

# Measurements of higher alkanes using NO<sup>+</sup> chemical ionization in PTR-ToF-MS: important contributions of higher alkanes to secondary organic aerosols in China

Chaomin Wang<sup>1,2</sup>, Caihong Wu<sup>1,2</sup>, Sihang Wang<sup>1,2</sup>, Jipeng Qi<sup>1,2</sup>, Baolin Wang<sup>3</sup>, Zelong Wang<sup>1,2</sup>, Weiwei Hu<sup>4</sup>, Wei Chen<sup>4</sup>, Chenshuo Ye<sup>5</sup>, Wenjie Wang<sup>5</sup>, Yele Sun<sup>6</sup>, Chen Wang<sup>3</sup>, Shan Huang<sup>1,2</sup>, Wei Song<sup>4</sup>, Xinming Wang<sup>4</sup>, Suxia Yang<sup>1,2</sup>, Shenyang Zhang<sup>1,2</sup>, Wanyun Xu<sup>7</sup>, Nan Ma<sup>1,2</sup>, Zhanyi Zhang<sup>1,2</sup>, Bin Jiang<sup>1,2</sup>, Hang Su<sup>8</sup>, Yafang Cheng<sup>8</sup>, Xuemei Wang<sup>1,2</sup>, Min Shao<sup>1,2,\*</sup>, Bin Yuan<sup>1,2,\*</sup>

<sup>1</sup> Institute for Environmental and Climate Research, Jinan University, 511443 Guangzhou, China

<sup>2</sup> Guangdong-Hongkong-Macau Joint Laboratory of Collaborative Innovation for Environmental Quality, 511443 Guangzhou, China

<sup>3</sup> School of Environmental Science and Engineering, Qilu University of Technology (Shandong Academy of Sciences), 250353 Jinan, China

<sup>4</sup> State Key Laboratory of Organic Geochemistry and Guangdong Key Laboratory of Environmental Protection and Resources Utilization, Guangzhou Institute of Geochemistry, Chinese Academy of Sciences, 510640 Guangzhou, China

<sup>5</sup> State Joint Key Laboratory of Environmental Simulation and Pollution Control, College of Environmental Sciences and Engineering, Peking University, 100871 Beijing, China

<sup>6</sup> State Key Laboratory of Atmospheric Boundary Physics and Atmospheric Chemistry, Institute of Atmospheric Physics, Chinese Academy of Sciences, 100029 Beijing, China

<sup>7</sup> State Key Laboratory of Severe Weather & Key Laboratory for Atmospheric Chemistry of China Meteorology Administration, Chinese Academy of Meteorological Sciences, 100081 Beijing, China

<sup>8</sup> Multiphase Chemistry Department, Max Planck Institute for Chemistry, Mainz 55128, Germany

\*Email: Bin Yuan (byuan@jnu.edu.cn) and Min Shao (mshao@pku.edu.cn)

**Abstract:** Higher alkanes are a major class of intermediate-volatility organic compounds (IVOCs), which have been proposed to be important precursors of secondary organic aerosols (SOA) in the atmosphere. Accurate estimation of SOA from higher alkanes and their oxidation processes in the atmosphere are limited, partially due to difficulty in their measurements. High-time resolution (10 s) measurements of higher alkanes were performed using  $\text{NO}^+$  chemical ionization in proton transfer reaction time-of-flight mass spectrometer ( $\text{NO}^+$  PTR-ToF-MS) method at an urban site of Guangzhou in Pearl River Delta (PRD) and at a rural site in North China Plain (NCP), respectively. High concentrations were observed in both environments, with significant diurnal variations. At both sites, SOA production from higher alkanes is estimated from their photochemical losses and SOA yields. Higher alkanes account for significant fractions of SOA formation at the two sites, with average contributions of  $7.0 \pm 8.0\%$  in Guangzhou and  $9.4 \pm 9.1\%$  in NCP, which are comparable or even higher than both single-ring aromatics and naphthalenes. The significant contributions of higher alkanes in SOA formation suggests that they should be explicitly included in current models for SOA formation. Our work also highlights the importance of  $\text{NO}^+$  PTR-ToF-MS in measuring higher alkanes and quantifying their contributions to SOA formation.

## 1. Introduction

As important components of fine particles, secondary organic aerosols (SOA) not only affect air quality and climate change, but also threaten human health (An et al., 2019;Zhu et al., 2017;Chowdhury et al., 2018). Recent studies indicate large discrepancies between simulations and observations for SOA (de Gouw et al., 2008;Dzepina et al., 2009;Jiang et al., 2012), which are attributed to limited understanding of complicated chemical and physical processes underlying SOA formation (Hallquist et al., 2009). A volatility basis set (VBS) model was developed to advance SOA modeling by improving the modeling of further multigenerational oxidation processes and incorporating numerous, yet unidentified, low-volatility precursors of SOA (Donahue et al., 2006), which substantially improved the agreement between SOA simulations and observations (Hodzic et al., 2010). However, there are still large uncertainties in current VBS models, including rate constants of oxidation reactions, the change of O/C ratio in oxidation, and the relative importance of functionalization and fragmentation (Ma et al., 2017;Hayes et al., 2015). Explicit consideration of individual or a group of important semi-volatile or intermediate volatile organic compounds (S/I-VOCs) in the SOA model are urgently needed.

Higher alkanes as a major class of IVOCs (roughly corresponding to alkanes with 12-20 carbons) have been proposed as important SOA contributors in urban areas (Robinson et al., 2007;Yuan et al., 2013;Zhao et al., 2014a). In the typical urban areas, higher alkanes are reported to be mainly from vehicle emissions including diesel exhaust (Zhao et al., 2015) and gasoline exhaust (Zhao et al., 2016), corresponding generally to ~4% of NMHCs emissions from on-road vehicles. Higher alkanes are estimated to produce as much as or even more SOA than single-ring aromatics and polycyclic aromatic hydrocarbons from the oxidation of vehicle emissions, based on the chemical compositions measurements of vehicle

exhausts (Zhao et al., 2016, 2015). Based on vehicle exhaust tests, higher alkanes were found to contribute ~37% to diesel exhaust-derived SOA and ~0.8% to gasoline exhaust-derived SOA, respectively (Gentner et al., 2012). Previous model studies suggested that SOA simulation can be significantly improved when higher alkanes were considered in the model (Pye and Pouliot, 2012; Jathar et al., 2014; Wu et al., 2019). Although the concentrations of higher alkanes might be lower than other VOCs classes (e.g. aromatics) in the atmosphere, higher alkanes are found to have much higher SOA yields and the yields increase steadily with carbon number (Lim and Ziemann, 2005; Lim and Ziemann, 2009; Presto et al., 2010b). For a given carbon number, SOA yields of higher alkanes reduce with branching of the carbon chain, especially under high-NO<sub>x</sub> conditions (Lim and Ziemann, 2009; Tkacik et al., 2012; Loza et al., 2014).

Higher alkanes have been mainly measured by gas chromatography-based techniques, focusing on the compositions (Gong et al., 2011; Caumo et al., 2018), atmospheric concentration levels (Bi et al., 2003; Anh et al., 2018) and gas-particle partitioning (Xie et al., 2014; Sangiorgi et al., 2014). While most of previous studies collected offline samples (usually 0.5 day-1 week) for GC-based analysis in the laboratory, hourly online measurements of *n*-alkanes using GC-based thermal desorption aerosol gas chromatograph for semi-volatile organic compounds (SV-TAG) was recently developed and applied in ambient air (Zhao et al., 2013). Proton-transfer-reaction mass spectrometry (PTR-MS) using H<sub>3</sub>O<sup>+</sup> as reagent ions are capable of measurements for many organic compounds with high time response and sensitivity (de Gouw and Warneke, 2007; Jordan et al., 2009; Yuan et al., 2017b). Although H<sub>3</sub>O<sup>+</sup> PTR-MS is responsive to large alkanes (>C<sub>8</sub>), these alkanes usually fragment into small masses with mass spectra difficult to interpret (Jobson et al., 2005; Gueneron et al., 2015). Recently, PTR-MS using NO<sup>+</sup> as reagent ions was demonstrated to provide fast online measurement of higher alkanes (Koss et al.,

2016;Inomata et al., 2013). The high-time resolution measurements of higher alkanes provide valuable information for SOA estimation, as the dependence of SOA yields on organic aerosol concentrations and other environmental parameters (e.g. temperature) (Lim and Ziemann, 2009;Presto et al., 2010b;Loza et al., 2014;Lamkaddam et al., 2017a) can be taken into account in more detail.

In this study, we utilize  $\text{NO}^+$  chemical ionization in PTR-ToF-MS (here referred as  $\text{NO}^+$  PTR-ToF-MS) to measure higher alkanes at two different sites in China, one urban site in Pearl River Delta region and one rural site in North China Plain region. We use the datasets along with measurements of other pollutants to estimate contributions to SOA formation from higher alkanes and other SOA precursors. The observation-constrained SOA formation of this study is a step forward upon previous modelling studies, which proposed the important roles of S/I-VOCs (Jiang et al., 2012;Yang et al., 2018;Wu et al., 2019) including higher alkanes (Yuan et al., 2013) in SOA formation in China.

## **2. Methods**

Field campaigns were conducted at an urban site of Guangzhou in the Pearl River Delta (PRD) region during September-November 2018 and at a rural site of Baoding in North China Plain (NCP) during November-December 2018, respectively. The detailed description of the measurement sites can be found in Supporting Information (SI, Figure S1).

### **2.1 $\text{NO}^+$ PTR-ToF-MS measurements**

Proton-transfer-reaction mass spectrometry (PTR-MS) is a technique that allows for fast and sensitive measurements of volatile organic compounds (VOCs) at trace levels in air. PTR-MS using  $\text{H}_3\text{O}^+$  chemistry has been demonstrated to measure alkenes, aromatics, and even oxygenated VOCs (Yuan et al., 2017a;Wu et al., 2020). Here, PTR-MS with  $\text{NO}^+$

chemistry was used to detect higher alkanes, through hydride abstraction by  $\text{NO}^+$  forming mass ( $m-1$ ) ions ( $m$  is the molecular mass) (Koss et al., 2016; Inomata et al., 2013).

A commercially available PTR-ToF-MS instrument (Ionicon Analytik, Austria) with a mass resolving power of 4000  $m/\Delta m$  was used for this work. To generate  $\text{NO}^+$  as reagent ions, ultra-high-purity air (5.0 sccm) was directed into the hollow cathode discharge ion source. The pressure of the drift tube was maintained at 3.8 mbar. Voltages of ion source and drift chamber were explored (Figure S2) in the laboratory to optimize the generation of  $\text{NO}^+$  ions relative to  $\text{H}_3\text{O}^+$ ,  $\text{O}_2^+$ , and  $\text{NO}_2^+$  and minimize alkane fragmentation. The intensities of primary ion  $\text{NO}^+$  and impurities ( $\text{O}_2^+$ ,  $\text{H}_3\text{O}^+$  and  $\text{NO}_2^+$ ) and the ratio of  $\text{O}_2^+$  to  $\text{NO}^+$  during two campaigns are shown in Figure S3 and Figure S4, respectively. The ratio of  $\text{O}_2^+/\text{NO}^+$  (Figure S4 (a)) is basically stable at 2-4% during the PRD campaign except during Oct. 26-Nov. 2, 2018 (7-10%). For the NCP campaign, the ratio of  $\text{O}_2^+/\text{NO}^+$  (Figure S4 (b)) fluctuates between 10-40% in the early stage of campaign and keeps stable at ~20% in the later stage of the campaign. Such fluctuations are attributed to the humidity effect in the ambient air (Figure S5). Ion source voltages of  $U_s$  and  $U_{so}$  were selected as 40 V and 100 V, while  $U_{\text{drift}}$  and  $U_{\text{dx}}$  were set to 470 V and 23.5 V, resulting in an  $E/N$  (electric potential intensity relative to gas number density of 60 Td.  $\text{NO}^+$  PTR-ToF-MS data was analysed using Tofware software (Tofwerk AG) for high-resolution peak-fitting. A description of the algorithm can be found in Stark et al. (2015) and Timonen et al. (2016). Figure 1 shows the high-resolution peak fitting to the averaged mass spectra on a typical day (12 October 2018) for  $m/z$  169,  $m/z$  211 and  $m/z$  281, at which masses produced by dodecane ( $\text{C}_{12}\text{H}_{25}^+$ ), pentadecane ( $\text{C}_{15}\text{H}_{31}^+$ ) and eicosane ( $\text{C}_{20}\text{H}_{41}^+$ ) are detected. It is observed that the ions from higher alkanes lie at the right-most position at each nominal mass, with signals either the largest or among the largest ions at these nominal masses, which help to achieve high precision for determined signals of higher alkanes from high-resolution peak fitting (Cubison and Jimenez, 2015; Corbin et al., 2015).

In this study, we normalize the raw ion count rate of higher alkanes to the primary ion ( $\text{NO}^+$ ) at a level of  $10^6$  cps to account for fluctuations of ion source and detector. Calibrations were conducted every 1-2 days under both dry conditions ( $\text{RH} < 1\%$ ) and ambient humidity conditions using a gas standard with a series of *n*-alkanes (Apel Riemer Environmental Inc.) during NCP campaign (Figure 2(a)). Sensitivities of *n*-alkanes (C8-C15) standards were obtained during the campaign (Figure S6), which is defined as the normalized signal of hydride abstraction ions for each higher alkane at 1 ppbv with a unit of ncps/ppb. The fluctuations of sensitivities during the NCP campaign may be influenced by the variations of  $\text{O}_2^+$  signals (Figure 2), because the reactions of  $\text{O}_2^+$  with alkanes can be proceeded by both charge transfer and hydride abstraction (Amador et al., 2016) that may affect the ion signals of alkanes with  $\text{NO}^+$  reactions. Therefore, we use the daily ambient calibrations results to quantify the concentration of higher alkanes during the NCP campaign to reflect the variations of sensitivity from day to day. For the measurements without daily calibrations, we used closest calibration results according to corresponding ambient  $\text{O}_2^+/\text{NO}^+$  ratios and ambient humidity. Since we got the alkanes standard at the very late period of the PRD campaign, we did not have the daily calibrations for this campaign. Therefore, we use the sensitivity of each alkane under corresponding  $\text{O}_2^+/\text{NO}^+$  condition obtained from lab experiments after this campaign and also consider the humidity effects (Figure 3(b, c)) to quantify the concentration of higher alkanes during the PRD campaign. Humidity-dependent behaviours of *n*-alkanes (C8-C15) were performed in the laboratory under different humidity (0-33 mmol/mol) by diluting higher alkanes standard into humidified air to reach approximately 1 ppb mixing ratio. As shown in Figure 3(b, c) and Figure S7 (a), the normalized signal of all product ions (*m*-1) and the fragment ions of *n*-alkanes (C8-C15) standards are decreasing with the increase of humidity. These decreasing patterns are probably due to the decreasing primary reagent ions ( $\text{NO}^+$  and

O<sub>2</sub><sup>+</sup>) as the humidity increases Figure S7(b). Thus, the humidity correction should be applied for the quantitation of higher alkanes using NO<sup>+</sup> PTR-ToF-MS.

The fragmentation patterns for selected *n*-alkanes and their branched isomers are measured with NO<sup>+</sup> PTR-ToF-MS by introducing commercially acquired pure chemicals (Figure S8). Figure 4(a) shows the fractions of hydride abstraction *m*-1 ions in the mass spectra of C8-C20 *n*-alkanes in NO<sup>+</sup> PTR-ToF-MS. Generally, larger *n*-alkanes show less degree of fragmentation in the mass spectra with higher fractions contributed by *m*-1 ions. The fractions of *m*-1 ions account for more than 60% of total ion signals for >C12 *n*-alkanes. We also observe good correlation between the fractions of *m*-1 ions in mass spectra and the determined sensitivities for C8-C15 *n*-alkanes. As C16-C21 *n*-alkanes exhibit similar degrees of fragmentation as C15, sensitivities of the alkanes were assumed to be same as that of C15 *n*-alkane (Figure 4(b)). Comparison of the degree of fragmentation between *n*-alkanes and their branched isomers (Figure S9) show the substituted groups affect little on the degrees of fragmentations for product ions, at least for branched isomers with up to 4 substituted methyl groups. Previous studies demonstrated that the branched alkanes from emissions of fossil fuel-related sources are primarily with one or two alkyl branches (Chan et al., 2013; Isaacman et al., 2012). Therefore, we conclude that the branched isomers of higher alkanes should have similar response factors to their normal analogues. As a result, the concentration of higher alkanes by NO<sup>+</sup> PTR-ToF-MS should be regarded as the summed concentrations of *n*-alkanes and branched alkanes that have the same chemical formulas.

Detection limits are calculated as the concentrations at which signal counts are 3 times of standard deviation of measured background counts (Bertram et al., 2011; Yuan et al., 2017b). As shown in Table 1, detection limits are determined to be on the order of 0.7-1.3 ppt for higher alkanes for 1 min integration times. Response time is calculated as the time required to observe a 1/e<sup>2</sup>-signal decay after quick removal of the analyte from the sampled air



(Mikoviny et al., 2010). Response times for various alkanes are better than 1 min, except for C21 alkanes (116 s) (Table1).

During these two campaigns, PTR-ToF-MS automatically switches between  $\text{H}_3\text{O}^+$  and  $\text{NO}^+$  chemistry every 10-20 minutes with a 10 s resolution of measurement. Switching between  $\text{H}_3\text{O}^+$  and  $\text{NO}^+$  ion mode are provided by the PTR-MS Manager (v3.5) software developed by the Ionicon Analytik (Table S1). The pressures of drift chamber are held constant at 3.8 mbar in both modes during the campaigns (Figure S10(a)). It usually takes <10 s for  $\text{H}_3\text{O}^+$  ions and ~60 s for  $\text{NO}^+$  ions to re-stabilize after automatically switching between the two measurement modes (Figure S10(b)). The ambient measurement data during the transition period (~1 min) was discarded. Ambient air was continuously introduced into PTR-ToF-MS through a Teflon tubing (1/4") with an external pump at 5.0 L/min, with tubing length of ~8 m and ~3 m during the PRD and the NCP campaign, respectively. The inlet tubing was heated all the way to the sampling inlet to avoid water vapour condensation by an insulating tube with a self-controlled heater wire (40 °C) wrapping outside. The calculated residence time for the inlet was ~3 s for PRD campaign and ~1 s for NCP campaign, respectively. The tubing loss experiments were conducted in the laboratory by introducing standards of higher alkanes (*n*-C8-C15), monoaromatics (benzene, toluene, o-xylene, 1,2,4-trimethylbenzene), isoprenoids (isoprene,  $\alpha$ -pinene) and naphthalene into PTR-ToF-MS through a 8 m Teflon tubing (1/4") at room temperature with an external pump at 5.0 L/min (Figure S11). The tubing loss of these compounds is found to be <5% except *n*-C15 (~8%) and naphthalene (~10%). Background measurement of 3 minutes was conducted in each cycle of  $\text{NO}^+$  and  $\text{H}_3\text{O}^+$  measurements by introducing the ambient air into a catalytic converter with a temperature of 367 °C.

## 2.2 Other measurements

During the Guangzhou campaign, an online GC-MS/FID system was used to measure C<sub>2</sub>-C<sub>11</sub> *n*-alkanes, alkenes and aromatics with a time resolution of one hour (Yuan et al., 2012). Non-refractory components in particulate matter with diameter less than 1 μm (PM<sub>1</sub>) including nitrate, sulfate, ammonium, chloride, and organics were measured with an Aerodyne high-resolution time-of-flight aerosol mass spectrometric (HR-ToF-AMS) and a time-of-flight aerosol chemical speciation monitor (ToF-ACSM) in PRD and NCP, respectively. Trace gaseous species (CO, NO, NO<sub>2</sub>, O<sub>3</sub>, and SO<sub>2</sub>) were measured using commercial gas analyzers (Thermo Scientific). Photolysis frequencies were measured using a spectroradiometer (PFS-100, Focused Photonics Inc.). In addition, temperature, pressure, relative humidity and wind were continuously measured during two campaigns.

### 3. Results and Discussion

#### 3.1 Ambient concentrations and diurnal variations of higher alkanes

Although NO<sup>+</sup> chemistry has been shown to be valuable in measuring many organic species, the applications in real atmosphere of different environments are still rare (Koss et al., 2016). Here, we compared the measurements of various VOCs from NO<sup>+</sup> PTR-ToF-MS with both H<sub>3</sub>O<sup>+</sup> PTR-ToF-MS and GC-MS/FID during the two campaigns. Overall, good agreements between PTR-ToF-MS (both H<sub>3</sub>O<sup>+</sup> and NO<sup>+</sup> chemistry) and GC-MS/FID are obtained for aromatics and oxygenated VOCs except benzene (Figure S12, S13). Benzene measurements in H<sub>3</sub>O<sup>+</sup> chemistry show large difference with benzene measured from NO<sup>+</sup> chemistry in the earlier period of PRD campaign (11 Sep.-14 Oct. 2018), but good agreement was obtained for the rest of measurement period. Considering good agreement of benzene between NO<sup>+</sup> PTR-ToF-MS and GC-MS/FID, we used benzene data from NO<sup>+</sup> measurement in this study. The time series and diurnal variations of alkanes (C<sub>8</sub>-C<sub>11</sub>) between NO<sup>+</sup> PTR-ToF-MS and GC-MS/FID are shown in Figure 5 (and Figure S14). Similar temporal trends for these alkanes are

observed from the two instruments. However, the concentrations at each carbon number from NO<sup>+</sup> PTR-ToF-MS are ~3-6 times those from GC-MS/FID. This is expected, as dozens to hundreds of isomers exist for alkanes with carbon number at this range (Goldstein and Galbally, 2007) and GC-MS/FID only measured one or a few isomers. Based on measurements from NO<sup>+</sup> PTR-ToF-MS and GC-MS/FID, we compute the molar concentration fractions of *n*-alkanes for each carbon number (Figure 6 and Table S1). We found the fractions are in the range of 11%-21% for carbon number of 8-11, which are comparable with results of ambient air in California and vehicle exhausts (Figure 6 and Table S2) (Chan et al., 2013; Gentner et al., 2012). These results indicate the importance of branched alkanes in concentrations of higher alkanes and their potential contributions to SOA formation. It also has strong implication for the merits of NO<sup>+</sup> PTR-ToF-MS in measuring sum of the alkanes with the same formula for estimation of SOA contributions, as discussed later.

Table 2 summarizes means and standard deviations of concentrations of C8-C21 higher alkanes measured in PRD and in NCP, respectively. The mean concentrations of *n*-alkanes measured at a suburban site in Paris (Ait-Helal et al., 2014) and an urban site in Pasadena, U.S. are also included in Table 1 for comparison. According to the fraction of *n*-alkanes, the mean concentrations of *n*-alkanes in China are found to be comparable to that from Paris and higher than in Pasadena. In general, concentrations of higher alkanes concentration decrease with the increase of carbon number, with octanes (C8) at ~0.5 ppb and heneicosanes (C21) at ~0.002 ppb. This decreasing pattern of carbon distribution are as the results of lower emissions from sources (Gentner et al., 2012), larger reactivity towards OH radicals (Atkinson et al., 2008; Keyte et al., 2013) and larger fractions partitioning to particles (Liang et al., 1997; Xie et al., 2014; Zhao et al., 2013) in the atmosphere.

The diurnal variations of selected higher alkanes are shown in Figure 7. C12 alkanes and C15 alkanes exhibit similarly strong diurnal variations at both sites, with a relatively

high levels at night and minimum concentrations detected in the late afternoon at both sites. Such diurnal patterns are consistent with other primary VOCs species (e.g. aromatics). In PRD, the diurnal variations of higher alkanes were as the result of faster chemical removal in the daytime and shallow boundary layer heights at night. Since OH concentrations in NCP during winter were much lower than that in PRD during autumn (Figure S15), diurnal variations of higher alkanes in NCP were mainly influenced by the change of boundary layer. The diurnal profiles of other higher alkanes are similar to C12 and C15 alkanes.

### 3.2 Estimation of the contributions of higher alkanes to SOA formation

A time-resolved approach based on consideration of photo-oxidation processes with OH radical (Ait-Helal et al., 2014) was applied to estimate contributions of higher alkanes to SOA during these two campaigns. In order to evaluate the relative importance to SOA from different precursors, the same method was also used for monoaromatics, naphthalenes, and isoprenoids.

This method considers the amount of chemical removal based on the parameterized photochemical age, which was widely used to quantify contributions of different VOC precursors to SOA formation (Zhao et al., 2014a; Ait-Helal et al., 2014; de Gouw et al., 2009). The contributions to SOA formation from different compounds are determined by the ratios of calculated SOA production amounts from individual precursors (SI, Appendix 2) and SOA concentrations derived from factor analysis of OA measurements by AMS (SI, Appendix 3). In this method, SOA formation for a given compound can be estimated by

$$[SOA_i]_t = [VOC_i]_t \times (e^{k_{VOC_i} \times ([OH] \times \Delta t)} - 1) \times Yield_i \quad (1)$$

where  $[SOA_i]_t$  is the calculated SOA production ( $\mu\text{g m}^{-3}$ ) for a given specific compound  $VOC_i$  at time  $t$ ,  $[VOC_i]_t$  is the  $VOC_i$  concentration measured at time  $t$  ( $\mu\text{g m}^{-3}$ ),  $Yield_i$  is the SOA

yield data summarized from chamber studies,  $k_{VOC_i}$  is the rate constant of  $VOC_i$  with the OH radical ( $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ). The OH exposure,  $[OH] \times \Delta t$  ( $\text{molecules cm}^{-3} \text{ s}$ ), is estimated by the ratio m+p-xylene and ethylbenzene with different reactivity for anthropogenic VOCs and by the oxidation processes of isoprene for biogenic VOCs, respectively (Apel et al., 2002; Roberts et al., 2006) (see details in SI, Appendix 4 and Figure S16). Since biogenic emissions were pretty weak during cold winter (mean temperature  $0.5 \pm 3.6$  °C) during NCP campaign, measured concentrations of isoprene and monoterpenes are attributed to be of anthropogenic origin during the winter campaign in NCP campaign, especially given the fact that they showed similar variations, diurnal profiles and strong correlation with CO and anthropogenic VOCs species (Figure S17). A previous study in Helsinki also found the importance of anthropogenic emission in monoterpene concentrations (Hellén et al., 2012).

Based on equation (1), SOA production from higher alkanes (C8-C21 alkanes), monoaromatics (benzene, toluene, C8 aromatics, C9 aromatics, styrene), naphthalenes (naphthalene, methylnaphthalenes, dimethylnaphthalenes) and isoprenoids (isoprene, monoterpenes) were calculated. The concentration data of higher alkanes, isoprenoids and benzene were taken from measurements of  $\text{NO}^+$  PTR-ToF-MS. The concentration data of naphthalenes (Figure S18) and monoaromatics except benzene were taken from measurements of  $\text{H}_3\text{O}^+$  PTR-ToF-MS. The detail about the  $\text{H}_3\text{O}^+$  PTR-ToF-MS measurements can be found in Wu et al. (2020). The OH reaction rate constant of each compound was taken from literature (Atkinson, 2003). SOA yield data used here for higher alkanes (Lim and Ziemann, 2009; Presto et al., 2010a; Loza et al., 2014; Lamkaddam et al., 2017b), monoaromatics (Li et al., 2016; Ng et al., 2007; Tajuelo et al., 2019), naphthalenes (Chan et al., 2009) and isoprenoids (Ahlberg et al., 2017; Carlton et al., 2009; Edney et al., 2005; Kleindienst et al., 2006; Pandis et al., 1991) were summarized from reported values in the literature, with the consideration of the influence of organic aerosol concentration (Figure S19) to SOA yields

(Donahue et al., 2006) (Figure S20-21). SOA yields under high NO<sub>x</sub> conditions are used in this study, as relatively high NO<sub>x</sub> concentrations in PRD (42.6±33.7 ppb) and in NCP (81.7±57.0 ppb) (Figure S22) would cause RO<sub>2</sub> radicals from organic compounds mainly reacting with NO (Bahreini et al., 2009).

Both OH reaction rate constants and SOA yields of *n*-alkanes reported in the literature are applied for higher alkanes, as most of the chamber studies have focused on *n*-alkanes. The OH reaction rate constants of branched alkanes are higher than those of *n*-alkanes, while their SOA yields are lower than *n*-alkanes, of which both depending on chemical structures of the carbon backbone (Lim and Ziemann, 2009; Tkacik et al., 2012; Loza et al., 2014). The combined effects are hard to evaluate due to limited data, but the two effects can partially (if not all) cancel out. As shown above, temperature (mean temperature 0.5±3.6 °C) in NCP winter campaign was significantly lower than the temperature (usually 25 °C) at which SOA yields are derived from chamber studies. Temperature can significantly influence SOA yields, with higher yields at lower temperature (Takekawa et al., 2003; Lamkaddam et al., 2017b). It might cause underestimation of SOA production from various precursors in winter of NCP.

The calculated results of SOA production for different higher alkanes are shown in Figure 8. Although lower concentrations of heavier alkanes were observed for both campaigns, the calculated SOA production are largest for C12-C18 (Figure 8(b)). This is because of two reasons: (1) Alkanes with larger carbon number have larger SOA yields. The calculated average SOA yields (Table S3) during the two campaigns are both larger than 0.2 for >C12 alkanes and increase to near unity for C20-C21 alkanes. (2) Larger alkanes are relatively more reactive than lighter ones, which results in larger proportions of calculated concentrations that have been chemically consumed in the atmosphere. The distribution of contributions from alkanes with different carbon number to SOA formation shown here is in good agreement with the previous results referred from volatility calculation for precursors

(de Gouw et al., 2011; Liggio et al., 2016). The magnitudes of photochemical processes are apparently different between the two campaigns, with larger calculated OH exposure in the PRD campaign in autumn than the NCP campaign in winter (Figure S16). Consequently, the calculated chemical losses of alkane concentrations and their SOA production are much higher in PRD, though measured alkane concentrations are comparable during the two campaigns.

Along with higher alkanes, SOA production for monoaromatics, naphthalenes and isoprenoids are shown in Figure 9 (and Figure S23-25). Compared to monoaromatics, higher alkanes are associated with lower concentrations (Figure S26). However, higher alkanes play an important role in SOA formation due to their high SOA yields (Figure S27). The total average SOA production from C8-C21 alkanes are  $0.6 \pm 0.8 \mu\text{g m}^{-3}$  and  $0.7 \pm 0.8 \mu\text{g m}^{-3}$  in PRD and NCP, respectively. The formed SOA from higher alkanes account for  $7.0 \pm 8.0\%$  and  $9.4 \pm 9.1\%$  of SOA formation in PRD and NCP, respectively. The contributions of monoaromatics to SOA formation are  $6.2 \pm 7.7\%$  and  $9.4 \pm 17.4\%$  in PRD and NCP, respectively. Naphthalenes have been proposed to be important precursors of SOA from laboratory chamber studies (Kleindienst et al., 2012). In this study, we determine  $2.8 \pm 4.6\%$  of SOA in PRD and  $11.1 \pm 14.3\%$  of SOA in NCP are contributed by naphthalenes. The SOA contribution from naphthalenes determined for NCP is comparable to the results ( $10.2 \pm 1.0\%$ ) obtained during haze events in Beijing in a recent study (Huang et al., 2019). Significant contribution from monoterpenes to SOA ( $8.7 \pm 14.6\%$ ) is observed in NCP. As mentioned above, we attribute these isoprene and monoterpenes to anthropogenic emissions in this region. The SOA precursors considered in this study in total could explain 14.9%-29.0% and 16.4-125.3% of SOA formation in PRD and NCP, respectively. The influence of chamber-based vapour wall losses on SOA yields was examined in previous studies (Zhang et al., 2014) and the results show that the literature reported SOA yields are low by factors of  $\sim 1.1$ -2.2 for the

high NO<sub>x</sub> conditions (Table S4). This suggests that the SOA estimations in this study might be correspondingly underestimated. The lower explained percentages of SOA formation during the highly polluted periods and during the daytime in NCP (Figure S23(b)) imply that some other SOA precursors or formation pathways (e.g. aqueous reactions) are contributing significantly to SOA formation of the strong haze pollution in NCP. Compared to a previous study in northern China (Yuan et al., 2013), the missing gap of SOA formation declined after explicitly considering higher alkanes and naphthalenes in SOA production.

As shown in Figure 9, we find that C8-C21 higher alkanes contribute significantly to SOA formation at both an urban site in autumn of PRD and a rural site in winter of NCP. The contributions from higher alkanes are either comparable or higher than both monoaromatics and naphthalenes. Another estimation method by considering SOA instantaneous production rates obtained similar results (Figure S28), which confirms the results from the photochemical age based on parameterization method shown above. The importance of higher alkanes in SOA formation has been also proposed in several previous SOA modelling studies (Pye and Pouliot, 2012; Zhao et al., 2014b). These results, along with our results from observations in ambient atmosphere, underline that the inclusion of higher alkanes in SOA models in the atmosphere should be considered if possible.

#### **4. Concluding remarks**

In this study, we utilized a NO<sup>+</sup> PTR-ToF-MS to measure C8-C21 alkanes in two different environments in China. Based on a series of laboratory experiments, we show that NO<sup>+</sup> PTR-ToF-MS can provide online measurements of higher alkanes with high accuracy and fast response. The measured concentrations of higher alkanes were relatively high during the two campaigns. The diurnal profiles of higher alkanes are similar to anthropogenic VOCs, implying they are emitted from anthropogenic sources.



On the basis of measurements of higher alkanes by  $\text{NO}^+$  PTR-ToF-MS, we successfully take into account their contributions in SOA formation. The time-resolved measurements of higher alkanes by  $\text{NO}^+$  PTR-ToF-MS provide the opportunity to accurately apply the photochemical age-based parameterization method. As there is no separation before detection in PTR-ToF-MS, the measured concentrations of  $\text{NO}^+$  PTR-ToF-MS represent all of the compounds that contribute to the product ions ( $m-1$  ions), which include concentrations from both *n*-alkanes and branched alkanes. With the total concentration of both *n*-alkanes and branched alkanes quantified, the contribution from higher alkanes at each carbon number can be estimated as a whole. This is an important supplementary method to the traditional analytical method by GC techniques for higher alkanes, as fully chemical separation and detection of numerous isomers of higher alkanes remain as a challenge, even using the most advanced GC $\times$ GC-ToF-MS instruments (Chan et al., 2013; Alam et al., 2016).

Higher alkanes were found to have significant contributions to SOA in both PRD and NCP regions with a similar or even higher contributions than that of monoaromatics and naphthalenes. The importance of higher alkanes to SOA formation also call for more work to investigate emissions and chemistry of these compounds in the atmosphere. It was shown that fossil-related combustions such as vehicle exhausts are major sources for higher alkanes (Zhao et al., 2016). While, recent studies have shown that non-combustion sources, such as the use of solvents, have a potentially significant impact on high-alkane emissions (McDonald et al., 2018; Khare and Gentner, 2018). However, such quantitative information on emissions of higher alkanes is still limited. The measurements of higher alkanes by  $\text{NO}^+$  PTR-ToF-MS with fast response could help to fill these research gaps.

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## **Data availability**

Data is available from the authors upon request

## **Competing interests**

The authors declare that they have no conflicts of interest

## **Author contributions**

BY and MS designed the research. CMW, CHW, SHW, JPQ, BLW, WC, CW, WS and WYX contributed to data collection. CMW performed the data analysis, with contributions from ZLW, WWH, SXY and CSY. CMW and BY prepared the manuscript with contributions from other authors. All the authors reviewed the manuscript.

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727

728 **Table 1.** Fractions of product ions (m-1) ions in mass spectra, sensitivities, response time  
729 and detection limits of higher alkanes in NO<sup>+</sup> PTR-ToF-MS.

Compounds	Ions	Fractions of	Sensitivities	Response time	Detection limit for	Detection limit for
<i>n</i> -Octane	C <sub>8</sub> H <sub>17</sub> <sup>+</sup>	24	104.6	9.0	3.5	1.3
<i>n</i> -Nonane	C <sub>9</sub> H <sub>19</sub> <sup>+</sup>	32	106.3	13.3	3.2	1.2
<i>n</i> - <i>n</i> -Decane	C <sub>10</sub> H <sub>21</sub> <sup>+</sup>	39	120.9	14.1	3.5	1.3
<i>n</i> -Undecane	C <sub>11</sub> H <sub>23</sub> <sup>+</sup>	44	140.9	4.2	3.3	1.2
<i>n</i> -Dodecane	C <sub>12</sub> H <sub>25</sub> <sup>+</sup>	62	156.3	2.0	2.4	0.9
<i>n</i> -Tridecane	C <sub>13</sub> H <sub>27</sub> <sup>+</sup>	61	186.6	3.4	2.1	0.8
<i>n</i> -Tetradecane	C <sub>14</sub> H <sub>29</sub> <sup>+</sup>	64	220.7	18.2	1.9	0.7
<i>n</i> -Pentadecane	C <sub>15</sub> H <sub>31</sub> <sup>+</sup>	84	205.5	7.6	1.7	0.6
<i>n</i> -Hexadecane	C <sub>16</sub> H <sub>33</sub> <sup>+</sup>	95	/	20.0	1.6	0.6
<i>n</i> -Heptadecane	C <sub>17</sub> H <sub>35</sub> <sup>+</sup>	82	/	30.7	1.8	0.7
<i>n</i> -Octadecane	C <sub>18</sub> H <sub>37</sub> <sup>+</sup>	90	/	34.9	1.8	0.7
<i>n</i> -Nonadecane	C <sub>19</sub> H <sub>39</sub> <sup>+</sup>	71	/	28.8	1.2	0.4
<i>n</i> -Eicosane	C <sub>20</sub> H <sub>41</sub> <sup>+</sup>	86	/	53.4	1.9	0.7
<i>n</i> -Heneicosane	C <sub>21</sub> H <sub>43</sub> <sup>+</sup>	/	/	115.9	2.0	0.7

730

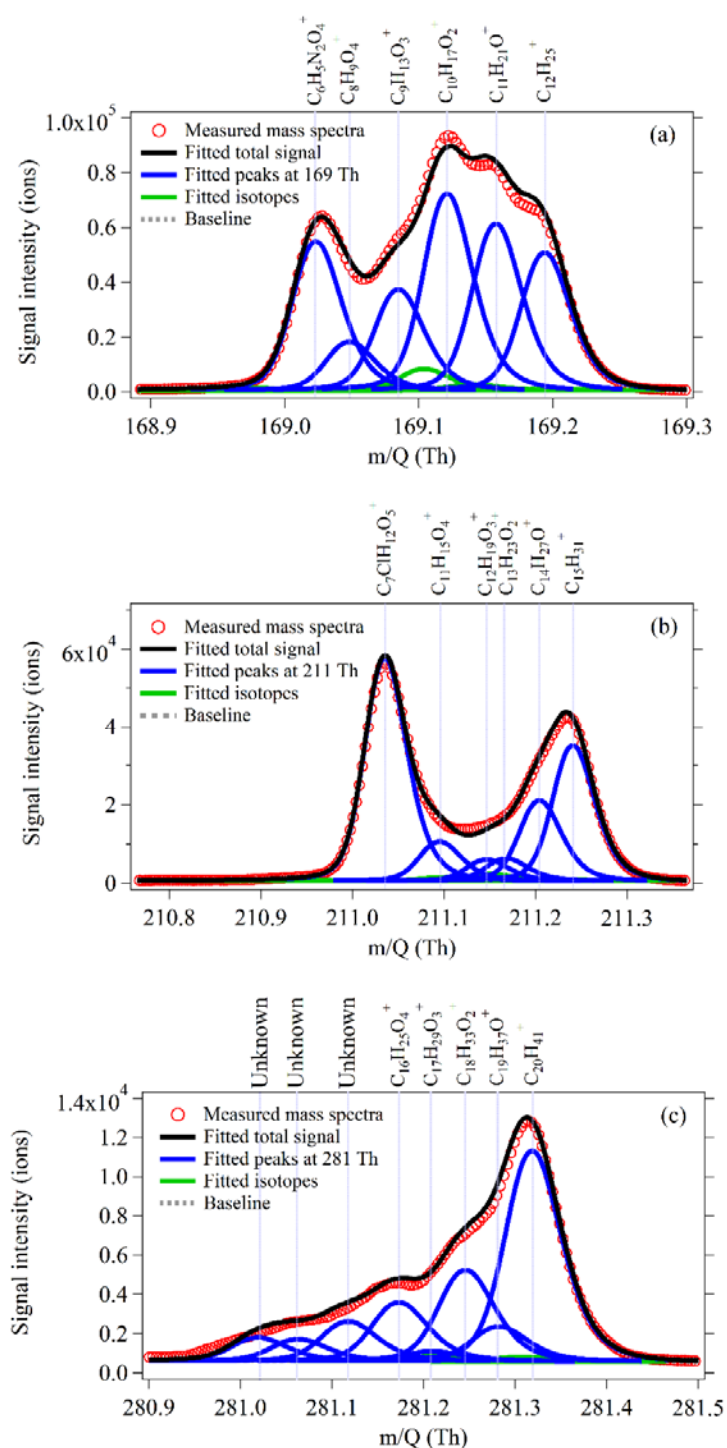
731

732 **Table 2.** Mean concentrations of alkanes (C8-C21) in different sites worldwide.

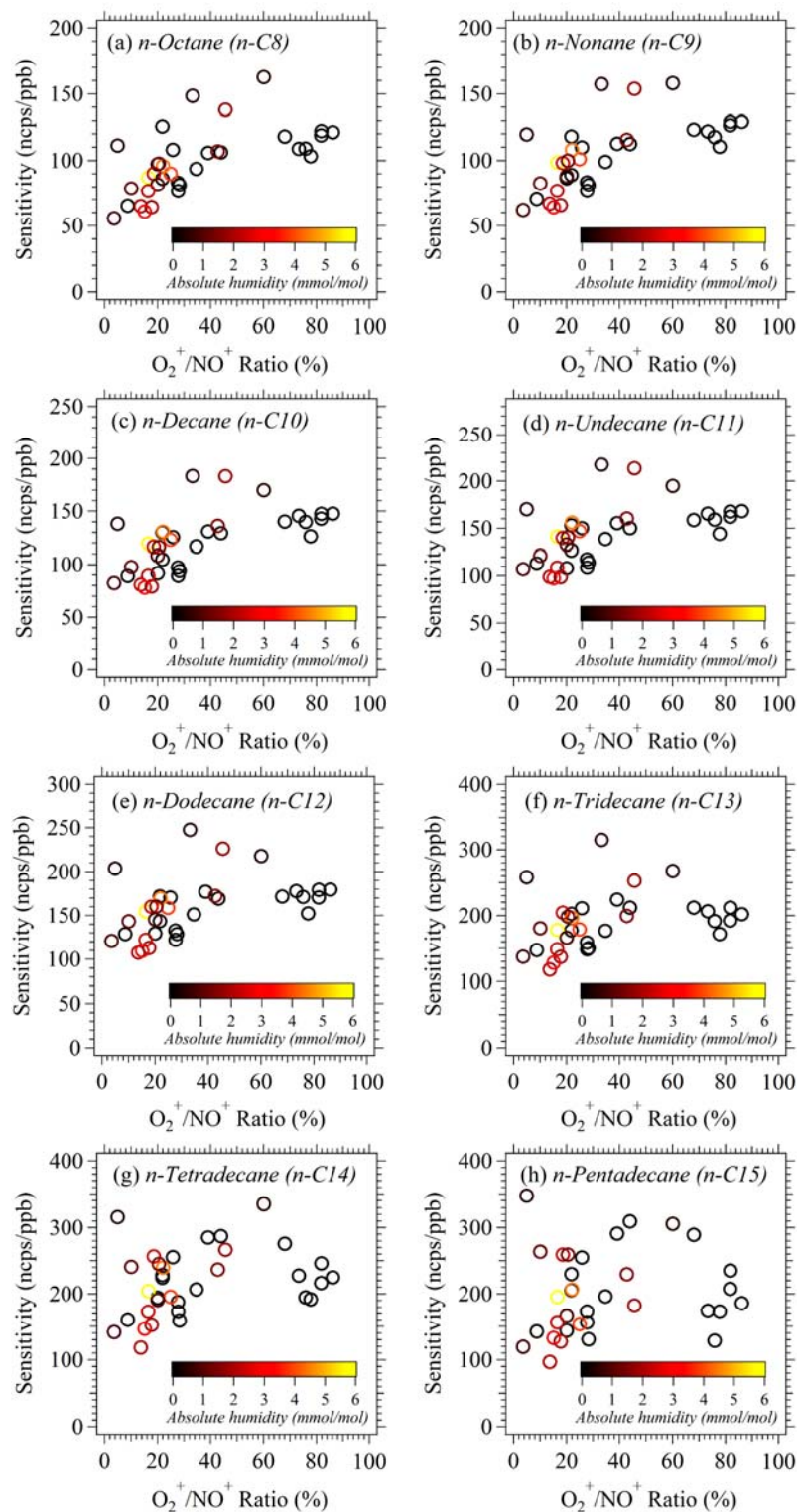
Compounds	Formula	PRD, China <sup>a</sup> (ppt)	PRD, China <sup>b</sup> (ppt)	NCP, China <sup>a</sup> (ppt)	Paris, France <sup>c</sup> (ppt)	Pasadena, US <sup>d</sup> (ppt)
Octane	C <sub>8</sub> H <sub>18</sub>	482±488	50±49	412±270	/	/
Nonane	C <sub>9</sub> H <sub>20</sub>	208±186	43±32	252±162	14±13	/
Decane	C <sub>10</sub> H <sub>22</sub>	174±199	29±28	224±147	24±22	/
Undecane	C <sub>11</sub> H <sub>24</sub>	129±138	21±17	170±119	19±16	/
Dodecane	C <sub>12</sub> H <sub>26</sub>	122±120	/	129±86	22±21	8±1
Tridecane	C <sub>13</sub> H <sub>28</sub>	66±60	/	89±59	13±12	6±1
Tetradecane	C <sub>14</sub> H <sub>30</sub>	50±47	/	57±39	27±23	9±2
Pentadecane	C <sub>15</sub> H <sub>32</sub>	45±42	/	46±33	23±18	5±0.8
Hexadecane	C <sub>16</sub> H <sub>34</sub>	36±33	/	32±24	22±19	4±1
Heptadecane	C <sub>17</sub> H <sub>36</sub>	21±20	/	18±14	/	3±0.4
Octadecane	C <sub>18</sub> H <sub>38</sub>	13±14	/	11±9	/	1.6±0.5
Nonadecane	C <sub>19</sub> H <sub>40</sub>	5±9	/	4±7	/	0.7±0.2
Eicosane	C <sub>20</sub> H <sub>42</sub>	0.7±4	/	3±6	/	0.24±0.08
Heneicosane	C <sub>21</sub> H <sub>44</sub>	0.5±5	/	2±5	/	0.15±0.1

733 <sup>a</sup>: alkanes measured with NO<sup>+</sup> PTR-ToF-MS; <sup>b</sup>: *n*-alkanes measured with GC-MS; <sup>c</sup>: *n*-alkanes from Ait-Helal  
734 et al. (2014); <sup>d</sup>: *n*-alkanes from Zhao et al. (2014a).



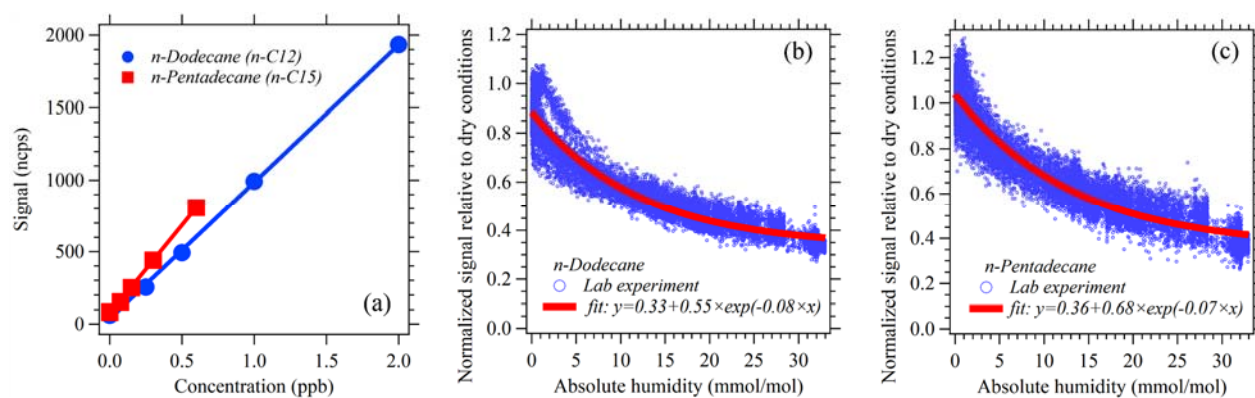


**Figure 1.** High-resolution (HR) peak-fitting to the averaged mass spectra on a typical day (12 October 2018) for m/z 169 (a), m/z 211 (b) and m/z 281 (c), at which masses produced by dodecane (C<sub>12</sub>H<sub>25</sub><sup>+</sup>), pentadecane (C<sub>15</sub>H<sub>31</sub><sup>+</sup>) and eicosane (C<sub>20</sub>H<sub>41</sub><sup>+</sup>) produced in NO<sup>+</sup> PTR-ToF-MS.

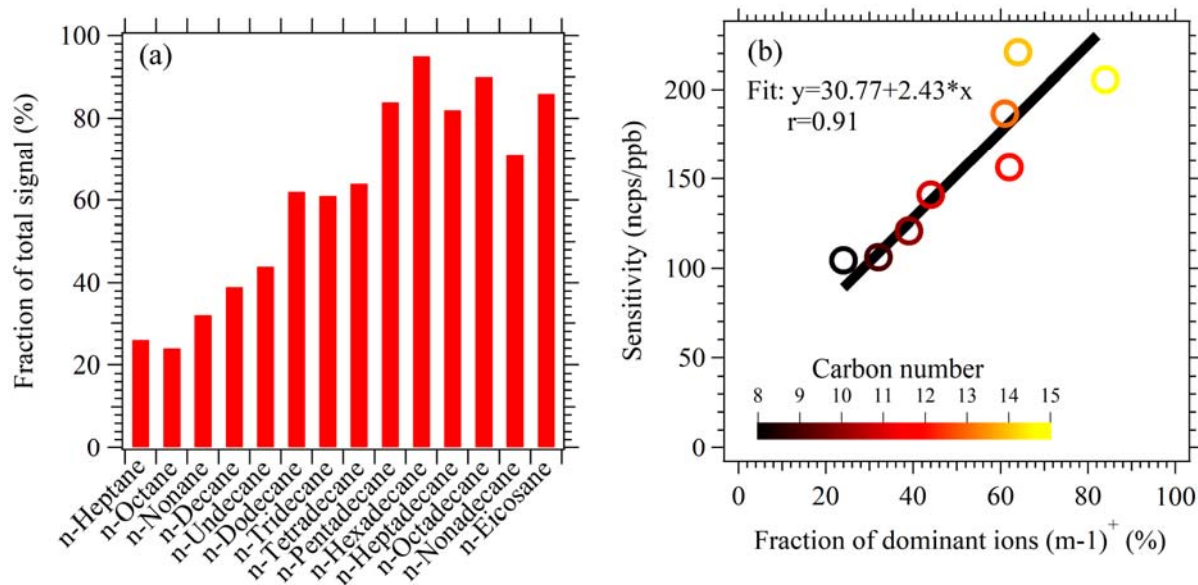


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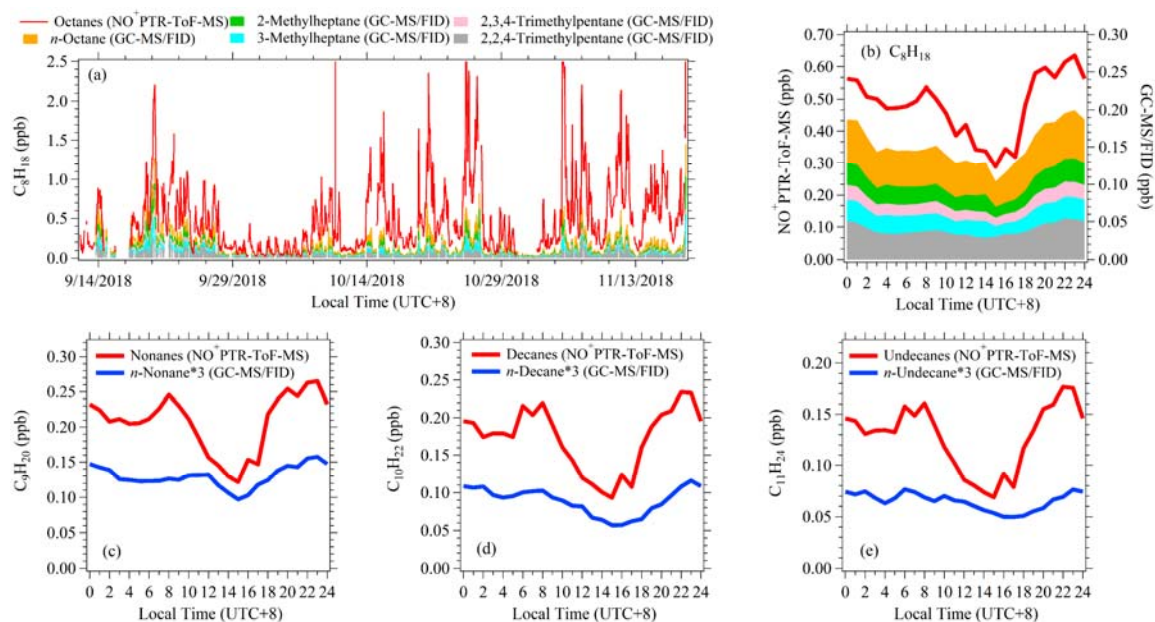
741 **Figure 2.** The relationship of sensitivities of *n*-alkanes (C8-C15) versus  $O_2^+ / NO^+$  ratios during  
 742 the NCP campaign. The data points are color-coded using absolute humidity during the  
 743 calibration.



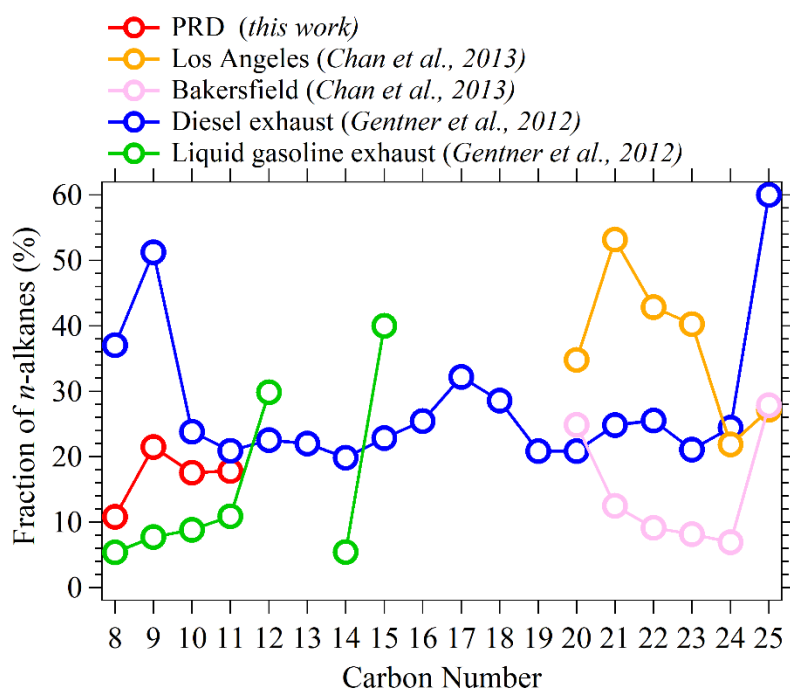
**Figure 3.** (a) Calibrations of *n*-Dodecane and *n*-Pentadecane under dry conditions; (b) Humidity dependence of *n*-Dodecane. (c) Humidity dependence of *n*-Pentadecane.



**Figure 4.** (a) The fractions of product ions (m-1) from hydride abstraction of C8-C20 *n*-alkanes in NO<sup>+</sup> PTR-ToF-MS. (b) Scatterplot of sensitivities under dry conditions versus the fractions of hydride abstraction ions for C8-C15 *n*-alkanes.

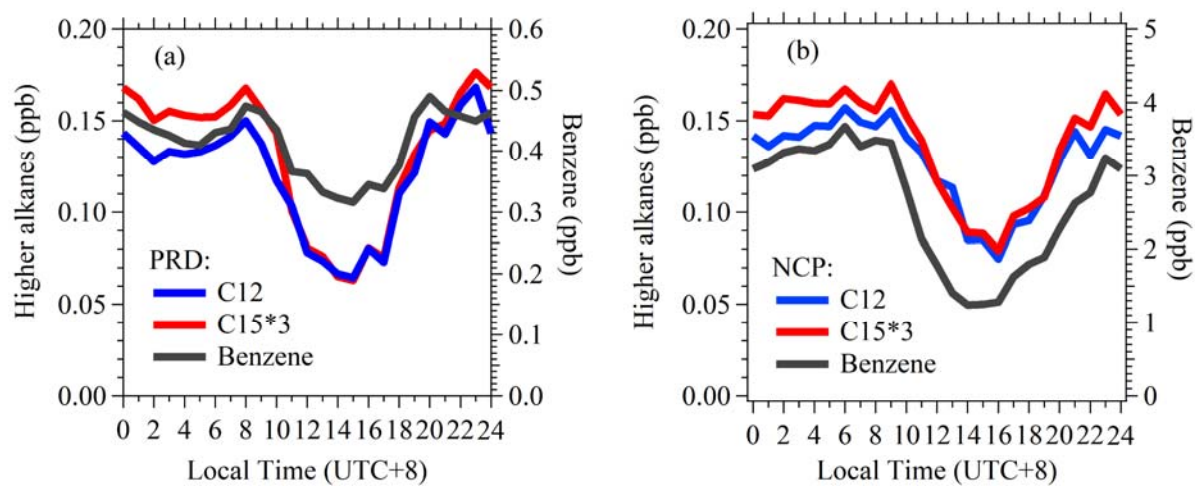


**Figure 5.** Comparisons of times series and diurnal variations of alkanes measured by NO<sup>+</sup> PTR-ToF-MS and GC-MS/FID in PRD. (a) Time series of C8 alkanes measured by NO<sup>+</sup> PTR-ToF-MS, C8 *n*-alkane and four branched isomers measured by GC-MS/FID. (b) Diurnal variations of C8 alkanes. (c-e) Diurnal variations of C9-C11 alkanes with NO<sup>+</sup> PTR-ToF-MS and C9-C11 *n*-alkanes with GC-MS/FID.



**Figure 6.** Fractions of *n*-alkanes in higher alkanes with same formulas derived from this study, ambient air in Los Angeles, Bakersfield and in vehicle exhausts (Chan et al., 2013;Gentner et al., 2012).

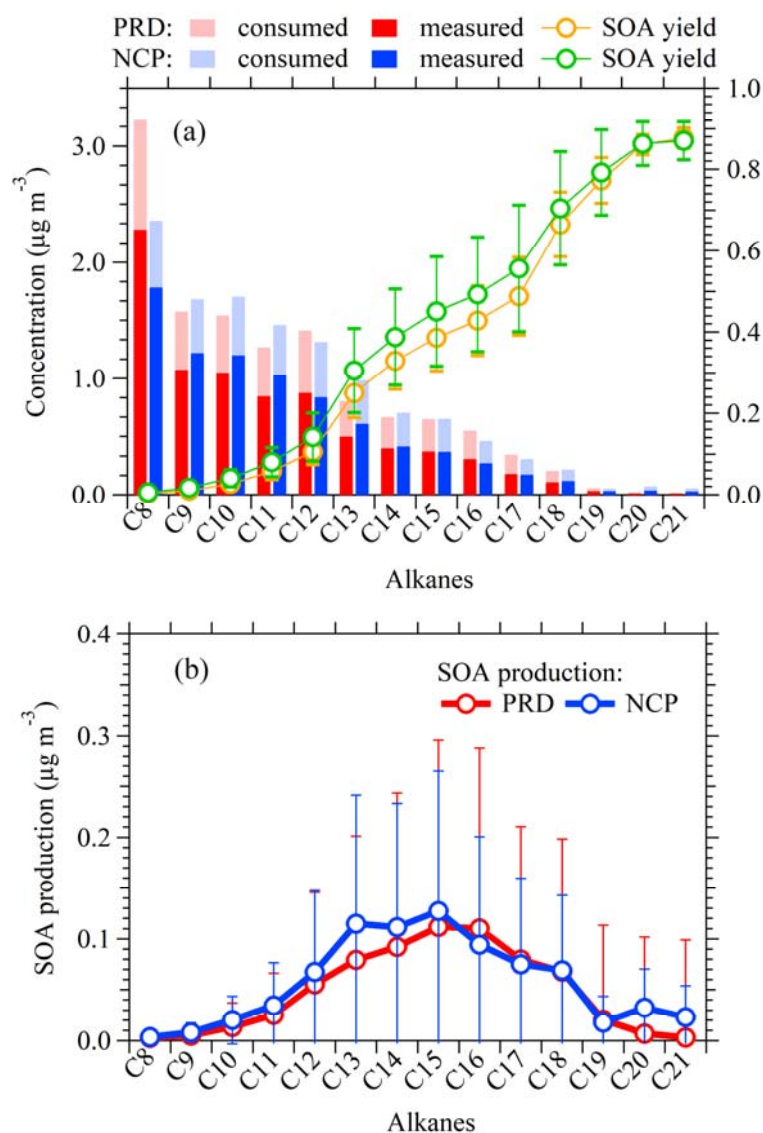
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765 **Figure 7.** Diurnal variations of C12 alkanes, C15 alkanes and benzene in PRD (a) and NCP  
 766 (b).

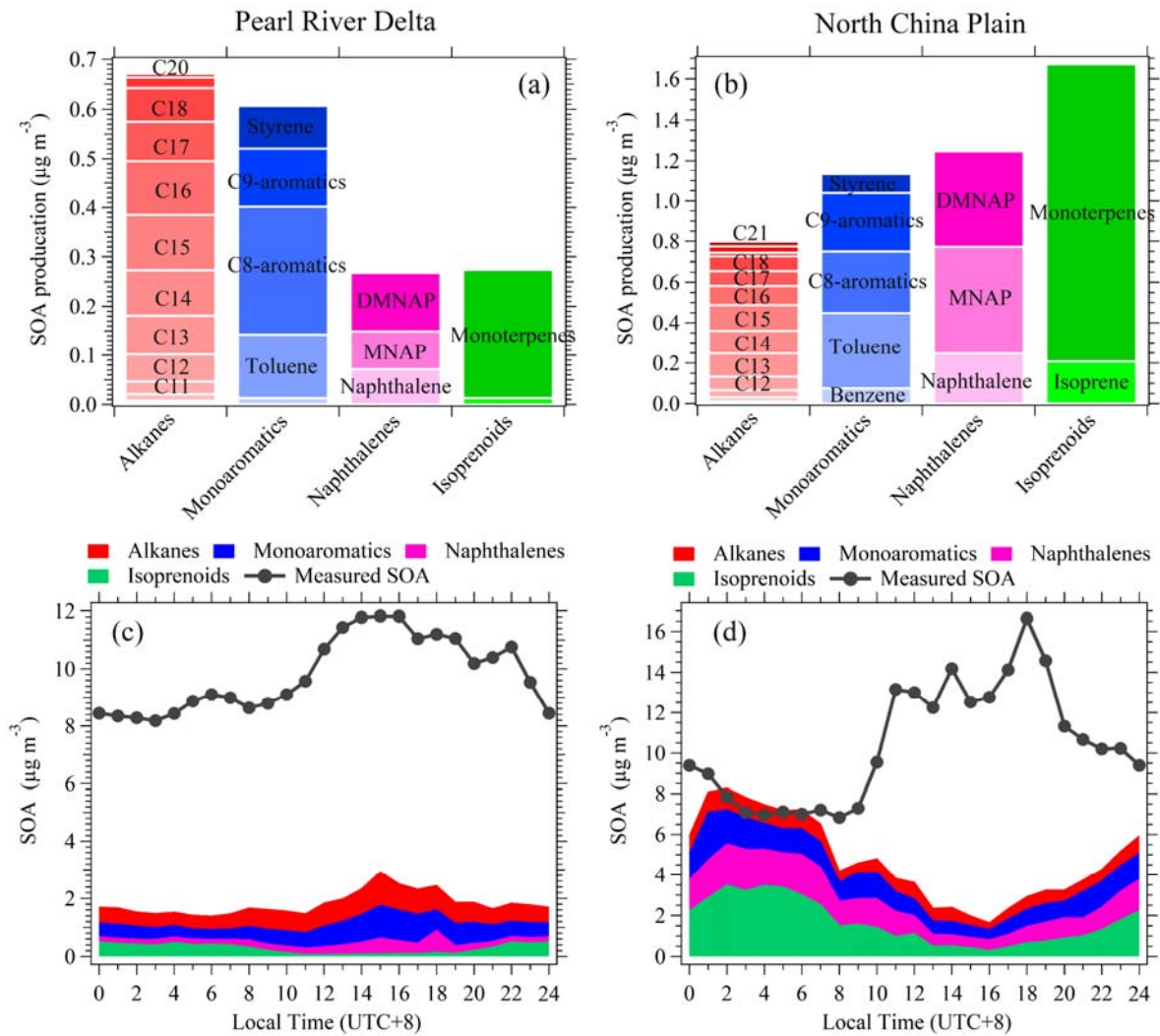




767

768 **Figure 8.** (a) Measured concentrations by  $\text{NO}^+$  PTR-ToF-MS, calculated consumed  
 769 concentrations and average SOA yields for C8-C21 alkanes in PRD and NCP. The error bars  
 770 represent standard deviations ( $1\delta$ ) over the averaging period of calculated SOA yields. (b)  
 771 Calculated average SOA productions for C8-C21 alkanes in PRD and NCP. The error bars  
 772 represent standard deviations ( $1\delta$ ) over the averaging period of calculated SOA production.





775 **Figure 9.** The mean concentrations of SOA produced from higher alkanes (C8-C21 alkanes),  
776 monoaromatics (benzene, toluene, C8 aromatics, C9 aromatics and styrene), naphthalenes  
777 (naphthalene, methylnaphthalenes, dimethylnaphthalenes) and isoprenoids (isoprene and  
778 monoterpenes) in PRD (a) and NCP (b). Diurnal variations of SOA production from higher  
779 alkanes, monoaromatics, naphthalenes and isoprenoids as well as the measured SOA  
780 concentrations in PRD (c) and NCP (d).