1 Radiative properties of coated black carbon aggregates:
2 numerical simulations and radiative forcing estimates

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10 **Abstract.** The formation of black carbon fractal aggregates (BCFAs) from combustion and subsequent aging
11 involves several stages resulting in modifications of particle size, morphology, and composition over time. To
12 understand and quantify how each of these modifications influences the BC radiative forcing, the radiative
13 properties of BCFAs are modelled. Owing to the high computational time involved in numerical modelling, there
14 are some gaps in terms of data coverage and knowledge regarding how radiative properties of coated BCFAs vary
15 over the range of different factors (size, shape, and composition). This investigation bridged those gaps by
16 following a state-of-the-art description scheme of BCFAs based on morphology, composition, and wavelength.
17 The BCFAs radiative properties were investigated as a function of the radius of the primary particle (a_o), fractal
18 dimension (D_f), fraction of organics ($f_{organics}$), wavelength (λ), and mobility diameter (D_{mob}). The radiative
19 properties are calculated using the multiple sphere T-matrix (MSTM) method. Amongst size, morphology, and
20 composition, all the radiative properties showed the highest variability with changing size. The cross-sections
21 varied from 0.0001 μm^2 to 0.1 μm^2 for BCFA D_{mob} ranging from 24 nm to 810 nm. After size or D_{mob}, the
22 absorption cross-section (C_{abs}) and BC mass absorption cross-section (MAC_{BC}) showed the highest sensitivity
23 towards composition or $f_{organics}$, whereas the asymmetry parameter (g) showed higher dependence on morphology,
24 which is represented by D_f. The Ångstrom absorption exponent varied from 1.06 up to 3.6 and increases with the
25 fraction of organics ($f_{organics}$). The values of the absorption enhancement factor (E_λ) were found between 1.01 and
26 3.28 in the visible spectrum. The E_λ was derived from Mie calculations for coated volume equivalent spheres, and
27 from MSTM for coated BCFAs. Mie calculated enhancement factors were found to be larger by a factor of 1.1 to
28 1.5 than their corresponding values calculated from the MSTM method. It is shown that radiative forcings are
29 highly sensitive towards modifications in morphology and composition. The black carbon radiative forcing ΔF_{TOA}
30 (Wm^{-2}) decreases up to 61% as the BCFA becomes more compact in morphology. Whereas, there is a decrease
31 of >50% in ΔF_{TOA} as the organic content of the particle increase up to 90%. Based on our results, which showed
32 a significant effect of coating and morphology on the BC radiative properties, a parametrization scheme for
33 radiative properties of BC fractal aggregates was developed, which is applicable for modelling, ambient and
34 laboratory-based BC studies. The parameterization scheme for the cross-sections (extinction, absorption, and
35 scattering), single scattering albedo (*SSA*), and asymmetry parameter (g) of pure and coated BCFAs as a function
36 of D_{mob} were derived from tabulated results of the MSTM method. Spanning over an extensive parameter space,
37 the developed parametrization scheme showed promisingly high accuracy up to 98% for the cross-sections, 97%
38 for single scattering albedos (*SSA*), and 82% for asymmetry parameter (g).

39 **1. Introduction**
40
41 Black carbon (BC), also called light-absorbing carbon (LAC), is produced from incomplete combustion of fossil
42 fuels, biomass, and biofuels, and is reported to be the second largest contributor to global warming after CO_2 with
43 the global forcing estimates ranging between 0.4 to 1.2 W/m^2 (Ramanathan and Carmichael, 2008). It has been
44 found that the annual anthropogenic BC emissions have increased from 6.6 to 7.2 tera-grams during 2000-2010
45 (Klimont et al., 2017). Moreover, due to rapid urbanization in many developing regions like China, South Asia,
46 South East Asia, the total aerosol mas constitutes of a significantly large portion of BC (Kumar et al., 2018; Bond
47 et al., 2007; Wiedensohler et al., 2002; Madueno et al., 2019, 2020). In addition to the warming effect, BC also
48 decreases snow albedo (Doherty et al, 2010), causes adverse health effects (Janssen et al., 2011), and lowers
49 visibility (Wang et al., 2020).
50   Radiative properties of BC are of scientific interest because they allow conclusions to be drawn on the nature
51 of the particles and to investigate their radiative impacts (Liu et al., 2015; Safai et al., 2015). After its emission
52 into the atmosphere, BC particles undergo various changes in shape, size, and composition (Fierce et al., 2013).
53 Depending upon the atmospheric conditions after emission, irregularly shaped primary spherules provide active

54 sites for the deposition of water vapour which causes changes in the hygroscopicity of the particles (Petzold et
55 al., 2005; Peng et al., 2017,). In addition to this, different by-products of burning like organics are deposited
56 around the particles (Siegmann et al., 2002; Rudich et al., 2007). These processes lead to the formation of coatings
57 on BC cores (Bond et al., 2006) and reshaping of the BC particles into more spherical structures (Abel et al.,
58 2003). With the BC particles becoming more compact, an increase in the extinction cross section is observed (Liu
59 et al., 2012). Laboratory and ambient studies also show changes in the radiative properties of BC with an
60 increasing volume of organic coating (Shiraiwa et al., 2010; Cheng et al., 2009). Even though the organic coating
61 is less absorbing in nature, but an increase in the absorption cross section is observed due to the lensing effect
62 (Zhang et al., 2018; Zanatta et al., 2016, Saleh et al., 2015). Numerical modelling has been proven to be helpful
63 in better understanding the effect of the changes that BC particles undergo on their radiative properties (Scarnato
64 et al., 2013; Kahnert, 2010; Smith and Grainger, 2014). The advantage of the modelling studies is the ability and
65 flexibility they offer to simulate BC particles of desired size, shape, and composition, hence improving our
66 understanding of BCFAs at the micro-physical level.
67 The description of the simulated BC particle plays an essential role in their numerically derived radiative
68 properties. The assumption of BC particles as spheres is widely used by atmospheric scientists, especially in the
69 field of climate modelling (Stier et al., 2004; Ma et al., 2011; Düsing et al.,2018;). In the case of aged BC, it is
70 commonly considered that a spherical BC core is encapsulated inside another sphere representing the coating.
71 This morphology is used in the core-shell Mie theory (Bohren and Huffman, 1983) for obtaining the radiative
72 properties of such particles. Even though this method is simpler, it might result in larger discrepancies when
73 compared to the actual measurements (Wu et al., 2018). Mie theory also overestimates absorption in the visible
74 range of light (Adachi et al., 2010). Electron microscopy results of the samples from laboratory and ambient
75 measurements of BC (Ouf et al., 2016; Dong et al., 2018) showed that the BC particles consist of agglomerates
76 made up of numerous primary particles. It has been observed that these particles show self-similarity when viewed
77 over a range of scales, which is an important characteristic of fractals (Forrest and Witten, 1979). This makes BC
78 particles suitable to be termed as black carbon fractal aggregates (BCFAs), and is used as such throughout this
79 study.
80 The radiative properties of pure BCFAs, i.e. without any external coating, were investigated by Smith and
81 Grainger (2014), further developing a parametrization for radiative properties of pure BCFAs with respect to the
82 number of primary particles (N_s). With regards to the various ambient and laboratory studies emphasizing the role
83 of organics in influencing the BC absorption and scattering properties, a parametrization scheme for radiative
84 properties of organic coated BCFAs is needed (Zhang et al., 2008, Ouf et al., 2016; Dong et al., 2018, Shiraiwa
85 et al., 2010)
86 The objective of this investigation is to understand and quantify the changes that BCFAs and their radiative
87 properties undergo by simulating various cases of the BCFAs under an elaborated systematic approach that is
88 designed to span a wide parameter space. The BCFAs cases are classified according to various morphologies,
89 compositions, and wavelengths. This approach of categorization of pure and coated BCFAs is aimed to bridge the
90 gaps that are present in modelled radiative data from the previous studies. The radiative properties were calculated
91 using the T-matrix code (Mackowski et al., 2013) and the findings are presented and discussed with respect to the
92 equivalent mobility diameter (D_{mob}) making it more relevant and comparable for laboratory, and ambient studies
93 in which mobility spectrometers are often used for size classification.
94 In this study, it is highlighted how modifications in the morphology and composition of BCFAs can further
95 influence the BC radiative forcing. Finally, a parameterization scheme for radiative properties (extinction,
96 scattering, and absorption) of coated BCFAs is developed as a function of size at various morphologies,
97 compositions, and wavelengths.

2. Methods

2.1 Morphology of BCFAs

103 The formation of BCFAs from combustion is a process involving several stages. Along with BC, a complex
104 mixture of gas-phase organic compounds with a spectrum of molecular structures are co-emitted during
105 incomplete combustion (Siegmann et al., 2002; Gentner et al., 2017). Depending upon the source of burning,
106 different types of polycyclic aromatic hydrocarbons (PAHs) are considered to be the direct pre-cursors of BCFAs
107 (Bockhorn 2009). Small PAHs such as acetylene (C_2H_2) are attached to larger precursor PAHs resulting in the
108 growth of these elementary structures. It is postulated that the nucleation of two large PAHs leads to the formation
109 of small three-dimensional particles with diameters ranging from 1-2 nm (Calcote, 1981).
110 Processes like surface growth and coagulation of gaseous phase molecules or PAHs leads to the further growth
111 of these particles. The high-resolution transmission electron microscopy (TEM) images revealed these particles
112 to be spherules up to the diameter of 10-30nm specific to the flame (Homann, 1967). These primary particles
113 show a randomly ordered microstructure of graphite layers (Hess et al., 1969). Following the processes of

114 nucleation and coagulation, the primary particles form larger BCFAs, which subsequently grow by aggregation
115 (Sorensen, 2001). Following this concept of fractal morphology, a mathematical description of fractal aggregates
116 was formulated (Mishchenko et al., 2002):

118 $$N_s = k_f \left(\frac{R_g}{a_0}\right)^{D_f},$$ (1)

120 where, a_o is the radius of primary particles, N_s is the number of primary particles, D_f is the fractal dimension, and
121 k_f is a fractal pre-factor. R_g is the radius of gyration, which characterizes the spatial size of the aggregate. It is
122 defined as root means square (rms) distance of the aggregate from its geometrical center as follows:

124 $$R_g^2 = \frac{1}{N_s} \sum_{i=1}^{N_s}(r_i - r_o)^2 \quad ,$$ (2)

126 where, r_i is the position vector of the ith primary particle, and r_o is the position vector of the center of mass of
127 an aggregate with radius of gyration R_g.
128   The size of a BCFA is determined by two parameters, the radius of the primary particle (a_o) and number of
129 primary particles (N_s). Both are sensitive to the emission source. BCFAs originating from the combustion of
130 biomass have a radius of the primary particle varying between 15- 25 nm (Chakrabarty et al., 2006). On the other
131 hand, emissions from aircraft turbines comprise of primary particles with a radius of 5 nm (Liati et al., 2014).
132 Aggregates emitted from diesel engines have a radius of the primary particle varying between 10 nm and 12 nm
133 (Guarieiro et al., 2018). Some experimental studies indicate that in the atmosphere, the radius of the primary
134 particle is polydisperse in nature varying from 10-100nm (Bescond et al. 2014). Following these studies, Liu et
135 al., 2015 reported differences in the radiative properties of BCFAs due to the monodisperse and polydisperse
136 distribution of the radii of the primary particles. Contrarily, Kahnert (2012b) showed that light absorption
137 measurements are insensitive to the radii of the primary particles, when they fall in the range of $10 - 25$nm. For
138 the sake of simplicity, aggregates of monodisperse primary particle size were used in this study.
139   Further, the reshaping of BCFAs into collapsed, sphere-like structures while ageing can be described by the
140 fractal dimension (D_f) (Sorensen, 2001). The value of D_f increases as an aggregate reshapes into a more spherical
141 particle. A D_f of 3 being the value for a sphere, whereas D_f of 1 represents an open-chain like aggregate. In the
142 early stages of their formation, BCFAs have a fractal dimension (D_f) between 1.5 and 1.9 (China et al., 2014;
143 Wentzel et al., 2003). However, as a consequence of the atmospheric aging, the aggregates transform from being
144 bare to partly coated, embedded in coatings. In this case, the fractal dimension can go up to 2.2 (Wang et al.,
145 2017). The exposure to humidity and foreign coatings can collapse the BCFA into a structure having even a larger
146 fractal dimension up to 2.6. (Zhang et al., 2008; Bambha et al., 2013). Hence, studying BC particles under the
147 assumption of aggregate morphology provides a wider range of parameter space (particle size, primary particle
148 size, and morphology). This is limited to only particle size in case of spherical assumptions.
149   Aggregates are formed from the random motion of a cluster meeting cluster (Sorensen 2001). If the probability
150 of sticking is considered 1, the process of formation is called the diffusion-limited cluster aggregation (Witten and
151 Sander, 1983). Following this principle, Diffusion-limited algorithms (DLAs) have been developed, which
152 include cluster-cluster aggregation (CCA) (Thouy and Julien, 1994) and particle-cluster aggregation (PCA)
153 methods (Hentschel,1984). In this study, the tunable diffusion limited aggregation (DLA) software developed by
154 Woźniak (2012) was used, which iteratively adds the primary particle one by one, preserving the fractal
155 parameters at each step.

157 **2.2 Description scheme of the simulated BCFAs**

159 The previous modelling studies (Kahnert, 2010; Smith and Grainger, 2014) investigated the radiative properties
160 of pure BCFAs i.e. without any coating. From the simulated radiative properties, parametrization for pure BCFAs
161 with respect to the number of primary particles at various fractal dimensions and wavelengths were given (Smith
162 and Grainger, 2014). Ouf et al. (2016) conducted NEXAFS analysis on BC produced from a diffusion flame-
163 based mini-CAST burner and found that organics (by-products of the combustion) get attached to the edge of
164 graphite crystallites without changing the inner structure of the core. For radiative modelling studies, this
165 laboratory result can be simulated by assuming a spherical coating around each individual BC primary particle
166 (Luo et al., 2018). In order to simulate BCFAs with various fraction of organics ($f_{organics}$), the inner radius of the
167 primary particle (a_i) is fixed to 15 nm. Whereas the outer radius of the primary particle (a_o) consisting of the
168 organics, is varied from 15.1nm to 30nm with the fraction of organics ($f_{organics}$) changing from 1% to 90%
169 respectively. The relationship between the outer radius of the primary particle (a_o), the inner radius of the primary
170 particle (a_i), and the fraction of organics ($f_{organics}$) is shown below:
171

172 $$\frac{4}{3}\pi a_i^3 = (1 - f_{\text{organics}})\,\frac{4}{3}\pi a_o^3 \quad . \tag{3}$$

173

174 Luo et al., 2018 kept the overall size of aggregates constant to study the sensitivity of radiative properties at
175 various number of primary particles (N_s) and vice-versa. In our study, the size of the BC aggregates is increased
176 gradually studying the subsequent changes in the radiative properties. The radiative properties of BC aggregates
177 were calculated for various cases, following a well-designed description scheme summarized in Fig. 1. All the
178 radiative properties are calculated at three wavelengths in the visible range i.e., 467nm, 530nm and 660nm. The
179 values are chosen following the availability of refractive index at these specific wavelengths from Kim et al, 2014.
180 For pure BC aggregates, the radiative properties were calculated for $1.5 \le D_f \le 2.8$ in steps of 0.1. In case of the
181 coated BC aggregates, the radiative properties are calculated at the above-mentioned wavelengths for $1.5 \le D_f \le$
182 2.2 in steps of 0.1, and for $1\% \le f_{\text{organics}} \le 90\%$ in increments of 5%. The approach of assuming a spherical coating
183 around each individual BC primary particle results in an unlikely structure for coated BCFAs with $D_f > 2.2$, hence
184 those cases were omitted in this study. Fig. 2 shows a few of the aggregates from the classification at a fixed D_f
185 and f_{organics}. The large dataset obtained from the classification helped in further developing the comprehensive
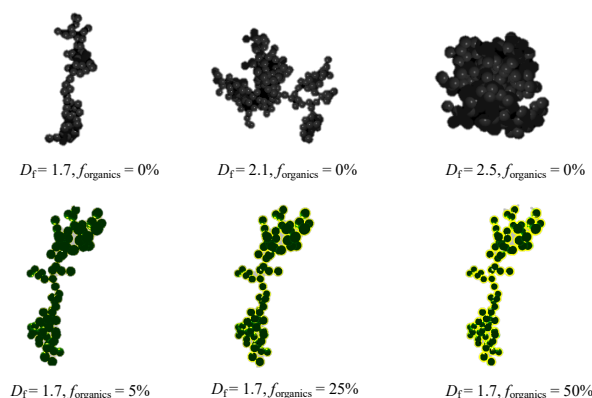186 parametrization scheme.
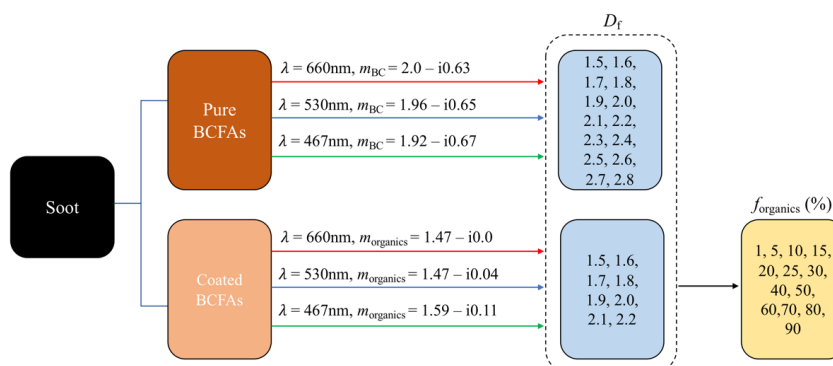
187
188 **Figure 1.** The description scheme of black carbon fractal aggregates (BCFAs) adopted in this study.
189

190
191 **Figure 2.** Examples of black carbon fractal aggregates (BCFA) with 200 primary particles, and varying D_f and
192 f_{organics}.
193
194 In each case of the mentioned classification, the size of the BCFA is changed by incrementing N_s with 5% and
195 rounded to an integer value, starting from 1 up to 1000. It must be noted that in the results, the size of the BCFA

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196 is expressed in terms of mobility diameter (D_{mob}) instead of the number of primary particles (N_s) using the simple
197 conversion developed by Sorensen (2011) given below:

198

199
$$D_{mob} = 2a_0(10^{-2x+0.92})N_s^x \qquad (4)$$

200

201 where, x is the mobility mass scaling exponent given by $x = 0.51Kn^{0.043}$, $0.46 < x < 0.56$. Kn is the Knudsen
202 number, which is the ratio of the molecular free path to the agglomerate mobility radius. The estimated error in
203 the mobility mass scaling exponent (x) is ±0.02
204   The conversion formula given in (4) is well founded over the entire range, spanning from the continuum to free
205 molecular regime. Using the pre-calculated values of x, the mobility diameter (D_{mob}) is derived for the entire
206 dataset. The relationship between derived mobility diameter (D_{mob}), number of primary particles (N_s) and volume
207 equivalent diameter (D_{equ}) for a case of pure BCFA with a_o = 15 nm is shown in Fig. 3.
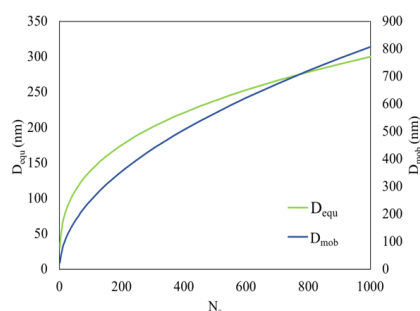
208
209
210 **Figure 3.** Relationship between mobility diameter (D_{mob}), number of primary particles (N_s) and volume equivalent
211 diameter (D_{equ}) for pure BCFAs with a_o = 15nm.
212

213   BC has a refractive index fairly wavelength independent in the visible and near-visible spectrum range (Bond
214 and Bergstrom., 2006). There are modelling studies which assume a wavelength independent refractive index of
215 $m = 1.95 + 0.79i$ for BC over the visible spectrum range (Smith and Grainger., 2014; Luo et al., 2018). For organic
216 carbon, the imaginary part of the refractive index (m_i) is highly wavelength dependent at the shorter wavelengths
217 in the visible and ultraviolent (UV) wavelengths (Moosmüller et al., 2009; Alexander at al., 2008). Contrary to
218 other studies, Kim et al., 2014 concluded that BC shows a fair amount of wavelength dependency, and provided
219 refractive indices for BC and organics in the visible spectrum. Following his study, the real (m_r) and imaginary
220 (m_i) part of the refractive indices used for BC and organics at different wavelengths in this study are summarized
221 in table 1.
222

223 **Table 1.** Refractive indices (m_r and m_i) of BC and organics at various wavelengths in the visible range (Kim et
224 al., 2014) used in this study.
225

Parameter	Wavelength (nm)		
	467	530	660
m_{r_BC}	1.92	1.96	2.0
m_{i_BC}	0.67	0.65	0.63
$m_{r\_Organics}$	1.59	1.47	1.47
$m_{i\_Organics}$	0.11	0.04	0

226
227
228 **2.3 Radiative Model – Multi-Sphere T-matrix Method (MSTM)**
229
230 Multi-sphere T-matrix Method (MSTM) consists of an algorithm for calculating the time-harmonic
231 electromagnetic properties of a set of arbitrary spheres (Mishchenko et al., 2004; Mackowski and Mishchenko,
232 2011). The MSTM version 3.0 (Mackowski et al., 2013) calculates the radiative properties for fixed and random
233 orientations, the latter being used in this study. MSTM code can calculate the radiative properties of coated
234 BCFAs involving nested spheres with the condition that there should be no intersecting surfaces of individual

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235 primary particles. Radius, and positions vectors of the inner and outer primary particle of the BCFA are obtained
236 from the tunable DLA software (Woźniak, 2012) which is coupled to the MSTM code.
237 The radiative properties of the aggregates were modelled at three wavelengths, i.e., 467, 530, and 660 nm. At the
238 wavelengths of 660nm and 530nm, the radiative properties from MSTM code are obtained for $1 \leq N_s \leq 1000$.
239 Because of the increasing processing time of the MSTM code at lower wavelengths, the calculations are limited
240 to $1 \leq N_s \leq 500$ at the wavelength of 467nm.
241 For reference purposes, the radiative properties were also calculated using the Mie theory, and the absorption
242 cross-section from Rayleigh-Debye-Gans (RDG) theory. For the Mie theory calculations, spheres with volume
243 equivalent radius of aggregates were taken. In case of the coated aggregates, a concentric core-shell configuration
244 was used (He at al., 2015). The RGD theory considers the primary particles in the aggregate as individual Rayleigh
245 scatters, while ignoring the inter-particle scattering (Sorensen, 2001). Therefore, in the RGD theory, the total
246 absorption cross-section of the aggregate (C_{abs}^{agg}) is the summation of the absorption cross-sections (C_{abs}^{pp}) of
247 individual primary particles (N_s). For a monodisperse distribution, the absorption cross-section from the RDG
248 theory is given as :
249
250 $$C_{abs}^{agg} = N_s C_{abs}^{pp}. \tag{5}$$
251

2.4 Radiative properties and simplified radiative forcing model

253
254 The radiative parameters calculated from the model are briefly presented below. The MSTM code provides the
255 extinction, absorption and scattering efficiency (Q), and the asymmetry parameter (g) of BCFAs. The extinction,
256 absorption and scattering cross–sections ($C_{ext/abs/sca}$) are further obtained as the product of efficiency (Q) and
257 geometric cross-section (C_{geo}):
258
259 $$C_{ext/abs/sca} = (Q_{ext/abs/sca}) * C_{geo} \tag{6}$$
260
261 In spherical objects with radii (R), the geometric cross-section (C_{geo}) is simply related to the radius as follows:
262
263 $$C_{geo} = \pi R^2 . \tag{7}$$
264
265 Therefore, for a BCFA, the cross-sections ($C_{ext/abs/sca}$) with volume equivalent radius (R_v) are given as follows:
266
267 $$C_{ext/abs/sca} = Q_{ext/abs/sca} \pi R_V^2 , \tag{8}$$
268
269 The Volume equivalent radius (R_v) is calculated by:
270
271 $$R_V = a_o N_s^{\frac{1}{3}}. \tag{9}$$
272
273 The single scattering albedo (ω) is the ratio of scattering efficiency (Q_{sca}) and extinction efficiency (Q_{ext}), where
274 Q_{ext} is the sum of absorption and scattering efficiency as shown below:
275
276 $$\omega = \frac{Q_{sca}}{Q_{ext}} = \frac{Q_{sca}}{Q_{sca} + Q_{abs}}. \tag{10}$$
277
278 Values of ω varies from 0 for a purely absorbing particle to 1 for a completely scattering particle.
279 Mass absorption cross-section (MAC) is calculated from the ratio of absorption cross section (C_{abs}) and BC
280 mass (m_{BC}) as follows:
281
282 $$MAC = \frac{C_{abs}}{m_{BC}} = \frac{C_{abs}}{\frac{4}{3}\pi R_V^3 \rho_{BC}} , \tag{11}$$
283
284 where ρ_{BC} is the density of BC fixed to 1.8 g/cm³ (Bond and Bergstrom, 2006).
285 The wavelength dependence of light absorption, represented by the Absorption Ångstrom Exponent (AAE) is
286 calculated using the absorption cross-section (C_{abs}) at the three wavelengths (λ) of 467, 530, and 660 nm. The
287 AAE value is obtained as follows:
288
289 $$C_{abs} (\lambda = 467, 530, 660) = b\lambda^{-AAE} , \tag{12}$$
290
291 where b is a constant.

292   The amplification in the absorption by ageing of BCFAs can be well quantified from the absorption
293 enhancement factor (E_λ) which is the ratio of absorption cross section of coated BCFA (C_{abs}^{coated}) and pure
294 BCFA(C_{abs}^{pure}) as shown below:

296 $$E_\lambda = \frac{C_{abs}^{coated}}{C_{abs}^{pure}}.$$ (13)

298 This implies that the enhancement is given for particles of different total mass but the same BC mass.
299   To understand the atmospheric implication, the radiative forcing is estimated using a model for absorbing
300 aerosols given by Chylek and Wong, 1995. The black carbon radiative forcing at the top of the atmosphere is
301 calculated as:

303 $$\Delta F_{TOA} = -\frac{S_o}{4}(1 - N_{cloud})T^2 2\tau[(1 - a)^2\beta\omega - 2a(1 - \omega)]$$ (14)

305 where, S_o is the solar constant, N_{cloud} is the cloud fraction, T is the transmittance of the sky above the layer of
306 aerosols, τ is the aerosol optical depth, β is the upward scattering function, a is the surface albedo, and ω is the
307 single scattering albedo. From Sagan and Pollack, 1967, the upward scattering function β is calculated from the
308 asymmetry parameter g as:

310 $$\beta = \frac{1}{2}(1 - g)$$ (15)

312 It is important to note that this is an analytical model which can be useful to understand the sensitivities of radiative
313 forcing to various parameters (Chylek and Wong, 1995; Lesins et al., 2002). However, the model cannot be used
314 to replace the accurate direct radiative forcing calculations.

316 **3   Results and discussion**

318 **3.1   Variability in radiative properties due to randomized particle generation**

320 In the tunable DLA program, the user specified values of number of spheres (N_s), radius of the primary particle
321 (a_o), and fractal dimension (D_f) are used to generate the fractal aggregate. This gives rise to a possibility of more
322 than one representation of a fractal aggregate satisfying the same fractal dimension (D_f) i.e. randomized particle
323 generation. The difference between the various representations being only the different positions of the primary
324 particles constituting the aggregate. This further results in an uncertainty in the radiative results. Depending on
325 the complexity, some studies averaged the radiative results over 5-10 representations (Wu et al., 2016; Luo et al.,
326 2018), whereas others consider only a single representation (Smith and Grainger, 2014).
327   Considering the large dataset in this study, the option of taking an average of the multiple representations would
328 be time-consuming. Therefore, the general uncertainty in radiative properties for 30 representations of the pure
329 BCFAs is discussed. This is done for various cases of size (D_{mob}) and morphology (D_f). Fig. 4 shows the variability
330 in the extinction cross-section C_{ext} (first row), absorption cross-section C_{abs} (second row), scattering cross-section
331 C_{sca} (third row), and asymmetry parameter g (fourth row) as a function of D_f. The results were calculated at a
332 wavelength of 660 nm for pure BCFAs of D_{mob} values 150nm, 250nm, 500nm, and 1000nm increasing from left
333 to right in the Fig. 4.
334   In order to study the uncertainty in the radiative properties for 30 representations of a BCFA with respect to the
335 modelled fractal dimension, two things must be noted. Firstly, the amount of variability in the radiative property
336 at each fractal dimension (x-axis) must be seen from the height of the boxplot in Fig. 4. Secondly, to see how
337 distinct the radiative properties are with respect to each fractal dimension, the amount of overlapping of the y-
338 axis values between adjacent boxplots must be observed.
339   For extinction and scattering cross-sections (first and third row), the uncertainty is more pronounced at $D_f <$
340 1.7. This is because of the overlapping of extinction and scattering cross-sections values at $D_f < 1.7$. The
341 absorption cross-section (C_{abs}) shows the highest uncertainty towards various representations of a BCFA which
342 can be seen from higher heights of boxplots in panel (e), (f), and (g) of the Fig. 4. Additionally, at 150 nm and
343 250 nm, C_{abs} values between adjacent boxplots overlap for $1.5 < D_f < 2$. Whereas, for boxplots in panel (g)
344 representing a 500nm BCFA, the C_{abs} values overlap for $D_f > 1.8$. It may be noted that the C_{abs} increases with D_f
345 for smaller BCFA (panel (e) and (f)), whereas the opposite is true for larger BCFA (panel (g) and (h)) as also
346 reported by Luo et al, 2018. This is further explained in detail in the section 3.3. The asymmetry parameter (g)
347 shows a similar uncertainty trend to that of the extinction and scattering cross-sections i.e. lower variability but
348 some overlapping at certain D_f seen in fourth row. In general, it is observed that the uncertainty of radiative

349 properties at larger sizes (D_{mob} =1000nm; last column) is comparatively low. The standard deviation in the
350 radiative properties are averaged over size, and summarized for various cases of D_f in Table 2.
351
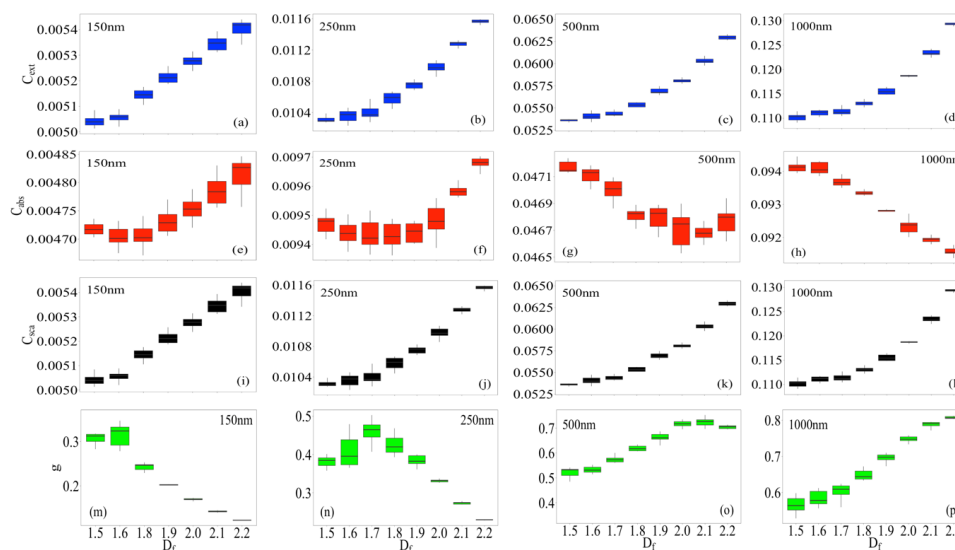
352
353
354 **Figure 4.** The variability in the radiative properties at $\lambda = 660$nm for 30 representations of pure BCFAs with D_{mob}
355 increasing (left to right). The panels show extinction cross-section C_{ext} (first row), absorption cross-section C_{abs}
356 (second row), scattering cross-section C_{sca} (third row), and asymmetry parameter g (fourth row).
357
358
359 **Table 2.** Results of variability (%) in extinction cross-section C_{ext}, absorption cross-section C_{abs}, scattering cross-
360 section C_{sca}, asymmetry parameter g, and single scattering albedo SSA. An average over the sizes of 100, 500,
361 and 1000nm were taken. The table shows the standard deviation for various cases of fractal dimension (D_f) from
362 1.5 up to 2.2.
363

| Radiative	Fractal dimension (D_f)							
property	1.5	1.6	1.7	1.8	1.9	2	2.1	2.2
C_{ext}	0.54	0.75	0.65	0.56	0.54	0.46	0.73	0.73
C_{abs}	0.24	0.26	0.34	0.24	0.20	0.39	0.36	0.36
C_{sca}	4.68	5.90	4.68	3.25	2.68	1.52	2.97	2.97
g	5.81	5.24	4.32	2.90	1.76	1.45	3.36	1.56
SSA	4.20	5.29	4.09	2.71	2.17	1.17	2.29	2.29

364
365
366 ### 3.2   Radiative properties of BCFAs at different radius of the primary particle
367
368 The absorption cross-section (C_{abs}) and BC mass absorption cross-section (MAC_{BC}) have been reported to be
369 insensitive to radius of the primary particle (a_o) for a fixed particle volume (Kahnert, 2016b). Fig. 5 shows the
370 radiative properties of pure BCFAs with the radius of primary particle (a_o) varying between 15nm and 30nm as
371 a function of D_{mob}. The results were calculated at a wavelength of 660nm for pure BCFAs with D_f of 1.7. The C_{abs}
372 showed in panel (b) increases by a factor of almost ten from a_o equal to 15nm to 30nm due to the higher
373 electromagnetic field interaction. They are not expected to follow the findings of Kahnert, 2016b, since the results
374 here are represented against the D_{mob} instead of volume equivalent radius (R_{equ}). The results with respect to the
375 R_{equ} are provided in the Fig. S1, which follow the findings of Kahnert, 2016b. The asymmetry parameter shows
376 the least dependency on a_o seen in panel (d). The single scattering albedo (SSA) and the BC mass absorption
377 cross-section (MAC_{BC}) shown in panel (e) and (d) of the Fig. 5 show a larger increase at a_o> 20nm for the same
378 $D_{mob.}$ Acknowledging the effect of changing a_o over the radiative properties, for the sake of better relevance and

379 comparisons, in this study the inner radius of the primary particle (a_i) was fixed to 15nm, and the outer radii of
380 the primary particle (a_o) was increased with $f_{organics}$.
381
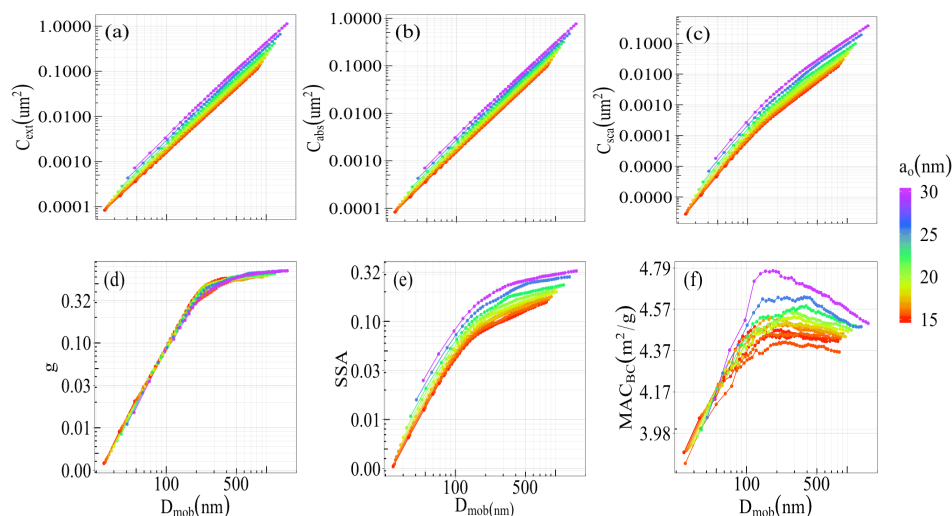
382
383 **Figure 5.** Radiative properties of pure BCFAs at various radius of primary particle (a_o) with respect to mobility
384 diameter (D_{mob}): extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca}
385 (c), asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section
386 MAC_{BC} (f) at $\lambda = 660$nm.
387

3.3 Dependency of BCFA radiative properties on the morphology

388
389
390 Different radiative properties as a function of changing size or D_{mob}, and morphology or D_f are shown in Fig. 6.
391 The results were calculated for pure BCFAs ($f_{organics} = 0$) at a wavelength of 660nm. The cross-sections (panel (a),
392 (b), and (c)) show a coherent increase with D_{mob} with size. The cross-sections vary from $0.0001\mu m^2$ to $0.1\mu m^2$ for
393 BCFA D_{mob} ranging from 24nm to 810nm.The extinction and scattering cross-sections are larger for higher D_f,
394 suggesting an increasing coherent scattering for compact morphologies also reported by Smith and Grainger
395 (2014). The results from Mie calculations for a spherical particle ($D_f = 3$) follows the trend of the MSTM results
396 as seen in the Fig. 6.
397   For smaller BCFAs, the absorption cross-section shows negligible dependence on D_f. With increasing size, the
398 absorption cross-section decreases with D_f. This decrease can be interpreted as a shielding effect due to the
399 primary particles on the surface of the aggregate. Further, with $D_f > 2.5$, the absorption cross-section increases
400 with D_f showing the highest value for a spherical particle ($D_f = 3$). This may be caused by Mie resonances in larger
401 BCFAs. Earlier studies have also reported higher values for the sphere equivalent ($D_f = 3$) calculations of BCFA
402 (Liu et al., 2018; Li et al., 2016).
403   The single scattering albedo (SSA = C_{sca}/C_{ext}) shown in panel (e) of Fig. 6 has values up to 0.42. The SSA also
404 increases with D_{mob} and D_f, the latter is explained by the decreasing scattering in loosely packed BCFAs. This is
405 due to compact aggregates following a Rayleigh-like polarization curve (Gustafson and Kolokolova, 1999). The
406 asymmetry parameter (g) shows a range of values between 0 until 1 over BCFA D_{mob} values of 24nm to 810nm.
407 The g is higher for chain-like BCFAs with lower D_f, indicating larger forward scattering in asymmetrical
408 structures also reported by Luo et al. 2018. When the BCFAs grow larger in size, g gradually decreases for loosely
409 packed ones since the scattering is tending to the Rayleigh scattering regime.
410   Black carbon mass absorption cross-section (MAC_{BC}) values shown in panel (f) fall within the range of findings
411 reported in the literature (Bond and Bergstrom, 2006). The MAC_{BC} increase with D_{mob} showing a peak at $D_{mob} \sim$
412 250nm. The dependency of MAC_{BC} on D_f is similar to that of the absorption cross-section i.e., Mie resonances
413 contribute to the increase at higher D_f, explaining the large discrepancy between MSTM and Mie results for
414 MAC_{BC}. The above results with respect to the R_{equ} are provided in the Fig. S2.
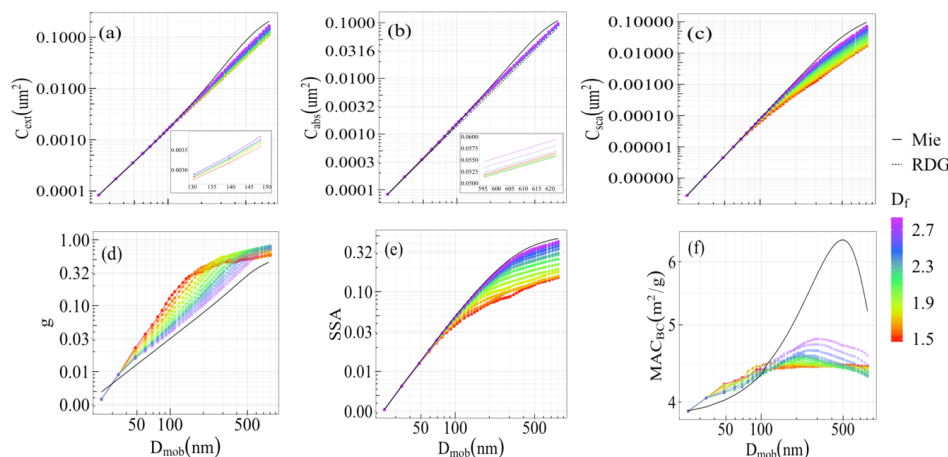
Figure 6. Radiative properties of pure BCFAs as a function of D_{mob} at various fractal dimension (D_f): extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c), asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section MAC_{BC} (f) at $\lambda = 660nm$. Radiative results from the Mie calculations are shown by the black line (panel a-f). The C_{abs} from the Rayleigh-Debye-Gans (RDG) theory is represented by a dash line (panel b).

### 3.4 Dependency of BCFA radiative properties on $f_{organics}$

Figure 7 shows how the radiative properties of BCFAs are influenced by the increasing content of organics. The calculations were done for a BCFA of chain-like morphology with D_f =1.7 at a wavelength of 660nm. The results are shown as function of D_{mob} at various fractions of organics ($f_{organics}$). The extinction and absorbing cross-sections (panel (a) and (b)) decrease steadily with $f_{organics}$ because of the increasing less-absorbing volume fraction in the aggregate. The dependence on the asymmetry parameter g (panel (d)) on $f_{organics}$ is very small, meaning that g is more sensitive to morphology rather than composition. The single scattering albedo (SSA) increases with $f_{organics}$, and this is again because of the increasing fraction of less absorbing material. From the results of black carbon mass absorption cross-section (MAC_{BC}) values shown in panel (f), a dominating dependence of BCFA on composition is seen, in comparison to size and morphology. Similar results for a compact BCFA of D_f =2.2 at a wavelength of 660nm can be found in the Fig. S4.

Figure 8 is similar to Fig.6 and shows the dependency of radiative properties on fractal dimension (D_f) for organic coated BCFAs with $f_{organics}$ of 50% at the wavelength of 660nm. The cross-sections and asymmetry parameter show similar behaviour such as that of the pure BCFAs. The SSA has an upper limit of 0.35 at D_f=2.2. Black carbon mass absorption cross-section (MAC_{BC}) values increase by a magnitude of 1.2 for coated BCFAs with $f_{organics}$ of 50%.

Global models use Mie theory for calculations of BC radiative properties (Bond et al., 2013). The Mie theory considers BC as homogeneously mixed spheres, or as a core-shell configuration. The results of SSA, g, and MAC_{BC} in both Fig.6 and Fig.8 clearly demonstrate a significant influence of morphology. This is clearly seen from the difference between the coloured lines representing various morphologies of BC as aggregates, and the black solid line representing the result when BC is assumed as a core-shell. Therefore, the factor of changing morphology is overlooked when using the Mie theory for BC radiative properties in global models.

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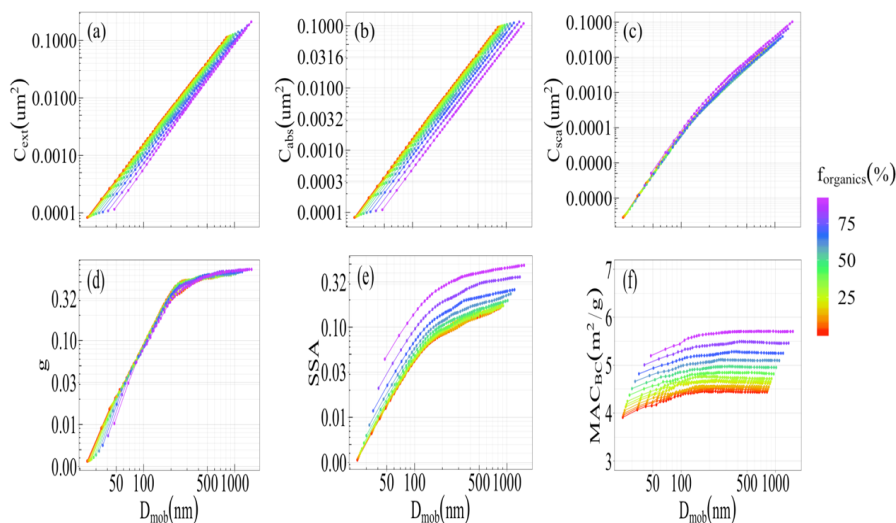
Figure 7. Radiative properties of BCFAs ($D_f = 1.7$) as a function of D_{mob} at various fraction of organics ($f_{organics}$): extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c), asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section MAC_{BC} (f) at $\lambda = 660nm$.
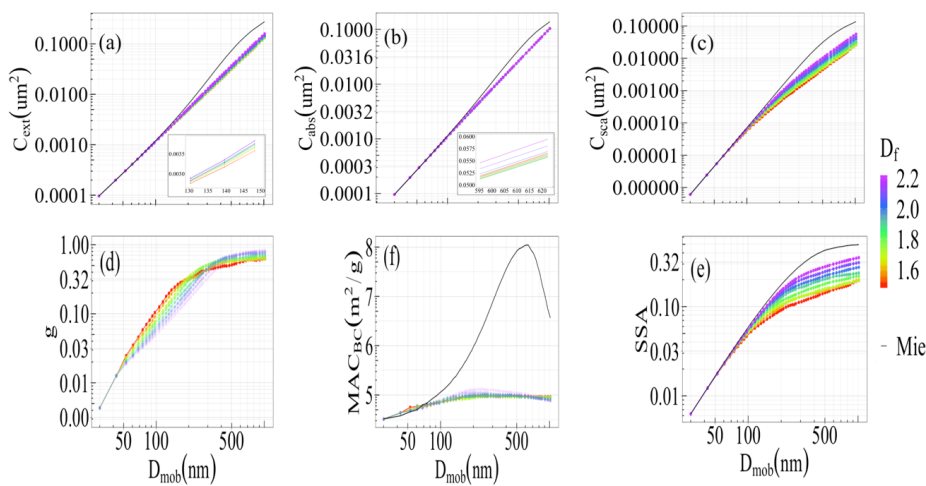
Figure 8. Radiative properties of coated BCFAs ($f_{organics} = 50\%$) as a function of D_{mob} at various fractal dimension (D_f): extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c), asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section MAC_{BC} (f) at $\lambda = 660nm$.

11

3.5 Dependency of BCFA radiative properties on wavelength

In the sections before, the dependency of BCFA radiative properties on size, morphology, and composition were discussed. In this section, besides showing the spectral dependency of BCFA radiative properties, it is also demonstrated how this dependency changes with morphology, and composition in the visible wavelength range. Figure 9 shows the changes in the pure BCFAs radiative properties with wavelength (λ) at various morphologies represented by D_f. Pure BCFAs with fixed D_{mob} equal to 330nm were taken for this case to demonstrate the effect of morphology. All the radiative properties show a decrease with λ in the visible range. Further, it was studied whether the rate of decrease might vary for various morphologies. The spectral dependency is insensitive to morphology for the absorption cross-section C_{abs} (panel (b)) and black carbon mass absorption cross-section MAC_{BC} (panel (f)). The spectral dependence of scattering cross-section C_{sca} (panel (c)) is seen to be somewhat sensitive towards changes in morphology. The highest sensitivity of spectral dependence to morphology was seen for the asymmetry parameter (g), dominant at higher D_f i.e. for compact aggregates.

Figure 10 is provided to illustrate how the spectral dependency of BCFAs changes with composition i.e. fraction of organics ($f_{organics}$). For this case, BCFAs are considered with N_s and D_F equal to 200 and 1.7 respectively. It must be noted that the size of the BCFAs are also increasing with $f_{organics}$. Contrary to the results from Fig. 9, all the cross-sections (panel (a), (b), and (c)) and black carbon mass absorption cross-section MAC_{BC} (panel (f)) show a significant increase in the spectral dependency with $f_{organics}$. The spectral dependency of single scattering albedo SSA (panel (d)) shows a comparatively lower sensitivity towards $f_{organics}$, whereas it's nearly negligible for the asymmetry parameter (g) seen in panel (e). Additionally, the change in spectral dependency over the size is also shown in the Fig. S5.
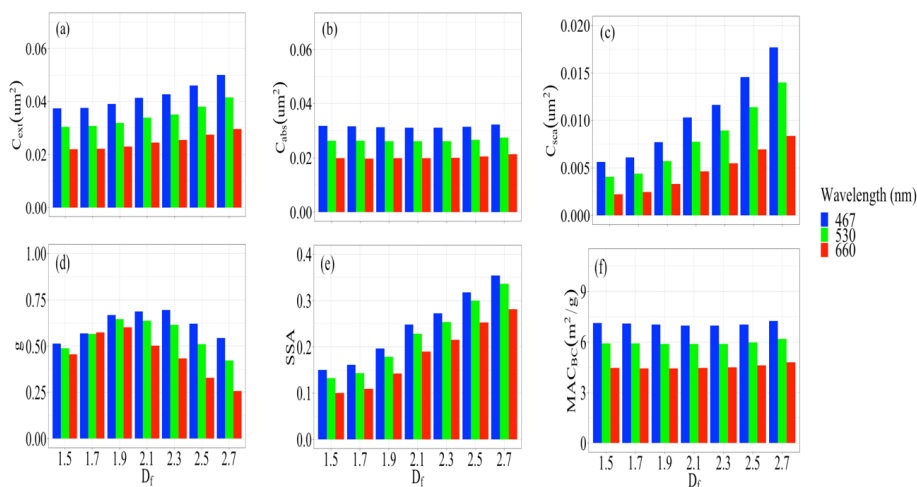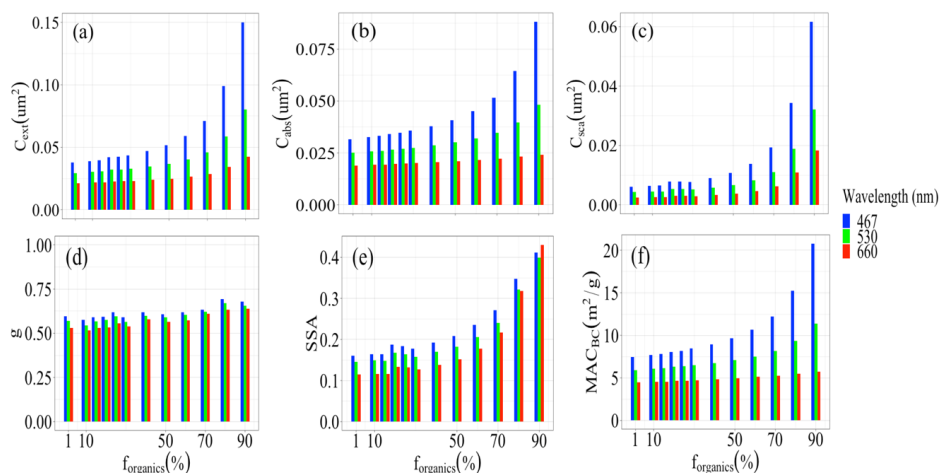

Figure 9. Spectral dependency of the pure BCFAs radiative properties ($D_{mob} = 330$nm) on fractal dimension (D_f): extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c), asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section MAC_{BC} (f). For the variability (%) in different cases of D_f refer to Table 2.

491
492 **Figure 10.** Spectral dependency of coated BCFAs radiative properties (N_s = 200, D_f = 1.7) on fraction of organics
493 ($f_{organics}$): extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c),
494 asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section
495 MAC_{BC} (f). For the variability (%) refer to the case D_f = 1.7 in Table 2.
496
497
498 ### 3.6 Ångstrom absorption exponent (AAE) and enhancement factors (E_λ)
499
500 Figure 11 shows the Ångstrom absorption exponent (AAE) of a chain-like BCFA (D_f = 1.7) as a function of
501 mobility diameter (D_{mob}), and increasing fraction of organics ($f_{organic}$). The AAE is derived from the slope of C_{abs}
502 vs λ at 467, 530, and 660 nm. As expected, the AAE shows a coherent dependency on the fraction of organics
503 ($f_{organic}$). In this case, the values of AAE vary from 1.4 up to 3.6 with increase in $f_{organic}$ from 1% until 90%. The
504 variability in the modelled values of AAE may be attributed to the selection of the refractive indices and
505 wavelengths (Liu et al., 2018). Similar result for the Ångstrom absorption exponent (AAE) of a more compact
506 BCFA (D_f = 2.2) is provided in the Fig. S6. Additionally, the impact of morphology or fractal dimension (D_f) on
507 the AAE for pure BCFAs is shown in Fig. 12. The values range from 1.06 to 1.47 in this case. It is observed that
508 in smaller BCFA, the AAE increases as the BCFA becomes more compact, whereas in larger BCFA an opposite
509 effect is seen. Fig. 11 and 12 closely represents the ageing process of BC in the atmosphere focusing on changing
510 composition and shape respectively.
511   Figure 13 shows the trend in absorption enhancement factors (E_λ) as a function of mobility diameter (D_{mob}) and
512 increasing fraction of organics ($f_{organic}$) for a BCFA (D_f = 1.7). The top row shows the absorption enhancement
513 factors calculated from the results of the MSTM code (E^λ_{MSTM}) whereas, the ones derived from the Mie
514 calculations (E^λ_{Mie}) are displayed in the bottom row. In general, the Mie derived absorption enhancement factors
515 are larger by a factor of 1.1 to 1.5. The enhancement results from both MSTM and Mie calculations are shown
516 for three wavelengths i.e. 660, 530, and 467nm (right to left). There is an expected increase in the absorption
517 enhancement factors as the wavelength decreases. The values of the modelled absorption enhancement factors
518 follow the results from various ambient studies which measured enhancement factors ranging from 1.0 to 2.25 at
519 wavelengths between 532nm to 678nm (Cappa et al., 2012; Cui et al., 2016; Wu et al., 2018).
520
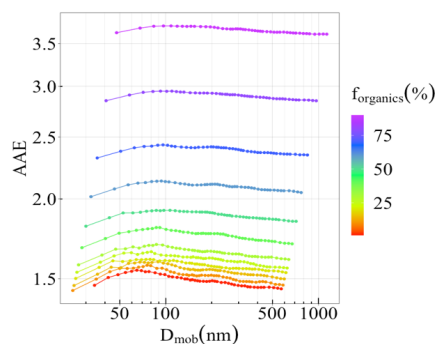
Figure 11. Ångstrom absorption exponent (AAE) of coated BCFAs ($D_f = 1.7$) with changing fraction of organics ($f_{organics}$) and mobility diameter (D_{mob}).
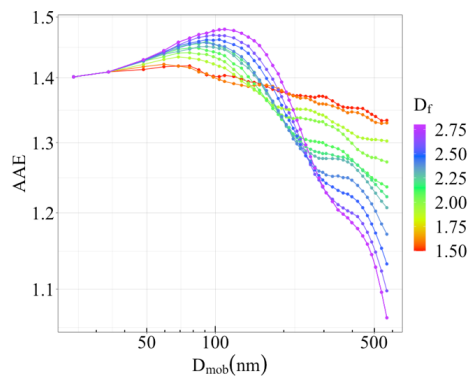
Figure 12. Ångstrom absorption exponent (AAE) of pure BCFAs ($f_{coating} = 0\%$) with changing fractal dimension (D_f) and mobility diameter (D_{mob}).
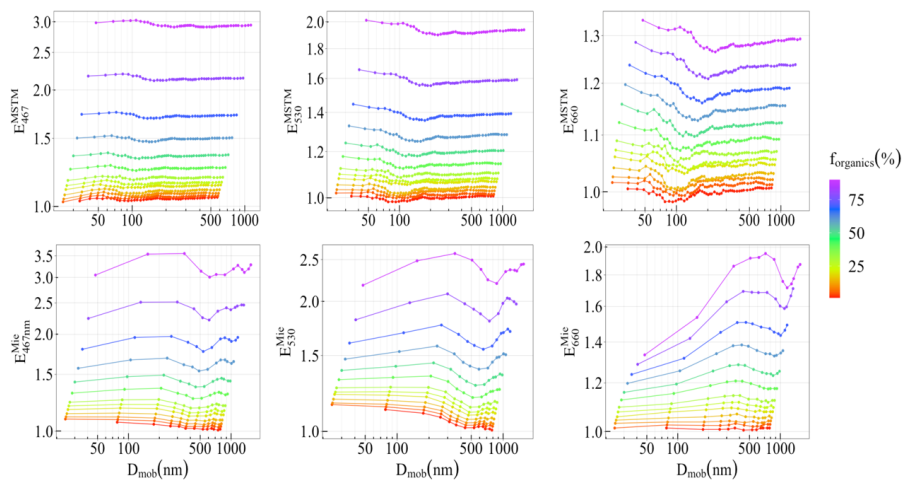
Figure 13. Absorption enhancement factor (E_λ) in BCFAs ($D_f = 1.7$) with changing fraction of organics ($f_{organics}$) and mobility diameter (D_{mob}). The top row shows the E_λ derived from the MSTM method whereas the ones derived

533 from Mie code are shown in the bottom row. The enhancement factors are shown for wavelengths equal to 660,
534 530, and 467nm (right to left).
535
536 **3.7 Implications over black carbon radiative forcing**
537
538 In this section, the dependence of the black carbon radiative forcing on modifying composition and morphology
539 of BCFAs is discussed. The relative changes in the top of the atmosphere radiative forcing (ΔF_{TOA}) are quantified
540 as a function of fractal dimension (D_f) and fraction of organics ($f_{organics}$). It is a sensitivity analysis, applicable
541 mostly to scenarios with high urban pollutions. The black carbon radiative forcing at the top of the atmosphere
542 (ΔF_{TOA}) is estimated using equation (14) with fixed values of S_o = 1368 Wm^{-2}, N_{cloud}=0.6, T = 0.79, τ = 0.03,
543 and a = 0.1 (Chylek and Wong, 1995; Lesins et al., 2002). To focus primarily on radiative effects of BC, the
544 optical depth τ is taken as 0.03 for smoke aerosol (Penner et al., 1992). The values of β and ω change with fractal
545 dimension (D_f) and fraction of organics ($f_{organics}$), and are obtained from the MSTM bulk radiative properties. The
546 bulk radiative properties are calculated at a wavelength of 530 nm, over a lognormal polydisperse size distribution
547 with the geometric mean radius (r_o) and standard deviation (σ) fixed to 0.12µm and 1.5, respectively. The details
548 about the bulk radiative properties can be found in the supplementary material of this work.
549 Table. 3 shows how the values of black carbon radiative forcing change for various morphologies represented
550 by fractal dimension (D_f) for pure black carbon. This can be further understood by the relative change (C) given
551 as:
552 $$C = \frac{\Delta F_{TOA} - \Delta F_{TOA}^{Ref}}{\Delta F_{TOA}^{Ref}} \times 100 \qquad (16)$$
553
554 where ΔF_{TOA}^{Ref} is the top of the atmosphere radiative forcing for a reference case where the fractal dimension (D_f)
555 is 1.7 i.e., a freshly emitted black carbon particle.
556
557 **Table 3.** Black carbon radiative forcing ΔF_{TOA} (Wm^{-2}) calculated at various fractal dimension (D_f) and relative
558 change (C) with respect to a reference case with D_f =1.7.
559

D_f	ΔF_{TOA}	C (%)
1.5	0.704	-1.1
1.6	0.721	-2.3
1.8	0.697	-3.4
1.9	0.681	-5.6
2	0.649	-9.9
2.1	0.608	-15.7
2.2	0.581	-19.4
2.3	0.570	-21.0
2.4	0.507	-29.7
2.5	0.446	-38.2
2.6	0.383	-46.9
2.7	0.324	-55.1
2.8	0.279	-61.2

560
561 Similarly, the values of black carbon radiative forcing for various compositions represented by fraction of organics
562 ($f_{organics}$) in a case where the fractal dimension (D_f) is fixed to 2.2 is shown in Table. 4. The values of relative
563 change (C) are calculated using equation (16) with respect to ΔF_{TOA}^{Ref} of a case of zero fraction of organics ($f_{organics}$)
564 i.e., pure black carbon particle.
565 Global models use the simplified core-shell representation for BC (Bond et al., 2013) which is morphologically
566 close to a coated BCFA of D_f 2.8. In the case of coated BCFA, there is a relative change (C) of 20% when D_f
567 increases from 1.5 to 2.2. Following the results in Table. 4 the relative change (C) in ΔF_{TOA} of coated BCFA is
568 also expected to increase as the D_f approaches 2.8. Therefore, the influence of morphology over the ΔF_{TOA} is
569 clearly overlooked while using the simplified core-shell representation of BC.
570 Even though the simplified radiative model for absorbing aerosols used, the results of relative change (C) in
571 Table 3 and Table 4 can provide insights about the implications of BC ageing on their radiative forcing estimates.

572 It is demonstrated that the radiative forcing results are highly sensitive towards modifications in morphology and
573 composition when using the aggregate representation. It must be noted that these results are of high relevance in
574 the BC hotspots regions of Asia, for example, Manilla in Philippines, where the BC emission shared up to 70%
575 of calculated PM_1 (particulate matter with diameter $< 1\mu m$) mass emission factors (Madueno et al., 2019).

576
577
578 **Table 4.** Black carbon radiative forcing ΔF_{TOA} (Wm^{-2}) calculated at various fraction of organics ($f_{organics}$) and
579 relative change (C) with respect to a reference case with $f_{organics} = 0\%$.
580
581

$f_{organic}$	ΔF_{TOA}	C (%)
1	0.581	-1.6
5	0.572	-1.5
10	0.572	-2.4
15	0.567	-1.6
20	0.572	-2.4
25	0.567	-1.5
30	0.572	-2.3
40	0.568	-5.1
50	0.552	-10.0
60	0.523	-12.8
70	0.507	-19.0
80	0.471	-32.8
90	0.391	-54.6

582
583 **3.8 Parametrization scheme for coated BCFAs**
584
585 In this section, the optimal fits for the results of the radiative properties obtained from the MSTM code are
586 discussed. Since the extinction and absorption cross-section scales linearly with size or D_{mob} in both Fig. 5 and 7,
587 a first order polynomial on log scales was found to be the best fit.
588
589
$$lnC_{ext} = c_0 + c_1 lnD_{mob} \tag{17}$$
590
591
$$lnC_{abs} = g_0 + g_1 lnD_{mob} \tag{18}$$
592
593 For the results of scattering cross-section (C_{sca}) and SSA, a fit of logarithmic D_{mob} with a linear offset was used.
594 The asymmetry parameter (g) is well captured by a cubic polynomial in the logarithm of D_{mob}.
595
596
$$lnC_{sca} = H_0 + H_1 lnD_{mob} + H_2 ln(lnD_{mob}) \tag{19}$$
597
598
$$lnSSA = k_0 + k_1 lnD_{mob} + k_2 ln(lnD_{mob}) \tag{20}$$
599
600
$$lng = \sum_{n=0}^{3} s_n lnD_{mob}^n \tag{21}$$
601
602 Since the nature of the curve for mass absorption cross-section (MAC_{BC}) changes for various D_f, it was not possible
603 to find an optimal function representative for the entire dataset. For all the other fits, the data is omitted where
604 $D_{mob} < 50$nm to reduce the resulting root-means-square errors (RMSEs), also suggested by Smith and Grainger,
605 2014. Previous studies have also attempted to fit the radiative properties of pure BCFAs with respect to the
606 number of primary particles (N_s) (Smith and Grainger, 2014; Kahnert, 2012b). In this study, the parametrization
607 for cross-sections, SSA, and g of pure and coated BCFAs with respect to D_{mob} is provided. The above-mentioned
608 fits were applied over the entire dataset, for all the wavelengths (λ), fractal dimensions (D_f) and fraction of
609 organics ($f_{organics}$) used in our classification. The parametrization is presented as a Supplement to this work,
610 providing the user an option to choose among the various cases of λ, D_f and $f_{organics}$.
611

3.8.1 Error analysis of the parametrization scheme

In this scheme, the parametrization for radiative properties of BCFAs are provided for each point of the classification given in Fig. 1. In the case of pure BCFAs, the parametrization is provided for all combinations of λ (nm)= {467, 530, 660}, and D_f = {1.5, 1.6, 1.7, 1.8, 1.9, 2.2, 2.1, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8}. Whereas, in the coated BCFAs, for all combinations of λ (nm) = {467, 530, 660}; D_f = {1.5, 1.6, 1.7, 1.8, 1.9, 2.2} and $f_{organics}$ (%) = {1, 5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90}. This scheme is named as P_I and provides the user an advantage to select among various cases, suitable for their purpose.

In order to examine and test the P_I scheme, the relative root mean square errors (RMSEs) between the MSTM modelled and fitted values of radiative properties were measured. Fig. 14 shows the values of relative RMSEs over a range of D_{mob} for the cases of λ (nm) = {660}; $f_{organics}$ (%) = {50}; and D_f = {1.5, 1.6, 1.7, 1.8, 1.9, 2.2}. For the entire range of D_{mob} and D_f, the errors in cross-sections are less than 1%. The relative RMSE is < 2.5% for SSA and up to 16% for g.

Similarly, relative RMSE values for the entire range of $f_{organic}$ can be seen from Fig. 15. In this case, the values of λ (nm)= {660}; D_f = {1.7}; and $f_{organics}$ (%) = {1, 5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90} were used. The errors in the cross-sections are comparable to Fig. 11, being < 1.5% in all cases. Similarly, the relative RMSE for SSA is < 3%. The error in g peaks to 18% at $f_{organics}$ < 20% for larger sizes.
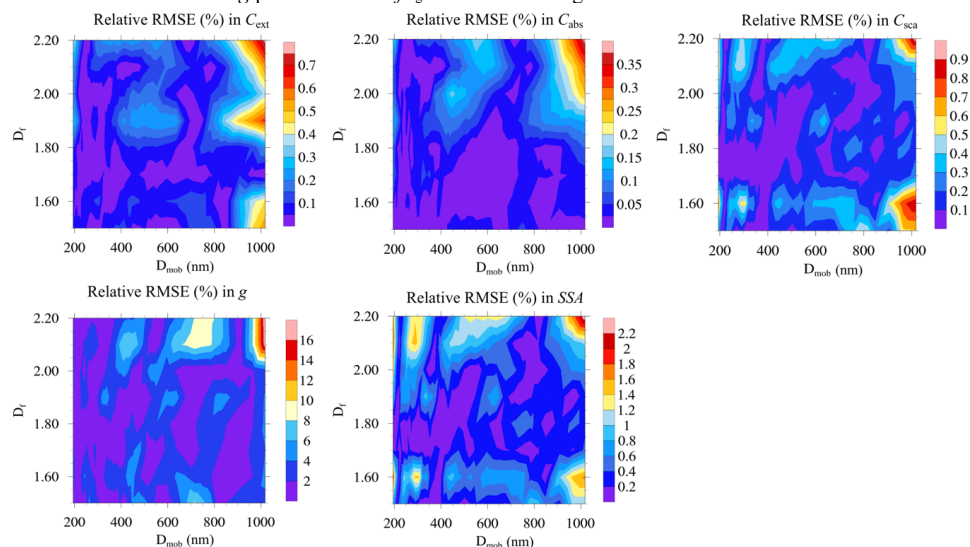
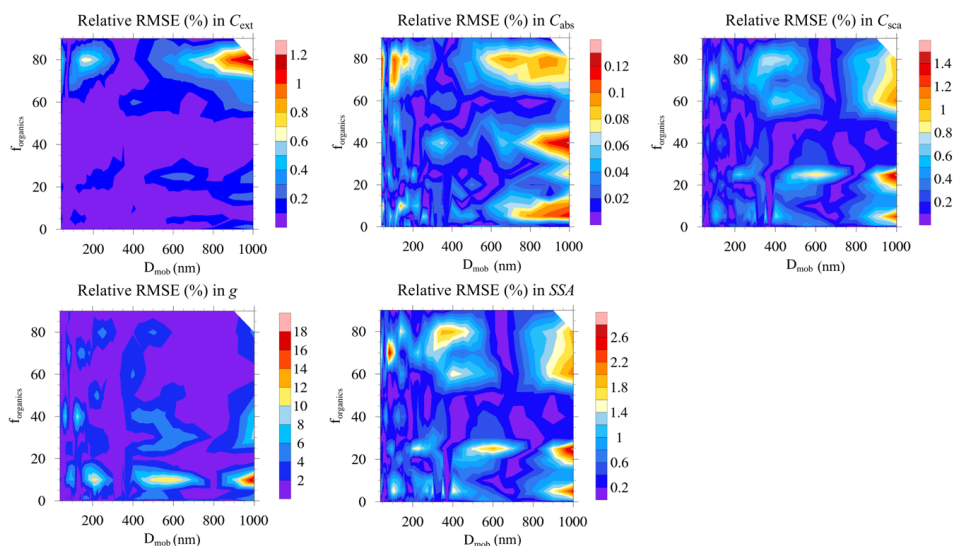**Figure 14.** The relative RMSE between MSTM modelled and parametrized values of C_{ext}, C_{abs}, C_{sca}, g, and SSA for various cases of fractal dimension (D_f) at λ = 660nm. The fraction of organics ($f_{organics}$) is fixed to 50%.

Figure 15. The relative RMSE between MSTM modelled and parametrized values of C_{ext}, C_{abs}, C_{sca}, g, and SSA for various cases of fraction of organics ($f_{organics}$) at $\lambda = 660$nm. The fractal dimension (D_f) is fixed to 1.7.

It is better to have a large dataset of BCFA radiative properties with smaller step size in the range of parameters for developing parametrization schemes. To demonstrate this, the P_I scheme is compared to another scheme P_{II}. In the P_{II} scheme, the same fits are applied to the averaged values of radiative properties over a range of D_f and $f_{organics}$ i.e. a lower resolution dataset. The P_{II} scheme was applied over the radiative properties of a group of BCFAs with $D_f = 1.5$-1.7, and $f_{organics} = 60$-90% to obtain the "averaged" fit coefficients. The errors from this parametrization scheme P_{II} were compared to the errors from their equivalent inclusive case of a BCFA ($D_f = 1.7$, and $f_{organics} = 60$%) in the original parametrization scheme P_I. The results are summarized in the table 2. The relative RMSE errors from the P_{II} are evidently larger than the ones from P_I, validating the requirement for a larger dataset with higher resolution for developing parametrization schemes to minimize errors.

Table 5. Comparison between the Relative RMSE errors of parametrization schemes over a single case of BCFA ($D_f = 1.7$, $f_{organics} = 60$%, and $\lambda = 660$nm). The errors on the left (P_I) are for the original scheme developed in this study. Whereas the errors on right show the errors resulting from P_{II}, which is the condensed form of P_I i.e. $D_f = 1.5$-1.7, and $f_{organics} = 60$-90%. The relative RMSE errors from P_{II} are significantly higher than P_I, emphasizing the need of a larger dataset as the one used in this study, for developing parametrization schemes.

Radiative property	Relative RMSE (%)	
	P_I	P_{II}
C_{ext}	0.09	4.98
C_{abs}	0.02	1.42
C_{sca}	0.30	9.23
g	1.17	8.46
SSA	0.68	7.12

4 Conclusions

Radiative properties of pure and coated BCFAs were systematically investigated as a function of particle size (D_{mob}), primary particle size (a_o), morphology (D_f), composition ($f_{organics}$), and wavelength (λ), further developing a comprehensive parametrization scheme.

In contrary to the BCFA of fixed volume, the modelled radiative properties of BCFAs were found to be sensitive to changes in the radius of the primary particle (a_o) at a fixed D_{mob}. The absorption cross-section C_{abs} increased

664 by a factor of almost ten from a_o equal to 15nm to 30nm. Amongst size (or D_{mob}), morphology (or D_f), and
665 composition (or $f_{organics}$), the dependency on size was found dominant in all the radiative properties of BCFAs.
666 This is evident from the increase in cross-sections from 0.0001μm^2 to 0.1μm^2 for BCFA D_{mob} ranging from 24nm
667 to 810nm. In terms of morphology, the C_{sca}, SSA, and g showed the highest sensitivity towards D_f, pronouncing
668 as the BCFA grows in size. The factor of changing morphology is overlooked when using the Mie theory for
669 calculation of BC radiative properties in global models. The SSA showed values of up to 0.42. In contrary, the
670 C_{ext}, C_{abs}, and MAC_{BC} were more sensitive with respect to changing composition of BCFAs. The values of MAC_{BC}
671 increased by a factor of 1.5 with increasing amount of $f_{organics}$ up to 90%, at $\lambda = 660$nm.
672 In the visible range, all the radiative properties decreased with λ. However, the behavior of spectral dependency
673 with respect to the changing morphology and composition varied. The asymmetry parameter (g) showed the
674 highest sensitivity of spectral dependence on morphology or D_f, dominant at a higher D_f, i.e. for compact
675 aggregates. The increase of g (from $\lambda = 467$nm to 660nm) changes from a factor of 1.1 to 2.6 for lower to higher
676 values of D_f respectively. Whereas, all the cross-sections and black carbon mass absorption cross-section MAC_{BC}
677 showed a significant increase in the spectral dependency with composition or $f_{organics}$. This spectral dependency of
678 $f_{organics}$ can increase the cross-sections up to a factor of 4. MAC_{BC} showed values of up to 20 m^2/g for the extreme
679 case of 90% $f_{organics}$ at $\lambda = 467$nm. Additionally, at lower wavelengths (467nm), the MAC_{BC} may increase up to a
680 factor of 2.6 with increase in $f_{organics}$.
681 The values of AAE changed from 1.06 up to 3.6 depending on the fraction of organics ($f_{organic}$), fractal dimension
682 (D_f), and size (D_{mob}). The Mie calculation derived absorption enhancement factors (E_λ) were larger by a factor of
683 1.1 to1.5 to their equivalent MSTM method derived values. The values of the absorption enhancement factor (E_λ)
684 varied from 1.0 to 3.28 as a function of wavelength (λ) and size (D_{mob}).
685 The implications of modifying the composition and morphology of BCFAs over the black carbon radiative
686 forcing were discussed. The black carbon radiative forcing ΔF_{TOA} (Wm^{-2}) can decrease up to 61% as the BCFA
687 becomes more compact in morphology i.e., a higher fractal dimension (D_f). Therefore, the influence of
688 morphology over the top of the atmosphere radiative forcing is overlooked while using the simplified core-shell
689 representation of BC. Whereas, there is a decrease > 50% in ΔF_{TOA} as the organic content of particle decreases
690 i.e., a higher fraction of organics ($f_{organic}$). The findings are particularly relevant for modellers of urban pollution.
691 It is observed that the impact of BC particle becoming more compact, and increase in organic content go in the
692 same direction i.e., decrease in the ΔF_{TOA}. This could cause changes in the dynamics of boundary layer in some
693 scenarios. Therefore, these factors must be kept under consideration while designing the BC simulation and further
694 discussing the radiative impacts using global models.
695 The novel parametrization scheme developed in this work can be used for modelling, ambient, and laboratory-
696 based radiative studies of BC. The parametrization scheme provides a high resolution, giving the user a wider
697 parameter space to select from. The parametrised radiative properties showed a low relative RMSEs with respect
698 to the original MSTM derived values. For the entire parametrization scheme, the RMSEs in cross-sections were
699 less than 1%. Similarly, the relative RMSE for SSA was < 3%. The error in g peaks to 18% at $f_{organics}$ < 20% for
700 larger sizes. However, it is acknowledged that the results from the parametrization scheme might vary to the
701 results from laboratory and ambient measurements. To understand the nature of discrepancy in modelled radiative
702 properties, it is suggested that they must be compared and validated to their equivalent laboratory or ambient
703 results. This can be done by conducting parallel modelling and laboratory-based investigation of BCFAs,
704 focussing on the various factors (size, morphology, and composition) that influence the radiative properties as
705 discussed in this study.
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