

Interactive comment on “Seasonal variations in the high time-resolved aerosol composition, sources, and chemical process of background submicron particles in North China Plain” by Jiayun Li et al.

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We highly appreciate the detailed valuable comments of the two referees on our manuscript. The suggestions are quite helpful for us to improve the quality of our paper. Please see the detailed point-by-point response below. We list the comments in black, our replies in blue, and the changes in revised MS in red.

Anonymous Referee #2

This paper reports the seasonal variations of submicron particles and its chemical

C1

composition at a background station using HR-ToF-AMS. Using ME-2 analysis, the authors identified different sources of organic aerosol and explored the oxidation degree and evolution process of different OA in four seasons. Backward trajectory analysis was conducted to see the influence of air mass transport. The seasonal dataset of HR-TOF-AMS measurements at a background station in north China is valuable and suitable for a measurement report. But to publish as a scientific article in ACP, this reviewer did not find good novelty or significance of this study compared to previous findings. Also, many conclusions drawn in this study are not well supported by the observations or interpretations. Overall, this reviewer do not think the scientific significance of the paper meet the scope of ACP scientific articles and cannot recommend the publication of the paper.

[Response] Thank you very much for the detailed comments and the very careful reading of our manuscript. The suggestions are quite helpful for us. We have done our best to incorporate them in the revised manuscript to improve the quality of our paper. Please see the detailed point-by-point response below. We list the comments in black, our replies in blue, and the changes in revised MS in red.

Firstly, we are grateful for your recognition of the significant of our experiment work in the background station in Northern China.

Secondly, we agree with you that there are an increasing number of ACSM or AMS studies in Northern China, including a few of them conducted in background areas. These previous AMS studies indicated that the aerosol species in the background area of North China tend to be well-mixed from regional transport. Meanwhile, the organic aerosols at the background atmosphere are reported to be highly aged due to the strong atmospheric oxidizing capacity. It should be pointed out that although the long-term measurements and source analyses of fine particles have been intensely conducted in the urban areas of NCP (Liu et al., 2015; Sun et al., 2018), there still few of them were conducted in the background area in this highly polluted region, which usually lasting for a short period in one or two seasons (Zhang et al., 2017; Li et al.,

C2

2019b). Sources of fine particles reported to be varied greatly among the different seasons in NCP; for instance, coal combustion during periods requiring more domestic heating, biomass burning in harvest seasons, and dust storms in spring (Zhang et al., 2013; Huang et al., 2017). Thus, our understanding of seasonal variations of aerosol species and sources in the background areas of NCP remains quite poor. Furthermore, previous long-term aerosol studies in the background areas of NCP focused on limited aerosol species with daily sampling resolutions. No systematic measurements with high time resolution of the mass–size distributions of chemical components in fine aerosol particles, covering four seasons, have yet been reported. Thus, it is essential to accurately and objectively assess the physiochemistry characterization of various chemical components in the background areas of NCP, which would improve our understanding on the formation mechanism and aging processes of secondary aerosol on a regional scale. Therefore, in the present study, we present one year-round measurements of submicron aerosols (i.e., all four seasons) at a regional background site in NCP, to explore the seasonal variations in aerosol sources and formation processes. In particular, based on robust data analyses, we evaluated the influence of photochemical and aqueous-phase processing on SOA productions [LO-OOA (less oxidized OA) and MO-OOA], which has critical relevance in reflecting the general picture of anthropogenic emissions in similarly polluted regions. We believe that our present study provides essential information to the scientific community to improve our understanding of aerosol chemistry in background atmosphere.

Thirdly, the discussions and interpretations in section 3.3 and 3.4 about the evolution of secondary aerosol especially for SOA have been improved to show the conclusions clearly. The discussions in the introduction have been also improved to clearly show the scientific goals for this work. Please see detailed replies below.

Major concerns:

1. With similar data analysis and similar results from this study compared to so many published AMS papers, it is difficult to see the novelty and significance of this paper.

C3

Regarding the background atmosphere in NCP, there have also been many studies focusing on air quality and particle chemical composition, including AMS studies. The authors should make it clear what is the specific values of this study. It should not be because you did measurements in a different location, or your measurement period is longer. Instead, the authors should state clearly the scientific questions or valuable findings from these measurements that can improve current understanding of aerosol chemistry.

[Response] Thanks for your comments. High-resolution time-of-flight aerosol mass spectrometry (HR-ToF-AMS) has been widely used to characterize nonrefractory submicron particles (NR-PM₁) at numerous urban sites and a few background sites on the Tibetan Plateau in western China (Zhang et al., 2019), the Lake Hongze site in northern China, the Mount Wuzhi site in southern China (Zhu et al., 2016), the Mount Tai in central east China (Zhang et al., 2014) and the Mount Xinglong (Li et al., 2019b) and Shangdianzi station in the NCP (Zhang et al., 2017; Li et al., 2019b). These previous studies in the background area of North China indicated that the aerosol species tend to be well-mixed from regional transport. Meanwhile, the organic aerosols at the background atmosphere are also highly aged due to the strong atmospheric oxidizing capacity (Wang et al., 2013; Li et al., 2019b). It should be pointed out that although the long-term measurements and source analyses of fine particles have been intensely conducted in the urban areas of NCP (Liu et al., 2015; Sun et al., 2018), there still few of them were conducted in the background area in this highly polluted region, which usually lasting for a short period in one or two seasons (Zhang et al., 2017; Li et al., 2019b). Sources of fine particles reported to be varied greatly among the different seasons in NCP; for instance, coal combustion during periods requiring more domestic heating, biomass burning in harvest seasons, and dust storms in spring (Zhang et al., 2013; Huang et al., 2017). Thus, our understanding of seasonal variations of aerosol species and sources in the background areas of NCP remains quite poor. Furthermore, previous long-term aerosol studies in the background areas of NCP focused on limited aerosol species with daily sampling resolutions. No systematic measurements

C4

with high time resolution of the mass–size distributions of chemical components in fine aerosol particles, covering four seasons, have yet been reported, which would hinder our understanding on the evolution and formation mechanisms of secondary aerosol on a regional scale. For example, photochemical processing was found to dominate the oxidation state of organic aerosol (OA) in haze events, whereas aqueous-phase processing was the main reason during foggy events in Hong Kong (Li et al., 2013; Qin et al., 2016). In urban Beijing, Xu et al. (2017) found that aqueous-phase processing dominated MO-OOA (more oxidized OA) formation in all seasons. Meanwhile, more recently, Li et al (2020) found that the impact of photochemistry on MO-OOA formation enhanced as the photochemical age increased in early autumn in Beijing. Due to the stronger atmospheric oxidizing capacity and higher oxidation state of organics in the background atmosphere than in the urban atmosphere over the NCP, the evolution and formation mechanisms of SOA would be largely different from those of urban areas, mainly due to the complex interactions of local emissions, chemical reactions, and meteorological influences. Whereas, these kinds of studies were still limited and hinder our understanding of background aerosol chemistry in polluted regions such as the NCP. Therefore, we present one year-round measurements of submicron aerosols at a regional background station in NCP, to explore the seasonal variations in aerosol sources and formation processes. Especially, the influence of photochemical and aqueous-phase processing on SOA productions [LO-OOA (less oxidized OA) and MO-OOA] were evaluated based on robust data analyses.

Our results suggested the dominant role of aqueous-phase processing on SOA formation in winter, while both of photochemical and aqueous-phase processing contribute to LO-OOA and MO-OOA production in spring and autumn. In summer, the photochemical processing dominant MO-OOA formation, but the role of aqueous-phase processing under moderate RH (40%<RH<60%) condition cannot be ruled out. In comparison, LO-OOA formation was mainly contributed by photochemical processing in summer. In addition, regional transport also played an important role in the variations of SOA, especially in summer. Continuous increases in SOA concentration as a function of

C5

Ox associated with the increases of wind speed may imply important role of regional transport in SOA formation in summer. These results showed a clearer picture on the evolution of SOA at the background atmosphere, and could improve our understanding on the formation mechanism of SOA under the high atmospheric oxidizing capacity of NCP. The related discussion had been added in the introduction part and the conclusion part.

2. The reviewer cannot be convinced by the PMF analysis and result evaluation in this study, and details are lacking. (1) Regarding the first PMF run with PET, the authors showed in Fig. S2 that OOA was over split in a 5-factor solution without only showing the similar mass profiles. But how about the time series, diurnal variations and O/C ratios of different OOA factors? The authors should not conclude this by only checking the mass profiles. Are they representative of other OOA rather than LO-OOA and MO-OOA? For example, as the authors have been emphasizing the significance of aqueous-phase processing, is there any single OOA factor related to aqueous phase chemistry? Please provide these details either in the manuscript or SI.

[Response] Thanks for your comments. We have added the details of the PMF analysis in the revised manuscript.

As shown in Fig. S4 in the supplementary material, in the 5-factor solution in spring, factor 1, factor 2 and factor 4 had similar mass spectra, time series and O/C ratios (0.87-0.96). It was unclear if the three OOA components represent distinct sources or chemical types. Therefore, it was over split by one OOA factor. Another OOA factor (factor 5) had different mass spectra and lower O/C ratio, suggesting different formation mechanism.

Previous studies showed aqueous-phase processing plays an important role in formation of nitrogen-containing compounds. C_2O_2^+ , $\text{C}_2\text{H}_2\text{O}_2^+$ are typical fragment ions of methylglyoxal and glyoxal, that are precursors of SOA via cloud processing. CH_2SO_2^+ , CH_3SO_2^+ , and CH_3SO^+ are three typical fragment ions of methane

C6

sulfonic acid, which are products mainly from the oxidation of dimethyl sulfide and can be strongly enhanced by aqueous-phase processing (Xu et al., 2017a; Xu et al., 2017b; Xu et al., 2019). In this study, factor 2 and factor 4 were poorly correlated with aqueous-phase processing related fragment ions (e.g., C_2O_2^+ , $\text{C}_2\text{H}_2\text{O}_2^+$, CH_2SO_2^+ , CH_3SO_2^+ , and CH_3SO^+ ; $R^2=0.38-0.48$). Factor 1 and Factor 5 were poorly correlated with nitrogen-containing ions (e.g., CH_4N^+ , $\text{C}_2\text{H}_6\text{N}^+$, and $\text{C}_3\text{H}_8\text{N}^+$, $R^2=0.42-0.53$). Therefore, it was lack of sufficient evidence to identify a single OOA factor related to aqueous phase chemistry.

Because of the over split of the OOA factors in the 5-factor solution and the difficulty to separate POA from OOA by PMF analysis, we constrained the FFOA profiles separated by the 5-factor solution of PMF analysis in spring to better separate FFOA from OOA. As a result, three OA factors, including FFOA, LO-OOA and MO-OOA, were identified with ME-2 analysis in spring.

(2) To perform ME-2 analysis, the authors constrain the FFOA profiles with the POA factor resolved in the five-factor solution of PMF analysis in spring and apply it to all seasons. One concern here is that how do the authors believe the POA factor from the five-factor solution is good enough to represent the primary sources? How does the profile look like when performing PMF analysis to six or seven factors? Does the POA factor in this study comparable to those resolved from AMS studies previously, especially those in NCP area?

[Response] Thanks for your comments. The mass spectra of the POA from the 5-factor solution was compared with those resolved from AMS studies previously in Xi'an and Beijing according to the method in Dou's et al (2009) research: $\cos(\theta) = (\text{MSAMSB}) / (|\text{MSA}| |\text{MSB}|)$ where MSA and MSB are the two AMS mass spectra. The correlation coefficient R is equal to the cosine of the angle θ that we will use in this work for mass spectra comparison (Dou et al., 2009).

As shown in Table 1, the POA factor resolved in the 5-factor solution had some similarity

C7

with HOA and CCOA, but not exactly the same as HOA or CCOA. In addition, the correlation coefficient between POA and NO_x was 0.58, and that between POA and chloride was 0.78, suggesting a significant contribution of coal combustion and traffic-related sources to the POA factor in Xinglong. Previous studies found that HOA and CCOA showed remarkably similar mass spectrum patterns when m/z is below 120 (Sun et al., 2016; Sun et al., 2018), which is sometimes difficult to be separated by PMF analysis, so the POA factor resolved in this study can be considered as a combined factor of HOA and CCOA. Therefore, the POA factor was identified as fossil fuel OA (FFOA).

The POA factor from the 5-factor solution in spring was compared with the POA factor from 6- and 7-factor solutions of PMF analysis. In the 6- or 7-factor solutions (Fig. S5-S6), two POA factors appeared, but none of them was good enough to represent the primary sources in Xinglong. The two POA factors were more likely to be over split of FFOA. Take 6-factor solution as an example, as shown in table 1, the factor 4 resolved in the 6-factor solution was different with HOA or CCOA. Although the similarity between factor 1 resolved in the 6-factor solution and HOA and CCOA were not significantly weaker than those of the POA factor resolved in the 5-factor solution, the mass fraction of factor 1 in total OA was only 5%, which is in the uncertainty range of PMF analysis. Meanwhile, the correlation coefficient between the factor 4 and NO_x and chloride were lower than that of the POA factor resolved in the 5-factor solution. Therefore, the POA factor resolved in the 5-factor solution was good enough to represent the primary sources in Xinglong.

In conclusion, the POA factor resolved from the 5-factor solution in spring was compared with the POA factors from 6- and 7-factor solutions of PMF analysis. Results showed that it was good enough for the POA factor to represent the primary source. The POA factor was also compared with primary sources resolved from previous studies in Beijing and Xi'an. Results showed that the POA factor had some similarity with both HOA and CCOA. Therefore, it was identified as FFOA.

C8

Another big concern is that how robust it is to apply this factor from spring to all the other seasons. To check this, the author should perform PET PMF analysis for the other season and see if a POA factor will appear when go to more factors, and then check if the mass profile of POA are comparable in all seasons. As shown in Fig. 5, the mass contribution of FFOA in total OA is 5%, which is in the uncertainty range of PMF analysis. The authors should prove if it is reasonable to manually constrain the ME-2 to separate a single FFOA factor in summer.

[Response] Thanks for your comments. To check if the POA factor resolved in spring is comparable in all seasons, we performed PMF analysis in all seasons. In autumn, the solution of the PMF analysis was similar to that for the spring observation. A POA factor appeared until the 7-factor solution and OOA was over-split. The POA factor was similar with the POA factor resolved in spring (the angle θ between the two mass spectra is 9 degree). To further improve the accuracy of ME-2 analysis in autumn, we constrained the POA profile separated by the 7-factor solution of PMF analysis in autumn for ME-2 analysis in the revised manuscript.

In summer, ME-2 was used in the previous manuscript to separated POA from OOA by constrained the POA factor resolved in spring. However, no POA factor appeared in the 2- to 9-factor solutions of the PMF analysis, suggesting the fraction of POA in OA in summer was too low to be identified. Meanwhile, the mass fraction of POA in total OA was only 5%, which was in the uncertainty range of PMF analysis. Therefore, the PMF analysis results of two OA factors (LO-OOA and MO-OOA) were used in the revised manuscript in summer.

In winter, the 3-factor solution (FFOA, LO-OOA and MO-OOA) from PMF analysis was good enough, so it was not necessary to use ME-2 analysis to separate POA from OOA. The POA factor resolved by PMF analysis in winter was similar with the POA factor resolved in spring (the angle θ between the two mass spectra is 10 degree) by ME-2 analysis and the results of the two methods were similar. To make the result more accurate, the 3-factor solution of PMF analysis in the revised manuscript was

C9

used. Please see detailed information on how to select the optimum PMF solution in each season in FigureS18-S20 and Table S2 in the supplementary material:

Determination of the PMF and ME-2 solution Spring Factor number from 1 to 8 were selected to run in the PMF model. For the spring observation, there was no POA factor appeared in the 2- to 4- factor solution. A POA factor appeared in the 5-factor solution and diagnostic plots of the PMF analysis were shown in Fig. S2. The mass spectra of the POA factor had some similarity with HOA and CCOA. The correlation coefficient between POA and NO_x was 0.58, and that between POA and chloride was 0.78, suggesting a significant contribution of coal combustion and traffic-related sources to the POA factor in Xinglong. Previous studies found that HOA and CCOA showed remarkably similar mass spectrum patterns when m/z is below 120 (Sun et al., 2016; Sun et al., 2018), which was sometimes difficult to be separated by PMF analysis, so FFOA could be considered as a combined factor of HOA and CCOA (Sun et al., 2018). Therefore, the POA factor was identified as FFOA. As shown in Fig. S4, in the 5-factor solution, factor 1, factor 2 and factor 4 had similar mass spectra, time series and O/C ratios (0.87-0.96). It was unclear if the three OOA components represent distinct sources or chemical types. Therefore, it was over split by one OOA factor. Another OOA factor (factor 5) had different mass spectra and lower O/C ratio, suggesting different formation mechanism. Therefore, we constrained the FFOA profiles separated by the 5-factor solution of PMF analysis in spring. As a result, three OA factors, including FFOA, LO-OOA and MO-OOA, were identified with ME-2 analysis in spring. The mass spectra, time series, and diurnal variations of ME-2 result were shown in Fig. S7.

Figure S2. Diagnostic plots of the PMF analysis on OA mass spectral matrix for the spring observation.

Summer: For the summer observation, the 2-factor, $f_{\text{peak}}=0$ solution was selected as the optimum solution. The diagnostic plots of the PMF analysis were shown in Fig. S8. The two OA factors are more oxidized (MO-OOA) and less oxidized OOA (LO-OOA). The mass spectrum, time series and diurnal variations of OA factors were different.

C10

The O/C of the two factors were 0.58 and 0.93, respectively. No POA factor appeared in the 2- to 9-factor solutions. OOA was over split in the 3- to 9-factor solutions. The detailed information on how to select the optimum PMF solution can be found in Figure S9-S12 and Table S1.

Autumn: The solution of the PMF analysis for the autumn observation is similar to that for the spring observation. A POA factor appeared until the 7-factor solution and OOA was over-split. The diagnostic plots of the PMF analysis were shown in Fig. S13. The correlation coefficient of the POA vs. NO_x is 0.61. The mass spectra of the POA factor is similar with the FFOA factor resolved in spring (the angle θ between the two mass spectra is 9 degree). We constrained the POA profile separated by the 7-factor solution of PMF analysis in autumn to better separate POA from OOA. As a result, three OA factors, including FFOA, LO-OOA and MO-OOA, were identified with ME-2 analysis in autumn. The mass spectra, time series, and diurnal variations of ME-2 result were shown in Fig. S16.

Winter: For the winter observation, the 3-factor, $f_{\text{peak}}=0$ solution was selected as the optimum solution. When OA was separated into four factors, OOA was also split into three factors (Fig. S19). In the 4-factor solution, factor 2 was similar to factor 2 which was resolved in the 3-factor solution with similar mass spectra, time series, diurnal variation and O/C ratios. However, factor 3 and factor 4 in the four-factor solution had similar O/C ratios, time series and diurnal variation. It was unclear if the two OOA components represent distinct sources or chemical types. When more than 5 factors, OOA decomposed into three or more factors. Thus, two OOA factors were combined into total OOA for further analysis. The 3-factor solution (FFOA, LO-OOA and MO-OOA) from PMF analysis was good enough, so it was not necessary to use ME-2 analysis to separate POA from OOA. The detailed information on how to select the optimum PMF solution can be found in FigureS18-S20 and Table S2.

(3) Details about the evaluation of PMF and ME-2 results are lacking. To interpret PMF/ME-2 results, the authors should carefully follow the procedures proposed by

C11

Ulbrich et al. (2009) and Zhang et al. (2011). For example, evaluation of Q/Q_{exp} as a function of factor number and Q/Q_{exp} or scaled residual as a function of m/z should be provided in the manuscript or SI. [Response] Thanks for your suggestion. We added more details about the evaluation of PMF and ME-2 results in the revised supplementary materials, as showed above.

3. It is questionable that the authors solely based on the ratio of measured NH₄⁺ to predicted NH₄⁺ to evaluate aerosol acidity. While this ratio has been used as an indicator of aerosol acidity by some previous AMS studies, it has been proved recently that this ion balance method is not reliable to evaluate particle acidity (Guo et al., 2015; Song et al., 2018). Thermodynamic models, i.e., E-AIM and ISORROPIA, should be used. With thermodynamic models, Guo et al. (2017) found that aerosol is always acidic in NPC region, which is contradictory to results from this study.

[Response] Thanks for your suggestion. We agree with you that the ion balance method is not reliable to evaluate particle acidity and we reevaluate the particle acidity by the thermodynamic model. Liu et al. (2017) and Song et al. (2019) found that pH values in ISORROPIA were on average 0.3-0.4 units higher than E-AIM under winter haze conditions. In addition, the ISORROPIA model is more widely used and has more results to compare, so that it was used in the revised manuscript. The forward mode was used with just aerosol-phase data and NH₃ data input in this study, to avoid measurement error (Song et al., 2018;Guo et al., 2017). An ammonia (NH₃) analyzer (NH₃-H₂O, Model 911-0016, LGR) was also used to simultaneously measure NH₃. Notably, data for RH < 30% and RH > 95% were excluded because of the large uncertainty in LWC and pH values (Ding et al., 2019; Guo et al., 2015). Results showed that the aerosol in Xinglong were acidity in summer (PH: 2.7 \pm 0.6) and moderate acidity in spring (4.2 \pm 0.7), autumn (3.5 \pm 0.5) and winter (3.7 \pm 0.6), consistent with previous studies that although NH₃ in the NCP was abundant, the aerosol was far from neutral (Ding et al., 2019). Please see detailed analysis as follows: "The acidity of PM1 was evaluated in each season using the thermodynamic model ISORROPIA-II (Table

C12

2). PM1 in Xinglong showed moderate acidity in spring, autumn and winter, with average pH values of 4.2 ± 0.7 , 3.5 ± 0.5 and 3.7 ± 0.6 , respectively. Comparatively, the pH value in summer was the lowest (2.7 ± 0.6) among all seasons, similar to the findings of previous studies (Ding et al., 2019; Liu et al., 2017). The seasonal variation in the pH at Xinglong was similar to results reported in urban Beijing, except for spring and winter. The pH value in urban Beijing was highest in winter, followed by spring (4.4 ± 1.2), autumn (4.3 ± 0.8), and summer (3.8 ± 1.2) (Ding et al., 2019). The seasonal variation of pH in this study was strongly related to the chemical composition of aerosols in each season. Previous studies show that, compared to an elevated nitrate concentration, an elevated sulfate concentration can result in higher acidity because of the low volatility of sulfate (Tan et al., 2018; Xu et al., 2019). In this study, the mass fraction of sulfate in PM1 was highest in summer (37%) and lowest in spring (16%). Similarly, the nitrate-to-sulfate ratio was highest in spring (2.13) and lowest in summer (0.26). Recent studies have shown that sulfate has been effectively reduced in Beijing because of the strict emission control measures, and the mass fraction of nitrate in PM has increased significantly, with an increased NO_3/SO_4 ratio in Beijing ubiquitously observed (Xu et al., 2019; Song et al., 2019). Notably, the pH in spring (4.2 ± 0.7) was similar to the value found in urban Beijing (4.4 ± 1.2) in the same season. In comparison, the pH values in autumn and winter were 0.5 to 1.1 lower than those found in urban areas of northern China, such as 4.3 ± 0.8 in autumn in Beijing, 4.5 ($3.8\text{--}5.2$) in winter in Zhengzhou, and 4.8 ($3.9\text{--}5.9$) in winter in Anyang (Ding et al., 2019; Wang et al., 2020). The higher LWC in urban areas may be one of the important reasons for its slightly lower acidity in autumn and winter compared to that in background areas in northern China. Aerosol acidity is closely related to LWC, with higher LWC usually accompanied by higher aerosol pH according to previous studies (Guo et al., 2015; Liu et al., 2017). In this study, the LWC in autumn and winter was 18 ± 38 and 12 ± 26 , respectively, which was obviously lower than that in urban areas, such as 109 ± 160 $\mu\text{g m}^{-3}$ in autumn in Beijing (Ding et al., 2019), 220 ($28\text{--}711$) $\mu\text{g m}^{-3}$ in winter in Beijing (Liu et al., 2017), and 95 ± 100 $\mu\text{g m}^{-3}$ in winter

C13

in Zhengzhou (Wang et al., 2020). The higher LWC in urban areas is mainly due to the high aerosol concentrations, which can enhance aerosol water uptake (Liu et al., 2017). In comparison, the Hair^+ concentration was 3.7 ± 1.0 $\mu\text{g m}^{-3}$ in autumn and 1.2 ± 0.4 $\mu\text{g m}^{-3}$ in winter, which were comparable with those observed in urban areas. Therefore, the lower LWC/ Hair^+ ratio in Xinglong favored the slightly lower pH values, according to equation (1). Moreover, the pH values in this study and previous studies in urban areas of the NCP were 0.8 to 3.5 units higher than those observed in the U.S. and Europe, such as 1.2 ± 1.1 in Crete, Greece, in winter (Bougiatioti et al., 2016); 0.9 ± 0.6 in Alabama, southeastern U.S., in summer (Guo et al., 2015); and 2.2 ± 0.6 in Yorkville, southeastern U.S., in autumn (Nah et al., 2018). The excessive NH_3 emissions in the NCP play an important role in the large gap (Song et al., 2019). In this study, the average NH_3 concentrations were 12, 19, 8 and 4 ppb in each season, with a maximum value of 39 ppb, while in the southeastern U.S., NH_3 generally ranged between 0.1 and 3.0 ppb (Weber et al., 2016). Another explanation might be the changes in chemical composition of aerosols over the NCP. The NO_3/SO_4 ratios in this study and urban areas of the NCP were obviously higher than those in other countries (Table 2). Thus, the relatively lower aerosol acidity in the NCP might be attributable to the excessive NH_3 and high NO_3/SO_4 ratios on a regional scale in this region.”

4. Many interpretations or conclusions from this study cannot be well supported by the observations. For example, the authors conclude in the end of the abstract that the neutralized state of submicron particles highlight the significance of NO_x and ammonia reduction (also in conclusion part, Line 486 and Line 510). But these two do not have causal relationship.

[Response] Thanks for pointing this out. We now admit that the mentioned conclusion in the abstracts is not accurate, and we revised this sentence as showed below: “Our results illustrate that the background particles in the NCP are influenced significantly by aging processes and regional transport, and the increased contribution of aerosol nitrate highlights how regional reductions in emissions of nitrogen oxide are critical for

C14

remediating occurrence of nitrate-dominated haze events over the NCP."

Line 215, the authors should not make a conclusion that the high NO_x concentration is solely due to strong influence of traffic.

[Response] Thanks for pointing this out. We agree that the high NO_x concentration in winter would not be mainly contributed from the traffic emissions. NO_x exhibited its highest concentration in winter and correlated well with chloride ($R^2 = 0.6$), suggesting a strong influence of fossil fuel combustion, such as coal combustion. Therefore, the high NO_x concentration in winter was mainly due to the coal combustion, while regional transport from heavily polluted regions may also contribute partly.

Line 280, the authors conclude that POA factor was closely related to traffic emission without evaluating the characteristic of POA. While POA showed a good correlation with NO_x, does its diurnal profile show morning and evening peaks, which is a typical feature of traffic-related pollutant? It is not correct to conclude that the high concentration of FFOA at night indicate the high primary emissions. The variations in boundary layer height play a significant role. Similar issues happened again in Sect. 3.3. The authors draw conclusions regarding species formation mechanisms during daytime and nighttime based on the variations in their concentrations without considering the major influence of boundary layer height.

[Response] Thanks for your suggestions. The mass spectra of the POA factor had some similarity with HOA and CCOA (Hu et al., 2017; Elser et al., 2016), which was detailed compared in the response to the second major question. The correlation coefficient between POA and NO_x was 0.58, and that between POA and chloride was 0.78, in spring, suggesting a significant contribution of coal combustion and traffic-related sources to the POA factor in Xinglong. Therefore, the POA factor in spring could be considered as FFOA. The concentrations of FFOA were obviously higher at night than during the daytime during the four seasons, which was mainly due to the variations in PBL. The diurnal profile of FFOA showed evening peak in autumn and winter, while

C15

showed no morning peaks. In spring, the diurnal profile of FFOA showed no morning and evening peaks. This diurnal profile of FFOA may be related to the observation position of Xinglong Station, which is located on a mountain with an altitude of 960 m, surrounded by forests and farmland, and more than 100 kilometers away from the urban site. The distance from urban area to the site and the altitude of the site might allow time for substantial vertical mixing and dilution. Therefore, the traffic emissions of diurnal profile of FFOA showed no obvious morning and evening peaks like Beijing and other urban areas. Similar diurnal profile of HOA was also observed in in suburbs site located in the downwind of the urban site (de Sá et al., 2018).

According to your suggestion, we considered the major influence of the variations in boundary layer height on the diurnal variation of PM₁ species. The concentrations of FFOA were higher at night than during the daytime during the four seasons, which was mainly due to the variations in the PBL. The lower PBL at night suppressed the diffusion of pollutants. Meanwhile, higher primary emissions, such as coal-burning emissions, at night than during the daytime, also partly contributed. Nitrate exhibited drastic diurnal variation in each season, with a high concentration at night and low concentration during the daytime. This behavior was closely related to the variation of the PBL, which reduced the concentration of nitrate during the daytime and suppressed the diffusion of nitrate at night. MO-OOA exhibited similar drastic diurnal profiles in spring, autumn and winter, peaking in the afternoon and at night. The high concentration of MO-OOA at night was likely due to the co-effect of the low PBL and aqueous chemistry under high RH conditions at night (Hu et al., 2017; Sun et al., 2018). Although the PBL expanded, the concentrations of MO-OOA increased significantly from 12:00 to 18:00, indicating an important role played by photochemical processes in MO-OOA production during the daytime in these three seasons. Please see detailed analysis in line 369 to 380 in the revised manuscript.

Line 323, why the authors think the increase in nitrate concentration is caused by regional transport? The observations cannot support this. In the end of this paragraph,

C16

the authors emphasize the strong effects of local chemical production and regional transport on nitrate diurnal pattern. But the reviewer did not find any related discussion about the relative contribution of local production and regional transport. Again, Line 336, why the increased concentration from noon to evening indicate the regional characteristics of MO-OOA? Overall, these conclusions without detailed interpretation and well supported observations would confuse the audience a lot.

[Response] Thanks for pointing this out. We now admit that the increase in nitrate concentration cannot be solely attributed to regional transport. As shown in Fig. 5, nitrate exhibited drastic diurnal variation in each season, with a high concentration at night and low concentration during the daytime. This behavior was closely related to the variation of the PBL, which reduced the concentration of nitrate during the daytime and suppressed the diffusion of nitrate at night. Heterogeneous/aqueous-phase reactions and gas-to-particle condensation processes are the main pathways to forming fine-mode nitrate (Sun et al., 2018; Hu et al., 2017). The high concentration of nitrate in each season suggested the pathway of the hydrolysis of dinitrogen pentoxide (N_2O_5) to nitrate formation at night in Xinglong might be strong due to low NO concentrations and high O_3 concentrations, even at night. The NO concentrations at Xinglong Station in the four seasons were as low as 0.2 to 0.7 ppb (Table 1). Because of the low concentration of NO, it would be difficult for NO to react with O_3 and thus deplete O_3 so that O_3 could accumulate, even at night. O_3 concentrations at night in the four seasons were about 45, 70, 35 and 25 ppb, respectively, which showed that the background atmosphere exhibited high atmospheric oxidation capacity, even at night, especially in summer. The diurnal variation of nitrate showed an obvious increase from noon through the afternoon in each season, suggesting the increased nitrate concentrations were influenced by photochemical production. Nitrate exhibited its lowest concentration in summer, which can be attributed to the evaporation of NH_4NO_3 due to the high temperatures (Fig. 5). Therefore, the nitrate diurnal pattern might be influenced by the variation of PBL and local chemical production, including the hydrolysis of N_2O_5 to nitrate formation at night and photochemical processes during the daytime.

C17

Similar with nitrate, MO-OOA also exhibited drastic diurnal profiles in spring, autumn and winter, peaking in the afternoon and at night. The high concentration of MO-OOA at night was likely due to the co-effect of the low PBL and aqueous chemistry under high RH conditions at night (Hu et al., 2017; Sun et al., 2018). Although the PBL expanded in the afternoon, the concentrations of MO-OOA increased significantly from 12:00 to 18:00, indicating an important role played by photochemical processes in MO-OOA production during the daytime in these three seasons. In summer, the concentration of MO-OOA showed its greatest increase rate ($0.18 \mu\text{g}\cdot\text{m}^{-3}\cdot\text{h}^{-1}$) from 09:00 to 18:00, implying stronger photochemical production of MO-OOA than in other seasons. Note that the wind speed in summer also increased rapidly from 09:00 to 16:00, along with the increase of MO-OOA, which may suggest regional transport also partly contributed to the rapid increase in MO-OOA during the daytime in summer. The related discussion was added in the revised manuscript.

“MO-OOA exhibited similar drastic diurnal profiles in spring, autumn and winter, peaking in the afternoon and at night. The high concentration of MO-OOA at night was likely due to the co-effect of the low PBL and aqueous chemistry under high RH conditions at night (Hu et al., 2017; Sun et al., 2018). Although the PBL expanded, the concentrations of MO-OOA increased significantly from 12:00 to 18:00, indicating an important role played by photochemical processes in MO-OOA production during the daytime in these three seasons. In summer, the concentration of MO-OOA showed its greatest increase rate ($0.18 \mu\text{g}\cdot\text{m}^{-3}\cdot\text{h}^{-1}$) from 09:00 to 18:00, implying stronger photochemical production of MO-OOA than in other seasons. Note that the wind speed in summer also increased rapidly from 09:00 to 16:00, along with the increase of MO-OOA, which may suggest regional transport also partly contributed to the rapid increase in MO-OOA during the daytime in summer. The diurnal profiles of LO-OOA in each season were flatter than those of MO-OOA. The decreased PBL mainly resulted in a higher concentration of LO-OOA at night. The increased concentration of LO-OOA from noon through the afternoon was mainly due to photochemical processes. The highest concentration of LO-OOA in summer suggested a stronger photochemical production of

C18

LO-OOA than in other seasons.”

Line 426, from the observations, the authors can only conclude that aqueous-phase and photochemical processing both play roles. How do they evaluate which is more important?

[Response] Thanks for pointing this out. Yes, we agree that it is hard to say which is more important but can only conclude that aqueous-phase and photochemical processing both play roles in spring, autumn and winter, as the two OOA factors increased with the elevation of both RH and Ox in these three seasons (Fig.7 and 8). Whereas, as showed in Fig. 7 and Fig.8, the mass fraction of MO-OOA in OA did not increase as Ox elevated in winter, while it increased from 30% to 40% as RH increased from 30 to 90%. This characteristic suggested a more important role of aqueous-phase processing on SOA formation than photochemical processing in winter. The related discussion was added in the revised manuscript. “Note that the mass fraction of MO-OOA did not increase as Ox elevated in winter, while it increased ~from 30% to 40% as RH increased from 30 to 90%. This characteristic suggested a more dominant important role of aqueous-phase processing on SOA formation than photochemical processing in this season.”

Line 432, how do the authors conclude that photochemical processing enhanced during regional transport without any observation or interpretation regarding this (Also in the conclusion part, Line 499)?

[Response] Thanks for your reminding, and we now admit that it cannot conclude that photochemical processing enhanced during regional transport based on the present results. In fact, both LO-OOA and MO-OOA showed overall increasing trends as Ox increased in summer. In addition, increases of LO-OOA and MO-OOA as functions of Ox were clearly associated with the increases of wind speed, which was more significant in summer than in other seasons. In comparison, in urban areas, such as Beijing, increase of LO-OOA were associated with the decreases of wind speed, that facilitated

C19

the accumulation of air pollutants (Xu et al., 2017). Such a difference between urban and background areas may be due to the influence of regional transport on the Ox and SOA concentrations in background areas. We revised this part and the related discussion was provided as below:

“In summer, both LO-OOA and MO-OOA showed overall increasing trends as Ox increased, while RH showed a corresponding overall decreasing trend. This behavior indicates a strong influence of photochemical processing on both LO-OOA and MO-OOA production. Meanwhile, LO-OOA showed a continuously decreasing trend as RH increased in summer, except for a slightly increasing trend when RH increased from 40 to 60%, indicating photochemical processing dominated LO-OOA formation. MO-OOA increased significantly with Ox, while it increased slightly with RH (40% < RH < 60%) firstly, and then decreased with RH when RH was above 60%. This characteristic suggested photochemical processing dominated MO-OOA formation, but the role of aqueous-phase processing under moderate RH (40% < RH < 60%) conditions cannot be ruled out in summer. In urban Beijing, the impact of photochemical processing on LO-OOA production was significant, while on MO-OOA production it was limited in summer (Xu et al., 2017; Duan et al., 2020), mainly due to the higher atmospheric oxidation capability in the background atmosphere than in the urban atmosphere in summer. Furthermore, increases of LO-OOA and MO-OOA as functions of Ox were clearly associated with the increases of wind speed, which was more significant in summer than in other seasons. In comparison, in urban areas, such as Beijing, increase of LO-OOA were associated with the decreases of wind speed, that facilitated the accumulation of air pollutants (Xu et al., 2017). Such a difference between urban and background areas may be due to the influence of regional transport on the Ox and SOA concentrations in background areas. The continuous increases in SOA concentrations associated with the increases of wind speed in the background atmosphere may imply important role of regional transport in SOA formation in summer.”

Line 434, the authors said that the impact of photochemical processing on MO-OOA

C20

production was limited in summer. Then what is the major formation mechanisms of MO-OOA in summer? Do they provide any evidence regarding different formation mechanism of LO-OOA and MO-OOA from this study?

[Response] Sorry for the misunderstanding. The line 434 in previous manuscript referred to the impact of processing on MO-OOA production was limited in summer in the urban site in Beijing. According to Xu's et al (2017) research, MO-OOA had no obvious changes as Ox increased, while increased continuously as RH increased, indicating aqueous-phase processing dominant MO-OOA formation in summer. In comparison, in background Xinglong, MO-OOA showed overall increasing trends as Ox increased, while RH showed a corresponding overall decreasing trend. This behavior indicates the strong influence of photochemical processing on MO-OOA production. Meanwhile, MO-OOA increased slightly with RH ($40\% < RH < 60\%$) firstly and then decreased with RH when RH was above 60%. This characteristic suggested the photochemical processing dominant MO-OOA formation, but the role of aqueous-phase processing under moderate RH ($40\% < RH < 60\%$) condition cannot be ruled out in summer.

The differences of formation mechanisms of LO-OOA and MO-OOA in this study is not as obvious as those in urban areas, which might be due to the relative aged and regionally dispersed fine aerosols received at this mountain site compared with those from ground-based measurement in urban areas. There are still some differences existed. For example, in summer, MO-OOA increased significantly with Ox while increased slightly with RH ($40\% < RH < 60\%$) firstly and then decreased with RH when RH was above 60%. This characteristic suggested the photochemical processing dominant MO-OOA formation, but the role of aqueous-phase processing under moderate RH ($40\% < RH < 60\%$) condition cannot be ruled out in summer. In comparison, LO-OOA increased significantly with Ox while decreased continuously with RH, indicating LO-OOA formation was only affected by photochemical processing.

In autumn and winter, MO-OOA increased more rapidly than those of LO-OOA as RH increased. As a result, the mass fractions of MO-OOA increased by 25% in autumn

C21

and by 12% in winter when RH increased from 20 to 90%. Corresponding, the mass fraction of LO-OOA decreased by 15% in autumn. These characteristics indicated that aqueous-phase processing plays more important role in MO-OOA formation than that in LO-OOA in these two seasons. Detailed discussion can be seen in Line 405 to 417.

Line 505, the longer transport distance of air masses in summer does not mean that the influence of regional transport is strongest in summer. Not proper quantification.

[Response] Thanks for your reminding. We admit that the previous conclusion is not proper and we deleted it, and rewrite as follows: "The air masses from the southern regions (clusters 2 and 3) accounted for 56% of all the air masses in summer, which was obviously higher than the percentage in other seasons (27–38%). Cluster 3 in summer started at Bohai Bay and passed through the Shandong Peninsula and over Bohai Bay. The PM₁ concentrations for clusters 2 ($14.7 \mu\text{g m}^{-3}$) and 3 ($12.2 \mu\text{g m}^{-3}$) were both high. These results suggest a dominant role played by southern transport in submicron aerosol concentrations over the NCP in summer."

Specific comments: (1) In the introduction, Line 42, it's not proper to summarize that sulfate dominated in the south of NPC and nitrate dominate in the north of NCP. It is not determined by the location, but more by the emission characteristics.

[Response] Thanks for your reminding. This sentence was revised to "sulfate dominates the secondary inorganic aerosols (SIAs) in heavy-industry cities such as Shijiazhuang and Handan, while in recent years nitrate has dominated those in Beijing because of the strict emissions reduction measures for coal combustion."

(2) Line 55, the authors said that previous studies at background NCP site are limited by the low resolution. Any new findings do they draw from their high-resolution measurements?

[Response] Thanks for your comment. The HR-ToF-AMS can provide elemental information, such as hydrogen-to-carbon (H/C), organic-mass-to-organic-carbon (OM/OC),

C22

and oxygen-to-carbon (O/C), which can help to quantify the oxidation degree of OA (Jimenez, 2003). OOA can also be separated as more-oxidized OOA (MO-OOA) and less-oxidized OOA (LO-OOA) due to the different O/C ratios (Zhang et al., 2011). According to the high-resolution measurements, our results suggested the dominant role of aqueous-phase processing on SOA formation than photochemical processing in winter. Aqueous-phase processing plays more important role in MO-OOA formation than that in LO-OOA in autumn and winter. Both of photochemical and aqueous-phase processing contribute to LO-OOA and MO-OOA production in spring. In summer, the photochemical processing dominant MO-OOA formation, but the role of aqueous-phase processing under moderate RH ($40\% < RH < 60\%$) condition cannot be ruled out in summer. In comparison, LO-OOA formation was only affected by photochemical processing in summer. In addition, regional transport also played an important role in the variations of SOA, especially in summer that continuous increases in SOA concentration as a function of Ox was found to be associated with the increases of wind speed.

(3) Line 105, to quantify aerosol concentrations, what are the RIE values of sulfate and ammonium according to the standard calibration?

[Response] Thanks for pointing this out. In this study, the relative ionization efficiency (RIE) values used were 1.1, 1.2, 1.3 and 1.4 for nitrate, sulfate, chloride and organics, respectively. RIE value of 4.0 were used for ammonium based on the ionization efficiency calibration results in each season. This part has been added in the revised manuscript.

(4) Since the measurement station is 960 meters above sea level, why do the authors choose the height of 500 m to permafrost back trajectories? How does it compare to 1000m or 1500m?

[Response] Thanks for your reminding and sorry for the misunderstanding. The height of 500m was not the height above the sea level, but the height above ground level (AGL). Previous study showed that the planetary boundary layer height (PBL) in Xing-

C23

long could reduce to 100-200m during haze episode (Li, et al., 2020). Thus, we choose the height of 200m (AGL) and recalculate the air mass trajectories in the revised MS. According to the suggestion of comparing to 1000m and 1500m, we found these two heights was not suitable for our observation sites. If they are refer to the height above sea level, then it was too low for the height of 1000m which was only 40m above ground level that the air mass may be blocked by mountains on the moving ways, while for the height of 1500m (refer to 540m AGL), it would sometimes above the PBL and thus could not arrive to the receptor site. If they are refer to the height above ground level, then these two heights are further above the PBL and thus could not arrive to the receptor site. To make it clear, we revised this part. "The 48-h back trajectories were calculated every hour at a height of 200 m (above ground level) using the HYSPLIT (Hybrid Single-Particle Lagrangian Integrated Trajectories) model"

(5) Line 114, the AMS does not measure chlorine but chloride. Please revise the whole manuscript accordingly.

[Response] Thanks for your reminding. We have carefully corrected similar mistakes in the revised manuscript.

(6) Line 162, are high RH and high PM₁ concentration correspond to air masses from the south?

[Response] Thanks for your comment. As shown in Fig. 9, high RH and high PM₁ concentration correspond to air masses from the south in all seasons. In summer, the RH and PM₁ concentration were also at high levels of the air mass from the north and northwest regions of Xinglong. Detailed discussion can be seen in Section 3.4.

(7) Line 170, it should be clearly noted that the frequency distribution of PM₁ is shown in Fig. 1 using the white curve.

[Response] Thanks for your reminding. We have clearly noted that the frequency distribution of PM₁ was shown in Fig. 1 using the white curve in the revised manuscript.

C24

(8) Line 221, how do the authors define the wind dilution ratio? Please make it clear.

[Response] Thanks for your suggestion. The wind dilution ratio was defined as the percentage decrease in the concentration of the aerosol species for every 1 m s⁻¹ decrease in wind speed (Sun et al., 2013). We have made it clear in the revised manuscript.

(9) In Fig. 3, how do the authors average WD? Do they follow the vector average wind direction?

[Response] Thanks for your comment. In the previous manuscript, we divided the data into 8 directions (0-45°, 45-90°, 90-135°, 135-180°, 180-225°, 225-270°, 270-315°, 315-360°) according to the wind direction and calculated the average wind direction by arithmetic average. However, it's more reasonable to divided the data according to the vector average wind direction. Therefore, we divided the data into 8 directions (N, NE, E, SE, S, SW, W, NW) in the revised manuscript and now the revised Fig.2 (Fig. 3 in previous manuscript) is as follows:

(10) Line 280, please provide the number of correlation coefficient of POA vs. NO_x and POA vs. chloride.

[Response] Thanks for your suggestion. The correlation coefficient between POA and NO_x was 0.58, and that between POA and chloride was 0.78, in spring. The correlation coefficient between POA and NO_x was 0.78, and that between POA and chloride was 0.61, in winter. The correlation coefficient between POA and NO_x was 0.61 in autumn. Detailed information can be seen in the supplementary materials.

(11) Line 300, how do their correlations look like? According to previous studies, LO-OOA correlates better with nitrate, while MO-OOA better with sulfate.

[Response] Thanks for your suggestion. The correlation coefficient between LO-OOA and nitrate (sulfate) was 0.79 (0.58) in autumn and 0.71 (0.44) in winter. Therefore, LO-OOA correlated well with nitrate in autumn and winter. MO-OOA, meanwhile, corre-

C25

lated well with both nitrate and sulfate in autumn (MO-OOA vs. nitrate: 0.86; MO-OOA vs. sulfate: 0.87) and winter (MO-OOA vs. nitrate: 0.79; MO-OOA vs. sulfate: 0.72), similar to the findings of previous studies in wintertime in Beijing (Hu et al., 2017). In comparison, LO-OOA had a low correlation coefficient with nitrate or sulfate, and MO-OOA had a correlation coefficient of 0.65 with sulfate, in summer. The poor correlation between LO-OOA and secondary inorganic species has also been found in a previous study (Sun et al., 2018). Both of LO-OOA and MO-OOA correlated well with nitrate and sulfate in spring.

(12) In Fig. 5, the nitrate time series is missing in Fig. 5b.

[Response] Thanks for your reminding. The time series of nitrate was added in Fig. 5b

(13) Line 360, the higher O/C ratio in Xinglong should be due to the weak influence of primary emissions.

[Response] Thanks for your comment and we have corrected the sentence as follows: "The O/C ratios in Xinglong in all seasons (0.54–0.75) were slightly higher than those in urban Beijing (0.47–0.53), mainly due to the weak influence of primary emissions."

(14) Line 390, please define the Ox.

[Response] Thanks for your reminding. Ox was defined in the revised manuscript.

(15) Figure 9, the plots as a function of Ox not RH.

[Response] Thanks for your reminding. The caption of Fig. 9 was corrected in the revised manuscript.

(16) Please define what is "dva" in the manuscript.

[Response] Thanks for your reminding. "dva" (vacuum dynamic diameter) was defined in the revised manuscript.

(17) Many grammatical mistakes. The reviewer recommends to do editing service.

C26

For example, in the title, it should be “highly time-resolved”. Line 309, should be “be attributed to”. Line 83, should be “a HR-ToF-AMS was deployed. . . .with collocated measurements of meteorological parameters and gaseous species.” Line 89, should change “of” to “on”.

[Response] We are so sorry for making the grammatical mistakes to make trouble to your review work. Thanks for your useful comments and suggestions to improve the manuscript. We have done editing service and carefully checked and corrected the errors sentence by sentence.

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C27

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C28

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C29

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C30

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C31

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Please also note the supplement to this comment:

<https://acp.copernicus.org/preprints/acp-2020-213/acp-2020-213-AC2-supplement.pdf>

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C33

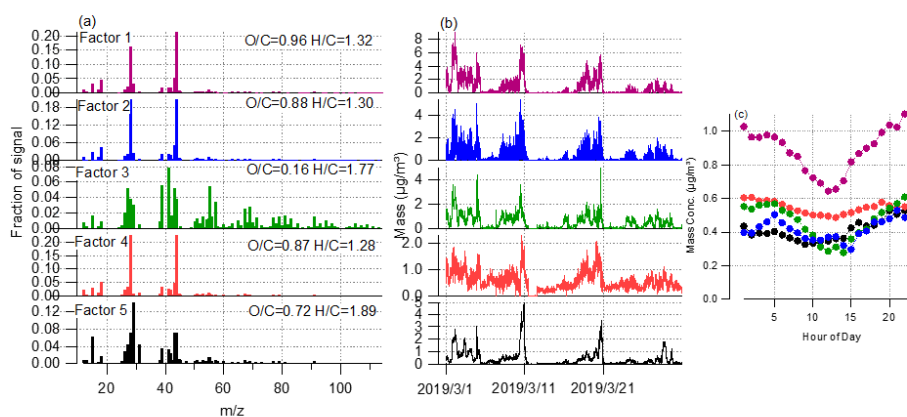


Fig. 1. Figure S4. The mass spectra, time series, and diurnal variations of 5-factor solution of PMF analysis for the spring observation.

C34

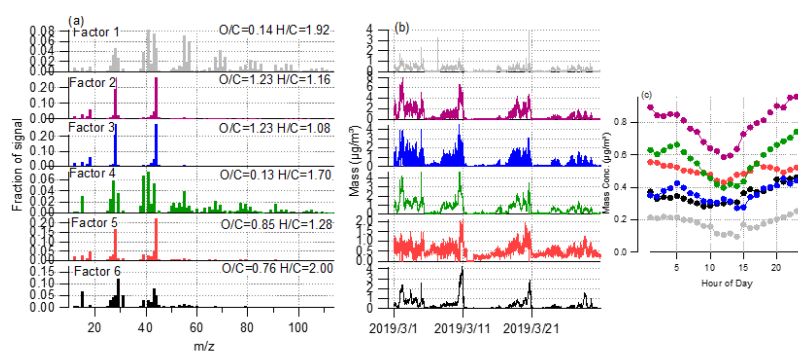


Fig. 2. Figure S5. The mass spectra, time series, and diurnal variations of 6-factor solution of PMF analysis for the spring observation.

C35

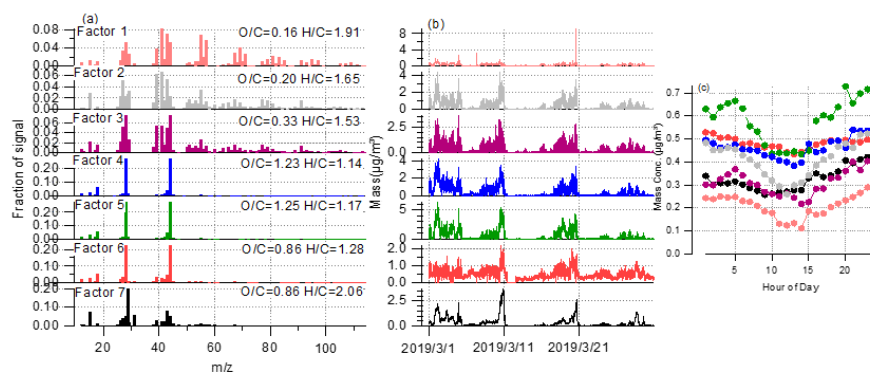


Fig. 3. Figure S6. The mass spectra, time series, and diurnal variations of 7-factor solution of PMF analysis for the spring observation.

C36