

As suggest by the ACP review, the document is organized as follow, in chronological order:

- Answer to Anonymous Referee #1: pages 2 to 14
- Answer to Anonymous Referee #3: pages 14 to 16
- Answer to Anonymous Referee #2: pages 17 to 23
- Major changed in the manuscript: pages 24 to 29
- New manuscript, with the added section in red at the end of the document.

Anonymous Referee #1:

We appreciate the thoughtful and constructive comments from the reviewers. Their helpful suggestions and attention to detail have made this a substantially better paper, and we greatly appreciate the time they put into the manuscript.

Please see below our responses (in bold) to the individual detailed comments. Numerous figures are shown in our response to illustrate our points but some are not included in the revised manuscript.

We have addressed all the reviewers' comments and modified the manuscript and figures accordingly.

Major comments:

My main concern in this study is the handling of RH observations itself and with respect to clouds. Cirrus clouds is the prevailing cloud type in the altitude range used in this study. These clouds are often found in the outflow of convective systems (e.g. Fierli et al, 2008) but they occur also due to other dynamical situations like warm or cold fronts, gravity waves etc. which produces also a slow to moderate uplift of air (see Kraemer et al, 2016). Clouds are always an indication of uplifted moisture, but they also redistribute water vapor to lower altitudes due to sedimentation of ice particles. Therefore, they can also partly weaken the hydration signatures in your observations.

In the whole study, all humidity measurements are shown as relative humidity with respect to water (I guess) which is the standard output of meteorological radiosondes. In the used altitude region (10-13 km) relative humidity with respect to ice would be the better quantity to identify cirrus clouds. For example, on page 5 (lines 161-166) the 60% RH (with respect to water) corresponds to 100% RH with respect to ice (RHi) at assumed temperature of 220K (higher RHi with even lower temperatures). RHi values around 100% are an indication for clouds. And I think there are a lot of cloudy profiles in the measurements, as you can see for example in Figure 3 or 4.

The radiosonde measurements presented in the first version of the manuscript correspond to relative humidity with respect to water (RH). For temperatures below 0°C, we have computed the RH with respect to ice (RHi) using the formula of Hyland and Wexler (1983) for saturation vapor pressure over ice. Figures 3 and 4 have been modified accordingly. Section 2.1 in the revised manuscript has been corrected.

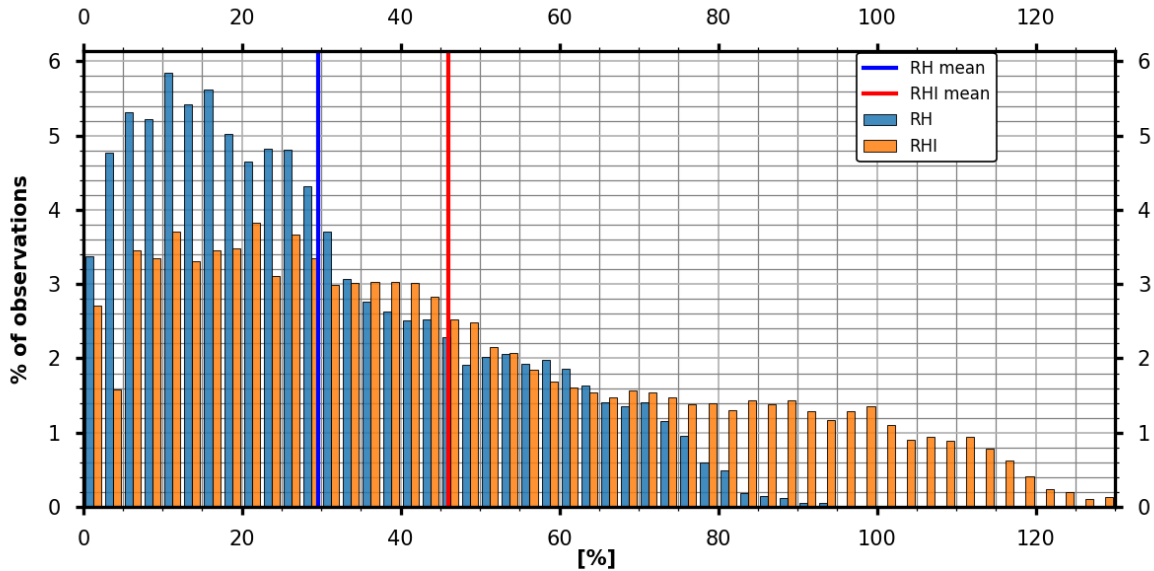


Figure 1: Distribution of RH with respect to water (RH, blue) and RH with respect to ice (RHi, orange) in the upper troposphere (10-13km) above Réunion Island. 904 profiles for the period Nov 2013-Apr 2016 have been used to compute the distribution. Bins are every 5%. The blue thick line indicates the mean RH (30%) and the red thick line indicates the RHi mean (46.5%).

Figure 1 above compares RH and RHi distributions between 10 and 13 km for the period Nov 2013-Apr 2016. As you suggested, there is a significant number of profiles with RHi above 80% (20% of the profiles) and above 100% (8.7 % of the profiles). We agree that RHi values $\geq 100\%$ could be an indication of the presence of clouds. However additional remote-sensing instruments (e.g. Lidar/Radar) would be needed in addition to

the radiosonde measurements of RH to truly assess the presence of these clouds. Unfortunately, the daily Météo-France and weekly SHADOZ radiosondes are performed at the airport (Gillot Station, in the north of the island) where no remote sensing instruments are installed.

Figure 2 shows the daily evolution of mean upper-tropospheric (10-13km) RH (with respect to water) from September 2013 to July 2016. On Figure 2 the RH values are color-coded according to the RHi (top) and the water vapor mixing ratio (bottom) values. The different RHi/WV ranges used in Figure 2 correspond to our definition of dry profiles (in blue), wet profiles (orange) and supersaturated profiles (red). To distinguish the effect of temperature and water vapor on RH/RHi values, we computed the water vapor mixing ratio (WV) for each profile between September 2013 to July 2016. We found a mean 10-13km WV of 121ppmv over this period. Note that we compared this value with a climatological WV value using Microwave Limb Sounder (MLS) v4.2 water vapor data for 2005-2017. We computed a MLS climatological WV profile for a region of 5°x5° surrounding Réunion Island. The MLS climatological WV profile at 261 hPa (~10km) is 116 ppmv and this agrees with the mean upper-tropospheric value of 121 ppmv inferred from the radiosonde data.

The top panel on Figure 2 shows that 91% of profiles with $RH > 50\%$ are associated with high RHi $> 80\%$. These events have also a high WV (lower panel of Figure 2) and indicate a hydration rather than a cooling effect on the high RH/RHi values. Some hydrated profiles ($RH > 50\%$, 9% of the profiles) with a low RHi ($< 80\%$) are present in January 2016 and this could be linked to a cooling of the upper troposphere. 27% of the hydrated profiles ($RH > 50\%$) correspond to supersaturated RHi ($RHi > 100\%$) and occur mostly in 2015 and 2016. The RH values associated with $RHi > 100\%$ in 2015 are spread throughout the summer months. They correspond to air masses detrained from tropical cyclones (Bejisa in January 2015 and Haliba in March 2015) or have high resident time in the middle troposphere (RTMT 5-10km on Figures 3 & 10 of the revised manuscript). In 2016, the ice saturated profiles are almost all between February and March. They are associated with a high RTMT (RTMT on Figure 3).

A discussion was added in section 3.1 in the revised manuscript. As the distinction between high RHi ($> 80\%$) and low RHi ($< 80\%$) is similar to the distinction between hydrated profiles ($RH > 50\%$) and dry profiles ($RH < 50\%$), we choose to keep and show RH (with respect to water) measurement to study convective effects on the hydration of the upper troposphere above Réunion Island.

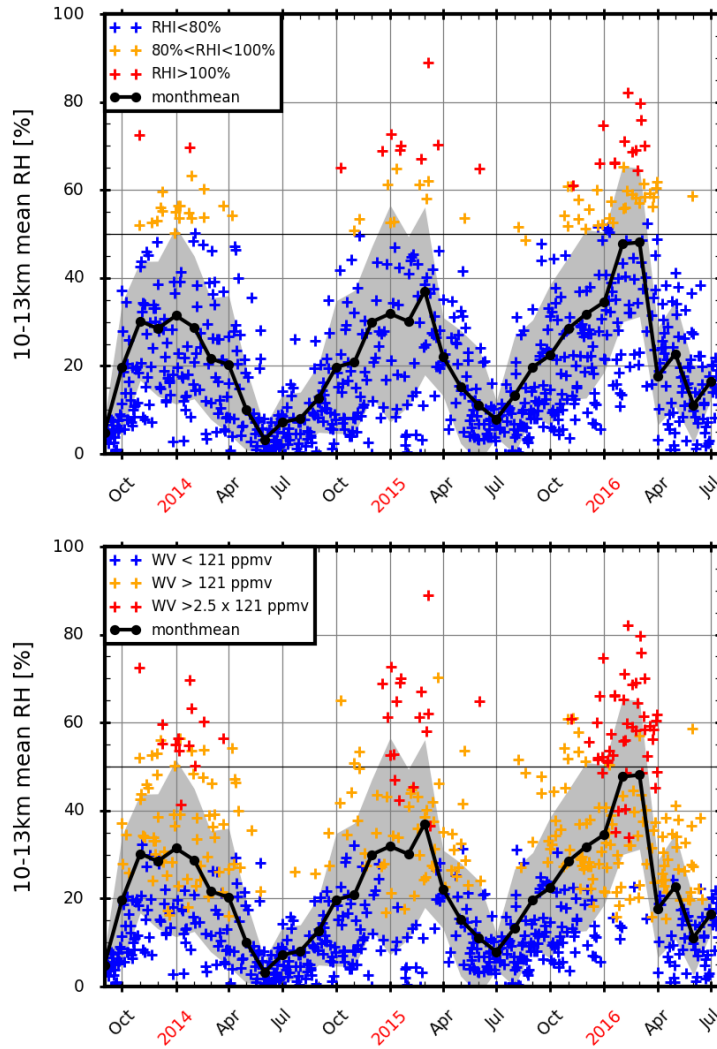


Figure 2: Top, daily evolution of mean upper tropospheric (10 to 13 km) RH for the period September 2013 to July 2016. The RH (with respect to water) values are color coded according to the values of RH_i . In blue RH values for $RH_i < 80\%$, in orange RH values for $80\% < RH_i < 100\%$, and in red RH values for $RH_i > 100\%$. Bottom, daily evolution of mean upper tropospheric (10 to 13 km) RH for the period September 2013 to July 2016. The RH (with respect to water) values are color coded according to the values of water vapor mixing ratios (WV). The mean 10-13km WV is 121 ppmv. In blue RH values for $WV < 121$ ppmv, in orange RH values for $121 \text{ ppmv} < WV < 2.5 \times 121$ ppmv and in red RH values for $WV > 2.5 \times 121$ ppmv. On the two panels, the black thick line corresponds to the monthly mean RH in the upper-troposphere.

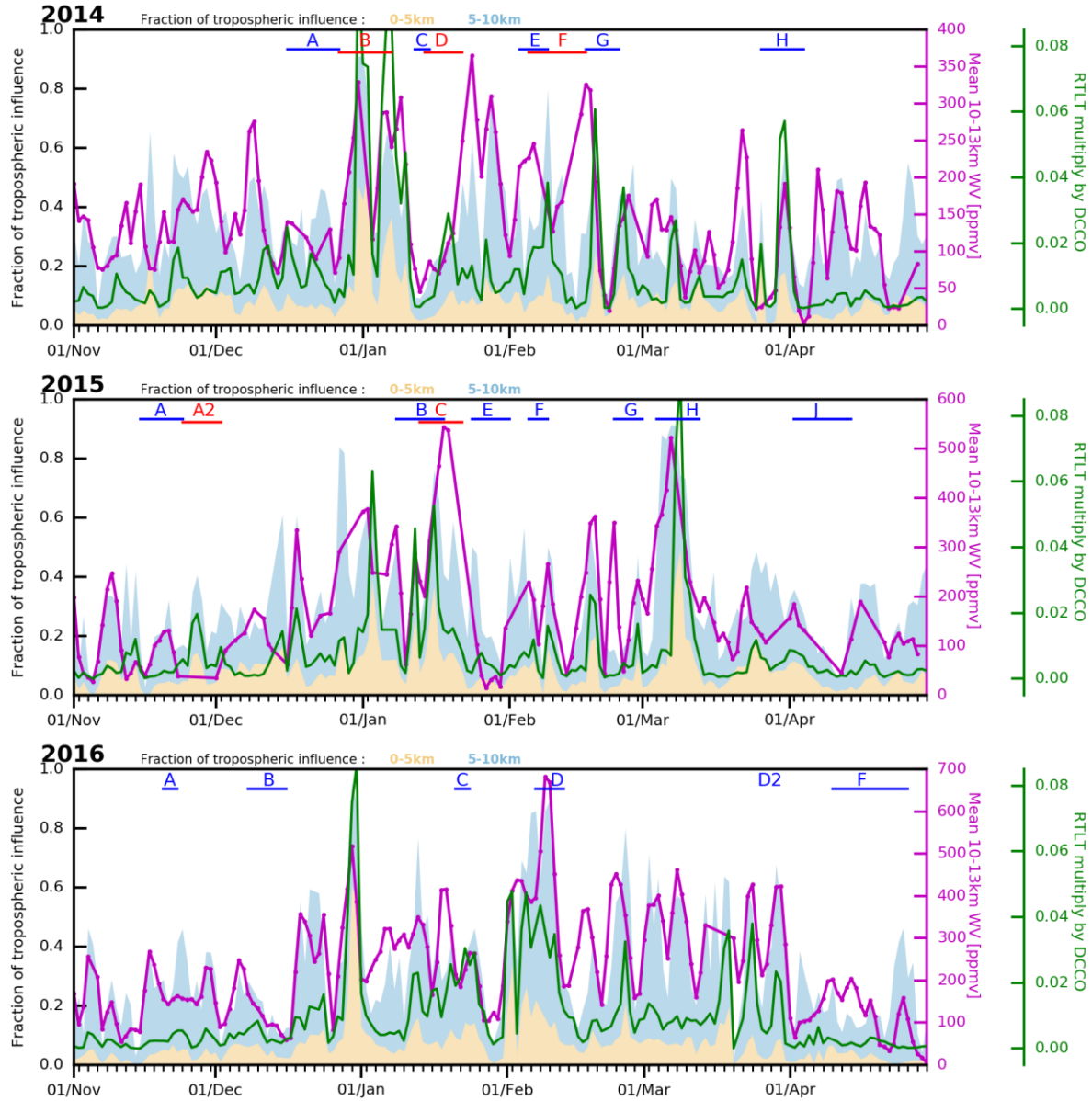


Figure 3: Top panel, Time-evolution of fraction of tropospheric influence (spatially summed) for the low troposphere (0-5km, sRTLTL) in orange and the middle troposphere (5-10km, RTMT) in blue. The product of DCCO by RTLT in green line and mean upper-tropospheric (10-13km) water vapor mixing ratio in purple for austral summer 2014. Middle and bottom panels: Same as top panel for austral summers 2015 and 2016 respectively. The letters in red and blue indicate periods with different tropical cyclones for each austral summer as shown on Figure 9 of the manuscript.

Figure 5 shows the distribution with all RH measurements between 10 and 13 Kilometers. The measurements between RH of 50 to 60% which corresponds approximately to 90-100% RH are most likely in cloud observations of cirrus. This could be discernible by a small increase in the number of observations between 50 to 60%. Since, the most frequent RH is expected to be around 100% RH due to thermodynamic reasons (see Kraemer et al, 2016). Another example is visible in Figure 4 where a RH of 90% (April 2015) is shown, which would correspond to RH of 136-167% for temperatures of 230-200K.

As shown on the top panel of Figure 2, 96% of profiles with high RH ($>80\%$) correspond to RH $>50\%$. There was only one case in 2016 with a high RH and a RH of 45%. We agree that these high values could correspond to cirrus clouds in the upper troposphere. However, it is difficult to assess the presence of such clouds based on

RHi values only. Additional remote sensing data (e.g. Lidar/Cloud Radar) would be required but are not available for the Gillot Station where the radiosonde launches are performed. A comment has been added in section 3.1 of the revised manuscript.

The RH value of 87% on 7 March 2015 corresponds to a RHi of 137%. This high value is linked with the passage of tropical storm Haliba (March 7-10) near Réunion Island.

If there is a significant amount of cloudy profiles within your measurements, I'm sure that there is also a part of it not generated by convection and formed by other uplifting processes (examples see above). These uplifting processes just transport air masses more from the middle troposphere into the upper troposphere and not from the boundary layer. This can be also seen by Figure 10. There are a lot of signatures in the mean relative humidity where no indicator either sRTLTL or the product of RTLTL and DCCO create a coincident signature. This could also partly explain the higher ozone concentration found in moist profiles in the upper troposphere compared to other studies, because the air is just originating from higher altitudes above the boundary layer with a higher ozone concentration.

We have computed the resident time in middle troposphere (RTMT, 5-10km) in addition to the resident time in the low troposphere (RTLTL, 0-5km) using the FLEXPART backtrajectories. The evolution of RTMT is shown in blue on Figure 3. In addition, we have added the evolution of the mean upper-tropospheric water vapor mixing ratio (purple line) instead of the mean upper-tropospheric RH (to distinguish between hydration versus cooling effect by uplift). The sum of RTLTL and RTMT corresponds to the total residence time in the troposphere from 0 to 10km.

On Figure 3, a high correlation can be seen between the sum of RTLTL and RTMT and mean upper-tropospheric WV variations. Therefore, hydration effect (mostly from convection) dominates over cooling effect due to uplift from the low/middle troposphere. The high correlation between RTLTL+RTMT and the mean upper-tropospheric WV indicates that water vapor transport occurred either from the low troposphere (probably by deep convection) or from the middle troposphere. The transport of water vapor from the middle-troposphere could be due large-scale uplift of air masses as you suggested.

An analysis on the correlations between WV mixing ratio and residence time calculated by FLEXPART at different altitude range in the troposphere is shown on figure 4 below. The first row shows the correlation between WV and RTLTL (0-5km, left) or the product of RTLTLxDCCO (right). According to the correlation calculations, 23% to 27% of the WV variability is explained by RTLTL or RTLTLxDCCO. As you mentioned, different phenomena can influence the upper tropospheric water vapor variability, such as deep convection, gravity waves or large-scale uplift of air masses. The low value of the R² coefficient is therefore difficult to interpret.

The second row on Figure 4 shows the correlation between WV mixing ratio and the rate of residence time in the middle troposphere (RTMT) between 5-10km altitude. The R-squared coefficient between RTMT and WV is 42%. The study of Schumacher et al. (2015) has shown that stratiform clouds have a vertical speed up to 10 m s⁻¹ below 7km in altitude and then a slow ascent (< 0.5 m s⁻¹) up to 10km. It suggests that a higher correlation between WV and RTMT than between WV and RTLTL can be expected for these kinds of clouds.

To conclude, the RTLTLxDCCO product can represent only the influence of deep convective clouds, while higher R-squared coefficient with RTMT with WV shows that stratiform clouds can contribute to enhance the WV mixing ratio in the 10-13km range. Therefore the correlation (R-squared of 42%) between the sum of (RTLTL+RTMT)xDCCO and the mean upper-tropospheric WV indicates water vapor transport associated with convection; either from the low troposphere by deep convective clouds or from the middle troposphere by stratiform clouds.

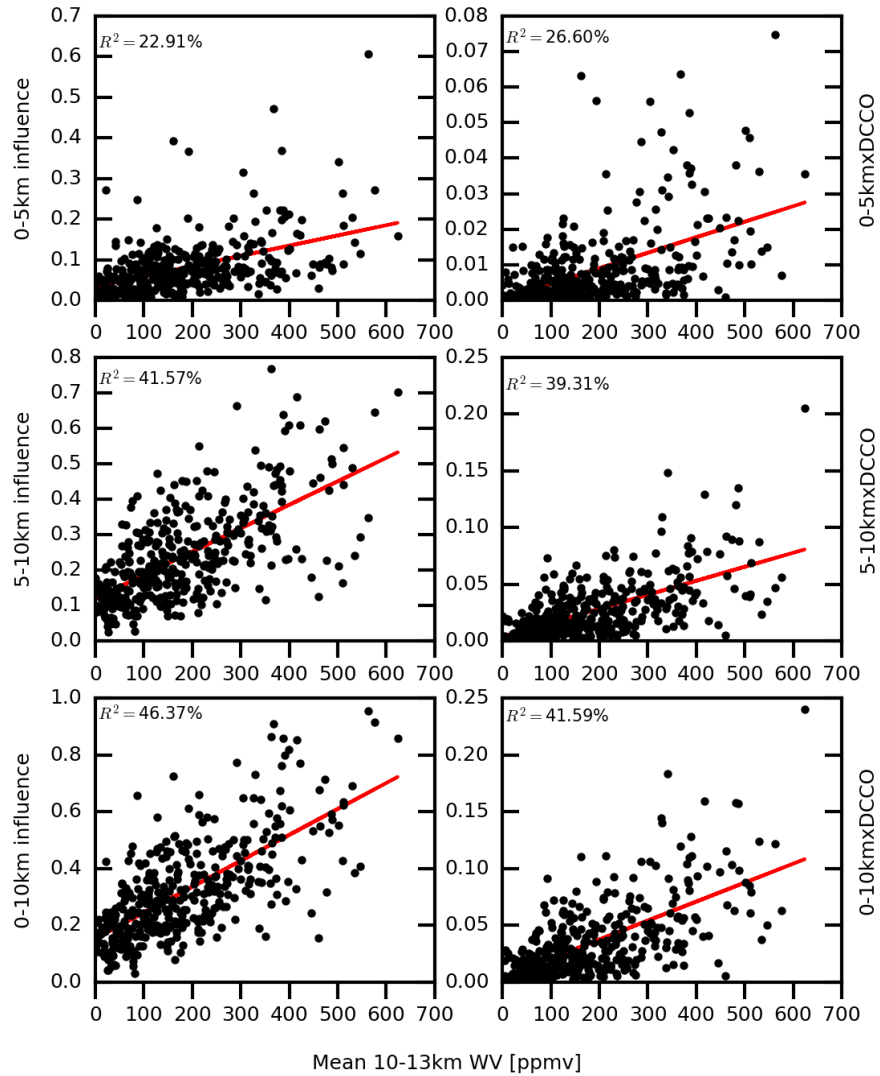


Figure 4: Correlations between mean upper-tropospheric water vapor mixing ratio (WV), residence times in the troposphere (0-5km RTLT, 5-10km RTMT and 0-10km RTLT+RTMT) and tropospheric residence times multiplied by DCCO. Backtrajectories over one-week were computed with the FLEXPART Lagrangian model.

Another point is the usage of relative humidity. The RH as well as RH_i depends beside water vapor also strongly on temperature. If you see an increase in relative humidity it can also be due to a temperature decrease. Therefore, I suggest to show in Figure 4, a second panel with the water vapor mixing ratios between 10-13 km instead of RH to confirm that the summertime increase in RH is due to an increase in the water vapor concentration and not only due to a temperature artifact/anomaly.

We propose to add Figure 2 above to the revised manuscript. On this figure, the link between high water vapor mixing ratios and mean-upper tropospheric RH can be seen. The red crosses indicate that the most hydrated profiles (2.5 x the mean upper-tropospheric of 121ppmv over Sept 2013-Mar 2016) are associated with RH>50%. There are few events (~5 profiles) with WV > 302.5 ppmv and a low RH (<50%). They occur mostly in austral summer 2016. These events are not discussed on the paper but could be linked to warmer air from recent convection.

In general, I think it is correct to use RH as an indication of hydration, but at least there must be more discussion about the usage of RH like the dependence on temperature. I still agree with the main message of the study. However, I think that the humidity measurements must be discussed in a more balanced way including also a short discussion about the effect of clouds also in combination with Figure 10.

As discussed above, there is a high correlation with high RHi/RH values and mean upper-tropospheric water vapor mixing ratio. Therefore, we choose to keep RH (with respect to water) measurements to study convective effects on the hydration of the upper troposphere above Réunion Island. We did show some RHi values on Figures 3&8 of the revised manuscript.

Specific comments/questions:

- Page 1, line 31: Maybe add here Riese et al. 2012. They showed nicely how changes in ozone and water vapor due to mixing processes change the radiative budget of the UTLS region.
The reference has been added.

- Page 2, line 48: "Marine boundary layer to the upper troposphere (Jorge ozone chemical lifetime is on the order of 50 days,". Can you please specify where you have the information from and for which altitude the stated lifetime of ozone is valid. Usually you have a strong increase of ozone lifetime with altitude in the troposphere.

The reference is at the end of the sentence, the study of Folkins et al. (2002) has shown this result.

- Page 3, lines 81-82: It would be consistent and also helpful to also report about precision and uncertainties of radiosonde measurements of the Meteomodem M10 sonde. In particular, the uncertainties of the humidity sensor would be helpful for the interpretation of the measurements.

We compared the M10 measurements of RH (with respect to water) with Cryogenic Frospoint Hygrometer (CFH) water vapor sondes at the Maïdo Observatory (21.08°S, 55.38°E on the west coast of the island, 20 km away from the airport). Balloon-borne measurements of water vapor and temperature started in 2014 at the Maïdo Observatory on a campaign basis within the framework of the *Global Climate Observing System (GCOS) Reference Upper-Air Network (GRUAN)* network (Bodeker et al., 2015). The balloon sonde payload consists of the CFH and the Internet iMet-1-RSB radiosonde for data transmission. The iMet-1-RSB radiosonde provides measurements of pressure, temperature, Relative Humidity (RH) and wind data (speed and direction from which zonal and meridional winds are derived). The CFH was developed to provide highly accurate water vapor measurements in the Tropical Tropopause Layer (TTL) and stratosphere where the water vapor mixing ratios are extremely low (~2 ppmv). CFH mixing ratio measurement uncertainty ranges from 5% in the tropical lower troposphere to less than 10% in the stratosphere (Vömel et al., 2007); a recent study shows that the uncertainty in the stratosphere can be as low as 2-3% (Vömel et al., 2016). The CFH instrument is often launched in tandem with the Modem M10 sonde. The CFH RH data were calculated with the CFH water vapor mixing ratio and the Internet iMet-1-RSB temperature using the water vapor pressure equation by Hyland and Wexler (1983) and interpolated to the same 200-m vertical grid as the M10 data. A total of 17 multiple-payload (CFH+M10) soundings is used for the comparison shown on Figure 5. The RH profiles from the CFH and Modem M10 show good agreement with differences of less than 10% mostly from the surface to the stratosphere. In the lower troposphere, below 5km, the mean RH difference is -1.05%. In the middle (5-10km) and upper troposphere (10-15km) the mean RH differences are 1.5 and 2.2% respectively. Near 15km, the M10 RH shows dry biases with a peak difference of -3.7% at 15.6km (the mean RH at this level ranges from 18.5 for the M10 sonde to 22.2% for the CFH sonde). Figure 5 is not shown in the revised manuscript but we have included some text on the comparison between the M10 and CFH sondes in section 2.1 of the revised manuscript.

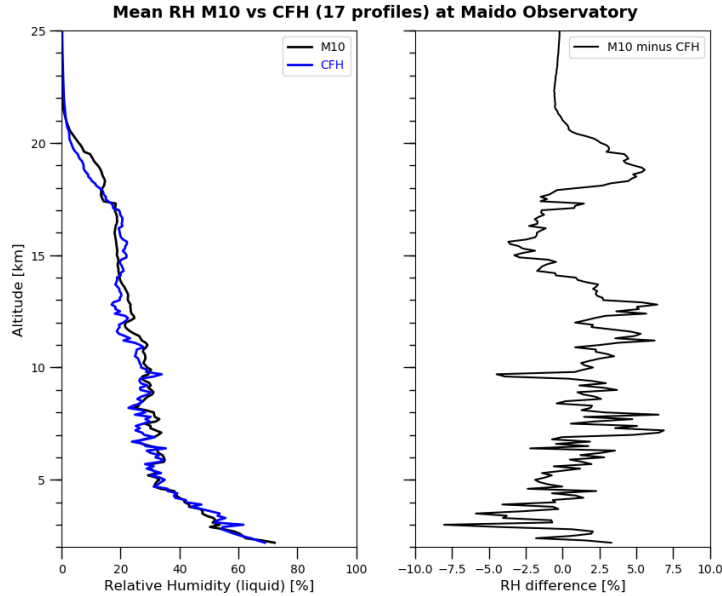


Figure 5: Vertical profiles of mean RH obtained from 17 multiple-payload sounding of CFH&M10 radiosondes (blue and black curves respectively on the left panel) at the Maïdo Observatory over 5 years (2014-2019). The mean profile of differences in RH is shown on the right panel.

Page 4, lines 124-126: Can you state something about how frequent you detect the anvil outflow instead of the anvils. Maybe you have a rough estimate. Also, I'm wondering about in situ formed cirrus clouds which are typically colder than 230K. Are they not detected by the Meteosat 7 SEVIRI instrument? If they are detected, they would also strongly distort your statistics of DCCO. Can you please comment on this also?

The infrared channel of the Meteosat Visible and Infra-Red Imager (MVIRI) instrument is centered at $11.5\mu\text{m}$ (between $10.5\mu\text{m}$ and $12.5\mu\text{m}$). To our knowledge, there is no study on in situ formed cirrus clouds using the MVIRI instrument. However, Minnis et al. (2008) used the Moderate Resolution Imaging Spectroradiometer (MODIS) $11\mu\text{m}$ IR channel data and data taken by the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) to investigate the difference between cloud-top altitude Z_{top} and infrared effective radiating height Z_{eff} for optically thick ice cloud (i.e. deep convective clouds). From the MODIS IR brightness temperature data (T_b), they estimated cloud top altitudes by finding the altitude where T_b is found in an atmospheric temperature sounding. The derived cloud top altitudes were then compared to cloud top altitudes estimated with CALIPSO observations. The difference ΔZ between Z_{top} and Z_{eff} rises from ~ 1.25 km for $Z_{eff} = 5$ km to more than 2 km for $Z_{eff} > 14$ km. This suggests that using a threshold of 230K to define deep convective clouds can induce an error in the selection of these clouds. Thin cirrus clouds could be included in our selection of deep clouds but it is difficult to say how much by using passive satellite sensors only. Additional measurements from active sensors such as CALIPSO would be required to distinguish between the deep convective cores inferred from passive infrared radiances and cold in situ formed cirrus clouds. However, this is beyond the scope of this study.

We did try to assess the sensitivity of the DCCO distribution to different T_b thresholds. This is shown on Figure 6 below. We used 3 values of T_b : 220, 230 and 240 K that correspond to cloud top altitudes of 13.5km, 12km and 11km respectively. As shown by Minnis et al. (2008), the cloud top altitude estimated from passive infrared

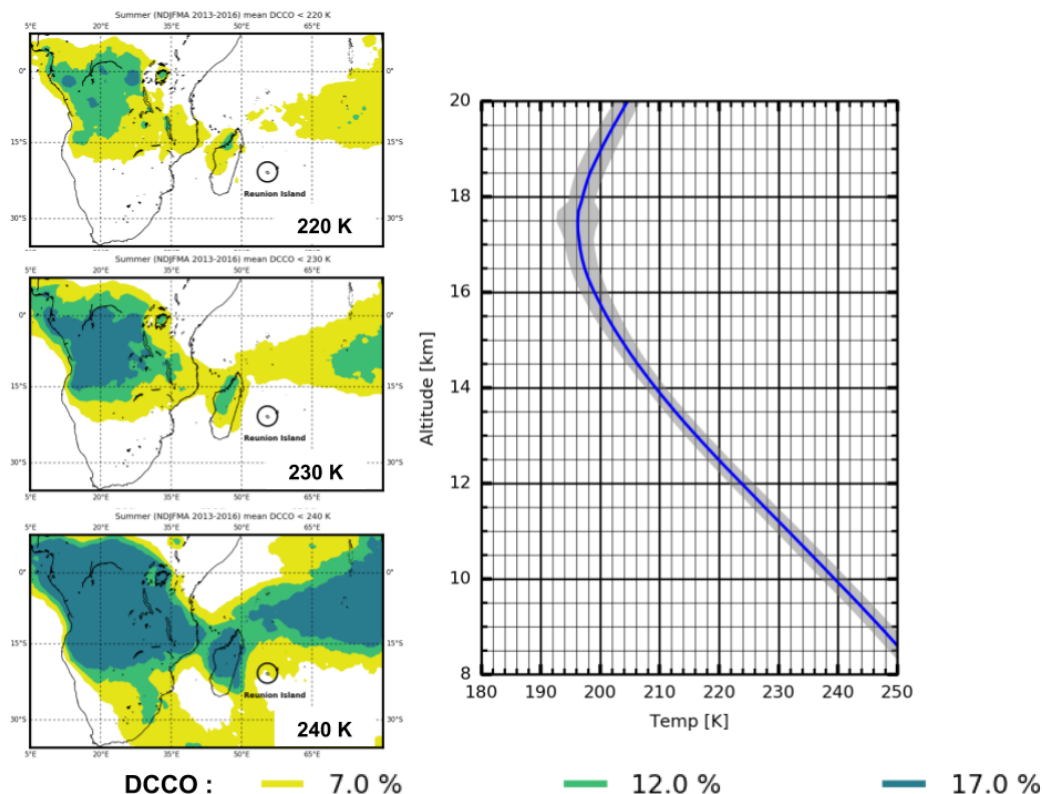


Figure 6: Average map of the deepest convective cloud occurrence (DCCO) for austral summer conditions (NDJFMA from November 2013 to April 2016) using different brightness temperature thresholds (top left 220K, center left 230K, bottom left 240K). The yellow contour is for DCCO > 7%, the green contour for DCCO > 12% and the dark green contour is for DCCO > 17%. On the right, a temperature profile averaged over Austral Summers 2014, 2015 and 2016 is shown to illustrate the corresponding cloud top altitude.

radiances are underestimated by 1 to 2km thus we added 1km to the altitude where T_b is found in the mean temperature profile for austral summer conditions.

The distribution of DCCO using the 220K threshold is more selective and highlight the deeper convective clouds over Africa and the north of Madagascar. This threshold seems to be more adapted to identify deep convective centers associated with convection over land. As the T_b threshold is increased to 230 and 240K more clouds over the ocean are included in the distribution of DCCO.

Young et al. (2013) have studied MODIS cloud top brightness temperature data in the tropics. They found that the averaged brightness temperature for deep clouds was around 228 K and 236.3K for anvil. Therefore, our definition of DCCO with a T_b of 230K is a good compromise to distinguish deep convective clouds. Some cirrus clouds may be included in this distribution but it is hard to conclude without active remote sensor data. A comment was added in section 2.2 of the revised manuscript.

Page 4, line 130: What is actually meant by "backtrajectories were calculated every hour at 0.25°resolution...." ? The resolution of the wind field is already mentioned in the text. And can you please also add the vertical resolution in the altitude range of interest. Please also add information about the temporal length of the backward trajectory calculation.

We apologize for the confusion. FLEXPART can provide gridded output of the residence time. We ran backward simulations over 2 weeks and the residence time field was reported on a regular 0.5°x0.50° output grid every 3 hours. The resolution of the gridded output is totally independent from those of the meteorological input. Therefore, we use 0.25°x0.25° operational ECMWF input fields to compute the backward trajectories and the resulting residence time is reported on a regular 0.5°x0.5° output grid. We use input meteorological

fields from the ECMWF Integrated Forecast System (IFS, current ECMWF operational data) that have 137 vertical levels up to 0.01hPa. The vertical resolution varies from ~20 m near the surface, ~100 m in the low troposphere, ~300 m in the middle/upper troposphere and 500 m in the stratosphere. Section 2.3 has been corrected in the revised manuscript.

- Page 4, lines 130-132: Are you sure that the ECMWF forecast data are used for the backward trajectory calculation and not the analysis data? The deviation between forecast and analysis data could be large. The analysis data would better represent the real meteorological fields.

Once again, we apologize for the confusion. Operational data from the ECMWF IFS are used as input data for the FLEXPART simulations. FLEXPART was driven by using operational ECMWF analysis at 00, 06, 12 & 18 UTC and the 3 & 9-hour forecast fields from the 00 and 12 UTC model analysis. Section 2.3 has been corrected in the revised manuscript.

- Page 5, 172-174 in combination with Figure 4: It would be helpful, if you could include the monthly mean as a time series in the plot (e.g. black line). Because Of the strong scatter, it is difficult to see where the mean value of each period would be. With a monthly mean RH climatology in addition to the scatter, it would be easier to see.

The monthly mean value of RH has been added on Figure 2 of the revised manuscript.

Page 6, 192-195 in combination with Figure 5: It would be good to discuss the measurements in the cloud at this point. Especially the increase in the distribution between 50-60% RH. Additionally, a line which marks approximately the 100% RH_i in Figure 5 would help to see which observations could be potentially affected by clouds. All measurements strongly above 60% RH could be potentially clear sky observations again, because in clouds the humidity is diminished by the diffusional growth of ice particles to the thermodynamic/dynamical equilibrium around 100% or slightly above.

As previously mentioned in our response, to distinguish the effect of temperature and water vapor on RH/RH_i values, we computed the water vapor mixing ratio (WV) for each profile between September 2013 to July 2016. We found a mean upper tropospheric (10-13km) WV of 121 ppmv over this period. We use this threshold in Figure 5 of the revised manuscript to add 2 lines indicating the mean RH values for “hydrated profiles” with WV mixing ratios > 121 ppmv (RH ~45%) and “strongly hydrated” profiles for WV mixing ratios >2.5x121ppmv (RH ~56%) with a red line. We think this is a better alternative to showing the 100% RH_i as we only include the hydration effect on the mean RH values rather than the temperature effect. These lines help the justification of the threshold of RH=50% to characterize hydrated air masses (the sensitivity of this threshold is discussed in our response to reviewer 3). As you suggested, we have added some discussion in the revised manuscript on the possible presence of clouds for RH between 50&60%.

- Page 6, lines 200-220: The process of mixing typically depends on the dynamics (e.g. wind shears, wave breaking etc.) but also on time as you wrote. I suggest to include a plot or at least some numbers how long the air masses stayed in the upper troposphere after the convective uplift and the time of the measurement. This would give some indication if the duration is on the typical timescale of mixing.

We have computed the residence time in the middle troposphere (RTMT, 5-10km) using the FLEXPART backtrajectories and the evolution of RTMT is shown on Figure 10 of the revised manuscript in addition to the evolution of RTL_T. As indicated previously, the sum of RTL_T+RTMT corresponds to the total residence time in the troposphere between 0 and 10km. A discussion has been added in section 3.4.2 of the revised manuscript on the influence of the middle troposphere into the hydrated profiles.

Figure 7 shows the summer averaged map of RTL_T (filled contours) and DCCO (contours) for backtrajectories calculated over 48h (row 1), 96h (row 2), 120h (row 3) and 168h (row 4) from profiles with a mean RH in the 10-13km altitude range higher than 50%. RTL_T from 46h backtrajectories is mostly located in the vicinity of Réunion Island, and the Northeast of Madagascar. The 96-hour RTL_T pattern is significantly different and spreads over the East of the North of Madagascar for 2015 and 2016, and also West of Madagascar in 2014. The pattern of 120-hour and 168-hour RTL_T is roughly similar to the 96-hour RTL_T, except that RTL_T is more spread over the Northeastern and Western regions of Madagascar. It means that most of the humid air masses

reaching the 10-13km layer above Reunion island were embedded in convective clouds and left the lower troposphere within 96 hours. The spread in the RTLT product from 96 hours to 168 hours backward in time is the result of horizontal atmospheric transport in the lower troposphere. Therefore, we can estimate an average time of transport between Reunion island and the main convective sources to be 96 hours. We added those comments in section 3.2 of the revised manuscript.

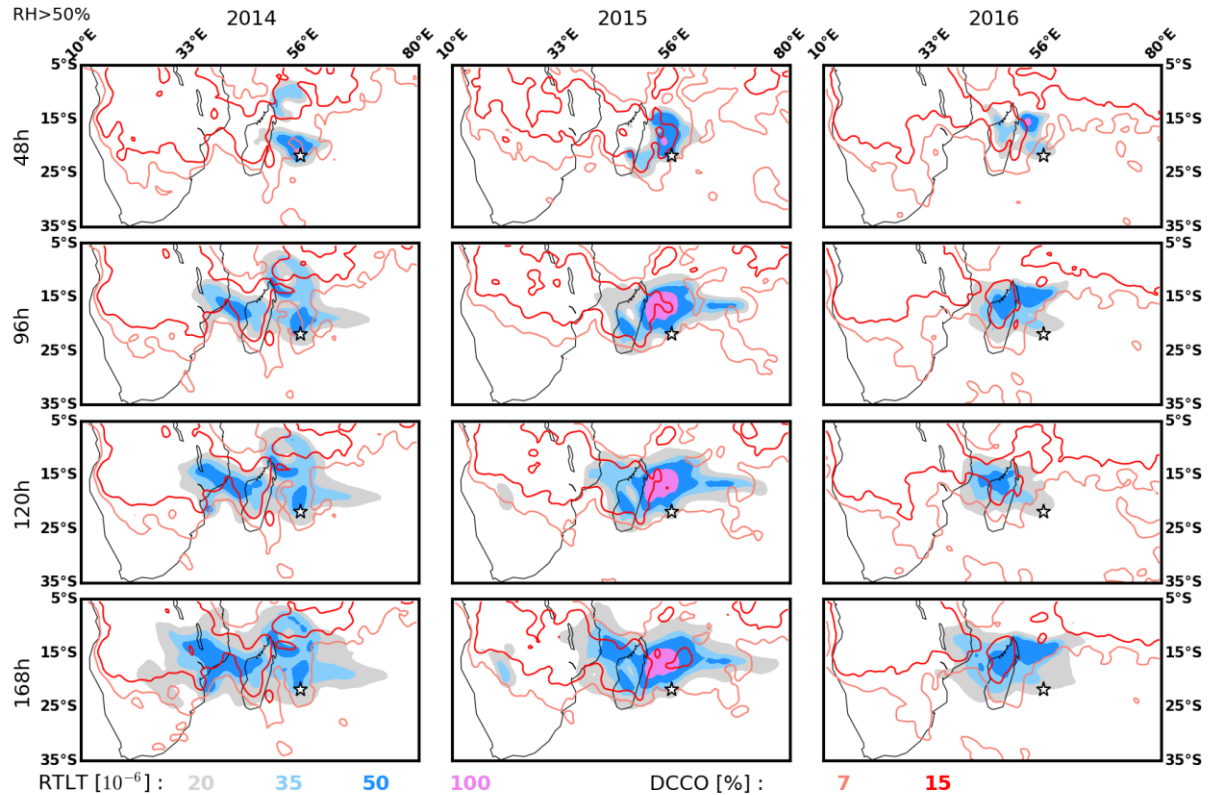


Figure 7 : Average RTLT (filled contours) and DCCO (contours) from profiles in summer with a mean RH > 50% in the 10-13km altitude layer, calculated with 48h (row 1), 96h (row 2), 120h (row 3) and 168h (row 4) backtrajectories.

• Page 8, lines 252-253: I agree that the signature in the RH profiles around 11km could be potentially from the cyclone. But I'm also sure that there is also a cloud layer at 11 km altitude. Because the RH is around 100% (RH eq. 60%) and the decrease in the humidity below the layer is slower than above the layer which indicates the hydration effect due to sedimented ice crystals. Here, I would suggest a bit more discussion about that.

We agree with this and have added a discussion about this point in section 3.4.1 of the revised manuscript.

• Page 9, lines 299-305 in combination with Figure 10: There are many peaks in RH which do not correspond to any signature in both trajectory products (e.g. around 01/Dec 2015, End of Jan 2015, beginning of summer 2015/2016 and many more). It would be good, if there would be more discussion in the text to explain those peaks and possible reasons (see also my main comment above).

See our answers to your main comment

• Page 9: In Section 3.4.3 the geographical origin of convection is discussed with the help of the product of DCCO and RTLT. In text the discussion is linked to the respective cyclones. It would really be helpful to follow the discussion, if

the names or at least the letters of the occurring cyclones (A-F) are written in each subplot of Figure 11. For example for March 2015 G and H or the full names of the cyclones.

Figure 11 has been modified in the revised manuscript to include the names of tropical cyclones.

Technical comments/suggestions:

We took all technical comments into account.

- Page 4, line 123: period of "the" study
- Page 4, line 126: to our treatment "of" convective
- Page 5, lines 141-142: "Residence times are computed using the model gridded output domain ($1^\circ \times 1^\circ$ grid cells) values combining the results of the 3-hourly runs to provide a daily estimate of the source regions for air particles." This sentence is difficult to understand. Can you please rephrase.
- Page 5, line 146 and 147: "low" should be "lower"?
- Page 8, line 269: Skip the word "was"
- Page 9, lines 288-290: Sentence needs to be rephrased "estimated by correspond-des".
- Please check the capitalization of the word Figure in text (e.g. page 8, line 273). It should be consistent throughout the manuscript.
- Figure 8: It would be good, if you could include the location of Reunion island in the maps.

References

Bodeker, G. E., Bojinski, S., Cimini, D., Dirksen, R. J., Haefelin, M., Hannigan, J. W., Hurst, D., Madonna, F., Maturilli, M., Mikalsen, A. C., Philipona, R., Reale, T., Seidel, D. J., Tan, D. G. H., Thorne, P. W., Vömel, H., and Wang, J.: Reference upper-air observations for climate: From concept to reality, *B. Am. Meteorol. Soc.*, **97**, 123–135, <https://doi.org/10.1175/BAMS-D-14-00072.1>, 2015.

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Anonymous Referee #3:

We appreciate the thoughtful and constructive comments from the reviewers. Their helpful suggestions and attention to detail have made this a substantially better paper, and we greatly appreciate the time they put into the manuscript.

Please see below our responses (in bold) to the individual detailed comments. Numerous figures are shown in our response to illustrate our points but some are not included in the revised manuscript.

We have addressed all the reviewers' comments and modified the manuscript and figures accordingly.

Minor comments:

- The authors could put their results a bit more into context, in particular when describing the seasonality of humidity and ozone in the subtropical region. For instance, the dry values in JJA as compared to DJF are connected to the ITCZ movement. Similarly, for the ozone only biomass burning is considered to explain the seasonality. But is there a role of other mechanisms such as transport from the stratosphere or from middle latitudes? This seems to be the case for the high ozone – low water vapour layers, which are ubiquitous over Reunion Island in JJA.

Using ozonesonde and LIDAR from Réunion Island from 1998 to 2006, Clain et al. (2008) showed that the influence of stratospheric-tropospheric exchange induced by the subtropical jet stream is maximum in austral winter (June to August) when the jet moves closer to the island. They established that the 4-10 km and 10-16 km altitude ranges can be directly influenced by biomass burning and stratosphere-troposphere exchange. The influence of stratospheric-tropospheric exchange is in agreement with high ozone-low water vapor layers, which are ubiquitous over Réunion Island in austral winter. This discussion has been added in section 2.1 of the manuscript.

- Given the important role of the MJO for deep convection over the Indian Ocean, its possible influence on convective activity deserves more discussion: you could at least identify the phases in the period under study and try to establish a connection.

We have added some discussion in the text about the MJO status during the 3 austral summer periods studied. To define the state of the MJO, we used the Real-time Multivariate MJO (RMM) indices RMM1 and RMM2 data from the Australian Bureau of Meteorology (<http://www.bom.gov.au/climate/mjo/graphics/rmm.74toRealtime.txt>).

RMM1 and RMM2 are based on a combined empirical orthogonal function analysis of 15°S to 15°N averaged Outgoing Longwave Radiation in addition to zonal winds at 850 and 200 hPa (Wheeler and Hendon, 2004). The MJO cycle, as defined by RMM1 and RMM2, can be split up into eight phases with phases 2 and 3 corresponding to a MJO convective center over the Indian Ocean. The square root of the square summation of RMM1 and RMM2 represents the MJO amplitude. The MJO is defined as active when its amplitude is greater than 1.

During the 3 austral summers studied, the MJO was active over the Indian Ocean for a similar number of days (14%, 18%, 18% of the time in austral summers 2014, 2016 and 2016 respectively). The averaged upper tropospheric RH for an active MJO over the Indian Ocean is 30%, almost the same as the climatological RH over the period November 2013 to April 2016 (cf. Figure 5 of the manuscript). During some of these MJO events there was an increase in RH, e.g. 5-11 December 2013 (50%), 3-5 November 2015 (46%), 13-20 January 2016 (52.4%) and 1-3 February 2016 (54.8%). Garot et al. (2017) studied the evolution of the distribution of upper-tropospheric humidity (UTH) over the Indian Ocean with regard to the phase of the Madden–Julian oscillation (active or suppressed). They used RH measurements from the Sounder for Atmospheric Profiling of Humidity in the Intertropics by Radiometry (SAPHIR)/*Megha-Tropiques* radiometer, RH measured by upper-air soundings, dynamic and thermodynamic fields produced by the ERA-Interim model and the cloud classifications defined from a series of geostationary imagers to assess changes in the distribution of UTH when the development of MJO takes place in the Indian Ocean. There is a strong difference in the distribution of UTH according to the phase of MJO (active or suppressed). During active (suppressed) phases, the distribution of UTH measured by SAPHIR was moister (drier). However, their study focused on the equatorial (8°S-8°N) Indian Ocean region whereas we are investigating upper-tropospheric RH distribution over a subtropical site. The MJO is the main driver of the fluctuations of tropical weather on weekly to monthly time scales over the

Indian Ocean. Thus, it can influence convective activity (e.g. tropical cyclones) over the basin and the subsequent cloudiness and upper tropospheric RH (via transport of moisture).
A clearer explanation on the interplay between MJO and upper tropospheric humidity over a subtropical site would require the analysis of additional years, but this is out of the scope of this study.

This text has been added in section 3.1 of the revised manuscript.

Technical corrections:

We took all technical corrections into account.

- L13: verb tense concordance: in general you use past tense, but sometimes present. This should be homogenized. For example here “are analyzed” should be “were analyzed”
- L168-170 and L175-176: These two sentences are almost exactly the same, no need to repeat.
- L183: remove ‘several’
- L184: ‘Correlated’ should be ‘Consistent’?
- L185: The outflow from two tropical cyclones
- L186-189: This was already said before (L172-174)
- L203: tropical free troposphere
- L219: in the tropical marine...
- L225: In the Solomon et al. Study...
- L231: This explains...
- L250: Remove comma after Although
- L268: was the advected eastward
- L289: estimated by FLEXPART
- L 293 Swap letters G and H
- L293: missing letters C and D

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Anonymous Referee #2:

We appreciate the thoughtful and constructive comments from the reviewers. Their helpful suggestions and attention to detail have made this a substantially better paper, and we greatly appreciate the time they put into the manuscript.

Please see below our responses (in bold) to the individual detailed comments. Numerous figures are shown in our response to illustrate our points but some are not included in the revised manuscript.

We have addressed all the reviewers' comments and modified the manuscript and figures accordingly.

Major Comments:

1. The RH data used comes from daily radiosonde measurements. The standard instruments used in radiosondes have long been known to suffer from significant dry biases in the upper troposphere and stratosphere due to instrument limitations and icing in supercooled liquid clouds (e.g., Miloshevich et al. 2004). No mention is given in the article on the quality of the RH measurements in the radiosonde data used and whether or not a correction has been applied to these data to account for known sources of dry bias. This is an important issue because it impacts much of the analysis presented.

We agree that standard instruments used in radiosondes have long been known to suffer from significant dry biases in the upper troposphere and stratosphere. We compared the M10 measurements of RH (with respect to water) with Cryogenic Frospoint Hygrometer (CFH) water vapor sondes at the Maïdo Observatory (21.08°S, 55.38°E on the west coast of the island, 20 km away from the airport). Balloon-borne measurements of water vapor and temperature started in 2014 at the Maïdo Observatory on a campaign basis within the framework of the *Global Climate Observing System (GCOS) Reference Upper-Air Network (GRUAN)* network (Bodeker et al., 2015). The balloon sonde payload consists of the CFH and the Intermet iMet-1-RSB radiosonde for data transmission. The iMet-1-RSB radiosonde provides measurements of pressure, temperature, Relative Humidity (RH) and wind data (speed and direction from which zonal and meridional winds are derived). The CFH was developed to provide highly accurate water vapor measurements in the Tropical Tropopause Layer (TTL) and stratosphere where the water vapor mixing ratios are extremely low (~2 ppmv). CFH mixing ratio measurement uncertainty ranges from 5% in the tropical lower troposphere to less than 10% in the stratosphere (Vömel et al., 2007b); a recent study shows that the uncertainty in the stratosphere can be as low as 2-3% (Vömel et al., 2016). The CFH instrument is often launched in tandem with the Modem M10 sonde. The CFH RH data were calculated with the CFH water vapor mixing ratio and the Intermet iMet-1-RSB temperature using the water vapor pressure equation by Hyland and Wexler (1983) and interpolated to the same 200-m vertical grid as the M10 data. A total of 17 multiple-payload (CFH+M10) soundings is used for the comparison shown on Figure 1. The RH profiles from the CFH and Modem M10 show good agreement with differences of less than 10% mostly from the surface to the stratosphere. In the lower troposphere, below 5km, the mean RH difference is -1%. In the middle (5-10km) and upper troposphere (10-15km) the mean RH differences are 1.5 and 2.2% respectively. Near 15km, the M10 RH shows dry biases with a peak difference of -3.7% at 15.6km (the mean RH at this level ranges from 18.5 for the M10 sonde to 22.2% for the CFH sonde). Figure 1 is not shown in the revised manuscript but we have included some text on the comparison between the M10 and CFH sondes in section 2.1.

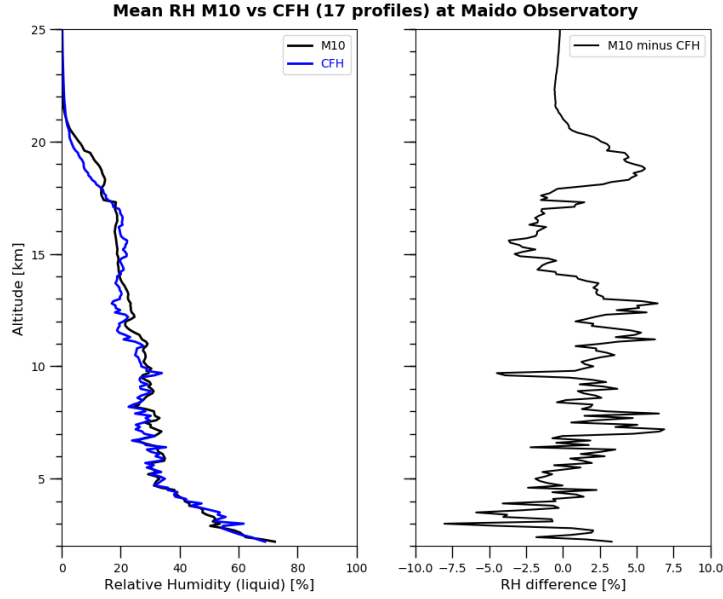


Figure 1: Vertical profiles of mean RH obtained from 17 multiple-payload sounding of CFH&M10 radiosondes (blue and black curves respectively on the left panel) at the Maïdo Observatory over 5 years (2014-2019). The mean profile of differences in RH is shown on the right panel.

2. The use of a trajectory model to track air mass history and identify boundary layer sources of air associated with convection is a good approach to analysis, but the accuracy of the parameterized subgrid-scale motions along the trajectories is not well demonstrated. How well does the parameterized convection match actual convection in this region? The reliability of this approach is fundamental to the analysis and arguments presented in the paper and an assessment should be provided. The lack of agreement in RTLTL and DCCO for the case study included in the paper (i.e., Figure 8) is particularly concerning as it suggests the parameterized convection fails to represent much of that observed (at least for the week shown). The only element of the paper acknowledging this potential issue (lines 299-305) is, in my opinion, insufficient.

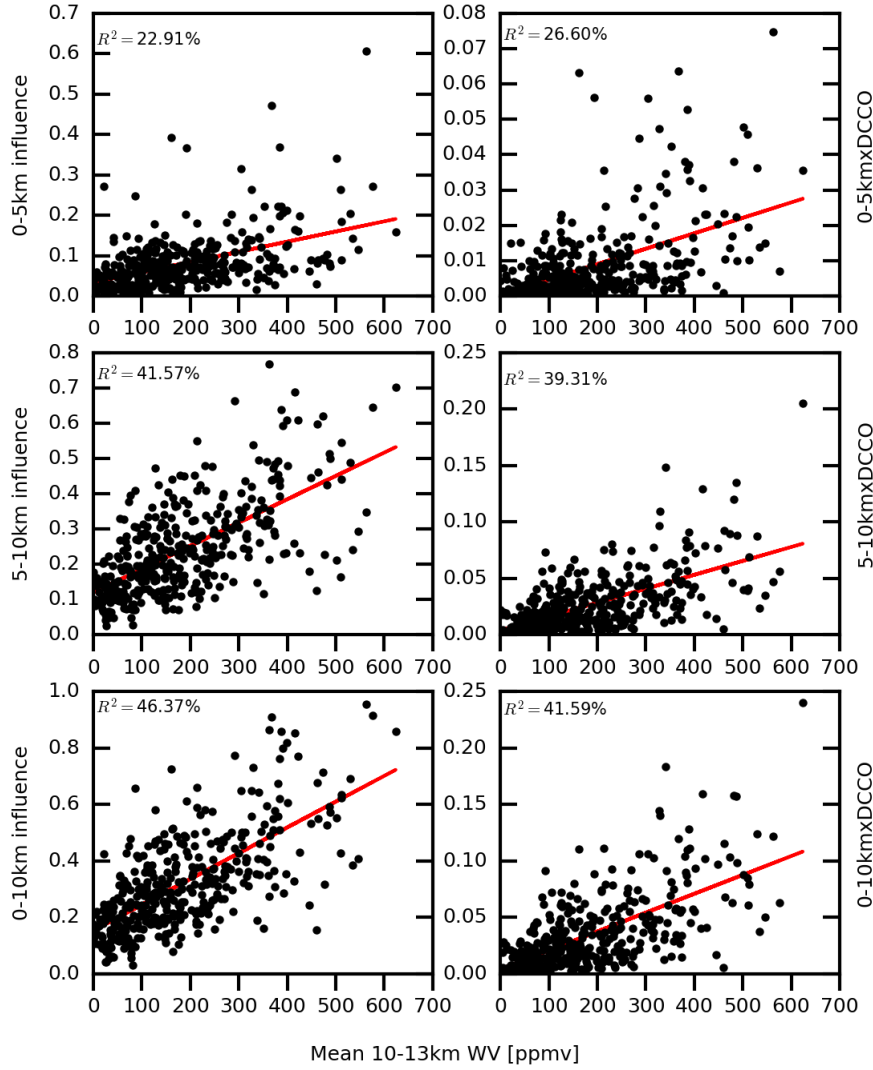


Figure 2: Correlations between mean upper-tropospheric water vapor mixing ratio (WV), residence times in the troposphere (0-5km RTLT, 5-10km RTMT and 0-10km RTLT+RTMT) and tropospheric residence times multiplied by DCCO. Backtrajectories over one-week were computed with the FLEXPART Lagrangian model.

The location, intensity and vertical extent of deep convection in the FLEXPART model is determined by the calculation of a CAPE and the atmospheric thermodynamic profile using the meteorological fields from ECMWF. The trajectories are then redistributed vertically by a displacement matrix. Hence, the accuracy of the convective cells' location will be driven by the convective cells' locations within the ECMWF model output. An analysis on the correlations between WV mixing ratio and residence time calculated with 168h FLEXPART backtrajectories found at different altitude range in the troposphere is shown on figure 2. The first row shows the correlation between WV and RTLT (0-5km, left) or the product of RTLTxDCCO (right). According to the correlation calculations, 23% to 27% of the WV variability is explained by RTLT or RTLTxDCCO. Different phenomena can influence the upper tropospheric water vapor variability, such as deep convection, gravity waves or large-scale uplift of air masses. The low value of the R^2 factor is therefore difficult to interpret.

The second row on Figure 2 shows the correlation between WV mixing ratio and the rate of residence time in the middle troposphere (RTMT) between 5-10km altitude. The R-squared coefficient between RTMT and WV is 41.46%. The study of Schumacher et al. (2015) has shown that stratiform clouds have a vertical speed up to 10 m s^{-1} below 7km and then a slow ascent ($<0.5\text{ m s}^{-1}$) up to 10km. It suggests that a higher correlation between WV and in RTMT than in RTLT can be expected for these kinds of clouds.

To conclude, the RTLTxDCCO product can represent only the influence of deep convective clouds, while higher R-squared coefficient with RTMT with WV shows that stratiform clouds can contribute to enhance the WV mixing ratio in the 10-13km range. We added comments in section 2.3 and 3.4.2.

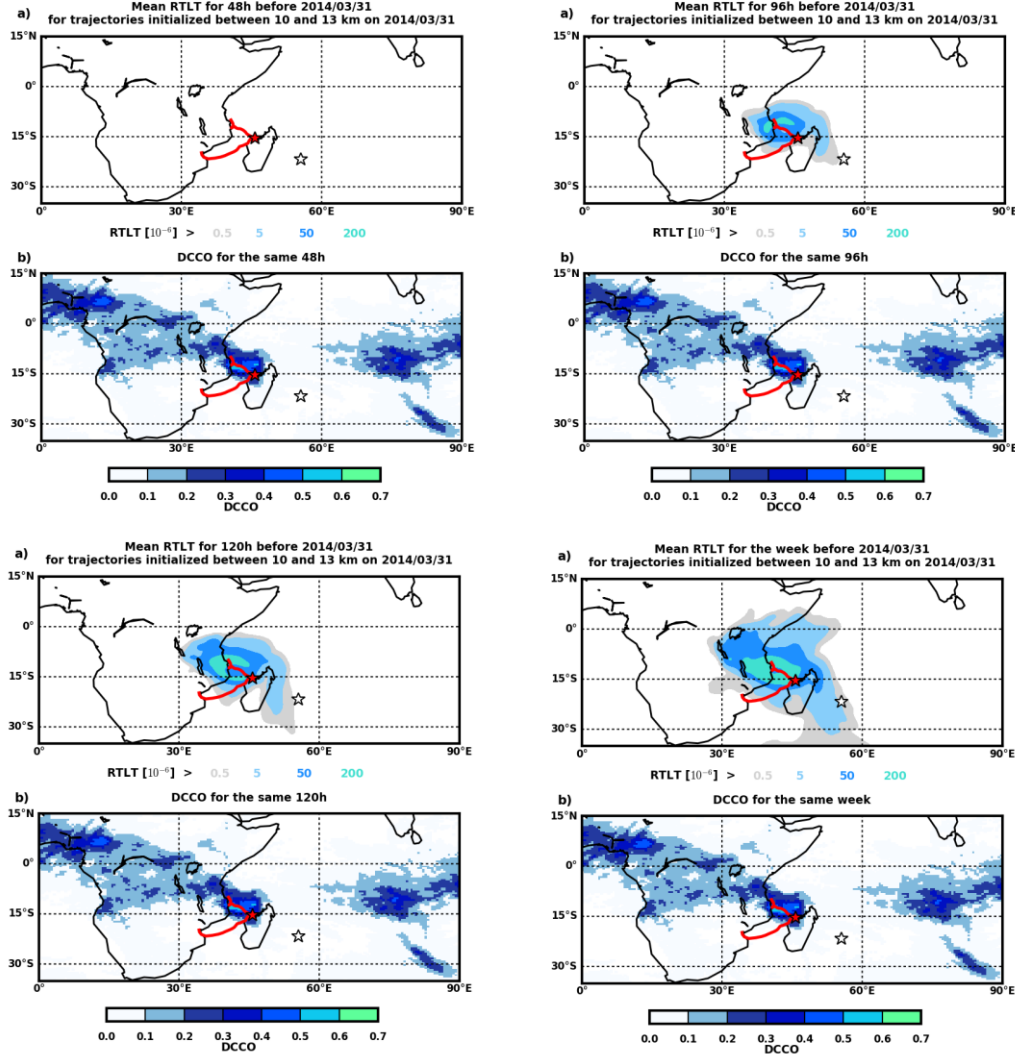


Figure 3: Panel of RTLT (rows 1,3) and DCCO (rows 2,4) calculated using different duration of backtrajectories, initialized on 31 March 2014, during the passage of Tropical cyclone Hellen cyclone. Upper panel: backtrajectories over 48h (left) and 96h (right). Bottom panel: backtrajectories over 120h (left) and 168h (right).

Figure 3 presents the residence time in the lower troposphere for the case study of the Tropical cyclone Hellen calculated with 48h, 96h, 120h, and 168h FLEXPART backtrajectories. After 48h, no contribution in RTLT is found. After 96h, the RTLT is located north of the storm track, with an anti-clockwise dispersion toward Africa, outside the convective cells. It represents the fraction of air masses in the lower troposphere that was advected toward the convective clouds before reaching the 10-13km altitude range. The dispersion outside the convective cells increases with the 120h and 168h backtrajectories. Hence, it is clear that the colocation of RTLT with DCCO depends on the duration of the backtrajectories, and a poor value on RTLTxDCCO does not necessary mean that the trajectories were not lifted by deep convective clouds. The section 3.4.2 has been revised.

3. There is a missed opportunity to put the case study of tropical cyclones into broader context. Previous work on the impact of tropical cyclones on upper troposphere and lower stratosphere water vapor and ozone is not acknowledged and would help in the authors' interpretation and argumentation here (e.g., Ray & Rosenlof, 2007; Zhan & Wang, 2012). Moreover, I do not find the impact of tropical cyclones on upper troposphere RH to be convincing in the paper, likely related to my concerns outlined in #2 above.

We would like to point out that the study of Zhan & Wang in 2012 analyzed water vapor at a higher altitude range (Tropical Tropopause Layer between 14km and 20km) than our study.

However, the study of Ray and Rosenlof (2007) is relevant to our study and we thank you for pointing out this paper. A description of this study was added to the manuscript. Using AIRS and MLS satellite data, Ray and Rosenlof (2007) estimated the enhancement of water vapor within a 500 km radius of the center of 32 typhoons (Western Pacific) and 9 hurricanes (Northern Atlantic) at 223 hPa (~11km). They found an enhancement of up to 60 to 70 ppmv, within a 500 km radius north of the tropical storm centers, where the highest water vapor enhancement was found.

In the revised manuscript, we have included a list of tropical cyclones that have enhanced the WV in the upper-troposphere above Réunion Island. In 2014 summers the RTLT is influenced by tropical cyclones Hellen and Guito in the Mozambique Channel, Bejisa and Deliwe in the North East of Madagascar. In 2015, Bansi and to a lower degree Fundi in the Mozambique Channel, Chedza in the Northeastern part of Madagascar and in the eastern part of the basin, Haliba in the vicinity of Réunion Island have influenced the RTLT calculation.

The convective outflow of tropical cyclones that impacted the upper troposphere over Réunion Island was located south of the cyclone centers, the most hydrated part of the tropical cyclones in the Southern Hemisphere according to Ray and Rosenlof (2007).

Figure 4 presents the RTLT and DCCO for WV profiles that have been influenced by tropical cyclones in the South-West of the Indian Ocean in the previous 48h (row 1), 96h (row 2), 120h (row 3) and 168h (row 4). It is clear that the RTLT calculated with 48 and 96h backtrajectories is collocated with DCCO of tropical cyclones. For longer backtrajectories (120h, 168h), RTLT covers a larger area in the lower troposphere, due to the advection of air masses in the lower troposphere.

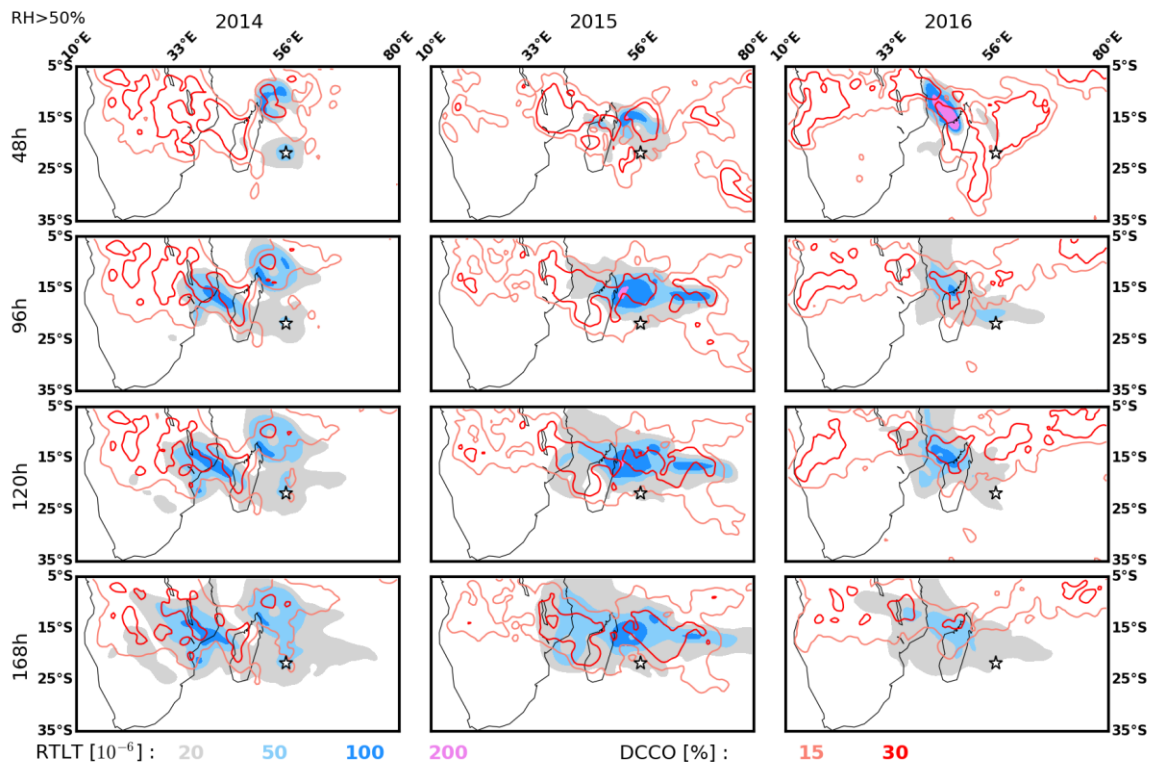


Figure 4: RTLT (filled contours) and DCCO (contours) calculated in the previous 48h (row 1), 96h (row 2), 120h (row 3) and 168h (row 4) for sounding associated with a tropical cyclone over the basin. Only the day with mean upper-tropospheric (10-13km) RH>50% have been selected.

Specific Comments:

Lines 134-135: “lower upper-tropospheric ozone values observed” is a bit confusing phrasing. Suggest rephrasing to “lower observed ozone values in the upper troposphere”

Added in the revised manuscript.

Line 144: suggest revising “the day” and “the latitude” to “day” and “latitude”
Line 183: “affected by several three tropical cyclone events” Which is it several or three?

We mean “three”, it has been corrected

Lines 194-195: Why is a threshold of 50% chosen? What is the sensitivity to this choice?

A threshold on RH had to be chosen to isolate the ozone profiles that most likely were impacted by convection. The average WV mixing ratio between 10 and 13 km in austral summer (182ppmv) is larger than in austral winter (65ppmv), certainly due to the effect of deep convection and associated moister transport/cloudiness. The average RH of air masses with a WV higher than 182ppmv is 48.8%. Hence, we decided to use a threshold of 50% to isolate the profiles with anomalously high WV mixing ratio. The sensitivity to the value of this threshold is rather limited. Figure 5 shows the sensitivity of the ozone distribution to the RH threshold. The ozone distribution is very similar for RH thresholds ranging between 40% to 55%.

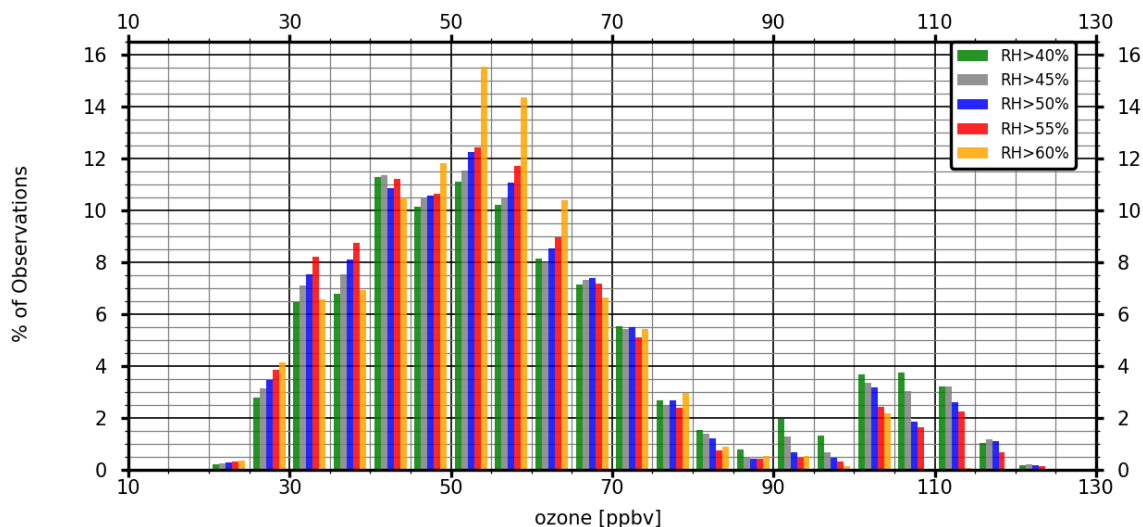


Figure 5: Upper tropospheric ozone distributions for RH>40% (green), RH>45% (grey), RH>50%(blue), RH>55% (red), RH>60% (orange).

Lines 219-221: This statement doesn't seem appropriate. What if the responsible convection is land-based? One should expect a higher ozone mixing ratio in the boundary layer in that case. It seems reasonable that many/most convective sources for air in the upper troposphere at Reunion island would be land-based (e.g., look at Figure 3!).

Thank you for the comment, we have modified our statement as follow:

“Another explanation would be that land-based convection (from Madagascar or the African continent) lifted air masses enriched in ozone from the boundary layer.” The comment has been added in section 3.2.

Line 221: comma should be a period

Corrected

Lines 343-346: as presented, this seems anecdotal and based on a single case. It Would be more convincing to show a map of the FLEXPART convective sources (i.e., locations of most recent position in the lower troposphere) for matches with the RH profiles. It would help to better answer the question of importance of differences in boundary layer sources and mixing to impacting the upper troposphere ozone observed.

Figure 4 of my previous comment and our answer to the major comment or referee #1 should address your comment.

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Bodeker, G. E., Bojinski, S., Cimini, D., Dirksen, R. J., Haeffelin, M., Hannigan, J. W., Hurst, D., Madonna, F., Maturilli, M., Mikalsen, A. C., Philipona, R., Reale, T., Seidel, D. J., Tan, D. G. H., Thorne, P. W., Vömel, H., and Wang, J.: Reference upper-air observations for climate: From concept to reality, *B. Am. Meteorol. Soc.*, 97, 123–135, <https://doi.org/10.1175/BAMS-D-14-00072.1>, 2015.

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Vömel, H., Naebert, T., Dirksen, R., and Sommer, M.: An update on the uncertainties of water vapor measurements using cryogenic frost point hygrometers, *Atmos. Meas. Tech.*, 9, 3755–3768, <https://doi.org/10.5194/amt-9-3755-2016>, 2016.

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List of relevant change in the manuscript

Here is an exhaustive list of all the relevant changes in the manuscript. To avoid repetition with the reviewers' responses, a short description of the added sections is preferred (in bold). For more details on the added sections, please refer to the reviewers' responses presented above.

Thanks to Anonymous Referee #1, we added a discussion on RH with respect to ice (RHi) and water vapor mixing ratio associated with high RH in the manuscript. We introduce the calculation of RHi and water vapor mixing ratio from the RH measurement as follow:

Lines 83-86 page 3:

“RH with respect to water and zonal/meridional winds. We also calculated RH with respect to ice (RHi) when the temperature is below 0°C by using the formula of Hyland and Wexler (1983) for saturation vapor pressure over ice. “

We also added a discussion on the uncertainty of RH measured by the Meteomodem M10 sonde as advised by AR#1 and AR#2.

Lines 85-95 page 3:

“We compared the M10 measurements with Cryogenic Frospoint Hygrometer (CFH) water vapor sondes when they are launched in tandem at the Maïdo Observatory (21.08°S, 55.38°E), located on the west coast of the island, 20 km away from the airport. Balloon-borne measurements of water vapor and temperature started in 2014 at the Maïdo Observatory on a campaign basis within the framework of the Global Climate Observing System (GCOS) Reference Upper-Air Network (GRUAN) network (Bodeker et al., 2015). The CFH was developed to provide highly accurate water vapor measurements in the Tropical Tropopause Layer (TTL) and stratosphere where the water vapor mixing ratios are extremely low (~2 ppmv). CFH mixing ratio measurement uncertainty ranges from 5% in the tropical lower troposphere to less than 10% in the stratosphere (Vömel et al., 2007; Vömel et al., 2016). Based on 17 (CFH+M10) soundings, we found that in the lower troposphere, below 5km, the mean RH difference is 1%. In the middle (5-10km) and upper troposphere (10-15km) the mean RH differences are 1.5 and 2.2% respectively. Near 15km in altitude, the M10 RH shows a dry bias with a peak difference of 3.7%. “

From the comment of AR#3, we decided to further detail the ozone distribution in the upper troposphere.

Lines 109-114 page 3:

“Using ozonesonde and LIDAR from Réunion Island from 1998 to 2006, Clain et al. (2008) showed that the influence of stratospheric-tropospheric exchange induced by the subtropical jet stream is maximum in austral winter (June to August) when the jet moves closer to the island. They established that the 4-10 km and 10-16 km altitude ranges can be directly influenced by biomass burning and stratosphere-troposphere exchange. The influence of stratospheric-tropospheric exchange is in agreement with high ozone-low water vapor layers, which are ubiquitous over Réunion Island in austral winter.”

A discussion about the error caused by cirrus clouds in the DCCO product has been added as suggest by AR#1.

Lines 127-137, page 4:

“Minnis et al. (2008) used the Moderate Resolution Imaging Spectroradiometer (MODIS) 11µm IR channel data and data taken by the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) to investigate the difference between cloud-top altitude Ztop and infrared effective radiating height Zeff for optically thick ice cloud (i.e. deep convective clouds). They found an error of 2km in the derived cloud top altitude from passive sensors for clouds higher than 14km in altitude, and an error of 1.25km below. This suggests that using a threshold of 230K to define deep convective clouds can induce an error in the selection of these clouds. Thin cirrus clouds could be included in our

selection of deep clouds but it is difficult to say how much by using passive satellite sensors only. Additional measurements from active sensors such as CALIPSO would be required to distinguish between the deep convective cores inferred from passive infrared radiances and cold in situ formed cirrus clouds. However, this is beyond the scope of this study. We tested the sensitivity to the 230K threshold, and found that our definition of DCCO with a brightness temperature of 230K is a good compromise to distinguish deep convective clouds over land and ocean.”

A clearer description of the ECMWF fields used for the initialization of FLEXPART is describe as follow:

Lines 155-159 page 5:

“We use input meteorological fields from the ECMWF Integrated Forecast System (IFS, current ECMWF operational data) that have 137 vertical levels up to 0.01hPa. The vertical resolution varies from ~20 m near the surface, ~100 m in the low troposphere, ~300 m in the middle/upper troposphere and 500 m in the stratosphere. FLEXPART was driven by using operational ECMWF analysis at 00, 06, 12 & 18 UTC and the 3 & 9-hour forecast fields from the 00 and 12 UTC model analysis.”

We added a clearer description of the FLEXPART output as follow (asked by AR#1):

Lines 165-170, page 5:

“FLEXPART backtrajectories can then be processed in the form of a gridded output of the residence time. The residence time field was reported on a regular $0.5^{\circ} \times 0.5^{\circ}$ output grid every 3 hours. The resolution of the gridded output is independent from those of the meteorological input. Therefore, we use $0.25^{\circ} \times 0.25^{\circ}$ operational ECMWF input fields to compute the backward trajectories and the resulting residence time is reported on a regular $0.5^{\circ} \times 0.5^{\circ}$ output grid.”

We provide more details on the convective parametrization used in FLEXPART as suggest by AR#2:

Lines 179-184, pages 5-6:

“The location, intensity and vertical extent of deep convection in the FLEXPART model is determined by the calculation of a CAPE and the atmospheric thermodynamic profile using the meteorological fields from ECMWF. The trajectories are then redistributed vertically by a displacement matrix. Hence, the accuracy of the convective cells’ location will be driven by the convective cells’ locations within the ECMWF model output.”

In section 3.1, we discuss the RH_i and water vapor mixing ratio measured in the upper-troposphere by the Meteomodem M10 sonde. The discussion provides details on air masses with a RH_i of 100% and water vapor mixing ratio associated with RH>50% profiles. In Fig. 3 we added the 0°C isotherm in red and the RH_i>100% in black contours to identify air masses saturated with respect to ice. Fig. 4 has been edited with a color scale to link the RH evolution with the RH_i (top) and the water vapor mixing ratio (Bottom). These changes were suggested by AR#1.

Lines 201-223, pages 6-7:

“Profiles with a RH_i > 100% are represented by black isocontours on Fig. 3. RH_i values $\geq 100\%$ could be an indication of the presence of cirrus clouds. However additional remote-sensing instruments (e.g. Lidar/Radar) would be needed in addition to the radiosonde measurements of RH to truly assess the presence of these clouds. Fig. 4 shows the daily evolution of mean upper-tropospheric (10-13km) RH from September 2013 to July 2016. The RH values are color-coded according to the RH_i (top) and the water vapor mixing ratio (bottom) values. The different RH_i/water vapor mixing ratio ranges used on Fig. 4 correspond to our definition of dry profiles (in blue), wet profiles (orange) and supersaturated profiles (red). To distinguish the effect of temperature and water vapor on RH/RH_i values, we computed the water vapor mixing ratio (WV) for each profile between September 2013 to July 2016. We found a mean 10-13km WV of 121ppmv over this period. The value is in agreement with a climatological WV value computed with Microwave Limb Sounder (MLS) v4.2 water vapor data for 2005-2017. We calculated a MLS climatological WV

profile for a region of 5°x5° surrounding Réunion Island. The MLS climatological WV profile at 261 hPa (~10km) is 116 ppmv and agrees with the mean upper-tropospheric value of 121 ppmv inferred from the radiosonde data. The top panel on Fig. 2 shows that 91% of profiles with RH>50% are associated with high RHi > 80%. These events have also a high WV and indicate a hydration rather than a cooling effect on the high RH/RHi values. Some hydrated profiles (RH > 50%, 9% of the profiles) with a low RHi (< 80%) are present in January 2016 and this could be linked to a cooling of the upper troposphere. 27% of the hydrated profiles (RH>50%) correspond to supersaturated RHi (RHi>100%) and occur mostly in 2015 and 2016. The RH of averaged water vapor mixing ratio (WV, 121 ppmv) is compared to the most hydrated profile (WV>302.5 ppmv). There are few events with WV>121ppmv in winter, the averaged water vapor in winter is around 66 ppmv against 182 ppmv in summer. Peak values of RH as high as ~60% are observed and linked to a net hydration of the upper troposphere (WV>304ppmv). Their occurrence varies from 2014 to 2016. As the distinction between high RHi (>80%) and low RHi (<80%) is similar to the distinction between hydrated profiles (RH>50%) and dry profiles (RH<50%), RH is used subsequently, instead of RHi, to study convective effects on the hydration of the upper troposphere above Réunion Island.”

A more detailed discussion of the Madden Julian Oscillation (MJO) has been added as advised by AR#3.

Lines 232-257, pages 7-8:

“The differences in humidification in the upper troposphere can also be affected by the Madden Julian Oscillation (MJO). To define the state of the MJO, we used the Real-time Multivariate MJO (RMM) indices RMM1 and RMM2 data from the Australian Bureau of Meteorology (<http://www.bom.gov.au/climate/mjo/graphics/rmm.74toRealtime.txt>). RMM1 and RMM2 are based on a combined empirical orthogonal function analysis of 15°S to 15°N averaged Outgoing Longwave Radiation in addition to zonal winds at 850 and 200 hPa (Wheeler and Hendon, 2004). The MJO cycle, as defined by RMM1 and RMM2, can be split up into eight phases with phases 2 and 3 corresponding to a MJO convective center over the Indian Ocean. The square root of the square summation of RMM1 and RMM2 represents the MJO amplitude. The MJO is defined as active when its amplitude is greater than 1.

During the 3 austral summers studied, the MJO was active over the Indian Ocean for a similar number of days (14%, 18%, 18% of the time in austral summers 2014, 2016 and 2016 respectively). The averaged upper tropospheric RH for an active MJO over the Indian Ocean is 30%, almost the same as the climatological RH over the period November 2013 to April 2016 (cf. Fig. 5). During some of these MJO events there was an increase in RH, e.g. 5-11 December 2013 (50%), 3-5 November 2015 (46%), 13-20 January 2016 (52.4%) and 1-3 February 2016 (54.8%). Garot et al. (2017) studied the evolution of the distribution of upper-tropospheric humidity (UTH) over the Indian Ocean with regard to the phase of the MJO (active or suppressed). They used RH (with respect to water) measurements from the Sounder for Atmospheric Profiling of Humidity in the Intertropics by Radiometry (SAPHIR)/Megha-Tropiques radiometer, RH measured by upper-air soundings, dynamic and thermodynamic fields produced by the ERA-Interim model and the cloud classifications defined from a series of geostationary imagers to assess changes in the distribution of UTH when the development of MJO takes place in the Indian Ocean. There is a strong difference in the distribution of UTH according to the phase of MJO (active or suppressed). During active (suppressed) phases, the distribution of UTH measured by SAPHIR was moister (drier). However, their study focused on the equatorial (8°S-8°N) Indian Ocean region whereas we are investigating upper-tropospheric RH distribution over a subtropical site. The MJO is the main driver of the fluctuations of tropical weather on weekly to monthly time scales over the Indian Ocean. Thus, it can influence convective activity (e.g. tropical cyclones) over the basin and the subsequent cloudiness and upper tropospheric RH (via transport of moisture). A clearer explanation on the interplay between ENSO/MJO and upper tropospheric humidity over a subtropical site such as Réunion Island would require the analysis of additional years, but this is out of the scope of this study.”

We added more details on the 50% threshold for RH to identify wet air masses (in response to AR#2 comment):

Lines 270-275 page 8:

“A threshold on RH had to be chosen to isolate the RH/ozone profiles that were most likely impacted by convection. The average water vapor mixing ratio between 10 and 13 km in austral summer (182ppmv) is larger than in austral winter (65ppmv), probably due to the effect of deep convection and associated moisture transport and cloudiness. The average RH of air masses with water vapor mixing ratios greater than 182ppmv is 48.8%. Thus, a RH threshold of 50% is used to isolate upper tropospheric air masses that may have been affected by deep convection in the rest of the study.”

Lines 295-296, page 9:

“We performed sensitivity tests by using RH thresholds ranging from 40 to 55% and found that the ozone distribution in the upper troposphere is very similar for these different RH thresholds (not shown).”

We added the RHi profile in Fig. 8c and a discussion on the measured RHi for the case study of tropical cyclone Hellen (in response to AR#1 comment).

Lines 346-348, page 10:

“Since RHi is around 100% at 11 km and the decrease in humidity below the layer is slower than above the layer, it probably indicates a hydration effect due to sedimented ice crystals.”

From AR#1 and AR#2 comments, we decided to further discuss the RTLT distribution for the case study of tropical cyclone Hellen.

Lines 359-366, page 10:

“A detailed analysis of RTLT was performed for tropical cyclone Hellen with different residence time in the lower troposphere with 48 hours, 96 hours, 120 hours, and 168 hours FLEXPART backtrajectories (not shown). After 48 hours, no contribution in RTLT is found. After 96 hours, the RTLT is located north of the storm track, within the convective region of tropical cyclone Hellen. After 120 and 168 hours, an anti-clockwise dispersion toward Africa, outside the convective cells is found. It represents the fraction of air masses in the lower troposphere that was advected toward the convective clouds before reaching the 10-13km altitude range. Hence, the collocation of RTLT with DCCO depends on the collocation of the convective regions in FLEXPART+ECMWF and METEOSAT7, but also on the duration of the backtrajectories.”

The effect of tropical cyclones on upper tropospheric water vapor transport over the Southwest Indian Ocean is compared with the study of Ray and Rosenlof (2007). The comparison was suggested by AR#2 to put the study of tropical cyclones into a broader context.

Lines 401-407, page 11:

“The results are consistent with the study of Ray and Rosenlof (2007). Using Atmospheric Infrared Sounder (AIRS) and MLS satellite data, Ray and Rosenlof (2007) estimated the enhancement of water vapor due to 32 typhoons (Western Pacific) and 9 hurricanes (Northern Atlantic) at 223 hPa (~11km). They found an enhancement of up to 60 to 70 ppmv, within a 500 km radius north of the tropical storm centers, where the highest water vapor enhancement was found. The convective outflow of tropical cyclones that impacted the upper troposphere over Réunion Island was located south of the cyclone centers, the most hydrated part of the tropical cyclones in the Southern Hemisphere according to Ray and Rosenlof (2007).”

We added the sum of fraction of residence time in the middle troposphere (sRTMT) in figure 10, which induces major changes in part 3.4.2. The study of sRTMT improves significantly the analysis and gives more details about the air masses origin (discussion in our responses to AR#1 and AR#2).

Lines 408-419, page 12:

“sRTMT in Fig. 10 represents the origin in the middle troposphere. An increase in sRTMT is associated with a vertical transport in the troposphere weaker than events that increase sRTLTL, such as deep convection. A study of Schumacher et al. (2015) has shown that vertical transport within stratiform clouds can reach 10 m s⁻¹ below 7 km and has a slower ascent rate (<0.5 m s⁻¹) up to 10km. It suggests that the variability in sRTMT signature may be related to differences in the impact of stratiform clouds on water vapor mixing ratio in the upper troposphere (10-13km). Fig. 10 shows a higher correlation between water vapor mixing ratio variability in the upper troposphere and the sum of sRTLTL and sRTMT than sRTLTL or sRTMT taken individually. sRTLTL+sRTMT and the upper tropospheric WV have a squared linear correlation coefficient of 0.46, while RTLTL or RTMT have a squared linear coefficient of 0.23 and 0.42 respectively with upper tropospheric WV mixing ratio. It indicates that water vapor transport occurred both from the lower troposphere (e.g. by deep convection) or from the middle troposphere (e.g. by large-scale uplift of air masses associated with stratiform clouds) toward the upper troposphere. While the relative contribution of RTLTL and RTMT varies over the summer seasons, the highest peaks in WV mixing ratio are associated with peaks in RTLTL, due to convective transport associated with the passage of tropical cyclones.”

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List of modified figures :

Figure 3: We have added the isotherm 0°C in red and RH=100% in black.

Figure 4:

Top panel: Values are color coded according to the values of RH. In blue RH values for RH_i < 80%, in orange RH values for 80% < RH_i < 100%, and in red RH values for RH_i > 100%.

Bottom panel: Values are color coded according to the values of water vapor mixing ratios (WV). The mean 10-13km WV is 121 ppmv. In blue RH values for WV < 121 ppmv, in orange RH values for 121 ppmv < WV < 2.5x121 ppmv and in red RH values for WV > 2.5x121 ppmv.

On the two panels, the black thick line corresponding to the monthly mean RH in the upper-troposphere is added.

Figure 5:

We have added the mean RH for hydrated profile (WV > 121 ppmv) with a dotted red line and for strong hydration (WV > 2.5x121 ppmv) with a red line.

Figure 6:

On the left panel we added the position of Réunion Island (white star) and center of tropical cyclone Hellen on 31 March 2014 (red star).

RH_i profiles are added in the right panel (the daily profile and average over the austral summers 2013 to 2016). The isotherm 0°C is also added.

Figure 10:

We have added the sRTMT variation in the three figures. The RH variation, which could depend on temperature is replaced by the water vapor mixing ratio in purple.

Figure 11:

On each subplot, we have added letters to indicate the names of tropical cyclones that occurred during a given month.

Impact of convection on the upper-tropospheric composition (water vapor/ozone) over a subtropical site (Réunion Island, 21.1°S-55.5°E) in the Indian Ocean

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Abstract. Observations of ozonesonde measurements of the NDACC/SHADOZ program and humidity profiles from the daily Météo-France radiosondes at Réunion Island (21.1°S, 55.5°E) from November 2013 to April 2016 **were** analyzed to identify the origin of wet upper tropospheric air masses with low ozone mixing ratio observed above the island, located in the South West Indian Ocean (SWIO). A seasonal variability in hydration events in the upper troposphere was found and linked to the convective activity within the SWIO basin. In the upper troposphere, ozone mixing ratios were lower (mean of 57 ppbv) in humid air masses (RH>50%) compared to the background mean ozone mixing ratio (73.8 ppbv). A convective signature was identified in the ozone profile dataset by studying the probability of occurrence of different ozone thresholds. It was found that ozone mixing ratios lower than 45 to 50 ppbv had a local maximum of occurrence between 10 and 13km in altitude, indicative of the mean level of convective outflow. Combining FLEXPART Lagrangian backtrajectories with METEOSAT 7 infrared brightness temperature products, we established the origin of convective influence on the upper troposphere above Réunion Island. It has been found that the upper troposphere above Réunion Island is impacted by convective outflows in austral summer. Most of the time, deep convection is not observed in the direct vicinity of the island, but more than a thousand of kilometers away from the island, in the tropics, either from tropical storms or the Inter Tropical Convection Zone (ITCZ). In November and December, the air masses above Réunion Island originate, on average, from Central Africa and the Mozambique **Channel**. During January, February the source region is the North-east of Mozambique and Madagascar. Those results improve our understanding of the impact of the ITCZ and tropical cyclones on the hydration of the upper troposphere in the SWIO.

1 Introduction

The variability of ozone in the tropical upper troposphere (10-16 km in altitude) is important for the climate as it influences the radiative budget (Lacis et al. 1990, Thuburn and Craig, 2002, **Riese et al. 2012**), and modifies the oxidizing capacity of the

atmosphere and the lifetime of other chemical species. The tropical ozone budget in the upper troposphere is influenced by stratospheric intrusions, convective transport from the surface, advection from mid-latitudes and chemical reactions.

35 Due to the complex interplay between dynamics and chemistry, tropical ozone concentrations observed by radiosondes at stations within the **Southern Hemisphere ADditional OZonesondes (SHADOZ)** network show large spatial and temporal variability (Thompson et al., 2003a; Fueglistaler et al., 2009). The average tropical ozone mixing ratio in the upper troposphere has a value of 40 ppbv, varying between 25 to 60 ppbv. Causes for the ozone variability in the tropics are particularly difficult to ascertain without a careful analysis of the processes involved in the observed variability (Fueglistaler et al., 2009). One
40 example is that the S shape found in the mean ozone profile in the SHADOZ stations over the Pacific, was interpreted by Folkins and Martin (2005) to be a consequence of the vertical profile of the cloud mass flux divergence.

In general, the impact of convection on the ozone budget in the tropical upper troposphere is not well established. Solomon et al. (2005) used a statistical method to characterize the impact of convection on the local ozone minimum in the upper troposphere above the SHADOZ sites within the maritime continent (Fiji, Samoa, Tahiti, and Java). They identified a minimum
45 of 20 ppbv of ozone in 40% of the ozone profiles. 20 ppbv corresponds also to the ozone mixing ratio in the local oceanic boundary layer. The sites are located in a convectively active region (Hartmann, 1994; Laing & Fritsch, 1997; Solomon et al., 2005; Tissier et al., 2016) and have a higher probability to be influenced by local deep convection than other SHADOZ sites.

Tropical convection can transport air masses from the marine boundary layer to the upper troposphere (Jorgensen and LeMone, 1989; Pfister et al., 2010) in less than a day. Because the ozone chemical lifetime is on the order of 50 days, air masses within
50 the convective outflow will retain the chemical signature of the boundary layer (Folkins et al., 2002, 2006). Ozone can therefore be used as a convective tracer, and in doing such Solomon et al. (2005) estimated the mean level of convective outflow to be between 300 hPa and 100 hPa, or 8 and 14 km in altitude for SHADOZ stations located in the Western Pacific.

At present, little is known about the impact of convection on SHADOZ sites that are away from active convective regions. In the Southern Hemisphere, the position of Réunion Island (21.1°S-55.5°E) in the South-West Indian Ocean (SWIO, 10°S to
55 45°S and 40°E to 80°E) is particularly well suited to study the chemical composition of the troposphere over the Indian Ocean. During austral summer (November to April), the Inter Tropical Convergence Zone (ITCZ) moves closer to Réunion Island and convective activity in the SWIO is more pronounced with tropical cyclones forming in the region. Blamey and Reason (2012) estimated that the east of Mozambique Channel is the most convective zone of the region.

In this paper, we analyze ozonesonde measurements of the NDACC/SHADOZ program and humidity profiles from daily
60 Météo-France radiosondes from Réunion Island between November 2013 and April 2016 to identify the origin of wet upper tropospheric air masses with low ozone mixing ratio observed above the island and understand the role of transport, detrainment, and mixing processes on the composition of the tropical upper-troposphere over Réunion Island. We use infrared brightness temperature data from the METEOSAT 7 geostationary satellite to identify deep convective clouds over the SWIO region. The geographic origin of air masses measured by the radiosondes is estimated using Lagrangian backtrajectories
65 calculated by the FLEXible PARTicle (FLEXPART) Lagrangian Particle Dispersion Model (Stohl et al., 2005). Section 2 presents the radiosonde measurements, satellite products and FLEXPART model used in this study. Section 3 presents the seasonal variability in ozone/humidity as well as the convective influence on the radiosonde measurements. Results on the mean level of convective outflow and the convective origin of the air masses measured over Réunion Island are also presented in section 3. A summary and conclusions are given in section 4.

2.1 Ozone and water vapor soundings

The ozonesondes at Réunion Island are launched under the framework of the Network for the Detection of Atmospheric Composition Changes (NDACC) and the Southern Hemisphere ADditional OZonesondes (SHADOZ) programs. The SHADOZ project gathers ozonesonde and radiosonde (pressure, temperature, wind) data from tropical and subtropical stations (Sterling et al., 2018; Witte et al. 2017, 2018; Thompson et al., 2017). Between 2014 and 2016, 158 ozonesondes were launched at Réunion Island (almost 3 per month). The majority of the ozonesonde launches occur around 10 UTC **at the airport (Gillot: 21.06°S, 55.48°E), located on the north side of the island.** Balloons carry the ECC ozonesonde (Electrochemical Concentration Cell) in tandem with the Meteomodem M10 meteorological radiosonde. Smit et al. (2007) evaluate ECC-sonde precision to be better than $\pm (3-5) \%$ and accuracy about $\pm (5-10) \%$ below 30 km altitude.

In addition, we use data from operational daily meteorological Meteomodem M10 radiosondes launches performed by Météo-France (MF) at 12 UTC **at the airport** since 2013. The MF dataset provides **relative humidity (RH) measurements with respect to water** at a higher frequency than the SHADOZ data and this is important to study the day to day variability of the impact of convection on the upper troposphere. The Meteomodem M10 radiosondes provide measurements of temperature, pressure, **RH with respect to water and zonal/meridional winds. We also calculated RH with respect to ice (RHi) when the temperature is below 0°C by using the formula of Hyland and Wexler (1983) for saturation vapor pressure over ice. We compared the M10 measurements with Cryogenic Frospoint Hygrometer (CFH) water vapor sondes when they are launched in tandem at the Maïdo Observatory (21.08°S, 55.38°E), located on the west coast of the island, 20 km away from the airport. Balloon-borne measurements of water vapor and temperature started in 2014 at the Maïdo Observatory on a campaign basis within the framework of the Global Climate Observing System (GCOS) Reference Upper-Air Network (GRUAN) network (Bodeker et al., 2015). The CFH was developed to provide highly accurate water vapor measurements in the Tropical Tropopause Layer (TTL) and stratosphere where the water vapor mixing ratios are extremely low (~2 ppmv). CFH mixing ratio measurement uncertainty ranges from 5% in the tropical lower troposphere to less than 10% in the stratosphere (Vömel et al., 2007; Vömel et al., 2016). Based on 17 (CFH+M10) soundings, we found that in the lower troposphere, below 5km, the mean RH difference is 1%. In the middle (5-10km) and upper troposphere (10-15km) the mean RH differences are 1.5 and 2.2% respectively. Near 15km in altitude, the M10 RH shows a dry bias with a peak difference of 3.7%.**

For both MF and SHADOZ sondes, the average ascent speed of the balloon is 5 m s^{-1} and measurements are recorded every second so the mean native vertical resolution is around 5 m for both datasets. Vertical gaps as high as 500 m can occur in the two datasets and the native vertical resolution varies with altitude. Thus NDACC/SHADOZ ozonesonde and MF radiosonde data are interpolated to a regular vertical grid with a 200-m grid spacing.

As noted previously, this study focuses on austral summer conditions (November to April) and in particular the austral summer seasons 2013-2014, 2014-2015, and 2015-2016 (hereafter referred to as summer 2014, 2015 and 2016 respectively). **Fig. 1** shows the NDACC/SHADOZ 2013-2016 seasonal average ozone mixing ratio profiles as well as the overall mean 4-year average. The 4-year average profile over 2013-2016 increases in the troposphere (from 25 ppbv at the surface to 200 ppbv at 17 km). In austral autumn (March, April and May) and winter (June, July and August) the ozone values are lower than the mean climatology in the troposphere above 3 km. Ozone values increase in the lower troposphere during the dry season (from May to September) when biomass burning plumes from southern Africa and Madagascar can be transported eastward and result in ozone production in the lower troposphere over the Indian Ocean (Sinha et al., 2004). The maximum of tropospheric ozone occurs in austral spring (September, October and November) at the end of the biomass burning season (which extends

from July to October, Marenco et al., 1990). Using ozonesonde and LIDAR from Réunion Island from 1998 to 2006, Clain et al. (2008) showed that the influence of stratospheric-tropospheric exchange induced by the subtropical jet stream is maximum in austral winter (June to August) when the jet moves closer to the island. They established that the 4-10 km and 10-16 km altitude ranges can be directly influenced by biomass burning and stratosphere-troposphere exchange. The influence of stratospheric-tropospheric exchange is in agreement with high ozone-low water vapor layers, which are ubiquitous over Réunion Island in austral winter. Austral summer (DJF) exhibits low ozone values, and in particular, below 3 km and between 9 and 14 km summertime ozone is at an annual low. The source of these low values will be discussed later in this paper.

2.2 METEOSAT 7 geostationary satellite data

METEOSAT 7 is a geostationary satellite positioned at the longitude 58°E that provides images for the Indian Ocean since December 2005. The Thermal Infrared channel (wavelength 10.5-12.5 μm) of the **Meteosat** Visible and **Infra-Red** Imager (**MVIRI**) instrument onboard METEOSAT 7, has a temporal resolution of 30 minutes and a horizontal resolution of 5 km at nadir. Here we use METEOSAT 7 hourly infrared brightness temperature product available from the ICARE data archive (<ftp://ftp.icare.univ-lille1.fr>).

We assume black body radiation (Slingo, 2004; Tissier et al., 2016) to estimate the brightness temperature. Young et al. (2013) have classified clouds in the tropics from the CloudSat and MODIS database for one year of observations (2007) over the 30°S-30°N latitude band. They established that cirriform clouds have, on average, higher brightness temperatures than deep convective clouds (respectively 268.5K against 228.5K, Fig. 5 of Young et al., 2013). Therefore, we identify deep convective clouds by selecting METEOSAT 7 pixels with brightness temperature lower than 230K. Minnis et al. (2008) used the Moderate Resolution Imaging Spectroradiometer (MODIS) 11 μm IR channel data and data taken by the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) to investigate the difference between cloud-top altitude Z_{top} and infrared effective radiating height Z_{eff} for optically thick ice cloud (i.e. deep convective clouds). They found an error of 2km in the derived cloud top altitude from passive sensors for clouds higher than 14km in altitude, and an error of 1.25km below. This suggests that using a threshold of 230K to define deep convective clouds can induce an error in the selection of these clouds. Thin cirrus clouds could be included in our selection of deep clouds but it is difficult to say how much by using passive satellite sensors only. Additional measurements from active sensors such as CALIPSO would be required to distinguish between the deep convective cores inferred from passive infrared radiances and cold in situ formed cirrus clouds. However, this is beyond the scope of this study. We tested the sensitivity to the 230K threshold, and found that our definition of DCCO with a brightness temperature of 230K is a good compromise to distinguish deep convective clouds over land and ocean.

In order to fold FLEXPART weekly products with METEOSAT 7 infrared brightness temperature data, the latter dataset is interpolated to a regular latitude-longitude grid with a 1° resolution. In addition, for every day between November 2013 and April 2016, we create a map of the deepest convective clouds valid for the previous 7 days by accumulating their positions over the prior week. Thus, for each day we establish maps of Deep Convective Clouds Occurrence (DCCO) valid for the previous week as defined in equation 1.

$$DCCO[d, i, j] = \frac{1}{7 \times 24} \sum_{t=d-6}^d \sum_{h=0}^{23} N_t[h, i, j] \text{ with } N_t[h, i, j] = \begin{cases} 1 & \text{if } T_b < 230 \text{ K} \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

In equation 1, DCCO is a function of day (d), latitude (i) and longitude (j). The term N_t is the hourly highest cloud counter and T_b corresponds to METEOSAT 7 infrared brightness temperature. The weekly product is indicated by the sum between day “d” and day “d-6” (a total of seven days). We normalize DCCO by dividing the two sums in equation 1 by the total number of hourly METEOSAT 7 observations available during a week (i.e. 7×24 images). The mean DCCO map for the period of the study (summer seasons between November 2013 and April 2016) is shown on Fig. 2.

A weakness of the methodology relates to our treatment of convective tower anvils, which may have brightness temperatures colder than 230K. However, we assume that only convective centers correspond to cloud tops with a brightness temperature below 230K. We are using this assumption to identify the deep convective clouds and compare their distribution with the vertical transport from the boundary layer to the upper troposphere calculated by the FLEXPART model.

2.3 FLEXPART

To estimate the convective origin of mid to upper tropospheric air masses observed above Réunion Island, we use the FLEXPART Lagrangian particle dispersion model (Stohl et al. 2005). We use input meteorological fields from the ECMWF Integrated Forecast System (IFS, current ECMWF operational data) that have 137 vertical levels up to 0.01hPa. The vertical resolution varies from ~20 m near the surface, ~100 m in the low troposphere, ~300 m in the middle/upper troposphere and 500 m in the stratosphere. FLEXPART was driven by using operational ECMWF analysis at 00, 06, 12 & 18 UTC and the 3 & 9-hour forecast fields from the 00 and 12 UTC model analysis.

We use FLEXPART to calculate backtrajectories of particles from 3 bins at 1 km intervals in the upper troposphere (i.e. 10-11 km, 11-12 km, 12-13 km) above Réunion Island. Vertical bins are defined between 10 and 13 km to trace the lower observed ozone values in the upper troposphere during austral summer (Fig. 1). The bins have a horizontal latitude-longitude resolution of $0.1^\circ \times 0.1^\circ$. In every altitude bin, 10 000 trajectories are computed backward in time. Transport and dispersion in the atmosphere are done by the resolved winds and the subgrid turbulent parameterization. Two-week long backtrajectories are initialized every 3 hours (00, 03, 06, 09, 12, 15, 18 and 21 UTC) each day between 1 November 2013 and 31 December 2016. FLEXPART backtrajectories can then be processed in the form of a gridded output of the residence time. The residence time field was reported on a regular $0.5^\circ \times 0.5^\circ$ output grid every 3 hours. The resolution of the gridded output is independent from those of the meteorological input. Therefore, we use $0.25^\circ \times 0.25^\circ$ operational ECMWF input fields to compute the backward trajectories and the resulting residence time is reported on a regular $0.5^\circ \times 0.5^\circ$ output grid. The residence times of particles indicate where and for how long air masses sampled over the observation site have resided in a given atmospheric region (lower troposphere, planetary boundary layer, ...) along the backtrajectories (Stohl et al., 2005). The residence time of the backtrajectories are computed on $1^\circ \times 1^\circ$ grid cells using the FLEXPART model output values and summed over 24 hours to provide a daily estimate of the source regions. We define the daily fraction of residence time in the lower troposphere (RTLTL, equation 2), as the residence time of air masses that were in the troposphere below 5 km divided by the total residence time in the troposphere. RTLTL is a function of day (d), latitude (i) and longitude (j). The convective origin of an air mass observed in the upper troposphere can be inferred by high values of RTLTL, i.e. the air mass was in the lower troposphere below 5 km for a significant amount of time compared to the total residence time spent in the whole troposphere. The threshold at 5 km to define the lower troposphere was chosen to take into account the convective transport of air masses from the boundary layer and subsequent in-cloud mixing during the ascent from the lower troposphere to the upper troposphere. The location, intensity and vertical extent of deep convection in the FLEXPART model is determined by the calculation of a CAPE and the atmospheric thermodynamic profile using the meteorological fields from ECMWF. The trajectories are then redistributed

vertically by a displacement matrix. Hence, the accuracy of the convective cells' location will be driven by the convective cells' locations within the ECMWF model output.

$$185 \quad RTLT[d, i, j] = \frac{1}{T_{total}} \sum_{z=0}^{5km} \sum_{h=1}^8 T_d[h, i, j, z] \quad \text{with}$$

$T_d[h, i, j, z] = \text{residence time in } (i, j, z), \text{ for back trajectories initialized the "d" day at "h" hours}$

$$T_{total}[d] = \sum_{i,j,z} \sum_{h=1}^8 T_d[h, i, j, z] = \text{the total residence time of trajectory initialized the "d" day} \quad (2)$$

3 Results

3.1 Seasonal variability of relative humidity

190 **Fig. 3** shows the time series of vertical profiles of RH from 2014 to 2016. High RH values (above 80%) observed below 2 km are typical values of the tropical humid marine boundary layer (Folkens and Martins, 2004). The mean value of RH in the upper troposphere (10-13 km) ranges from ~10% during the dry season (austral winter, May to October) to 40% during the wet season (austral summer, November to April) throughout the year. The troposphere between 2 and 10 km shows higher values of RH (mean of 37%) during austral summer than during the austral winter (mean of 15%). **Above the 0°C isotherm, the RH_i contour (RH_i>100%) shows a low proportion of potential cirrus clouds in the most hydrated profiles.**

Higher values of RH ~60% from the boundary layer to the upper troposphere can be observed sporadically during austral summer. These higher values of RH are related to convective events (e.g. tropical thunderstorms and/or cyclones) in the vicinity of the island. Other high values of RH (>40%) in the upper troposphere also appear and they do not seem directly connected to local convection over Réunion Island. We define these higher values of RH in the upper troposphere as “upper-tropospheric hydration events”. We will later show that these upper-tropospheric hydration events are associated with convective detrainment of air masses in the upper troposphere and their subsequent long-range transport to Réunion Island. **Profiles with a RH_i > 100% are represented by black isocontours on Fig. 3. RH_i values ≥ 100% could be an indication of the presence of cirrus clouds. However additional remote-sensing instruments (e.g. Lidar/Radar) would be needed in addition to the radiosonde measurements of RH to truly assess the presence of these clouds. Fig. 4 shows the daily evolution of mean upper-tropospheric (10-13km) RH from September 2013 to July 2016. The RH values are color-coded according to the RH_i (top) and the water vapor mixing ratio (bottom) values. The different RH_i/water vapor mixing ratio ranges used on Fig. 4 correspond to our definition of dry profiles (in blue), wet profiles (orange) and supersaturated profiles (red). To distinguish the effect of temperature and water vapor on RH/RH_i values, we computed the water vapor mixing ratio (WV) for each profile between September 2013 to July 2016. We found a mean 10-13km WV of 121ppmv over this period. The value is in agreement with a climatological WV value computed with Microwave Limb Sounder (MLS) v4.2 water vapor data for 2005-2017. We calculated a MLS climatological WV profile for a region of 5°x5° surrounding Réunion Island. The MLS climatological WV profile at 261 hPa (~10km) is 116 ppmv and agrees with the mean upper-tropospheric value of 121 ppmv inferred from the radiosonde data. The top panel on Fig. 2 shows that 91% of profiles with RH>50% are associated with high RH_i > 80%. These events have also a high WV and indicate a hydration rather than a cooling effect on the high RH/RH_i values. Some hydrated profiles**

215 (RH > 50%, 9% of the profiles) with a low RH_i (< 80%) are present in January 2016 and this could be linked to a cooling of
the upper troposphere. 27% of the hydrated profiles (RH>50%) correspond to supersaturated RH_i (RH_i>100%) and occur
mostly in in 2015 and 2016. The RH of averaged water vapor mixing ratio (WV, 121 ppmv) is compared to the most hydrated
220 profile (WV>302.5 ppmv). There are few events with WV>121ppmv in winter, the averaged water vapor in winter is around
66 ppmv against 182 ppmv in summer. Peak values of RH as high as ~60% are observed and linked to a net hydration of the
upper troposphere (WV>304ppmv). Their occurrence varies from 2014 to 2016. As the distinction between high RH_i (>80%)
and low RH_i (<80%) is similar to the distinction between hydrated profiles (RH>50%) and dry profiles (RH<50%), RH is used
subsequently, instead of RH_i, to study convective effects on the hydration of the upper troposphere above Réunion Island.

It is known that the El-Niño–Southern Oscillation (ENSO) can affect convective activity over the SWIO (e.g. Ho et al., 2006;
Bessafi and Wheeler, 2006). The NOAA Climate Prediction Center Ocean Niño index (ONI,
225 http://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php), which is based on SST anomalies in the
Niño 3.4 region, was equal to -0.4 in austral summer 2014 (ENSO neutral conditions), +0.6 in austral summer 2015 (weak El
Niño) and +2.2 in austral summer 2016 (strong El Niño). With an increase in sea surface temperatures (SST) during El Niño
events, convective activity over the SWIO is enhanced (Klein et al. 1999). At the same time, El Niño events can increase the
vertical wind shear over the SWIO, which could reduce the intensification of tropical cyclones (Ho et al., 2006) and so increases
230 the number of storms that do not reach the tropical cyclone stage (in the SWIO a storm is classified as a tropical cyclone when
10-minute sustained winds exceed 118 km.h⁻¹).

The differences in humidification in the upper troposphere can also be affected by the Madden Julian Oscillation (MJO). To
define the state of the MJO, we used the Real-time Multivariate MJO (RMM) indices RMM1 and RMM2 data from the
Australian Bureau of Meteorology (<http://www.bom.gov.au/climate/mjo/graphics/rmm.74toRealtime.txt>). RMM1 and RMM2
235 are based on a combined empirical orthogonal function analysis of 15°S to 15°N averaged Outgoing Longwave Radiation in
addition to zonal winds at 850 and 200 hPa (Wheeler and Hendon, 2004). The MJO cycle, as defined by RMM1 and RMM2,
can be split up into eight phases with phases 2 and 3 corresponding to a MJO convective center over the Indian Ocean. The
square root of the square summation of RMM1 and RMM2 represents the MJO amplitude. The MJO is defined as active when
its amplitude is greater than 1.

240 During the 3 austral summers studied, the MJO was active over the Indian Ocean for a similar number of days (14%, 18%,
18% of the time in austral summers 2014, 2016 and 2016 respectively). The averaged upper tropospheric RH for an active
MJO over the Indian Ocean is 30%, almost the same as the climatological RH over the period November 2013 to April 2016
(cf. Fig. 5). During some of these MJO events there was an increase in RH, e.g. 5-11 December 2013 (50%), 3-5 November
2015 (46%), 13-20 January 2016 (52.4%) and 1-3 February 2016 (54.8%). Garot et al. (2017) studied the evolution of the
245 distribution of upper-tropospheric humidity (UTH) over the Indian Ocean with regard to the phase of the MJO (active or
suppressed). They used RH (with respect to water) measurements from the Sounder for Atmospheric Profiling of Humidity in
the Intertropics by Radiometry (SAPHIR)/Megha-Tropiques radiometer, RH measured by upper-air soundings, dynamic and
thermodynamic fields produced by the ERA-Interim model and the cloud classifications defined from a series of geostationary
imagers to assess changes in the distribution of UTH when the development of MJO takes place in the Indian Ocean. There is
250 a strong difference in the distribution of UTH according to the phase of MJO (active or suppressed). During active (suppressed)
phases, the distribution of UTH measured by SAPHIR was moister (drier). However, their study focused on the equatorial
(8°S-8°N) Indian Ocean region whereas we are investigating upper-tropospheric RH distribution over a subtropical site. The
MJO is the main driver of the fluctuations of tropical weather on weekly to monthly time scales over the Indian Ocean. Thus,
it can influence convective activity (e.g. tropical cyclones) over the basin and the subsequent cloudiness and upper tropospheric
255 RH (via transport of moisture). A clearer explanation on the interplay between ENSO/MJO and upper tropospheric humidity

over a subtropical site such as Réunion Island would require the analysis of additional years, but this is out of the scope of this study.

Austral summer 2014 (Fig. 3 & 4) is affected by three tropical cyclone events. Overall, summer 2014 is the driest of the three years. Consistent with a higher ONI at +0.6, higher convective activity is observed in austral summer 2015. The outflow from two tropical cyclones affected Réunion Island: Bansi from January 9 to 19 and Chedza January 13 to 22. Higher convective activity was also observed in February and March 2015. In 2016, associated with a strong El Niño event (ONI=+2.2), there is an increase in convective activity as compared to austral summers 2014 and 2015. Fig. 4 shows that austral summer 2016 is associated with higher RH in the upper troposphere (Fig. 4). Previous studies have shown a correlation between intense El Niño events and an increase in ITCZ precipitation over the SWIO (Yoo et al., 2006, Ho et al. 2006). We will later show that the majority of the austral summer 2016 upper tropospheric hydration events are associated with the convective activity located in the ITCZ.

Fig. 5 shows the histogram of RH between 10 and 13 km for the three austral summer periods (2014, 2015 and 2016). We choose a RH value of 25% (corresponding to the median of the distribution) to characterize the upper tropospheric background, which should be dry without the effect of convective hydration. In the rest of the study, a RH threshold of 50% is used to isolate upper tropospheric air masses that have likely been affected by deep convection. A threshold on RH had to be chosen to isolate the RH/ozone profiles that were most likely impacted by convection. The average water vapor mixing ratio between 10 and 13 km in austral summer (182ppmv) is larger than in austral winter (65ppmv), probably due to the effect of deep convection and associated moisture transport and cloudiness. The average RH of air masses with water vapor mixing ratios greater than 182ppmv is 48.8%. Thus, a RH threshold of 50% is used to isolate upper tropospheric air masses that may have been affected by deep convection in the rest of the study.

3.2 Convective influence on the upper troposphere

In this part of the study, we use the NDACC/SHADOZ dataset to analyze the convective influence on air masses observed above Réunion Island. The 2013-2016 NDACC/SHADOZ ozone dataset has a mean background value of 81 ppbv in the upper troposphere (average ozone mixing ratio between 10 and 13 km). Fig. 6 shows the ozone distributions for the lower troposphere (below 5km, green bars on Fig. 6) and the upper troposphere (10-13 km, grey bars on Fig. 6). 76.8% of the lower tropospheric ozone data have values ranging from 15 to 40 ppbv. These values agree with ozone mixing ratios typically observed for air masses in the marine boundary layer (20 ppbv), we note that the values larger than 20 ppbv can be explained by mixing with air masses of the tropical free troposphere with climatological higher ozone content. In the upper troposphere, ozone mixing ratios range from 30 to 110 ppbv (Fig. 6). To estimate the average residence time in the upper troposphere, we analysed the evolution of RTLT for different backtrajectory durations (not shown). RTLT from 46-hour backtrajectories is mostly located in the vicinity of Réunion Island, and the North-East of Madagascar. The 96-hour RTLT pattern is significantly different and spreads over the Eastern and Northern regions of Madagascar for 2015 and 2016, and also West of Madagascar in 2014. The pattern of 120-hour and 168-hour RTLT is roughly similar to the 96-hour RTLT, except that RTLT is more spread over the North-East and West of Madagascar. It means that most of the humid air masses reaching the 10-13km layer above Réunion Island were embedded in convective clouds and were transported from the lower troposphere to the upper troposphere within 96 hours. The spread in the RTLT product from 96 hours to 168 hours backward in time is the result of horizontal atmospheric transport in the lower troposphere. Therefore, we can estimate an average time of transport between the main convective sources and the upper troposphere over Réunion Island to be 96 hours.

For the upper troposphere, we further consider the ozone distribution for humid air masses by using a RH threshold of 50%. We performed sensitivity tests by using RH thresholds ranging from 40 to 55% and found that the ozone distribution in the upper troposphere is very similar for these different RH thresholds (not shown). One main mode appears in the ozone distribution for air masses with RH > 50% (blue bars on Fig. 6) that is centered around 45 ppbv (56.4% of data are between 30 and 57.5 ppbv). As explained previously, the mode centered around 45 ppbv in the wet distribution may be associated with vertical transport of low-ozone air masses from the marine boundary layer to the upper troposphere and subsequent mixing with tropospheric air masses with higher ozone content along their pathway.

Ozone mixing ratios higher than 70 ppbv are observed less frequently in the moist upper troposphere (16% of the observations) than in the total distribution (43% of the observations). However, the average ozone mixing ratio in the humid upper troposphere is on average higher than the ozone mixing ratio observed in the lower troposphere (45 ppbv against 31.7 ppbv respectively). This again agrees with a convective transport pathway from the marine boundary layer to the upper troposphere and mixing along the pathway.

As suggested in Fig. 2, and later discussed in section 3.5, the deep convection that may commonly influence the upper troposphere above Réunion Island is not directly in the vicinity of the island but further north in the ITCZ region. The difference in the ozone signature in the low-troposphere (31 ppbv) and directly above Réunion island (45 ppbv) suggests that mixing processes occurred during the long-range transport through the upper troposphere enriched in ozone (~81 ppbv) between the convective region and Réunion Island. Another explanation could be that land-based convection (from Madagascar or Africa) lifted air masses enriched in ozone from the boundary layer.

3.3 Level of convective outflow

Solomon et al. (2005) have studied ozone profiles at several tropical sites in the Southern Hemisphere to characterize the impact of deep convection on the ozone distribution in the tropical troposphere. They studied 6 years of measurements (1998 to 2004) from different stations of the SHADOZ network. In the Solomon et al. study, 40% of the ozone profiles over the Western Tropical Pacific (WTP) stations (Fiji, Samoa, Tahiti, and Java) have ozone mixing ratios lower than 20 ppbv within the upper troposphere (10 to 13 km). 20 ppbv is the average ozone mixing ratio found in the clean marine boundary layer of the WTP. The WTP is the most active convective basin of the Southern Hemisphere due to warmer SSTs in this region (Hartmann, 1994; Laing and Fritsch, 1997; Solomon et al., 2005; Tissier et al., 2016). Hence, ozone profiles in the WTP have a higher probability of being influenced by recent and nearby convection than other SHADOZ stations. This explains the weaker probability ozone mixing ratios lower than 20 ppbv in the upper troposphere for other stations which are located further from the ITCZ region.

Fig. 7 shows fractions of the ozone distribution lower than different ozone mixing ratios (25, 40, 45, 50, 55 and 60 ppbv) for ozone profiles observed during the austral summer seasons of 2013 to 2016. A low probability of measuring ozone mixing ratios lower than 25 ppbv is found at the top of the boundary layer. Furthermore, none of the ozone profiles have a mixing ratio lower than 20 ppbv between 8 and 13 km, confirming the results of Solomon et al. (2005), and less than 12% have an ozone mixing ratio lower than 40 ppbv. However, the fraction of ozone profiles displays a maximum of occurrence for ozone thresholds at 45 (22%), 50 (27%) and 55 ppbv (35%) between 10 and 13 km, corresponding to the altitude of the mean level of convective outflow found in Solomon et al. (2005).

We will show in the subsequent sections that the ozone chemical signature of convective outflow diagnosed from Fig. 7 is mainly associated with air masses detrained from the ITCZ. In comparison to the WTP region, the ITCZ is primarily located

north of Réunion Island (Schneider 2014), even in austral summer (Fig. 2). Considering that Réunion Island is farther from the ITCZ than the stations in the WTP, a longer time for long-range transport to occur is needed from the convective region to Réunion Island and thus mixing between low-ozone air masses in the boundary layer with high-ozone air masses in the upper troposphere can explain the values observed in the upper-troposphere over Réunion Island. Moreover, photochemical production of ozone during long-range transport after convective entrainment can increase the ozone of an air mass (Wang et al., 1998).

3.4 Origin of convective outflow observed above Réunion Island

3.4.1 Tropical Cyclone Hellen as a case-study

Hellen was a tropical cyclone that formed in the Mozambique Channel and was named on 26 March 2014. It became a category 4 tropical cyclone on the Saffir-Simpson scale on 30 March 2014. After reaching its maximum stage on 30 March 2014, Hellen was classified as category 1 on the Saffir-Simpson scale and was 1200 km away from Réunion Island at the time of the sounding on 31 March 2014 at 12UTC. Although tropical cyclone Hellen is not the most influential cyclone on the upper troposphere above Réunion Island, it is a relevant case-study as it is representative of tropical cyclones that form in the Mozambique Channel for the SWIO region. In addition, this system had a clear signature in the RH profile in the upper troposphere (relative maximum of RH of 60% at 11 km altitude on Fig. 8c, red curve). Since RH is around 100% at 11 km and the decrease in humidity below the layer is slower than above the layer, it probably indicates a hydration effect due to sedimented ice crystals.

Patterns of the RTLT (fraction of residence times in the lower troposphere, see section 2.3 for definition) during the week before 31 March 2014 for air masses sampled in the upper troposphere above Réunion Island are displayed on Fig. 8a. RTLT can be considered as a map of density probability function of origin of the thousands of trajectory particle source locations in the lower troposphere. High values of RTLT (filled contours on Fig. 8a) are observed over the Mozambique Channel and are coincident with the best track of tropical cyclone Hellen (red curve on Fig. 8a and 8b). Thus, the FLEXPART backward trajectories indicate that the air mass sampled on 31 March 2014 above Réunion Island spent a significant amount of time in the lower troposphere during the previous week while tropical cyclone Hellen was intensifying over the Mozambique Channel. Additionally, the high values of RTLT coincide with a high weekly mean convective cloud cover (DCCO see section 2.2 for definition) for the same week (Fig. 8b). The weekly DCCO was higher over the Mozambique Channel in agreement with the presence of the tropical cyclone in this region during the week preceding 31 March 2014.

The two maps of RTLT and DCCO roughly display the same pattern (maximum above the Mozambique Channel). A detailed analysis of RTLT was performed for tropical cyclone Hellen with different residence time in the lower troposphere with 48 hours, 96 hours, 120 hours, and 168 hours FLEXPART backtrajectories (not shown). After 48 hours, no contribution in RTLT is found. After 96 hours, the RTLT is located north of the storm track, within the convective region of tropical cyclone Hellen. After 120 and 168 hours, an anti-clockwise dispersion toward Africa, outside the convective cells is found. It represents the fraction of air masses in the lower troposphere that was advected toward the convective clouds before reaching the 10-13km altitude range. Hence, the collocation of RTLT with DCCO depends on the collocation of the convective regions in FLEXPART+ECMWF and METEOSAT7, but also on the duration of the backtrajectories.

By combining the two products of FLEXPART derived RTLT and METEOSAT 7 DCCO, we can thus infer that the air mass sampled in the upper troposphere over Réunion Island on 31 March 2014 was in the lower troposphere over the Mozambique Channel the week before. This air mass was located 1500 km away from Réunion Island and was transported from the lower

370 troposphere to the upper troposphere by deep convective clouds within tropical cyclone Hellen and then **advected** eastward toward Réunion Island. Hence this specific case study illustrates the ability of the FLEXPART model to track the convective origin of air masses in the upper troposphere above Réunion Island.

3.4.2 Impact of convection on RH variability.

375 In this section, we will identify which tropical cyclones have influenced the upper troposphere above Réunion Island. We display on **Fig. 9** the trajectories of 23 tropical cyclones (8 in 2014, 9 in 2015 and 6 in 2016) that were within a 2100 km radius around Réunion Island, representing 74% of tropical cyclones that developed within the SWIO basin between summer 2014 and 2016 (from November 2013 to April 2016). Outside the **2100 km** radius, the influence of tropical cyclones (TC) on Réunion Island's upper troposphere is found to be limited (not shown).

380 There is significant variability in the number of SWIO cyclones that traverse (or maybe form in) the Mozambique Channel in a given year. For 2014 there were 3 (out of 8 for the SWIO), in 2015 2 (out of 9) and for 2016, none (out of 6). Near Réunion Island, a similar activity is found during the three summer seasons (about 2 cyclones per year in the direct vicinity of the island). In 2014, tropical cyclone Bejisa **was** the only cyclone that directly impacted Réunion Island. During the three austral summer seasons of 2014, 2015 & 2016, half of the tropical cyclones formed Northeast of Réunion Island (12 in total).

385 In order to determine the tropospheric origin of upper tropospheric air masses observed over Réunion Island during summer 2014, 2015 & 2016 (Fig. 3), we integrated the RTLT gridded over the domain of study (1° latitude-longitude resolution) to define the spatially integrated quantity sRTLTL (Fig. 10). **We calculated a similar product for the middle troposphere (sRTMT, 5-10km).** A peak in the time series of sRTLTL in **Fig. 10** means that an event, **associated with a deep vertical transport from the lower troposphere to the 10-13km altitude range**, has increased the lower tropospheric origin of air masses measured in the upper troposphere above Réunion island. **Hence**, we integrated the values of the RTLT folded with DCCO (RTLTLxDCCO) to obtain the probability of convective origin of each air mass (Fig. 10). When and where this cumulative probability is not null, the product RTLTLxDCCO points at the convective events that most likely hydrated the upper troposphere over Réunion Island. If a peak in sRTLTL is correlated with a peak in RTLTLxDCCO, it means that the lower-tropospheric origin estimated by **FLEXPART simulations corresponds to** convective clouds **observed** by the METEOSAT 7 satellite. Finally, **sRTLTL and RTLTLxDCCO are** compared to the **average upper-tropospheric (10-13km) water vapor mixing ratio** over Réunion Island (Fig. 10). **The RTLTLxDCCO allows to identify** the tropical cyclones that have hydrated the upper troposphere over Réunion Island, i.e. TCs Bejisa, **Deliwe, Guito and Hellen** in 2014 (B, D, **G and H**: upper panel of Fig. 10); Bansi, Chedza, **Fundi** and Haliba in 2015 (B, C, **F and H**: middle panel of **Fig. 10**); Corentin and Daya in 2016 (**C, D**: lower panel of Fig. 10). Note **that** the absence of soundings between 26 and 31 **December 2015 prevents** diagnosing the influence of **tropical storm A2** in 2015 (0220142015). Among these cyclones, **3** of them (Bejisa in 2014; Bansi, **and** Haliba in 2015) had trajectories close to Réunion Island, **6** of them (Deliwe, Guito, Hellen in 2014; Chedza **and Fundi in 2015 and Daya** in 2016) had trajectories west of Réunion Island, and **none** had a trajectory east of Réunion Island. **The results are consistent with the study of Ray and Rosenlof (2007).** Using Atmospheric Infrared Sounder (AIRS) and MLS satellite data, Ray and Rosenlof (2007) estimated the enhancement of water vapor due to 32 typhoons (Western Pacific) and 9 hurricanes (Northern Atlantic) at 223 hPa (~11km). They found an enhancement of up to 60 to 70 ppmv, within a 500 km radius north of the tropical storm centers, where the highest water vapor enhancement was found. The convective outflow of tropical cyclones that impacted the upper troposphere over Réunion Island was located south of the cyclone centers, the most hydrated part of the tropical cyclones in the Southern Hemisphere according to Ray and Rosenlof (2007).
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sRTMT in Fig. 10 represents the origin in the middle troposphere. An increase in sRTMT is associated with a vertical transport in the troposphere weaker than events that increase sRTLTL, such as deep convection. A study of Schumacher et al. (2015) has shown that vertical transport within stratiform clouds can reach 10 m s^{-1} below 7 km and has a slower ascent rate ($<0.5 \text{ m s}^{-1}$) up to 10km. It suggests that the variability in sRTMT signature may be related to differences in the impact of stratiform clouds on water vapor mixing ratio in the upper troposphere (10-13km). Fig. 10 shows a higher correlation between water vapor mixing ratio variability in the upper troposphere and the sum of sRTLTL and sRTMT than sRTLTL or sRTMT taken individually. sRTLTL+sRTMT and the upper tropospheric WV have a squared linear correlation coefficient of 0.46, while RTLTL or RTMT have a squared linear coefficient of 0.23 and 0.42 respectively with upper tropospheric WV mixing ratio. It indicates that water vapor transport occurred both from the lower troposphere (e.g. by deep convection) or from the middle troposphere (e.g. by large-scale uplift of air masses associated with stratiform clouds) toward the upper troposphere. While the relative contribution of RTLTL and RTMT varies over the summer seasons, the highest peaks in WV mixing ratio are associated with peaks in RTLTL, due to convective transport associated with the passage of tropical cyclones.

3.4.3 Geographic origin of the convective outflow

Fig. 11 shows the monthly averaged maps of the product between DCCO and RTLTL, which represents the probability of convective influence from a given region on the upper troposphere above Réunion Island. At the beginning of the austral summer seasons (November 2013, 2014 and 2015), the main convective regions that influence the upper troposphere above Réunion island are located in central Africa (Congo and Angola). Then from November to January, the influential convective region moves to the east towards the Mozambique Channel. For seasons 2014 and 2015, most of the influential convective regions are linked to cyclonic activity. TC Bejisa (B-2014, near Réunion Island) and TC Deliwe (D-2014, in the Mozambique Channel) were the most influential convective events in January 2014. For January 2015, two tropical cyclones were active in the SWIO, Bansi (B-2015, near Réunion Island) and Chedza (C-2015, Mozambique Channel). In February 2014, three cyclones formed in the SWIO basin. Despite the short distance from the island, TC Edilson (E-2014) did not have a significant influence on the upper troposphere above Réunion Island, while more remote tropical cyclone such as Guito (G-2014, in Mozambique Channel) significantly hydrated the upper troposphere above Réunion Island. In March 2014, the little patch in the Mozambique Channel was directly linked with the TC Hellen (H-2014). In February 2015 TC activity decreased and convection over Madagascar hydrated the upper troposphere above Réunion Island. TC Haliba (H-2015) caused the maximum value of DCCOxRTLTL observed east of Madagascar in March 2015 (red contour on Fig. 11 for March 2015). There were fewer tropical cyclones (Fig. 10 & 11) that influenced Réunion Island in 2016, but there was nonetheless intense convective activity over the SWIO. In austral summer 2016, convective activity was more spread across the SWIO and Southern Africa.

4 Summary and conclusion

We analyzed ozonesonde measurements from the NDACC/SHADOZ program and humidity profiles from the daily Météo-France radiosondes at Réunion Island between November 2013 and April 2016 to identify the origin of wet upper tropospheric air masses with low ozone mixing ratio observed above the island, located in the subtropics of the SWIO basin.

A seasonal variability in hydration events in the upper troposphere was found. The variability was linked to the seasonal variability of convective activity within the SWIO basin. An increase in the convective activity in austral summer 2016 (a strong El Niño year) compared to austral summers 2014 and 2015 was associated with higher upper tropospheric hydration. In the upper troposphere, ozone mixing ratios were lower (mean of 57 ppbv) in humid air masses ($\text{RH} > 50\%$) compared to the background mean ozone mixing ratio (73.8 ppbv).

A convective signature was identified in the ozone profile dataset by studying the probability of occurrence of different ozone thresholds. It was found that ozone mixing ratios lower than 45 to 50 ppbv had a local maximum of occurrence near the surface and between 10 and 13km in altitude, indicative of the mean level of convective outflow, in agreement with Solomon et al. (2005) and Avery et al. (2010).

450 Combining FLEXPART Lagrangian backtrajectories with METEOSAT 7 infrared brightness temperature products, we established the origin of convective influence on the upper troposphere above Réunion Island. We found that the ozone chemical signature of convective outflow above Réunion Island is associated with air masses detrained from the ITCZ located **northwest** of the island and tropical cyclones in the vicinity of the island (**2100 km** around the island). A higher correlation between tropical cyclone activity and high upper tropospheric RH values was found in austral summers 2014 and 2015. It was
455 found that isolated convection within the ITCZ was more pronounced in 2016 (most likely due to the strong El Nino) and as a result the vertical transport associated with these isolated convective clouds were misrepresented in the 0.25x0.25° meteorological fields used to drive the FLEXPART model. For austral summers 2014 and 2015, the FLEXPART model is able to trace back the origin of upper tropospheric air masses with low ozone/high RH signatures to convection over the Mozambique Channel/Madagascar and within tropical cyclones.

460 Hence, it has been found that the upper troposphere above Réunion Island is impacted by convective outflows in austral summer. Most of the time, deep convection is not observed in the direct vicinity of the island, as opposed to the **Western** Pacific sites in Solomon et al. (2005) study, but more than a thousand kilometers away from the island in the tropics either from tropical storms or the ITCZ. In November and December, the air masses above Réunion Island originate, on average, from Central Africa and the Mozambique Channel. During January, February the source region is the **Northeast region** of
465 **Madagascar and the** Mozambique Channel.

The average chemical ozone signature of convective outflow was found to be **45 ppbv** between 10 and 13km in altitude, which differs from the 20 ppbv threshold used in Solomon et al. (2005). The higher threshold can be explained by vertical transport of low-ozone air masses from the marine boundary layer to the upper troposphere and subsequent mixing with tropospheric air masses with higher ozone content along their pathway when advected over more than a thousand kilometers.

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Data availability

METEOSAT 7 data used in this study are available at <http://www.icare.univ-lille1.fr/archive>. The NDACC/SHADOZ ozone measurements for Réunion Island are available at <https://tropo.gsfc.nasa.gov/shadoz/Reunion.html>. The FLEXPART Lagrangian trajectories can be requested from Stephanie Evan (stephanie.evan@univ-reunion.fr).

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Author contributions

All authors contributed to the paper. DH wrote the manuscript with contributions from SE, JB, KR, JPC. JMM and FP performed the ozone radiosonde measurements. SE and JB performed the FLEXPART simulations. DE processed the radiosonde and FLEXPART data. All authors revised the manuscript draft.

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Competing interests

The authors declare that they have no conflict of interest.

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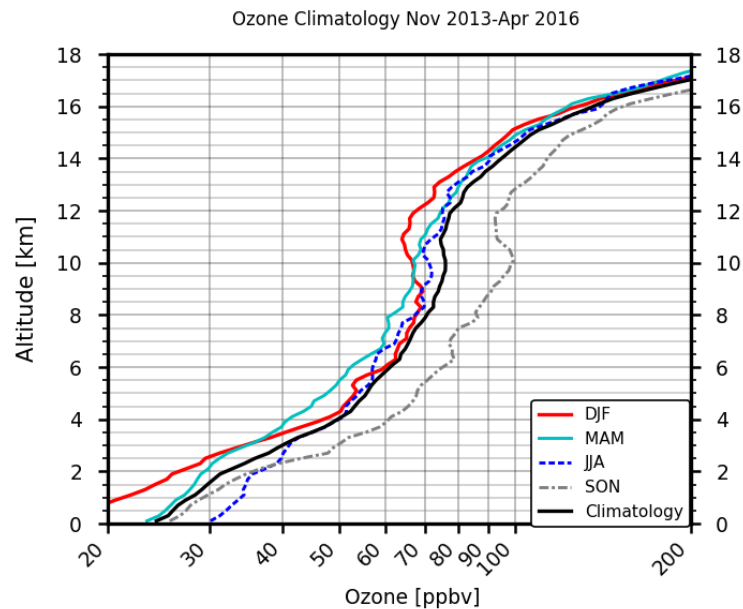
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595 **Figure 1: Climatological mean ozone profile (from November 2013 to April 2016) in black. 2013-2016 seasonal mean ozone profile for summer (December, January, February) in red, spring (March, April, May) in cyan, winter (June, July, and august) in blue and autumn (September, October, November) in grey.**

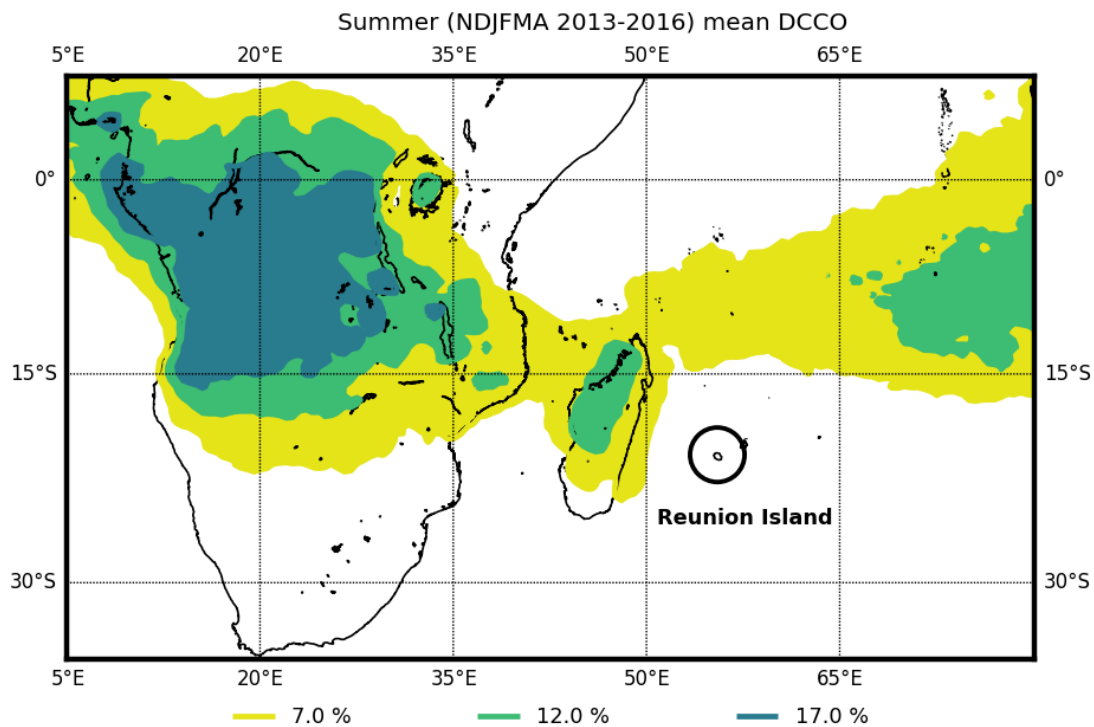


Figure 2: Average map of the deepest convective cloud occurrence (DCCO) for austral summer conditions (NDJFMA from November 2013 to April 2016). The yellow contour is for DCCO > 7%, the green contour for DCCO > 12% and the dark green contour is for DCCO > 17%.

RH evolution

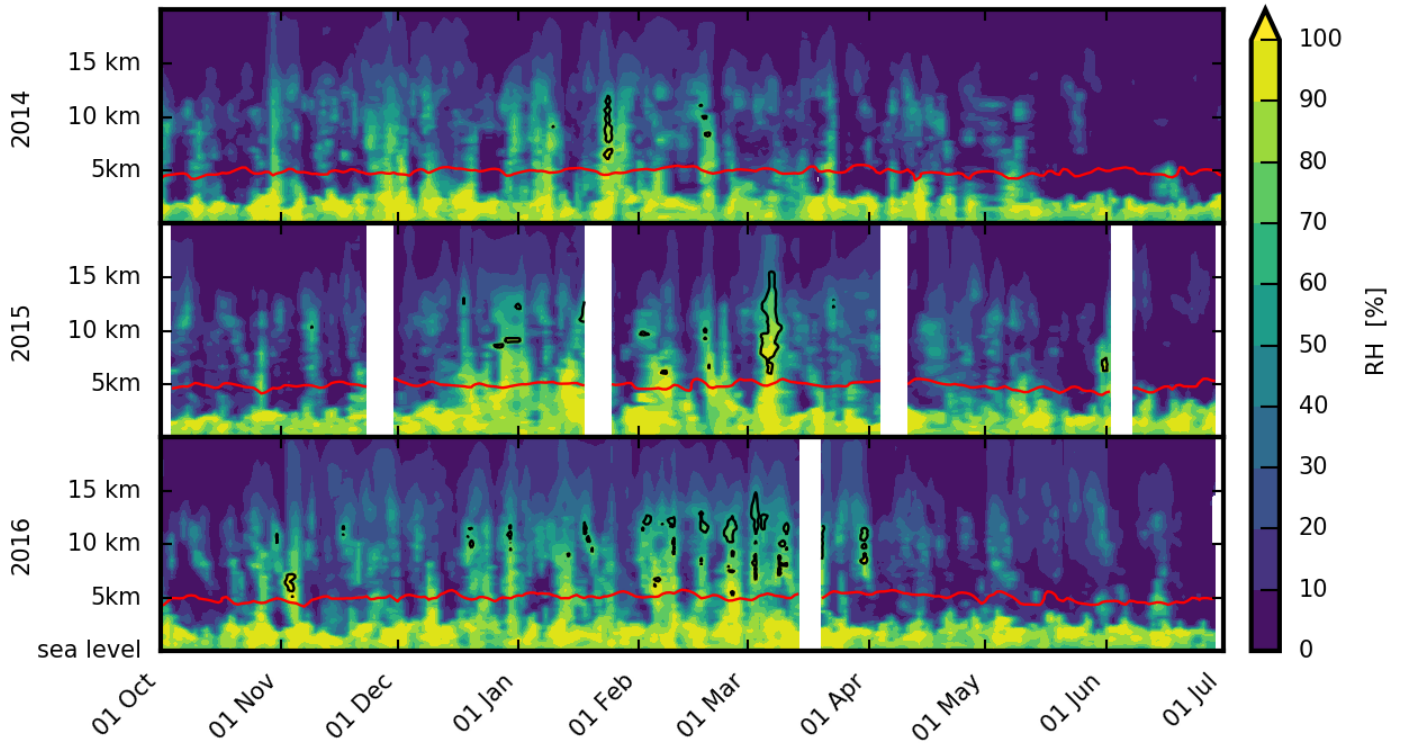


Figure 3: Time height cross-sections of day-to-day variations of Relative Humidity (RH) for Oct 2013-July 2014 (upper panel), Oct 2014-July 2015 (middle panel) and Oct 2015-July 2016 (bottom panel). The RH field is interpolated in time except when 5 days of data are missing. **Black contours are for RH>100%, and the red line corresponds to the 0°C isotherm.**

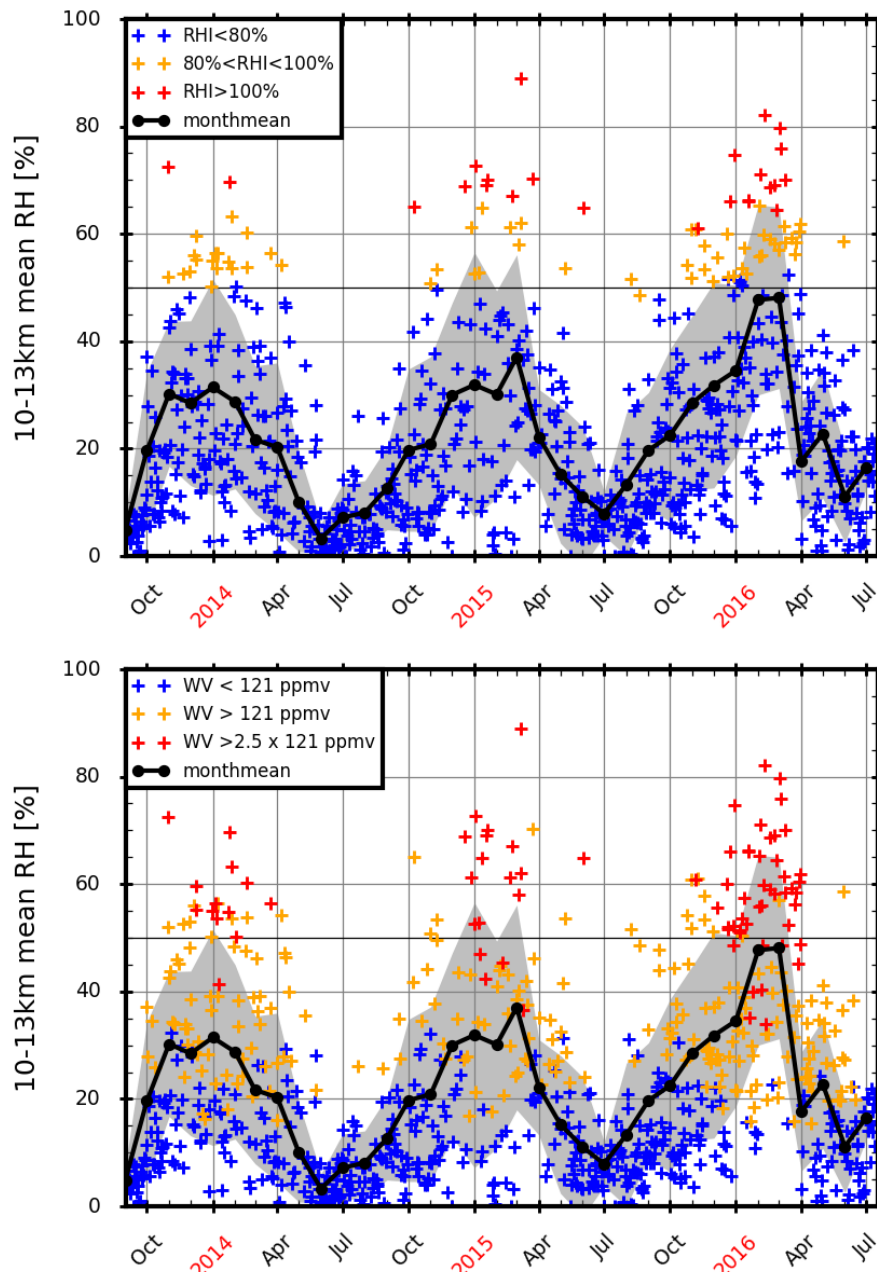
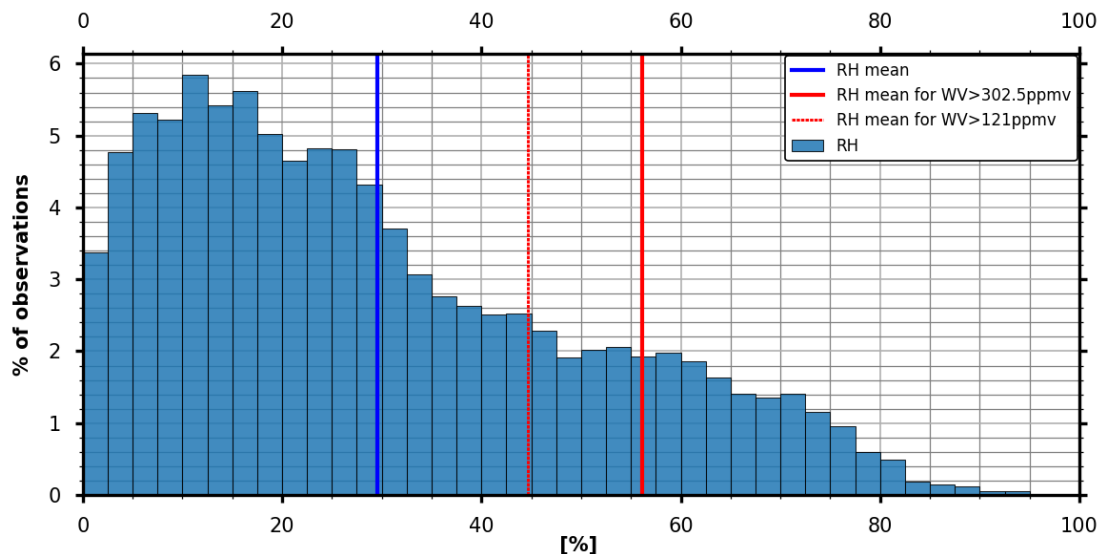
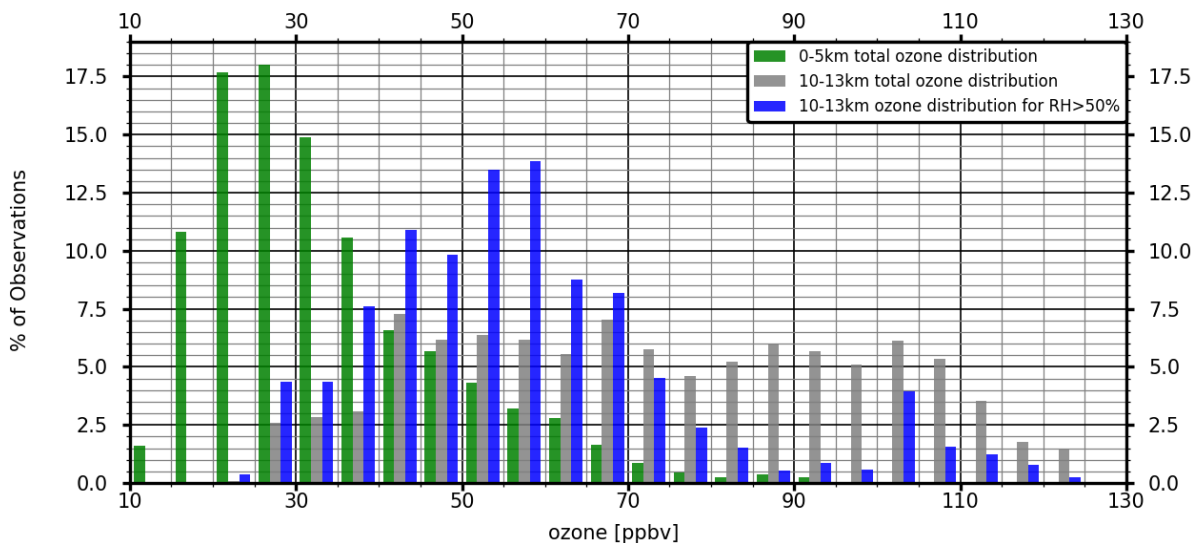


Figure 4: Top, daily evolution of mean upper tropospheric (10 to 13 km) RH for the period September 2013 to July 2016. The RH (with respect to water) values are color coded according to the values of RHi. In blue RH values for $RHi < 80\%$, in orange RH values for $80\% < RHi < 100\%$, and in red RH values for $RHi > 100\%$. Bottom, daily evolution of mean upper tropospheric (10 to 13 km) RH for the period September 2013 to July 2016. The RH (with respect to water) values are color coded according to the values of water vapor mixing ratios (WV). The mean 10-13km WV is 121 ppmv. In blue RH values for $WV < 121 \text{ ppmv}$, in orange RH values for $121 \text{ ppmv} < WV < 2.5 \times 121 \text{ ppmv}$ and in red RH values for $WV > 2.5 \times 121 \text{ ppmv}$. On the two panels, the black thick line corresponds to the monthly mean RH in the upper-troposphere.



620 **Figure 5: RH distribution in the upper troposphere (10-13km) above Réunion Island. 904 profiles for the period Nov 2013-Apr 2016 have been used to compute the distribution. Bins are every 5%. The mean RH of the distribution (30.4%) is shown with a blue line, the mean RH for hydrated profile (WV>121ppmv) with a dotted red line and for strong hydration (WV>2.5x121ppmv) with a red line.**



625 **Figure 6: NDACC/SHADOZ ozone distribution in the lower troposphere (0-5 km, in green). The total distribution in the upper troposphere (10-13km, in grey) and for moist data (10-13km and RH>50%, in blue). The distributions are based on 55 ozone profiles for austral summers 2014, 2015 and 2016. The mean for each distribution is 73.8 ppbv, 57 ppbv, 33.5 ppbv for the 10-13 total ozone distribution, 10-13 km ozone distribution with RH>50% and 0-5km total ozone distribution respectively.**

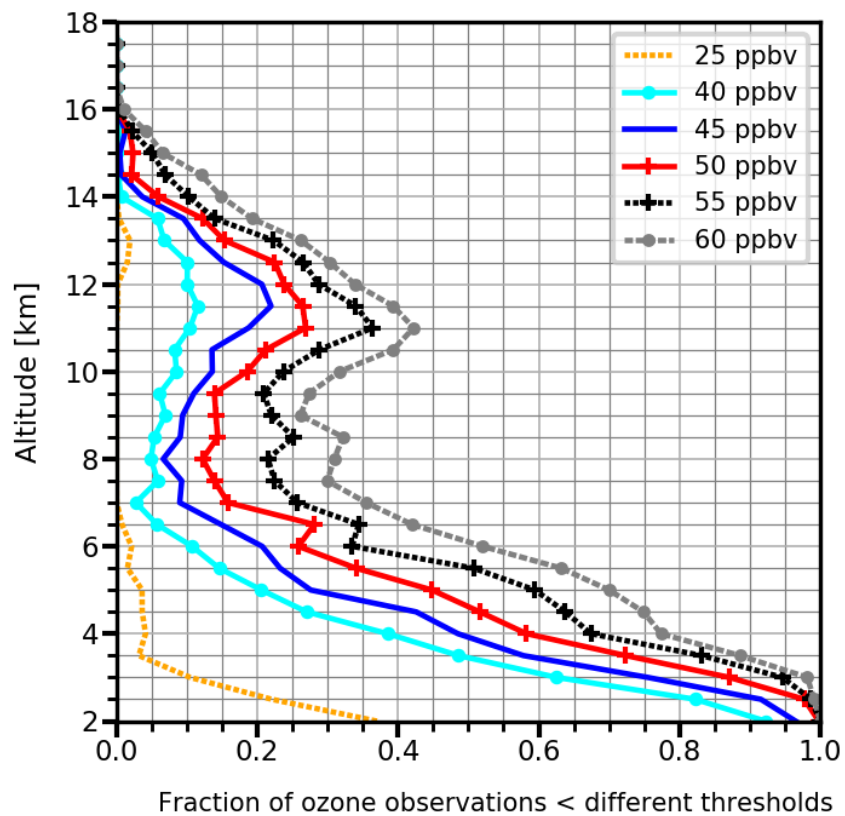


Figure 7: Vertical profiles of frequency of occurrence of ozone mixing ratios below 25, 40, 45, 50, 55 and 60 ppbv at Réunion Island for austral summers (NDJFMA) 2014, 2015 and 2016

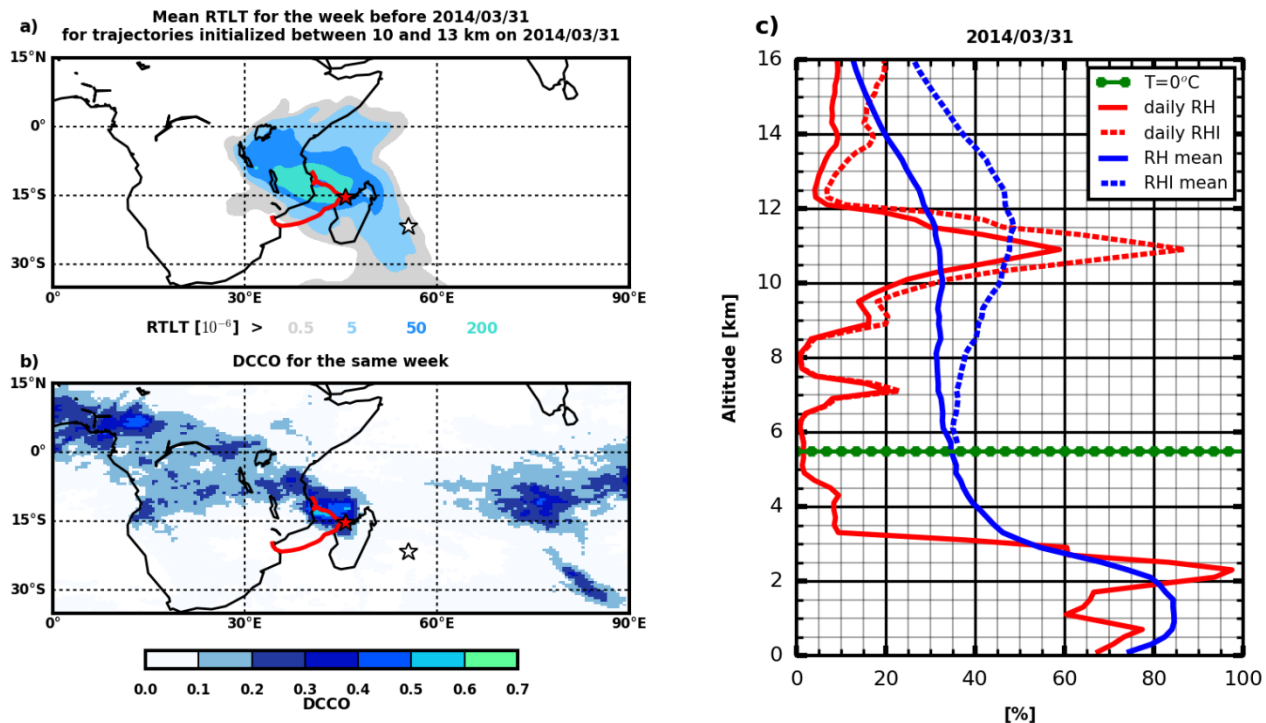


Figure 8:

a) (top-left) fraction of residence time below 5km (RTLT) for air masses initialized in the upper troposphere (10-13km) over Réunion Island on 31 March 2014.

b) (bottom-left) Map of DCCO for the week before 31 March 2014. On (a) and (b) the best-track data are provided by Météo-France. On the two panels on the left, the red star indicates TC Hellen position on 31 March 2014 and the white star corresponds to the location of Réunion Island

c) (right) RH/RHi profiles on 31 March 2014 (solid and dotted red lines respectively) and seasonal mean RH/RHi profiles (solid and dotted blue lines respectively) over austral summers 2014, 2015 and 2016. The dotted green line corresponds to the 0°C isotherm.

Tropical storm near Reunion Island(+/- 2100 km) for summers 2014 to 2016

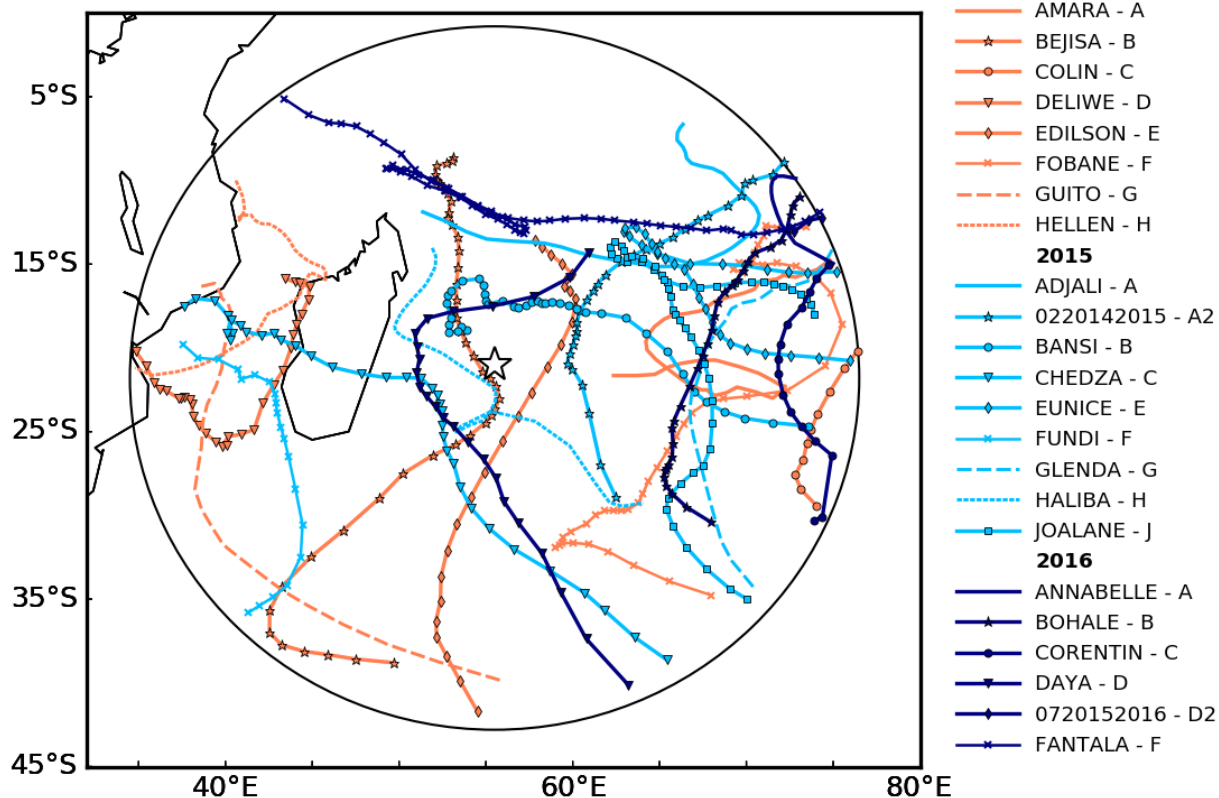


Figure 9: Map of Tropical Cyclone's best-tracks for a range ring of 2100 km around Réunion Island. The best-tracks of tropical Cyclones during austral summer 2014 (November 2013 to April 2014) are shown in orange with different symbols for each TC, best-tracks for austral summer 2015 (November 2014-April 2015) are in cyan and in blue for austral summer 2016 (November 2015-April 2016, in green). The yellow star indicates the location of Réunion Island.

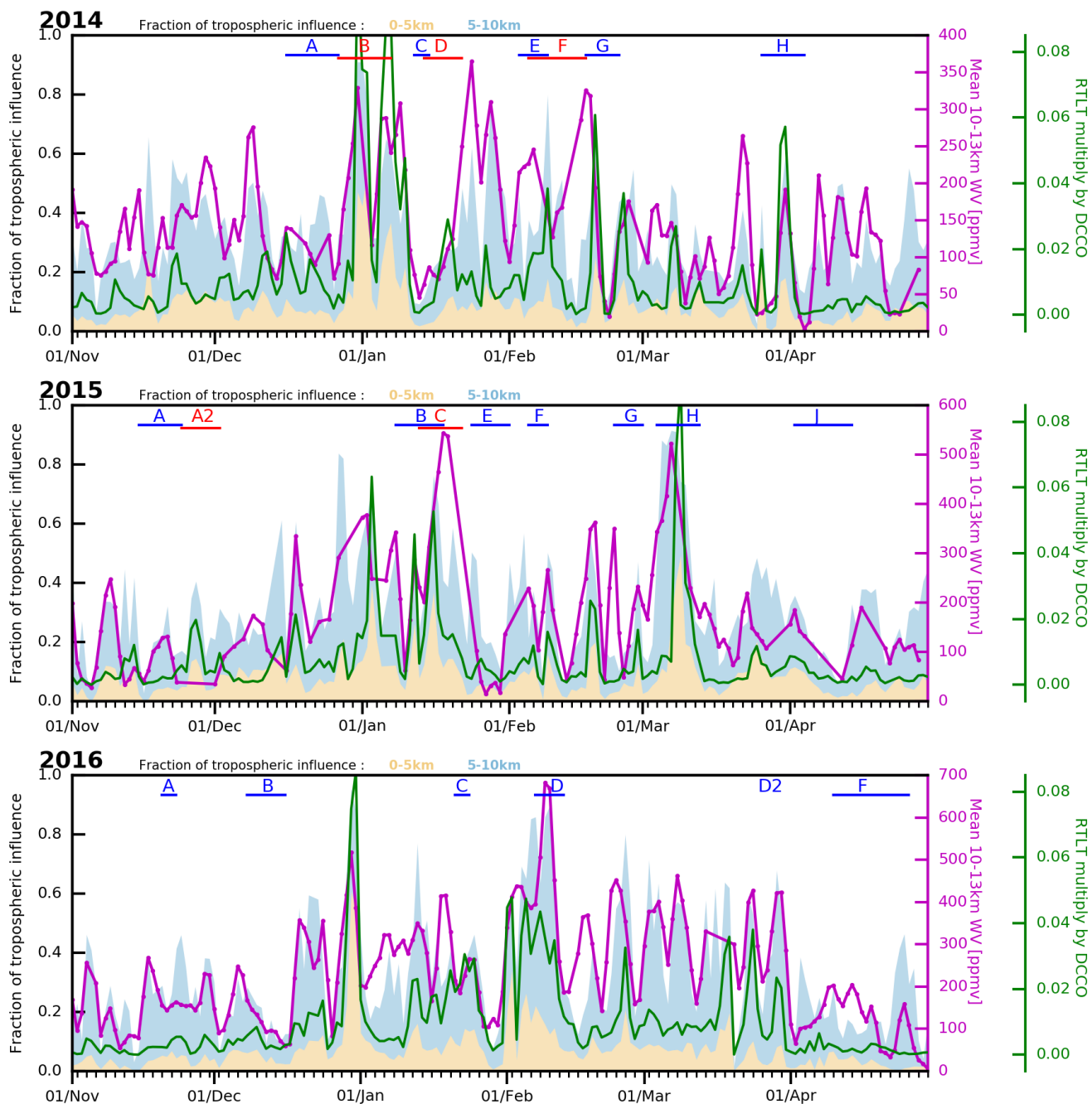


Figure 10: Top panel, Time-evolution of fraction of tropospheric influence (spatially summed) for the lower troposphere (0-5km, sRTLT) in orange and the middle troposphere (5-10km, RTMT) in blue. The product of DCCO by RTLT in green line and mean upper-tropospheric (10-13km) water vapor mixing ratio in purple for austral summer 2014. Middle and bottom panels: Same as top panel for austral summers 2015 and 2016 respectively. The letters in red and blue indicate periods with different tropical cyclones for each austral summer as shown on Figure 9.

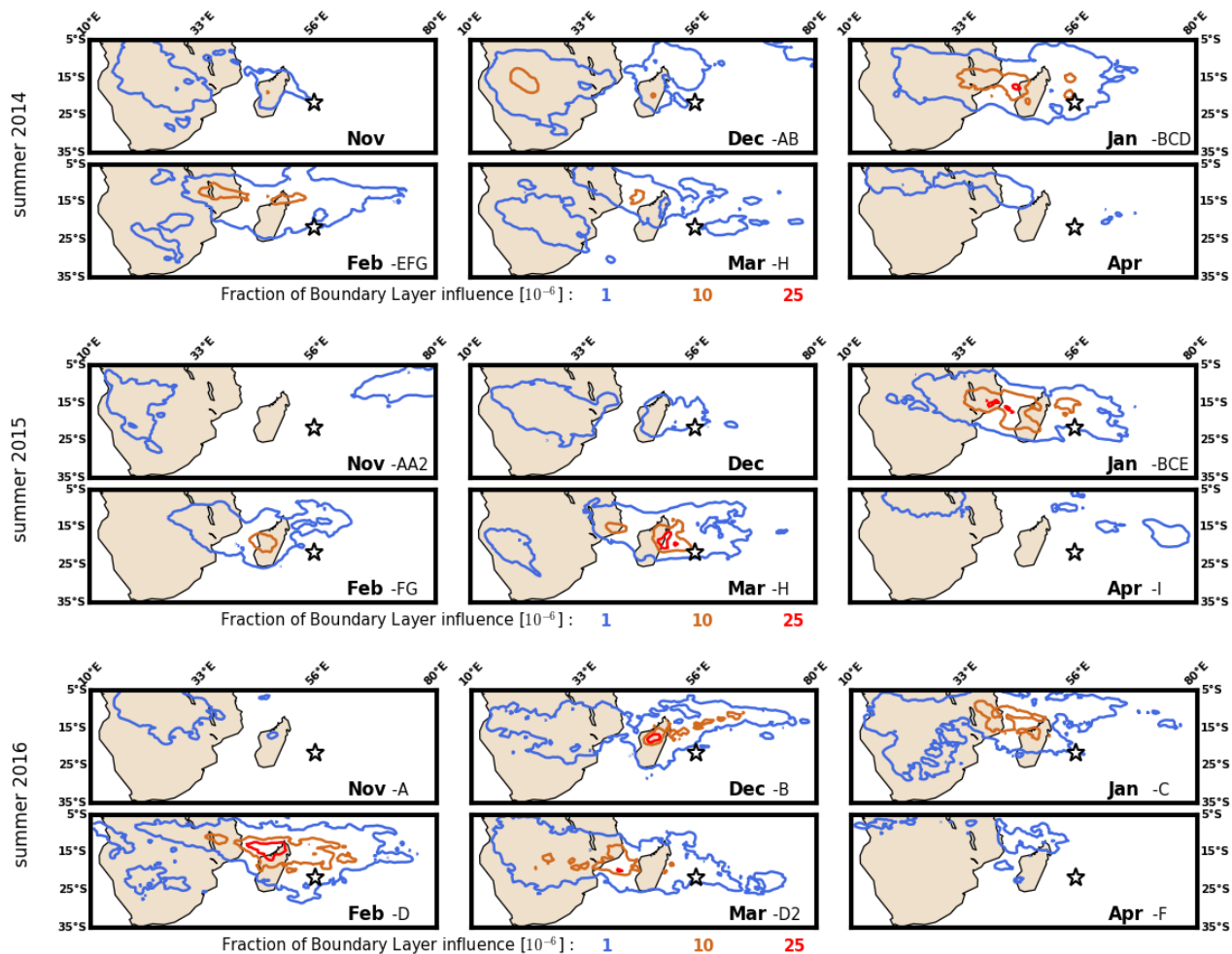


Figure 11: Monthly average product of DCCO by RTLT normalized by the total residence time in the whole atmospheric column. From top right to bottom left: November 2013, December 2013, January 2014, February 2014, March 2014, April 2014, November 2014, December 2014, January 2015, February 2015, March 2015 and April 2015. The values for each contour are indicated by the numbers in blue, orange and red at the bottom of each panel. The location of Réunion Island is indicated by a white star on each plot. On each subplot, the letters indicate tropical cyclones that occurred during a given month.