## On the role of trend and variability of hydroxyl radical (OH) in the global methane budget

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#### Abstract

Decadal trends and interannual variations in the hydroxyl radical (OH), while poorly constrained at 30 present, are critical for understanding the observed evolution of atmospheric methane (CH<sub>4</sub>). Through analyzing the OH fields simulated by the model ensemble of the Chemistry-Climate Model Initiative (CCMI), we find (1) the negative OH anomalies during the El Niño years mainly corresponding to the enhanced carbon monoxide (CO) emissions from biomass burning and (2) a positive OH trend during 1980-2010 dominated by the elevated primary production and the reduced loss of OH due to decreasing 35 CO after 2000. Both two-box model inversions and variational 4D inversions suggest that ignoring the negative anomaly of OH during the El Niño years leads to a large overestimation of the increase in global CH<sub>4</sub> emissions by up to  $10\pm3$ Tg vr<sup>-1</sup> to match the observed CH<sub>4</sub> increase over these years. Not accounting for the increasing OH trends given by the CCMI models leads to an underestimation of the CH<sub>4</sub> emission increase by 23±9Tg yr<sup>-1</sup> from 1986 to 2010. The variational inversion estimated CH<sub>4</sub> emissions show that 40 the tropical regions contribute most to the uncertainties related to OH. This study highlights the significant impact of climate and chemical feedbacks related to OH on the top-down estimates of the global CH<sub>4</sub> budget.

#### 45 **1 Introduction**

Methane (CH<sub>4</sub>) in the Earth's atmosphere is a major anthropogenic greenhouse gas that has resulted in a  $0.62 \text{ W} \text{ m}^2$  additional radiative forcing from 1750 to 2011 (Etminan et al., 2016). The tropospheric CH<sub>4</sub> mixing ratio has more than doubled between pre-industrial and the present day, mainly attributed to increasing anthropogenic CH<sub>4</sub> emissions (Etheridge et al., 1998; Turner et al. 2019). Although the centennial and inter-decadal trends and the drivers of CH<sub>4</sub> growth are fairly clear, it is still challenging to understand the trends and the associated interannual variations on a time scale of 1-30 years. For example, the mysterious stagnation in CH<sub>4</sub> mixing ratios during 2000-2007 (Dlugokencky, NOAA/ESRL, 2020) is still under debate, highlighting the need for closing gaps in the global CH<sub>4</sub> budget on decadal time scales (e.g. Turner et al., 2019).

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One of the barriers to understanding atmospheric CH<sub>4</sub> changes is the CH<sub>4</sub> sink, which is mainly the chemical reaction with the hydroxyl radical (OH) (Saunois et al., 2016; 2017; 2020; Zhao et al., 2020) that determines the tropospheric CH<sub>4</sub> lifetime. The burden of atmospheric OH is determined by complex and coupled atmospheric chemical cycles influenced by anthropogenic and natural emissions of multiple atmospheric reactive species, and also by climate change (Murray et al., 2013; Turner et al., 2018, Nicely et al., 2018), making it difficult to diagnose OH temporal changes from a single process. The OH source mainly include the primary production from the reaction of excited oxygen atoms (O(<sup>1</sup>D)) with water vapor (H<sub>2</sub>O) and the secondary production mainly from the reaction of nitrogen oxide (NO) or ozone (O<sub>3</sub>) with hydroperoxyl radical (HO<sub>2</sub>) or organic peroxy radicals (RO<sub>2</sub>). The OH sinks mainly include the reaction of OH with carbon monoxide (CO), CH<sub>4</sub>, or non-methane volatile organic compounds (NMVOCs).

Based on inversions of *1-1-1 trichloroethane* (methyl chloroform, MCF) atmospheric observations, some previous studies have attributed part of the observed CH<sub>4</sub> changes to the temporal variation in OH

concentrations ([OH]) but report large uncertainties in their estimates (McNorton et al 2016; Rigby et al. 70 2008, 2017; Turner et al., 2017). Such proxy approaches based on MCF inversions also have limitations in their accuracy, both due to uncertainties in MCF emissions before the 1990s, and the weakening of inter-hemispheric MCF gradients after the 1990s (Krol et al., 2003, Bousquet et al., 2005; Montzka et al., 2011; Prather and Holmes, 2017).

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atmospheric chemistry models.

The OH variations have been explored with atmospheric chemistry models in terms of climate change (Nicely et al., 2018), anthropogenic emissions (Gaubert et al. 2017), and lightning NO<sub>x</sub> emissions (Murray et al., 2013; Turner et al., 2018). The El Niño-Southern Oscillation (ENSO) has proven to influence [OH] by perturbing CO emissions from biomass burning (Rowlinson et al. 2019) and NO<sub>x</sub> emissions from lightning (Turner et al., 2018), but the detailed mechanisms behind present OH variations and their impact 80 on the CH<sub>4</sub> budget remain poorly understood. Nguyen et al. (2020) estimated the impact of the chemical feedback induced by CO and CH<sub>4</sub> changes on the top-down estimates of CH<sub>4</sub> emissions using a box model approach. However, they account neither for the heterogeneous distribution of atmospheric reactive species in space nor for the chemical feedback related to OH production processes that vary over time. Understanding the influences of the chemical feedback related to OH on CH<sub>4</sub> emissions as estimated by atmospheric inversions is urgently needed and can benefit from better incorporating 3D simulations from

Here we continue our former studies (Zhao et al., 2019; 2020), in which we have quantified the impact of 90 OH on top-down estimates of  $CH_4$  emissions during the 2000s. This work aims to better understand the production and loss processes of OH and quantitatively assess their influence on the temporal changes of CH<sub>4</sub> lifetime and the global CH<sub>4</sub> budget on decadal-scale since the 1980s. We first analyze the trends and year-to-year variations of nine independent OH fields covering the period of 1980-2010 simulated by the phase 1 of the International Global Atmospheric Chemistry (IGAC)/Stratosphere-troposphere Processes

and their Role in Climate (SPARC) Chemistry-Climate Model Initiative (CCMI) models (Hegglin and Lamarque, 2015; Morgenstern et al., 2017) and then assess the contribution of different chemical processes to the OH budget by estimating the main OH production and loss processes. We finally estimate the impact of OH year-to-year variations and trends on the top-down estimation of global CH<sub>4</sub> emissions between 1986 and 2010. Two-box model inversions and the variational 4D inversions are both used to assess how the nonlinear chemical feedback related to OH influences our understanding of the trends and drivers of the global CH<sub>4</sub> budget.

#### 2 Method

#### **2.1 CCMI OH fields**

- In this study, we analyze the OH fields simulated by five models (CESM1-CAM4Chem, CESM1-WACCM, EMAC-L90MA, GEOSCCM, MRI-ESM1r1), which include detailed tropospheric ozone chemistry and multiple primary VOC emissions. All five models conducted the REF-C1 experiments (free-running simulations driven by state-of-the-art historical forcings including sea surface temperature and sea ice concentrations) for 1960-2010, and four of them (excluding GEOSCCM) conducted the REF-C1SD experiments (similar to REF-C1 but nudged to the reanalysis meteorology data) for 1980-2010. Thus, we have nine OH fields generated by models with different chemistry, physics, and dynamics covering the period 1980-2010. A detailed description of these CCMI models, experiments, and characteristics of the OH fields can be found in Morgenstern et al. (2017) and Zhao et al. (2019).
- To eliminate the influence of different magnitudes of global OH burden simulated by those models, we scale all OH fields to the same CH<sub>4</sub> loss for the year 2000 based on the reaction with OH used in the TransCom-CH4 inter-comparison exercise (Patra et al., 2011). The inferred global mean scaling factors are calculated for the year 2000 and each OH field and then applied to the whole period (1980-2010). The production (O(<sup>1</sup>D)+H<sub>2</sub>O, NO+HO<sub>2</sub>, O<sub>3</sub>+HO<sub>2</sub>) and loss processes (removal of OH by CO, CH<sub>4</sub>,

formaldehyde (CH<sub>2</sub>O), and isoprene) for each OH field are estimated using the CCMI database (Section S1). For each OH field, we separate trends and year-to-year variations of the global tropospheric mean CH<sub>4</sub> reaction weighted OH concentration ([OH]<sub>GM-CH4</sub>, weighting factor = reaction rate of OH with CH<sub>4</sub>  $\times$  dry air mass, Lawrence et al., 2001) as well as of its production and loss rates.

#### 125 **2.2 Atmospheric inversion systems**

To evaluate the influences of OH temporal variations on the top-down estimation of CH<sub>4</sub> emissions, we have conducted Bayesian atmospheric inversions using: 1) a two-box model similar to that described by Turner et al. (2017) and 2) a 4D variational inversion system based on the version LMDz5B of the LMDz atmospheric transport model under the PYVAR-SACS framework (Chevallier et al., 2007; Pison et al., 2009) as described by Locatelli et al. (2015) and Zhao et al. (2020). The two-box model inversions allow us to easily conduct multiple long-term global scale inversions (1984-2012) with each of the nine OH fields to estimate the global CH<sub>4</sub> emission variations caused by various OH fields. The 4D variational inversions allow us to better represent the atmospheric transport, account for the variation of meteorological conditions, and address regional CH<sub>4</sub> emission distributions. Thus, we have conducted both, two-box model inversions with each of the nine OH fields, and variational inversions with the multimodel mean OH field (average of the nine OH fields).

Both the box model and the variational inversions optimize the CH<sub>4</sub> emissions and initial mixing ratios by assimilating the observation data from the Earth System Research Laboratory of the US National

140 Oceanic and Atmospheric Administration (Dlugokencky, NOAA/ESRL, 2020). The OH concentrations are prescribed and not optimized in both inversion systems. A detailed description of the two-box model, the LMDz atmospheric transport model, and the variational inversion method used here are provided in the supplementary material (Section S2).

#### 145 **2.3 Ensemble of different inversions**

We have designed an ensemble of inversion experiments as listed in Table 1 using the two-box model with each OH field. Here, Inv\_OH\_std uses the aforementioned scaled OH fields; Inv\_OH\_cli uses a climatology of each OH field, which is constant over the years and correspond to an average over 1980-2010; Inv\_OH\_var stands for the inversion using the detrended OH (only keeping the year-to-year variations); Inv\_OH\_trend uses the OH without the year-to-year variability (retaining only the trend). By comparing Inv\_OH\_cli with Inv\_OH\_std, Inv\_OH\_var, and Inv\_OH\_trend, it is possible to assess the influence of total OH temporal changes, year-to-year variations, and OH trends on the overall CH<sub>4</sub> changes, respectively. The box model inversions are conducted from 1984 to 2012 (2010 OH fields are used for 2011 and 2012). The first and last two years are treated as spin-up and spin-down, and we only analyze the inversion results over 1986-2010.

We have conducted two 4D variational inversions, Inv\_OH\_std and Inv\_OH\_cli, using the multi-model mean OH field to test the influence of OH temporal variations on the top-down estimates of global to regional CH<sub>4</sub> emissions. The LMDz inversions are conducted for four time periods (1994-1997, 1996-1999, 2000-2004, and 2006-2010; Sect.3.4). We only spin-up/spin-down the 4D variational inversions for

160 1999, 2000-2004, and 2006-2010; Sect.3.4). We only spin-up/spin-down the 4D variational inversions for one year to save computing time. The four time periods are chosen to represent the transition from La Niña (1995-1996) to El Niño (1997-1998) years and the years of stagnated (2001-2003) and renewed growth (2007-2009) of observed CH<sub>4</sub>.

#### 165 **3 Results**

#### 3.1 Decadal OH trends and year-to-year variability

All CCMI models simulate positive OH trends from 1980 to 2010 after removing the year-to-year variability (Fig.1, top panel), consistent with previous analyses of CCMI OH fields (Zhao et al., 2019; Nicely et al., 2020) and model results of the Aerosol and Chemistry Model Intercomparison Project

(Stevenson et al., 2020). The multi-model mean [OH]<sub>GM-CH4</sub> increased by 0.7×10<sup>5</sup>molec cm<sup>-3</sup> from 1980 to 2010. The growth rates in [OH]<sub>GM-CH4</sub> are estimated as ~0.03×10<sup>5</sup>molec cm<sup>-3</sup> yr<sup>-1</sup> (0.3% yr<sup>-1</sup>) during the early 1980s, ~0.01×10<sup>5</sup>molec cm<sup>-3</sup> yr<sup>-1</sup> (0.1% yr<sup>-1</sup>) between the mid-1980s and the late-1990s, and 0.03-0.05×10<sup>5</sup>molec cm<sup>-3</sup> yr<sup>-1</sup> (0.3%-0.5% yr<sup>-1</sup>) since the 2000s. This continuous increases in [OH] is different from the results based on the MCF inversions using the two-box model approach (Turner et al., 2017; Rigby et al., 2017), which yield increases in [OH] from the 1990s to the early 2000s and a decreases in OH afterward.

The ensemble of the anomaly of detrended  $[OH]_{GM-CH4}$  (middle panel of Fig.1) shows a strong anticorrelation (r = -0.50) with the bi-monthly Multivariate ENSO Index Version 2 (MEI, the bottom panel

- of Fig.1 and Section S3) (Zhang et al., 2019), with higher [OH]<sub>GM-CH4</sub> during La Ni ña and lower [OH]<sub>GM-CH4</sub> during El Ni ño. From 1980 to 2010, the CCMI model simulations show several negative anomalies of [OH]<sub>GM-CH4</sub>, the three largest reaching as high as -0.4±0.2×10<sup>5</sup>molec cm<sup>-3</sup> (-4±2%) during 1982-1983 and 1991-1992, and -0.5±0.4×10<sup>5</sup>molec cm<sup>-3</sup> (-5±4%) during 1997-1998. The negative [OH]<sub>GM-CH4</sub> anomalies during 1982-1983 and 1997-1998 correspond to the two strongest El Ni ño events (MEI>2.5).
  During 1991-1992, the negative [OH]<sub>GM-CH4</sub> anomaly corresponds to both, the weaker El Ni ño event (MEI up to 2.0), and the eruption of Mount Pinatubo. During other weak El Ni ño events (1986-1987, 2002-2003, 2004-2005, and 2006-2007), the multi-model mean [OH]<sub>GM-CH4</sub> shows smaller negative anomalies of 1-2%. Only the negative OH anomaly during 2006-2007 (2±1%) is simulated by all models during the four weak El Ni ño events. The negative anomalies are consistent with an up to 9% reduction of [OH]
  during 1997-1998 simulated by TOMCAT-GLOMAP as shown by Rowlinson et al. (2019), as well as a
  - 5% reduction of [OH] over tropical regions during 1991-1993 constrained by MCF observations (Bousquet et al, 2006). During La Ni ña events, the [OH]<sub>GM-CH4</sub> shows ~2% positive anomalies, resulting in more than a 6% increase in OH (max—min) during 1983-1985, 1992-1994, and 1998-2000.

The negative [OH]<sub>GM-CH4</sub> anomalies during strong El Ni ño events correspond to the highest growth rates of the CH<sub>4</sub> mixing ratio from the surface observations (Dlugokencky, NOAA/ESRL, 2020), which are 14±0.6ppbv yr<sup>-1</sup> in 1991, and 12±0.8ppbv yr<sup>-1</sup> in 1998 (Fig.S1). The positive anomalies of [OH]<sub>GM-CH4</sub> during La Ni ña events correspond to a much smaller CH<sub>4</sub> growth (e.g. 4±0.6ppbv yr<sup>-1</sup> in 1993 and 2± 0.8ppbv yr<sup>-1</sup> in 1999) compared with that during the adjacent El Ni ño years (Fig. S1).

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#### 3.2 Factors controlling OH trends and year-to-year variability

The changes in tropospheric [OH] are due to changes in the balance of production and loss. Here we assess the drivers of OH year-to-year variations and trend by calculating the OH production and loss processes listed in Table 2 following Murray et al. (2013; 2014) and Lelieveld et al. (2016). The multimodel calculated OH production/loss in the troposphere averaged over 1980-2010 is 209±12Tmol yr<sup>-1</sup>, similar to that ~200Tmol yr<sup>-1</sup> reported by Murray et al. (2014). Of the total OH production, 46% (96±2Tmol yr<sup>-1</sup>) are from primary production (O(<sup>1</sup>D) +H<sub>2</sub>O). Two main secondary productions, NO+HO<sub>2</sub>, and O<sub>3</sub>+HO<sub>2</sub> account for 30% (63±4Tmol yr<sup>-1</sup>) and 13% (26±2Tmol yr<sup>-1</sup>), respectively. For the OH loss, reactions with CO and CH<sub>4</sub> account for 39% (82±4Tmol yr<sup>-1</sup>) and 15% (32±1Tmol yr<sup>-1</sup>), respectively. We have also calculated the OH loss by reactions with isoprene (C<sub>5</sub>H<sub>8</sub>) and formaldehyde (CH<sub>2</sub>O), which both remove 6% of OH, reflecting the influences of NMVOCs from natural and anthropogenic sources, respectively. Besides, there are 12% of OH production and 33% of OH loss not analyzed here due to lack of data in the CCMI model outputs (e.g. output of OH loss due to reaction with NMVOCs included in different models).

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Fig.2 shows the changes in the trends of OH production and loss processes (year-to-year variations are removed) with respect to the year 1980. The OH primary production (O ( $^{1}$ D)+H<sub>2</sub>O) shows a large increase of  $10 \pm 1$ Tmol yr<sup>-1</sup> from 1980 to 2010, as the dominant driver of the positive OH trend. The increase in OH primary production is due to an increase in both tropospheric O<sub>3</sub> burden (producing O( $^{1}$ D)) and water

vapor (Dentener et al 2003; Zhao et al., 2019; Nicely et al., 2020). The OH loss from CO increased by 7±0.7Tmol yr<sup>-1</sup> from 1980 to 2001 but then decreased by 4±2Tmol yr<sup>-1</sup> from 2001 to 2010. The negative trend of CO simulated by CCMI models during 2000-2010 is consistent with MOPITT observations over most of the regions (Strode et al., 2016). We find that the decrease in OH loss by CO can explain the accelerated OH increase after 2000, despite a stagnated OH primary production and a slight decrease of the OH secondary production. The OH loss by CH<sub>4</sub>, which shows a continuous increase of 6±0.5Tmol yr<sup>-1</sup> from 1980 to 2010, buffers the increase in OH production by NO (5±1Tmol yr<sup>-1</sup>). The OH production by O<sub>3</sub>+HO<sub>2</sub>, as well as OH loss by CH<sub>2</sub>O and isoprene, show smaller changes of 2±1Tmol yr<sup>-1</sup>, 2± 0.3Tmol yr<sup>-1</sup>, and 1±0.6Tmol yr<sup>-1</sup>, respectively, during 1980-2010. By comparing the magnitude of the production and loss processes, we conclude that an enhanced OH primary production and changes in OH
loss by CO are the most important factors leading to the increased OH trend inferred by CCMI models

from 1980 to 2010.

Fig.3 and Fig.S2 show the year-to-year variations of the global total OH production and loss due to several processes (calculated after trends have been removed). Year-to-year variations of global [OH] are mainly
determined by the primary (O(<sup>1</sup>D)+H<sub>2</sub>O) and secondary production (NO+HO<sub>2</sub>; O<sub>3</sub>+HO<sub>2</sub>) and by OH loss due to CO (Fig.3). Other OH loss processes, including reactions with CH<sub>4</sub>, CH<sub>2</sub>O, and isoprene, show much smaller year-to-year variations but larger uncertainties (Fig.S2), revealing a larger model spread for these processes.

As shown in Fig.3, negative anomalies of [OH] during El Niño events are dominated by increased OH loss through the reaction with CO in response to enhanced biomass burning (Fig.S3), similar to the conclusions of Rowlinson et al. (2019) and Nicely et al. (2020). During the strong El Niño events in 1982-1983, 1991-1992, and 1997-1998, the OH loss by CO increased by up to 3±0.4Tmol yr<sup>-1</sup>, 5±0.6Tmol yr<sup>-1</sup>, and 8±0.5Tmol yr<sup>-1</sup>, respectively, compared to the mean value of 1980-2010. The increase of OH loss

- by CO can be partly offset by an increase in OH production. Indeed, in 1998, the OH primary production 245 (O(<sup>1</sup>D)+H<sub>2</sub>O), OH produced by NO+RO<sub>2</sub>, and O<sub>3</sub>+RO<sub>2</sub> increased by 3±0.7Tmol yr<sup>-1</sup>, 3±0.5Tmol yr<sup>-1</sup>, and  $2\pm 0.3$  Tmol yr<sup>-1</sup>, respectively, offsetting most of the OH loss increase. The increase in OH primary production is mainly due to an increase in tropospheric water vapor and  $O_3$  burden during El Niño events (Fig.S3 and S12 in Nicely et al. (2020)), while the increase in OH secondary production is caused by 250 enhanced NO<sub>x</sub> emissions (Fig.S3) and O<sub>3</sub> formation (Nicely et al. (2020) related to biomass burning as well as more HO<sub>2</sub> formation by CO+OH. As a result, the OH year-to-year variations found here are much smaller than those estimated by Nguyen et al. (2020), who mainly considered the response of OH to enhanced CO emissions during the El Niño events. The positive anomaly in OH primary production  $(0.2 \pm 0.5 \text{Tmol yr}^{-1})$  is not significant during the 1991-1992 El Ni ño event, maybe due to the absorption of 255 ultraviolet (UV) by volcanic  $SO_2$  and scattering of UV by sulfate aerosols as well as reduction of tropospheric water vapor after the eruption of Mount Pinatubo (Bândă et al., 2016; Soden et al., 2020). Thus, the negative [OH] anomaly during the weak El Niño event in 1991-1992 is potentially being enhanced by the eruption of Mount Pinatubo. Previous studies have shown that  $NO_x$  emissions from lightning can contribute to the OH interannual variability (Murray et al., 2013; Turner et al. 2018). In 260 addition, soil NO<sub>x</sub> emissions depend on temperature and soil humidity (Yienger and Levy, 1995), which vary during the El Ni  $\tilde{n}o$  events. The year-to-year variations of NO<sub>x</sub> emissions from lightning show large differences among CCMI models (Fig. S4), and only EMAC and GEOSCCM apply interactive soil  $NO_x$ emissions that vary with meteorology conditions (Morgenstern et al., 2017) based on Yienger and Levy (1995). Thus NO<sub>x</sub> emissions from lightning and soil mainly contribute to inter-model differences instead of showing a consistent response to El Niño.
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Using a machine learning method, Nicely et al. (2020) attributed the positive [OH] trend simulated by the CCMI models mainly to the increase of tropospheric O<sub>3</sub>, J(O<sup>1</sup>D), NO<sub>x</sub>, and H<sub>2</sub>O, and attributed [OH] interannual variations to CO changes. Overall, the explanations of the drivers of OH year-to-year 270 variations and trends found in our process analysis are broadly consistent with those reported by Nicely et al. (2020), and we emphasize that the decrease of CO emission and concentrations after 2000 (Zheng et al., 2019) is important for determining the accelerated positive OH trend.

#### 3.3 Impact of OH variation on the top-down estimation of CH4 budget

- Fig.4a shows the anomaly of global total CH<sub>4</sub> emission estimated by inv\_OH\_std (nine scaled OH fields; yellow line) and inv\_OH\_cli (nine climatological OH; blue line) using the two-box model during 1986-2010. With the climatological OH fields (blue line), the top-down estimated CH<sub>4</sub> emissions show no clear trend before 2005, with large positive anomalies during strong El Niño years. There are two peaks of positive CH<sub>4</sub> emission anomalies during this period, 10Tg yr<sup>-1</sup> in 1991, and 14Tg yr<sup>-1</sup> in 1998. From 2005
- to 2008, the CH<sub>4</sub> emissions show a large increase of 26Tg yr<sup>-1</sup>. The CH<sub>4</sub> emissions averaged over 2006-2010 is 20Tg yr<sup>-1</sup> higher than over 2000-2005, consistent with 17–22Tg yr<sup>-1</sup> estimated by an ensemble of inversions in Kirschke et al. (2013).
- The OH temporal variations are found to largely influence the interannual changes of top-down estimated
  CH<sub>4</sub> emissions (yellow line of Fig. 4a), with differences between the two inversions reaching up to more than 15Tg yr<sup>-1</sup> (Fig.4b). The contribution from the OH year-to-year variations and trends are also shown in Fig.4. The negative anomalies of OH during El Niño years reduce the unusually high top-down estimated CH<sub>4</sub> emissions in 1991-1992 by 7±3Tg yr<sup>-1</sup>, and in 1998 by 10±3Tg yr<sup>-1</sup> (Fig.4c). As a result, the high emission peaks to match the observed CH<sub>4</sub> mixing ratio growth in 1991 (14ppb yr<sup>-1</sup>) and 1998 (12ppbv yr<sup>-1</sup>), as estimated using the climatological OH are largely reduced.

The identified positive OH trend leads to an additional  $23 \pm 9$ Tg yr<sup>-1</sup> increase in CH<sub>4</sub> emissions from 1986 to 2010 (Fig.4d). During 1986-2005, the mean CH<sub>4</sub> emissions, as estimated with the scaled OH, show a positive trend of 0.6±0.4Tg yr<sup>-2</sup> (P<0.05). Increased CH<sub>4</sub> emissions offset the increase in the OH sink to

- 295 match the observations. From 2005 to 2008, in contrast to previous studies, which attribute the increased observed CH<sub>4</sub> mixing ratios to decreased OH based on MCF inversions (Turner et al., 2017, Rigby et al., 2017), the increasing OH trend simulated by CCMI models results in an additional 5±2Tg yr<sup>-1</sup> CH<sub>4</sub> emission increase in the inversion to match the observations.
- We compare the inversion using the two-box model ("**x**" in Fig.5) with the results from the variational approach (bars in Fig.5), using the multi-model mean OH field, to evaluate the performance of the simplified two-box model inversions. Despite the limitations inherent to two-box model inversions, such as treatment of inter-hemispheric transport, stratospheric loss, and the impact of spatial variability (Naus et al., 2019), the two-box model inversion estimates similar temporal changes of CH<sub>4</sub> emissions and losses compare to the variational approach for the four periods, as well as their response to OH changes (Fig.5), on a global scale. Such comparisons reinforce the reliability of the conclusions made from the two-box model inversions regarding changes in the global total CH<sub>4</sub> budget.

The variational inversions allow us to assess the regional contribution of the drivers to observed atmospheric CH<sub>4</sub> mixing ratio changes. Here, as a synthesis, we focus on four latitude bands (Fig.5 and Table S2), including the southern extra-tropical regions (90 °-30 °S), the tropical regions (30 °S-30 °N), and the northern temperate (30 °-60 °N) and boreal (60 °-90 °N) regions. On average, OH over the tropical and northern temperate regions removes 74% and 14% of global total atmospheric CH<sub>4</sub>, respectively.

Between the periods 1995-1996 and 1997-1998, if one does not consider the OH temporal variations (Inv\_OH\_cli), the CH<sub>4</sub> loss by OH shows a slight increase of 2Tg yr<sup>-1</sup> due to an increase of atmospheric CH<sub>4</sub> mixing ratios. The main driver of observed atmospheric CH<sub>4</sub> mixing ratio changes is the 10Tg yr<sup>-1</sup> increase of CH<sub>4</sub> emission over the Tropics and the 7Tg yr<sup>-1</sup> increase over the northern temperate regions (right panel of Fig.5 and Table S2). When the multi-model mean OH temporal variations are included (Inv\_OH\_std), the negative anomaly of OH in 1997-1998 led to a 9Tg yr<sup>-1</sup> decrease in CH<sub>4</sub> loss in 1997-1998 compared to 1995-1996, of which 7Tg y<sup>-1</sup> (78%) are contributed by the tropical regions (left panel of Fig.5). As a result, the decrease of CH<sub>4</sub> loss by OH contributes a bit more to match the observed CH<sub>4</sub> mixing ratios increase during the El Ni ño periods than the changes in CH<sub>4</sub> emissions (a global increase of 8Tg yr<sup>-1</sup>). The emission increases from 1995-1996 to 1997-1998 over the Tropics and the northern temperate regions are reduced to 3Tg yr<sup>-1</sup> and 5Tg yr<sup>-1</sup> (left panel of Fig.5, Inv\_OH\_std), respectively, similar to the inversion results given by Bousquet et al. (2006).

From the period 2001-2003 to 2007-2009, positive OH trends lead to a 13Tg yr<sup>-1</sup> increase of the CH<sub>4</sub> loss, of which 10Tg yr<sup>-1</sup> (76%) originates from the Tropics (Inv\_OH\_std, left panel of Fig.5). In response to increased CH<sub>4</sub> losses, the increase of optimized emissions over tropical regions (16Tg yr<sup>-1</sup>, Inv\_OH\_std) is more than twice that of the inversion using climatological OH (7Tg yr<sup>-1</sup>, Inv\_OH\_cli). The emission increases during the two periods over the northern region show a smaller change of 2Tg yr<sup>-1</sup> (12 Tg yr<sup>-1</sup> estimated by Inv\_OH\_std versus 10 Tg yr<sup>-1</sup> by Inv\_OH\_cli, Fig. 5). The variational inversions show that

the OH temporal variations are most critical for top-down estimates of CH<sub>4</sub> budgets over the tropical
 regions since OH over tropical regions shows larger interannual variations and trend than mid to high
 latitude regions (Fig.S5) and most of the CH<sub>4</sub> (74%) is removed from the atmosphere by OH over the
 tropical regions.

#### 4 Conclusion and discussion

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Based on the simulations from the CCMI, we explore the response of OH fields to changes in climate, anthropogenic and natural emissions and their impact on the top-down estimates of CH<sub>4</sub> emissions during 1980-2010 based on a model perspective. We find that although CCMI models simulated rather different global total burdens of OH (Zhao et al., 2019), they show very similar patterns in temporal variations, including (1) negative anomalies during El Niño years, which are mainly driven by an elevated OH loss

- by reaction with CO from enhanced biomass burning, despite a partial buffering through enhanced OH production, and (2) a continuous increasing in OH from 1980, which is mostly contributed by OH primary production, and accelerating after 2000 due to reduced CO emissions. By conducting inversions using a two-box model and a variational approach together with the ensemble of CCMI OH fields, we find that (1) the OH year-to-year variations can largely reduce the CH<sub>4</sub> emission increase (by up to 10Tg yr<sup>-1</sup>)
  needed to match the observed CH<sub>4</sub> increase during El Ni ño years, and (2) the positive OH trend results in 23±9Tg yr<sup>-1</sup> additional increase in optimized emissions from 1986 to 2010 compared to the inversions using constant OH. The variational inversions also show that OH temporal variations mainly influence top-down estimates of CH<sub>4</sub> emissions over tropical regions.
- 355 The responses of OH to changes in biomass burning, ozone, water vapor, and lightning  $NO_x$  emissions during El Ni ño years have been recognized by previous studies (Holmes et al., 2013; Murray et al., 2014; Turner et al., 2018; Rowlinson et al., 2019; Nguyen et al., 2020). Here, the consistent temporal variations of CCMI OH fields increase our confidence in the model simulated response of OH to ENSO as a result of several nonlinear chemical processes. We estimated that the negative OH anomaly in 1998 reduces the high top-down estimated CH<sub>4</sub> emissions by  $10\pm3$ Tg yr<sup>-1</sup>, ~40% smaller than the reduction estimated by 360 Butler et al. (2005) (16Tg yr<sup>-1</sup>), which only include the OH reduction response to enhanced biomass burning CO emissions. The smaller CH<sub>4</sub> emission reduction (OH anomaly) estimated with CCMI OH fields may reflect the significance of considering multiple chemical processes as included in the 3D atmospheric chemistry model in capturing OH variations and inverting for CH<sub>4</sub> emissions. One of the largest uncertainties is NO<sub>x</sub> emissions from lightning, which have been proven to contribute to year-to-365 year variations in OH (Murray et al., 2013; Turner et al., 2018), but here show a large spread among CCMI models. In addition,  $NO_x$  emissions from soil may also change during El Niño years. Improving estimates of NO<sub>x</sub> emissions from lightning based on satellite observations (Murray et al., 2013) and a better representation of the interactive  $NO_x$  emissions from the soil are critical for improving the model

370 simulation of OH temporal variability and for top-down estimates of year-to-year variations of CH<sub>4</sub> emissions.

The positive trend of OH after the mid-2000s, which results in enhanced top-down estimated  $CH_4$ emissions over the Tropics, is opposite to those constrained by MCF inversions (Turner et al., 2017; Rigby 375 et al., 2017). The processes that control the model simulated positive OH trend discussed in this study are supported by current studies based on observations, including decreased CO emissions (Zheng et al., 2019), small variations of global  $NO_x$  emissions (Miyazaki et al., 2017), and an increase in tropospheric ozone (Ziemke et al., 2019) and water vapor (Chung et al., 2014). However, the CCMI models still show biases that are related to OH production and loss. For example, these include an underestimation of CO 380 especially over the northern hemisphere compared with the surface and satellite observations (Naik et al., 2013; Strode et al., 2016) and bias in atmospheric total  $O_3$  column (Zhao et al. 2019). In addition, changes in aerosols (Tang et al., 2003) and atmospheric circulation such as the Hadley cell expansion (Nicely et al., 2018) are not discussed in this study. Given the uncertainties in both the atmospheric chemistry model simulated (Naik et al., 2013; Zhao et al., 2019) and MCF-constrained OH (Bousquet et al., 2005; Prather 385 and Holmes, 2017; Naus et al. et al., 2019), and the large discrepancy between the two methods, the OH

trend after the mid-2000s remains an open problem and more effort is required in both methods to close the gap.

The temporal variations of OH, which are generally not well constrained in current top-down estimates of CH<sub>4</sub> emissions, imply potential additional uncertainties in the global CH<sub>4</sub> budget (Saunois et al., 2017; Zhao et al., 2020). The tropical regions, where top-down estimated CH<sub>4</sub> emissions show the largest sensitivity to OH changes, represent more than 60% of CH<sub>4</sub> emissions worldwide (Saunois et al., 2016). The tropical CH<sub>4</sub> emissions are dominated by wetland emissions, of which large uncertainties exist in both bottom-up and top-down studies (Saunois et al., 2016; 2017). The variational inversions using OH

- with temporal variations attribute the observed rising CH<sub>4</sub> growth during El Ni ño to the reduction of CH<sub>4</sub>
  loss instead of enhanced emissions over the Tropics, which are consistent with process-based wetland
  models that estimated wetland CH<sub>4</sub> emission reductions at beginning of the El Ni ño event (Hodson et al., 2011; Zhang et al., 2018). Also, the negative OH anomaly can reduce the top-down estimated biomass
  burning CH<sub>4</sub> emission spikes during El Ni ño events, consistent with that given by Bousquet et al. (2006).
  Future climate projections show that the extreme El Ni ño events will be more frequent under a warmer
- climate (Berner et al., 2020), which may enhance the fluctuations in [OH]. Furthermore, the changes in anthropogenic emissions, e.g. such as expected decreases in  $NO_x$  emissions (Lamarque et al., 2013), can also affect the OH trends. Our research emphasizes the importance of considering climate changes and chemical feedbacks related to OH in future CH<sub>4</sub> budget research.

#### Data availability

The CCMI OH fields are available at the Centre for Environmental Data Analysis (CEDA; http://data.ceda.ac.uk/badc/wcrp-ccmi/data/CCMI-1/output; last access: December 2019, Hegglin and Lamarque, 2015), the Natural Environment Research Council's Data Repository for Atmospheric Science and Earth Observation. The CESM1-WACCM outputs for CCMI are available at http://www.earthsystemgrid.org (Climate Data Gateway at NCAR, last access: December 2019). The surface observations for CH<sub>4</sub> inversions are available at the World Data Centre for Greenhouse Gases (WDCGG, <u>https://gaw.kishou.go.jp/</u>, last access: December 2019). Other datasets can be accessed by contacting the corresponding author.

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#### **Author contributions**

YZ, BZ, MS, and PB designed the study, analyzed data, and wrote the manuscript. AB developed the LMDz code for variational CH<sub>4</sub> inversions. XL helped with data preparation. JC and RJ provided input into the study design and discussed the results. ED provided the atmospheric in situ data. MH, MD, PJ, DK, OK, SS, and ST provided CCMI model outputs. All co-authors commented on the manuscript.

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# 435 **Competing interests**

The authors declare that they have no conflicts of interest.

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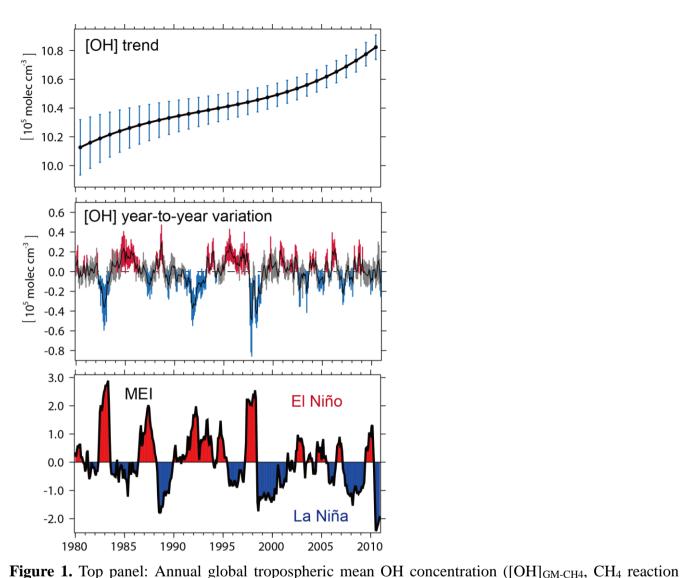
Inversion experiments	OH variability
Inv_OH_std	Full temporal changes (scaled OH fields)
Inv_OH_cli	Climatology OH (average of 1980-2010)
Inv_OH_var	Year-to-year variation only (detrend OH fields)
Inv_OH_trend	Trend only (remove OH year-to-year variation)

Table 1. Two-box model inversion experiments.

**Table 2.** Multi-model mean  $\pm$  standard deviation (SD) of annual total OH production (P) and loss (L) in Tmol yr <sup>-1</sup> and percentage contribution of each production and loss processes to total OH production and loss estimated with multi-model mean OH fields<sup>1</sup>.

Chemical reaction	Mean±SD	%
Production	$209 \pm 12$	/
$O(^{1}D)+H_{2}O$	96±2	46%
NO+HO <sub>2</sub>	63±4	30%
<b>O</b> 3+ <b>HO</b> 2	26±3	13%
Other	24 ±7	12%
Loss <sup>1</sup>	$209 \pm 12$	/
CO+OH	82±4	39%
CH4+OH	$32\pm1$	15%
CH <sub>2</sub> O+OH	12±1	6%
Isoprene+OH	13±1	6%
Other	70±5	33%

<sup>1</sup> The OH production and loss of the EMAC model are not included in the table since total OH production and loss are not given by the EMAC model.



weighted) with year-to-year variations removed (represents the OH trend) simulated by CCMI models. The black line is the multi-model mean and associated error bars are standard deviations of different
 model results (also for the middle panel). Middle panel: Anomaly of detrended and deseasonalized monthly mean [OH]<sub>GM-CH4</sub> (represents the year-to-year variations of OH). Red bars indicate that the multi-model simulated [OH]<sub>GM-CH4</sub> are statistically significant (P<0.05) positive anomalies, blue bars indicate statistically significant negative anomalies, and grey bars indicate statistically non-significant anomalies. Bottom panel: Bi-monthly Multivariate ENSO Index (MEI).</li>

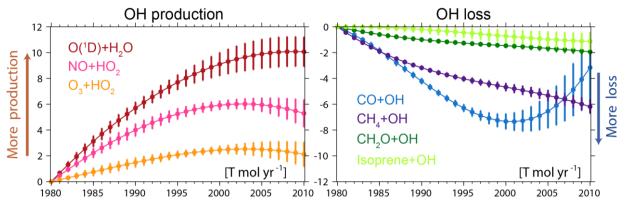


Figure 2. Annual total OH tendency (Tmol yr<sup>-1</sup>) from chemical reactions with respect to the year 1980
 with year-to-year variations removed. The positive and negative tendencies represent OH production (left) and loss processes (right), respectively.

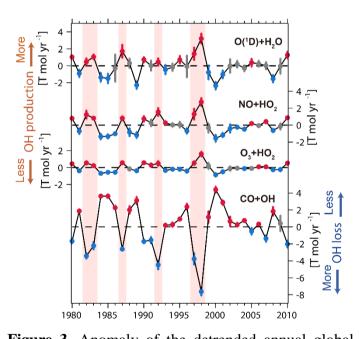
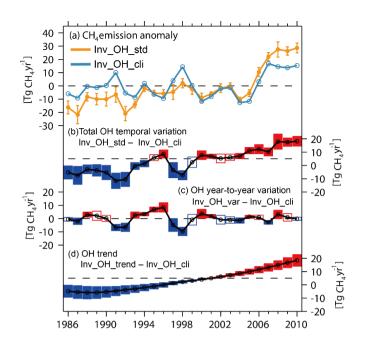


Figure 3. Anomaly of the detrended annual global total OH tendency from reactions O(<sup>1</sup>D)+H<sub>2</sub>O,
 NO+HO<sub>2</sub>, O<sub>3</sub>+HO<sub>2</sub>, and CO+OH. Black lines are multi-model means and the error bars are the standard deviations of all CCMI model results. The red, blue, and grey dots and error bars show statistically significant (P<0.05) positive anomalies, negative anomalies, and statistically non-significant anomalies, respectively. Shaded areas represent the El Ni ño years with more than 5 months of MEI>1.0.



**Figure 4.** (a) Anomaly of global total CH<sub>4</sub> emissions using scaled CCMI OH fields (yellow line, Inv\_OH\_std), and climatological OH (blue, Inv\_OH\_cli) estimated by a two-box model inversion. The anomalies are calculated by comparing to the climatological mean CH<sub>4</sub> emissions of Inv\_OH\_cli over 1986-2010. (b-d) Influences of (b) total OH temporal variations (OH year-to-year variation and trend, Inv\_OH\_std minus Inv\_OH\_cli), (c) OH year-to-year variations (Inv\_OH\_var minus Inv\_OH\_cli), and (d) OH trend (Inv\_OH\_trend minus Inv\_OH\_cli) on box-model estimated global total CH<sub>4</sub> emissions. The black lines are the mean of inversion results with different OH fields and the boxes are ±one standard deviation. The boxes with filled blue/red show OH lead to statistically significant (P<0.05) differences between the two inversions.

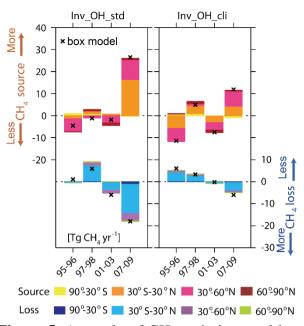


Figure 5. Anomaly of CH<sub>4</sub> emissions and losses estimated by variational 4D inversions (bars) and by two-box model inversions ("x") using a multi-model mean scaled OH (Inv\_OH\_std, left) and climatological OH (right) during four time periods. The anomalies are calculated by comparing to the mean CH<sub>4</sub> emissions of Inv\_OH\_cli over the four time period (494Tg). The total emissions and loss over southern extra-tropical regions (90 °-30 °S), the Tropics (30 °S-30 °N), the northern temperate (30 °-60 °N), and the northern boreal (60 °-90 °N) regions are shown by different colors within each bar.