



1 **Characterization and source apportionment of aerosol light**
2 **scattering in a typical polluted city in Yangtze River Delta,**
3 **China**

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ABSTRACT

17 Through online observation and offline chemistry analysis of samples at
18 suburban, urban and industrial sites (NJU, PAES and NUIST respectively) in Nanjing,
19 a typical polluted city in Yangtze River Delta, we optimized the aerosol light
20 scattering estimation method, identified its influencing factors, and quantified the
21 contributions of emission sources to aerosol scattering. The daily average
22 concentration of PM_{2.5} during the sampling period (November 2015-March 2017) was
23 $163.1 \pm 13.6 \mu\text{g}/\text{m}^3$ for the heavily polluted period, 3.8 and 1.6 times those for the
24 clean ($47.9 \pm 15.8 \mu\text{g}/\text{m}^3$) and lightly polluted ($102.1 \pm 16.4 \mu\text{g}/\text{m}^3$) periods,
25 respectively. The largest increase in PM concentration and its major chemical
26 components was found at the size range of 0.56-1.0 μm for the heavily polluted period,
27 and the contributions of nitrate and sulfate were the greatest in the 0.56-1.0 μm
28 fraction (19.4-39.7% and 18.1-34.7% respectively) for all the three periods. The
29 results indicated that the large growth of nitrate and sulfate were one of the major
30 reasons for the polluted periods. Based on measurements at the three sites, the US
31 IMPROVE algorithm was optimized to evaluate aerosol scattering in eastern China.
32 The light-absorption capacity OC was estimated to account for over half of the
33 methanol soluble organic carbon (MSOC) at NJU and PAES, whereas the fraction was
34 lower at NUIST. Based on Mie theory, we found that the high relative humidity (RH)
35 could largely enhance the light scattering effect of accumulation particles, but it had
36 few effects on the mixing state of particles. The scattering coefficients of particles
37 within the 0.56-1.0 μm range contributed the most to the total scattering (28-69%).
38 The mass scattering efficiency (MSE) of sulfate and nitrate increased with the
39 elevated pollution level, whereas a low MSE of organic matter (OM) was found for
40 the heavily polluted period, probably because a proportion of OM had only
41 light-absorption property. A coupled model of positive matrix factorization (PMF) and
42 Mie theory was developed and applied for the source apportionment of aerosol light
43 scattering. Coal burning, industry and vehicles were identified as the major sources of
44 the reduced visibility in Nanjing, with an estimated collective contribution at 64-70%.



45 The comparison between the clean and polluted period suggested that the increased
46 primary particle emissions from vehicles and industry were the major causes of the
47 visibility degradation in urban and industrial regions, respectively. In addition,
48 secondary aerosols were a great contributor to the reduced visibility.

49 1 INTRODUCTION

50 Atmospheric aerosols play a great role in visibility degradation, radiative balance
51 variation and climate (Liu et al., 2017; Malm and Hand, 2007; Zhang et al., 2017),
52 resulting largely from their light extinction (Seinfeld and Pandis, 2006).
53 Understanding the contributions of individual chemical species to aerosol light
54 extinction is important for policy making to alleviate the reduced visibility in cities
55 with aerosol pollution. Studies have estimated that the aerosol single scattering albedo
56 (the fraction of light scattering coefficient to the total extinction) ranges from
57 0.81-0.93 in urban China (Andreae et al., 2008; Cao et al., 2012; Xu et al., 2002; Xu
58 et al., 2012), implying that the deteriorated visibility primarily results from the
59 scattering effect of aerosols.

60 Aerosol light scattering is greatly affected by its chemical composition and
61 hygroscopic growth (Liu et al., 2008; Tao et al., 2014a). Based on estimation of the
62 mass scattering efficiency (MSE) of different chemical components, previous studies
63 found that nitrate, sulfate, sea salt and organic matter (OM) are the dominant
64 contributors to aerosol scattering. Developed based on the long-term observations in
65 national parks, the US “IMPROVE” (Interagency Monitoring of Protected Visual
66 Environments) algorithm has been applied to calculate the light extinction of chemical
67 species in aerosols (Watson et al., 2002). Two versions of IMPROVE algorithms
68 (IMPROVE1999 and IMPROVE2007 hereinafter) were deduced successively
69 (Lowenthal and Naresh, 2003; Pitchford et al., 2007), and both assumed that OM has
70 no light-absorption capacity and only light-scattering capacity. As part of OM,
71 however, brown carbon (BrC) has been highlighted in recent studies for its light
72 absorption in the near UV region (Alexander et al., 2008; Bond et al., 2006;
73 Ramanathan et al., 2007; Zhang et al., 2017), and consideration of the light-absorption



74 effect of OM in the optimization process of the IMPROVE formula could improve the
75 understanding of aerosol optical capacity by chemical species (Yan et al., 2014). In
76 addition, hygroscopic growth is a key factor influencing aerosol light scattering
77 (Schwartz, 1996). Previous studies have shown that the light scattering of sulfate and
78 nitrate in PM_{2.5} could be largely enhanced at high relative humidity (RH) conditions
79 (Titos et al., 2016). Aerosol hygroscopicity is expected to depend largely on the
80 particle size and the abundance of water-soluble chemical components (Swietlicki et
81 al., 2008; Tang, 1996). Through the theoretical calculation, Liu et al. (2014) found
82 that smaller particles were in highly hygroscopic mode, whereas larger particles were
83 in nearly hydrophobic mode.

84 Recently, many studies have been conducted on the relationships between
85 visibility and aerosol light scattering in China (Cheng et al., 2015; Tao et al., 2014b;
86 2014c; Xue et al., 2010; Zhang et al., 2015). They found the abundance of
87 hygroscopic NH₄NO₃ and (NH₄)₂SO₄ in PM_{2.5} and their characteristics were the
88 important reason visibility reduction. However, few studies have analyzed the size
89 distribution of aerosol light scattering or quantified the contributions of different
90 emission source categories to the aerosol light scattering, particularly at the varied air
91 pollution levels. The roles of particles of different sizes and origins on visibility
92 degradation remained unclear. To fill this knowledge gap, this study conducted
93 campaigns at three multiple-functional sites in Nanjing, a mega city located in eastern
94 China. Nanjing suffered relatively heavy aerosol pollution in the Yangtze River Delta
95 (YRD) attributed to the massive emissions of anthropogenic air pollutants (Zhao et al.,
96 2015). The mixed sources of primary aerosols (e.g., coal burning) and secondary
97 aerosol precursors (e.g., vehicle and petrochemical industry) make Nanjing a typical
98 city to study the multiple influential factors of aerosol light scattering (Chen et al.,
99 2019). Combining online and offline techniques at different functional regions, the
100 IMPROVE algorithm was optimized taking the light-absorption OM into account.
101 The influences of aerosol size distributions and pollution levels on the aerosol
102 scattering effect were quantitatively evaluated based on comprehensive analysis of the
103 chemical compositions of particles by size and location. To explore the reasons for the



104 visibility reduction in different functional regions, a new coupled PMF-Mie model
105 was developed and the source apportionments of aerosol light scattering were
106 determined for the clean and polluted periods.

107 2 METHODOLOGY

108 2.1 Site description

109 The campaigns were conducted at three sites in Nanjing, i.e., NJU, PAES and
110 NUIST, representative for the suburban, urban and industrial region, respectively (see
111 the site locations in Figure S1 in the Supplement). NJU (32.07°N, 118.57°E) was on
112 the roof (25 m above the ground) of the School of the Environment building in the
113 Nanjing University campus in eastern suburban Nanjing (Chen et al., 2017; 2019).
114 PAES (32.03°N, 118.44°E) was on the roof (30 m above the ground) of the Jiangsu
115 Provincial Academy of Environmental Science building in western urban Nanjing.
116 The site was surrounded by heavy traffic and commercial and residential buildings (Li
117 et al., 2015). NUIST (32.21°N, 118.72°E) was on the roof of the School of the
118 Environment building in the Nanjing University of Information Science &
119 Technology campus. It was an industrial pollution site influenced by the nearby power,
120 iron & steel, and petrochemical industry plants (Wang et al., 2016a).

121

122 2.2 Aerosol sampling and chemical analysis

123 Pre-combusted (at 500 °C for ~5 h) quartz filters (90 mm in diameter, Whatman
124 International Ltd., UK) were applied for PM_{2.5} sampling. The filter samples were
125 weighed before and after sampling under the constant temperature (23±2°C) and RH
126 (40±3%) for 24 hours conditioning. All the PM_{2.5} samples were collected using the
127 TH-150C sampler (Wuhan Tianhong Ltd., China) at a flow rate of 100 L/min. From
128 November 2015 to March 2017, 282 daily PM_{2.5} samples at the three sites (174 for
129 NJU, 45 for PAES and 63 for NUIST) were collected.

130 Three sets of ten-stage Micro-Orifice Uniform Deposit Impactors (MOUDI,
131 Model 110, MSP Corp., USA) were adopted to collect size-segregated particles. The
132 50% cutoff points of the MOUDI-110 were 18, 10, 5.6, 3.2, 1.8, 1.0, 0.56, 0.32, 0.18



133 and 0.056 μm . Loaded with Teflon and quartz filters (47 mm in diameter, Whatman
134 International Ltd., UK), MOUDI was operated at a flow rate of 30 L/min. To obtain
135 sufficient particles at each stage for the chemical analysis, every sampling lasted
136 continuously for 24 h from 9:00am. Seventy-five sets of particle samples were
137 obtained from December 2015 to February 2017 at NJU, 25 sets were obtained from
138 August 2016 to January 2017 at PAES, and 31 sets were obtained from July 2016 to
139 February 2017 at NUIST.

140 Three anions (SO_4^{2-} , NO_3^- and Cl^-) and five cations (Na^+ , NH_4^+ , K^+ , Mg^{2+} , and
141 Ca^{2+}) in particles were measured in the extracted solution of the filter samples with
142 ion chromatography (Dx-120, Dionex Ltd., USA). CS12A column (Dionex Ltd.) with
143 20 mM MSA eluent and AS11-HC column (Dionex Corp.) with 8 mM KOH eluent
144 were used to measured cations and anions, respectively (Chen et al., 2019). Elemental
145 carbon (EC) and organic carbon (OC) were measured with an OC-EC aerosol
146 analyzer (Sunset Inc., USA) following the thermal-optical transmittance (TOT)
147 protocol. More details on the analyzer operation were described in our previous
148 studies (Chen et al., 2017; 2019). Recent studies indicated that methanol soluble
149 organic carbon (MSOC) was a more suitable BrC surrogate than water soluble organic
150 carbon (WSOC) and was thus used in present study (Cheng et al., 2016; 2017; Huang
151 et al., 2018; Lei et al., 2018). The analytical procedure was described in details in
152 Chen et al. (2019). Elements of size-resolved particles collected in the Teflon filters
153 (As, Al, Ba, Cd, Co, Cr, Cu, Fe, Mn, Mo, Ni, Ti, V, and Zn) were measured with an
154 inductively coupled plasma-mass spectrometer (ICP-MS, PerkinElmer ELAN 9000,
155 USA) in order to provide further information on the aerosol sources. More detailed
156 information on the instrument was provided by Khan et al. (2016) including the
157 precision, calibration, detection limit, and analytical procedures.

158

159 **2.3 Measurements of real time aerosol scattering coefficients**

160 The aerosol scattering coefficients were measured using two different types of
161 integrating nephelometers, i.e., Aurora 1000G (Ecotech Pty Ltd., Australia) at NJU
162 and PAES, and Model 3563 (TSI Inc., USA) at NUIST. To obtain the dry aerosol



163 scattering coefficient, the three nephelometers controlled the RH of the inflow air
164 under 50% by the heated inlet to mitigate the impact of water vapor on the scattering
165 coefficient. The nephelometers at NJU and PAES were operated at a flow rate of 5
166 L/min, and that at NUIST was at 20 L/min. Routine maintenance including zero
167 calibration and span check was conducted following the instrument manual.

168 To explore the RH impact on aerosol light scattering, an online monitoring
169 instrument Cavity Attenuated Phase Shift Albedo monitor (CAPS, Shoreline Science
170 Research Inc., Japan) was used to measure the ambient scattering coefficient at NJU
171 in real ambient conditions. The instrument operates at the wavelength of 530 nm
172 (Onasch et al., 2015; Petzold et al., 2013), and more details on its operation during the
173 campaigns were provided by Chen et al. (2019).

174

175 **2.4 Data analysis**

176 **2.4.1 Estimation of the scattering coefficient of aerosol chemical species with** 177 **different methods**

178 The details of IMPROVE1999 and IMPROVE2007 are summarized in the
179 Supplement Section A1. Neglecting the light-absorbing effect of BrC, the two
180 algorithms could overestimate the scattering coefficient of OM (Yan et al., 2014). The
181 major difference between the two versions is that the IMPROVE2007 algorithm
182 considers the variety of mass scattering efficiencies due to particle size for $(\text{NH}_4)_2\text{SO}_4$,
183 NH_4NO_3 and OM. In this study, multiple linear regressions between the measured
184 light scattering components and aerosol scattering coefficients were conducted to
185 obtain the mass scattering efficiency (MSE) considering the presence of BrC. The
186 measured scattering coefficients were subtracted from the scattering coefficients of
187 sea salt, soil dust and coarse particles. The $\text{PM}_{2.5}$ scattering coefficient can be
188 estimated statistically based on the concentrations of individual chemical species as
189 Eq. (1):



$$\begin{aligned} b_{sca} = & a \times f_s(RH)[Small (NH_4)_2SO_4] + b \times f_L(RH)[Large (NH_4)_2SO_4] \\ & + c \times f_s(RH)[Small NH_4NO_3] + d \times f_L(RH)[Large NH_4NO_3] \\ 190 \quad & + e \times ([Small OM] - m \times [Small MSOC]) \\ & + f \times ([Large OM] - n \times [Large MSOC]) \end{aligned} \quad (1)$$

191 where b_{sca} is the measured $PM_{2.5}$ scattering coefficient; a , c and e are the MSEs of
192 $(NH_4)_2SO_4$, NH_4NO_3 and OM (except for light-absorbing BrC) in the small size mode,
193 respectively; b , d and f are the MSEs of $(NH_4)_2SO_4$, NH_4NO_3 and OM (except for
194 light-absorbing BrC) in the large size mode, respectively (definitions of small and
195 large size modes for various aerosol components can be referred to Malm et al.
196 (2007)); m and n indicate the mass fractions of light-absorbing BrC to total MSOC in
197 small and large modes, respectively; $f(RH)$ (including $f_L(RH)$ and $f_s(RH)$) of sulfate
198 and nitrate indicate the scattering hygroscopic growth factor under a given relative
199 humidity (RH), obtained from Pitchford et al. (2007).

200 In addition to $PM_{2.5}$, the scattering coefficient for particles at a given size
201 ($b_{sca}(RH)$) is calculated with the Mie theory (Bohren et al., 1998; Cheng et al., 2015):

$$202 \quad b_{sca}(RH) = \int \pi [D_p \times \frac{g(RH)}{2}]^2 \times Q_{sca}[m(RH), D_p, \lambda] \times N(D_p) \times g(RH) dD_p \quad (2)$$

203 where $m(RH)$ is the aerosol refractive index; $g(RH)$ is the hygroscopic growth factor;
204 Q_{sca} is the scattering efficiency for a single spherical particle with diameter D_p and
205 can be calculated with the Mie theory by inputting D_p , $m(RH)$ and the incident
206 wavelength (λ); $N(D_p)$ is the number concentration of particle with diameter D_p . In
207 general, three typical models are proposed to represent the particle mixing state
208 including internal, external and core-shell mixture (Jacobson, 2001; Seinfeld and
209 Pandis, 2006). The methods of calculating the parameters including $m(RH)$ and $N(D_p)$
210 are different for the three mixed states, and the details can be referred to Ding et al.
211 (2015).

212 **2.4.2 Source apportionment of aerosol scattering coefficients with a coupled** 213 **model of PMF and Mie theory**

214 Positive matrix factorization (PMF) is an effective technical method for source
215 apportionment of atmospheric aerosols (Kim and Hopke, 2004). In this study, PMF
216 5.0 software was applied in the source apportionment of accumulated mode particles.



217 In total, 245, 145 and 163 aerosol samples were analyzed at NJU, PAES and NUIST,
218 respectively. It is currently difficult to resolve the sources of secondary organic
219 aerosol (SOA) with PMF. In this study, a simplified method was applied to
220 differentiate the sources of primary and secondary aerosols. Organic carbon is split
221 into primary and secondary organic carbon (POC and SOC), and the SOC
222 concentration was calculated with the EC-tracer method (Chen et al., 2017). The
223 source contributions of primary particles were obtained using the PMF model, and
224 those of secondary inorganic aerosol (SIA) and SOA were further determined based
225 on estimates of the nitrogen oxides (NO_x), sulfur dioxide (SO_2) and volatile organic
226 compounds (VOCs) emissions in a local inventory (Huang, 2018; Lang et al., 2017;
227 Wang et al., 2015). The chemical components applied in the PMF model included
228 inorganic ions, carbonaceous components and metallic elements. We followed the
229 method described in the PMF manual and Tian et al. (2016) to calculate the chemical
230 component uncertainties in the measurement dataset. Criteria including the optimum
231 number of factors and the minimization of an objective function Q were determined
232 based on the principles described in previous studies (Moon et al., 2008; Tian et al.,
233 2016; Watson et al., 2015) and applied in the model to obtain the best PMF solution.

234 A coupled model combining PMF and the Mie theory was developed to evaluate
235 the sources of aerosol light scattering. The procedure of the method was as follows: (1)
236 the EPA-PMF model was applied to quantify the contributions of different sources to
237 the mass concentrations of chemical species in size-segregated particles; (2) the
238 contribution (%) of the i^{th} chemical component to the aerosol scattering coefficient at
239 size D_p was estimated based on Mie theory; (3) the percentage contribution (%) of the
240 i^{th} component in the j^{th} source category to the total scattering at size D_p was calculated
241 as the product of the percentage contribution (%) of the i^{th} chemical species to the
242 total scattering and that of the j^{th} source category to the mass concentration of the i^{th}
243 species in the particles at size D_p , as indicated in Eq.(3); and (4) the percentage
244 contribution (%) of the j^{th} source to the total scattering at size D_p was estimated by
245 summing η_{ijD_p} , as shown in Eq. (4).



$$\eta_{ijD_p} = a_{ijD_p} \frac{b_{iD_p}}{\sum_{i=1}^m b_{iD_p}} \times 100\% \quad (3)$$

$$\eta_{jD_p} = \sum_{i=1}^l \eta_{ijD_p} \quad (4)$$

where i and j stand for the numbers of aerosol chemical components and potential sources, respectively; η_{ijD_p} (%) is the contribution (%) of i^{th} scattering component in the j^{th} source to the total particle scattering at size D_p ; η_j (%) is the contribution (%) of the j^{th} source to the total scattering at size D_p ; a_{ijD_p} is the relative contribution (%) of the j^{th} source to the i^{th} chemical component in particles with size D_p from PMF modeling; and b_{iD_p} is the contribution of the i^{th} chemical component to the total scattering from Mie modeling.

255

3 RESULTS AND DISCUSSION

3.1 Mass concentrations and size distributions of PM compositions

Based on the national definition on ambient Air Quality Index (AQI) (MEP, 2012), we divided the whole sampling period into three categories, i.e., the clean period with AQI less than 100, the lightly polluted period with AQI between 100 and 200, and the heavily polluted period with AQI above 200. Note that the AQI is a unitless index calculated based on the daily concentrations of regulated air pollutants including NO_2 , SO_2 , CO , O_3 , $\text{PM}_{2.5}$ and PM_{10} (MEP, 2012). As summarized in Table 1, the average daily $\text{PM}_{2.5}$ mass concentrations at the three conditions were calculated at 47.9 ± 15.8 , 102.1 ± 16.4 , and $163.1 \pm 13.6 \mu\text{g}/\text{m}^3$, respectively. The mass concentration of secondary inorganic ions (SO_4^{2-} , NO_3^- and NH_4^+) for the heavily polluted period was 4.4 and 2.2 times those for the clean and lightly polluted periods, respectively. The corresponding values for the carbonaceous aerosols (the sum of OC and EC) were 3.1 and 1.9 times, respectively, and the OC to EC ratios increased from 4.5 for the clean period to 5.2 for the heavy period. In addition to the particulate components, gaseous pollutants such as NO_2 and SO_2 were also significantly elevated from the clean to the heavy periods. These results imply that secondary aerosol

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272 formation was an important source of enhanced $PM_{2.5}$ for the heavily polluted period.
273 Figure S2 in the Supplement compares the size distributions of mass
274 concentrations for particles and selected chemical components under three pollution
275 levels. Bimodal size distributions were found for PM and OC mass concentrations,
276 with the two peaks at the ranges of 0.56-1.0 μm and 3.2-5.6 μm , respectively. This
277 bimodal pattern could partly result from the coexistence of primary and secondary
278 sources of OC. POC with larger sizes may contribute largely to the peak in the coarser
279 particles. In contrast, due to chemistry reactions of biogenic and anthropogenic VOCs,
280 SOC was expected to be abundant in the accumulation mode (0.18-1.8 μm) (Cao et al.,
281 2007). The size distributions of NO_3^- and SO_4^{2-} followed a unimodal distribution with
282 the mass concentrations peak at the range of 0.56-1.0 μm , as most of the inorganic
283 aerosols were generated through secondary formation. The mass concentrations of
284 PM, NO_3^- , SO_4^{2-} and OC for all sizes were enhanced from the clean to the polluted
285 periods, and the biggest differences were found in the size bin of 0.56-1.0 μm . As
286 shown in Figure S2a, the concentrations of $PM_{0.56-1.0}$ for the heavily and lightly
287 pollution periods were 7.0 and 2.7 times greater than that for the clean period,
288 respectively. Moreover, $PM_{0.56-1.0}$ contributed 31%, 23%, and 15% to the total mass
289 concentrations of particles for the heavily, lightly polluted and clean periods,
290 respectively, implying that the enhanced concentration of $PM_{0.56-1.0}$ was an important
291 reason for the aggravated pollution. As shown in Figure S2b-S2d, the sum of NO_3^- ,
292 SO_4^{2-} and OC for the heavily polluted period was 10.7 and 2.9 times greater than those
293 for the lightly polluted and clean periods, respectively. From clean to heavily polluted
294 periods, the collective mass fraction of the three components to $PM_{0.56-1.0}$ increased
295 from 42% to 64%. The results indicated that the increased NO_3^- , SO_4^{2-} and OC at the
296 size bin of 0.56-1.0 μm could be an indicator for the serious air pollution events.

297 To explore the mass fractions of major chemical species in the particles, the PM
298 mass was reconstructed as $(NH_4)_2SO_4$ ($1.38 \times SO_4^{2-}$), NH_4NO_3 ($1.29 \times NO_3^-$), OM
299 ($1.55 \times OC$), fine soil (FS) and EC (Cheng et al., 2015; Pitchford et al., 2007). As
300 shown in Figure S3 in the Supplement, strong correlations were found between the
301 reconstructed PM mass concentrations and the measurements for $PM_{1.8}$ ($R^2=0.85$) and



302 PM_{10} ($R^2=0.81$) at the three sites. The slope of $PM_{1.8}$ (0.80) was greater than that of
303 PM_{10} (0.65), indicating smaller unidentified fraction in the $PM_{1.8}$. The larger
304 unidentified mass in the reconstructed PM_{10} was probably due to underestimation in
305 the crustal components (Hueglin et al., 2005).

306 Figure 1 presents the mass concentrations and fractions of the reconstructed
307 aerosol chemical species by particle size under the three pollution levels. NH_4NO_3 ,
308 $(NH_4)_2SO_4$, and OM were the dominant components in particles. From the clean to
309 heavily polluted periods, their mass fractions to $PM_{1.8}$ increased from 16.9 to 35.3%,
310 from 14.9 to 28.6% and from 16.7 to 22.2%, respectively (Figure 1b, Figure 1d and
311 Figure 1f). The mass fraction of OM in $PM_{1.8}$ was 5.4% and 7.4% larger than
312 NH_4NO_3 and $(NH_4)_2SO_4$ for the clean period, while 13.3% and 6.6% smaller than
313 those for the heavily polluted period, respectively. The results further confirmed that
314 substantial growth in the mass of NH_4NO_3 and $(NH_4)_2SO_4$ was an important reason
315 for the aerosol pollution. The formation of sulfate, nitrate, and ammonium (SNA) is
316 mainly affected by the emissions of precursors and the atmospheric oxidation capacity
317 Due to the great use of fossil fuel consumption, the emissions of precursors SO_2 and
318 NO_x per unit area in eastern China were estimated 2.3 and 3.4 times larger than the
319 national average, respectively (Cheng et al., 2012; Shi et al., 2014). Under high RH,
320 moreover, the SNA formation could significantly be elevated through gas-to-particle
321 heterogeneous reactions for the heavily polluted period (Seinfeld and Pandis, 2006).
322 Sulfate mass concentration, for example, increased from $6.4 \mu\text{g}/\text{m}^3$ for the clean
323 period to $53.3 \mu\text{g}/\text{m}^3$ for the heavily polluted period. Among all the size bins,
324 NH_4NO_3 and $(NH_4)_2SO_4$ were estimated to contribute the most to the mass
325 concentrations for 0.56-1.0 μm particles, with their mass fraction ranging 19.4-39.7%
326 and 18.1-34.7%, respectively, across different pollution levels. In comparison, the
327 largest contributions of OM appeared in the 0.056-0.18 μm fraction and were 31.2%,
328 29.0% and 52.3% for the clean, lightly polluted and heavily polluted periods,
329 respectively. As the largest PM fraction was found in the 0.56-1.0 μm size bin for the
330 heavily pollution period, the elevated concentrations of NH_4NO_3 and $(NH_4)_2SO_4$ in
331 $PM_{0.56-1.0}$ were the major causes of the increased aerosol pollution.



332 Figure S4 in the Supplement compares the size distributions of PM mass
333 concentrations and selected chemical species at the three sites. As mentioned above, a
334 bimodal distribution with two peaks at 0.56-1.0 μm and 3.2-5.6 μm was observed for
335 PM and OC at all the three sites, attributed to the coexistence of primary and
336 secondary sources. Different from PAES and NUIST, NO_3^- had an obvious small
337 coarse mode peak at NJU. Previous studies suggested that the chemistry of coarse
338 mode NO_3^- can vary in different locations, and the components include NH_4NO_3 ,
339 NaNO_3 and $\text{Ca}(\text{NO}_3)_2$ (Pakkanen et al., 1996). As NJU was close to the G25 highway,
340 the reaction of HNO_3 with crustal particles could be an important process for coarse
341 mode NO_3^- formation. The highest mean concentrations of NO_3^- and SO_4^{2-} at the
342 0.56-1.0 μm size among the three sites were observed at NJU, followed by NUIST
343 and PAES. As NO_3^- and SO_4^{2-} were the major components of the aerosol light
344 scattering, the variety of their mass concentrations at 0.56-1.0 μm could be a crucial
345 reason for the visibility difference among the three sites. A greater difference was
346 found for the size distribution of OC among the three sites, and the highest
347 concentration at the 0.56-1.8 μm size was observed at NUIST. Our previous work
348 found that NUIST was greatly influenced by VOCs emissions of surrounding
349 industrial plants (Chen et al., 2019). Given its capability of light scattering and
350 absorption, the abundant OC in the area could play an important role on the visibility.

351 3.2 Evaluation and optimization of the IMPROVE algorithm

352 Figure S5 in the Supplement presents the linear regressions between the
353 measured daily aerosol scattering coefficients with the CAPS ($b_{\text{sp-m}}$) and those
354 calculated with IMPROVE algorithms ($b_{\text{sp-1999}}$ and $b_{\text{sp-2007}}$) based on the measured
355 concentrations of particle components at the three sites. At each site, strong
356 correlations were found between the observation and IMPROVE estimation ($R^2 \geq$
357 0.94), indicating consistency between the different techniques. As shown in Figure
358 S5a, the calculated aerosol scattering coefficients $b_{\text{sp-1999}}$ were 30%, 16% and 19%
359 smaller than the measured values at NJU, PAES and NUIST, respectively. Similar
360 results were found for other megacities in eastern China. Based on the online



361 analytical methods, for example, Cheng et al. (2015) estimated that the scattering
362 coefficients predicted by the IMPROVE1999 algorithm were 34% smaller than the
363 measurement for a heavy pollution period in Shanghai. A greater underestimation of
364 the scattering coefficient existed at NJU than the other two sites, partly due to the
365 relatively abundance of sulfate and nitrate in particles at NJU. The sum of SO_4^{2-} and
366 NO_3^- accounted for $35.3 \pm 13.2\%$ of the total mass concentrations of $\text{PM}_{2.5}$ at NJU,
367 larger than the fraction at PAES ($27.6 \pm 12.9\%$) and NUIST ($24.1 \pm 11.6\%$) (note the
368 SO_4^{2-} and NO_3^- concentrations at the $0.56\text{-}1.0\ \mu\text{m}$ were the largest at NJU as well, as
369 shown in Figure S4). Tao et al. (2014b) and Cheng et al. (2015) suggested that the
370 relatively small MSE of sulfate and nitrate aerosols in the IMPROVE1999 algorithm
371 might result in underestimation of the scattering coefficient in China, as sulfate and
372 nitrate were the main light-scattering components in $\text{PM}_{2.5}$.

373 As shown in Figure S5b, $b_{\text{sp-2007}}$ was only 4% smaller than the measurement at
374 NJU, and 4% and 18% larger at PAES and NUIST, respectively. Overall, the
375 performance of the IMPROVE2007 algorithm was better than that of the
376 IMPROVE1999, although deviation still existed due to the uncertainty in MSEs for
377 chemical species and the presence of light absorption organic matter such as BrC. A
378 relatively large deviation between $b_{\text{sp-m}}$ and $b_{\text{sp-2007}}$ was found at NUIST compared to
379 NJU and PAES. Chen et al. (2019) and Shao et al. (2016) found higher annual average
380 concentration of non-methane hydrocarbon at NUIST (34.4 ppbv) than NJU (22.0
381 ppbv) or PAES (27.1 ppbv). The more VOCs in the atmosphere were expected to
382 increase the SOC formation and to result in big deviation of $b_{\text{sp-2007}}$, as the OM with
383 light-absorption capability was not considered in IMPROVE2007.

384 Using the optimized IMPROVE algorithm as described in Section 2.4.1, the
385 aerosol scattering coefficients were recalculated and compared against the observation
386 at the three sites, as illustrated in Figure 2. Good correlations were found between the
387 observed and calculated scattering coefficients at all the sites ($R^2 \geq 0.96$), and the
388 regression slopes were estimated to be much closer to 1 than those between
389 observations and predictions with the IMPROVE1999 or IMPROVE2007 algorithms
390 (Figure S5). In addition, the MSEs calculated based on the Mie theory were applied to



391 evaluate the results of the IMPROVE algorithms. As presented in Figure S6 in the
392 Supplement, the MSEs of $(\text{NH}_4)_2\text{SO}_4$ and NH_4NO_3 calculated with the optimized
393 IMPROVE algorithm were closer to the MSE simulated by Mie theory than those
394 with the IMPROVE2007 algorithm. The results indicated the optimized algorithm had
395 a better performance and could reduce the bias from the US IMPROVE algorithm.

396 As summarized in Table 2, the MSEs estimated with the optimized IMPROVE
397 algorithm were 2.29, 4.82, 2.62, 5.35, 4.46, and 6.41 m^2/g for small sulfate, large
398 sulfate, small nitrate, large nitrate, small and large OM, respectively. In comparison,
399 the MSEs for the small and large size modes using the IMPROVE2007 were 2.2 and
400 4.8 m^2/g for $(\text{NH}_4)_2\text{SO}_4$, respectively, and 2.4 and 5.1 m^2/g for NH_4NO_3 , respectively.
401 The slightly larger MSEs from the optimized IMPROVE algorithm for $(\text{NH}_4)_2\text{SO}_4$ and
402 NH_4NO_3 implied underestimation of the scattering coefficients of inorganic
403 components when applying the previous algorithm. There were clear differences in
404 the MSEs of OM (especially for fine OM) between the two algorithms, resulting from
405 consideration of the light-absorbed OM in the optimized algorithm. Indicated by the
406 m values in Table 2, the light-absorbed OC accounted for 66% and 71% of the fine
407 MSOC mass at NJU and PAES, respectively, indicating that most of the fine MSOC
408 had only light-absorption capacity. Unlike NJU and PAES, less than half of the fine
409 MSOC (39%) had light-absorption capacity at NUIST, likely resulting from the varied
410 sources of OM at the three sites. As described in our previous study (Chen et al.,
411 2019), substantial OC was from the secondary formation in industrial polluted region,
412 and its light-absorption capacity was weaker than that from the primary emissions.

413 Through field measurement and data reconstruction in different cities, previous
414 studies explored the concentrations of $\text{PM}_{2.5}$ and its chemical components for various
415 cities in China (Chen et al., 2019; Feng et al., 2012; Lai et al., 2016; Tao et al., 2013.,
416 Yang et al., 2011; Zhao et al., 2013). The major components of light scattering in
417 aerosols, SNA, was found to typically account for half of the $\text{PM}_{2.5}$ mass
418 concentrations in eastern Chinese cities like Nanjing, Shanghai, and Jinan (Yang et al.,
419 2011). Given the similar level and strong regional transport of pollution among those
420 cities, the optimized IMPROVE algorithm applied in Nanjing in this work is believed



421 to be more suitable than the previous algorithms for eastern China.

422

423 **3.3 Effects of mixing state and relative humidity on aerosol light scattering**

424 Figure 3 presents the scattering coefficients measured by nephelometer and those
425 simulated by Mie theory at the three sites under dry conditions ($RH < 40\%$). The
426 simulated scattering coefficients based on the assumption of an external mixing state
427 were larger than those based on core-shell and internal mixing states at all the three
428 sites. Compared with the internal and core-shell states, the simulated scattering
429 coefficients in the external mixing state were closer to the measurements at NJU and
430 PAES (Figure 3a and 3b), indicating the reasonable assumption of external mixtures
431 as the main mixing state of particles. Similarly, Ma et al. (2012) also suggested that
432 the external mixture was an important particle mixing state in northern China based
433 on a stochastic particle-resolved aerosol box model. Assuming the aerosol
434 components were externally mixed, Cheng et al. (2015) estimated the MSEs of
435 aerosol species in Shanghai, and found better agreement between the optimized
436 scattering coefficients and the measurements. At NUIST, the measured scattering
437 coefficients were closer to the simulated values in internal and core-shell states, likely
438 due to the high aging level of SOA at the industrial site (Figure 3c). Due to the strong
439 atmospheric oxidation and thereby the abundance of SOA coatings at NUIST, our
440 previous study suggested that the aerosol aging process could result in the growth of
441 internally mixed BC (Chen et al., 2019). Based on the observation of O_3 and
442 percentage of internally mixed BC, Lan et al. (2013) suggested that photochemical
443 production of secondary aerosol components was the main reason for the switching
444 from an external mixing state to an internal mixing state for BC.

445 In an actual environment, ambient aerosols are typically hygroscopic under the
446 conditions of high RH, and it is an important reason for visibility degradation. Table
447 S1 in the Supplement summarizes the growth factors (GF) of particle size measured in
448 Nanjing at different RH levels in previous studies. To evaluate the rationality of those
449 GF values, we followed the method by Tao et al. (2014b) and calculated the scattering
450 hygroscopic growth factor ($f(RH)$) at NJU based on the measured ambient scattering



451 coefficients by CAPS and the dry scattering coefficients by nephelometer, as shown in
452 Figure S7 in the Supplement. The correlation between $f(\text{RH})$ and RH was fitted
453 through the power regression. Figure S8 in the Supplement presents a good agreement
454 between the scattering coefficients estimated by $f(\text{RH})$ and those obtained by the Mie
455 theory ($R^2=0.95$). The results indicate the accuracy of the GF values applied on
456 different particle sizes and RH levels. The estimated and measured scattering
457 coefficients at NJU under ambient condition are shown in Figure S9 in the
458 Supplement. Different from the estimation under the dry conditions, the lowest value
459 was found for the externally mixing state among the three mixing modes. In the
460 externally mixing state, only sulfate and nitrate particles had hygroscopicity under wet
461 conditions, whereas each particle had the capability of hygroscopic growth in the
462 internal mixing and core-shell states, resulting in a significant increment in the
463 scattering coefficient. Similarly, comparing the measured scattering coefficients under
464 the dry and ambient conditions (Figure 3 and Figure S9), the simulated values based
465 on an external mixing state were closer to the measurements than the other two modes,
466 implying that RH had a limited effect on the particle mixing state.

467 To explore the impact of RH on the light scattering of particles with different
468 sizes, the size distribution of $f(\text{RH})$ was estimate and shown in Figure 4. Large
469 differences were found between $f(\text{RH})$ when the RH was above and below 75%, and
470 high RH enhanced the capacity of scattering hygroscopicity growth of small size
471 particles. Approximately 140 nm particles had strong hygroscopicity when the RH
472 was below 75%, whereas a high $f(\text{RH})$ (1.41 ± 0.03) was observed for the
473 accumulation mode particles from 100 to 400 nm when the RH was above 75%.
474 Similar results were reported for Beijing: larger hygroscopic GF was measured for
475 accumulation mode particles (100–300 nm) with a hygroscopicity tandem differential
476 mobility analyzer (H-TDMA), consistent with the elevated abundance of the
477 light-scattering compositions such as sulfate and nitrate (Meier et al., 2009).

478

479 3.4 Size distribution of aerosol light scattering by pollution level

480 Figure 5 shows the size distribution of the scattering coefficients for particles and



481 given chemical components under the three pollution levels. The scattering
482 coefficients of particles for all size categories were the largest for the heavily polluted
483 period (Figure 5a). The accumulation mode particles (0.18-1.8 μm) accounted for
484 92.9%, 92.6 and 93.4% of the total scattering coefficients for the clean, lightly
485 polluted and heavily polluted periods, respectively. In particular, particles in the size
486 bin of 0.56-1.0 μm accounted for 57% and 63% of the scattering coefficient for the
487 heavily and lightly polluted periods, respectively, much larger than that for the clean
488 period, 38%. From the results of Section 3.1, the abundance of particles of different
489 sizes was considered to be an important factor for the variety of scattering coefficients
490 across the whole size range.

491 As the dominant chemical components of aerosol light scattering, $(\text{NH}_4)_2\text{SO}_4$,
492 NH_4NO_3 and OM collectively contributed 90%, 76% and 60% to the mass
493 concentrations of $\text{PM}_{0.56-1.0}$ for the heavily polluted, lightly polluted and clean periods,
494 respectively (Figure S2b-S2d). The scattering coefficients of $(\text{NH}_4)_2\text{SO}_4$ and NH_4NO_3
495 were the largest in the size bin of 0.56-1.0 μm for the three pollution levels, and their
496 contributions increased along with the aggravation of pollution (Figure 5b and Figure
497 5c). The OM concentration in the size bin of 0.56-1.0 μm was 2.5 $\mu\text{g}/\text{m}^3$ for the clean
498 period, and those for the lightly and heavily polluted periods were 160% and 510%
499 larger, respectively. The scattering coefficient of OM in the size bin of 0.56-1.0 μm
500 for the heavily polluted period was 15% less than that for the lightly polluted period,
501 indicating the more important role of OM in the particle scattering effect for the
502 lightly polluted period (Figure 5d). The large OM scattering contribution could likely
503 be explained by the elevated mass fraction of OM and/or enhancement of the OM
504 MSE. It could be inferred that the low visibility during heavy pollution resulted
505 mainly from the enhancement of the scattering effect of SNA.

506 The MSEs of given chemical components in $\text{PM}_{1.8}$ are presented by pollution
507 level in Figure 6. Increased MSEs for $(\text{NH}_4)_2\text{SO}_4$ and NH_4NO_3 were found along with
508 the elevated $\text{PM}_{2.5}$ pollutions (Figure 6a). The large contributions of inorganic
509 components and their strong light scattering ability were important reason for the
510 reduced visibility during the heavily polluted period. Although the largest OM



511 concentrations were observed in each size bin for the heavy pollution period, the
512 smallest MSE of OM in $PM_{1.8}$ was found for the heavily polluted period ($3.73 \text{ m}^2/\text{g}$,
513 Figure 6b). As discussed in Section 3.2, most of the fine MSOC was expected to have
514 only a light-absorption effect whereas large MSOC had light-scattering capability.
515 With the optimized IMPROVE algorithm, the mass fraction of light-absorption OC to
516 total MSOC mass was estimated at $66.9 \pm 5.8\%$ for the heavily polluted period, much
517 larger than those for clean and lightly polluted periods at $44.3 \pm 6.5\%$ and $50.8 \pm 5.9\%$,
518 respectively, as shown in Figure S10 in the Supplement. Therefore, the small MSE of
519 OM for the heavily polluted period was partly attributed to the abundance of light
520 absorption BrC in $PM_{2.5}$.

521 For the whole research period, the MSEs of $(\text{NH}_4)_2\text{SO}_4$, NH_4NO_3 and OM in
522 $PM_{1.8}$ were calculated at 3.95, 4.26 and $4.14 \text{ m}^2/\text{g}$ with the Mien theory, while the
523 analogue numbers in $PM_{2.5}$ were 3.94, 4.31 and 5.25 with the optimized IMPROVE
524 algorithm, respectively (Table 2). Very good agreement between the two methods was
525 found for SNA, and clearer discrepancy existed for OM, indicating a larger
526 uncertainty in the evaluation of organic aerosol scattering.

527

528 **3.5 Source apportionment of aerosol light scattering with the PMF-Mie coupled** 529 **model**

530 As illustrated in Figure 5, the light scattering of the accumulation mode (0.18-1.8
531 μm) accounted for the largest proportion of the total light scattering. To better
532 understand the causes of visibility degradation, the source apportionment of aerosol
533 light scattering at this size range was conducted for different pollution levels with the
534 PMF and Mie coupled model, as described in Section 2.4.2. The PMF model was
535 adopted to identify the potential sources and to estimate their respective contributions
536 to the mass concentration of accumulation mode particles. To resolve the appropriate
537 number of factors, different numbers of identifiable sources were tested. The results
538 of the source profiles and their contributions to accumulation mode particles at the
539 three sites are presented in Figure S11 in the Supplement and Figure 7a-c, respectively.
540 The main sources identified at the three sites include coal combustion, industrial



541 pollution, vehicle, fugitive dust, biomass burning, and SIA (Figure S11). Compared to
542 NJU and NUIST, vehicle contributed more to accumulated particles at the urban site
543 PAES (Figure 7b). As stated in Section 2.4, we assumed that the contribution of the
544 individual source category to the secondary particle component was proportional to
545 the fraction of that source category to the emissions of corresponding precursors
546 (Lang et al., 2017). Based on the emission inventory of precursors of SOC (VOCs)
547 and SIA (NO_x , SO_2 and NH_3) in Nanjing (Huang, 2018), the source apportionment for
548 primary and secondary particles of accumulation mode at the three sites were
549 estimated, and the results are presented in Tables S2-S4 in the Supplement. With the
550 source apportionment of secondary components, the contributions of coal combustion
551 and industrial pollution increased 45-50% and 138-478% compared to those for
552 primary particles across the three sites, respectively. The result indicates that the
553 gaseous precursors from coal combustion and industrial pollution greatly elevate the
554 aerosol pollution.

555 The contributions of different aerosol species to the aerosol light scattering were
556 estimated using the Mie model, and the results are presented in Table S5 in the
557 Supplement. OM contributed the most to the total scattering at the three sites (31%,
558 29% and 33% for NJU, PAES and NUIST, respectively). Compared to other Chinese
559 mega cities, the contribution of OM in Nanjing was close to that for inland cities like
560 Beijing (Tao et al., 2015) and Tianjin (Wang et al., 2016b), but was much larger than
561 that observed in a coastal megacity, Guangzhou (Tao et al., 2014c).

562 Combined with the source apportionment from the PME model, Figure 7d-7f
563 illustrates the source contribution to aerosol light scattering at the three sites. Coal
564 combustion, industrial plants and vehicle were the major sources of the aerosol light
565 scattering in Nanjing, and the three source categories collectively accounted for
566 64-70% of the total scattering capacity of aerosols. Given their relatively intensive
567 activities in urban and industrial regions, vehicles and industrial plants were identified
568 as the largest contribution sources at PAES and NUIST, respectively. Indicated by the
569 dashed lines in Figure 7d-7f, the collective contributions of secondary aerosol
570 components were estimated to be 26.7%-35.2% of the total scattering at the three sites,



571 suggesting the important role of secondary aerosol formation in visibility reduction.

572 Figure 8 illustrates the source apportionment of aerosol light scattering for the
573 clean and polluted periods at the three sites. Coal combustion contributed the most to
574 the total scattering for the clean period, and the contribution declined significantly for
575 the polluted period, from 39% to 21%, from 38% to 19% and from 35% to 18% at
576 NJU, PAES and NUIST, respectively. The results implied that coal combustion might
577 not be the most important reason for visibility degradation in polluted periods.
578 Similarly, the contribution of fugitive dust during the polluted period was estimated to
579 be smaller than that for the clean condition. In contrast, the contributions of vehicles
580 and industrial pollution to light scattering increased from 27% to 48%, from 27% to
581 47% and from 31% to 62% for the polluted periods compared to the clean period at
582 NJU, PAES, and NUIST, respectively. As shown in Figure 8b and 8c, particularly, the
583 contribution of primary emissions from vehicles to aerosol scattering was estimated to
584 increase from 11.4% to 21.5% at PAES, and that from industrial plants increased from
585 4.5% to 13.5% at NUIST. The primary aerosol emissions from vehicles and industrial
586 plants were thus identified as the main cause of visibility reduction in the urban and
587 industrial areas, respectively. Similarly, Wang et al. (2016b) suggested that vehicle
588 was the dominate source of aerosol light extinction in Hangzhou, with the
589 contribution to the total extinction coefficient of $PM_{2.5}$ reaching 30.2%. The present
590 study indicated that more effective measures for reducing the primary particle
591 emissions from vehicles and industrial production should be conducted to avoid
592 severe haze pollution in urban and industrial regions.

593 In addition, the results suggest that secondary aerosols were another important
594 contributor to the reduced visibility. From the clean to the heavily polluted periods, as
595 shown in Figure 8, the contributions of secondary aerosols to the total light scattering
596 increased from 19.9% to 36.7%, from 20.9% to 32.4%, and from 28.6% to 41.7% at
597 NJU, PAES, and NUIST, respectively. As shown in Table 3, the contributions of SIA
598 to the total scattering at the three sites were ranged at 14.5%-19.9% and 24.5%-28.0%,
599 much more than those of SOA at 4.1%-8.7% and 7.9%-13.7% for the clean and
600 polluted periods, respectively. The results imply that SIA had a greater impact on



601 visibility degradation. Although the contribution of coal combustion to the total
602 scattering declined from clean to polluted periods, the contributions of SIA from coal
603 combustion for the polluted periods were 88%, 35% and 36% larger than those for the
604 clean period at NJU, PAES and NUIST, respectively. The enhancement of SIA from
605 coal combustion was thus an important cause of polluted days. Moreover, the
606 contribution of SOA to the total scattering coefficient during the polluted periods was
607 estimated at 13.7% at NUIST, larger than the 7.9% and 9.1% at PAES and NJU,
608 respectively, indicating that the contribution of SOA to visibility reduction at
609 industrial polluted areas should not be ignored. Notably, there is uncertainty in the
610 methodology of source apportionment of aerosol scattering coefficients. In particular,
611 the assumption that the secondary components were proportional to the emissions of
612 their precursors is a simplified method and probably led to large bias, as the
613 complicated nonlinear mechanism of secondary aerosol formation is not recognized.
614 More tools including chemistry transport modeling and radiocarbon measurements are
615 thus recommended to be integrated into future studies to better determine the primary
616 and secondary sources of aerosols.

617 **4 CONCLUSIONS**

618 A comprehensive investigation of the light-scattering properties of atmospheric
619 aerosols was conducted from November 2015 to March 2017 at three functional sites
620 in Nanjing. High concentrations of sulfate and nitrate in $PM_{0.56-1.0}$ were the major
621 causes of the heavy particle pollution events. The varied abundance of secondary
622 inorganic components at the three sites was an important reason for the visibility
623 differences, and OC played an important role on the visibility reduction in the
624 industrial area due to its complicated optical effect. Based on the measured aerosol
625 scattering coefficients and the mass concentrations of aerosol components, an
626 optimized algorithm of IMPROVE that considered the light absorption effect of OM
627 was developed to better represent the aerosol optical property.

628 Compared with internal and core-shell mixing states, the simulated scattering
629 coefficients based on an external mixing assumption were closer to the measurements



630 at NJU and PAES, indicating that externally mixed particles widely existed at urban
631 and suburban areas. At the industrial site NUIST, the high aging level of SOA was the
632 main reason for particle switching from external to internal mixing states. The results
633 for the scattering coefficients under dry and ambient conditions indicated that RH had
634 little effect on particle mixing state but a large impact on the scattering coefficients.
635 Particles in the size range of 0.56-1.0 μm contributed the most (38%-63%) to the total
636 scattering coefficient under different pollution levels. As the dominant light scattering
637 species in aerosols, NH_4NO_3 , $(\text{NH}_4)_2\text{SO}_4$ and OM collectively contributed 90%, 81%
638 and 76% of the mass concentrations of $\text{PM}_{0.56-1.0}$ for the heavily polluted, lightly
639 polluted and clean periods, respectively. The low visibility during the heavy pollution
640 period mainly resulted from the enhanced light scattering of SNA. The abundance of
641 light-absorption OC was an important reason for the relatively low contribution of
642 OM to light scattering in the heavy pollution period.

643 Through a coupled model of PMF and Mie theory, we found coal combustion,
644 industrial plants and vehicle were the main sources of the visibility reduction in
645 Nanjing. Vehicles and industrial plants were the main causes for visibility reduction in
646 urban and industrial areas, respectively. The increased emissions of SIA precursors
647 from coal combustion were an important cause of polluted days, and the contribution
648 of SOA to visibility reduction at industrial pollution areas should not be ignored. The
649 source apportionment of aerosol light scattering in this work provides scientific
650 evidence for the control of haze pollution in different functional areas of cities in
651 developed eastern China.

652

653 **DATA AVAILABILITY**

654 All data in this study are available from the authors upon request.

655



656

AUTHOR CONTRIBUTIONS

657 DC developed the strategy and methodology of the work and wrote the draft. YZ
658 improved the methodology and revised the manuscript. JZ, HY and XY provided
659 observation data of aerosol scattering coefficient.

660

661

COMPETING INTERESTS

662 The authors declare that they have no conflict of interest.

663

664

ACKNOWLEDGEMENT

665 This work was sponsored by the Natural Science Foundation of China
666 (41922052 and 91644220), and the National Key Research and Development Program
667 of China (2017YFC0210106).

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900

FIGURE CAPTIONS

901 **Figure 1. The mass concentrations and fractions of the main chemical**
902 **components of particles with different sizes in Nanjing on clean, lightly-polluted**
903 **and heavily-polluted days during the sampling period.**

904 **Figure 2. Linear regressions between the measured light scattering coefficients**
905 **and those estimated with the optimized IMPROVE algorithm at NJU, PAES,**
906 **NUIST and all three sites.**

907 **Figure 3. The comparison of measured and estimated dry scattering coefficients**
908 **based on the assumptions of external, internal, and core-shell mixture at NJU (a),**
909 **PAES (b) and NUIST (c).**

910 **Figure 4. The size distribution of hygroscopic scattering growth of particles**
911 **under varied relative humidity levels at the three sites.**

912 **Figure 5. The size distribution of scattering coefficients of aerosol particles (a),**
913 **(NH₄)₂SO₄ (b), NH₄NO₃ (c) and OM (d) under different pollution levels. The**
914 **contributions of particles with different sizes to total scattering coefficient are**
915 **indicated in the panels as well.**

916 **Figure 6. The size distribution of mass concentrations of (NH₄)₂SO₄, NH₄NO₃ (a),**
917 **and OM (b) under different pollution levels and mass scattering efficiencies**
918 **(MSE) for PM_{1.8}. The size of dot represents the MSEs of PM_{1.8} (Unit: m²/g).**

919 **Figure 7. Source apportionment of accumulation mode particles at NJU (a),**
920 **PAES (b) and NUIST (c), and source apportionment of light scattering for**
921 **accumulation mode particles at NJU (d), PAES (e) and NUIST (f). The shadow**
922 **represents the contribution of secondary aerosols from each source category.**

923 **Figure 8. Source apportionment of light scattering for accumulation mode**
924 **particles for the clean and polluted periods at NJU (a), PAES (b) and NUIST (c).**
925 **The shadow represents the contribution of secondary aerosols from each source**
926 **category.**

927



928

TABLES

929 **Table 1. The concentrations of particulate matter and its chemical components**
930 **($\mu\text{g}/\text{m}^3$), light scattering coefficients (Mm^{-1}), and selected meteorological**
931 **parameters including wind speed (WS, m/s) and relative humidity (RH, %) at all**
932 **the three sites for different pollution levels from November 2015 to January**
933 **2017.**

Category	Clean period	Lightly polluted period	Heavily polluted period
AQI	65.8 ± 15.7	110.6 ± 21.3	209.4 ± 30.1
PM_{10}	80.4 ± 26.3	143.1 ± 28.6	244.2 ± 21.2
$\text{PM}_{2.5}$	47.9 ± 15.8	102.1 ± 16.4	163.1 ± 13.6
OC	8.6 ± 3.2	14.2 ± 3.2	27.6 ± 5.0
EC	1.9 ± 0.9	3.0 ± 1.2	5.3 ± 0.1
SO_4^{2-}	6.9 ± 3.9	13.5 ± 5.6	33.8 ± 9.2
NO_3^-	10.5 ± 5.4	22.7 ± 8.7	47.9 ± 17.7
Cl^-	1.8 ± 1.5	2.2 ± 1.3	4.8 ± 1.4
Ca^{2+}	1.2 ± 0.8	1.3 ± 1.6	0.8 ± 0.1
Na^+	0.8 ± 0.2	0.9 ± 0.3	1.0 ± 0.1
Mg^{2+}	0.1 ± 0.1	0.2 ± 0.1	0.1 ± 0.0
NH_4^+	5.1 ± 1.9	9.2 ± 2.2	16.9 ± 2.5
K^+	0.9 ± 0.2	1.3 ± 0.3	2.1 ± 0.7
CO	0.8 ± 0.2	1.3 ± 0.3	1.6 ± 0.1
NO_2	57.4 ± 18.0	71.6 ± 20.0	91.2 ± 32.8
SO_2	17.7 ± 6.5	21.1 ± 6.0	29.5 ± 12.5
WS	1.6 ± 0.3	1.4 ± 0.5	1.0 ± 0.3
RH	56.1 ± 13.5	62.7 ± 10.8	68.9 ± 4.9
b_{sp}	251.4 ± 170.8	558.3 ± 236.4	1286.2 ± 293.3

934



935 **Table 2. The mass scattering efficiencies (MSEs, m^2/g) of chemical species in the**
 936 **optimized and the existing algorithms from the Interagency Monitoring of**
 937 **Protected Visual Environments (IMPROVE). The sample numbers and the mass**
 938 **fractions of light-absorption BrC to MSOC for small and large size modes (i.e., m**
 939 **and n in Eq.1) are provided for the optimized algorithm.**

	Modes	NJU	PAES	NUIST	All the three sites	IMPROVE 2007	IMPROVE 1999
MSE of $(NH_4)_2SO_4$	small	2.32	2.02	2.43	2.29	2.2	-
	large	4.71	4.92	4.86	4.82	4.8	-
	overall	3.91	3.88	4.03	3.94	-	3
MSE for NH_4NO_3	small	2.67	2.48	2.56	2.62	2.4	-
	large	5.37	5.31	5.26	5.35	5.1	-
	overall	4.41	4.13	4.23	4.31	-	3
MSE of OM	small	4.4	4.56	4.22	4.46	2.8	-
	large	6.23	6.36	6.45	6.41	6.1	-
	overall	5.26	5.03	5.35	5.25	-	4
m	-	0.66	0.71	0.39	0.67	-	-
n	-	0.29	0.27	0.33	0.31	-	-
Sample number	-	174	45	63	282	-	-

940



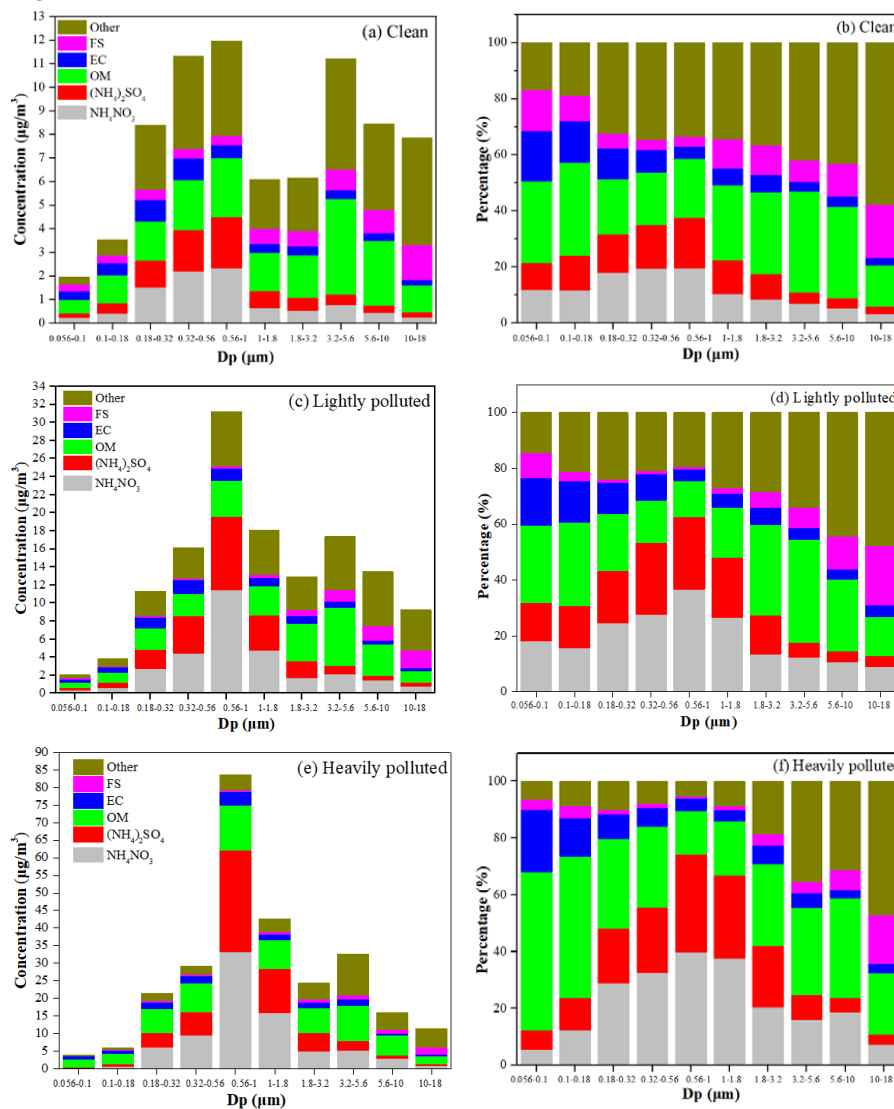
941 **Table 3. The source contributions of secondary aerosols to aerosol light**
942 **scattering at the three sites for the clean and polluted periods (%).**

Air quality level	Sources	NJU		PAES		NUIST	
		SIA	SOA	SIA	SOA	SIA	SOA
Clean	Coal combustion	6.6	0.8	6.5	1.1	7.5	1.3
	Industrial plants	5.8	3.6	4.2	1.5	8.2	6.3
	Vehicles	2.1	1.0	6.1	1.5	4.2	1.1
	Total	19.9		20.9		28.6	
Polluted	Coal combustion	12.4	1.6	8.8	2.3	10.2	2.2
	Industrial plants	10.2	5.8	7.8	3	12.6	9.9
	Vehicles	5.0	1.7	7.9	2.6	5.2	1.6
	Total	36.7		32.4		41.7	

943



944 **Figure 1**

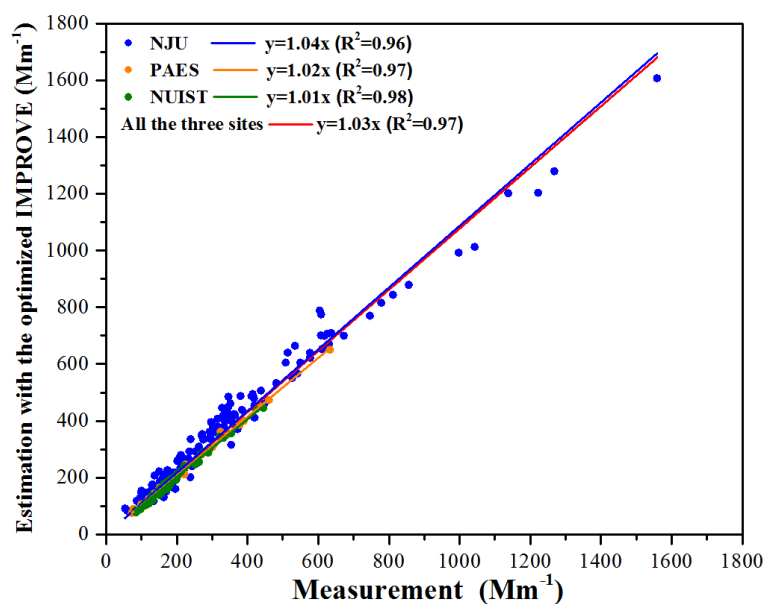


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947 **Figure 2**
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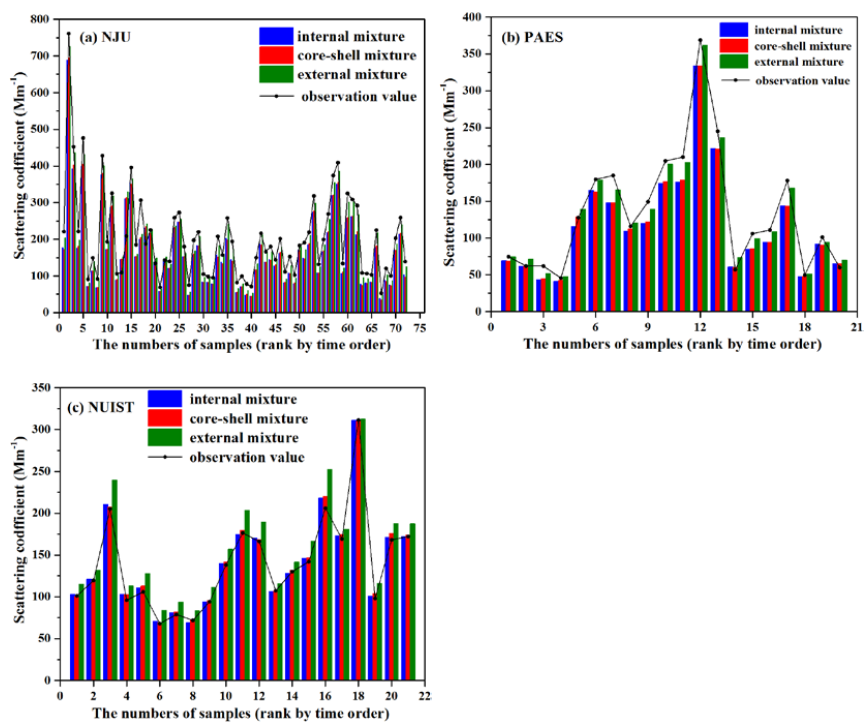


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952 **Figure 3**

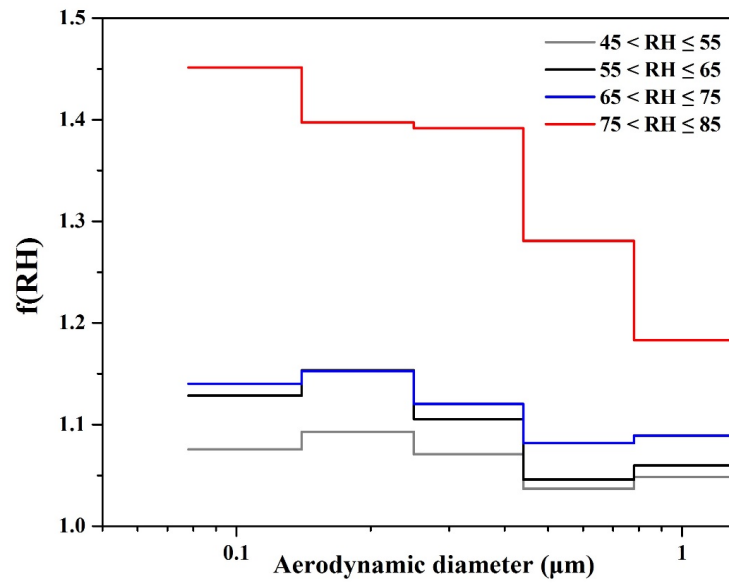


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955 **Figure 4**



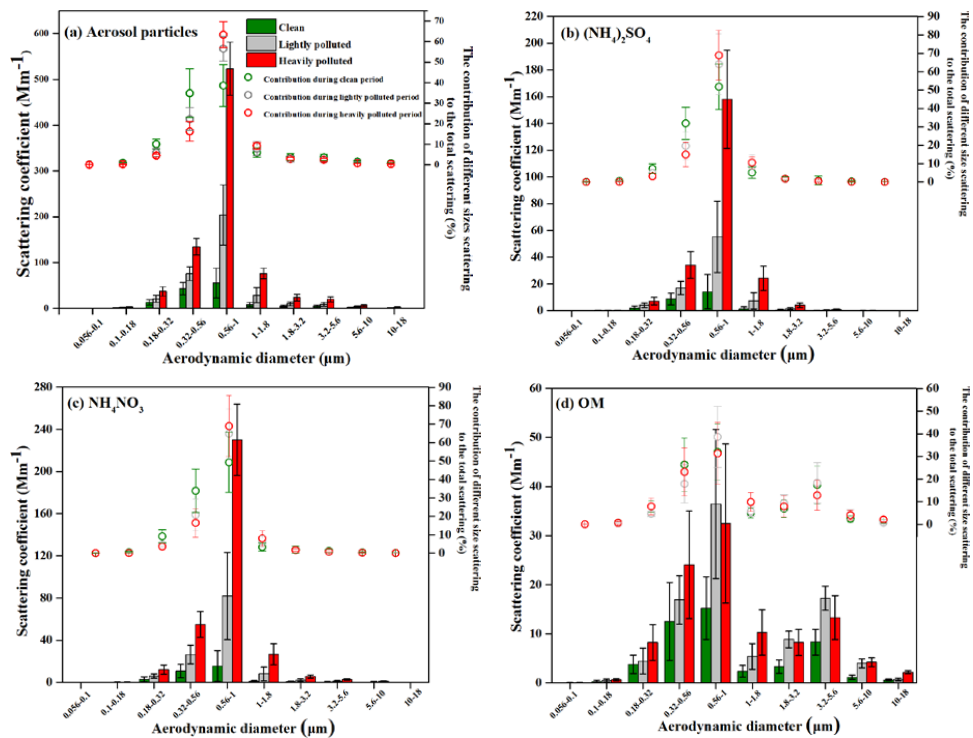
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959 **Figure 5**

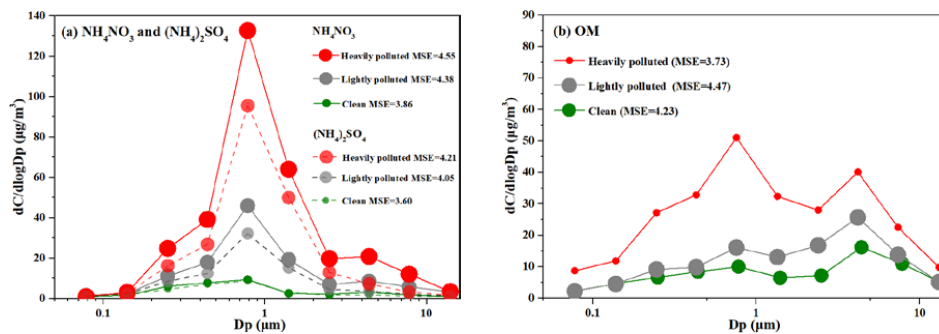


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962 **Figure 6**

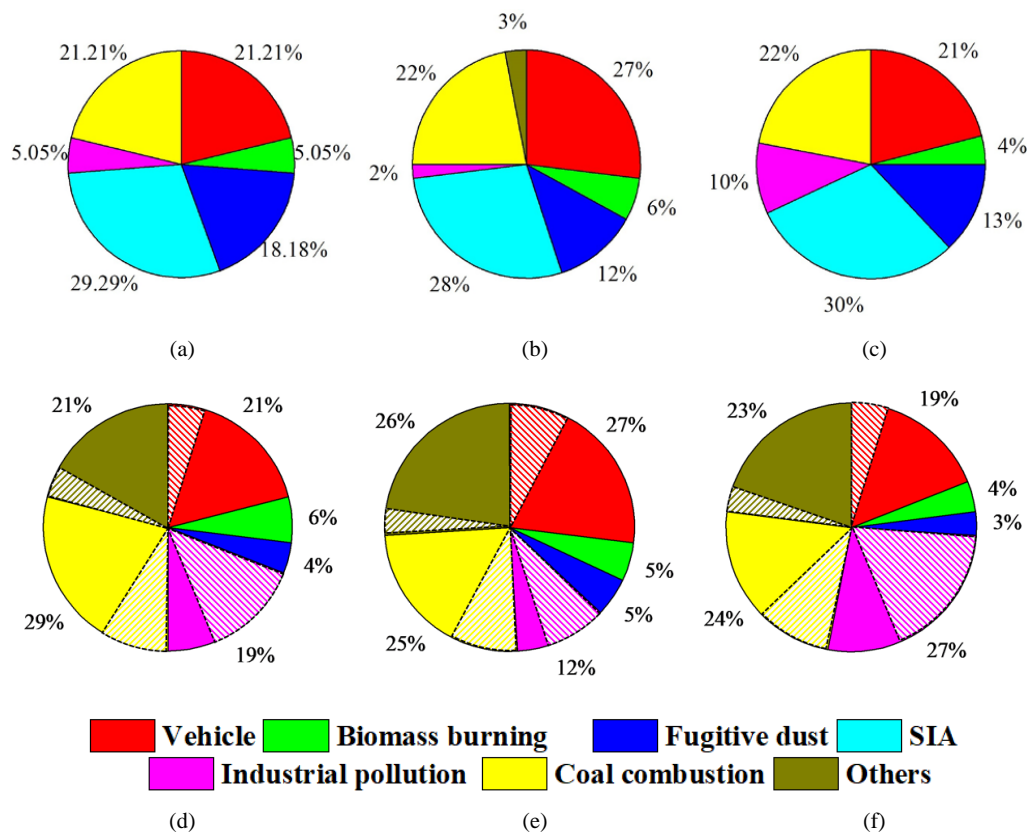


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965 **Figure 7**

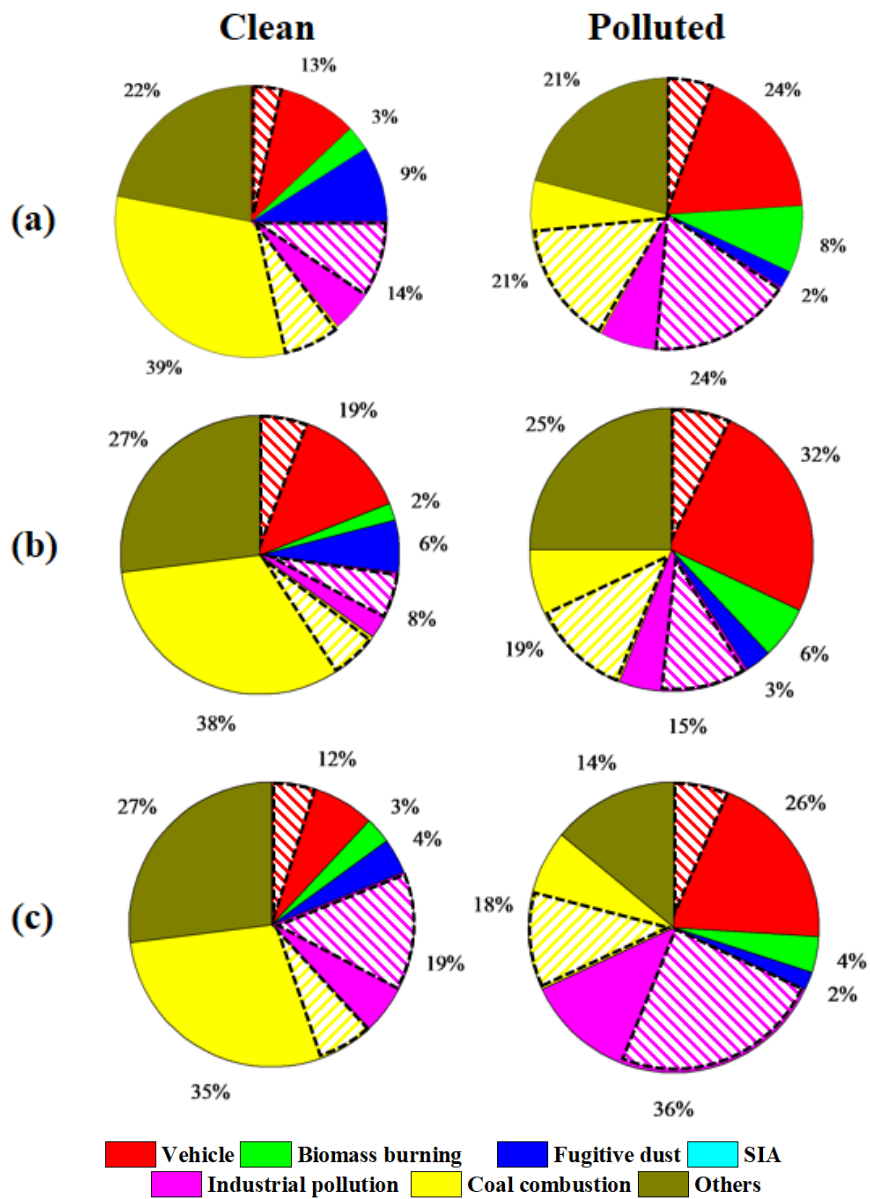


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968 **Figure 8**



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