# Dear Editor,

Thank you very much for your editing work on our paper entitled "Revisiting the Relationship between Atlantic Dust and Tropical Cyclone Activity using Aerosol Optical Depth Reanalyses: 2003–2018". Thank you also for your suggestion of emphasizing the key findings of the paper in the abstract and conclusions, which would make the key findings standing out more. In response to your suggestion, we have added one concluding sentence in the abstract and a similar concluding sentence in the last paragraph of the conclusions section. We hope you find this technical correction is satisfactory. The concluding sentence in the abstract reads

"Overall, DAOD in both the tropical Atlantic and Caribbean is negatively correlated with Atlantic hurricane frequency and intensity, with stronger correlations in the Caribbean than farther east in the tropical North Atlantic."

In addition, we have revised the reference format in the paper following ACP's reference guidance in response to the advice from your editorial team. The manuscript with marked up changes are attached below.

Sincerely,

Peng

Peng Xian, PhD Atmospheric Properties and Effects Naval Research Laboratory Marine Meteorology Division 7 Grace Hopper Avenue, Stop 2 Monterey, California 93940 831-656-4803 FAX 831-656-4769 peng.xian@nrlmry.navy.mil

# Revisiting the Relationship between Atlantic Dust and Tropical Cyclone Activity using Aerosol Optical Depth Reanalyses: 2003-2018

- Peng Xian<sup>1a</sup>, Philip J. Klotzbach<sup>2a</sup>, Jason P. Dunion<sup>3</sup>, Matthew A. Janiga<sup>1</sup>, Jeffrey S. Reid<sup>1</sup>, Peter
   R. Colarco<sup>4</sup> and Zak Kipling<sup>5</sup>
- <sup>7</sup> <sup>1</sup>Naval Research Laboratory, Monterey, CA, USA.
- <sup>8</sup> <sup>2</sup>Department of Atmospheric Science, Colorado State University, Fort Collins, CO, USA.
- 9 <sup>3</sup>University of Miami/RSMAS/CIMAS NOAA/AOML/Hurricane Research Division, Miami,

- 10 FL, USA.
- <sup>11</sup> <sup>4</sup>NASA Goddard Space Flight Center, Greenbelt, MD, USA.
- <sup>5</sup>European Centre for Medium-Range Weather Forecasts, Reading, UK.
- <sup>14</sup> <sup>a</sup> Peng Xian and Philip J. Klotzbach are joint-lead coauthors.
- 15 Correspondence: Peng Xian (peng.xian@nrlmry.navy.mil)

#### 35 Abstract

36 Previous studies have noted a relationship between African dust and Atlantic tropical cyclone 37 (TC) activity. However, due to the limitations of past dust analyses, the strength of this relationship remains uncertain. The emergence of aerosol reanalyses, including the Navy Aerosol 38 39 Analysis and Prediction System (NAAPS) Aerosol Optical Depth (AOD) reanalysis, NASA Modern-Era Retrospective analysis for Research and Applications, Version-2 (MERRA-2) and 40 ECMWF Copernicus Atmosphere Monitoring Service reanalysis (CAMSRA) enable an 41 investigation of the relationship between African dust and TC activity over the tropical Atlantic 42 and Caribbean in a consistent temporal and spatial manner for 2003-2018. Although June-July-43 August (JJA) 550 nm dust AOD (DAOD) from all three reanalysis products correlate 44 significantly over the tropical Atlantic and Caribbean, the difference in DAOD magnitude 45 between products can be as large as 60% over the Caribbean and 20% over the tropical North 46 Atlantic. Based on the three individual reanalyses, we have created an aerosol multi-reanalysis-47 consensus (MRC). The MRC presents overall better root mean square error over the tropical 48 Atlantic and Caribbean compared to individual reanalyses when verified with ground-based 49 AErosol RObotic NETwork (AERONET) AOD measurements. Each of the three individual 50 reanalyses and the MRC have significant negative correlations between JJA Caribbean DAOD 51 and seasonal Atlantic Accumulated Cyclone Energy (ACE), while the correlation between JJA 52 53 tropical North Atlantic DAOD and seasonal ACE is weaker. Possible reasons for this regional difference are provided. A composite analysis of three high versus three low JJA Caribbean 54 DAOD years reveals large differences in overall Atlantic TC activity. We also show that JJA 55 Caribbean DAOD is significantly correlated with large-scale fields associated with variability in 56 interannual Atlantic TC activity including zonal wind shear, mid-level moisture and SST, as well 57 58 as ENSO and the Atlantic Meridional Mode (AMM), implying confounding effects of these factors on the dust-TC relationship. We find that seasonal Atlantic DAOD and the AMM, the 59 leading mode of coupled Atlantic variability, are inversely related and intertwined in the dust-TC 60 relationship. Overall, DAOD in both the tropical Atlantic and Caribbean is negatively correlated 61 with Atlantic hurricane frequency and intensity, with stronger correlations in the Caribbean than 62 63 farther east in the tropical North Atlantic.

#### 65 1. Introduction

Saharan dust particles can affect weather and climate through both direct and indirect radiative 66 and cloud processes, notably in association with boreal summer Saharan Air Layer (SAL) 67 outbreaks. The SAL is a layer of hot and dry air that forms over continental West Africa and is 68 69 then advected over the low-level moist marine boundary layer of the tropical Atlantic (Carlson keand Prospero, 1972). The SAL is often associated with the African Easterly Jet (AEJ) that can 70 enhance vertical wind shear. Despite numerous observational and modeling studies that have 71 examined the relationships between these aspects of the SAL and Atlantic TC activity, there are 72 conflicting findings as to whether dust acts to generally inhibit or enhance tropical cyclogenesis 73 and intensification. Some studies suggest negative impacts of the SAL's dust-laden dry air and 74 the AEJ on TC activity (e.g., Dunion and Velden 2004; Lau and Kim 2007; Jones et al. 2007; 75 Sun et al. 2008; Pratt and Evans 2008) while others have focused exclusively on the dust 76 particles themselves and have found a negative influence on TCs (e.g., Evan et al. 2006a; 77 78 Rosenfield et al. 2007; Strong et al. 2018; Reed et al. 2019). Other studies have suggested little impact of the SAL on TCs (e.g., Braun 2010; Sippel et al. 2011; Braun et al. 2013), while others 79 have posited a positive impact of dust on TCs through cloud-microphysical processes (e.g., 80 Jenkins et al. 2008). Finally, others have suggested that there are contrasting influences through 81 different mechanisms and for different TCs (Karyampudi and Pierce, 2002; Bretl et al. 2015; Pan 82 et al., 2018), highlighting the complexity of the dust-TC interaction. 83 African dust impacts the North Atlantic throughout the year, with its summer peak season (May-84 August) overlapping and leading the peak of the Atlantic hurricane season (August-October; 85 Figure S1). As African dust outbreaks during the summer are often associated with the SAL, 86 airborne dust has often been used as an indicator for the SAL (Dunion & and Velden, 2004; 87 88 Dunion, 2011; Tsamalis et al., 2013), although early season cases where the majority of the dust existed in the marine boundary layer below the trade wind inversion instead of staying aloft were 89 also found (Reid et al., 2003). Saharan dust and the SAL are frequently observed throughout the 90 Caribbean and as far west as Central America and the North American continent during the 91 boreal summer (e.g., Prospero, 1999; Reid et al., 2003; Dunion & Velden 2004; Nowottnick 92 93 et al., 2011; Kuciauskas et al., 2018). Airborne dust associated with the SAL often extends to 5.5 km (500 hPa) off of western Africa, and becomes thinner as its top lowers and its base rises as it 94 is advected westward, shrinking to below 2 km in the Caribbean and in the Gulf of Mexico 95 (Tsamalis et al., 2013). In some strong SAL cases, however, the top of the dust layer can reach 6 96 km (Reid et al., 2003; Colarco et al., 2003). During their trans-Atlantic transport, dust aerosols 97 are from time to time observed to interact with TCs, as seen in satellite imagery (Figure 1). 98

African dust and its associated SAL has been hypothesized to impact TCs through a variety of 99 mechanisms. Through scattering and absorbing sunlight, dust reduces solar radiation reaching 100 the surface, thus cooling SSTs (e.g., Miller and Tegen, 1998; Lau and Kim 2007; Evan et al., 101 2009). Lower SSTs provide TCs with less energy to initiate, develop, and maintain strength. 102 Through additional radiative heating of the dusty layer, mineral dust is also suggested to impact 103 104 the structure, location and energetics of the AEJ (Tompkins et al., 2005; Wilcox et al., 2010; Reale et al., 2011) and African easterly wave (AEW) activity (Karyampudi and Carlson, 1988; 105 Reale et al., 2009; Nathan et al., 2017; Jones et al., 2004; Ma et al., 2012; Grogan et al., 2016, 106 2017; Bercos-Hickey et al., 2017), thus having implications for tropical cyclogenesis. From a 107 thermodynamic point of view, Dunion and Velden (2004) have proposed that the dust-carrying 108

- 109 SAL outbreaks could inhibit TC formation and development in the North Atlantic through three
- 110 primary mechanisms, including dry air intrusion into the storm, enhancement of the local vertical
- 111 wind shear associated with the enhanced AEJ, and stabilization of the environment due to
- 112 radiative heating of the dust layer above the marine boundary layer.
- 113 Dust particles can also act as cloud condensation nuclei (Twohy et al., 2009; Karydis et al.,
- 114 2011) and ice nuclei (DeMott et al., 2003; Sassen et al., 2003) and affect cloud microphysics,
- 115 weakening or strengthening convection depending on the environment (Khain, 2009). Focusing
- specifically on TCs, there is not a consistent conclusion among studies on whether the
- <sup>117</sup> microphysical impacts of dust weaken or strengthen TCs (Jenkins et al., 2008; Rosenfeld et al.,
- 118 2007; Zhang et al., 2007, 2009; Herbener et al., 2014; Nowottnick et al., 2018).
- 119 While dust aerosols can affect TC formation and development through radiative and cloud-
- 120 microphysical impacts, TCs can in turn impact dust aerosol spatial distributions through wet
- removal and dynamic flow (Herbener et al., 2016). AEWs, serving as seeding disturbances for TCs (Landsea, 1993), are shown to contribute to dust emission and transport (e.g., Westphal et
- al., 1987; Jones et al., 2003; Knippertz and Todd, 2010). Climate variability that affects TC
- activity can also impact African dust emission and transport over the North Atlantic and
- 125 Caribbean. For example, ENSO was found to affect the emission and transport of African dust as
- well (Prospero & and Lamb, 2003; DeFlorio et al., 2016), especially during the boreal winter
- 127 (Prospero & and Nees, 1986; Evan et al., 2006b).
- 128 How all of these factors interact in the complex climate system and to what extent they can
- impact TC formation and intensification is still largely unknown. The goal of this study is to
- 130 explore how the integrated interactions manifest themselves in the relationship between Saharan
- dust and Atlantic TC activity on seasonal to interannual time scales using state-of-the-art aerosol reanalysis data. This serves as a first step towards further understanding the dust-TC relationship
- and evaluating the relative importance of different mechanisms. Previous empirical studies on
- the relationship between African dust and Atlantic TC activity are limited by uneven spatial and
- temporal sampling by satellite and in situ-based observations. The emergence of several aerosol
- reanalysis datasets, including the Navy Aerosol Analysis and Prediction System (NAAPS)
- 137 Aerosol Optical Depth (AOD) reanalysis (NAAPS-RA, Lynch et al., 2016), the Modern-Era
- 138 Retrospective analysis for Research and Applications, Version 2 (MERRA-2) aerosol reanalysis
- 139 (Randles et al., 2017) and the Copernicus Atmosphere Monitoring Service ReAnalysis
- 140 (CAMSRA) (Inness et al., 2019) allow us to investigate this relationship in a more consistent
- 141 manner over their joint time period to provide a degree of statistical robustness.
- 142 In section 2, an introduction to the aerosol and large-scale environmental data and the analysis
- 143 methods employed is provided. Section 3 presents the DAOD climatology, its interannual
- 144 variability over the Atlantic, and comparisons of the three aerosol reanalyses. This section also
- evaluates correlations between DAOD and Atlantic TC activity, as well as the relationship
- 146 between DAOD and large-scale environmental conditions and climate modes. The sensitivity of
- 147 the results to the definition of the regions, the number of composite years used, and the definition
- 148 of dust seasons are provided in section 4. A discussion and conclusions are given in section 5.

#### 149 2. Data and Methods

150 2.1 Methods

- Regardless of the underlying mechanisms, as there are contradicting mechanisms proposed in
- different studies, the goal of this study is to examine if there is a robust and statistically
- 153 significant relationship between African dust and Atlantic TC activity on seasonal to interannual
- time scales. We also examine if there are confounding factors, for example, meteorological
- 155 conditions and climate modes that co-vary with dust and hence influence TC activity.
- 156 We use dust AOD (DAOD) to represent Atlantic dust levels. Three aerosol reanalysis products,
- and their consensus DAOD are used in order to increase the fidelity of the analysis result, given
- that multi-model-consensus typically has been shown to have better data quality in prior
- assessments (Sessions et al., 2015; Xian et al., 2019). Various TC count indices and
   Accumulated Cyclone Energy (ACE) (Bell et al. 2000), defined in the next section, are utilized
- Accumulated Cyclone Energy (ACE) (Bell et al. 2000)to represent TC activity.
- to represent re deuvity.
- 162 The Atlantic Main Development Region (MDR) (e.g., Goldenberg et al., 2001), including the
- 163 Caribbean (10-20°N, 85-60°W) and the tropical North Atlantic (10-20°N, 60-20°W), are the
- 164 focus regions for this study (see also Figure 2 for a spatial representation of the two subregions).
- 165 Most previous studies of dust impacts on TC activity have focused on the tropical North Atlantic
- 166 or regions closer to the African continent (e.g., Karyampudi and Pierce, 2002; Bretl et al. 2015;
- 167 Pan et al., 2018) where DAOD is relatively high. However significant dust pulses can also be
- transported into the Caribbean. We therefore expand our study area to explore the potential
- 169 impacts of high levels of dust in the Caribbean on Atlantic TC activity. This allows us to explore
- regional differences in the dust-TC relationship. Statistical relationships between DAOD and TC activity over the MDR are investigated using the three aerosol reanalyses and multi-reanalysis-
- activity over the MDR are investigated using the three aerosol reanalyses and multi-reanalysisconsensus (MRC). The results obtained herein also help us assess the potential of using DAOD
- 173 to aid in future Atlantic seasonal hurricane forecasts.
- 174 The correlations between variables of interest are based on the Pearson correlation coefficient.
- 175 Statistical significance is assessed at the 95% level using a two-tailed Student's t-test.
- 176 Correlations  $\geq 0.51$  are statistically significant given that a 16-year time period (e.g., 2003-
- 2018) is investigated here. For partial correlation analysis, partial correlations >=0.55 are
- statistically significant at the 95% level with 13 degrees of freedom. The criteria for statistical
- 179 significance with various degrees of freedom can also be obtained at:
- 180 <u>https://www.esrl.noaa.gov/psd/data/correlation/significance.html</u>.

#### 181 2.2 Aerosol data

- 182 A combination of aerosol reanalyses are used to describe the aerosol environment over the
- tropical North Atlantic and Caribbean. An aerosol multi-reanalysis-consensus (MRC) based on
- three aerosol reanalysis products, including the NAAPS-RA (Lynch et al., 2016) from the US
- 185 Naval Research Laboratory, MERRA-2 (Randles et al., 2017) from NASA, and CAMSRA
- 186 (Inness et al., 2019) from ECMWF, are also generated and used. The analysis period is focused
- 187 on 2003-2018, when all three aerosol reanalyses are available and both Terra and Aqua Moderate
- 188 Resolution Imaging Spectroradiometer (MODIS) AOD retrievals were assimilated therein.

#### 189 2.2.1 NAAPS AOD reanalysis

The NAAPS-RA product provides 550 nm speciated AOD at a global scale with  $1^{\circ}x1^{\circ}$  degree spatial and 6-hourly temporal resolution for the years 2003-2018 (Lynch et al., 2016). This reanalysis uses a modified version of NAAPS and assimilates quality-controlled AOD retrievals

193 from MODIS on Terra and Aqua and the Multi-angle Imaging SpectroRadiometer (MISR) on

194 Terra (Zhang et al., 2006; Hyer et al., 2011; Shi et al., 2011). NAAPS characterizes

anthropogenic and biogenic fine aerosol species (ABF), dust, sea salt and biomass burning

smoke aerosols. The aerosol source functions were tuned regionally so that a best match between the model coarse and fine mode AODs and the Aerosol Robotic Network (AERONET) AODs

can be obtained. Other model processes, e.g. deposition, were also tuned to minimize the AOD

difference between the model and quality-controlled satellite AOD retrievals. NOAA Climate

200 Prediction Center MORPHing (CMORPH) precipitation derived from satellite observations

201 (Joyce et al., 2004) is used to correct precipitation biases in the tropics for better AOD analyses

202 through wet deposition processes (Xian et al., 2009). The reanalysis captures the decadal AOD

trends detected using standalone satellite products in other studies (e.g., Hsu et al., 2012; Zhang

et al., 2017), demonstrating the quality of the reanalysis product for climate studies. The

205 NAAPS-RA data for May 2017 - November 2018 was generated by assimilating MODIS DA-

quality AOD only without MISR AOD assimilation because of the unavailability of MISR DAquality data at the time of this study. The impact of not including MISR is expected to be minor

as MISR provides only about 10% of the total assimilated AOD data. Additionally, differences

between monthly mean DA-quality AOD over the MDR region derived using both MODIS and

210 MISR versus using only MODIS were found to be negligible (not shown).

# 211 2.2.2 MERRA-2 AOD reanalysis

As part of the upgrade from the original MERRA reanalysis (Rienecker et al., 2011) based on the

213 Goddard Earth Observing System (GEOS) Earth system model, MERRA-2 now incorporates

assimilation of AOD from a variety of remote sensing sources, including AERONET, MODIS,
 and MISR after 2000, and AVHRR before 2002. The aerosol module used for MERRA-2 is the

Goddard Chemistry, Aerosol, Radiation, and Transport model (GOCART; Chin et al. 2000;

217 Colarco et al., 2010), which provides simulations of dust, sea salt, black and organic carbon, and

sulfate aerosols, and is run radiatively coupled to the GEOS AGCM. A detailed description and

219 validation of the AOD reanalysis product can be found in Randles et al. (2017) and Buchard et

al. (2017). For the purpose of this study, monthly mean DAOD at 550 nm with  $0.5^{\circ}$  latitude and

221 0.625° longitude spatial resolution is used. MERRA-2's longer data record (1981-present)

would have made it an ideal candidate for a longer-period analysis of the relationship between

DAOD and TCs. However, the volcanic eruptions of El Chichon (1982) and Pinatubo (1991)

result in high AOD for several years following each event, and the particle property assumptions in MERRA-2 do not properly apportion the assimilated AOD increments among the simulated

in MERRA-2 do not properly apportion the assimilated AOD increments among the simulated aerosol species. For the 2003-2018 time period, MERRA-2 AOD data has similar validation

aerosol species. For the 2003-2018 time period, MERRA-2 AOD data has similar validation statistics compared to NAAPS-RA and CAMSRA at the sites located off of the coast of West

Africa and the Caribbean, as shown in Table 1.

# 229 2.2.3 CAMSRA AOD reanalysis

230 Under the banner of the Copernicus Atmosphere Monitoring Service (CAMS), operated by

ECMWF on behalf of the European Commission, a new global reanalysis of atmospheric

composition has been produced: CAMSRA (Inness et al., 2019). This is the successor to the

233 MACC reanalysis (Inness et al., 2013) and CAMS interim reanalysis (Flemming et al., 2017)

produced previously at ECMWF. The dataset spans the period 2003–2018 and is being continued

for subsequent years. The model component is based on the same Integrated Forecasting System

236 (IFS) used at ECMWF for weather forecasting and meteorological reanalysis, but at a coarser

- 237 resolution and with additional modules activated for prognostic aerosol species (dust, sea salt,
- organic matter, black carbon and sulphate) and trace gases. The impact of the aerosols (and
- ozone) on radiation and thereby on meteorology is included in the model. For aerosol,
  observations of total AOD at 550nm are assimilated from MODIS (Terra and Aqua) for the
- whole period, and from the Advanced Along-Track Scanning Radiometer for 2003–2012, using a
- 4D variational data assimilation system with a 12-hour data assimilation window along with
- meteorological and trace gas observations. The speciated AOD products used in this study are
- available at a 3-hourly temporal resolution and a  $\sim 0.7^{\circ}$  spatial resolution. Model development
- has generally improved the speciation of aerosols compared with earlier reanalyses, and
- evaluation against AERONET is largely consistent over the period of the reanalysis. There is a
- 247 known issue regarding a significant overestimation of sulfate near outgassing volcanoes;
- however this is unlikely to have much relevance to the regions considered in this study.

### 249 2.2.4 AOD multi-reanalysis-consensus (MRC)

250 Based on the three aerosol reanalysis products described above, we made a MRC product

- 251 following the multi-model-ensemble method of the International Cooperative for Aerosol
- 252 Prediction (ICAP, Sessions et al., 2015; Xian et al., 2019). The MRC is a consensus mean of the
- three individual reanalyses, with a 1°x1° degree spatial and monthly temporal resolution.
- 254 Speciated AODs and total AOD at 550nm for 2003-2018 are available. This new product is
- validated with ground-based AERONET observations for African-dust-influenced regions,
- 256 including the western coast of North Africa and the Caribbean Sea. Validation results in terms of
- 257 RMSE for total and coarse-mode AODs are presented in Table 1. Similar to the ICAP multi-
- model-ensemble evaluation result, the MRC is found to generally be the top performer among all
- 259 of the reanalyses for the study region.

#### 260 2.2.5 AErosol RObotic NETwork (AERONET) fine and coarse mode AOD

AERONET is a ground-based global scale sun photometer network that includes instruments to 261 measure sun and sky radiance at wavelengths ranging from the near ultraviolet to the near 262 infrared during daytime hours. This network has been providing high-accuracy and high-quality 263 264 measurements of aerosol properties since the 1990s (Holben et al., 1998; Holben et al., 2001) and is often used as the primary dataset for validating aerosol optical properties in satellite 265 266 retrievals and model simulations (e.g., Levy et al., 2010; Colarco et al., 2010; Kahn & and Gaitley, 2015). Only cloud-screened, quality-assured version 3 Level 2 AERONET data are 267 utilized in this study (Giles et al., 2019). AERONET multiple wavelength measurements were 268 269 used to derive both fine and coarse mode AODs at 550 nm based on the Spectral Deconvolution Method (SDA) of O'Neill et al. (2003). The SDA product was verified with in situ 270 measurements (Kaku et al., 2014) and was shown to be able to capture the full modal properties 271 of fine and coarse particles. Temporally, AERONET data are averaged into 6-hr bins centered at 272 the regular model output times of 0, 6, 12 and 18 UTC. Monthly mean AERONET AOD is 273

derived only when the total number of 6-hr AERONET data is greater than 18 to ensure temporal representativeness.

### 276 2.3 Tropical Cyclone data – HURDAT2

277 Atlantic basin TC data were taken from the Atlantic hurricane database version 2 (HURDAT2;

278 Landsea & and Franklin, 2013). This dataset contains six-hourly information (including position,

279 maximum sustained winds, and central pressure - where available) for every TC observed in the

280 Atlantic basin dating back to 1851.

#### 281 2.4 Atmospheric data - ERA-Interim Reanalysis

282 The ERA-Interim Reanalysis (Dee et al., 2011) is a global atmospheric reanalysis produced by

the ECMWF that uses a 4-dimensional variational analysis with a 12-hour analysis window. The

spectral resolution of this data is approximately 80 km (T255) and is available on 60 vertical

levels from the surface to 0.1 hPa and is available from January 1979 – August 2019. We use

286 monthly mean large-scale fields, including vector wind, atmospheric temperature and relative

humidity data on several pressure levels.

### 288 2.5 Oceanic data - NOAA OI SST

289 The National Oceanic and Atmospheric Administration (NOAA) Optimum Interpolation (OI)

SST product (Reynolds et al., 2002) is utilized for SST calculations. NOAA OI SST v2 uses a combination of in-situ data, satellite data, SSTs simulated by sea-ice cover, and bias adjustments

combination of in-situ data, satellite data, SSTs simulated by sea-ice cover, and bias adjustments to arrive at its final estimate of SSTs. NOAA OI SST V2 data is available on a  $1^{\circ}$  x  $1^{\circ}$  grid from

293 November 1981-present.

#### 294 2.6 Climate indices

295 The Oceanic Nino Index (ONI), defined to be a three-month average of the Niño 3.4 (5°S-5°N,

296 170-120°W) index (Barnston et al., 1997) based on centered 30-year periods which are updated

every five years, is utilized to represent the state of El Niño-Southern Oscillation (ENSO). This

index is also used by NOAA to identify ENSO events.

The SST component of the AMM (Kossin <u>& and</u> Vimont, 2007) is investigated to assess the relationship between DAOD and tropical Atlantic oceanic conditions. While the index is not

standardized, we have standardized it by its 1981-2010 average and standard deviation.

### 302 2.7 Derived tropical cyclone indices

303 The genesis potential index (GPI) was calculated using monthly-averaged ERA-Interim data

following Emanuel and Nolan (2004). The maximum potential intensity (MPI) was calculated using monthly-averaged ERA-Interim temperature and moisture and NOAA OI SST following

using monthly-averaged ERA-Interim temperature and moisBister and Emanuel (2002).

#### 307 3. Results

#### 308 **3.1 Dust aerosol optical depth over the MDR (2003-2018)**

Figure 2 shows the MRC monthly DAOD climatology based on the 2003-2018 average as well

as the ratio of DAOD to total AOD for June-October over the tropical Atlantic. Climatologically

311 from June-October, the majority of airborne dust originates from the Sahara Desert, in contrast to

the winter season when a significant amount of dust is emitted over the Sahel and southern

313 Sahara (Engelstaedter <u>& and</u> Washington, 2007). This dust is then transported westward over the

314 Atlantic and eventually to the Caribbean, largely within the 10-25°N latitude belt. The

transported African dust covers most of the Atlantic hurricane MDR, which spans the tropical

North Atlantic and Caribbean. The DAOD over the Atlantic is, on average, much higher in June,

July, and August (JJA) than in September and October because of higher emissions over the

318 African continent in the former months (Carlson and Prospero 1972; Engelstaedter and

319 Washington, 2007; Dunion & Marron, 2008; Dunion, 2011). DAOD is also much higher over

320 the tropical Atlantic than over the Caribbean, as dust aerosols are removed by wet and dry

321 processes during the long-range transport. The MRC shows that dust aerosols are the dominant 322 contributor to the total AOD in the MDR during most of the hurricane season (June-October).

The DAOD accounts for about 50-60% of the total AOD over the tropical North Atlantic and

around 30-50% over the Caribbean for JJA. This suggests that the total AOD can be a relatively

325 good indicator of DAOD in the tropical North Atlantic but is not as good of an indicator in the

Caribbean for JJA. The DAOD contribution to total AOD is about 10-20% less for September

and October. Considering the potential larger forcing by airborne dust in JJA than in September

and October, the focus season in this study is JJA.

329 As transport of Saharan dust across the Atlantic during summer is often associated with SAL

outbreaks, which are approximately centered around 700 hPa (Dunion & 2004;

Dunion, 2011), monthly climatological 700 hPa relative humidity (RH) and horizontal wind are

also shown in Figure 2. Climatologically, the MDR is dominated by the mid-level easterly jet (7-

 $8 \text{ m s}^{-1}$ ) during JJA and weaker easterlies (5-6 m s<sup>-1</sup>) during September and October. Over the

Caribbean, the wind direction veers slightly towards the north for all of the studied months and relates to this region being typically positioned on the west side of the climatological Atlantic

subtropical ridge. 700 hPa RH is on the order of 40% and 50% for the tropical Atlantic and the

Caribbean respectively for JJA and is about 10% higher in September and October. RH is higher

in the Caribbean than in the tropical North Atlantic as the impact of dry air from the SAL and

339 from upper-level subsidence becomes weaker from east to west. For context, the Atlantic moist

tropical sounding, defined in Dunion (2011), has average 700 hPa winds of  $3.6 \text{ m s}^{-1}$  at  $112^{\circ}$ 

(wind direction) and 66% RH, while the mean SAL sounding has corresponding values of 7.8 m  $^{-1}$  at 91° and 34% PH

342 s<sup>-1</sup> at 91° and 34% RH.

Figure 3 shows the monthly mean AERONET version 3 L2 and MRC 550 nm modal AOD time 343 series at four AERONET sites that are primarily influenced by African dust. From east to west, 344 these sites include Dakar, Senegal (14.4°N, 17.0°W), Cape Verde (16.7°N, 22.9°W), Ragged 345 Point, Barbados (13.2°N, 59.4°W), and La Parguera, Puerto Rico (18.0°N, 67.0°W), which are 346 also marked in Figure 1. The boreal summer peak dust activity (i.e., JJA) is highlighted. Dust 347 aerosols are typically considered coarse-mode, although there may be a very small amount of 348 mass in fine-mode. The fine-mode AOD observed in AERONET measurements for these sites 349 are normally dominated by pollution and biomass-burning smoke. Dakar and Cape Verde 350 experience dust aerosols throughout the year, with a peak in JJA and a weaker secondary peak 351 during the boreal winter, which is associated with dust emissions from the Sahel. The Ragged 352 Point and La Parguera sites, which are remote receptor sites in the Caribbean, are influenced by 353 African dust predominantly during boreal summer, thus displaying a pronounced peak of total 354 and coarse-mode AODs in JJA. The secondary AOD peak during winter at Dakar and Cape 355 Verde is generally not observed at Ragged Point or La Parguera, as African dust is transported to 356 357 the south following the low-to-mid-level trade wind flow, occasionally reaching South America

358 (Prospero, 2014).

Figure 3 also shows the bias, the root mean square error (RMSE) of MRC and the correlation (r)359 between MRC and AERONET for monthly AODs at each of the four AERONET sites. Overall, 360 MRC follows the seasonal and interannual variability in AERONET data for the total AOD quite 361 well, and to a slightly lesser extent for the coarse-mode AOD. Coarse-mode aerosols include dust 362 and sea salt, but are dominated by dust aerosols over the tropical North Atlantic for JJA (Figure 363 2c, speciated AOD cannot be obtained from AERONET measurements). The correlation is >~0.9 364 365 for the coarse-mode AOD and tends to be better at the long-range transport sites (i.e., Ragged 366 Point and La Parguera) than the sites close to the dust source (i.e., Dakar and Cape Verde). The correlation is slightly lower in the source area than in the long-range transport region because 367 there are large uncertainties in emissions and strong gradients due to local aerosol sources in the 368 source area. Also, the source area allows less time for AOD data assimilation to correct aerosol 369 mass loads, in addition to a lower signal/noise ratio of AOD retrievals over land than over water 370 (e.g., Levy et al., 2005). The small bias, low RMSE and the high correlation with AERONET 371 data illustrate the ability of MRC to capture the aerosol environment in the MDR. In addition, all 372 of the three individual aerosol reanalyses that form the foundation of MRC have similar 373 verification scores against AERONET, though the MRC typically has a better verification score 374 than the individual reanalyses (Table 1). If we were to give different qualitative ratings for the 375 three individual reanalyses for the study area, MERRA-2 is slightly better over North Africa and 376 377 NAAPS-RA is slightly better over the Caribbean in terms of coarse-mode and total AOD RMSEs. 378

379 Figure 4 shows the time series of monthly mean and regionally-averaged DAOD from MRC and the three contributing reanalyses from 2003-2018 for the tropical North Atlantic and Caribbean 380 as defined in Fig. 2. The DAODs from the three reanalyses have similar seasonal and interannual 381 382 variability and are highly correlated, with  $r \ge 0.95$  for the entire 16-yr period and  $r \ge 0.85$  for JJA for both regions based on monthly means. The magnitudes of JJA DAOD from the three 383 individual reanalyses are comparable over the tropical North Atlantic, with a 20% maximum 384 difference among the three products based on JJA DAOD. The climatological average of JJA 385 DAOD over the tropical North Atlantic is 0.21. The difference can be as much as  $\sim 0.06$  (a  $\sim 60\%$ 386 difference between member products) for the Caribbean. The climatological average of JJA 387 DAOD in the Caribbean is 0.10. Since the total and coarse-mode AOD verification statistics for 388 the three products at the Caribbean AERONET sites are similar (Table 1), the DAOD difference 389 is most likely due to the different partitioning of aerosol species (e.g., dust versus sea salt 390 aerosols) during the total AOD data assimilation process. This is related to the fact that total 391 392 AOD is the only aerosol property constrained by satellite observations through AOD data assimilation in all three aerosol reanalysis products, while speciated AOD is not constrained 393 (Lynch et al., 2016; Randles et al., 2017; Inness et al., 2019). Nevertheless, the DAOD from the 394 MRC is likely the most reliable given the generally better performance of multi-aerosol model 395 consensus compared to individual aerosol models (Sessions et al., 2015; Xian et al., 2019). 396

# 397 3.2 Relationship between North Atlantic TC activity and JJA DAOD

Accumulated Cyclone Energy (ACE) is often utilized to represent TC activity and is defined to be the square of the one-minute maximum sustained wind speed at each six-hourly interval when

400 a tropical or subtropical cyclone (with maximum sustained winds >=34 kt) is present (Bell et al.,

401 2000). Basin-wide ACE is used here, as it is assumed that MDR conditions affect to some extent,

402 the ACE of all storms that pass through the MDR, including those that later moved out of the

MDR. For example, we hypothesize that in an active dust year, the suppressed conditions in the
 MDR would make for weaker, less organized AEWs that have less of a chance for formation
 even if they do eventually move out of the MDR. Later we show in Table 2 that using ACE
 generated in the Caribbean domain yields a consistent (same sign) yet stronger correlation

407 relationship between DAOD and ACE.

408 Atlantic TC activity shows a statistically significant relationship with regionally-averaged

409 Caribbean JJA DAOD. Figure 5a displays this relationship, with higher Caribbean DAOD

410 correlating (r = -0.61 with MRC DAOD and r of similar magnitudes from all three individual 411 reanalyses and exceeding the two-tailed 95% statistical significance level) with quieter Atlantic

hurricane seasons as quantified by ACE. While tropical North Atlantic DAOD and Caribbean

413 DAOD in JJA correlate strongly (r = 0.88), Figure 5b shows that the relationship between

414 DAOD and ACE is weaker in the tropical North Atlantic than in the Caribbean. The correlation

415 between tropical North Atlantic DAOD and ACE is -0.41, which falls below the statistical

416 significance threshold (correlations with MERRA-2 and CAMSRA DAODs are also

417 insignificant). We will show in the next section that the relationship between large-scale fields

418 known to impact Atlantic TC activity also tend to have higher correlations with JJA Caribbean

419 DAOD than with JJA tropical North Atlantic DAOD.

420 Given the strength of the relationship between Caribbean DAOD and seasonal Atlantic ACE, we

421 next investigate the relationship in extreme JJA DAOD seasons. We take the three seasons from

2003-2018 when JJA Caribbean DAOD was at its highest levels and when it was at its lowestlevels.

The three seasons with the highest JJA Caribbean DAOD were 2018, 2015 and 2014 in

425 descending order, and the three seasons with the lowest JJA Caribbean DAOD were 2005, 2011

and 2017 in ascending order based on MRC. The left column of Figure 6 shows DAOD

427 composites for the three high and the three low JJA Caribbean DAOD seasons and their

428 differences. DAOD is not only higher over the MDR in the high Caribbean DAOD seasons, but

429 dust aerosols are transported farther to the west. DAOD differences between the extreme high

and low DAOD seasons over the tropical North Atlantic and Caribbean are ~0.05-0.08. In fact,
the three high dust years have roughly 60% more DAOD in the Caribbean than the three low

the three high dust years have roughly 60% more DAOD in the Caribbean than the three low dust years (regional average of 0.13 vs. 0.08), while these differences are not as large in the

432 tropical North Atlantic. The transport pathway of dust is also shifted slightly to the south in the

434 tropical North Atlantic in the three high DAOD seasons.

435 The right column of Figure 6 displays the JJA-averaged 850 hPa winds and 700 hPa RH for the

436 three high and three low JJA Caribbean DAOD seasons, and the difference between these high

437 and low seasons. Large-scale conditions over the Caribbean during JJA were much less

438 conducive for TCs in the high DAOD seasons, with drier middle levels (>10% relative humidity

difference) and stronger easterly trade winds (2-4 m s<sup>-1</sup> stronger), implying an overall less

440 hurricane-favorable dynamic and thermodynamic environment. This is consistent with the

441 depiction of the thermodynamic structure of the SAL by Dunion and Velden (2004). The

442 stronger AEJ associated with higher DAOD is also consistent with modeling studies when 443 aerosol radiative effects are taken into account (Tompkins et al., 2005). Associated with high

444 Caribbean DAOD, the position of the center of the Azores High was slightly shifted to the

southwest, which facilitates stronger dust transport into the Caribbean. This is in agreement with

the findings of Riemer and Doherty (2006) who suggested the importance of the position of the

Azores High in African dust transport across the Atlantic, although their study was only during 447 the boreal winter. As would be expected from these large-scale conditions, Atlantic TC activity 448

was much higher in low JJA Caribbean DAOD seasons. 449

Table 2 displays observed Atlantic TC activity as well as the ratios of observed average seasonal 450

451 Atlantic TC activity in the three low JJA Caribbean DAOD seasons versus the three high

Caribbean DAOD seasons. Atlantic basin-wide numbers of tropical depressions, named storms, 452

hurricanes, major (Category 3+ on the Saffir-Simpson Hurricane Wind Scale) hurricanes and 453

ACE are higher by a factor of  $\sim 1.9 - \sim 2.8$  in the three low relative to the three high Caribbean 454

DAOD seasons. The ratios are even higher (e.g., 12 times higher for ACE) for TC activity in the 455

Caribbean. The 2018 Atlantic hurricane season was an interesting case, as it was an above-456 457

average overall hurricane season (as measured by ACE), but much of the ACE that was

generated that year occurred outside of the tropics (>23.5°N) (Saunders et al. 2020). Very little 458

activity occurred in the Caribbean in 2018. 459

In addition, the ratio for major hurricanes is higher than the ratios for tropical depressions, 460

named storms, and hurricanes, indicating a stronger relationship between dust aerosols and 461

intense storms than between dust aerosols and weak storms. A total of 17 major hurricanes were 462

observed in the Atlantic in the three low Caribbean DAOD seasons, compared with only 6 major 463

hurricanes in the three high Caribbean DAOD seasons. The three low Caribbean DAOD seasons 464

had six continental United States major hurricane landfalls (2005 Hurricanes Dennis, Katrina, 465 Rita, and Wilma and 2017 Hurricanes Harvey and Irma), while the three high Caribbean DAOD 466

seasons had one continental United States major hurricane landfall (2018 Hurricane Michael). 467

Figure 7 displays the named storm formation location of all Atlantic TCs in the three seasons 468

with the highest JJA Caribbean DAOD and the three seasons with the lowest Caribbean DAOD 469

along with the maximum intensity that these TCs reached. As would be expected from the 470

471 differences in large-scale conditions noted earlier, TCs that became major hurricanes formed

472 much more frequently south of 20°N in the three lowest Caribbean DAOD seasons than in the

three highest Caribbean DAOD seasons. These differences were most pronounced in the 473

Caribbean, with only one named storm (Hanna in 2014) forming in the Caribbean in the three 474

475 highest JJA Caribbean DAOD seasons. In the three lowest Caribbean JJA DAOD seasons, 11

476 named storms formed in the Caribbean.

#### 3.3 Relationship between JJA DAOD and large-scale atmosphere/ocean fields 477

We next examine the relationship between JJA DAOD and large-scale atmosphere/ocean fields. 478

In this analysis, we begin by focusing on several fields that have been documented in prior 479

research to significantly impact Atlantic TC activity: 850 hPa zonal wind (850 hPa U), 200 hPa 480

zonal wind (200 hPa U), zonal wind shear between 200 hPa and 850 hPa, 700 hPa RH, 850 hPa 481

relative vorticity and SST (Gray, 1968; Saunders et al., 2017). More active Atlantic hurricane 482

seasons are typically associated with anomalous westerly 850 hPa U (e.g., weaker trade winds), 483

anomalous easterly 200 hPa U (counteracting prevailing upper-level westerlies) and thus weaker 484

485 wind shear, higher 850 hPa relative vorticity, higher mid-level relative humidity and

anomalously warm SSTs. We investigate the relationships with DAOD in the tropical North 486

Atlantic and the Caribbean as defined in Figure 2. 487

Figure 8 displays the correlation between regionally-averaged MRC JJA DAOD in the Caribbean 488 and the six large-scale fields just discussed. Higher JJA Caribbean DAOD is associated with 489 stronger 850 hPa easterly trades and increased 200 hPa upper-level westerlies (and hence 490 stronger vertical wind shear), drier air at 700 hPa and anomalously cool SST across the MDR. 491 Weaker 850 hPa relative vorticity also predominates over most of the Caribbean. However, 492 almost no correlation is found between Caribbean JJA DAOD and 850 hPa relative vorticity in 493 the tropical North Atlantic, possibly due to the counteracting role of covariability of African dust 494 emissions and AEWs - as was inferred from a positive correlation between the two by 495 Karyampudi and Carlson (1988). This result is also consistent with Figure 7 which shows more 496 named storms and therefore likely stronger AEW activity right off of the coast of west Africa 497 between 10-20°N in high dust DAOD years. This result reflects the complexity of the TC-dust 498 interaction relationship. In addition, the vorticity field is extremely noisy. Figure 8f is extended 499 to include the eastern and central tropical Pacific in order to investigate the potential relationship 500 between ENSO and DAOD, with Caribbean DAOD showing a significant positive relationship 501

502 with ENSO.

503 The relationship between the same six large-scale fields and JJA tropical North Atlantic DAOD

is considerably weaker, with lower correlations observed for all six fields (Figures S2). In

<sup>505</sup> addition, the regions with significant correlations decrease in spatial extent relative to the

506 Caribbean DAOD correlations shown in Figure 8.

We next investigate Maximum Potential Intensity (MPI), an integrated TC index, which 507 combines a list of key factors (similar to those explored above). MPI assesses how conducive 508 atmospheric thermodynamic conditions are for TC intensification, providing a theoretical limit of 509 the strength of a TC (Holland, 1997; Bister and Emanuel, 1998). Figures 9a and c show the 510 511 correlations between the Caribbean region-averaged JJA DAOD and JJA/ASO MPI calculated based on Bister and Emanuel (1998). Consistent with the results for the individual large-scale 512 513 fields, JJA MPI over the MDR exhibits strong negative correlations with JJA Caribbean DAOD (~ -0.7, also Table 3). One of the primary inputs to the MPI calculation is SST, so the strong 514 inverse relationship between MPI and DAOD is expected given the strong inverse relationship 515 between SST and DAOD. The correlation is significant but weaker for ASO MPI for most of the 516 MDR, except for the eastern tropical North Atlantic, where the negative correlation drops below 517 statistical significance. The negative correlation of JJA/ASO MPI with JJA tropical North 518 Atlantic region-averaged DAOD is much weaker than that with JJA Caribbean DAOD in the 519 MDR (Figure 9b and d) and drops below statistical significance in the Caribbean. 520

The genesis potential index (GPI) is another integrated TC index that is often used to provide an 521 estimate of the potential for tropical cyclogenesis (e.g., Emanuel and Nolan, 2004; Camargo et 522 al., 2007). Monthly GPI is calculated following Emanuel and Nolan (2004). Figure 10 shows the 523 correlation between region-averaged JJA DAODs and JJA and ASO GPI. Consistent with the 524 results for the individual large-scale fields and MPI, JJA GPI over the MDR exhibits strong 525 negative correlations (~-0.7, also Table 3) with JJA Caribbean DAOD. Similar to MPI, GPI is 526 also directly related to SST via the potential intensity term, so given the negative correlation 527 between DAOD and SST, we would expect a negative correlation between GPI and DAOD. 528 Other terms also comprise the GPI, including vertical wind shear and mid-level moisture, which 529 also correlate negatively with DAOD. The correlation remains statistically significant but is 530 weaker for ASO GPI for most of the MDR. The exception is the eastern tropical North Atlantic, 531

where the negative correlation drops below 95% statistical significance. The negative correlation
of JJA/ASO GPI with JJA tropical North Atlantic region-averaged DAOD is much weaker than
that with JJA Caribbean DAOD in the MDR (Figure 10b and d), which is also consistent with the

535 result for the individual large-scale fields and MPI.

Table 3 summarizes the relationship between large-scale atmosphere/ocean fields, MPI, GPI, and

537 DAOD, with correlations displayed between JJA region-averaged DAOD and concurrent region-538 averaged fields (i.e., JJA-averaged), as well as the large-scale region-averaged fields during the

peak of the Atlantic hurricane season from August-October. 850 hPa relative vorticity is

540 excluded as no statistically significant correlations are found. While the correlations between the

541 other five large-scale fields, MPI, GPI, and DAOD tend to weaken from JJA to ASO, the

542 correlations remain significant for all of these large-scale fields, and the integrated TC indices, in

the Caribbean during ASO. For the tropical North Atlantic, JJA DAOD has much weaker and

insignificant correlations with JJA 200 hPa zonal wind, wind shear and 700 hPa RH compared to

those for the Caribbean. However its negative correlation with SST is as strong as that for the

546 Caribbean in JJA and remains statistically significant from JJA to ASO, although the magnitude

of the correlation is weaker in ASO. These contribute to a negative correlation with MPI and GPI

<sup>548</sup> and a stronger correlation during JJA than during ASO.

549 Part of the reason for the rapid decrease in the strength of the correlations in the tropical North

550 Atlantic is due to relatively low correlations between JJA and ASO values of large-scale

parameters in that portion of the basin, indicating a lack of persistence in atmosphere/ocean

552 conditions when compared with the Caribbean (Table 4). The persistence of the 700 hPa RH and

553 850 hPa U fields in the tropical North Atlantic is especially low compared to other fields.

#### 554 **3.4 Relationship between JJA DAOD and large-scale climate modes**

555 We next explore the relationship between JJA-averaged DAOD and two large-scale climate

556 modes that have been documented in many studies to impact Atlantic TC activity: ENSO (e.g.,

557 Gray, 1984; Goldenberg <u>& and</u> Shapiro, 1996; Klotzbach, 2011; Klotzbach et al., 2018) and the

558 AMM (e.g., Kossin <u>& and</u> Vimont, 2007; Patricola et al., 2014). El Niño typically reduces

559 Atlantic TC activity through several mechanisms including increasing westerly wind shear

solution especially over the Caribbean (Gray, 1984) and through upper-level tropospheric warming,

causing increased static stability and inhibiting deep convection (Tang & and Neelin, 2004). The

562 AMM has also been suggested in prior research to significantly impact Atlantic TC activity

[563 (Kossin <u>& and</u> Vimont, 2007), especially when combined with ENSO (Patricola et al., 2014). A

positive phase of the AMM is associated with a warmer than normal tropical Atlantic,

565 anomalously low sea level pressure and anomalously weak trade winds - all of which favor

566 Atlantic TC formation (Kossin <u>& and</u> Vimont, 2007).

Table 5 displays the correlations between JJA regionally-averaged DAODs from the different

568 aerosol reanalysis products and the JJA and ASO ENSO (as represented by the ONI) and AMM

indices. There is a positive correlation between JJA Caribbean DAOD and the concurrent

570 (significant at the 90% level) and ASO ONI (significant at the 95% level) using MRC and

571 NAAPS-RA, and the correlations with the ONI increase from JJA to ASO. The correlation

572 between JJA tropical North Atlantic DAOD and JJA/ASO ENSO is not significant, however, 573 consistent with previous studies (Lau and Kim, 2007; Doherty et al., 2014). ENSO events

climatologically intensify from boreal summer to boreal autumn (Harrison & and Larkin, 1998),

which may be part of the reason for the increase in significance of the correlations from JJA toASO. In addition, this likely also explains part of the reason why Atlantic TC activity correlates

577 more strongly with Caribbean DAOD than with tropical Atlantic DAOD, given the pronounced

impact that ENSO has on the Caribbean large-scale environment (Gray, 1984). Figure S3, in

579 which JJA composites of MRC DAOD, 850 hPa horizontal wind and 700 hPa RH for the three 580 top El Nino and La Nino ENSO years (based on JJA ONI) are shown, corroborates that stronger

top El Nino and La Nino ENSO years (based on JJA ONI) are shown, corroborates that stronger dust transport into Caribbean occurs during El Nino years without necessarily strong emissions

over Africa and high DAOD over tropical North Atlantic. We also note that 2015 is both an El

583 Niño and a high DAOD year, while 2011 is both a La Niña and low DAOD year. Removing the

two overlapping years in the composites leads to similar results except that DAOD differences

585 between El Nino and La Nino years are more negative in the tropical North Atlantic, additionally

supporting the insignificant correlation between ENSO and tropical North Atlantic DAOD.

The correlations between JJA Caribbean DAOD and JJA AMM are consistently strong and negative (~-0.7) with all of the aerosol reanalyses (Table 5). As might be expected given that the signal of the AMM is climatologically strongest in the boreal spring and weakens in the boreal summer and fall (Kossin & and Vimont, 2007), correlations of JJA DAOD are weaker with the ASO AMM than with the JJA AMM. Negative correlations are also obtained between the JJA tropical North Atlantic DAOD and the JJA AMM, while the correlation with the ASO AMM is

593 weak and not statistically significant in general. The negative correlations between JJA DAODs

and the AMM are consistent with Evan et al. (2011)'s study on the radiative effect of dust

aerosols on the AMM. That study showed DAOD to be not only negatively correlated with the AMM but that its variability was found to excite the AMM on interannual to decadal time scales.

So far we have shown that Caribbean DAOD is correlated with Atlantic basin-wide ACE as well as two-large scale climate modes: ENSO and the AMM. Both of these modes have been shown to also impact Atlantic TC activity. To remove the influence of these climate indices from the relationship between DAOD and ACE, we use partial correlation analysis (Sharma et al., 1978).

Table 6 shows the partial correlation matrix between JJA Caribbean and tropical North Atlantic DAOD and annual Atlantic basin-wide ACE while controlling for the ONI and AMM indices,

respectively. Removing the influence of ENSO causes little change in the negative correlation

between MRC Caribbean JJA DAOD and ACE. The correlation remains statistically significant,

<sup>605</sup> suggesting that ENSO is not primarily responsible for the negative correlation between

606 Caribbean DAOD and Atlantic ACE, at least during the study period. The correlation between

tropical North Atlantic JJA DAOD and ACE also changes little, although the correlation is weak

and not statistically significant initially. We note that the correlation between ONI and ACE is

609 very weak and is not significant during the 2003-2018 study period, partially due to the 610 avtramely active 2004 Atlantic hurricane season which occurred despite a weak EL Niño ave

extremely active 2004 Atlantic hurricane season which occurred despite a weak El Niño event.

611 In contrast to the findings of removing ENSO from the DAOD-ACE relationship, after removing

the influence of the AMM, the correlation between Caribbean JJA DAOD and Atlantic ACE is

much weaker and drops to insignificant levels, suggesting that the AMM is an important factor in

the dust-TC relationship. However, it is hard to argue that the AMM is the determining factor in

the dust-TC relationship, as the correlation of ACE with JJA Caribbean DAOD is slightly higher

616 than with the JJA AMM (-0.61 vs. 0.59). When the partial correlation is calculated between the 617 AMM and ACE while removing the Caribbean dust (DAOD) influence, the correlation drops

AMM and ACE while removing the Caribbean dust (DAOD) influence, the correlation drops from 0.59 to an insignificant level ( $r = \sim 0.26$ ), independent of season examined. This indicates that Caribbean DAOD is, in turn, an important factor in the AMM-TC relationship. When the

620 TATL JJA DAOD is removed, the correlation between AMM and ACE is also reduced, implying

621 that the AMM/DAOD/TC relationship is strongly intertwined. This is physically feasible, as the

dust radiative forcing can result in cooler SST, which can introduce anomalously high sea level

pressure and stronger trade winds (and therefore stronger vertical wind shear), which is
 characteristic of a negative AMM. This air-sea coupled response to dust radiative forcing can act

over relatively short timescales (e.g., one to two months) (Evan et al. 2011). Additionally, the

dryness of the dusty air and the radiative heating of the dust layer, can lead to stronger vertical

627 wind shear and a more stable lower atmosphere, all in line with a negative AMM that creates an

628 environment that is detrimental for TC formation and development. It could be argued that the

629 negative correlation between DAOD and Atlantic TC activity may be a result of forcing of the

630 AMM by African dust.

# 631 4. Sensitivity tests

632 The sensitivity of our results to the domain definitions of the tropical North Atlantic and the

633 Caribbean regions is explored by defining equal areas (shifting the separation longitude to

 $52.5^{\circ}$ W) for the two regions within the MDR, as well as by expanding the two regions by  $5^{\circ}$ 

635 latitude to the north (e.g., to 25°N). Neither of the two new definitions for regions significantly 636 change the correlations between JJA regionally averaged DAOD and large-scale fields (Table

change the correlations between JJA regionally averaged DAOD and large-scale fields (Table
 S1, S2). The correlations between the JJA Caribbean DAOD and ACE are -0.59/-0.58 for the

longitudinal and latitudinal shift respectively, only slightly lower than -0.61 using the default

regional definitions. The correlations between the JJA TATL DAOD and ACE remain

640 insignificant (Table S3).

641 While airborne dust can impact TC activity, once TCs form and develop, both precipitation and

642 strong winds can significantly remove these dust particles. However, the removal effect by TCs 643 cannot primarily explain the negative DAOD-TC relationship. This is because peak dust activity

occurs from June to August with larger DAOD in June and July than in August over the Atlantic,

suggesting that peak DAOD in general leads the peak TC season by  $\sim 1-2$  months (Figure S1).

Using June-July average DAOD instead of June-August average DAOD changes our results only

slightly. For example, the correlation between June-July Caribbean DAOD and Atlantic ACE is -

648 0.58, only slightly lower than that using JJA DAOD (r = -0.61) (Table S3). This has implications

649 for using DAOD as an indicator for seasonal TC forecasts which are often updated in early650 August.

The sensitivity of the composite analysis of high versus low JJA Caribbean DAOD years to the

652 number of years is also explored by using two and four years for composites in addition to three

453 years (Table S4). Consistent results are found across all sensitivity tests. Atlantic basin-wide

numbers of tropical depressions, named storms, hurricanes and major hurricanes, and ACE are

higher (by a factor of 1.6-5) in the low than in the high JJA Caribbean DAOD seasons. The ratios

of observed average seasonal Atlantic hurricanes and major hurricanes in the low versus the high

JJA Caribbean DAOD seasons are generally higher than those of tropical depressions and named
 storms, suggesting a stronger correlation relationship between dust aerosols and intense storms
 than weak storms.

# 660 5. Conclusions and Discussions

The relationship between African dust and Atlantic tropical cyclone (TC) activity has been 661 662 analyzed in many prior studies (e.g., Dunion & and Velden 2004; Evan et al. 2006a; Braun et al. 2013; Pan et al. 2018). This study has revisited this relationship with a statistical analysis using 663 664 three newly available aerosol reanalyses: the Naval Aerosol Analysis and Prediction System reanalysis (NAAPS-RA), the Modern-Era Retrospective analysis for Research and Applications, 665 Version 2 (MERRA-2) aerosol reanalysis, the Copernicus Atmosphere Monitoring Service 666 ReAnalysis (CAMSRA), and a multi-reanalysis-consensus (MRC) based on the three reanalyses 667 for the period 2003-2018. The datasets are validated with ground-based observations for modal 668 (fine, coarse and total) aerosol optical depth (AOD). The MRC data is primarily used in this 669 study as it generally has better verification results than any of the individual reanalysis products. 670 To our knowledge, this is the first climate study using a multi-reanalysis consensus to represent 671 aerosol conditions. Our findings are summarized below: 672

1. Total AOD of the three aerosol reanalysis products are similar for the Atlantic Main 673 674 Development Region, however, AOD attributed to individual aerosol species (such as dust aerosols) can be quite different among the three reanalysis products (Figure 4). June-675 July-August (JJA) dust AOD (DAOD) magnitude can differ by as much as 0.06, 676 corresponding to approximately 60% of the climatological JJA DAOD based on MRC for 677 the Caribbean, and can differ by as much as 0.05, approximately 20% of the 678 climatological JJA DAOD based on MRC for the tropical North Atlantic. This is because 679 total AOD is the only aerosol property constrained by satellite observations through AOD 680 681 data assimilation in all three aerosol reanalysis products, while speciated AOD is not constrained. This also supports the potential usefulness of MRC, as multi-model-682 consensus are found to generally be better performers than individual models in aerosol 683 684 simulations (Sessions et al., 2015; Xian et al., 2019). Despite differences in DAOD magnitude, DAODs of the three reanalysis products correlate significantly over the 685 tropical Atlantic and Caribbean. 686

2. Each of the three individual reanalyses and the MRC have significant and negative 687 correlations between JJA Caribbean DAOD and seasonal Atlantic Accumulated Cyclone 688 689 Energy (ACE) (Table 2). High JJA DAOD in the Caribbean is associated with a less conducive environment for hurricane activity as represented by cooler SST, enhanced 690 vertical wind shear, lower mid-level moisture, and by lower Maximum Potential Intensity 691 (MPI) and Genesis Potential Index (GPI) values (Table 3). Pronounced differences in 692 Atlantic TC activity are seen when examining the three seasons with the highest levels of 693 JJA Caribbean DAOD compared with the three seasons with the lowest JJA Caribbean 694 DAOD (Table 4 and Figure 7). About three times as many major hurricanes occurred 695 during the three lowest DAOD seasons (2005, 2011, 2017) compared with the three 696 highest DAOD seasons (2018, 2015, 2014). Atlantic TC activity is also negatively 697 correlated with tropical North Atlantic DAOD but not as significantly as with Caribbean 698 DAOD for possible reasons discussed in the conclusion that follows. 699

High Caribbean DAOD is typically associated with El Niño conditions, however ENSO does not appear to significantly impact the Caribbean DAOD-ACE relationship. The robust DAOD-ACE correlation still holds after removing ENSO's influence via partial correlation analysis.

4. JJA north Atlantic DAOD and the Atlantic Meridional Mode (AMM) are intertwined in 704 the dust-TC relationship. Both the Caribbean and tropical North Atlantic DAODs have 705 strong negative correlations with JJA values of the AMM index (with a stronger 706 707 correlation for the Caribbean DAOD). Meanwhile, the JJA AMM index correlates 708 significantly with Atlantic ACE. For AMM and DAOD, removing the other in their relationships with ACE dramatically reduces the significance of the correlations based on 709 710 partial correlation analysis. This result supports Evan et al.(2011)'s work, which showed that African dust excited AMM variability on interannual to decadal time scales through 711 radiative forcing of the underlying SST. Consequently, it can be argued that the negative 712 correlation between Caribbean and tropical North Atlantic DAOD and Atlantic TC 713 activity may be a result of forcing of the AMM by African dust. 714

These results agree with previous studies that showed negative correlations between boreal

summer Atlantic dustiness and TC activity (e.g., Dunion and Velden, 2004; Evan et al., 2006a;

Lau and Kim 2007). The correlations obtained in this study, especially those with Caribbean

718 DAOD, are slightly higher than previous studies, including correlations between boreal summer

719 dustiness and ACE (Evan et al., 2006a; Lau and Kim 2007) and between JJA dustiness and

Final ENSO (DeFlorio et al., 2016). We note that the study areas, time periods and study methods are not identical between our study and these previous studies, implying the usefulness of aerosol

not identical between our study and these previous studies, implying the usefulness of aerosol reanalyses in climate studies. Various sensitivity tests show that our results are not sensitive to

the definitions of areas for the Caribbean and tropical North Atlantic, the number of composite

724 years used, or the definition of the dust season (June-July vs. June-August).

Our results also document statistically significant relationships between Atlantic dustiness and 725 large-scale fields (e.g., SST, vertical wind shear, mid-level moisture and relatively vorticity) and 726 727 integrated TC diagnostic indices (e.g., MPI and GPI), which can be seen as confounding factors in the dust-TC relationship. Exploring the causality of the documented negative correlation 728 between DAOD and Atlantic TC activity through modeling experiments is beyond the scope of 729 this paper. However in some modeling studies, radiative forcing of the scattering dust alone 730 could result in an inverse relationship between African dust and Atlantic TC activity (Dunstone 731 et. al, 2013; Strong et al., 2018; Sobel et al., 2019), along with consistent large-scale fields 732 associated with TC activity. So it is possible that radiative forcing of the scattering dust is a 733 dominant factor in the inverse dust-TC relationship on seasonal-interannual and basin-wide 734 scales. After all, aerosols are coupled radiatively with meteorology in the ERA-Interim dataset, 735 which provides the large-scale atmospheric data used in this study. 736

The correlations with Atlantic ACE are higher for Caribbean DAOD than for tropical North
 Atlantic DAOD - a result that was not documented previously. The differences in the

relationships of Atlantic ACE with regional DAOD are potentially due to several factors:

740 a) The large-scale environmental fields investigated herein are better self-correlated

541 between the JJA and ASO seasons over the Caribbean than over the tropical North Atlantic

742 (Table 4). Therefore, the higher correlation with JJA Caribbean DAOD, which reflects the large-

scale circulation, especially for the low- and mid-level wind flow that modulates how far African

dust can be transported, tends to extend into the ASO peak TC season. As was shown in

Saunders et al. (2017), the strength of the low-level winds in the Caribbean tends to be the most

robust diagnostic for seasonal ACE during the peak months of the Atlantic hurricane season.

b) ENSO has a large impact on the Caribbean large-scale environment (Gray, 1984). ENSOforced SST anomalies typically exhibit very strong persistence from JJA to ASO (Harrison & and
Larkin, 1998). As Caribbean JJA DAOD is correlated with ENSO to some extent, it is also
correlated with Atlantic ACE.

c) There might be a regime shift in the integrated outcome of dust-TC interactions from east
to west across the tropical North Atlantic at climate time scales, with the eastern tropical North
Atlantic (e.g., close to the African continent) having a positive correlation (e.g., since dust
emission is often associated with AEWs emerging from Africa; Jones et al., 2003; Karyampudi
and Carlson, 1988) while the correlation becomes more negative heading west across the basin.

d) The relatively larger JJA DAOD variability in the Caribbean (larger relative dynamical
 range of Caribbean JJA DAOD compared to the tropical north Atlantic JJA DAOD over 2003 2018) could contribute to a higher correlation (Figures 3 and 4).

AOD data quality is comparatively better over the Caribbean than over the tropical north
 Atlantic (Table 1; Figure 3), given that AOD reanalyses generally perform better over long-range
 transport regions than they do closer to the aerosol source regions (Xian et al., 2016).

The conclusions drawn from this study are based on 16-year records (2003-2018) of DAOD data from the MRC. We acknowledge that this analysis represents a relatively short timespan for a

climate correlation study. Longer-period aerosol reanalyses with good fidelity are needed for

765 further climate studies. These reanalyses face several challenges including dealing with changes

to the network of AOD-observing satellites over time, as well as reasonable simulations and

767 partitioning of aerosol-speciated AODs associated with rare severe aerosol events (e.g., volcanic

recuptions) within AOD data assimilation systems.

769 It is also worth noting that the 2003-2018 DAOD climatology for the Atlantic MDR could be

1770 low relative to the extremely dusty period of the 1980s that has been documented by long-term

in-situ dust concentration measurements made in Barbados (Prospero, 2014) and a 24-year

eastern North Atlantic dust cover record derived from the Advanced Very High Resolution

Radiometer (AVHRR, Evan et al., 2006). Dust concentration records at Barbados (1965-2011)
 and dust cover determined from AVHRR (1982-2005) both indicate that dust levels over the

North Atlantic peaked during the mid-1980s, when tropical Atlantic TC activity was relatively

low (e.g., Wang et al., 2012). Our findings are consistent with the negative correlations reflected

in both the earlier dust and the earlier tropical Atlantic TC activity records.

Since we note that JJA/JJ Caribbean DAOD are strongly correlated with large-scale atmospheric
 fields which are frequently used in seasonal hurricane forecasts, DAOD may be a useful
 confirmation tool for observed thermodynamic conditions. Most groups issuing seasonal

<sup>781</sup> hurricane forecasts provide an update in early August (immediately prior to the peak of the

782 Atlantic hurricane season). So early season (June-July) DAOD, especially over the Caribbean,

could be a potential indicator for the strength of seasonal Atlantic TC activity. While there is

very strong agreement between large-scale zonal wind fields (e.g., the June-July-averaged 850

hPa zonal wind over the tropical Atlantic correlates at 0.92 between ERA-Interim and MERRA 2, in which impact of aerosols on radiation and thereby on meteorology is included), there is less

agreement with the mid-level moisture field. The correlation between ERA-Interim and

788 MERRA-2 700 hPa RH over the tropical Atlantic averaged over June-July is only 0.72. The

- 789 DAOD could potentially be used to help clarify the favorability/unfavorability of the
- thermodynamic environment, as indicated also by the strong correlation between JJA Caribbean
- 791 DAOD and JJA and ASO MPI.
- 792 We believe this study, which shows a robust correlation relationship for the integrated dust-
- atmosphere-ocean system, could provide a framework to better understand the linkages between
- 794 DAOD and Atlantic TC activity and how DAOD affects the large-scale environment of the
- 795 MDR. This We find that DAOD in both the tropical Atlantic and Caribbean is negatively
- 796 correlated with Atlantic hurricane frequency, intensity and integrated indices such as ACE, with
- 797 stronger negative correlations in the Caribbean than farther east in the tropical North Atlantic.
- 798 <u>However, this</u> study focuses on seasonal to interannual time scales and provides <u>aspectsanalysis</u> 799 from a large-scale point of view. Finer temporal scales (from hours to days) are needed in future
- 799 from a large-scale point of view. Finer temporal scales (from hours to days) are neede
- studies for cases where African dust is entrained into TC vortices.

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- **Data Availability:** All data supporting the conclusions of this manuscript are available through the links provided below:
- 809 AERONET Version 3 Level 2 data: <u>http://aeronet.gsfc.nasa.gov</u>
- 810 AMM index:

806

- 811 https://www.esrl.noaa.gov/psd/data/timeseries/monthly/AMM/ammsst.data
- 812 CAMSRA AOD: https://www.ecmwf.int/en/research/climate-reanalysis/cams-reanalysis
- 813 ENSO index:
- 814 <u>http://origin.cpc.ncep.noaa.gov/products/analysis\_monitoring/ensostuff/ONI\_v5.php</u>
- 815 ERA-interim monthly means: https://rda.ucar.edu/datasets/ds627.1/
- 816 HURDAT2:
- 817 <u>https://www.aoml.noaa.gov/hrd/hurdat/hurdat2.html</u>
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- 819 https://disc.gsfc.nasa.gov/datasets/M2TMNXAER\_V5.12.4/summary?keywords=%22MERRA-
- 820 <u>2%22</u>

- 821 NAAPS RA AOD: https://usgodae.org//cgi-
- 822 <u>bin/datalist.pl?dset=nrl\_naaps\_reanalysis&summary=Go</u>
- 823 NOAA OI SST V2 data: https://www.esrl.noaa.gov/psd/
- Author contribution: P. J. K, J. P. D. and P.X. conceived the idea. P.X. and P.J.K performed
- most of the analysis and writing. M.A.J. calculated and performed the analyses on MPI and GPI.
   All authors contributed to the writing and revision of the manuscript.
- All authors contributed to the writing and revision of the manuscript.
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# 1216 **Tables:**

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1218 **Table 1.** Root mean square error of total AOD (left number in each cell) and coarse-mode AOD

1219 (right number in each cell) at 550nm from individual aerosol reanalyses, including CAMSRA,

1220 MERRA-2, NAAPS-RA, and the Multi-Reanalysis-Consensus (MRC) verified with AERONET

1221 V3L2 monthly data for the 2003-2018 time period. The rank of MRC among all reanalyses in

1222 terms of RMSE is also shown. "~" means there are ties in the ranking. The first five sites are

1223 located in North Africa or off of the northwestern coast of Africa. The last four sites are located

1224 in or near the Caribbean Sea. AOD time series of MRC and AERONET at Dakar, Capo Verde,

1225	Ragged Point	and La Parguera sites	are presented in Figure 3.
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Site	CAMSRA	MERRA-2	NAAPS-RA	MRC	Rank of MRC
Banizoumbou	0.13   0.17	0.10   0.10	0.11   0.13	0.10   0.11	~1   2
Capo Verde	0.07   0.07	0.06   0.05	0.06   0.07	0.06   0.06	~1   2
Dakar	0.07   0.11	0.07   0.07	0.08   0.10	0.06   0.08	1   2
La Laguna	0.06   0.05	0.06   0.05	0.06   0.05	0.05   0.04	1   1
Santa Cruz Tenerife	0.04   0.04	0.04   0.04	0.04   0.04	0.03   0.03	1   1
Ragged Point	0.05   0.03	0.03   0.03	0.03   0.03	0.04   0.03	3   ~1
La Parguera	0.05   0.02	0.03   0.02	0.03   0.02	0.03   0.02	~1   ~1
Guadeloupe	0.05   0.05	0.05   0.04	0.04   0.05	0.04   0.04	~1   ~1
Key Biscayne	0.05   0.03	0.03   0.02	0.02   0.02	0.03   0.02	2   ~1

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1228 **Table 2.** Annual average Atlantic TC activity in the three seasons with the highest JJA

1229 Caribbean DAOD (2014, 2015 and 2018) and the three seasons with the lowest JJA Caribbean

1230 DAOD (2005, 2011 and 2017). Ratios between the three low and the three high DAOD seasons

1231 are also provided. Corresponding numbers for the Caribbean are provided in parentheses next to

1232 the total basin-wide numbers.

	Tropical Depressions and Named Storms	Named Storms	Hurricanes	Major Hurricanes	Accumulated Cyclone Energy
Three highest JJA Caribbean DAOD	12.3 (2.3)	11.3 (2.3)	6.0 (0.3)	2.0 (0.0)	86 (3)
Three lowest JJA Caribbean DAOD	23.0 (6.7)	21.3 (6.7)	10.7 (3.0)	5.7 (2.7)	199 (39)
Ratio	1.9 (2.9)	1.9 (3.0)	1.8 (9.0)	2.8 (N/A)	2.3 (13.0)

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1236 Table 3. Correlation matrix between regionally-averaged multi-reanalysis-consensus (MRC) JJA

1237 tropical North Atlantic/Caribbean DAOD and 850 hPa U, 200 hPa U, 200 hPa minus 850 hPa U

1238 (zonal wind shear), 700 hPa RH, SST, Maximum Potential Intensity (MPI) and Genesis Potential

1239 Index (GPI) during JJA and ASO, respectively. Correlations that are statistically significant at

1240 the 90% level are highlighted in bold and those with asterisks are statistically significant at the

1241 95% level. 850 hPa relative vorticity is not shown as none of these correlations were statistically

1242 significant.

Environmental Field	JJA tropical North Atlantic / JJA Caribbean	ASO tropical North Atlantic / ASO Caribbean
850 hPa U	-0.63* / -0.79*	0.03 / <b>-0.66</b> *
200 hPa U	0.34 / <b>0.81</b> *	0.30 / <b>0.85</b> *
200 minus 850 hPa U	0.43 / <b>0.83</b> *	0.22 / <b>0.82*</b>
700 hPa RH	-0.43 / <b>-0.80</b> *	-0.34 / <b>-0.55</b> *
SST	-0.71* / -0.75*	-0.44 / -0.79*
MPI	-0.74* / -0.68*	-0.47 / -0.67*
GPI	-0.75* / -0.76*	-0.62* / -0.69*

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1246 **Table 4.** Correlation matrix between JJA and ASO values of 850 hPa U, 200 hPa U, 200 minus

1247 850 hPa U, 700 hPa RH and SST over the tropical North Atlantic and the Caribbean,

respectively. Correlations that are statistically significant at the 90% level are highlighted in

1249 bold, and those with asterisks are statistically significant at the 95% level.

Environmental Field	Tropical North Atlantic	Caribbean
850 hPa U	0.51*	0.84*
200 hPa U	0.71*	0.88*
200 minus 850 hPa U	0.72*	0.90*
700 hPa RH	0.48	0.74*
SST	0.77*	0.85*

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# 1252 Table 5: Correlations of MRC JJA Caribbean DAOD or JJA tropical North Atlantic (TATL)

1253 DAOD with the JJA or ASO Oceanic Nino Index (ONI) and the AMM. Correlations that are

1254 statistically significant at the 90% level are highlighted in bold. Correlations that are statistically

1255 significant at the 95% level are marked with \*.

	JJA Caribbean DAOD				JJA TATL	JJA TATL DAOD			Fo
	CAMSRA	MERRA-2	NAAPS-RA	MRC	CAMSRA	MERRA-2	NAAPS-RA	MR	С
JJA ONI	0.33	0.29	0.50	0.44	0.24	0.18	0.28	0.26	
ASO ONI	0.42	0.41	0.61*	0.54*	0.38	0.30	0.42	0.41	
JJA AMM	-0.72*	-0.70*	-0.63*	-0.76*	-0.58*	-0.65*	-0.36	-0.6	0*
ASO AMM	-0.42	-0.45	-0.30	-0.45	-0.33	-0.52*	0.01	-0.3	3

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- 1259 **Table 6.** Partial correlation matrix between MRC JJA Caribbean and tropical North Atlantic
- 1260 (TATL) DAOD and annual Atlantic basin-wide ACE while controlling for ENSO (using the JJA

1261 ONI) and the AMM (using JJA AMM index). Linear correlations (without controlling for the

1262 climate modes) between DAOD and ACE, AMM and ENSO indices and ACE are also listed for

1263 comparison purposes. Correlations that are statistically significant at the 90% level are

highlighted in bold, and those with asterisks are statistically significant at the 95% level. Note
that the thresholds for statistical significance are different for partial correlation and linear

1266 correlation, as the degrees of freedom are different between the two.

,		ACE (ctrl. for ENSO)	ACE (ctrl. for AMM)	ACE	ACE (ctrl. for Caribbean DAOD)	ACE (ctrl. for TATL DAOD)
	MRC Caribbean DAOD	-0.62*	-0.29	-0.61*	-	-
	MRC TATL DAOD	-0.39	-0.07	-0.41	-	-
	AMM index (JJA/ASO)	-	-	0.59*/0.45	0.26/0.26	<b>0.48</b> /0.37
	ONI (JJA/ASO)	-	-	-0.12/-0.20	0.20/0.18	-0.02/-0.05

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### 1270 Figures:



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Figure 1. True-color Terra MODIS satellite imagery composited on 12 September 2012 and 1272 1273 overlaid with NAAPS-RA 550 nm dust aerosol optical depth (DAOD, an approximate measure 1274 of total atmospheric column of dust aerosol mass, unitless) isopleths, showing Hurricane 1275 Nadine's interaction with the SAL. Nadine is located in the middle of the image. African dust appears as a light transparent brown haze in between the African coast and Nadine and wrapping 1276 1277 around the northern periphery of the storm. Note that areas of sunglint (narrow regions between the light blue curves) are similar in color to the dust aerosols, but have the same orientation as 1278 the satellite orbits and are located approximately mid-way between satellite coverage gaps (black 1279 regions oriented south-southwest to north-northeast). Satellite imagery courtesy of the Moderate 1280 Resolution Imaging Spectroradiometer (MODIS) flying on NASA's Terra satellite and available 1281 from https://worldview.earthdata.nasa.gov/. The stars in light green represent four sites used for 1282 validation purposes, including Dakar, Capo Verde, Ragged Point and La Parguera in order from 1283 east to west. 1284

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Figure 2. Climatological (2003-2018 average) monthly mean DAOD (left column) and the ratio of DAOD to total AOD (right column) for June-October based on the MRC. The middle column



1292 Interim. Black boxes denote the MDR, including the Caribbean (left, 10°-20°N, 85°-60°W) and

1293 the tropical North Atlantic (right, 10°-20°N, 60°-20°W, denoted "TATL").



Figure 3. Monthly mean Version 3 L2 AERONET and MRC 550nm modal AODs at four
 African dust-impacted sites: Dakar, Cape Verde, Ragged Point and La Parguera from east to
 west. JJA are highlighted with pink shading ,and JJA seasonal average total AOD from MRC are
 shown with red bars. Annotations for each time series show bias, RMSE and correlation (*r*) of

1300 monthly averages calculated from the MRC.



- 1304 Figure 4. Monthly DAOD at 550nm from CAMSRA, MERRA-2, NAAPS-RA and MRC from
- 1305 2003-2018 for the (a) tropical North Atlantic and the (b) Caribbean. Correlations between
- 1306 CAMSRA and MERRA-2, CAMSRA and NAAPS-RA, MERRA-2 and NAAPS-RA are
- 1307 displayed in sequence for all months and for JJA-only respectively.







1311 Energy (ACE) and JJA region-averaged DAOD from MRC, NAAPS-RA, MERRA-2 and

1312 CAMSRA over the (a) Caribbean and (b) the tropical North Atlantic. One unit of ACE equals  $10^4$ 

1313 kt<sup>2</sup>. Correlations (*r*) between ACE and DAOD are color-coded for different DAOD products and 1314 overlaid on each plot, and statistically significant correlations are in bold. The possible causes of

the DAOD difference between the reanalysis products are discussed in Section 3.1.



Figure 6. Left: MRC JJA composite of DAOD for the three Atlantic hurricane seasons from 

2003-2018 with (a) the highest JJA Caribbean DAOD (2014, 2015 and 2018), (b) the lowest JJA 

- Caribbean DAOD (2005, 2011, and 2017), and (c) the difference between the two (highest minus
- lowest). Right: The corresponding JJA composite of 850 hPa wind (vectors) and 700 hPa RH (color shading).



Figure 7. Formation locations of Atlantic named storms during the three seasons with (a) the 

highest Caribbean JJA DAOD (2014, 2015 and 2018) and (b) the lowest Caribbean JJA DAOD 

- (2005, 2011, and 2017). Also displayed are the maximum intensity that each TC reached.



**Figure 8.** Correlation between MRC JJA regionally-averaged DAOD in the Caribbean and JJA

1334 (a) 850 hPa U, (b) 200 hPa U, (c) 700 hPa RH, (d) zonal wind shear, (e) 850 hPa relative

1335 vorticity and (f) SST. Correlations over the black dotted areas are statistically significant.



1339 Figure 9. Correlation between (a) MRC JJA regionally-averaged DAOD in the Caribbean and

1340 JJA Maximum Potential Intensity (MPI), (b) MRC JJA regionally-averaged DAOD in the

tropical North Atlantic (TATL) and JJA MPI, (c) JJA Caribbean DAOD and ASO MPI and (d)
JJA TATL DAOD and ASO MPI. Correlations over the black dotted areas are statistically

1343 significant.

