Reply to review comments

RC1 "The authors have demonstrated that the use of a multi-model ensemble methodology can be applied to the Aerosol reanalyses to produce a more useful and higher quality product that spans a long enough period for statistical analysis (MRC). The quality and utility of this approach is not overly surprising given the performance of the ICAP multi-model ensemble already described in the literature for NWP, but it demonstrated clearly in the comparisons against AERONET observations. As the impact of mineral dust on the development of Atlantic Hurricanes has been a long standing question, with a variety of conflicting analyses and hypotheses, the application of the this longrunning and high quality MRC data is a valuable contribution to the question at hand. Because the MRC has both these qualities, the results are particularly convincing. The main result, in figure 5, that dust AOD is negatively correlated with Atlantic Hurricanes, and the subsequent analysis that this is probably dominated not by the dust itself, but by the circulation patterns associated with the dusty years, is a useful and important result."

AC1

We would like to thank the anonymous reviewer for his/her comments on this paper and appreciation of this work. Based on this comment, there is no need for revision. However we have added a method subsection to describe the strategy for data analysis as suggested by RC2.

RC2

"Presented in this study is a comprehensive investigation of the relationship between Saharan dust and the activities of tropical cyclones over the Atlantic. Multiple datasets have been used for the analysis and the data analysis, as far as I can see, seems to have been done diligently. The paper is generally well written and has an extensive list of previous published papers. Overall the paper makes a useful contribution to the research of dust impact on climate.

The paper presents a lot of information, such that the reader cannot easily focus on a particular issue and draw a specific conclusion. In this aspect, I believe the paper can be written better. In particular, a strategy for the data analysis should be presented, so that the reader can have an overview of what hypotheses are being tested and how they will be tested. Such a strategy should be based on, not only the data available but also the hypothesis how Saharan dust might influence TC activities. For example, while Section 2 states data and methods, there is actually hardly any description of the methods. Related to this problem is the lack of a cohesive and overarching interpretation of the results.

Otherwise, I think the paper is fine."

AC2

Thank you very much for the comment, which helps to improve the readability and flow of the manuscript. In response, and to describe the strategy for the data analysis, we have added a "Methods" subsection under section 2. Besides adding the data analysis strategy, we have moved the original subsection "2.7 Statistical correlation calculations and significance tests" to the end of this "method" subsection, as it fits better here. We have also moved the few sentences describing the study regions from the introduction section to the new "Methods" subsection for the same reason. With the added description of the strategy for data analysis and method, we feel that a cohesive and overarching interpretation of the results is also achieved. Thank you again for the helpful comment. The new subsection reads as follows

"2.1 Methods

Regardless of the underlying mechanisms, as there are contradicting mechanisms proposed in different studies, the goal of this study is to examine if there is a robust and statistically significant relationship between African dust and Atlantic TC activity on seasonal to interannual time scales. We also examine if there are confounding factors, for example, meteorological conditions and climate modes that co-vary with dust and hence influence TC activity.

We use dust AOD (DAOD) to represent Atlantic dust levels. Three aerosol reanalysis products, and their consensus DAOD are used in order to increase the fidelity of the analysis result, given that multi-model-consensus typically has been shown to have better data quality in prior assessments (Sessions et al., 2015; Xian et al., 2019). Various TC count indices and Accumulated Cyclone Energy (ACE) (Bell et al. 2000), defined in the next section, are utilized to represent TC activity.

The Atlantic Main Development Region (MDR) (e.g., Goldenberg et al., 2001), including the Caribbean (10-20°N, 85-60°W) and the tropical North Atlantic (10-20°N, 60-20°W), are the focus regions for this study (see also Figure 2 for a spatial representation of the two subregions). Most previous studies of dust impacts on TC activity have focused on the tropical North Atlantic or regions closer to the African continent (e.g., Karyampudi and Pierce, 2002; Bretl et al. 2015; Pan et al., 2018) where DAOD is relatively high. However significant dust pulses can also be transported into the Caribbean. We therefore expand our study area to explore the potential impacts of high levels of dust in the Caribbean on Atlantic TC activity. This allows us to explore regional differences in the dust-TC relationship. Statistical relationships between DAOD and TC activity over the MDR are investigated using the three aerosol reanalyses and multi-reanalysis-consensus (MRC). The results obtained herein also help us assess the potential of using DAOD to aid in future Atlantic seasonal hurricane forecasts.

The correlations between variables of interest are based on the Pearson correlation coefficient. Statistical significance is assessed at the 95% level using a two-tailed Student's t-test. Correlations ≥ 0.51 are statistically significant given that a 16-year time period (e.g., 2003-2018) is investigated here. For partial correlation analysis, partial correlations ≥ 0.55 are statistically significant at the 95% level with 13 degrees of freedom. The criteria for statistical significance with various degrees of freedom can also be obtained at: https://www.esrl.noaa.gov/psd/data/correlation/significance.html."

Marked-up manuscript includes

- 1. A newly added "Methods" subsection under section 2. Besides adding the data analysis strategy, we have moved the original subsection "2.7 Statistical correlation calculations and significance tests" to the end of this "method" subsection, as it fits better here. We have also moved the few sentences describing the study regions from the introduction section to the new "Methods" subsection for the same reason.
- 2. New sequencing numbers for other subsections in section 2.
- 3. We have also made some very minor changes throughout the text, e.g. typos, and language.

1	Revisiting the Relationship between Atlantic Dust and Tropical Cyclone
2	Activity using Aerosol Optical Depth Reanalyses: 2003-2018
3	
4	Peng Xian ^{1a} , Philip J. Klotzbach ^{2a} , Jason P. Dunion ³ , Matthew A. Janiga ¹ , Jeffrey S. Reid ¹ , Peter
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35 Abstract

1

Previous studies have noted a relationship between African dust and Atlantic tropical cyclone 36 (TC) activity. However, due to the limitations of past dust analyses, the strength of this 37 relationship remains uncertain. The emergence of aerosol reanalyses, including the Navy Aerosol 38 Analysis and Prediction System (NAAPS) Aerosol Optical Depth (AOD) reanalysis, NASA 39 Modern-Era Retrospective analysis for Research and Applications, Version-2 (MERRA-2) and 40 ECMWF Copernicus Atmosphere Monitoring Service reanalysis (CAMSRA) enable an 41 investigation of the relationship between African dust and TC activity over the tropical Atlantic 42 and Caribbean in a consistent temporal and spatial manner for 2003-2018. Although June-July-43 August (JJA) 550 nm dust AOD (DAOD) from all three reanalysis products correlate 44 significantly over the tropical Atlantic and Caribbean, the difference in DAOD magnitude 45 between products can be as large as 60% over the Caribbean and 20% over the tropical North 46 47 Atlantic. Based on the three individual reanalyses, we have created an aerosol multi-reanalysisconsensus (MRC). The MRC presents overall better root mean square error over the tropical 48 Atlantic and Caribbean compared to individual reanalyses when verified with ground-based 49 AErosol RObotic NETwork (AERONET) AOD measurements. Each of the three individual 50 reanalyses and the MRC have significant negative correlations between JJA Caribbean DAOD 51 and seasonal Atlantic Accumulated Cyclone Energy (ACE), while the correlation between JJA 52 tropical North Atlantic DAOD and seasonal ACE is weaker. Possible reasons for this regional 53 difference are provided. A composite analysis of three high versus three low JJA Caribbean 54 55 DAOD years reveals large differences in overall Atlantic TC activity. We also show that JJA Caribbean DAOD is significantly correlated with large-scale fields associated with variability in 56 57 interannual Atlantic TC activity including zonal wind shear, mid-level moisture and SST, as well 58 as ENSO and the Atlantic Meridional Mode (AMM), implying confounding effects of these

factors on the dust-TC relationship. Further analysis indicates We find that seasonal Atlantic

60 DAOD and the AMM, the leading mode of coupled Atlantic variability, are inversely related and

61 intertwined in the dust-TC relationship.

63 1. Introduction

Saharan dust particles can affect weather and climate through both direct and indirect radiative 64 and cloud processes, notably in association with boreal summer Saharan Air Layer (SAL) 65 outbreaks. The SAL is a layer of hot and dry air that forms over continental West Africa and is 66 67 then advected over the low-level moist marine boundary layer of the tropical Atlantic (Carlson & Prospero, 1972). The SAL is often associated with the African Easterly Jet (AEJ) that can 68 enhance vertical wind shear. Despite numerous observational and modeling studies that have 69 examined the relationships between these aspects of the SAL and Atlantic TC activity, there are 70 conflicting findings as to whether dust acts to generally inhibit or enhance tropical cyclogenesis 71 and intensification. Some studies suggest negative impacts of the SAL's dust-laden dry air and 72 the AEJ on TC activity (e.g., Dunion and Velden 2004; Lau and Kim 2007; Jones et al. 2007; 73 Sun et al. 2008; Pratt and Evans 2008) while others have focused exclusively on the dust 74 particles themselves and have found a negative influence on TCs (e.g., Evan et al. 2006a; 75 76 Rosenfield et al. 2007; Strong et al. 2018; Reed et al. 2019). Other studies have suggested little impact of the SAL on TCs (e.g., Braun 2010; Sippel et al. 2011; Braun et al. 2013), while others 77 have posited a positive impact of dust on TCs through cloud-microphysical processes (e.g., 78 Jenkins et al. 2008). Finally, others have suggested that there are contrasting influences through 79 different mechanisms and for different TCs (Karyampudi and Pierce, 2002; Bretl et al. 2015; Pan 80

et al., 2018), highlighting the complexity of the dust-TC interaction.

African dust impacts the North Atlantic throughout the year, with its summer peak season (May-82 August) overlapping and leading the peak of the Atlantic hurricane season (August-October; 83 Figure S1). As African dust outbreaks during the summer are often associated with the SAL, 84 airborne dust has often been used as an indicator for the SAL (Dunion & Velden, 2004; Dunion, 85 86 2011; Tsamalis et al., 2013), although early season cases where the majority of the dust existed 87 in the marine boundary layer below the trade wind inversion instead of staying aloft were also found (Reid et al., 2003). Saharan dust and the SAL are frequently observed throughout the 88 Caribbean and as far west as Central America and the North American continent during the 89 boreal summer (e.g., Prospero, 1999; Reid et al., 2003; Dunion & Velden 2004; Nowottnick et 90 91 al., 2011; Kuciauskas et al., 2018). Airborne dust associated with the SAL often extends to 5.5 km (500 hPa) off of western Africa, and becomes thinner as its top lowers and its base rises as it 92 is advected westward, shrinking to below 2 km in the Caribbean and in the Gulf of Mexico 93

(Tsamalis et al., 2013). In some strong SAL cases, however, the top of the dust layer can reach 6
 km (Reid et al., 2003; Colarco et al., 2003). During their trans-Atlantic transport, dust aerosols

are from time to time observed to interact with TCs, as seen in satellite imagery (Figure 1).

African dust and its associated SAL has been hypothesized to impact TCs through a variety of 97 mechanisms. Through scattering and absorbing sunlight, dust reduces solar radiation reaching 98 the surface, thus cooling SSTs (e.g., Miller and Tegen, 1998; Lau and Kim 2007; Evan et al., 99 100 2009). Lower SSTs provide TCs with less energy to initiate, develop, and maintain strength. 101 Through additional radiative heating of the dusty layer, mineral dust is also suggested to impact the structure, location and energetics of the AEJ (Tompkins et al., 2005; Wilcox et al., 2010; 102 Reale et al., 2011) and African easterly wave (AEW) activity (Karyampudi and Carlson, 1988; 103 Reale et al., 2009; Nathan et al., 2017; Jones et al., 2004; Ma et al., 2012; Grogan et al., 2016, 104 105 2017; Bercos-Hickey et al., 2017), thus having implications for tropical cyclogenesis. From a thermodynamic point of view, Dunion and Velden (2004) have proposed that the dust-carrying 106

SAL outbreaks could inhibit TC formation and development in the North Atlantic through three
 primary mechanisms, including dry air intrusion into the storm, enhancement of the local vertical
 wind shear associated with the enhanced AEJ, and stabilization of the environment due to

110 radiative heating of the dust layer above the marine boundary layer.

111 Dust particles can also act as cloud condensation nuclei (Twohy et al., 2009; Karydis et al.,

112 2011) and ice nuclei (DeMott et al., 2003; Sassen et al., 2003) and affect cloud microphysics,

weakening or strengthening convection depending on the environment (Khain, 2009). Focusing specifically on TCs, there is not a consistent conclusion among studies on whether the

specifically on TCs, there is not a consistent conclusion among studies on whether the microphysical impacts of dust weaken or strengthen TCs (Jenkins et al., 2008; Rosenfeld et al.,

2007; Zhang et al., 2007, 2009; Herbener et al., 2014; Nowottnick et al., 2018).

2007, Zhang et al., 2007, 2007, Herbener et al., 2014, Howothinek et al., 2016).

117 While dust aerosols can affect TC formation and development through radiative and cloud-

microphysical impacts, TCs can in turn impact dust aerosol spatial distributions through wet removal and dynamic flow (Herbener et al., 2016). AEWs, serving as seeding disturbances for

TCs (Landsea, 1993), are shown to contribute to dust emission and transport (e.g., Westphal et

al., 1987; Jones et al., 2003; Knippertz and Todd, 2010). Climate variability that affects TC

activity can also impact African dust emission and transport over the North Atlantic and

123 Caribbean. For example, ENSO was found to affect the emission and transport of African dust as

well (Prospero & Lamb, 2003; DeFlorio et al., 2016), especially during the boreal winter

125 (Prospero & Nees, 1986; Evan et al., 2006b).

126 How all of these factors interact in the complex climate system and to what extent they can

127 impact TC formation and intensification is still largely unknown. The goal of this study is to

explore how the integrated interactions manifest themselves in the relationship between Saharan dust and Atlantic TC activity on seasonal to interannual time scales using state-of-the-art aerosol

reanalysis data. This serves as a first step towards further understanding the dust-TC relationship

and evaluating the relative importance of different mechanisms. Previous empirical studies on

the relationship between African dust and Atlantic TC activity are limited by uneven spatial and

temporal sampling by satellite and in situ-based observations. The emergence of several aerosol

reanalysis datasets, including the Navy Aerosol Analysis and Prediction System (NAAPS)

Aerosol Optical Depth (AOD) reanalysis (NAAPS-RA, Lynch et al., 2016), the Modern-Era

136 Retrospective analysis for Research and Applications, Version 2 (MERRA-2) aerosol reanalysis

137 (Randles et al., 2017) and the Copernicus Atmosphere Monitoring Service ReAnalysis

(CAMSRA) (Inness et al., 2019) allow us to investigate this relationship in a more consistent
 manner over the acquired their joint time periodsperiod to provide a degree of statistical

140 robustness.

141 The Atlantic Main Development Region (MDR) (e.g., Goldenberg et al., 2001), including the

142 Caribbean (10-20°N, 85-60°W) and the tropical North Atlantic (10-20°N, 60-20°W), is the focus

143 region for this study (see also Figure 2 for a spatial representation of the two subregions).

144 Statistical relationships between dust AOD (DAOD) and TC activity over the MDR are

145 investigated using the three aerosol reanalyses and a multi-reanalysis-consensus (MRC) using

146 the average of the three reanalyses. The results obtained herein also help us assess the potential

147 of using DAOD to aid in future Atlantic seasonal hurricane forecasts.

In section 2, an introduction to the aerosol and large-scale environmental data and the analysis
 methodmethods employed is provided. Section 3 presents the DAOD climatology, its interannual

150 variability over the MDRAtlantic, and comparisons of the three aerosol reanalyses. This section 151 also evaluates correlations between DAOD and Atlantic TC activity, as well as the relationship between DAOD and large-scale environmental conditions and climate modes. The sensitivity of 152 153 the results to the definition of the regions, the number of composite years used, and the definition 154 of dust seasons are provided in section 4. A discussion and conclusions are given in section 5. 155 2. Data and Methods 156 2.1 Methods 157 Regardless of the underlying mechanisms, as there are contradicting mechanisms proposed in 158 different studies, the goal of this study is to examine if there is a robust and statistically significant relationship between African dust and Atlantic TC activity on seasonal to interannual 159 time scales. We also examine if there are confounding factors, for example, meteorological 160 conditions and climate modes that co-vary with dust and hence influence TC activity. 161 162 We use dust AOD (DAOD) to represent Atlantic dust levels. Three aerosol reanalysis products, 163 and their consensus DAOD are used in order to increase the fidelity of the analysis result, given that multi-model-consensus typically has been shown to have better data quality in prior 164 assessments (Sessions et al., 2015; Xian et al., 2019). Various TC count indices and 165 Accumulated Cyclone Energy (ACE) (Bell et al. 2000), defined in the next section, are utilized 166 to represent TC activity. 167 The Atlantic Main Development Region (MDR) (e.g., Goldenberg et al., 2001), including the 168 169 Caribbean (10-20°N, 85-60°W) and the tropical North Atlantic (10-20°N, 60-20°W), are the focus regions for this study (see also Figure 2 for a spatial representation of the two subregions). 170 Most previous studies of dust impacts on TC activity have focused on the tropical North Atlantic 171 172 or regions closer to the African continent (e.g., Karyampudi and Pierce, 2002; Bretl et al. 2015; Pan et al., 2018) where DAOD is relatively high. However significant dust pulses can also be 173 174 transported into the Caribbean. We therefore expand our study area to explore the potential 175 impacts of high levels of dust in the Caribbean on Atlantic TC activity. This allows us to explore regional differences in the dust-TC relationship. Statistical relationships between DAOD and TC 176 177 activity over the MDR are investigated using the three aerosol reanalyses and multi-reanalysis-178 consensus (MRC). The results obtained herein also help us assess the potential of using DAOD 179 to aid in future Atlantic seasonal hurricane forecasts. The correlations between variables of interest are based on the Pearson correlation coefficient. 180 Statistical significance is assessed at the 95% level using a two-tailed Student's t-test. 181 Correlations ≥ 0.51 are statistically significant given that a 16-year time period (e.g., 2003-182 183 2018) is investigated here. For partial correlation analysis, partial correlations >=0.55 are 184 statistically significant at the 95% level with 13 degrees of freedom. The criteria for statistical 185 significance with various degrees of freedom can also be obtained at: https://www.esrl.noaa.gov/psd/data/correlation/significance.html. 186

187 2.2 Aerosol data

I

A combination of aerosol reanalyses are used to describe the aerosol environment over the 188

tropical North Atlantic and Caribbean. An aerosol multi-reanalysis-consensus (MRC) based on 189 three aerosol reanalysis products, including the NAAPS-RA (Lynch et al., 2016) from the US 190

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191 Naval Research Laboratory (NRL), MERRA-2 (Randles et al., 2017) from NASA, and

192 CAMSRA (Inness et al., 2019) from ECMWF, isare also generated and used. The analysis period

193 is focused on 2003-2018, when all three aerosol reanalyses are available and both Terra and

194 Aqua Moderate Resolution Imaging Spectroradiometer (MODIS) AOD retrievals were

195 assimilated therein.

2.12.1 NAAPS AOD reanalysis 196

The NAAPS-RA product provides 550 nm speciated AOD at a global scale with 1°x1° degree 197

spatial and 6-hourly temporal resolution for the years 2003-2018 (Lynch et al., 2016). This 198

reanalysis uses a modified version of NAAPS and assimilates quality-controlled AOD retrievals 199 from MODIS on Terra and Aqua and the Multi-angle Imaging SpectroRadiometer (MISR) on

200 Terra (Zhang et al., 2006; Hyer et al., 2011; Shi et al., 2011). NAAPS characterizes 201

anthropogenic and biogenic fine aerosol species (ABF), dust, sea salt and biomass burning 202

smoke aerosols. The aerosol source functions were tuned regionally so that a best match between 203

204 the model coarse and fine mode AODs and the Aerosol Robotic Network (AERONET) AODs

205 can be obtained. Other model processes, e.g. deposition, were also tuned to minimize the AOD

difference between the model and quality-controlled satellite AOD retrievals. NOAA Climate 206

207 Prediction Center (CPC) MORPHing (CMORPH) precipitation derived from satellite

observations (Joyce et al., 2004) is used to correct precipitation biases in the tropics for better 208

209 AOD analyses through wet deposition processes (Xian et al., 2009). The reanalysis captures- the decadal AOD trends detected using standalone satellite products in other studies (e.g., Hsu et al., 210

2012; Zhang et al., 2017), demonstrating the quality of the reanalysis product for climate studies. 211

The NAAPS-RA data for May 2017 - November 2018 was generated by assimilating MODIS 212

DA-quality AOD only without MISR AOD assimilation because of the unavailability of MISR 213

DA-quality data at the time of this study. The impact of not including MISR is expected to be 214

215 minor as MISR provides only about 10% of the total assimilated AOD data. Additionally,

differences between monthly mean DA-quality AOD over the MDR region derived using both 216

MODIS and MISR versus using only MODIS were found to be negligible (not shown). 217

218 2.12.2 MERRA-2 AOD reanalysis

As part of the upgrade from the original MERRA reanalysis (Rienecker et al., 2011) based on the 219

Goddard Earth Observing System (GEOS) Earth system model, MERRA-2 now incorporates 220

assimilation of AOD from a variety of remote sensing sources, including AERONET, MODIS, 221

222 and MISR after 2000, and AVHRR before 2002. The aerosol module used for MERRA-2 is the

223 Goddard Chemistry, Aerosol, Radiation, and Transport model (GOCART; Chin et al. 2000;

224 Colarco et al., 2010), which provides simulations of dust, sea salt, black and organic carbon, and sulfate aerosols, and is run radiatively coupled to the GEOS AGCM. A detailed description and 225

validation of the AOD reanalysis product can be found in Randles et al. (2017) and Buchard et

226 al. (2017). For the purpose of this study, monthly mean DAOD at 550 nm with 0.5° latitude and 227

228 0.625° longitude spatial resolution is used. MERRA-2's longer data record (1981-present)

would have made it an ideal candidate for a longer-period analysis of the relationship between 229

230 DAOD and TCs. However, the volcanic eruptions of Pinatubo (1991) and El Chichon (1982) and

231 Pinatubo (1991) result in high AOD for several years following each event, and the particle

property assumptions in MERRA-2 do not properly apportion the assimilated AOD increments 232

233 among the simulated aerosol species. For the 2003-2018 time period, MERRA-2 AOD data has

similar validation statistics compared to NAAPS-RA and CAMSRA at the sites located off of the
 coast of West Africa and the Caribbean, as shown in Table 1.

236 2.12.3 CAMSRA AOD reanalysis

237 Under the banner of the Copernicus Atmosphere Monitoring Service (CAMS), operated by

ECMWF on behalf of the European Commission, a new global reanalysis of atmospheric composition has been produced: CAMSRA (Inness et al., 2019). This is the successor to fl

composition has been produced: CAMSRA (Inness et al., 2019). This is the successor to the
 MACC reanalysis (Inness et al., 2013) and CAMS interim reanalysis (Flemming et al., 2017)

produced previously at ECMWF. The dataset spans the period 2003–2018 and is being continued

for subsequent years. The model component is based on the same Integrated Forecasting System

243 (IFS) used at ECMWF for weather forecasting and meteorological reanalysis, but at a coarser

resolution and with additional modules activated for prognostic aerosol species (dust, sea salt,

245 organic matter, black carbon and sulphate) and trace gases. The impact of the aerosols (and

ozone) on radiation and thereby on meteorology is included in the model. For aerosol,

247 observations of total AOD at 550nm are assimilated from MODIS (Terra and Aqua) for the

248 whole period, and from AATSR the Advanced Along-Track Scanning Radiometer for 2003-

249 2012, using a 4D variational data assimilation system with a 12-hour data assimilation window

along with meteorological and trace gas observations. The speciated AOD products used in this study are available at a 3-hourly temporal resolution and a $\sim 0.7^{\circ}$ spatial resolution. Model

development has generally improved the speciation of aerosols compared with earlier reanalyses,

and evaluation against AERONET is largely consistent over the period of the reanalysis. There is

a known issue regarding a significant overestimation of sulfate near outgassing volcanoes;

255 however this is unlikely to have much relevance to the regions considered in this study.

256 2.12.4 AOD multi-reanalysis-consensus (MRC)

257 Based on the three aerosol reanalysis products described above, we made a MRC product following the multi-model-ensemble method of the International Cooperative for Aerosol 258 Prediction (ICAP, Sessions et al., 2015; Xian et al., 2019). The MRC is a consensus mean of the 259 three individual reanalyses, with a 1°x1° degree spatial and monthly temporal resolution. 260 Speciated AODs and total AOD at 550nm for 2003-2018 are available. This new product is 261 validated with ground-based AERONET observations for African-dust-influenced regions, 262 including the western coast of North Africa and the Caribbean Sea. Validation results in terms of 263 RMSE for total and coarse-mode AODs are presented in Table 1. Similar to the ICAP multi-264 model-ensemble evaluation result, the MRC is found to generally be the top performer among all 265 266 of the reanalyses for the study region.

267 2.42.5 AErosol RObotic NETwork (AERONET) fine and coarse mode AOD

AERONET is a ground-based global scale sun photometer network that includes instruments to measure sun and sky radiance at wavelengths ranging from the near ultraviolet to the near infrared during daytime hours. This network has been providing high-accuracy and high-quality measurements of aerosol properties since the 1990s (Holben et al., 1998; Holben et al., 2001) and is often used as the primary dataset for validating aerosol optical properties in satellite

retrievals and model simulations (e.g., Levy et al., 2010; Colarco et al., 2010; Kahn & Gaitley,

274 2015). Only cloud-screened, quality-assured version 3 Level 2 AERONET data are utilized in

275 this study (Giles et al., 2019). AERONET multiple wavelengths measurement wavelength

276 <u>measurements</u> were used to derive both fine and coarse mode AODs at 550 nm based on the

277 Spectral Deconvolution Method (SDA) of O'Neill et al. (2003). The SDA product was verified

with in situ measurements (Kaku et al., 2014) and was shown to be able to capture the full modal

279 properties of fine and coarse particles. Temporally, AERONET data are averaged into 6-hr bins

centered at the regular model output times of 0, 6, 12 and 18 UTC. Monthly mean AERONET AOD is derived only when the total number of 6-hr AERONET data is greater than 18 to make

as a convertient of the second second

283 2.23 Tropical Cyclone data – HURDAT2

Atlantic basin TC data were taken from the Atlantic hurricane database version 2 (HURDAT2;

285 Landsea & Franklin, 2013). This dataset contains six-hourly information (including position,

286 maximum sustained winds, and central pressure – where available) for every TC observed in the

287 Atlantic basin dating back to 1851.

1

288 2.34 Atmospheric data - ERA-Interim Reanalysis

The ERA-Interim Reanalysis (Dee et al., 2011) is a global atmospheric reanalysis produced by the European Centre for Medium Range Weather Forecasts (ECMWF)ECMWF that uses a 4dimensional variational analysis with a 12-hour analysis window. The spectral resolution of this data is approximately 80 km (T255) and is available on 60 vertical levels from the surface to 0.1 hPa and is available from January 1979 – August 2019. TheWe use monthly mean large-scale fields, including vector wind, atmospheric temperature and relative humidity data on several pressure levels are used.

296 2.45 Oceanic data - NOAA OI SST

297 The National Oceanic and Atmospheric Administration (NOAA) Optimum Interpolation (OI)

298 SST product (Reynolds et al., 2002) is utilized for SST calculations. NOAA OI SST v2 uses a

combination of in-situ data, satellite data, SSTs simulated by sea-ice cover, and bias adjustments to arrive at its final estimate of SSTs. NOAA OI SST V2 data is available on a 1° x 1° grid from

301 November 1981-present.

302 2.56 Climate indices

The Oceanic Nino Index (ONI), defined to be a three-month average of the Niño 3.4 (5°S-5°N, 170-120°W) index (Barnston et al., 1997) based on centered 30-year periods which are updated

- 305 every five years, is utilized to represent the state of El Niño-Southern Oscillation (ENSO). This
- 306 index is also used by NOAA to identify ENSO events.

The SST component of the AMM (Kossin & Vimont, 2007) is investigated to assess the relationship between DAOD and tropical Atlantic oceanic conditions. While the index is not standardized, we have standardized it by its 1981-2010 average and standard deviation.

310 2.67 Derived tropical cyclone indices

The genesis potential index (GPI) was calculated using monthly-averaged ERA-Interim data following Emanuel and Nolan (2004). The maximum potential intensity (MPI) was calculated

 using monthly-averaged ERA-Interim temperature and moisture and NOAA OI SST following Bister and Emanuel (2002).
 2.7 Statistical correlation calculations and significance tests
 The correlations between variables of interest are based on the Pearson correlation coefficient. Statistical significance is assessed at the 95% level using a two tailed Student's t test. Correlations >= 0.51 are statistically significant given that a 16-year time period (e.g., 2003-2018) is investigated here. For partial correlation analysis, partial correlations >= 0.55 are statistically significant at the 95% level with 13 degrees of freedom. The criteria for statistical significance with various degrees of freedom can also be obtained at: https://www.esrl.noaa.gov/psd/data/correlation/significance.html.

323 3. Results

324 **3.1 Dust aerosol optical depth over the MDR (2003-2018)**

Figure 2 shows the MRC monthly DAOD climatology based on the 2003-2018 average as well 325 as the ratio of DAOD to total AOD for June-October over the tropical Atlantic. Climatologically 326 from June-October, the majority of airborne dust originates from the Sahara Desert, in contrast to 327 the winter season when a significant amount of dust is emitted over the Sahel and southern 328 Sahara (Engelstaedter & Washington, 2007). This dust is then transported westward over the 329 Atlantic and eventually to the Caribbean, largely within the 10-25°N latitude belt. The 330 transported African dust covers most of the Atlantic hurricane MDR, which spans the tropical 331 North Atlantic and Caribbean. The DAOD over the Atlantic is, on average, much higher in June, 332 July, and August (JJA) than in September and October because of higher emissions over the 333 African continent in the former months (Carlson and Prospero 1972; Engelstaedter and 334 Washington, 2007; Dunion & Marron, 2008; Dunion, 2011). DAOD is also much higher over the 335 tropical Atlantic than over the Caribbean, as dust aerosols are removed by wet and dry processes 336 during the long-range transport. The MRC shows that dust aerosols are the dominant contributor 337 to the total AOD in the MDR during most of the hurricane season (June-October). The DAOD 338 accounts for about 50-60% of the total AOD over the tropical North Atlantic and around 30-50% 339 over the Caribbean for JJA. This suggests that the total AOD can be a relatively good indicator 340 341 of DAOD in the tropical North Atlantic but is not as good of an indicator in the Caribbean for JJA. The DAOD contribution to total AOD is about 10-20% less for September and October. 342 Considering the potential larger forcing by airborne dust in JJA than in September and October, 343 the focus season in this study is JJA. 344

As transport of Saharan dust across the Atlantic during summer is often associated with SAL 345 346 outbreaks, which are approximately centered around 700 hPa (Dunion & Velden, 2004; Dunion, 347 2011), monthly climatological 700 hPa relative humidity (RH) and horizontal wind are also shown in Figure 2. Climatologically, the MDR is dominated by the mid-level easterly jet (7-8 m 348 s⁻¹) during JJA and weaker easterlies (5-6 m s⁻¹) during September and October. Over the 349 350 Caribbean, the wind direction veers slightly towards the north for all of the studied months and relates to this region being typically positioned on the west side of the climatological Atlantic 351 subtropical ridge. 700 hPa RH is on the order of 40% and 50% for the tropical Atlantic and the 352 353 Caribbean respectively for JJA and is about 10% higher in September and October. RH is higher in the Caribbean than in the tropical North Atlantic as the impact of dry air from the SAL and 354

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from upper-level subsidence becomes weaker from east to west. For context, the Atlantic moist tropical sounding, defined in Dunion (2011), has average 700 hPa winds of 3.6 m s⁻¹ at 112° (wind direction) and 66% RH, while the mean SAL sounding has corresponding values of 7.8 m s⁻¹ at 91° and 34% RH.

Figure 3 shows the monthly mean AERONET version 3 L2 and MRC 550 nm modal AOD time 359 series at four AERONET sites that are primarily influenced by African dust. From east to west, 360 these sites include Dakar, Senegal (14.4°N, 17.0°W), Cape Verde (16.7°N, 22.9°W), Ragged 361 Point, Barbados (13.2°N, 59.4°W), and La Parguera, Puerto Rico (18.0°N, 67.0°W), which are 362 also marked in Figure 1. The boreal summer peak dust activity (i.e., JJA) is highlighted. Dust 363 aerosols are typically considered coarse-mode, although there may be a very small amount of 364 mass in fine-mode. The fine-mode AOD observed in AERONET measurements for these sites 365 are normally dominated by pollution and biomass-burning smoke. Dakar and Cape Verde 366 experience dust aerosols throughout the year, with a peak in JJA and a weaker secondary peak 367 368 during the boreal winter, which is associated with dust emissions from the Sahel. The Ragged Point and La Parguera sites, which are remote receptor sites in the Caribbean, are influenced by 369 African dust predominantly during boreal summer, thus displaying a pronounced peak of total 370 and coarse-mode AODs in JJA. The secondary AOD peak during winter at Dakar and Cape 371 372 Verde is generally not observed at Ragged Point or La Parguera, as African dust is transported to the south following the low-to-mid-level trade wind flow, occasionally reaching South America 373 (Prospero, 2014). 374 Figure 3 also shows the bias, the root mean square error (RMSE) of MRC and the correlation (r)375 between MRC and AERONET for monthly AODs at each of the four AERONET sites. Overall, 376

MRC follows the seasonal and interannual variability in AERONET data for the total AOD quite 377 well, and to a slightly lesser extent for the coarse-mode AOD. Coarse-mode aerosols include dust 378 379 and sea salt, but are dominated by dust aerosols over the tropical North Atlantic for JJA (Figure 2c, speciated AOD cannot be obtained from AERONET measurements). The correlation is >~0.9 380 for the coarse-mode AOD and tends to be better at the long-range transport sites (i.e., Ragged 381 Point and La Parguera) than the sites close to the dust source (i.e., Dakar and Cape Verde). The 382 383 correlation is slightly lower in the source area than in the long-range transport region because there are large uncertainties in emissions and strong gradients due to local aerosol sources in the 384 source area. Also, the source area allows less time for AOD data assimilation to correct aerosol 385 mass loads, in addition to a lower signal/noise ratio of AOD retrievals over land than over water 386 387 (e.g., Levy et al., 2005). The small bias, low RMSE and the high correlation with AERONET data illustrate the ability of MRC to capture the aerosol environment in the MDR. In addition, all 388 of the three individual aerosol reanalyses that form the foundation of MRC have similar 389 verification scores against AERONET, though the MRC typically has a better verification score 390 than the individual reanalyses (Table 1). If we were to give different qualitative ratings for the 391 392 three individual reanalyses for the study area, MERRA-2 is slightly better over North Africa and NAAPS-RA is slightly better over the Caribbean in terms of coarse-mode and total AOD 393 RMSEs. 394

Figure 4 shows the time series of monthly mean and regionally-averaged DAOD from MRC and the three contributing reanalyses from 2003-2018 for the tropical North Atlantic and Caribbean as defined in Fig. 2. The DAODs from the three reanalyses have similar seasonal and interannual

variability and are highly correlated, with $r \ge 0.95$ for the entire 16-yr period and $r \ge 0.85$ for

JJA for both regions based on monthly means. The magnitudes of JJA DAOD from the three 399 individual reanalyses are comparable over the tropical North Atlantic, with a 20% maximum 400 difference among the three products based on JJA DAOD. The climatological average of JJA 401 402 DAOD over the tropical North Atlantic is 0.21. The difference can be as much as ~0.06 (a ~60% difference between member products) for the Caribbean. The climatological average of JJA 403 DAOD in the Caribbean is 0.10. Since the total and coarse-mode AOD verification statistics for 404 the three products at the Caribbean AERONET sites are similar (Table 1), the DAOD difference 405 is most likely due to the different partitioning of aerosol species (e.g., dust versus sea salt 406 aerosols) during the total AOD data assimilation process. This is related to the fact that total 407 AOD is the only aerosol property constrained by satellite observations through AOD data 408 assimilation in all three aerosol reanalysis products, while speciated AOD is not constrained 409 (Lynch et al., 2016; Randles et al., 2017; Inness et al., 2019). Nevertheless, the DAOD from the 410

411 MRC is likely the most reliable given the generally better performance of multi-aerosol model 412 consensus compared to individual aerosol models (Sessions et al., 2015; Xian et al., 2019).

413 3.2 Relationship between North Atlantic TC activity and JJA DAOD

Accumulated Cyclone Energy (ACE) is often utilized to represent TC activity and is defined to 414 be the square of the one-minute maximum sustained wind speed at each six-hourly interval when 415 a tropical or subtropical cyclone (with maximum sustained winds >=34 kt) is present (Bell et al., 416 417 2000). Basin-wide ACE is used here, as it is assumed that MDR conditions affect to some extent, the ACE of all storms that pass through the MDR, including those that later moved out of the 418 MDR. For example, we hypothesize that in an active dust year, the suppressed conditions in the 419 MDR would make for weaker, less organized AEWs that have less of a chance for formation 420 421 even if they do eventually move out of the MDR. Later we show in Table 2 that using the ACE 422 ofgenerated in the Caribbean domain yields a consistent (same sign) yet stronger correlation 423 relationship between DAOD and ACE.

Atlantic TC activity shows a statistically significant relationship with regionally-averaged 424 Caribbean JJA DAOD. Figure 5a displays this relationship, with higher Caribbean DAOD 425 correlating (r = -0.61 with MRC DAOD and r of similar magnitudes from all three individual 426 reanalyses and exceeding the two-tailed 95% statistical significance level) with quieter Atlantic 427 hurricane seasons as quantified by ACE. While tropical North Atlantic DAOD and Caribbean 428 DAOD in JJA correlate strongly (r = 0.88), Figure 5b shows that the relationship between 429 DAOD and ACE is weaker in the tropical North Atlantic than in the Caribbean. The correlation 430 between tropical North Atlantic DAOD and ACE is -0.41, which falls below the statistical 431 significance threshold (correlations with MERRA-2 and CAMSRA DAODs are also 432 insignificant). We will show in the next section that the relationship between large-scale fields 433 known to impact Atlantic TC activity also tend to have higher correlations with JJA Caribbean 434 DAOD than with JJA tropical North Atlantic DAOD. 435

Given the strength of the relationship between Caribbean DAOD and seasonal Atlantic ACE, we
next investigate the relationship in extreme JJA DAOD seasons. We take the three seasons from
2003-2018 when JJA Caribbean DAOD was at its highest levels and when it was at its lowest
levels.

440 The three seasons with the highest JJA Caribbean DAOD were 2018, 2015 and 2014 in 441 descending order, and the three seasons with the lowest JJA Caribbean DAOD were 2005, 2011

442 and 2017 in ascending order based on MRC. The left column of Figure 6 shows DAOD 443 composites for the three high and the three low JJA Caribbean DAOD seasons and their differences. DAOD is not only higher over the MDR in the high Caribbean DAOD seasons, but 444 445 dust aerosols are transported farther to the west. DAOD differences between the extreme high and low DAOD seasons over the tropical North Atlantic and Caribbean are ~0.05-0.08. In fact, 446 the three high dust years have roughly 60% more DAOD in the Caribbean than the three low 447 448 dust years (regional average of 0.13 vs. 0.08), while these differences are not as large in the 449 tropical North Atlantic. The transport pathway of dust is also shifted slightly to the south in the tropical North Atlantic in the three high DAOD seasons. 450

The right column of Figure 6 displays the JJA-averaged 850 hPa winds and 700 hPa RH for the 451 three high and three low JJA Caribbean DAOD seasons, and the difference between these high 452 and low seasons. Large-scale conditions over the Caribbean during JJA were much less 453 conducive for TCs in the high DAOD seasons, with drier middle levels (>10% relative humidity 454 difference) and stronger easterly trade winds (2-4 m s⁻¹ stronger), implying an overall less 455 hurricane-favorable dynamic and thermodynamic environment. This is consistent with the 456 depiction of the thermodynamic structure of the SAL by Dunion and Velden (2004). The 457 stronger AEJ associated with higher DAOD is also consistent with modeling studies when 458 459 aerosol radiative effects are taken into account (Tompkins et al., 2005). Associated with high Caribbean DAOD, the position of the center of the Azores High was slightly shifted to the 460 southwest, which facilitates stronger dust transport into the Caribbean. This is in agreement with 461 462 the findings of Riemer and Doherty (2006) who suggested the importance of the position of the Azores High in African dust transport across the Atlantic, although their study was only during 463 the boreal winter. As would be expected from these large-scale conditions, Atlantic TC activity 464 465 was much higher in low JJA Caribbean DAOD seasons.

Table 2 displays observed Atlantic TC activity as well as the ratios of observed average seasonal
Atlantic TC activity in the three low JJA Caribbean DAOD seasons versus the three high
Caribbean DAOD seasons. Atlantic basin-wide numbers of tropical depressions, named storms,
hurricanes, major (Category 3+ on the Saffir-Simpson Hurricane Wind Scale) hurricanes and
ACE are higher by a factor of ~1.9 - ~2.8 in the three low than inrelative to the three high
Caribbean DAOD seasons. The ratios are even higher (e.g., 12 times higher for ACE) for TC

472 activity in the Caribbean. The 2018 Atlantic hurricane season was an interesting case, as it was

473 an above-average overall hurricane season (as measured by ACE), but much of the ACE that was 474 generated that year occurred outside of the tropics ($>23.5^{\circ}$ N) (Saunders et al. 2020). Very little

activity occurred in the Caribbean in 2018.

In addition, the ratio for major hurricanes is higher than the ratios for tropical depressions, 476 named storms, and hurricanes, indicating a stronger relationship between dust aerosols and 477 intense storms than between dust aerosols and weak storms. A total of 17 major hurricanes were 478 479 observed in the Atlantic in the three low Caribbean DAOD seasons, compared with only 6 major 480 hurricanes in the three high Caribbean DAOD seasons. The three low Caribbean DAOD seasons had six continental United States major hurricane landfalls (2005 Hurricanes Dennis, Katrina, 481 Rita, and Wilma and 2017 Hurricanes Harvey and Irma), while the three high Caribbean DAOD 482 seasons had one continental United States major hurricane landfall (2018 Hurricane Michael). 483

Figure 7 displays the named storm formation location of all Atlantic TCs in the three seasons with the highest JJA Caribbean DAOD and the three seasons with the lowest Caribbean DAOD

along with the maximum intensity that these TCs reached. As would be expected from the 486 differences in large-scale conditions noted earlier, TCs that became major hurricanes formed 487 much more frequently south of 20°N in the three lowest Caribbean DAOD seasons than in the 488 three highest Caribbean DAOD seasons. These differences were most pronounced in the 489 Caribbean, with only one named storm (Hanna in 2014) forming in the Caribbean in the three 490 highest JJA Caribbean DAOD seasons. In the three lowest Caribbean JJA DAOD seasons, 11 491 named storms formed in the Caribbean. 492

3.3 Relationship between JJA DAOD and large-scale atmosphere/ocean fields 493

We next examine the relationship between JJA DAOD and large-scale atmosphere/ocean fields. 494

- In this analysis, we begin by focusing on several fields that have been documented in prior 495
- research to significantly impact Atlantic TC activity: 850 hPa zonal wind (850 hPa U), 200 hPa 496
- zonal wind (200 hPa U), zonal wind shear between 200 hPa and 850 hPa, 700 hPa RH, 850 hPa 497
- relative vorticity and SST (Gray, 1968; Saunders et al., 2017). More active Atlantic hurricane 498
- 499 seasons are typically associated with anomalous westerly 850 hPa U (e.g., weaker trade winds),
- anomalous easterly 200 hPa U (counteracting prevailing upper-level westerlies) and thus weaker 500
- wind shear, higher 850 hPa relative vorticity, higher mid-level relative humidity and 501
- anomalously warm SSTs. We investigate the relationships with DAOD in the tropical North 502
- Atlantic and the Caribbean as defined in Figure 2. 503

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504 Figure 8 displays the correlation between regionally-averaged MRC JJA DAOD in the Caribbean and the six large-scale fields just discussed. Higher JJA Caribbean DAOD is associated with 505

- stronger 850 hPa easterly trades and increased 200 hPa upper-level westerlies (and hence 506
- stronger vertical wind shear), drier air at 700 hPa and anomalously cool SST across the MDR. 507
- Weaker 850 hPa relative vorticity also predominates over most of the Caribbean. However, 508
- 509 almost no correlation is found between Caribbean JJA DAOD and 850 hPa relative vorticity in
- the tropical North Atlantic, possibly due to the counteracting role of covariability of African dust 510
- emissions and AEWs as was inferred from a positive correlation between the two by 511
- Karyampudi and Carlson (1988). This result is also consistent with Figure 7 which shows more 512
- named storms and therefore likely stronger AEW activity right off of the coast of west Africa 513
- between 10-20°N in high dust DAOD years. This result reflects the complexity of the TC-dust 514
- interaction relationship. In addition, the vorticity field is extremely noisy. Figure 8f is extended 515
- to include the eastern and central tropical Pacific in order to investigate the potential relationship 516 between ENSO and DAOD, with Caribbean DAOD showing a significant positive relationship 517
- with ENSO. 518
- The relationship between the same six large-scale fields and JJA tropical North Atlantic DAOD 519
- is considerably weaker, with lower correlations observed for all six fields (Figures S2). In 520
- addition, the regions with significant correlations decrease in spatial extent relative to the 521
- Caribbean DAOD correlations shown in Figure 8. 522
- We next investigate Maximum Potential Intensity (MPI), an integrated TC index, which 523
- 524 combines a list of key factors (similar to those explored above). MPI assesses how conducive
- atmospheric thermodynamic conditions are for TC intensification, providing a theoretical limit of 525
- the strength of a TC (Holland, 1997; Bister and Emanuel, 1998). Figures 9a and c show the 526
- correlations between the Caribbean region-averaged JJA DAOD and JJA/ASO MPI calculated 527 528 based on Bister and Emanuel (1998). Consistent with the results for the individual large-scale

529 fields, JJA MPI over the MDR exhibits strong negative correlations with JJA Caribbean DAOD (~-0.7, also Table 3). One of the primary inputs to the MPI calculation is SST, so the strong 530 inverse relationship between MPI and DAOD is expected given the strong inverse relationship 531 532 between SST and DAOD. The correlation is significant but weaker for ASO MPI for most of the MDR, except for the eastern tropical North Atlantic, where the negative correlation drops below 533 statistical significance. The negative correlation of JJA/ASO MPI with JJA tropical North 534 535 Atlantic region-averaged DAOD is much weaker than that with JJA Caribbean DAOD in the 536 MDR (Figure 9b and d) and drops below statistical significance in the Caribbean.

The genesis potential index (GPI) is another integrated TC index that is often used to provide an 537 estimate of the potential for tropical cyclogenesis (e.g., Emanuel and Nolan, 2004; Camargo et 538 al., 2007). Monthly GPI is calculated following Emanuel and Nolan (2004). Figure 10 shows the 539 correlation between region-averaged JJA DAODs and JJA and ASO GPI. Consistent with the 540 results for the individual large-scale fields and MPI, JJA GPI over the MDR exhibits strong 541 542 negative correlations (~-0.7, also Table 3) with JJA Caribbean DAOD. Similar to MPI, GPI is also directly related to SST via the potential intensity term, so given the negative correlation 543 between DAOD and SST, we would expect a negative correlation between GPI and DAOD. 544 Other terms also comprise the GPI, including vertical wind shear and mid-level moisture, which 545 546 also correlate negatively with DAOD. The correlation remains statistically significant but is weaker for ASO GPI for most of the MDR. The exception is the eastern tropical North Atlantic, 547 where the negative correlation drops below 95% statistical significance. The negative correlation 548 549 of JJA/ASO GPI with JJA tropical North Atlantic region-averaged DAOD is much weaker than that with JJA Caribbean DAOD in the MDR (Figure 10b and d), which is also consistent with the 550 result for the individual large-scale fields and MPI. 551

Table 3 summarizes the relationship between large-scale atmosphere/ocean fields, MPI, GPI, and 552 553 DAOD, with correlations displayed between JJA region-averaged DAOD and concurrent regionaveraged fields (i.e., JJA-averaged), as well as the large-scale region-averaged fields during the 554 peak of the Atlantic hurricane season from August-October. 850 hPa relative vorticity is 555 excluded as no statistically significant correlations are found. While the correlations between the 556 other five large-scale fields, MPI, GPI, and DAOD tend to weaken from JJA to ASO, the 557 correlations remain significant for all of these large-scale fields, and the integrated TC indices, in 558 the Caribbean during ASO. For the tropical North Atlantic, JJA DAOD has much weaker and 559 insignificant correlations with JJA 200 hPa zonal wind, wind shear and 700 hPa RH compared to 560those for the Caribbean. However its negative correlation with SST is as strong as that for the 561 Caribbean in JJA and remains statistically significant from JJA to ASO, although the magnitude 562 of the correlation is weaker in ASO. These contribute to a negative correlation with MPI and GPI 563 and a stronger correlation during JJA than during ASO. 564

Part of the reason for the rapid decrease in the strength of the correlations in the tropical North Atlantic is due to relatively low correlations between JJA and ASO values of large-scale parameters in that portion of the basin, indicating a lack of persistence in atmosphere/ocean conditions when compared with the Caribbean (Table 4). The persistence of the 700 hPa RH and 850 hPa U fields in the tropical North Atlantic is especially low compared to other fields.

570 3.4 Relationship between JJA DAOD and large-scale climate modes

We next explore the relationship between JJA-averaged DAOD and two large-scale climate 571 modes that have been documented in many studies to impact Atlantic TC activity: ENSO (e.g., 572 Gray, 1984; Goldenberg & Shapiro, 1996; Klotzbach, 2011; Klotzbach et al., 2018) and the 573 574 AMM (e.g., Kossin & Vimont, 2007; Patricola et al., 2014). El Niño typically reduces Atlantic TC activity through several mechanisms including increasing westerly wind shear especially 575 over the Caribbean (Gray, 1984) and through upper-level tropospheric warming, causing 576 increased static stability and inhibiting deep convection (Tang & Neelin, 2004). The AMM has 577 also been suggested in prior research to significantly impact Atlantic TC activity (Kossin & 578 Vimont, 2007), especially when combined with ENSO (Patricola et al., 2014). A positive phase 579 of the AMM is associated with a warmer than normal tropical Atlantic, anomalously low sea 580 level pressure and anomalously weak trade winds - all of which favor Atlantic TC formation 581 (Kossin & Vimont, 2007). 582 Table 5 displays the correlations between JJA regionally-averaged DAODs from the different 583 aerosol reanalysis products and the JJA and ASO ENSO (as represented by the ONI) and AMM 584

indices. There is a positive correlation between JJA Caribbean DAOD and the concurrent 585 (significant at the 90% level) and ASO ONI (significant at the 95% level) using MRC and 586 NAAPS-RA, and the correlations with the ONI increase from JJA to ASO. The correlation 587 588 between JJA tropical North Atlantic DAOD and JJA/ASO ENSO is not significant, however, consistent with previous studies (Lau and Kim, 2007; Doherty et al., 2014). ENSO events 589 climatologically intensify from boreal summer to boreal autumn (Harrison & Larkin, 1998), 590 591 which may be part of the reason for the increase in significance of the correlations from JJA to ASO. In addition, this likely also explains part of the reason why Atlantic TC activity correlates 592 593 more strongly with Caribbean DAOD than with tropical Atlantic DAOD, given the pronounced 594 impact that ENSO has on the Caribbean large-scale environment (Gray, 1984). Figure S3, in which JJA composite composites of MRC DAOD, 850 hPa horizontal wind and 700 hPa RH for 595 the three top El Nino and La Nino ENSO years (based on JJA ONI) are shown, corroborates that 596 stronger dust transport into Caribbean occurs during El Nino years without necessarily strong 597 598 emissionemissions over Africa and high DAOD over tropical North Atlantic. It is We also notednote that overlapping years of 2015 asis both an El NinoNiño and a high DAOD year, 599 and while 2011 asis both a La NinoNiña and low DAOD year. Removing the two overlapping 600 years in the composites leads to similar results except that DAOD differenced ifferences between 601 El Nino and La Nino years are more negative in the tropical North Atlantic, supporting, 602 603 additionally, supporting the insignificant correlation between ENSO and tropical North Atlantic

604 DAOD.

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605 The correlations between JJA Caribbean DAOD and JJA AMM are consistently strong and

negative (~-0.7) with all of the aerosol reanalyses (Table 5). As might be expected given that the signal of the AMM is climatologically strongest in the boreal spring and weakens in the boreal

summer and fall (Kossin & Vimont, 2007), correlations of JJA DAOD are weaker with the ASO

609 AMM than with the JJA AMM. Negative correlations are also obtained between the JJA tropical

610 North Atlantic DAOD and the JJA AMM, while the correlation with the ASO AMM is weak and

not statistically significant in general. The negative correlations between JJA DAODs and the

AMM are consistent with Evan et al. (2011)'s study on the radiative effect of dust aerosols on

613 the AMM. That study showed DAOD to be not only negatively correlated with the AMM but 614 that its variability was found to excite the AMM on interannual to decadal time scales.

615 So far we have shown that Caribbean DAOD is correlated with Atlantic basin-wide ACE as well 616 as two-large scale climate modes, ENSO and the AMM, which Both of these modes have both been shown to also impact Atlantic TC activity. To remove the influence of these climate 617 618 modesindices from the relationship between DAOD and ACE, we useduse partial correlation analysis (Sharma et al., 1978). Table 6 shows the partial correlation matrix between JJA 619 Caribbean and tropical North Atlantic DAOD and annual Atlantic basin-wide ACE while 620 controlling for the ONI and AMM indices, respectively. Removing the influence of ENSO 621 622 causes little change in the negative correlation between MRC Caribbean JJA DAOD and ACE. The correlation remains statistically significant, suggesting that ENSO is not primarily 623 responsible for the negative correlation between Caribbean DAOD and Atlantic ACE, at least 624 during the study period. The correlation between tropical North Atlantic JJA DAOD and ACE 625 also changes little, although the correlation is weak and not statistically significant initially. We 626 note that the correlation between ONI and ACE is very weak and is not significant during the 627 2003-2018 study period, partially due to the extremely active 2004 Atlantic hurricane season 628 which occurred despite a weak El Niño event. 629

In contrast to the findings of removing ENSO from the DAOD-ACE relationship, after removing 630 the influence of the AMM, the correlation between Caribbean JJA DAOD and Atlantic ACE is 631 632 much weaker and drops to insignificant levels, suggesting that the AMM is an important factor in the dust-TC relationship. However, it is hard to argue that the AMM is the determining factor in 633 the dust-TC relationship, as the correlation of ACE with JJA Caribbean DAOD is slightly higher 634 635 than with the JJA AMM (-0.61 vs. 0.59). When the partial correlation is calculated between the AMM and ACE while removing the Caribbean dust (DAOD) influence, the correlation drops 636 637 from 0.59 to an insignificant level ($r = \sim 0.26$), independent of season examined. This indicates 638 that Caribbean DAOD is, in turn, an important factor in the AMM-TC relationship. When the 639 TATL JJA DAOD is removed, the correlation between AMM and ACE is also reduced.-It 640 appears, implying that the AMM/DAOD/TC relationship is strongly intertwined. This is 641 physically feasible, as the dust radiative forcing can result in cooler SST, which can introduce 642 anomalously high sea level pressure and stronger trade winds (and therefore stronger vertical wind shear), which is characteristic of a negative AMM. This air-sea coupled response to dust 643 radiative forcing can act over relatively short timescales (e.g., one to two months) (Evan et al. 644 2011). Additionally, the dryness of the dusty air and the radiative heating of the dust layer, can 645 lead to stronger vertical wind shear and a more stable lower atmosphere, all in line with a 646 negative AMM that creates an environment that is detrimental for TC formation and 647 development. It could be argued that the negative correlation between DAOD and Atlantic TC 648 activity may be a result of forcing of the AMM by African dust. 649

650 4. Sensitivity tests

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651The sensitivity of our resultresults to the domain definitions of the tropical North Atlantic and652the Caribbean regions is explored by defining equal areas (shifting the separation longitude to653 $52.5^{\circ}EW$) for the two regions within the MDR, as well as by expanding the two regions by 5° 654latitude to the north (e.g., to $25^{\circ}N$). Neither of the two new definitions for regions significantly655change the correlations between JJA regionally averaged DAOD and large-scale fields (Table656S1, S2). The correlations between the JJA Caribbean DAOD and ACE are -0.59/-0.58 for the657longitudinal and latitudinal shift respectively, only slightly lower than -0.61 using the default

regional definitions. The correlations between the JJA TATL DAOD and ACE remain insignificant (Table S3).

While airborne dust can impact TC activity, once TCs form and develop, both precipitation and 660 strong winds can significantly remove these dust particles. However, the removal effect by TCs 661 cannot primarily explain the negative DAOD-TC relationship. This is because peak dust activity 662 occurs from June to August with larger DAOD in June and July than in August over the Atlantic, 663 suggesting that peak DAOD in general leads the peak TC season by ~1-2 months (Figure S1). 664 Using June-July average DAOD instead of June-August average DAOD changes our results only 665 slightly. For example, the correlation between June-July Caribbean DAOD and Atlantic ACE is -666 0.58, only slightly lower than that using JJA DAOD (r = -0.61) (Table S3). This has implications 667 for using DAOD as an indicator for seasonal TC forecasts which are often updated in early 668 August. 669

The sensitivity of the composite analysis of high versus low JJA Caribbean DAOD years to the 670 671 number of years is also explored by using two and four years for composites in addition to three vears (Table S4). Consistent results are found across all sensitivity tests. Atlantic basin-wide 672 numbers of tropical depressions, named storms, hurricanes and major hurricanes, and ACE are 673 higher (by a factor of 1.6-5) in the low than in the high JJA Caribbean DAOD seasons. The ratios 674 of observed average seasonal Atlantic hurricanes and major hurricanes in the low versus the high 675 676 JJA Caribbean DAOD seasons are generally higher than those of tropical depressions and named storms, suggesting a stronger correlation relationship between dust aerosols and intense storms 677 than weak storms. 678

679 5. Conclusions and Discussions

The relationship between African dust and Atlantic tropical cyclone (TC) activity has been 680 analyzed in many prior studies (e.g., Dunion & Velden 2004; Evan et al. 2006a; Braun et al. 681 2013; Pan et al. 2018). This study has revisited this relationship with a statistical analysis using 682 three newly available aerosol reanalyses, the Naval Aerosol Analysis and Prediction System 683 reanalysis (NAAPS-RA), the Modern-Era Retrospective analysis for Research and Applications, 684 Version 2 (MERRA-2) aerosol reanalysis, the Copernicus Atmosphere Monitoring Service 685 ReAnalysis (CAMSRA), and a multi-reanalysis-consensus (MRC) based on the three reanalyses 686 for the period 2003-2018. The datasets are validated with ground-based observations for modal 687 (fine, coarse and total) aerosol optical depth (AOD). The MRC data is primarily used in this 688 study as it generally has better verification results than any of the individual reanalysis products. 689 690 To our knowledge, this is the first climate study using a multi-reanalysis consensus to represent aerosol conditions. Our findings are summarized below: 691

1. Total AOD of the three aerosol reanalysis products are similar for the Atlantic Main 692 Development Region, however, AOD attributed to individual aerosol species (such as 693 694 dust aerosols) can be quite different among the three reanalysis products (Figure 4). June-July-August (JJA) dust AOD (DAOD) magnitude can differ by as much as 0.06, 695 corresponding to approximately 60% of the climatological JJA DAOD based on MRC for 696 the Caribbean, and can differ by as much as 0.05, approximately 20% of the 697 climatological JJA DAOD based on MRC for the tropical North Atlantic. This is because 698 total AOD is the only aerosol property constrained by satellite observations through AOD 699 700 data assimilation in all three aerosol reanalysis products, while speciated AOD is not

constrained. This also supports the potential usefulness of MRC, as multi-model consensus are found to generally be better performers than individual models in aerosol
 simulations (Sessions et al., 2015; Xian et al., 2019). Despite differences in DAOD
 magnitude, DAODs of the three reanalysis products correlate significantly over the
 tropical Atlantic and Caribbean.

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- 2. Each of the three individual reanalyses and the MRC have significant and negative 706 correlations between JJA Caribbean DAOD and seasonal Atlantic Accumulated Cyclone 707 Energy (ACE) (Table 2). High JJA DAOD in the Caribbean is associated with a less 708 709 conducive environment for hurricane activity as represented by cooler SST, enhanced vertical wind shear, lower mid-level moisture, and by lower Maximum Potential Intensity 710 (MPI) and Genesis Potential Index (GPI) values (Table 3). Pronounced differences in 711 712 Atlantic TC activity are seen when examining the three seasons with the highest levels of JJA Caribbean DAOD compared with the three seasons with the lowest JJA Caribbean 713 714 DAOD (Table 4 and Figure 7). About three times as many major hurricanes occurred during the three lowest DAOD seasons (2005, 2011, 2017) compared with the three 715 highest DAOD seasons (2018, 2015, 2014). Atlantic TC activity is also negatively 716 correlated with tropical North Atlantic DAOD but not as significantly as with Caribbean 717 DAOD for possible reasons discussed in the conclusion that follows. 718
- High Caribbean DAOD is typically associated with El Niño conditions, however ENSO does not appear to significantly impact the Caribbean DAOD-ACE relationship. The robust DAOD-ACE correlation still holds after removing ENSO's influence via partial correlation analysis.
- 4. JJA north Atlantic DAOD and the Atlantic Meridional Mode (AMM) are intertwined in 723 the dust-TC relationship. Both the Caribbean and tropical North Atlantic DAODs have 724 strong negative correlations with JJA values of the AMM index (with a stronger 725 correlation for the Caribbean DAOD). Meanwhile, the JJA AMM index correlates 726 significantly with Atlantic ACE. For AMM and DAOD, removing the other in their 727 relationships with ACE dramatically reduces the significance of the correlations based on 728 partial correlation analysis. This result supports Evan et al.(2011)'s work, which showed 729 that African dust excited AMM variability on interannual to decadal time scales through 730 radiative forcing of the underlying SST. Consequently, it can be argued that the negative 731 correlation between Caribbean and tropical North Atlantic DAOD and Atlantic TC 732 733 activity may be a result of forcing of the AMM by African dust.

These results agree with previous studies that showed negative correlations between boreal 734 summer Atlantic dustiness and TC activity (e.g., Dunion and Velden, 2004; Evan et al., 2006a; 735 Lau and Kim 2007). The correlations obtained in this study, especially those with Caribbean 736 DAOD, are slightly higher than previous studies, including correlations between boreal summer 737 738 dustiness and ACE (Evan et al., 2006a; Lau and Kim 2007) and between JJA dustiness and ENSO (DeFlorio et al., 2016). We note that the study areas, time periods and study methods are 739 not identical between our study and these previous studies, implying the usefulness of aerosol 740 reanalyses in climate studies. Various sensitivity tests show that our results are not sensitive to 741 the definitions of areas for the Caribbean and tropical North Atlantic, the number of composite 742 743 years used, or the definition of the dust season (June-July vs. June-August).

Our results also document statistically significant relationships between Atlantic dustiness and
large-scale fields (e.g., SST, vertical wind shear, mid-level moisture and relatively vorticity) and
integrated TC diagnostic indices (e.g., MPI and GPI), which can be seen as confounding factors
in the dust-TC relationship. Exploring the causality of the documented negative correlation
between DAOD and Atlantic TC activity through modeling experiments is beyond the scope of

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this paper. However in some modeling studies, radiative forcing of the scattering dust alone

could result in an inverse relationship between African dust and Atlantic TC activity (Dunstone

et. al, 2013; Strong et al., 2018; Sobel et al., 2019), along with consistent large-scale fields

associated with TC activity. So it is possible that radiative forcing of the scattering dust is a

dominant factor in the inverse dust-TC relationship on seasonal-interannual and basin-wide
 scales. After all, aerosol is aerosols are coupled radiatively with meteorology in the ERA-Interim

dataset, which provides the large-scale atmospheric data used in this study.

The correlations with Atlantic ACE are higher for Caribbean DAOD than for tropical North

757 Atlantic DAOD - a result that was not documented previously. The differences in the

relationships of Atlantic ACE with regional DAOD are potentially due to several factors:

759 a)The large-scale environmental fields investigated herein are better self-correlated

between the JJA and ASO seasons over the Caribbean than over the tropical North Atlantic

761 (Table 4). Therefore, the higher correlation with JJA Caribbean DAOD, which reflects the large-762 scale circulation, especially for the low- and mid-level wind flow that modulates how far African

dust can be transported, tends to extend into the ASO peak TC season. As was shown in

Saunders et al. (2017), the strength of the low-level winds in the Caribbean tends to be the most

robust diagnostic for seasonal ACE during the peak months of the Atlantic hurricane season.

b) ENSO has a large impact on the Caribbean large-scale environment (Gray, 1984). ENSOforced SST anomalies typically exhibit very strong persistence from JJA to ASO (Harrison &
Larkin, 1998). As Caribbean JJA DAOD is correlated with ENSO to some extent, it is also
correlated with Atlantic ACE.

c) There might be a regime shift in the integrated outcome of dust-TC interactions from east
to west across the tropical North Atlantic at climate time scales, with the eastern tropical North
Atlantic (e.g., close to the African continent) having a positive correlation (e.g., since dust
emission is often associated with AEWs emerging from Africa; Jones et al., 2003; Karyampudi
and Carlson, 1988) while the correlation becomes more negative heading west across the basin.

The relatively larger JJA DAOD variability in the Caribbean (larger relative dynamical
 range of Caribbean JJA DAOD compared to the tropical north Atlantic JJA DAOD over 2003 2018) could contribute to a higher correlation (Figures 3 and 4).

AOD data quality is comparatively better over the Caribbean than over the tropical north
 Atlantic (Table 1; Figure 3), given that AOD reanalyses generally perform better over long-range
 transport regions than they do closer to the aerosol source regions (Xian et al., 2016).

781 The conclusions drawn from this study are based on 16-year records (2003-2018) of DAOD data

from the MRC. We acknowledge that this analysis represents a relatively short timespan for a climate correlation study. Longer-period aerosol reanalyses with good fidelity are needed for

further climate studies. These reanalyses face several challenges including dealing with changes

to the network of AOD-observing satellites over time, as well as reasonable simulations and
 partitioning of aerosol-speciated AODs associated with rare severe aerosol events (e.g., volcanic
 eruptions) within AOD data assimilation systems.

788It is also worth noting that the 2003-2018 DAOD climatology for the Atlantic MDR could be

⁷⁸⁹ low relative to the extremely dusty period of the 1980s that has been documented by long-term

- ⁷⁹⁰ in-situ dust concentration measurements made in Barbados (Prospero, 2014) and a 24-year
- eastern North Atlantic dust cover record derived from the Advanced Very High Resolution
- Radiometer (AVHRR, Evan et al., 2006). Dust concentration records at Barbados (1965-2011)
- and dust cover determined from AVHRR (1982-2005) both indicate that dust levels over the
- ⁷⁹⁴ North Atlantic peaked during the mid-1980s, when tropical Atlantic TC activity was relatively
- 195 low (e.g., Wang et al., 2012). Our findings are consistent with the negative correlations reflected
- ⁷⁹⁶ in both the earlier dust and the earlier tropical Atlantic TC activity records.

797 Since we note that JJA/JJ Caribbean DAOD are strongly correlated with large-scale atmospheric

⁷⁹⁸ fields which are frequently used in seasonal hurricane forecasts, DAOD may be a useful

confirmation tool for observed thermodynamic conditions. Most groups issuing seasonal

- 800 hurricane forecasts provide an update in early August (immediately prior to the peak of the
- 801 Atlantic hurricane season). So early season (June-July) DAOD, especially over the Caribbean,
- so2 could be a potential indicator for the strength of seasonal Atlantic TC activity. While there is
- very strong agreement between large-scale zonal wind fields (e.g., the June-July-averaged 850
 hPa zonal wind over the tropical Atlantic correlates at 0.92 between ERA-Interim and MERRA-
- hPa zonal wind over the tropical Atlantic correlates at 0.92 between ERA-Interim and MERRA2, in which impact of aerosols on radiation and thereby on meteorology is included), there is less
- agreement with the mid-level moisture field. The correlation between ERA-Interim and
- MERRA-2 700 hPa RH over the tropical Atlantic averaged over June-July is only 0.72. The
- DAOD could potentially be used to help clarify the favorability/unfavorability of the
- thermodynamic environment, as indicated also by the strong correlation between JJA Caribbean
- 810 DAOD and JJA and ASO MPI.
- 811 We believe this study, which shows a robust correlation relationship for the integrated dust-
- atmosphere-ocean system, could provide a framework to better understand the linkages between
- 813 DAOD and Atlantic TC activity and how DAOD affects the large-scale environment of the
- MDR. This study focuses on seasonal to interannual time scales and provides aspects from a
- 815 large-scale point of view. Finer temporal scales (from hours to days) are needed in future studies
- 816 for cases where African dust is entrained into TC vortices.

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- Bata Availability: All data supporting the conclusions of this manuscript are available through
 the links provided below:
- 825 AERONET Version 3 Level 2 data: <u>http://aeronet.gsfc.nasa.gov</u>
- 826 AMM index:
- 827 <u>https://www.esrl.noaa.gov/psd/data/timeseries/monthly/AMM/ammsst.data</u>
- 828 CAMSRA AOD: https://www.ecmwf.int/en/research/climate-reanalysis/cams-reanalysis

829 ENSO index:

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- 830 http://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php
- 831 ERA-interim monthly means: https://rda.ucar.edu/datasets/ds627.1/
- HURDAT2:
- 833 https://www.aoml.noaa.gov/hrd/hurdat/hurdat2.html
- 834 MERRA-2 AOD:
- 835 <u>https://disc.gsfc.nasa.gov/datasets/M2TMNXAER_V5.12.4/summary?keywords=%22MERRA-</u>
- 836 <u>2%22</u>
- 837 NAAPS RA AOD: https://usgodae.org//cgi-
- 838 <u>bin/datalist.pl?dset=nrl_naaps_reanalysis&summary=Go</u>
- 839 NOAA OI SST V2 data: https://www.esrl.noaa.gov/psd/
- Author contribution: P. J. K, J. P. D. and P.X. conceived the idea. P.X. and P.J.K performed
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845 **Competing interests:** The authors declare that they have no conflict of interest.

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1215 **Tables:**

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Table 1. Root mean square error of total AOD (left number in each cell)- and coarse-mode AOD

1218 (right number in each cell) at 550nm from individual aerosol reanalyses, including CAMSRA,

219 MERRA-2, and NAAPS-RA, and the Multi-Reanalysis-Consensus (MRC) verified with

AERONET V3L2 monthly data for the 2003-2018 time period. The rank of MRC among all

1221 reanalyses in terms of RMSE is also shown. "~" means there are ties in the ranking. The first five

1222 sites are located in North Africa or off of the northwestern coast of Africa. The last four sites are

1223 located in or near the Caribbean Sea. AOD time series of MRC and AERONET at Dakar, Capo

1224 Verde, Ragged Point and La Parguera sites are presented in Figure 3.

Site	CAMSRA	MERRA-2	NAAPS-RA	MRC	Rank of MRC
Banizoumbou	0.13 0.17	0.10 0.10	0.11 0.13	0.10 0.11	~1 2
Capo Verde	0.07 0.07	0.06 0.05	0.06 0.07	0.06 0.06	~1 2
Dakar	0.07 0.11	0.07 0.07	0.08 0.10	0.06 0.08	1 2
La Laguna	0.06 0.05	0.06 0.05	0.06 0.05	0.05 0.04	1 1
Santa Cruz Tenerife	0.04 0.04	0.04 0.04	0.04 0.04	0.03 0.03	1 1
Ragged Point	0.05 0.03	0.03 0.03	0.03 0.03	0.04 0.03	3 ~1
La Parguera	0.05 0.02	0.03 0.02	0.03 0.02	0.03 0.02	~1 ~1
Guadeloupe	0.05 0.05	0.05 0.04	0.04 0.05	0.04 0.04	~1 ~1
Key Biscayne	0.05 0.03	0.03 0.02	0.02 0.02	0.03 0.02	2 ~1

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1227 **Table 2.** Annual average Atlantic TC activity in the three seasons with the highest JJA

1228 Caribbean DAOD (2014, 2015 and 2018) and the three seasons with the lowest JJA Caribbean

1229 DAOD (2005, 2011 and 2017). Ratios between the three low and the three high DAOD seasons

1230 are also provided. Corresponding numbers for the Caribbean are provided in parentheses next to

1231 the total basin-wide numbers.

	Tropical Depressions and Named Storms	Named Storms	Hurricanes	Major Hurricanes	Accumulated Cyclone Energy
Three highest JJA Caribbean DAOD	12.3 (2.3)	11.3 (2.3)	6.0 (0.3)	2.0 (0.0)	86 (3)
Three lowest JJA Caribbean DAOD	23.0 (6.7)	21.3 (6.7)	10.7 (3.0)	5.7 (2.7)	199 (39)
Ratio	1.9 (2.9)	1.9 (3.0)	1.8 (9.0)	2.8 (N/A)	2.3 (13.0)

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1235 **Table 3.** Correlation matrix between regionally-averaged multi-reanalysis-consensus (MRC) JJA

tropical North Atlantic/Caribbean DAOD and 850 hPa U, 200 hPa U, 200 hPa minus 850 hPa U

1237 (zonal wind shear), 700 hPa RH, SST, Maximum Potential Intensity (MPI) and Genesis Potential

Index (GPI) during JJA and ASO, respectively. Correlations that are statistically significant at
 the 90% level are highlighted in bold and those with asterisks are statistically significant at the

1240 95% level. 850 hPa relative vorticity is not shown as none of these correlations were statistically

1241 significant.

Environmental Field	JJA tropical North Atlantic / JJA Caribbean	ASO tropical North Atlantic / ASO Caribbear
850 hPa U	-0.63* / -0.79*	0.03 / -0.66 *
200 hPa U	0.34 / 0.81*	0.30 / 0.85 *
200 minus 850 hPa U	0.43 / 0.83 *	0.22 / 0.82*
700 hPa RH	-0.43 / -0.80 *	-0.34 / -0.55 *
SST	-0.71* / -0.75*	-0.44 / -0.79*
MPI	-0.74* / -0.68*	-0.47 / -0.67*
GPI	-0.75* / -0.76*	-0.62* / -0.69*

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Table 4. Correlation matrix between JJA and ASO values of 850 hPa U, 200 hPa U, 200 minus
850 hPa U, 700 hPa RH and SST over the tropical North Atlantic and the Caribbean,
respectively. Correlations that are statistically significant at the 90% level are highlighted in

respectively. Correlations that are statistically significant at the 90% level are highlighted inbold, and those with asterisks are statistically significant at the 95% level.

Environmental Field	Tropical North Atlantic	Caribbean
850 hPa U	0.51*	0.84*
200 hPa U	0.71*	0.88*
200 minus 850 hPa U	0.72*	0.90*
700 hPa RH	0.48	0.74*
SST	0.77*	0.85*

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1251 **Table 5:** Correlations of MRC JJA Caribbean DAOD or JJA tropical North Atlantic (TATL)

DAOD with the JJA or ASO Oceanic Nino Index (ONI) and the AMM. Correlations that are

statistically significant at the 90% level are highlighted in bold. Correlations that are statistically

1254 significant at the 95% level are marked with *.

	JJA Caribbean DAOD				JJA TATL DAOD			F
	CAMSRA	MERRA-2	NAAPS-RA	MRC	CAMSRA	MERRA-2	NAAPS-RA	MRC
JJA ONI	0.33	0.29	0.50	0.44	0.24	0.18	0.28	0.26
ASO ONI	0.42	0.41	0.61*	0.54*	0.38	0.30	0.42	0.41
JJA AMM	-0.72*	-0.70*	-0.63*	-0.76*	-0.58*	-0.65*	-0.36	-0.60*
ASO AMM	-0.42	-0.45	-0.30	-0.45	-0.33	-0.52*	0.01	-0.33

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1258 **Table 6.** Partial correlation matrix between MRC JJA Caribbean and tropical North Atlantic

1259 (TATL) DAOD and annual Atlantic basin-wide ACE while controlling for ENSO (using the JJA

1260 ONI) and the AMM (using JJA AMM index). Linear correlations (without controlling for the

1261 climate modes) between DAOD and ACE, AMM and ENSO indices and ACE are also listed for

1262 comparison purposes. Correlations that are statistically significant at the 90% level are

1263 highlighted in bold, and those with asterisks are statistically significant at the 95% level. Note 1264 that the thresholds for statistical significance are different for partial correlation and linear

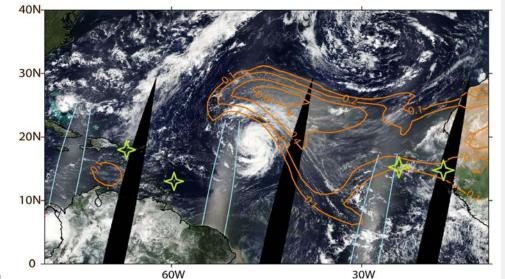
that the thresholds for statistical significance are different for partial correlation and linear
 correlation, as the degrees of freedom are different between the two.

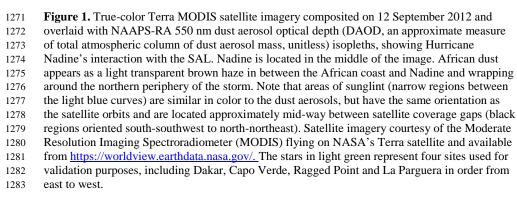
	ACE (ctrl. for ENSO)	ACE (ctrl. for AMM)	ACE	ACE (ctrl. for Caribbean DAOD)	ACE (ctrl. fo TATL DAOD)
MRC Caribbean DAOD	-0.62*	-0.29	-0.61*	-	-
MRC TATL DAOD	-0.39	-0.07	-0.41	-	-
AMM index (JJA/ASO)	-	-	0.59*/0.45	0.26/0.26	0.48 /0.37
ONI (JJA/ASO)	-	-	-0.12/-0.20	0.20/0.18	-0.02/-0.05

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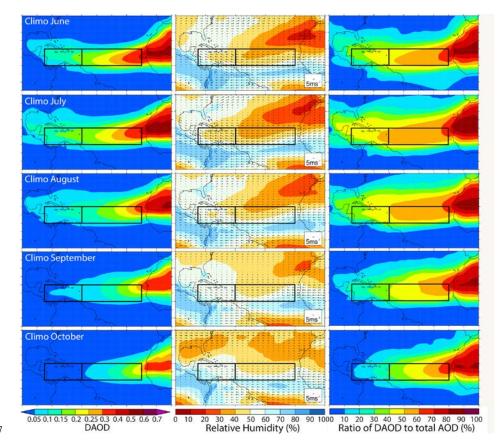
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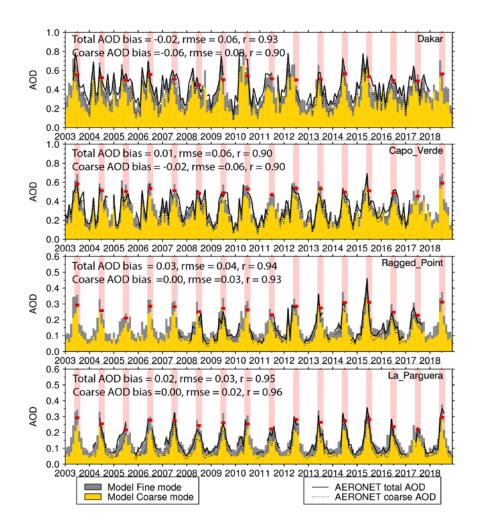
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Figure 2. Climatological (2003-2018 average) monthly mean DAOD (left column) and the ratio of DAOD to total AOD (right column) for June-October based on the MRC. The middle column chave the elimetelogical 700 kbe BU (color sheding) and herizontal wind vesture from EBA

1290 shows the climatological 700 hPa RH (color shading) and horizontal wind vectors from ERA-

1291 Interim. Black boxes denote the MDR, including the Caribbean (left, 10°-20°N, 85°-60°W) and

1292 the tropical North Atlantic (right, 10°-20°N, 60°-20°W, denoted "TATL").

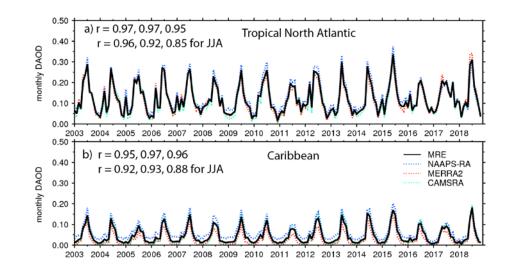


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Figure 3. Monthly mean Version 3 L2 AERONET and MRC 550nm modal AODs at four
 African dust-impacted sites: Dakar, Cape Verde, Ragged Point and La Parguera from east to
 west. JJA are highlighted with pink shading and JJA seasonal average total AOD from MRC are
 shown by the with red bars. Annotations for each time series show bias, RMSE and correlation

1299 (*r*) of monthly averages calculated from the MRC.



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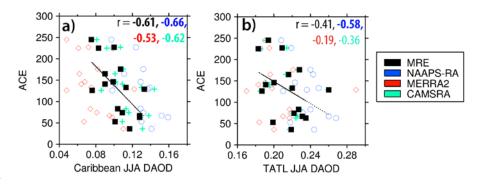
- 1303 Figure 4. Monthly DAOD at 550nm from CAMSRA, MERRA-2, NAAPS-RA and MRC from
- 1304 2003-2018 for the (a) tropical North Atlantic and the (b) Caribbean. Correlations between
- 1305 CAMSRA and MERRA-2, CAMSRA and NAAPS-RA, MERRA-2 and NAAPS-RA are
- 1306 displayed in sequence for all months and for JJA-only respectively.

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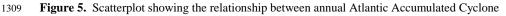
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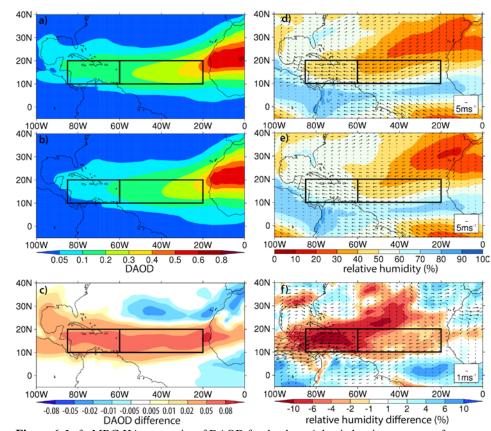


1310 Energy (ACE) and JJA region-averaged DAOD from MRC, NAAPS-RA, MERRA-2 and

1311 CAMSRA over the (a) Caribbean and (b) the tropical North Atlantic. One unit of ACE equals 10^4 1312 kt². Correlations (*r*) between ACE and DAOD are color-coded for different DAOD products and

1312 kt². Correlations (*r*) between ACE and DAOD are color-coded for different DAOD products and 1313 overlaid on each plot, and statistically significant correlations are in bold. The possible causes of

the DAOD difference between the reanalysis products are discussed in Section 3.1.



1318 **Figure 6.** Left: MRC JJA composite of DAOD for the three Atlantic hurricane seasons from

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^{1319 2003-2018} with (a) the highest JJA Caribbean DAOD (2014, 2015 and 2018), (b) the lowest JJA

<sup>Caribbean DAOD (2005, 2011, and 2017), and (c) the difference between the two (highest minus
lowest). Right: The corresponding JJA composite of 850 hPa wind (vectors) and 700 hPa RH</sup>

^{1322 (}color shading).

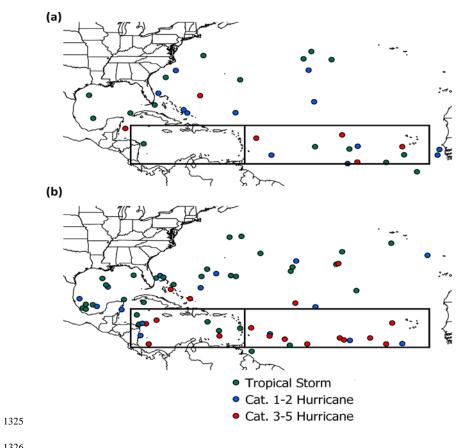


Figure 7. Formation locations of Atlantic named storms during the three seasons with (a) the

highest Caribbean JJA DAOD (2014, 2015 and 2018) and (b) the lowest Caribbean JJA DAOD

(2005, 2011, and 2017). Also displayed are the maximum intensity that each TC reached.

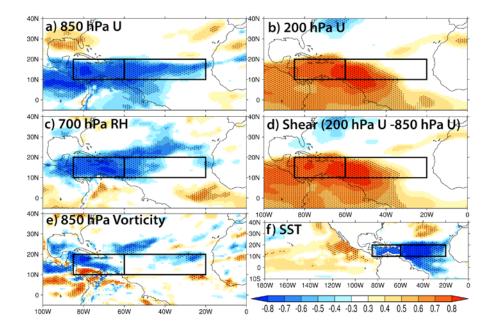
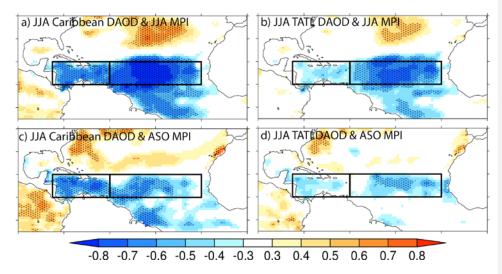


Figure 8. Correlation between MRC JJA regionally-averaged DAOD in the Caribbean and JJA

- 1333 (a) 850 hPa U, (b) 200 hPa U, (c) 700 hPa RH, (d) zonal wind shear, (e) 850 hPa relative
- 1334 vorticity and (f) SST. Correlations over the black dotted areas are statistically significant.



1338 Figure 9. Correlation between (a) MRC JJA regionally-averaged DAOD in the Caribbean and

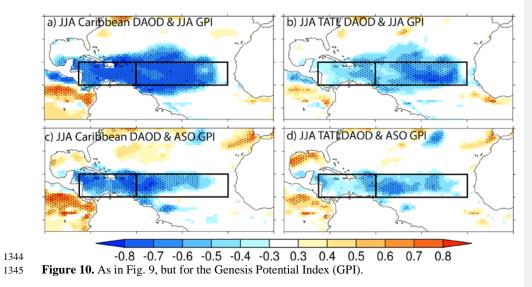
JJA Maximum Potential Intensity (MPI), (b) MRC JJA regionally-averaged DAOD in the 1339

1340 tropical North Atlantic (TATL) and JJA MPI, (c) JJA Caribbean DAOD and ASO MPI and (d)

JJA TATL DAOD and ASO MPI. Correlations over the black dotted areas are statistically 1341

significant. 1342

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