#### Referee #1

I have three questions about the manuscript.

1. The comparison of simulated and observed O3-T relationship for five cities is not done properly (Line 187; Fig S1). The authors derived the O3-T slope from daily data in all five cities in the scatter plot (Fig. S1). Thus derived slope may be determined mainly by the "systematic" differences among the five cities. Indeed, Fig.3 and Fig. 7 shows the local O3-T slope over most regions of China is smaller than 1 ppb/K, inconsistent with the CMAQ slope shown in Fig. S1 and Line 187 (2.4 ppb/K).

Responses: Thanks for your comment. We compared the simulated and observed O3-T relationship in the five cities individually. Fig. S1 and the corresponding discussions have been updated in the revised manuscript. The results show that CMAQ predicts positive  $O_3$ -T relationship in most cities except in Beijing, and the model tends to underestimated the daily  $O_3$ -T relationship except in Shanghai. The underestimation of  $O_3$ -T by the CMAQ model in this study is consistent with the findings in Rasmussen et al. (2012).

The results in Fig. S1 is different from those in Fig. 3 and Fig. 7, because they are in different time scales. The results in Fig. S1 are of daily MDA8 O<sub>3</sub> concentrations, while the results in Fig. 3 and Fig. 7 are of monthly average concentrations.

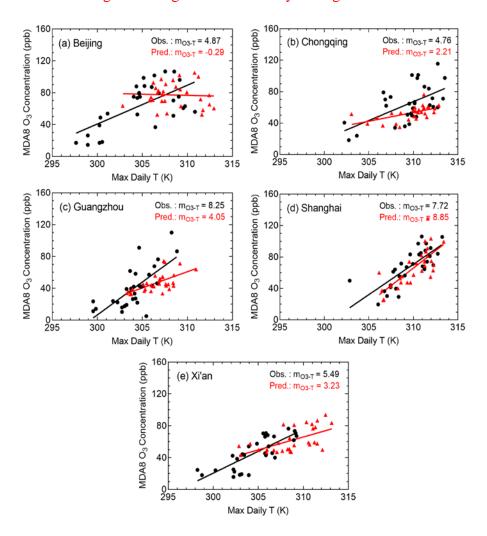
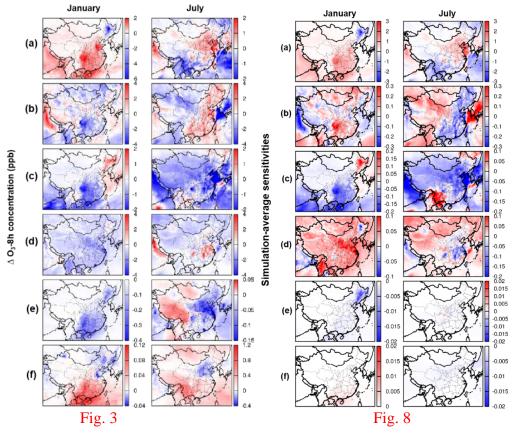


Fig. S1. Observed and predicted relationships between surface MDA8  $O_3$  (ppb) and daily  $T_{max}$  (K) in the 5 cities in July 2013.

2. I find Section 3.3 and Fig. 8 and 9 redundant. The spatial distributions are essentially the same between Fig. 3 and Fig. 8, and between Fig. 4 and Fig. 9. I do not see the point of these figures and corresponding discussions.

Responses: I think the reviewer may misunderstood Section 3.3 and Fig. 8 and Fig. 9. Fig. 3 and Fig. 4 illustrate the concentration changes of  $O_3$  and  $PM_{2.5}$  (in the unit of ppb or  $\mu g$  m<sup>-3</sup>) under a specific perturbation of individual meteorological parameters. Fig. 8 and Fig. 9 show the sensitivity of  $O_3$  and  $PM_{2.5}$  to meteorological parameters (in the unit of ppb K<sup>-1</sup>, ppb %<sup>-1</sup>,  $\mu g$  m<sup>-3</sup> K<sup>-1, or</sup>  $\mu g$  m<sup>-3</sup> %<sup>-1</sup>). The sensitivity is calculated by considering all the perturbations in each meteorological parameters and the corresponding concentration changes, as explained in the beginning of Section 3.3 and Figs. S8-S13. Therefore, they are not redundant.

The spatial distributions are not the same. We put Fig. 3 and Fig. 8 side by side here. The spatial distribution is similar for temperature (explained in the manuscript), but clearly they are very different for WS, PBL, CLW, and PCP. Similar finding for comparing Fig. 4 and Fig. 9.



3. There is ambugity regarding how the authors perturbed the meteorological parameters. It is not clear to readers what processes are affected by the perturbation. For example, when the authors perturb T in CMAQ, does absolute humidity or relative humidity change? For relationship between T, AH, and RH to be invalid, one of them has to change. But changing AH or RH can have different consequences on chemical reactions. (e.g., smaller RH can cause less aerosol water and thus impact on the SNA). Or, maybe the only thing considered is T in the chemical kinetic computation? Similar case for PBLH. When authors claim they perturbed PBLH in CMAQ, it is most likely that they simply perturbed the PBLH parameter in the model's boundary layer mixing scheme. But a real life change in PBLH will also implicate changes in vertical

profiles in T, WS, AH, etc.

Responses: In the CMAQ meteorological input files, we perturbed one parameter every time. In the case of T perturbation, we only changed T but kept all other meteorological parameters the same. This means the AH was not changes (AH is in the CMAQ meteorological input files). As the reviewer said, RH then was changed with the T perturbation. All the chemical processes that involved T and RH were then affected by the perturbation in T.

In the case for PBLH, we only changed the PBLH values in the meteorological input files, while all the other parameters were kept the same. So the vertical profiles in T, WS and AH were indeed not changed. We admit that this perturbation would not occur in the real world as the meteorological parameters in the real world are coupled together. However, we used this method to investigate each of these parameters separately.

We added a sentence of "Please note that this type of perturbations are not what happens in the real world where meteorological parameters are inter-linked." in Lines 156-158 in the revised manuscript to remind readers about this.

Minor comments:

Line 37: -1 ug m-3 %-1 Responses: Corrected.

Fig. 4: caption for y axis is wrong

Responses: Corrected.

#### Referee #2

I have a suggestion for the authors if they can include it will further bolster their manuscript.

The authors claim that they "isolate the effects of individual meteorological parameters" however the met parameters are inter-linked e.g. Change in temperature will result in corresponding change of PBL height, In that case how can the authors claim that they only study the isolated effect of change in temperature in this case. Is such an isolated change of a meteorological parameter possible meaning say only change of temperature without change in PBL? If not then your result from the study that "July O3 in Beijing is very sensitive to temperature and not to PBL and hence additional emission controls would be needed if temperature is predicted to increase in future" which is entirely based on presumption that either PBL or temperature will change might not give correct information. I suggest the authors can describe some uncertainties about their statement. Responses: In the CMAQ meteorological input files, we perturbed one parameter every time. In the case of T perturbation, we only changed T but kept all other meteorological parameters (including PBLH) the same. We added a sentence of "Please note that this type of perturbations are not what happens in the real world where meteorological parameters are inter-linked." in Lines 156-158 in the revised manuscript to remind readers about this.

## Sensitivity Analysis of the Surface Ozone and Fine

# 2 Particulate Matter to Meteorological Parameters in China

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## **Abstract**

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Meteorological conditions play important roles in the formation of ozone (O<sub>3</sub>) and fine particulate matter (PM<sub>2.5</sub>). China has been suffering from serious regional air pollution problems, characterized by high concentrations of surface O<sub>3</sub> and PM<sub>2.5</sub>. In this study, the Community Multiscale Air Quality (CMAQ) model was used to quantify the sensitivity of surface O<sub>3</sub> and PM<sub>2.5</sub> to key meteorological parameters in different regions of China. Six meteorological parameters were perturbed to create different meteorological conditions, including temperature (T), wind speed (WS), absolute humidity (AH), planetary boundary layer height (PBLH), cloud liquid water content (CLW) and precipitation (PCP). Air quality simulations under the perturbed meteorological conditions were conducted in China in January and July of 2013. The changes in O<sub>3</sub> and PM<sub>2.5</sub> concentrations due to individual meteorological parameters were then quantified. T has a great influence on the daily maximum 8-h average O<sub>3</sub> (O<sub>3</sub>-8h) concentrations, which leads to O<sub>3</sub>-8h increases by 1.7 ppb K<sup>-1</sup> in January in Chongqing and 1.1 ppb K<sup>-1</sup> in July in Beijing. WS, AH, and PBLH have a smaller but notable influence on O<sub>3</sub>-8h with maximum change rates of 0.3, -0.15, and 0.14 ppb  $\%^{-1}$ , respectively. T, WS, AH, and PBLH have important effects on PM<sub>2.5</sub> formation of in both January and July. In general, PM<sub>2.5</sub> sensitivities are negative to T, WS, and PBLH and positive to AH in most regions of China. The sensitivities in January are much larger than in July. PM<sub>2.5</sub> sensitivity to T, WS, PBLH, and AH in January can be up to -5  $\mu$ g m<sup>-3</sup> K<sup>-1</sup>, -3  $\mu$ g m<sup>-3</sup> %<sup>-1</sup>, -1  $\mu$ g m<sup>-3</sup> %<sup>-1</sup>, and +0.6  $\mu$ g m<sup>-3</sup> %<sup>-1</sup>, respectively, and in July can be up to  $-2 \mu g \, m^{-3} \, K^{-1}$ ,  $-0.4 \mu g \, m^{-3} \, \%^{-1}$ ,  $-0.14 \mu g \, m^{-3} \, \%^{-1}$ , and +0.3 µg m<sup>-3</sup> %<sup>-1</sup>, respectively. Other meteorological factors (CLW and PCP) have negligible effects on O<sub>3</sub>-8h (less than 0.01 ppb %<sup>-1</sup>) and PM<sub>2.5</sub> (less than 0.01 µg  $m^{-3}$  %  $^{-1}$ ). The results suggest that surface  $O_3$  and  $PM_{2.5}$  concentrations can change

- 42 significantly due to changes in meteorological parameters and it is necessary to
- consider these effects when developing emission control strategies in different regions
- 44 of China.

- 46 Keywords: sensitivity, meteorological conditions, fine particulate matter, ozone,
- 47 CMAQ model

## 1. Introduction

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China has serious air pollution problems and fine particulate matter (PM<sub>2.5</sub>) and 49 ozone (O<sub>3</sub>) are the two major air pollutants (Lin et al., 2010; Hu et al., 2016; Lu et al., 50 2019; Wu et al., 2019). The annual average PM<sub>2.5</sub> concentrations were higher than 50 51 µg m<sup>-3</sup> in 26 out of the total 31 provincial capital cities in mainland China during 52 2013-2014 (Wang et al., 2014a), and the national 4<sup>th</sup> highest daily maximum 8-hour 53 average O<sub>3</sub> (O<sub>3</sub>-8h) is 86.0 ppb during the warm-seasons (April-September) in 54 2013-2017, which is 6.3-30% higher than that in other industrialized regions of the 55 56 world (Lu et al., 2018). PM<sub>2.5</sub> alone caused 0.87-1.36 million deaths every year in China, and long-term exposure to O<sub>3</sub> was responsible for an extra 254 000 deaths 57 (Apte et al., 2015; Cohen et al., 2017; Hu et al., 2017b; Silver et al., 2018). China has 58 59 made remarkable improvement in air quality during recent years (Zhang et al., 2017; Zhao et al., 2017; China, 2018; Zheng et al., 2018), however, air pollution is still 60 severe, making it the fourth-ranked healthy risk factor (Stanaway et al., 2018). 61 62 Surface PM<sub>2.5</sub> and O<sub>3</sub> concentrations are determined by atmospheric processes of emissions, transport and dispersion, chemical transformation (due to gas-phase, 63 aqueous-phase and aerosol chemistry), and dry and wet deposition. These processes 64 are affected by meteorological conditions. Studies have shown that the surface O<sub>3</sub> and 65 PM<sub>2.5</sub> concentrations are sensitive to different meteorological parameters. For 66 example, Dawson et al. (2007b) have investigated the sensitivity of surface O<sub>3</sub> to 67 different meteorological parameters in the eastern United States (US) using the 68 comprehensive air quality model with extensions (CAM<sub>X</sub>). The results showed that 69

temperature (T) had the greatest influence on daily O<sub>3</sub>-8h of 0.34 ppb K<sup>-1</sup>, followed by absolute humidity (AH) of 0.025 ppb %<sup>-1</sup>. Bernard et al. (2001) also confirmed that T presented a notable positive correlation with the surface O<sub>3</sub> concentration. The effects of meteorological parameters on PM<sub>2.5</sub> are even more complicated. Tran and Mölders (2011) showed that elevated PM<sub>2.5</sub> concentrations tended to occur under the condition of calm wind, low T and relative humidity in Fairbanks, Alaska. Olvera Alvarez et al. (2018) used a land use regression model to analyze the effects of different meteorological parameters on PM<sub>2.5</sub> in El Paso, Texas and obtained the same conclusion in winter, but in spring, the high PM<sub>2.5</sub> level was associated with high wind speed (WS) and low humidity. Dawson et al. (2007a) studied the effects of individual meteorological parameters in the Eastern US and found that PM<sub>2.5</sub> concentration decreased markedly as the increased precipitation (PCP) in winter, but in summer, the main meteorological factors affecting the PM<sub>2.5</sub> concentration were T, WS and planetary boundary layer height (PBLH). Dawson et al. (2009) simulated the effects of climate change on regional and urban air quality in the Eastern US, and found PM<sub>2.5</sub> concentration decreased by 0.3 µg m<sup>-3</sup> in January mostly due to increasing in PCP and increased by 2.5 µg m<sup>-3</sup> in July largely due to decreasing in PBLH and WS. Horne and Dabdub (2017) altered various meteorological parameters to investigate their effects on O<sub>3</sub>, PM<sub>2.5</sub> and secondary organic aerosols (SOA), and found that the T predominated the effects of meteorology in California.

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Many studies have proved that meteorological conditions play very important roles in air pollution events in China. Studies found that the pollutant concentrations

could vary up to several times, due to meteorological changes with the same emission sources (Zhang et al., 2010; Xing et al., 2011; Zheng et al., 2015; Cai et al., 2017; Liu et al., 2017; Ning et al., 2018; Yang et al., 2018; Li et al., 2019b). For example, Xing et al. (2011) studied that the difference between the effects of 2007 and 2008 meteorological conditions on air quality during the 2008 Beijing Olympics. They found higher humidity in August 2008 was beneficial to the formation of SO<sub>4</sub><sup>2-</sup> by up to ~60%, and lower T prevented the evaporation of NO<sub>3</sub> by up to ~60%. Liu et al. (2017) reported that the monthly mean PM<sub>2.5</sub> concentrations in the Jing-Jin-Ji (JJJ) area in December 2015 increased by 5%~137% due to the unfavorable weather conditions such as low WS and high humidity.

A few studies investigated the relationships between air quality and meteorological conditions in China. Zhang et al. (2015) conducted a correlation analysis between air quality and meteorology in three megacities Beijing, Shanghai and Guangzhou in China. The result showed that air pollutants were significantly negatively correlated with WS, and O<sub>3</sub> had a positive correlation with T. Yin et al. (2016) found that the relationship between WS and PM<sub>2.5</sub> has complicated influence, with higher PM at low and high WS than in light to moderate winds in Beijing from 2008 to 2014. Xu et al. (2018) examined the variations of PM<sub>2.5</sub> concentration in January 2017 in China compared to that in January 2016 and found meteorological conditions of low WS, high humidity, low PBLH and low PCP contributed to PM<sub>2.5</sub> concentration worsening by 29.7%, 42.6% and 7.9% in the JJJ region, the Pearl River Delta (PRD) region and the Cheng-Yu Basin (CYB) region, respectively. Ma et al.

(2019) analyzed the effects of meteorology on air pollution in the Yangtze River Delta (YRD) region during 2014-2016 and found PM<sub>2.5</sub> was highly negatively correlated to WS, while O<sub>3</sub> concentration was positively correlated to T but negatively related to relative humidity. Zhu et al. (2017) reported that the surface concentrations of O<sub>3</sub> increased by 2-6 ppb in January and 8-12 ppb in July 2014 in PRD, mainly due to the increase in T and the decrease in NOx emissions.

These studies have investigated the impacts of meteorological conditions on PM<sub>2.5</sub> and O<sub>3</sub> in certain regions of China, however, quantitative sensitivity of PM<sub>2.5</sub> and O<sub>3</sub> to meteorological parameters has not been examined. The objective of this study is to quantify the sensitivity of O<sub>3</sub> and PM<sub>2.5</sub> to different meteorological parameters in winter and summer in different regions of China. The paper is constructed as following, Section 2 describes the method used to estimate the sensitivity, and Section 3 presents the effects of each meteorological variable on O<sub>3</sub> and PM<sub>2.5</sub> in China and in five representative cities. Conclusions are then summarized in Section 4.

#### 2. Methods

The sensitivity of O<sub>3</sub> and PM<sub>2.5</sub> associated with changes in meteorological parameters was quantified using the Community Multiscale Air Quality (CMAQ) model version 5.0.2. The meteorological parameters include T, WS, AH, PBLH, PCP, and cloud liquid water content (CLW). A base case was firstly simulated with meteorological fields predicted by the Weather Research and Forecasting (WRF) model v3.7.1 (http://www.wrf-model.org/) using the NCEP FNL Operational Model

Global Tropospheric Analyses dataset as the initial and boundary conditions. The base case has been described in a previous study and the model configurations of the base case were reported there (Hu et al., 2015). The WRF predicted meteorological parameters and the CMAQ predicted surface O<sub>3</sub> and PM<sub>2.5</sub> have been evaluated against observations at 422 sites in 60 major cities in China, and the accuracy of the model performance has been validated (Hu et al., 2016).

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A suit of perturbation scenarios was created, and in each scenario, a certain meteorological parameter was perturbed to a certain extent. The details of the perturbation scenarios are listed in Table 1. Among those changes, the T was absolute changes, and other parameters are relative variations. The magnitude ranges of perturbations are based on IPCC AR5 report and the study of Dawson et al. (2007) and the references therein. For each parameter, three positive and three negative perturbations were then designed within its range to have a more comprehensive examination on the sensitivity of PM<sub>2.5</sub> and O<sub>3</sub> to this parameter. All perturbations were implemented uniformly in space on the modeling domain and in time through the modeling periods. The perturbations on temperature, wind speed, and absolute humidity were made in all layers. To separate the effects of individual meteorological parameters, only one parameter was changed in each case while all other parameters were kept unchanged. Therefore, cloud dissipating or forming in response to changing temperature was not considered in the simulations. Please note that this type of perturbations are not what happens in the real world where meteorological parameters are inter-linked. When perturbing horizontal wind speed, to avoid unphysical

situations that mass would not be conserved, the vertical wind speed was adjusted in the vertical transport calculation based on the air density changes to conserve mass.

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Then the CMAQ model was re-run to predict the air quality under the perturbed meteorological condition. The emissions and other inputs were kept unchanged in each perturbed meteorological scenarios, therefore the difference of O<sub>3</sub> and PM<sub>2.5</sub> concentrations between each of the perturbation case and the base case was due to the change in the specific meteorological parameter, and the sensitivity of O<sub>3</sub> and PM<sub>2.5</sub> to individual meteorological parameters could be quantitatively determined. It is worthwhile to note that some meteorological parameters could have significant impacts on emissions, such as the effect of T on biogenic VOC and soil NOx emissions, the cloud cover/convection on lightning NOx emissions, the effect of T on power plant NOx emissions (high T leads to higher electricity demand in summer), which would affect air quality. Therefore, the sensitivities in this study only include the 'direct' effects of individual meteorological parameters on air quality. A full evaluation of the impacts of climate/weather changes on air quality should consider effects of the emissions changes.

The modeling domain covers East Asia, including entire China, with a horizontal resolution of 36×36 km<sup>2</sup>. The base case and perturbation cases were conducted in January and July in 2013, representing the winter and the summer conditions, respectively. In addition to the regional analysis, five representative megacities were selected, i.e., Beijing, Shanghai, Guangzhou, Chongqing, Xi'an (Fig.1). These cities are located in the North China Plain (NCP), YRD, PRD, CYB, and Guanzhong Plain,

respectively, where serious air pollution problems often occur. In this study, O<sub>3</sub>-8h was used in the O<sub>3</sub> analyses, and 24h average PM<sub>2.5</sub> was used in the PM<sub>2.5</sub> analyses, if not specifically stated. The O<sub>3</sub>-T relationship is examined using the method in Rasmussen et al. (2012) in the five cities. Observed and predicted O<sub>3</sub>-T relationships were estimated using the daily observed and predicted O<sub>3</sub>-8h concentrations and daily maximum T in July (O<sub>3</sub> observations became available from March 2013 in China, so no O<sub>3</sub> observations in January). The results are shown in Fig. S1. CMAQ predicts positive O<sub>3</sub>-T relationship in most cities except in Beijing, and the model tends to underestimated the daily O<sub>3</sub>-T relationship except in Shanghai. The underestimation of O<sub>3</sub>-T by the CMAQ model in this study is consistent with the findings in Rasmussen et al. (2012). The results show that CMAQ overestimated the O<sub>3</sub>-T relationship (CMAQ: 2.4 ppb/K vs. observation: 0.8 ppb/K, shown in Figure S1). Please note that we only have 1 month data and we use daily O<sub>3</sub>-8h and daily maximum temperature in the evaluation, while a much more meaningful evaluation should be performed to use monthly averaged O<sub>3</sub> and monthly average temperature over a long-term period Rasmussen et al. (2012).

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#### 3. Results and Discussion

## 3.1 Impacts of meteorological parameters on surface O<sub>3</sub>

Figs. 2(a) and 2(b) show the spatial-distribution of the predicted monthly average O<sub>3</sub>-8h concentrations in January and July, respectively. In January, the highest average concentrations are about 70 ppb in the Sichuan Basin, and the concentrations in southern and eastern China are generally higher than those in northern China. In July,

the highest average concentrations are over 80ppb in the large areas of NCP and YRD,
 CYB, and Guangzhou areas in the PRD.

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Fig. 3 shows the spatial distribution of the concentration changes of O<sub>3</sub>-8h in January and July due to change of T + 1.0 K, WS - 10%, AH +10% PBLH -20%, CLW + 10% case, and PCP + 10%, respectively. Fig. S1-S3 shows the results due to other extent changes in these parameters. When T increases 1.0 K (Fig. 3(a)), O<sub>3</sub>-8h increases 1-2 ppb in most area of eastern and central China in January and in NCP and YRD in July, which is consistent with the high O<sub>3</sub> spatial distribution in the base case (shown in Fig. 2). O<sub>3</sub>-8h decreases up to 4 ppb in January in Northeast China, and up to 2 ppb in the Southwest border of China and the East China Sea, which are the areas of low O<sub>3</sub> concentrations (generally less than the background O<sub>3</sub> concentration of 35 ppb). Therefore, the effect of T on O<sub>3</sub> is dependent on the O<sub>3</sub> formation regime. An increase in T promotes O<sub>3</sub> formation chemistry in net O<sub>3</sub> formation areas (O<sub>3</sub> concentrations greater than 35 ppb), but accelerates O<sub>3</sub> consumption chemistry in the net  $O_3$  loss areas ( $O_3$  concentrations less than 35 ppb). Fig. 3(b) shows that the differences of O<sub>3</sub>-8h in January and July when WS is 10% less than the base case in 2013. The influence of wind on O<sub>3</sub> concentration is complex, but generally, slower WS decreases O<sub>3</sub> in January in most parts of China, particularly in Sichuan by up to 3 ppb, but increases O<sub>3</sub> in July by a few ppb over the most areas in eastern and central China. Therefore, the impact of WS on O<sub>3</sub> appears opposite in winter and summer. Weaker winds slow down the dispersion of NOx and VOCs, which is conducive to O<sub>3</sub> formation in summer when the vertical mixing is

strong, but increases O<sub>3</sub> titration in the surface in winter due to weaker vertical mixing.

Fig. 3(c) displays that the surface O<sub>3</sub> is expected to decrease generally less than 1 ppb when AH increases by 10% (relative change) both in January and July in most land areas of China except in the northeast area. Fig. 3(d) shows that a 20% decrease of PBLH leads to O<sub>3</sub>-8h decreases by a few ppb in most area in January, while in July O<sub>3</sub>-8h increases in eastern and central regions, especially in YRD, CYB and areas in Hubei-Hunan-Jiangxi in the central China. Sensitivity of O<sub>3</sub> to CLW and PCP is relatively small. Fig. 3(e) demonstrates that O<sub>3</sub>-8h changes -0.03 ppb to 0.03 ppb in January and July for a 10% increase in CLW. Fig. 3(f) demonstrates that a 10% increase in PCP results in -0.1 to 0.2 ppb changes in O<sub>3</sub>-8h. O<sub>3</sub> changes due to the six meteorological factors with different extents of perturbation (Figs. S1-S3) shows the similar trends and spatial patterns.

#### 3.2 Impacts of meteorological parameters on surface PM<sub>2.5</sub>

Figs. 2(c) and 2(d) show the spatial distribution of the monthly average surface  $PM_{2.5}$  concentrations in January and July.  $PM_{2.5}$  in January reaches over 200  $\mu g$  m<sup>-3</sup> in JJJ, SYB, central China, and urban areas in the Northeast China.  $PM_{2.5}$  is much lower in July, generally lower than 50  $\mu g$  m<sup>-3</sup>, but is high (up to 70  $\mu g$  m<sup>-3</sup>) in areas in the JJJ, YRD, and central China regions.

Fig. 4 shows the spatial distribution of PM<sub>2.5</sub> changes due to the same changes of meteorological factors, as in Fig. 3. The PM<sub>2.5</sub> results of other cases of the sensitivity study are shown in Figs. S4-S6 of the Supplementary Materials. The results indicate

that in January, a 1.0 K increase in T leads to up to 5-6 µg m<sup>-3</sup> decrease of PM<sub>2.5</sub> in JJJ and central China; in July, a 1.0 K increase in T causes PM<sub>2.5</sub> increase by about 1 µg m<sup>-3</sup> in southern China but decrease by 1-3 μg m<sup>-3</sup> in the JJJ and east coast region. A 10% decrease in WS causes PM<sub>2.5</sub> increase up to over 40 µg m<sup>-3</sup> in January and up to 5 μg m<sup>-3</sup> in July. A 10% relative increase in AH leads to PM<sub>2.5</sub> increase of up to 6 μg m<sup>-3</sup> in January and up to 2 μg m<sup>-3</sup> in JJJ and northeast regions but slightly decrease of less than 1 µg m<sup>-3</sup> in southern China in July. A 20% decrease of PBLH causes PM<sub>2.5</sub> increases by up to 20 µg m<sup>-3</sup> in January and up to 4 µg m<sup>-3</sup> in July. The impact of CLW and PCP on PM<sub>2.5</sub> is small, and generally increase in CLW increases surface PM<sub>2.5</sub> and increase in PCP decreases PM<sub>2.5</sub>. The changes in the total PM<sub>2.5</sub> mass concentrations are determined by the changes in the chemical components of PM<sub>2.5</sub>. Fig. S7 displays the fraction of PM<sub>2.5</sub> species (elemental carbon (EC), primary organic carbon (POC), secondary organic aerosol (SOA), sulfate (SO<sub>4</sub><sup>2-</sup>), nitrate (NO<sub>3</sub><sup>-</sup>), and ammonium (NH<sub>4</sub><sup>+</sup>)) in five representative cities. Secondary inorganic aerosols (SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub>-, NH<sub>4</sub>+) are the major PM components, accounting for over 50% of PM<sub>2.5</sub> in January and about 40% in July. Fig. 5 and Fig. 6 show the changes of the major PM<sub>2.5</sub> components due to the same changes of meteorological factors as in Fig. 4 in January and in July, respectively. The results show that the effects of the meteorological parameters on the total PM<sub>2.5</sub> (shown in Fig. 4) are mainly due to their effects on  $SO_4^{2-}$ ,  $NO_3^{-}$ , and  $NH_4^{+}$  in January, and due to the changes in SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, and SOA in July. In general, PBLH, WS,

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and PCP are negatively correlated to SO<sub>4</sub><sup>2</sup>-, NO<sub>3</sub>-, and NH<sub>4</sub>+ formation, but AH and

CLW are positively correlated to these components. SOA concentrations are much higher in July than in January due to the contribution from biogenic emissions (Hu et al., 2017a). SOA formation is affect by reaction rates (positively affected by T), availability of oxidants (such as changes in O<sub>3</sub>), and hydrogen ion strength (affected by changes in SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>). SOA concentrations mainly increase in south China.

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It is worthwhile noting that the effects of T on  $SO_4^{2-}$  and  $NO_3^{-}$  (changes of  $NH_4^+$ is determined by changes of  $SO_4^{2-}$  and  $NO_3^{-}$ ). Both in January and July, increase in T decreases  $SO_4^{2-}$  and  $NO_3^{-}$  in the major areas of eastern China. The  $NO_3^{-}$  decreases is expected because volatile NH<sub>4</sub>NO<sub>3</sub> favors more in gas phase in higher temperature, and this result is consistent with studies in other regions (Dawson et al., 2007a; Horne and Dabdub, 2017).  $SO_4^{2-}$  is found to increase with T increase in those studies because faster gas- and aqueous- phase reactions of SO<sub>4</sub><sup>2</sup>-. However, our finding of SO<sub>4</sub><sup>2</sup>- in China is opposite. The CMAQ-Sulfur Tracking Model (CMAQ-STM) was further used to track the  $SO_4^{2-}$  formation from different processes. The results confirm that the SO<sub>4</sub><sup>2</sup>- production from gas- and aqueous- phase increases with T increase. But meanwhile SO<sub>4</sub><sup>2-</sup> production from heterogeneous reactions is reduced more when T is increased. Heterogeneous SO<sub>4</sub><sup>2-</sup> formation has been proposed as a major SO<sub>4</sub><sup>2-</sup> formation pathway during China haze events (Wang et al., 2014b; Gen et al., 2019; Huang et al., 2019; Li et al., 2019a) and in this study it accounts for up to ~75% of total SO<sub>4</sub><sup>2-</sup> production. The treatment of heterogeneous SO<sub>4</sub><sup>2-</sup> formation currently is modeled as a surface-controlled uptake process, in which the formation rate is

determined by the aerosol surface area and the uptake coefficient of  $SO_2$  on particle surface (Ying et al., 2014). When T is increased, the particle surface area decreases (as particle mass concentration decreases due to a combined effect of other components), resulting in decrease in the heterogeneous  $SO_4^{2-}$  formation.

An additional simulation was run to illustrate the combined effects of perturbations in all meteorological parameters (T+1.0K, WS-10%, AH+10%, PBLH-20%, CLW+10%, and PCP+10%) on O<sub>3</sub> and PM<sub>2.5</sub> in January and July. The results are shown in Fig. S8. The average O<sub>3</sub>-8h concentration in this combined-change simulation drop by ~2 ppb in January, except in the northeast. In July, O<sub>3</sub> in eastern China and the Sichuan basin rose by 2 ppb. The changes in PM<sub>2.5</sub> resulting from this combined-change simulation were significantly higher, compared to the basecase concentrations, even increased by up to 50 µg m<sup>-3</sup> in January.

# 3.3. Quantitative sensitivity of $O_3$ and $PM_{2.5}$ to individual meteorology parameters

The quantitative sensitivity of O<sub>3</sub> and PM<sub>2.5</sub> concentrations to individual meteorological parameter is calculated by linear fitting of the changes in monthly-average concentrations under all of the six perturbed cases of the meteorological parameter. Figs. S8-S10 in Supplementary Materials show the calculation examples of T, WS, and AH on O<sub>3</sub> at the five major cities of Beijing, Chongqing, Guangzhou, Shanghai and Xi'an, and Figs. S11-S13 shows the examples

for the PM<sub>2.5</sub> cases. Fig.7 demonstrates the sensitivities of O<sub>3</sub>-8h and PM<sub>2.5</sub> and its components to each meteorological parameter in the five cities. In January, T has a positive impact on O<sub>3</sub> in all cities, and the largest impact is in Chongqing with a rate of +1.69 ppb K<sup>-1</sup>. In July, O<sub>3</sub> also shows a strong positive sensitivity to T in Beijing with +1.06 ppb K<sup>-1</sup> and in Shanghai with +0.98 ppb K<sup>-1</sup>, but has a small negative sensitivity (-0.15 ppb K<sup>-1</sup>) in Xi'an and a moderate negative sensitivity (-0.74 ppb K<sup>-1</sup>) in Guangzhou. The O<sub>3</sub> sensitivity to T in Guangzhou in July shows a highly nonlinear trend and is very different from other cities (Fig. S8(c)). More studies are needed to investigate the effects of T on O<sub>3</sub> pollution in the YRD region during summertime. WS and PBLH both have positive effects on O<sub>3</sub>-8h in January, the effects vary significantly among cities, with 0.004-0.3 ppb %<sup>-1</sup> for WS and 0.04-0.14 ppb %<sup>-1</sup> for PBLH. AH has a negative effect on O<sub>3</sub>-8h in January, ranging from -0.01 to -0.15 ppb %<sup>-1</sup>. But in July, the impacts of WS, AH, and PBLH are negative in most cities, with a range of -0.05 to -0.18, -0.05 to -0.13, and -0.02 to -0.07 ppb  $\%^{-1}$ , respectively. Generally speaking, T, WS, AH and PBLH led to rather lager O<sub>3</sub> changes. The sensitivity of O<sub>3</sub> to CLW and PCP is even minimal (less than 0. 1 ppb %<sup>-1</sup>) and mostly negative.

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Negative sensitivities are found for surface  $PM_{2.5}$  concentrations to T, WS, PBLH, and PCP, and positive sensitivities for  $PM_{2.5}$  to AH and CLW. The sensitivity of T in the five cities ranges from -1.5 to -3.6  $\mu g$  m<sup>-3</sup> K<sup>-1</sup> in January and -0.3 to -1.65  $\mu g$  m<sup>-3</sup> K<sup>-1</sup> in July.  $PM_{2.5}$  is also very sensitive to WS in January, with a range of -0.8 to -2.97  $\mu g$  m<sup>-3</sup> %<sup>-1</sup>, while the sensitivity (-0.03 to -0.19  $\mu g$  m<sup>-3</sup> %<sup>-1</sup>) becomes much smaller in

July. The sensitivity to PBLH is -0.12 to -0.58  $\mu g$  m<sup>-3</sup> %<sup>-1</sup> in January and -0.003 to -0.23  $\mu g$  m<sup>-3</sup> %<sup>-1</sup> in July. The sensitivity to AH is 0.16 to 0.30  $\mu g$  m<sup>-3</sup> %<sup>-1</sup> in January and 0.05 to 0.27  $\mu g$  m<sup>-3</sup> %<sup>-1</sup> in July. Sensitivity to CLW and PCP is small in January and July, mostly less than 0.01 $\mu g$  m<sup>-3</sup> %<sup>-1</sup>. The PM<sub>2.5</sub> sensitivities can be explained by the major components of SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup> in January and by SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, and SOA in July.

Fig. 8 shows the spatial variations of the sensitivity of O<sub>3</sub>-8h and PM<sub>2.5</sub> to the meteorological parameters. The sensitivity of O<sub>3</sub>-8h to temperature is more significant in Sichuan and southern provinces of China in January, and in NCP and YRD in July, up to +2 ppb K<sup>-1</sup> in both January and July. O<sub>3</sub>-8h sensitivity to WS is diverse in space, and is generally positive in Sichuan and southern provinces in January; and it is negative in east China but positive in west China. O<sub>3</sub>-8h sensitivity to AH is generally negative in both months in most regions of China, except the northeast in January and southwest in July. O<sub>3</sub>-8h sensitivity to PBLH is mostly positive in January but becomes negative in YRD, CYB, NCP, and central China in July. O<sub>3</sub>-8h sensitivity to CLW and PCP is negligible.

Fig. 9 displays the spatial variations of the sensitivity of surface PM<sub>2.5</sub> to the meteorological parameters. PM<sub>2.5</sub> sensitivities to the meteorological parameters are more consistent in January and July than the cases of O<sub>3</sub>, i.e., negative sensitivity to T, WS, PBLH, PCP, and positive to AH and CLW in most regions of China in both months. On the other hand, PM<sub>2.5</sub> sensitivities are more profound in January than in

July. PM<sub>2.5</sub> sensitivity to T is up to -5 μg m<sup>-3</sup> K<sup>-1</sup> in January and up to -2 μg m<sup>-3</sup> K<sup>-1</sup> in July. PM<sub>2.5</sub> sensitivity to WS is up to -3 μg m<sup>-3</sup> %<sup>-1</sup> in January, and up to -0.4 μg m<sup>-3</sup> %<sup>-1</sup> in July. PM<sub>2.5</sub> sensitivity to PBLH is up to -1 μg m<sup>-3</sup> %<sup>-1</sup> in January, and up to -0.14 μg m<sup>-3</sup> %<sup>-1</sup> in July. PM<sub>2.5</sub> sensitivity to AH is up to +0.6 μg m<sup>-3</sup> %<sup>-1</sup> in January, and up to 0.3 μg m<sup>-3</sup> %<sup>-1</sup> in July. The sensitivities to CLW and PCP is small, compared to the other four meteorological parameters. PM<sub>2.5</sub> sensitivity to T is negative in most land areas of China in January and in NCP and YRD in July because of the negative effects of T on SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup>, as discussed in previous sections. PM<sub>2.5</sub> sensitivity to T is positive in south China in July due to more SOA with higher T.

### 4. Conclusions

Meteorological conditions can have a great influence on surface O<sub>3</sub> and PM<sub>2.5</sub> concentrations. In this study, the sensitivities of O<sub>3</sub>-8h and PM<sub>2.5</sub> to T, WS, AH, PBLH, PCP, and CLW are quantitatively estimated in January and July, respectively in China. The response of O<sub>3</sub>-8h to T is important and the sensitivity can be up to +2 ppb K<sup>-1</sup> in both January and July, and the sensitivity is dependent on the O<sub>3</sub> chemistry formation or loss regime, i.e., positive in the net O<sub>3</sub> formation areas, and negative in the O<sub>3</sub> consumption areas. In general, PM<sub>2.5</sub> sensitivities are negative to T, WS, PBLH, and PCP and positive to AH and CLW in most regions of China in both January and July. The sensitivities in January are much larger than in July. PM<sub>2.5</sub> sensitivities to T, WS, AH, and PBLH are important. The PM<sub>2.5</sub> sensitivities to these meteorological parameters are through major effects on SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, and SOA. The sensitivities of O<sub>3</sub> and PM<sub>2.5</sub> to CLW and PCP are negligible. The results show that O<sub>3</sub>

and PM<sub>2.5</sub> concentrations in China are greatly affected by meteorological conditions, therefore changes in these meteorological parameters due to climate change or inter-annual meteorological variations could potentially alter O<sub>3</sub> and PM<sub>2.5</sub> concentrations significantly, and it should consider these effects when developing emission control strategies. The results also show that the O<sub>3</sub> and PM<sub>2.5</sub> sensitivities to meteorological parameters have substantial spatial variations. Future studies can further investigate how the changes in meteorological conditions affect the effectiveness of emission control plans in reaching the designed air quality objectives in the different regions of China.

- **Data availability**. All of the modeling results will be available online after we publish the paper.
- Author contributions. ZS, and JH designed research. ZS, LH, JL, QY, HZ
  and JH contributed to model development and configuration. ZS, LH, JL, and JH
  analyzed the data. ZS prepared the manuscript and all coauthors helped improve the
  manuscript.
- Competing interests. The authors declare that they have no conflict of interest.

# Acknowledgment

This work was supported by the National Key R&D Program of China (2018YFC0213800), the National Natural Science Foundation of China (41975162, 41675125 and 41705102), and Jiangsu Environmental Protection Research Project (2016015).

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#### Tables and Figures

Table 1. Meteorological perturbations imposed in this study

Meteorological Parameter	Changes in Values Examined
Temperature (T)	±0.5K, ±1.0K, ±1.5K
Wind speed (WS)	±5%, ±10%, ±20%
Absolute Humidity (AH)	$\pm 5\%$ , $\pm 10\%$ , $\pm 20\%$
Boundary layer height (PBLH)	$\pm 10\%$ , $\pm 20\%$ , $\pm 30\%$
Cloud liquid content (CLW)	$\pm 5\%$ , $\pm 10\%$ , $\pm 20\%$
Precipitation (PCP)	±5%, ±10%, ±20%

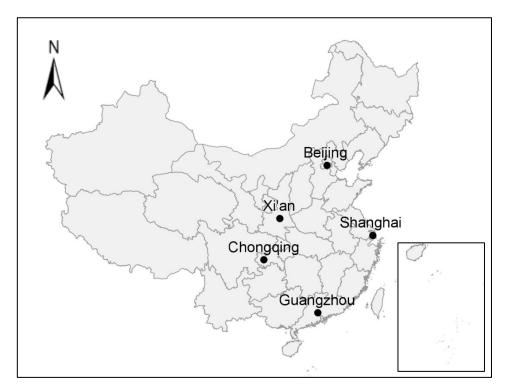
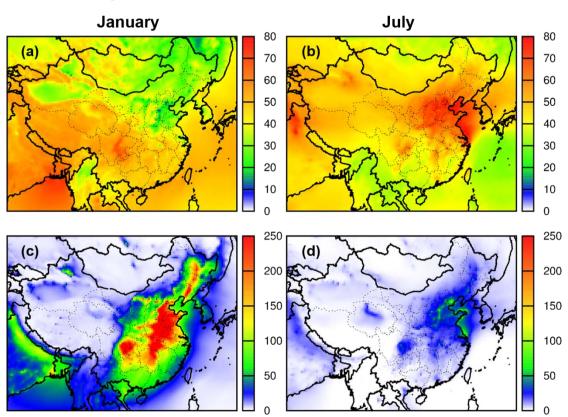


Fig.1 Location map of China and the five cities.



**Fig.2** Spatial distributions of monthly average  $O_3$ -8 h (ppb) in (a) January and (b) July, and monthly average  $PM_{2.5}$  ( $\mu g \ m^{-3}$ ) in (c) January and (d) July 2013.

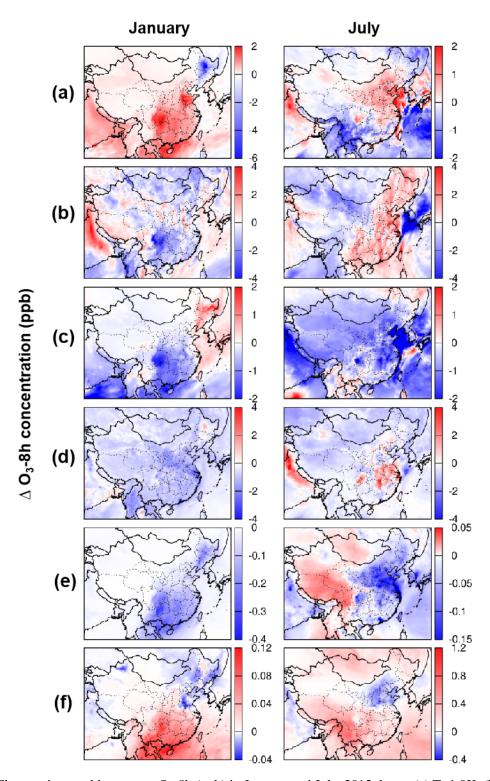
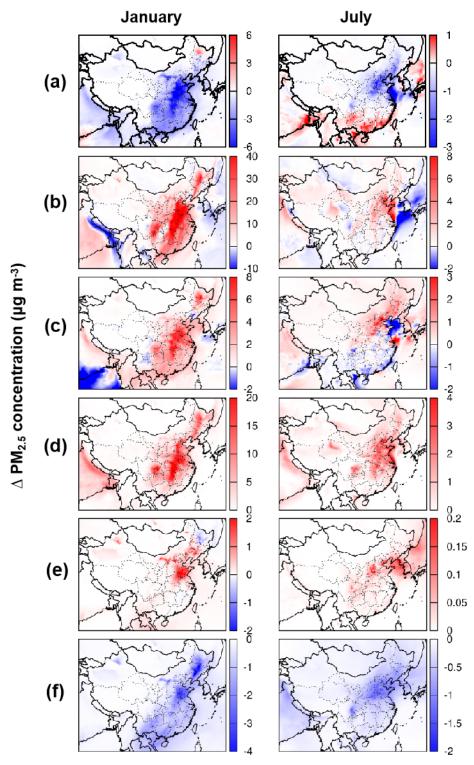
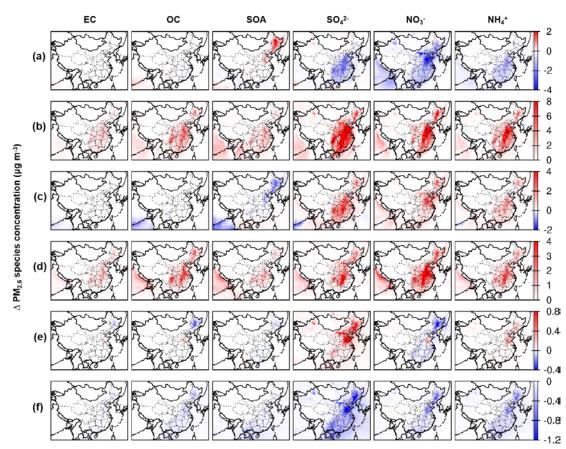


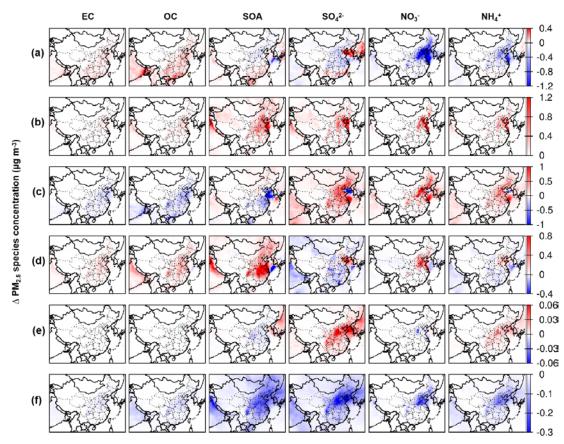
Fig.3 Changes in monthly average  $O_3$ -8h (ppb) in January and July, 2013 due to (a) T+1.0K, (b) WS-10%, (c) AH+10%, (d) PBLH-20%, (e) CLW+10%, and (f) PCP+10%.



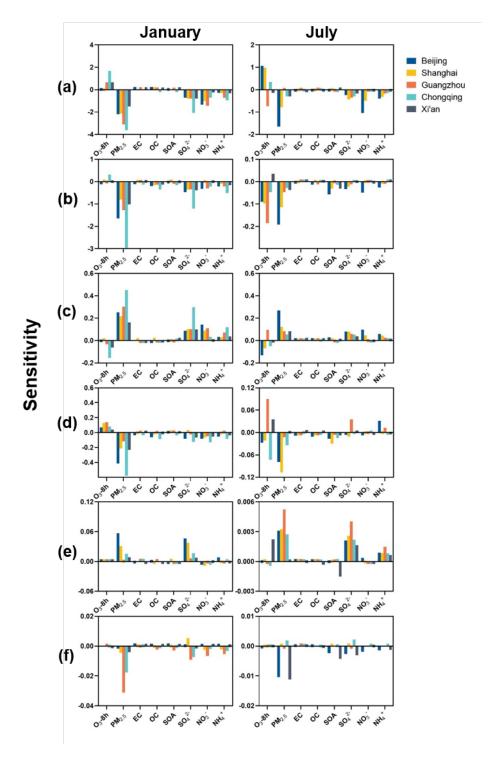
**Fig.4** Changes in monthly average  $PM_{2.5}$  concentration (µg m<sup>-3</sup>) in January and July, 2013 due to (a) T+1.0K, (b) WS-10%, (c) AH+10%, (d) PBLH-20%, (e) CLW+10%, and (f) PCP+10%.



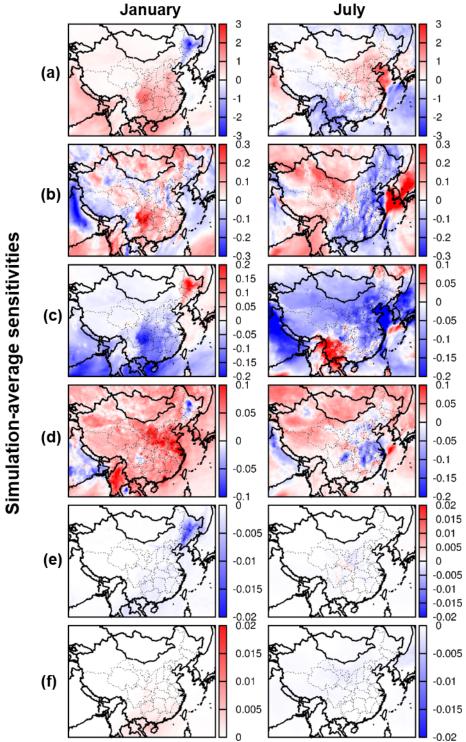
**Fig.5** Changes in monthly average  $PM_{2.5}$  component concentration ( $\mu g \ m^{-3}$ ) in January due to (a) T+1.0K, (b) WS-10%, (c) AH+10%, (d) PBLH-20%, (e) CLW+10%, and (f) PCP+10%.



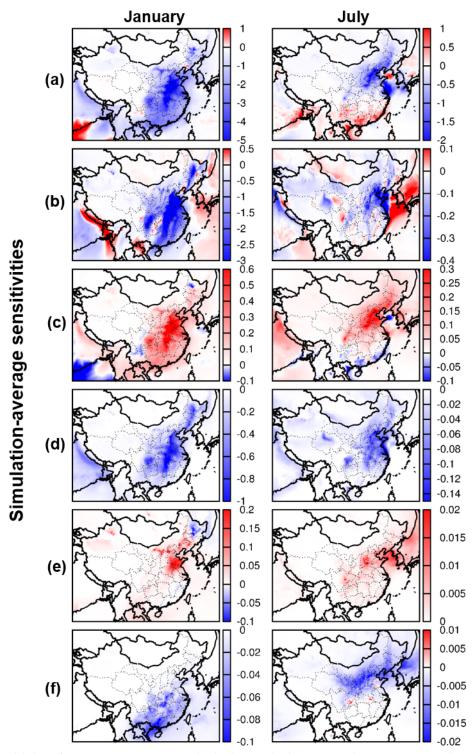
**Fig.6** Changes in monthly average  $PM_{2.5}$  component concentration ( $\mu g m^{-3}$ ) in July due to (a) T+1.0K, (b) WS-10%, (c) AH+10%, (d) PBLH-20%, (e) CLW+10%, and (f) PCP+10%.



**Fig.7** Sensitivity of  $O_3$ -8h,  $PM_{2.5}$  and its components to meteorological parameter of (a) T, (b) WS, (c) AH, (d) PBLH, (e) CLW, and (f) PCP in five cities in China. The unit of sensitivity is ppb  $K^{-1}$  for  $O_3$ -8h to T, and is ppb  $\%^{-1}$  for  $O_3$ -8h to other meteorological parameters; and the unit is  $\mu g m^{-3} K^{-1}$  for  $PM_{2.5}$  and its components to T, and is  $\mu g m^{-3} \%^{-1}$  for  $PM_{2.5}$  and its components to other meteorological parameters.



**Fig.8** Sensitivity of O<sub>3</sub>-8h mean to meteorological perturbations (a) T, (b) WS, (c) AH, (d) PBLH, (e) CLW, (f) PCP in China. The value in T is measured in ppb K<sup>-1</sup>, and others is ppb %<sup>-1</sup>.



**Fig.9** Sensitivity of PM<sub>2.5</sub> mean to meteorological perturbations (a) T, (b) WS, (c) AH, (d) PBLH, (e) CLW, (f) PCP in China. The value in T is measured in  $\mu g \ m^{-3} \ K^{-1}$ , and others is  $\mu g \ m^{-3} \ \%^{-1}$ .