1	Manuscript # acp-2020-3
2	Posponsos to Poforoo #2
3	Responses to Referee #2
4 5	This study uses source apportionment method to study the changes Arctic BC
6	and Sulfate concentration, and the contributions from worldwide 16 other
7	regions. They also performed sensitivity analysis to discuss the contribution of
8	Arctic warming from the different source regions.
9	In general, I think the paper has an interesting theme. However, the method is
10	not well presented, and the discussion is not well structured neither. The paper
11	heavily focusses on the model results, and was not strong to make adequate
12	discussions on why the simulated results happen.
13	We thank the reviewer for all the insightful comments. Below, please see our
14	point-by-point response (in blue) to the specific comments and suggestions
15	and the changes that have been made to the manuscript, in an effort to take
10	into account all the comments raised here.
10	Main comment:
10	I suggest the authors reorganize the abstract from 1 32-43: think about the order
20	of discussing the sulfate/BC radiative forcing changes, local vs long-range
21	transport, temperature changes from aerosol-direct and indirect effects.
22	Response:
23	Following the suggestion, we have now revised this part of the abstract as
24	follows: "Within the Arctic, sulfate reductions caused a TOA warming of 0.11
25	and 0.25 W m <sup>-2</sup> , respectively, through aerosol-radiation and aerosol-cloud
26	interactions. While the changes in Arctic atmospheric BC has little impact on
27	local radiative forcing, the decrease of BC in snow/ice led to a net cooling of
28	0.05 W m <sup>-2</sup> . By applying climate sensitivity factors for different latitudinal bands,
29	global changes in sulfate and BC during 2014–2018 (with respect to 1980–1984)
30	exerted a +0.088 K and 0.057 K Arctic surface warming, respectively, through
31	aerosol-radiation interactions. Through aerosol-cloud interactions, the suifate
3Z 22	The weakened BC effect on snow/ice albede led to an Arctic surface cooling of
33 34	-0.041 K. The changes in atmospheric sulfate and BC outside the Arctic totally
34	produced an Arctic warming of $\pm 0.25$ K the majority of which is due to the mid-
36	latitude changes in radiative forcing. Our results suggest that changes in
37	aerosols over the mid-latitudes of the Northern Hemisphere have a larger
38	impact on Arctic temperature than other regions through enhanced poleward
39	heat transport. The combined total effects of sulfate and BC produced an Arctic
40	surface warming of +0.297 K, explaining approximately 20% of the observed
41	Arctic warming since the early 1980s."
42	

It has been known that there are very large discrepancies for the emissions in
China from MEIC emission inventory and CMIP6 (Paulot et al., 2018).
Comment how this discrepancy could affect the main results.

46 Reference: Paulot, F., Paynter, D., Ginoux, P., Naik, V., and Horowitz, L. W.:

Changes in the aerosol direct radiative forcing from 2001 to 2015: observational
constraints and regional mechanisms, Atmos. Chem. Phys., 18, 13265–13281,

49 https://doi.org/10.5194/acp-18-13265-2018, 2018.

50 Response:

Thanks for bringing up this issue. In our simulations of 1980-2018, we used 51 both the CMIP6 historical emissions for 1980-2014 and emission scenario 52 (SSP2-4.5) interpolated 2015-2018. Over China, the decline of aerosols 53 emissions since 2011 is not well represented in the CMIP6 historical 54 55 anthropogenic emissions, compared to the MEIC emission inventory (Paulot et al., 2018). Emissions of SO<sub>2</sub> and BC from China in SSP2-4.5 show declines 56 since 2014, which is consistent with MEIC emissions. However, the decrease 57 of CMIP6 SO<sub>2</sub> and BC emissions over China by 39% and 0.5%, respectively, 58 59 in year 2017 compared to 2010 is less than the corresponding magnitude, 62% 60 and 27%, in MEIC emission inventory. We have now included this point in the discussion section as follows: "Previous studies have reported large 61 discrepancies of aerosol and precursors emissions in China between MEIC 62 (Multi-resolution Emission Inventory for China) and CMIP6 emission inventories 63 (e.g., Paulot et al., 2018). The CMIP6 emissions dataset shows similar 64 decreasing trends in anthropogenic SO<sub>2</sub> and BC emissions over China since 65 2011 as in the MEIC inventory (Fig. S3). However, the decrease of CMIP6 66 anthropogenic SO<sub>2</sub> and BC emissions by 39% and 0.5%, respectively, in 2017 67 compared to 2010 is less than the corresponding magnitude of 62% and 27% 68 in MEIC (Zheng et al., 2018). It indicates that the increase in aerosol 69 contribution from East Asia during the recent decade and its impact on Arctic 70 71 surface temperature could be overestimated in this study."

72



Figure S3. Annual anthropogenic emissions of SO<sub>2</sub> and BC in China from
 CMIP6 (solid lines) and MEIC (dotted lines).

76

Beginning from section 3, when the authors discuss the trends analysis, I did not find anywhere how the authors performed the trend analysis, as well as the significance test. Those are very basic concepts when we discuss trend analysis. A few example: line 245-line 248; line 251-252, and Table 2, Fig. 8. Response:

Thanks for the suggestion. We have now included statistical test results in Table 2 and Figure 8. All trend values mentioned in that paragraph are statistically significant at the 95% confidence level. We have added this sentence to the manuscript.

86

Line 269-270: when the authors discuss the "largest contribution of East and 87 South Asia", does the authors mean East and South Asia contributes most at 88 this altitude compared with other regions, or this altitude is where East and 89 90 South Asia contributes most for their contributions at different altitudes? As a 91 matter of fact, I think these several paragraphs are terribly written (line 264-290). Keep in mind that, when you talk about the contribution, you are 92 comparing between different source regions as well as the altitudes. I highly 93 suggest the authors reorganize these several paragraphs. 94

95 **Response**:

We have now revised these paragraphs as follows to avoid the confusion: 96 97 "Aerosols are often transported across continents in the free troposphere rather than near the surface, resulting in a higher relative contribution of non-local 98 sources to the aerosol concentration at higher altitudes than near the surface. 99 100 Figure 6 shows the vertical profiles of absolute and relative contributions of major source regions to sulfate and BC concentrations in the Arctic. Different 101 source regions have very distinct vertical distributions of their contributions. 102 103 Below 1 km, Arctic local emissions account for the majority of Arctic sulfate and BC concentrations. For BC and sulfate located between 1 km and 5 km, 104 emissions from Russia are the major sources. Above 8 km, East Asia and South 105 Asia are the major source regions of the Arctic aerosol concentrations, which is 106 consistent with results using other models (e.g., Shindell et al., 2008). Arctic 107 and Russia have their maximum absolute contributions at 0.2 km and 1.4 km, 108 respectively. Europe and North America have their maximum absolute 109 contributions around 2 km. The contribution of East Asia and South Asia 110 increases with the increase of altitude, reaching their maximum contribution 111 values at 8 km and 11 km, respectively. 112

The changes in source contributions to the annual mean vertical profile of sulfate and BC concentrations over the Arctic between 2014–2018 and 1980– 1984 are shown in Fig. 7. Below 6 km, due to the effective emission reduction, the contribution from Europe and Russia to the Arctic sulfate was each decreased by nearly 0.1 μg m<sup>-3</sup> in 2014–2018, compared to 1980–1984. North

America contribution also had a slight decline below 2 km. Between 10–15 km, 118 contributions from South Asia and East Asia increased at the upper troposphere, 119 which is consistent with the increase in emissions over these regions, leading 120 to a combined increase in sulfate concentration of up to 0.1 µg m<sup>-3</sup> at the upper 121 troposphere of the Arctic. The BC concentration below 2 km contributed by 122 Arctic and Russia emissions each had a decrease of up to 2 ng m<sup>-3</sup>, which 123 dominated the decrease of BC concentration in the Arctic lower atmosphere. 124 Similar to sulfate, BC concentrations contributed by East Asia and South Asia 125 increased in the high altitudes, mainly due to increased emissions in these two 126 regions, offsetting the decrease in column burden owing to the reduced loading 127 in the lower atmosphere." 128 129 130 Editorial comments: Line 35: explain what "61%" is compared to. 131 Response: It is a comparison between 1980–1984 and 2014–2018. We have now clarified 132 it in the text. 133 134 Line 38: the snow/ice albedo effect from BC refers to local or other source 135 regions? 136 Response: 137 Here, the snow/ice albedo effect from BC refers to both local and other source 138 regions. We have followed the suggestion in main comments to reorganize the 139 abstract to avoid confusion as such. 140 141 142 Line 98: add from which year for the 2-3% changes. 143 Response: Following the suggestion, we have now revised the sentence to "Based on the 144 chemical transport model (GEOS-Chem) simulations, Breider et al. (2017) 145 146 found that annual sulfate and BC concentrations decreased by 2–3% per year over the Arctic during 1980-2010." 147 148 Line 122: change "observational" to "observation" 149 150 Response: 151 Following the suggestion, we have now revised the sentence to "Sulfate and BC concentrations from the CAM5-EAST model and observations at remote 152 Arctic stations are compared." 153 154 Line 153: EAST was already defined. 155 Response: 156 Deleted. 157 158 159 Line 181-182: Technically, neither Fig 1 nor Fig 2 showed the emission changes from "1980-2010" "from the 16 source regions". 160 Response: 161

Figure 1 shows the spatial distribution of annual mean SO<sub>2</sub> and BC emissions 162 averaged over 1980-2018 from the 16 source regions and Figure 2 shows 163 time series of annual anthropogenic SO<sub>2</sub> and BC emissions of major tagged 164 source regions and other regions (OTH, including ANT, CAM, CAS, MDE, 165 NAF, PAN, SAM, SEA, and SAF/NAM). In order to better see the time series 166 of annual emissions of other regions (OTH) individually, we have added the 167 time series these emissions in the supplementary materials (Fig. S1), which is 168 also shown below. 169 170





Figure S1. Time series of annual anthropogenic (top) SO<sub>2</sub> (Tg SO<sub>2</sub> yr<sup>-1</sup>) and (bottom) BC (Tg C yr<sup>-1</sup>) emissions from other regions of Fig. 2 individually.



to confirm the theory.

178 **Response**:

We have now revised the sentence to "According to previous CAM5 studies on aerosol wet removal and long-range transport, the model underestimates aerosol concentrations in spring, likely due to biases in parameterizations of convective transport and wet scavenging of aerosols (Bond et al., 2013; Liu et al., 2011; Wang et al., 2013; Qian et al., 2014; Yang et al., 2018a)."

184

Line 212-213: I thought local BC reduction by 38% in Artic are pretty high. So are you sure the BC concentration changes are dominated by the emission changes from other source region? Meanwhile, I got different conclusion from Fig. 5 as the ARC clearly dominated the total BC changes.

189 **Response**:

The decrease here refers to the BC concentration change at the Arctic sites (especially Kevo) that are strongly influenced by non-local sources. Kevo is close to western Eurasia. The drop in BC after 1988 at Kevo is attributed to the emission reduction resulting from the economic contraction in former Soviet states and eastern bloc countries at that time. The concentration change shown in Fig. 5 is the average over the entire Arctic (66.5°N–90°N).

196

Line 256: how did the "+/- 1-3%" come from? It looks like uncertainty range to
me.

199 **Response**:

We have now revised it to "Sources in Europe, North America, and East Asia account for less than 4% of the changes in Arctic near-surface BC concentration."

203

Line 262-263: the authors conclude to reduce local sources in the Arctic to control the sulfate and BC. Can the authors give some specific suggestions on the sectors which the local source should be reduced?

207 Response:

The SO<sub>2</sub> and BC emissions from individual sectors in the Arctic are shown below. The industry and energy sectors account for the majority of local sources in the Arctic (Fig. S4). Although this is not the focus of our research, reducing the emissions of industry and energy sectors may be effective for the reduction of local sulfate and BC concentrations in the Arctic. We have now added this analysis in the manuscript.

214



BB ENE IND RCO SHP TRA WST

Figure S4. Annual mean of SO<sub>2</sub> and BC emissions from individual sectors in
the Arctic during 2010-2014. BB: biomass burning, ENE: energy, IND: industry,
RCO: residential, SHP: international shipping, TRA: transportation, WST: waste
treatment.

Line 272-273: If I am reading the plots right, I think Europe also has largest contribution for both sulfate and BC below 2km, compared with Arctic? That being said, I still can not figure out what the authors refer to when they say "largest contribution".

225 Response:

We have now revised this part of the text substantially, taking into consideration of this comment. Please see our response to the fourth main comment.

228

215

220

Line 287-288: Again not clear how to comprehensive the "increasing trend" contributed by East Asia and South Asia. Also, the authors have a theory why East Asia and South Asia are larger high altitudes, any references or evidences? Please explain.

233 Response:

We have now revised this sentence to explain: "Similar to sulfate, BC concentrations contributed by East Asia and South Asia increased in the high altitudes (Breider et al. 2017, Fisher et al., 2011; Qi et al., 2017; Sharma et al., 2013; Stohl, 2006), mainly due to increased emissions in these two regions, offsetting the decrease in column burden owing to the reduced loading in the lower atmosphere."

240

Line 317-322 Here is redundant to discuss the radiative forcing changes in other NH regions since this is not the focus of this paper. Remember the paper's interest is on the transport of other source region on the reception region (Arctic).

245 **Response**:

Although we focus on the Arctic local changes, aerosols over other regions outside the Arctic can also affect the Arctic climate through changing poleward heat transport, which is also an important factor to consider for the Arctic temperature change in the following part of the manuscript.

250

Line 329-330: The authors previously showed that the sulfate concentration changes over Arctic are dominated by other source regions than local. So why the authors conclude the local sulfate change for the radiative forcing increases? Response:

- Here the local change refers to the Arctic as a receptor rather than a source 255 region. It is compared with the impact of remote changes. We apply Arctic 256 climate sensitivity factors for sulfate and BC over the Arctic, mid-latitudes of the 257 258 Northern Hemisphere, tropics and Southern Hemisphere, separately, obtained from Sand et al. (2016) and Shindell and Faluvegi (2009), to calculate the 259 recent Arctic surface temperature change related to the variations in sulfate and 260 BC radiative forcings over the different latitude bands during 1980–2018. This 261 262 is different from the previous sections of source regions.
- 263

Line 330-333: again these are not relevant to this study. I think it is Ok if the authors want to compare the radiative forcing changes in Artic with other regions for the past 4 decades, but not necessary to distract the main point of the paper.

268 **Response**:

The aerosol-induced meridional gradient of temperature can also influence the Arctic climate by changing poleward heat transport. For example, BC at midlatitudes may increase the transport of heat into the Arctic by heating the atmosphere locally and increasing the meridional temperature gradient. This impact is directly related to changes in global aerosol emissions, so we believe it is an important factor to analyze and compare with changes within the Arctic.

275

Line 356-358: please explain why the BC changes over mid-latitude and tropics have positive climate effect and expand to Arctic?

278 **Response**:

279 The positive climate effect over mid-latitude and tropics is due to an increase of BC during 2014–2018 relative to 1980–1984. As we explained above, the 280 remote impact from warming in mid-latitude and tropics on the Arctic is mainly 281 through changes in the poleward heat transport. We didn't simulate such 282 remote effects on Arctic temperature directly. Instead the Arctic equilibrium 283 temperature response is estimated using Arctic climate sensitivity factors ( $\lambda$ , K 284 W<sup>-1</sup>m<sup>2</sup>), defined as the change in Arctic surface temperature per unit RF for 285 different latitudinal bands from Sand et al. (2016) and Shindell and Faluvegi 286 (2009). The change in equilibrium temperature response is defined as  $\Delta T =$ 287  $\sum_{i=LAT} \lambda_i * \Delta RF_i$ .  $\Delta$  represents the difference of the annual mean of a variable 288 for a specific year compared to the average during 1980-1984 in this study. RF 289

is radiative forcing due to aerosol-radiation or aerosol-cloud interactions 290 associated with sulfate or black carbon. LAT represents latitudinal bands over 291 the Arctic (60°N-90°N), Northern Hemisphere mid-latitudes (28°N-60°N), 292 tropics (28°S-28°N) and Southern Hemisphere (90°S-28°S). Many studies 293 294 used these climate sensitivity factors to estimate the Arctic temperature 295 responses using RF calculated from different models (e.g., Sand et al., 2016). However, we note that, since the  $\lambda$  values were calculated with a different 296 climate model (NASA-GISS), the estimated Arctic equilibrium temperature 297 response based on these factors could be biased. We have now added this to 298 the Methodology section. 299

300

301 Figures:

In Fig. 2 title, add the references that abbreviations for the regions could be found in Fig. 1

304 **Response**:

305 **Done as suggested**.

306

Fig. 3 I saw crosses, triangles, rectangles and dotted circles which are not explained in the legend. In the stacked contour plots, I think the authors refer light green for the Arctic? The Y axis for plots St. Nord Ny-Alesund and Kevo seems not right to me.

311 Response:

312 We have now revised the figure caption to clarify on these issues:

'Figure 3. Surface concentrations of sulfate aerosols (µg m<sup>-3</sup>) in spring (March– 313 May) and summer (June-August) at four locations (Alert, Station Nord, Ny-314 Alesund, Kevo) in the Arctic during 1980–2018. Seasonal means are denoted 315 by solid black circles, medians as short horizontal bars, and the 25th to 75th 316 percentile ranges as vertical bars. Stacked colors represent modeled 317 318 contributions from the Arctic (blue) and non-Arctic anthropogenic source region 319 (green). The observations denoted by solid black circles are obtained from European Monitoring and Evaluation Programme and World Data Centre for 320 Aerosols database (http://ebas.nilu.no) and Breider et al. (2017). Black 321 triangles at Ny-Alesund for the period 1980–1981 show mean observations 322 from Heintzenberg and Larssen (1983). Black diamond at Ny-Alesund in 323 summer shows median non-sea-salt sulfate concentration from Maenhaut et al. 324 (1989). Open circles in the spring for Ny-Ålesund are March–April mean values 325 (Sirois and Barrie, 1999). Note that the vertical coordinates use logarithmic 326 scales.' 327

328

In Fig 5 and figures below, the authors only show a list of the source regions, not all of them. I suspect that's because other regions' contribution to BC and sulfate in Arctic are very negligible? If so, how much is it? Is it magnitude level smaller than the CAS to sulfate, and EAS to BC? Also, how did the authors make the relative contribution equal to 100% if not all the regions included? I would also suggest the authors to reorganize the plot, so maybe the contour
 plots will be seen as smaller to largest, or vice-visa.

336 **Response**:

Figure 5 shows the time series (1980–2018) of absolute (left, µg m<sup>-3</sup>) and 337 relative (right, %) contributions of emissions from the major source regions to 338 the simulated annual mean near-surface sulfate and BC concentrations 339 averaged over the Arctic. The remaining source regions with annual 340 contributions less than 3% are combined and shown as OTH (other regions, in 341 figure S2). The total relative contribution considers all source regions, including 342 the OTH, and equals to 100%. We have reorganized the contours to show an 343 order of largest to smallest contributors except OTH. 344

345

Fig 9: this study's focus is on Arctic. This fig is not easy to distinguish the spatial patterns of temperature changes in Arctic.

348 **Response**:

As we explained above, RF changes in the lower latitudes are also important to affect the Arctic temperature. We agree that the spatial patterns of the small RF within the Arctic are not distinguishable, but the main purpose of this figure is not to focus on the Arctic RF variation.

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