



1 **A set of methods to quantitatively evaluate the below-cloud evaporation**
2 **effect on precipitation isotopic composition: a case study in a city located**
3 **in the semi-arid regions of Chinese Loess Plateau**

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32 Abstract:

33 Below-cloud evaporation effect heavily alters the initial precipitation isotopic
34 composition, especially in the arid and semi-arid regions, and leads to misinterpreting
35 the isotopic signal. To correctly explore the information contained in the precipitation
36 isotopes, the first step is to qualitatively analyze the falling raindrops encountered
37 below-cloud processes, and then to quantitatively compute the below-cloud
38 evaporation ratio of raindrops. Here, based on two-year precipitation and water vapor
39 isotopic observations in Xi'an, we systematically evaluated the variations of
40 precipitation and water vapor isotopes caused by the below-cloud evaporation effect.
41 Our results suggest that the equilibrium method could be successfully used to predict
42 the ground-level water vapor isotopic composition in semi-arid climates, especially for
43 the winter data. Moreover, by using $\Delta d\Delta\delta$ -diagram, our data showed that evaporation
44 is the mainly happened below-cloud process of raindrops, while snowfall samples
45 retained the initial cloud signal because of less isotopic exchange between vapor and
46 solid phases. In terms of meteorological factors, both temperature, relative humidity,
47 and precipitation amount affect the intensity of below-cloud evaporation. In arid and
48 semi-arid regions, the below-cloud evaporation ratio computed by the mass
49 conservation equation would be overestimated relative to the isotopic method, while
50 relative humidity is the most sensitive parameter in computing the remaining fraction
51 of evaporation. In the Chinese Loess Plateau (CLP) city, raindrops are weakly
52 evaporated in autumn and winter, and heavily evaporated in spring and summer, and
53 in the meantime, the evaporation intensity is related to the local relative humidity. Our
54 work sets an integrated and effective method to evaluate the below-cloud evaporation
55 effect, and it will improve our understanding of the information contained in
56 precipitation isotopic signals.

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66 **1 Introduction**

67 Hydrogen and oxygen isotopes of precipitation are the one of greatly important tools
68 to trace the hydrological cycle and climate changes (Bowen et al., 2019; Gat, 1996).
69 For the paleoenvironment, the isotopic signals of precipitation recorded in ice cores
70 (Thompson et al., 2000; Yao et al., 1996), tree rings (Liu et al., 2004; Liu et al., 2017b),
71 speleothems (Cai et al., 2010; Tan et al., 2014), and leaf wax of loess-paleosol
72 deposits (Wang et al., 2018b) and lake sediments (Liu et al., 2017a, 2019) could be
73 used to reconstruct the information of temperature, precipitation, and hydrological
74 regimes in geologic history, as it had participated into the formation or growth of these
75 geological archives. For the modern environment, the isotopic ratios of precipitation
76 could be used to quantitatively constraint the water vapor contribution from advection
77 (Peng et al., 2011), evaporation (Sun et al., 2020; Wang et al., 2016a), transpiration
78 (Li et al., 2016a; Zhao et al., 2019), and even anthropogenic activities (Fiorella et al.,
79 2018; Gorski et al., 2015), as precipitation itself is one of the most important parts of
80 the water circulation processes. Due to the limitations from sampling and theory,
81 however, there remains some uncertainty to decipher the information contained in
82 precipitation by using hydrogen and oxygen isotopic ratios (Bowen et al., 2019; Yao et
83 al., 2013).

84

85 Chinese Loess Plateau (CLP) is located in the arid and semi-arid areas, where the
86 below-cloud evaporation and surface moisture evaporation effects on precipitation
87 isotopes have been widely proved (Sun et al., 2020; Wan et al., 2018; Zhang and Wang,
88 2016), which means the information remained in precipitation isotopic composition
89 may have been remodeled by the environmental factors. Therefore, before we utilize
90 precipitation isotopes to reconstruct the climate changes or to trace the water vapor
91 sources, we first need to have a set of reliable evaluation systems to diagnose whether
92 the precipitation isotopic ratios have been distorted by the below-cloud evaporation
93 effect. Second, we should quantitatively calculate the below-cloud evaporation ratio of
94 precipitation. Lastly, we can be able to use the calibrated precipitation isotopes to
95 discuss regional water vapor sources or global hydrological cycle after we exclude the
96 below-cloud evaporation effect. But the present situation is that there is still a large gap
97 in our understanding at the first and second steps, and hence further study is needed.

98

99 Over the past decades, to determine whether the hydrometeors have been evaporated
100 during its falling, most studies depend on a second-order isotopic parameter (Jeelani
101 et al., 2018; Li and Garziona, 2017), deuterium excess (defined as $d\text{-excess} = \delta^2\text{H}-$



102 $8 \times \delta^{18}\text{O}$), which is the representative of the kinetic fractionations, since ${}^2\text{H}{}^1\text{H}{}^{16}\text{O}$
103 equilibrate faster than ${}^1\text{H}_2{}^{18}\text{O}$ in different phases (Clark and Fritz, 1997; Dansgaard,
104 1964). The lighter isotopes (${}^1\text{H}$ and ${}^{16}\text{O}$) of raindrop are preferentially evaporated from
105 the liquid phase during its falling through unsaturated ambient air, which results in a
106 decrease of d-excess in rain. Accordingly, the d-excess in the surrounding water vapor
107 will increase. The slope of the local meteoric water line (LMWL) has also been widely
108 used as a metric to infer the below-cloud evaporation effect according to the theory of
109 water isotopic equilibrium fractionation (Chakraborty et al., 2016; Putman et al., 2019;
110 Wang et al., 2018a), in which the LMWL's slopes approximately equal to 8.0 belonging
111 to equilibrium fractionation and that is lower than 8.0 pointing to a non-equilibrium
112 fractionation, such as the re-evaporation of raindrops. Nonetheless, it should be noted
113 that a change of air masses, condensation in supersaturation conditions, and moisture
114 exchange in the cloud and sub-cloud layer also cause largely spatial variation in slopes
115 and d-excess values (Graf et al., 2019; Putman et al., 2019; Tian et al., 2018). As an
116 improvement, simultaneous observations of water vapor and precipitation are applied
117 to distinguish these processes and quantify below-cloud processes. Yu et al. (2015,
118 2016) used the custom-made sampling devices to collect daily water vapor samples
119 over the Tibetan and Pamir Plateau, and discussed moisture source impacts on the
120 precipitation isotopes. With the aid of the off-line water vapor sampling system,
121 Deshpande et al. (2010) analyzed the rain-vapor interaction using stable isotopes.
122 However, the old water vapor cryogenic trapping technique is time-consuming
123 (Christner et al., 2018), labor-intensive (Welp et al., 2012), and discrete (Wen et al.,
124 2016), limiting the further examination of the two-phase system.

125
126 In recent years, with the progress in optical laser systems, the relatively portable field-
127 deployable laser spectroscopic instruments, simultaneously measuring ${}^1\text{H}_2{}^{16}\text{O}$,
128 ${}^2\text{H}{}^1\text{H}{}^{16}\text{O}$, and ${}^1\text{H}_2{}^{18}\text{O}$ isotopes, allows performing online, autonomous, and long-term
129 site measurements of the water vapor stable isotopic composition (Aemisegger et al.,
130 2012; Christner et al., 2018). The emergence of this instrument exerts a great impact
131 on the study of water vapor isotopic composition, leading to a substantially increased
132 number of observations in near-ground water vapor, and deepens our knowledge in
133 water vapor isotopic variations and fractionation processes during the two-phase
134 transformation (Noone et al., 2011; Steen-Larsen et al., 2014). Wen et al. (2010) first
135 analyzed the $d\text{-excess}_{\text{vap}}$ (denotes the d-excess of water vapor) at hourly temporal
136 resolution in Beijing, China, and systematically discussed the controls on the isotopic
137 exchange between vapor and condensed phase. Griffis et al. (2016) used multi-years



138 water vapor and precipitation isotopic results to evaluate the water vapor contributions
139 to the planetary boundary layer from evaporation. Laskar et al. (2014) and Rangarajan
140 et al. (2017) comprehensively investigated the water vapor sources and raindrop-vapor
141 interaction in Taibei, and developed a box model to explain the controlling factors for
142 high and low $d\text{-excess}_{\text{vap}}$ events in this region. Combined with observations and
143 numerical simulations of stable isotopes in vapor and rain impacted by cold fronts,
144 Aemisegger et al. (2015) clearly revealed the importance of below-cloud processes for
145 improving the simulations. As a creative work, Graf et al. (2019) introduced a new
146 interpretive framework to directly separate the convoluted influences on the stable
147 isotopic composition of vapor and precipitation according to the theoretical
148 fractionation processes, especially the influences of equilibration and below-cloud
149 evaporation, which enables us to correctly figure out the governing below-cloud
150 processes in the course of a rainfall. Although Graf's et al. (2019) work gives us a new
151 guideline to more accurately judge the raindrops experienced below-cloud evaporation
152 effect, their work was only validated on a cold frontal rain event of short period, and
153 hence more works should be done to prove the general applicability of their framework.

154

155 On the other hand, to quantitatively calculate the below-cloud evaporation ratio of
156 raindrops, the falling raindrop model suggested by Stewart (1975) has been widely
157 used, as the raindrops experienced physical processes have been explicitly described
158 by this isotope-evaporation model (Müller et al., 2017; Sun et al., 2020; Zhao et al.,
159 2019). Based on Stewart's (1975) work, the remaining fraction of raindrop mass (F_r)
160 after evaporation could be calculated according to the difference of stable isotopic
161 ratios in collected precipitation near the ground and below the cloud base (See Data
162 and Methods, section 2.3.2, eq 7). We note that some of the studies used the mass
163 conservation model of a falling raindrop to calculate F_r (See Data and Methods, section
164 2.3.3, eq 8; Kong et al., 2013; Li et al., 2016; Sun et al., 2019; Wang et al., 2016b),
165 and some of the works assumed the F_r is a constant (Müller et al., 2017), but no work
166 has been reported by using ground-based and cloud-based observations of water
167 vapor isotopes to calculate the F_r according to our knowledge. Due to the numerous
168 uncertainty of the parameters in the mass conservation model, such as the factors of
169 terminal velocity, the evaporation intensity, and the diameter of the raindrops, the error
170 propagation will largely raise the deviation of F_r in the model. Furthermore, no work
171 has systematically evaluated the differences of F_r computed by the observed isotope
172 results and the classical mass conservation model, until now.

173



174 Here, we have measured the near-ground water vapor isotopic composition at a city
175 located in the CLP for 2 years, while collected 142 precipitation samples (including
176 snowfall samples). The objectives of this study are to: 1. test the applicability of the Δ
177 $d\Delta\delta$ -diagram suggested by Graf et al. (2019) when it is used to diagnose the below-
178 cloud processes; 2. compare the differences of raindrops below-cloud evaporation
179 ratio calculated by the observed ground-based water vapor isotopic composition and
180 the mass conservation model; 3. understand the main meteorological factors, such as
181 temperature, relative humidity (RH), and precipitation amount, controlling on the
182 below-cloud evaporation effect, and the seasonal variations of below-cloud
183 evaporation ratio in CLP. With the advantages of the coupling observations of the
184 vapor and precipitation in stable isotopes near the ground level, this study will provide
185 a new set of methods to determine the below-cloud evaporation effect qualitatively,
186 and strengthen our insight into that effect in arid and semi-arid areas quantitatively.

187

188 **2 Data and methods**

189 **2.1 Sampling site**

190 As the capital city of Shaanxi province and the largest city in northwest China, Xi'an is
191 located on the Guanzhong Plain on the southern edge of the CLP at an average
192 elevation of 400 m. The city is located in a semi-arid to arid region and is representative
193 of most cities in the north and northwest of China (e.g., Lanzhou and Xining city, Fig.
194 1), while notable below-cloud evaporation effect has been reported by many studies in
195 this area (Sun et al., 2020; Wan et al., 2018; Zhu et al., 2016).

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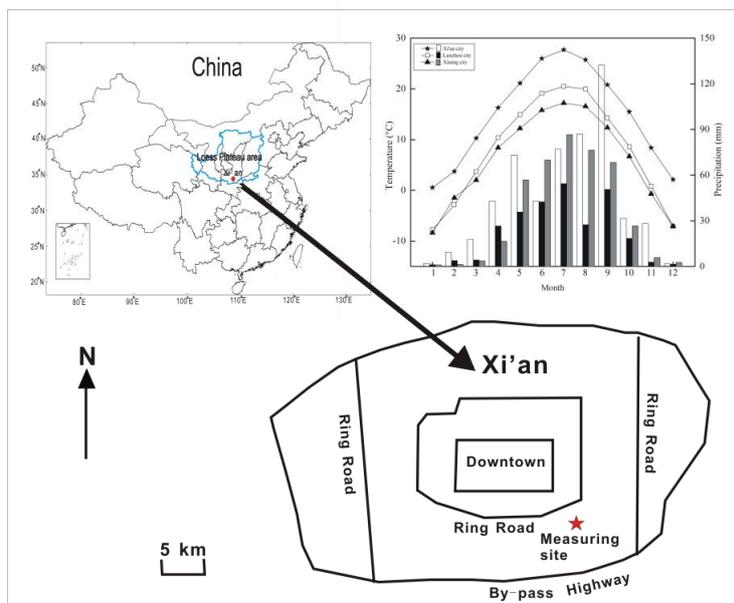
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211 Figure 1 Average monthly variations of temperature and precipitation in Xi'an, Lanzhou, and
212 Xining during 2010-2015. Location of the sampling site in the Yanta Zone, 9 km SE of downtown
213 Xi'an. Water vapor samples are taken on the seventh floor of a twelve-story building, about 30
214 m above ground level. Precipitation samples are collected on the top floor, 1 m above ground
215 level.

216

217 The water vapor in-situ measurement is located in a residential area, approximately 10
218 km southeast to downtown of Xi'an city (Fig. 1). No specific pollution sources or point
219 sources are adjacent to the site. The atmospheric water vapor isotopic composition
220 was observed from 1 January 2016 to 31 December 2017 on the seventh floor of the
221 Institute of Earth and Environment, Chinese Academy of Sciences, about 30 m above
222 ground level. The rainfall or snowfall collector was placed on the rooftop of the
223 buildings (1 m above the floor of the roof).

224

225 2.2 Sampling and isotopic measurement

226 Rainfall and snowfall samples were collected manually from the beginning of each
227 precipitation event using a polyethylene collector (700 mm × 450 mm × 170 mm) and
228 the volume was measured using a graduated flask. Before being used, the collector
229 was cleaned with soap and water, rinsed with deionized water, and then dried. When
230 the precipitation events end, the collector was quickly taken back to minimize water
231 evaporation. Rainfall samples were immediately poured into a 100 ml polyethylene
232 bottle. The snowfall samples were melted at room temperature in a closed plastic bag
233 after collection, and then immediately poured into a 100 ml polyethylene bottle. After



234 collection, samples were filtered through 0.40- μm polycarbonate membranes. About
235 a 2 ml of each filtrate was transferred into a sample vial, and stored at -4°C until being
236 measured. Of the 142 samples, during the two-year sampling campaign, we collected
237 131 rainfall and 11 snowfall samples (Table S1).

238

239 In all cases, the data are reported in the standard delta notation (δ), i.e., the per mil
240 (‰) deviation from Vienna Standard Mean Ocean Water according to, $\delta =$
241 $(R_{\text{sample}}/R_{\text{reference}} - 1) \times 1000$, where R is the isotope ratio of the heavy and light isotope
242 (e.g., $^{18}\text{O}/^{16}\text{O}$) in the sample and the reference.

243

244 The precipitation samples were measured by Picarro L2130-i wavelength-scanned
245 cavity ring-down spectrometer at high-precision model, with the precision of better than
246 0.1 ‰ and 0.5 ‰ for $\delta^{18}\text{O}$ and $\delta^2\text{H}$, respectively (Crosson, 2008; Gupta et al., 2009). All
247 the samples were calibrated by three laboratory standards, while the $\delta^{18}\text{O}$ and $\delta^2\text{H}$ true
248 values of the three laboratory standards (Laboratory Standard-1 (LS-1): $\delta^{18}\text{O} = +0.3\text{‰}$,
249 $\delta^2\text{H} = -0.4\text{‰}$; Laboratory Standard-2 (LS-2): $\delta^{18}\text{O} = -8.8\text{‰}$, $\delta^2\text{H} = -64.8\text{‰}$; Laboratory
250 Standard-3 (LS-3): $\delta^{18}\text{O} = -24.5\text{‰}$, $\delta^2\text{H} = -189.1\text{‰}$) are calibrated to the scale of two
251 international standard material VSMOW-GISP.

252

253 Atmospheric water vapor $\delta^{18}\text{O}_v$ and $\delta^2\text{H}_v$ were also measured by Picarro L2130-i, but
254 at a liquid-vapor dual model. The inlet of the gas-phase instrument is connected to the
255 vapor source through an external solenoid valve when measuring vapor samples. This
256 valve can switch the input of the instrument from the vapor sample to dry gas. The
257 instrument is connected to dry gas prior to being connected to the evaporator for
258 measuring liquid water standards so that any traces of the water vapor sample are
259 removed from the measurement cell. The standards are injected into the evaporator
260 and measured by a CTC Analytics autosampler, PAL HTC-xt (Leap Technologies,
261 Carrboro, NC, USA). The atmospheric water vapor is pumped through a stainless-steel
262 tube (1/8 inch) using a diaphragm pump and detected by the laser spectrometer.

263

264 The raw water vapor $\delta^{18}\text{O}_v$ and $\delta^2\text{H}_v$ data were obtained approximately at 1 Hz and
265 then block-averaged into 24 h intervals. As the main usage of this instrument is to
266 measure the liquid water samples in our laboratory, it is used to monitor the water
267 vapor isotopes in its spare time. Thus, the data gaps represent the instrument is in
268 liquid samples measuring status or maintenance.

269



270 The hourly meteorological data, such as temperature and RH in Xi'an, are reported by
271 the China meteorological administration, and can be downloaded from the websites of
272 <http://www.weather.com.cn/>. The meteorological station is about 10 km to the north of
273 our sampling site.

274

275 **2.3 Water vapor isotopic data calibration**

276 Due to the isotopic measurements of the cavity ringdown spectrometer with water
277 vapor concentration effect as outlined by some studies (Bastrikov et al., 2014; Benetti
278 et al., 2014; Steen-Larsen et al., 2013), it is important to determine the humidity-isotope
279 calibration response function. Because we did not have the Standards Delivery Module
280 (Picarro) system or equivalent, the humidity calibration is based on data obtained from
281 discrete injections of three known liquid standards with a PAL autosampler and the
282 Picarro vaporizer unit (Benetti et al., 2014; Noone et al., 2013). The analyzer is
283 programmed to perform a self-calibration after every 24 hours of ambient air
284 measurement using an autosampler to inject liquid standards for producing different
285 humidity. Injections were arranged at humidity levels near 3000, 5000, 8000, 10000,
286 15000, 20000, 25000 and 30000 ppm. Each reference sample is measured
287 continuously for 8 times at one humidity level, and the last 3 times results were used
288 to calculate the average to be recognized as the δ -value at the measured humidity.
289 The humidity correction is the difference between the δ -value at the measurement
290 humidity and the δ -value at a reference value taken as humidity = 20000 ppm. The
291 best fit was reached with an exponential function for $\delta^{18}\text{O}_v$ and a linear function for $\delta^2\text{H}_v$
292 (Fig. S1a and S1b). The isotopic measurements of ambient air $\delta^{18}\text{O}_v$ samples were
293 corrected for humidity effects using:

$$294 \delta^{18}\text{O}_{\text{humidity calibration}} = \delta^{18}\text{O}_{\text{measured}} - (-4.91 \times e^{(-3.51 \times \text{Measured humidity})}) \quad (\text{eq 1})$$

295 and for ambient air $\delta^2\text{H}_v$ humidity correction using:

$$296 \delta^2\text{H}_{\text{humidity calibration}} = \delta^2\text{H}_{\text{measured}} - (0.0001 \times \text{Measured humidity} - 1.86) \quad (\text{eq 2})$$

297 where $\delta_{\text{humidity calibration}}$ is the calibrated data for water vapor stable isotope; δ_{measured} is
298 the raw, measured data before calibration; and measured humidity is the
299 corresponding humidity at the time of measurement.

300

301 **2.4 Analytical methods**

302 **2.3.1 $\Delta d\Delta\delta$ -diagram**

303 As the raindrop falling from the cloud base to the ground, part of it will be evaporated
304 into the ambient atmosphere, however, it is very hard to quantify this process by
305 observation. Using stable water isotopes, Graf et al. (2019) introduced a $\Delta d\Delta\delta$ -



306 diagram to diagnose below-cloud processes and their effects on the isotopic
307 composition of vapor and rain since equilibration and evaporation are two various
308 below-cloud processes and lead to different directions in the two-dimensional phase
309 space of the $\Delta d\Delta\delta$ -diagram. Here, the differences of isotopic composition ($\delta^{18}\text{O}_{\text{pv-eq}}$,
310 $d\text{-excess}_{\text{pv-eq}}$) of equilibrium vapor from precipitation samples relative to the observed
311 ground-based water vapor ($\delta^{18}\text{O}_{\text{gr-v}}$, $d\text{-excess}_{\text{gr-v}}$) can be expressed as:

$$312 \quad \Delta\delta = \delta_{\text{pv-eq}} - \delta_{\text{gr-v}} \quad (\text{eq3})$$

$$313 \quad \Delta d\text{-excess}_v = d\text{-excess}_{\text{pv-eq}} - d\text{-excess}_{\text{gr-v}} \quad (\text{eq4})$$

314 where $\delta_{\text{pv-eq}}$ and $\delta_{\text{gr-v}}$ are the $\delta^2\text{H}$ ($\delta^{18}\text{O}$) of water vapor below the cloud base and near
315 the ground, respectively, and $d\text{-excess}_{\text{pv-eq}}$ and $d\text{-excess}_{\text{gr-v}}$ are $d\text{-excess}$ values of
316 water vapor below the cloud base and near the ground, respectively.

317

318 To calculate the water vapor isotopic composition below the cloud base, we
319 hypothesize the constant exchange of water molecules between the liquid the vapor
320 phases during the falling of raindrop, and the isotopic compositions reach towards an
321 equilibrium in the two phases during the processes. In the equilibrium state, the
322 isotopic fractionation between the liquid and vapor phases follows a temperature-
323 dependent factor:

$$324 \quad R_p = R_{\text{pv-eq}}\alpha \quad (\text{eq5})$$

325 where $R_{\text{pv-eq}}$ is the water vapor isotope ratio between heavy and light isotopes ($^2\text{H}/^1\text{H}$
326 and $^{18}\text{O}/^{16}\text{O}$), R_p is the isotope ratio in precipitation, and α is a temperature-dependent
327 equilibrium fractionation factor. Here, when the temperature is greater than 0°C , we
328 use the equation of Horita and Wesolowski (1994) to calculate $^2\alpha$ and $^{18}\alpha$, when the
329 temperature is below 0°C , the equilibrium fractionation factor suggested by Ellehoj et
330 al. (2013) is considered.

331

332 The above equation can be converted into δ -notation as:

$$333 \quad \frac{\delta_p}{1000} + 1 = \alpha \left(\frac{\delta_{\text{pv-eq}}}{1000} + 1 \right) \quad (\text{eq6})$$

334 where δ_p is the isotope ratio in precipitation.

335

336 **2.3.2 Below-cloud evaporation calculated by isotope**

337 Stewart (1975) suggested the falling raindrop isotopic fractionation of evaporation
338 could be calculated according to the fraction of raindrop mass remained after
339 evaporation:



$$\Delta\delta = \delta_p - \delta_{zp-eq} = \left(1 - \frac{\gamma}{\alpha}\right)(F_{iso}^{\beta} - 1) \quad (\text{eq7})$$

341 where δ_p and δ_{zp-eq} are precipitation isotope ratio near the ground and below the cloud
342 base, respectively; F_{iso} is the remaining fraction of raindrop mass after evaporation
343 (hereafter, the remaining fraction of raindrop mass calculated by this method is
344 denoted as F_{iso}); α is equilibrium fractionation factor for hydrogen and oxygen isotopes;
345 the parameters of γ and β is defined by Stewart (1975). For the detailed calculation
346 processes, please refer to the supplemental material (Appendix A), and Wang et al.
347 (2016b), Sun et al. (2020), and Salamalikis (2016).

348

349 2.3.3 Below-cloud evaporation calculated by mass conservation model

350 Before the advent of the laser-based spectrometer, the method, which calculates the
351 remaining fraction of raindrop mass according to the law of conservation of mass
352 (hereafter, the remaining fraction of raindrop mass calculated by this method is
353 denoted as $F_{raindrop}$), has been widely used (Kong et al., 2013; Li et al., 2016a; Sun et al.,
354 2020; Wang et al., 2016b; Zhao et al., 2019):

$$F_{raindrop} = \frac{m_{end}}{m_{end} + m_{ev}} \quad (\text{eq8})$$

356 where the mass of the reaching ground raindrop without evaporation is m_{end} and the
357 evaporated raindrop mass is m_{ev} . For the detailed calculation processes, please refer
358 to the supplemental material (Appendix B), and Wang et al. (2016b), Sun et al. (2020),
359 Kong et al. (2013), and Salamalikis (2016).

360

361 2.3.4 Statistical Analysis

362 To compare the difference of the below-cloud evaporation calculated by the two
363 methods, the independent t-test was performed on SPSS 13.0 (SPSS Inc., Chicago,
364 US). A significant statistical difference was set at $p < 0.05$.

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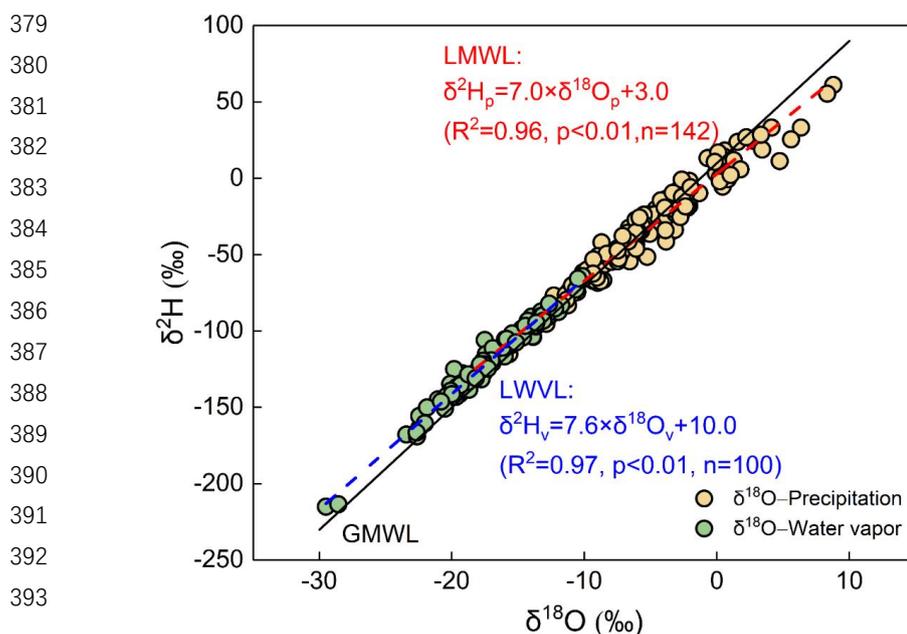
366 3 Results and discussion

367 3.1 Relationship between water vapor and precipitation isotope ratios

368 In this study, the local meteoric water line (LMWL) is: $\delta^2H_p = 7.0 \times \delta^{18}O_p + 3.0$ based on
369 event-based precipitation isotopic composition, and the local water vapor line (LWVL)
370 is: $\delta^2H_v = 7.6 \times \delta^{18}O_v + 10.0$ based on daily water vapor isotopic composition (Fig. 2). Both
371 the slope and intercept of LMWL are lower than that of Global Meteoric Water Line
372 (GMWL), which are 8.0 and 10.0 (Dansgaard, 1964; Gat, 1996), respectively,
373 indicating the potential for significant below-cloud evaporation effect on precipitation
374 (Froehlich et al., 2008). In general, the slope of the meteoric water lines are indicative



375 of kinetic processes superimposed on the equilibrium fractionation, and the slope of
376 LWVL (slope=7.6) that shows a little lower than that of expected equilibrium
377 fractionation (slope=8.0) is also associated with the increasing influence of kinetic
378 processes (Rangarajan et al., 2017).



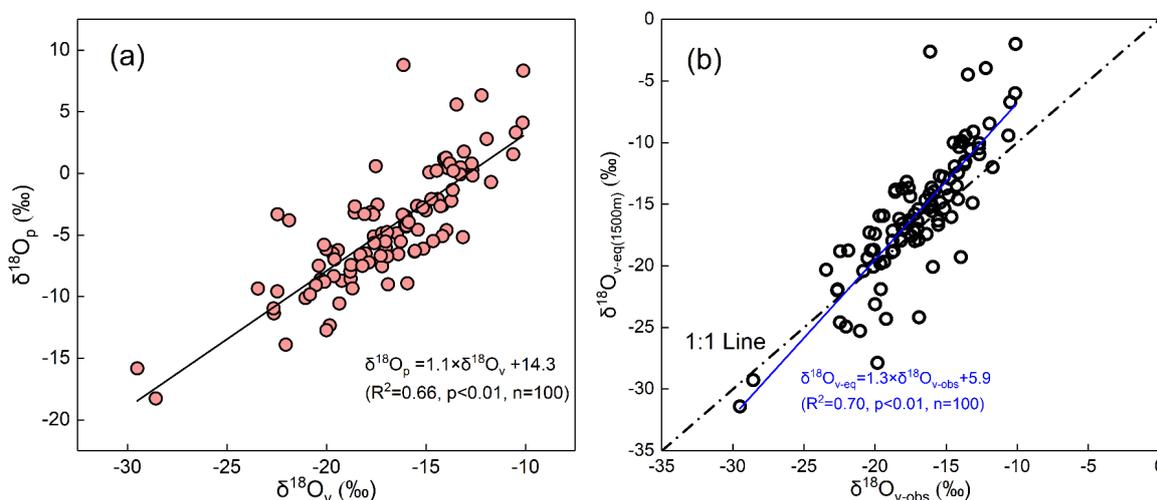
394 Figure 2 Local meteoric water line (LMWL) and Local water vapor line (LWVL) in Xi'an

395

396 Besides, we noted that the water vapor and precipitation isotopic composition basically
397 followed the same trend, and the water vapor isotopic composition is roughly more
398 negative than the precipitation isotopic composition (Fig. 2). According to the classical
399 isotopic fractionation theory, the heavier isotopes preferentially condense into the
400 liquid phase, and results in the precipitation isotopic ratios being more positive than
401 the corresponding water vapor isotopic composition during the precipitation process
402 (Dansgaard, 1964). Hence, the perfect distribution characteristics of water vapor and
403 precipitation on the $\delta^{18}\text{O}$ - $\delta^2\text{H}$ plot would make us suppose that the precipitation isotopic
404 composition is mainly determined by its local water vapor isotopic composition in this
405 study site. To further validate our assumption, each of the event-based precipitation
406 isotopic ratio was plotted together with its water vapor isotopic composition of the
407 corresponding day, and they showed a significant positive correlation (Fig. 3a, $R^2 = 0.66$,
408 $p < 0.01$). The water vapor isotopic composition can explain above 60% of the variation
409 of precipitation isotopic composition. Further, we used the measured precipitation
410 isotopic composition to deduce the water vapor isotopic composition at the cloud base



411 (1500m) according to the liquid-vapor equilibrium isotope fractionation, and compared
412 it with the observed near-ground water vapor isotopic composition. As expected, the
413 scatterplot of the observed $\delta^{18}\text{O}_v$ against the deduced $\delta^{18}\text{O}_{v\text{-eq}(1500\text{m})}$ also presented a
414 significantly positive relationship, and the correlation coefficient increased a little as
415 well (Fig. 3b).



416 Figure 3 Relationship between $\delta^{18}\text{O}_p$ of precipitation and $\delta^{18}\text{O}_v$ of water vapor in Xian (a); and
417 relationship between the equilibrium computed 1500m $\delta^{18}\text{O}_v$ based on the precipitation isotopic
418 composition and the near ground observed $\delta^{18}\text{O}_v$ (b). The dash-dot line in (b) stands for the 1:1
419 line, and the blue line represents the regression line of the data.

420

421 The reasonable agreement of $\delta^{18}\text{O}$ between observed water vapor and equilibrium
422 prediction has been reported by Jacob and Sonntag (1991), Welp et al. (2008), and
423 Wen et al. (2010), however, they postulated the different relationship underlying the
424 $\delta^{18}\text{O}_v$ and $\delta^{18}\text{O}_{p\text{-v-eq}}$. Jacob and Sonntag (1991) suggested that the water vapor isotopic
425 composition is possible to be deduced from the corresponding precipitation isotopic
426 composition, but Wen et al. (2010) pointed that the equilibrium method cannot
427 accurately predict the ground-level water vapor isotopic composition in arid and
428 semiarid climates. Here, our results indicate that it is possible to derive the isotope
429 composition of atmospheric water vapor based on that of the precipitation in the semi-
430 arid area.

431

432 In addition, we also noted that the equilibrium calculated $\delta^{18}\text{O}_{v\text{-eq}(1500\text{m})}$ is relatively more
433 positive than the $\delta^{18}\text{O}_{v\text{-obs}}$ (Fig. 3b). Conventionally, the water vapor isotopic
434 composition decreases with the increase of altitude because of the decreasing
435 temperature (Deshpande et al., 2010; Salmon et al., 2019). However, due to the CLP



436 belonging to the semi-arid area, the raindrops are largely potential to be evaporated in
437 the unsaturated atmosphere during their falling as well as the $\delta^{18}\text{O}_p$ is subject to more
438 positive than its actual value. Therefore, the positively equilibrated $\delta^{18}\text{O}_{v\text{-eq}(1500\text{m})}$ is
439 caused by the below-cloud evaporation effect, which makes the $\delta^{18}\text{O}_{v\text{-eq}}-\delta^{18}\text{O}_{v\text{-obs}}$ points
440 deviate from the 1:1 line. This reminds us that although the isotopic composition of
441 water vapor can be derived from the precipitation, the below-cloud evaporation effect
442 on altering the precipitation isotopic composition should be carefully noticed in the arid
443 and semi-arid area.

444

445 **3.2 Below-cloud processes indicated by Δd and $\Delta\delta$ diagram**

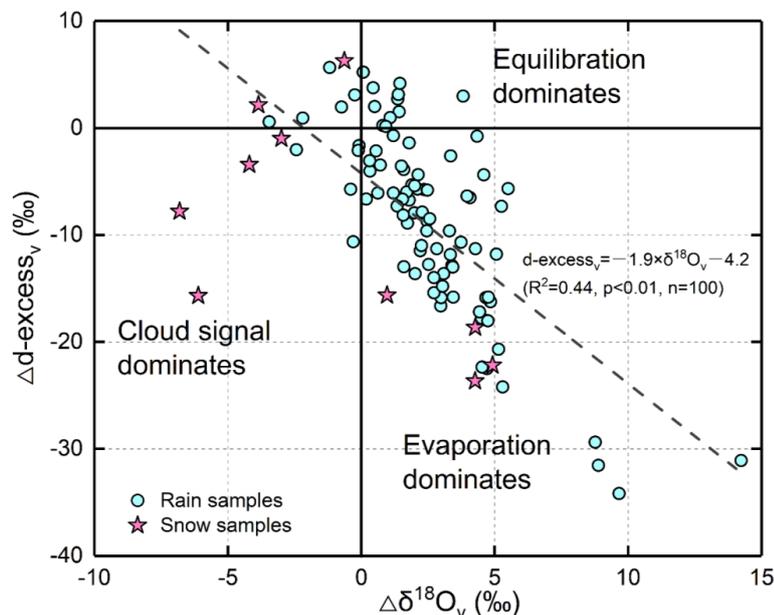
446 Traditionally, to qualitatively assess the below-cloud evaporation of raindrop, the value
447 of $d\text{-excess}_p$ is a benchmark, as the isotopically kinetic fractionation will cause $d\text{-excess}_p$
448 deviate from 10‰, which is a theoretical value under vapor-liquid equilibrium
449 fractionation (Gat, 1996). Normally, below-cloud evaporation will move $d\text{-excess}_p$
450 below 10‰, and in comparison, mixing with the recycled water vapor from surface
451 evaporation and plant transpiration will bring $d\text{-excess}_p$ above 10‰ (Craig, 1961;
452 Dansgaard, 1964). However, considering the kinetic fractionation processes of
453 moisture transportation, the $d\text{-excess}_p$ information recorded in the collected
454 precipitation has been modified, and thus this enhances the uncertainty to gauge the
455 below-cloud evaporation process by solely using $d\text{-excess}_p$. Moreover, it is unable to
456 discern other below-cloud processes only based on $d\text{-excess}_p$. In contrast, the Δd and
457 $\Delta\delta$ diagram referred by Graf et al. (2019) provides richer information on the below-
458 cloud processes.

459

460 By projecting our data on the Δd and $\Delta\delta$ plot, the evaporation, equilibration, and non-
461 exchange processes could be clearly differentiated (Fig. 4). It is apparent from Fig. 4,
462 most of the precipitation samples are located in the fourth quadrant, indicating that
463 evaporation is the dominant below-cloud process. A small part of samples is distributed
464 in the first and second quadrant, and their $\Delta\delta$ are close to 0‰ while Δd are little higher
465 than 0‰. This cluster of samples implies that the below-cloud evaporation and cloud-
466 based isotopic fractionation tend to achieve a complete equilibrium state. Interestingly,
467 in our samples, most of the snow samples seize the third quadrant, which is suggestive
468 of below-cloud evaporation with less impact on them, and their initial signal after cloud-
469 based equilibrium fractionation is well retained. According to results from numerical
470 simulations and in-situ observations, Graf et al. (2019) summarized that raindrop size



471 and precipitation intensity appear to be the important driving factors of the below-cloud
472 processes, because raindrops with large diameter and high intensity will reduce its
473 residence time in the atmospheric column, and lower the evaporation possibility during
474 its way down toward the ground surface. However, as for snowfall events, it seems
475 unreasonable to explain the strongly negative $\Delta\delta$ from through the drop size and rain
476 rate (Fig. 4). It is well known that snowfall events generally happen in low-temperature
477 conditions, and corresponding to weak evaporation. Hence, rain/snow formed under
478 such circumstances, its isotopic signals will not be largely changed by the
479 environmental factors during its falling, which leads the $\Delta\delta$ to be more negative with
480 the decrease of temperature, such as the phenomenon observed in Graf's et al. (2019)
481 study during the post-frontal periods. Our results suggest that except for drop size and
482 rain rate, precipitation type is also an essential factor that needs to be fully considered
483 in the below-cloud processes.



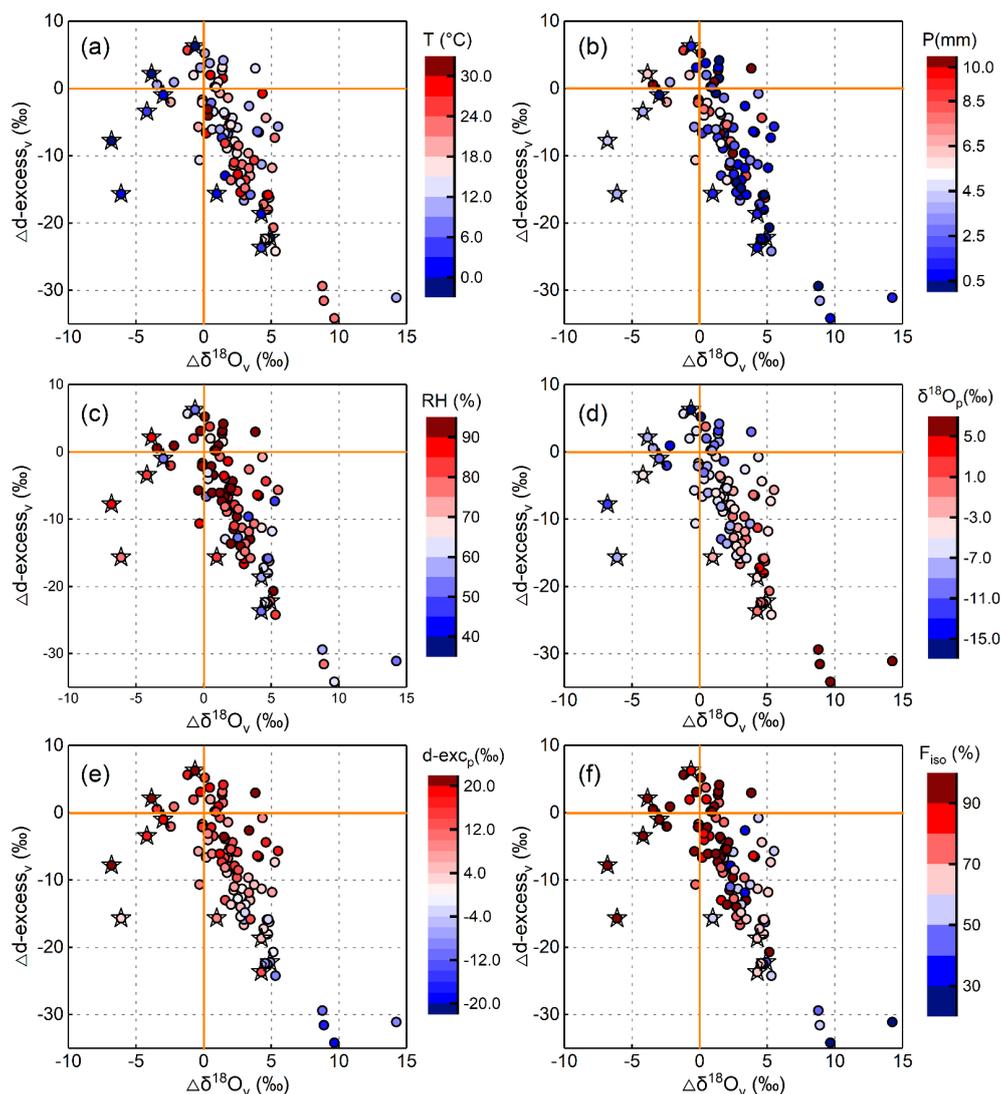
499 Figure 4 The projection of our data on Graf et al. (2019) suggested $\Delta d\Delta\delta$ -diagram. The solid
500 lines stand for $\Delta d\text{-excess}_v$ and $\Delta\delta^{18}\text{O}_v$ of 0‰. The dashed line corresponds to the linear fit
501 through the samples.

502

503 Meteorological factors, such as precipitation amount, temperature, and RH, are the
504 main factors affecting below-cloud evaporation (Li et al., 2016b; Peng et al., 2007),
505 and have been well studied by combined with precipitation $d\text{-excess}_p$ (Ma et al., 2014;
506 Wang et al., 2016b). In order to further analyze the below-cloud processes, we added



507 the meteorological and isotopic information on the $\Delta d\Delta\delta$ -diagram (Fig. 5). Generally,
508 with regard to high $\Delta^{18}\text{O}_v$ samples, the corresponding meteorological condition is high
509 temperature, low precipitation amount, and low RH (Fig. 5a-c). In contrast, under a
510 condition of low air temperature, high RH, and large precipitation amount, the $\Delta^{18}\text{O}_v$
511 of samples are relatively more negative (Fig. 5a-c). As below-cloud processes are
512 controlled by multi-variable factors, it is hard to only use one physical variable to
513 explain the below-cloud evaporation (Ma et al., 2014; Wang et al., 2016b). For example,
514 under the highest temperature condition (two most red dots in Fig. 5a), the below-cloud
515 evaporation effect should be higher, and cause $\Delta^{18}\text{O}_v$ to be more positive and Δd -
516 excess_v to be more negative. However, under such circumstances, both the $\Delta^{18}\text{O}_v$ and
517 $\Delta d\text{-excess}_v$ of the two samples are close to 0‰. By considering the precipitation
518 amount, the two samples collected under the highest temperature condition are
519 associate with a relatively larger precipitation amount which will temper the intensity of
520 below-cloud evaporation. Similarly, the samples with lower precipitation amount is
521 associate with high RH, and cause the $\Delta^{18}\text{O}_v$ distributed around 3‰. For the snow
522 samples, the data with positive $\Delta^{18}\text{O}_v$ is regarding to the very low RH (Fig. 5c).



523 Figure 5 $\Delta d \Delta \delta$ -diagram for the precipitation samples with meteorological factors and
524 precipitation isotopic information. Temperature (a); Precipitation amount (b); Relative humidity
525 (c); $\delta^{18}\text{O}_p$ of precipitation (d); $d\text{-exc}_p$ of precipitation (e); Remaining fraction of evaporation
526 (f). The dots with a star represent the snow samples.

527

528 In contrast to meteorological factors, the pattern of precipitation isotopic composition
529 distribution on the $\Delta d \Delta \delta$ -diagram is more clear. Under the high below-cloud
530 evaporation condition, the $\delta^{18}\text{O}_p$ is more positive and $d\text{-exc}_p$ is relatively negative
531 (Fig. 5d and 5e). Correspondingly, the differences between equilibrated $\delta^{18}\text{O}_{\text{eq-v}}$, $d\text{-exc}_{\text{eq-v}}$
532 and observed $\delta^{18}\text{O}_{\text{gr-v}}$, $d\text{-exc}_{\text{gr-v}}$ are larger. Conversely, under low below-



533 cloud evaporation conditions, mainly corresponding to the most snow samples, we
534 could see the lowest $\delta^{18}\text{O}_p$ and highest d-excess_p samples, respectively (Fig. 5d and
535 5e). Moreover, the $\Delta^{18}\text{O}_v$ is lower than 0‰ and $\Delta\text{d-excess}_v$ is placed around 0‰.
536 Basically, the $\Delta\text{d}/\Delta\delta$ -diagram follows the traditional explanation, and provides more
537 information, such as the cloud signals, equilibrium conditions, to the falling raindrops.
538
539 By contrast, the slope of the regression line of $\Delta\text{d}/\Delta\delta$ is -1.9 in our study (Fig. 4),
540 which is more negative than result shown by Graf's et al. (2019). According to the
541 sensitivity test by Graf et al. (2019), RH has a considerable impact on the slope of Δ
542 $\text{d}/\Delta\delta$. As our sampling site is located in a semi-arid area and Graf's et al. (2019)
543 location is in the zone of a temperate marine climate, the different slope in two sites
544 may be caused by the differences in RH. Therefore, the $\Delta\text{d}/\Delta\delta$ slope of -1.9 is
545 possible to be related to the arid or semi-arid conditions, and $\Delta\text{d}/\Delta\delta$ slope of -0.3
546 could represent a general characteristic of rainfall for continental mid-latitude cold front
547 passages. Certainly, to explore the relationship between the slope of $\Delta\text{d}/\Delta\delta$ and the
548 climatic characteristic, more validation works need to be done in the future studies.

549

550 **3.3 Comparing and analyzing the differences between F_{iso} and F_{raindrop}**

551 **3.3.1 The differences and reasons**

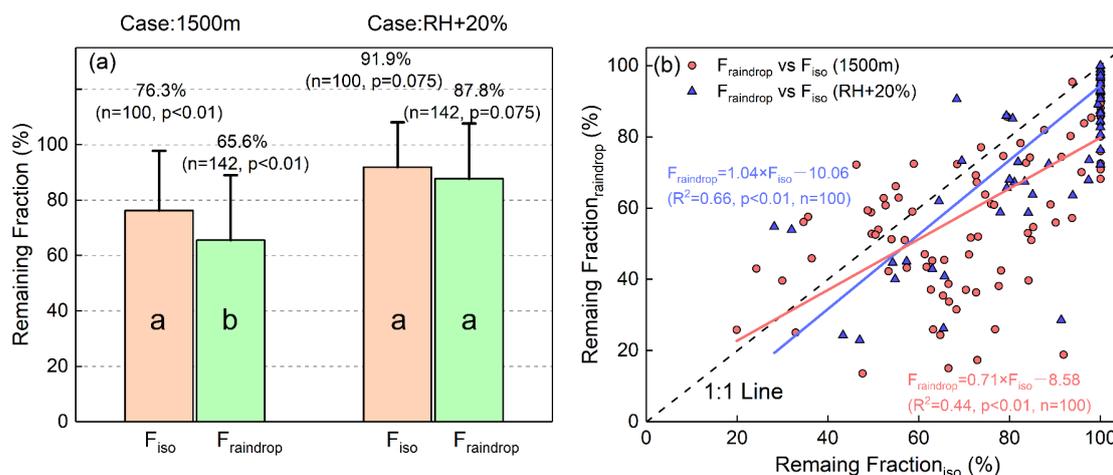
552 In 1975, Stewart (1975) presented a set of empirical models, which is still widely used,
553 to evaluate the below-cloud evaporation rate of the falling raindrop. However, being
554 limited by measuring the cloud-based isotopic composition of the raindrop, many
555 studies turn to use mass conservation model to evaluate the evaporation rate of the
556 raindrop during its falling (Kong et al., 2013; Li et al., 2016a; Sun et al., 2020; Wang et
557 al., 2016b). Here, to compare their differences, we used the isotopic method and mass
558 conservation model, respectively, to calculate the F_r after the below-cloud evaporation.

559

560 As shown in Fig. 6a, the mean of computed reaming fraction is 76.3% by the isotopic
561 method (F_{iso}), and 65.6% by the mass conservation model (F_{raindrop}) based on two-year
562 statistical results. The F_{raindrop} is statistically lower than the F_{iso} depending on the
563 independent t-test ($F=1.49$, $p<0.01$). In addition, the F_{iso} and F_{raindrop} show an obvious
564 difference, the F_{iso} and F_{raindrop} deviating from 1:1 line, when the F_{iso} equals to 60%~80%
565 (Fig. 6b). On a seasonal scale, the difference between F_{iso} and F_{raindrop} in spring,
566 summer, autumn, winter is 13.7%, 12.8%, 6.0%, and 25.0%, respectively, which is the



567 largest in winter, and the lowest in autumn (Fig. 7).



568 Figure 6 The comparison between the remaining fraction calculated by two methods. In (a), the
 569 taupe bars and the abbreviation of F_{iso} represent the remaining fraction calculated by the
 570 isotopic method, and the green bars and the abbreviation of $F_{raindrop}$ represent the remaining
 571 fraction calculated by the mass conservation method. Case 1500m denotes that the raindrops
 572 evaporation calculation is based on the assumption of the cloud base at 1500m, and the
 573 raindrops are formed at that altitude. Case RH+20% denotes that based on the condition of
 574 case 1500m, we calculated the remaining fraction by increasing the ground observed RH by
 575 20%. n represents the number of samples used in statistics. The a and b in the bars denote the
 576 results of the independent t-test. In (b), the red dots represent the computed results of the
 577 remaining fraction under case 1500m condition, and the blue triangles represent the computed
 578 results under case RH+20% condition. The dash line is the 1:1 line.

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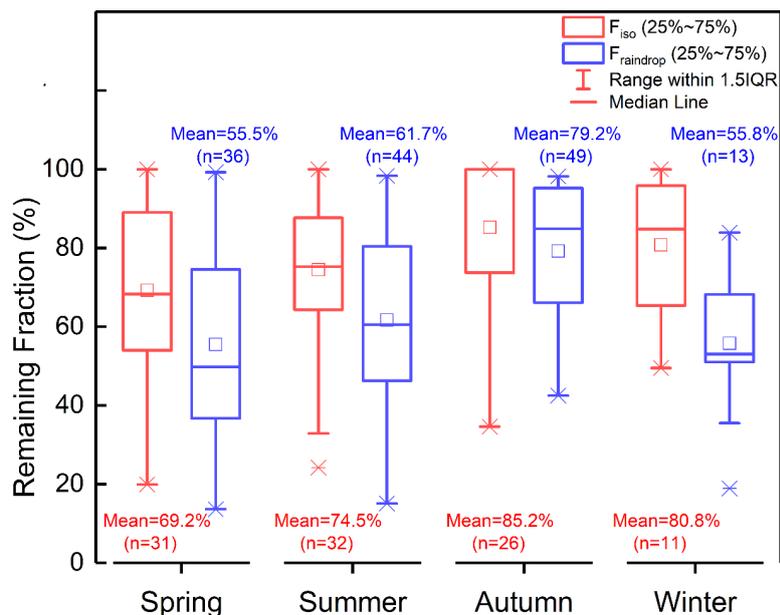
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602 Figure 7 Comparison between the mean remaining fraction results calculated by two methods
603 in four seasons. n represent the number of samples used in statistics.

604

605 To further explore the reason for the large differences by employing different methods,
606 we performed the correlation analyses between meteorological factors and the
607 remaining fraction of evaporation (Fig. S2). These analyses reveal that the most
608 important impact factor both on F_{iso} and $F_{raindrop}$ is RH (Fig. S2b). Although precipitation
609 amount influences F_{iso} and $F_{raindrop}$ as well, their relationship is non-linear, and its effect
610 on F_{iso} is rather weak ($R^2=0.16$, Fig. S2c). For temperature, no clear correlation was
611 found. Wang et al. (2016b) explicitly pointed that among the parameters of temperature,
612 precipitation amount, RH, and raindrop diameter, RH generally plays a decisive role
613 on the obtained Δd -excess, which is positively correlated with the remaining fraction
614 of raindrop.

615

616 In order to analyze the underlying reason, first, we checked the equation used to
617 calculate F_{iso} and $F_{raindrop}$. We noted that in both methods, RH is an important parameter
618 to compute the remaining ratio. In the equation for computing F_{iso} , the values of γ and
619 β are highly dependent on RH. Equally, in the $F_{raindrop}$ computing equation, RH will be
620 the decisive factor of evaporation intensity (E). Then, we tested the sensitivity between
621 $\Delta\delta^{18}O$ and RH under different F_{iso} levels (Fig. S3). Our results showed, under high RH
622 condition (60%~90%), a little variation of $\Delta\delta^{18}O$ corresponded to a wide range of F_{iso}



623 distribution. We also noticed, under higher RH condition (above 90%), the simulated
624 $\Delta \delta^{18}\text{O}$ is very small, normally lower than 0.5‰. However, in reality, the $\Delta \delta^{18}\text{O}$ is
625 generally greater than 0.5‰. Therefore, when the actual $\Delta \delta^{18}\text{O}$ value is larger than
626 the theoretical value, the calculated F_{iso} results will be larger than 100%, and this is in
627 accordance with the actual condition. Because under higher RH condition, the raindrop
628 evaporation ratio will decrease, and in turn the F_r will appropriately increase. Moreover,
629 in the near-saturated air column, the raindrop is hardly evaporated.

630

631 Therefore, it is reasonable to assume that when the RH is higher, the difference
632 between the F_{iso} and F_{raindrop} will be reduced. To validate our assumption, we computed
633 the F_{iso} and F_{raindrop} by increasing RH by 20%, respectively. As expected, the mean
634 annual difference was highly reduced, and statistically there is no significant difference
635 (Fig. 6a, independent t-test, $F=5.665$, $p=0.075$). Moreover, the F_r computed by those
636 two methods is closer to each other, while the correlation coefficient is highly increased,
637 and the slope is closer to 1 (Fig. 6b). For the seasonal variations of F_r , the larger
638 differences between F_{iso} and F_{raindrop} in spring and summer are regarding to the low RH
639 in these seasons, while the small difference in autumn is related to the higher RH. For
640 the largest difference in winter, it is most likely due to the fact that in the mass
641 conservation model, the diameter of raindrop used to determine the terminal velocity
642 of the raindrop (v_{end}) and the evaporation intensity (E) do not take snowfall factor into
643 account resulting a great uncertainty in the calculation results.

644

645 3.3.2 Sensitivity test

646 In the below-cloud isotopic evaporation model (eq. 7), the two controlling factors are
647 the equilibrium fractionation factor (α) and the RH. As the equilibrium fractionation
648 factor varies with the cloud base altitude (mainly caused by the variation of
649 temperature), we used the different altitudes to represent the variations of α . In order
650 to assess the relevance of different ambient conditions for the raindrop evaporation, a
651 sensitivity test of F_r under different altitude and RH scenarios is exhibited in Fig. S4.
652 With the increase of altitude, the F_r is gradually decreased. It is well known that with
653 the increase of altitude, the raindrop falling distance will increase, and correspondingly
654 the falling time will be extended. As a result, more fraction of raindrops would be
655 evaporated in the unsaturated atmospheric columns. When the RH increases by 20%,
656 the atmospheric columns is near saturated, and largely decrease the evaporation
657 possibility of falling raindrops. Conversely, the decrease of RH will strongly increase
658 the evaporation proportion of falling raindrops. In addition, according to Fig. S4, the F_r



659 seems to be more sensitive to the changing of RH than that of altitude.

660

661 Overall, in our study, the mass conservation method will overestimate the raindrop
662 evaporation ratios relative to the isotopic method. The overestimation may be related
663 to the low RH in our studying location. If we increase the RH by 20%, there is no
664 significant difference between the two methods. This indicates that in high RH areas,
665 either method could be used to calculate the F_r . However, in those arid and semi-arid
666 areas, where the RH is relatively low, and the high latitude regions, where snowfall is
667 frequent in winter, we need to cautiously use the result computed by the mass
668 conservation method. In the future, it will be promising to study the raindrop formation
669 height and upper atmospheric water vapor isotopic composition when considering the
670 below-cloud processes of raindrop.

671

672 3.4 The characteristics of below-cloud evaporation of raindrop in Xi'an

673 As the phenomenon of below-cloud evaporation is very common in arid and semi-arid
674 regions, to explore the information contained in the precipitation isotopic composition,
675 it is important to clearly know that how much of the raindrops have been evaporated
676 before they land on the ground. Here, we summarized the seasonal variations of F_{iso}
677 in Xi'an (Fig. 8).

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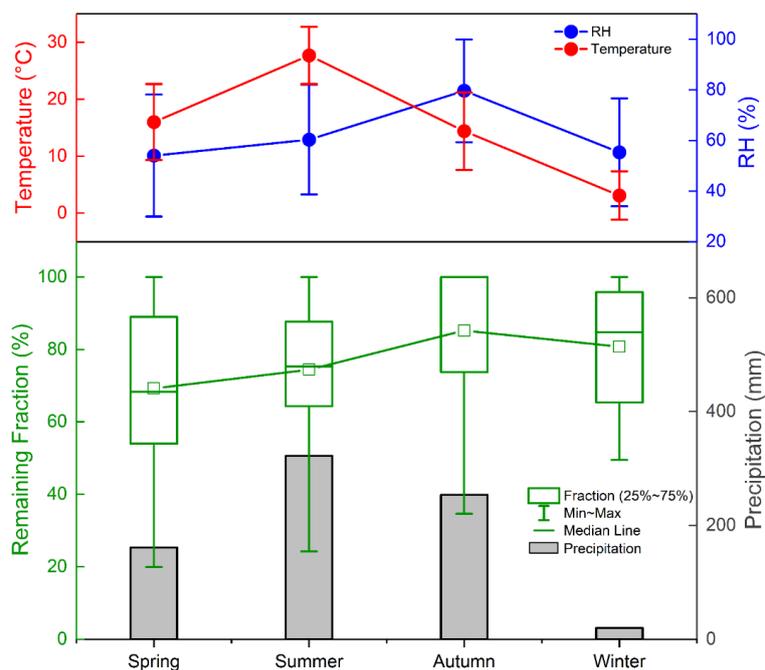
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695 Figure 8 The variations of temperature, relative humidity, precipitation amount and mean
696 remaining fraction of evaporated raindrops in four seasons in Xi'an

697

698 By seasonally dividing the precipitation isotopic composition on the $\Delta d\Delta\delta$ -diagram, it
699 showed that samples collected in spring and summer dominate the evaporation phase,
700 reflecting a stronger evaporation influence, while most of the winter precipitation and
701 part of autumn precipitation monopolize the cloud signal phase indicating a weak or
702 no below-cloud evaporation on these samples (Fig. S5). In addition, part of the autumn
703 samples, in which the below-cloud evaporation and cloud-based isotopic exchange
704 tends to achieve a complete equilibrium state is distributed in the equilibration phase
705 (Fig. S5). Moreover, the raindrops F_{iso} distribution on the $\Delta d\Delta\delta$ -diagram is also
706 related to the below-cloud processes (Fig. 5f).

707

708 The mean raindrop evaporation rate is highest in spring and lowest in autumn based
709 on two-year data (Fig. 8). The seasonal variation of F_{iso} basically followed the trend of
710 seasonal variation of RH. Although the precipitation amount is highest in the summer,
711 the temperature is extremely high and RH is relatively low, which causes the high
712 evaporation rate in summer. In winter, the low evaporation rate may be related to the
713 precipitation type, because snowfall is the main deposition type in this season.

714

715 **4 Conclusions**

716 The below-cloud processes acting on precipitation are complex and changeable,
717 especially in the arid and semi-arid regions. Previously, using the slope of LMWL and
718 d-excess of precipitation, we can only speculate the raindrops experienced below-
719 cloud processes according to the relative change of precipitation isotopic composition.
720 However, to display our data on the $\Delta d\Delta\delta$ -diagram, which is suggested by Graf et al.
721 (2019), we can more intuitively determine the happened below-cloud processes on the
722 falling raindrops. The $\Delta d\Delta\delta$ -diagram provides a new tool for us to qualitatively realize
723 the below-cloud processes of raindrops.

724

725 In this study, based on the two-year precipitation data collected in Xi'an, we
726 systematically analyze its below-cloud processes, and get the following main
727 conclusions:

728 1. In Xi'an, the precipitation isotopic signals mainly record the information of water
729 vapor isotopic composition, but the signals could be altered by the below-cloud
730 evaporation effect. This remind us to be cautious to study the hydrological cycle and



731 climate changes by using precipitation isotopic signals in the arid and semi-arid regions.
732 2. Our work validates the general applicability of the $\Delta d/\Delta\delta$ -diagram. According to Δd
733 $\Delta\delta$ -diagram, the below-cloud evaporation is the main process during the raindrops
734 falling. For the snowfall samples, they are less influenced by the below-cloud
735 processes, and preserve its initial water vapor information. Hence, our results further
736 strengthen the reliability by using ice-core to reconstruct the paleoclimate,
737 paleoenvironment, and paleohydrology in the cold area. The different $\Delta d/\Delta\delta$ slopes
738 in our work and Graf's et al. (2019) study may represent the different climate conditions,
739 and it will be more convincing to explore the slope for more climatic regions in future
740 studies.

741 3. Compared with the isotopic method, the evaporation rate computed by the mass
742 conservation model is overestimated. Relative humidity is the main controlling factor
743 in computing the remaining fraction of raindrops below-cloud evaporation. Due to more
744 undeterminable parameters in the mass conservation model, such as raindrop
745 diameter, evaporation intensity, raindrop falling velocity, and no consideration of
746 precipitation type, it is more suitable to use isotopic model to calculate the remaining
747 fraction of evaporated raindrops.

748 4. In the semi-arid city of CLP, the evaporation rates are higher in spring and summer,
749 and lower in autumn and winter, and this is related to the variation of local RH.

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753 **Data availability**

754 The datasets can be obtained from the TableS1.

755

756 **Author contribution**

757 Meng Xing and Weiguo Liu designed the experiments, interpreted the results, and
758 prepared the manuscript with contributions from all co-authors. Meng Xing and Jing
759 Hu analyzed the precipitation and water vapor samples. Jing Hu maintained the
760 experimental instruments.

761

762 **Competing interests**

763 The authors declare that they have no conflict of interest.

764

765



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772

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