Rapid mass growth and enhanced light extinction of atmospheric aerosols during the heating
 season haze episodes in Beijing revealed by aerosol-chemistry-radiation-boundary layer
 interaction

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Zhuohui Lin<sup>1</sup>, Yonghong Wang<sup>2,3</sup>, Feixue Zheng<sup>1</sup>, Ying Zhou<sup>1</sup>, Yishuo Guo<sup>1</sup>, Zemin Feng<sup>1</sup>, Chang Li<sup>1</sup>,
Yusheng Zhang<sup>1</sup>, Simo Hakala<sup>2</sup>, Tommy Chan<sup>2</sup>, Chao Yan<sup>2</sup>, Kaspar R. Daellenbach<sup>2</sup>, Biwu Chu<sup>3</sup>,
Lubna Dada<sup>2</sup>, Juha Kangasluoma<sup>1,2</sup>, Lei Yao<sup>2</sup>, Xiaolong Fan<sup>1</sup>, Wei Du<sup>2</sup>, Jing Cai<sup>2</sup>, Runlong Cai<sup>2</sup>, Tom
V. Kokkonen<sup>2,4</sup>, Putian Zhou<sup>2</sup>, Lili Wang<sup>5</sup>, Tuukka Petäjä<sup>2,4</sup>, Federico Bianchi<sup>1,2</sup>, Veli-Matti
Kerminen<sup>2,4</sup>, Yongchun Liu<sup>1</sup>, and Markku Kulmala<sup>1,2,4</sup>

<sup>1</sup>Aerosol and Haze Laboratory, Beijing Advanced Innovation Center for Soft Matter Science and

12 Engineering, Beijing University of Chemical Technology, Beijing, China

<sup>13</sup><sup>2</sup>Institute for Atmospheric and Earth System Research / Physics, Faculty of Science, University of

14 Helsinki, Finland

<sup>3</sup>Research Center for Eco-Environmental Sciences, Chinese Academy of Science, Beijing, China

<sup>4</sup>Joint international research Laboratory of Atmospheric and Earth SysTem sciences (JirLATEST),

17 Nanjing University, Nanjing, China

<sup>5</sup>State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry (LAPC),

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19 Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China

- 20
- 21
- 22
- 23 Corresponding author: Yonghong Wang
- 24 E-mail: yonghongwang@rcees.ac.cn
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#### 27 Abstract

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29 Despite the numerous studies investigating haze formation mechanism in China, it is still puzzling 30 that intensive haze episodes could form within hours directly following relatively clean periods. Haze 31 has been suggested to be initiated by the variation of meteorological parameters and then to be 32 substantially enhanced by aerosol-radiation-boundary layer feedback. However, knowledge on the 33 detailed chemical processes and the driving factors for extensive aerosol mass accumulation during 34 the feedback is still scarce. Here, the dependency of the aerosol number size distribution, mass 35 concentration and chemical composition on the daytime mixing layer height (MLH) in urban Beijing 36 is investigated. The size distribution and chemical composition-resolved dry aerosol light extinction 37 is also explored. The results indicate that the aerosol mass concentration and fraction of nitrate 38 increased dramatically when the MLH decreased from high to low conditions, corresponding to 39 relatively clean and polluted conditions, respectively. Particles having their dry diameters in the size 40 of ~400-700 nm, and especially particle-phase ammonium nitrate and liquid water, contributed 41 greatly to visibility degradation during the winter haze periods. The dependency of aerosol 42 composition on the MLH revealed that ammonium nitrate and aerosol water content increased the 43 most during low MLH conditions, which may have further triggered enhanced formation of sulphate and organic aerosol via heterogeneous reactions. As a result, more sulphate, nitrate and water soluble 44 organics were formed, leading to an enhanced water uptake ability and increased light extinction by 45 the aerosols. The results of this study contribute towards a more detailed understanding of the aerosol-46 chemistry-radiation-boundary layer feedback that is likely to be responsible for explosive aerosol 47 48 mass growth events in urban Beijing.

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### 55 **1. Introduction**

Despite the recent reduction of air pollutants and their precursors in China between 2013 and 2017, the current emission and air pollution levels are still substantially high (Wang et al., 2020b; Zheng et al., 2018). Such high emissions, combined with specific meteorological conditions, frequently lead to severe haze episodes (An et al., 2019; Wang et al., 2019). Particulate matter, a major air pollutant, has considerable effects on climate, human health and visibility degradation (Che et al., 2007; Lelieveld et al., 2015; Spracklen et al., 2008; Wang et al., 2015).

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During winter haze episodes, a rapid growth of the aerosol mass concentration has commonly been 63 64 observed, and this phenomenon seems to be directly affected by meteorological factors (Li et al., 2018b; Liu et al., 2018, 2019b; Wang et al., 2018a, 2014a). The meteorological conditions and 65 66 increased aerosol concentrations are proposed to be interlinked by a feedback loop, called the aerosol-67 chemistry-boundary layer feedback, in which aerosol particles reduce both solar radiation reaching 68 the surface and turbulent kinetic energy (TKE) of the near-surface air (Ding et al., 2016; Petäjä et al., 69 2016; Wang et al., 2020d). The reduced TKE owing to aerosol reduce the entrainment of relatively 70 dry air into the mixing layer from above, which makes the air more humid within the mixing layer. 71 The increased relative humidity due to decreased surface temperature enhance the aerosol water 72 uptake ability and promote secondary aerosol formation via aqueous-phase reactions, enhancing light 73 scattering and causing further reduction of solar radiation reaching the surface. All of these factors 74 lead to increased stability of mixing layer height and enhanced air pollution in the mixed layer, which 75 further suppresses the development of boundary layer. As a consequence, concentrations of primary 76 aerosol particles, water vapor and relative humidity increase, creating more favourable conditions for 77 homogeneous and heterogeneous reactions on aerosol surfaces or inside them (Cheng et al., 2016a; 78 Wang et al., 2016; Wu et al., 2018). Such reactions cause rapid formation of secondary aerosol matter 79 and enhanced light extinction during severe winter haze episodes. However, more detailed 80 information on the aerosol and reactive gas chemistry during the aerosol-chemistry-boundary layer 81 feedback and related rapid aerosol mass growth events is still needed (Liu et al., 2019). For instance, 82 it is still unclear which chemical reactions and which compounds in the particulate matter play key

83 roles during such rapid mass growth events.

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85 The particle number size distribution and chemical composition are considered to be the most important variables influencing the light extinction by aerosol particles. In the atmosphere, the highest 86 87 contribution to aerosol light extinction comes from organic compounds, nitrate and sulphate in particles with diameters of 100-1000 nm. This is due to the dominant mass fractions of the 88 89 aforementioned compounds in aerosols that correspond to the peak intensity of solar radiation at 90 wavelengths around 550 nm (Jimenez et al., 2009; Swietlicki et al., 2008). In addition, light scattering 91 which contributes the most to the light extinction by atmospheric aerosols, can be substantially 92 enhanced by the presence of liquid water in the aerosol (Chen et al., 2014; Liu et al., 2019a; Pan et 93 al., 2009; Wang et al., 2020). Hence, quantifying the response of light extinction to different chemical 94 compounds would be helpful in evaluating the feedbacks associated with secondary aerosol 95 production.

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97 In this study, we focus on the physical and chemical properties of aerosols in Beijing during the winter 98 heating season from October 2018 to February 2019 using state-of-the-art instrumentation. The 99 variation of aerosol chemical composition and the associated light extinction coefficient as a function 100 of the varying mixing layer height are discussed. Our aim is to identify the key chemical components 101 which contribute to the aerosol-chemistry-radiation-boundary layer feedback loop in Beijing.

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### 103 **2.** Methodology

## 104 **2.1. Measurement location and instrumentations**

Measurements were conducted between 1 October 2018 and 28 February 2019 at the roof top of the university building at the west campus of Beijing University of Chemical Technology (39.95°N, 116.31°E). This station is located about 150 m away from the nearest road (Zizhuyuan road) and 500 m away from the West Third Ring Road, and it is surrounded by commercial properties and residential 109 dwellings representative of an urban environment. More details on the location can be found in (Liu110 et al., 2020; Zhou et al., 2020).

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112 The meteorological data for this work include basic meteorological variables (relative humidity (RH), 113 temperature, wind speed, wind direction, and visibility) and mixing layer height (MLH) measured 114 using a weather station (Vaisala Inc., Finland) and a Ceilometer CL51 (Vaisala Inc., Finland), 115 respectively. The MLH is defined as the height above the surface, through which relatively vigorous 116 vertical mixing occurs (Holzworth, 1972), and its value is highly related to the vertical temperature 117 structure and, so some extent, to a mechanically-induced turbulence (Baxter, 1991). Here, we 118 followed the method introduced earlier by Münkel et al. (2007) and Eresmaa et al. (2012) in 119 determining the MLH.

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The number size distributions of aerosol particles from 6 nm to 840 nm were measured by a Differential Mobility Particle Sizer (DMPS) (Aalto et al., 2001). The mass concentration of fine particulate matter (PM<sub>2.5</sub>) was measured using a Tapered Element Oscillating Microbalance Dichotomous Ambient Particulate Monitor (TEOM 1405-DF, Thermo Fisher Scientific Inc, USA) with a total flow rate of 16.67 L/min (Wang et al., 2014).

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127 A time-of-flight aerosol chemical speciation monitor (ToF-ACSM, Aerodyne Research Inc.) was used 128 to measure the concentrations of non-refractory (NR) components, including sulfate, nitrate, 129 ammonium, chloride and organics of PM<sub>2.5</sub> (Fröhlich et al., 2013). A PM<sub>2.5</sub> cyclone was deployed on 130 the rooftop with a flow rate of 3 L/min. The correlation coefficient of PM<sub>2.5</sub> measured by TEOM and 131 ToF-ACSM is around 0.9, which indicates the consistence of the two datasets. Aerosol was dried 132 though a Nafion dryer (MD-700-24F-3, PERMA PURE) before entering the ToF- ACSM. The inlet 133 flow was set at 1.4 cm<sup>3</sup>/s. The particle beam passed through the chamber and reached the heated 134 porous tungsten surface (T $\approx$ 600°C). There, the non-refractory PM<sub>2.5</sub> constituents were vaporized and 135 then ionized by electrons ( $E_{kin}$ =70eV, emitted by a tungsten filament). The ions were measured by a 136 detector and the data was analyzed using Tofware ver. 2.5.13 within IgorPro ver. 6.3.7.2 137 (WaveMetrics). The relative ionization efficiencies (RIE) for sulfate, nitrate, ammonium, chloride 138 and organics applied were 0.86, 1.05, 4.0, 1.5 and 1.4, respectively. Besides RIE correction, the data 139 also did CO2+/ NO3 artifact correction (Pieber et al., 2016) and collection efficiency (CE) correction 140 (Middlebrook et al., 2012). The detailed information has been introduced in Cai et al. (2020). Mass 141 concentrations of ammonium nitrate, ammonium sulfate and ammonium chloride were determined 142 according to the method introduced by Gysel et al. (2007). The aerosol liquid water content (AWC) 143 was calculated by the thermodynamic equilibrium model ISORROPIA II using ToF-ACSM data 144 (Fountoukis and Nenes, 2007).

145 Highly-oxygenated organic molecules (HOMs) were measured by a chemical ionization long time-146 of-flight mass spectrometer equipped with a nitrate chemical ionization source (LToF-CIMS, 147 Aerodyne Research, Inc. USA) (Jokinen et al., 2012) similar to gas-phase sulfuric acid. The ambient 148 air was drawn into the ionization source through a stainless-steel tube with a length of  $\sim 1.6$  m and a 149 diameter of 3/4 inch at a flowrate of ~ 8 L/min. A 30-40 L/min purified air flow and a 4-8 mL/min 150 ultrahigh purity nitrogen flow containing nitric acid were mixed together as the sheath flow, which is 151 guided through a PhotoIonizer (Model L9491, Hamamatsu, Japan) to produce nitrate reagent ions. 152 This sheath flow is then introduced into a co-axial laminar flow reactor concentric to the sample flow. 153 Nitrate ions are pushed to the sample flow layer by an electric field and subsequently charge analytical 154 molecules. Organic carbon (OC) and element carbon (EC) concentrations were measured semi-155 continuously with a 1-hour time resolution using an OC/EC Analyzer (Model-4, Sunset Lab. Inc.) 156 and time series of ACSM Org and Sunset OC as shown in Fig S6.

The ammonia is measured by Trace Ammonia analyzer (Los Gatos Research, Inc.) at atmospheric
ambient levels with high precision (0.2 ppb in 1s) and ultra-fast response (5 Hz).

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160 The air mass history was studied by calculating particle retroplumes using a Lagrangian particle 161 dispersion model FLEXPART (FLEXible PARTicle dispersion model) ver. 9.02 (Stohl et al., 2005). 162 The ECMWF (European Centre for Medium-Range Weather Forecast) operational forecast (with 0.15° 163 horizontal and 1 h temporal resolution) was used as the meteorological input into the model. During 164 the measurement period, a new release of 50 000 test particles, distributed evenly between 0 and 100

- 165 m above the measurement site, occurred every 1 hour. The released particles were traced backwards 166 in time for 72 h, unless they exceeded the model boundary (20–60°N, 95–135°E).
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### 168 **2.2.** Aerosol light extinction calculation

The aerosol light extinction coefficient was calculated with the Mie-Model, which uses particle number size distribution, mass concentrations of different aerosol compounds and their refractive index as inputs (Seinfeld and Pandis, 2006). We introduced a series of assumptions into the Mie-Model, including 1) "internal mixture" which considers each chemical component in a particle as homogeneously mixed with each other; 2) all particles are spherical; and 3) particles of different sizes have the same chemical composition.

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The practical method introduced under those assumptions in previous studies were found to be capable of estimating a variation trend of optical property of  $PM_{0.5-20}$  with a relatively good accuracy (Lin et al., 2013).

179

180 Table 1. Summary of the parameters for calculating the average optical refractive index.

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Species	$ ho_i({ m g~cm^{-3}})$	$n_i$	$k_i$
(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	1.760	1.530	0.000
NH <sub>4</sub> NO <sub>3</sub>	1.725	1.554	0.000
NH <sub>4</sub> Cl	1.527	1.639	0.000
Organics	1.400	1.550	0.001
EC	1.500	1.800	0.540

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183 The average optical refractive index (AORI) of an internally-mixed particle can be calculated from

184 the optical refractive indices (ORI) of each chemical component by following a mixing rule of

185 volume-averaged chemical components as AORI =  $n_{\rm eff} + k_{\rm eff} \times i$ , where the real part ( $n_{\rm eff}$ ) and

186 imaginary part  $(k_{\text{eff}})$  are given by:

$$n_{eff} = \left(\sum_{i} n_{i} \cdot m_{i} / \rho_{i}\right) / \left(\sum_{i} m_{i} / \rho_{i}\right)$$
(1)  
$$k_{eff} = \left(\sum_{i} k_{i} \cdot m_{i} / \rho_{i}\right) / \left(\sum_{i} m_{i} / \rho_{i}\right)$$
(2)

Here  $m_i$  and  $\rho_i$  are the mass concentration and density of the component *i* in particles, respectively, and  $n_i$  and  $k_i$  are the real and imaginary parts of ORI of this component, respectively. The parameters for calculating the AORI are summarised in Table 1. The values of  $n_i$  and  $k_i$  in Table 1 are referenced to the light wavelength of 550 nm.

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192  $Q_{sp,j}$  represents light scattering efficiency of a single particle with diameter  $D_j$ , while  $Q_{ep,j}$ 193 represents light absorption efficiency. Theoretically,  $Q_{sp,j}$  and  $Q_{ep,j}$  are both the function of  $D_j$  and 194 the  $AORI_j$  (the AORI of the particle with diameter  $D_j$ ) at a given light wavelength  $\lambda$ , for which the 195 complicated calculations were referenced to a previous publication (Lin et al., 2013). Regarding the 196 limitations of measurement techniques, the  $AORI_j$  was assumed to be equal to the AORI<sub>PM2.5</sub>, which 197 was determined based on chemical composition of PM<sub>2.5</sub>. It is possible to derive expressions for the 198 cross sections of a spherical particle exactly. The formulas for  $Q_{sp,j}$  and  $Q_{ep,j}$  are:

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$$Q_{sp,j}(D_j, \lambda, AORI_j) = \frac{2}{\alpha^2} \sum_{k=1}^{\infty} (2k+1) \cdot [|a_k|^2 + |b_k|^2]$$
(3)

$$Q_{ep,j}(D_j,\lambda,AORI_j) = \frac{2}{\alpha^2} \sum_{k=1}^{\infty} (2k+1) \cdot Re[a_k + b_k]$$
(4)

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201 where

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203 
$$a_k = \frac{\alpha \psi'_k(y)\psi_k(\alpha) - y\psi'_k(\alpha)\psi_k(y)}{\alpha \psi'_k(y)\xi_k(\alpha) - y\xi'_k(\alpha)\psi_k(y)}$$

205 
$$b_k = \frac{y\psi'_k(y)\psi_k(\alpha) - \alpha\psi'_k(\alpha)\psi_k(y)}{y\psi'_k(y)\xi_k(\alpha) - \alpha\xi'_k(\alpha)\psi_k(y)}$$

207 with  $y = \alpha m$ .

208

209 
$$\mathbf{m} = \mathbf{n}_{eff} + \mathbf{i} \cdot \mathbf{k}_{eff}$$

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211 
$$\alpha = \frac{\pi D_j}{\lambda}$$

- 212
- 213 with  $\lambda = 550$  nm.
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where complex number m stands for  $AORI_j$ , while  $\alpha$  is the size of the particle, usually expressed as a dimensionless size parameter. The functions  $\psi_k(z)$  and  $\xi_k(z)$  are the Riccati–Bessel functions:

$$\psi_k(z) = \left(\frac{\pi z}{2}\right)^{1/2} J_{k+1/2}(z)$$
(5)  
$$\xi_k(z) = \left(\frac{\pi z}{2}\right)^{1/2} \left[ J_{k+1/2}(z) + i(-1)^k J_{-k-1/2}(z) \right]$$
(6)

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where  $J_{k+1/2}$  and  $J_{-k-1/2}$  are the Bessel functions of the first kind and their footnotes indicate the order of Bessel functions. The Mie theory can serve as the basis of a computational procedure to calculate the scattering and absorption of light by any sphere as a function of wavelength.

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According to the Mie-Model,  $b_{sp}$  (light scattering coefficient) and  $b_{ep}$  (light extinction coefficient) can be quantified with Eqs. (5) and (6), respectively.  $b_{ap}$  (light absorption coefficient) is the difference between  $b_{ep}$  and  $b_{sp}$ , which equals zero, when  $k_i$  equals zero or very small. Optical properties including  $b_{ep}$ ,  $b_{sp}$  and  $b_{ap}$  to be discussed later are all referenced to light wavelength of 550 nm.

$$\mathbf{b}_{sp} = \sum_{j} \mathbf{b}_{sp,j} = \sum_{j} \frac{\pi D_j^2}{4} \cdot Q_{sp,j} (D_j, \lambda, AORI_j) \cdot N_j$$
(7)

$$\mathbf{b}_{ep} = \sum_{j} \mathbf{b}_{ep,j} = \sum_{j} \frac{\pi D_j^2}{4} \cdot Q_{ep,j} (D_j, \lambda, AORI_j) \cdot N_j$$
(8)

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In Eqs. (7) and (8),  $D_j$  stands for the median Stokes diameter in the j-th particle size range and  $N_j$  is the number concentration of particles with diameter,  $D_j$ .

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#### 232 **3. Results and discussion**

### 3.1. An over of the measurement campaign

The time series particle number size distribution from 6 nm to 840 nm, mass concentrations of nitrate, organics, sulfate, ammonium and chloride in NR\_PM<sub>2.5</sub> (non-refractory PM<sub>2.5</sub>) and PM<sub>2.5</sub>, concentration of HOMs and OC are shown in Figure 1(a), (b) and (c). The statistics of these compounds are summarized in Table S1. In general, they showed similar variation patterns (Figure S2 and S3). These concentrations showed high values during haze event than clean days and increased significantly during night time. As shown in Figure 1(b), the rapid mass growth during the heating season in Beijing is related to the rapid growth in nitrate concentration. At the same time, the haze events (PM<sub>2.5</sub> concentration >=  $75\mu g/m^3$  and lasting more than one day) are accompanied by particle size growth (Figure 1(a)). To further study which particle size possesses the highest light extinction efficiency during the haze events, and to what extent nitrates contribute to light extinction with the variation of MLH, a case of rapid rapid aerosol mass growth event is selected for further study.

# 3.2. Typical case of rapid aerosol mass growth episodes affected by aerosol-chemistry boundary layer interactions

An example of rapid aerosol mass growth in urban wintertime Beijing is illustrated in Figure 2, where the haze accumulation was associated with a rapid  $PM_{2.5}$  mass concentration increase from 8.5 µg/m<sup>3</sup> to more than 100 µg/m<sup>3</sup> in less than 7 hours. A haze episode started on afternoon 20 February 2019 under stagnant meteorological conditions with low wind speeds and elevated ambient relative humidity (Figure S4). The polluted periods during this case occurred under southerly wind transport 240 conditions, whereas clean air masses originated from the north-westerly regions (as shown in Figure 241 S5, S6). These are typical features for a haze evolution process in Beijing (Wang et al., 2020b). During 242 the haze periods marked by the shaded areas in Figure 2, an obvious increase of chemical mass 243 concentration was observed by the ToF-ACSM, characterised by high concentrations of secondary 244 aerosol components (nitrate, organics and sulphate) and typically a shallow boundary layer. The mass 245 concentrations of organics, sulphate and nitrate increased dramatically with a decreasing MLH, 246 accounting for 88.5% of NR-PM<sub>2.5</sub> during the rapid aerosol mass growth period. The aerosol mass 247 growth was the fastest for nitrate. The mass concentrations of organic and elemental carbon followed 248 that of NR-PM<sub>2.5</sub>.

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250 The MLH reached its maximum at around 14:00 in the afternoon of 20 February, after which the 251 development of the mixing layer was suppressed and MLH decreased with the arrival of pollution 252 (Figure 2a). Previous studies have shown that the aerosol-radiation-boundary layer feedback 253 contributes to a rapid enhancement of air pollution (Petäjä et al., 2016; Wang et al., 2020d). High 254 concentrations of aerosol particles obscure downward radiation, as a result of which the surface 255 temperature and sensitive heat flux decrease and the development of mixing layer height is suppressed. 256 Recent studies have gradually realized that the facilitation of various chemical processes play a non-257 negligible role in the aerosol-radiation-boundary layer feedback (Liu.Q et al., 2018; Liu. Z et al., 2019). 258 Therefore, it is important to identify and quantify the role of different specific chemical species and 259 particle size ranges in reducing atmospheric radiation and extinction.

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Figure 3 shows the contributions of size and chemical composition-resolved dry aerosol to light extinction during the investigated period. As the pollution intensified and MLH decreased (Fig 2c), the light extinction of atmospheric aerosols increased significantly. Assuming that particles of different sizes have the same chemical composition as PM<sub>2.5</sub> (organics, NH<sub>4</sub>NO<sub>3</sub>, EC, (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, NH<sub>4</sub>Cl), the light extinction of particles in the size range of 300-700 nm increased significantly from the relative clean period to the polluted period (namely from 12:00 to 16:00). During relatively clean conditions, the contributions of organics, NH<sub>4</sub>NO<sub>3</sub>, EC, (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NH<sub>4</sub>Cl to the total aerosol

268	light extinction were 42%, 23%, 18%, 11% and 7%, respectively. The contribution of $NH_4NO_3$ to
269	aerosol light extinction reached 40% during the heavily polluted period. Based on the observation it
270	is likely that the increased light extinction by aerosols reduced solar radiation reaching the surface,
271	so that the development of the boundary layer was suppressed.

# 3.3. Connection between the aerosol chemical composition, light extinction, size distribution and MLH during the heating season

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276 To better characterize the effect of the chemical composition of dry aerosols and the PNSD (particle 277 number size distribution) light extinction under different MLH conditions, the daytime (8:00 - 16:00)278 LT) measurement data from October 2018 to February 2019 were selected for further analysis. As 279 shown by Figure 4 and consistent with other observations in Beijing (Tang et al., 2016; Wang et al., 280 2020c), there was a general tendency for the  $PM_{2.5}$  mass concentration to increase with a decreasing 281 MLH. Organic compounds and nitrate were the most abundant fractions of the daytime aerosol mass 282 composition, contributing together approximately 70% to total NR-PM<sub>2.5</sub> mass concentration. With a 283 decreasing MLH, the fraction of nitrate mass in NR-PM<sub>2.5</sub> slightly increased while that of organics 284 decreased. This feature makes the aerosol more hygroscopic under low MLH conditions typical for 285 heavily polluted periods. The increased nitrate fraction in the aerosol could also enhance the 286 formation of other secondary aerosol components (Xue et al., 2019). Note that some fraction of 287 aerosol nitrate could consist of organic nitrate originating from reaction of peroxy radical with nitric 288 oxide; however, it is difficult to distinguish organic nitrate from inorganic nitrate at the moment due 289 to instrumental limitations (Fröhlich et al., 2013).

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Figure 5 depicts the calculated daytime light extinction of the dry aerosol as a function of the MLH, separated by different size ranges and chemical components. We may see that in general, particles with dry diameters in the range of 300-700 nm explains more than 80% of the total aerosol light extinction (Figure 5b). Similar to their share in NR-PM<sub>2.5</sub>, the fraction of light extinction by 295 ammonium nitrate increased and that of organics decreased during the lowest MLH conditions 296 corresponding to the heavy pollution periods (Figure 5d). There are also apparent differences in the 297 relative contribution of different particle size ranges to light extinction in different MLH conditions: 298 with a decreasing MLH, the contribution of particles with dry dimeters larger than about 400-500 nm 299 clearly increased while that of sub-300 nm particles notably decreased. This indicates that the 300 enhanced light extinction by the dry aerosol at low MLH conditions was not only due the more 301 abundant aerosol mass concentration, but also due to the growth of individual particles to optically 302 more active sizes.

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304 At relative humidity larger than about 70%, aerosol liquid water gives a significant contribution to 305 the aerosol mass concentration and often a dominant contribution to the aerosol light extinction (Titos 306 et al., 2016). This has important implications for the aerosol-chemistry-radiation-boundary layer 307 feedback, when considering our findings listed above and further noting that heavy pollution periods 308 are often accompanied by high values of RH in Beijing (Zhong et al., 2018). First, compared to clean 309 or moderately-polluted conditions, the enhancement in the aerosol light extinction under polluted is 310 probably much larger than that illustrated in Figure 5. Second, the high aerosol water content under 311 polluted conditions promotes many kinds of chemical reactions taking place on the surface or inside 312 aerosol particles.

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## 314 **3.4.** Aerosol-chemistry-radiation-boundary layer interaction

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In order to further investigate the interaction between MLH and chemical compounds (either observed or calculated), we divided the observed  $PM_{2.5}$  concentrations into highly polluted and less polluted conditions using a threshold value of 75 µg/m<sup>3</sup> for  $PM_{2.5}$ . The organics, nitrate, ammonium, sulfate, chloride, HOM, aerosol water content (AWC) and  $PM_{2.5}$  as a function of the mixing layer height during both highly polluted and less polluted conditions are shown in Figure 6. The fitted relationships connecting the concentrations of different chemical compounds to the reduction of MLH under highly 322 and less polluted conditions allowed us to estimate the net mass concentration increase of each 323 compound due to secondary formation and aerosol-chemical-boundary layer feedback under highly 324 polluted conditions (shaded areas in Figure 6). It is worth noting that AWC, nitrate and sulfate 325 increased the most as the MLH decreased, as represented by the large shaded areas in Figs. 6 (h), (b) 326 and (c). The increases of these components are significant as tested (Supplement Information). The 327 day-time nitrate in aerosol is formed predominately via the reaction of nitric acid and ammonium, 328 while nitric acid is produced from gas phase reaction of nitrogen dioxide and hydroxy radical 329 (Seinfeld and Pandis, 2006). High concentrations of daytime nitrate aerosols indicate efficient 330 production of gas phase nitric acid, its partitioning into liquid aerosol and its fast neutralization by 331 abundant ammonia (Li et al., 2018a; Pan et al., 2016; Wang et al., 2020). A recent study shows that 332 condensation of nitric acid and ammonia could promote fast growth of newly formed particle in urban 333 environment condition (Wang et al., 2020d). Another possibility is that ammonium nitrate is formed 334 rapidly on particle surfaces due to the hydrolysis of dinitrogen pentoxide (N<sub>2</sub>O<sub>5</sub>) during daytime, as 335 the AWC increased significantly (Wang et al., 2014; Wang et al., 2020). However, a quantitative 336 distinction between the two formation pathways for nitrate formation is not possible in this study. The 337 dramatic increase of nitrate aerosol could also promote the formation of sulfate by heterogeneous 338 reactions (Cheng et al., 2016b; Wang et al., 2016). The concentration of HOMs showed a slight 339 increase as the MLH decreased, which suggests that also the formation of HOMs is enhanced with an 340 increased level of air pollution. This phenomenon should be further investigated as HOMs can 341 substantially contribute to the secondary organic aerosol formation.

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Figure 7 displays the dry aerosol light extinction by different chemical compounds in the same way as Figure 6 did for aerosol mass concentrations. The aerosol light extinction is directly related to the reduction of solar radiation reaching the surface, assuming that aerosol chemical components are vertically nearly homogeneously distributed. The light extinction from ammonium nitrate, ammonium sulfate and organics showed significantly increased contributions under highly polluted conditions (low MLH) as compared with less polluted conditions. To the contrary, no such enhancement was observed for ammonium chloride or element carbon (Figs. 7 (d) and (e)). In case of EC this is an expected result, as it originates solely from primary sources. The formation of particle phase chloride have secondary sources from chlorine atom-initiated oxidation of volatile organic compounds, so that the resulting oxidation products could contribute to the observed chloride (Wang and Ruiz, 2017; Wang et al., 2019a).

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355 To better illustrate the combined effects of secondary aerosol formation and associated feedback on 356 the daytime mass concentrations and light extinction due to different chemical components, we scaled 357 these quantities by either the total  $PM_{2.5}$  mass concentration or EC concentration and plotted them as 358 a function of MLH (Fig. 8). With the average level of PM<sub>2.5</sub> measured by TEOM and ToF-ACSM, 359 the latter scaling minimizes the boundary layer accumulation effect on our analysis, as EC originates 360 from primary emission sources (Cao et al., 2006). As shown in Fig. 8a, organics with their mass 361 fraction of 61% were the most abundant component in PM<sub>2.5</sub> under high MLH conditions, followed 362 by nitrate and ammonium with their mass fractions of 22% and 13%, respectively. The aerosol was 363 estimated to be rather dry under high MLH conditions (AWC/PM<sub>2.5</sub> = 0.03). However, with the 364 decreasing MLH, the fraction of nitrate and the AWC to PM<sub>2.5</sub> ratio increased up to 45% and 0.2, 365 respectively. This clearly indicates rapid nitrate formation and dramatic increase of the aerosol water 366 uptake from less polluted conditions to intensive haze pollution. Compared with EC (Fig.8c), the 367 concentrations of organic compounds, nitrate, sulfate and ammonium increased by factors of 1.5, 6.3, 368 4.8 and 4.9 respectively, from the highest to the lowest MLH conditions. Thus, although organics 369 remained as the second most abundant aerosol component after nitrate under haze conditions, 370 secondary formation and associated feedback from less to highly polluted conditions were clearly 371 stronger for both sulfate and ammonium. Efficient sulfate production associated with haze formation 372 has been reported in several studies conducted in China (Cheng et al., 2016; Xie et al., 2015; Xue et 373 al., 2016). Ammonium production during haze formation is tied with neutralization of acidic aerosol 374 by ammonia, which was apparently present abundantly in the gas phase. Compared with the EC 375 concentration, light extinction by (NH<sub>4</sub>NO<sub>3</sub>) increased the most from the highest MLH conditions (248 M m<sup>-1</sup>/ $\mu$ g m<sup>-3</sup>) to the lowest MLH conditions (1150 M m<sup>-1</sup>/ $\mu$ g m<sup>-3</sup>) as shown by Figure 8b. 376 377 Overall, the rapid growth of nitrate aerosol mass, together with abundant concentration of organic

aerosol, were the main cause of the light extinction for dry aerosol under haze formation.

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380 The mechanism governing the aerosol-chemistry-radiation-boundary layer feedback for the rapid 381 growth of atmospheric aerosol is illustrated in Fig. 9. As a result of reduction in solar radiation and 382 atmospheric heating, a variety of chemical reactions in the gas phase and on particle surfaces or inside 383 them are enhanced with an increased relative humidity and AWC. Such conditions are unfavorable 384 for the dispersion of pollutants, which further enhances atmospheric stability. The formation of 385 hydrophilic compounds, e.g., nitrate, sulfate and oxygenated organic compounds, result in enhanced 386 water uptake by aerosol particles, which will essentially increase heterogeneous reactions associated 387 with these particles. As a result, the aerosol mass and size increase, light extinction is enhanced, and 388 the development of the mixing layer is depressed. At the same time, aerosol precursors concentrated 389 within a shallower mixing layer lead to enhanced production rate of aerosol components in both gas 390 and aerosol phases, especially nitrate but also other secondary aerosol. The increased concentrations 391 of aerosol will further enhance this positive loop.

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### **393 4.** Conclusions

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395 We investigated the synergetic variations of aerosol chemical composition and mixing layer height 396 during the daytime in urban Beijing. Significant dependency of the sharp increase of ammonium 397 nitrate and aerosol water content with the occurrence of the explosive aerosol mass growth events 398 were observed. We showed that these two components drove a positive aerosol-chemistry-radiation-399 boundary layer feedback loop, which played an important role in the explosive aerosol mass growth 400 events. A plausible explanation is that the increased aerosol water content at low mixing layer heights 401 provides favorable conditions for heterogeneous reactions for nitrate and sulfate production and 402 neutralization by ammonia. The significant formation of secondary aerosol increases the 403 concentration of aerosol particles in the diameter range 300-700 nm, which effectively reduces the 404 solar radiation reaching the surface and further enhances the aerosol-chemistry-radiation-boundary

405 layer feedback loop. Our analysis connects the aerosol light extinction to a reduction in the mixing 406 layer height, which suppresses the volume into which air pollutants are emitted and leads to an 407 explosive aerosol mass growth. Our results indicate that reduction of ammonium and nitrate 408 concentration in aerosol could weaken the aerosol-radiation-chemistry-boundary layer feedback loop, 409 which could thereby reduce heavy haze episodes in Beijing.

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### 414 **6.** Competing financial interests

415 The authors declare no competing financial interests.

### 7. Author contributions

- 416 YW and MK initiated the study. ZL, YW, FZ, YZ, YG, ZF, CL, YZ, TC, CY, KD, BC, JK, LY, XF,
- 417 WD, JC and YL conducted the longtime measurements. ZL, YW, LD, RC, SH, PZ, LW, VK, YL and
- 418 MK interpreted the data. ZL, YW and VK wrote the manuscript.
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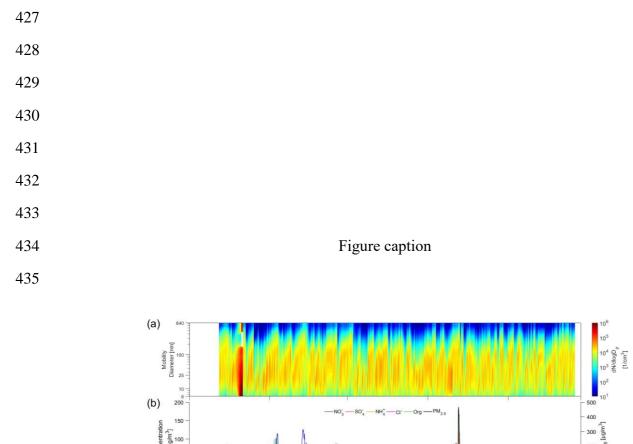
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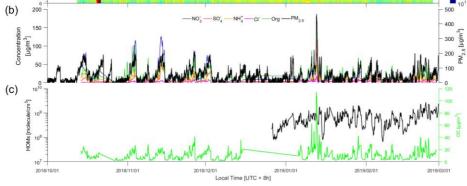
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437 Figure 1. Time series of (a) particle number concentration distribution (PNSD) from 6 nm to 840 nm

<sup>438 (</sup>b) chemical composition of NR\_PM<sub>2.5</sub> and PM<sub>2.5</sub> mass concentrations (c) The concentrations of

<sup>439</sup> organic carbon (OC) and highly oxygenated organic molecules (HOM).



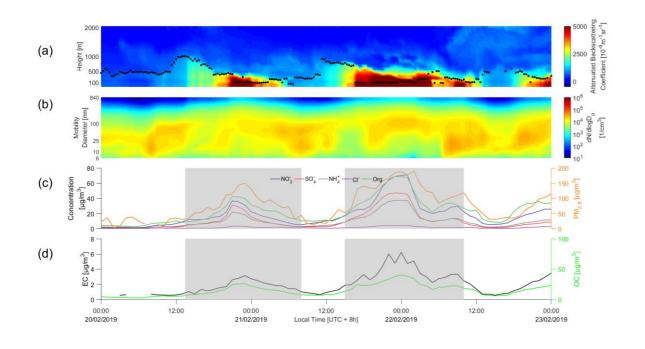
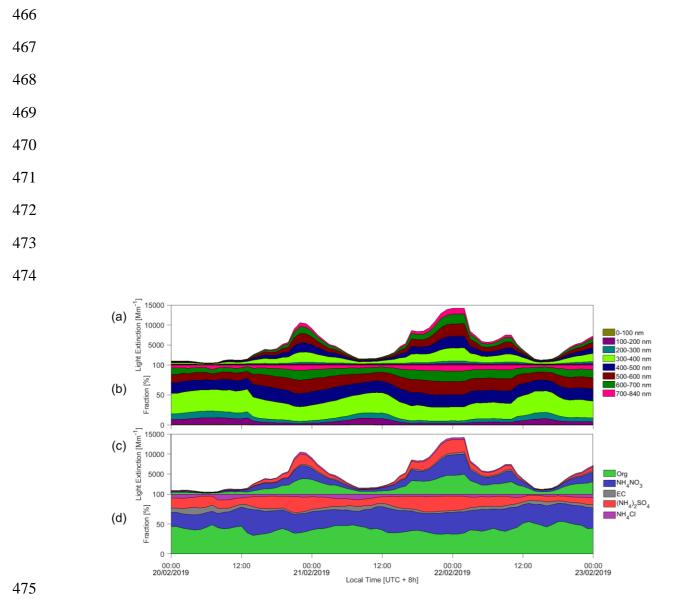
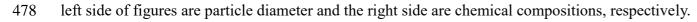




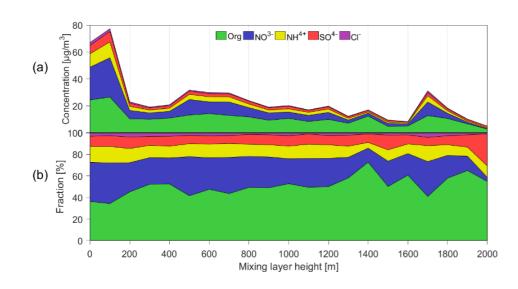
Figure 2. Time series of (a) attenuated backscattering coefficient and mixing layer height (b) particle
number concentration distribution (PNSD), (c) chemical composition and PM<sub>2.5</sub> mass concentrations
and (d) elemental carbon (EC) and organic carbon (OC). The haze periods are marked by the shaded
areas.



476 Figure 3. Time series of (a, b) variation of light extinction from different size aerosol and fractions,477 and (c, d) variation of light extinction from different aerosol species and fractions. The legends in the



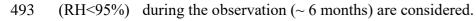




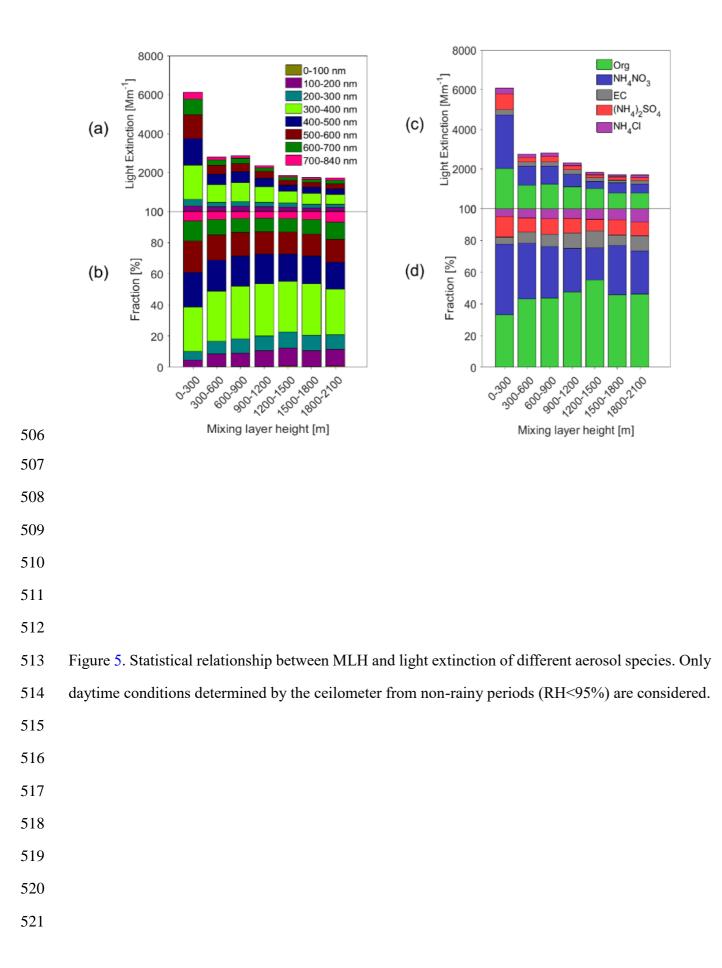


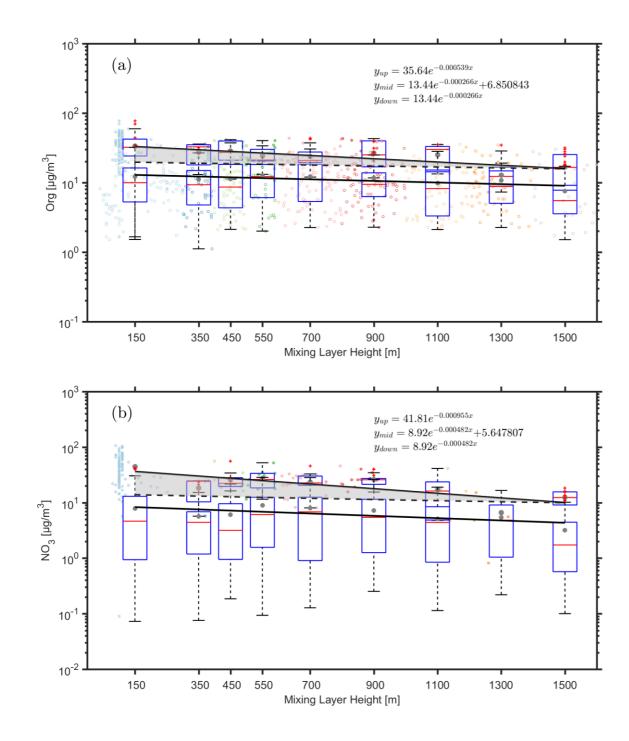
491 Figure 4. Statistical relationship between MLH and concentration (a) and fraction (b) of chemical

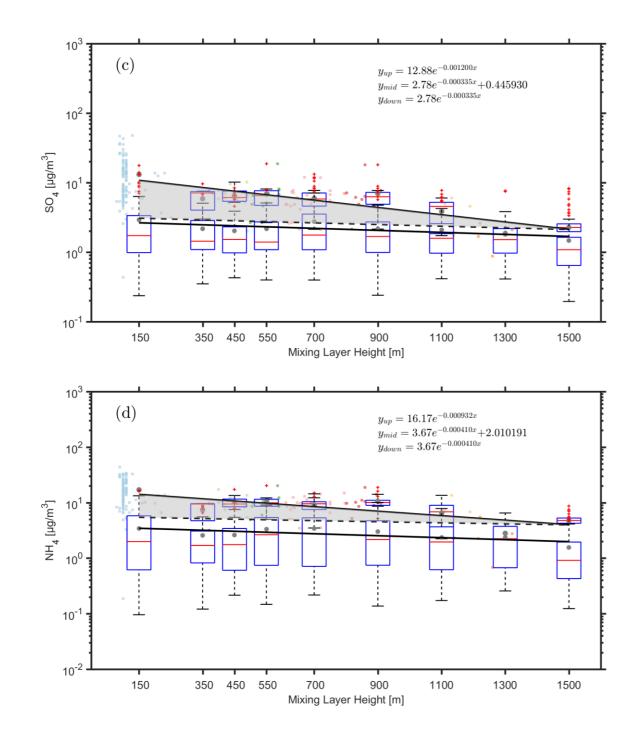
492 composition species. Only daytime conditions determined by ceilometer from non-rainy periods

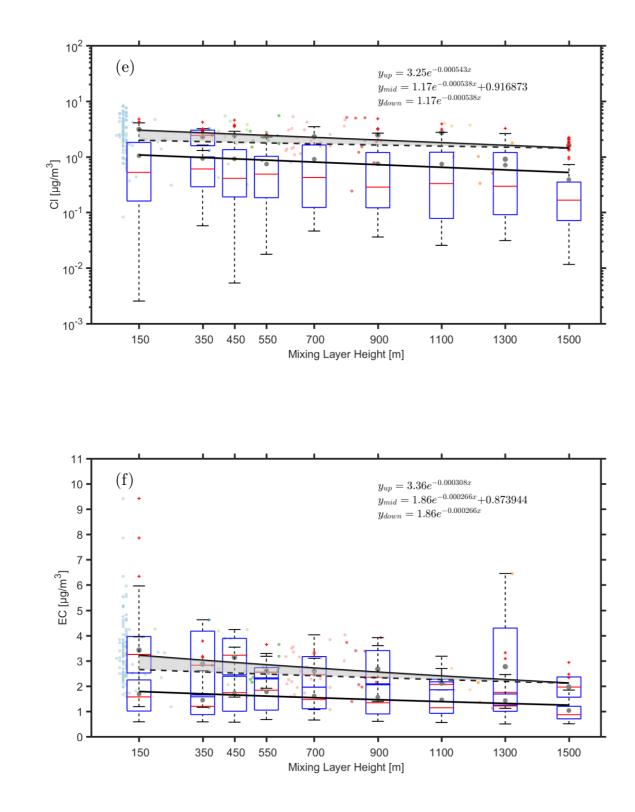


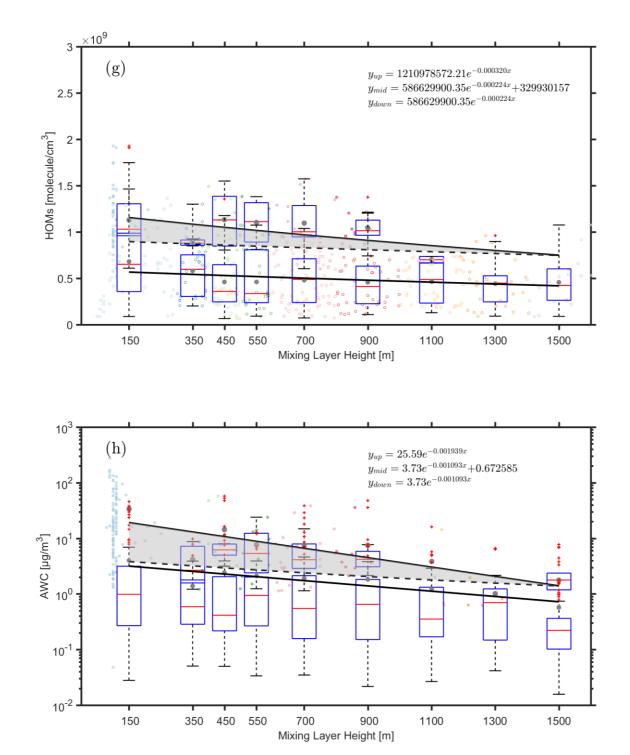
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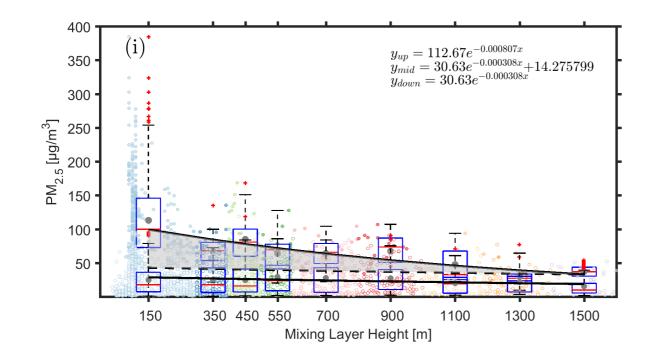
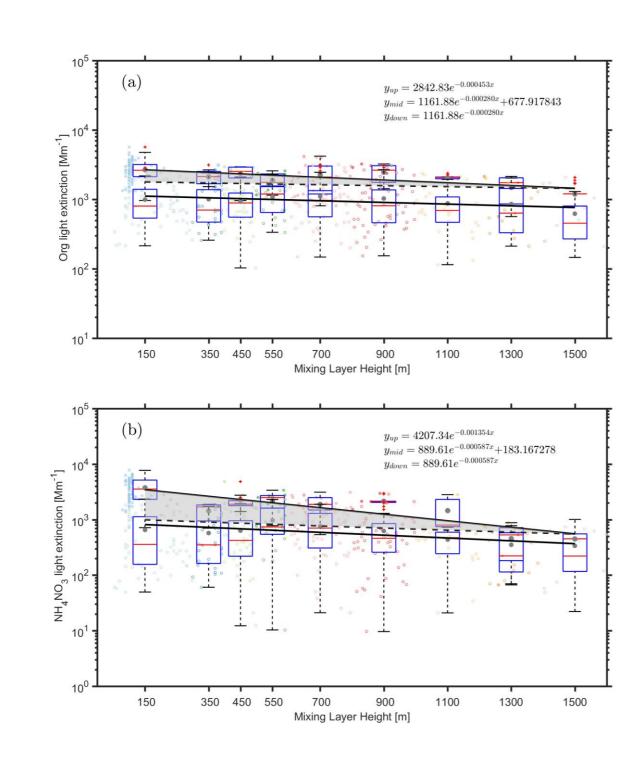
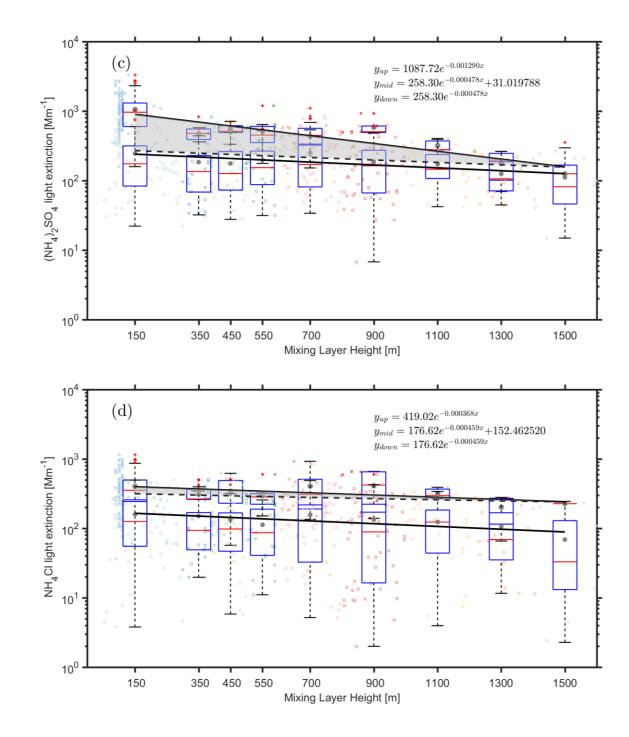




Figure 6. Observed dependency of (organics (a), nitrate (b), ammonium (c), sulfate (d), chlorine (e), element carbon (f), HOMs (g), AWC (h) and PM<sub>2.5</sub>(i) on the MLH during polluted and less-polluted conditions. The data related to the upper fitting line represents PM<sub>2.5</sub> concentrations larger than 75 µg  $m^{-3}$ , while the date related to the lower fitting line represents PM<sub>2.5</sub> concentrations lower than 75 µg m<sup>-3</sup>. Only daytime conditions determined by the ceilometer from non-rainy periods (RH<95%) were considered. The solid cycles and hollow cycles denotes concentrations that are more than 75  $\mu$ g m<sup>-3</sup> and less than 75  $\mu$ g m<sup>-3</sup>, respectively. The dark grey points and red lines in the boxes represent mean and median values, respectively. The shaded area between the upper solid and dotted lines corresponds to an increased amount of the specific compounds with decreased MLH, assuming that the compound has the same variation pattern under highly- polluted conditions as in less polluted time. 





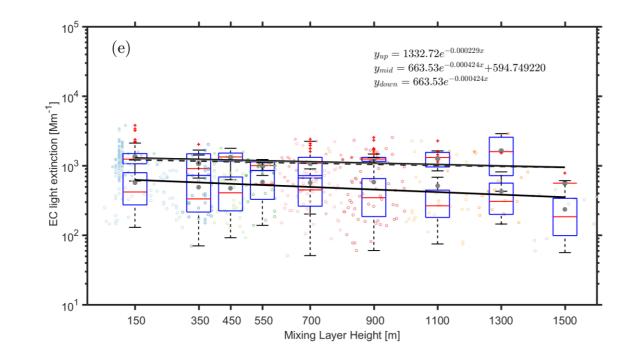




Figure 7. Observed dependency of the aerosol light extinction due to NH<sub>4</sub>NO<sub>3</sub> (a) (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (b), NH<sub>4</sub>Cl (c) Org (d) and EC (e) on the MLH during polluted and non-polluted conditions. The data related to the upper fitting line represents  $PM_{2.5}$  concentrations larger than 75 µg m<sup>-3</sup>, while the date related to the lower fitting line represents  $PM_{2.5}$  concentrations less than 75 µg m<sup>-3</sup>. Only daytime conditions determined by ceilometer from non-rainy periods (RH<95%) are considered. The dark grey points and red lines in the boxes represent mean and median values, respectively. The shaded area between the upper solid and dashed line corresponds to an increased amount of PM2.5 with a decreased MLH, assuming that PM2.5 has the same variation pattern under highly- polluted conditions as in less polluted time

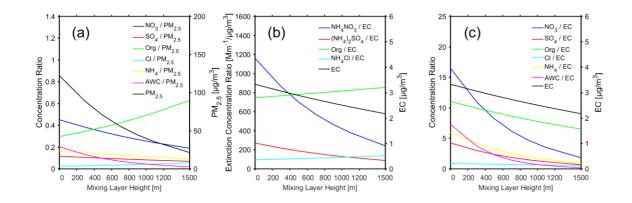




Figure 8. (a) the ratio of the mass concentration of different chemical components (nitrate, sulfate, organics, chlorine, ammonium) and AWC to the mass concentration of NR PM2.5 as a function of MLH. (b) the ratio of dry aerosol light extinction by different chemical components (NH<sub>4</sub>NO<sub>3</sub>, (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, Org, NH<sub>4</sub>Cl) to the mass concentration EC as a function of MLH (c) the ratio of the mass concentration of different chemical components (nitrate, sulfate, organics, chlorine, ammonium) and AWC to the mass concentration of EC as a function of MLH. All the date corresponds to polluted conditions (fine PM >75  $\mu$ g m<sup>-3</sup>), and only daytime conditions determined by the ceilometer from non-rainy periods (RH<95%) were considered.

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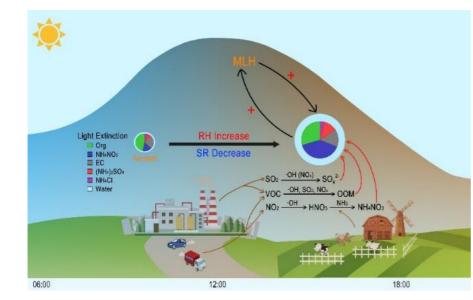


Figure 9. A schematic picture illustrating the process of rapid aerosol mass growth and enhanced light extinction in Beijing. The plus symbols represent the strengthening of a specific process. At the presence of aerosols during afternoon time in Beijing, the intensity of solar radiation reaching the surface will be decreased and relative humidity will be increased. As a result, the development of boundary layer will be suppressed, and the concentrations of aerosol precursors (e.g., SO<sub>2</sub>, NO<sub>2</sub>, VOC) will be increased. In turn, the secondary production of these sulfate, nitrate and oxygenated organic compounds will be enhanced due to increased concentrations and partitioning of these compounds into the aerosol phase. The increased formation of secondary aerosol mass will reduce solar radiation further and the haze formation increased, as shown in pie charts that the light extinction fraction of aerosol changed from organic to nitrate. Noting that during intensive haze periods, nitrate and its contribution to light extinction contribution increased dramatically.