

Response to the editor

We would like to thank the editor for the comments and suggestions. We have now modified the manuscript based on these comments and hope that this new version is now suitable for publication.

1) As both reviewers were confused by the terms being used to describe aerosol direct and indirect effects, the former has been changed to radiative forcing due to aerosol-radiation interactions (RFari). It needs more clarification. Based on my understanding, the difference in TOA fluxes between the double calls to radiation, with and without certain aerosol species, is not strictly the “radiative forcing” used in IPCC AR5, which is defined as the TOA flux changes in a given time period (e.g., 2000 to 2010) relative to a reference state (e.g., in 1750 or 1850). There is no problem to define the terms in a different way, but the concern is about the confusion caused by comparing the forcing magnitude to others in the literature. I suggest making this explicit in the manuscript, especially in the abstract and summary section where there is not enough context for the numbers. I have also seen in other studies that this term is called “radiative effect due to aerosol-radiation interactions (REari). This could be an alternative to distinguish from the RFari used in AR5 and many other studies. Please revise the manuscript at your own discretion.

Response: We use instantaneous direct aerosol forcing numbers RFari using the diagnostics of the historical transient simulations, based on double calls to the radiation including and excluding aerosol forcing effects, as explained in Bauer et al. (2020). We have now modified the text as (Lines 616-622): “RFARI is calculated as the sum of shortwave and longwave forcing from the individual aerosol species between 1850 and 2050 are presented in Figure 7. It is important to note that the present study uses the instantaneous forcing diagnostics from the model, which are calculated with a double call to the model’s radiation code, with and without aerosols, as described in Bauer et al. (2020) and Miller et al. (2021), and not the effective radiative forcing. The transient cloud radiative effect in GISS-E.2.1 follows Ghan (2013), which calculates the difference in cloud radiative forcing with aerosol scattering and absorption omitted (Bauer et al., 2020). However, the present study only focuses on the RFARI.”

2) Line 135: typo for “warming”

Response: Corrected (Line 135).

3) Line 306-307: Are the winds nudged all the way from the surface to the model top? Usually, wind nudging starts from a certain height near the top of boundary layer to avoid undesired impact on the calculation of surface fluxes and emissions of natural aerosols (e.g., sea salt and dust). Please clarify.

Response: The winds are nudged starting from the first model layer and the dust concentrations are tuned to match the observed dust AOD. We have now added this to the manuscript (Lines 309-310): “The nudging extents from the first model layer up to 10 hPa, which is the top of the NCEP input.”, and (Lines 221-222): “Dust concentrations are tuned to match the observed dust aerosol optical depth (AOD).”

4) Line 520-523: I think this statement is an over-interpretation of the results and could be very misleading. This is also in contradiction to results from other models in the literature. Aerosol indirect effects in the current model (GISS-E2.1) are incomplete. There are also large uncertainties in the model simulated Arctic local aerosols, as well as clouds, and likely in the mid-latitudes. Please tune down the claim and/or provide the right context and preassumption.

Response: We have now changed the sentence as (Lines 525-528): "This, together with the very low biases in surface temperatures suggests that the effects of the anthropogenic aerosols on the Arctic climate via radiation is not the main driver in comparison to cloud indirect effects and forcing from greenhouse gases."

5) Line 528-529: As brought up by one of the reviewers, it's confusing to say, "the atmosphere-only runs underestimated SSTs..." since the AMIP-type runs do not compute SSTs. If you treat the SST data for model evaluation as truth, then the statement should be something like "SSTs input provided to the atmosphere-only runs has a bias of ...". The natural question from reader would be "Why are the biased SSTs used to drive the AMIP-type runs in the first place?". More clarification in addition to citation of two references would be helpful.

Response: We have changed this sentence as (Lines 534-537): "The negative bias in atmosphere-only simulations is due to the different datasets used to drive the model, which a combined product of HadISST and NOAA-OI2 (Reynolds et al., 2002) and to evaluate the model (Rayner et al., 2003), which is only HadISST."

6) Line 584-586: Please provide a reference for this statement. My understanding is that the transport pathways to the Arctic depend on aerosol source origins.

Response: We have now provided these references (Lines 589-591): "Furthermore, the aerosol and pollution transport into the Arctic typically occurs in the lowermost troposphere where liquid water clouds are prevalent during late spring and summer seasons (Stohl, 2006; Law et al., 2014; Thomas et al., 2019)."

7) Line 858-860: Aren't the model simulations showing an overestimation of Arctic cloud fraction and LWP most of the time (Figure 5)? Moreover, I don't think it's appropriate to attribute the bias in clouds primarily to the availability of CCN unless further evidence is provided from the simulations.

Response: We thank the editor for raising this wrong statement. We now changed it as (Lines 870-874): "In addition, the underestimations in summer time cloud fraction suggests missing sources of aerosols, in particular the local marine sources. GISS-E2.1 does not include marine VOC emissions except for DMS, which are suggested to be important for the summer time cloud properties over the Arctic (Ornella et al., 2011; Karl et al., 2013; Schmale et al., 2021)." We have also added a similar statement in the model evaluation section (Lines 493-496).

1 Present and future aerosol impacts on Arctic climate change in the GISS-E2.1 Earth system
2 model

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19
20 Abstract

21
22 The Arctic is warming two to three times faster than the global average, partly due to changes
23 in short-lived climate forcers (SLCFs) including aerosols. In order to study the effects of
24 atmospheric aerosols in this warming, recent past (1990-2014) and future (2015-2050)
25 simulations have been carried out using the GISS-E2.1 Earth system model to study the
26 aerosol burdens and their radiative and climate impacts over the Arctic (>60 °N), using
27 anthropogenic emissions from the Eclipse V6b and the Coupled Model Intercomparison
28 Project Phase 6 (CMIP6) databases, while global annual mean greenhouse gas concentrations
29 were prescribed and kept fixed in all simulations.

30
31 Results showed that the simulations have underestimated observed surface aerosol levels, in
32 particular black carbon (BC) and sulfate (SO₄²⁻), by more than 50%, with the smallest biases
33 calculated for the atmosphere-only simulations, where winds are nudged to reanalysis data.
34 CMIP6 simulations performed slightly better in reproducing the observed surface aerosol
35 concentrations and climate parameters, compared to the Eclipse simulations. In addition,
36 simulations, where atmosphere and ocean are fully-coupled, had slightly smaller biases in
37 aerosol levels compared to atmosphere only simulations without nudging.

38
39 Arctic BC, organic aerosol (OA) and SO₄²⁻ burdens decrease significantly in all simulations
40 by 10-60% following the reductions of 7-78% in emission projections, with the ~~CMIP6~~
41 ensemble showing larger reductions in Arctic aerosol burdens compared to the ~~Eclipse~~
42 ensemble. For the 2030-2050 period, the Eclipse ensemble simulated a radiative forcing due
43 to aerosol-radiation interactions (RF_{ARI}) of -0.39±0.01 W m⁻², that is -0.08 W m⁻² larger than
44 the 1990-2010 mean forcing (-0.32 W m⁻²), of which -0.24±0.01 W m⁻² were attributed to the
45 anthropogenic aerosols. The CMIP6 ensemble simulated a RF_{ARI} of -0.35 to -0.40 W m⁻² for

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48 the same period, which is -0.01 to -0.06 W m^{-2} larger than the 1990-2010 mean forcing of -
49 0.35 W m^{-2} . The scenarios with little to no mitigation (worst-case scenarios) led to very small
50 changes in the RF_{ARI} , while scenarios with medium to large emission mitigations led to
51 increases in the negative RF_{ARI} , mainly due to the decrease of the positive BC forcing and the
52 decrease in the negative SO_4^{2-} forcing. The anthropogenic aerosols accounted for -0.24 to -
53 0.26 W m^{-2} of the net RF_{ARI} in 2030-2050 period, in Eclipse and CMIP6 ensembles,
54 respectively. Finally, all simulations showed an increase in the Arctic surface air
55 temperatures throughout the simulation period. By 2050, surface air temperatures are
56 projected to increase by 2.4 $^{\circ}\text{C}$ to 2.6 $^{\circ}\text{C}$ in the Eclipse ensemble and 1.9 $^{\circ}\text{C}$ to 2.6 $^{\circ}\text{C}$ in the
57 CMIP6 ensemble, compared to the 1990-2010 mean.

58
59 Overall, results show that even the scenarios with largest emission reductions leads to similar
60 impact on the future Arctic surface air temperatures and sea-ice extent compared to scenarios
61 with smaller emission reductions, implying reductions of greenhouse emissions are still
62 necessary to mitigate climate change.

63 64 1. Introduction

65
66 The Arctic is warming two to three times faster than the global average (IPCC, 2013;
67 Lenssen et al., 2019). This is partly due to internal Arctic feedback mechanisms, such as the
68 snow and sea-ice-albedo feedback, where melting ice leads to increased absorption of solar
69 radiation, which further enhances warming in the Arctic (Serreze and Francis, 2006).
70 However, Arctic temperatures are also affected by interactions with warming at lower
71 latitudes (e.g., Stuecker et al., 2018; Graverson and Langen, 2019; Semmler et al., 2020) and
72 by local in situ response to radiative forcing due to changes in greenhouse gases and aerosols
73 in the area (Shindell, 2007; Stuecker et al., 2018). In addition to warming induced by
74 increases in global atmospheric carbon dioxide (CO_2) concentrations, changes in short-lived
75 climate forcers (SLCFs) such as tropospheric ozone (O_3), methane (CH_4) and aerosols (e.g.
76 black carbon (BC) and sulfate (SO_4^{2-})) in the Northern Hemisphere (NH), have contributed
77 substantially to the Arctic warming since 1890 (Shindell and Faluvegi, 2009; Ren et al.,
78 2020). This contribution from SLCFs to Arctic heating together with efficient local
79 amplification mechanisms puts a high priority on understanding the sources and sinks of
80 SLCFs at high latitudes and their corresponding climatic effects.

81
82 SLCFs include all atmospheric species, which have short residence times in the atmosphere
83 relative to long-lived greenhouse gases and have the potential to affect Earth's radiative
84 energy budget. Aerosols are important SLCFs and are a predominant component of air
85 quality that affects human health (Burnett et al., 2018, Lelieveld et al., 2019). They mostly
86 affect climate by altering the amount of solar energy absorbed by Earth, as well as changing
87 the cloud properties and indirectly affecting the scattering of radiation, and are efficiently
88 removed from the troposphere within several days to weeks. BC, which is a product of
89 incomplete combustion and open biomass/biofuel burning (Bond et al., 2004: 2013), absorbs
90 a high proportion of incident solar radiation and therefore warms the climate system
91 (Jacobson, 2001). SO_4^{2-} , which is formed primarily through oxidation of sulphur dioxide

92 (SO₂), absorbs negligible solar radiation and cools climate by scattering solar radiation back
93 to space. Organic carbon (OC), which is co-emitted with BC during combustion, both scatters
94 and absorbs solar radiation and therefore causes cooling in some environments and warming
95 in others. Highly reflective regions such as the Arctic are more likely to experience warming
96 effects from these organic aerosols (e.g., Myhre et al, 2013).

97
98 Aerosols also influence climate via indirect mechanisms. After being deposited on snow and
99 ice surfaces, BC can amplify ice melt by lowering the albedo and increasing solar heating of
100 the surface (AMAP, 2015). Aerosols also affect cloud properties, including their droplet size,
101 lifetime, and vertical extent, thereby influencing both the shortwave cooling and longwave
102 warming effects of clouds. Globally, this indirect cloud forcing from aerosols is likely larger
103 than their direct forcing, although the indirect effects are more uncertain and difficult to
104 accurately quantify (IPCC, 2013). Moreover, Arctic cloud impacts are distinct from global
105 impacts, owing to the extreme seasonality of solar radiation in the Arctic, unique
106 characteristics of Arctic clouds (e.g., high frequency of mixed-phase occurrence), and rapidly
107 evolving sea-ice distributions. Together, they lead to complicated and unique phenomena that
108 govern Arctic aerosol abundances and climate impacts (e.g., Willis et al., 2018; Abbatt et al.,
109 2019). The changes taking place in the Arctic have consequences for how SLCFs affect the
110 region. For example, reductions in sea-ice extent, thawing of permafrost, and humidification
111 of the Arctic troposphere can affect the emissions, lifetime and radiative forcing of SLCFs
112 within the Arctic (Thomas et al., 2019).

113
114 The effect of aerosols on the Arctic climate through the effects of scattering and absorption of
115 radiation, clouds, and surface ice/snow albedo has been investigated in previous studies (i.e.
116 Clarke and Noone, 1985; Flanner et al., 2007; Shindell et al., 2012; Bond et al., 2013;
117 Dumont et al., 2014). The impact of aerosols on the Arctic climate change is mainly driven
118 by a response to remote forcings (Gagné et al., 2015; Sand et al., 2015; Westervelt et al.,
119 2015). Long-range transport is known to play an important role in the Arctic air pollution
120 levels and much of the attention on aerosol climatic effects in the Arctic was focused on
121 long-range transported anthropogenic pollution (Arctic haze) in the past (Quinn et al., 2017;
122 AMAP, 2015; Abbatt et al., 2019). Long-range transport of BC and SO₄²⁻, in particular from
123 Asia, travelling at a relatively high altitude to the Arctic, can be deposited on the snow and
124 ice, contributing to surface albedo reduction. On the other hand, there has been increasing
125 attention on the local Arctic aerosol sources, in particular natural aerosol sources (Schmale et
126 al., 2021). Lewinschal et al. (2019) estimated an Arctic surface temperature change per unit
127 global sulfur emission of -0.020 to -0.025 K per TgS yr⁻¹. Sand et al. (2020) calculated an
128 Arctic surface air temperature response of 0.06 - 0.1 K per Tg BC yr⁻¹ to BC emissions in
129 Europe and North America, and slightly lower response (0.05-0.08 K per Tg BC yr⁻¹) to
130 Asian emissions. Breider et al. (2017) reported a short-wave (SW) aerosol radiative forcing
131 (ARF) of $-0.19 \pm 0.05 \text{ W m}^{-2}$ at the top of the atmosphere (TOA) over the Arctic, which
132 reflects the balance between sulphate cooling (-0.60 W m^{-2}) and black carbon (BC) warming
133 ($+0.44 \text{ W m}^{-2}$). Schacht et al. (2019) calculated a direct radiative forcing of up to 0.4 W m^{-2}
134 over the Arctic using the ECHAM6.3-HAM2.3 global aerosol-climate model. Markowicz et
135 al. (2021), using the NAAPS radiative transfer model, calculated the total aerosol forcing

136 over the Arctic ($>70.5^\circ\text{N}$) of -0.4 W m^{-2} . Ren et al. (2020) simulated 0.11 and 0.25 W m^{-2}
137 direct and indirect **warming** in 2014-2018 compared to 1980-1984 due to reductions in
138 sulfate, using the CAM5-EAST global aerosol-climate model. They also reported that the
139 aerosols produced an Arctic surface warming of $+0.30^\circ\text{C}$ during 1980–2018, explaining
140 about 20% of the observed Arctic warming observed during the last four decades, while
141 according to Shindell and Faluvegi (2009), aerosols contributed $1.09 \pm 0.81^\circ\text{C}$ to the
142 observed Arctic surface air temperature increase of $1.48 \pm 0.28^\circ\text{C}$ observed in 1976-2007.
143 AMAP (2015), based on four ESMS, estimated a total Arctic surface air temperature response
144 due to the direct effect of current global combustion derived BC, OC and sulfur emissions to
145 be $+0.35^\circ\text{C}$, of which $+0.40^\circ\text{C}$ was attributed to BC in the atmosphere, $+0.22^\circ\text{C}$ to BC in
146 snow, -0.04°C to OC and -0.23°C to SO_4^{2-} . On the other hand, Stjern et al. (20117) and
147 Takemura and Suzuki (2019) showed that due to the rapid adjustments from BC, mitigation
148 of BC emissions can lead to weak responses in the surface temperatures. Samset et al. (2018),
149 using a multi-model ensemble of ocean coupled Earth system models (ESMs), where aerosol
150 emissions were either kept at present-day conditions, or anthropogenic emissions of SO_2 , and
151 fossil fuel BC and OC were set to zero, showed that Arctic surface warming due to aerosol
152 reductions can reach up to 4°C in some locations, with a multi-model increase for the 60°N –
153 90°N region being 2.8°C . In addition, recent studies also suggest that as global emissions of
154 anthropogenic aerosols decrease, natural aerosol feedbacks may become increasingly
155 important for Arctic climate (Boy et al., 2019; Mahmood et al., 2019).

156
157 In this study, we carry out several simulations with the fully coupled NASA Goddard
158 Institute of Space Sciences (GISS) earth system model, GISS-E2.1 (Kelley et al., 2020) to
159 study the recent past and future burdens of aerosols as well as their impacts on TOA radiative
160 forcing and climate-relevant parameters such as surface air temperatures, sea-ice, and snow
161 over the Arctic ($>60^\circ\text{N}$). In addition, we investigate the impacts from two different emission
162 inventories; Eclipse V6b (Höglund-Isaksson et al., 2020; Klimont et al., 2021) vs. CMIP6
163 (Hoesly et al., 2018; van Marle et al., 2017; Feng et al., 2020), as well as differences between
164 atmosphere-only vs. fully-coupled simulations, on the evaluation of the model and the
165 climate impact. Section 2 introduces the GISS-E2.1 model, the anthropogenic emissions, and
166 the observation datasets used in model evaluation. Section 3 presents results from the model
167 evaluation as well as recent past and future trends in simulated aerosol burdens, radiative
168 forcing, and climate change over the Arctic. Section 4 summarizes the overall findings and
169 the conclusions.

170 2. Materials and methods

171 2.1. Model description

172
173 GISS-E2.1 is the CMIP6 version of the GISS modelE Earth system model, which has been
174 validated extensively over the globe (Kelly et al., 2020; Bauer et al., 2020) as well as
175 regionally for air pollutants (Turnock et al., 2020). A full description of GISS-E2.1 and
176 evaluation of its coupled climatology during the satellite era (1979–2014) and the recent past
177 ensemble simulation of the atmosphere and ocean component models (1850-2014) are
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179

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181 described in Kelly et al. (2020) and Miller et al. (2020), respectively. GISS-E2.1 has a
182 horizontal resolution of 2° in latitude by 2.5° in longitude and 40 vertical layers extending
183 from the surface to 0.1 hPa in the lower mesosphere. The tropospheric chemistry scheme
184 used in GISS-E2.1 (Shindell et al., 2013) includes inorganic chemistry of O_x, NO_x, HO_x, CO,
185 and organic chemistry of CH₄ and higher hydrocarbons using the CBM4 scheme (Gery et al.,
186 1989), and the stratospheric chemistry scheme (Shindell et al., 2013), which includes chlorine
187 and bromine chemistry together with polar stratospheric clouds.

188
189 In the present work, we used the One-Moment Aerosol scheme (OMA: Bauer et al., 2020 and
190 references therein), which is a mass-based scheme in which aerosols are assumed to remain
191 externally mixed. All aerosols have a prescribed and constant size distribution, with the
192 exception of sea salt that has two distinct size classes, and dust that is described by a
193 sectional model with an option from 4 to 6 bins. The default dust configuration that is used in
194 this work includes 5 bins, a clay and 4 silt ones, from submicron to 16 μm in size. The first
195 three dust size bins can be coated by sulfate and nitrate aerosols (Bauer & Koch, 2005). The
196 scheme treats sulfate, nitrate, ammonium, carbonaceous aerosols (black carbon and organic
197 carbon, including the NO_x-dependent formation of secondary organic aerosol (SOA) and
198 methanesulfonic acid formation), dust and sea-salt. The model includes secondary organic
199 aerosol production, as described by Tsigaridis and Kanakidou, (2007). SOA is calculated
200 from terpenes and other reactive volatile organic compounds (VOCs) using NO_x-dependent
201 calculations of the 2-product model, as described in Tsigaridis and Kanakidou (2007).

202 Isoprene is explicitly used as a source, while terpenes and other reactive VOCs are lumped on
203 a-pinene, taking into account their different reactivity against oxidation. The semi-volatile
204 compounds formed can condense on all submicron particles except sea salt and dust. In the
205 model, an OA to OC ratio of 1.4 used. OMA only includes the first indirect effect, in which
206 the aerosol number concentration that impacts clouds is obtained from the aerosol mass as
207 described in (Menon & Rotstayn, 2006). The parameterization described by Menon and
208 Rotstayn (2006) that we use only affects the cloud droplet number concentration (CDNC),
209 not cloud droplet size, which is not explicitly calculated in GISS-E2.1. Following the change
210 in CDNC, we do not stop the model from changing either liquid water path (LWP) or
211 precipitation rates, since the clouds code sees the different CDNC and responds accordingly.
212 What we do not include is the 2nd indirect effect (autoconversion). In addition to OMA, we
213 have also conducted a non-interactive tracers (NINT: Kelley et al., 2020) simulation from
214 1850 to 2014, with noninteractive (through monthly varying) fields of radiatively active
215 components (ozone and multiple aerosol species) read in from previously calculated offline
216 fields from the OMA version of the model, ran using the Atmospheric Model
217 Intercomparison Project (AMIP) configuration in Bauer et al. (2020) as described in Kelley et
218 al. (2020). The NINT model includes a tuned aerosol first indirect effect following Hansen et
219 al. (2005).

220
221 The natural emissions of sea salt, dimethylsulfide (DMS), isoprene and dust are calculated
222 interactively. Anthropogenic dust sources are not represented in GISS-E2.1. Dust emissions
223 vary spatially and temporally only with the evolution of climate variables like wind speed
224 and soil moisture (Miller et al., 2006). [Dust concentrations are tuned to match the observed](#)

225 [dust aerosol optical depth \(AOD\)](#). The AMIP type simulations (see section 2.3) uses
226 prescribed sea surface temperature (SST) and sea ice fraction during the recent past (Rayner
227 et al., 2003). The prescribed SST dataset in GISS-E2.1 is merged product based on the
228 HadISST and NOAA Optimum Interpolation (OI) Sea Surface Temperature (SST) V2
229 (Reynolds et al., 2002).

231 2.2. Emissions

232
233 In this study, we have used two different emission datasets; the ECLIPSE V6b (Höglund-
234 Isaksson et al., 2020; Klimont et al., 2021), which has been developed with support of the EU-
235 funded Action on Black Carbon in the Arctic (EUA-BCA) and used in the framework of the
236 ongoing AMAP Assessment (AMAP, 2021), referred to as *Eclipse* in this paper, and the
237 CEDS emissions (Hoesly et al., 2018; Feng et al., 2020) combined with selected Shared
238 Socio-economic Pathways (SSP) scenarios used in the CMIP6 future projections (Eyring et
239 al., 2016), collectively referred to as *CMIP6* in this paper.

241 2.2.1. EclipseV6b emissions

242
243 The ECLIPSE V6b emissions dataset is a further evolution of the scenarios established in the
244 EU funded ECLIPSE project (Stohl et al., 2015; Klimont et al., 2017). It has been developed
245 with the global implementation of the GAINS (Greenhouse gas – Air pollution Interactions
246 and Synergies) model (Amann et al., 2011). The GAINS model includes all key air pollutants
247 and Kyoto greenhouse gases, where emissions are estimated for nearly 200 country-regions
248 and several hundred source-sectors representing anthropogenic emissions. For this work,
249 annual emissions were spatially distributed on 0.5°x0.5° lon-lat grids for nine sectors: energy,
250 industry, solvent use, transport, residential combustion, agriculture, open burning of
251 agricultural waste, waste treatment, gas flaring and venting, and international shipping. A
252 monthly pattern for each gridded layer was provided at a 0.5°x0.5° grid level. The ECLIPSE
253 V6b dataset, used in this study, includes an estimate for 1990 to 2015 using statistical data
254 and two scenarios extending to 2050 that rely on the same energy projections from the World
255 Energy Outlook 2018 (IEA, 2018) but have different assumptions about the implementation
256 of air pollution reduction technologies, as described below.

257 The Current Legislation (CLE) scenario assumes efficient implementation of the current air
258 pollution legislation committed before 2018, while the Maximum Feasible Reduction (MFR)
259 scenario assumes implementation of best available emission reduction technologies included
260 in the GAINS model. The MFR scenario demonstrates the additional reduction potential of
261 SO₂ emissions by up to 60% and 40%, by 2030 for Arctic Council member and observer
262 countries respectively, with implementation of best available technologies mostly in the
263 energy and industrial sectors and to a smaller extent via measures in the residential sector.
264 The Arctic Council member countries' maximum reduction potential could be fully realized
265 by 2030 whereas in the observer countries additional reductions of 15% to 20% would
266 remain to be achieved between 2030 and 2050. The assumptions and the details for the CLE

267 and MFR scenarios (as well as other scenarios developed within the ECLIPSE V6b family)
268 can be found in Höglund-Isaksson et al. (2020) and Klimont et al. (in preparation).

269 2.2.2. CMIP6 emissions

270 The CMIP6 emission datasets include a historical time series generated by the Community
271 Emissions Data System (CEDS) for anthropogenic emissions (Hoesly et al., 2018; Feng et al.,
272 2020), open biomass burning emissions (van Marle et al., 2010), and the future emission
273 scenarios driven by the assumptions embedded in the Shared Socioeconomic Pathways
274 (SSPs) and Representative Concentration Pathways (RCPs) (Riahi et al., 2017) that include
275 specific air pollution storylines (Rao et al., 2017). Gridded CMIP6 emissions are aggregated
276 to nine sectors: agriculture, energy, industrial, transportation, residential-commercial-other,
277 solvents, waste, international shipping, and aircraft. SSP data for future emissions from
278 integrated assessment models (IAMs) are first harmonized to a common 2015 base-year
279 value by the native model per region and sector. This harmonization process adjusts the
280 native model data to match the 2015 starting year values with a smooth transition forward in
281 time, generally converging to native model results (Gidden et al., 2018). The production of
282 the harmonized future emissions data is described in Gidden et al. (2019).

283 284 2.2.3. Implementation of the emissions in the GISS-E2.1

285 The Eclipse V6b and CEDS emissions on $0.5^\circ \times 0.5^\circ$ spatial resolution are regridded to $2^\circ \times$
286 2.5° resolution in order to be used in the various GISS-E2.1 simulations. In the GISS-E2.1
287 Eclipse simulations, the non-methane volatile organic carbons (NMVOC) emissions are
288 chemically speciated assuming the SSP2-4.5 VOC composition profiles. In the Eclipse
289 simulations, biomass burning emissions are taken from the CMIP6 emissions, which have
290 been pre-processed to include the agricultural waste burning emissions from the EclipseV6b
291 dataset, while the rest of the biomass burning emissions are taken as the original CMIP6
292 biomass burning emissions. In addition to the biomass burning emissions, the aircraft
293 emissions are also taken from the CMIP6 database to be used in the Eclipse simulations. As
294 seen in Figure 1, the emissions are consistently higher in the CMIP6 compared to the Eclipse
295 emissions. The main differences in the two datasets are mainly over south-east Asia (not
296 shown) . The CMIP6 emissions are also consistently higher on a sectoral basis compared to
297 the Eclipse emissions. The figure shows that for air pollutant emissions, the CMIP6 SSP1-2.6
298 scenario and the Eclipse MFR scenario follow each other closely, while the Eclipse CLE
299 scenario is comparable with the CMIP6 SSP2-4.5 scenario for most pollutants; that is to some
300 extent owing to the fact that the CO₂ trajectory of the Eclipse CLE and the SSP2-4.5 are very
301 similar (not shown). A more detailed discussion of differences between historical Eclipse and
302 CMIP6 as well as CMIP6 scenarios are provided in Klimont et al. (in preparation).

303 2.3. Simulations

304
305 In order to contribute to the AMAP Assessment report (AMAP, 2021), the GISS-E2.1 model
306 participated with AMIP-type simulations, which aim to assess the trends of Arctic air

307 pollution and climate change in the recent past, as well as with fully-coupled climate
308 simulations. Five fully-coupled Earth system models (ESMs) simulated the future (2015-
309 2050) changes of atmospheric composition and climate in the Arctic (>60°N), as well as over
310 the globe. We have carried out two AMIP-type simulations, one with winds nudged to NCEP
311 (standard AMIP-type simulation in AMAP) and one with freely varying winds, where both
312 simulations used prescribed SSTs and sea-ice (Table 1). [The nudging extents from the first](#)
313 [model layer up to 10 hPa, which is the top of the NCEP input.](#) In the fully-coupled
314 simulations, we carried out two sets of simulations, each with three ensemble members, that
315 used the CLE and MFR emission scenarios. Each simulation in these two sets of scenarios
316 were initialized from a set of three fully-coupled ensemble recent past simulations (1990-
317 2014) to ensure a smooth continuation from CMIP6 to Eclipse emissions.

318
319 In addition to the AMAP simulations, we have also conducted CMIP6-type simulations in
320 order to compare the climate aerosol burdens and their impacts on radiative forcing and
321 climate impacts with those from the AMAP simulations. We have used the SSP1-2.6, 2-4.5,
322 3-7.0, and 3-7.0-lowNTCF scenarios representing different levels of emission mitigations in
323 the CMIP6 simulations. SSP1 and SSP3 define various combinations of high or low socio-
324 economic challenges to climate change adaptation and mitigation, while SSP2 describes
325 medium challenges of both kinds and is intended to represent a future in which development
326 trends are not extreme in any of the dimensions, but rather follow middle-of-the-road
327 pathways (Rao et al., 2017). SSP1-2.6 scenario aims to achieve a 2100 radiative forcing level
328 of 2.6 W m⁻², keeping the temperature increase below 2 °C compared to the preindustrial
329 levels. The SSP2-4.5 describes a “middle of the road” socio-economic family with a 4.5 W
330 m⁻² radiative forcing level by 2100. The SSP3- 7.0 scenario is a medium-high reference
331 scenario. SSP3-7.0-lowNTCF is a variant of the SSP3-7.0 scenario with reduced near-term
332 climate forcer (NTCF) emissions. The SSP3-7.0 scenario has the highest methane and air
333 pollution precursor emissions, while SSP3-7.0-lowNTCF investigates an alternative pathway
334 for the Aerosols and Chemistry Model Intercomparison Project (AerChemMIP: Collins et al.,
335 2017), exhibiting very low methane, aerosol, and tropospheric-ozone precursor emissions –
336 approximately in line with SSP1-2.6. As seen in Table 1, we have conducted one transient
337 fully-coupled simulation from 1850 to 2014, and a number of future scenarios.

338
339 We have employed prescribed global and annual mean greenhouse (CO₂ and CH₄)
340 concentrations, where a linear increase in global mean temperature of 0.2 °C/decade from
341 2019 to 2050 was assumed, which are approximately in line with the simulated warming rates
342 for the SSP2-4.5 scenario (AMAP, 2021).

343 2.4. Observations

344
345 The GISS-E2.1 ensemble has been evaluated against surface observations of BC, organic
346 aerosols (sum of OC and secondary organic aerosols (SOA), referred as OA in the rest of the
347 paper) and SO₄²⁻, ground-based and satellite-derived AOD [at 550 nm](#), as well as surface and
348 satellite observations of surface air temperature, precipitation, sea surface temperature, sea-
349 ice extent, cloud fraction, and liquid and ice water content in 1995-2014 period. The surface
350

351 monitoring stations used to evaluate the simulated aerosol levels have been listed in Table S1
352 and S2 in the supplementary materials.

353

354 2.4.1. Aerosols

355

356 Measurements of speciated particulate matter (PM), BC, SO₄²⁻, and (OA) come from three
357 major networks: the Interagency Monitoring of Protected Visual Environments (IMPROVE)
358 for Alaska (The IMPROVE measurements that are in the Arctic (>60°N) are all in Alaska);
359 the European Monitoring and Evaluation Programme (EMEP) for Europe; and the Canadian
360 Air Baseline Measurements (CABM) for Canada (Table S1 and S2). In addition to these
361 monitoring networks, BC, OA, and SO₄²⁻ measurements from individual Arctic stations were
362 used in this study. The individual Arctic stations are Fairbanks and Utqiagvik, Alaska (part of
363 IMPROVE, though their measurements were obtained from their PIs); Gruvebadet and
364 Zeppelin mountain (Ny Alesund), Norway; Villum Research Station, Greenland; and Alert,
365 Nunavut (the latter being an observatory in Global Atmospheric Watch-WMO, and a part of
366 CABM). The measurement techniques are briefly described in the supplement.

367

368 AOD at 500 nm from the AERosol RObotic NETwork (AERONET, Holben et al., 1998) was
369 interpolated to 550 nm AOD using the Ångström formula (Ångström, 1929). We also used a
370 new merged AOD product developed by Sogacheva et al. (2020) using AOD from 10
371 different satellite-based products. According to Sogacheva et al. (2020), this merged product
372 could provide a better representation of temporal and spatial distribution of AOD. However,
373 it is important to note that the monthly aggregates of observations for both AERONET and
374 the satellite products depend on availability of data and are not likely to be the true aggregate
375 of observations for a whole month when only few data points exist during the course of a
376 month. In addition, many polar orbiting satellites take one observation during any given day,
377 and typically at the same local time. Nevertheless, these data sets are key observations
378 currently available for evaluating model performances. Information about the uncertain
379 nature of AOD observations can be found in previous studies (e.g. Sayer et al., 2018; Sayer
380 and Knobelspiesse, 2019; Wei et al., 2019; Schutgens et al., 2020, Schutgens, 2020;
381 Sogacheva et al., 2020).

382

383 2.4.2. Surface air temperature, precipitation, and sea-ice

384

385 Surface air temperature and precipitation observations used in this study are from University
386 of Delaware gridded monthly mean data sets (UDel; Willmott and Matsuura, 2001). UDel's
387 0.5° resolution gridded data sets are based on interpolations from station-based measurements
388 obtained from various sources including the Global Historical Climate Network, the archive
389 of Legates and Willmott and others. The Met Office Hadley Center's sea ice and sea surface
390 temperature (HadISST; Rayner et al., 2003) was used for evaluating model simulations of sea
391 ice and SSTs. HadISST data is an improved version of its predecessor known as global sea
392 ice and sea surface temperature (GISST). HadISST data is constructed using information
393 from a variety of data sources such as the Met Office Marine Database, Comprehensive
394 Ocean-Atmosphere Data Set, passive microwave remote sensing retrieval and sea ice charts.

395

396 2.4.3. Satellite observations used for cloud fraction and cloud liquid water and ice water

397

398 The Advanced Very High Resolution Radiometer (AVHRR-2) sensors onboard the NOAA
399 and EUMETSAT polar orbiting satellites have been flying since the early 1980s. These data
400 have been instrumental in providing the scientific community with climate data records
401 spanning nearly four decades. Tremendous progress has been made in recent decades in
402 improving, training and evaluating the cloud property retrievals from these AVHRR sensors.
403 In this study, we use the retrievals of total cloud fraction from the second edition of
404 EUMETSATs Climate Monitoring Satellite Application Facility (CM SAF) Cloud, Albedo
405 and surface Radiation data set from AVHRR data (CLARA-A2, Karlsson et al., 2017). This
406 cloud property climate data record is available for the period 1982-2018. Its strengths and
407 weaknesses and inter-comparison with the other similar climate data records are documented
408 in Karlsson and Devasthale (2018). Further data set documentation including Algorithm
409 Theoretical Basis and Validation reports can be found in Karlsson et al. (2017).

410

411 Cloud liquid and ice water path estimates derived from the cloud profiling radar on board
412 CloudSat (Stephens et al., 2002) and constrained with another sensor onboard NASA's A-
413 Train constellation, MODIS-Aqua (Platnick et al., 2015), are used for the model evaluation.
414 These Level 2b retrievals, available through 2B-CWC-RVOD product (Version 5), for the
415 period 2007-2016 are analysed. This constrained version is used instead of its radar-only
416 counterpart, as it uses additional information about visible cloud optical depths from MODIS,
417 leading to better estimates of cloud liquid water paths. Because of this constraint the data are
418 available only for the day-lit conditions, and hence, are missing over the polar regions during
419 the respective winter seasons. The theoretical basis for these retrievals can be found in
420 [http://www.cloudsat.cira.colostate.edu/sites/default/files/products/files/2B-CWC-](http://www.cloudsat.cira.colostate.edu/sites/default/files/products/files/2B-CWC-RVOD_PDICD.P1_R05.rev0_.pdf)
421 [RVOD_PDICD.P1_R05.rev0_.pdf](http://www.cloudsat.cira.colostate.edu/sites/default/files/products/files/2B-CWC-RVOD_PDICD.P1_R05.rev0_.pdf) (last access: October 26th 2020). Being an active cloud
422 radar, CloudSat provides orbital curtains with a swath width of just about 1.4 km. Therefore,
423 the data are gridded at 5°x5° to avoid too many gaps or patchiness and to provide robust
424 statistics.

425

426 3. Results

427

428 3.1. Evaluation

429

430 The simulations are compared against surface measurements of BC, OA, SO₄²⁻ and AOD, as
431 well as surface and satellite measurements of surface air temperature, precipitation, sea
432 surface temperature, sea-ice extent, total cloud fraction, liquid water path, and ice water path
433 described in section 2.4, by calculating the correlation coefficient (r) and normalized mean
434 bias (NMB). OA refers to the sum of primary organic carbon (OC) and secondary organic
435 aerosols (SOA).

436

437 3.1.1. Aerosols

438 The recent past simulations are for BC, OA, SO₄ and AOD (Table 2) against available
439 surface measurements. The monthly observed and simulated time series for each station are
440 accumulated per species in order to get a full Arctic timeseries data, which also includes
441 spatial variation, to be used for the evaluation of the model. In addition to Table 2, the
442 climatological mean (1995-2014) of the observed and simulated monthly surface
443 concentrations of BC, OA, SO₄²⁻ and AOD at 550 nm (note that AOD is averaged over 2008,
444 2009 and 2014) are shown in Figure 2. The AOD observation data for years 2008, 2009, and
445 2014 are used in order to keep the comparisons in line with the multi-model evaluations
446 being carried out in the AMAP assessment report (AMAP, 2021). We also provide spatial
447 distributions of the NMB, calculated as the mean of all simulations for BC, OA, SO₄ and
448 AOD in Figure 3. The statistics for the individual stations are provided in the Supplementary
449 Material, Tables S3-S6.

450

451 Results showed overall an underestimation of aerosol species over the Arctic, as discussed
452 below. Surface BC levels are underestimated at all Arctic stations from 15% to 90%. Surface
453 OA levels are also underestimated from -5% to -70%, except for a slight overestimation of
454 <1% over Karvatn (B5) and a large overestimation of 90% over Trapper Creek (B6). Surface
455 SO₄²⁻ concentrations are also consistently underestimated from -10% to -70%, except for
456 Villum Research Station (S11) over northeastern Greenland where there is an overestimation
457 of 45%. Finally, AODs are also underestimated over all stations from 20% to 60%. Such
458 underestimations at high latitudes have also been reported by many previous studies (e.g.
459 Skeike et al., 2011; Eckhardt et al., 2015; Lund et al., 2017, 2018; Schacht et al., 2019;
460 Turnock et al., 2020), pointing to a variety of reasons including uncertainties in emission
461 inventories, errors in the wet and dry deposition schemes, the absence or underrepresentation
462 of new aerosol formation processes, and the coarse resolution of global models leading to
463 errors in emissions and simulated meteorology, as well as in representation of point
464 observations in coarse model grid cells. Turnock et al. (2020) evaluated the air pollutant
465 concentrations in the CMIP6 models, including the GISS-E2.1 ESM, and found that observed
466 surface PM_{2.5} concentrations are consistently underestimated in CMIP6 models by up to 10
467 µg m⁻³, particularly for the Northern Hemisphere winter months, with the largest model
468 diversity near natural emission source regions and the Polar regions.

469

470 The BC levels are largely underestimated in simulations by 50% (CMIP6_Cpl_Hist) to 67%
471 (Eclipse_AMIP). The CMIP6 simulations have lower bias compared to EclipseV6b
472 simulations due to higher emissions in the CMIP6 emission inventory (Figure 1). Within the
473 EclipseV6b simulations, the lowest bias (-57%) is calculated for the Eclipse_AMIP_NCEP
474 simulation, while the free climate and coupled simulations showed a larger underestimation
475 (>62%), which can be attributed to a better simulation of transport to the Arctic when nudged
476 winds are used. The Eclipse simulations also show that the coupled simulations had slightly
477 smaller biases (NMB=-63%) compared to the AMIP-type free climate simulation (AMIP-
478 OnlyAtm: NMB=-67%). The climatological monthly variation of the observed levels is
479 poorly reproduced by the model with *r* values around 0.3. BC levels are mainly
480 underestimated in winter and spring, which can be attributed to the underestimation of the

481 anthropogenic emissions of BC, while the summer levels are well captured by the majority of
482 the simulations (Figure 2).

483
484 Surface OA concentrations are underestimated from 8% (Eclipse_AMIP_NCEP) to 35%
485 (Eclipse_AMIP) by the Eclipse ensemble, while the CMIP6_Cpl_Hist simulation
486 overestimated surface OA by 13%. The Eclipse simulations suggest that the nudged winds
487 lead to a better representation of transport to the Arctic, while the coupled simulations had
488 smaller biases compared to the AMIP-type free climate simulation (AMIP-OnlyAtm), similar
489 to BC. The climatological monthly variation of the observed concentrations are reasonably
490 simulated, with r values between 0.51 and 0.69 (Table 2 and Figure 2). As can be seen in
491 Figure S1, the OA levels are dominated by the biogenic SOA, in particular via α -pinene
492 (monoterpenes) oxidation, compared to anthropogenic (by a factor of 4-9) and biomass
493 burning (by a factor of 2-3) OA. While OC and BC are emitted almost from similar sources,
494 this biogenic-dominated OA seasonality also explains why simulated BC seasonality is not as
495 well captured, suggesting the underestimations in the anthropogenic emissions of these
496 species, in particular during the winter. It should also be noted that GISS-E2.1 does not
497 include marine VOC emissions except for DMS, while these missing VOCs such as isoprene
498 and monoterpenes are suggested to be important sources for the summer time aerosol levels
499 over the Arctic (Ornella et al., 2011; Karl et al., 2013; Schmale et al., 2021).

500
501 Surface SO_4^{2-} levels are simulated with a smaller bias compared to the BC levels, however
502 still underestimated by 40% (CMIP6_Cpl_Hist) to 53% (Eclipse_AMIP_NCEP). The
503 Eclipse_AMIP_NCEP simulation is biased higher (NMB=-53%) compared to the
504 Eclipse_AMIP (NMB=-50%), probably due to higher cloud fraction simulated by the nudged
505 version (see section 3.1.6), leading to higher in-cloud SO_4^{2-} production. The climatological
506 monthly variation of observed SO_4^{2-} concentrations are reasonably simulated in all
507 simulations ($r=0.65-0.74$). The observed springtime maximum is well captured by the GISS-
508 E2.1 ensemble, with underestimations in all seasons, mainly suggesting underestimations in
509 anthropogenic SO_2 emissions (Figure 2), as well as simulated cloud fractions, which have
510 high positive bias in winter and transition seasons, while in summer, the cloud fraction is well
511 captured with a slight underestimation. The clear sky AOD over the Aeronet stations in the
512 Arctic region is underestimated by 33% (Eclipse_AMIP) to 47% (Eclipse_CplHist1). Similar
513 negative biases are found with comparison to the satellite based AOD product (Table 2). The
514 climatological monthly variation is poorly captured with r values between -0.07 to 0.07
515 compared to AERONET AOD and 0 to 0.13 compared to satellite AOD. The simulations
516 could not represent the climatological monthly variation of the observed AERONET AODs
517 (Figure 2).

518 519 3.1.2. Climate

520 The different simulations are evaluated against a set of climate variables and the statistics are
521 presented in Table 3a and 3b, and in Figures 4 and 5. The climatological mean (1995-2014)
522 monthly Arctic surface air temperatures are slightly overestimated by up to 0.55 °C in the
523 AMIP simulations, while the coupled ocean simulations underestimate the surface air
524 temperatures by up to -0.17 °C. All simulations were able to reproduce the monthly

525 climatological variation with r values of 0.99 and higher (Figure 4). Results show that both
526 absorbing (BC) and scattering aerosols (OC and SO_4^{2-}) are underestimated by the GISS-E2.1
527 model, implying that these biases can partly cancel out their impacts on radiative forcing due
528 to aerosol-radiation interactions. This, together with the very low biases in surface
529 temperatures suggests that the effects of the anthropogenic aerosols on the Arctic climate via
530 radiation is not the main driver in comparison to cloud indirect effects and forcing from
531 greenhouse gases. The monthly mean precipitation has been underestimated by around 50%
532 by all simulations (Table 3a), with largest biases during the summer and autumn (Figure 4).
533 The observed monthly climatological mean variation was very well simulated by all
534 simulations, with r values between 0.80 and 0.90.

536 Arctic SSTs are underestimated by the ocean-coupled simulation up to -1.96 °C, while the
537 atmosphere-only runs underestimated SSTs by -1.5 °C (Table 3a). The negative bias in
538 atmosphere-only simulations is due to the different datasets used to drive the model, which a
539 combined product of HadISST and NOAA-OI2, (Reynolds et al., 2002) and to evaluate the
540 model (Rayner et al., 2003), which is only HadISST. The monthly climatological mean
541 variation is well captured with r values above 0.99 (Table 3a, Figure 4), with a similar cold
542 bias in almost all seasons. The sea-ice extent was overestimated by all coupled simulations by
543 about 12%, while the AMIP-type Eclipse simulations slightly underestimated the extent by
544 3% (Table 3a). The observed variation was also very well captured with very high r values.
545 The winter and spring biases were slightly higher compared to the summer and autumn biases
546 (Figure 4).

548 All simulations overestimate the climatological (1995-2014) mean total cloud fraction by
549 21% to 25% during the extended winter months (October through February), where the
550 simulated seasonality is anti-correlated in comparison to AVHRR CLARA-A2 observations,
551 whereas, a good correlation is seen during the summer months irrespective of the
552 observational data reference. The largest biases were simulated by the atmosphere-only
553 simulations, with the nudged simulation having the largest bias ($NMB=25\%$). The coupled
554 model simulations are closer to the observations during the recent past. On the other hand, the
555 climatology of the annual-mean cloud fraction was best simulated by the nudged atmosphere-
556 only simulation (Eclipse_AMIP_NCEP) with a r value of 0.40, while other simulations
557 showed a poor performance ($r=-0.17$ to $+0.10$), except for the summer where the bias is
558 lowest (Figure 5). The evaluation against CALIPSO data however shows much smaller biases
559 ($NMB = +3\%$ to $+6\%$). This is because in comparison to CALIPSO satellite that carries an
560 active lidar instrument (CALIOP), the CLARA-A2 dataset has difficulties in separating cold
561 and bright ice/snow surfaces from clouds thereby underestimating the cloudiness during
562 Arctic winters. Here both datasets are used for the evaluation as they provide different
563 observational perspectives and cover the typical range of uncertainty expected from the
564 satellite observations. Furthermore, while the CLARA-A2 covers the entire evaluation period
565 in current climate scenario, CALIPSO observations are based on 10-year data covering the
566 2007-2016 period.

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576 Figure 5 shows the evaluation of the simulations with respect to LWP and IWP. It has to be
577 noted here that to obtain a better estimate of the cloud water content, the CloudSat
578 observations were constrained with MODIS observations which resulted in a lack of data
579 during the months with darkness (Oct-Mar) over the Arctic (see Section 2.4.3). Hence, we
580 present the results for the polar summer months only. As seen in Figure 5, all simulations
581 overestimated the climatological (2007-2014) mean Polar summer LWP by up to almost
582 75%. The smallest bias (14%) is calculated for the nudged atmosphere-only
583 (Eclipse_OnlyAtm_NCEP), while the coupled simulations had biases of 70% or more.
584 Observations show a gradual increase in the LWP, peaking in July, whereas the model
585 simulates a more constant amount for the nudged simulation and a slightly decreasing
586 tendency for the other configurations. All model simulations overestimate LWP during the
587 spring months. The atmosphere-only nudged simulations tend to better simulate the observed
588 LWP during the summer months (June through September). The coupled simulations,
589 irrespective of the emission dataset used, are closer to observations only during the months of
590 July and August.

591
592 The climatological (2007-2014) mean Polar summer IWP is slightly better simulated
593 compared to the LWP, with biases within -60% with the exception of the nudged Eclipse
594 (Eclipse_AMIP_NCEP) simulation ($NMB=-74\%$). All simulations simulated the monthly
595 variation well, with r values of 0.95 and more. In the Arctic, the net cloud forcing at the
596 surface changes sign from positive to negative during the polar summer (Kay and L'Ecuyer,
597 2013). This change typically occurs in May driven mainly by shortwave cooling at the
598 surface. Since the model simulates the magnitude of the LWP reasonably, particularly in
599 summer, the negative cloud forcing can also be expected to be realistic in the model (e.g.
600 Gryspeerdt et al. 2019). Furthermore, the aerosol and pollution transport into the Arctic
601 typically occurs in the lowermost troposphere where liquid water clouds are prevalent during
602 late spring and summer seasons (Stohl, 2006; Law et al., 2014; Thomas et al., 2019). The
603 interaction of ice clouds with aerosols is, however, more complex, as ice clouds could have
604 varying optical thicknesses, with mainly thin cirrus in the upper troposphere and relatively
605 thicker clouds in the layers below. Without the knowledge on the vertical distribution of
606 optical thickness, it is difficult to infer the potential impact of the underestimation of IWP on
607 total cloud forcing and their implications.

608 3.2. Arctic burdens and radiative forcing due to aerosol-radiation interactions (RF_{ARI})

609
610 The recent past and future Arctic column burdens for BC, OA and SO_4^{2-} for the different
611 scenarios and emissions are provided in Figure 6. In addition, Table 4 shows the calculated
612 trends in the burdens for BC, OA and SO_4^{2-} for the different scenarios, while Table 5
613 provides the 1990-2010 and 2030-2050 mean burdens of the aerosol components. The BC
614 and SO_4^{2-} burdens started decreasing from the 1990s, while OA burden remains relatively
615 constant, although there is large year-to-year variability in all simulations. All figures show a
616 decrease in burdens after 2015, except for the SSP3-7.0 scenario, where the burdens remain
617 close to the 2015 levels. The high variability in BC and OA burdens over the 2000's is due to
618 the biomass burning emissions from GFED, which have not been harmonized with the no-

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622 satellite era. It should also be noted that these burdens can be underestimated considering the
623 negative biases calculated for the surface concentrations and in particular for the AODs
624 reported in Table 2 and Tables S2-6.

625
626 In addition to the burdens of these aerosol species, the TOA radiative forcing due to aerosol-
627 radiation interaction (RF_{ARI}) over the Arctic are simulated by the GISS-E2.1 ensemble. RF_{ARI}
628 is calculated as the sum of shortwave and longwave forcing from the individual aerosol
629 species between 1850 and 2050 are presented in Figure 7. It is important to note that the
630 present study uses the instantaneous forcing diagnostics from the model, which are calculated
631 with a double call to the model's radiation code, with and without aerosols, as described in
632 Bauer et al. (2020) and Miller et al. (2021), and not the effective radiative forcing. The
633 transient cloud radiative effect in GISS-E.2.1 follows Ghan (2013), which calculates the
634 difference in cloud radiative forcing with aerosol scattering and absorption omitted (Bauer et
635 al., 2020). However, the present study only focuses on the RF_{ARI} . The model outputs separate
636 forcing diagnostics for anthropogenic and biomass burning BC and OC, as well as biogenic
637 SOA, making it possible to attribute the forcing to individual aerosol species. The negative
638 RF_{ARI} has increased significantly since 1850 until the 1970's due to an increase in aerosol
639 concentrations. Due to the efforts of mitigating air pollution and thus a decrease in emissions,
640 the forcing became less negative after the 1970's until 2015. Figure 7 also shows a visible
641 difference in the anthropogenic RF_{ARI} simulated by the NINT (prescribed aerosols) and OMA
642 (interactive aerosols) simulations in the CMIP6 ensemble, where the anthropogenic RF_{ARI} by
643 NINT simulation is less negative (by almost 30%) compared to the OMA simulation (Figure
644 7b). On the other hand, no such difference is seen in the net RF_{ARI} time series (Figure 7a).
645 This compensation is largely driven by the 50% more positive dust and 10% less negative
646 sea-salt RF_{ARI} in the OMA simulation.

647 3.2.1. Black carbon

648 All simulations show a statistically significant (as calculated by Mann-Kendall trend
649 analyses) decrease in the Arctic BC burdens (Table 4) between 1990-2014, except for the
650 CMIP6_Cpl_Hist, which shows a slight non-significant increase that can be attributed to the
651 large increase in global anthropogenic BC emissions in CMIP6 after year 2000 (Figure 1).
652 From 2015 onwards, all future simulations show a statistically significant decrease in the
653 Arctic BC burden (Table 4). The Eclipse CLE ensemble shows a 1.1 kTon (31%) decrease in
654 the 2030-2050 mean Arctic BC burden compared to the 1990-2010 mean, while the decrease
655 in 2030-2050 mean Arctic BC burden is larger in the MFR ensemble (2.3 kTon: 62%). In the
656 CMIP6 simulations, the 2030-2050 mean Arctic BC burdens decrease by 0.70 to 1.59 kTon,
657 being largest in SSP1-2.6 and lowest in SSP3-7.0-lowNTCF, while the SSP3-7.0 simulation
658 leads to an increase of 0.43 kTon (12%) in 2030-2050 mean Arctic BC burdens. It is
659 important to note that the changes in burden simulated by the Eclipse CLE ensemble (-1.1
660 kTon) is comparable with the change of -1 kTon in the SSP2-4.5 scenario, consistent with the
661 projected emission changes in the two scenarios (Figure 1).

662 As seen in Table 6, the GISS-E2.1 ensemble calculated a BC RF_{ARI} of up to 0.23 W m^{-2} over
663 the Arctic, with both CMIP6 and Eclipse coupled simulations estimating the highest forcing

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669 of 0.23 W m⁻² for the 1990-2010 mean (Table 6a). This agrees with previous estimates of the
670 BC RF_{ARI} over the Arctic (e.g. Schacht et al., 2019). In the future, the positive BC RF_{ARI} is
671 generally decreasing (Figure 6) due to lower BC emissions and therefore burdens, except for
672 the SSP3-7.0 scenario, where the BC forcing becomes more positive by 0.05 W m⁻² due to
673 increasing BC emissions and burdens. The changes in the Arctic RF_{ARI} in Table 6a follows
674 the Arctic burdens presented in Table 5, and emission projections presented in Figure 1,
675 leading to largest reductions in BC RF_{ARI} simulated in SSP1-2.6 (-0.10 Wm⁻²). Similar to the
676 burdens, the Eclipse CLE and CMIP6 SSP2-4.5 scenarios simulate a very close decrease in
677 the 2030-2050 mean BC RF_{ARI} of -0.06 Wm⁻² and -0.06 Wm⁻², respectively.

678 3.2.2. Organic aerosols

679 The Eclipse historical ensemble simulate a positive OA burden trend between 1990 and 2014,
680 however this trend is not significant at the 95% confidence level (Table 4). The
681 CMIP6_Cpl_Hist simulation gives a larger trend, due to a large increase in global
682 anthropogenic OC emissions in CMIP6 (Figure 1). The nudged AMIP Eclipse simulation
683 calculates the largest 1990-2010 mean OA burden (57 kTon), while the coupled simulation
684 shows a slightly lower 1990-2010 mean burden (55 kTon). This largest OA burden in the
685 Eclipse_AMIP_NCEP simulation is attributed to the largest biogenic SOA burden calculated
686 in this scenario, as well as a better-simulated transport from source regions due to the nudged
687 winds (Figure S1). The anthropogenic and biogenic contributions to SOA burdens in the
688 coupled Eclipse and CMIP6 recent past simulations imply that the differences in the burdens
689 between the two ensembles can be attributed to the different anthropogenic emissions
690 datasets used in the Eclipse and CMIP6 simulations (Figure S1), as well as the differences in
691 SOA contributions due to simulated increases in the biogenic emissions (Figure S5). The
692 AMIP-type Eclipse run simulates a lower 1990-2010 mean OA burden (50 kTon), attributed
693 to the smallest biogenic SOA burden in this scenario. The Eclipse CLE ensemble shows a
694 decrease of 6.6 kTon (12%) in 2030-2050 mean OA burden compared to the 1990-2010
695 mean, while the MFR ensemble shows a larger decrease in the same period (15.2 kTon:
696 27%). The CMIP6 simulations show a much larger decrease of 2030-2050 mean Arctic OA
697 burdens, with a decrease of 8.1 kTon (SSP2-4.5) to 17 kTon (SSP1-2.6), while the SSP3-7.0
698 simulation shows an increase in OA burdens in the same period by 1.3 kTon (2%). Similar to
699 BC burdens, Eclipse CLE and CMIP6 SSP2-4.5 scenarios project similar changes in 2030-
700 2050 mean OA burden (6.6 kTon and 8.1 kTon, respectively).

701
702
703 As shown in Table 6a, the Eclipse ensemble calculated an OA RF_{ARI} of -0.05 to -0.08 Wm⁻²
704 for the 1990-2010 mean, where the nudged AMIP-type simulation shows the largest RF_{ARI},
705 due to the largest Arctic OA burden calculated for this period (Table 5). For the future, both
706 Eclipse CLE and MFR ensembles show an increase in the negative 2030-2050 mean RF_{ARI}
707 by -0.02 Wm⁻², which is very close to the increase in the negative forcing calculated for the
708 various CMIP6 simulations (-0.01 to -0.03 Wm⁻²). Following the burdens, the largest increase
709 in the 2030-2050 mean OA RF_{ARI} is calculated for the SSP3-7.0 (-0.03 Wm⁻²), and the lowest
710 for SSP1-2.6 and 3-7.0-lowNTCF (-0.01 Wm⁻²).

711 3.2.3. Sulfate

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714 Regarding SO_4^{2-} burdens, all simulations show a statistically significant negative trend both
715 in 1990-2014 and in 2015-2050, as seen in Figure 6 and Table 5. Both the nudged AMIP-type
716 and coupled Eclipse simulations showed a 1990-2010 mean SO_4^{2-} burden of 93 kTon, while
717 the AMIP-type simulation showed a slightly larger SO_4^{2-} burden of 95 kTon, attributed to the
718 larger cloud fraction simulated in this model version (Table 2). For the 2015-2050 period, the
719 Eclipse ensemble simulates a mean Arctic SO_4^{2-} burden decrease of 30-40 kTon (32-42%),
720 compared to the 1990-2010 mean, while CMIP6 ensemble simulates a reduction of 16-45
721 kTon (16-45%). The SSP2-4.5 and Eclipse CLE scenarios simulate a very similar decrease
722 (30 kTon) in 2030-2050 mean Arctic SO_4^{2-} burdens, while the MFR and SSP1-2.6 scenarios
723 also simulate comparable reductions in the burdens (Table 5). Following the emission
724 projections, the SSP1-2.6 scenario gives the largest decrease (45 kTon: 45%), and the SSP3-
725 7.0 scenario gives the smallest reduction (16 kTons: 16%) in Arctic 2030-2050 mean SO_4^{2-}
726 burdens.

727

728 The SO_4^{2-} RF_{ARI} is decreasing (Figure 6) following the decreasing emissions (Figure 1) and
729 burdens (Figure 5). Both Eclipse and CMIP6 ensembles simulate a decrease in SO_4^{2-} RF_{ARI}
730 by 0.06-0.18 Wm^{-2} . The 2030-2050 mean SO_4^{2-} RF_{ARI} follows the burdens (Table 6), with
731 CLE and SSP2-4.5 giving similar decreases in the negative SO_4^{2-} RF_{ARI} of 0.11 Wm^{-2} , while
732 the Eclipse MFR and SSP1-2.6 simulates a very similar decrease in the 2030-2050 mean
733 SO_4^{2-} RF_{ARI} (0.16 and 0.18 Wm^{-2} , respectively).

734

735 3.2.4. Net aerosol radiative forcing

736 The coupled simulations in both Eclipse and CMIP6 ensemble show an Arctic RF_{ARI} of -0.32
737 to -0.35 Wm^{-2} for the 1990-2010 mean, slightly lower than recent estimates (e.g. -0.4 Wm^{-2}
738 by Markowicz et al., 2021). In the Eclipse ensemble, $-0.22 \pm 0.01 \text{Wm}^{-2}$ is calculated to be
739 originated by the anthropogenic aerosols, while in the CMIP6 near-past simulations show a
740 contribution of -0.19 to -0.26 Wm^{-2} from anthropogenic aerosols (Table 6b). The AMIP-type
741 Eclipse simulations calculated a much larger RF_{ARI} of -0.47 Wm^{-2} for the same period,
742 which can be mainly due to the increase in the positive forcing of the BC aerosols in the
743 coupled simulations due to larger burdens. This effect is amplified due to the larger sea-ice
744 concentration simulated with the coupled model, leading to brighter surfaces compared to the
745 AMIP simulations. For the 2030-2050 period, the Eclipse ensemble simulated an increase in
746 the negative in RF_{ARI} by -0.07 Wm^{-2} , while the negative anthropogenic in RF_{ARI} increased
747 by only -0.02 Wm^{-2} , suggesting that the contribution from natural aerosols become more
748 important in the future. The results show that the positive dust forcing is decreased by 0.03
749 Wm^{-2} (from 0.12 Wm^{-2} to 0.09 Wm^{-2}), while the negative sea-salt forcing becomes more
750 negative by -0.03 Wm^{-2} due to the increase of ice-free ocean fraction due to melting of sea-
751 ice (see Section 3.3). For the same period, the CMIP6 future ensemble simulated an increase
752 of the negative RF_{ARI} by -0.01 Wm^{-2} to -0.06 Wm^{-2} , the largest change being in SSP1-2.6 and
753 SSP2-4.5, mainly driven by the change in BC forcing (Table 6a). Table 6 also shows that the
754 SSP1-1.6 simulates no change in the anthropogenic forcing, while SSP2-4.5 shows a similar
755 increase of -0.01 Wm^{-2} in the Eclipse ensemble. In contrary, the SSP3-7.0 and SSP3-7.0-
756 lowNTCF simulates a large decrease in the anthropogenic negative RF_{ARI} by 0.05 Wm^{-2} and
757 0.02 Wm^{-2} , respectively.

758

759 The different behavior in the two ensembles is further investigated by looking at the aerosol-
760 radiation forcing calculated for the individual aerosol species of BC, OA, SO₄²⁻ and NO₃⁻
761 presented in Figure 8 that shows the box-whisker plots using the similar scenarios in the
762 Eclipse (CLE and MFR) and CMIP6 (SSP2-4.5 and SSP1-2.6) ensembles. The increase in
763 cooling effect of aerosols calculated by the Eclipse ensemble is attributed mainly to the
764 decrease in BC as opposed to other aerosol species (Figure 8). More negative forcing is
765 calculated for the OA and NO₃⁻, while the SO₄²⁻ forcing is becoming less negative due to
766 large reductions in SO₂ emissions (Figure 1). The net aerosol forcing is therefore slightly
767 more negative. In the CMIP6 ensemble, the BC forcing does not change as much compared
768 to the Eclipse ensemble to counteract the change in impact from SO₄²⁻, giving a more
769 negative net aerosol forcing, slightly smaller compared to the Eclipse ensemble. The CMIP6
770 ensemble also simulates a larger increase in the negative NO₃⁻ forcing compared to the
771 Eclipse ensemble (Shindell et al., 2013). Overall, the changes in the different aerosol species
772 leads to a more negative aerosol forcing by mid-century (2030-2050) compared to the 1990-
773 2010 period.

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775 The spatial distributions of the statistically significant change in the Arctic RF_{ARI} in 2030-
776 2050 mean with respect to the 1990-2010 mean in the different ensemble members are
777 presented in Figure 9. Results show a decrease of the negative RF_{ARI} over Europe, and partly
778 over North America, and an increase over northern Pacific in all ensemble members.
779 Globally, larger changes are simulated over the East and South Asia (Figure S2), where
780 largest anthropogenic emission reductions take place. The global net RF_{ARI} is dominated by
781 the sea-salt particles, accounting for about 60% of the 1990-2010 mean forcing of -2 to -2.3
782 Wm⁻² in and 2030-2050 mean forcing of -19 to 2.1 Wm⁻².

783

784 3.3. Climate change

785

786 3.3.1. Surface air and sea surface temperatures

787 The surface air temperature and sea-ice extent are calculated in the different simulations for
788 the 1990-2050 period. As seen in Figure 10, the Arctic surface air temperatures increase in all
789 scenarios. Between 1990 and 2014, the surface air temperatures over the Arctic increased
790 statistically significant by 0.5 °C decade⁻¹ (Eclipse_CplHist) to 1 °C decade⁻¹
791 (CMIP6_Cpl_Hist), with CMIP6 showing larger increases compared to the Eclipse ensemble
792 (Table 7) . On the other hand, the observed surface air temperature during 1990-2014 shows a
793 smaller and statistically non-significant increase of 0.2 °C decade⁻¹. From 2015 onwards,
794 surface air temperatures continue to increase significantly by 0.3 to 0.6 °C decade⁻¹, with
795 larger increases in the Eclipse ensemble, due to larger reductions in the emissions and
796 therefore in the burdens and associated RF_{ARI}.

797

798 The 2030-2050 mean surface air temperatures are projected to increase by 2.1 °C and 2.3 °C
799 compared to the 1990-2010 mean temperature (Table 8, Figure 10) according to the Eclipse
800 CLE and MFR ensembles, respectively, while the CMIP6 simulation calculated an increase
801 of 1.9 °C (SSP1-2.6) to 2.2 °C (SSP3-7.0). Changes in both ensembles are statistically

805 significant on a 95% level. These warmings are smaller compared to the 4.5 - 5 °C warmer
806 2040 temperatures compared to the 1950-1980 average in the CMIP6 SSP1-2.6, SSP2-4.5
807 and SSP3-7.0 scenarios, reported by Davy and Outen (2020). It should however be noted that
808 due to the different baselines used in the present study (1990-2010) and the 1950-1980
809 baseline used in Davy and Outen (2020), it is not possible to directly compare these datasets.
810 Figure 11 shows the spatial distributions of the statistically significant (as calculated by
811 student t-test) Arctic surface air temperature change between the 1990-2010 mean and the
812 2030-2050 mean for the individual Eclipse and CMIP6 future scenarios. All scenarios
813 calculate a warming in the surface air temperatures over the central Arctic, while there are
814 differences over the land areas. The Eclipse CLE and MFR ensembles show similar warming
815 mainly over the Arctic ocean as well as North America and North East Asia and cooling over
816 the Greenland Sea. The latter is a well-known feature of observations and future projections,
817 linked, i.e., to the deep mixed layer in the area and declines in the Atlantic Meridional
818 Circulation (e.g. IPCC, 2014; Menary and Wood, 2018; Keil et al., 2020). There are also
819 differences between the Eclipse and the CMIP6 ensembles as seen in Figure 11. All CMIP6
820 scenarios show a warming over the central Arctic and a limited cooling over northern
821 Scandinavia, following the changes in RF_{ARI} shown in Figure 9, except for the SSP3-7.0
822 scenario that shows no cooling in the region. The SSP3-7.0-lowNTCF scenario shows an
823 additional cooling over Siberia. These warmings are comparable with earlier studies, such as
824 Samset et al. (2017) estimating a warming of 2.8 °C, attributed to aerosols.

825 826 3.3.2. *Sea-ice*

827 The Arctic sea-ice extent is found to decrease significantly in all simulations (Figure 10 and
828 Table 7). Similar to the near-surface temperatures, during the 1990-2014 period, the CMIP6
829 ensemble simulated a large decrease of sea-ice extent compared to the Eclipse ensemble. On
830 the other hand, the CMIP6_Cpl_Hist largely overestimated the observed decrease of 30 000
831 km² yr⁻¹. This overestimation has also been reported for some of the CMIP5 and CMIP6
832 models (Davy and Outten, 2020). After 2015, the Eclipse CLE ensemble projected larger
833 decreases in the sea-ice extent compared to the CMIP6 ensemble (Table 7), in agreement
834 with the changes in the near-surface temperatures. The evolutions of March and September
835 sea-ice extents, representing the Arctic annual maximum and minimum extents, respectively,
836 are also analyzed. The Eclipse ensemble projects a decrease of $23\ 000 \pm 11\ 000$ km² yr⁻¹ in
837 March sea-ice extent during the 2015-2050 period, while the CMIP6 ensemble projects a
838 decrease of $10\ 000 \pm 6000$ km² yr⁻¹ for the same period, both statistically significant. In
839 September, much larger decreases are projected by both ensembles. The Eclipse ensemble
840 simulates a decrease of $64\ 000 \pm 10\ 000$ km² yr⁻¹ in the 2015-2050 period while the CMIP6
841 ensemble predicts a decrease of $50\ 000 \pm 20\ 000$ km² yr⁻¹.

842
843 The 2030-2050 annual mean sea-ice extent (Table 8) is projected to be 1.5 and 1.7 million
844 km² lower compared to the 1990-2010 mean in the Eclipse CLE and MFR scenarios,
845 respectively, both statistically significant on a 95% level. The CMIP6 simulations predict a
846 lower decrease of sea-ice extent by 1.2 - 1.5 million km², however these changes are not
847 statistically significant. These results are comparable with the results from the CMIP6 models
848 (Davy and Outten, 2020). In the 2030-2050 March mean the sea-ice extent is projected to be

849 925 000 km² lower in the Eclipse ensemble (statistically significant), while the CMIP6
850 ensemble projects a decrease of 991 000 km² (not statistically significant) A much larger
851 decrease is projected for the 2030-2050 September mean, being 2.6 million km² and 2.3
852 million km² in Eclipse and CMIP6 ensembles, respectively. As seen in Figure 12, the Eclipse
853 ensemble predicts an up to 90% lower September sea-ice fraction in a band marking the
854 maximum retreat of the sea ice line at the end of the summer, while the changes simulated by
855 the CMIP6 ensemble are not statistically significant on 95% level (therefore not shown in
856 Figure 11), which can be attributed to the single ensemble member per scenario in the CMIP6
857 ensemble, as well as the not significant changes in the near-surface temperatures (not shown).
858 In March (Figure S3), the Eclipse ensemble simulated a decrease in maximum sea-ice extent
859 at the end of winter over the northern Pacific, while the CMIP6 ensemble did not show any
860 statistically significant changes in sea-ice. In addition, the Eclipse ensemble shows a decrease
861 over the north Atlantic close to Greenland. All simulations show a similar and statistically
862 significant decrease in annual mean sea-ice extent (Figure S4 over the central Arctic, with the
863 CMIP6 ensemble showing also some increase in the sea-ice extent over the Canadian Arctic,
864 that is largest in SSP3-7.0.

865
866 The retreat in sea-ice extent also led to an increase of oceanic emissions of DMS and sea-salt
867 (Figure S5); however, the increases are not significant on a 95% significance level. The
868 simulated increase, in particular for the DMS emissions, is slightly larger in the Eclipse
869 ensemble compared to the CMIP6 ensemble, due to a larger decrease of sea-ice extent in the
870 Eclipse ensemble. Also note that GISS-E2.1 is using prescribed and fixed maps of DMS
871 concentration in the ocean. When ocean locations that are year-round under sea-ice at present
872 get exposed, the DMS that would exist in that sea water is not included in the simulations,
873 likely underestimating the increased flux of DMS into the atmosphere as the sea ice retreats.
874

875 4. Summary and Conclusions

876
877 The GISS-E2.1 earth system model has been used to simulate the recent past (1990-2014)
878 and future (2015-2050) aerosol burdens and their climate impacts over the Arctic. An
879 ensemble of seventeen simulations has been conducted, using historical and future
880 anthropogenic emissions and projections from CMIP6 and ECLIPSE V6b, the latter
881 supporting the ongoing Arctic Monitoring and Assessment Programme.

882
883 The evaluation of the recent past simulations shows underestimates of Arctic surface aerosol
884 levels by up to 50%, with the smallest biases calculated for the simulations where winds are
885 nudged, and sea-surface temperature and sea-ice are prescribed (AMIP-type: atmosphere-
886 only). An exception is SO₄²⁻, where the nudged Eclipse AMIP simulation had the highest
887 bias, due to the high cloud bias that leads to more in-cloud sulfate production from SO₂. The
888 model skill analyses indicate slightly better performance of the CMIP6 version of the GISS-
889 E2.1 model in simulating both the aerosol levels and climate parameters compared to the
890 Eclipse version. **In addition, the underestimations in summer time cloud fraction suggests**
891 **missing sources of aerosols, in particular the local marine sources. GISS-E2.1 does not**
892 **include marine VOC emissions except for DMS, which are suggested to be important for the**

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897 [summer time cloud properties over the Arctic \(Ornella et al., 2011; Karl et al., 2013; Schmale](#)
898 [et al., 2021\)](#). Results also suggest that the underestimation of both absorbing and scattering
899 aerosol levels can partly cancel out their impacts on RF_{ARI} and near-surface temperatures as
900 the temperatures are very well reproduced by the model.

901
902 From 2015 onwards, all simulations, except for the worst case CMIP6 scenario SSP3-7.0,
903 show a statistically significant decrease in the Arctic BC, OA and SO_4^{2-} burdens, with the
904 CMIP6 ensemble simulating larger aerosol burdens Eclipse, while the Eclipse ensemble
905 shows larger reductions (10-60%) in Arctic aerosol burdens compared to the reduction
906 simulated by the CMIP6 ensemble (10-45%). The largest burden reductions are calculated by
907 the highly ambitious emission reductions in the two ensembles; i.e. the Eclipse MFR (25-
908 60%) and the CMIP6 SSP1-2.6 (25-45%).

909
910 The present-day (1990-2010 mean) CMIP6 and Eclipse simulations calculated an aerosol
911 radiative forcing due to aerosol-radiation interactions (RF_{ARI}) of -0.32 to -0.35 $W m^{-2}$. For
912 the same period, the atmosphere only (AMIP) Eclipse simulations calculated a much larger
913 negative RF_{ARI} of -0.47 $W m^{-2}$. This smaller RF_{ARI} by the coupled simulations is mainly due
914 to larger BC burdens in the coupled simulations, leading to more positive forcing, which is
915 amplified by the larger albedo effect due to larger sea-ice extent simulated in the coupled
916 simulations. In the 2030-2050 period, the Eclipse ensemble simulated a RF_{ARI} -0.39 ± 0.01 W
917 m^{-2} , of which -0.24 ± 0.01 $W m^{-2}$ are attributed to the anthropogenic aerosols (BC, OA, SO_4^{2-}
918 and NO_3^-). For the same period, the worst case CMIP6 scenario (SSP3-7.0) simulated a
919 similar RF_{ARI} (-0.35 $W m^{-2}$) compared to the 1990-2010 mean, while large emission
920 reductions led to a more negative RF_{ARI} (-0.40 $W m^{-2}$), mainly due to decrease in the positive
921 forcing of the BC aerosols. Overall, the Eclipse ensemble simulated slightly larger changes in
922 the RF_{ARI} over the 2015-2050 period, relative to the 1990-2010 mean, compared to the
923 CMIP6 ensemble, which can be attributed to the larger reductions in burdens in the Eclipse
924 ensemble. The differences between the two ensembles are further attributed to differences in
925 the BC and SO_4^{2-} forcings. The results suggest that the different anthropogenic emission
926 projections lead to only small differences in how the RF_{ARI} will evolve in the future over the
927 Arctic.

928
929 The future scenarios with the largest aerosol reductions, i.e. MFR in the Eclipse and SSP1-
930 2.6 in the CMIP6 ensemble projects a largest warming and sea-ice retreat. The Eclipse
931 ensemble shows a slightly larger warming of 2030-2050 mean surface air temperatures
932 compared to the 1990-2010 mean warming (2.1 to 2.5 °C) compared to that from the CMIP6
933 ensemble (1.9 °C to 2-2 °C). Larger warming in the Eclipse ensemble also resulted in a
934 slightly larger reduction in sea-ice extent (-1.5 to -1.7 million km^2 in CLE and MFR,
935 respectively) in 2030-2050 mean compared to the reduction in the CMIP6 scenario (-1.3 to $-$
936 1.6 million km^2 in SSP1.2-6 and SSP3-7.0, respectively). However, the changes simulated
937 by the two ensembles are within one standard deviation of each other.

938
939 The overall results showed that the aerosol burdens will substantially decrease in the short- to
940 mid-term future, implying improvements in impacts on human health and ecosystems.

941 However, the impacts of aerosols on the radiative forcing can be amplified by the sea-ice
942 extent. Results also show that even the scenarios with largest emission reductions, i.e. Eclipse
943 MFR and CMIP6 SSP1-2.6, lead to similar impact on the future Arctic surface air
944 temperatures and sea-ice loss compared to scenarios with very little mitigation such as the
945 CMIP6 SSP3-7.0, exacerbating the dominant role played by well-mixed greenhouse gases
946 and underlining the importance of continued greenhouse gas reductions.

947
948 *Author contributions.* UI coordinated the study, conducted the model simulations, as well as
949 model evaluation and analyses of the simulations, and wrote the manuscript. KT and GF
950 supported the model simulations and processing of the Eclipse V6b emissions for the GISS-
951 E2.1 model. JPF contributed to the plotting of the spatial distributions by further developing
952 the autoimage R package (French, 2017). RM prepared and provided the AOD
953 measurements, as well as the surface air temperature, sea surface temperature and sea-ice
954 data. MAT prepared the cloud observation data. CHW prepared the Arctic surface aerosol
955 measurement data. KvS coordinated the experimental setup for the Eclipse simulations in the
956 framework of the ongoing AMAP assessment. ZG prepared and provided the Eclipse V6b
957 anthropogenic emissions. HS and DCT prepared the Villum Research Station aerosol data. JB
958 and PL contributed to analyses of aerosols and climate parameters, respectively, and
959 manuscript writing. All authors contributed to the analyses and interpretation of the results, as
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980
981

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Tables

Table 1. GISS-E2.1 simulations carried out in the Eclipse and CMIP6 ensembles.

Simulations	Description	No. Ensemble	Period
NINT_Cpl	No tracers- Coupled	1	1850-2014
Eclipse_AMIP	AMIP OMA	1	1995-2014
Eclipse_AMIP_NCEP	AMIP OMA – winds nudged to NCEP	1	1995-2014
Eclipse_CplHist	OMA – Coupled	3	1990-2014
Eclipse_Cpl_CLE	OMA – Coupled	3	2015-2050
Eclipse_Cpl_MFR	OMA – Coupled	3	2020-2050
CMIP6_Cpl_Hist	OMA – Coupled	1	1850-2014
CMIP6_Cpl_SSP1-2.6	OMA – Coupled	1	2015-2050
CMIP6_Cpl_SSP2-4.5	OMA – Coupled	1	2015-2050
CMIP6_Cpl_SSP3-7.0	OMA – Coupled	1	2015-2050
CMIP6_Cpl_SSP3-7.0-lowNTCF	OMA – Coupled	1	2015-2050

Table 2. Annual mean normalized mean bias (*NMB*:%) and correlation coefficients (*r*) for the recent past simulations in the GISS-E2.1 model ensemble during 1995-2014 for BC, OA, SO₄²⁻ and 2008/2009-2014 for AOD550 from AERONET and satellites.

Model	BC		OA		SO ₄ ²⁻		AOD_aero		AOD_sat	
	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>
AMAP_OnlyAtm.	-67.32	0.27	-35.46	0.54	-49.83	0.65	-33.28	-0.07	-0.48	0.00
AMAP_OnlyAtm_NCEP	-57.00	0.26	-7.80	0.56	-52.70	0.74	-41.99	0.02	-0.55	0.13
AMAP_CplHist (x3)	-64.11	0.42	-19.07	0.58	-49.39	0.71	-43.28	0.04	-0.56	0.07
CMIP6_Cpl_Hist	-49.90	0.26	13.14	0.69	-39.81	0.70	-39.86	0.05	-0.53	0.11

Table 3a. Annual normalized mean biases (*NMB*: %) and correlation coefficients (*r*) for the recent past simulations in the GISS-E2.1 model ensemble in 1995-2014 for surface air temperature (T_{surf}) and sea surface temperature (SST) in units of °C, and precipitation (Precip), and sea-ice fraction (Sea-ice).

Model	T_{surf}		Precip		SST		Sea-ice	
	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>
NINT	-0.08	1.00	-52.68	0.88	-88.87	0.99	12.14	1.00
AMAP_OnlyAtm.	-19.73	1.00	-50.33	0.89	-68.00	0.99	-2.56	1.00
AMAP_OnlyAtm_NCEP	-14.74	1.00	-53.19	0.90	-68.00	0.99	-2.56	1.00
AMAP_CplHistx3	-3.35	1.00	-53.06	0.86	-87.51	0.99	11.35	1.00
CMIP6_Cpl_Hist	-1.22	1.00	-53.96	0.85	-88.53	0.98	12.56	0.99

Table 3b. Annual mean normalized mean biases (*NMB*: %) and correlation coefficients (*r*) for the recent past simulations in the GISS-E2.1 model ensemble in 1995-2014 for total cloud fraction (Cld Frac), liquid water path (LWP), and ice water path (IWP) in units of %.

Model	Cld Frac		LWP		IWP	
	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>	<i>NMB</i>	<i>r</i>
NINT	20.95	-0.67	70.55	-0.89	-56.06	0.53
AMAP_OnlyAtm.	23.78	-0.81	57.52	-0.96	-58.53	-0.18
AMAP_OnlyAtm_NCEP	24.83	-0.79	14.19	-0.91	-70.32	-0.64
AMAP_CplHistx3	21.64	-0.65	70.99	-0.91	-55.74	0.48
CMIP6_Cpl_Hist	21.49	-0.65	69.18	-0.91	-56.28	0.40

Table 4. Trends in Arctic BC, OA and SO₄²⁻ burdens in the near-past (1990-2014) and future (2030-2050) as calculated by the GISS-E2.1. The bold numbers indicate the trends that are statistically significant on a 95% significance level.

	BC		OA		SO ₄ ²⁻	
	1990-2014	2015-2050	1990-2014	2015-2050	1990-2014	2015-2050
Eclipse AMIP	-0.026		0.030		-0.886	
Eclipse AMIP_NCEP	-0.021		0.112		-0.939	
Eclipse CplHist 3xEns	-0.026		-0.006		-1.332	
Eclipse CplCLE 3xEns		-0.024		-0.201		-0.143
Eclipse CplMFR 3xEns		-0.043		-0.367		-0.146
CEDS Cpl_Hist	0.007		0.121		-1.093	
CEDS Cpl SSP126		-0.068		-0.715		-0.935
CEDS Cpl SSP245		-0.047		-0.384		-0.465
CEDS Cpl SSP370		-0.004		-0.062		0.027
CEDS Cpl SSP370-lowNTCF		-0.051		-0.642		-0.567

Table 5. Arctic BC, OA and SO₄²⁻ burdens in 1990-2010 and 2030-2050 periods as calculated by the GISS-E2.1.

	BC		OA		SO ₄ ²⁻	
	1990-2010	2030-2050	1990-2010	2030-2050	1990-2010	2030-2050
Eclipse AMIP	3.52		50.70		95.10	
Eclipse AMIP_NCEP	3.49		57.31		93.93	
Eclipse CplHist 3xEns	3.75		55.55		93.59	
Eclipse CplCLE 3xEns		2.58		48.95		63.52
Eclipse CplMFR 3xEns		1.44		40.39		53.35
CEDS Cpl_Hist	3.64		67.48		99.11	
CEDS Cpl SSP126		2.05		50.41		53.99
CEDS Cpl SSP245		2.65		59.43		69.71
CEDS Cpl SSP370		4.08		68.81		83.26
CEDS Cpl SSP370-lowNTCF		2.94		56.05		69.72

Table 6a. RF_{ARI} for BC, OA, SO_4^{2-} and NO_3^- aerosols in 1990-2010 and 2030-2050 periods as calculated by the GISS-E2.1.

	BC		OA		SO_4^{2-}		NO_3^-	
	1990-2010	2030-2050	1990-2010	2030-2050	1990-2010	2030-2050	1990-2010	2030-2050
NINT_Cpl	0.20		-0.05		-0.33		-0.01	
Eclipse_AMIP	0.20		-0.06		-0.39		-0.02	
Eclipse_AMIP_NCEP	0.19		-0.08		-0.39		-0.04	
Eclipse_CplHist_3xEns	0.23		-0.05		-0.38		-0.03	
Eclipse_CplCLE_3xEns		0.17		-0.07		-0.27		-0.07
Eclipse_CplMFR_3xEns		0.09		-0.07		-0.22		-0.04
CEDS_Cpl_Hist	0.23		-0.06		-0.40		-0.04	
CEDS_Cpl_SSP126		0.13		-0.07		-0.22		-0.10
CEDS_Cpl_SSP245		0.19		-0.08		-0.29		-0.09
CEDS_Cpl_SSP370		0.28		-0.09		-0.34		-0.06
CEDS_Cpl_SSP370-lowNTCF		0.20		-0.07		-0.28		-0.09

Table 6b. RF_{ARI} for total and anthropogenic aerosols in 1990-2010 and 2030-2050 periods as calculated by the GISS-E2.1.

	Aerosols Total		Anthropogenic Aerosols	
	1990-2010	2030-2050	1990-2010	2030-2050
NINT_Cpl	-0.35		-0.19	
Eclipse_AMIP	-0.46		-0.27	
Eclipse_AMIP_NCEP	-0.47		-0.32	
Eclipse_CplHist_3xEns	-0.32		-0.22	
Eclipse_CplCLE_3xEns		-0.39		-0.24
Eclipse_CplMFR_3xEns		-0.39		-0.23
CEDS_Cpl_Hist	-0.35		-0.26	
CEDS_Cpl_SSP126		-0.40		-0.26
CEDS_Cpl_SSP245		-0.41		-0.27
CEDS_Cpl_SSP370		-0.35		-0.21
CEDS_Cpl_SSP370-lowNTCF		-0.38		-0.24

Table 7. Trends in near surface temperature (T_{surf}) and annual mean sea-ice extent in 1990-2010 and 2030-2050 periods as calculated by the GISS-E2.1. The bold numbers indicate the changes in 2030-2050 mean compared to the 1990-2010 mean that are statistically significant on a 95% significance level.

	T_{surf} ($^{\circ}\text{C decade}^{-1}$)		Sea-ice (10^3 km^2)	
	1990-2010	2030-2050	1990-2010	2030-2050
Observed	0.19		-28.36	
NINT_Cpl	0.88		-60.10	
Eclipse_AMIP	0.52		-28.65	
Eclipse_AMIP_NCEP	0.62		-29.47	
Eclipse_CplHist_3xEns	0.52		-37.89	
Eclipse_CplCLE_3xEns		0.45		-37.212
Eclipse_CplMFR_3xEns		0.55		-41.33
CEDS_Cpl_Hist	0.10		-69.79	
CEDS_Cpl_SSP126		0.31		-23.21
CEDS_Cpl_SSP245		0.38		-24.28
CEDS_Cpl_SSP370		0.50		-39.18
CEDS_Cpl_SSP370-lowNTCF		0.31		-21.89

Table 8. Near surface temperature (T_{surf}) and September-mean sea-ice extent in 1990-2010 and 2030-2050 periods as calculated by the GISS-E2.1. The bold numbers indicate the changes in 2030-2050 mean compared to the 1990-2010 mean that are statistically significant on a 95% significance level.

	T_{surf} ($^{\circ}\text{C}$)		September Sea-ice (10^3 km^2)	
	1990-2010	2030-2050	1990-2010	2030-2050
NINT_Cpl	-8.39			
Eclipse_AMIP	-6.54			
Eclipse_AMIP_NCEP	-7.10			
Eclipse_CplHist_3xEns	-8.13		1.56	
Eclipse_CplCLE_3xEns		-6.06		1.32
Eclipse_CplMFR_3xEns		-5.79		1.31
CEDS_Cpl_Hist	-8.52		1.60	
CEDS_Cpl_SSP126		-6.64		1.44
CEDS_Cpl_SSP245		-6.37		1.37
CEDS_Cpl_SSP370		-6.33		1.37
CEDS_Cpl_SSP370-lowNTCF		-6.56		1.38

Figures

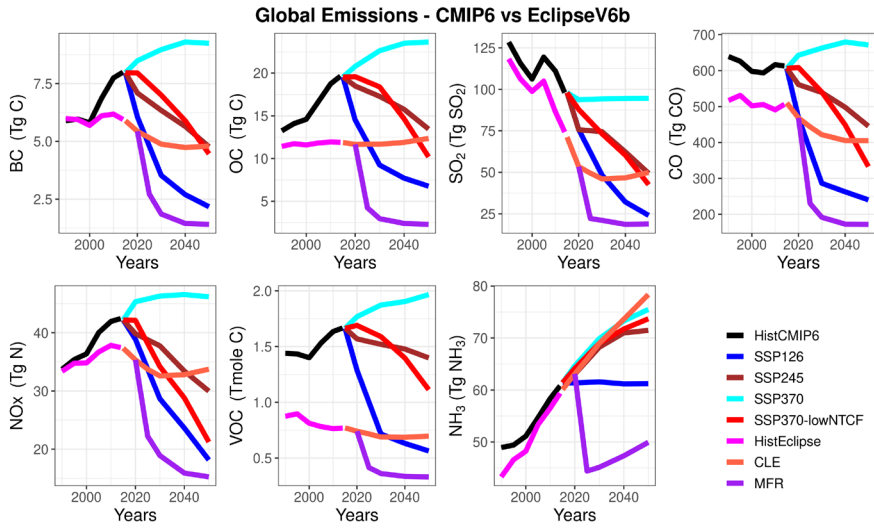


Figure 1. Global recent past and future CMIP6 and Eclipse V6b anthropogenic emissions for different pollutants and scenarios.

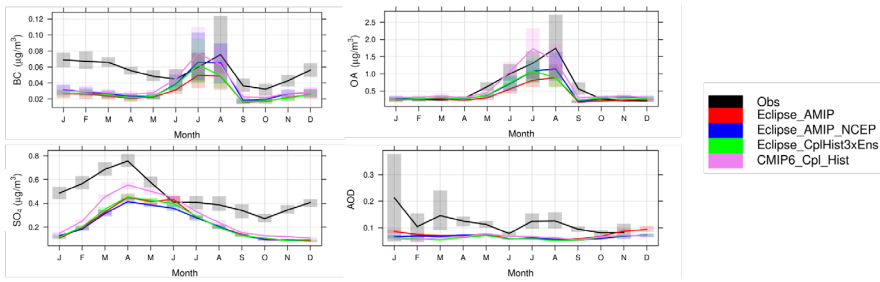


Figure 2. Observed and simulated Arctic climatological (1995-2014) monthly BC, OA, SO_4^{2-} , and AERONET AOD at 550nm (2008/09-14), along with the interannual variation shown in bars. The data presents monthly accumulated timeseries for all stations that are merged together.

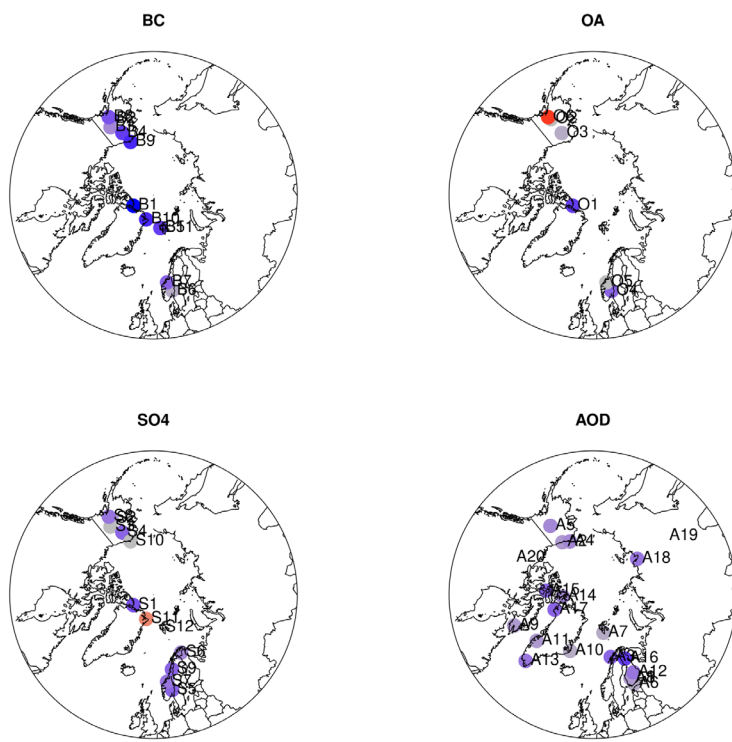


Figure 3. Spatial distribution of normalized mean bias (*NMB*, in %) for climatological mean (1995-2014) BC, OA, SO₄²⁻ and AOD at monitoring stations, calculated as the mean of all recent past simulations.

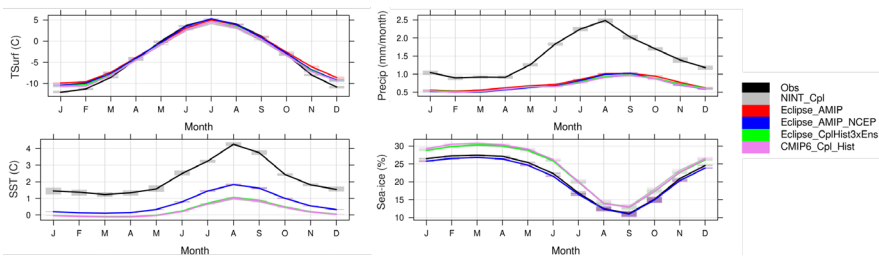


Figure 4. Observed and simulated Arctic climatological (1995-2014) surface air temperature, precipitation, sea surface temperature, and sea-ice, along with the interannual variation shown in bars. Obs denote UDel dataset for surface air temperature and precipitation, and HADISST for sea surface temperature and sea-ice extent. Note that the two AMIP runs (blue and red lines) for the SST and sea-ice are on top of each other as they use that data to run, as input.

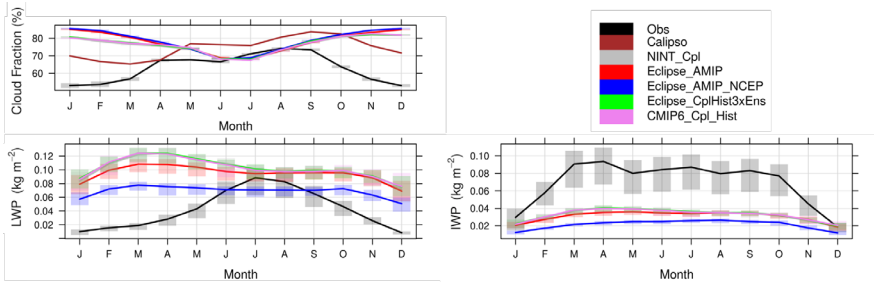


Figure 5. Observed and simulated Arctic climatological total cloud fraction (1995-2014 mean), liquid water path (2007-2014 mean), and ice water path (2007-2014 mean), along with the interannual variation shown in bars. Obs denote Clara-A2 for the cloud fractions and CloudSat for the LWP and IWP.

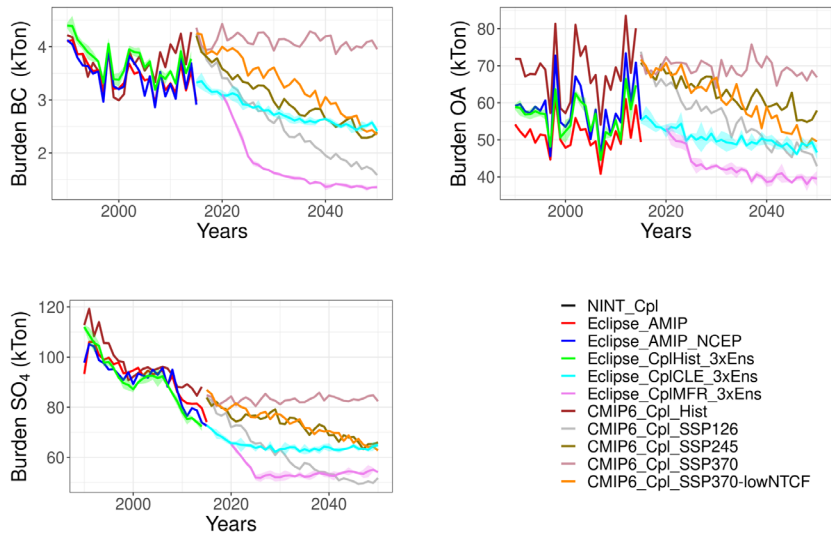


Figure 6. Arctic BC, OA and SO₄²⁻ burdens in 1990-2050 as calculated by the GISS-E2.1 ensemble.

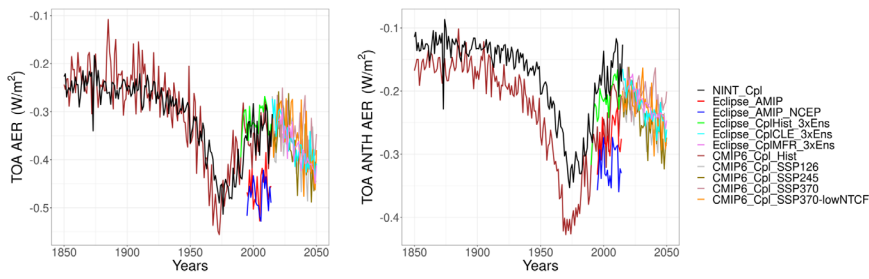


Figure 7. Arctic RF_{ARI} from anthropogenic and natural aerosols ($BC+OA+SO_4^{2-}+NO_3^-+Dust+SSA$), and only anthropogenic aerosols ($BC+OA+SO_4^{2-}+NO_3^-$) in 1850-2050 as calculated by the full GISS-E2.1 ensemble.

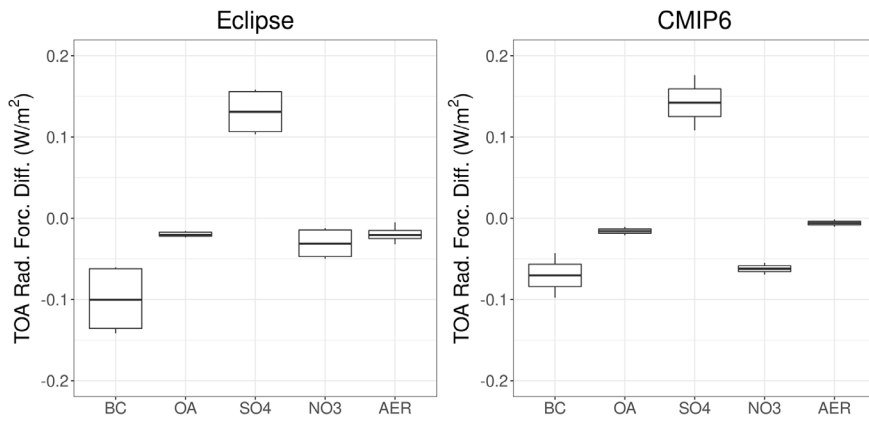
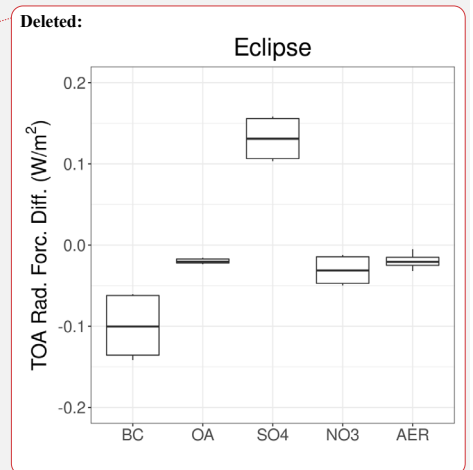


Figure 8. Box-Whisker plot showing the differences between 1990-2010 mean and 2030-2050 mean RF_{ARI} for the anthropogenic aerosol components (BC, OA, SO_4^{2-} and NO_3^-) and their sum (AER) in the Eclipse ensemble (CLE and MFR: left panel) and the CMIP6 (SSP2-4.5 and SSP1-2.6: right panel) ensembles. The boxes show the median, the 25th and 75th percentiles. The upper whisker is located at the *smaller* of the maximum value and $Q_3 + 1.5$ IQR, whereas the lower whisker is located at the *larger* of the smallest x value and $Q_1 - 1.5$ IQR, where IQR (interquartile range) is the box height (75th percentile - 25th percentile).



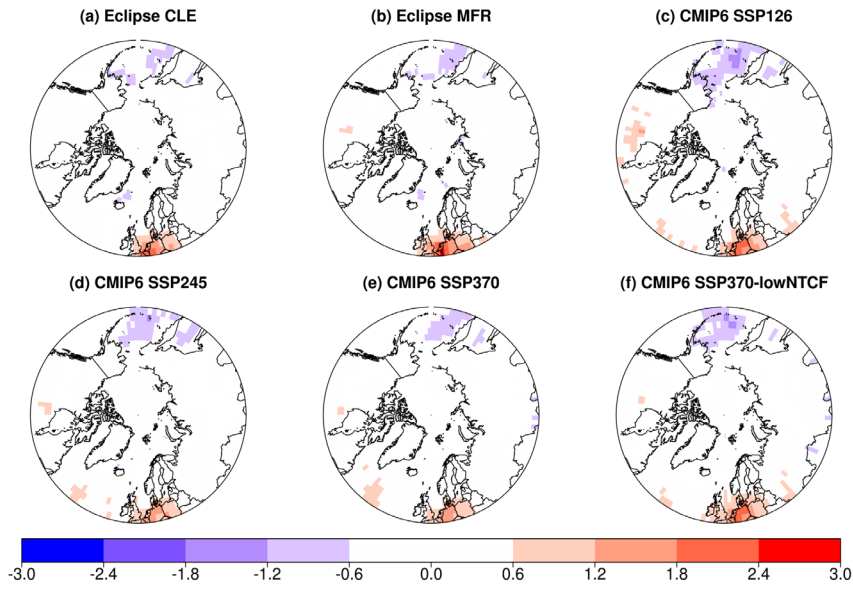


Figure 9. Spatial distribution of the statistically significant annual mean Arctic RF_{ARI} ($W m^{-2}$) changes between the 1990-2010 mean and the 2030-2050 mean as calculated by the GISS-E2.1 ensemble.

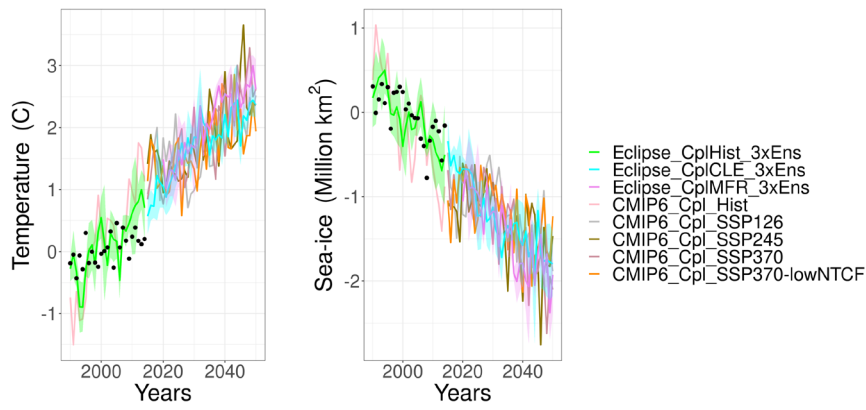


Figure 10. Arctic annual mean surface air temperature and sea-ice extent anomalies in 2015-2050 based on the 1990-2010 mean as calculated by the GISS-E2.1 ensemble.

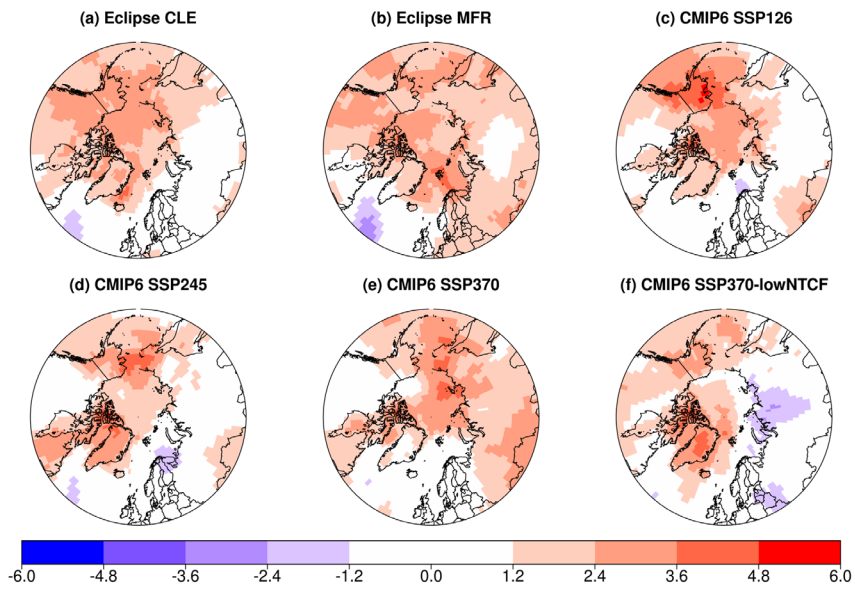


Figure 11. Spatial distribution of the statistically significant annual mean Arctic surface air temperature ($^{\circ}\text{C}$) changes between the 1990-2010 mean and the 2030-2050 mean as calculated by the GISS-E2.1 ensemble.

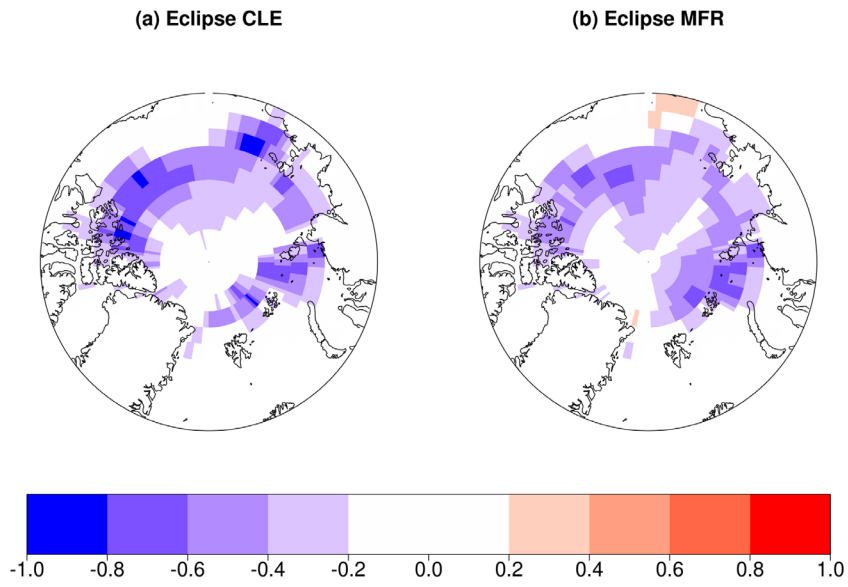


Figure 12. Spatial distribution of the statistically significant September Arctic sea-ice fraction change between the 1990-2010 mean and the 2030-2050 mean as calculated by the GISS-E2.1 Eclipse ensemble (CMIP6 ensemble is not shown due to statistically insignificant changes calculated by the student t-test).