#### Manuscript # acp-2020-3

#### **Responses to Referee #1**

Source attribution of Arctic aerosols is a relevant scientific topic within the scope of ACP. The authors present their data in a structured way and the figures are clear. However, before consider acceptance, I recommend the authors work more on presenting their results in light of related work.

We thank the reviewer for all the insightful comments. Below, please see our point-by-point response (in blue) to the specific comments and suggestions and the changes that have been made to the manuscript, in an effort to take into account all the comments raised here.

1. How does this study contribute to new knowledge in the field? What do you contribute that is different (model/data set/time period)? I would highlight this in the abstract, introduction and conclusion.

#### **Response:**

Thanks for the suggestion. Many studies have examined possible mechanisms that can explain the recent Arctic warming, but the quantitative importance of these mechanisms is still on debate. Among these mechanisms, some are related to roles of aerosols in changing the Arctic temperature. Shindell and Faluvegi (2009) found that aerosols may have warmed the Arctic surface due to emission reductions during 1976-2010. Breider et al. (2017) estimated that emission reductions in anthropogenic aerosols during 1980-2010 had contributed to a net warming at the Arctic surface by  $+0.27 \pm 0.04$  K using the GEOS-Chem model, which is consistent with our results. However, they did not take into consideration of the radiative forcing from aerosol-cloud interactions and deposition of BC to snow and ice surfaces. Navarro et al. (2016) presented simulations with an Earth system model and showed that the reduction in European SO<sub>2</sub> emission over 1980–2005 has caused an Arctic warming by 0.5 K on annual average as a result of the enhanced poleward heat transport, which is larger than our estimates likely due to different emissions and models used here and in Navarro et al. (2016).

Different from the emission perturbation method that was often used in previous studies, in this study, a global aerosol-climate model equipped with an Explicit Aerosol Source Tagging (CAM5-EAST) is applied to quantify the source apportionment of aerosols in the Arctic from sixteen source regions and the role of aerosol variations in affecting changes in the Arctic surface temperature from 1980 to 2018. All aerosol radiative impacts are considered including aerosol-radiation and aerosol-cloud interactions, as well as black carbon deposition on snow and ice-covered surfaces. We quantitatively showed that the combined

total effects of sulfate and BC produced an Arctic surface warming of +0.297 K, explaining approximately 20% of the observed Arctic warming. We have now highlighted these in the various components of the manuscript.

2. Your conclusions are not new (but it is still very important to test what others have done!), but I would then add, 'as also shown in...etc etc. For instance, it have been shown in other studies that the declining emissions in Europe and the collapse of the Soviet Union are the main reasons why we see declining trends in the Arctic and that emissions from Asia contribute to higher level aerosols in the high-Arctic.

#### Response:

Thanks for the suggestion. We have now included such context for our conclusions as follows: "Previous studies also pointed out that, in April, BC showed a high concentration in the mid-troposphere of the Arctic, mainly due to the effect of Asian anthropogenic aerosols that are transported to the Arctic through warm conveyor belt (Wang et al., 2011). Evidence from aircraft and ground-based measurements showed that eastern and southern Asia source regions contributed the most to the BC concentration in the Arctic mid-troposphere, while northern Asia dominated the contribution to the Arctic surface BC (Abbatt et al., 2019)." And "Similar to our findings, Breider et al. (2017) found that the simulated decrease in aerosol optical depth in the Arctic from 1980 to 2010 was driven by a strong decrease in aerosol loading at lower altitudes due to the emission changes in West Eurasia, Russia and North America and an increase in aerosols at higher altitudes resulting from the changes in emissions in regions such as South Asia and East Asia."

3. I would also compare your numbers with other studies. Do they differ from other studies or do they support other findings? If different; try to explain why.

#### Response:

Thanks for the suggestion. The atmospheric BC can absorb solar radiation in the atmosphere and leads to a positive  $RF_{ari}$  of 0.1~0.4 Wm<sup>-2</sup> in the Arctic, which is similar to the values of 0.1~0.6 Wm<sup>-2</sup> estimated in previous studies (Koch and Hansen, 2005; Flanner et al., 2009; AMAP, 2011; Bond et al., 2011; Samset et al., 2014; Wang et al., 2014).

Shindell et al. (2008) studied the sensitivity of simulated Arctic aerosol concentrations to emissions perturbations in 2001 and found that European emissions contributed to Arctic sulfate concentrations near the surface and at 500 hPa by 73% and 51%, respectively. East Asia has the largest contribution at 250 hPa, reaching 36%, which is consistent with our results. Based on simulations of a chemical transport model, Fisher et al. (2011) concluded that West Asia emissions dominated wintertime Arctic sulfate concentration, with

contributions between 30% and 45%.

Using the GEOS-Chem model, Breider et al. (2017) estimated that emission reductions in anthropogenic aerosols during 1980–2010 had contributed to a net warming at the Arctic surface by  $+0.27 \pm 0.04$  K, which is consistent with our results. However, they did not take into consideration radiative forcing from aerosol-cloud interactions and deposition of BC to snow or ice surfaces. Navarro et al. (2016) presented simulations with an Earth system model and showed that the reduction in Europe SO<sub>2</sub> emission over 1980–2005 has caused the Arctic warms by 0.5 K on annual average as a result of the enhanced poleward heat transport, which is larger than our estimates likely due to different emissions and models used here and in Navarro et al. (2016).

We have included these comparisons in the manuscript.

4. The authors use sensitivity factors to estimate the temperature response to the declining trends. This method needs to be explained in Methods along with uncertainties.

### Response:

Thanks for the suggestion. The Arctic equilibrium temperature response is estimated using Arctic climate sensitivity factors ( $\lambda$ , K W<sup>-1</sup>m<sup>2</sup>), defined as the change in Arctic surface temperature per unit RF for different latitudinal bands from Sand et al. (2016) and Shindell and Faluvegi (2009). The change in equilibrium temperature response is defined as  $\Delta T = \sum_{i=LAT} \lambda_i * \Delta RF_i$ .  $\Delta$ represents the difference of the annual mean of a variable for a specific year compared to the average during 1980–1984 in this study. RF is radiative forcing due to aerosol-radiation or aerosol-cloud interactions associated with sulfate or black carbon. LAT represents latitudinal bands over the Arctic (60°N-90°N), Northern Hemisphere mid-latitudes (28°N-60°N), tropics (28°S-28°N) and Southern Hemisphere (90°S-28°S). Many studies used these climate sensitivity factors to estimate the Arctic temperature responses using RF calculated from different models (e.g., Sand et al., 2016). However, we note that, since the  $\lambda$  values were calculated with a different climate model (NASA-GISS), the estimated Arctic equilibrium temperature response based on these factors could be biased.

5. Can you please add a description in Methods on how BC and sulfate are treated in the model? Aging, mixing etc.

## Response:

Thanks for the suggestion. We have now added a description as follows. Mass and number concentrations of sulfate particles are predicted for the three lognormal modes (i.e., Aitken, accumulation, and coarse modes) of the three-mode modal aerosol module (Liu et al., 2012) in CAM5. Aerosols are internally

mixed in the same aerosol mode and then externally mixed between modes. Within each mode, sulfate is internally mixed with primary/secondary organic matter, BC, mineral dust, and/or sea salt. BC is mixed with other aerosol species (e.g., sulfate, POA, SOA, sea salt, and dust) in the accumulation mode immediately after being emitted into the atmosphere without considering explicit aging processes.

Specific comments by line number:

Title: You are only looking at BC and SO4, so I would change 'aerosols' to reflect that + specify surface warming, and not just warming.

Thanks for the suggestion. We have now modified the title to "Source attribution of Arctic black carbon and sulfate aerosols and associated Arctic surface warming during 1980–2018."

L23: Wouldn't a decrease in BC, at least hypothetically, lead to a cooling?

That's likely true for the Arctic local BC alone. To avoid confusion, this sentence has been revised as follows: "Observations show that the concentrations of Arctic sulfate and black carbon (BC) aerosols have declined since the early 1980s. Previous studies have reported that reducing sulfate aerosols potentially contributed to the recent rapid Arctic warming."

L32: You need to specify that you have calculated the surface temperature response using sensitivity factors (and not by running a climate model).

We have now added "By using climate sensitivity factors, ...".

L42: What other regions do you refer to here? Most aerosols are emitted NH mid lats?

The other regions refer to latitudinal bands: Arctic (ARC, 60°N–90°N), tropics (TRO, 28°S–28°N) and Southern Hemisphere (SHM, 90°S–28°S). Aerosols over any region can influence Arctic surface temperature through changing radiative fluxes or poleward heat transport based on the climate sensitivity factors. The mid-latitude region of the Northern Hemisphere is close to the Arctic and changes in aerosols over this region affect Arctic temperature through enhancing poleward heat transport. This warming effect is stronger than impacts of aerosols over other latitudinal bands.

L140: What kind of aerosol-cloud interaction are included in the model?

Aerosols interact with stratiform clouds through two-moment microphysics, in which the nucleation of stratiform cloud droplets is based on the scheme of Abdul-Razzak and Ghan et al. (2000). Although aerosols have no microphysical impact on convective clouds, the ambient temperature and convection can be affected by BC-induced atmospheric heating. We have added this description in the Methodology section.

L197: Where in the Arctic are those emissions mostly from? I would assume northern Russia?

Time series (1980–2018) of absolute and relative contributions of emissions from major source regions to the simulated annual mean near-surface sulfate and BC concentrations averaged over the Arctic is shown in Figure 5. It's correct that source regions near the Arctic (e.g., Europe and Russia) are the main contributors to the near-surface concentrations of Arctic sulfate and BC.

L216: Could you be more specific on where the Kevo site is located besides close to western Eurasia?

We have now revised the sentence to reflect this: "The Kevo site (69°N, 27°E), which is close to Western Eurasia, is the only site that has both sulfate and BC data for more than 30 years."

L217: Can you split these two sentences; one for bc and one for sulfate so it is easier to follow?

Following the suggestion, we have split the sentences for BC and sulfate: "At this site, the simulated sulfate in spring and summer decreased at a rate of - 3.18% and -1.92% per year, respectively, which are similar to -4.37% and -3.26% per year from observations. The decreasing rates of BC in spring and summer were -2.89% and -1.74%, respectively, that are also consistent with the observed values of - 3.01% and - 2.82%."

L257: Could you remind us which regions those are?

The remaining source regions are Central America (CAM), South America (SAM), North Africa (NAF), South Africa (SAF), the Middle East (MDE), Southeast Asia (SEA), Central Asia (CAS), South Asia (SAS), Pacific-Australia-New Zealand (PAN), Antarctic (ANT), and Non-Arctic/Antarctic Ocean (OCN). We have now included such information in the revised text.

L280: this is the first time you report concentrations in ug/m3 decrease and not % decrease. Can you add the total concentration number as well, so we can relate the number?

Following the suggestion, we have now revised the text as follows:

"Relative to the average of 0.447  $\mu$ g/m<sup>3</sup> during 1980–1984, the simulated annual sulfate concentration over the Arctic has a decrease of 42.8% (0.191  $\mu$ g/m<sup>3</sup>) in 2014–2018 (Table 1). Sulfate concentration shows a considerable decreasing trend from 1980 to 2000, which then slows down after 2000. The decrease in sulfate during this time period primarily results from the reduction in emissions from Europe and Russia, which contributes to 18.6% (0.083  $\mu$ g/m<sup>3</sup>) and 18.8% (0.084  $\mu$ g/m<sup>3</sup>) of the decline of the Arctic sulfate concentrations, respectively. The change in emissions from Central Asia and North America, respectively, explains 1.6% (0.007  $\mu$ g/m<sup>3</sup>) and 3.4% (0.015  $\mu$ g/m<sup>3</sup>) of the reduced concentration."

"Simulated Arctic BC concentration also shows a considerable decline before 2000, but a slight rise after 2000. Overall, the average concentration of BC in the Arctic had a decrease of 22.98% (3.7 ng/m<sup>3</sup> relative to the 1980–1984 average of 16.1 ng/m<sup>3</sup>) in 2014–2018, mainly due to the reductions in emissions originating from the Arctic and Russia, which lead to 9.32% (1.5 ng/m<sup>3</sup>) and 14.91% (2.4 ng/m<sup>3</sup>) of the decrease (Table 1)."

L313: 'during'? How is this calculated? First and last 5 years? We have revised it to "averaged over 1980–2018".

L329: What is a moderate value?

We have revised the text as follows: "Within the Arctic ( $60^{\circ}N-90^{\circ}N$ ), the magnitude of sulfate RF<sub>ari</sub> decreases from -0.21 Wm<sup>-2</sup> in 1980–1984 to -0.10 Wm<sup>-2</sup> in 2014–2018, indicating a warming effect in the Arctic from the local sulfate change."

L332: this is the first time you mention the tropical region?

Yes. To estimate the relative roles of regional aerosol trends in affecting the Arctic warming, we looked into the temporal variation of annual mean radiative forcing of sulfate and BC in different latitudinal bands during 1980–2018. The four latitudinal bands considered in this study are Arctic (60°N–90°N), Northern Hemisphere mid-latitudes (28°N–60°N), tropics (28°S–28°N) and Southern Hemisphere (90°S–28°S).

L348: I would decrease the number of significant figures for these temperature response numbers, as the uncertainties are much higher.

We agree with the reviewer that the uncertainties associated with these numbers are likely high, but the number of digits after the decimal point is kept same for all the numbers here for consistency.

L394: Can you list these references you refer to here?

Many studies have examined possible mechanisms that can explain the recent Arctic warming, but the quantitative importance of these mechanisms is still on debate (e.g., Breider et al., 2017; Navarro et al. 2016).

L400: 'to some extent' seem vague.

We have revised the text as follows: "Considering that the model underestimates the magnitude of sulfate and BC concentrations, the estimated impact on Arctic temperature from sulfate and BC could be even larger if the model were able to accurately reproduces the measurements in the Arctic."

#### L408: Increase compared to what?

We have revised it to "Compared to the annual mean concentrations during 1980–1984".

Figure 1: it is hard to see the letters/dots representing the observation sites. Could another plot be made in this figure, zooming in on the Arctic (90-60N) and only showing the stations for example?

Following the suggestion, we have now revised the Figure 1 to zoom in to the Arctic for a better display of the observational sites. Please see below.



Emission Rate (g m<sup>-2</sup> yr<sup>-1</sup>)

Figure 1. (a) Sixteen anthropogenic source regions (Europe (EUR), North America (NAM), Central America (CAM), South America (SAM), North Africa (NAF), South Africa (SAF), the Middle East (MDE), Southeast Asia (SEA), Central Asia (CAS), South Asia (SAS), East Asia (EAS), Russia-Belarus-Ukraine (RBU), Pacific-Australia-New Zealand (PAN), the Arctic (ARC), Antarctic (ANT), and Non-Arctic/Antarctic Ocean (OCN)). Dots in (b) mark observational sites at Alert ("A", 82°N, 62°W), Station Nord ("S", 81°N, 16°W), Barrow ("B", 71°N, 156°W), Ny-Alesund ("N", 78°N, 11°E) and Kevo ("K", 69°N, 27°E). Spatial distribution of annual mean (c) SO<sub>2</sub> (g S m<sup>-2</sup> yr<sup>-1</sup>) and (d) BC (g C m<sup>-2</sup> yr<sup>-1</sup>) emissions averaged over 1980-2018. The thick black circles mark the Arctic (66.5°N–90°N).

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1	Manuscript # acp-2020-3
2	Posponsos to Poforoo #2
3	Responses to Referee #2
4 5	This study uses source apportionment method to study the changes Arctic BC
6	and Sulfate concentration, and the contributions from worldwide 16 other
7	regions. They also performed sensitivity analysis to discuss the contribution of
8	Arctic warming from the different source regions.
9	In general, I think the paper has an interesting theme. However, the method is
10	not well presented, and the discussion is not well structured neither. The paper
11	heavily focusses on the model results, and was not strong to make adequate
12	discussions on why the simulated results happen.
13	We thank the reviewer for all the insightful comments. Below, please see our
14	point-by-point response (in blue) to the specific comments and suggestions
15	and the changes that have been made to the manuscript, in an effort to take
10	into account all the comments raised here.
10	Main comment:
10	I suggest the authors reorganize the abstract from 1 32-43: think about the order
20	of discussing the sulfate/BC radiative forcing changes, local vs long-range
21	transport, temperature changes from aerosol-direct and indirect effects.
22	Response:
23	Following the suggestion, we have now revised this part of the abstract as
24	follows: "Within the Arctic, sulfate reductions caused a TOA warming of 0.11
25	and 0.25 W m <sup>-2</sup> , respectively, through aerosol-radiation and aerosol-cloud
26	interactions. While the changes in Arctic atmospheric BC has little impact on
27	local radiative forcing, the decrease of BC in snow/ice led to a net cooling of
28	0.05 W m <sup>-2</sup> . By applying climate sensitivity factors for different latitudinal bands,
29	global changes in sulfate and BC during 2014–2018 (with respect to 1980–1984)
30	exerted a +0.088 K and 0.057 K Arctic surface warming, respectively, through
31	aerosol-radiation interactions. Through aerosol-cloud interactions, the suifate
3Z 22	The weakened BC effect on snow/ice albede led to an Arctic surface cooling of
33 34	-0.041 K. The changes in atmospheric sulfate and BC outside the Arctic totally
34	produced an Arctic warming of $\pm 0.25$ K the majority of which is due to the mid-
36	latitude changes in radiative forcing. Our results suggest that changes in
37	aerosols over the mid-latitudes of the Northern Hemisphere have a larger
38	impact on Arctic temperature than other regions through enhanced poleward
39	heat transport. The combined total effects of sulfate and BC produced an Arctic
40	surface warming of +0.297 K, explaining approximately 20% of the observed
41	Arctic warming since the early 1980s."
42	

It has been known that there are very large discrepancies for the emissions in
China from MEIC emission inventory and CMIP6 (Paulot et al., 2018).
Comment how this discrepancy could affect the main results.

46 Reference: Paulot, F., Paynter, D., Ginoux, P., Naik, V., and Horowitz, L. W.:

Changes in the aerosol direct radiative forcing from 2001 to 2015: observational
constraints and regional mechanisms, Atmos. Chem. Phys., 18, 13265–13281,

49 https://doi.org/10.5194/acp-18-13265-2018, 2018.

50 Response:

Thanks for bringing up this issue. In our simulations of 1980-2018, we used 51 both the CMIP6 historical emissions for 1980-2014 and emission scenario 52 (SSP2-4.5) interpolated 2015-2018. Over China, the decline of aerosols 53 emissions since 2011 is not well represented in the CMIP6 historical 54 55 anthropogenic emissions, compared to the MEIC emission inventory (Paulot et al., 2018). Emissions of SO<sub>2</sub> and BC from China in SSP2-4.5 show declines 56 since 2014, which is consistent with MEIC emissions. However, the decrease 57 of CMIP6 SO<sub>2</sub> and BC emissions over China by 39% and 0.5%, respectively, 58 59 in year 2017 compared to 2010 is less than the corresponding magnitude, 62% 60 and 27%, in MEIC emission inventory. We have now included this point in the discussion section as follows: "Previous studies have reported large 61 discrepancies of aerosol and precursors emissions in China between MEIC 62 (Multi-resolution Emission Inventory for China) and CMIP6 emission inventories 63 (e.g., Paulot et al., 2018). The CMIP6 emissions dataset shows similar 64 decreasing trends in anthropogenic SO<sub>2</sub> and BC emissions over China since 65 2011 as in the MEIC inventory (Fig. S3). However, the decrease of CMIP6 66 anthropogenic SO<sub>2</sub> and BC emissions by 39% and 0.5%, respectively, in 2017 67 compared to 2010 is less than the corresponding magnitude of 62% and 27% 68 in MEIC (Zheng et al., 2018). It indicates that the increase in aerosol 69 contribution from East Asia during the recent decade and its impact on Arctic 70 71 surface temperature could be overestimated in this study."

72



Figure S3. Annual anthropogenic emissions of SO<sub>2</sub> and BC in China from
 CMIP6 (solid lines) and MEIC (dotted lines).

76

Beginning from section 3, when the authors discuss the trends analysis, I did
not find anywhere how the authors performed the trend analysis, as well as the
significance test. Those are very basic concepts when we discuss trend
analysis. A few example: line 245-line 248; line 251-252, and Table 2, Fig. 8.
Response:

Thanks for the suggestion. We have now included statistical test results in Table 2 and Figure 8. All trend values mentioned in that paragraph are statistically significant at the 95% confidence level. We have added this sentence to the manuscript.

86

Line 269-270: when the authors discuss the "largest contribution of East and 87 South Asia", does the authors mean East and South Asia contributes most at 88 this altitude compared with other regions, or this altitude is where East and 89 90 South Asia contributes most for their contributions at different altitudes? As a 91 matter of fact, I think these several paragraphs are terribly written (line 264-290). Keep in mind that, when you talk about the contribution, you are 92 comparing between different source regions as well as the altitudes. I highly 93 suggest the authors reorganize these several paragraphs. 94

95 **Response**:

We have now revised these paragraphs as follows to avoid the confusion: 96 97 "Aerosols are often transported across continents in the free troposphere rather than near the surface, resulting in a higher relative contribution of non-local 98 sources to the aerosol concentration at higher altitudes than near the surface. 99 100 Figure 6 shows the vertical profiles of absolute and relative contributions of major source regions to sulfate and BC concentrations in the Arctic. Different 101 source regions have very distinct vertical distributions of their contributions. 102 103 Below 1 km, Arctic local emissions account for the majority of Arctic sulfate and BC concentrations. For BC and sulfate located between 1 km and 5 km, 104 emissions from Russia are the major sources. Above 8 km, East Asia and South 105 Asia are the major source regions of the Arctic aerosol concentrations, which is 106 consistent with results using other models (e.g., Shindell et al., 2008). Arctic 107 and Russia have their maximum absolute contributions at 0.2 km and 1.4 km, 108 respectively. Europe and North America have their maximum absolute 109 contributions around 2 km. The contribution of East Asia and South Asia 110 increases with the increase of altitude, reaching their maximum contribution 111 values at 8 km and 11 km, respectively. 112

The changes in source contributions to the annual mean vertical profile of sulfate and BC concentrations over the Arctic between 2014–2018 and 1980– 1984 are shown in Fig. 7. Below 6 km, due to the effective emission reduction, the contribution from Europe and Russia to the Arctic sulfate was each decreased by nearly 0.1 μg m<sup>-3</sup> in 2014–2018, compared to 1980–1984. North

America contribution also had a slight decline below 2 km. Between 10–15 km, 118 contributions from South Asia and East Asia increased at the upper troposphere, 119 which is consistent with the increase in emissions over these regions, leading 120 to a combined increase in sulfate concentration of up to 0.1 µg m<sup>-3</sup> at the upper 121 troposphere of the Arctic. The BC concentration below 2 km contributed by 122 Arctic and Russia emissions each had a decrease of up to 2 ng m<sup>-3</sup>, which 123 dominated the decrease of BC concentration in the Arctic lower atmosphere. 124 Similar to sulfate, BC concentrations contributed by East Asia and South Asia 125 increased in the high altitudes, mainly due to increased emissions in these two 126 regions, offsetting the decrease in column burden owing to the reduced loading 127 in the lower atmosphere." 128 129 130 Editorial comments: Line 35: explain what "61%" is compared to. 131 Response: It is a comparison between 1980–1984 and 2014–2018. We have now clarified 132 it in the text. 133 134 Line 38: the snow/ice albedo effect from BC refers to local or other source 135 regions? 136 Response: 137 Here, the snow/ice albedo effect from BC refers to both local and other source 138 regions. We have followed the suggestion in main comments to reorganize the 139 abstract to avoid confusion as such. 140 141 142 Line 98: add from which year for the 2-3% changes. 143 Response: Following the suggestion, we have now revised the sentence to "Based on the 144 chemical transport model (GEOS-Chem) simulations, Breider et al. (2017) 145 146 found that annual sulfate and BC concentrations decreased by 2–3% per year over the Arctic during 1980-2010." 147 148 Line 122: change "observational" to "observation" 149 150 Response: 151 Following the suggestion, we have now revised the sentence to "Sulfate and BC concentrations from the CAM5-EAST model and observations at remote 152 Arctic stations are compared." 153 154 Line 153: EAST was already defined. 155 Response: 156 Deleted. 157 158 159 Line 181-182: Technically, neither Fig 1 nor Fig 2 showed the emission changes from "1980-2010" "from the 16 source regions". 160 Response: 161

Figure 1 shows the spatial distribution of annual mean SO<sub>2</sub> and BC emissions 162 averaged over 1980-2018 from the 16 source regions and Figure 2 shows 163 time series of annual anthropogenic SO<sub>2</sub> and BC emissions of major tagged 164 source regions and other regions (OTH, including ANT, CAM, CAS, MDE, 165 NAF, PAN, SAM, SEA, and SAF/NAM). In order to better see the time series 166 of annual emissions of other regions (OTH) individually, we have added the 167 time series these emissions in the supplementary materials (Fig. S1), which is 168 also shown below. 169 170





Figure S1. Time series of annual anthropogenic (top) SO<sub>2</sub> (Tg SO<sub>2</sub> yr<sup>-1</sup>) and (bottom) BC (Tg C yr<sup>-1</sup>) emissions from other regions of Fig. 2 individually.



to confirm the theory.

178 **Response**:

We have now revised the sentence to "According to previous CAM5 studies on aerosol wet removal and long-range transport, the model underestimates aerosol concentrations in spring, likely due to biases in parameterizations of convective transport and wet scavenging of aerosols (Bond et al., 2013; Liu et al., 2011; Wang et al., 2013; Qian et al., 2014; Yang et al., 2018a)."

184

Line 212-213: I thought local BC reduction by 38% in Artic are pretty high. So are you sure the BC concentration changes are dominated by the emission changes from other source region? Meanwhile, I got different conclusion from Fig. 5 as the ARC clearly dominated the total BC changes.

189 **Response**:

The decrease here refers to the BC concentration change at the Arctic sites (especially Kevo) that are strongly influenced by non-local sources. Kevo is close to western Eurasia. The drop in BC after 1988 at Kevo is attributed to the emission reduction resulting from the economic contraction in former Soviet states and eastern bloc countries at that time. The concentration change shown in Fig. 5 is the average over the entire Arctic (66.5°N–90°N).

196

Line 256: how did the "+/- 1-3%" come from? It looks like uncertainty range to
me.

199 **Response**:

We have now revised it to "Sources in Europe, North America, and East Asia account for less than 4% of the changes in Arctic near-surface BC concentration."

203

Line 262-263: the authors conclude to reduce local sources in the Arctic to control the sulfate and BC. Can the authors give some specific suggestions on the sectors which the local source should be reduced?

207 Response:

The SO<sub>2</sub> and BC emissions from individual sectors in the Arctic are shown below. The industry and energy sectors account for the majority of local sources in the Arctic (Fig. S4). Although this is not the focus of our research, reducing the emissions of industry and energy sectors may be effective for the reduction of local sulfate and BC concentrations in the Arctic. We have now added this analysis in the manuscript.

214



BB ENE IND RCO SHP TRA WST

Figure S4. Annual mean of SO<sub>2</sub> and BC emissions from individual sectors in
the Arctic during 2010-2014. BB: biomass burning, ENE: energy, IND: industry,
RCO: residential, SHP: international shipping, TRA: transportation, WST: waste
treatment.

Line 272-273: If I am reading the plots right, I think Europe also has largest contribution for both sulfate and BC below 2km, compared with Arctic? That being said, I still can not figure out what the authors refer to when they say "largest contribution".

225 Response:

We have now revised this part of the text substantially, taking into consideration of this comment. Please see our response to the fourth main comment.

228

215

220

Line 287-288: Again not clear how to comprehensive the "increasing trend" contributed by East Asia and South Asia. Also, the authors have a theory why East Asia and South Asia are larger high altitudes, any references or evidences? Please explain.

233 Response:

We have now revised this sentence to explain: "Similar to sulfate, BC concentrations contributed by East Asia and South Asia increased in the high altitudes (Breider et al. 2017, Fisher et al., 2011; Qi et al., 2017; Sharma et al., 2013; Stohl, 2006), mainly due to increased emissions in these two regions, offsetting the decrease in column burden owing to the reduced loading in the lower atmosphere."

240

Line 317-322 Here is redundant to discuss the radiative forcing changes in other NH regions since this is not the focus of this paper. Remember the paper's interest is on the transport of other source region on the reception region (Arctic).

245 **Response**:

Although we focus on the Arctic local changes, aerosols over other regions outside the Arctic can also affect the Arctic climate through changing poleward heat transport, which is also an important factor to consider for the Arctic temperature change in the following part of the manuscript.

250

Line 329-330: The authors previously showed that the sulfate concentration changes over Arctic are dominated by other source regions than local. So why the authors conclude the local sulfate change for the radiative forcing increases? Response:

- Here the local change refers to the Arctic as a receptor rather than a source 255 region. It is compared with the impact of remote changes. We apply Arctic 256 climate sensitivity factors for sulfate and BC over the Arctic, mid-latitudes of the 257 258 Northern Hemisphere, tropics and Southern Hemisphere, separately, obtained from Sand et al. (2016) and Shindell and Faluvegi (2009), to calculate the 259 recent Arctic surface temperature change related to the variations in sulfate and 260 BC radiative forcings over the different latitude bands during 1980–2018. This 261 262 is different from the previous sections of source regions.
- 263

Line 330-333: again these are not relevant to this study. I think it is Ok if the authors want to compare the radiative forcing changes in Artic with other regions for the past 4 decades, but not necessary to distract the main point of the paper.

268 **Response**:

The aerosol-induced meridional gradient of temperature can also influence the Arctic climate by changing poleward heat transport. For example, BC at midlatitudes may increase the transport of heat into the Arctic by heating the atmosphere locally and increasing the meridional temperature gradient. This impact is directly related to changes in global aerosol emissions, so we believe it is an important factor to analyze and compare with changes within the Arctic.

275

Line 356-358: please explain why the BC changes over mid-latitude and tropics have positive climate effect and expand to Arctic?

278 **Response**:

279 The positive climate effect over mid-latitude and tropics is due to an increase of BC during 2014–2018 relative to 1980–1984. As we explained above, the 280 remote impact from warming in mid-latitude and tropics on the Arctic is mainly 281 through changes in the poleward heat transport. We didn't simulate such 282 remote effects on Arctic temperature directly. Instead the Arctic equilibrium 283 temperature response is estimated using Arctic climate sensitivity factors ( $\lambda$ , K 284 W<sup>-1</sup>m<sup>2</sup>), defined as the change in Arctic surface temperature per unit RF for 285 different latitudinal bands from Sand et al. (2016) and Shindell and Faluvegi 286 (2009). The change in equilibrium temperature response is defined as  $\Delta T =$ 287  $\sum_{i=LAT} \lambda_i * \Delta RF_i$ .  $\Delta$  represents the difference of the annual mean of a variable 288 for a specific year compared to the average during 1980-1984 in this study. RF 289

is radiative forcing due to aerosol-radiation or aerosol-cloud interactions 290 associated with sulfate or black carbon. LAT represents latitudinal bands over 291 the Arctic (60°N-90°N), Northern Hemisphere mid-latitudes (28°N-60°N), 292 tropics (28°S-28°N) and Southern Hemisphere (90°S-28°S). Many studies 293 294 used these climate sensitivity factors to estimate the Arctic temperature 295 responses using RF calculated from different models (e.g., Sand et al., 2016). However, we note that, since the  $\lambda$  values were calculated with a different 296 climate model (NASA-GISS), the estimated Arctic equilibrium temperature 297 response based on these factors could be biased. We have now added this to 298 the Methodology section. 299

300

301 Figures:

In Fig. 2 title, add the references that abbreviations for the regions could be found in Fig. 1

304 **Response**:

305 **Done as suggested**.

306

Fig. 3 I saw crosses, triangles, rectangles and dotted circles which are not explained in the legend. In the stacked contour plots, I think the authors refer light green for the Arctic? The Y axis for plots St. Nord Ny-Alesund and Kevo seems not right to me.

311 Response:

312 We have now revised the figure caption to clarify on these issues:

'Figure 3. Surface concentrations of sulfate aerosols (µg m<sup>-3</sup>) in spring (March– 313 May) and summer (June-August) at four locations (Alert, Station Nord, Ny-314 Alesund, Kevo) in the Arctic during 1980–2018. Seasonal means are denoted 315 by solid black circles, medians as short horizontal bars, and the 25th to 75th 316 percentile ranges as vertical bars. Stacked colors represent modeled 317 318 contributions from the Arctic (blue) and non-Arctic anthropogenic source region 319 (green). The observations denoted by solid black circles are obtained from European Monitoring and Evaluation Programme and World Data Centre for 320 Aerosols database (http://ebas.nilu.no) and Breider et al. (2017). Black 321 triangles at Ny-Alesund for the period 1980–1981 show mean observations 322 from Heintzenberg and Larssen (1983). Black diamond at Ny-Alesund in 323 summer shows median non-sea-salt sulfate concentration from Maenhaut et al. 324 (1989). Open circles in the spring for Ny-Ålesund are March–April mean values 325 (Sirois and Barrie, 1999). Note that the vertical coordinates use logarithmic 326 scales.' 327

328

In Fig 5 and figures below, the authors only show a list of the source regions, not all of them. I suspect that's because other regions' contribution to BC and sulfate in Arctic are very negligible? If so, how much is it? Is it magnitude level smaller than the CAS to sulfate, and EAS to BC? Also, how did the authors make the relative contribution equal to 100% if not all the regions included? I would also suggest the authors to reorganize the plot, so maybe the contour
 plots will be seen as smaller to largest, or vice-visa.

336 **Response**:

Figure 5 shows the time series (1980–2018) of absolute (left, µg m<sup>-3</sup>) and 337 relative (right, %) contributions of emissions from the major source regions to 338 the simulated annual mean near-surface sulfate and BC concentrations 339 averaged over the Arctic. The remaining source regions with annual 340 contributions less than 3% are combined and shown as OTH (other regions, in 341 figure S2). The total relative contribution considers all source regions, including 342 the OTH, and equals to 100%. We have reorganized the contours to show an 343 order of largest to smallest contributors except OTH. 344

345

Fig 9: this study's focus is on Arctic. This fig is not easy to distinguish the spatial patterns of temperature changes in Arctic.

348 **Response**:

As we explained above, RF changes in the lower latitudes are also important to affect the Arctic temperature. We agree that the spatial patterns of the small RF within the Arctic are not distinguishable, but the main purpose of this figure is not to focus on the Arctic RF variation.

- 353
- 354
- 355

356 **References**:

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1	Manuscript # acp-2020-3
2	Posponsos to Poforoo #3
3 1	Responses to Referee #5
4 5	Review of "Source attribution of Arctic aerosols and associated Arctic warming
6	trend during 1980-2018" by Ren et al.
7	This paper presents a modelling study of the impacts of changing SO <sub>4</sub> and BC
8	on the Arctic atmospheric composition, radiative forcing, and temperature.
9	Modelled and measured SO <sub>4</sub> and BC are presented in the Arctic from 1980-
10	2018 at a handful of surface measurement sites. A tagged version of CAM5 is
11	used to quantify the source contributions from different continental geographic
12	regions to the Arctic BC and SO <sub>4</sub> concentrations both at the surface and in the
13	vertical column. The paper present interesting results that are important for
14	understanding the rapidly warming Arctic. The authors conclude that about 20%
15	of Arctic warming can be attributed to the combination of BC and SO4.
16	We thank the reviewer for all the insightful commente. Polow, places are our
10	point-by-point response (in blue) to the specific comments and suggestions
10	and the changes that have been made to the manuscript in an effort to take
20	into account all the comments raised here
21	
22	I suggest only the following minor revisions below before publishing:
23	lines 130-131: is there a primary reference for CAM5 and CESM that you can
24	reference here?
25	Response:
26	Thanks for the suggestion. We have now added the primary reference for
27	CESM as follows: "The global aerosol-climate model CAM5, which is the
28	atmospheric component of the earth system model CESM (Community Earth
29	System Model, Hurrell et al., 2013) developed at the National Center for
30	Atmospheric Research (NCAR), is used to simulate Arctic aerosols and climate
31	for years 1980–2018 (alter one-year model spin-up).
32 22	lines 1/3-1/1: what is the source for the specified sea surface temperatures
33 34	sea ice concentrations etc?
35	Response:
36	Sea surface temperatures and sea ice concentrations are created from the
37	merged Reynolds/HADISST products, as described in Hurrell et al. (2008).
38	Solar radiation and GHGs follow the CMIP6 configuration for AMIP-type of
39	simulations. We have now included these details in the manuscript.
40	
41	lines 209-210: was the modelled precipitation compared to measured
42	precipitation? Was wet deposition of model validated against measurements?
43	Response:
44	The performance of CAM5 in aerosol wet deposition and transport to the Arctic

has been specifically evaluated and improved in previous studies (e.g., Liu et 45 al., 2011; Wang et al., 2013; Qian et al., 2014; Yang et al., 2018a. To address 46 this comment and follow a suggestion from one of the other reviewers, we have 47 revised the sentence to "According to previous CAM5 studies on aerosol wet 48 removal and long-range transport, the model underestimates aerosol 49 concentrations in spring, likely due to biases in parameterizations of convective 50 transport and wet scavenging of aerosols (Bond et al., 2013, Liu et al., 2011, 51 Wang et al., 2013; Qian et al., 2014; Yang et al., 2018a)." 52

53

Fig 5/line 241: it needs to be clarified that Fig 5 is the model average in the Arctic (>66.5  $^{\circ}$ N).

56 **Response**:

57 Following the suggestion, we have now revised the sentence to "The absolute 58 and relative source contributions of emissions from the major source regions to 59 the simulated annual mean near-surface sulfate and BC concentrations 60 averaged over the Arctic (66.5°N–90°N) are shown in Fig. 5."

61

line 252: was that rise in BC seen in the observations? e.g., consistent with BC
 seen at Alert?

64 **Response**:

Yes, we have now revised the sentence to "Simulated Arctic BC concentration also shows a considerable decline before 2000, but a slight rise after 2000, which is consistent with the BC observations at Alert."

68

69 line 263: "in the Arctic" ... and Russia?

70 Response:

Yes, we have now revised the sentence to "To further reduce present-day or future aerosols in the Arctic, efforts can be made to control local sources in the

- 73 Arctic as well as emissions from Russia."
- 74

line 316: is the effect of BC deposition on snow/reduction of albedo included in
 this? I think not because that effect is discussed later, but could clarify here that
 this value is just for atmospheric BC effect.

78 Response:

No, the effect of BC deposition on snow/reduction of albedo is not included in
it. This value is for atmospheric BC effect only. We have now revised the text
to "The Arctic sulfate exerts a negative RF<sub>ari</sub> primarily by scattering incoming
solar radiation back into the space, with the forcing in a range of -0.4~0 Wm<sup>-2</sup>.
The atmospheric BC can absorb solar radiation in the atmosphere and leads to
a positive RF<sub>ari</sub> of 0.1~0.4 Wm<sup>-2</sup> in the Arctic."

85

Section 5/line 400: Can you add some discussion as to how the model bias
 affects your conclusions? E.g. would your estimates of SO<sub>4</sub> and BC
 temperature impacts be greater or lesser if the model were corrected to

89 accurately reflect the measurements?

90 **Response**:

91 Thanks for the suggestion. We have now revised the sentence to "Considering

92 that the model underestimates the magnitude of sulfate and BC concentrations,

the estimated impact on Arctic temperature from sulfate and BC could be even

94 larger if the model were able to accurately reproduce the measurements in the95 Arctic."

96

Data availability: please add where the Arctic BC & SO<sub>4</sub> measurements can be
found in this section (e.g., EBAS database link).

- 99 Response:
- 100 **Added**.
- 101

Figs 1-2, and 5-7: please make sure the regional colours are consistent in all of these plots. e.g., colour X for RBU, colour Y for EUR, etc, in all 5 figures the same.

105 **Response**:

106 We have now made the regional colors consistent in all plots.

107

Fig 3 (4): Clarify in the caption that the black is from measurements, and the blue and green are modelled. E.g., "Measured seasonal means are denoted by...". "Stacked contours represent the modelled Arctic..."

111 Response:

112 Thanks for the suggestion. We have now revised the figure caption to:

Figure 3. Surface concentrations of sulfate aerosols (µg m<sup>-3</sup>) in spring (March– 113 May) and summer (June-August) at four locations (Alert, Station Nord, Ny-114 Alesund, Kevo) in the Arctic during 1980–2018. Seasonal means are denoted 115 116 by solid black circles, medians as short horizontal bars, and the 25th to 75th percentile ranges as vertical bars. Stacked colors represent modeled 117 contributions from the Arctic (blue) and non-Arctic anthropogenic source region 118 (green). The observations denoted by solid black circles are obtained from 119 European Monitoring and Evaluation Programme and World Data Centre for 120 Aerosols database (http://ebas.nilu.no) and Breider et al. (2017). Black 121 122 triangles at Ny-Alesund for the period 1980–1981 show mean observations from Heintzenberg and Larssen (1983). Black diamond at Ny-Alesund in 123 summer shows median non-sea-salt sulfate concentration from Maenhaut et al. 124 (1989). Open circles in the spring for Ny-Ålesund are March–April mean values 125 (Sirois and Barrie, 1999). Note that the vertical coordinates use logarithmic 126 scales. 127

128

Fig 3: why is Barrow not shown? Fig 4: why is St Nord not shown? Fig 5: specify that this is the Arctic (>66.5 °N) average. As mentioned above, use the same regional colour scheme here as in Fig 1(a) & Fig 2. Fig 6 & 7: match the regional colours to Fig 5. 133 **Response:** 

134 The data of Barrow and St Nord sites are relatively scarce. We only selected135 sites with more than 20 observation samples.

Following the suggestion, the caption Figure 5 has been revised to "Time series (1980–2018) of absolute (left,  $\mu g m^{-3}$ ) and relative (right, %) contributions of emissions from the major source regions to the simulated annual mean nearsurface sulfate and BC concentrations averaged over the Arctic (66.5°N–90°N).

- Fig 2, Fig 5, Fig 6 and Fig 7 have now been revised to use the same regionalcolor scheme.
- 143
- 144
- 145 **Reference**:

Breider, T. J., Mickley, L. J., Jacob, D. J., Ge, C., Wang, J., Sulprizio Payer, M.,
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1	Source attribution of Arctic <u>black carbon and sulfate</u>
2	aerosols and associated Arctic <u>surface</u> warming <del>trend</del> during
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### 22 Abstract

Observations show that the concentrations of Arctic sulfate and black carbon (BC) 23 24 aerosols have declined since the early 1980s, which. Previous studies have reported that 25 reducing sulfate aerosols potentially contributed to the recent rapid Arctic warming. In 26 this study, a global aerosol-climate model equipped with an Explicit Aerosol Source Tagging (CAM5-EAST) is applied to quantify the source apportionment of aerosols in 27 the Arctic from sixteen source regions and the role of aerosol variations in affecting 28 changes in the Arctic surface temperature change over the past four decades (from 29 30 1980- to 2018. The CAM5-EAST simulated surface concentrations of sulfate and BC in the Arctic had a decrease of 43% and 23%, respectively, in 2014-2018 relative to 31 1980–1984, mainly due to the reduction of emissions from Europe, Russia and Arctic 32 33 local sources. Increases in emissions from South and East Asia led to positive trends of Arctic sulfate and BC in the upper troposphere. Changes in radiative forcing of sulfate 34 and BC through aerosol-radiation interactions are found to exert a +0.145 K Arctic 35 36 surface warming during 2014 2018 with respect to 1980-1984, with the largest contribution (61%) by sulfate decrease, especially originating from the mid-latitude 37 regions. The changes in atmospheric BC outside the Arctic produced an Arctic warming 38 of +0.062 K, partially offset by 0.005 K of cooling due to atmospheric BC within the 39 40 Arctic and -0.041 K related to the weakened snow/ice albedo effect of BC.All aerosol radiative impacts are considered including aerosol-radiation and aerosol-cloud 41 interactions, as well as black carbon deposition on snow and ice-covered surfaces. 42 Within the Arctic, sulfate reductions caused a top-of-the-atmosphere (TOA) warming 43

44	of 0.11 and 0.25 W m <sup>-2</sup> , respectively, through aerosol-radiation and aerosol-cloud
45	interactions. While the changes in Arctic atmospheric BC has little impact on local
46	radiative forcing, the decrease of BC in snow/ice led to a net cooling of 0.05 W m <sup>-2</sup> . By
47	applying climate sensitivity factors for different latitudinal bands, global changes in
48	sulfate and BC during 2014–2018 (with respect to 1980–1984) exerted a +0.088 K and
49	0.057 K Arctic surface warming, respectively, through aerosol-radiation interactions.
50	Through aerosol-cloud interactions, the sulfate reduction gave an Arctic warming of
51	+0.193 K between the firsttwo time periods. The weakened BC effect on snow/ice
52	albedo led to an Arctic surface cooling of -0.041 K. The changes in atmospheric sulfate
53	and last five years of 1980-2018BC outside the Arctic totally produced an Arctic
54	warming of $+0.25$ K, the majority of which is due to the mid-latitude emission
55	change in radiative forcing. Our results suggest that changes in aerosols over
56	the mid-latitudes of the Northern Hemisphere have a larger impact on Arctic
57	temperature than other regions associated with through enhanced poleward heat
58	transport from the aerosol-induced stronger meridional temperature gradient The
59	combined aerosoltotal effects of sulfate and BC together produceproduced an Arctic
60	surface warming of +0.297 K-during 1980-2018, explaining approximately 20% of the
61	observed Arctic warming duringsince the same time periodearly 1980s.

### 63 **1. Introduction**

The Arctic has warmed rapidly since the 1980s, with a 1.5 K increase in the surface 64 air temperature, which is about two to four times faster than the global average 65 (Trenberth et al., 2007; Serreze et al., 2009). The significant rise in air and ground 66 temperatures occurred in phase with dramatic melting of Arctic sea ice and snow, 67 potentially contributing to Arctic amplification (Pithan and Mauritsen, 2014; Zhang et 68 al., 2019). A number of studies have examined possible mechanisms that caused the 69 rapid Arctic warming (Graversen et al., 2008; Screen and Ian, 2010; Screen and 70 71 Simmonds, 2010; Alexeev et al., 2012; Zhang et al., 2018). Observations and modeling studies suggest that, although anthropogenic long-lived greenhouse gases (GHGs) 72 dominate the radiative forcing of the climate system, variations in black carbon (BC) 73 74 aerosol and other short-lived air pollutants are a good explanation for the faster Arctic warming (Law and Andreas, 2007; Quinn et al., 2008; Shindell et al., 2008). In 75 particular, Shindell and Faluvegi (2009) indicated that Arctic warming was influenced 76 77 by the changing aerosol concentrations in the Arctic over the last three decades found 78 that aerosols may have warmed the Arctic surface during 1976-2010 based on model sensitivity experiments. The aerosols that caused Arctic warming are not only from 79 local emissions. Previous studies Studies have shown that changes in long-range 80 transport of sulfate and BC aerosols from mid-latitude regions have caused strong 81 wintertime warming in the Arctic (e.g., Breider et al., 2014; Fisher et al., 2011; Shindell 82 83 et al., 2008). In addition, the mid-latitude aerosols can influence Arctic climate through changing poleward heat transport (Navarro et al., 2016). 84

85	Observed and modeled seasonal cycles of aerosol concentrations at the remote
86	Arctic surface show a maximum in winter, a phenomenon commonly known as Arctic
87	Haze, and a minimum in summer (Law and Andreas, 2007; Quinn et al., 2007; Eckhardt
88	et al., 2015; Garrett et al., 2010; Sharma et al., 2006). The winter maximum has been
89	attributed to the long-range transport of anthropogenic pollution from the mid-latitudes
90	of the Northern Hemisphere and weak removal in the Arctic (Stohl, 2006; Wang et al.,
91	2014). In contrast, summer aerosol concentrations in the Arctic atmosphere reach a
92	minimum value due to a reduced poleward aerosol transport from the mid-latitudes and
93	efficient wet scavenging processes during the transport (Bourgeois and Bey, 2011;
94	Browse et al., 2012; Garrett et al., 2011). Anthropogenic aerosol species (e.g., sulfate,
95	BC and organic matter) can affect Arctic climate by disturbing the energy balance of
96	the earth system (Yang et al., 2019a). Sulfate aerosols directly scatter solar radiation
97	and indirectly influence cloud processes by serving as cloud condensation nuclei (Yang
98	et al., 2017a; Zamora et al., 2017; Zhao and Garrett, 2015). BC absorbs solar radiation
99	and warms the atmosphere (Bond et al., 2013; Yang et al., 2017b; Lou et al., 2019a),
100	which can increase or decrease cloud cover depending on the vertical distribution of
101	BC relative to clouds (e.g., McFarquhar and Wang, 2006; Lou et al., 2019b). When it
102	deposits on snow and ice, BC can reduce surface albedo and accelerate snow melt
103	(Flanner et al., 2007; Qian et al., 2015). Breider et al. (2017) estimated the aerosol
104	radiative forcing due to aerosol-radiation interactions in the Arctic and found that,
105	averaged over 2005–2010, the top-of-the-atmosphere (TOA) forcing is -0.60 $\pm$
106	$0.02$ Wm <sup>-2</sup> for sulfate and $+0.44 \pm 0.04$ Wm <sup>-2</sup> for BC over the Arctic.

Analysis of long-term changes in sulfate and BC can help to gain a comprehensive 107 understanding of their past and present impacts on the Arctic climate. In situ 108 109 observations of sulfate and BC concentrations in the Arctic (e.g., at Alert, Barrow, Station Nord, and Zeppelin) have shown a declining trend since the 1980s (Gong et al., 110 2010; Heidam et al., 1999; Hirdman et al., 2010; Quinn et al., 2009; Sharma et al., 2004; 111 112 Sharma et al., 2006; Sinha et al., 2017; Sirois and Barrie, 1999). Based on the chemical transport model (GEOS-Chem) simulations, Breider et al. (2017) found that annual 113 sulfate and BC concentrations decreased by 2-3% per year over the Arctic-during 114 115 1980-2010. McConnell et al. (2007) presented a historical BC trend derived from icecore records, showing that BC concentration had been declining steadily after the peak 116 around 1910. 117

118 Source attribution analysis of atmospheric aerosols in the Arctic, which can help to understand aerosol trends, is extremely important for air pollution research. There is 119 less local anthropogenic aerosol emission in the Arctic region than in polluted regions 120 121 of the world. Pollutants in the Arctic are generally from mid-latitude areas by longdistance transport (Fisher et al., 2011; Wang et al., 2014). Recent studies have found 122 that Arctic aerosols mainly originated from Eurasia, Southeast Asia, Siberia and North 123 America (Fisher et al., 2011; Qi et al., 2017; Sharma et al., 2013; Stohl, 2006). The 124 125 contribution of Eurasia to Arctic sulfate and BC aerosols concentration is dominant in the lower atmosphere, while South and Central Asia contributed the most at high 126 altitudes (e.g., Wang et al., 2014). In general, Northern Europe and Russia, with large 127 industrial emissions, are the main source region of Arctic BC aerosols in spring (Rahn 128

et al., 1977; Rahn, 1981; Raatz and Shaw, 1984; Barrie, 1986; Koch and Hansen, 2005; 129 Sharma et al., 2006; Stohl, 2006). Shindell et al. (2008) studied the sensitivity of 130 131 simulated Arctic aerosol concentrations to emissions perturbations in 2001 and found that European emissions contributed to Arctic sulfate concentrations near the surface 132 and at 500 hPa by 73% and 51%, respectively. East Asia has the largest contribution at 133 250 hPa, reaching 36%. Based on simulations of a chemical transport model, Fisher et 134 al. (2011) concluded that West Asia emissions dominated wintertime Arctic sulfate 135 concentration, with contributions between 30% and 45%. In the past few decades, 136 anthropogenic emissions have changed rapidly, with a decrease in Europe and North 137 America and an increase in South and East Asia. This may have had an important impact 138 on the Arctic aerosols and climate (Breider et al., 2014). 139 140 In this study, the global aerosol-climate model CAM5 (Community Atmosphere Model, version 5) equipped with an Explicit Aerosol Source Tagging (CAM5-EAST) 141 is used to examine the attribution of Arctic aerosols to 16 different source regions and 142 the aerosol-related Arctic warming during 1980-2018. We focus on changes in sulfate 143 and BC near-surface concentrations, total column burden, and radiative forcing as well 144

sulfateSulfate and BC concentrations from the CAM5-EAST model and observations at remote Arctic stations are compared. CAM5-EAST tagging results are used to quantify the contributions of different sources to the decadal changes in Arctic sulfate and BC surface concentrations and vertical profiles. Based on the Arctic climate sensitivity factors, we estimate the responses of the Arctic surface temperature to the

as their impacts on the surface temperature over the Arctic. Modeled and observational

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151 variations in sulfate and BC during the analyzed time periods.

### 152 **2. Methodology**

### **2.1 Model Description and Experimental Setup**

The global aerosol-climate model CAM5, which is the atmospheric component of 154 155 the earth system model CESM (Community Earth System Model, Hurrell et al., 2013) 156 developed at the National Center for Atmospheric Research (NCAR), is used to simulate Arctic aerosols and climate for years 1980-2018 (after one-year model spin-157 158 up). In this model version, mass and number concentrations of sulfate, BC, primary 159 organic aerosol (POA), second organic aerosol (SOA), mineral dust, and sea salt particles are predicted with a for the three lognormal modes (i.e., Aitken, accumulation, 160 and coarse modes) of the three-mode modal aerosol module. Aerosol number 161 162 concentration is also predicted for each mode. Particles (Liu et al., 2012) in the different size modes CAM5. Aerosols are assumed to be externally mixed and internally mixed 163 in the same modeaerosol mode and then externally mixed between modes. Within each 164 165 mode, sulfate is internally mixed with primary/secondary organic matter, BC, mineral 166 dust, and/or sea salt. BC is mixed with other aerosol species (e.g., sulfate, POA, SOA, sea salt, and dust) in the accumulation mode immediately after being emitted into the 167 atmosphere without considering explicit aging processes. The optical properties and 168 169 radiative impact of aerosols are calculated online. The model also includes climate effects of aerosols through aerosol-radiation and aerosol-cloud interactions. 170 171 In this study, the model is configured to run at a horizontal grid of  $1.9^{\circ}$  latitude  $\times 2.5^{\circ}$ 

<sup>172</sup> longitude with 30 vertical layers up to 3.6 hPa.

173 The CAM5 simulation is conducted with prescribed time-varying solar radiation, sea surface temperature, sea ice concentration, GHGs, and emissions of aerosols and 174 175 their precursor gases. Sea surface temperatures and sea ice concentrations are created from the merged Reynolds/HADISST products, as described in Hurrell et al. (2008). 176 177 Solar radiation and GHGs follow the CMIP6 configuration for AMIP-type of 178 simulations. In order to better reproduce the aerosol transport driven by large-scale circulations in the model, the wind field is nudged toward the MERRA-2 (Modern Era 179 Retrospective-Analysis for Research and Applications, Version 2) reanalysis 180 181 (Rienecker et al., 2011; Gelaro et al., 2017) at a 6-hourly relaxation timscale. Radiative forcing due to aerosol-radiation interactions is calculated as the difference of clear-sky 182 net radiative fluxes at TOA between two separate diagnostic calculations, including and 183 184 excluding a specific aerosol in the radiative transfer calculation, respectively.

# 185 **2.2 Explicit Aerosol Source Tagging and Source Regions**

186 The Explicit Aerosol Source Tagging (EAST) was implemented in CAM5 to quantify 187 the source-receptor relationships of aerosols in recent studies (Wang et al., 2014; Yang 188 et al., 2017a,b; 2018a,b,c). All physical, chemical and dynamical processes of aerosols for each tagged source region or sector are considered independently and consistently 189 by using additional sets of aerosol variables in CAM5-EAST, which is different from 190 191 the widely used emission sensitivity method that assumes a linear response to emission perturbation or the indirect method of tracing long-lived constituents associated with 192 193 particular sources. Without such assumption of linear response or constant decaying rate, EAST is more physically accurate than the source attribution methods mentioned 194
- above. In this study, sulfate and BC are explicitly tracked throughout the processes from
  source emissions to deposition in a single model simulation.
- 197 We focus on the Arctic (66.5°N–90°N) as the receptor region in this study. According to source region definition of the Hemispheric Transport of Air Pollution model 198 experiment phase 2 (HTAP2), sulfate and BC from 16 regions are tagged (Fig. 1): 199 Europe (EUR), North America (NAM), Central America (CAM), South America 200 (SAM), North Africa (NAF), South Africa (SAF), the Middle East (MDE), Southeast 201 Asia (SEA), Central Asia (CAS), South Asia (SAS), East Asia (EAS), Russia-Belarus-202 203 Ukraine (RBU, hereafter Russia), Pacific-Australia-New Zealand (PAN), the Arctic (ARC), Antarctic (ANT), and Non-Arctic/Antarctic Ocean (OCN). Note that the OCN 204 tag includes sources from oceans and volcanic eruptions. 205

#### 206 **<u>2.3 Radiative Forcings and Temperature Response</u></u>**

Radiative forcing (RF) due to aerosol-radiation interactions is calculated as the 207 difference of clear-sky net radiative fluxes at TOA between two separate diagnostic 208 209 calculations, including and excluding a specific aerosol in the radiative transfer calculation, respectively (Ghan et al., 2012). Aerosols interact with stratiform clouds 210 211 through two-moment microphysics, in which the nucleation of stratiform cloud droplets is based on the scheme of Abdul-Razzak and Ghan et al. (2000). Although aerosols have 212 213 no microphysical impact on convective clouds, the ambient temperature and convection can be affected by BC-induced atmospheric heating. The Arctic equilibrium 214 temperature response is estimated using Arctic climate sensitivity factors ( $\lambda$ , K W<sup>-1</sup>m<sup>2</sup>), 215 defined as the change in Arctic surface temperature per unit RF for different latitudinal 216

217 bands from Sand et al. (2016) and Shindell and Faluvegi (2009). 2.3 The change in equilibrium temperature response is defined as  $\Delta T = \sum_{j=LAT} \lambda_j * \Delta RF_j$ .  $\Delta$  represents 218 219 the difference of the annual mean of a variable for a specific year compared to the average during 1980–1984 in this study. RF is radiative forcing due to aerosol-radiation 220 221 or aerosol-cloud interactions associated with sulfate or black carbon. LAT represents latitudinal bands over the Arctic (60°N-90°N), Northern Hemisphere mid-latitudes 222 223 (28°N-60°N), tropics (28°S-28°N) and Southern Hemisphere (90°S-28°S). Many 224 studies used these climate sensitivity factors to estimate the Arctic temperature 225 responses using RF calculated from different models (e.g., Sand et al., 2016). However, we note that, since the  $\lambda$  values were calculated with a different climate model (NASA-226 GISS), the estimated Arctic equilibrium temperature response based on these factors 227 228 could be biased.

#### 229 **<u>2.4</u>** Aerosol and Precursor Emissions

230 In order to simulate the long-term temporal variations in aerosols, historical 231 anthropogenic (Hoesly et al., 2018) and biomass combustion (van Marle et al., 2017) 232 emissions of aerosols and precursor gases during 1980-2014 are used in the simulation 233 following the CMIP6 (Coupled Model Intercomparison Project Phase 6) protocol. For the most recent years (2015-2018), yearly interpolated emissions from the SSP2SSP 234 235 (Shared Socioeconomic Pathways) 2-4.5 scenario are used, which is the modest scenario compared to other SSPs and is widely utilized in many MIPs (O'Neill et al., 236 237 2016) are used. Figures). Figure 1 and Figure 2 (Figure S1) show the spatial distribution and time series of annual anthropogenic SO<sub>2</sub> and BC emissions, respectively, during 238

239	1980–2018, from the 16 source regions. The global total anthropogenic $SO_2$ and BC
240	emission rates, averaged over 1980–2018, are 118.4 Tg yr <sup>-1</sup> and 8.1 Tg yr <sup>-1</sup> , respectively
241	SO <sub>2</sub> emissions are relatively high in East Asia (23.6 Tg yr <sup>-1</sup> ), Europe (15.8 Tg yr <sup>-1</sup> ) and
242	North America (15.4 Tg yr <sup>-1</sup> ), while BC emissions show a-high mean value <u>values</u> in
243	East Asia (1.8 Tg yr <sup>-1</sup> ), South Africa (1.6 Tg yr <sup>-1</sup> ) and South Asia (0.9 Tg yr <sup>-1</sup> ).
244	Comparing 2014–2018 to 1980–1984, global anthropogenic SO <sub>2</sub> emission was reduced
245	by 32.2 Tg yr <sup>-1</sup> (24.8% relative to 1980–1984). The largest decreases took place in
246	Europe (83.0%), North America (80.7%) and Russia (74.8%). In East Asia,
247	emissionsthe emission of anthropogenic SO <sub>2</sub> -were increased by a factor of 2.7 from
248	1980 to 2014, followed by a decreasing trend after 2014 due to stricter air pollution
249	regulations. The global anthropogenic BC emission increased from 6.5 Tg yr <sup>-1</sup> in 1980
250	to a peak of 9.6 Tg yr <sup>-1</sup> in 2014, followed by a slow decline, with an overall increase of
251	42% between the first and last five years of 1980–2018. Regionally, compared to 1980–
252	1984, averaged BC emissions in 2014–2018 in Europe, and Russia and the Arctic
253	decreased by 45.2%, 44.1% and 38.344.1%, respectively, while BC emissions in East
254	Asia and South Asia almost increased by a factor of 2. Within the Arctic, SO <sub>2</sub> and BC
255	emissions decreased by 5.8% and 38.3%, respectively.

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## 2.45 Model Evaluation

To assess the ability of the model to simulate Arctic sulfate and BC, Figs. 3 and 4 compare simulated near-surface concentrations of sulfate and BC, respectively, in spring and summer during 1980–2018 with observations at five Arctic stations: Alert (82°N, 62°W), Station Nord (81°N, 16°W), Barrow (71°N, 156°W), Ny-Alesund (78°N, 261 11°E) and Kevo (69°N, 27°E). The observations are derived from European Monitoring
262 and Evaluation Programme and World Data Centre for Aerosols database
263 (http://ebas.nilu.no) and Breider et al. (2017).

Overall, the sulfate and BC concentrations in spring is higher than those in summer, 264 mainly due to lower removal rate and more efficient transport (Stohl, 2006). 265 TheAccording to previous CAM5 studies on aerosol wet removal and long-range 266 transport, the model underestimates aerosol concentrations in spring, likely due to 267 biases in simulated precipitation and aerosol wet removal during the transport to high 268 269 latitudes.parameterizations of convective transport and wet scavenging of aerosols (Bond et al., 2013; Liu et al., 2011; Wang et al., 2013; Qian et al., 2014; Yang et al., 270 2018a). All sites show that sulfate concentrations decrease during the analyzed time 271 272 period and BC decreases at specific sites, which can be explained by the reduction of non-local emissions as illustrated by the source attribution. Compared to the observed 273 274 values, the model can reasonably simulate the time variations of sulfate and BC in the 275 Arctic but the magnitude at some of the sites is largely underestimated. The Kevo site, (69°N, 27°E), which is close to Western Eurasia, is the only site that has both sulfate 276 and BC data for more than 30 years. At this site, the simulated sulfate in spring and BC 277 in spring (summer) decreased at a rate of -3.18% (-1.92%) and -2.89% (-1.74%)92% 278 279 per year, respectively, which is are similar to  $-4.37\% \left(-3.26\%\right)$  and  $-3.01\% \left(-2.82\%\right) 26\%$ per year from observations. The Alert site has 33-year sulfate observations, where the 280 simulated sulfate concentrations declined at a rate of -2.08% (-2.00%) per 281 year, decreasing rates of BC in spring and summer were -2.89% and -1.74%, 282

283 <u>respectively, that are also</u> consistent with the observed <u>decreasing trendsvalues</u> of -2.89%
 284 (-0.47%) per year.3.01% and -2.82%.

285 Observational data are very limited in the Arctic, especially the long-term observations. The available BC measurements are equivalent elemental carbon (EBC), 286 which is usually obtained by converting the light absorbed by the particles accumulated 287 on the ground instrument filter into the BC concentration. The uncertainty in optical 288 properties of BC makes this conversion challenging. Other light absorbing substances, 289 such as dust and organic carbon, also affect the BC measurements, so EBC would tend 290 291 to be higher than the actual BC concentration. Researchers found that BC observations could be biased by 30% to 200% (Sharma et al., 2017; Sinha et al., 2017) due to the 292 293 inclusion of other light absorption components in the atmosphere. Shindell et al. (2008) 294 and Koch et al. (2009) found great differences between the current models and observations of Arctic BC and sulfate through multi-model comparation studies, 295 including incorrect seasonality and order of magnitude biases. Given the large apparent 296 discrepancies in BC for all models, it is difficult to determine the relative authenticity 297 of the models using currently available data (Shindell et al., 2008). 298

#### **3. Source Apportionment of Aerosols in the Arctic**

The near-surface concentrations of sulfate and BC over the Arctic can be quantitatively attributed to both Arctic local emissions and remote sources outside the Arctic through the source tagging in CAM5-EAST. The absolute and relative source contributions <u>of emissions from the major source regions to the simulated annual mean</u> <u>near-surface sulfate and BC concentrations averaged over the Arctic (66.5°N–90°N) are</u> 305 shown in Fig. 5 and Fig. S2. Arctic local emissions and sources near the Arctic (e.g., Europe and Russia) are the main contributors to the near-surface concentrations of 306 Arctic sulfate and BC. Relative to the average of 0.447  $\mu$ g/m<sup>3</sup> during 1980–1984, the 307 simulated annual sulfate concentration over the Arctic has a decrease of 42.8% (0.191 308 309  $\mu g/m^3$ ) in 2014–2018 (Table 1). Sulfate concentration shows a considerable decreasing trend from 1980 to 2000-and, which then slows down after 2000. The decrease in sulfate 310 during this time period primarily results from the reduction in emissions from Europe 311 and Russia, which contributes to  $-18.6\% (0.083 \ \mu g/m^3)$  and  $-18.8\% (0.084 \ \mu g/m^3)$  of 312 313 the decline of the Arctic sulfate concentrations, respectively. The change in emissions from Central Asia and North America, respectively, explains -1.6% (0.007  $\mu$ g/m<sup>3</sup>) and 314 -3.4% (0.015 µg/m<sup>3</sup>) of the reduced concentration. 315

316 Simulated Arctic BC concentration also shows a considerable decline before 2000, but a slight rise after 2000-, which is consistent with the BC observations at Alert. 317 Overall, the average concentration of BC in the Arctic had a decrease of 22.98% in 318  $2014 - 2018(3.7 \text{ ng/m}^3 \text{ relative to the } 1980 - 1984 \text{ average of } 16.1 \text{ ng/m}^3)$  in 2014 - 2018, 319 320 mainly due to the reductions in emissions originating from the Arctic and Russia, which 321 lead to 9.32% (1.5 ng/m<sup>3</sup>) and 14.91% (2.4 ng/m<sup>3</sup>) of the <del>concentration</del> decrease (Table 1). Sources in Europe, North America, and East Asia account for less than 4% of the 322 323 changes in Arctic near-surface BC concentration in range of  $\pm 1$  3%. The remaining source regions (Central America, South America, North Africa, South Africa, the 324 325 Middle East, Southeast Asia, Central Asia, South Asia, Pacific-Australia-New Zealand, Antarctic, and Non-Arctic/Antarctic Ocean) have no substantial impact on the BC 326

327 concentration in the Arctic (total contribution less than 2%) due to the weak emission strength or long transport pathways. Since the Arctic sulfate and BC aerosol 328 329 concentrations contributed by non-local sources have been reducing, the fractional contribution of Arctic local source increased from 33.6% and 53.4% to 55.1% and 330 331 57.3%, respectively. To further reduce present-day or future aerosols in the Arctic, 332 efforts can be made to control the local sources local sources in the Arctic as well as emissions from Russia. The industry and energy sectors account for the majority of 333 local sources in the Arctic (Fig. S4). Reducing the emissions of industry and energy 334 335 sectors may be effective for the reduction of local sulfate and BC concentrations in the Arctic. 336

Aerosols are often transported across continents in the free troposphere rather than 337 338 near the surface, resulting in a higher relative contribution of non-local sources to the aerosol concentration at higher altitudes than near the surface. Figure 6 shows the 339 vertical profiles of absolute and relative contributions of major source regions to sulfate 340 341 and BC concentrations in the Arctic. Different source regions have very distinct vertical 342 distributions of their contributions. The largest contribution of East and South Asia 343 emissions is at 8-10 km, accounting for about two thirds of the Arctic aerosol concentrations at this height, which is consistent with results from other models (e.g., 344 345 Shindell et al., 2008). Emissions from the Arctic and Russia account for the majority of Arctic sulfate and BC concentrations below 2 km and 6 km, respectively. The 346 347 contributions of emissions from Europe and North America are mainly located below 10 km. As the source emissions vary with time, the vertical aerosol concentrations 348

349	contributed by individual sources also change. Below 1 km, Arctic local emissions
350	account for the majority of Arctic sulfate and BC concentrations. For BC and sulfate
351	located between 1 km and 5 km, emissions from Russia are the major sources. Above
352	8 km, East Asia and South Asia are the major source regions of the Arctic aerosol
353	concentrations, which is consistent with results using other models (e.g., Shindell et al.,
354	2008). Arctic and Russia have their maximum absolute contributions at 0.2 km and 1.4
355	km, respectively. Europe and North America have their maximum absolute
356	contributions around 2 km. The contribution of East Asia and South Asia increases with
357	the increase of altitude, reaching their maximum contribution values at 8 km and 11 km,
358	respectively. Previous studies also pointed out that, in April, BC showed a high
359	concentration in the mid-troposphere of the Arctic, mainly due to the effect of Asian
360	anthropogenic aerosols, that are transported to the Arctic through warm conveyor belt
361	(Wang et al., 2011). Evidence from aircraft and ground-based measurements showed
362	that eastern and southern Asia source regions contributed the most to the BC
363	concentration in the Arctic mid-troposphere, while northern Asia dominated the
364	contribution to the Arctic surface BC (Abbatt et al., 2019).

The changes in source contributions to the annual mean vertical profile of sulfate and BC concentrations over the Arctic between 2014–2018 and 1980–1984 are shown in Fig. 7. <u>DueBelow 6 km, due</u> to the effective emission reduction, the contribution from Europe and Russia to the Arctic sulfate <u>below 6 km</u>-was each decreased by nearly 0.1  $\mu$ g m<sup>-3</sup> in 2014–2018, compared to 1980–1984. North America contribution also had a slight decline below 2 km. <u>ContributionsBetween 10–15 km, contributions</u> from South

371	Asia and East Asia increased at the upper troposphere-between 10-15 km, which is
372	consistent with the increase in emissions over these regions, leading to a combined
373	increase in sulfate concentration of up to 0.1 $\mu$ g m <sup>-3</sup> at the upper levelstroposphere of
374	the Arctic. The BC concentration below 2 km contributed by Arctic and Russia
375	emissions each had a decrease of up to 2 ng m <sup>-3</sup> , which dominated the decrease of BC
376	concentration in the Arctic lower atmosphere. As with Similar to sulfate, BC
377	concentrations contributed by East Asia and South Asia show an increasing
378	trendincreased in the high altitudes, (Breider et al. 2017, Fisher et al., 2011; Qi et al.,
379	2017; Sharma et al., 2013; Stohl, 2006), mainly due to increased emissions in these two
380	regions, offsetting the decrease in column burden owing to the reduced concentration
381	in the lower altitudes.loading in the lower atmosphere. Similar to our findings, Breider
382	et al. (2017) found that the simulated decrease in aerosol optical depth in the Arctic
383	from 1980 to 2010 was driven by a strong decrease in aerosol loading at lower altitudes
384	due to the emission changes in West Eurasia, Russia and North America and an increase
385	in aerosols at higher altitudes resulting from the changes in emissions in regions such
386	as South Asia and East Asia.
387	Linear A linear regression approach is applied in order to analyze the trends of the

annual near-surface concentrations and column burden of sulfate and BC from 1980 to 2018 are-shown in Fig. 8 and the individual source contributions to these trends are summarized in Table 2. During 1980–2018, simulated Arctic near-surface concentration and column burden of sulfate decreased by 20% and 13 % per decade, respectively. Due to the air pollution regulations in Europe and dissolution of the former Soviet Union,

reductions in emissions from Europe and Russia led to decreasing trends of 7-10% per 393 decade in the near-surface concentration and column burden of sulfate, having the 394 395 largest contributions to sulfate trends among all tagged source regions. In addition, the change in North America emissions contributed to a 2–4% per decade decreasing trend 396 397 in the Arctic sulfate concentration and burden, which is related to its emission control since 1980s. South and East Asia together contributed to an increase of total Arctic 398 sulfate burden at a rate of 8% per decade, associated with the emission rise during this 399 time period. The near-surface concentration of Arctic BC has a decreasing trend of 12% 400 401 per decade during 1980–2018, mostly driven by the decreases in contributions from Russia and Arctic local emissions (6% per decade each). For BC column burden, the 402 decreasing trends contributed by the reductions in emissions from Russia and Europe 403 404 are offset by the increasing trends caused by emission increases in EastSouth and SouthEast Asia, resulting in an insignificant change of total BC burden during 1980-405 2018. All trend values mentioned above are statistically significant at the 95% 406 407 confidence level.

# 408 4. Aerosol radiative Radiative forcing Forcing and associated 409 Associated Arctic warming Warming

Both sulfate and BC influence the Arctic climate through perturbing atmospheric and surface radiation balance. The spatial distribution of the climatological mean TOA radiative forcing due to aerosol-radiation interactions (RF<sub>ari</sub>) of sulfate and BC duringaveraged over 1980–2018 is shown in Fig. 9. The Arctic sulfate exerts a negative RF<sub>ari</sub> primarily by scattering incoming solar radiation back into the space, with the

forcing in range of -0.4~0 Wm<sup>-2</sup>, while. The atmospheric BC can absorb solar radiation 415 in the atmosphere and leads to a positive RF<sub>ari</sub> of 0.1~0.4 Wm<sup>-2</sup> in the Arctic, which is 416 similar to the values of 0.1~0.6 Wm<sup>-2</sup> estimated in previous studies (Koch and Hansen, 417 2005; Flanner et al., 2009; AMAP, 2011; Bond et al., 2011; Samset et al., 2014; Wang 418 et al., 2014). In the high and mid-latitudes of the Northern Hemisphere, the RF<sub>ari</sub> of 419 sulfate over Europe and Russia is in the range of -1.0~-0.4 Wm<sup>-2</sup>. Sulfate RF<sub>ari</sub> over 420 North America varies from -0.2 Wm<sup>-2</sup> to -1.0 Wm<sup>-2</sup>. The negative RF<sub>ari</sub> of sulfate over 421 East Asia is more than -1.0 Wm<sup>-2</sup>, mainly due to the high sulfate concentrations. BC 422 over Europe, Russia and Central Asia exerts a positive RF<sub>ari</sub> of 0.4~1 Wm<sup>-2</sup>. The BC 423  $RF_{ari}$  over East Asia reaches a high value over 1.0  $Wm^{-2}$ . 424

Previous studies have suggested that Arctic climate responds not only to Arctic local 425 426 forcings but also to forcings outside the Arctic due to the meridional energy transport change (Navarro et al., 2016). To estimate the relative roles of recentregional aerosol 427 trends in affecting the Arctic warming, we looklooked into the temporal variation of 428 annual mean RFari-radiative forcing of sulfate and BC in different latitudelatitudinal 429 bands during 1980–2018 (Fig. 10). Within the Arctic (66.560°N–90°N), the negative 430 RF<sub>ari</sub>magnitude of sulfate RF<sub>ari</sub> decreases from -0.21 Wm<sup>-2</sup> in 1980–1984 to a moderate 431 value of -0.10 Wm<sup>-2</sup> in 2014–2018, indicating a warming effect in the Arctic from the 432 local sulfate change. Over the mid-latitudes (28°N-66.560°N), the sulfate RFari 433 decreases from -0.87 Wm<sup>-2</sup> to -0.53 Wm<sup>-2</sup> between the first and last five years of 1980-434 2018, while the magnitude of the sulfate  $RF_{ari}$  in the tropical region (28°S–28°N) 435 increases from -0.52 Wm<sup>-2</sup> to -0.60 Wm<sup>-2</sup>. The positive BC RF<sub>ari</sub> increases from 0.55 436

 $Wm^{-2}$  to 0.74  $Wm^{-2}$  in the mid-latitudes and from 0.51  $Wm^{-2}$  to 0.76  $Wm^{-2}$  in the tropics,

438 while the BC RF<sub>ari</sub> over the Arctic has no obvious change during this time period.

439 Systematic assessment of the impact of aerosols on Arctic warming since 1980s requires quantifying the Arctic temperature responses to changes in radiative forcing of 440 different aerosol species over different regions. Here, we apply Arctic climate 441 442 sensitivity factors, defined as the Arctic temperature response per unit 443 radiationradiative forcing, for each short-lived climate forcers over the Arctic, midlatitudes of the Northern Hemisphere, tropics and Southern Hemisphere from Sand et 444 445 al. (2016) and Shindell and Faluvegi (2009) to calculate the recent Arctic surface temperature change related to the variations in sulfate and BC radiative forcings over 446 447 the different latitude latitudinal bands during 1980-2018 (Fig. 11 and Table 3). This 448 method has been widely adopted to examine the Arctic temperature response to aerosol forcings (e.g., Breider et al., 2017; Flanner, 2013; Sand et al., 2016; Shindell and 449 Faluvegi, 2009; Yang et al., 2018c). 450

451 It is estimated that, between 1980–1984 and 2014–2018, changes in total RFari of sulfate and BC produce a surface warming of +0.145 K over the Arctic, with +0.088 K 452 (61%) contributed by the sulfate forcing change and the remaining explained by the BC 453 forcing change. The sulfate-related Arctic warming is mainly due to the decrease in 454 sulfate in mid-latitudes that enhances the temperature gradient between the mid-455 latitudes and Arctic, resulting in a strengthened meridional heat transport and, therefore, 456 the Arctic warming of +0.059 K. The change in Arctic local RF<sub>ari</sub> of sulfate provides 457 +0.035 K of the surface warming, while the forcing change in the tropics has a 458

459	negligible influence on the Arctic temperature change. The Arctic temperature
460	responses to increases in BC $RF_{ari}$ over the mid-latitudes and tropics are $+0.029\ K$ and
461	+0.031 K, respectively, related to the enhanced poleward heat transport from the
462	warming radiative impact in the mid-latitudes, while changes in the Arctic BC $\ensuremath{RF_{ari}}$
463	only exert a weak cooling of -0.005 K. Overall, the $RF_{ari}$ change over the mid-latitudes
464	provides the strongest warming effect (+0.088K) to the Arctic compared to other
465	latitude bands, owing to the aerosol-induced increase in the poleward heat transport.
466	While the results above focus on the effects of aerosol-radiation interactions, the
467	aerosol-cloud interactions ( $RF_{aci}$ ) and BC snow/ice albedo effects can also influence
468	Arctic climate. Sulfate RF <sub>aci</sub> is estimated by scaling sulfate RF <sub>ari</sub> based on the ratio of
469	sulfate RF <sub>aci</sub> and RF <sub>ari</sub> over different latitudes from Sand et al. (2016). Within the Arctic,
470	the magnitude of negative TOA RF <sub>aci</sub> of sulfate decreases from -0.48 Wm <sup>-2</sup> in 1980-
471	1984 to -0.23 Wm <sup>-2</sup> in 2014–2018, indicating a warming effect due to the local sulfate
472	change. Over the mid-latitudes, the sulfate RF <sub>aci</sub> decreases from -2.46 Wm <sup>-2</sup> to -1.49
473	Wm <sup>-2</sup> between the first and last five years of 1980–2018, while the magnitude of the
474	sulfate RF <sub>aci</sub> in the tropical region increases from -1.78 Wm <sup>-2</sup> to -2.08 Wm <sup>-2</sup> . The
475	positive RF due to BC in snow/ice decreases from 0.34 Wm <sup>-2</sup> in 1980–1984 to 0.29
476	Wm <sup>-2</sup> in 2014–2018 over the Arctic, while that over the mid-latitudes increases from
477	$0.19 \text{ Wm}^{-2}$ to $0.23 \text{ Wm}^{-2}$ .

Based on the Arctic climate sensitivities, <u>impacts of changes in radiative forcing due</u> to aerosol-cloud interactions ( $RF_{aei}$ ) of sulfate are also estimated. The sulfate  $RF_{aci}$ provides an Arctic warming of +0.193 K between 1980–1984 and 2014–2018, with

+0.165 K contributed by the RF<sub>aci</sub> change over the mid-latitudes and +0.078 K resulting 481 from the Arctic RF<sub>aci</sub> change. It should be noted that aerosol-cloud interactions at high 482 483 latitude regions are complicated and highly uncertain in climate models. The 484 temperature changes presented here only provide a very rough estimate. BC in snow/ice 485 reduces surface albedo and increases snow/ice melt (Flanner et al., 2007; Qian et al., 2015). Due to the decrease in Arctic BC concentration and deposition, BC concentration 486 in the Arcitc snow has been decreasing (e.g., Zhang et al., 2019). The weakened BC 487 snow/ice albedo effect leads to an Arctic cooling of -0.061 K, while the mid-latitude 488 489 BC in snow/ice causes an Arctic warming of +0.019 K. The total BC snow/ice albedo effects result in an Arctic surface temperature change of -0.041 K during 1980-2018, 490 partially offsetting the solar absorbing effect of BC in the atmosphere. Combining all 491 492 the effects, we estimate that between 1980 and 2018, sulfate and BC contribute a total of +0.297 K to the Arctic surface temperature change, approximately 20% of the 493 observed Arctic warming during this period. 494

#### 495 5. Conclusions and discussion Discussion

The Arctic has warmed rapidly since the 1980s, with the surface air temperature increasing by 1.5 K. Many studies have examined possible mechanisms that caused the Arctic warming, but many are still on debate. In this study, we use a global aerosolclimate model equipped with the Explicit Aerosol Source Tagging module (CAM5-EAST)Different from the emission perturbation method that was often used in previous studies, in this study, the EAST was implemented in CAM5 to quantify the source attribution of aerosols in the Arctic and the aerosol-related Arctic warming during 503 1980–2018. The model can reasonably simulate the spatial distribution and temporal 504 variation of the Arctic near-surface sulfate and BC concentrations compared with 505 several site measurements<del>, while it Considering that the model</del> underestimates the 506 magnitude of sulfate and BC to some extent<u>concentrations</u>, the estimated impact on 507 <u>Arctic temperature from sulfate and BC could be even larger if the model were able to</u> 508 accurately reproduce the measurements in the Arctic.

Compared to 1980-1984, the simulated annual average of sulfate and BC 509 concentrations over the Arctic in 2014-2018 had a decrease of 42.8% and 23.0%, 510 511 respectively. The decrease in emissions from Europe and Russia contributed -18.6% and -18.8% of the near-surface sulfate concentration decrease (out of -42.8%) and the 512 reduction in Arctic local emissions and emission from Russia led to -9.3% and -14.9% 513 514 of the BC concentration reduction (out of -23.0%), respectively. In 2014-2018, increases in emissions from South and East Asia together contributed to an increase of 515 sulfate and BC concentrations up to 0.1  $\mu$ g m<sup>-3</sup> and 2 ng m<sup>-3</sup>, respectively, at the upper 516 517 troposphere, compared to the annual mean concentrations during 1980-1984. The contribution of Europe and Russia emissions to the Arctic sulfate concentration each 518 had a decrease of about 0.1 µg m<sup>-3</sup> under 6 km. Below 2 km, the BC concentration 519 contributed by emissions from Arctic and Russia each had a decrease of up to 2 ng m<sup>-</sup> 520 <sup>3</sup>. Simulated sulfate near-surface concentration and column burden had a decreasing 521 trend of 20% per decade and 13% per decade, respectively, in the Arctic during 1980-522 523 2018, mainly driven by the reductions in emissions from Europe and Russia, both of which led to decreasing trends at a rate of 7-10% per decade. Due to the decreases in 524

525 contributions from Russia and Arctic local emissions (6% per decade each), the near-526 surface concentration of Arctic BC presents a decreasing trend of 12% per decade 527 during 1980–2018.

Aerosols within and outside the Arctic can influence the Arctic climate through 528 changing the radiative balance. The magnitude of negative TOA RF<sub>ari</sub> of sulfate over 529 the Arctic decreased from -0.21 Wm<sup>-2</sup> in 1980–1984 to -0.10 Wm<sup>-2</sup> in 2014–2018. Over 530 the mid-latitudes, the sulfate RFari magnitude decreased from -0.87 Wm<sup>-2</sup> to -0.53 Wm<sup>-</sup> 531 <sup>2</sup>, while the sulfate  $RF_{ari}$  over the tropics increased from -0.52 Wm<sup>-2</sup> to -0.60 Wm<sup>-2</sup>. The 532 positive BC RFari in the mid-latitudes and tropics increased from 0.55 Wm<sup>-2</sup> and 0.51 533 Wm<sup>-2</sup> to 0.74 Wm<sup>-2</sup> and 0.76 Wm<sup>-2</sup>, respectively, while that over the Arctic had no 534 significant change during this time period. 535

536 By applying Arctic climate sensitivity factors obtained from the literature to the variations in aerosol radiative forcing, the aerosol-induced Arctic surface temperature 537 change is estimated in this study. During 1980-2018, through aerosol-radiation 538 539 interactions, sulfate and BC together produced a +0.145 K warming to the Arctic, +0.088 K (61%) of which is contributed by sulfate. The decrease in sulfate in mid-540 541 latitudes led to an increase in Arctic temperature of +0.059 K, whereas the Arctic local sulfate provided +0.035 K of the surface warming. The Arctic temperature responses to 542 changes in atmospheric BC over the mid-latitudes and tropics are +0.029 K and +0.031 543 K, respectively, while changes BC in the Arctic atmosphere only exert a weak cooling 544 of -0.005 K. Through aerosol-cloud interactions, sulfate exerted an Arctic warming of 545 +0.193 K during 1980–2018, with +0.165 K contributed by the forcing change over the 546

547	mid-latitudes and +0.078 K due to the forcing change over the Arctic. Therefore,
548	changes in aerosols over the mid-latitudes had the largest impact on the Arctic
549	temperature than other regions during 1980-2018 through enhancing meridional
550	temperature gradient and therefore poleward heat transport, followed by changes in
551	Arctic local aerosol forcings. Due to the decrease in Arctic BC concentration, the
552	weakened BC snow/ice albedo effect led to an Arctic cooling of -0.061 K, partially
553	offset by Arctic warming of +0.019 K induced by the BC snow/ice albedo effect over
554	the mid-latitudes. Combining all aerosol effects, sulfate and BC together produced a
555	total of +0.297 K in the Arctic surface temperature change during 1980-2018,
556	explaining approximately 20% of the observed Arctic warming during this period.
557	Many studies have examined possible mechanisms that can explain the recent Arctic
558	warming, but the quantitative importance of these mechanisms is still on debate (e.g.,
559	Breider et al., 2017; Navarro et al. 2016). Among these mechanisms, some are related
560	to roles of aerosols in changing the Arctic temperature. Shindell and Faluvegi (2009)
561	found that aerosols may have warmed the Arctic surface due to emission reductions
562	during 1976-2010. Breider et al. (2017) estimated that emission reductions in
563	anthropogenic aerosols during 1980-2010 had contributed to a net warming at the
564	Arctic surface by $+0.27 \pm 0.04$ K using the GEOS-Chem model, which is consistent
565	with our results. However, they did not take into consideration of the radiative forcing
566	from aerosol-cloud interactions and deposition of BC to snow and ice surfaces. Navarro
567	et al. (2016) presented simulations with an Earth system model and showed that the
568	reduction in European SO <sub>2</sub> emission over 1980–2005 has caused an Arctic warming by

569	0.5 K on annual average as a result of the enhanced poleward heat transport, which is
570	larger than our estimates likely due to different emissions and models used here and in
571	Navarro et al. (2016). There are a few sources of uncertainty in the results presented in
572	this study. As discussed above, the model underestimates the near-surface sulfate and
573	BC concentrations over the Arctic, probably due to an overly aerosol wet removal
574	during the long-range transport (e.g., Wang et al., 2013), uncertainties in aerosol
575	emissions, and biases in observations. Previous studies have reported large
576	discrepancies of aerosol and precursors emissions in China between MEIC (Multi-
577	resolution Emission Inventory for China) and CMIP6 emission inventories (e.g., Paulot
578	et al., 2018). The CMIP6 emissions dataset shows similar decreasing trends in
579	anthropogenic SO <sub>2</sub> and BC emissions over China since 2011 as in the MEIC inventory
580	(Fig. S3). However, the decrease of CMIP6 anthropogenic SO <sub>2</sub> and BC emissions by
581	39% and 0.5%, respectively, in 2017 compared to 2010 is less than the corresponding
582	magnitude of 62% and 27% in MEIC (Zheng et al., 2018). It indicates that the increase
583	in aerosol contribution from East Asia during the recent decade and its impact on Arctic
584	surface temperature could be overestimated in this study. Here we only discussed the
585	effects of sulfate and BC on the Arctic surface temperature without considering other
586	aerosol species, due to large uncertainties in the simulation of second organic aerosols
587	and the lack of other aerosol treatments (e.g., nitrate) in current model version. These
588	may lead to biases of the aerosol climate effects in this study. In addition, we estimated
589	the temperature response of the Arctic to the aerosol-induced TOA radiative forcing
590	change based on the climate sensitivity factors derived from the literature. For more

591	accurate estimation of the aerosol-related Arctic warming, the coupled model
592	configuration with free running simulations should be conducted in the future. The RF <sub>ari</sub>
593	calculation follows Ghan et al. (2012), which falls into the definition of effective RF <sub>ari</sub>
594	(ERF <sub>ari</sub> ), while the climate sensitivity factors were calculated based on the
595	stratospherically adjusted radiative forcing. Considering that the assessment for
596	adjusted $RF_{ari}$ (-0.35 ± 0.5 W m <sup>-2</sup> ) is slightly lower than that for $ERF_{ari}$ (-0.45 ± 0.5 W
597	m <sup>-2</sup> ) (Boucher et al., 2013), the temperature response could be relatively smaller than
598	estimated here. The relatively low model resolution may not capture the complexity of
599	the Arctic terrain (Yang et al., 2018c), which also induces uncertainties to the simulated
600	aerosols in the Arctic. High resolution or regionally refined model is more desirable if
601	resources allow. Given that assumed injection heights of anthropogenic emissions in
602	models are uncertain, the ability to simulated surface aerosol concentrations and
603	vertical distribution in models could also be compromised (Yang et al., 2019b). In this
604	study, we did not discuss the effects of meteorological parameters on the long-term
605	aerosol simulation mainly because the decadal aerosol variation is dominated by
606	changes in anthropogenic emissions rather than meteorology (Yang et al., 2016).

607	Data	availability.
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609	The CAM5 model is available at http://www.cesm.ucar.edu/models/cesm1.2/ (last		
610	access: 8 December 2019). Our CAM5-EAST model code and results can be made		
611	available through the National Energy Research Scientific Computing Center (NERSC)		
612	servers upon request. The observations are derived from European Monitoring and		
613	Evaluation Programme and World Data Centre for Aerosols database		
614	(http://ebas.nilu.no) and Breider et al. (2017).		
615			
616	Competing interests.		
617	The authors declare that they have no conflict of interest.		
618			
619	Author contribution.		
620	YY and HW designed the research; YY performed the model simulations; LR analyzed		
621	the data. All the authors discussed the results and wrote the paper.		
622			
623	Acknowledgments.		
624	This research was support by the National Natural Science Foundation of China under		
625	grant 41975159, Jiangsu Specially Appointed Professor Project and the U.S.		
626	Department of Energy (DOE), Office of Science, Biological and Environmental		
627	Research as part of the Regional and Global Model Analysis programas part of the Earth		
628	and Environmental System Modeling program. The Pacific Northwest National		

- 629 Laboratory is operated for DOE by Battelle Memorial Institute under contract DE-
- 630 AC05-76RLO1830. The National Energy Research Scientific Computing Center
- 631 (NERSC) provided computational support.

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- 975

976 **Table 1.** Contributions of emissions from major source regions to the simulated

annual mean near-surface sulfate and BC concentrations ( $\mu g m^{-3}$ ) averaged over the

Arctic in 1980–1984 and 2014–2018, as well as the percentage differences (%)

979 between 1980–1984 and 2014–2018 relative to 1980–1984.

	Sulfate Conc.		
	1980-1984	2014-2018	Last 5 -First 5
Sum	0.447	0.256	-42.83%
ARC	0.15	0.141	-2.02%
EUR	0.097	0.014	-18.61%
NAM	0.022	0.007	-3.36%
CAS	0.013	0.006	-1.57%
RBU	0.129	0.045	-18.83%
OCN	0.029	0.032	0.67%
OTH	0.006	0.01	0.90%
		BC Conc.	
	1980-1984	2014-2018	Last 5 -First 5
Sum	0.0161	0.0124	-22.98%
ARC	0.0086	0.0071	-9.32%
EUR	0.0011	0.0006	-3.11%
NAM	0.0004	0.0009	3.11%
EAS	0.0002	0.0003	0.62%
RBU	0.0056	0.0032	-14.91%
OTH	0.0002	0.0003	0.62%

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Table 2. Trends in annual mean sulfate and BC concentrations (% per decade) in
surface air and in the column contributed by 16 anthropogenic source regions during
1980–2018 relative to the 39-year averages.— of total concentrations. The boldface
values are statistically significant at the 95% confidence level based on F-test.

Region	Sulfate Conc.	Sulfate Burden	BC Conc.	BC Burden
Sum	-19.83%	-13.18%	-11.93%	3.98%
EUR	-8.42%	-10.30%	-1.61%	-2.26%
NAM	-1.52%	-3.90%	0.96%	1.45%
CAM	0.00%	0.05%	0.00%	-0.01%
SAM	0.00%	-0.03%	0.00%	0.01%
NAF	0.02%	0.12%	0.05%	0.51%
SAF	0.00%	-0.02%	0.00%	0.18%
MDE	0.09%	0.85%	0.04%	0.79%
SEA	0.00%	0.11%	0.00%	0.09%
CAS	-0.72%	-1.01%	-0.04%	-0.05%
SAS	0.06%	3.49%	0.04%	1.97%
EAS	0.45%	4.24%	0.43%	5.90%
RBU	-8.54%	-6.64%	-6.12%	-3.74%
PAN	0.00%	0.00%	0.00%	0.00%
ARC	-1.38%	-0.20%	-5.96%	-1.01%
ANT	0.00%	0.00%	0.00%	0.00%
OCN	0.14%	0.08%	0.27%	0.16%

**Table 3.** Estimated annual mean of the response in Arctic surface temperatures (K) to the change in TOA radiative forcing due to aerosol-radiation interactions (RF<sub>ari</sub>) of sulfate and BC, aerosol-cloud interactions (RF<sub>aci</sub>) of sulfate and radiative forcing (RF) due to BC in snow/ice (W m<sup>-2</sup>) in each latitude band. The Arctic equilibriumtemperature response is estimated using Arctic climate sensitivity factors ( $\lambda$ , K W<sup>-1</sup>– m<sup>2</sup>), defined as the change in Arctic surface temperature per unit RF, for differentlatitudinal bands from Sand et al. (2016) and Shindell and Faluvegi (2009).-

Equing location	Arctic equilibrium surface temperature response (K)*				
Forcing location	Sulfate RF <sub>ari</sub>	Sulfate RF <sub>aci</sub>	BC RFari	BC snow/ice	
60°N - 90°N	0.035	0.078	-0.005	-0.061	
28°N - 60°N	0.059	0.165	0.029	0.019	
28°S - 28°N	-0.001	-0.048	0.031	0.000	
90°S - 28°S	-0.005	-0.002	0.002	0.000	
SUM	0.088	0.193	0.057	-0.041	

996\*The  $\lambda$  are 0.31, 0.17, 0.16, 0.06 for sulfate RFari and RFaci; -0.08, 0.15, 0.31, 0.06 for997BC RFari; 1.06, 0.45, 0.93, 0.18 for RF due to BC in snow/ice, according to the order998given by forcing locations in the table. Sulfate RFaci is not archived in this study and is999roughly estimated here by scaling sulfate RFari based on the ratio of sulfate RFaci and

1000 RF<sub>ari</sub> over different latitudes from Sand et al. (2016).





- 1013 27°E). Spatial distribution of annual mean (c) SO<sub>2</sub> (g S m<sup>-2</sup> yr<sup>-1</sup>) and (d) BC (g C m<sup>-2</sup>
- 1014 <u>yr<sup>-1</sup></u>) emissions averaged over 1980-2018. The thick black circles mark the Arctic





Figure 2. Time series of global total anthropogenic emissions of (top) SO<sub>2</sub> (Tg SO<sub>2</sub> yr<sup>-1</sup>) and (bottom) BC (Tg C yr<sup>-1</sup>), classified by key anthropogenic source regions.
 Emissions from other regions (OTH) include those of ANT, CAM, CAS, MDE, NAF, PAN, SAM, SEA, and SAF/NAM can be found in figure S1. Abbreviations for the regions can be found in Fig. 1.


1022 **Figure 3.** Surface concentrations of sulfate aerosols ( $\mu g m^{-3}$ ) in spring (March–May) 1023 1024 and summer (June-August) at four locations (Alert, Station Nord, Ny-Alesund, Kevo) 1025 in the Arctic during 1980–2018. Seasonal means are denoted by solid black circles, medians as short horizontal bars, and the 25th to 75th percentile ranges as vertical bars. 1026 1027 Stacked contours represent the Arctic (blue) and non-Arctic anthropogenic source region (green) contributions to the modeled concentrations. 1028 1029 Stacked colors represent modeled contributions from the Arctic (blue) and non-Arctic anthropogenic source region (green). The observations denoted by solid black circles 1030 are obtained from European Monitoring and Evaluation Programme and World Data 1031 Centre for Aerosols database (http://ebas.nilu.no) and Breider et al. (2017). Black 1032 triangles at Ny-Alesund for the period 1980–1981 show mean observations from 1033 Heintzenberg and Larssen (1983). Black diamond at Ny-Alesund in summer shows 1034 median non-sea-salt sulfate concentration from Maenhaut et al. (1989). Open circles 1035 in the spring for Ny-Ålesund are March–April mean values (Sirois and Barrie, 1999). 1036

1037 <u>Note that the vertical coordinates use logarithmic scales.</u>



**Figure 4.** Same as Figure 3, but for surface BC ( $\mu$ g m<sup>-3</sup>) at four (Alert, Barrow, Ny-1040 Alesund, Kevo) Arctic sites.



**Figure 5.** Time series (1980–2018) of absolute (left,  $\mu$ g m<sup>-3</sup>) and relative (right, %) contributions of emissions from <u>the</u> major source regions to the simulated annual mean near-surface sulfate and BC concentrations averaged over the Arctic-<u>(66.5°N–90°N)</u>. <u>The remaining source regions with annual contributions less than 3% are combined and</u> shown as OTH (other regions in figure S2).





1050

**Figure 6.** Annual mean vertical profile of sulfate (top) and BC (bottom) concentrations ( $\mu$ g m<sup>-3</sup>) over the Arctic contributed by the tagged source regions (left) and their relative contributions (right, %) during 1980–2018. Sources with annual burden contributions less than 5% are combined and shown as OTH.



Figure 7. Changes in annual mean vertical profile of sulfate ( $\mu$ g m<sup>-3</sup>, left) and BC (ng m<sup>-3</sup>, right) concentrations over the Arctic contributed by the tagged source regions





Figure 8. Spatial distribution of linear trends in annual mean sulfate (left) and BC (right)
concentrations (% yr<sup>-1</sup>) near the surface (top) and column burden (bottom) relative to
the 39-year averages. The dotted areas indicate statistical significance with 95%
confidence based on F-test.



1066

1067Figure 9. Spatial distribution of annual mean radiative forcing due to aerosol-radiation1068interactions ( $RF_{ari}$ ) of (a) sulfate and (b) BC (W m<sup>-2</sup>) at the TOA averaged over 1980–

1069 2018.





1072Figure 10. Time series (1980–2018) of annual radiative forcing due to aerosol-radiation1073interactions (RFari, W m<sup>-2</sup>) of sulfate and BC over the Arctic (ARC, <u>66.560</u>°N–90°N),1074Northern Hemisphere mid-latitudes (MID, 28°N–<u>66.560</u>°N), tropics (TRO, 28°S–28°N)1075and Southern Hemisphere (SHM, 90°S–28°S).





1078 **Figure 11.** Time series (1980–2018) of the estimated response in surface temperatures 1079 (K) to the change in radiative forcing due to the aerosol-radiation interactions ( $RF_{ari}$ ) of 1080 (a) sulfate, (c) BC, and (e) sum of sulfate and BC  $RF_{ari}$ , (b) radiative forcing due to 1081 aerosol-cloud interactions ( $RF_{aci}$ ) of sulfate, (d) radiative forcing (RF) due to BC in 1082 snow/ice, (f) sum of all RF in each latitude bands and the sum of them (SUM).–