

Response to reviewer#1

Thanks for the reviewer's helpful and insightful suggestions! The comments are addressed point-by-point and responses are listed below.

**Comments:** New particle formation contributes to more than half of CCN and thereby is important for climate. This work conducts the vertical measurements of particle number size distribution on a moving cabin of a 350 m tower. This kind of experiment is interesting and can improve the understanding of the vertical distributions of new particle formation (NPF) in the urban environment. However, I believe that the evidence on the occurrence of nucleation at 240 m or upper boundary layer in this manuscript is not enough. A major revision is needed before considering publication in ACP.

**Reply:** Thanks for the comments.

**Comments:** Line 44-50: It is not suitable to put equations of sulfuric acid proxy and CS and the explanation of these two equations in the Introduction. I suggest moving those to Section 2.

**Reply:** Thanks for the comments and helpful suggestions. The corresponding equations were moved to section 2.5. We added a section to describe how the sulfate acid concentration can be influenced by the photolysis ratio in section 2.5.

**Comments:** Line 79-89: The time resolutions of instruments need to be presented. How do they compare with the lifting speed of the cabin?

**Reply:** Thanks for the comment. The time resolutions were added in the corresponding text. The cabin moved around 10 meters every minute in altitude. Aerosol PNSD was measured using a scanning mobility particle size every five minutes. Aerosol scattering coefficient ( $\sigma_{sca}$ ) were measured by an Aurora 3000 nephelometer with a time resolution of one minute. The nitrogen dioxide (NO<sub>2</sub>) was measured every minute based on its absorbance at 405 nm with a low-power lightweight instrument (model 405 nm, 2B Technology, USA). The nitrogen monoxide (NO) was measured by adding an excess of ozone with another power lightweight instrument (model 106-L, 2B Technology, USA) with a time resolution of one minute. All of the data were averaged with a time resolution of five minutes.

**Comments:** Line119-121: I suggest the author gives the description of the changes of the TUV model in SI.

**Reply:** Thanks for the comment. We added a brief description of the changes of the TUV model in the manuscript.

In the TUV model, the input of the aerosol optical properties are the aerosol optical depths at the wavelength of 550 nm and the column-averaged SSA. The profiles of the  $\sigma_{sca}$  are calculated assuming that the aerosol  $\sigma_{sca}$  are proportional to those measured by Elterman et al. (1968). The g values are set to be fixed as 0.61. Some changes were made in the source code of the TUV model. In our model, the author-defined aerosol  $\sigma_{sca}$  profiles, SSA profiles and g profiles can be used as the input of the model. Therefore, the J(NO<sub>2</sub>) and J(O<sup>1</sup>D) profiles with different aerosol optical profiles (including aerosol  $\sigma_{sca}$ , SSA, and g) can be estimated.

**Comments:** Line 144-145: What are the differences in PNSD when cabin moving upward and downward? Because the time is close when cabin moving upward and downward, I would suppose the PNSDs are similar. If so, I suggest the author merges the upward and downward PNSD. If not, please explain the reasons.

**Reply:** Thanks for the comment. The PNSD when the cabin moving upward and downward is the time corresponds to different measurement time and the time interval differs for different height. The time shown in Fig. 2 (Fig. S3) corresponds to the time when the cabin begins to move up (down) from the ground (240 m height). The time intervals are approximately one hour and half an hour for the measured aerosol PNSD at the ground and the height of about 120 m respectively when the cabin moves upward and downward in one cycle. The aerosol PNSD may vary significantly within an hour. Therefore, it is not appropriate to merge the upward and downward PNSD. The main conclusions in the development of aerosol PNSD with time are almost the same when the cabin moves upward and downward, and thus we placed the measured PNSD when cabin moving upward downward in different figure.

**Comments:** Section 3.2: In this section, the authors discussed the stronger nucleation in the upper boundary layer. However, some more evidences are needed for this conclusion.

1. The maximum altitude of this vertical measurement is 240 m. The boundary layer height is around 1500 m in winter. Therefore, I don't think this measurement can represent the

situations of upper boundary layer even in winter. I suggest the author uses ‘above the urban canopy’ instead of the ‘upper boundary layer’.

**Reply:** Thanks for the comment. We agree with the reviewer that it is not appropriate to use the ‘upper boundary layer’. We replaced the “upper boundary layer” as the “upper mixing layer” in the manuscript for two reasons. The first is that the statistical results of the mixed layer height in Beijing winter is  $493 \pm 131$  m (Zhu et al., 2018). The corresponding height is even lower during the haze episodes (Wang et al., 2018). Our measurement covers about half of the mixed layer height. The second is that despite that the maximum altitude of our vertical measurement is 240 m, our general framework of the development of the atmospheric mixing layer is consistent with our measurements and previous studies (Zhu et al., 2018). As long as the aerosol was uniformly distributed in the mixed layer due to the strong turbulence, our main conclusion that the nucleation processing in the upper mixed layer is stronger than that at the ground is applicable.

**Comments:** 2. I suggest the author give the legend of each profile in Fig.3.

**Reply:** Thanks for the helpful suggestions. We added the legend of each profile in Fig. 3 and Fig. 4.

**Comments:** 3. Are there any ground-based measurements on this day? From the ground-based measurements, is it a new particle formation event day?

**Reply:** Thanks for the comment. During the field campaign, there was no ground-based measurement of the aerosol PNSD. From the measured PNSD at the ground in Fig. 2, we think it is not a new particle formation event day.

**Comments:** 4. The ratio of nucleation mode particles number concentrations to Aitken mode particles number concentrations increased at 16:15. Does the author mean the nucleation occur at late afternoon? Most of NPF events start at noontime when the solar radiation is strong. Although the ratio increased at 16:15, the PNSD shown in Fig. 2 is not a typical PNSD of nucleation.

**Reply:** Thanks for the comment. We don't mean that the nucleation occurs in the afternoon. We agree with the reviewer that most of the NPF events start at noontime or in the morning when the solar radiation is strong. In fig. 2, we want to show that the measured ratio of the nucleation mode particle number concentrations to Aitken mode aerosol number concentration increase with height in the afternoon. The nucleation process in the upper boundary layer is stronger than that at the ground. The difference in the aerosol number concentration ratio of nucleation mode to Aitken mode is not observed in the noontime because the turbulence in the noontime is so strong that the particles in the vertical distribution are well mixed. The turbulence is rather weak in the late afternoon and then we can observe more aerosol number concentrations in nucleation mode in the late afternoon.

The fig. 2 is not a typical PNSD of nucleation because the measured PNSD results from the long differential mobility analyzer (DMA) at the size range smaller than 15 nm, where the PNSD is always underestimated. Our measurement results are in accordance with the

previous measurement of PNSD (Du et al., 2018; Qi et al., 2019). In the related reference, the PNSD is not a typical PNSD.

**Comments:** 5. Although the wind speed is low during the measurement, the wind direction changed at around 16:00. Is it possible that the change of the air masses caused the observed phenomenon? Are there intensive local anthropogenic emissions to the southwest of measurement site?

**Reply:** Thanks for the comments. The wind direction changed at around 14:00. The measured aerosol PNSDs were almost the same at 13:20, 13:50, 14:25, and 15:05 as the turbulence in the noon was strong and the aerosols were uniformly distributed. Thus, the observed phenomenon is not likely to be caused by the change of the air mass. The local anthropogenic emissions may influence the PNSD at the ground in the morning as shown in Fig. 2(a), (b). However, it is not likely that the local anthropogenic emissions may influence the observed phenomenon in fig. 2(e), (f) and (g).

**Comments:** Line219-220: The author needs to give some evidences or cite some references here. SO<sub>2</sub> can be from the power plant and the NO<sub>x</sub> is most from the vehicle emissions. They may have different vertical distributions.

**Reply:** Thanks for the helpful suggestions. We added some discussion in the corresponding manuscript. Both the NO<sub>x</sub> and SO<sub>2</sub> were mainly from the ground emission. The SO<sub>2</sub> tends to have a longer lifetime than that of NO<sub>x</sub> (Steinfeld, 1998). Thus, the SO<sub>2</sub> tends to be more uniformed distributed within the boundary layer than NO<sub>x</sub> when the turbulence is strong. We

found that the NO<sub>x</sub> is uniformly distributed at noon and in the afternoon. Therefore, it is reasonable that we assume the SO<sub>2</sub> is uniformly distributed at noon and in the afternoon.

**Comments:** Figure 4: The concentration of NO<sub>x</sub> can be more than 200 ppbv. Is it a heavy pollution day?

**Reply:** Thanks for the comment. The NO<sub>x</sub> is high because the measurement location is close to the vehicle source. We replot Fig. 4 and found that the high NO<sub>x</sub> concentration happens after 18:00 and these profiles are removed from Fig. 4. During the measurement, the NO<sub>x</sub> concentration is about 120 ppbv. It is not a heavy pollution day on January 19, 2019, and the measured mean PM<sub>2.5</sub> in Beijing on this day is only 47 μg/m<sup>3</sup>.

**Comments:** Line 243-248: The vertical measurement in this study is from ground level to 240 m. However, in this section the author takes lots of words on the differences between the situations of ground level and the top of boundary layer. From Fig. 5, the [OH] didn't increase that much at 240 m compared to ground level.

**Reply:** Thanks for the comments. In this section, we assumed that the aerosols within the mixing layer were well mixed and uniformly distributed. The main purpose of our work is to propose a framework that the nucleation processing in the upper mixing layer is stronger than that at the ground. Despite that our measurement height is from ground level to 240 m, the main conclusion of the development of the mixing layer during the daytime applies to the whole mixing layer. It is reasonable we compare the differences between the situations of ground level and the top of the boundary layer.

The [OH] increased from  $6.39 \times 10^6 \text{ cm}^3$  at the ground to  $11.23 \times 10^6 \text{ cm}^3$  at the top of the mixing layer by 76.7% and increased from  $6.39 \times 10^6 \text{ cm}^3$  at the ground to  $9.3 \times 10^6 \text{ cm}^3$  at 240 m height by 44.8% when the mixing layer type is B with a mixing layer height of 500 m. Thus the [OH] increased significantly with height.

**Comments:** Line 247-254: I don't think a schematic graph is needed here. Moreover, the schematic graph is not well presenting the author's view. The loop showing in Fig. 6 is a positive feedback loop, but I think it is not the case in this study.

**Reply:** Thanks for the comments. We agree with the reviewer that Fig. 6 is not needed and we removed Fig. 6 in the manuscript.

**Comments:** Section 3.4: In this section, the author discussed the vertical profiles of photolysis rates for 4 types of aerosol profiles. But most of discussions are about the comparisons between the top of boundary layer and ground, which is not related to the measurement of this study. The author also needs to consider the vertical distribution of SO<sub>2</sub>, CS, VOCs when discussing the reasons of the NPF occurring at high altitude.

**Reply:** Thanks for the comments. In this section, we assumed that the aerosols within the mixing layer were well mixed and uniformly distributed. The main purpose of our work is to propose a framework that the nucleation processing in the upper mixing layer is stronger than that at the ground. Despite that our measurement is from ground level to 240 m, the main conclusion of the development of the mixing layer during the daytime applies to the whole mixing layer. It is reasonable we compare the differences between the situations of



ground level and the top of the boundary layer. In our framework, we focus on the influence of aerosol-radiation interaction on the nucleation processing within the mixing layer, the SO<sub>2</sub>s, CS, and VOCs are assumed to be uniformly distributed within the mixing layer.

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