, which agree to within a factor of two with observations, while they are substantially underestimated in CNTRL (7.8 L⁻¹), PHIL0.2 (4.7 L⁻¹) and PHIL0.3 (5.2 L⁻¹).

Vertical distributions of cloud ice (N_i), graupel (N_g), snow (N_s) and total ICNC (N_{isg}) number concentration are examined in Fig. 3(a-d) for all simulations except those that produce unrealistically large concentrations (FRAG1 and TAKAH). The observed ICNCs are also shown in Fig. 3a and 3c. For consistency with M05, which converts all cloud ice particles with characteristic diameters larger than 250 μm to snow, the same threshold is adopted for splitting the observational dataset in these two ice categories. Graupel concentrations cannot be distinguished in the measurements (hence no ‘Nov 27’ profile in Fig. 3b); however, the model simulations that are in better agreement with observations (Fig. 2) suggest that these are negligible compared to cloud ice/snow concentrations. Graupel concentrations in Fig. 3b are shown for the whole size spectrum. In contrast, cloud ice (Fig. 3a), snow (Fig. 3c) and total ICNCs (Fig. 3d) include only particles with size larger than 80 μm for consistency with the observations shown in the same panel.

PHIL0.2 and PHIL0.3 produce slightly larger N_i (Fig. 3a) than CNTRL, but reduced N_g (Fig. 3b) values and similar or reduced N_s (Fig. 3c); these mean N_i and N_s profiles are orders of magnitude lower than the observed values. PHIL0.4, FRAG1siz and TAKAHsc produce similar N_i to the observations (Fig. 3a), while N_s is slightly underestimated (Fig. 3c). FRAG1siz is in somewhat better agreement with N_i observations than the other two simulations, especially at heights above 750 m a.s.l (Fig. 3a); this is also reflected in total ICNCs (Fig. 3d). Activating BR generally results in reduction of N_g (Fig. 3b). This decrease is larger than one order of magnitude in the three best performing simulations, compared to CNTRL, however we cannot assess which of these graupel profiles better represents reality. Nevertheless, we can overall conclude that PHIL0.4, FRAG1siz and TAKAHsc result in improved agreement of the vertical distribution of total ICNCs with observations compared to the rest of the simulations (Fig. 3d), including the default set-up of M05. Moreover, cloud ice concentrations (Fig. 3a) are comparable to snow concentrations (Fig. 3c) in these three

simulations, in agreement with observations. In contrast, simulations with deactivated or negligible BR result in substantially larger number of snow than cloud ice particles. This indicates that BR shifts the ice particle spectra to smaller sizes, which results in a more realistic representation of the ice microphysical characteristics.

The simulated liquid water content (LWC) is compared with CAS observations in Fig. 4. All simulations, except TAKAH, produce similar or slightly overestimated mean LWC at temperatures $\leq -3.5^{\circ}\text{C}$; at -3°C the mean observed values are higher (Fig. 4a). An overestimation of LWC in these runs is more evident in Fig. 4b; the observed spectrum does not include values larger than 0.5 g m^{-2} , while the simulated spectra are wider. An exception to this is TAKAH simulation, which underestimates mean LWCs and glaciates clouds at temperatures below -7°C (Fig. 4a), while it produces a narrower LWC spectrum compared to the observed (Fig. 4b). Apart from TAKAH, the remaining simulations produce similar liquid water properties with minor improvements in the runs with reduced LWC values, e.g. in FRAG1 (Fig. 4a). Nevertheless, while the produced range of LWC values in FRAG1 is somewhat closer to the observed, it still underestimates the relative frequency for most of the observed spectrum (Fig. 4b).

4.2 BR effect on surface radiation

To examine how deviations in ICNCs affect climate, mean radiative fluxes at the surface and at the top of the atmosphere (TOA) for all model simulations are presented in Table 1. Note that mass mixing ratio fields for all cloud species are provided from the microphysics to the radiation scheme, but no information on droplet and ice effective radius is exchanged.

Increasing BR multiplication has a pronounced impact on shortwave radiation, as it results in decreasing sunlight reflection and thus increasing downward surface radiation (SWD_{SFC}). Upward surface radiation (SWU_{SFC}) is a function of SWD and thus exhibits similar behaviour. This is due to the fact that increased BR efficiency (Fig. 2) results in decreased liquid water path (LWP) and cloud albedo. The difference between CNTRL and the simulations that improve ICNC representation (PHIL0.4, FRAG1siz and TAKAHsc) fluctuates between $11.9\text{--}25.7 \text{ W m}^{-2}$ for SWD_{SFC} and $6.7\text{--}12.4 \text{ W m}^{-2}$ for SWU_{SFC} (Table 1).

Cloud longwave radiative effects are mainly determined by cloud liquid properties, since liquid water is more opaque to longwave radiation than ice particles. However, no substantial differences in mean LWP are indicated for CNTRL (40.1 g m^{-2}), PHIL0.2 (33.2 g m^{-2}), PHIL0.3 (40.2 g m^{-2}), PHIL0.4 (29.1 g m^{-2}) and FRAG1siz (30.1 g m^{-2}), since LWP values fall within the black body emission range (Stephens, 1978). Optically thinner clouds

are produced in TAKAHsc (23.1 g m^{-2}), and especially in FRAG1 (8.2 g m^{-2}) and TAKAH (3.2 g m^{-2}) runs. Note that most simulations, including CNTRL, produce wider LWC spectra than the observed by overestimating cloud liquid (Fig. 4b). Generally, decreasing liquid content is in better agreement with observations (see section 4.1), suggesting that including the BR process in M05 likely shifts the simulated LWPs towards more realistic values. However, excessive ice multiplication, as in TAKAH, results in unrealistic liquid properties (Fig. 4a) and thus errors in surface radiation.

Pronounced reduction in (LWD_{SFC}) is only found for the simulations FRAG1siz, FRAG1 and TAKAHsc, which have a mean LWP well below 30 g m^{-2} , the lowest limit of the black body emission range (Stephens, 1978). In all other simulations, the reduction in cloud liquid due to BR is not large enough to alter the cloud emissivity significantly. The upward longwave component (LWU_{SFC}) is only slightly affected in all simulations ($< \sim 1.3 \text{ W m}^{-2}$). Young et al. (2019) showed that underestimation of ICNCs results in significant positive and negative biases in the surface Cloud Radiative Forcing (CRF) over the coastal areas; our results agree with their findings, as CRF biases vary between -78 W m^{-2} and $+86 \text{ W m}^{-2}$ for the most realistic simulations (Fig. S2, Text S2). Furthermore, the difference between CNTRL and the realistic simulations in upward radiation flux at TOA (Table 1) is also more pronounced for the shortwave component (SWU_{TOA}), fluctuating between $4.7\text{-}9.2 \text{ W m}^{-2}$, and less significant for LWU_{TOA} ($1.4\text{-}3.6 \text{ W m}^{-2}$). Ultimately, both surface and TOA radiation results indicate that a correct representation of SIP in the atmospheric models is critical for the projection of the energy budget and thus for the future Antarctic climate.

4.3 Sensitivity to uncertainties in H-M description

To investigate the interactions between BR and H-M, we compare simulations in which the H-M efficiency is either enhanced or turned off. Young et al. (2019) remove all liquid thresholds from the H-M description, allowing for the process to become active over the whole droplet spectrum. However, this change resulted in very weak ICNC enhancement in their simulations. Here, we further remove all graupel/snow thresholds from H-M description (Appendix A), which implies that there no size restrictions for the initiation of the process. This modification is applied to CNTRL, PHIL0.3 and PHIL0.4 set-up, resulting in three additional sensitivity tests: CNTRL_NOTHRES, PHIL0.3_NOTHRES and PHIL0.4_NOTHRES, respectively. Furthermore, in addition to NOSIP which corresponds to CNTRL set-up but without H-M, another two simulations are performed with BR active but H-M completely deactivated: PHIL0.3_NOHM and PHIL0.4_NOHM.

Mean ICNCs in CNTRL_NOTHRES are enhanced by on average a factor of three compared to CNTRL (Fig. 5a). However this simulation underestimates concentrations at temperatures larger than -7°C ; the mean observed value at this range is 2 L^{-1} , while the simulated mean is 0.3 L^{-1} . Good agreement between CNTRL_NOTHRES and observations is only achieved at temperatures $< -7^{\circ}\text{C}$, where statistical metrics for the two MAC cases are poor (see Section 4.1). While PHIL0.3 did not result in any substantial multiplication, mean ICNCs in PHIL0.3_NOTHRES are 5 times larger. The difference between PHIL0.4 and PHIL0.4_NOTHRES is generally small for temperature warmer than -7°C , not exceeding a factor of two, but it becomes substantially larger at colder temperatures. However, while the 95th percentiles for CNTRL_NOTHRES and PHIL0.3_NOTHRES are similar and more comparable to observations, PHIL0.4_NOTHRES produces values larger than 10 L^{-1} at all temperatures considered (Fig. 5b).

Excluding the temperature range ($< -7^{\circ}\text{C}$) that does not include substantial number of measurements to evaluate model results, mean ICNC observations generally lay between PHIL0.3_NOTHRES and PHIL0.4_NOTHRES in this set of simulations, while CNTRL_NOTHRES produces somewhat lower values (Fig. 5a). However, this set-up overestimates H-M efficiency, as it doesn't include any size limitations, which is not consistent with current knowledge on the H-M mechanism derived from laboratory studies (Hallet and Mossop, 1974; Choularton et al., 1980). Nevertheless, the adapted thresholds are ad-hoc, tuned for different conditions; these should be refined to get a more realistic H-M effect in polar clouds.

Deactivating H-M completely does not substantially impact the results. This further confirms the fact that the prescribed ad-hoc thresholds prevent the initiation of the process in the studied conditions. Furthermore, it indicates that BR mechanism can explain the observed ICNCs independently of whether H-M is active or not.

4.4 Sensitivity to uncertainties in primary ice formation

None of the utilized primary ice nucleation parameterizations are likely representative for the pristine conditions encountered over the high-latitude Southern Ocean, thus it is likely that primary ice formation is overestimated in this case. To examine how the uncertainty in parameterizations for primary ice affects SIP efficiency, we perform two sets of simulations by dividing or multiplying the efficiency of all primary ice production mechanisms (immersion freezing, contact freezing and deposition/condensation-freezing nucleation) by a factor of 10. Specifically, the first set with diminished ice nucleation includes

CNTRL_INP0.1, PHIL0.3_INP0.1 and PHIL0.4_INP0.1, while the second set with enhanced nucleation consists of CNTRL_INP10, PHIL0.3_INP10 and PHIL0.4_INP10.

Decreasing primary ice production by a factor of 10 inhibits BR multiplication (Fig. 6). Note that while maximum N_{isg} in PHIL0.3_INP0.1 and PHIL0.4_INP0.1 is 8.8 L^{-1} and 11 L^{-1} respectively, these simulations produce lower mean values (Fig. 6a) and N_{isg95} (Fig. 6b) than CNTRL_INP0.1 with maximum N_{isg} 5.7 L^{-1} . This is partly because a larger number of values in CNTRL_INP0.1 fall below the 0.005 L^{-1} threshold and are not included in the presented mean statistics. Nevertheless, it is clear that the fewer primary ice crystals in all these sensitivity tests result in decreased frequency of ice-ice particle collisions, which is not sufficient to initiate significant BR multiplication.

Increasing primary ice production by an order of magnitude in CNTRL_INP10 still results in underestimated ice concentrations than observed, providing additional evidence for the significant role of SIP in these conditions. The increased concentration of primary ice crystals enhances BR efficiency in PHIL0.3_INP10 compared to PHIL0.3, however the produced mean concentrations still are lower than the observed; N_{isg95} in PHIL0.3_INP10 only slightly exceeds unity (Fig. 6b). In contrast, PHIL0.4_INP10 produces similar mean ICNCs with PHIL0.4. N_{isg95} is also similar at warmer temperatures between two simulations, while larger deviations are observed at temperatures $\leq -7^\circ\text{C}$ (Fig. 6b). This suggests that increasing concentrations of available ice particles tend to decrease the efficiency of BR mechanism, hence possible overestimations in primary ice production are likely offset by decreasing production of secondary ice.

In summary, the above results indicate that BR cannot be initiated when the available primary ice concentrations are substantially lower than 0.1 L^{-1} , which is the mean primary ICNCs produced in NOSIP simulation (Fig. 2a). Yet, INPs over Southern Ocean are often substantially lower (McCluskey et al., 2018; Schmale et al., 2019; Welte et al., 2020). Ice seeding from clouds above the boundary layer was suggested by Young et al. (2019) as a key contributor to the primary ICNC levels for the studied case (see their Supporting Information). Another process that can likely result in optimal conditions for BR to be initiated is aerosol transport from the Antarctic continent, where terrestrial INPs are expected to be higher (Vergara-Temprado et al., 2018). Moreover, a combination of these processes and the H-M mechanism, whose efficiency is substantially restricted in the current version of M05, might also provide the necessary concentrations to initiate BR; this was also the case for Arctic stratocumulus conditions in Sotiropoulou et al. (2020). Understanding these interactions between different processes in the Antarctic region would likely provide insights

to the conditions that favor the development of isolated ice patches with substantially high ICNCs within predominantly supercooled liquid clouds. In higher INP conditions, which are likely less representative of the coastal Antarctic climate, the sensitivity of BR parameterization is expected to be lower.

5. Conclusions

Our results indicate that collisional break-up of ice crystals can explain observations of enhanced ICNCs in coastal Antarctic clouds, but this process requires the presence of $\sim 0.1 \text{ L}^{-1}$ primary ice crystals (as produced in NOSIP simulation) for initiation. This likely is a key threshold that can lead the development of isolated ice patches with enhanced ICNCs in predominantly supercooled liquid clouds (Grosvenor et al., 2012; O'Shea et al., 2017). Over the Southern Ocean, when INPs are generally sparse (McCluskey et al., 2018; Schmale et al., 2019; Welti et al., 2020), such conditions could likely be achieved through ice seeding (as likely happens in the examined case) or through INP transport from the Antarctic continent, where INP concentrations are predicted to be higher (Vergara-Temprado et al., 2018). These points remain for future confirmation.

Although BR has been observed in polar conditions before (Rangno and Hobbs, 2001; Schwarzenboeck et al., 2009), this mechanism is currently not implemented in most weather prediction and climate models. The more advanced Phillips et al. (2017a) parameterization produces realistic ICNCs in Antarctic clouds as long as a high rimed fraction is prescribed for the particles that undergo fracture, in agreement with Sotiropoulou et al. (2020). This indicates that our conclusions may not hold for winter clouds in the region, which contain less supercooled liquid water (Listowski et al., 2019) and are less prone to riming. However, for the studied case, a comparison of vapor deposition rates with riming rates (which include mass changes due to collisions with droplets/raindrops and due to contact/immersion freezing) for CNTRL simulation indicate that these two are on average comparable for cloud ice, while riming rates are substantially larger than vapor deposition rates for snow (not shown). These results suggest that prescribing a high rimed fraction for cloud ice and snow in M05 is not unreasonable; nevertheless Ψ in reality is highly variable for different temperature and microphysical conditions. More simplified parameterizations also produce improved results as long as the impact of the dependence of F_{BR} on the ice particle size is accounted for.

The very few existing BR descriptions in mesoscale models either do not account for size limitations (Sullivan et al., 2018b) or do not account for all collision types (Hoarau et al., 2018), which limits their realism. Increasing ICNCs from BR alters significantly the radiative

effects of summer mixed-phase Antarctic clouds; these clouds play a critical role in the surface melting of ice-shelves in the vicinity of Weddell Sea (Gilbert et al., 2020) and thus their accurate microphysical representation in models is of great importance.

480 **Appendix A: Ice formation processes in M05 scheme**

The standard M05 scheme includes three primary ice production mechanisms through heterogeneous nucleation (immersion freezing, contact freezing and deposition/condensation-freezing nucleation), and one SIP process (H-M).

Immersion freezing of cloud droplets and rain is based on the work of Bigg (1953).

485 This mechanism is active below -4°C and produces a raindrop freezing rate that depends on the degree of supercooling and the number concentration and volume of supercooled drops. The Meyers et al. (1992) description is used for contact freezing, also active below -4°C . The effective diffusivity of the contact nuclei to the drops are estimated from Brownian motion similar to Young (1974): $D_{ap} = R T (6 \pi r_i N_A m)^{-1} [1 + 0.0737 T (2880P)^{-1} r_i^{-1}]$, where R is the
490 universal gas constant, N_A is Avogadro's number, m is the dynamic viscosity of air, T is the temperature, P is the air pressure, and the radius of ice nuclei r_i is assumed to be 1×10^{-7} m. The factor in the brackets $[]$ is a correction factor accounting for the mean free path of air molecules relative to the size of the ice nuclei (all units are MKS).

The default parameterization for deposition/condensation-freezing ice nucleation in
495 M05 is from Cooper (1986), which depends only on temperature and is active below -8°C in liquid saturated conditions or when ice supersaturation exceeds 8%. However, the aerosol-aware DeMott et al. (2010) parameterization for heterogeneous nucleation has been shown to compare better with in-cloud ice measurements over the Antarctic Peninsula than Cooper (Listowski and Lachlan-Cope, 2017), although none of these schemes is likely accurate as
500 they have not been developed for such pristine conditions. Nevertheless, both Cooper and DeMott parameterizations produce similar primary ice concentrations over the temperature range covered by the observations, but Cooper predicts more primary ice at lower temperatures ($<13^{\circ}\text{C}$), which might affect the representation of higher-altitude clouds (see Supporting Information in Young et al. 2019). For this reason, we apply the DeMott
505 description in our simulations, where the mean aerosol concentration of particles with sizes between $0.5\text{-}1.6\text{ }\mu\text{m}$ for the two flights (0.49 scm^{-3}) is used as input (Young et al., 2019). Uncertainty to this formulation is investigated through a number of sensitivity tests (section 4.4).

The H-M parameterization, adapted from Reisner et al. (1998), is based on the

laboratory experiments conducted by Hallett and Mossop (1974), who found a maximum of ~350 splinters per milligram of rime generated around -5°C:

$$\frac{dN_{iHM}}{dt} = \rho \cdot 3.5 \cdot 10^8 f(T) \frac{dm_{rime}}{dt} \quad (1)$$

where dN_{iHM}/dt is the number of new fragments produced at a given timestep, $f(T)$ is the temperature-dependent efficiency of the process, ρ is the air density, and dm_{rime}/dt is the mass production rate of rime on snow or graupel due to accretion of cloud and rain drops. $f(T)$ is 0 for $T < -8^\circ\text{C}$ and $T > -3^\circ\text{C}$, 1 for $T = -5^\circ\text{C}$, and increases linearly between these two extremes for $T \geq -8^\circ\text{C}$ and $T \leq -3^\circ\text{C}$.

Furthermore, for H-M to become activated in M05, two conditions must be met: (a) snow (or graupel) mass mixing ratios must be greater than 0.1 g kg^{-1} and (b) cloud liquid (or rain) water mass mixing ratios should be greater than 0.5 (or 0.1) g kg^{-1} . To achieve a good agreement between modeled and observed ICNCs for the simulated case, Young et al. (2019) had to remove condition (b) and multiply the H-M efficiency by a factor of 10.

Appendix B: Parameterizing collisional break-up in M05

There are three types of ice particles considered in the M05 scheme: small (cloud) ice, snow, and graupel. Ice multiplication is allowed after cloud ice-snow, cloud ice-graupel, graupel-snow, snow-snow and graupel-graupel collisions. The standard M05 scheme includes a description for collisions between cloud ice and snow to represent the accretion process, following the “continuous collection” approach:

$$\frac{dN_{iAC}}{dt} = \frac{\pi}{4} \rho E_{col} \Gamma(b_s + 3) a_s \frac{N_i N_{0s}}{\lambda_s^{(b_s+3)}} \quad (2)$$

dN_{iAC}/dt is the rate of ice crystal number concentration collected by snow. N_{0s} and λ_s are the intercept and slope parameters of the snow size distribution, represented by an inverse exponential function, and Γ is the Euler gamma function. a_s and b_s are the characteristic parameters for snow in the fallspeed-diameter relationship (Morrison et al., 2005); a_s includes a density correction factor (Heymsfield et al., 2007). Note that the diameter (d_i) and terminal velocity (u_i) of cloud ice particles are considered much smaller than those of snow: $d_i < d_s$ and $u_i < u_s$, so that they are neglected in Eq. (2). E_{col} is the collection (sticking) efficiency between ice particles, set to 0.1; hence, it is assumed that only 10% of cloud ice particles that collide with snow are actually collected. We assume the remaining 90% of collisions result in ice particle break-up, hence the following relationship gives the rate of cloud ice-snow collisions that contribute to ice multiplication:

$$\frac{dN_{i_s}}{dt} = \frac{\pi}{4} \rho (1 - E_{col}) \Gamma(b_s + 3) a_s \frac{N_i N_{0s}}{\lambda_s^{(b_s+3)}} \quad (3)$$

In the default M05, collisions between cloud ice and graupel particles are neglected as it is assumed that the collection efficiency of such collisions is negligible. To represent cloud ice-graupel collisions for ice multiplication, we use Eq. (3), but the size distribution and fallspeed parameters of snow are replaced by those for graupel. Moreover, since cloud ice is not collected by graupel particles, we assume that 100% of these collisions result in cloud ice break-up:

$$\frac{dN_{i_g}}{dt} = \frac{\pi}{4} \rho \Gamma(b_g + 3) a_g \frac{N_i N_{0g}}{\lambda_g^{(b_g+3)}} \quad (4)$$

In the default M05 scheme, collisions between snow and graupel are also neglected because it is assumed that the collection efficiency for such collisions is negligible. For this study, graupel-snow collisions are treated using expressions similar to those for raindrop-snow collisions in M05. These are adapted from Ikawa and Saito (1991) and represent collisions between relatively large precipitation-sized particles:

$$\frac{dQ_{isg}}{dt} = \pi^2 \rho_s \rho \left| \Delta u_{m_{sg}} \right| \frac{N_{0s} N_{0g}}{\lambda_s^3} \left(\frac{5}{\lambda_s^3 \lambda_g} + \frac{2}{\lambda_s^2 \lambda_g^2} + \frac{0.5}{\lambda_s \lambda_g^3} \right) \quad (5)$$

$$\frac{dN_{isg}}{dt} = \frac{\pi}{2} \rho \left| \Delta u_{n_{sg}} \right| N_{0s} N_{0g} \left(\frac{1}{\lambda_s^3 \lambda_g} + \frac{1}{\lambda_s^2 \lambda_g^2} + \frac{1}{\lambda_s \lambda_g^3} \right) \quad (6)$$

$$\text{where } \left| \Delta u_{m_{sg}} \right| = \left((1.2u_{ms} - 0.95u_{mg})^2 + 0.08u_{mg}u_{ms} \right)^{1/2} \quad (7)$$

$$\text{and } \left| \Delta u_{n_{sg}} \right| = \left((1.7u_{ns} - u_{ng})^2 + 0.3u_{ng}u_{ns} \right)^{1/2} \quad (8)$$

dQ_{isg}/dt and dN_{isg}/dt represent the bulk rates that snow mass and number concentration collide with graupel and contribute to ice multiplication through fragmentation. Corrections in the mass (or number) -weighted difference in terminal velocity $\Delta u_{m_{sg}}$ (or $\Delta u_{n_{sg}}$) of the colliding particles (Eq. 7,8) are adapted from Mizuno (1990) and Reisner et al. (1998), to account for underestimates when $u_{ns} \approx u_{ng}$.

M05 also includes a description for collisions between snowflakes to represent snow aggregation, following Passarelli (1978):

$$\frac{dN_{sAG}}{dt} = \frac{-1108a_s E_{col}}{4 \times 720} \pi^{\frac{1-b_s}{3}} \rho^{\frac{2+b_s}{3}} \rho_s^{\frac{-2-b_s}{3}} Q_s^{\frac{2+b_s}{3}} N_s^{\frac{4-b_s}{3}} \quad (9)$$

Based on this expression we parameterize the number of snow-snow collisions that contribute to ice multiplication as:

$$\frac{dN_{i_{ss}}}{dt} = \frac{1108a_s(1-E_{col})}{4 \times 720} \pi^{\frac{1-b_s}{3}} \rho^{\frac{2+b_s}{3}} \rho_s^{\frac{-2-b_s}{3}} Q_s^{\frac{2+b_s}{3}} N_s^{\frac{4-b_s}{3}} \quad (10)$$

Because snow aggregation does not result in any mass transfer, the snow mass involved in

these collisions is not calculated by the default M05 scheme. We obtain a description of dQ_{iss}/dt by applying the size distribution and fallspeed parameters of snow in the analytical solution for self-collection derived by Verlinde et al. (1990):

$$\frac{dQ_{iss}}{dt} = \frac{914\pi^2}{48\rho\rho_s} (1 - E_{col}) a_s d_s^{b_s+5} N_s^2 \quad (11)$$

575 To test the consistency of Eq. (10) and (11), which were derived using different methods, we repeated the CNTRL and PHIL0.4 simulations but with the Eq. (9) and (10) replaced by the analytical solution for the change in number concentration from self-collection derived by Verlinde and Cotton (1993). The sensitivity of the results to this modification was found to be insignificant.

580 Graupel-graupel collisions are also parameterized in a similar manner. Since there is no graupel aggregation (collection efficiency of such collisions is assumed to be negligible), 100% of the collisions are assumed to contribute to break-up:

$$\frac{dN_{igg}}{dt} = \frac{1108a_g}{4 \times 720} \pi^{\frac{1-b_g}{3}} \rho^{\frac{2+b_g}{3}} \rho_g^{\frac{-2-b_g}{3}} Q_g^{\frac{2+b_g}{3}} N_g^{\frac{4-b_g}{3}} \quad (12)$$

$$\frac{dQ_{igg}}{dt} = \frac{836\pi^2}{48\rho\rho_g} a_g d_g^{b_g+5} N_g^2 \quad (13)$$

585 The value 1108 in Eq. (10) is valid for $b_s=0.4$ (Passarelli, 1978); in M05 $b_s=0.41$ and $b_g=0.37$, thus adapting this value for both snow-snow (10) and graupel-graupel (12) collisions is a reasonable approximation.

Following the methodology of Sullivan et al. (2018b) in TAKAH simulation, the number of fragments generated due to ice-ice particle collisions (F_{BR}) is:

590
$$F_{BR} = 280 (T - 252)^{1.2} e^{-(T-252)/5} \quad (14)$$

However, Takahashi et al. (1995) used 2-cm hailballs in their experiments, thus to further include the influence of size in this formulation, we implement a size-scaled expression in TAKAHsc simulation, assuming that F_{BR} depends linearly on D , decreasing to 0 at $D = 0$:

$$F_{BR} = 280 (T - 252)^{1.2} e^{-(T-252)/5} \frac{D}{D_o} \quad (15)$$

595 where D (in meters) is the size of the ice particle that undergoes fracturing and $D_o=0.02$ m, the size of hailballs used by Takahashi et al. (1995) .

The Phillips et al. (2017a) parameterization allows for varying treatment of F_{BR} depending on the ice crystal type and habit.

$$F_{BR} = \alpha A \left(1 - \exp \left\{ - \left[\frac{CK_o}{\alpha A} \right]^\gamma \right\} \right) \quad (16)$$

600 where : $K_o = \frac{m_1 m_2}{m_1 + m_2} (\Delta u_{n12})^2$,

$$\psi = 3.5 \times 10^{-3}$$

$$a = \pi D^2$$

m_1, m_2 are the masses of the colliding particles and Δu_{n12} is the difference in their terminal velocities. The correction applied in Eq. (8) is also adapted here to account for underestimates when $u_{n1} \approx u_{n2}$. D (in meters) is the size of the smaller ice particle which undergoes fracturing and a is its surface area. The parameterization was developed based on particles with diameters $500 \mu\text{m} < D < 5 \text{ mm}$, however Phillips et al. (2017a) suggest that it can be used for particle sizes outside the recommended range as long as the input variables to the scheme are set to the nearest limit of the range. C is the asperity-fractility coefficient and ψ is a correction term for the effects of sublimation based on the field observations by Vardiman (1978). For cloud ice-snow, cloud ice-graupel, snow-graupel and snow-snow collisions:

$$A = 1.58 \cdot 10^7 (1 + 100\Psi^2) \left(1 + \frac{1.33 \cdot 10^{-4}}{D^{1.5}}\right),$$

$$\gamma = 0.5 - 0.25\Psi,$$

$$C = 7.08 \times 10^6 \psi$$

The above parameters adapted from Phillips et al. (2017a) concern planar crystals or snow with rimed fraction $\Psi < 0.5$ that undergo fracturing: $\Psi \leq 0.2$ corresponds to lightly rimed particles, while $\Psi \approx 0.4$ represents highly rimed crystals/snow. The choice of the ice habit is based on particle images collected during the MAC flights, which indicate the presence of needles and planar particles (O'Shea et al., 2017); needles are often considered secondary ice (Field et al., 2017). However, the M05 scheme does not explicitly consider habit and assumes spherical particles for all processes except sedimentation, for which the fallspeed-diameter relationships are for non-spherical ice.

For graupel-graupel collisions the parameters implemented in Eq. (16) are somewhat different (Phillips et al., 2017a):

$$A = \frac{a_o}{3} + \max\left(\frac{2a_o}{3} - \frac{a_o}{9} |T - 258|, 0\right)$$

$$\gamma = 0.3,$$

$$C = 6.3 \times 10^6 \psi$$

Finally, an upper limit for the number of fragments produced per collision is imposed, set to

$F_{BR_{max}} = 100$; this is the same for all collision types (Phillips et al., 2017a).

We estimate the production rate of fragments for cloud ice-snow collisions and cloud ice -graupel collisions using Eq. (3) or (4) and one of the proposed formulations for F_{BR} above: $\frac{dN_{is}}{dt} F_{BR}$ and $\frac{dN_{ig}}{dt} F_{BR}$. For both of these collision types we assume that the cloud ice particles undergo break-up and the new smaller ice fragments remain within the same ice

particle category. For snow-graupel collisions, where the snow particle is assumed to undergo fracturing, the production term $\frac{dN_{isg}}{dt} F_{BR}$ is added to the cloud ice category. In this case mass transfer from the snow to the cloud ice category also occurs, but according to Phillips et al. (2017a) this is only 0.1% of the snow mass that collides with graupel (5). Snow-snow and graupel-graupel collisions are handled in the same way: $\frac{dN_{iss}}{dt} F_{BR}$ and $\frac{dN_{igg}}{dt} F_{BR}$ are added to the cloud ice number equation, while 0.1% of $\frac{dQ_{iss}}{dt}$ (11) and $\frac{dQ_{igg}}{dt}$ (13) is added to the corresponding mass equation.

Code and data availability: MAC data are available at <https://catalogue.ceda.ac.uk/uuid/da17dab196f74d64af3ccbc35624027b>. The modified Morrison scheme is available upon request

Competing interests: The authors declare that they have no conflict of interest.

Author contribution: GS and AN conceived and led this study. EV helped with the model configuration and set-up, and provided Fig. 1. GY provided the observations and the model set-up for the MAC case. SJO post-processed MAC data. GS implemented the BR parameterizations, performed the WRF simulations, analyzed the results and, together with AN, led the manuscript writing. All authors contributed to the scientific interpretation, discussion and writing of the manuscript.

Acknowledgements: GS and AN acknowledge support from Laboratory of Atmospheric Processes and Their Impacts (LAPI) at the École Polytechnique Fédérale de Lausanne (EPFL) the project IC-IRIM (project ID 2018-01760) funded by the Swedish Research Council for Sustainable Development (FORMAS), the project PyroTRACH (ERC-2016-COG) funded from H2020-EU.1.1. - Excellent Science - European Research Council (project ID 726165) and the project FORCeS funded from Horizon H2020-EU.3.5.1. (project ID 821205). EV and AB acknowledge the financial support from EPFL-ENAC through the LOSUMEA project. The National Center for Atmospheric Research is sponsored by the U.S. National Science Foundation. GY acknowledges support from the UK Natural Environment Research Council (grant no.: NE/R009686/1). We are also grateful to MAC scientific crew for the observational datasets used in this study.

References:

- 665 Baumgardner, D., Jonsson, H., Dawson, W., O'Connor, D., and R. Newton: The cloud, aerosol and precipitation spectrometer: A new instrument for cloud investigations. *Atmos. Res.*, 59, 251–264. [https://doi.org/10.1016/S0169-8095\(01\)00119-3](https://doi.org/10.1016/S0169-8095(01)00119-3), 2001
- 670 Bodas-Salcedo, A., Williams, K. D., Ringer, M. A., Beau, I., Cole, J. N. S., Dufresne, J.-L., et al.: Origins of the solar radiation biases over the Southern Ocean in CFMIP2 Models, *J. Clim.*, 27, 41–56. <https://doi.org/10.1175/JCLI-D-13-00169.1>, 2014
- Bigg, E. K. : The formation of atmospheric ice crystals by the freezing of droplets. *Q. J. Roy. Meteorol. Soc.*, 79, 510–519. <https://doi.org/10.1002/qj.49707934207>, 1953
- 675 Bromwich, D. H., Otieno, F. O., Hines, K. M., Manning, K. W., and Shilo, E.: Comprehensive evaluation of polar weather research and forecasting model performance in the Antarctic, *Journal of Geophysical Research: Atmospheres*, 118, 274–292, doi:10.1029/2012jd018139, <http://dx.doi.org/10.1029/2012JD018139>, 2013.
- 680 Brown, P. and Francis, P.: Improved measurements of the ice water content in cirrus using a total-water probe, *J. Atmos. Ocean. Tech*, 12, 410–414, 1995.
- Chen, F., and Dudhia, J.: Coupling an Advanced Land Surface–Hydrology Model with the Penn State– NCAR MM5 Modeling System. Part I: Model Implementation and Sensitivity. *Monthly Weather Rev.*, 129, 569–585, 2001.
- 685 Choulaton, T. W., D. J. Griggs, B. Y. Humood, and Latham, J. : Laboratory studies of riming, and its relation to ice splinter production. *Quart. J. Roy. Meteor. Soc.*, 106, 367–374, doi:<https://doi.org/10.1002/qj.49710644809>, 1980.
- 690 Crosier, J., Choulaton, T. W., Westbrook, C. D., Blyth, A. M., Bower, K. N., Connolly, P. J., Dearden, C., Gallagher, M. W., Cui, Z., and Nicol, J. C.: Microphysical properties of cold frontal rainbands, *Q. J. Roy. Meteorol. Soc.*, 140, 1257–1268, doi:10.1002/qj.2206, 2013.
- 695

Cooper, W.A.:Ice initiation in natural clouds. *Meteorological Monographs*, 21, 29–32.
<https://doi.org/10.1175/0065-9401-21.43.29>, 1986

700 Dee, D.P., Uppala, S.M., Simmons, A.J., Berrisford, P., Poli, P., Kobayashi, S., Andrae, U.,
Balmaseda, M.A., Balsamo, G., Bauer, P., Bechtold, P., Beljaars, A.C.M., van de Berg, L.,
Bidlot, J., Bormann, N., Delsol, C., Dragani, R., Fuentes, M., Geer, A.J., Haimberger, L.,
Healy, S.B., Hersbach, H., Holm, E.V., Isaksen, I., Kalberg, P., Kohler, M., Matricardi, M.,
McNally, A.P., Monge-Sanz, B.M., Morcrette, J.-J., Park, B.-K., Peubey, C., de Rosnay, P.,
705 Tavolato, C., Thepaut, J.-N., Vitart, F.: The ERA-Interim reanalysis: Configuration and
performance of the data assimilation system, *Q. J. Roy. Meteor. Soc.*, 137, 553–597,
<https://doi.org/10.1002/qj.828>, 2011.

DeMott, P. J., Prenni, A. J., Liu, X., Kreidenweis, S. M., Petters, M. D., Twohy, C. H.,
Richardson, M. S., Eidhammer, T., and Rogers, D. C.: Predicting global atmospheric ice
710 nuclei distributions and their impacts on climate, *Proc. Nat. Acad. Sci.*,
[doi:10.1073/pnas.0910818107](https://doi.org/10.1073/pnas.0910818107), 2010.

Field, P., Lawson, P., Brown, G., Lloyd, C., Westbrook, D., Moiseev, A., Miltenberger, A.,
Nenes, A., Blyth, A., Choularton, T., Connolly, P., Bühl, J., Crosier, J., Cui, Z., Dearden, C.,
715 DeMott, P., Flossmann, A., Heymsfield, A., Huang, Y., Kalesse, H., Kanji, Z., Korolev, A.,
Kirchgaessner, A., Lasher-Trapp, S., Leisner, T., McFarquhar, G., Phillips, V., Stith, J., and
Sullivan, S.: Chapter 7: Secondary ice production - current state of the science and
recommendations for the future, *Meteor. Monogr.*, [doi:10.1175/AMSMONOGRAPHS-D-16-](https://doi.org/10.1175/AMSMONOGRAPHS-D-16-0014.1)
0014.1, 2017.

720 Flato, G., Marotzke, J., Abiodun, B., Braconnot, P., Chou, S., Collins, W., Cox, P., Driouech,
F., Emori, S., Eyring, V., Forest, C., Gleckler, P., Guilyardi, E., Jakob, C., Kattsov, V.,
Reason, C., and Rummukainen, M.: Evaluation of Climate Models. In: *Climate Change 2013:
The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report
725 of the Intergovernmental Panel on Climate Change* [Stocker, T.F., D. Qin, G.-K. Plattner, M.
Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and P.M. Midgley (eds.)], 2013.

Fridlind, A. M., Ackerman, A. S., McFarquhar, G., Zhang, G., Poellot, M. R., DeMott, P. J.,
Prenni, A. J., and Heymsfield, A. J.: Ice properties of single-layer stratocumulus during the

730 Mixed-Phase Arctic Cloud Experiment: 2. Model results., *J. Geophys. Res.*, 112, D24202,
<https://doi.org/10.1029/2007JD008646>, 2007.

Fu, S., Deng, X., Shupe, M.D., and Huiwen X.: A modelling study of the continuous ice
formation in an autumnal Arctic mixed-phase cloud case, *Atmos. Res.*, 228, 77-85,
735 <https://doi.org/10.1016/j.atmosres.2019.05.021>, 2019

Gilbert, E, Orr, A, King, JC, et al. Summertime cloud phase strongly influences surface
melting on the Larsen C ice shelf, *Antarctica. Q J R Meteorol Soc.* 2020; 1-
16. <https://doi.org/10.1002/qj.3753>

740 Glen, A., and Brooks, S. D.: A new method for measuring optical scattering properties of
atmospherically relevant dusts using the Cloud and Aerosol Spectrometer with Polarization
(CASPOL). *Atmospheric Chemistry & Physics*, 13, 1345–1356, [https://doi.org/10.5194/acp-](https://doi.org/10.5194/acp-13-1345-2013)
13-1345-2013, 2013

745 Grosvenor, D. P., Choularton, T. W., Lachlan-Cope, T., Gallagher, M. W., Crosier, J., Bower,
K. N., Ladkin, R. S., and Dorsey, J. R.: In-situ aircraft observations of ice concentrations
within clouds over the Antarctic Peninsula and Larsen Ice Shelf, *Atmos. Chem. Phys.*, 12,
11275–11294, <https://doi.org/10.5194/acp-12-11275-2012>, 2012.

750 Hallett, J. and Mossop, S. C.: Production of secondary ice particles during the riming process,
Nature, 249, 26–28, doi:10.1038/249026a0, 1974.

Haynes, J.M., C. Jakob, W.B. Rossow, G. Tselioudis, and J. Brown: Major Characteristics of
755 Southern Ocean Cloud Regimes and Their Effects on the Energy Budget. *J.*
Climate, 24, 5061-5080, <https://doi.org/10.1175/2011JCLI4052.1>, 2011

Heymsfield, A.J., A. Bansemer, and C.H. Twohy: Refinements to Ice Particle Mass
Dimensional and Terminal Velocity Relationships for Ice Clouds. Part I: Temperature
760 Dependence. *J. Atmos. Sci.*, 64, 1047–1067, <https://doi.org/10.1175/JAS3890.1>, 2007

Hines, K. M., Bromwich, D. H., Wang, S.-H., Silber, I., Verlinde, J., and Lubin, D.:
Microphysics of summer clouds in central West Antarctica simulated by the Polar Weather

Research and Forecasting Model (WRF) and the Antarctic Mesoscale Prediction System
765 (AMPS), *Atmos. Chem. Phys.*, 19, 12431–12454, <https://doi.org/10.5194/acp-19-12431-2019>,
2019.

Hoarau, T., Pinty, J.-P., and Barthe, C.: A representation of the collisional ice break-up
770 process in the two-moment microphysics LIMA v1.0 scheme of Meso-NH, *Geosci. Model
Dev.*, 11, 4269–4289, <https://doi.org/10.5194/gmd-11-4269-2018>, 2018.

Hyder, P., Edwards, J. M., Allan, R. P., Hewitt, H. T., Bracegirdle, T. J., Gregory, J. M., et
al.: Critical Southern Ocean climate model biases traced to atmospheric model cloud errors.
Nature Communications, 9, 3625. <https://doi.org/10.1038/s41467-018-05634-2>, 2018
775 Ikawa, M., and Saito, K.: Description of a Non-hydrostatic Model Developed at the Forecast
Research Department of the MR, MRI Tech. Rep. 28, 238 pp, 1991

King J. C., Gadian, A., Kirchgaessner, A., Kuipers, Munneke, P., Lachlan-Cope, T. A., Orr,
A., Reijmer, C., van den Broeke, M. R., van Wessem, J. M., and Weeks, M.: Validation of the
780 summertime surface energy budget of Larsen C Ice Shelf (Antarctica) as represented in three
high-resolution atmospheric models, *J. Geophys. Res.*, 120, 1335–1347,
<https://doi.org/10.1002/2014JD022604>, 2015.

King, J. C., Lachlan-Cope, T. A., Ladkin, R. S., and Weiss, A.: Airborne Measurements in the
785 Stable Boundary Layer over the Larsen Ice Shelf, Antarctica, *Boundary-Layer Meteorology*,
127, 413–428, doi:10.1007/s10546-008-9271-4, 2008.

Klemp, J. B.: Advances in the WRF model for convection-resolving forecasting, *Adv.
Geosci.*, 7, 25–29, <https://doi.org/10.5194/adgeo-7-25-2006>, 2006.
790

Korolev, A. V., Emery, E. F., Strapp, J.W., Cober, S. G., Isaac, G. A., Wasey, M., and
Marcotte, D.: Small ice particles in tropospheric clouds: fact or artifact?, *B. Am. Meteorol.
Soc.*, 92, 967–973, doi:10.1175/2010BAMS3141.1, 2011.

795 Korolev, A., Heckman, I., Wolde, M., Ackerman, A. S., Fridlind, A. M., Ladino, L., Lawson,
P., Milbrandt, J., and Williams, E.: A new look at the environmental conditions favorable to

secondary ice production, *Atmos. Chem. Phys. Discuss.*, <https://doi.org/10.5194/acp-2019-611>, in review, 2019.

800 Lachlan-Cope, T., Listowski, C., and O'Shea, S.: The microphysics of clouds over the Antarctic peninsula—Part 1: Observations. *Atmos. Chem. Phys.*, 16(24), 15,605–15,617. <https://doi.org/10.5194/acp-16-15605-2016>, 2016

Lauber, A., Kiselev, A., Pander, T., Handmann, P., and Leisner, T.: Secondary ice formation during freezing of levitated droplets, *J. Atmos. Sci.*, 75, 2815–2826, <https://doi.org/10.1175/JAS-D-18-0052.1>, 2018.

Lawson, R. P., O'Connor, D., Zmarzly, P., Weaver, K., Baker, B., Mo, Q., and Jonsson, H. : The 2D-S (Stereo) probe: Design and preliminary tests of a new airborne, high-speed, high-resolution particle imaging probe. *Journal of Atmospheric and Oceanic Technology*, 23, 1462–1477. <https://doi.org/10.1175/JTECH1927.1>, 2006

Lawson, P., Gurganus, C., Woods, S., and Brientjes, R.: Aircraft observations of cumulus microphysics ranging from the tropics to midlatitudes: implications for a “new” secondary ice process, *J. Atmos. Sci.*, 74, 2899–2920, <https://doi.org/10.1175/JAS-D-17-0033.1>, 2017.

815 Leisner, T., Pander, T., Handmann, P., and Kiselev, A.: Secondary ice processes upon heterogeneous freezing of cloud droplets, 14th Conf. on Cloud Physics and Atmospheric Radiation, Amer. Meteor. Soc, Boston, MA, 2014.

820 Listowski, C., and Lachlan-Cope, T.: The microphysics of clouds over the antarctic peninsula—Part 2:Modelling aspects within Polar WRF. *Atmos. Chem. Phys.*,17(17), 10,195–10,221. <https://doi.org/10.5194/acp-17-10195-2017>, 2017

825 Listowski, C., Delanoë, J., Kirchgaessner, A., Lachlan-Cope, T., and King, J.: Antarctic clouds, supercooled liquid water and mixed phase, investigated with DARDAR: geographical and seasonal variations, *Atmos. Chem. Phys.*, 19, 6771–6808, <https://doi.org/10.5194/acp-19-6771-2019>, 2019.Meyers, M. P., DeMott, P. J., and Cotton, W. R.: New primary ice-nucleation parameterizations in an explicit cloud model. *Journal of Applied Meteorology*, 31, 708–721. [https://doi.org/10.1175/1520-0450\(1992\)031<0708:NPINPI>2.0.CO;2](https://doi.org/10.1175/1520-0450(1992)031<0708:NPINPI>2.0.CO;2), 1992

830

McCluskey, C. S., Hill, T. C. J. , Humphries, R. S., Rauker, A. M., Moreau, S., Strutton, P. G., Chambers, S. D., Williams, A. G., McRobert, I., Ward, J., Keywood, M.D., Harnwell, J., Ponsonby, W., Loh , Z.M., Krummel, P. B., Protat, A., Kreidenweis, S.M., and DeMott, P.J.: Observations of ice nucleating particles over Southern Ocean waters. *Geophysical Research Letters*, 45, 11,989–11,997. <https://doi.org/10.1029/2018GL079981>, 2018

835

Mizuno, H.: Parameterization if the accretion process between different precipitation elements. *J. Meteor. Soc. Japan*, 57, 273-281, 1990

840

Mossop, S. C., and Hallett, J.: Ice crystal concentration in cumulus clouds: Influence of the drop spectrum. *Science*, 186, 632–634. <https://doi.org/10.1126/science.186.4164.632>, 1974.

Mossop, S. C. (1985). Secondary ice particle production during rime growth: The effect of drop size distribution and rimer velocity. *Quart. J. Roy. Meteor. Soc.*, 111(470), 1113–1124.

845

<https://doi.org/10.1002/qj.49711147012>

Morrison, H., Curry, J.A., and Khvorostyanov, V.I.: A New Double-Moment Microphysics Parameterization for Application in Cloud and Climate Models. Part I: Description, *Atmos. Sci.*, 62, 3683-3704 62, 2005

850

Nakanishi, N., and Niino, H.: An improved Mellor–Yamada level-3 model: Its numerical stability and application to a regional prediction of advection fog. *Boundary-Layer Meteorology*, 119, 397–407, 2006

855

O’Shea, S. J., Choularton, T. W., Flynn, M., Bower, K. N., Gallagher, M., Crosier, J., Williams, P., Crawford, I., Fleming, Z. L., Listowski, C., Kirchgaessner, A., Ladkin, R. S., and Lachlan-Cope, T.: In situ measurements of cloud microphysics and aerosol over coastal Antarctica during the MAC campaign, *Atmos. Chem. Phys.*, 17, 13049–13070, <https://doi.org/10.5194/acp-17-13049-2017>, 2017.

860

Passarelli, R.E.: An Approximate Analytical Model of the Vapor Deposition and Aggregation Growth of Snowflakes., *J. Atmos. Sci.*, 35, 118–124, 1978

Phillips, V.T.J., Yano, J.-I., and Khain, A.: Ice multiplication by breakup in ice-ice collisions.
865 Part I: Theoretical formulation, *J. Atmos. Sci.*, 74, 1705–1719, <https://doi.org/10.1175/JAS-D-16-0224.1>, 2017a.

Phillips, V.T.J., Yano, J.-I., Formenton, M., Ilotoviz, E., Kanawade, V., Kudzotsa, I., Sun, J.,
Bansemer, A., Detwiler, A.G., Khain, A., and Tessorf, S.A.: Ice Multiplication by
870 Breakup in Ice–Ice Collisions. Part II: Numerical Simulations. *J. Atmos. Sci.*, 74, 2789–
2811, <https://doi.org/10.1175/JAS-D-16-0223.1>, 2017b.

Prein, A. F., Langhans, W., Fosser, G., Ferrone, A., Ban, N., Goergen, K., Keller, M., Tille,
M., Gutzjahr, O., Feser, F., Brisson, E., Kollet, S., Schmidli, J., Lipzig, N. P. M., and Leung,
875 R.: A review on regional convection-permitting climate modeling: Demonstrations, prospects,
and challenges, *Reviews of Geophysics*, 53(2), 323–361, doi:10.1002/2014RG000475, 2015.

Pruppacher, H.R., and Klett, J.D. : *Microphysics of Clouds and Precipitation*. 2nd Edition,
Kluwer Academic, Dordrecht, 954 p., 1997

880 Qu, Y., Khain, A., Phillips, V., Ilotoviz, E., Shpund, J., Patade, S., and Chen, B. : The role
of ice splintering on microphysics of deep convective clouds forming under different aerosol
conditions: Simulations using the model with spectral bin microphysics. *J. Geophys. Res.*
Atmos., 125, e2019JD031312. <https://doi.org/10.1029/2019JD031312>, 2020

885 Rangno, A.L., and Hobbs, P.V., Ice particles in stratiform clouds in the Arctic and possible
mechanisms for the production of high ice concentrations, *J. Geophys. Res.*, 106, 15, 065–
15,075, 2001.

890 Reisner, J., Rasmussen, R. M., and Bruintjes, R. T.: Explicit forecasting of supercooled liquid
water in winter storms using the MM5 mesoscale model, *Quart. J. Roy. Meteor. Soc.*,
124(548), 1071–1107, doi: 10.1002/qj.49712454804, 1998.

Skamarock, W. C., and Klemp, J. B.: A time-split nonhydrostatic atmospheric model for
895 weather research and forecasting applications. *J. Comp. Phys.*, 227(7), 3465–3485.
<https://doi.org/10.1016/j.jcp.2007.01.037>, 2008

Schmale, J., Baccarini, A., Thurnherr, I., Henning, S., Efraim, A., Regayre, L., Bolas, C., Hartmann, M., Welti, A., Lehtipalo, K., Aemisegger, F., Tatzelt, C., Landwehr, S., Modini, R.,
900 L., Tummon, F., Johnson, J., Harris, N., Schnaiter, M., Toffoli, A., Derkani, M., Bukowiecki,
35 N., Stratmann, F., Dommen, J., Baltensperger, U., Wernli, H., Rosenfeld, D., Gysel-Beer,
M., and Carslaw, K.: Overview of the Antarctic Circumnavigation Expedition: Study of
Preindustrial-like Aerosols and Their Climate Effects (ACE-SPACE), *Bull. Amer. Meteor.
Soc.*, 100 (11): 2260–2283, DOI:10.1175/BAMSD-18-0187.1, 2019

905
Schwarzenboeck, A., Shcherbakov, V., Lefevre, R., Gayet, J.-F., Duroure, C., and Pointin, Y.:
Indications for stellar-crystal fragmentation in Arctic clouds, *Atmos. Res.*, 92, 220
228, <https://doi.org/10.1016/j.atmosres.2008.10.002>, 2009.

Sotiropoulou, G., Sullivan, S., Savre, J., Lloyd, G., Lachlan-Cope, T., Ekman, A. M. L., and
910 Nenes, A.: The impact of Secondary Ice Production on Arctic Stratocumulus, *Atmos. Chem.
Phys.*, 20, 1301–1316, <https://doi.org/10.5194/acp-2019-804>, 2020.

Stephens, G.L.: Radiation profiles in extended water clouds. II. Parameterization schemes. *J.
Atmos. Sci.*, 35, 2123–2132, 1978

915
Sullivan, S. C., Kiselev, A., Leisner, T., Hoose, C., and Nenes, A.: Initiation of secondary ice
production in clouds, *Atmos. Chem. Phys.*, 18, 1593–1610, doi:10.5194/acp-18-1593-2018,
2018a.

920 Sullivan, S. C., Barthlott, C., Crosier, J., Zhukov, I., Nenes, A., and Hoose, C.: The effect of
secondary ice production parameterization on the simulation of a cold frontal rainband,
Atmos. Chem. Phys., 18, 16461–16480, <https://doi.org/10.5194/acp-18-16461-2018>, 2018b.

Takahashi, T., Nagao, Y., and Kushiya, Y.: Possible high ice particle production during
graupel-graupel collisions, *J. Atmos. Sci.*, 52, 4523–4527, doi:10.1175/1520-0469, 1995.

925
Vardiman, L.: The generation of secondary ice particles in clouds by crystal-crystal collision,
J. Atmos. Sci., 35, 2168–2180, doi:10.1175/1520-0469, 1978.

Verlinde, J., Flatau, P.J, and W.R. Cotton, W.R.: Analytical Solutions to the Collection
930 Growth Equation: Comparison with Approximate Methods and Application to Cloud
Microphysics Parameterization Schemes. *J. Atmos. Sci.*, 47, 2871–
2880, [https://doi.org/10.1175/1520-0469\(1990\)047<2871:ASTTCG>2.0.CO;2](https://doi.org/10.1175/1520-0469(1990)047<2871:ASTTCG>2.0.CO;2)

Verlinde, J. and W.R. Cotton, 1993: Fitting Microphysical Observations of Nonsteady
935 Convective Clouds to a Numerical Model: An Application of the Adjoint Technique of Data
Assimilation to a Kinematic Model. *Mon. Wea. Rev.*, 121, 2776–
2793, [https://doi.org/10.1175/1520-0493\(1993\)121<2776:FMOONC>2.0.CO;2](https://doi.org/10.1175/1520-0493(1993)121<2776:FMOONC>2.0.CO;2)

Vignon, É., Besic, N., Jullien, N., Gehring, J., & Berne, A. Microphysics of snowfall over
coastal East Antarctica simulated by Polar WRF and observed by radar. *J. Geophys. Res.:*
940 *Atmospheres*, 124, 11452– 11476, 2019.

Welti, A., Bigg, E. K., DeMott, P. J., Gong, X., Hartmann, M., Harvey, M., Henning, S.,
Herenz, P., Hill, T. C. J., Hornblow, B., Leck, C., Löffler, M., McCluskey, C. S., Rauker, A.
M., Schmale, J., Tatzelt, C., van Pinxteren, M., and Stratmann, F.: Shipbased measurements
945 of ice nuclei concentrations over the Arctic, Atlantic, Pacific and Southern Ocean, *Atmos.*
Chem. Phys. Discuss., <https://doi.org/10.5194/acp-2020-466>, in review, 2020.

Yano, J.-I. and Phillips, V. T. J.: Ice-ice collisions: an ice multiplication process in
atmospheric clouds, *J. Atmos. Sci.*, 68, 322–333, doi:10.1175/2010JAS3607.1, 2011.

950

Yano, J.-I., Phillips, V. T. J., and Kanawade, V.: Explosive ice multiplication by mechanical
break-up in-ice-ice collisions: a dynamical system-based study, *Q. J. Roy. Meteor. Soc.*, 142,
867–879, <https://doi.org/10.1002/qj.2687>, 2016.

955 Young, G., Lachlan-Cope, T., O'Shea, S. J., Dearden, C., Listowski, C., Bower, K. N.,
Choularton T.W., and Gallagher M.W.: Radiative effects of secondary ice enhancement in
coastal Antarctic clouds. *Geophys. Res. Let.*, 46, 23122321,
<https://doi.org/10.1029/2018GL080551>, 2019.

Young, K. C., 1974: The Role of Contact Nucleation in Ice Phase Initiation in Clouds. *J. Atmos. Sci.*, 31, 768–776, [https://doi.org/10.1175/1520-0469\(1974\)031<0768:TROCNI>2.0.CO;2](https://doi.org/10.1175/1520-0469(1974)031<0768:TROCNI>2.0.CO;2).

965 Tables:

Table 1: Mean modeled downward and upward shortwave (SWD_{SFC} , SWU_{SFC}) and longwave (LWD_{SFC} , LWU_{SFC}) surface radiation, along with upward shortwave and longwave (SWU_{TOA} , LWU_{TOA}) radiation at the top of the atmosphere, during flights M218 and M219. Model results are averaged over the dashed rectangular area in Fig. 1.

Simulation	SWD_{SFC} (Wm^{-2})	SWU_{SFC} (Wm^{-2})	LWD_{SFC} (Wm^{-2})	LWU_{SFC} (Wm^{-2})	SWU_{TOA} (Wm^{-2})	LWU_{TOA} (Wm^{-2})
CNTRL	323.9	182.1	244.3	304.6	255.8	218.4
PHIL0.2	328.6	184.5	244.1	304.6	254.8	218.5
PHIL0.3	322.3	181.0	247.4	305.3	256.6	217.9
PHIL0.4	339.7	190.8	243.3	304.9	251.1	219.8
FRAG1	354.1	198.6	236.7	303.8	246.9	221.5
FRAG1siz	335.7	188.8	244.0	304.6	250.5	220.7
TAKAH	365.9	206.5	229.8	303.3	242.5	221.2
TAKAHsc	349.5	194.5	237.0	304.2	246.6	222.0

Figures:

975

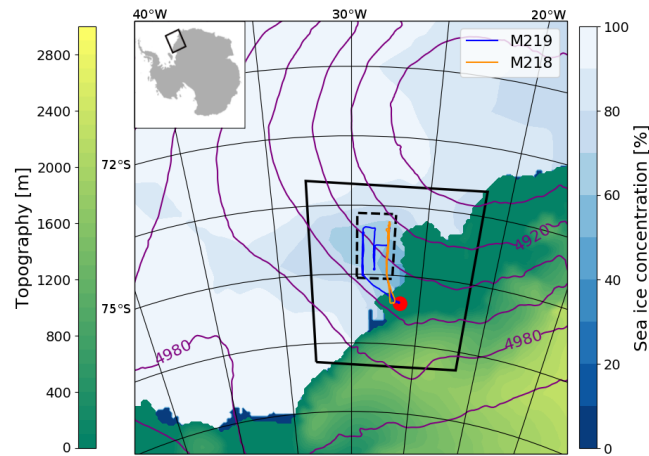


Figure 1: Map of Antarctic domains. Colors indicate terrain heights (green to yellow) and sea-ice concentrations (blue to white), whereas the purple contours correspond to 500 hPa geopotential heights from the CNTRL simulation at 18:00 UTC, 27 November 2015. The black solid line delimits the 1-km horizontal grid spacing domain, while the dashed one outlines the subset of the nest used for direct comparison with the aircraft data. Orange and blue lines indicate the flight tracks, while the red circle represents Halley station. The small figure in the top right corner indicates the location of the 1-km horizontal grid spacing domain relative to the Antarctic continent.

990

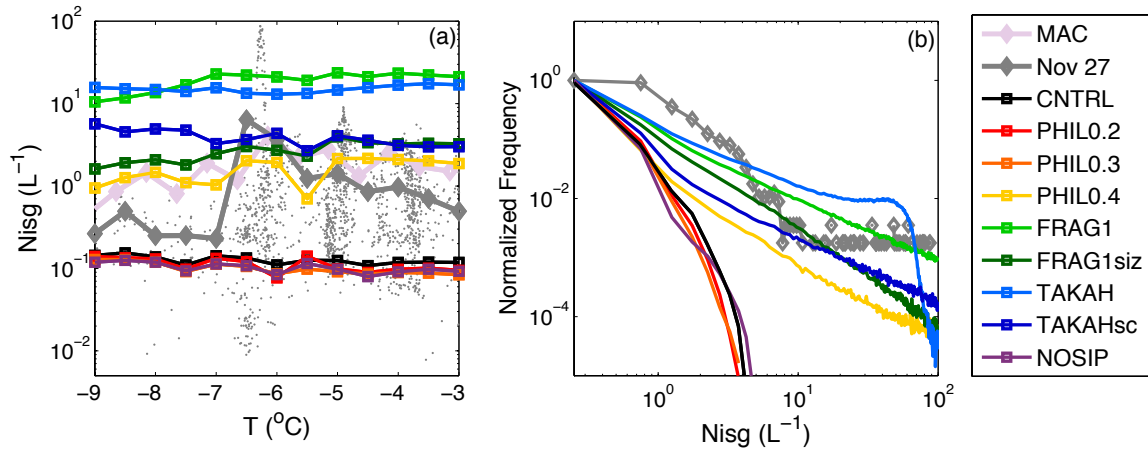
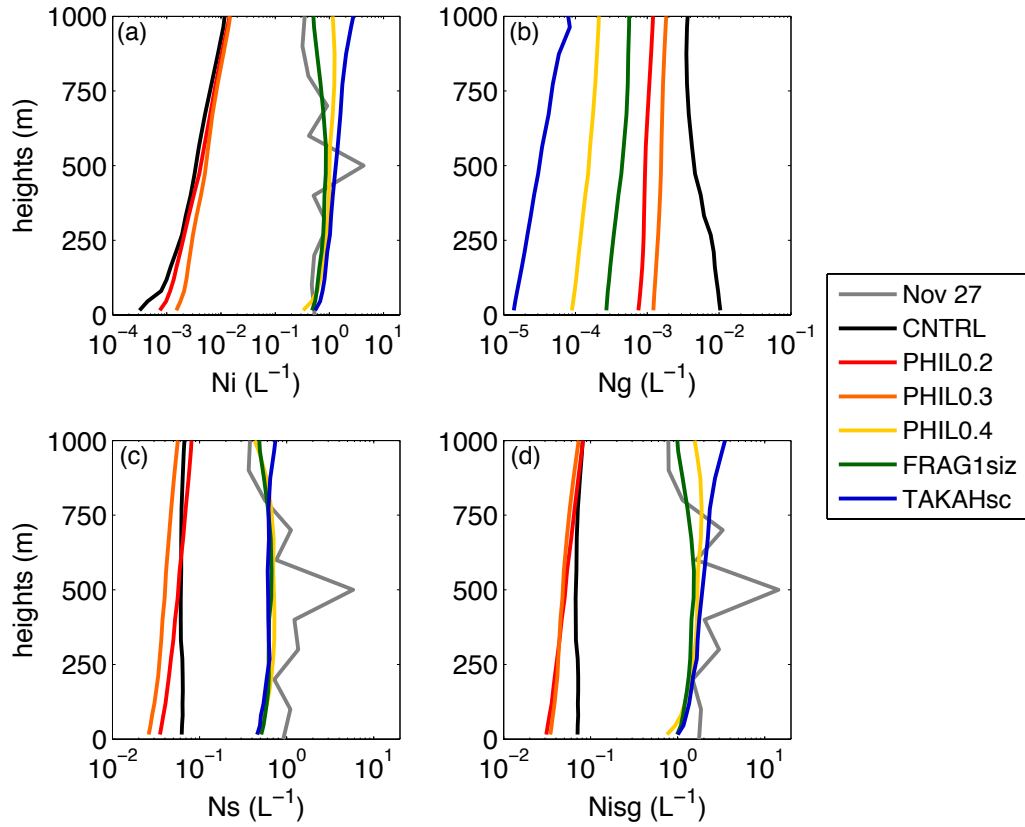


Figure 2: (a) Mean ice number concentrations (cloud ice + snow + graupel, N_{ice}) as a function of temperature for the whole MAC campaign (pink), our case study (grey) and the eight model simulations. Grey dots indicate point observations. (b) Relative frequency distribution of N_{ice} , binned in $0.5 L^{-1}$ intervals, scaled with maximum frequency. Ice properties are calculated for particles $> 80 \mu m$ and for $N_{ice} > 0.005 L^{-1}$ within the lowest 1.5 km a.s.l.



1010 **Figure 3:** Mean vertical profiles of number concentrations of modeled (a) cloud ice, (b)
 graupel, (c) snow and (d) total ICNCS for six simulations. Grey lines represent measured
 concentrations with diameters (a) smaller and (c) larger than 250 μm . Graupel concentrations
 cannot be distinguished in the measurements (hence no grey profile in panel b). Ice properties
 from the model are calculated for $N_{isc} > 0.005 \text{ L}^{-1}$. For consistency with observations, only
 1015 particles with sizes $> 80 \mu\text{m}$ are included in the modeled profiles in panels (a), (c) and (d).

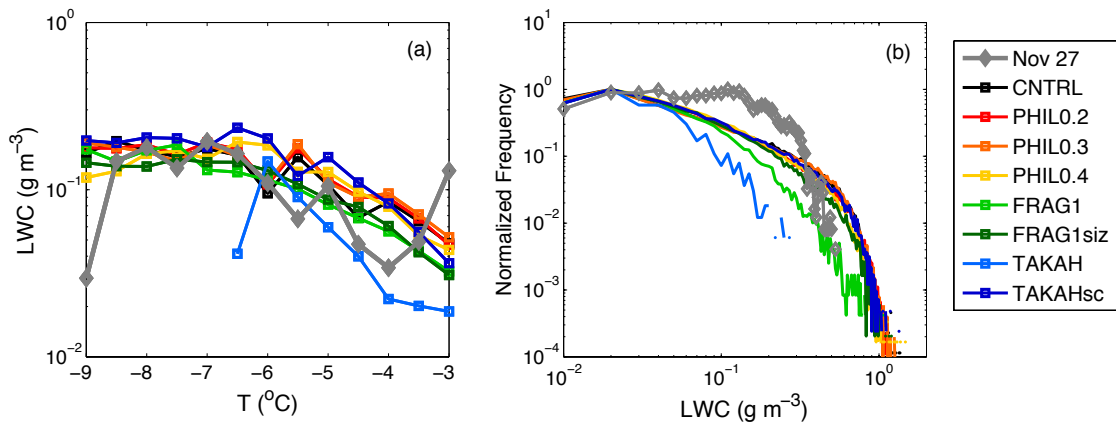


Figure 4: (a) Mean liquid water content (LWC) as a function of temperature for our case study (grey) and the eight model simulations. (b) Relative frequency distribution of LWC, binned in 0.01 g m^{-3} intervals, scaled with maximum frequency. Only values greater than 0.01 g m^{-3} within the lowest 1.5 km a.s.l. are included in the analysis.

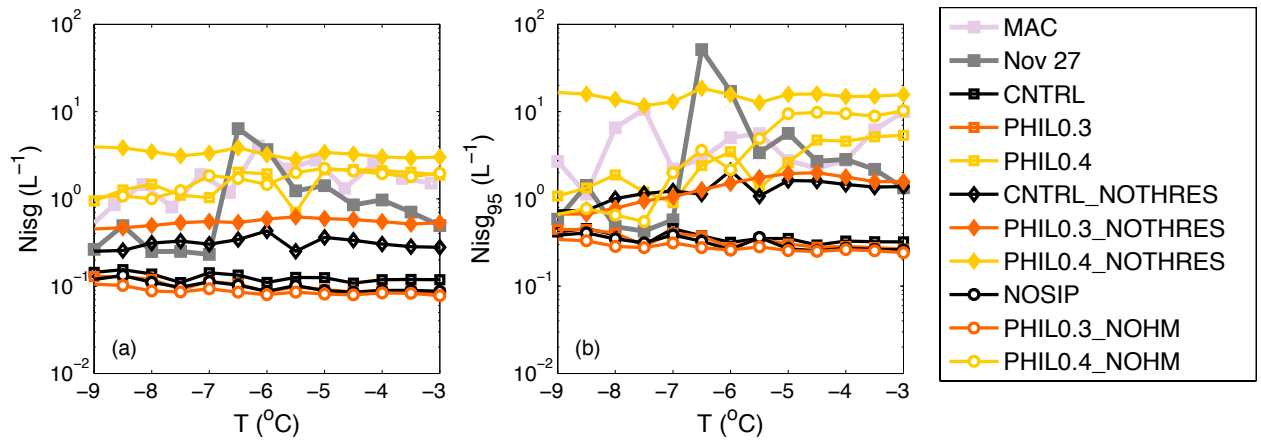


Figure 5: Total ice number concentrations (N_{isg}) for particles $> 80 \mu\text{m}$ as a function of temperature for the while MAC campaign (pink), our case study (grey) and the nine sensitivity simulations with varying treatment of the H-M process (see section 4.3). Mean values and the 95th percentile are shown in panels (a) and (b), respectively.

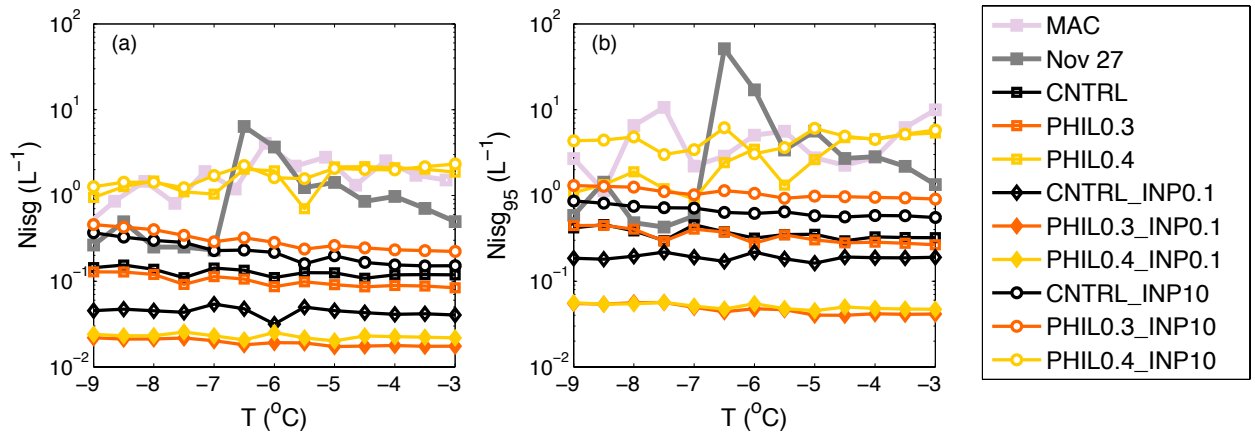


Figure 6: Same as in Fig. 5 but for sensitivity simulations with varying INP conditions (see section 4.4)