# **Response to reviewer's comments**

Anonymous Referee #1:

1. This manuscript has been greatly improved. Most of the reviewers' comments have been properly addressed in the authors' responses. I have one more comment for the manuscript: The authors could add some recommendations to the last part of the manuscript based on their findings. For example, ship emissions might be an important source of Hg which has been neglected in previous emission inventory studies. Field measurements of Hg emissions from ships are needed to verify this point. Moreover, this was one of the very few studies that apply PMF to atmospheric Hg source apportionment. What were the limitations of this PMF application? What kind of special attention should be paid to in the PMF analysis for Hg in the future?

Overall, I think this manuscript is ready for publication on Atmospheric Chemistry and Physics after minor revision.

Response: Thanks for the reviewer's valuable suggestions. we added the sentences "It has to be noted that according to our results, ship emission was identified to be an important source of mercury which hasn't been included in previous emission inventory studies. Mercury emission factors from shipping are lacking and field measurements will be needed to verify it. Moreover, we realize that the application of PMF in the source apportionment of atmospheric mercury sources has certain limitations. Source-specific indicators are preferred, however, most indicators used for source identification have multiple sources, which have added uncertainties to our results. We suggest that in future research, the application of the PMF model is limited to the separation of the natural and total anthropogenic sources of GEM, which has been proved to be credible."

# **Response to reviewer's comments**

Anonymous Referee #2:

1. Why do you only remove O<sub>3</sub>? Do you ever test the impact of NH<sub>3</sub>?

Response: Thanks for the comment. As a secondary pollutant, the formation processes of  $O_3$  in the atmosphere are very complicated, which is controlled by the relative emissions of NOx and VOCs in addition to solar radiation. In this regard,  $O_3$  may not be a suitable input for PMF modeling and we removed it. In contrast, NH<sub>3</sub> mainly comes from primary emissions. One study at our sampling site have found the good correlation between NH<sub>3</sub> and temperature (Chang et al., 2019), and the soil emissions of GEM and NH<sub>3</sub> are treated in a similar way in airquality modeling studies (Wright and Zhang, 2015;Zhang et al., 2010). Hence, we think that NH<sub>3</sub> can be regarded as an indirect tracer for the natural surface emissions of GEM.

2. The following, natural emissions, nature surface emissions, and surface emissions, seem refer to the same thing. Please be consistent throughout the whole text including in the figure. Moreover, re-emission is a significant source of atmospheric Hg, how do you consider its contribution?

Response: Thanks for the comment. We have carefully checked the full text and unified the term "natural surface emissions" to describe the natural sources of GEM in the revision. We agree with the reviewer that re-emission is a significant source of atmospheric mercury and its contribution has been included in the modeled GEM from natural surface emissions. However, it is difficult to separate the re-emission of deposited mercury and the emission of the original mercury in the natural surface by PMF only, which is also not the focus of this study.

3. Original comment 3: Ferrous metal smelting such as iron and steel smelting, instead of nonferrous metal smelting, is one significant source of Ca emission. That is why I do not agree that The factor with high loading of Ca was assigned to cement production as the raw materials used in cement production contain a large amount of calcium compounds.

Response: We agree with the reviewer that ferrous metal smelting such as iron and steel smelting, cement production, and some other emission sectors all contribute to Ca emissions. The source profiles of those emission sectors can be similar at some extents. We did test to increase the number of PMF factors (e.g. 7, 8, and more), however, the separation of more detailed emission sources was not successful. Hence, the current PMF modeling framework may not well resolve more explicit emission source before introducing more specific tracers. This is the limitation of the PMF model if the selected indicator has multiple sources. We will try to improve it by introducing more unique tracers in the future works.

4. The authors should notice that it is just one case. Actually, the results of Figure 6 is still under doubt. For example, ship and vehicle emissions are two large anthropogenic emission sources in Shanghai. One of the problems to this result is that the indicators used in PMF

currently are not source-specific indicators and much depend on the subjective judgment of the authors. Under such situations, analyzing the uncertainty of the results is particularly important.

Response: We quite agree with the reviewer that most indicators used in PMF currently are not source-specific indicators and that contributed to the biggest uncertainties of the results. Currently, uncertainty analysis is not available via PMF. This is indeed the limitation of the PMF model.

In the conclusion section, we add the sentence "It has to be noted that according to our results, ship emission was identified to be an important source of mercury which hasn't been included in previous emission inventory studies. Mercury emission factors from shipping are lacking and field measurements will be needed to verify it. Moreover, we realize that the application of PMF in the source apportionment of atmospheric mercury sources has certain limitations. Source-specific indicators are preferred, however, most indicators used for source identification have multiple sources, which have added uncertainties to our results. We suggest that in future research, the application of the PMF model is limited to the separation of the natural and total anthropogenic sources of GEM, which has been proved to be credible."

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- Assessing contributions of natural surface and anthropogenic emissions to
   atmospheric mercury in a fast developing region of Eastern China from
   2015 to 2018
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# 21 Abstract

22 Mercury (Hg) is a global toxic pollutant that can be released into the atmosphere through 23 anthropogenic and natural sources. The uncertainties in the estimated emission amounts are much larger from natural than anthropogenic sources. A method was developed in the present study to 24 quantify the contributions of natural surface mercury emissions to ambient gaseous elemental 25 26 mercury (GEM) concentrations through application of positive matrix factorization (PMF) analysis 27 with temperature and NH3 as indicators of GEM emissions from natural surfaces. GEM 28 concentrations were continuously monitored at a 2-hourly resolution at a regional background site 29 in the Yangtze River Delta in Eastern China during 2015-2018. Annual average GEM concentrations were in the range of 2.03-3.01 ng/m<sup>3</sup>, with a strong decreasing trend at a rate of  $-0.32\pm0.07$  ng m<sup>-3</sup> 30 31 yr<sup>-1</sup> from 2015 to 2018, which was mostly caused by reduced anthropogenic emissions since 2013. The estimated contributions from natural surface emissions of mercury to the ambient GEM 32 concentrations were in the range of 1.00-1.13 ng/m<sup>3</sup> on annual average with insignificant interannual 33 34 changes, but the relative contribution increased significantly from 41% in 2015 to 57% in 2018, 35 gradually surpassing those from anthropogenic sources.

#### 37 1. Introduction

38 Mercury has long been recognized as a toxic pollutant due to its bioaccumulation and health 39 effects (Driscoll et al., 2013b;Clarkson and Magos, 2006;Schroeder and Munthe, 1998;Horowitz et 40 al., 2017;Fu et al., 2012;Wright et al., 2018). Mercury in the atmosphere can be transported globally, 41 mostly in the form of gaseous elemental mercury (GEM) due to its long lifetime in air (Driscoll et 42 al., 2013a). Clarifying sources and quantifying emissions from the major sources of atmospheric 43 mercury are critical for understanding the biogeochemical cycle of mercury and developing mercury 44 reduction strategies. Mercury in the atmosphere is released from both natural and anthropogenic 45 sources. Natural sources include volcanoes. geological weathering, forest fires, re-emissions of pre-46 deposited mercury from natural surfaces, etc (Gustin et al., 2008; Mason and Sheu, 2002). Among 47 these sources, emissions from natural surfaces are the major ones and a number of studies have been 48 devoted to understanding the processes of natural surface emissions (Xu et al., 1999;Lindberg et al., 49 2002;Kocman et al., 2013). Anthropogenic sources mainly include coal-fired power plants, nonferrous metal smelters, and waste incineration (Friedli et al., 2009). Globally, natural sources 50 51 released about 5200 tons mercury into the atmosphere on an annual basis, which contributed up to 52 two-thirds of the global atmospheric mercury budget, while those by anthropogenic sources was 53 estimated to be around 2300 tons (Pirrone et al., 2010). In China, the total mercury emissions released from natural and anthropogenic sources were estimated to be 574.5 ton yr<sup>-1</sup>, and 571 ton 54 yr<sup>-1</sup>, respectively (Wang et al., 2016;Zhang et al., 2015). 55

56 During the past decades, anthropogenic emissions of mercury in Europe and North America 57 have been reduced significantly through phasing out mercury from many commercial products as 58 well as benefiting from  $SO_2$  and  $NO_x$  emission reduction from coal-fired utilities, resulting in 59 considerable decrease in atmospheric mercury concentrations in these regions (e.g., approximately 60 1-2% yr<sup>-1</sup> decrease from 1990 to 2013) (Streets et al., 2011;Zhang et al., 2016). In China, 61 anthropogenic mercury emissions decreased from 571 ton in 2013 to 444 ton in 2017 due to the co-62 benefits of aggressive air pollutant control measures implemented in this period (Liu et al., 2019a). 63 GEM concentrations measured at a rural site north of Shanghai showed a substantially decreasing 64 trend from 2014 to 2016 (Tang et al., 2018).

65 With the decrease of anthropogenic mercury emissions in many parts of the world (Zhang et 66 al., 2016), the contributions of natural emissions to total mercury budget are expected to be more 67 important. However, the trends of natural emissions are still unclear due to the difficulties in directly 68 measuring GEM emissions from natural surfaces (Zhu et al., 2015). Existing estimates of GEM emission from natural sources have large uncertainties (e.g., from 1500 to 5207 Mg yr<sup>-1</sup> on global 69 70 scale), limiting our understanding of the role of natural emissions in the global mercury cycle (Song 71 et al., 2015; Wang et al., 2014b). For example, a study at rural Beijing showed that modeled GEM 72 concentrations were underestimated by about 40% than measurements from April to September 73 2009 due to the absence of natural emission inventories (Wang et al., 2014a). Hence, it is meaningful 74 to develop a method to quantify the contributions of natural surface emissions to total mercury 75 budget in the atmosphere, especially in China where anthropogenic emissions have been fast 76 decreasing in recent years.

The purpose of the present study is to differentiate the contributions of natural surface emissions and anthropogenic emissions to the measured ambient GEM concentrations collected during a four-year period at a regional background site in the Yangtze River Delta (YRD) of Eastern China. This was done by conducting positive matrix factorization (PMF) analysis with identified variables as tracers of natural surface mercury emissions. Results presented in this study provide an approach that can be potentially used for improving mercury emission databases for natural sources.

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#### 84 **2. Materials and methods**

## 85 2.1 Site description

86 Shanghai, situated in the YRD region, is one of the most developed cities in China. Like in 87 many other cities in China, severe air pollutions have occurred frequently in this city in the past 88 decades. A supersite has been set up next to the Dianshan Lake in Qingpu District of rural Shanghai 89 (Figure 1) as part of the framework of State Environmental Protection Scientific Observation and 90 Research Station. This supersite is designed to represent the regional scale air pollution 91 characteristics in the YRD region based on the following two considerations: (1) it is located in the 92 conjunction area of Shanghai, Jiangsu, and Zhejiang provinces; and (2) there are no large point 93 sources such as coal-fired power plants, nonferrous metal smelting, and cement production within 94 20km distance surrounding the site. This site was established in 2013 and its capacity has been 95 gradually built by measuring a set of atmospheric parameters, including meteorological factors, 96 trace gases, aerosol physical and chemical parameters, vertical profiles of ozone and particles, etc.

97 More detailed descriptions of the site can be found elsewhere (Qin et al., 2019; Duan et al., 2017).

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# 99 2.2 Measurements of gaseous elemental mercury

An automated mercury vapor analyzer Tekran 2537B/1130/1135 was installed on the third floor of a building for real time continuous GEM measurements since January 2015. GEM was measured based on the principle of cold vapor atomic fluorescence spectroscopy (CVAFS) (Landis and Keeler, 2002). Briefly, ambient GEM was collected on gold traps and then thermally decomposed to GEM before detection. The sampling interval of GEM was 5 minutes with a flow rate of 1L/min. More details of this instrument can be found elsewhere (Mao et al., 2008).

106 Strict quality control procedures were followed during the sampling process. Denuders and 107 quartz filters were prepared and cleaned according to the instructions in Tekran technical notes 108 before sampling. Routine calibration with internal permeation source was performed every 47 hours 109 and manual injections of standard saturated mercury vapor were conducted to ensure the accuracy 110 of these automated calibrations. The KCl-coated denuder, Teflon-coated glass inlet, and impactor 111 plate were replaced weekly and quartz filters were replaced monthly. Individual extremely high 112 GEM concentrations that occasionally happened were regarded as outliers and were excluded from 113 the data analysis. In this study, the number of valid GEM data was 16266.

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#### 115 2.3 Measurements of other air pollutants and meteorological parameters

116 Water soluble ions in PM<sub>2.5</sub> and soluble gases were continuously measured by Monitor for 117 Aerosols and Gases in ambient Air (MARGA) operated at a flow rate of 16.7 L/min with a time 118 resolution of one hour, as detailed in (Chang et al., 2016). Briefly, water-soluble gases in the airflow 119 were removed by an absorbing liquid, then the particles were induced by a supersaturation of water 120 vapor to grow into droplets before they were collected and transported into the analytical chamber. 121 Trace metals in PM2.5 were continuously measured by using the Xact 625 ambient metals 122 monitor (Cooper Environmental, Beaverton, OR, USA) operated at a flow rate of 16.7 L/min with 123 hourly resolution, as detailed in (Yu et al., 2019). Briefly, the particles in the airflow were deposited 124 onto a Teflon filter tape, and then transported into the spectrometer where the particles were 125 analyzed with an X-ray fluorescence. Black carbon in PM2.5 was measured by a multiwavelength 126 Aethalometer (AE-33, Magee Scientific). Ambient particles were collected on a paper tape at a flow rate of 5 L/min. Aerosol light absorptions of BC were measured at seven wavelengths of 370, 470,
520, 590, 660, 880, and 950nm.

129 Sulfur dioxide, carbon monoxide, and PM<sub>2.5</sub> were measured by Thermo Fisher 43i, Thermo 130 Fisher 48i-TLE, and Thermo Fisher 1405-F, respectively. Meteorological parameters including 131 ambient temperature, wind speed, and wind direction were obtained at the sampling site by using 132 the automatic weather station (AWS). Bivariate polar plots (BPP) were applied in this study to 133 explore how GEM concentrations change with different wind direction and wind speed, which has 134 proven to be a reliable method for identifying different source regions (Carslaw et al., 2006;Carslaw 135 and Ropkins, 2012; Chang et al., 2017). Here, the open-source software "openair" in R was used to create BPPs (Carslaw and Ropkins, 2012). 136

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#### 138 **2.4 Positive matrix factorization (PMF)**

The PMF model has been proven to be a useful tool to provide quantitative source profiles and source contributions (Xu et al., 2017;Gibson et al., 2015). The basic principle of PMF is that concentrations of the samples were determined by the source profiles with different contributions, which can be descried as follows:

143  $X_{ij} = \sum_{k=1}^{P} g_{ik} f_{kj} + e_{ij} \quad (1)$ 

where  $X_{ij}$  represents the concentration of the *j*th species in the *i*th sample,  $g_{ik}$  is the contribution of the *k*th factor in the *i*th sample,  $f_{kj}$  provides the information of the mass fraction of the *j*th species in the *k*th factor,  $e_{ij}$  is the residual for specific measurement, and *P* represents the number of factors.

The objective function expressed in Eq. (2) below, which is the sum of the square of the difference between the measured and modeled concentrations weighted by the concentration uncertainties, needs to be minimized before the PMF model determines the optimal non-negative factor profiles and contributions.(Cheng et al., 2015)

152  $Q = \sum_{i=1}^{n} \sum_{j=1}^{m} \left( \frac{X_{ij} - \sum_{k=1}^{p} A_{ik} F_{kj}}{S_{ij}} \right)^2 (2)$ 

where  $X_{ij}$  represents the concentration of the *j*th contamination in the *i*th sample, *m* is the total number of pollutant, and *n* is the total number of sample.  $A_{ik}$  represents the contribution of the *k*th factor on the *i*th sample and  $F_{kj}$  represents the mass fraction of the *j*th pollutant in the *k*th factor.  $S_{ij}$  is the uncertainty of the *j*th pollutant on the *i*th factor and *P* is the number of factors. In this 157 study, we explored the number of factors from three to eight with the optimal solutions determined 158 by the slope of the Q value versus the number of factors. For each run, the stability and reliability 159 of the outputs were assessed by referring to the Q value, residual analysis, and correlation 160 coefficients between observed and predicted concentrations. Finally, we found that a six-factor 161 solution showed the most stable results and gave the most reasonable interpretation. A dataset 162 containing uncertainty values of each species was created and digested into the model, with the error 163 fraction being assumed to be 15% for GEM concentration and 10% for other compounds (Xu et al., 164 2017;Polissar et al., 1998).

It should be noted that Fpeak model run at the strength of 0.5 was done by using the rotation tools in PMF and the results were summarized in Table S1. For all seasons, the increase of the Qvalue due to the Fpeak rotation with a dQ was less than 1% of the Base Run Q (robust) value. According to the User Guide of PMF5.0, it was acceptable when the %dQ was less than 5%. The profiles and contributions of each source were examined and there were no significant differences between the factor contributions of Base Run and rotation results. Hence, the Base Run results were used in this study.

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#### 173 2.5 Annual changes of anthropogenic mercury emission in China and YRD

174 It was reported that the annual anthropogenic atmospheric mercury emission in China significantly increased from 147 tons in 1978 to 549 tons in 2010 (Wu et al., 2016). In more recent 175 176 years, in order to cope with the severe air pollution situation, the Chinese government has taken 177 many rigorous and ambitious measures such as introduction of ultra-low emissions standards on 178 power plants and phasing out of small factories with high-emissions (Zheng et al., 2018). As a result, 179 mercury emissions from anthropogenic sources have since been declining in China. For the five-180 year period of 2013-2017, annual total anthropogenic mercury emissions in China were estimated 181 to be 571, 547, 528, 486, and 444 tons, respectively, or a total decline of 127 tons. During the same 182 period, the reduction of anthropogenic mercury emissions reached 60 tons in eastern China (Liu et 183 al., 2019a).

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#### 185 **3. Results and Discussion**

186 **3.1 The measured gaseous elemental mercury** 

#### 187 **3.1.1 Decreasing trend of gaseous elemental mercury**

188 The measured annual mean GEM concentrations were  $3.01 \pm 1.03$ ,  $2.58 \pm 0.84$ ,  $2.52 \pm 0.84$ , and  $2.03 \pm 0.69$  ng/m<sup>3</sup> from 2015 to 2018. By using the Theil-Sen function, monthly GEM exhibited 189 a significantly decreasing trend from 2015 to 2018 (p<0.05) with a rate of  $-0.32\pm0.07$  ng m<sup>-3</sup> yr<sup>-1</sup> 190 191 (Figure 2a). This decreasing trend was consistent with the trends of mass concentrations of  $PM_{2.5}$ 192 and SO<sub>2</sub> (Figure 2b & 2c), which were attributed to the implementation of the Clean Air Action 193 since 2013 in China (Zheng et al., 2018). As mentioned earlier (Section 2.5), the nationwide 194 reduction of anthropogenic mercury emissions should be largely responsible for the significant 195 decrease in GEM concentration observed at the YRD regional background site.

Seasonal average GEM concentrations decreased from 3.62 ng/m<sup>3</sup> to 2.17 ng/m<sup>3</sup> with a rate of 196 -0.37 ng m<sup>-3</sup> yr<sup>-1</sup> in spring, from 2.89 ng/m<sup>3</sup> to 1.98 ng/m<sup>3</sup> with a rate of -0.26 ng m<sup>-3</sup> yr<sup>-1</sup> in summer, 197 from 2.62 ng/m<sup>3</sup> to 1.94 ng/m<sup>3</sup> with a rate of -0.22 ng m<sup>-3</sup> yr<sup>-1</sup> in autumn, and from 2.91 ng/m<sup>3</sup> to 198 1.82 ng/m<sup>3</sup> with a rate of -0.35 ng m<sup>-3</sup> yr<sup>-1</sup> in winter (Figure 3). The decreasing rates of GEM were 199 200 ~30% lower in the warm seasons than the cold seasons. Considering that seasonal variations of 201 anthropogenic emission were less temperature dependent, the different seasonal decreasing rates of 202 GEM between the warm and cold seasons should be mostly caused by the seasonal-dependent 203 emission amounts from natural sources, knowing that natural surface emissions are controlled by 204 solar radiation and temperature, among other factors (Howard and Edwards, 2018; Pannu et al., 205 2014;Mason, 2009).

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# 207 **3.1.2 Impact of temperature on ambient gaseous elemental mercury**

208 In a previous study we showed that GEM concentrations tended to rise with increasing 209 temperature in the YRD region, which was considered to be the effect of temperature-dependent emission amounts from natural surfaces (Qin et al., 2019). Here, to qualitatively investigate the role 210 211 of natural surface emissions on ambient GEM concentration, diurnal profiles of the bi-hourly GEM 212 concentration and temperature are exhibited in Figure 4. If looking at the whole year data together, 213 moderate to high correlations were seen between the diurnal variations of GEM and temperature in 2016, 2017, and 2018 with R<sup>2</sup> being 0.30 to 0.86 (p<0.05), except in 2015 with little correlation 214 215 with  $R^2$  being only 0.03 (p>0.05) (Figure 4a-4d). The maximum GEM concentrations generally 216 appeared around 10AM - 14PM, mostly coincided with daily peak temperature. These findings 217 provided strong evidence of temperature-dependent GEM sources.

218 Due to the large differences in ambient temperature between warm (from June to November) 219 and cold (from December to May) seasons in the YRD region, the effects of temperature-dependent 220 GEM sources on the ambient GEM concentrations should be different in different seasons. As 221 expected, high correlations between GEM concentrations and temperature were found in the warm 222 seasons with  $R^2$  being in the range of 0.15 to 0.87 (Figures 4e-4h), while nearly no correlations in 223 the cold seasons (Figures 4i-4l). Thus, the influence of natural surface emissions on ambient GEM 224 concentration was important in the warm seasons, but may not be the case in the cold seasons. The 225 seasonal bivariate polar plots of GEM showed that high GEM concentrations were associated 226 frequently with air flows from the south and southwest and occasionally with those from the north, 227 particularly in summer (Figure S1). This was consistent with the findings in previous studies which 228 showed stronger natural surface emissions in South and Southwest China than North China (Wang 229 et al., 2016;Feng et al., 2005;Wang et al., 2006;Sommar et al., 2016). Hence, in the context of 230 significant reduction of anthropogenic mercury emission in China, especially in North China (Liu 231 et al., 2019b), natural surface emissions significantly impacted the ambient GEM concentrations at 232 this sampling site.

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# 3.2 Quantify the contributions from natural surface emissions to ambient gaseous elemental mercury

236 **3.2.1 Development of the approach** 

237 A method is developed below for quantifying the contributions of GEM emissions from natural 238 surfaces to ambient GEM concentrations through application of the PMF model by introducing 239 specific variables related to natural surface emissions as traces. The first step is to identify what 240 variables are directly or indirectly related to the natural surface emissions of GEM. Temperature is 241 certainly a dominant one as has been demonstrated in existing soil-air fluxes studies of mercury 242 (Wang et al., 2014b;Zhu et al., 2016;Poissant and Casimir, 1998). The formation pathways of Hg<sup>0</sup> 243 in soil are all related to temperature, an empirical rule suggests that a 10°C temperature increase 244 doubles the rates for chemical reaction near room temperature, which has been proven to be applicable to HgII reduction in boreal soil (Moore and Carpi, 2005;Quinones and Anthony, 245 2011; Wang et al., 2016; Pannu et al., 2014). Discussions in Section 3.1.2 also suggested temperature 246

247 as a potentially useful tracer for predicting natural surface emissions of GEM. A second candidate 248 of tracers could be ambient NH<sub>3</sub> concentration because soil emissions of GEM and NH<sub>3</sub>, both of 249 which are temperature-dependent, are treated in a similar way in air-quality modeling studies 250 (Wright and Zhang, 2015;Zhang et al., 2010). As shown in Figure S2, the mean diurnal variations 251 of GEM concentrations highly correlated with ambient temperature as well as NH<sub>3</sub>. From this 252 perspective, NH<sub>3</sub> can be regarded as an indirect proxy for the natural surface emissions of GEM. In 253 a previous study, we have applied principal component analysis for source apportionment of 254 mercury in this area, and the source factor with high loadings for temperature and NH<sub>3</sub> was 255 interpreted as natural surface emissions of GEM (Qin et al., 2019).

256 Hence, in this study, we included the data of temperature and NH<sub>3</sub> into the PMF model to 257 apportion the sources of GEM. As shown in Figures S3-S18, the source apportionment results for 258 all the seasons of 2015-2018 all resolved a similar factor with high loadings of temperature and 259 moderate loadings of NH<sub>3</sub> and GEM. This factor was thought to be the natural surface emission 260 sources of mercury. As for the other resolved factors, the factor with high loadings of V and Ni 261 evidently represented shipping emissions, because Ni and V have been considered as typical tracers 262 of heavy oil combustion which has been commonly used in marine vessels (Viana et al., 2009). The 263 factor with high loading of Ca was assigned to cement production as the raw materials used in 264 cement production contain a large amount of calcium compounds. Moderate loadings of multiple 265 species including Cr, Mn, and Fe were found in one factor which was identified as iron and steel 266 production. The factor with high loading of NO was identified as vehicle emissions, as the major 267 source of NOx in the YRD region is mobile oil combustion (Tang et al., 2018). And the last factor 268 was identified as coal combustion due to the high loadings of As and Se, and moderate contributions from Pb and SO<sub>4</sub><sup>2-</sup>. As, Se, and Pb were all typical tracers of coal combustion and the precursor of 269  $SO_4^{2-}$  (i.e.  $SO_2$ ) also mainly derived from coal combustion. 270

In order to verify the PMF modeling results, we first examined the PMF model performance. Table S2 shows the coefficient of determination ( $R^2$ ) for GEM according to the observationprediction scatter plots (Figure S20-S23). The  $R^2$  values ranged from 0.37 to 0.89, suggesting an acceptable model performance. Figure S24-S27 display the time series of observed and predicted GEM concentrations from 2015-2018, which revealed that, except for a few extremely high observation values, the model can relatively well reproduce the observed GEM concentration on an 277 hourly basis.

278 To further verify the reliability of the resolved factors, the correlations between the mass 279 contributions of all factors to GEM and temperature were examined on the basis of diurnal profiles. 280 As shown in Figure S19, positive correlation was only found between the natural surface emissions 281 factor and temperature while the other resolved factors (i.e. vehicle emission, coal combustion, 282 shipping activities, cement production, and iron and steel production) did not show this relationship. 283 In addition, the relationship between particulate black carbon (BC) and GEM concentration was 284 investigated. On the one hand, BC mainly derived from various combustion processes, which were 285 also the main anthropogenic sources of atmospheric mercury. On the other hand, BC was never 286 introduced into the PMF modeling. As shown in Figure 5, the observed total GEM and BC 287 concentrations only showed weak correlations. This was mainly due to the fact that besides 288 anthropogenic sources, natural sources also contributed significantly to GEM. As a comparison, 289 anthropogenic GEM concentrations (extracted from PMF results) showed much better correlations 290 with BC from 2015 to 2018. In addition, the time-series of anthropogenic GEM concentrations 291 generally varied consistently with CO, which was also a tracer of fuel combustion (Figure S28). All 292 the evidences above corroborated that by using temperature and NH<sub>3</sub> as tracers for PMF modeling, 293 the separation of anthropogenic and natural GEM can be successfully achieved.

294 As for the specific anthropogenic mercury sources extracted from PMF results, Figure S29 295 shows that the time-series of coal combustion GEM also varied consistently with SO<sub>2</sub>, indicating 296 that the coal combustion factor resolved by PMF was credible. As shown in Figure S30, the potential 297 source regions of shipping GEM were found mainly over coastal and oceanic areas, indicating the 298 shipping factor resolved in this study was also valid. Figure S31 and Figure S32 show that the PSCF 299 signals of cement production GEM were relatively weak in the YRD region, while there were 300 substantial high PSCF signals for iron and steel production GEM in Eastern China. All the results 301 above collectively confirmed that the PMF results were robust.

# 302 3.2.2 Increasing contributions from natural surface emissions to ambient gaseous elemental 303 mercury

Figure 6 summarizes the contributions of natural surface emissions and anthropogenic emissions to GEM on seasonal basis from 2015 - 2018. The contributions of natural surface emissions to GEM were ~40% higher in summer  $(1.15\pm0.60 \text{ ng/m}^3)$  than winter  $(0.82\pm0.57 \text{ ng/m}^3)$ . 307 Besides, the contributions of natural surface emissions to GEM exhibited an upward trend, e.g., 308 increased from 33% to 53% in spring, 47% to 62% in summer, 49% to 60% in autumn, and 34% to 309 52% in winter, from 2015-2018 (Figure 6). In contrast, the contributions from anthropogenic sources 310 to GEM showed a downward trend, of which the decreased contribution from coal combustion 311 accounted the most. Coal combustion has been widely regarded as the dominant anthropogenic 312 source of mercury emissions at the global scale, and China is known as the largest coal producer 313 and consumer in the world (Zhang et al., 2012;Wu et al., 2006). Since 2013, a series of key air 314 pollution control measures have been applied in China to reduce the emission of air pollutants 315 (Zheng et al., 2018). YRD regions also took actions by regulating on the amount of coal 316 consumption, promoting renewable energy development and so on (Zheng et al., 2016). Hence, the 317 decreased contribution of coal combustion was attributed to the implementation of aggressive air 318 pollutant control measures in China in recent years, which subsequently led to an increase in the 319 relative contribution of natural surface emissions to GEM.

320 The absolute GEM concentrations contributed by both natural surface emissions and 321 anthropogenic emissions can be extracted from the PMF modeling results. Figure 7 exhibits the 322 monthly and yearly profiles from 2015 to 2018. Strong seasonal cycles of GEM contributed by 323 natural surface emissions were seen, corresponding to the seasonal pattern of ambient temperature 324 (Figure 7g) and the simulated monthly Hg fluxes from natural surface emissions in China (Wang et 325 al., 2016). The annual GEM concentration contributed by natural surface emissions was estimated to be  $1.04\pm0.55$  ng/m<sup>3</sup>,  $1.10\pm0.56$  ng/m<sup>3</sup>,  $1.13\pm0.56$  ng/m<sup>3</sup>, and  $1.00\pm0.45$  ng/m<sup>3</sup> from 2015 to 326 327 2018, respectively (Figure 7a & 7b), which almost remained unchanged. This could be mainly 328 explained by the little variation of annual temperature (Fig. 7h) and wind pattern from 2015 to 2018 329 (Fig. S33). On the contrary, the annual GEM concentration contributed by anthropogenic emissions was estimated to be 1.53±1.04 ng/m<sup>3</sup>, 1.26±0.78 ng/m<sup>3</sup>, 1.23±0.95 ng/m<sup>3</sup>, and 0.82±0.58 ng/m<sup>3</sup> 330 331 from 2015 to 2018, respectively, showing an obvious decreasing trend (Figure 7c & 7d). It was noted that the GEM concentration contributed by anthropogenic emissions dropped the most from 332 333 2017 to 2018 with a rate of around 40%. By referring to the Table S3, SO<sub>2</sub> and CO also decreased significantly of about 35% and 18%. As SO<sub>2</sub> and CO were the main primary gaseous pollutants 334 335 emitted from fuel combustions, their sharp decreases indicated the significant reduction of 336 anthropogenic emissions which was probably responsible for large drop of GEM from 2017 to 2018.

337 Overall, the relative contribution of natural surface emissions to ambient GEM was on the rise, e.g.,

338 from 41% in 2015 to 57% in 2018 on annual average (Figures 7e & 7f).

- 339
- 340 4. Conclusions and Implications

341 Through a four-year continuous measurement of GEM in the suburbs of Shanghai, a clear decreasing trend was observed with the rate of  $-0.32\pm0.07$  ng m<sup>-3</sup> yr<sup>-1</sup>, which was mainly due to the 342 343 reduction of anthropogenic mercury emissions. The lower decreasing rate in warm seasons than in 344 cold seasons and the high correlation between GEM concentrations and temperature suggested that 345 natural surface emissions significantly impacted the GEM concentrations. By demonstrating that 346 temperature and NH<sub>3</sub> can well serve as tracers of natural surface mercury emissions, distinguishing natural vs. anthropogenic contributions to GEM was doable by introducing these tracers into the 347 348 PMF model. The results indicated that the contribution from anthropogenic mercury emissions was 349 declining, especially from coal combustion. The annual absolute contributions of natural surface 350 emissions were in the range of 1.00-1.13 ng/m<sup>3</sup>, and the relative contribution of natural surface 351 emissions to GEM increasing form 41% in 2015 to 57% in 2018.

352 Measurements of GEM and other pollutants in a regional background area in Eastern China 353 demonstrated the effectiveness of emission control policies in this and surrounding regions in China 354 in recent years. The decreasing contributions from anthropogenic sources and the relatively stable 355 contributions from natural surface emissions to the ambient GEM have resulted in the relative 356 contributions of natural surface emissions surpassing those of anthropogenic emissions in more 357 recent years. This trend will likely continue for some years considering the current pollution levels 358 in China which needs further pollution abatement. This implies that even though the anthropogenic 359 emissions of mercury would continue to decrease, the legacy mercury in the natural surfaces will 360 continue to emit steadily for a long period of time. In addition, the natural release of mercury could 361 be enhanced under climate warming scenario. Hence, the atmospheric mercury concentration in 362 YRD or other parts of China will remain at relatively high levels in the near future, which brings 363 big challenges to China's policies on mercury emissions reduction. The methodology developed in the present study could also shed some light on source apportionment of atmospheric mercury in 364 365 the other regions of the world, and has potential for improving emission databases from natural 366 surfaces where ambient GEM and auxiliary data are available.

367	It has to be noted that according to our results, ship emission was identified to be an important
368	source of mercury which hasn't been included in previous emission inventory studies. Mercury
369	emission factors from shipping are lacking and field measurements will be needed to verify it.
370	Moreover, we realize that the application of PMF in the source apportionment of atmospheric
371	mercury sources has certain limitations. Source-specific indicators are preferred, however, most
372	indicators used for source identification have multiple sources, which have added uncertainties to
373	our results. We suggest that in future research, the application of the PMF model is limited to the
374	separation of the natural and total anthropogenic sources of GEM, which has been proved to be
375	credible.

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- 381

## 382 Author contribution

- 383 X.Q. and K. H. designed this study. X.Q. performed measurements and data analysis. X.W., Q.F.,
- 384 Q.Z., Y.L., and J.H. performed data collection. X.Q., L.Z., K.H., and C.D. wrote the paper. All have
- 385 commented and reviewed the paper.
- 386

## 387 Competing interests

- 388 The authors declare that they have no conflict of interest.
- 389

#### **Data availability**

- All data used in this study can be requested from K.H. (huangkan@fudan.edu.cn).
- 392

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Figure 1. The location of the Dianshan Lake (DSL) site in Shanghai, China. Different colors in the
map represent different land cover types.



570

Figure 2. Monthly and annual variations of (a) GEM, (b) PM<sub>2.5</sub>, and (c) SO<sub>2</sub> concentrations from
2015 to 2018.



Figure 3. Seasonal variations of GEM concentrations from 2015 to 2018. The variation rates ofGEM for each season are also shown in the figure.



579 Figure 4. Diurnal patterns of bi-hourly GEM concentrations and temperature for the whole year (a580 d), warm seasons (e-h), and cold seasons (i-l) during 2015 – 2018, respectively. The linear

581 correlations between GEM and temperature are inserted as inner figures.



586 Figure 5. The relationship between observed GEM and BC, anthropogenic GEM (extracted from

- 587 PMF results) and BC during 2015 2018



593 Figure 6. Contributions of natural surface emissions and anthropogenic sources to atmospheric

<sup>594</sup> GEM in the four seasons during 2015 - 2018.



Figure 7. The monthly and annual GEM concentrations contributed by natural surface emissions (ab) and anthropogenic emissions (c-d) from 2015 to 2018. (e-f) The monthly and annual contribution
of natural surface emissions to GEM concentrations from 2015 to 2018. (g-h) The corresponding
ambient temperature from 2015 to 2018.