1	Discrepancies between MICS-Asia III Simulation and Observation for Surface Ozone in the Marine Atmosphere over the Northwestern Pacific				
2 0	Surface Ozone in the Marine Atmosphere over the Northwestern Pacific				
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4 5	Hajime Akimoto ¹ , Tatsuya Nagashima ¹ , Li Jie ^{2,3,4} , Joshua S. Fu ^{5,6} , and Zifa Wang ^{2,3,4}				
6					
7	1. National Institute for Environmental Studies, Onogawa, Isukuba 305-8506, Japan				
8	2. The State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chem				
9	istry, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029,				
10	China				
11	3. Center for Excellence in Urban Atmospheric Environment, Institute of Urban Environment,				
12	Chinese Academy of Sciences, Xiamen, 361021, China				
13	4. College of Earth Sciences, University of Chinese Academy of Sciences, Beijing, 100049, C				
14	hina				
15	5. Department of Civil and Environmental Engineering, University of Tennessee, Knoxville,				
16	TN 37996, U.S.A.				
17	6. Computational Earth Sciences Group, Computational Sciences and Engineering Division,				
18	Oak Ridge National Laboratory, Oak Ridge, TN 37831, U.S.A.				
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20	Correspondence: Hajime Akimoto (akimoto.hajime@nies.go.jp)				
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22	Abstract. In order to identify the causes of overestimate of the surface-level O ₃ mixing ratio				
23	simulated by three regional chemical-transport models, NAQPMS v.3 (abbreviated as NAQM in				
24	this paper), CMAQ v.5.0.2, and CMAQ v.4.7.1, compared to the EANET observational data at a				
25	marine remote site at Oki in July 2010, analyses of hourly data of O ₃ mixing ratios and net ozone				
26	production were made in the context of MICS-Asia III. In addition to Oki, model-simulated and				
27	observational data for two other EANET marine sites, Hedo and Ogasawara, were also examined.				
28	Three factors, i.e., long-range transport from the continent, in-situ photochemical formation, and				
29	dry deposition of O_3 on seawater have been found to contribute to the overestimate by these				
30	regional models at Oki. The calculated O ₃ mixing ratios during long-range transport from the				
31	continent were much higher for all the three models than those of the observation. In-situ				
32	photochemical formation, demonstrated by a distinct diurnal variation which was not discerned				
33	in the observational data, was seen in the simulated data of all the three models and ascribed to				
34	the virtual transport of NO_x from the southern urban areas of the main island of Japan. At Hedo				
35	and Ogasawara overestimate of O_3 in oceanic air mass was found for CMAQ v.5.0.2 and v. 4.7.1.				
36	while the agreement was much better for NAQM. The overestimate by CMAQ models were				

- 37 inferred to be due to the use of too small dry deposition rate of O_3 compared to NAQM in the
- 38 Northwestern Pacific. However, the dry deposition velocity of O₃ in the Bohai Bay and the Yellow
- 39 Sea has been assumed to be comparable to that of the open ocean in all the three models, which
- 40 could have resulted in the overestimate of O₃ mixing ratios in this area and also in the long-range
- 41 transport of O₃ from the continent to Oki. A higher value of dry deposition velocity in this marine
- 42 area is expected considering the higher content of organics in the surface sea layer brought by
- 43 rivers and atmospheric wet deposition. Empirical measurements of the mixing ratios and dry
- 44 deposition flux of O₃ in this area is highly recommended, since it would affect the simulated
- 45 mixing ratios in the down-wind region in the Pacific rim region.

46 **1** Introduction

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48Surface ozone simulation by regional chemical transport models (CTMs) has become widely 49used and is thought to be well-developed considering its long history since the 1980s (e.g. De 50Wispelaer, 1981) and a well-established underlying fundamental science of tropospheric gas-51phase photochemistry (e.g. Akimoto, 2016). Nevertheless, a recent model intercomparison study, 52MICS-Asia III, has revealed a large variability in the simulated spatial distribution of surface 53ozone (O₃) mixing ratios in the East Asian region among models and between models and 54observations (Li, J. et al., 2019). Since regional CTMs are commonly used for proposing 55mitigation policies on how to reduce the emissions of NO_x and NMVOC for controlling 56photochemical ozone pollution, there is an urgent need to provide useful information on 57advancing the current understandings of discrepancies among the models and between modeled 58and observed mixing ratios of O₃.

59We realize that model intercomparison studies of ozone simulation for air quality is 60 at the stage of identifying the causes of discrepancies and depicting the problem that are 61 used to improve models, rather than simply demonstrating the statistical performance of 62 the models and showing the degree of agreement between the simulated ensemble mean 63 and observations. Our previous paper in this special issue (Akimoto et al. 2019), noted a 64 disagreement between the observed mixing ratios of surface O_3 in the megacities of Beijing 65 and Tokyo and at a remote oceanic site at Oki, and those simulated by three selected regional 66 models, namely WRF-CMAQ, v.5.02 and v.4.7.1, and WRF-NAQPMS v.3. As for the urban areas 67 of megacities, we found that the degree of agreement of the simulated levels of O₃ and NO with 68 the observations were strongly coupled, and we discussed the importance of making comparisons 69 of simulated mixing ratios of precursors (NOx and NMVOC) together with O3 itself. Specifically, 70we proposed to confirm the potential importance of the heterogeneous "renoxification" reaction 71of HNO₃ to regenerate NO_x on the aerosol surface by comprehensive field observations of NO_y . 72We also identified that the difference in the vertical transport scheme affected the simulated results 73of O₃ significantly.

74As for the marine remote site of Oki, an island in the western part of the Sea of Japan, an 75overestimate of O_3 by ca. 20 ppbv compared to observations in July 2010 has been noted for all 76the three selected models. However, the causes of the disagreement between the models and 77observations were not discussed in the previous paper (Akimoto et al. 2019). Oki is one of the 78baseline sites for O_3 observations, and the O_3 level there reflects the amount of transboundary 79long-range transport of O_3 from the Asian continent to the Pacific rim region. It is also a reference 80 "background" site for air quality in Japan. Therefore, a better matching between observational 81 data and model simulation is desired, and elucidation of the causes of the overestimates by the 82 models is worth pursuing.

83 So far, validations of surface O_3 in the oceanic area by regional CTMs have rarely been made. 84 This is because regional CTMs have been applied mainly to the air quality in urban polluted areas 85 with the aim of controlling precursor emissions. However, in the East Asian Pacific rim region, 86 the continental outflow over the ocean is transported to the downwind land area, thus, a discussion 87 of transboundary pollution is necessarily needed to validate over the oceanic area. In the marine 88 region the dry deposition of O₃ on the oceanic water is one of the important parameters which 89 would affect the mixing ratios of O_3 in the marine boundary layer. An intensive evaluation of 90 ozone deposition simulations using regional models in East Asia has been reported by Park et al. 91(2014), but the detailed analysis has been made mostly on land area and the discussion in marine 92region is limited. 93 On the other hand, since the oceans cover 2/3 of the earth surface, the air-sea exchange plays 94an important role in the tropospheric ozone budget, and substantial discussions on the impact of 95dry deposition of O₃ over oceanic water have been made by global CTMs (e.g., Ganzeveld et al., 96 2009; Young et al., 2013; Hardacre et al., 2015; Luhar et al. 2018). According to the recent 97estimate, the ozone dry deposition of O₃ is found to be $98 \pm 30 \text{ Tg yr}^{-1}$ for the ocean, about 13% 98 of the global deposition of 723 ± 87 yr⁻¹ (Luhar et al., 2018) in contrast to the total global total dry 99 deposition of 1094 \pm 264 Tg yr⁻¹ (Young et al., 2013) of which about 35% is to the ocean 100 (Ganzeveld et al., 2009; Hardacre et al., 2015). Hardacre et al. (2015) observed that ozone dry 101 deposition to the water surface in models has the largest uncertainty compared to other surface 102types. 103 According to the O₃ overview paper of MICS-Asia III by Li, J. et al. (2019) (Figure 3(i)), 104 substantial overestimate of the mixing ratios of O_3 at Oki was revealed only in summer to early 105autumn (June to September) by most of the 13 submitted models. In the present study, we made 106 a comparison of the simulated and observational O_3 data in July when the highest overprediction 107 has been revealed. Among the models, CMAQ v.5.0.2 and v.4.7.1 and NAQM v.3 has been 108 analyzed just as same as in our previous paper (Akimoto et al., 2019) due to the availability of 109 hourly data and process analysis data. We made a comparison of the simulated and observational 110 O₃ data in the marine atmosphere over the Northwestern Pacific Asian Rim Region. In addition 111 to Oki, comparisons at two other oceanic sites, Hedo and Ogasawara which are even more remote 112than Oki, have been performed. Among the selected three observational sites, Ogasawara was 113 categorized as "true oceanic site" in the Northwestern Pacific (Schultz et al., 2017), Oki is a 114marine site affected more often by the continental outflow even in the summer, and Hedo is 115characterised between the two sites. 116

117 2 Models and Observational Sites

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119 The selected three models are the same as those in our previous paper, i.e., WRF-CMAQ 120v.5.0.2, v.4.7.1, and WRF-NAQPMS v.3 (abbreviated as NAQM hereafter in this paper). 121Model calculations by the CMAQ v.5.0.2, v.4.7.1, and NAQM were conducted at the 122University of Tennessee (USA), National Institute for Environmental Studies (Japan), and 123 Institute of Atmospheric Physics (China), respectively. Basic features and the simulated 124domain of these regional models have been given in previous papers in this special issue 125(Akimoto et al., 2019; Li, J. et al., 2019). Briefly, the employed horizontal resolution was 12645 km for all the models. The models employed the common meteorological fields from 127WRF simulations and common emissions of MIX $(0.25^{\circ} \times 0.25^{\circ})$ for 2010 (Li, M. et al., 1282017) both developed in the MICS-Asia III project. A detailed description about the WRF 129simulation can be found in Li et al. (2019) and Kong et al. (2020). Briefly, it was nudged toward 130 the final analysis dataset from the National Centers for Environmental Prediction and National 131 Center for Atmospheric Research (NCEP/NCAR). Dry deposition schemes by Wesely (1989) and 132M3DRY (Pleim et al., 2001) were used in NAQM and CMAQ, respectively. The initial and 133 boundary conditions were supplied by global models, CHASER for CMAQ v.4.7.1 and NAQM, 134 and GEOS-Chem for CMAO v.5.0.2. The two global models used Wesely (1989) as a dry 135deposition scheme.

136 Fig. 1 shows three observational sites of EANET (Acid Deposition Monitoring Network in 137 East Asia) at Oki (36.3°N, 133.2°E, 90m asl), Hedo (26.9°N, 128.2°E, 60m asl), and Ogasawara 138 (27.1°N, 142.2°E, 212m asl) (www.eanet.asia/about/site information/) which were selected for 139 the comparison of observational data with model simulation. The Oki station is on the northern 140 cliff of Dogo Island of Oki Islands, the Hedo station is at Cape Hedo located at the northern tip 141 of Okinawa main island, and the Ogasawara station is on the hill of Chichi Island of Ogasawara 142Islands. Measurements of O_3 were made by using UV absorption instruments (Horiba APOA-360, 143 -370). The observational data used for the three sites were the 1-hr averaged values in July 2010, provided by the EANET Network Center, Asia Center for Air Pollution Research (ACAP) 144 145(http://www.acap.asia). Fig. 1 also shows the monthly averaged wind field in July.

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147 **3** Results and Discussion

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149 **3.1 Comparison of O₃ at Oki, Hedo, and Ogasawara**

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151 Figures 2(a)-(c) depict the comparison of the monthly mean diurnal variation of surface O₃ mixing

- 152 ratios in July 2010 between the model simulations and observations at Oki, Hedo, and Ogasawara,
- 153 respectively. The data shown in Fig. 2(a) at Oki is the same as that presented in our previous paper

154 (Akimoto et al., 2019), and indicates that the O_3 mixing ratios simulated by all three models fall 155 within the range of ~10 ppbv at the 52–71 ppbv level, as compared to the observational value of 156 34–43 ppbv. Thus, all three models overestimated the O_3 mixing ratio by nearly 20-30 ppbv. A 157 monthly averaged diurnal amplitude of 17 and 15 ppbv with a daytime maximum and a nighttime 158 minimum can be noted for CMAQ 5.0.2 and NAQM, which is substantially larger than the 159 variability of 7 and 9 ppbv for the simulation by CMAQ 4.7.1 and the observation, respectively.

160 In contrast to Oki, the monthly-averaged observational mixing ratios of O_3 at Hedo and 161 Ogasawara are approximately in the same range, 12-16, and 10-14 ppbv, respectively, with a slight 162diurnal variation of ca.4 ppby. The monthly averaged mixing ratios of O_3 at 10-15 ppby in July is 163 typical in the marine boundary layer at these remote islands (Pochanart et al., 2002), which are 164 basically under the influence of Pacific oceanic airmass as shown by the wind field in Fig. 1. The 165model simulation of NAQM reproduced well the monthly-averaged O3 levels at these sites with 166 a slight diurnal variation (ca. 4 and 1 ppbv at Hedo and Ogasawara, respectively). Meanwhile, 167 CMAO 5.0.2 and 4.7.1 agree well with each other, but overestimate the observed monthly-168averaged O₃ mixing ratios by nearly 23-27 ppbv at Hedo and 11-14 ppbv at Ogasawara. These 169models show a diurnal variation of 9-16 ppbv at Hedo, which is much larger than the observation. 170The diurnal variation revealed by these models at Ogasawara is less than 1 ppby, agreeing well 171 with NAQM.

172In order to clarify the causes of the discrepancies, comparisons of the mixing ratios of O_3 on 173an hourly basis were made at Oki, Hedo, and Ogasawara (Figs. 3(a)-(c)). In the observational data 174at Oki shown in Fig. 3(a), minimum mixing ratios of ca. 20 ppbv are often seen within a short 175time duration of a few hours, which represents the O₃ level in the marine air mass brought by the 176southerly wind of the summer monsoon from the surrounding oceanic area near Japan, as revealed 177by Akimoto et al. (1996). The observational data also shows that the O₃ mixing ratio at Oki often 178reaches the level of ca. 60 ppbv, and the highest O_3 level of ca. 80 pbv was observed on July 6-8. 179This event is thought to be caused by the long-range transport from the continent (Akimoto et al., 180 1996). The model simulations captured this event, but the estimated peak height of O_3 on July 6-181 7 is much higher, more than 130 ppbv by CMAQ 5.0.2, and ca 100 ppbv by NAQM and CMAQ 1824.7.1.

As seen in Fig. 3(a), a distinct diurnal variation with a daytime maximum and a nighttime minimum can be discerned during July16-20 in the simulations by NAQM and CMAQ 5.0.2. The diurnal variation is less profound in the CMAQ 4.7.1 simulation and is not discernible in the observational data. This feature apparent in the model simulations is thought to be the result of in-situ photochemical O_3 production during daytime, caused by overestimated NO_x mixing ratios by the models, as will be discussed later in **3.3**.

189 At Hedo on Okinawa island, the observation shows that the minimum (baseline) mixing ratio

190 of O₃ in the maritime air mass in this region is 5-10 ppbv in July (Fig. 3b). The figure also shows 191 that the observational O₃ level frequently reaches 20-30 ppby. NAOM reproduced well the 192background marine O_3 levels of ca. 10 ppbv and the higher O_3 levels of 20-30 ppbv. In contrast, 193a strong diurnal variation is apparent in the CMAQ 5.0.2 simulation with an amplitude of more 194 than 20 ppby, and also in the CMAO 4.7.1 simulation with a slightly less amplitude. Furthermore, 195the maritime background O₃ level simulated by these models is ca. 20 ppbv, nearly 10 ppbv higher 196 than the observation. These values of the diurnal variation and background level clearly bring an 197 overestimate of monthly mean O₃ levels by more than 20 ppbv (Fig. 2(b)).

198At Ogasawara, a more remote site in the Northwestern Pacific about 1000 km south of Tokyo, 199 the observational O₃ mixing ratios in the oceanic air are 2-8 ppbv (Fig. 3(c)). However, even at 200 Ogasawara in summer, higher O_3 mixing ratios up to 30 ppbv have been observed. The less than 10 201ppbv baseline level of O_3 in the remote oceanic air in this region is well reproduced by NAQM, 202but CMAQ 5.0.2 and 4.7.2 give more than 10 ppbv higher values than the observation. All three 203 models captured well the observed O₃ peak on July 23-24, which can be ascribed to long-range 204transport from Japan, as will be discussed in 3.2 below. NAQM reproduced well the observed 205maximum O_3 mixing ratio of ca. 30 ppbv as well as the transport amplitude of ~25 ppbv. CMAQs 206gave the similar amount of O_3 increase due to the transport, ~25 ppby, but the overestimated the 207peak values due to the overestimate of the baseline mixing ratios. A certain feature of diurnal 208change peaking at daytime can be seen in both observation and model simulations for several 209 days in Fig. 3(c). Although Ogasawara is generally thought to be a real background site based on 210its remote location over the open Pacific ocean, the monitoring station is actually surrounded by 211 trees, and the data may be affected by local emissions of biogenic VOCs and soil NO_x, which 212may possibly cause some photochemical activity to form in-situ O₃ under certain meteorological 213conditions. Overall, the NAQM-simulated values of monthly mean mixing ratios match to the 214observations, and the CMAQ 5.0.2 and 4.7.1 simulations give ca. 15 ppby higher O_3 values at 215Ogasawara in July (Fig. 2(c)).

From these results, we consider that three factors, long-range transport, in-situ photochemical O₃ formation, and background mixing ratio of oceanic O₃ which is affected by dry deposition of O₃ on seawater, would be related to the overestimate of surface O₃ at Oki in July by the selected three models.

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221 **3.2** Long-range transport of O₃

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At least two high O_3 events, one at Oki between July 6-8 and the other at Ogasawara on July 23 and 24 are thought to be caused by long-range transport of O_3 . In order to confirm the characteristics of these events, the spatial distribution of surface O_3 in East Asia obtained by

226NAQM, CMAQ 5.0.2 and 4.7.1 at 18 JST (Japan Standard Time) on July 6 and at 19 JST on July 22723, are shown in the left and right figures in Figs. 4(a)-(c), respectively. Fig. 4(a)-(c) (left-hand) 228shows the event that the plume of continental outflow is transported to the Yellow Sea, South 229Korea and Japan covering the Oki site. In this event, the simulated surface O₃ mixing ratios by 230NAOM, CMAO 5.0.2 and 4.7.1 are 104, 135 and 110 ppby, respectively, which are 231substantially higher than the observational value of 80 ppbv. An overestimate of the O₃ 232level in the upwind area of Beijing produced by CMAQ 5.0.2 and 4.7.1 has been identified in our 233previous paper (Akimoto et al., 2019), which may have contributed to some extent to the 234overestimate of O₃ by these models during this event. The reduction of overestimate over the 235continent would remove the substantial part of the overestimate of O₃ on these days although the 236sensitivity analysis has not been made in the present study. On the other hand, NAQM reproduced 237reasonably well the O₃ levels in the urban areas of Beijing in July (Akimoto et al., 2019). 238Nevertheless, the simulated level of O₃ by NAQM during the event is ca. 20 ppbv higher than that 239of the observation, which implies that an additional factor contributes to the overestimate of O_3 240in the long-range-transported air mass.

This additional factor of the overestimate of surface O_3 in the long-range-transported O_3 from the continent commonly affecting the results of all of the three selected models could to be the result of the overestimate of surface O_3 over the Bohai Sea, Yellow Sea, and the southern part of the Sea of Japan caused by the underestimate of dry deposition velocity of O_3 over the seawater in these areas, which will be discussed later in detail in **3.4**.

The right-hand figures in Fig. 4(a)-(c) shows the long-range transport of O_3 over Japan to the Ogasawara islands on July 23. Under certain meteorological conditions, a high level of O_3 over Japan is transported southward toward the Pacific Ocean. The simulations of the increment of transported O_3 to Ogasawara by all the three models reproduce the observed value of ~25 ppbv reasonably well, and the long-range transport of O_3 from Japan in this region is not overestimated in either of the models.

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253 **3.3** In-situ photochemical formation of O₃

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An apparent diurnal variation of O_3 with a daytime maximum and a nighttime minimum have been noted in the simulated results at Oki in Fig. 3(a) for all three models particularly during July 16-23, which is not discerned distinctly in the observational data. Such a diurnal variation in the simulated results strongly suggests that an in-situ photochemical buildup of O_3 occurs in the model simulations. In order to assess the extent of the photochemical buildup and net chemical production of O_3 at the remote marine site of Oki, Figures 5(a) and (b) compare the modelsimulated mixing ratio of NO₂ and NO with those of NO₂* and NO observed using a

chemiluminescent analyzer with Molybdenum (Mo) catalyzer. Since the Mo catalyzer reduces not only NO₂ but also gaseous HNO₃ and particulate NO₃⁻ to NO in an unstoichiometric way, the quantity of NO₂^{*} rather than NO₂ is reported in the EANET protocol. Since the contribution of HNO₃ to NO₂^{*} is significant at remote sites, it is not possible to assess the exact ratio of NO₂/NO₂^{*}, thus, NO₂^{*} should be used only as a rule of thumb for the upper limit of NO₂.

As can be seen in these figures, all the simulated data show significant levels of NO_2 over 2 ppbv in the period from July 16 to 21, which is substantially higher than the observed NO_2^* which is typically lower than 2 ppbv. It should be noted that the temporal variation of NO_2 in the simulations by CMAQ 5.0.2 and 4.7.1 is similar, but the absolute mixing ratio simulated by CMAQ 5.0.2 is substantially higher than that simulated by CMAQ 4.7.1. Meanwhile, the temporal pattern of the NO_2 mixing ratios simulated by NAQM is quite different from that simulated by CMAQs, and a significantly high level of NO_2 up to 11 ppbv can be seen on July 13.

274It should be pointed out that Oki station is a remote site and the emission of NO_x within the 275model grid covering Oki is very low, which gives a low simulated mixing ratio of NO₂ of less 276than 1 ppbv in the early half of the month by all the models. Since all three models use the same 277emission data supplied by the MICS-Asia III project (Li, M. et al.2, 2017), the high NO₂ over 5 278ppby in Figs. 5(a) typically seen in the latter half of the month is thought to be transported from 279urban/industrial sources nearby. A possible source is Matsue and surrounding cities in mainland 280Japan which are located less than 100 km south of the Oki site. Model simulations of meteorology 281may produce a high NO_x value from this area, which does not happen in reality. The different 282temporal pattern of NO_x between the NAQM and CMAQ simulations is thought to result from 283the difference in the coupling of transport and chemistry in these models. A high NO₂/NO ratio 284seen in the model simulation is consistent with the estimate that the virtual source area of NO_x is 285not close to the site on the island. Similar figures as Fig 5(a)-(b) for Hedo and Okinawa are given 286in Figs, 5S-1 and 5S-2 (supplement), respectively. Although Hedo is a remote island site, the area 287surrounding the station consists of sugar cane field soils emit substantial NO (Matsumoto et al., 2882001), which would give ≤ 0.5 pptv level of NO in observational data for certain days. The MIX 289emission inventory used for the modeling considers agricultural sources of NO_x without 290specifying crop species. Diurnal variation of NO₂ with a smaller amplitude ($\leq \sim 4$ ppbv) than Oki 291is seen at Hedo for CMAQ 5.0.2 and 4.7.1, but not for NAQM. At Ogasawara none of the model 292shows NO₂ peaks more than 0.5 ppby.

Figures 6(a)-(c) depicts the hourly net chemical production of O_3 at Oki, Hedo and Ogasawara in July, respectively, calculated by NAQM, CMAQ 5.0.2, and CMAQ 4.7.1. At Oki a substantial photochemical O_3 production was simulated by NAQM and CMAQ 5.0.2 up to more than 15 ppbv hr⁻¹ and a smaller amount of less than ca. 10 ppbv by CMAQ 4.7.1 corresponding to the simulated NO_x mixing ratios. Such in-situ net production during daytime shows a distinct diurnal

298 variation for the simulated mixing ratios of O₃ during a certain period in July. Thus, virtually

- 299 simulated relatively high NO_x is thought to brought the in-situ photochemical ozone production
- 300 in the CTMs, which contributes to the overestimate of the monthly-averaged mixing ratio of O_3
- at Oki by all the three models.

302 An analysis reveals that the situation is similar at Hedo. Photochemical O₃ production up to 303 8-10 ppbv hr⁻¹ has been simulated by CMAQ 5.0.2 and 4.7.1 as shown in Fig. 6(b). Although 304 Hedo is also a remote island site, the local emission of NO from sugar cane fields soils emit 305 substantial NO as noted before, which resulting in some daytime O₃ peaks in the observational 306 data as shown in Fig. 3(b). The substantial overestimate of the photochemical O₃ formation at 307 Hedo produced by CMAQ 5.0.2 and 4.7.1 as revealed by the distinct diurnal variation of O₃ in 308 Fig 3b, may be due to the virtual transport of NO_x from urban areas in the southerly part of 309 Okinawa island, as in the case of Oki. The overestimate of the in-situ photochemical buildup of 310 O_3 seems to have resulted from an overestimate of more than ca. 10 ppbv in the monthly mean 311 mixing ratios by these models in addition to the overestimate of the background levels.

At Ogasawara, no such photochemical buildup of O_3 can be seen by any of the models, as shown in Fig. 6(c). The negative net ozone production would be due to the O_3 destruction by the photochemical HO_x cycle under very low NO_x conditions (Akimoto, 2016). The overestimate of background O₃ in clean marine air mass gives a value of ca.10 ppbv monthly mean O₃ by the CMAQ models (Fig. 2c).

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3.4 Dry deposition of O₃ on seawater

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320 Figures 7(a)-(c) compare the spatial distribution of monthly mean surface ozone mixing ratios in 321July 2010 in the coastal and oceanic areas of East Asia calculated by NAQM, CMAQ 5.0.2, and 3224.7.1, respectively. A large variability in the O_3 mixing ratio among the models can be seen in 323 heavily polluted land areas, and possible causes of the difference in the megacities of Beijing and 324Tokyo have been discussed in our previous paper (Akimoto et al., 2019). In addition to the land 325area, a difference of surface O₃ mixing ratios in the oceanic area among the three models can be 326 seen in the figures. The NAOM-simulated surface O_3 in the open oceanic area south of Japan is 327 10-15 ppbv, which is substantially lower than the 25-30 ppbv produced by CMAQ 5.0.2 and 4.7.1. 328 These values correspond well to the monthly mean O₃ mixing ratios at the "true oceanic site" 329 Ogasawara, shown in Fig. 2(c). Figure 7 also shows that Cape Hedo in Okinawa is at the edge of 330 the Pacific marine airmass with the lowest O₃, where the coastal airmass is affected by the 331continental outflow. The O₃ mixing ratio near this site simulated by CMAQ 5.0.2 and 4.7.1 is 30-332 40 ppbv as compared to ca. 10-20 ppbv calculated by NAQM, which corresponds well with the 333 monthly mean observational values at Hedo (Fig. 2(b)).

334 Thus, the overestimate of surface ozone at the oceanic sites of Hedo and Ogasawara produced 335 by the CMAQ models shown in Fig. 7 are ascribed to an overestimate of O₃ in the vast area of 336 the open Pacific Ocean. We speculate that this overestimate is caused by an underestimate of dry 337 deposition velocity of O₃ on seawater made by these models. Ganzeveld et al., (2009) reported 338 that large uncertainties exist in the magnitude of the air-sea exchange of ozone with the deposition velocity (V_d) ranging from 0.01 to 0.15 cm s⁻¹ for oceanic water and 0.01–0.1 cm s⁻¹ for freshwater. 339 340 Typically applied values for V_d over the ocean in global models are in the range of ~0.013 to 0.05 341 cm s⁻¹ [Ganzeveld and Lelieveld, 1995].

342Although direct measurements of the ozone deposition flux over the ocean are limited, Helmig 343 et al (2012) conducted a ship-based eddy covariance ozone flux measurement on five cruises 344 covering the Gulf of Mexico, the southern and northern Atlantic, the Southern Ocean and the 345eastern Pacific along Chile. The median V_d for four cruises falls within the range of 0.01-0.02 cm 346 s^{-1} in the off-coast ocean area, while the median V_d measured in the coastal zone fell within the 347 range of 0.24 ± 0.02 cm s⁻¹ (Helmig et al., 2012). Oh et al. (2008) reported a study that focused 348on the effect of dry deposition of O_3 on the ocean in the northwestern Gulf of Mexico using 349 CMAQ. A modified module including iodide reaction showed a significant increase in the 350 velocity of the dry deposition of O_3 onto the sea surface.

In order to discuss the effect of dry deposition of O_3 over the seawater, the spatial distribution of monthly-averaged V_d in the continental rim region of the Northwest Pacific Ocean was simulated by NAQM, CMAQ 5.0.2, and 4.7.1 in Fig. 8(a)-(c), respectively. The simulated V_d of O₃ has been calculated by

 $V_d = \frac{F_{O3}}{[O_3]}$

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where F_{O3} is the downward deposition flux of O₃ (ppbv s cm⁻¹) and [O₃] is the monthly mean 356surface O_3 mixing ratio (ppbv). Figure 9 shows that the V_d over the Northwestern Pacific Ocean 357 south of Japan is typically 0.0015-0.002 cm s⁻¹ in the NAQM simulation and 0.0005-0.001 cm s⁻¹ 358 359 ¹ in the CMAQ 5.0.2, and 4.7.1 simulations. Thus, the values used by NAQM are about twice as large as those used by CMAQs. Although even the values used by NAQM are nearly one order 360 361 of magnitude smaller than the measured values (0.01-0.02 cm s⁻¹) in the Atlantic open ocean by 362Helmig et al. (2012), the better reproduction of observed O3 mixing ratios at Hedo and Ogasawara 363 by NAQM simulations may be ascribed to a twice as large deposition velocity as that in CMAQs. 364The dry deposition velocity of O_3 in the global models used in MICS-Asia III is based on Wesley 365 (1989), and the value of ~ 0.045 cm s⁻¹ has been adopted over Pacific Ocean for both GEOS-Chem 366 and CHASER. Thus, at the moment there is a large gap between the deposition velocities used in 367 the global and regional models, which are substantially larger and smaller than the observed value 368 in the Atlantic Ocean, respectively. Future accommodation by obtaining the observation in the Fig. 8

(1)

369 Pacific Ocean is required to solve the issue.

370 It can be noted that the mixing ratios of O₃ in the Bohai Bay and the Yellow Sea shown in 371Figs. 8a-c are relatively high for all the three models as compared to the surrounding land area. 372 This may be caused by the use of a much smaller deposition velocity of O_3 over the ocean closer 373 to the continent. While iodine has been estimated to be most important in increasing the dry 374deposition velocity of O₃ over the ocean, dissolved organic carbon is also thought to contribute 375 significantly to this process (Ganzeveld et al., 2009; Sarwar et al, 2015). Coleman et al. (2010) 376 also showed that in addition to iodide the inclusion of dissolved organic compounds (DOC) 377 described daytime deposition observation better in coastal water of the North Atlantic. Thus, the 378 dry deposition velocity of O₃ in the Bohai Bay and the Yellow Sea is expected to be much higher 379 than that in the open Pacific Ocean. However, as shown in Fig. 8, the dry deposition velocity in 380 this area employed by all the three models is even smaller than that in the open Pacific Ocean. 381 This is unreasonable considering the discussion by Ganzeveld et al. (2009) which has been 382 supported by the findings of Helmig et al. (2012). Particularly, the even lower deposition velocity 383 in this area assumed by NAQM compared to that in the open Pacific may have contributed 384 substantially to the overestimate of O₃ at Oki by this model. The amount of long-range transport 385 of O_3 is in general affected by the mixing ratios of both in the boundary layer and free troposphere. 386 Although the assessment of the contribution of each is beyond the scope of this study, the 387 overestimate of O_3 mixing ratio in the MBL of Bohai Bay and Yellow Sea would contribute to the 388 overestimate of transboundary long-range transport of O_3 to Oki, and mainland of Korea and 389 Japan to a certain extent. Since the model is highly sensitive to water surface resistance, it is 390 important to empirically obtain the values of dry deposition flux of O₃ and its precursors over the 391 Bohai Bay and the Yellow Sea. 392In addition to the dry deposition on sea water, photochemical gas-phase halogen chemistry 393 mainly by bromine and iodine has been suggested to decrease surface O_3 in the marine boundary 394 layer in the northern hemisphere (Sarwar et al., 2015). Nagao et al. (1999) reported the 10-15% 395 loss of surface O₃ in May-October based on the observation at Ogasawara throughout a year, 396 which they ascribed to the bromine released by heterogeneous reaction of sea salt. Read et al. 397 (2008) reported eight months of spectroscopic measurements of BrO and IO at the Cape Verde 398 Observatory in tropical eastern North Atlantic, and evaluate the annual average daily ozone loss of 3.2 ± 1.1 ppby d⁻¹, which amounts to 50 % greater than that simulated by a global chemistry 399 400 model excluding halogen chemistry. Mahajan et al. (2010) also estimated that the calculated O₃

- 401 depletion in the marine boundary layer at Cape Verde Islands increases to ~4.5 ppbv d⁻¹ by
- 402 including the halogen chemistry compared to ~2.5 ppbv d⁻¹ due to HO_x chemistry and deposition
- 403 to the ocean surface.
- 404 Since the present versions of CMAQ and NAQM do not include gas-phase chemistry of

406	would decrease a couple of ppbv according to the above studies (Nagao et al., 1999; Read et al.,
407	2008; Mahajan et al., 2010). This would improve the agreement of the simulated results of CMAQ
408	4.7.1 and 5.0.2 with observation at Hedo and Ogasawara, but lead to underestimate of that of
409	NAQM to some extent.
410	Since no sensitivity analysis of the impact of dry deposition velocity and gas-phase halogen
411	photochemistry has been made in MICS-Asia III, future studies will be necessary to resolve the
412	issue.
413	

halogens, the monthly averaged O₃ mixing ratios simulated by these models as shown in Fig. 2

415

405

414 **4** Future Research Recommendations

The present and the previous paper (Akimoto et al., 2019) aimed to elucidate the causes of discrepancies of surface-level ozone at remote marine sites and central megacity sites in East Asia, respectively, among models and between models and observation. Based on the findings in these analyses, a couple of future research recommendations are proposed here in order to solve the issues encountered in model intercomparison studies on surface-level ozone.

421 1. Measurement of the mixing ratios and dry deposition flux of O₃ over the Bohai Bay and the
 422 Yellow Sea

423 Surface-level ozone mixing ratios in the marine region of East Asia have been found to be 424 sensitive to the dry deposition velocity of O₃ over seawater. Although the dry deposition 425velocity over the Bohai Bay and the Yellow Sea is expected to be much larger than that over 426 the open ocean in the Northwestern Pacific due to the enriched organic compounds brought 427 by rivers and atmospheric wet deposition, no such measurements have been conducted to our 428knowledge. Since the dry deposition velocity of O3 over the Bohai Bay and the Yellow Sea 429may affect the evaluation of trans-boundary transport of O_3 to the down-wind region, the 430 measurement of the mixing ratios and V_d of O_3 in this marine area is highly recommended.

4312. Simultaneous comprehensive measurement of NOy focusing on gaseous HNO₃ in urban areas 432In order to perform reliable model simulation of surface-level ozone in urban areas for the 433 purpose of proposing an ozone control policy, it is important to verify if NO_v chemistry is 434properly incorporated. In particular, model validation for HNO₃ is important, since the mixing 435ratio of gaseous HNO₃ is estimated to be comparable to NO_x. However, due to technical 436 difficulty, direct measurement of gaseous HNO3 together with other NOv has rarely been 437 conducted. Field campaign in urban areas for simultaneous measurement of NO_v including 438 direct measurement of gaseous HNO₃ (e.g. by chemical ionization mass spectrometry) is 439 highly recommended focusing on the quantification of the potential importance of the 440heterogeneous "renoxification" reaction of HNO₃ to regenerate NO_x on the aerosol surface.

441 These activities are recommended to be jointly co-organized by field experimentalists and 442 modelers.

443

444 **5** Summary

445

Simulations by the regional chemical transport models, NAQM, CMAQ v.5.0.2 and CMAQ v.446
v.4.7.1, in the context of MICS Asia III overestimated the observed surface-level ozone at Oki in July 2010 by 20-30 ppbv. In order to identify the causes of this overestimate, analyses were performed not only for Oki but for two other EANET marine sites, Hedo and Ogasawara as well.
At Hedo and Ogasawara, NAQM reproduced reasonably well the observational values of monthly mean diurnal mixing ratios of O₃, while the two CMAQ models overestimated the observation by 23-27 ppbv and 11-14 ppbv, respectively.

453Three factors have been identified as the possible cause of overestimate made by the model 454simulations at Oki; (i) long-range transport of O₃ from the continent, (ii) in-situ photochemical 455formation of O₃, and (iii) dry deposition of O₃ on seawater. An overestimate of transported O₃ 456from the continent can be identified at Oki in July for all the three models. The overestimate for 457the CMAO models may partly be ascribed to an overestimate of the O_3 mixing ratio in the source 458region of China. An overestimate of long-range-transported O3 was also seen in NAQM which 459reproduced the mixing ratio in Beijing reasonably well. The cause of overestimate of long-range-460 transported O₃ by NAQM may partly be ascribed to the possible overestimate of the marine 461 boundary layer O_3 in Bohai Bay and Yellow Sea due to the too small deposition velocity of O_3 462 over the seawater assumed in the models.

463 The overestimate of the monthly-averaged mixing ratio of O_3 at Oki has been ascribed partly 464 to the in-situ photochemical formation, which was demonstrated by the distinct diurnal variation 465 of O_3 produced by all the three models but not discernible in the observational data. Such an in-466 situ formation of O_3 was found to be caused by the virtual transport of NO_x in the model 467 simulation from the urban areas of mainland Japan to Oki.

468 At Hedo and Ogasawara sites, an overestimate of O3 in the oceanic air mass was found in 469 CMAQ 5.0.2 and 4.7.1, while a reasonably good agreement with observational data was obtained 470by NAQM. The overestimate by the CMAQ models was ascribed to the employment of too small 471dry deposition velocity of O₃ on seawater, while the use of a larger deposition velocity by NAQM 472may have resulted in a better agreement with the observation. It has been identified that O₃ mixing 473ratios over the Bohai Bay and the Yellow Sea are higher than those over the surrounding land 474surface for all the three models, which was ascribed to the employment of too small dry deposition 475velocity on seawater in this area in spite of higher contents of organics due to the deposition from 476 the atmosphere and rivers.

477			
478	Data availability. The EANET observational dataset used in this study is available at		
479	http://www.eanet.asia.		
480			
481	Author contributions. HA analyzed the data and wrote the first draft of the paper. TN, JL, and		
482	JSF provided the model simulation data for their own models and conducted discussions for the		
483	paper. ZW contributed to the availability of modeling data as a coordinator of MICS-Asia III.		
484			
485	Competing interests. The authors declare that they have no conflict of interest.		
486			
487	Special issue statement. This article is part of the special issue "Regional assessment of air		
488	pollution and climate change over East and Southeast Asia: results from MICS-Asia Phase III".		
489	It is not associated with a conference.		
490			
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495	Review statement.		
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Figures



Fig. 1 Locations of EANET observation sites at Oki (circle), Hedo (rectangle) and Ogasawara (diamond). Vectors show monthly mean surface wind velocity in July.



Fig. 2 Comparison of monthly-averaged diurnal variation of O_3 at (a) Oki, (b) Hedo, and (c) Ogasawara in July 2010 between observation and model simulation by CMAQ v.5.0.2 and 4.7.1, NAQM v.3.



Fig. 3 Comparison of diurnal variation of surface O_3 mixing ratios at (a) Oki, (b) Hedo, and (c) Ogasawara in July, 2010 between observation and model simulation by CMAQ v.5.0.2 and v. 4.7.1 and NAQM v.3. Note that vertical scale is different for Oki and





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Fig. 4 Spatial distribution of surface O₃ over Northeast Asia at 18 JST on July 6 (left) and 19 JST on July 23 (right), 2010 simulated by (a) NAQMS, (b) CMAQ 5.0.2 and (c) CMAQ 4.7.1. Markers denote the location of observation sites.



Fig. 5 (a) Mixing Ratios of NO₂* by observation, NO₂ by NAQM, CMAQ v.5.0.2, and CMAQ v. 4.7.1 at Oki in July 2010. (b) Same as (a) but for NOThe sharp peak of NO₂ on July 2 would be an artifact or influence of accidental local pollution (see text). The observed concentration of NO in the first week of July is in general under the detection limit and deleted from the figure.



Fig. 5S-1 (supplement) (a) Mixing Ratios of NO_2^* by observation, NO_2 by NAQM, CMAQ v.5.0.2, and CMAQ v. 4.7.1 at Hedo in July 2010. (b) Same



Fig. 5S-2 (supplement) (a) Mixing Ratios NO_2 by NAQM, CMAQ v.5.0.2, and CMAQ v. 4.7.1 at Ogasawara in July 2010. (b) Same as (a) but for NO.



Fig. 6 Model-calculated net chemical production of O_3 in July 2010 by NAQM, CMAQ5.0.1 and CMAQ 4.7.1. at (a) Oki, (b) Hedo and (c) Ogasawara.



Fig. 7 Comparison of spatial distribution of surface O_3 mixing ratios in East Asia in July simulated by (a) NAQM, (b) CMAQ 5.0.2, and (c) 4.7.1.



Fig. 8 Comparison of spatial distribution of dry deposition velocity of O_3 in East Asia simulated by (a) NAQM, (b) CMAQ 5.0.2, and (c) 4.7.1.