

Dear editors and reviewers:

Thank you for your comments concerning our manuscript entitled “The interaction between urbanization and aerosols during the typical haze event”. The comments are all valuable for improving the manuscript and also have great guiding significance for our research. We have studied the comments carefully and made corrections that we hope will be met with your approval. One version of the revised manuscript is highlighted with Track Changes. In the following we quoted each review question and added our response after each paragraph.

Reviewer #1:

General comments:

- 1. A general description of physical processes between aerosols or PM_{2.5} and warming and cooling are missing in the abstract. A more general discussion of the atmospheric physics which is studied here is required to understand what the authors want to tell us.*

Thank you for your suggestion. We added a general description of warming and cooling processes by aerosols or PM_{2.5} in the Abstract to improve the expression of physical mechanisms in the revised manuscript.

The new part was added in Lines 27-29 in the revised manuscript:

Aerosols cause cooling at the surface by reducing shortwave radiation, while urbanization causes warming by altering the surface albedo and releasing anthropogenic heat. The combined effect of the two phenomena needs to be studied in depth.

- 2. This topic is much better handled in the chapter Introduction. But the last sentence of the Introduction is producing questions so that this statement should be deleted here but discussed in the chapter Conclusions.*

We deleted the last sentence of the Introduction and added it to the Discussion section in the revised manuscript.

- 3. The description of methods is missing an overall statement which data are required and why. There it is necessary also to show what is available and which data are missing. It should be explained why the data basis is complete for this study. Then the algorithms and models should be discussed by the same view: why you do what and why this way can provide the expected results or answers to the hypothesis.*

The description of results is very detailed so that more information for understanding is required as mentioned above.

Thank you for your suggestion. We added more information and reorganized the Methods section to explain the data basis.

The revised Methods section is as follows (the added parts are shown in red):

2 Methods

2.1 Observational data

To investigate the interaction between urbanization and aerosols, observation data on basic meteorological elements, air quality, radiation and surface heat flux and the mixing layer height (MLH) are very important to reveal the impact of urbanization and aerosols during haze events.

The basic meteorological elements were obtained from 309 national basic weather stations in the BTH region and were provided by the China Meteorological Administration (<http://data.cma.cn/>). The locations of the national basic weather stations are shown in Fig 1 (red dots). The mass concentrations of fine particulate matter (PM_{2.5}) were recorded by 251 environmental monitor stations managed by the Ministry of Ecology and Environment of the People's Republic of China (<http://hbk.cei.cn/asp/default.aspx>) (Fig 1, black dots). We also used radiation and surface heat flux data to analyze the urban surface energy budget obtained from the Beijing meteorological tower (39.97°N, 116.37°E). The tower is 325 m high and is operated by the Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences (CAS). The heat flux data were measured by a fast response eddy covariance sensor system that was sampled at 10 Hz using CR500 (Campbell Scientific Inc., USA). The radiation data were provided by Kipp & Zonen (Netherlands) four-component unventilated CNR1 radiometers. Radiation and surface flux data from 140 m of the tower were used in this study. In addition, the MLH is an important factor affecting pollutant diffusion and is also affected by both urbanization and aerosols. Because the MLH is not a routine observation, we obtained the data from only one site. The MLH and backscattering coefficient were measured by enhanced single-lens ceilometers (Vaisala, CL51, Finland) deployed by the IAP (Tang et al., 2016). Backscattering coefficient profiles were calculated by referencing the attenuation strobe laser LiDAR technique (910 nm), which is cited in Tang et al. (2015).

2.2 Model description and experimental design

To investigate the respective effects of urbanization and aerosols and further determine the interaction between urbanization and aerosols, a high-resolution regional model with satisfactory performance is necessary for sensitivity tests.

...

4. *The chapter Conclusions are a summary, a discussion and some conclusions. The discussion is missing the relation of the study results to the overall knowledge. What is new? What are the conclusions for the overall knowledge and the study area?*

Thank you for your suggestion. We added a Discussion section to show the innovations and the relation of the study results to the overall knowledge.

The Discussion section is as follows:

5 Discussion

In this study, it was easier to distinguish the impacts of aerosols and urbanization by using RMAPS-ST with AOD hourly inputs than with RMAPS-Chem. One reason for this difference is that the model performance of RMAPS-ST is much better than that of RMAPS-Chem in meteorological fields. Although real-time feedback in modeling is not provided, RMAPS-ST is more efficient and more suitable for short-term operational forecasting.

This study not only qualified the impacts of aerosols and urbanization on haze events but also analyzed the interaction between aerosols and urbanization during haze events. This research will help to improve air quality under the continuous urbanization and sustainable development of large cities.

The government has taken a series of emission reduction measures, including limiting industrial emissions and vehicle plate number traffic restriction measures, to improve the air quality in the BTH region. The policies have been effective in reducing aerosols. At the same time, urbanization continues mainly in the areas around Beijing (such as the Xiongan New Area). The results of this study show that the combined impact of urbanization and decreasing aerosols will increase the downward shortwave radiation and further increase the surface temperature and ozone concentration in the boundary layer. Previous studies indicated that ozone generally increases with temperature and decreases with humidity (Camalier et al., 2007; Cardelino et al., 1990). It is well known that ozone is not only a pollutant but also a greenhouse gas. Therefore, ozone will form a positive feedback mechanism to induce warming and ozone pollution in the boundary layer. This feedback will pose a new challenge regarding how to reduce ozone pollution in urban areas. Some studies have suggested that urban greening can effectively reduce ozone pollution (Nowak et al., 2000; Benjamin and Winer, 1998). More attempts should be made to add the interaction between urbanization and ozone in regional models.

Reference

- Camalier, L., Cox, W., and Dolwick, P.: The effects of meteorology on ozone in urban areas and their use in assessing ozone trends, *Atmospheric Environment*, 41(33), 7127-7137, 2007.
- Cardelino, C. A., and Chameides, W. L.: Natural hydrocarbons, urbanization, and urban ozone, *Journal of Geophysical Research*, 95(D9), 13971, 1990.
- Nowak, D. J., Civerolo, K. L., Rao, S. T., Sistla, G., Luley, C. J., and Crane, D. E.: A modeling study of the impact of urban trees on ozone, *Atmospheric Environment*, 34(10), 1601-1613., 2000.
- Benjamin, M. T., Winer, A. M.: Estimating the ozone-forming potential of urban trees and shrubs, *Atmospheric Environment*, 32(1), 53-68, 1998.

5. *The figure captions should be improved so that these are understandable without the overall manuscript: terms must be explained, description of parameters (Fig. 2c).*

Thank you for your suggestion. The revised Fig 2 is as follows:

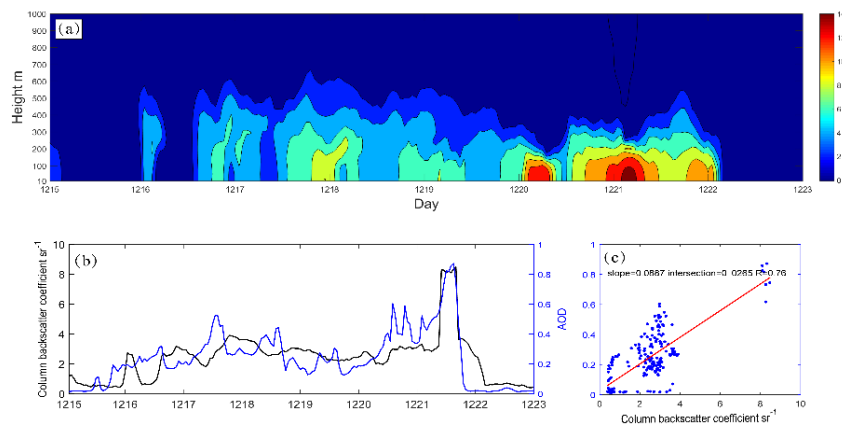


Figure 2 (a) Hourly backscattering coefficient (shading; $\text{Mm}\cdot\text{sr}^{-1}$) observed by single-lens ceilometers (39.97°N , 116.37°E) from the 15th to 23rd of December; (b) hourly column backscatter coefficient (black line; sr^{-1}) and AOD used in modeling for Beijing (blue line) and (c) scatter diagram of hourly column backscatter coefficient and AOD (blue dots) and their correlations (red line).

6. Please follow the guidelines to write the references: the authors of papers are incomplete, after the title you set a”.” or a “,”, some paper references include the doi number and other not. Technical corrections
Line 76 Crutzen instead of Cruten.

Thank you for your suggestion. We unified the format and added information to improve the References section.

Reviewer #2:

The authors investigate the interaction between aerosols and urbanization during a severe haze event via

the RMAPS-ST model. Results indicate that a 100% increase in PM_{2.5} (200 to 400 $\mu\text{g}/\text{m}^3$) reduced daytime urban-related warming by 20% (from 30-50%). However, urban-related warming increased approximately 28% in response to aerosols- important for haze formation. With regards to urbanization, the aerosol-related cooling effect was reduced by approximately 54%, changing little with aerosol increases. The study also found that aerosols reduced the urban-impact on the mixing layer, sensible heat flux, and latent heat flux by 148%, 156%, and 48.8%, respectively. This reviewer's main concern is related to whether or not the authors address aerosol typology in the model. If so aerosol chemistry was considered, then how?

Thank you for your suggestion. The aerosol typology has been considered in this study. The AOD was extracted from the output of RMAPS-Chem (Zhao et al., 2019; Zhang et al., 2018), which included the aerosol typology in the model. Then, we added the hourly distribution of AOD in the RRTMG radiation scheme in RMAPS-Urban. The particle size distribution and typology of aerosols also calculated in the RRTMG radiation scheme is according to Ruiz et al. (2014). Therefore, the particle size distribution and typology of aerosols are included in both the input hourly AOD fields and the RRTMG radiation scheme. We added the sentence “The particle size distribution and typology of aerosols used in this study is according to Ruiz et al. (2014)” in Lines 153-154 to clarify this information.

The work could be greatly improved with better section transitions, and by addressing several items described below.

1. Abstract:

a) Which haze event? The authors should specify.

We added information on the haze event in Line 30.

Line 30: The interaction between aerosols and urbanization during the haze event that occurred from the 15th to 22nd of December 2016 in Beijing was investigated using the rapid-refresh multiscale analysis and prediction system-short term (RMAPS-ST).

b) Lines 30-33: Rephrase for better flow.

Aerosols reduced urban-related warming during the daytime. The urban-related warming decreased by 30 to 50% as the concentration of PM_{2.5} increased from 200 to 400 $\mu\text{g}\cdot\text{m}^{-3}$. Conversely, aerosols also enhanced urban-related warming at dawn, and the increment was approximately 28%, which contributed to haze formation.

c) *Lines 37-38: Unclear.*

Furthermore, aerosols decreased the latent heat flux; however, this reduction decreased by 48.8% due to urbanization.

2. *Introduction-The authors thoroughly cite references to support statements and do a good job of showing the importance of aerosol-urban impacts. They also state that quantitative evaluation of urban impacts on aerosols and vice-versa has not been conducted simultaneously in metropolitan areas. There are several sentences that need to be rephrased- some of which are listed below.*

We revised the Introduction section according to your suggestions.

a) *Lines 43-46: Rephrase to improve the flow.*

In recent years, heavy haze pollution events have increasingly occurred in densely populated urban areas, such as the Beijing-Tianjin-Hebei region (BTH region) and Yangtze River Delta region of China (Zhang et al., 2019). These events have caused increasingly severe adverse effects on transportation, the ecological environment and human health (Zhao et al., 2012; Wu et al., 2010; Liu et al., 2012).

b) *Lines 49-54: These lines can be connected better connected.*

The revised version: The conditions for the formation of heavy haze in the BTH region are very complex (Miao et al., 2017; Wei et al., 2018; Ren et al., 2019). Although emissions, meteorological conditions, terrain, and high-density human activities in urban areas are all important conditions for the evolution of heavy haze (Huang et al., 2008a; Zhu et al., 2018), meteorological conditions are critical for the evolution of heavy haze pollution weather under the background of constant emissions (Wang et al., 2020; Pei et al., 2020).

c) *Lines 74-75: Rephrase.*

The revised version: However, in contrast to the effects of urbanization, aerosols cause cooling at the surface by reducing shortwave radiation to enhance static stability (Grimmond, 2007; Cruten, 2004, Huang et al., 2007).

d) *Lines 87-88: Which “conclusions” specifically?*

Xu et al. (2019) indicated that the impact of irrigation on regional climate may vary depending on the scale. We cited Xu et al. (2019) to explain that the different conclusions obtained by Cao et al. (2016) and Yang et al. (2020) may be due to the focus on different scales.

e) *Line 103: Add the word “model” after (RMAPS-ST)*

The suggested change has been made.

f) *Line 104: Remove “the mechanism of”*

The suggested change has been made.

3. *Methods:*

a) *The authors immediately describe four observational data types used for the study and provide a map of the locations (in Figure 1, is the shaded region topography? What units?).*

We improved the caption of Figure 1 to clarify this information.

The revised capture: Figure 1 Domain configuration of RMAPS-ST and the location of the study area, indicated by the solid white line. The black dots indicate the locations of the 251 environmental monitoring stations, and the red dots represent the 309 meteorological stations in the BTH region, where the gray loop lines show the locations of the second to sixth ring roads. The shading is the terrain height (unit: m).

b) *This reviewer was expecting a mention of the high RMSE values for longwave and shortwave (Table 1). What is this attributed to?*

There are two possible reasons for the high RMSE values for longwave and shortwave radiation:

i) *Deficiency of observation sites and interpolation methods*

Only observed longwave and shortwave data from the Beijing meteorological tower (39.97°N, 116.37°E) were available for evaluation. The weighted interpolation of the nine points was used to transfer the grid modeling results to the station locations. A total of 294 observation stations were used to evaluate basic meteorological elements such as temperature. The RMSE of the basic meteorological elements is the average of the 294 observation stations. Therefore, it is reasonable that the RMSE values of the radiation and heat flux values are larger than those of basic meteorological elements.

The magnitudes of longwave and shortwave radiation are larger than that of heat flux (Fig 5e and f).

Although the RMSE of radiation is larger than that of heat flux, the absolute error ratio is similar.

ii) *Height differences between observations and simulations*

Observed shortwave and longwave radiation data from the tower were only available from 140 m. However,

the surface radiation was simulated from the shortwave and longwave radiation.

We added an explanation in the revised version as follows.

Lines 171-173: The deficiency of observation sites, interpolation methods and the height differences between the observations and simulations resulted in higher root mean square error (RMSE) values for radiation and heat flux than for the other variables.

c) *Line 113: Rephrase to “synoptic conditions”*

We deleted this sentence in the revised manuscript.

d) *Lines 143-154: What considerations were made for other important aerosol parameters such aerosol particle size distribution and typology?*

Aerosol particle size distribution and typology:

Ruiz et al. (2014) elaborated on how to specify the AOD at each spectral band in the RRTMG scheme. A 2-band version of the Ångström law (Gueymard, 2001) was used as follows:

$$\tau(\lambda) = \tau_{0.55} \left(\frac{\lambda}{0.55} \right)^{-\alpha_i}$$

where λ is the wavelength in μm and α_i is the Ångström exponent for each band, defined as $\alpha_i = \alpha_1$ for $\lambda < 0.55 \mu\text{m}$, and $\alpha_i = \alpha_2$ otherwise. The corresponding values of α_i are given in Table 2. For α_1 , extinction coefficients of 0.337, 0.55 and 0.649 μm were used. The values at 0.55, 0.649, 1.06 and 1.536 μm were used for α_2 .

We added an explanation of the aerosol particle size distribution and typology in the new version as follows.

Lines 153-154: The particle size distribution and typology of aerosols used in this study is according to Ruiz et al. (2014).

Reference

Ruiz-Arias, J. A., Dudhia, J., and Gueymard, C. A. (2014). A simple parameterization of the short-wave aerosol optical properties for surface direct and diffuse irradiances assessment in a numerical weather model. *Geoscientific Model Development*, 7(3), 1159-1174.

4. Results:

a) *The authors first describe the haze 15-22 December 2016 haze event, thoroughly describing the evolution of the event in three stages. The specifics of the simulation are then described, but this section*

should be moved to Methodology (Section 3.2).

Thank you for your suggestion. We first showed the weather maps and time series of meteorological elements in Section 3.1 from observations, namely, what the observations told us. However, we begin to design sensitivity tests and analyze the modeling results in Section 3.2. Therefore, we changed the chapter title to “3.1 Observation and weather condition analysis” to make it clear.

b) Simulation results are then described. There are so many numbers in the results section that an additional table could be added.

We added Table 3 to summarize the numbers.

Table 3 Quantitative results of the interaction between urbanization and aerosols

Time	Temperature °C		Specific humidity ×10 ⁻² g kg ⁻¹		Longwave W·m ⁻²		MLH m	Sensible heat flux W·m ⁻²	Latent heat flux W·m ⁻²
	16 th -19 th	20 th -21 st	16 th -19 th	20 th -21 st	16 th -19 th	20 th -21 st	16 th -21 st	16 th -21 st	16 th -21 st
UI_aero	0.42	0.19	3.66	3.08	0.10	-0.02	-1.97	-1.01	0.03
UI_noaero	0.60	0.35	4.78	4.48	0.62	0.51	4.04	1.74	0.49
AI_urban	-0.16	-0.19	-0.88		-0.24		-4.37	-1.64	-0.50
AI_nourba	-0.34	-0.43	1.36		-0.73		-10.38	-4.02	-0.96

c) The authors could also organize the results better, as it is a bit confusing going back and forth from aerosol impact on the urban to urban impacts on the aerosol.

Thank you for your suggestion. We unified the order of the analysis to show the impacts of aerosols on urban areas first for each variable and added Table 3 to clarify this information in the revised manuscript.

d) Line 167: What makes a heavy haze event typical?

Large-scale weather conditions result in poor dispersion of pollutants are the main factor of typical continuous severe heavy haze formation.

e) Lines 194: “on” the morning of: : :

The suggested change has been made

f) Lines 222-226: Rephrase, and also consider replacing the word “obviously”.

The revised version: The impact of urbanization on the near-surface temperature displays diurnal variation

in the Beijing area. The warming effect of urbanization was dominant at night. The urban impact on temperature was partly offset under aerosol conditions when comparing the results of UI_aero and UI_noaero, especially during the daytime (Fig 6a, red lines).

g) *Figure 6: Are these results averaged over a specific grid?*

The results are processed to the regional average for the Beijing area.

h) *Lines 270-271: What is meant by “a few differences”?*

“a few differences” means the difference was very small. We revised the sentence to “Aerosols reduce the downward shortwave radiation during the daytime, and the differences between AI_urban and AI_nourban are very small.” to clarify this information.

i) *Lines 308-309: I think I understand what you’re saying here, but this needs to be clearer.*

We revised the sentence to the following: The above results indicate that the offsetting effect of aerosols on urbanization is more important than the impact of urbanization on aerosols on local weather.

j) *Line 329: wind fields “are” very important.*

The suggested change has been made.

5. Conclusion

a) *The authors summarize their findings and highlight the most important results. The paper ends without the authors discussing the implications of their findings their findings, and could benefit from such a discussion being added.*

We added a Discussion section in the new version as follows.

5 Discussion

In this study, it was easier to distinguish the impacts of aerosols and urbanization by using RMAPS-ST with AOD hourly inputs than with RMAPS-Chem. One reason for this difference is that the model performance of RMAPS-ST is much better than that of RMAPS-Chem in meteorological fields. Although real-time feedback in modeling is not provided, RMAPS-ST is more efficient and more suitable for short-term operational forecasting.

This study not only qualified the impacts of aerosols and urbanization on haze events but also analyzed the interaction between aerosols and urbanization during haze events. This research will help to improve air quality under the continuous urbanization and sustainable development of large cities.

The government has taken a series of emission reduction measures, including limiting industrial emissions and vehicle plate number traffic restriction measures, to improve the air quality in the BTH region. The policies have been effective in reducing aerosols. At the same time, urbanization continues mainly in the areas around Beijing (such as the Xiongan New Area). The results of this study show that the combined impact of urbanization and decreasing aerosols will increase the downward shortwave radiation and further increase the surface temperature and ozone concentration in the boundary layer. Previous studies indicated that ozone generally increases with temperature and decreases with humidity (Camalier et al., 2007; Cardelino et al., 1990). It is well known that ozone is not only a pollutant but also a greenhouse gas. Therefore, ozone will form a positive feedback mechanism to induce warming and ozone pollution in the boundary layer. This feedback will pose a new challenge regarding how to reduce ozone pollution in urban areas. Some studies have suggested that urban greening can effectively reduce ozone pollution (Nowak et al., 2000; Benjamin and Winer, 1998). More attempts should be made to add the interaction between urbanization and ozone in regional models.

Reference

- Camalier, L., Cox, W., and Dolwick, P.: The effects of meteorology on ozone in urban areas and their use in assessing ozone trends, *Atmospheric Environment*, 41(33), 7127-7137, 2007.
- Cardelino, C. A., and Chameides, W. L.: Natural hydrocarbons, urbanization, and urban ozone, *Journal of Geophysical Research*, 95(D9), 13971, 1990.
- Nowak, D. J., Civerolo, K. L., Rao, S. T., Sistla, G., Luley, C. J., and Crane, D. E.: A modeling study of the impact of urban trees on ozone, *Atmospheric Environment*, 34(10), 1601-1613., 2000.
- Benjamin, M. T., Winer, A. M.: Estimating the ozone-forming potential of urban trees and shrubs, *Atmospheric Environment*, 32(1), 53-68, 1998.

b) Line 379: Why not just list the actual maximum concentration?

Line 379 to Line 403: We rephrased this sentence and added the actual maximum concentration of PM_{2.5}. The revised sentence: The average concentration of PM_{2.5} was approximately 200 $\mu\text{g}\cdot\text{m}^{-3}$, and the maximum was 695 $\mu\text{g}\cdot\text{m}^{-3}$.

6. *Figures:*

a) *Figure 3: Is difficult to see, the red dashed contours are not clear on the panels. We improved the quality of Figure 3 to make it clear.*

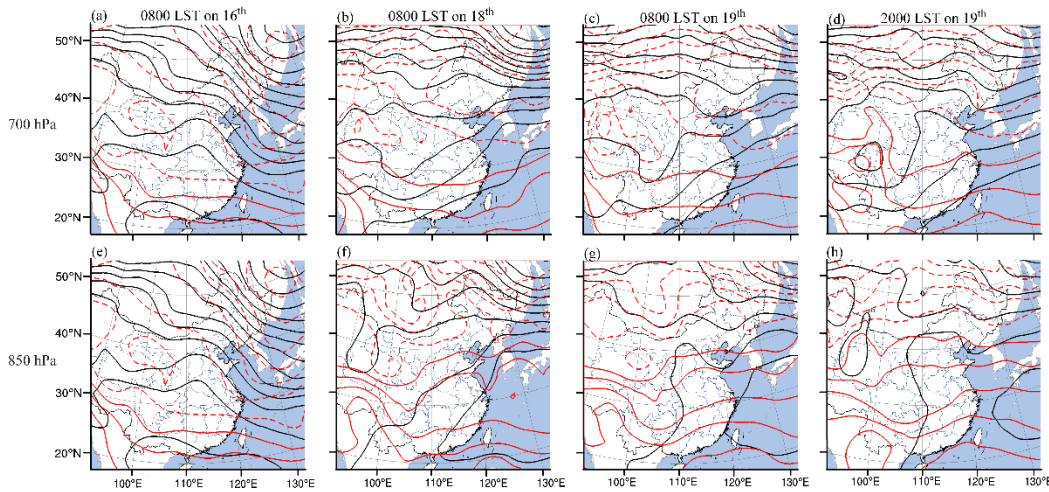


Figure 3 Weather maps. (a) 0800 LST on the 16th at 700 hPa; (b) 0800 LST on the 18th at 700 hPa; (c) 0800 LST on the 19th at 700 hPa; (d) 2000 LST on the 19th at 700 hPa; (e) 0800 LST on the 16th at 850 hPa; (f) 800 LST on the 18th at 850 hPa; (g) 0800 LST on the 19th at 850 hPa; (h) 2000 LST on the 19th at 850 hPa.

b) *Figure 4: Add units on the left axis. Also, consider using a box instead of the extra shaded regions on the 16th, 17th, and 19th.*

We added the units and replaced the shading with a box in Figure 4.

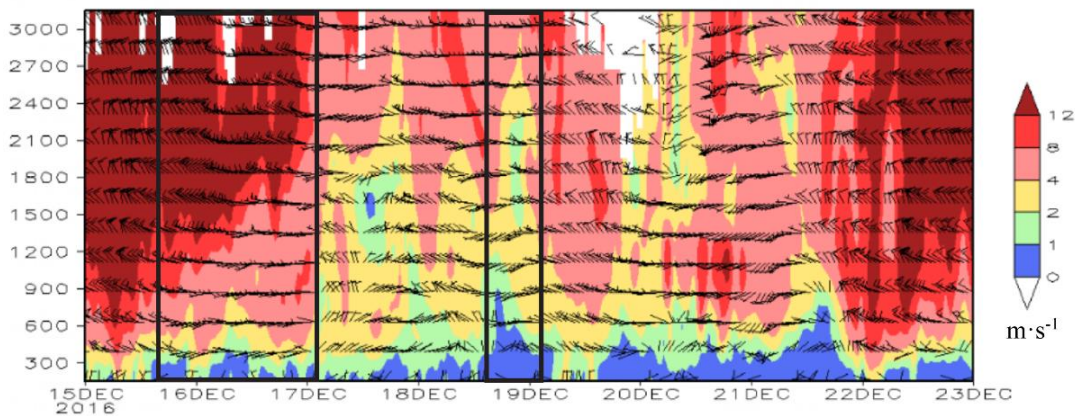


Figure 4 Hourly wind profile from the 15th to 23rd of December. Wind speed (shading; $\text{m}\cdot\text{s}^{-1}$) and horizontal wind field (vector; $\text{m}\cdot\text{s}^{-1}$). The black boxes show the two periods of south wind conveyance.

1
2 **The interaction between urbanization and aerosols during the haze event**

3
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Abstract

Aerosols cause cooling at the surface by reducing shortwave radiation, while urbanization causes warming by altering the surface albedo and releasing anthropogenic heat. The combined effect of the two phenomena needs to be studied in depth. The interaction between aerosols and urbanization during the haze event occurred from the 15th to 22nd of December 2016 in Beijing was investigated using the Rapid-Refresh Multiscale Analysis and Prediction System-Short Term (RMAPS-ST). The mechanisms of the impacts of aerosols and urbanization were ~~also~~ analyzed and quantified. Aerosols reduce urban-related warming during the daytime. The urban-related warming decreased by, and the warming decreased by 30 to 50% as the concentration of PM_{2.5} increased from 200 to 400 $\mu\text{g}\cdot\text{m}^{-3}$. Conversely, aerosols ~~Aerosols also~~ enhance the urban-related warming at dawn and the increment is, with an increase of approximately 28%, which contributed to haze formation, which is important for haze formation. Urbanization reduced the aerosol-related cooling effect by approximately 54% during the haze event, and the strength of the impact changed little with increasing aerosol content. The impact of aerosols on urban-related warming is more significant than the impact of urbanization on aerosol-related cooling. Aerosols decreased the urban-impact on the mixing layer height by 148% and on the sensible heat flux by 156%. Furthermore, the aerosols decreased the latent heat flux; however, this reduction decreased by 48.8% due to urbanization, and the impact was reduced by 48.8% by urbanization. The impact of urbanization on the transport of pollutants is more important than that of aerosols. The interaction between urbanization and aerosols may enhance the accumulation of pollution and weigh against diffusion.

1 Introduction

In recent years, heavy haze pollution events have increasingly occurred ~~heavy haze pollution events have occurred more frequently~~ in densely populated urban areas, such as the Beijing-Tianjin-Hebei region (BTH region) and Yangtze River Delta region of China, ~~(Zhang et al., 2019). These events~~ which has caused increasingly serious adverse effects on transportation, the ecological environment and human health (Zhao et al., 2012; Wu et al., 2010; Liu et al., 2012). A statistical analysis of the variation in haze days in Beijing over the past 10 years shows that the number of haze days has significantly increased (Chen and Wang, 2015; Zhai et al., 2019). The average annual number of haze days was 162 in 1981-1990, 167 in 1991-2000, and 188 in 2001-2010. The conditions for the formation of heavy haze ~~weather~~ in the BTH region are very complex (Miao et al., 2017; Wei et al., 2018; Ren et al., 2019). Although Atmospheric pollutant emissions, meteorological

57 conditions, terrain, and urban high-density human activities are all important conditions for the
58 ~~evolutionformation~~ of heavy haze ~~weather~~ (Huang et al., 2008a; Zhu et al., 2018). ~~the~~ However,
59 meteorological conditions are ~~becoming the most~~ critical ~~conditions~~ for the ~~evolutiondevelopment~~ of heavy
60 haze pollution weather ~~under the background of constant emissions~~ ~~when there is little change in atmospheric~~
61 ~~pollutant emissions~~ (Wang et al., 2020; Pei et al., 2020).

62
63 The characteristics of the atmospheric boundary layer structure determine the horizontal fluidity, vertical
64 diffusion ability, stability and capacity (mixed layer thickness) of the atmosphere, which are the main factors
65 affecting the formation, intensity and duration of haze and atmospheric pollution (Guo et al., 2016). Coulter
66 R L. (1979) indicated that the height of the mixing layer would affect the concentration and diffusion of
67 pollutants, which has been one of the most important physical parameters in atmospheric numerical models
68 and atmospheric environment evaluations, and urbanization and aerosols have been proven to influence the
69 boundary layer height (Tao et al., 2015).

70
71 Urbanization, as the most drastic means by which human activities transform the environment, has had an
72 important impact on regional climate and weather processes (Miao et al., 2011; Yu and Liu, 2015; Yu et al.,
73 2017). Existing research suggests that there are three main ways by which urbanization influences the climate
74 (Oke, 1982 and 1995). The change with land use from natural surfaces to impervious underlying surfaces in
75 association with urbanization alters the surface albedo and roughness, which results in the formation of urban
76 heat islands (UHIs) (Taha, 1997; Folberth et al., 2014). This leads to a change in the surface energy balance
77 and the form of the thermal difference between urban and rural areas and further changes the boundary layer
78 structure (Grimmond, 2007; Li and Bou-Zeid, 2013). Second, thermal differences further lead to heat island
79 circulation, which can influence the local circulation of synoptics and the transport of pollutants (Crutzen,
80 2004). Anthropogenic aerosols and heat from the development of transportation and industry are also
81 important parts of urban impacts on climate (Huang et al. 2008b). However, ~~in contrast to the effects of~~
82 ~~urbanization,~~ aerosols ~~can reduce the decrease in shortwave radiation and~~ cause cooling at the surface ~~by~~
83 ~~reducing the shortwave radiation to and~~ enhance static stability, ~~which is opposite to the effects of urbanization~~
84 (Grimmond, 2007; Cruten, 2004, Huang et al., 2007). Furthermore, aerosols may increase longwave radiation
85 in urban areas because they are likely to absorb and emit more energy than water vapor or greenhouse gases
86 under certain conditions (Jacobson, 1998; Rudich et al., 2007). There have been few studies on the mechanism
87 of the interaction between urbanization and aerosols, although many studies focus on their respective effects.

88 Accordingly, the interaction between urbanization and aerosols is important for studying regional climate.

89
90 Researchers are increasingly aware of the importance of the interaction between urbanization and aerosols. A
91 very important study by Cao et al. 2016 was the first attempt to determine the effects of aerosols on
92 urbanization and indicated that aerosols can increase the nighttime UHI effect using a climate model. Yang et
93 al. 2020 obtained different results when using observational data to perform similar research in the BTH region.

94
95 More detailed research needs to be performed by combining observational data and modeling because the
96 conclusions may vary depending on the scale (Xu et al., 2019). Other illuminating work with regional models
97 showed that the combined effect of UHIs and aerosols on precipitation depends on synoptic conditions (Zhong
98 et al., 2015). However, for winter haze, Zhong et al. (2017) evaluated the urban impact on air quality and
99 indicated that urbanization can increase ventilation in daytime and increase aerosol emissions, which
100 outweighs the UHI effect.

101
102 However, very few studies have quantified the individual effects of urbanization-induced UHIs and aerosols
103 with elevated emissions on the formation and development of haze in metropolitan areas. A difficulty is that
104 the radiative forcing of aerosols is not a prognostic variable in most climate models (Cao et al. 2016). Some
105 regional models such as WRF-Chem can overcome this problem by parameterizing aerosols to aerosol optical
106 depth (AOD) in some specific radiation schemes. Tao et al. 2015 and Zhong et al. 2018 have made some
107 progress in this area, and their results also indicate that the regional model can be used as an effective way to
108 study the interaction between urbanization and aerosols. However, a quantitative evaluation of urban impacts
109 on aerosols and aerosol impacts on urban-impact at the same time in metropolitan areas has not been attempted.

110
111 In this study, the Rapid-Refresh Multiscale Analysis and Prediction System-Short Term (RMAPS-ST) was
112 used to investigate the mechanism of the influence of the above two factors in a typical winter haze event. The
113 objective of this study is 1) to quantify impact of urban on aerosols and impact of aerosols on urbanization
114 respectively and 2) to obtain a better understanding of the interaction between urbanization and aerosols and
115 its influence mechanism on the boundary layer structure and haze transmission during the typical winter haze
116 events in the BTH region. ~~This research will help to improve air quality under the continuous
117 urbanization and sustainable development of large cities.~~

2 Methods

2.1 Observational data

To investigate the interaction between urbanization and aerosols, observation data on basic meteorological elements, air quality, radiation and surface heat flux and the mixing layer height (MLH) are very important to reveal the impact of urbanization and aerosols during haze events.

~~Four kinds of observational data were used in this study to reveal the synoptic situation of haze events and perform model evaluation. The basic meteorological elements were obtained from Meteorological data from~~

309 national basic weather stations in the BTH region were provided by the China Meteorological Administration (<http://data.cma.cn/>). The locations of the national basic weather stations are shown in Fig 1 (red dots). The mass concentrations of fine particulate matter (PM_{2.5}) were recorded by 251 environmental monitor stations managed by the Ministry of Ecology and Environment of the People's Republic of China (<http://hbk.cei.cn/asp/default.aspx>) (Fig 1, black dots). We also used radiation and surface heat flux data to

~~analysis the urban surface energy budget which obtained Radiation and surface heat flux data were obtained from the Beijing meteorological tower (39.97°N, 116.37°E),). The tower which~~

is 325 m high and operated by the Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences (CAS). The heat flux data were measured by a fast response eddy covariance sensor system that was sampled at 10 Hz using CR500 (Campbell Scientific Inc., USA). The radiation data were provided by Kipp & Zonen (Netherlands) four-component unventilated CNR1 radiometers. Radiation and surface flux data from 140 m of the tower were used in this

study. In addition, the MLH is an important factor affecting pollutant diffusion and is also affected by both urbanization and aerosols. Because the MLH is not a routine observation, we obtained the data from only one site. The ~~mixing layer height (MLH)~~ and backscattering coefficient were measured by enhanced single-lens ceilometers (Vaisala, CL51, Finland) deployed by the IAP. Backscattering coefficient profiles were calculated by reference to the attenuation strobe laser LiDAR technique (910 nm), which is cited in Tang et al. (2015).

2.2 Model description and experimental design

To investigate the respective effects of urbanization and aerosols and further determine the interaction between urbanization and aerosols, a high-resolution regional model with satisfactory performance is necessary for sensitivity tests.

The model used in this study is the latest available version of RMAPS-ST, developed by the Institute of Urban Meteorology, China Meteorological Administration. RMAPS-ST is based on the Weather Research and Forecasting (WRF v3.8.1) model (Skamarock et al., 2008) and its data assimilation system (WRFDA v3.8).

150 The simulation domain was centered at 37.0°N, 105.0°E and implemented with two nested grids with
151 resolutions of 9 and 3 km for two domains (D1 and D2, respectively) (Fig 1a). The model performance was
152 verified and RMAPS-ST runs operationally (Fan et al., 2018). The assimilation began every three hours, and
153 the assimilated data included automatic meteorological station data, sounding data and radar data when
154 available. The model settings are shown in Table 1. The simulation started at 0000 LST and ran from 15 to 23
155 December 2016 with hourly output.

156
157 The urban impact was represented by a high-resolution (30 m) land use map interpreted from Landsat
158 Thematic Mapper satellite data for 2015 in Beijing. The urban canopy parameters were optimized according
159 to Miao and Chen (2014). The impact of aerosols was represented by adding the hourly distribution of AOD
160 in the RRTMG radiation scheme. The AOD was extracted from the output of RMAPS-Chem (Zhao et al.,
161 2019; Zhang et al., 2018) for the BTH region, which is shown in Fig 1b. Anthropogenic emission data were
162 obtained according to the Multiresolution Emission Inventory for China (2012)

163 (<http://www.meicmodel.org/>) with a resolution of 0.1°×0.1°. The particle size distribution and typology of
164 aerosols used in this study is according to Ruiz et al. (2014).— The simulated distribution of AOD in Beijing
165 has been verified to be satisfactory when compared to the observed vertical profile of the backscattering
166 coefficient (Fig 2a and b). The correlation of AOD and the column backscatter coefficient is 0.76 (Fig 2c).
167 Four tests were designed to investigate the impacts of aerosols and urbanization on typical haze events. Test
168 1: Both urban and aerosol impacts were considered in the simulation. We updated the grid AOD distribution
169 hourly as the input field for the RRTMG radiation scheme in Domain 2. Test 2: Only aerosol impact was
170 considered in the simulation, and we replaced the urban grid with cropland to shield the impact of
171 urbanization. Test 3: Only urban impact was considered, and the direct radiative forcing of aerosols was not
172 considered in the simulation. Test 4: Both urban and aerosol impacts were not considered in the simulation.

173
174 The model evaluation results for the four tests are shown in Table 2. As the service operational system, the
175 RMAPS-ST model assessment report indicated that the model performance was satisfactory (Fan et al. 2018).
176 We evaluated not only the conventional meteorological variables (including temperature, humidity and wind
177 speed) but also unconventional but important variables for this study (including radiation and surface heat
178 flux). A total of 309 meteorological station data points were used to evaluate the conventional variables. The
179 unconventional variables were evaluated according to the observational data from 140 m of the Beijing
180 meteorological tower. Test 1 was found to be the best simulation and considers both the urban and aerosol

181 impacts. The deficiency of observation sites, interpolation methods and the height differences between the
182 observations and simulations resulted in higher root mean square error (RMSE) values for radiation and heat
183 flux than for the other variables.

184

185 3 Results

186 3.1 Observation and weather condition analysis~~Weather analysis~~

187 A typical continuous severe heavy haze occurred from the 15th to 22nd of December 2016 in the BTH region.
188 Three stages dominated by three different synoptic patterns controlled the formation of this haze. In the first
189 stage, northwest airflow in front of a ridge of high pressure was observed in the BTH region at a height of 700
190 to 500 hPa and in eastern China at a height of 850 hPa on the 15th to 16th of December, which induced a sharp
191 warming pattern (Fig 3a and b). At the surface, Beijing was located under the front of the high pressure system
192 to under the southwest airflow in front of the low pressure system (Fig 4), which favored pollutant transport
193 from Hebei Province to Beijing. From the 17th to the night of the 18th, the control system turned to the latitude
194 circulation at 700 to 500 hPa over the BTH region (there was a trough line south of 40°N at 2000 LST on the
195 17th and 18th) (Fig 3c). There was a northwest wind located north of 40°N and a southwest wind located south
196 of 40°N at 850 hPa (Fig 3d). The near surface was controlled by the northeast airflow located in the inverted
197 trough of the low pressure. The weak convergence of the high trough cooperates with the low pressure at the
198 surface, leading to continuous pollution accumulation near the surface. Under this weather situation, the near-
199 surface temperature began to continuously increase from the 16th to 18th, and the specific humidity also
200 correspondingly increased (Fig 5a). The near-surface wind speed and pressure decreased during this period
201 (Fig 5b). The concentration of PM_{2.5} gradually increased from the 16th, and the average concentration of PM_{2.5}
202 reached 200 $\mu\text{g}\cdot\text{m}^{-3}$ on the 18th. The density of ozone obviously decreased from the 16th (Fig 5c).

203
204 The MLH significantly declined from the 16th, and the diurnal circle almost disappeared during this period,
205 accompanied by a visibility reduction but diurnal variation (Fig 5d). The downward shortwave radiation and
206 the net radiation gradually decreased from the 16th to the 18th, which directly influenced the variation trend of
207 ozone (the maximum density of ozone was less than 110 $\text{mg}\cdot\text{m}^{-3}$), while there was little change detected in
208 longwave radiation (Fig 5e). The observed sensible heat flux also decreased from the 16th to the 19th although
209 the temperature increased, which means that the heat exchange became weaker in the vertical direction, while
210 the latent heat flux changed little (Fig 5f). Southwest airflow was again captured by a wind profiler on the
211 night of the 18th and the transport layer occurred from 300 to 1500 m, which differs from the previous surface

212 transport pattern (Fig 4).

213
214 In the second stage, the important change occurred ~~in~~on the morning of the 19th of December, when the control
215 system turned to the northwest airflow on the front of the trough over the BJH region at 500 to 850 hPa (Fig
216 3e and f). After 2000 LST on the 19th, obvious warming occurred again at 850 hPa in eastern China (Fig 3h).
217 However, the near-surface maximum temperature and diurnal range in Beijing significantly decreased but with
218 high specific humidity during the 20th to the 21st (Fig 5a). According to the surface weather map, the control
219 system turned to the southwest at 1400 LST on the 19th, and a large-scale southeast wind appeared in eastern
220 Beijing after 2000 LST, which induced wide advection fog formation during the night (Fig 3g). Due to the
221 influence of the southwest airflow on the trough at 500 hPa, the inverted trough moved east, and Beijing was
222 located in the southeast wind zone. The near-surface pressure increased slightly, and the wind speed remained
223 low at approximately $1 \text{ m}\cdot\text{s}^{-1}$ (Fig 5b). The synoptic system caused the $\text{PM}_{2.5}$ concentration to peak
224 (approximately $400 \mu\text{g}\cdot\text{m}^{-3}$ on average and above $500 \mu\text{g}\cdot\text{m}^{-3}$ observed at some stations) and was maintained
225 from the 20th to the 21st in the BTH region. The visibility was less than 400 meters, and the diurnal circle
226 disappeared (Fig 5d). The decrease in the downward shortwave and net radiation was more pronounced than
227 that in the previous three days (Fig 5e). The sensible heat flux also decreased, and the diurnal circle almost
228 disappeared from the 19th to the 20th (Fig 5e).

229
230 It was not until the strong cold air moved southward in the early morning of the 22nd when the whole
231 atmosphere converted to the northwest stream. The air pollutants were completely removed in the third stage.

233 **3.2 Interaction between the impacts of urbanization and aerosols on haze events**

234 Four impacts were analyzed as following. Urban impact under the aero scenario (UI_aero) was represented
235 by the results of Test 1 minus those of Test 2; urban impact under the no-aero scenario (UI_noaero) was
236 represented by the results of Test 3 minus those of Test 4; The impact of the urbanization scenario was
237 represented by the results of Test 1 minus those of Test 3 (AI_urban); the impact without urbanization was
238 represented by the results of Test 2 minus those of Test 4 (AI_nourban). The interaction between urbanization
239 and aerosols on local meteorological and regional transportation was discussed.

241 **3.2.1 The impact on the local area**

242 The quantitative results of the interaction between urbanization and aerosols are shown in Table 3.

243 Temperature is one of the most sensitive variables affected by urbanization and aerosols and is also the most
244 concerning variable. ~~The impact of urbanization on the near-surface temperature in the Beijing area displays~~
245 ~~diurnal variation features. The warming induced by urbanization was dominant at night. The urban impact~~
246 ~~was obviously decreased under the aerosol scenario by comparing the results of UI_aero and UI_noaero,~~
247 ~~especially in the daytime (Fig 6a, red lines).~~ The impact of urbanization on the near-surface temperature
248 displays diurnal variation in the Beijing area. The warming effect of urbanization was dominant at night. The
249 urban impact on temperature was partly offset under aerosol conditions when comparing the results of UI_aero
250 and UI_noaero, especially during the daytime (Fig 6a, red lines). The urban impact always showed a positive
251 contribution to the temperature during the whole day under the no-aerosol scenario, while the urban impact
252 became slightly negative with the aerosol scenario in the daytime. The maximum difference between UI_aero
253 and UI_noaero occurred on the 20th and 21st, when the AOD value reached its maximum, and the difference
254 almost disappeared on the 15th and 22nd, with a small AOD (Fig 2b). The results indicate that the impact of
255 urbanization on temperature is reduced by aerosols, which is consistent with the findings of Yang et al. 2020.
256 The average urban impact on temperature in Beijing during the 16th to 19th with a PM_{2.5} concentration of
257 approximately 200 mg·m⁻³ was a reduction of 0.42°C according to UI_aero and of 0.60°C according to
258 UI_noaero. This means that aerosols reduce the urban impact on temperature by 30%. When the concentration
259 of PM_{2.5} reached 500 mg·m⁻³ from the 20th to the 21st, the aerosols reduced urbanization-related warming by
260 ~~543.5~~%.
261

262 The impact of aerosols on temperature is negative and without a diurnal circle under the urbanization scenario
263 for the whole day (Fig 6a, blue lines). However, the impact of aerosols captured by AI_nourban is more
264 significant and displays a diurnal circle. Another important observation is that the impact of aerosols on
265 temperature under the no-urban scenario is not always negative. There is a slight warming period at dawn in
266 the AI_nourban scenario, which maybe because the longwave radiation is increased (Jacobson,1998; Rudich
267 et al., 2007). The average impact of aerosols on temperature in Beijing was -0.16°C with urbanization and -
268 0.34°C without urbanization from the 16th to the 19th. The impact of aerosols was -0.19°C with urbanization
269 and -0.43°C from the 20th to the 21st. Urbanization decreased the impact of aerosols by 53% under moderate
270 pollution and by up to 56% under heavy pollution. Two different impacts of aerosols on urban-related warming
271 were observed. There was a reducing effect in the daytime with a strength of approximately 30 to 50% of the
272 concentration and an increasing effect occurred at dawn with a strength of approximately 28%. Urbanization
273 reduced the aerosol-related cooling effect by approximately 54%.

274

275 The observed specific humidity continued to increase as the aerosol concentration increased (Fig 5b) and is
276 closely related to the UHI effect and aerosol composition (Zhang et al. 2010; Sun et al., 2013; Wang et al.,
277 2020). The specific humidity also increased with urbanization throughout the day (Fig 6b, red lines). Similar
278 to temperature, urbanization had a more pronounced impact on specific humidity at night. The average urban
279 impact on specific humidity was $0.03_{.66} \times 10^{-2}$ g·kg⁻¹ according to UI_aero and $0.04_{.78} \times 10^{-2}$ g·kg⁻¹ according
280 to UI_noaero during the 16th to 19th and $0.03_{.08} \times 10^{-2}$ and $0.04_{.48} \times 10^{-2}$ g·kg⁻¹ during the 20th to 21st. Aerosols
281 not only reduced the urban impact on the average daily specific humidity by 23.43% but also reduced the
282 diurnal range of specific humidity.

283

284 In contrast to urbanization, aerosols were found to reduce the specific humidity (Fig 6b, blue lines). The impact
285 of aerosols under the urbanization scenario was small and without a diurnal pattern. However, their impact
286 under the no-urban scenario was more distinct and with a diurnal circle. The average impact of aerosols on
287 specific humidity was $-0.00_{.88}$ g·kg⁻¹ according to AI_urban and $-0.01_{.36}$ g·kg⁻¹ according to AI_nourban
288 during the whole study period. Urbanization reduced the impact of aerosols on specific humidity by 35.3%.
289 The impacts of urbanization and aerosols on humidity were slightly greater than those of aerosols on urban
290 impacts.

291

292 There was no effect of urbanization on downward shortwave radiation according to both UI_aero and
293 UI_noaero (Fig 6c, red lines), although the value is not absolutely related to aerosols because of model
294 uncertainty. Aerosols reduce the downward shortwave radiation during the daytime, and the differences
295 between AI_urban and AI_nourban are very small. ~~Aerosols reduce the downward shortwave radiation in the~~
296 ~~daytime, and there are few differences between AI_urban and AI_nourban.~~

297

298 The average decrease in shortwave radiation caused by aerosols was approximately 7% of the total downward
299 shortwave radiation during the 16th to the 20th and up to 17% when the PM_{2.5} was greater than 400 μg·m⁻³.
300 The urban impact increased the longwave radiation in the nighttime according to UI_aero, while the impact
301 of urbanization was always positive for longwave radiation during the study period according to UI_noaero
302 (Fig 6d, red lines). Because it is closely related to temperature, the urban impact on long wave radiation was
303 also reduced by aerosols, with reductions of 83.3% from the 16th to the 19th and of 97.6% from the 20th to
304 the 21st. The impact of aerosols on longwave radiation is smaller than that of shortwave radiation, and there

305 was a slight decrease captured by AI_urban with an increase from noon on the 20th to nighttime on the 21st.
306 The impact of aerosols decreased the longwave radiation captured by AI_nourban during the 16th to the 20th
307 and increased it on the night of 21st (Fig 6d, blue lines). Urbanization reduced the impact of aerosols on
308 longwave radiation by ~~66.97~~% while aerosols reduced the urban impact on longwave radiation by ~~89.2~~%. The
309 impacts of urbanization and aerosols on longwave radiation are unimportant because they are both smaller
310 than $2 \text{ W} \cdot \text{m}^{-2}$.

311
312 The change in radiation further alters the MLH. Previous studies suggest that MLH is important for the
313 diffusion of pollutants and haze formation (Sun et al. 2013; Quan et al. 2014). Previous studies on urbanization
314 indicated that urban-induced warming will increase the MLH during the daytime (Wang et al., 2007; Miao et
315 al. 2012), and the results of UI_noaero show the same pattern. However, when we introduced aerosols into
316 the simulation, urbanization was found to decrease the MLH in the daytime according to UI_aero. The impact
317 of aerosols decreased the average urbanization by 148% during the haze event (Fig 6e, red lines). Aerosols
318 significantly decreased the MLH in daytime according to both AI_urban and AI_nourban (Fig 6e, blue lines).
319 Urbanization decreased the impact of aerosols on MLH by ~~57.848~~% during the haze event.

320
321 Urban land use change directly alters the surface heat flux. Urbanization increased the sensible heat flux
322 according to UI_noaero but decreased the sensible heat flux according to UI_aero (Fig 6f, red lines). The
323 impact of aerosols in reducing the urban impact on sensible heat flux was 156% during the haze event.
324 Aerosols reduced the sensible heat flux according to both AI_urban and AI_nourban (Fig 6f, blue lines). The
325 maximum impact of aerosols was on the 21st, with the maximum AOD. The impact of urbanization reduced
326 the impact of aerosols on sensible heat flux by ~~59.3~~%.

327
328 There was little effect of urbanization on latent heat flux because the observed latent heat flux in urban areas
329 was small (Fig 6g, red lines, and Fig 5e). Aerosols decreased the latent heat flux, and the impact increased
330 with increasing AOD (Fig 6g, blue lines). The impact of urbanization reduced the impact of aerosols on the
331 latent heat flux by ~~48.8~~%.

332
333 The above results indicate that the offsetting effect of aerosols on urbanization is more important than the
334 impact of urbanization on aerosols on local weather.

335 ~~In general, the impact of aerosols on urban impacts is more important than the impact of urban impacts on~~

336 ~~aerosol impacts in terms of local effects.~~

338 3.2.2 Effects on regional circulation

339 There are few valuable findings from the diurnal average wind speed analysis because the average wind speed
340 was low during the haze event. Wind speed is likely to become more meaningful in the spatial analysis of
341 wind vectors. There are two main transmission processes of pollution from Hebei Province to Beijing in this
342 haze process according to the weather map and wind profile analysis (Fig 4). Accordingly, the diurnal pattern
343 of PM_{2.5} in Beijing (Fig 5c) also displays two increasing processes on the 16th and 19th (from 1800 to 2400
344 LST). The observed near-surface wind vector displays these two pollutant transport processes (Fig 7). In the
345 first processes, obvious aerosol transport began on the night of the 15th and continued to the night of the 16th
346 (Fig 6). The southwest wind dominated most of the southern part of Hebei Province. The transmission flux
347 was strong in the daytime on the 16th, leading to the concentration of PM_{2.5} continuing to increase in Beijing
348 and in its transmission path. The wind speed remained low from the 17th to the 18th in most of the plain area,
349 and the concentration of PM_{2.5} continued to increase in the southwest and northeast of Hebei Province. The
350 second processes began at 1400 LST on the 19th and the south wind dominated the south of Beijing and turned
351 to the southwest in Beijing at 1400 to 1800 LST. The dominant wind direction turned to the southwest at 2200
352 LST in the southern part of Hebei Province with a rapid increase in the concentration of PM_{2.5}.

353
354 Most industrial aerosols in Beijing are transported from the southwest and northeast of Hebei Province due to
355 the control of pollutant discharge in the Beijing area during haze events. Therefore, the impact of urban areas
356 and aerosols on transport, namely wind fields ~~is~~are very important for air quality in Beijing. The modeling
357 results show that urbanization not only increased the temperature in urban areas (Fig 8a and b) but also
358 increased the average south-wind transport flux in the two main transmission processes of pollution in the
359 southwest area of Beijing (Fig 8a and b). The transmission flux captured by UI_noaero is stronger than that
360 captured by UI_aero. The local cyclonic circulation induced by urbanization further induces upward
361 movement, which is beneficial to diffusion conditions. Although aerosols decrease the transmission flux
362 induced by urbanization, the strength of local cyclonic circulation is also reduced by aerosols. Furthermore,
363 the aerosols reduced the temperature in most of the plain area in Hebei Province (Fig 8c and d). Urbanization
364 decreases the impact of aerosols on temperature. There was no local or systemic effect on the wind field
365 captured by either AI_urban or AI_nourban.

377 Taylor diagrams were used to analyze the relative contributions of urbanization and aerosols over time (Fig
378 9). The daily mean difference in these four types of impact (UI_aero, UI_noaero, AI_urban, and AI_nourban)
379 over the eight days in the Beijing area is shown by Taylor diagrams. UI_noaero shows that temperature
380 continues increasing from Day 1 to Day 5 and reaches a maximum on Day 7. The variation in temperature
381 according to UI_urban is smaller. This means that the effect of urbanization on temperature is decreased by
382 aerosols. Temperature increases from Day 1 to Day 7 according to AI_urban, while AI_nourban shows an
383 increase from Day 3 to Day 7. The reduction of the urban impact on temperature by aerosols was more
384 important than the reduction of aerosol impact on temperature by urbanization (Fig 9a). The effect of aerosols
385 on urban impacts on temperature was more important than urban impacts on the effects of aerosols on
386 temperature (Fig 9a). Specific humidity continued increasing from Day 1 to Day 5 according to UI_noaero,
387 while the variation in specific humidity was small according to UI_aero (Fig 9b). Similar to what was observed
388 for temperature, reducing the urban impact on specific humidity by aerosols is more important than reducing
389 aerosol impacts by urban areas. The ventilation coefficient (VC) in UI_aero showed little change over these
390 eight days, and this coefficient showed increases on Days 2, 3, 5, and 6 and decreases on Days 4, 7, and 8
391 according to UI_noaero. The reduction of the urban impact on the VC by aerosols is more important than the
392 reduction of the impact of aerosols by urbanization. The analysis of shortwave radiation also provided the
393 same conclusion that the reduction in the urban impact on the daily mean by aerosols was more important than
394 the reduction of the impact of aerosols by urbanization (Fig 9d).

3.2.3 Impacts on the vertical distribution

395 In the period from 0000 LST to 0800 LST on the 16th to 20th, there was an interesting phenomenon that
396 temperature was a slightly larger in UI_aero than in UI_noaero, and the urban impact reached a maximum at
397 the same time. Such an outcome is easy to overlook if the analysis only focuses on the daily average. Therefore,
398 a detailed vertical temperature and wind field analysis of the four addressed scenarios (UI_aero, UI_noaero,
399 AI_urban, and AI_nourban) was used to determine the mechanism behind this finding (Fig 10).

400 The impact on warming by urbanization reached 350 m in UI_aero and 450 m in UI_noaero (Fig 10a and b).
401 Aerosols not only increased the warming impact induced by urbanization but also reduced the warming height.
402 Aerosols increase the near-surface warming effect induced by urbanization because of the absorption of
403 longwave radiation. Although absorption by aerosols was always observed during the study period, the impact
404 increased with the increase in longwave radiation induced by urbanization. Therefore, the warming effect of

398 aerosols may dominate at night in the near-surface layer. This further induces the urban-related warming to
399 increase and compress this effect to a lower height with a lower MLH in UI_aero than in UI_noaero (Fig 10a).
400 The aerosols reduced the temperature below 450 m in the urban area of Beijing (Fig 10c and d) and the cooling
401 effect was reduced by urbanization below 450 m. Urbanization also reduces the near-surface west wind
402 induced by aerosols in urban areas because of the drag caused by buildings.

404 **4 Conclusion**

405 A typical persistent haze process occurred on the 15th to 22nd of December 2016 in the BTH region. The
406 average concentration of PM_{2.5} was approximately 200 $\mu\text{g}\cdot\text{m}^{-3}$, and the maximum was 695 $\mu\text{g}\cdot\text{m}^{-3}$ ~~and the~~
407 ~~maximum was greater than 400 $\mu\text{g}\cdot\text{m}^{-3}$~~ . The interaction between aerosols and urbanization on haze events
408 were investigated in this study. Four tests were designed using RMAPS-ST to study the mechanism of the
409 impacts of aerosols and urbanization respectively.

410
411 Two different impacts of aerosols on urban-related warming were found. A reducing effect occurred during
412 the daytime, and the strength was approximately 30 to 50% of the concentration. An increasing effect occurred
413 at dawn, and the strength was approximately 28%, which is important for haze formation. The combined effect
414 was a reducing effect on the daily mean of urban-related warming. Urbanization reduced the aerosol-related
415 cooling effect by approximately 54% during the haze event, and the strength of the impact changed little with
416 increasing aerosol content. The impact of urbanization on the effect of aerosols on humidity is slightly larger
417 than the impact of aerosols on urban impact. Aerosols reduce the average downward shortwave radiation from
418 7% to 17% with concentrations of PM_{2.5} of 200 to 400 $\mu\text{g}\cdot\text{m}^{-3}$. There is no urban impact on downward
419 shortwave radiation or the impact of aerosols on shortwave radiation. The impacts of urban areas and aerosols
420 on longwave radiation are both smaller than 2 $\text{W}\cdot\text{m}^{-2}$. A more significant impact of aerosols is on the MLH
421 and sensible heat flux. The decrease in urban impact caused by aerosols reaches 148% for MLH and 156%
422 for sensible heat flux. These values are much larger than those for urbanization, which reduces the impact of
423 aerosols on the MLH and sensible heat flux. There is little urban impact on latent heat flux. However, aerosols
424 decreased the latent heat flux, and the impact was reduced by 48.8% by urbanization. In general, the impact
425 of aerosols on urban impact is more important than the impact of urbanization on aerosol impacts in terms of
426 regional averages.

427
428 Urbanization increased the wind speed southwest of the Beijing area and the local cyclonic circulation in the

429 urban area of Beijing during the two main transmission processes. Although aerosols reduced the urban-related
430 southwest transmission, they made the diffusion conditions worse in urban areas. The impact of urbanization
431 on wind fields, namely, the transport of pollutants, is more important than that of aerosols. However, the
432 interaction between urbanization and aerosols may enhance the accumulation of pollution and weigh against
433 diffusion.

434
435 The impact of aerosols on urban-related warming is more significant than the impact of urbanization on
436 aerosol-related cooling according to spatial statistical analysis. Similar results were found for absolute
437 humidity, the VC and shortwave radiation. Aerosol-related warming is dominant at dawn in the near-surface
438 layer. Aerosols increase urban-related warming and reduce the impact height of urban-related warming. This
439 further enhances stability and reduces the MLH.

440 441 **5 Discussion**

442 In this study, it was easier to distinguish the impacts of aerosols and urbanization by using the RMAPS-ST
443 with AOD hourly input than with RMAPS-Chem to investigate the impact of aerosols. One reason for this is
444 that the model performance of RMAPS-ST is much better than that of RMAPS-Chem in meteorological fields.
445 Although real-time feedback in modeling is not provided, RMAPS-ST is more efficient and more suitable for
446 short-term operational forecasting.

447
448 This study not only qualified the impacts of aerosols and urbanization on haze events but also analyzed the
449 interaction between aerosols and urbanization during haze events. This research will help to improve air
450 quality under the continuous urbanization and sustainable development of large cities.

451
452 The government has taken a series of emission reduction measures, including limiting industrial emissions
453 and vehicle plate number traffic restriction measures, to improve the air quality in the BTH region. The policies
454 have been effective in reducing aerosols. At the same time, urbanization continues mainly in the areas around
455 Beijing (such as the Xiongan New Area). The results of this study show that the combined impact of
456 urbanization and decreasing aerosols will increase the downward shortwave radiation and further increase the
457 surface temperature and ozone concentration in the boundary layer. Previous studies indicated that ozone
458 generally increases with temperature and decreases with humidity (Camalier et al., 2007; Cardelino et al.,
459 1990). It is well known that ozone is not only a pollutant but also a greenhouse gas. Therefore, ozone will

460 form a positive feedback mechanism to induce warming and ozone pollution in the boundary layer. This
461 feedback will pose a new challenge regarding how to reduce ozone pollution in urban areas. Some studies
462 have suggested that urban greening can effectively reduce ozone pollution (Nowak et al., 2000; Benjamin and
463 Winer, 1998). More attempts should be made to add the interaction between urbanization and ozone in regional
464 models.

466 **Data availability**

467 The data in this study are available from the corresponding author upon request (tgq@dq.cern.ac.cn).

469 **Author contribution**

470 Miao Yu designed the research and wrote the paper. Guiqian Tang conducted the measurements and reviewed
471 the paper. Yang Yang conducted modelling tests. Qingchun Li and Yonghong Wang performed~~Qingchun Li~~
472 ~~did~~ synoptic analysis. Shiguang Miao, Yizhou Zhang and Yusi Wang~~Shiguang Miao and Yizhou Zhang~~
473 reviewed and commented on the paper.

476 **Competing interests**

477 The authors declare that they have no conflicts of interest to disclose.

480 Table 1 RMAPS-ST model settings.

WRF v3.8.1	D01	D02
Horizontal grid	649×400	550×424
Grid horizontal spacing (km)	9	3
Vertical layers	49	
PBL	YSU (Hong et al., 2006)	
Microphysics	Thompson (Thompson et al., 2008)	
Cumulus	Kain-Fritsch (Kain, 2004)	None
LW Radiation	RRTMG	
SW Radiation	RRTMG	
LSM	Noah LSM+SLUCM	
Urban parameter values	Modified according to Miao and Chen (2014)	

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Table 2 Model evaluation (RMSE and BIAS) for the four tests.

	Test 1		Test 2		Test 3		Test 4	
	RMSE	BIAS	RMSE	BIAS	RMSE	BIAS	RMSE	BIAS
Temperature	1.27	0.35	1.45	-0.73	2.12	1.04	1.78	-0.45
Specific humidity	0.26	-0.015	0.31	0.019	0.34	-0.05	0.29	0.03
Wind speed	1.62	0.97	2.08	1.68	1.85	1.04	1.96	1.67
Shortwave	40.91	11.85	40.95	11.89	47.35	17.45	46.26	16.45
Longwave	51.39	-43.65	51.32	-44.45	51.24	-43.53	52.76	44.97
Sensible heat flux	8.09	-1.19	9.13	-3.92	9.34	-3.43	12.3	-6.17
Latent heat flux	14.09	-5.75	14.52	-5.95	14.85	-5.87	16.76	-6.23

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Table 3 Quantitative results of interaction between urbanization and aerosols

Time	Temperature		Specific humidity		Longwave		MLH	Sensible heat	Latent heat
	°C		$\times 10^{-2} \text{ g}\cdot\text{kg}^{-1}$		$\text{W}\cdot\text{m}^{-2}$		m	flux $\text{W}\cdot\text{m}^{-2}$	flux $\text{W}\cdot\text{m}^{-2}$
	16 th -19 th	20 th -21 st	16 th -19 th	20 th -21 st	16 th -19 th	20 th -21 st	16 th -21 st	16 th -21 st	16 th -21 st
<u>UI_aero</u>	<u>0.42</u>	<u>0.19</u>	<u>3.66</u>	<u>3.08</u>	<u>0.10</u>	<u>-0.02</u>	<u>-1.97</u>	<u>-1.01</u>	<u>0.03</u>
<u>UI_noaero</u>	<u>0.60</u>	<u>0.35</u>	<u>4.78</u>	<u>4.48</u>	<u>0.62</u>	<u>0.51</u>	<u>4.04</u>	<u>1.74</u>	<u>0.49</u>
<u>AI_urban</u>	<u>-0.16</u>	<u>-0.19</u>	<u>-0.88</u>		<u>-0.24</u>		<u>-4.37</u>	<u>-1.64</u>	<u>-0.50</u>
<u>AI_nourba</u>	<u>-0.34</u>	<u>-0.43</u>	<u>1.36</u>		<u>-0.73</u>		<u>-10.38</u>	<u>-4.02</u>	<u>-0.96</u>

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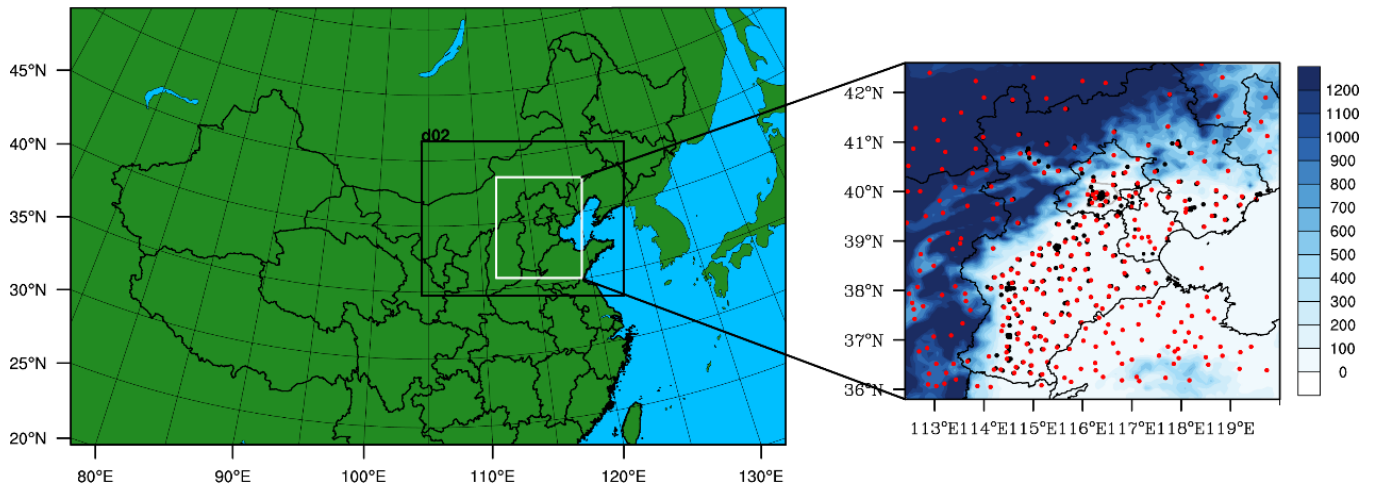
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Figure 1 Domain configuration of RMAPS-ST and the location of the study area, indicated by the solid white line. The black dots indicate the locations of the 251 environmental monitoring stations, and the red dots represent the 309 meteorological stations in the BTH region, where the gray loop lines show the locations of the second to sixth ring roads. The shading is the terrain height (unit: m).~~Domain configuration of RMAPS-ST and the location of the study area, indicated by the white solid line. The black dots indicate the locations of the 251 environmental monitoring stations, and the red dots represent the 309 meteorological stations in the Beijing-Tianjin-Hebei region, where the gray loop lines show the locations of the second to sixth ring roads.~~

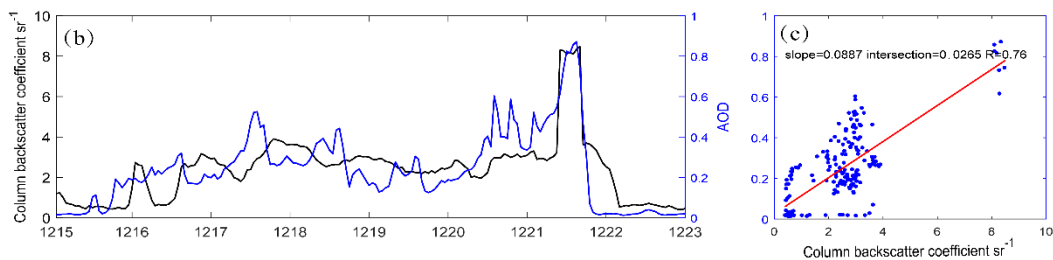
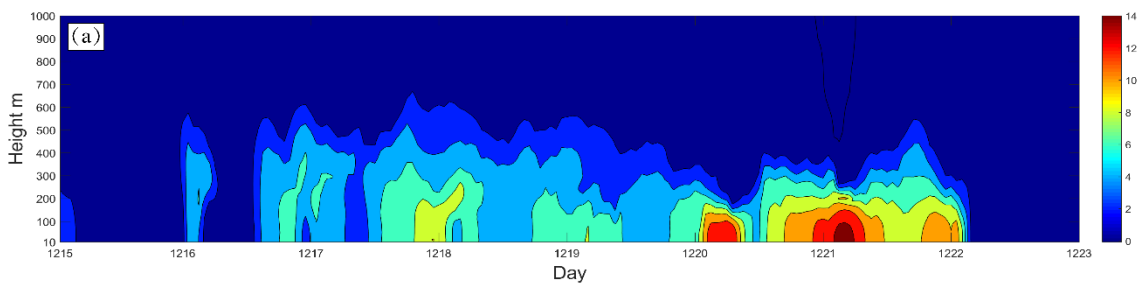
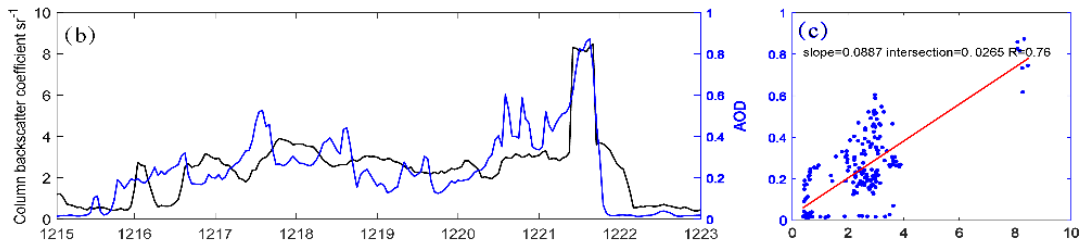
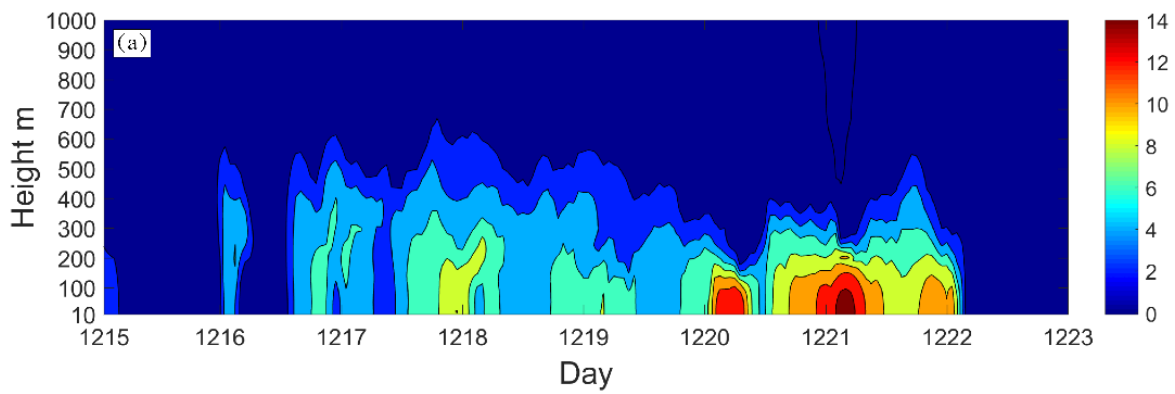


Figure 2 (a) Hourly backscattering coefficient (shading; $\text{Mm}\cdot\text{sr}^{-1}$) observed by single-lens ceilometers (39.97°N , 116.37°E) from the 15th to 23rd of December; (b) hourly column backscatter coefficient (black line; sr^{-1}) and AOD used in modeling for Beijing (blue line) and (c) scatter diagram of hourly column backscatter coefficient and AOD (blue dots) and their correlations (red line).

(a) Hourly backscattering coefficient (shading; $\text{mm}\cdot\text{sr}^{-1}$) observed by single-lens ceilometers (39.97°N , 116.37°E) from the 15th to 23rd of December; (b) hourly column backscatter coefficient (black line; sr^{-1}) and AOD used in modeling for Beijing (blue line) and (c) their correlations.

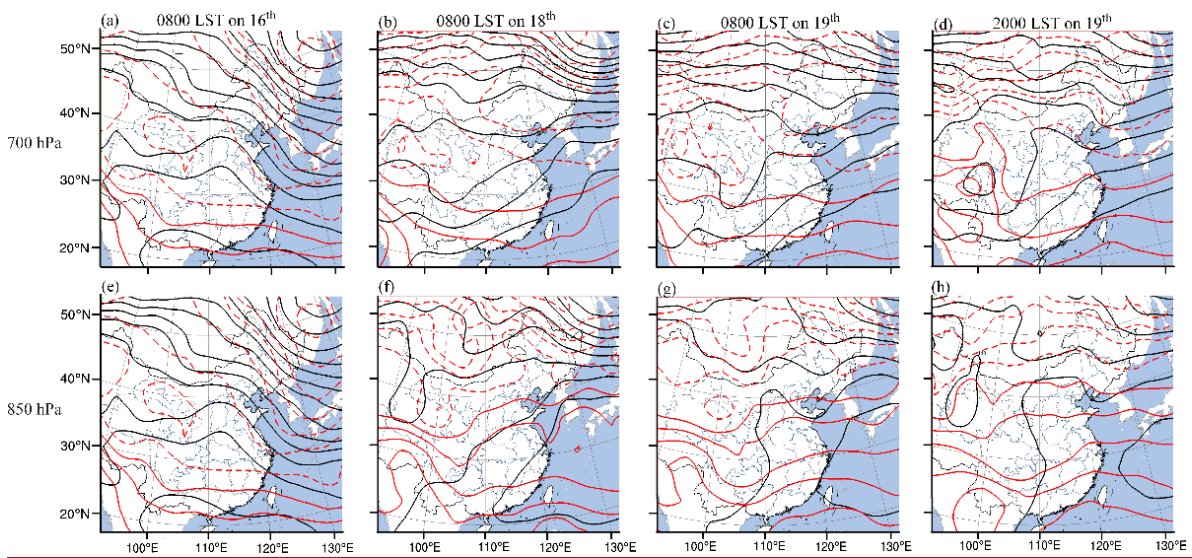
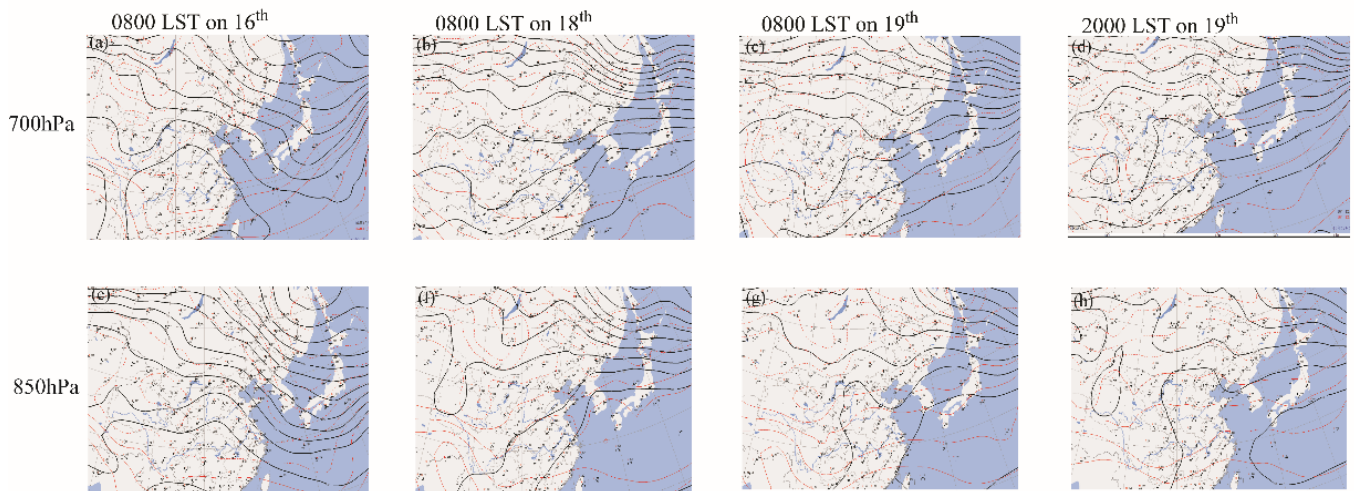


Figure 3 Weather maps. (a) 0800 LST on the 16th at 700 hPa; (b) 0800 LST on the 18th at 700 hPa; (c) 0800 LST on the 19th at 700 hPa; (d) 2000 LST on the 19th at 700 hPa; (e) 0800 LST on the 16th at 850 hPa; (f) 800 LST on the 18th at 850 hPa; (g) 0800 LST on the 19th at 850 hPa; (h) 2000 LST on the 19th at 850 hPa.

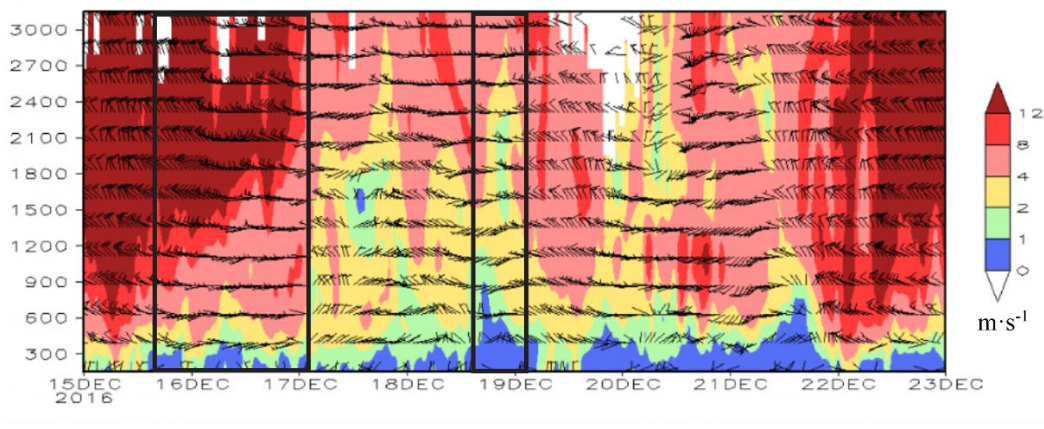
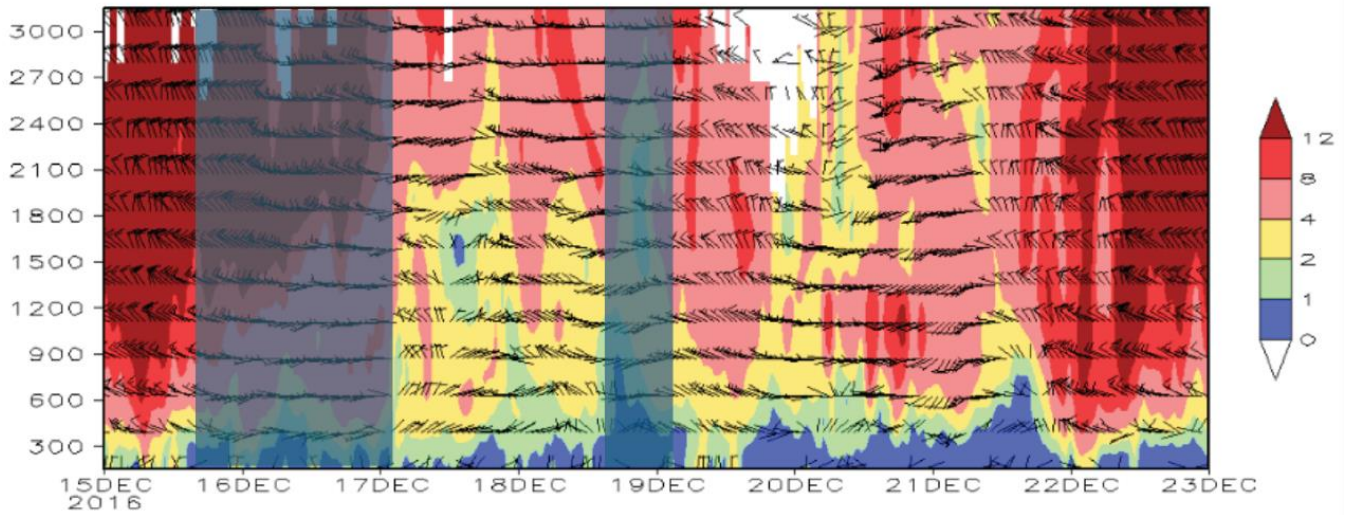
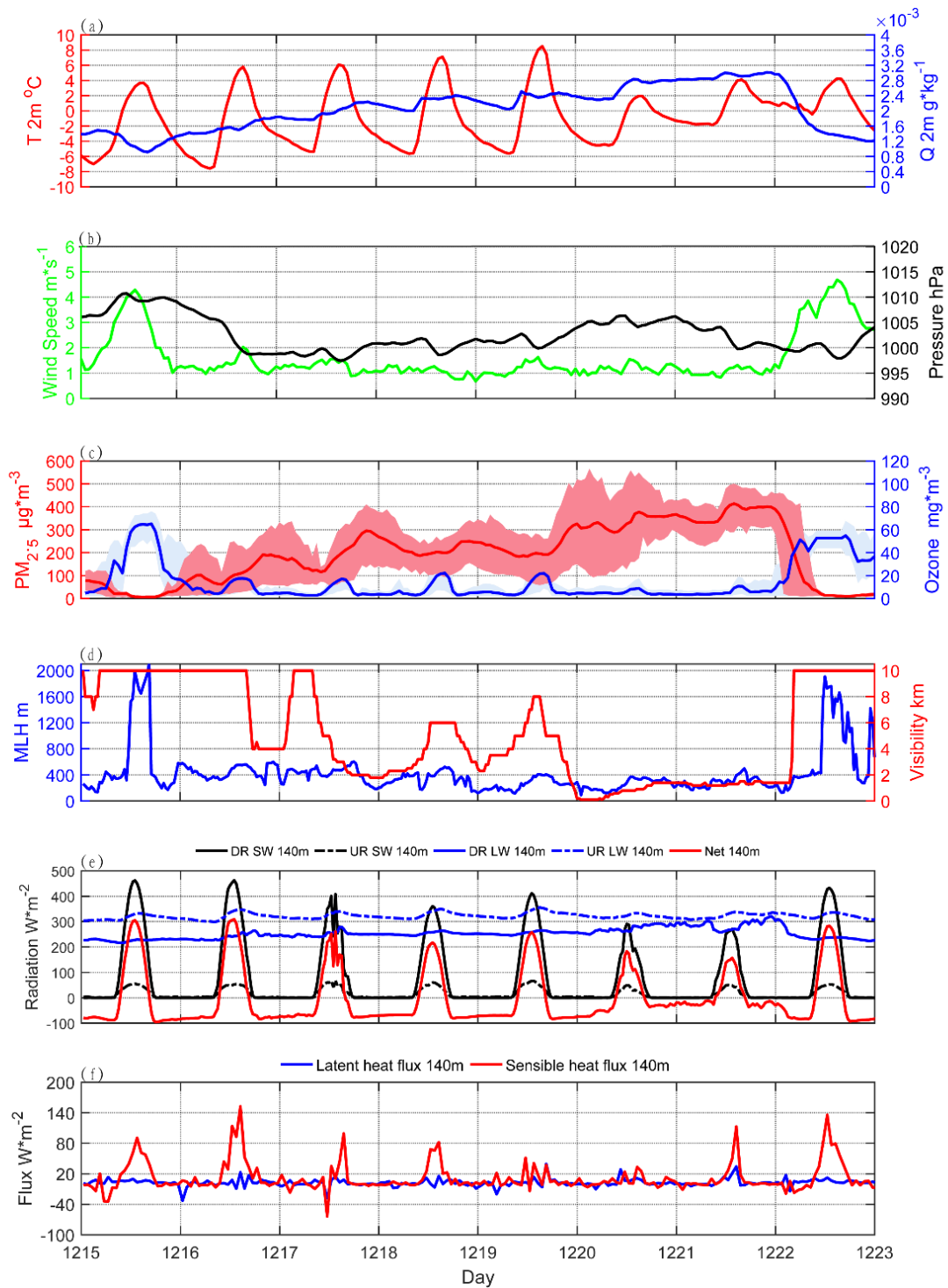


Figure 4 Hourly wind profile from the 15th to 23rd of December. Wind speed (shading; $\text{m}\cdot\text{s}^{-1}$) and horizontal wind field (vector; $\text{m}\cdot\text{s}^{-1}$). The black boxes-shaded parts show the two periods of south wind conveyance.



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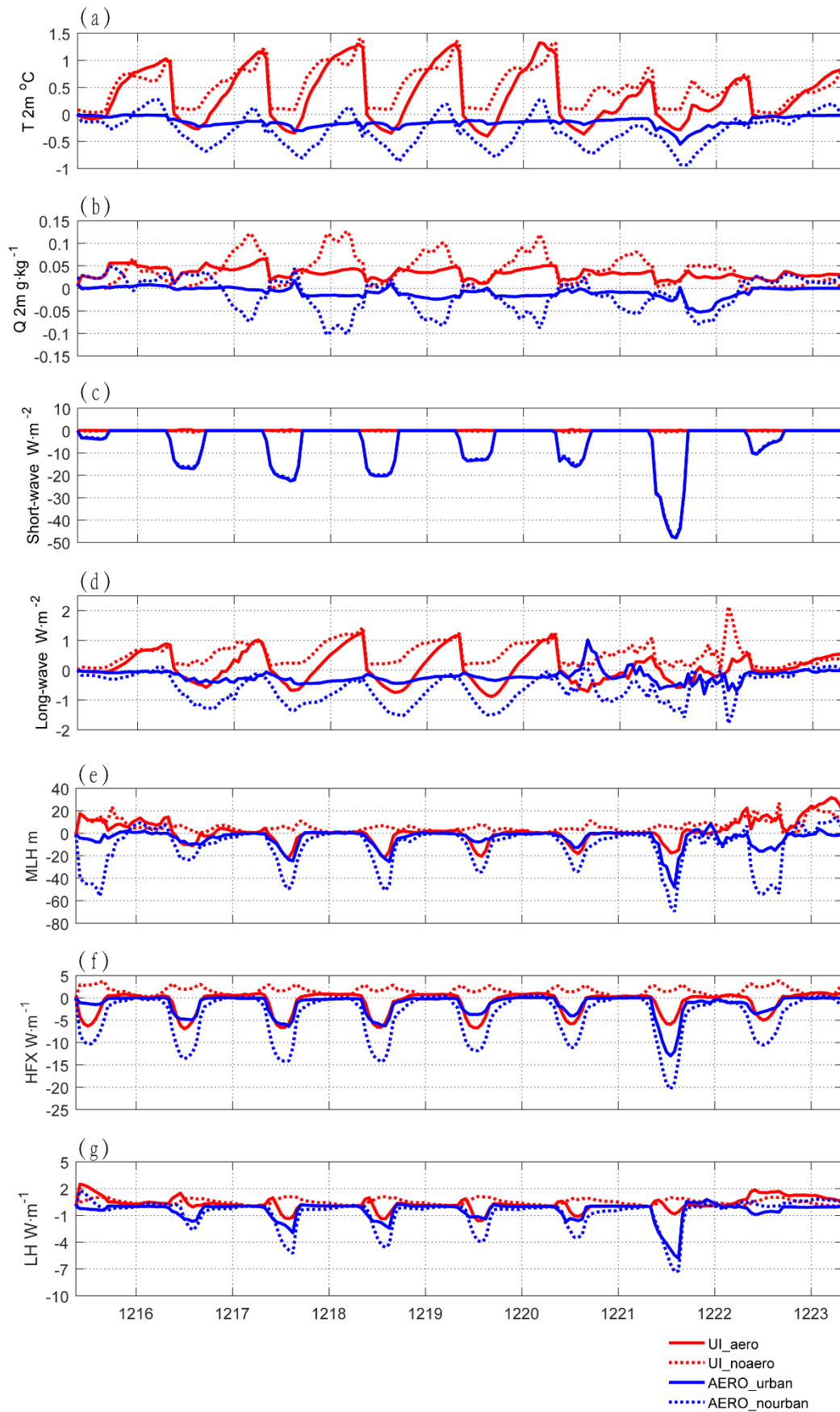
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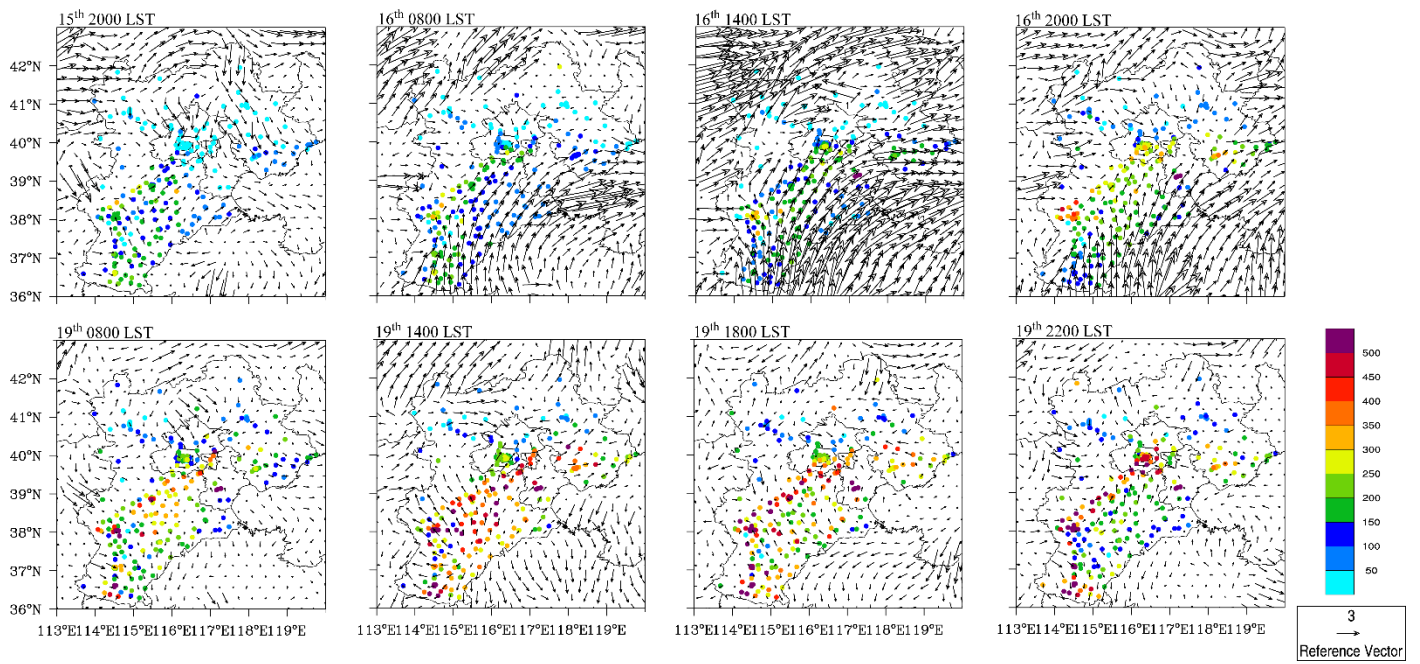
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Figure 5 Diurnal pattern of observed variables from the 15th to 23rd of December in Beijing. (a) Temperature (red line; °C) and absolute humidity (blue line; g kg^{-1}) at 2 m; (b) wind speed at 10 m (green line; m s^{-1}) and pressure (black line; hPa); (c) average $\text{PM}_{2.5}$ concentration (red line is the average and the shading indicates the standard deviation; $\mu\text{g m}^{-3}$) and ozone concentration (blue lines and the shading indicate the standard deviation; mg m^{-3}) of 35 environmental monitoring stations in Beijing; (d) mixing layer height (blue line; m) and visibility (red line; km); (e) radiation from the observation tower at 140 m, downward shortwave radiation (solid black line; W m^{-2}), upward shortwave radiation (dashed black line; W m^{-2}), downward longwave radiation (solid blue line; W m^{-2}), upward longwave radiation (dashed blue line; W m^{-2}), net radiation (red line; W m^{-2}); and (f) sensible heat flux (red line; W m^{-2}) and latent flux (red line; W m^{-2}).



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679 Figure 6 Diurnal pattern of the simulated variable from the 15th to 23rd of December. (a) Temperature at 2 m ($^{\circ}\text{C}$); (b)
 680 specific humidity (g kg^{-1}) at 2 m; (c) shortwave radiation (W m^{-2}); (d) longwave radiation (W m^{-2}); (e) MLH (m); (f)
 681 sensible heat flux (W m^{-2}); and (g) latent heat flux (W m^{-2}).



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Figure 7 Spatial distribution of the observed concentration of PM_{2.5} (dots; $\mu\text{g m}^{-3}$) and wind field (vector; m s^{-1}) for two increasing processes of the concentration of PM_{2.5}.

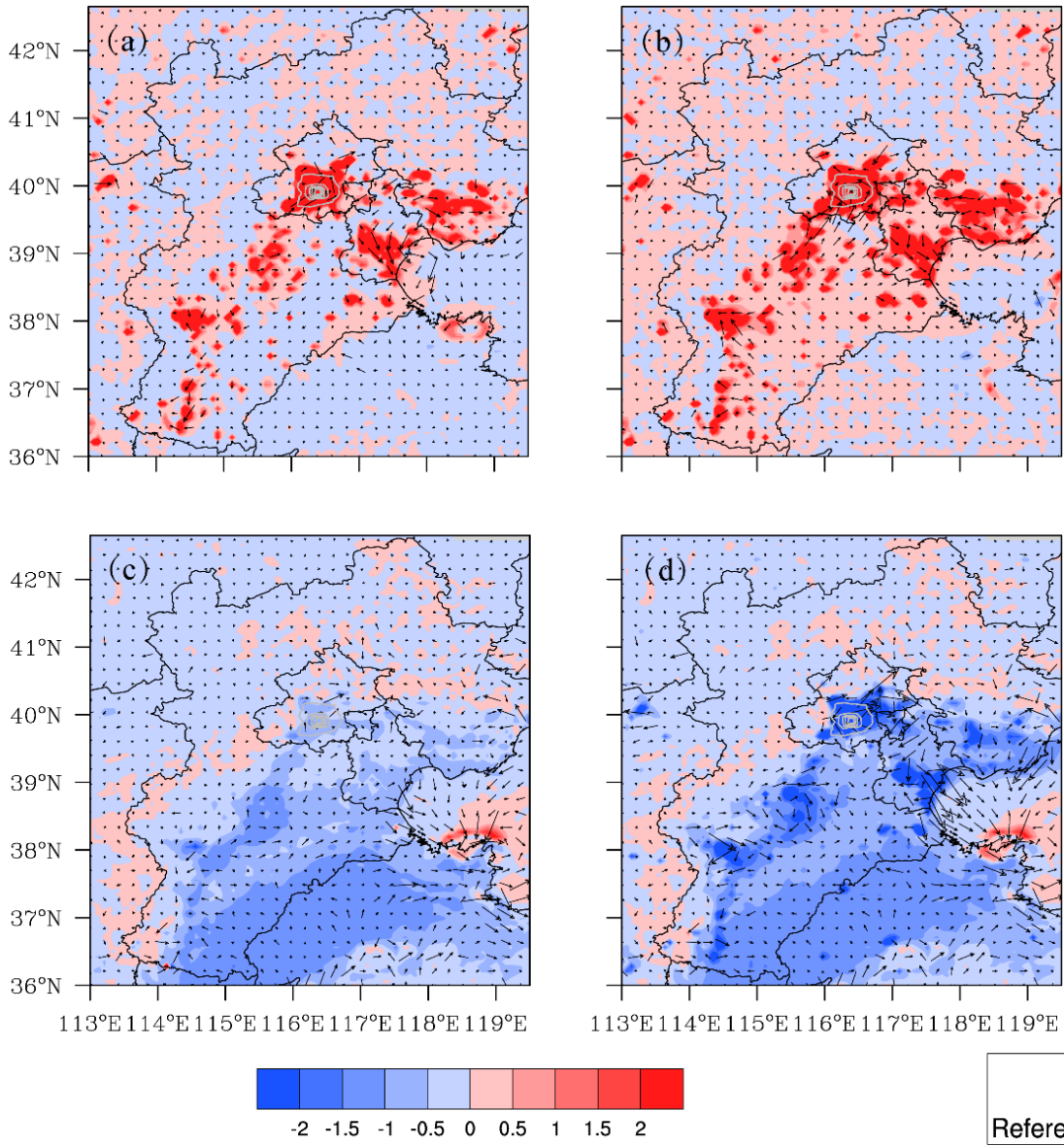
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Figure 8 Spatial distribution of simulated temperature (shading; °C) and wind field (vector; m s⁻¹). (a) UI_aero; (b) UI_noaero; (c) AI_urban; (d) AI_nourban.

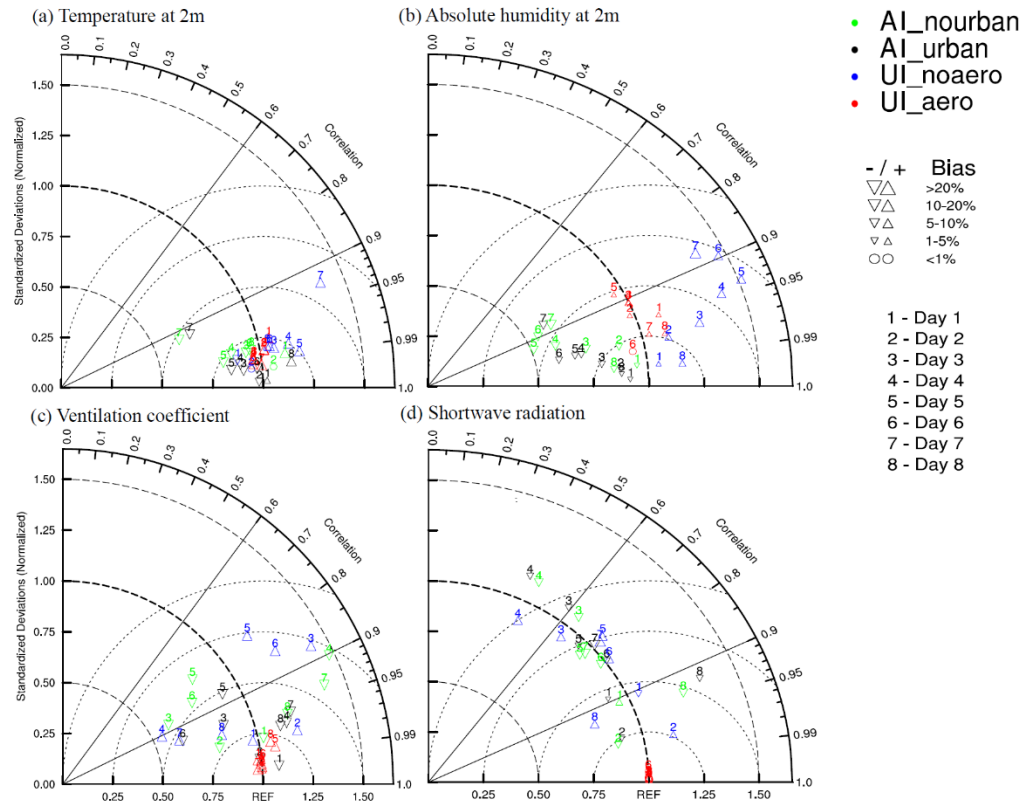
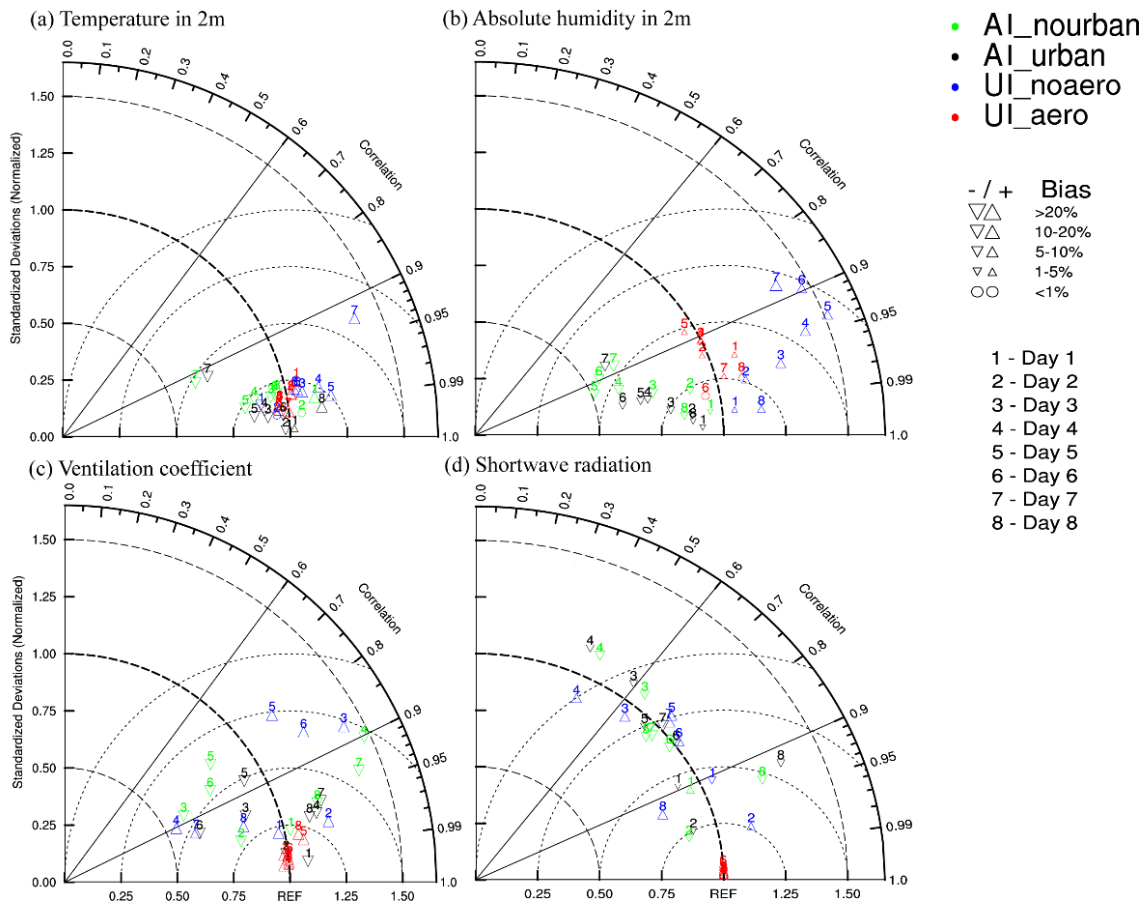
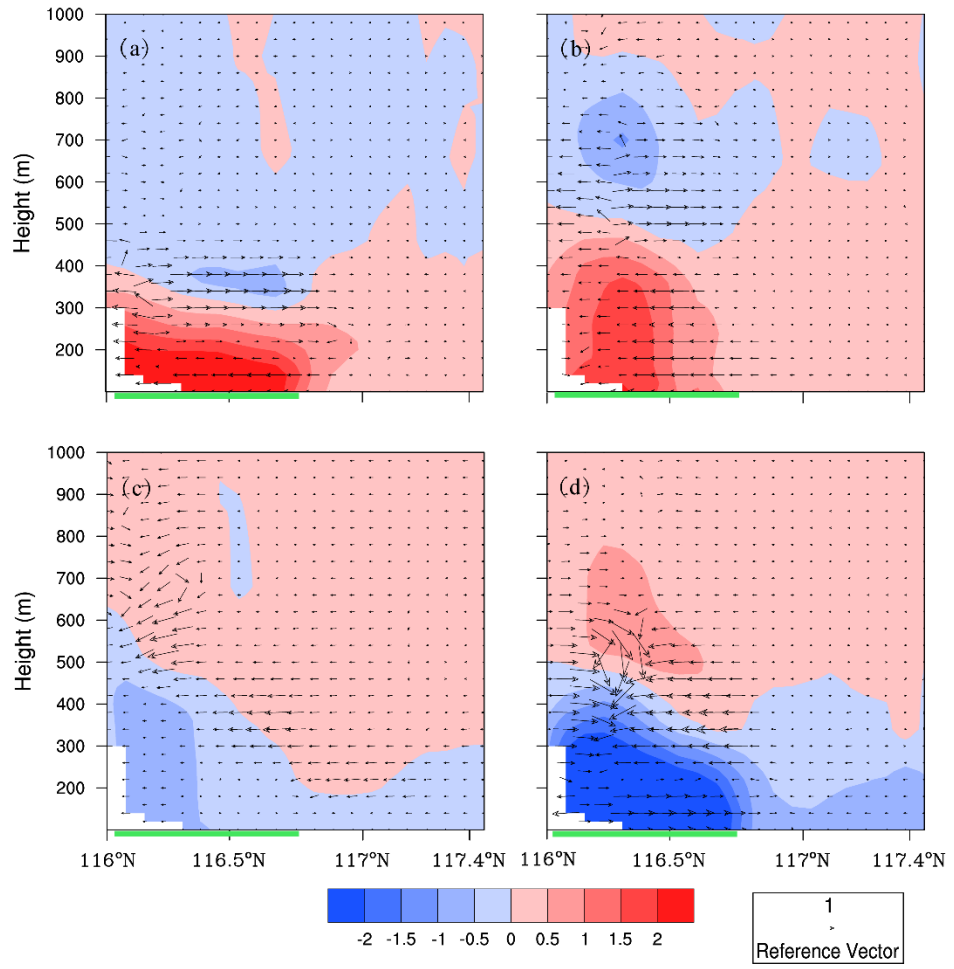


Figure 9 Daily means of the four types of impacts (UI_aero, UI_noaero, AI_urban, AI_nourban) in the eight days are shown in Taylor diagrams in the Beijing area. (a) Temperature at 2 m ($^{\circ}\text{C}$); (b) absolute humidity (g kg^{-1}); (c) ventilation coefficient ($\text{m}^2 \text{s}^{-1}$); (d) shortwave radiation (W m^{-2}).



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Figure 10 Cross section at 39.9°N of average temperature (shading; °C) and wind field (vector; m s⁻¹) from 0000 LST to 0800 LST on the 16th to 20th. (a) UI_aero; (b) UI_noaero; (c) AI_urban; (d) AI_nourban.