

## Review 1

**Comment:** This study was aimed to explore the possible contribution of atmospheric circulation anomaly on the interannual variation of winter PM<sub>2.5</sub> over northern China. Six dominate synoptic circulation types that favorable and unfavorable for the PM<sub>2.5</sub> diffusion are revealed, which is interesting and quite important for us. Furthermore, the authors revealed that there is approximately 76.5% of the observed decrease in PM<sub>2.5</sub> concentrations in 2017 over BTH could be attributed to the improvement of the atmospheric diffusion conditions. This paper is well written and organized, and there is no big flaw. I recommend it to be published in ACP after several minor corrections.

**Response:** Thank you very much for the through and helpful comments and suggestions. Please find the following point-point response.

### General comments:

**Comment 1:** In this study, the authors have explored that there is approximately 76.5% of the observed decrease in PM<sub>2.5</sub> could be attributed to the improvement of the atmospheric diffusion conditions. That is, the contribution of effect of atmospheric anomaly exceeded 70%, which presented far larger than that from the early studies and also confused me. As description in Introduction, the effect of atmospheric anomaly was just accounting for about 5% or 12%. Is there any idea about this large difference? Moreover, the additional discussion about the uncertainty of the evaluated contribution should be added. Is it related to the large bias of the WRF-CHEM model?

**Response 1:** Chinese government issued the Clean Air Action in 2013 to mitigate PM<sub>2.5</sub> pollution. Most of the existing researches we involved in Introduction are focused on the evaluation of Clean Air Action from 2013 to 2017 or 2018. During the five to six years, the average contribution of meteorological conditions to the air quality improvement is assessed as 5% or 12% depends on different methods and domains. The primary concern of this paper is to investigate the effects of meteorological elements on the interannual variation of air quality, the magnitude of which may be larger than the multiyear averaged value. Moreover, based on the occurrence of different circulation types in Fig. 9, 2016 and 2017 winters are the most unfavorable and the most favorable diffusion conditions during the study period, respectively, which may be the reason for the significant and high contribution of meteorological factor in our result. In addition, the observed PM<sub>2.5</sub> variation average between 2016 and 2017 was

calculated based on the PM<sub>2.5</sub> observations at 114 stations, while, the simulated PM<sub>2.5</sub> difference derived from the grid results over the region of 113°-117.5°E and 36°-42°N in our original version. However, both observed and simulated PM<sub>2.5</sub> different between 2016 and 2017 show obvious spatial distribution in Fig. 10. To exclude the effects of spatial distribution, the simulated grid results are interpolated to PM<sub>2.5</sub> observation stations in the revised version. The simulated PM<sub>2.5</sub> difference between 2016 and 2017 reduced from the original 28.4% to current 22.6%, and the relative contribution rate of meteorological elements is 60% from 2016 to 2017 winter. That is to say, 40% of the 37.7% (i.e., 15%) reduction in PM<sub>2.5</sub> concentration can be attributed to the emission reduction between the two consecutive years. It is generally known that one of the goals of Clean Air Action is to decrease PM<sub>2.5</sub> concentrations by 25% in Jing-Jin-Ji regions from 2013 to 2017. Based on our simulation, the 15% reduction of emission from 2016 to 2017 accounts for large part of the overall target of 2013 to 2017, which verified the robust of the relative 60% contribution of meteorological elements during the selected two consecutive years.

Some discussions about the uncertainty of WRF-Chem simulation are added in Lines 431-439: *The quantitative evaluation of meteorological elements contribution to the interannual variation of PM<sub>2.5</sub> concentrations between winters of 2016 and 2017 is derived from the WRF-Chem simulation in this study. Although the model performance for PM<sub>2.5</sub> is generally satisfactory in Fig. S7, it shows obvious underestimation in the severe haze days. Reasons for these biases might be the overestimation in surface wind speed, uncertainties of emission inventory and insufficient treatments of some new chemistry mechanisms of particle formation, which need be further discussed in the future. In addition, some emission modules are turned off to reduce the computation cost, i.e., dust, sea salt, dimethyl sulphide, biomass burning and wildfires, which would result in the uncertainty of simulated PM<sub>2.5</sub> mass concentrations.*

**Comment 2:** The winter season should be highlighted in the abstract.

**Response 2:** We clarify the wintertime as the study period in the abstract and introduction sections.

**Comment 3:** More detailed introduction about the rotated T-mode PCA method was suggested.

**Response 3:** More detailed information about T-mode PCA is involved to further improve the method of atmospheric circulation classification in Lines 133-138 and Lines 149-152.

Lines 133-138: *In this model, the input data matrix is space-time two-dimensional: the rows represent spatial grids, and the columns is time series. The data are divided into ten subsets to speed up computations, and the principal components (PCs) are achieved using the singular value decomposition for each subset and an oblique rotation is applied to the PCs to achieve better classification effects. Then, chi-square test is used to evaluate the ten classifications based on the subsets and the subset with the highest sum is chosen and assigned to a type.*

Lines 149-152: *Prior to using Cost733, the number of principal components need to be defined manually. To exclude the influences of various number of principal components, sensitivity tests with principal components from 2 to 10 are conducted in this study, the explained variances of which are shown in Fig. S1.*

**Comment 4:** The synoptic types of CT1 and CT2 is favorable for the air pollution divergence, while CT3-CT6 is unfavorable. CT3-CT6 can account for 56% of the weather types. How about it from the WRF-CHEM model?

**Response 4:** The occurrence of CT3-CT6 is 56% throughout the study period, which may be different in the specific year. The circulation classification can be considered as a semiquantitative method to evaluate the capacity of air pollution diffusion, but the explained variances of classifications is 70% as show in Fig. S1, which indicates some uncertainty of the method. To give a quantitative assessment of meteorological elements contribution to the air quality improvement, distribution of air pollutants and meteorological condition in winters of 2016 and 2017 are simulated in our work. We evaluated the performance of simulated meteorological fields based on the station observed daily mean wind speed, temperature, pressure and relative humidity in Fig. S6, which is more quantitative than the occurrence frequency of circulation classifications.

**Comment 5:** How about the atmospheric circulation patterns in year 2016? The PM<sub>2.5</sub> in this year was recovered and higher than the other years. How large contribution of

the atmospheric circulation effect in your mind? Or the high PM2.5 is mainly sourced from the emission.

**Response 5:** The atmospheric circulation pattern in 2016 winter (Dec. 2016 to Feb. 2017) is almost the most unfavorable for the air pollutants diffusion based on our circulation classification, with the most frequent occurrence of unfavorable circulation types and second lowest frequency of favorable circulation types. The unfavorable circulation pattern in 2016 winter is partly responsible for its obvious rebound in PM2.5 concentration. In contrast, atmospheric condition in 2017 winter has the most frequent favorable and relative infrequent unfavorable circulation types, which is benefit for the significant decrease in PM2.5 concentration from 2016 to 2017. Except for 2016, the annual mean air pollutants concentrations have begun steadily reducing since 2013, which indicates the effects of emission reduction. Admittedly, it would go a long way toward dealing with the overall treatment of the air pollution, and the current occurrences of air pollution episodes are strongly depended on the meteorological background.

## Review 2

**Comment:** This study makes a full investigation about the effects of atmospheric circulations on the interannual variation of PM<sub>2.5</sub> over the Jing-Jin-Ji region, which is interesting and valuable to both science community and the society. It defines six types of atmospheric circulations and reveals their roles (favor or unfavor) to the PM<sub>2.5</sub> concentration. In principle, the paper is a good contribution to the science community and worthy for publication after a minor revision as suggested below.

**Response:** Thank you for your positive comments and valuable suggestions.

### General Comments:

**Comment 1:** It is always a puzzle regarding the relative contribution from emissions, meteorology, climate and topography to the aerosols observed. The authors found the results in Line 365-375, regarding which I have two questions here. The first one, also the most important one, the relative contribution from meteorological contribution found here is ~37% for most stations, which is much higher than the values found by other studies (~10%), then why? May you please give an explanation? The second one, with the same emission map, the decrease of PM<sub>2.5</sub> from simulations between 2017 and 2016 is larger than that from observation, which seems to me that it implies more emissions in 2017 than in 2016. Is this true or possible?

**Response 1:** Most of the existing researches we involved in Introduction are focused on the evaluation of the relative contribution from emission and other elements during the whole period of Clean Air Action from 2013 to 2017 or 2018. The average contribution of meteorological conditions accounts for about 10% of the improvement of recent air quality. But the primary concern of our work is to investigate the effects of meteorological elements on the interannual variation of air quality, the magnitude of which may be larger than the multiyear averaged value. Based on the occurrence frequency of circulation types in the study period, the atmospheric circulation pattern in 2016 and 2017 winters are the most unfavorable and most favorable for the diffusion of air pollutants, respectively. Therefore, the two consecutive years of 2016 and 2017 are taken as the case of model simulation, and the magnitude of the contribution of meteorological conditions during the two years may be higher than the results of other studies.

The averaged observed PM<sub>2.5</sub> difference between 2016 and 2017 winter is -37.7% at the 114 stations over Jing-Jin-Ji region. The model simulations are set with the same emission inventory driven by the meteorological fields of 2016 and 2017, respectively. The simulated PM<sub>2.5</sub> difference between 2016 and 2017 can be attributed to the contribution of meteorological variation. The PM<sub>2.5</sub> concentration difference from simulations between 2016 and 2017 is -22.6% at the 114 observation stations, which is lower than the magnitude of observed value. Therefore, the difference of meteorological fields between 2017 and 2016 could explain 60% of the 37.7% decrease in PM<sub>2.5</sub> concentration, which suggests the emission reduced by 15% (40% of the 37.7% decrease) from 2016 to 2017.

**Comment 2:** Another thing is that the aerosol pollution is often coupled with the meteorology, causing non-linear relationships between aerosol pollution and meteorology or aerosol emissions. In other words, the relative contribution from both factors could vary with the air pollution cases. How could you account for this coupled effect?

**Response 2:** We agreed with the reviewer that there is feedback between aerosol and meteorology from the perspective of radiation and cloud. The fully coupled “online” WRF-Chem model has been used to evaluate the effects of meteorology on aerosols in this study, which includes the coupled physical and chemical processes such as transport, deposition, chemical transformation, photolysis and aerosol interaction with radiation and cloud. The chemistry module is turned on in the simulation, with RADM2 chemical mechanism and MADE/SORGAM aerosols. Some parameters related to direct and indirect effects of aerosol are also configured as follows, i.e., feedback from aerosol to radiation (`aer_ra_feedback=1`), feedback from the parameterized convection to the atmospheric radiation and photolysis (`cu_rad_feedback=true.`), microphysics scheme (`mp_physics=SBU-YLin`), wet scavenging (`wetscav_onoff=1`) and cloud chemistry (`cldchem_onoff=1`). The effects of the no-linear feedback between aerosol pollution and meteorology have been simulated in the model, the results of which are combined into the meteorological factor contribution in this study. The specific selection of parameterization schemes is added in Lines 167-170 in this revised version.

In terms of the response of aerosol emission to the variation of aerosol, we used the online calculation of Gunther biogenic emissions parameterization scheme. However, some emission modules are turned off to reduce the computation cost, i.e., dust, sea salt, dimethylsulfide, biomass burning and wildfires, which would result in the uncertainty of simulated PM<sub>2.5</sub> mass concentrations. Some additional discussions about the

uncertainty of simulation are added in Lines 431-439 in the revised version.

**Minor comments:**

**Comment 1:** Line 17 and 43, full spell should be provided for PM2.5 when first used.

**Response 1:** Thanks for your reminder. We add the full spell of “PM2.5” in Lines 20-21.

**Comment 2:** Line 44-47, regarding the aerosol effect on climate by changing the surface radiation balance, four more references are recommended, Garrett and Zhao (2006, DOI: 10.1038/nature04636) and Zhao and Garrett (2015, doi:10.1002/2014GL062015) showed the aerosol’s strong warming effect in the winter Arctic through increasing cloud thermal emissivity; Zhao et al. (2020, <https://doi.org/10.1093/nsr/nwz184>) showed the impacts of aerosols on the weather and climate by changing the radiation over the Tibetan Plateau; and Yang et al. (2018, DOI: 10.1016/j.atmosres.2018.04.029) showed the cooling effect of aerosols to Hongkong region climate during past 30 years.

**Response 2:** Thanks for your information. We involved these reference in the revised version.

**Comment 3:** Line 47, “pollutions” -> “Pollution”.

**Response 3:** Revised as suggested.

**Comment 4:** Line 42, “air quality” -> “the air quality”.

**Response 4:** Revised as suggested.

**Comment 5:** Line 51-52, you may change the second “strengthening” to “improving”.

**Response 5:** Revised as suggested.

**Comment 6:** Line 64-67, One more reference could be also cited, which show significant improvement of air quality in five typical cities in China during recent several years, along with detailed discussions about the potential reasons for pollutions in these cities, Zhang et al. (2019, <https://doi.org/10.1007/s13143-019-00125-w>).

**Response 6:** Thanks for your information. The reference is added in Lines 69-70 in the revised version.

**Comment 7:** Line 69-72, these are true, which also include the dilution due to increasing the planetary boundary layer (Yang et al., 2016, doi:10.1002/2015JD024645), exchange of polluted and clean air, and hygroscopic growth of aerosols (Sun et al., 2019, DOI: 10.1029/2019EA000717; Zhao et al. 2018, <https://doi.org/10.1007/s00376-017-7069-3>). Moreover, Garrett et al. (2010, <https://doi.org/10.1111/j.1600-0889.2010.00453.x>) demonstrates the importance of long-range transport and wet scavenging to the aerosol amount in the Arctic; Sun et al. (2019) showed the relative roles of wet scavenging and hygroscopic growth the aerosols in Beijing, and Zhao et al. (2018) showed the fast growth of fine aerosols particles in Beijing.

**Response 7:** Thanks for your suggestion. We improve the description of meteorology effects on the evolution of air pollution in Lines 66-67 and Lines 75-77.

**Comment 8:** Line 72-76, regarding the climate signals, this is important. One thing I am not sure is how to differ this with short-term meteorological influence. The other is that Chen et al. (2019, <https://doi.org/10.1007/s00382-019-04706-3>) suggested that the Arctic warming have a strong tele-connection with mid-latitude air pollution (aerosol amount). For example, an increase in Arctic surface temperature in summer is associated with enhanced air pollution in Asia in winter.

**Response 8:** To distinguish the meteorological factors of different time-scale effects on the ambient air pollution, the general background atmospheric patterns are determined by the climate signals, which will stimulate the favorable diffusion circulation or not; the actual occurrence frequency of favorable or unfavorable



circulations types are in fact of short-term meteorological conditions. In my opinion, the short-term meteorological elements control the evolution of most air pollution episodes, and climate signals influence the inter-annual and decadal anomaly of local air quality.

**Comment 9:** Line 80, “contribution to” -> “contribution from”.

**Response 9:** Revised as suggested.

**Comment 10:** Line 106-108, since you are focusing on the winter time PM2.5 mass concentration over the BTH region, I would suggest to add a short paragraph to describe the wintertime PM2.5 pollution over the BTH region in the introduction part.

**Response 10:** Thanks for your suggestion. We add some description in Lines 111-116: *China’s air quality shows obvious seasonal and regional distributions, with more frequent severe air pollution episodes in winter time and higher air pollutant concentrations in eastern China. As one of the three key regions in the Clean Air Action, lots of mitigation measurements have been taken over BTH region in recent years, which results in the significant improvement of local air quality, especially in winter time. But the relative contribution from meteorological factors are still unclear.*

**Comment 11:** Line 115, the region is also defined in Figure 2, why not using Figure 2?

**Response 11:** Thanks for your reminder. We revised it to Figure 2 in this version.

**Comment 12:** Line 116-117, Does this imply that the daily data is set as missing when the missing data is more than 40% in a day?

**Response 12:** Yes, the original PM2.5 data is hourly scale, which is averaged to daily mean with daily valid data more than 60%. We reorganized the sentence as “*Daily PM2.5 data is set as missing when the valid hourly data on the specific day is less than 40%.*”

**Comment 13:** Line 119-120, what do you mean “nonlinear methods” here?

**Response 13:** The nonlinear methods refer to some clustering methods based on neural network and deep learning, such as Cavazos (2000) investigate the extreme wintertime precipitation using the Self-Organizing Maps. We add this reference in the revised version.

**Comment 14:** Line 125, “Zhang et al. (Zhang et al., 2012)” -> “Zhang et al. (2012)”

**Response 14:** Revised as suggested.

**Comment 15:** Line 126-127, what do COST and PM mean here?

**Response 15:** COST is the abbreviation for European Cooperation in Science & Technology. We revise the PM to particulate pollution in this version.

**Comment 16:** Line 139, NCEP FNL should be fully spelled when first used.

**Response 16:** We add the full name of NECP FNL in the revised version.

**Comment 17:** Line 151-153, This is true. However, it just represents one case with different meteorology (2016 vs 2017). You may add one sentence to assume that this result is used to represent the typical value of meteorological contribution to PM2.5 concentration.

**Response 17:** Thanks for your suggestion. We revised the sentence as *Thus, the difference in the simulated PM2.5 concentrations between the 2016 and 2017 winters could be attributed to the meteorological variation, which can be assumed as a typical value of meteorological contribution to the interannual variation of PM2.5 concentrations.*

**Comment 18:** Line 157-158, Why do you use so long time to spin-up (15 days)? May you please briefly explain?

**Response 18:** We made a double check about the configuration of the model simulation, and found the start time is 23 November, which indicates one week to spin up. The simulated and observed meteorological fields from November 23<sup>th</sup> to the end of December in 2016 are shown here. Most of the simulated meteorological variables are consistent with observations after one week spin up run. We revised the description in the main text.

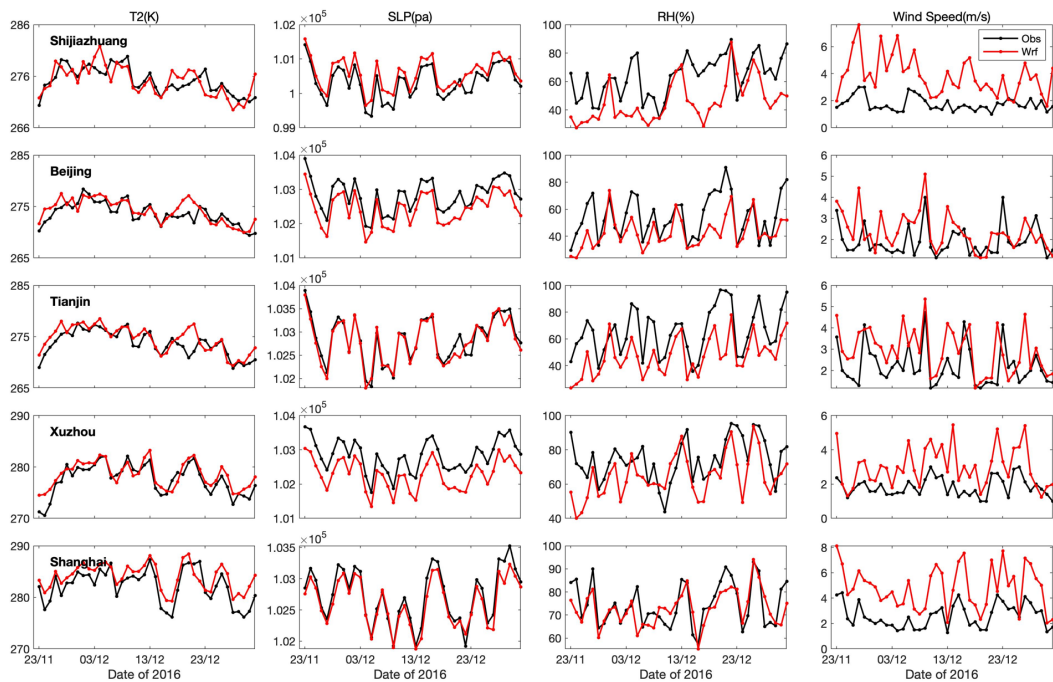


Figure r1. The observed and simulated air temperature (T2), sea level pressure (SLP), relative humidity (RH) and 10 m wind speed (wind speed) over Shijiazhuang, Beijing, Tianjin, Xuzhou and Shanghai during Nov. 23 to Dec. 31 in 2016.

**Comment 19:** Line 163, “Dominate” -> “Dominant”.

**Response 19:** Revised as suggested.

**Comment 20:** Line 177-178, “the accumulate” -> “accumulate”. In other word, remove “the”.

**Response 20:** Revised as suggested.

**Comment 21:** Line 273, “in the last section”: do you mean “this section”?

**Response 21:** We revised “in the last section” to “in section3.1”

**Comment 23:** Line 299-301, delete either “although” or “but”

**Response 23:** We delete “but” in this version.

**Comment 24:** Line 311-313, “when the favorable circulation duration shorter . . .” -> “when the favorable circulation duration is shorter . . .”

**Response 24:** Revised as suggested.

**Comment 25:** Line 403-404, I would suggest “The 2020 is the key and target year for the three-year action to win the battle for a blue sky goal set in 2018”.

**Response 25:** Revised as suggested.

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1     **Effects of atmospheric circulations on the interannual variation in**  
2     **PM<sub>2.5</sub> concentrations over the Beijing-Tianjin-Hebei region in 2013-**  
3                                     **2018**

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13                                     **Submitted to Atmospheric Chemistry and Physics**

14  
15  
16     **Abstract**

17    The Chinese government has made many efforts to mitigate fine particulate matter, pollution in  
18    recent years by taking strict measures on air pollutants reduction, which has generated the  
19    nationwide improvements in air quality since 2013. However, under the stringent air pollution  
20    controls, how **the wintertime PM<sub>2.5</sub> concentration (i.e., the mass concentration of atmospheric**  
21    **particles with diameters less than 2.5 μm)**, varies and how much the meteorological conditions  
22    contribute to the interannual variations in PM<sub>2.5</sub> concentrations are still unclear, which is very  
23    important for the local government to assess the emission reduction of previous year and adjust  
24    mitigation strategies of next year. The effects of atmospheric circulation on the interannual variation

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27 in wintertime PM<sub>2.5</sub> concentrations over the Beijing-Tianjin-Hebei (BTH) region in the period of  
28 2013-2018 are evaluated in this study. Generally, the transport of clean and dry air masses and  
29 unstable boundary layer working with the effective near-surface horizontal divergence or pumping  
30 action at the top of the boundary layer benefit for the horizontal or vertical diffusion of surface air  
31 pollutants. Instead, the co-occurrence of a stable boundary layer, frequent air stagnation, positive  
32 water vapor advection and deep near-surface horizontal convergence exacerbate the wintertime air  
33 pollution. Favorable circulation conditions lasting for 2~4 days are beneficial for the diffusion of  
34 air pollutants, and 3~7 days of unfavorable circulation events exacerbate the accumulation of air  
35 pollutants. The occurrence frequency of favorable circulation events is consistent with the  
36 interannual variation in seasonal mean PM<sub>2.5</sub> concentrations. There is better diffusion ability in the  
37 winters of 2014 and 2017 than in other years. A 59.9% of the observed decrease in PM<sub>2.5</sub>  
38 concentrations in 2017 over the BTH region could be attributed to the improvement in atmospheric  
39 diffusion conditions. It is essential to exclude the contribution of meteorological conditions to the  
40 variation in interannual air pollutants when making a quantitative evaluation of emission reduction  
41 measurements.

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## 43 Introduction

44 Rapid economic development and associated emissions have led to recent severe air pollution over  
45 China, which has become a central issue of concern for the public and governments (Mu and Zhang,  
46 2014; Song et al., 2018; Tao et al., 2018; Wang et al., 2018; Wang et al., 2015; Zhang et al., 2014; Zhao  
47 and Garrett, 2015).. High levels of fine particulate matter (PM<sub>2.5</sub>) concentrations influence people's  
48 daily lives and threaten public health (Liu et al., 2019; Zhao et al., 2018a; Hong et al., 2019; Zhang  
49 et al., 2017; Hu et al., 2019). In addition, they are efficient in scattering and absorbing solar radiation,  
50 and are involved in the climate change by changing the surface energy budget (Bi et al., 2016; Chen et  
51 al., 2019b; Che et al., 2019; Feng and Wang, 2019; He et al., 2018b; Li et al., 2018; Jian et al., 2018; Wang  
52 et al., 2009; Wang et al., 2017; Yang et al., 2018; Zhao et al., 2019c). To mitigate PM<sub>2.5</sub> pollution, the  
53 Chinese government issued the Air Pollution Prevention and Control Action Plan (hereinafter  
54 referred to as the Clean Air Action hereinafter) in 2013, which required the Beijing-Tianjin-Hebei

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62 (BTH) region, Yangtze River Delta and Pearl River Delta to reduce their PM<sub>2.5</sub> concentrations by  
63 15~25% from 2013 to 2017 (China's State Council, 2013). A series of stringent clean air actions  
64 was implemented to improve air quality, including ~~improving~~ industrial emission standards, phasing  
65 out small and polluting factories, strengthening vehicle emission standards and more (Zhao et al.,  
66 2019b;Zhang and Geng, 2019). To further improve air quality, the state council has released a three-  
67 year action to win the battle for a blue sky in 2018, solidifying a timetable and roadmap for  
68 improving air quality. By 2020, emissions of sulfur dioxide and nitrogen oxides are required to  
69 decline by at least 15% from 2015 levels, while cities with low air quality standards should see their  
70 PM<sub>2.5</sub> density fall by at least 18%, according to the plan (China's State Council, 2018). To achieve  
71 these goals, many efforts have focused on adjustments to industrial, energy and transportation  
72 structures involved with central to local government.

73 With the implementation of the toughest-ever clean air actions from Clean Air Action, the  
74 anthropogenic emissions show significant decreased by 59% for SO<sub>2</sub>, 21% for NO<sub>x</sub>, 23% for CO,  
75 36% for PM<sub>10</sub> and 33% for primary PM<sub>2.5</sub> from 2013 to 2017 (Zheng et al., 2018;Wang et al.,  
76 2019b;Zhang et al., 2020). As a consequence, air quality in China improved significantly in terms  
77 of annual mean PM<sub>2.5</sub> concentrations, polluted days and pollution durations from 2013 to 2017, and  
78 surpassed the mitigation targets of the Clean Air Action (Fan et al., 2020;Gui et al., 2019;Zhao et  
79 al., 2018c;Zhong et al., 2018;Zhang et al., 2019a). By the end of 2017, the BTH region achieved its  
80 primary goal of reducing the annual average PM<sub>2.5</sub> concentration to less than 60 µg/m<sup>3</sup> with a  
81 decreasing trend of -9.3±1.8 µg/m<sup>3</sup> (Wang et al., 2019b). However, in addition to air pollutants  
82 emissions, atmospheric meteorological conditions play an important role in the ~~long-range~~ transport,  
83 accumulation, ~~vertical diffusion~~, scavenging and chemical production of particles, which drives the  
84 evolution of every air pollution episode (Leung et al., 2018;Huang et al., 2018;Sun et al.,  
85 2019;Garrett et al., 2010;Wang et al., 2016;Wang and Wang, 2016;Zhang et al., 2012;Zhao et al.,  
86 2018b). Moreover, the interannual to interdecadal variations in meteorological or climate signals  
87 (e.g., monsoon intensity, variation in sea ice, and the occurrence of El Niño Southern Oscillation  
88 (ENSO) and North Atlantic Oscillation (NAO)) also have significant effects on the variation in  
89 ambient PM<sub>2.5</sub> concentrations (Chen et al., 2019a;Chen and Wang, 2015;Dang and Liao, 2019;Feng  
90 et al., 2019;Li et al., 2016;Yin et al., 2019;Yin et al., 2017;Zhao et al., 2018d;Chen et al., 2019c).

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93 The global warming associated with climate change may also contribute to the air pollution in China  
94 (Cai et al., 2017; Zhang, 2017).

95 Recently, many researchers investigated how much of the recent decreased PM<sub>2.5</sub> concentrations  
96 could be attributed to the contribution ~~from~~ emission reduction compared to the effects of  
97 atmospheric elements. The studies have been carried out to evaluate the relative effects of emission  
98 reduction and meteorological conditions on the recent decrease in PM<sub>2.5</sub> concentrations (Ding et al.,  
99 2019;Guo et al., 2019;He et al., 2018a;Zhang et al., 2019d;Zhao et al., 2019a). Based on a multiple  
100 linear regression model, 12% of the decreased PM<sub>2.5</sub> over China is due to favorable meteorological  
101 conditions between 2013 and 2018 (Zhai et al., 2019). For the BTH region, Zhang et al. (2019c)  
102 used the parameter linking air quality and meteorology (PLAM) index (a meteorological pollution  
103 index for air quality) to evaluate meteorological conditions, and found that only approximately 5%  
104 of the 39.6% reduction in PM<sub>2.5</sub> in 2017 could be attributed to meteorological changes. The relative  
105 contribution of emission reduction to the decreased PM<sub>2.5</sub> concentrations in Beijing calculated by  
106 the statistical model and Weather Research and Forecasting-Community Multiscale Air Quality  
107 (WRF-CMAQ) was 80%, indicating that emission reductions were crucial for air quality  
108 improvement in Beijing from 2013 to 2017 (Chen et al., 2019d). In addition, Zhang et al. (2019b)  
109 quantified the contribution of different emission control policies to the rapid improvement in PM<sub>2.5</sub>  
110 pollution over China from 2013 to 2017 and highlighted the significant effects of strengthening  
111 industrial emission standards and upgrading industrial boilers on air quality improvement during  
112 the Clean Air Action.

113 Based on the investigation of different methods, the effectiveness of emission mitigation actions  
114 was confirmed to drive the recent remarkable improvement in air quality in China since 2013.  
115 However, most of the existing studies have focused on the relative long-term variation of air quality  
116 (i.e., five to six years since 2013) and evaluated emission reduction effects over a multiyear time  
117 scale. The Chinese government took a series of steps to reduce air pollutant emissions, which  
118 requires a certain sacrifice regarding economic growth. In this situation, the local government need  
119 an accurate evaluation of the emission reduction effects during the previous year and reasonable  
120 adjustment of the mitigation policies of next year to keep the balance of economic growth and

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122 environmental protection. The accurate evaluation of emission reduction effects should exclude the  
123 meteorological element contribution to the interannual variations of air quality. China's air quality  
124 shows obvious seasonal and regional distributions, with more frequent severe air pollution episodes  
125 in winter time and higher air pollutant concentrations in eastern China. As one of the three key  
126 regions in the Clean Air Action, lots of mitigation measurements have been taken over BTH region  
127 in recent years, which results in the significant improvement of local air quality, especially in winter  
128 time. But the relative contribution from meteorological factors are still unclear. Therefore, the  
129 contribution of meteorological conditions to the interannual variation in wintertime PM<sub>2.5</sub>  
130 concentrations over the BTH region will be discussed in this study.

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## 132 2. Data and Methods

### 133 2.1 On-site PM<sub>2.5</sub> mass concentration

134 The wintertime (December to February of the following year) hourly observed PM<sub>2.5</sub> mass  
135 concentration dataset over China from 2013 to 2018 was provided by the Ministry of Ecology and  
136 Environment of the People's Republic of China (<http://106.37.208.233:20035>). This study mainly  
137 focuses on the region of BTH region (113.5°-119°E and 36°-42.5°N, the solid-line box in Fig. 2),  
138 and 114 PM<sub>2.5</sub> stations are available over this region. Daily PM<sub>2.5</sub> data is set as missing when the  
139 valid hourly data on the specific day is less than 40%.

### 140 2.2 Method of atmospheric circulation classification

141 Commonly used objective classification methods include correlation, clustering, nonlinear methods,  
142 principal component analysis (PCA), and fuzzy analysis. Huth et al. (2008) compared these five  
143 classification methods and proposed that the performance of the T-mode PCA was the best in terms  
144 of its reproduction of predefined types, temporal and spatial stabilities, and reduced dependence on  
145 preset parameters. In this model, the input data matrix is space-time two-dimensional: the rows  
146 represent spatial grids, and the columns is time series. The data are divided into ten subsets to speed  
147 up computations, and the principal components (PCs) are achieved using the singular value

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151 decomposition for each subset and an oblique rotation is applied to the PCs to achieve better  
152 classification effects. Then, chi-square test is used to evaluate the ten classifications based on the  
153 subsets and the subset with the highest sum is chosen and assigned to a type. The T-mode PCA has  
154 been successfully applied to studies of general circulation models (Huth, 2000), climate change  
155 (Cavazos, 2000), and local air pollution (Xu et al., 2016; Valverde et al., 2015; Miao et al., 2017; Li  
156 et al., 2019). Zhang et al. (2012) first employed the obliquely rotated T-mode PCA method  
157 developed by European Cooperation in Science & Technology (COST) action 733  
158 (<http://www.cost733.org>) (Philipp et al., 2014) to identify the circulation pattern that is conducive  
159 to particulate matter pollution in North China. In this study, the four-times-daily dataset of the fifth  
160 generation European Centre for Medium-Range Weather Forecasts (ECMWF ERA5) atmospheric  
161 reanalysis in winters from 2013 to 2018 with a horizontal resolution of 0.25° was used for synoptic  
162 circulation classification. The daily mean geopotential height fields at 925, 850 and 500 hPa were  
163 applied to the T-mode PCA method in the Cost733 toolbox. Our target region is 105°-125°E and  
164 30°-55°N (the dashed box in Fig. 3). Prior to using Cost733, the number of principal components  
165 need to be defined manually. To exclude the influences of various number of principal components,  
166 sensitivity tests with principal components from 2 to 10 are conducted in this study, the explained  
167 variances of which are shown in Fig. S1.

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### 168 **2.3 Model simulation**

169 The regional chemical/transport model WRF chemical model (WRF-Chem) version 4.0, was  
170 applied to simulate the effects of meteorological condition variation on seasonal air pollution over  
171 northern China at a horizontal resolution of 9 km (245\*220 horizontal grid cells) and vertical  
172 resolution of 33 layers. The simulation domain covers most areas of the North China region (Fig.  
173 10). The initial and lateral meteorological boundary conditions are derived from the National  
174 Centers for Environmental Prediction Final (NCEP FNL) reanalysis data every 6 hours. The  
175 chemical and aerosol mechanisms used were the RADM2 chemical mechanism from Stockwell et  
176 al. (1990) and MADE/SORGAM aerosols (Ackermann et al., 1998; Schell et al., 2001).  
177 MADE/SORGAM are used to simulate all major aerosol components including sulfate, nitrate,  
178 ammonium, black carbon, organic carbon, sodium, chloride, mineral dust, and water content.

182 Madronich photolysis was used to calculate photochemical reactions. Other major physical  
183 processes included the CAM shortwave radiation (Collins et al., 2004), RRTMG longwave radiation  
184 (Iacono et al., 2008), the unified Noah land-surface model land surface option and MYJ planetary  
185 boundary layer parameterization (Janjić, 1994). To consider the couple effects of aerosol and  
186 meteorology, the parameterization of feedback from aerosol to radiation, feedback from convection  
187 to atmospheric radiation and photolysis, wet scavenging and cloud chemistry are turned on in the  
188 simulation.

189 To evaluate the impacts of meteorological contributions on the PM<sub>2.5</sub> variation between the 2016  
190 winter (Dec. 2016 to Feb. 2017) and 2017 winter (Dec. 2017 to Feb. 2018) over the BTH region,  
191 we conducted two sensitivity runs: the same emissions as the 2016 winter and the actual  
192 meteorological conditions of 2016 and 2017. Thus, the difference in the simulated PM<sub>2.5</sub>  
193 concentrations between the 2016 and 2017 winters could be attributed to the meteorological  
194 variation, which can be assumed as a typical value of meteorological contribution to the interannual  
195 variation of PM<sub>2.5</sub> concentrations. The anthropogenic emission inventory for 2016 developed by  
196 Tsinghua University was used in this study (available at <http://www.meicmodel.org>), as is named  
197 the Multiresolution Emission Inventory for China (MEIC), containing monthly anthropogenic  
198 emissions of SO<sub>2</sub>, NO<sub>x</sub>, CO, NH<sub>3</sub>, PM<sub>2.5</sub>, PM<sub>coarse</sub>, BC, OC and NMVOCs. The horizontal  
199 resolution of the MEIC used in this study is 0.25°. Each simulation is initialized at 00:00 UTC on  
200 Nov. 23, and the first week simulations are regarded as the spin-up period. Daily mean PM<sub>2.5</sub>  
201 concentrations between Dec. 1, 2016 to Feb. 28, 2017, and Dec. 1, 2017 to Feb. 28, 2018, are used  
202 to investigate the effects of meteorological conditions on seasonal air pollution.

203

### 204 3. Results

#### 205 3.1 Dominant synoptic circulation types in winter over the BTH region

206 As shown in Fig.1, the wintertime PM<sub>2.5</sub> concentrations over the BTH region show a remarkable  
207 decrease from 2013 to 2018 due to a series of air pollution reduction measures. Compared to 2013,  
208 the mean PM<sub>2.5</sub> concentration for 2018 decreased by 35.6% over 114 stations around the BTH region

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212 (cf. Table 1). However, under the background of improved air quality, evident interannual variations  
213 in  $PM_{2.5}$  concentrations have been observed in recent years. The  $PM_{2.5}$  concentrations in the winters  
214 of 2016 and 2018 are higher than those in the same period of the previous year, with mean values  
215 increasing by 18% and 13.36%, respectively. The high emissions of primary fine particulate matters  
216 and its precursors are considered as internal factors of severe  $PM_{2.5}$  pollution in China; thus,  
217 emission reduction is the most direct and effective way to improve local air quality. However, the  
218 evolution of each air pollution episode is strongly affected by the local synoptic circulation pattern.  
219 Both emissions and atmospheric conditions are related to the ambient  $PM_{2.5}$  concentration level. It  
220 is essential to exclude the atmospheric circulation impacts on air quality when assessing emission  
221 mitigation effects.

222 We use synoptic circulation types to measure the ability of atmospheric circulation to accumulate,  
223 remove, and transport air pollutants. The daily mean geopotential height fields at 925, 800 and 500  
224 hPa in the winters of 2013 to 2018 (total of 451 days) are used to conduct objective synoptic  
225 circulation classification based on the T-mode PCA method with the Cost733 toolbox. Three levels  
226 of geopotential height fields (i.e., 925 850 and 500 hPa) in the lower to middle troposphere over  
227  $105^{\circ}$ - $125^{\circ}$ E and  $30^{\circ}$ - $55^{\circ}$ N are used in circulation type (CT) classification. Six typical synoptic  
228 circulation types (CTs) are identified during winter in the BTH region, with a total explained  
229 variance of 70% (Fig. S1). The horizontal (i.e., sea level pressure (SLP), wind, relative humidity  
230 (RH) and boundary layer height (BLH)) and vertical (i.e., atmospheric stability, vertical velocity,  
231 temperature and divergence) distributions of meteorological variables are used to illustrate the  
232 mechanism behind CT effects on air pollution. To obtain a broad view of the six CTs, the horizontal  
233 distribution of atmospheric circulation patterns, as shown in Fig. 2 and Fig. 3 cover a larger area  
234 than the area used in the CT classification with the Cost733 toolbox.

235 Fig. 2 and Fig. 3 exhibit the original and anomalous patterns of the mean SLP and surface wind field  
236 of each CT, respectively. CT1 is the most frequent CT during the study period with an occurrence  
237 frequency of 33% based on the results of the Cost733 classification. CT1 shows that a high-pressure  
238 system originates in the Siberian region extending along central Inner Mongolia to southern China.  
239 Northwesterly winds prevail in northern China and turn into northerly winds in southern China. The

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241 mean wind speed is 3.27 m/s over the BTH region (cf. Table 2), which is the highest among the six  
242 CTs and benefits the outward transport of local air pollutants. Fig. 3 shows the SLP and surface  
243 wind anomalies of each CT. In the CT1 situation, the BTH region is located west of the cyclonic  
244 anomaly, which is dominated by an obvious northwesterly wind anomaly. The wind field pattern  
245 corresponds to the negative RH anomaly over the BTH region in Fig. 4. The vertical profiles of  
246 dynamic and thermodynamic stratification are included to investigate vertical diffusion. Based on  
247 the vertical distribution of atmospheric stability shown in Fig. 5, atmospheric stratification is  
248 characterized by a stable layer at the top of the boundary layer for all the cases. For CT1, an obvious  
249 unstable stratification occurs at the bottom of boundary layer over the BTH region, which enhances  
250 the turbulent activities and is beneficial for the vertical diffusion of air pollutants. The unstable  
251 boundary layer is also confirmed by the positive BLH anomaly and elevated negative temperature  
252 anomaly, as shown in Fig. S2 and Fig. S3. Fig. S4 shows a strong surface divergence and strong top  
253 convergence vertical pattern in CT1, which generates sinking movement over the BTH region. As  
254 shown in Fig. 6, a subsidence anomaly appears at the lower to middle troposphere over the BTH  
255 region with a mean descending velocity of 0.04 pa/s between 850 and 1000 hPa. The strong  
256 downdraft brings a clean and dry air mass to the surface and increases the horizontal divergence of  
257 surface air pollutants (shown in Fig. S4). The cold, clean and dry air mass transported by the surface  
258 northwesterly winds, unstable boundary layer and strong horizontal divergence are favorable for the  
259 improvement in ambient air quality.

260 The occurrence frequency of CT2 is 11%. As shown in Fig. 2, a high-pressure system around Baikal  
261 is obvious under the CT2 condition, which is stronger and further east than CT1. The BTH region  
262 is located at the ridge of the high-pressure system with weak northwesterly winds occurring in the  
263 northern BTH region, which turn to northeasterly in the southern BTH region. The anomalous fields  
264 in Fig. 3 show a large area of a positive SLP anomaly over the north of 40°N. The BTH region is  
265 just located at the south edge of the anticyclone anomaly with prevailing northeasterly surface wind.  
266 Fig. 4 shows a weak negative RH anomaly over the BTH region due to the dry wind from the  
267 northeast. Similar to CT1, CT2 also shows an unstable stratification in the boundary layer, which  
268 increases the vertical diffusion of air pollution. Both the weak positive BLH anomaly and elevated  
269 negative temperature anomaly indicate the enhanced instability of the atmospheric boundary layer

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270 (Figs. S2-S3). Intense updraft is stimulated by strong convergence at the surface working with  
271 strong divergence at the top of the boundary layer, as shown in Fig. S4. As shown in Fig. 6, upward  
272 movement dominates in the middle-low troposphere over the BTH region with a mean ascending  
273 velocity of 0.0358 pa/s between 850 and 1000 hPa. Although the elevated temperature stability is  
274 relatively strong in CT2, the bottom-up updraft breaks through the stable layer and brings the surface  
275 air pollutants to the free atmosphere. In summary, the unstable boundary layer working with the  
276 upper divergence pumping action enhances the vertical diffusion of surface air pollutants, which  
277 will decrease the surface concentrations of air pollutant.

278 CT3 shows a relatively uniform SLP distribution with a weak pressure gradient over the BTH region  
279 as shown in Fig. 2. The prevailing westerly wind hinders the southward transport of the cold air  
280 mass to some extent. The cyclonic anomaly with southwesterly wind can be found over the BTH  
281 region. As shown in Fig. 3, the southwesterly wind transports the upstream air pollutants and warm  
282 moisture to the BTH, which accelerates the hygroscopic growth of particles, promotes the gas-to-  
283 particle transformation and increases the local air pollutant concentration (Wang et al., 2019a). The  
284 positive RH and temperature anomaly in Fig. 4 and Fig. S3 correspond to the southwesterly wind  
285 anomaly. Unlike to CT1 and CT2, CT3 shows a stable stratification below 700 hPa. In addition, the  
286 upper unstable stratification of CT3 is lower than that of CT1 and CT2, indicating a negative BLH  
287 anomaly (as shown in Fig. S2). CT3 also shows upward movement over the BTH region, but it is  
288 weaker than CT2 by one order of magnitude. By contrast, the effects of the stronger near-surface  
289 convergence will offset the upward transport, which will increase the local air pollutants. The stable  
290 boundary layer, southeasterly warm moisture and effective convergence aggravate local air  
291 pollution.

292 For the cases of CT4 and CT5, the BTH region is co-located with a weak surface anticyclone with  
293 low average surface winds of 2.24 and 2.58 m/s, respectively. The calm surface winds coexisting  
294 with the lower BLHs (cf. Fig. S2) decrease the ventilation coefficient and increase the occurrence  
295 of air stagnation conditions. The surface anomaly fields show southeasterly and southerly winds in  
296 CT4 and CT5, respectively. As shown in Fig. 4, the northward wind anomaly increases the humidity  
297 and air pollutants of the BTH region. Based on the vertical profiles of temperature and atmospheric

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298 stability, an elevated positive temperature anomaly increases the stability of the boundary layer, thus  
299 reducing the vertical diffusion of air pollutants. The weak near surface convergence could increase  
300 the accumulation of air pollution, but moderate upward movement will bring the surface air  
301 pollutants to the outside of the boundary layer, which offsets the surface convergence to some extent.  
302 CT4 and CT5 had the same occurrence of 15% during the study period. Although the CT4 and CT5  
303 show different large-scale surface circulation patterns, the meteorological variables over the BTH  
304 region are almost the same. The air stagnation conditions and southerly water vapor transport result  
305 in the accumulation and hygroscopic growth of particles.

306 In terms of CT6, the BTH region is located at the ridge of the Mongolian anticyclone, and its high-  
307 pressure system is weaker than that of CT2. The prevailing wind turns from northwest to northeast  
308 over the BTH region. As shown by the surface meteorological anomaly distribution, the BTH region  
309 is situated at the border between the northern anticyclonic and southern cyclonic anomalies with  
310 prevailing northeasterly wind coming from the Bohai Sea. A large amount of water vapor from the  
311 sea plays an important role in the hygroscopic growth of particles over the BTH region. Fig. 5  
312 indicates a stable boundary layer when CT6 occurs, which reduces the vertical diffusion of surface  
313 air pollutants. CT6 shows a deep horizontal convergence under 850 hPa, which is favorable for the  
314 accumulation of moisture and air pollutants. The effect of the relatively weak divergence above  
315 strong convergence is not distinct for the improvement in surface air quality. Therefore, the  
316 circulation pattern of warm moist flow from the sea, a stable boundary and effective horizontal  
317 convergence exacerbates local air pollution.

### 318 **3.2 Atmospheric circulation pattern effects on air quality**

319 The potential mechanisms of the CT effects on local air quality are discussed in [section 3.1](#).  
320 Combinations of the following situations are favorable for the improvement in air quality:  
321 transport of a clean and dry air mass, unstable boundary layer, effective horizontal divergence and  
322 vertical transport of air pollutants to the free atmosphere. In contrast, the positive humidity anomaly,  
323 stable boundary layer, frequent air stagnation conditions and deep horizontal convergence  
324 exacerbate air pollution.

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326 To exclude the effects of interannual variation in air quality due to the emission reduction  
327 background, the daily PM<sub>2.5</sub> concentration distribution displayed by year and CT, as shown in Fig.  
328 7 reveals the effects of CT on air quality. The mean and median values of PM<sub>2.5</sub> concentrations  
329 during each CT are summarized in Table 1. The mean and median PM<sub>2.5</sub> concentrations in the CT1  
330 condition are both lower than the seasonal mean and median for all years. Under the CT2 condition,  
331 the PM<sub>2.5</sub> concentrations are also lower than the seasonal mean except for 2014. However, the PM<sub>2.5</sub>  
332 concentrations are generally higher than the seasonal mean in CT3-CT6. As for the multiyear  
333 average, it shows distinctly lower PM<sub>2.5</sub> concentrations in CT1 and CT2 than the other CTs. Based  
334 on the PM<sub>2.5</sub> concentration in each CT, CT1 and CT2 can be considered as favorable CTs for air  
335 quality, which are beneficial for the diffusion of air pollutants, and CT3-CT6 are unfavorable CTs,  
336 which exacerbate air pollution.

337 Giving the above analysis, PM<sub>2.5</sub> concentration tended to be lower than normal when a favorable  
338 CT occurred, and vice versa. Therefore, the occurrence frequency of each CT plays an important  
339 role in air quality during the study period. CT1 and CT2 are combined as the favorable circulation,  
340 and CT3-CT6 are referred to as the unfavorable circulation. Fig. S5 exhibits the seasonal  
341 occurrences of favorable and unfavorable circulation types. Fifty-four days of unfavorable  
342 circulation occurred in winter 2013, which is the greatest frequency during the study period. A  
343 higher unfavorable circulation frequency was also shown in 2014 and 2018 winters. In contrast, the  
344 favorable circulations were much higher in 2015 and 2017 winters than in the other winters. The  
345 seasonal frequencies of favorable and unfavorable circulations are in line with the trend in seasonal  
346 PM<sub>2.5</sub> concentrations. It is worth noting that although the seasonal mean PM<sub>2.5</sub> concentration in the  
347 winter of 2015 (Dec. 2015 to Feb. 2016) is lower than that of 2014, the PM<sub>2.5</sub> concentration in Dec.  
348 2015 is much higher than that in Dec. 2014. The high PM<sub>2.5</sub> concentration in Dec. 2015 is consistent  
349 with the high frequency of unfavorable CTs during that time, which indicates the robustness of  
350 circulation classification.

351 However, every air pollution event has a duration from the development to decay stage. Generally,  
352 several days are needed for the accumulation of air pollutants, followed by a relatively quick  
353 removal. The variation in meteorological conditions controls the evolution of each air pollution

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355 episode. Therefore, the duration of each CT determines the duration of the air pollution event. Fig.  
356 8 exhibits the variation in the  $PM_{2.5}$  concentration anomaly with the duration of favorable and  
357 unfavorable CTs. As discussed above, the favorable circulations generally correspond to the  
358 negative  $PM_{2.5}$  concentration anomaly (lower than the monthly mean), while the unfavorable  
359 circulations result in a positive  $PM_{2.5}$  concentration anomaly. When the favorable circulation  
360 duration is shorter than 4 days, the absolute values of the negative anomaly of  $PM_{2.5}$  concentrations  
361 increase with the duration of favorable circulation; however, with the continuous increase in  
362 favorable circulation durations, the magnitude of the negative anomaly of  $PM_{2.5}$  concentrations  
363 slightly decreases and remains unchanged. Similarly, the positive anomalies of the  $PM_{2.5}$   
364 concentrations increase with the duration of unfavorable circulation durations when the duration is  
365 less than 7 days. However, the effect of circulation on air pollutant diffusion is not obvious when a  
366 one-day favorable or one-two-day unfavorable circulation occurs. That is favorable CTs lasting 2~4  
367 days are beneficial for the diffusion of air pollutants; and unfavorable circulation events lasting 3~7  
368 days exacerbate the accumulation of air pollutants.

369 The occurrences of 2~4 days favorable circulation and 3~7 days of unfavorable CTs are shown in  
370 Fig. 9. It shows a high frequency of 2~4 days of favorable circulation in 2017 and 2014 with totally  
371 15 and 13 days, respectively. The favorable circulation occurrences are lower in the winters of 2016  
372 and 2018 than in the other winters. In terms of the 3~7 days of unfavorable circulations, the years  
373 of 2013, 2016 and 2018 show higher frequencies than the other years. Therefore, based on the  
374 occurrence of favorable and unfavorable CTs, the atmospheric diffusion abilities are better in 2014  
375 and 2017 than in the other years. The significant improvement in air quality in 2014 and 2017 is  
376 consistent with the improvement in atmospheric diffusion abilities compared to their previous years.

### 377 **3.3 Contributions of atmospheric diffusion condition variations to the $PM_{2.5}$ concentration** 378 **decrease between 2016 and 2017**

379 Although the interannual variation in  $PM_{2.5}$  concentrations show good correlation with the  
380 occurrence of favorable or unfavorable circulation, Sec. 3.2 is just a qualitative analysis. Taking the  
381 interannual variation in  $PM_{2.5}$  concentrations between 2016 and 2017 as an example, the model  
382 simulation based on the WRF-Chem model is used to evaluate the quantitative contributions of

383 meteorological condition variations to the PM<sub>2.5</sub> concentration decrease in 2017. The emissions are  
384 fixed in 2016 (Dec. 2016 to Feb. 2017), and the meteorological fields come from the NECP GDAS  
385 Final Analysis dataset for the 2016 and 2017 winters, respectively. The meteorological fields and  
386 air pollutants over some cities from north to south in the simulated domain (i.e., Shijiazhuang,  
387 Beijing, Tianjin, Xuzhou and Shanghai) are included to evaluate the performance of the model  
388 simulation. Fig. S6 shows the variations in the observed and simulated daily mean air temperature,  
389 sea level pressure, relative humidity and surface wind speed from Jan. to Feb. of 2017. Although  
390 the model slightly overestimates the surface wind speed over Shijiazhuang and Shanghai, most of  
391 the simulated meteorological variables agree well with the observations over all cities. For the  
392 concentration of air pollutants in Fig. S7, the model generally underestimates the PM<sub>2.5</sub>  
393 concentrations under highly polluted conditions, with a bias of 44.9%~59.6% (different cities) when  
394 the observed PM<sub>2.5</sub> was higher than 75  $\mu\text{g}/\text{m}^3$ . However, the bias between the simulated and  
395 observed PM<sub>2.5</sub> concentrations decreased to 12.4%~26.8% at lower PM<sub>2.5</sub> concentration level. Due  
396 to the deficiency of the PBL scheme (Tie et al., 2015), the heterogeneous/aqueous process in the  
397 model (Li et al., 2011) and uncertainty in the emission inventory, current air quality models show  
398 limited capacity in severe air pollution episodes. However, the day-to-day variation in all the air  
399 pollutants can be well captured by the WRF-Chem model, with the highest correlation coefficient  
400 of 0.76 between the observed and simulated PM<sub>2.5</sub> in Xuzhou. Overall, both the meteorological  
401 variables and air pollutants are well reproduced by the WRF-Chem model, which provides  
402 confidence for further discussions.

403 The simulated seasonal mean PM<sub>2.5</sub> concentrations of the 2016 and 2017 winters are presented in  
404 Fig. S8. It shows a significant spatial distribution of seasonal PM<sub>2.5</sub> concentrations with higher  
405 concentrations over the BTH region, Shandong and Henan Provinces. Even though the emissions  
406 were set to the level of 2016, the simulated seasonal PM<sub>2.5</sub> concentrations in 2016 were much higher  
407 than those in 2017 due to the difference in meteorological fields. Fig. 10 exhibits the observed and  
408 simulated PM<sub>2.5</sub> concentration differences between 2017 and 2016. Both the observations and  
409 simulations show significant negative growth in PM<sub>2.5</sub> concentrations over northern China from  
410 2016 to 2017 in winter but relatively weak positive growth over the lower Yangtze River Delta. The  
411 BTH region is located at the center of negative growth, with an observed ~~47.7~~  $\mu\text{g}/\text{m}^3$  decrease in

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417 PM<sub>2.5</sub> concentration from 2016 to 2017 ~~at 114 stations over the region of 113°-117.5°E and 36°-~~  
418 ~~42°N~~. While, the simulated difference of PM<sub>2.5</sub> ~~at these 114 stations~~ is ~~-11.7~~ μg/m<sup>3</sup>, which is much  
419 lower than the observed value. The absolute PM<sub>2.5</sub> concentration would be underestimated because  
420 of the limited performance of the WRF-Chem model under severe air pollution; therefore, the  
421 relative differences between 2016 and 2017 are involved to evaluate the effects of meteorological  
422 field variations on the decrease in PM<sub>2.5</sub> concentrations. Based on the relative difference in PM<sub>2.5</sub>  
423 concentration between 2016 and 2017, the observed difference at ~~the~~ 114 stations over the BTH  
424 region is ~~-37.7%~~ compared to the mean value of 2016 winter, and the averaged simulated difference  
425 is ~~-22.6%~~, which is due to the difference in meteorological conditions. Thus, ~~59.9%~~ of the observed  
426 ~~37.7%~~ decrease in PM<sub>2.5</sub> concentration in 2017 over the BTH region could be attributed to the  
427 improvement in atmospheric diffusion conditions. The variation of meteorological conditions plays  
428 an important role in the interannual variation in air pollutant concentrations.

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#### 430 4. Conclusions and Discussion

431 Recent severe PM<sub>2.5</sub> pollution in China has aroused unprecedented public concern. The Chinese  
432 government has implemented many emission reduction measurements, which has greatly improved  
433 the air quality recently. The wintertime PM<sub>2.5</sub> concentration of 2018 decreased by 35.6% compared  
434 to 2013 over the BTH region. However, there was obvious interannual variation in PM<sub>2.5</sub>  
435 concentrations from 2013 to 2018. Atmospheric circulation classification method based on the  
436 Cost733 toolbox is used to investigate the mechanism behind atmospheric circulation effects on air  
437 pollutant diffusion. Six CTs are identified during the winters from 2013 to 2018 over northern China,  
438 and two of which are considered as favorable circulations for air pollutant diffusion and the other  
439 four CTs exacerbate local air pollution. Generally, the transport of clean and dry air mass and  
440 unstable boundary layers working with the effective near-surface horizontal divergence or pumping  
441 action at the top of the boundary layer will benefit for the horizontal or vertical diffusion of surface  
442 air pollutants. However, the co-occurrence of a stable boundary layer, frequent air stagnation,  
443 positive water vapor advection and deep near-surface horizontal convergence exacerbates the air  
444 pollution.

452 Except for the atmospheric circulation characteristic of CTs, the durations of each circulation type  
453 also have a great influence on the local air quality. The one-day favorable or less than two-day  
454 unfavorable circulations have no significant effects on the diffusion and accumulation of air  
455 pollutants. Comparatively speaking, favorable CTs lasting for 2~4 days are beneficial for the  
456 diffusion of air pollutants, and the 3~7 days of unfavorable circulation events exacerbate the  
457 accumulation of air pollutants. The occurrences of 2~4 days of favorable and 3~7 days of  
458 unfavorable circulation are used to evaluate the atmospheric diffusion ability, which shows better  
459 diffusion abilities in 2014 and 2017 than in the other years. Taking the decrease of PM<sub>2.5</sub>  
460 concentration between 2016 and 2017 as an example, 59.9% of the decreased concentration over  
461 the BTH region could be attributed to the improvement in atmospheric diffusion conditions of 2017.  
462 The variation in meteorological conditions plays an important role in the interannual variation in air  
463 pollutant concentrations. The 2020 is the key and target year for the three-year action to win the  
464 battle for a blue sky goal set in 2018. It is essential to exclude the contribution of meteorological  
465 conditions to the variation in interannual air pollutants when making a quantitative evaluation of  
466 emission reduction measurements.

467 The quantitative evaluation of meteorological elements contribution to the interannual variation of  
468 PM<sub>2.5</sub> concentrations between winters of 2016 and 2017 is derived from the WRF-Chem simulation  
469 in this study. Although the model performance for PM<sub>2.5</sub> is generally satisfactory in Fig. S7, it shows  
470 obvious underestimation in the severe haze days. Reasons for these biases might be the  
471 overestimation in surface wind speed, uncertainties of emission inventory and insufficient  
472 treatments of some new chemistry mechanisms of particle formation, which need be further  
473 discussed in the future. In addition, some emission modules are turned off to reduce the computation  
474 cost, i.e., dust, sea salt, dimethyl sulphide, biomass burning and wildfires, which would result in the  
475 uncertainty of simulated PM<sub>2.5</sub> mass concentrations.

476  
477 **Acknowledgments:** This study was supported by the National Natural Science Foundation of China  
478 (41790470 and 41805117).

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482 **Code/Data availability:** The release version 4.0 of WRF-Chem can be download from  
483 [http://www2.mmm.ucar.edu/wrf/users/download/get\\_source.html](http://www2.mmm.ucar.edu/wrf/users/download/get_source.html). Hourly PM<sub>2.5</sub> concentration  
484 observations were obtained from the website of Ministry of Ecology and Environment of the  
485 People's Republic of China (<http://106.37.208.233:20035>). Daily four times ECMWF ERA5 dataset  
486 during 2013 to 2018 are downloaded from [https://www.ecmwf.int/en/forecasts/datasets/reanalysis-](https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5)  
487 [datasets/era5](https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5). Hourly observations of meteorological variables used for the WRF-Chem simulation  
488 evaluations are downloaded from the Intergrated Surface Database of National Climate Data Center  
489 (<https://www.ncdc.noaa.gov/isd>).

490

491 **Competing interests:** The authors declare that they have no conflict of interest.

492

493 **Author contributions:** Wang X. and Zhang R. designed research; Wang X. performed the analyses  
494 and wrote the paper; All authors contributed to the final version of the paper.

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496 **Figure Captions:**

497 Figure 1. Interannual variation in the wintertime PM<sub>2.5</sub> concentrations at 114 stations over the BTH region. In each  
498 box, the central mark indicates the median, and the bottom and top edges of the box indicate the 25th and 75th  
499 percentiles, respectively. The whiskers extending to the most extreme data points are considered outliers. The region  
500 covered by the blue box in Fig. 2 is considered as the BTH region (113°-117.5°E and 36°-42°N).

501 Figure 2. The distribution of sea level pressure (shaded, unit: pa) and 10 m wind fields (vector, unit: m/s) in each  
502 circulation type. The number over each subplot indicates the occurrence frequency of the specific circulation type.  
503 The solid blue box is the location of BTH region. The daily mean geopotential height fields at 925, 850 and 500 hPa  
504 over the dashed blue box (105°-125°E and 30°-55°N) were applied to T-mode PCA method with the cost733 toolbox.  
505 The region mean wind speed of each circulation type is shown in Table 2.

506 Figure 3. The distribution of sea level pressure (unit: pa) and 10 m wind fields (unit: m/s) anomaly in each circulation  
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509 Figure 4. The distribution of relative humidity in each circulation type (unit: %). The anomaly values are with respect  
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511 Figure 5. Zonal profile of temperature lapse rate over the BTH region (36°-42°N) (unit: K/100 m). The gray region  
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513 the BTH region (113°-117.5°E).

514 Figure 6. Zonal vertical profile of vertical velocity anomaly over BTH region (unit: pa/s). The anomaly of the vertical  
515 velocities is with respect to the 1980 to 2010 mean value.

516 Figure 7. The box plot of the PM<sub>2.5</sub> concentrations varies with the circulation types. To exclude the effect of emission  
517 reduction on the annual mean PM<sub>2.5</sub> concentrations, the PM<sub>2.5</sub> distributions at the year and multiyear (average) scales  
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527 top of each bar.

528 Figure 10. Distributions of the observed and simulated  $PM_{2.5}$  difference between the winters of 2016 and 2017. The  
529 left panel is the absolute value (unit:  $\mu\text{g}/\text{m}^3$ ) and the right panel is the relative difference with respect to the mean  
530 value of 2016 (unit: %). The simulated seasonal mean  $PM_{2.5}$  concentrations during the two years are shown in Fig.  
531 S8.

532

533 Table 1. The seasonal mean and median PM<sub>2.5</sub> concentrations in each atmospheric circulation type (CT) over the  
 534 BTH region. PM<sub>2.5</sub> concentrations in bold represent the mean/median value of each CT lower than the all-case  
 535 seasonal mean/median value.

Seasonal Mean/ Median ( $\mu\text{g}/\text{m}^3$ )	CT1	CT2	CT3	CT4	CT5	CT6
2013 (123.97/97.23)	<b>104.99/71.42</b>	<b>94.51/69.33</b>	144.76/118.50	135.47/117.20	166.28/156.52	<b>67.90/47.21</b>
2014 (93.07/75.79)	<b>71.03/51.52</b>	122.99/109.37	105.91/96.82	<b>86.26/72.06</b>	115.37/94.69	118.16/110.17
2015 (95.67/65.97)	<b>58.56/38</b>	<b>89.38/73.07</b>	134.77/114.69	135.91/106.36	124.15/99.81	106.14/70.63
2016 (112.94/91.32)	<b>84.74/66.16</b>	<b>110.02/88.10</b>	138.96/114.26	122.86/95.02	142.52/128.77	132.95/129.52
2017 (70.44/54.07)	<b>56.49/43.16</b>	<b>60.70/39.61</b>	80.03/67.39	83.89/67.24	93.63/79.28	<b>69.77/52.23</b>
2018 (79.85/63.02)	<b>77.99/60.68</b>	<b>51.77/37.43</b>	89.26/77.57	86.70/81.35	<b>75.08/52.72</b>	108.60/93.02
AVERAGE (95.27/72.22)	<b>73.14/53.04</b>	<b>79.12/54.89</b>	115.18/96.29	109.85/88.25	116.04/89.04	100.40/82.04

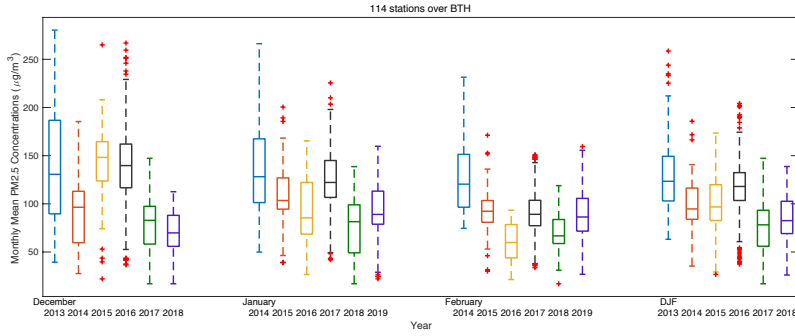
536

537 Table 2. Regional mean meteorological variables over the BTH region under each circulation type

Variables	CT1	CT2	CT3	CT4	CT5	CT6
Surface wind speed (m/s)	3.27	2.31	2.71	2.24	2.58	2.54
Surface wind speed anomaly (m/s)	0.53	-0.42	-0.04	-0.49	-0.15	-0.19
Mean vertical velocity anomaly between 850 to 1000 hPa (pa/s)	0.04	-0.0358	-0.0038	-0.0296	-0.0111	-0.0213
Difference of temperature anomaly between 850 and 1000 hPa (K)	-0.716	-0.206	0.664	0.456	0.232	0.485

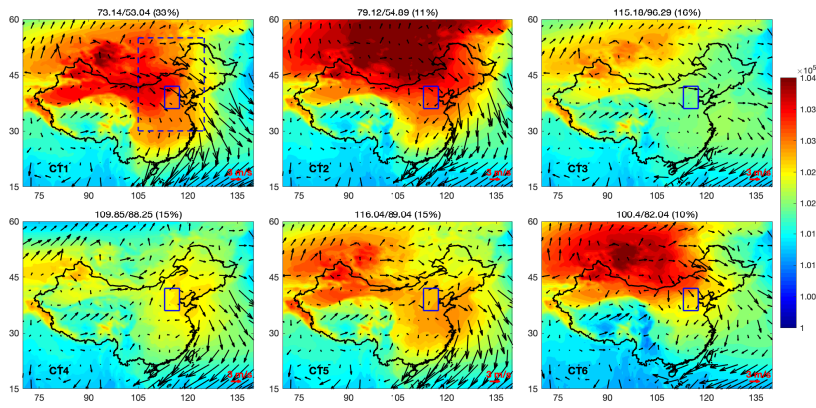
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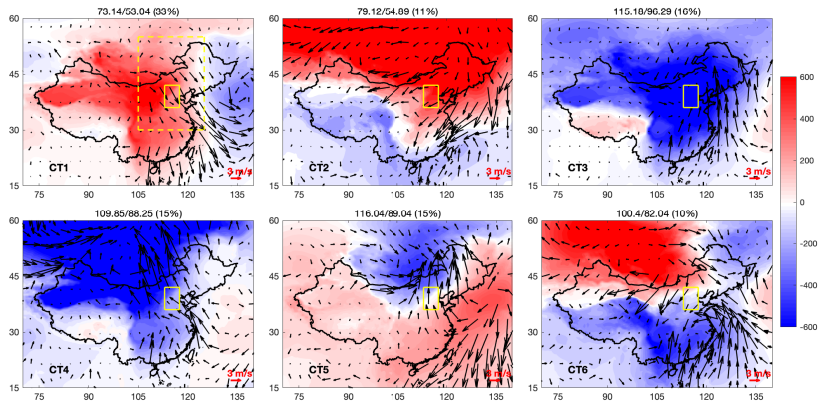
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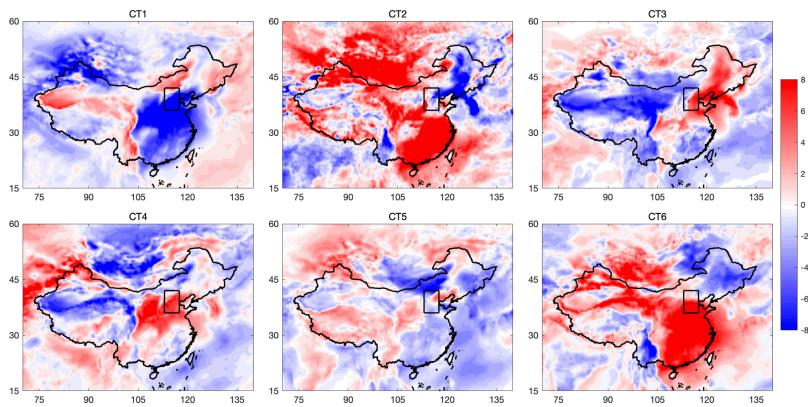
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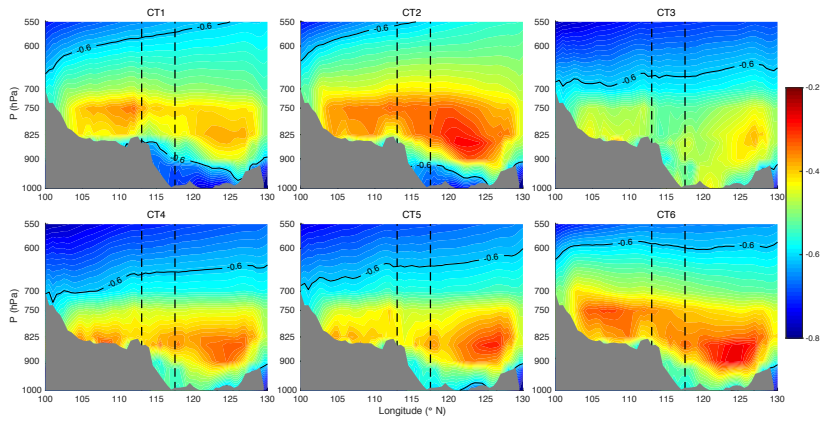


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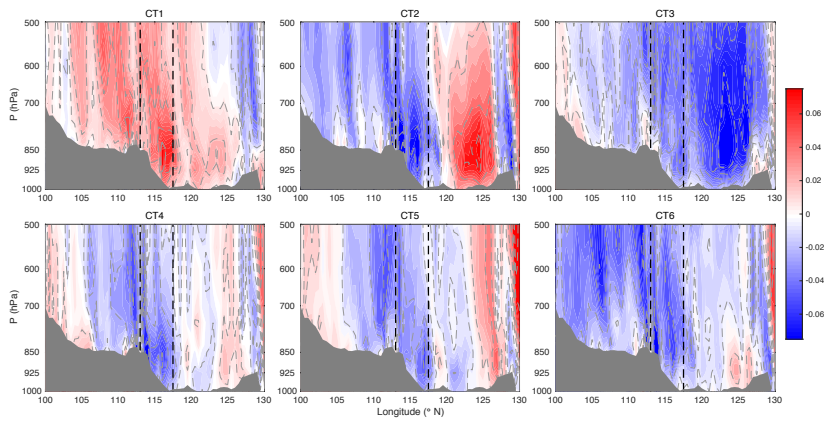
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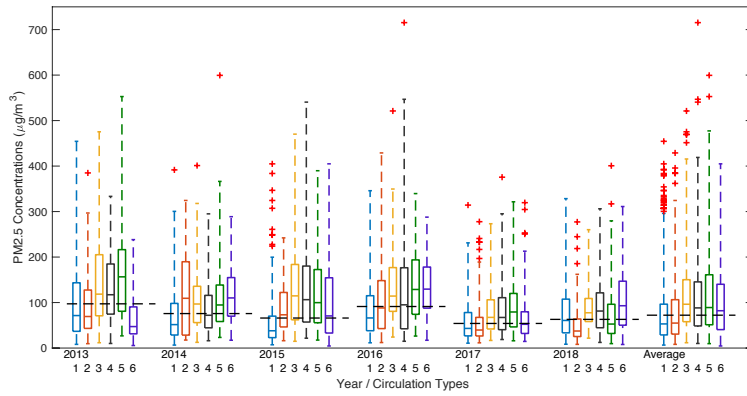
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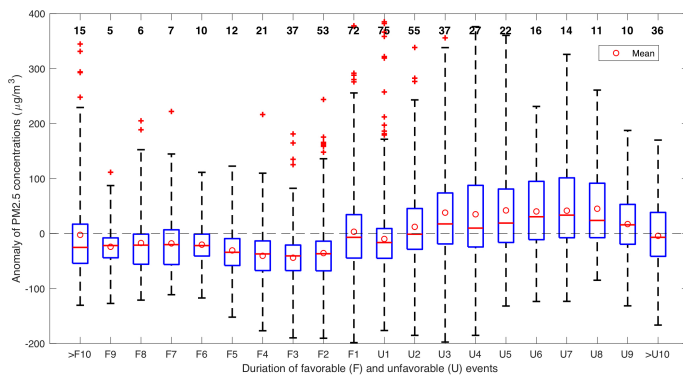
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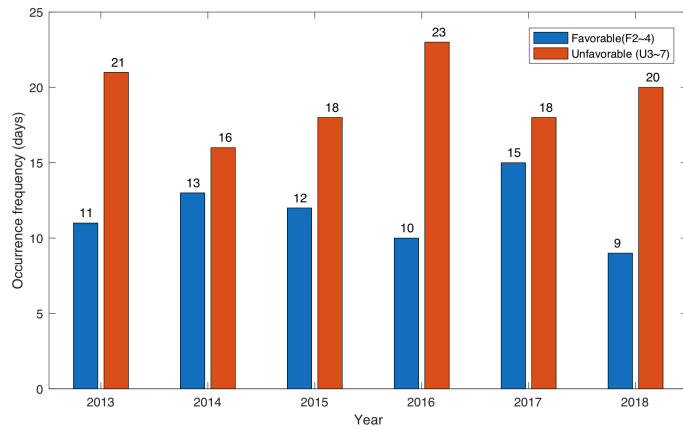
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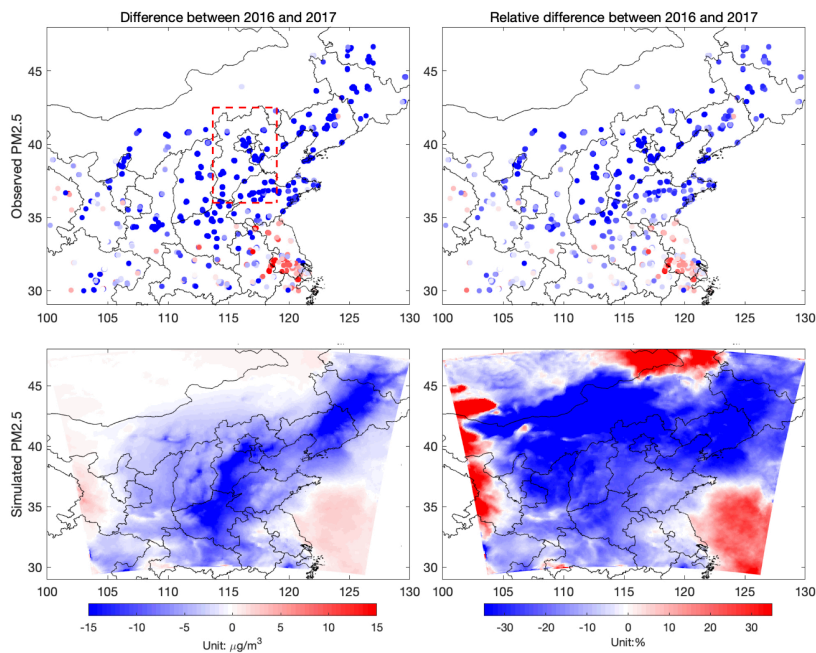
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