

Optical properties of coated black carbon aggregates: numerical simulations, radiative forcing estimates, and size-resolved parametrization scheme ~~Radiative properties of coated black carbon aggregates: numerical simulations and radiative forcing estimates~~

Baseerat Romshoo¹, Thomas Müller¹, Sascha Pfeifer¹, Jorge Saturno², Andreas Nowak², Krzysztof Ciupek³, Paul Quincey³, and Alfred Wiedensohler¹

¹Leibniz Institute for Tropospheric Research, 04318, Leipzig, Germany

²PTB Physikalisch-Technische Bundesanstalt, 38116, Braunschweig, Germany

³Environment Department, National Physical Laboratory (NPL), Teddington, TW11 0LW, UK

Correspondence to: Baseerat Romshoo (baseerat@tropos.de)

Abstract. The formation of black carbon fractal aggregates (BCFAs) from combustion and subsequent aging involves several stages resulting in modifications of particle size, morphology, and composition over time. To understand and quantify how each of these modifications influences the BC radiative forcing, the ~~radiative properties~~ optical properties of BCFAs are modelled. Owing to the high computational time involved in numerical modelling, there are some gaps in terms of data coverage and knowledge regarding how ~~radiative properties~~ optical properties of coated BCFAs vary over the range of different factors (size, shape, and composition). This investigation bridged those gaps by following a state-of-the-art description scheme of BCFAs based on morphology, composition, and wavelength. The BCFAs ~~radiative properties~~ optical properties were investigated as a function of the radius of the primary particle (a_0), fractal dimension (D_f), fraction of organics (f_{organics}), wavelength (λ), and mobility diameter (D_{mob}). The ~~radiative properties~~ optical properties are calculated using the multiple sphere T-matrix (MSTM) method. For the first time, the modelled optical properties of BC are expressed in terms of mobility diameter (D_{mob}), making the results more relevant and relatable for ambient and laboratory BC studies. Amongst size, morphology, and composition, all the ~~radiative properties~~ optical properties showed the highest variability with changing size. The cross-sections varied from $0.0001 \mu\text{m}^2$ to $0.1 \mu\text{m}^2$ for BCFA D_{mob} ranging from 24 nm to 810 nm. It has been shown that MAC_{BC} and SSA is sensitive to morphology especially for larger particles with $D_{\text{mob}} > 100\text{nm}$. Therefore, while using the simplified core-shell representation of BC in global models, the influence of morphology on radiative forcing estimations might not be adequately considered. The Ångström absorption exponent varied from 1.06 up to 3.6 and increased with the fraction of organics (f_{organics}). Measurement results of $AAE \gg 1$ are often misinterpreted as biomass burning aerosol, it was observed that the AAE of purely black carbon particle can be $\gg 1$ in the case of larger BC particles. After size or D_{mob} , the absorption cross-section (C_{abs}) and BC mass absorption cross-section (MAC_{BC}) showed the highest sensitivity towards composition or f_{organics} , whereas the asymmetry parameter (g) showed higher dependence on morphology, which is represented by D_f . The Ångström absorption exponent varied from 1.06 up to 3.6 and increases with the fraction of organics (f_{organics}). The values of the absorption enhancement factor (E_λ) via coating were found between 1.01 and 3.28 in the visible spectrum. The E_λ was derived from Mie calculations for coated volume equivalent spheres, and from MSTM for coated BCFAs. Mie calculated enhancement factors were found to be larger by a factor of 1.1 to 1.5 than their corresponding values calculated from the MSTM method. It is shown that radiative forcings are highly sensitive towards modifications in morphology and composition. The black carbon radiative forcing ΔF_{TOA} (Wm^{-2}) decreases up to 61% as the BCFA becomes more compact, indicating that the global model calculations should account for changes in morphology. A decrease of more than 50% in ΔF_{TOA} was observed as the organic content of the particle increase up to 90%. The changes in the ageing factors (composition and morphology) in tandem result in an overall decrease in the ΔF_{TOA} . The black carbon radiative forcing ΔF_{TOA} (Wm^{-2}) decreases up to 61% as the BCFA becomes more compact in morphology. Whereas, there is a decrease of $>50\%$ in ΔF_{TOA} as the organic content of the particle increase up to 90%. Based on our results, which showed a significant effect of coating and morphology on the BC radiative properties, a parametrization scheme for ~~radiative properties~~ optical properties of BC fractal aggregates was developed, which is applicable for modelling, ambient and laboratory-based BC studies. The parameterization scheme for the cross-sections (extinction, absorption, and scattering), single scattering albedo (SSA), and asymmetry parameter (g) of pure and coated BCFAs as a function of D_{mob} were derived from tabulated results of the MSTM method. Spanning over an

53 extensive parameter space, the developed parametrization scheme showed promisingly high accuracy up to 98%
54 for the cross-sections, 97% for single scattering albedos (SSA), and 82% for asymmetry parameter (g).

55 1. Introduction

56
57 Black carbon (BC), also called light-absorbing carbon (LAC), is produced from incomplete combustion of fossil
58 fuels, biomass, and biofuels, and is reported to be the second largest contributor to global warming after CO_2 with
59 the global forcing estimates ranging between 0.4 to 1.2 W/m^2 (Ramanathan and Carmichael, 2008). It has been
60 found that the annual anthropogenic BC emissions have increased from 6.6 to 7.2 tera-grams during 2000-2010
61 (Klimont et al., 2017). Moreover, due to rapid urbanization in many developing regions like China, South Asia,
62 South East Asia, the total aerosol mass constitutes of a significantly large portion of BC (Kumar et al., 2018; Bond
63 et al., 2007; Wiedensohler et al., 2002; Madueno et al., 2019, 2020). In addition to the warming effect, BC also
64 decreases snow albedo (Doherty et al., 2010), causes adverse health effects (Janssen et al., 2011), and lowers
65 visibility (Wang et al., 2020).

66 ~~Radiative properties~~Optical properties of BC are of scientific interest because they allow conclusions to be
67 drawn on the nature of the particles and to investigate their radiative impacts (Liu et al., 2015; Safai et al., 2015).
68 After its emission into the atmosphere, BC particles undergo various changes in shape, size, and composition
69 (Fierce et al., 2013). In the early stages of formation, BC particles consist of loosely bound agglomerates made of
70 numerous small spherules, which collide to form strongly bound chain-like aggregates (Michelsen et al., 2017).
71 Depending upon the atmospheric conditions after emission, irregularly shaped primary spherules provide active
72 sites for the deposition of water vapour which causes changes in the hygroscopicity of the particles (Petzold et
73 al., 2005; Peng et al., 2017,). In addition to this, different by-products of combustion like organic vapours burning
74 like organics are deposited around the particles (Siegmann et al., 2002; Rudich et al., 2007). These processes lead
75 to the formation of coatings on BC cores (Bond et al., 2006) and reshaping of the BC particles into more spherical
76 structures (Abel et al., 2003). With the BC particles becoming more compact, an increase in the extinction cross
77 section is observed (Liu et al., 2012). It was theoretically shown in clusters of absorbing spherules that the change
78 in the optical cross-sections with an increasing number of spherules (aggregation) is strongly dependent on the
79 morphology (Berry and Percival, 1986). Laboratory and ambient studies also show changes in the ~~radiative~~
80 ~~properties~~optical properties of BC with an increasing volume of organic coating (Shiraiwa et al., 2010; Cheng et
81 al., 2009). Even though the organic coating is less absorbing ~~in-by~~ nature, but an increase in the absorption cross
82 section is observed due to the lensing effect (Zhang et al., 2018; Zanatta et al., 2016, Saleh et al., 2015).
83 Additionally, there exists a class of organic carbon (OC) with light absorbing properties, known as brown carbon,
84 strongly absorbing solar radiation in the blue and near-ultraviolet spectrum (Fleming et al., 2020; Feng et al.,
85 2004; Chakrabarty et al., 2010; Chen and Bond, 2010). Numerical modelling has been proven to be helpful in
86 better understanding the effect of the changes that BC particles undergo on their ~~radiative properties~~optical
87 properties (Scarnato et al., 2013; Kahnert, 2010; Smith and Grainger, 2014). The advantage of the modelling
88 studies is the ability and flexibility they offer to simulate BC particles of desired size, shape, and composition,
89 hence improving our understanding of BCFAs at the micro-physical level.

90 The ~~description-representation~~ of the simulated BC particle plays an essential role in their numerically derived
91 ~~radiative properties~~optical properties. The assumption of BC particles as spheres is widely used by atmospheric
92 scientists, especially in the field of climate modelling (Stier et al., 2004; Ma et al., 2011; Düsing et al., 2018;). In
93 the case of aged BC, it is commonly considered that a spherical BC core is encapsulated inside another sphere
94 representing the coating. This morphology is used in the core-shell Mie theory (Bohren and Huffman, 1983) for
95 obtaining the ~~radiative properties~~optical properties of such particles. Even though this method is simpler, it might
96 result in larger discrepancies when compared to the actual measurements (Wu et al., 2018). Mie theory also
97 overestimates absorption for core-shell configuration of BC particles in the visible range of light (Adachi et al.,
98 2010). It was shown that the ratio of non-BC to BC components plays an important role in determining the
99 performance of different methods used for simulating the BC optical properties (Liu et al., 2017). Electron
100 microscopy results of the samples from laboratory and ambient measurements of BC (Ouf et al., 2016; Dong et
101 al., 2018) showed that the BC particles consist of agglomerates made up of numerous primary particles. It has
102 been observed that these particles show self-similarity when viewed over a range of scales, which is an important
103 characteristic of fractals (Forrest and Witten, 1979). This makes BC particles suitable to be termed as black carbon
104 fractal aggregates (BCFAs), and is used as such throughout this study.

105 Discrepancies due to Mie theory have caused an increasing interest in the simulation of the BC optical
106 properties assuming a more realistic fractal morphology. A size-dependent empirical formula for the optical
107 properties of BCFAs was derived for the wavelength range from 200nm up to 12.2 μ m (Kahnert et al., 2010). The
108 optical properties of pure BCFAs, i.e., without any coating, were investigated by Smith and Grainger (2014),
109 further developing a parametrization for optical properties of pure BCFAs with respect to the number of primary
110 particles (N_s). A method to estimate the optical properties BCFAs was proposed using the machine learning model
111 'support vector machine' (Luo et al., 2018). Empirical equations on the BC Ångström absorption exponent (AAE)

112 were derived for different BC morphologies (Liu et al., 2018). A database containing optical data was developed
113 that includes the aggregation structure, refractive index, and particle size of BCFAs (Liu et al., 2019).

114 Various ambient and laboratory studies have emphasized the role of organic external coating in influencing the
115 BC absorption and scattering properties (Zhang et al., 2008, Ouf et al., 2016; Dong et al., 2018, Shiraiwa et al.,
116 2010). However, the previous modelling-based studies were not able to take into account the information about
117 the coating of the BCFAs. The reason for this could be that the time-consuming simulations make the
118 computational load for such a task substantially large. It was also pointed out that improved size-resolved datasets
119 and models for the light absorbing carbon (LAC) is required that includes observables like optical properties,
120 OC/BC ratio, burning phase or fuel types (Liu et al., 2020). Therefore, a size-resolved parametrization scheme for
121 optical properties of BCFAs including the external coating parameter is very important.

122 This investigation involved computationally intensive modeling aimed at understanding and quantifying the
123 changes that BCFAs and their optical properties undergo by simulating various cases of the BCFAs under an
124 elaborated systematic approach that is designed to span a wide parameter space. The coating parameter is
125 quantified through the fraction of organics ($f_{organics}$). The BCFA cases are classified according to various $f_{organics}$,
126 morphologies, and wavelengths. This approach of categorization involving $f_{organics}$ of BCFAs is aimed to bridge
127 the gaps that are present in the modeled optical data from the previous studies. The optical properties were
128 calculated using the T-matrix code (Mackowski et al., 2013) and the findings are presented and discussed with
129 respect to the equivalent mobility diameter (D_{mob}) making it more relevant and comparable for laboratory, and
130 ambient studies in which mobility spectrometers are often used for size classification.

131 The study highlights how modifications in the morphology and $f_{organics}$ of BCFAs can further influence the BC
132 radiative forcing. Finally, the parameterization scheme for optical properties (extinction, scattering, and
133 absorption) of coated BCFAs was developed as a function of size for different morphologies, $f_{organics}$, and
134 wavelengths.

135 The radiative properties of pure BCFAs, i.e. without any external coating, were investigated by Smith and
136 Grainger (2014), further developing a parametrization for radiative properties of pure BCFAs with respect to the
137 number of primary particles (N_p). With regards to the various ambient and laboratory studies emphasizing the role
138 of organics in influencing the BC absorption and scattering properties, a parametrization scheme for radiative
139 properties of organic coated BCFAs is needed (Zhang et al., 2008, Ouf et al., 2016; Dong et al., 2018, Shiraiwa
140 et al., 2010)

141 The objective of this investigation is to understand and quantify the changes that BCFAs and their radiative
142 properties undergo by simulating various cases of the BCFAs under an elaborated systematic approach that is
143 designed to span a wide parameter space. The BCFAs cases are classified according to various morphologies,
144 compositions, and wavelengths. This approach of categorization of pure and coated BCFAs is aimed to bridge the
145 gaps that are present in modelled radiative data from the previous studies. The radiative properties were calculated
146 using the T-matrix code (Mackowski et al., 2013) and the findings are presented and discussed with respect to the
147 equivalent mobility diameter (D_{mob}) making it more relevant and comparable for laboratory, and ambient studies
148 in which mobility spectrometers are often used for size classification.

149 In this study, it is highlighted how modifications in the morphology and composition of BCFAs can further
150 influence the BC radiative forcing. Finally, a parameterization scheme for radiative properties (extinction,
151 scattering, and absorption) of coated BCFAs is developed as a function of size at various morphologies,
152 compositions, and wavelengths.

154 2. Methods

156 2.1 Morphology of BCFAs

158 The formation of BCFAs from combustion is a process involving several stages. Along with BC, a complex
159 mixture of gas-phase organic compounds with a spectrum of molecular structures are co-emitted during
160 incomplete combustion (Siegmann et al., 2002; Gentner et al., 2017). Depending upon the source of burning,
161 different types of polycyclic aromatic hydrocarbons (PAHs) are considered to be the direct pre-cursors of BCFAs
162 (Bockhorn 2009). Small PAHs such as acetylene (C_2H_2) are attached to larger precursor PAHs resulting in the
163 growth of these elementary structures. It is postulated that the nucleation of two large PAHs leads to the formation
164 of small three-dimensional particles with diameters ranging from 1-2 nm (Calcote, 1981).

165 Processes like surface growth and coagulation of gaseous phase molecules or PAHs leads to the further growth
166 of these particles. The high-resolution transmission electron microscopy (TEM) images revealed these particles
167 to be spherules up to the diameter of 10-30nm specific to the flame (Homann, 1967). These primary particles
168 show a randomly ordered microstructure of graphite layers (Hess et al., 1969). Following the processes of
169 nucleation and coagulation, the primary particles form larger BCFAs, which subsequently grow by aggregation
170 (Sorensen, 2001). Following this concept of fractal morphology, a mathematical description of fractal aggregates
171 was formulated (Mishchenko et al., 2002) by:

172

$$173 \quad N_s = k_f \left(\frac{R_g}{a_0} \right)^{D_f}, \quad (1)$$

174

175 where, a_0 is the radius of primary particles, N_s is the number of primary particles, D_f is the fractal dimension, and
176 k_f is a fractal pre-factor. R_g is the radius of gyration, which characterizes the spatial size of the aggregate. It is
177 defined as root means square (rms) distance of the aggregate from its geometrical center ~~as follows by:~~

178

$$179 \quad R_g^2 = \frac{1}{N_s} \sum_{i=1}^{N_s} (r_i - r_o)^2, \quad (2)$$

180

181 where, r_i is the position vector of the i^{th} primary particle, and r_o is the position vector of the center of mass of
182 an aggregate with radius of gyration R_g .

183

184 The size of a BCFA is determined by two parameters, the radius of the primary particle (a_0) and number of
185 primary particles (N_s). Both are sensitive to the emission source. BCFAs originating from the combustion of
186 biomass have a radius of the primary particle varying between 15- 25 nm (Chakrabarty et al., 2006). On the other
187 hand, emissions from aircraft turbines comprise of primary particles with a radius of 5 nm (Liati et al., 2014).
188 Aggregates emitted from diesel engines have a radius of the primary particle varying between 10 nm and 12 nm
189 (Guarieiro et al., 2018). Some experimental studies indicate that in the atmosphere, the radius of the primary
190 particle is polydisperse in nature varying from 10-100nm (Bescond et al. 2014). Following these studies, Liu et
191 al., 2015 reported differences in the ~~radiative properties~~optical properties of BCFAs due to the monodisperse and
192 polydisperse distribution of the radii of the primary particles. Contrarily, Berry and Percival (1986) showed that
193 light absorption measurements are insensitive to the radii of the primary particles. Additionally, Kahnert (2012b)
194 pointed out that insensitivity is present when the radii of the primary particle fall in the range of 10 – 25nm.
195 Contrarily, Kahnert (2012b) showed that light absorption measurements are insensitive to the radii of the primary
196 particles, when they fall in the range of 10 – 25nm. For the sake of simplicity, aggregates of monodisperse primary
197 particle size were used in this study.

198

199 Further, the reshaping of BCFAs into collapsed, sphere-like structures while ageing can be described by the
200 fractal dimension (D_f) (Sorensen, 2001). The value of D_f increases as an aggregate reshapes into a more spherical
201 particle. A D_f of 3 being the value for a sphere, whereas D_f of 1 represents an open-chain like aggregate. In the
202 early stages of their formation, BCFAs have a fractal dimension (D_f) between 1.5 and 1.9 (China et al., 2014;
203 Wentzel et al., 2003). However, as a consequence of the atmospheric aging, the aggregates transform from being
204 bare to partly coated, embedded in coatings. In this case, the fractal dimension can go up to 2.2 (Wang et al.,
205 2017). The exposure to humidity and ~~foreign~~ coatings can collapse the BCFA into a structure having even a larger
206 fractal dimension up to 2.6. (Zhang et al., 2008; Bambha et al., 2013). Hence, studying BC particles under the
207 assumption of aggregate morphology provides a wider range of parameter space (particle size, primary particle
208 size, and morphology). This is limited to only particle size in case of spherical assumptions.

209

210 Aggregates are formed from the random motion of a cluster meeting cluster (Sorensen 2001). If the probability
211 of sticking is considered 1, the process of formation is called the diffusion-limited cluster aggregation (Witten and
212 Sander, 1983). Following this principle, Diffusion-limited algorithms (DLAs) have been developed, which
213 include cluster-cluster aggregation (CCA) (Thouy and Julien, 1994) and particle-cluster aggregation (PCA)
214 methods (Hentschel, 1984). In this study, the tunable diffusion limited aggregation (DLA) software developed by
215 Woźniak (2012) was used, which iteratively adds the primary particle one by one, preserving the fractal
216 parameters at each step.

217

2.2 Description scheme of the simulated BCFAs

218

219 ~~The~~ previous modelling studies (Kahnert, 2010; Smith and Grainger, 2014) investigated the ~~radiative~~
220 ~~properties~~optical properties of pure BCFAs ~~i.e.~~ without any coating. From the simulated ~~radiative~~
221 ~~properties~~optical properties, parametrization for pure BCFAs with respect to the number of primary particles at
222 various fractal dimensions and wavelengths were given (Smith and Grainger, 2014). Ouf et al. (2016) conducted
223 Near Edge X-ray Absorption Fine Structure (NEXAFS) analysis on BC produced from a diffusion flame-based
224 mini-CAST burner and found that organics (by-products of the combustion) get attached to the edge of graphite
225 crystallites without changing the inner structure of the core. This laboratory result can be simulated for coated BC
226 in radiative modeling studies by assuming a spherical coating around each individual primary particle of a BC
227 aggregate (Luo et al., 2018). It must be noted that the focus of our study is on BCFAs with coatings consisting of
228 non-absorbing organics. If a brown carbon coating was to be included in the study, information and extra
229 computational time regarding their refractive indices was needed. Unfortunately, due to the time-consuming
230 nature of simulations, the generated database could not include BCFAs with brown carbon coating.

231

232 For the sake of simplicity and computational limitations, this representation of coated BC shown in Fig. 2
233 (bottom panel) was chosen for the entire study. In order to simulate such BC aggregates with individually coated

primary particle, the inner radius of the primary particle (a_i) is fixed to 15 nm. Whereas the outer radius of the primary particle (a_o) consisting of the organics, is varied from 15.1nm to 30nm with the fraction of organics ($f_{organics}$) changing from 1% to 90% respectively. The relationship between the outer radius of the primary particle (a_o), the inner radius of the primary particle (a_i), and the fraction of organics ($f_{organics}$) is shown below:

$$\frac{4}{3}\pi a_i^3 = (1 - f_{organics}) \frac{4}{3}\pi a_o^3 \quad (3)$$

It must be noted that when the fraction of organics ($f_{organics}$) is larger than 80% and the morphology of the aggregate becomes compact, using this coated BC representation results in a practically unrealistic particle (randomly immersed BC primary particles in a spherical coating structure). Therefore, both the composition and morphology of the aggregate play a role while choosing the representation for coated BC. Keeping the above facts in mind, we have limited the use of this coating model only for coated BCFAs with fractal dimension D_f below 2.2. In such cases, where the BC aggregate does not have a completely compact structure, the results are expected to be reliable (Luo et al., 2018). Moreover, Kahnert et al., 2017 compared the coating model (closed-cell model) used in this study to a realistic model, which showed good comparability. Ouf et al. (2016) conducted NEXAFS analysis on BC produced from a diffusion flame based mini CAST burner and found that organics (by products of the combustion) get attached to the edge of graphite crystallites without changing the inner structure of the core. For radiative modelling studies, this laboratory result can be simulated by assuming a spherical coating around each individual BC primary particle (Luo et al., 2018). In order to simulate BCFAs with various fraction of organics ($f_{organics}$), the inner radius of the primary particle (a_i) is fixed to 15 nm. Whereas the outer radius of the primary particle (a_o) consisting of the organics, is varied from 15.1nm to 30nm with the fraction of organics ($f_{organics}$) changing from 1% to 90% respectively. The relationship between the outer radius of the primary particle (a_o), the inner radius of the primary particle (a_i), and the fraction of organics ($f_{organics}$) is shown below:

$$\frac{4}{3}\pi a_i^3 = (1 - f_{organics}) \frac{4}{3}\pi a_o^3 \quad (3)$$

Luo et al., 2018 kept the overall size of aggregates constant to study the sensitivity of radiative properties optical properties at various number of primary particles (N_s) and vice-versa. In our study, the size of the BC aggregates is increased gradually studying the subsequent changes in the radiative properties optical properties. The radiative properties optical properties of BC aggregates were calculated for various cases, following a well-designed description scheme summarized in Fig. 1. All the radiative properties optical properties are calculated at three wavelengths in the visible range i.e., 467nm, 530nm and 660nm. The values are chosen following the availability of refractive index at these specific wavelengths from Kim et al, 2015. For pure BC aggregates, the radiative properties optical properties were calculated for $1.5 \leq D_f \leq 2.8$ in steps of 0.1. In case of the coated BC aggregates, the radiative properties optical properties are calculated at the above-mentioned wavelengths for $1.5 \leq D_f \leq 2.2$ in steps of 0.1, and for $1\% \leq f_{organics} \leq 90\%$ in increments of 5%. The approach of assuming a spherical coating around each individual BC primary particle results in an unlikely structure for coated BCFAs with $D_f > 2.2$, hence those cases were omitted in this study. Fig. 2 shows a few of the aggregates from the classification at a fixed D_f and $f_{organics}$. The large dataset obtained from the classification helped in further developing the comprehensive parametrization scheme.

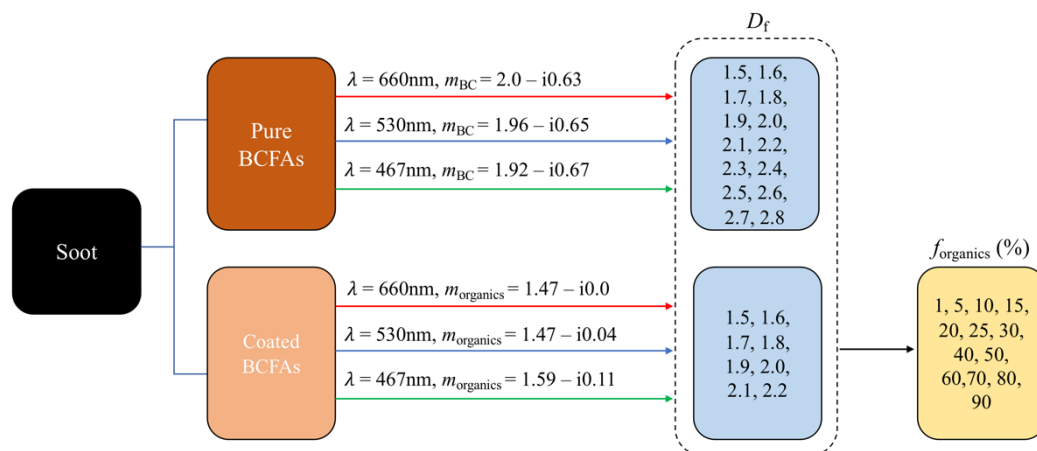


Figure 1. The description scheme of black carbon fractal aggregates (BCFAs) adopted in this study.

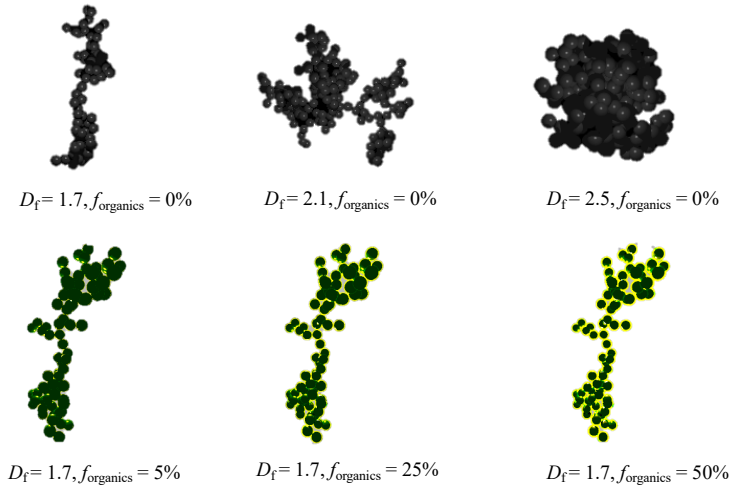


Figure 2. Examples of black carbon fractal aggregates (BCFA) with 200 primary particles, and varying D_f and f_{organics} .

In each case of the mentioned classification, the size of the BCFA is changed by incrementing N_s with 5% and rounded to an integer value, starting from 1 up to 1000. It must be noted that in the results, the size of the BCFA is expressed in terms of mobility diameter (D_{mob}) instead of the number of primary particles (N_s) using the simple conversion developed by Sorensen (2011) given below:

$$D_{\text{mob}} = 2a_0(10^{-2x+0.92})N_s^x \quad (4)$$

where, x is the mobility mass scaling exponent given by $x = 0.51Kn^{0.043}$ with $0.46 < x < 0.56$ having an estimated error of ± 0.02 (Sorensen, 2011). Kn is the Knudsen number, which is the ratio of the molecular free path to the agglomerate mobility radius. The estimated error in the mobility mass scaling exponent (x) is ± 0.02 .

The conversion formula given in (4) is well founded over the entire range, spanning from the continuum to free molecular regime. Using the pre-calculated values of x , the mobility diameter (D_{mob}) is derived for the entire dataset. The relationship between derived mobility diameter (D_{mob}), number of primary particles (N_s) and volume equivalent diameter (D_{equ}) for a case of pure BCFA with $a_0 = 15$ nm is shown in Fig. 3.

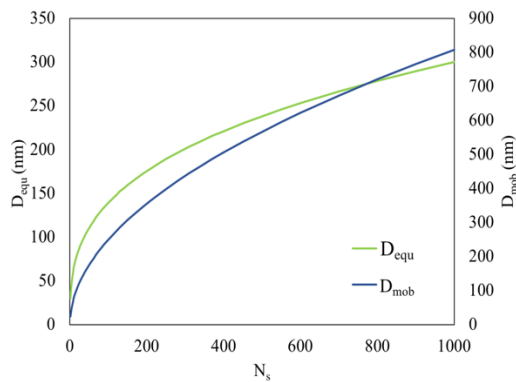


Figure 3. Relationship between mobility diameter (D_{mob}), number of primary particles (N_s) and volume equivalent diameter (D_{equ}) for pure BCFA with $a_0 = 15$ nm.

BC has a refractive index fairly wavelength independent in the visible and near-visible spectrum range (Bond and Bergstrom., 2006). There are modelling studies which assume a wavelength independent refractive index of $m = 1.95 + 0.79i$ for BC over the visible spectrum range (Smith and Grainger., 2014; Luo et al., 2018). For organic carbon, the imaginary part of the refractive index (m_i) is highly wavelength dependent at the shorter wavelengths

301 in the visible and ultraviolet (UV) wavelengths (Moosmüller et al., 2009; Alexander et al., 2008). Contrary to
 302 other studies, Kim et al., 2015⁴ concluded that BC shows a fair amount of wavelength dependency, and provided
 303 refractive indices for BC and organics in the visible spectrum. Following his study, the real (m_r) and imaginary
 304 (m_i) part of the refractive indices used for BC and organics at different wavelengths in this study are summarized
 305 in table 1.

306
 307 **Table 1.** Refractive indices (m_r and m_i) of BC and organics at various wavelengths in the visible range (Kim et
 308 al., 2015⁴) used in this study.
 309

Parameter	Wavelength (nm)		
	467	530	660
m_{r_BC}	1.92	1.96	2.0
m_{i_BC}	0.67	0.65	0.63
$m_{r_Organics}$	1.59	1.47	1.47
$m_{i_Organics}$	0.11	0.04	0

310
 311
 312 **2.3 Radiative Model—Optical properties from Multi-Sphere T-matrix Method (MSTM)**
 313

314 Multi-sphere T-matrix Method (MSTM) consists of an algorithm for calculating the time-harmonic
 315 electromagnetic properties of a set of arbitrary spheres (Mishchenko et al., 2004; Mackowski and Mishchenko,
 316 2011). The MSTM version 3.0 (Mackowski et al., 2013) calculates the ~~radiative properties~~optical properties for
 317 fixed and random orientations, the latter being used in this study. MSTM code can calculate the ~~radiative~~
 318 ~~properties~~optical properties of coated BCFA's involving nested spheres with the condition that there should be no
 319 intersecting surfaces of individual primary particles. Radius, and positions vectors of the inner and outer primary
 320 particle of the BCFA are obtained from the tunable DLA software (Woźniak, 2012) which is coupled to the MSTM
 321 code.

322 The ~~radiative properties~~optical properties of the aggregates were modelled at three wavelengths, i.e., 467, 530,
 323 and 660 nm. At the wavelengths of 660nm and 530nm, the ~~radiative properties~~optical properties from MSTM
 324 code are obtained for $1 \leq N_s \leq 1000$. Because of the increasing processing time of the MSTM code at lower
 325 wavelengths, the calculations are limited to $1 \leq N_s \leq 500$ at thefor a wavelength of 467nm.

326 For reference purposes, the ~~radiative properties~~optical properties were also calculated using the Mie theory,
 327 and the absorption cross-section from Rayleigh-Debye-Gans (RDG) theory. For the Mie theory calculations,
 328 spheres with volume equivalent radius of aggregates were taken. In case of the coated aggregates, a concentric
 329 core-shell configuration was used (He et al., 2015). The RDG theory considers the primary particles in the
 330 aggregate as individual Rayleigh scatters, while ignoring the inter-particle scattering (Sorensen, 2001). Therefore,
 331 in the RDG theory, the total absorption cross-section of the aggregate (C_{abs}^{agg}) is the summation of the absorption
 332 cross-sections (C_{abs}^{pp}) of individual primary particles (N_s). For a monodisperse distribution, the absorption cross-
 333 section from the RDG theory is given as :

334
 335
$$C_{abs}^{agg} = N_s C_{abs}^{pp} \tag{5}$$

 336

337 **2.4 Radiative propertiesOptical properties and simplified radiative forcing model**
 338

339 The radiative parameters calculated from the model are briefly presented below. The MSTM code provides the
 340 extinction, absorption and scattering efficiency (Q), and the asymmetry parameter (g) of BCFA's. The extinction,
 341 absorption and scattering cross-sections ($C_{ext/abs/sca}$) are further obtained as the product of efficiency (Q) and
 342 geometric cross-section (C_{geo}) by:␣

343
 344
$$C_{ext/abs/sca} = (Q_{ext/abs/sca}) * C_{geo} \tag{6}$$

 345

346 In spherical objects with radii (R), the geometric cross-section (C_{geo}) is simply related to the radius as followsby:␣

347
 348
$$C_{geo} = \pi R^2 \tag{7}$$

 349

350 Therefore, for a BCFA, the cross-sections ($C_{ext/abs/sca}$) with volume equivalent radius (R_v) are given defined as
 351 follows:
 352

353 $C_{\text{ext/abs/sca}} = Q_{\text{ext/abs/sca}} \pi R_V^2 \omega$ (8)

354
355 The Volume equivalent radius (R_V) is calculated by:

356
357 $R_V = a_o N_s^{\frac{1}{3}}$ (9)

358
359 The single scattering albedo (SSA_{ω}) is the ratio of scattering efficiency (Q_{sca}) and extinction efficiency (Q_{ext}),
360 where Q_{ext} is the sum of absorption and scattering efficiency as shown below:

361
362 $SSA_{\omega} = \frac{Q_{\text{sca}}}{Q_{\text{ext}}} = \frac{Q_{\text{sca}}}{Q_{\text{sca}} + Q_{\text{abs}}}$ (10)

363 Values of ω varies from 0 for a purely absorbing particle to 1 for a completely scattering particle.

364 Mass absorption cross-section (MAC) is calculated from the ratio of absorption cross section (C_{abs}) and BC
365 mass (m_{BC}) as follows:

366
367
368 $MAC = \frac{C_{\text{abs}}}{m_{\text{BC}}} = \frac{C_{\text{abs}}}{\frac{4}{3}\pi R_V^3 \rho_{\text{BC}}}$ (11)

369 where ρ_{BC} is the density of BC fixed to 1.8 g/cm³ (Bond and Bergstrom, 2006).

370 The wavelength dependence of light absorption, represented by the Absorption ~~Ångström~~ Ångström Exponent
371 (AAE) is calculated using the absorption cross-section (C_{abs}) at the three wavelengths (λ) of 467, 530, and 660
372 nm. The AAE value is obtained as follows by:

373
374
375 $C_{\text{abs}}(\lambda = 467, 530, 660) = b\lambda^{-AAE}$ (12)

376 where b is a constant.

377 The ~~amplification in the absorption by ageing of BCFA's can be well quantified from the~~ absorption
378 enhancement factor (E_{λ}) ~~is defined by which is~~ the ratio of absorption cross section of coated BCFA ($C_{\text{abs}}^{\text{coated}}$)
379 and pure ~~BCFA~~ BCFA ($C_{\text{abs}}^{\text{pure}}$) as shown below:

380
381
382 $E_{\lambda} = \frac{C_{\text{abs}}^{\text{coated}}}{C_{\text{abs}}^{\text{pure}}}$ (13)

383 This implies that the enhancement is given for particles of different total mass but the same BC mass.

384 To understand the atmospheric implication, the radiative forcing is estimated using a model for absorbing
385 aerosols given by Chylek and Wong, 1995. The black carbon radiative forcing at the top of the atmosphere is
386 calculated as:

387
388
389 $\Delta F_{\text{TOA}} = -\frac{S_o}{4} (1 - N_{\text{cloud}}) T^2 2\tau [(1 - a)^2 \beta \omega - 2a(1 - \omega)]$ (14)

390 where, S_o is the solar constant, N_{cloud} is the cloud fraction, T is the transmittance of the sky above the layer of
391 aerosols, τ is the aerosol optical depth, β is the upward scattering function, a is the surface albedo, and ω is the
392 single scattering albedo. From Sagan and Pollack, 1967, the upward scattering function β is calculated from the
393 asymmetry parameter g by:

394
395
396 $\beta = \frac{1}{2} (1 - g)$ (15)

397
398 The model given by Chylek and Wong (1995) for the calculation of TOA forcing is a simplified version of the
399 multiple reflection model (Haywood and Shine, 1995; Sheridan and Ogren, 1999) with some implicit
400 approximations. It is important to note that this is an analytical model which can be useful to understand the
401 sensitivities of radiative forcing to various parameters (Chylek and Wong, 1995; Lesins et al., 2002). The
402 simplified version was used in this study to highlight the sensitivity of the TOA forcing towards the morphology
403 and composition of BC. However, the model cannot be used to replace the accurate direct radiative forcing
404 calculations.

405 ~~It is important to note that this is an analytical model which can be useful to understand the sensitivities of radiative~~
406 ~~forcing to various parameters (Chylek and Wong, 1995; Lesins et al., 2002). However, the model cannot be used~~
407 ~~to replace the accurate direct radiative forcing calculations.~~

408

409 3 Results and discussion

410

411 3.1 Variability in ~~radiative properties~~optical properties due to randomized particle generation

412

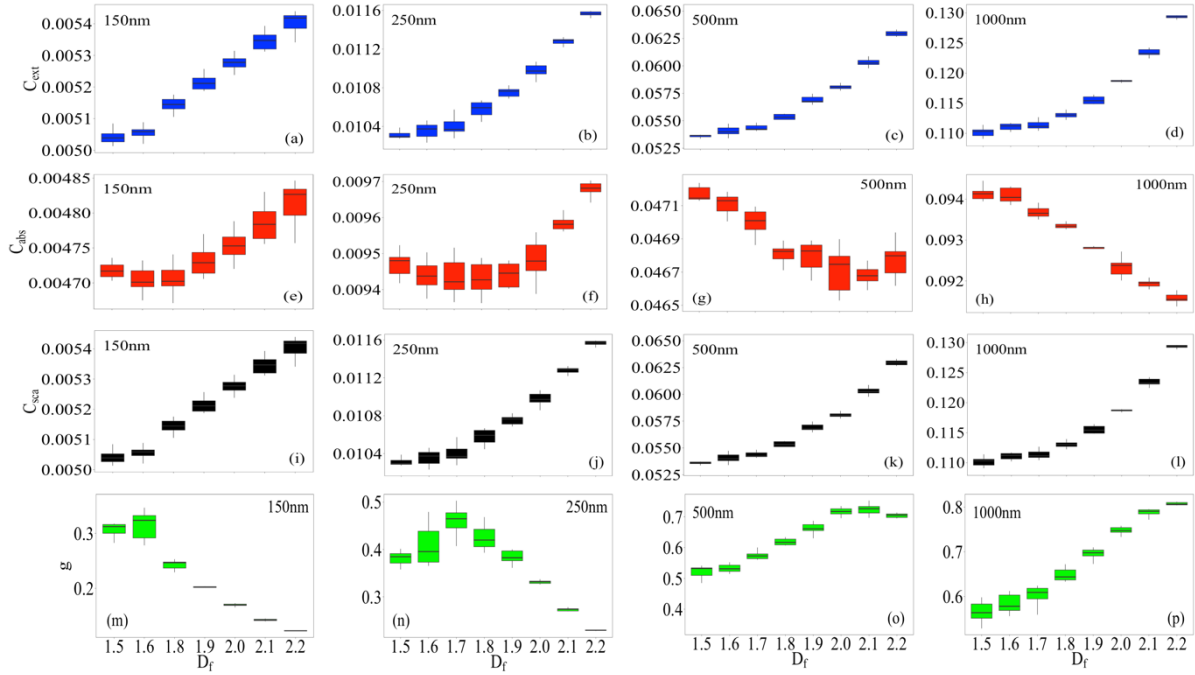
413 In the tunable DLA program, the user specified values of number of spheres (N_s), radius of the primary particle
414 (a_0), and fractal dimension (D_f) are used to generate the fractal aggregate. This gives rise to a possibility of more
415 than one representation of a fractal aggregate satisfying the same fractal dimension (D_f) ~~i.e.~~, randomized
416 particle generation. The difference between the various representations being only the different positions of the
417 primary particles constituting the aggregate. This further results in an uncertainty in the radiative results.
418 Depending on the complexity, some studies averaged the radiative results over 5-10 representations (Wu et al.,
419 2016; Luo et al., 2018), whereas others consider only a single representation (Smith and Grainger, 2014).

420 Considering the large dataset in this study, the option of taking an average of the multiple representations would
421 be time-consuming. Therefore, the general uncertainty in ~~radiative properties~~optical properties for 30
422 representations of the pure BCFA is discussed. This is done for various cases of size (D_{mob}) and morphology
423 (D_f). Fig. 4 shows the variability in the extinction cross-section C_{ext} (first row), absorption cross-section C_{abs}
424 (second row), scattering cross-section C_{sca} (third row), and asymmetry parameter g (fourth row) as a function of
425 D_f . The results were calculated at a wavelength of 660 nm for pure BCFA of D_{mob} values 150nm, 250nm, 500nm,
426 and 1000nm increasing from left to right in the Fig. 4.

427 The uncertainty in the optical properties was studied for 30 representations of BCFA with the same value of
428 the fractal dimension.~~In order to study the uncertainty in the radiative properties for 30 representations of a BCFA~~
429 ~~with respect to the modelled fractal dimension, two things must be noted.~~ Firstly, the amount~~amount~~
430 ~~of variability in the radiative property~~optical property at each fractal dimension (x-axis) must be seen from the
431 ~~whiskers height~~ of the boxplot in Fig. 4. The sensitivity of ~~Secondly, to see how distinct the radiative~~
432 ~~properties~~optical properties ~~are~~ with respect to each various fractal dimensions can be figured out from, the
433 amount of overlapping of the y-axis values between adjacent boxplots ~~must be observed~~.

434 For extinction and scattering cross-sections (first and third row), the uncertainty is more pronounced at $D_f <$
435 1.7. This is because of the overlapping of extinction and scattering cross-sections values at $D_f < 1.7$. The
436 absorption cross-section (C_{abs}) shows the highest uncertainty towards various representations of a BCFA which
437 can be seen from higher heights of boxplots in panel (e), (f), and (g) of the Fig. 4. Additionally, at 150 nm and
438 250 nm, C_{abs} is seen to be less sensitive towards D_f ranging between values between adjacent boxplots overlap for
439 1.5 $< D_f <$ 2. Whereas, for boxplots in panel (g) representing a 500nm BCFA, the C_{abs} values overlap for $D_f >$
440 1.8. It may be noted that the C_{abs} increases with D_f for smaller BCFA (panel (e) and (f)), whereas the opposite is
441 true for larger BCFA (panel (g) and (h)) as also reported by Luo et al, 2018. This is further explained in detail in
442 the section 3.3. The asymmetry parameter (g) shows a similar uncertainty trend to that of the extinction and
443 scattering cross-sections ~~i.e.~~, lower variability but some overlapping at certain D_f seen in fourth row. In general,
444 it is observed that the uncertainty of ~~radiative properties~~optical properties at larger sizes ($D_{mob} = 1000\text{nm}$; last
445 column) is comparatively low. The standard deviation in the ~~radiative properties~~optical properties ~~are~~is averaged
446 over size, and summarized for various cases of D_f in Table 2.

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Figure 4. The variability in the radiative properties optical properties at $\lambda = 660\text{nm}$ for 30 representations of pure BCFA with D_{mob} increasing (left to right). The panels show extinction cross-section C_{ext} (first row), absorption cross-section C_{abs} (second row), scattering cross-section C_{sca} (third row), and asymmetry parameter g (fourth row). The boxplots show the interquartile range between 75 - 25 percentile, with the center bar in the box indicating the median. The whiskers on the top and bottom of the boxplot mark the largest and smallest value within 1.5 times interquartile range.

Table 2. Summary of the vResults of variability (%) in the optical properties of pure BCFA. The variability of C_{ext} , absorption cross-section C_{abs} , scattering cross-section C_{sca} , asymmetry parameter g , and single scattering albedo SSA are shown for fractal dimension (D_f) between 1.5 to 2.2. For each case, the resultant variability is an average over the sizes of 100, 250, 500, and 1000nm were taken. The table shows the standard deviation for various cases of fractal dimension (D_f) from 1.5 up to 2.2.

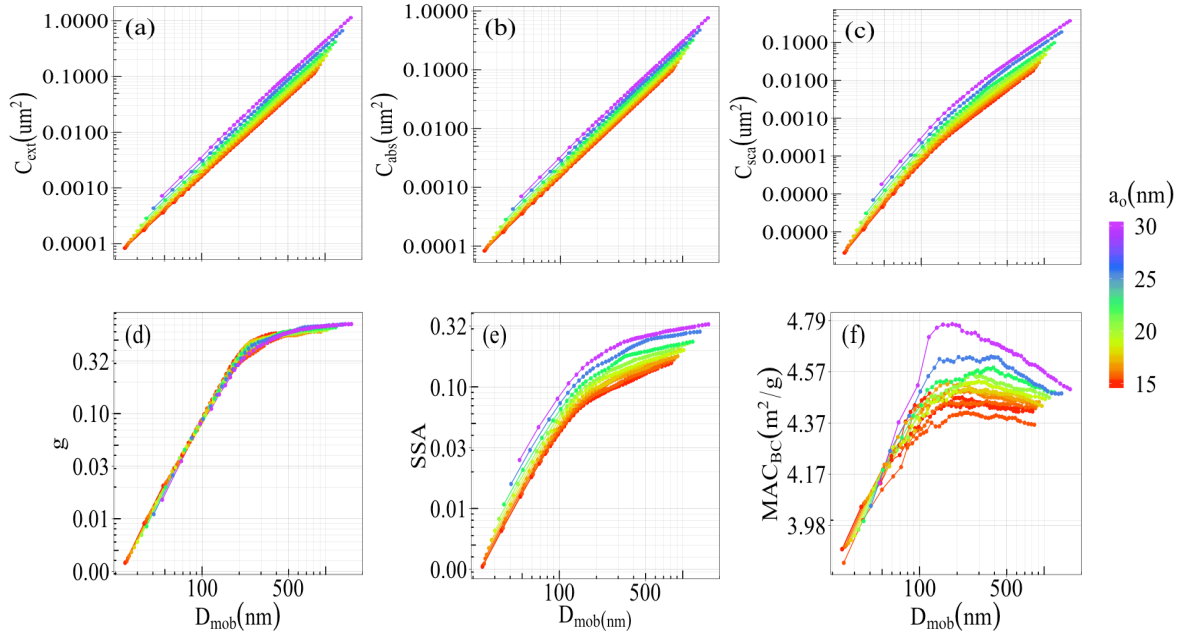
Radiative property Optic al property	Fractal dimension (D_f)							
	1.5	1.6	1.7	1.8	1.9	2	2.1	2.2
C_{ext}	0.54	0.75	0.65	0.56	0.54	0.46	0.73	0.73
C_{abs}	0.24	0.26	0.34	0.24	0.20	0.39	0.36	0.36
C_{sca}	4.68	5.90	4.68	3.25	2.68	1.52	2.97	2.97
g	5.81	5.24	4.32	2.90	1.76	1.45	3.36	1.56
SSA	4.20	5.29	4.09	2.71	2.17	1.17	2.29	2.29

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3.2 Radiative properties Optical properties of BCFA at different radius of the primary particle

The absorption cross-section (C_{abs}) and BC mass absorption cross-section (MAC_{BC}) have been reported to be insensitive to radius of the primary particle (a_0) for a fixed particle volume (Kahnert, 2016b). Fig. 5 shows the radiative properties optical properties of pure BCFA with the radius of primary particle (a_0) varying between 15nm and 30nm as a function of D_{mob} . The results were calculated for a wavelength of 660nm for pure BCFA with D_f of 1.7. The absorption cross-section C_{abs} showed in panel (b) increases by a factor of almost ten from a_0 equal to 15nm to 30nm. due to the higher electromagnetic field interaction. They are not expected to follow the findings of Kahnert, 2016b, since our the results here are represented against the D_{mob} instead of volume equivalent radius (R_{equ}), they are not expected to follow the findings of Kahnert, 2016b. The results with respect to the R_{equ} are provided in the Fig. S1 of the supplementary material, which follow the findings of Kahnert, 2016b. The

478 asymmetry parameter shows the least dependency on a_0 as can be seen in panel (d). The single scattering albedo
 479 (SSA) and the BC mass absorption cross-section (MAC_{BC}) shown in panel (e) and (d) of the Fig. 5 show a larger
 480 increase at $a_0 > 20\text{nm}$ for the same D_{mob} . Acknowledging the effect of changing a_0 over the **radiative**
 481 **properties****optical properties**, for the sake of **better relevance and comparison simplicity**, in this study the inner
 482 radius of the primary particle (a_i) was fixed to 15nm, and the outer radii of the primary particle (a_o) was increased
 483 with $f_{organics}$.
 484



485 **Figure 5. Radiative properties****Optical properties** of pure BCFA at various radii of primary particle (a_0) with
 486 respect to mobility diameter (D_{mob}): (a) extinction cross-section C_{ext} (a), (b) absorption cross-section C_{abs} (b), (c)
 487 scattering cross-section C_{sca} (c), (d) asymmetry parameter g (d), (e) single scattering albedo SSA (e), and (f) black
 488 carbon mass absorption cross-section MAC_{BC} (f) at $\lambda = 660\text{nm}$.
 489

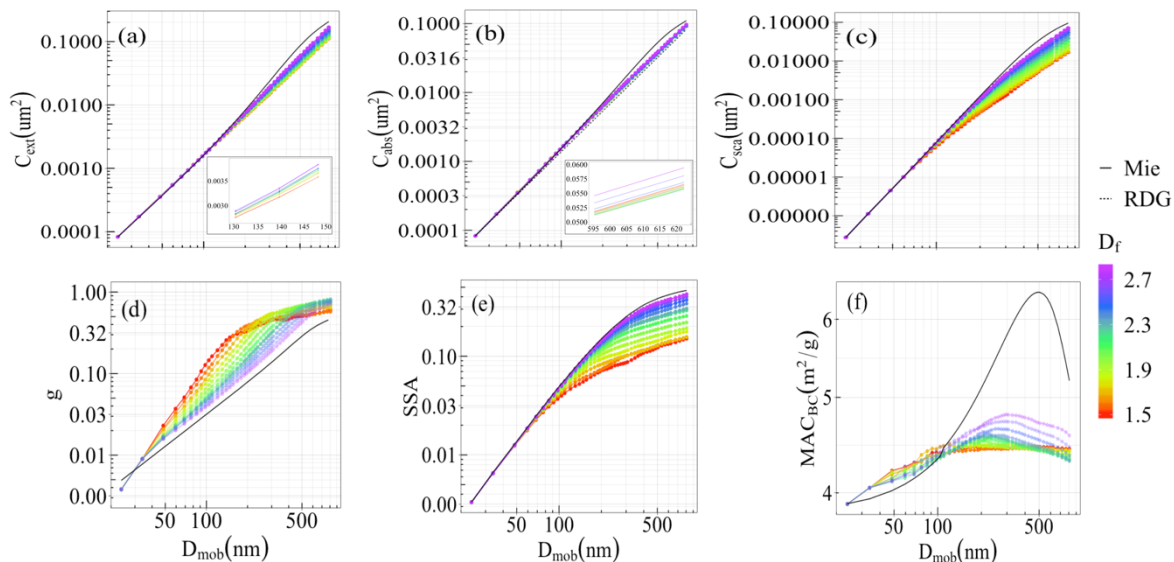
490 3.3 Dependency of BCFA **radiative properties****optical properties** on the morphology

491 Different **radiative properties****optical properties** as a function of changing **size** or D_{mob} , and **morphology** or D_f are
 492 shown in Fig. 6. The results were calculated for pure BCFA ($f_{organics} = 0$) at a wavelength of 660nm. The cross-
 493 sections (panel (a), (b), and (c)) show a **coherent n** increase with D_{mob} **with size**. The cross-sections vary from
 494 $0.0001\mu\text{m}^2$ to $0.1\mu\text{m}^2$ for BCFA D_{mob} ranging from 24nm to 810nm. The extinction and scattering cross-sections
 495 are larger for higher D_f , suggesting an increasing coherent scattering for compact morphologies also reported by
 496 Smith and Grainger (2014). **The dependency of the optical cross-section over the fractal dimension (D_f) was**
 497 **pointed out by Berry and Percival (1986) where the change in the cross-sections depends on whether the fractal**
 498 **dimension (D_f) is less than two or greater than two.** The results from Mie calculations for a spherical particle (D_f
 499 $= 3$) follows the trend of the MSTM results as seen in the Fig. 6.
 500

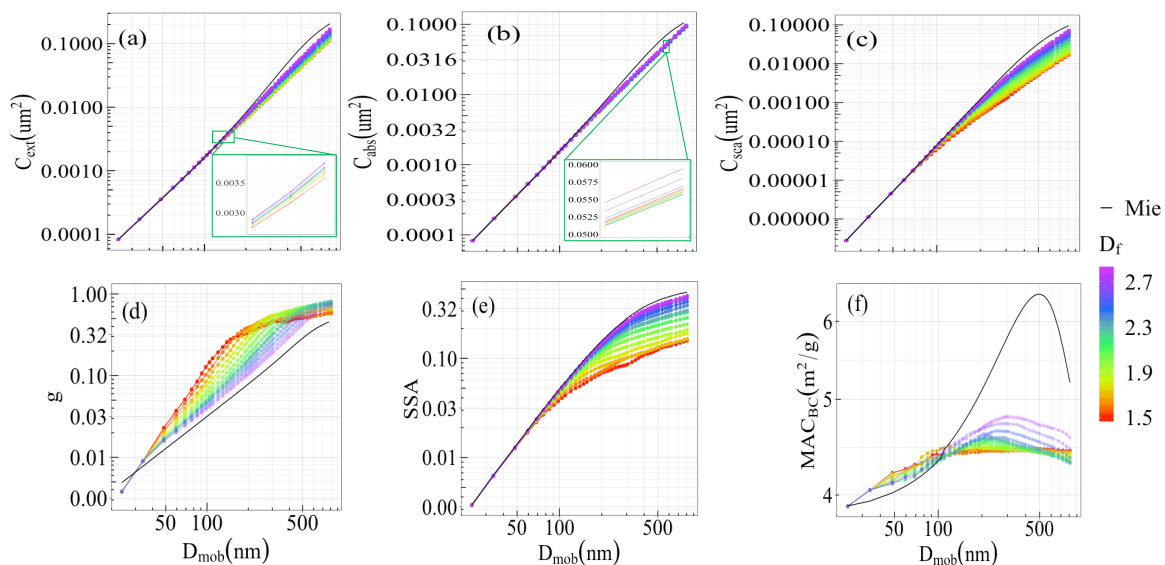
501 For smaller BCFA, the absorption cross-section shows negligible dependence on D_f . With increasing size, the
 502 absorption cross-section decreases with D_f . This decrease can be interpreted as a shielding effect due to the
 503 primary particles on the surface of the aggregate. Further, with $D_f > 2.5$, the absorption cross-section increases
 504 with D_f showing the highest value for a spherical particle ($D_f = 3$). This may be caused by Mie resonances in larger
 505 BCFA. Earlier studies have also reported higher values for the sphere equivalent ($D_f = 3$) calculations of BCFA
 506 (Liu et al., 2018; Li et al., 2016).
 507

508 The single scattering albedo ($SSA = C_{sca}/C_{ext}$) shown in panel (e) of Fig. 6 has values up to 0.42. The SSA also
 509 increases with D_{mob} and D_f , the latter is explained by the decreasing scattering in loosely packed BCFA. This is
 510 due to compact aggregates following a Rayleigh-like polarization curve (Gustafson and Kolokolova, 1999). The
 511 asymmetry parameter (g) (panel d) shows a range of values between 0 **until and 1 over BCFA for values of D_{mob}**
 512 **values between of 24nm and to 810nm.** **The asymmetry parameter g is higher for chain-like BCFA with lower**
 513 **D_f , indicating larger forward scattering in asymmetrical structures also reported by Luo et al. 2018. When the**
 514 **BCFA grow larger in size, the rate of increase in g with size gradually decreases for loosely packed ones lower**
 515 **D_f since because of the scattering is tending to the Rayleigh scattering regime.**

516 Black carbon mass absorption cross-section (MAC_{BC}) values shown in panel (f) fall within the range of findings
 517 reported in the literature (Bond and Bergstrom, 2006). The MAC_{BC} increase with D_{mob} showing a peak at $D_{mob} \sim$
 518 250nm . The dependency of MAC_{BC} on D_f is similar to that of the absorption cross-section i.e., Mie resonances
 519 contribute to the increase at higher D_f , explaining the large discrepancy between MSTM and Mie results for
 520 MAC_{BC} . The above results with respect to the R_{equ} are provided in the Fig. S2.



521



522

523 **Figure 6. Radiative properties**Optical properties of pure BCFAs as a function of D_{mob} at various fractal dimension
 524 (D_f): (a) extinction cross-section C_{ext} , (b) absorption cross-section C_{abs} , (c) scattering cross-section C_{sca} , (d)
 525 asymmetry parameter g , (e) single scattering albedo SSA , and (f) black carbon mass absorption cross-
 526 section extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c),
 527 asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section
 528 MAC_{BC} (f) at $\lambda = 660\text{nm}$. Radiative results from the Mie calculations are shown by the black line (panel a-f). The
 529 C_{abs} from the Rayleigh-Debye-Gans (RDG) theory is represented by a dash line (panel b).

530

531 3.4 Dependency of BCFA radiative properties optical properties on $f_{organics}$

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533 Figure 7 shows how the radiative properties optical properties of BCFAs are influenced by the increasing content
 534 of organics. The calculations were done for a BCFA of chain-like morphology with $D_f = 1.7$ at a wavelength of

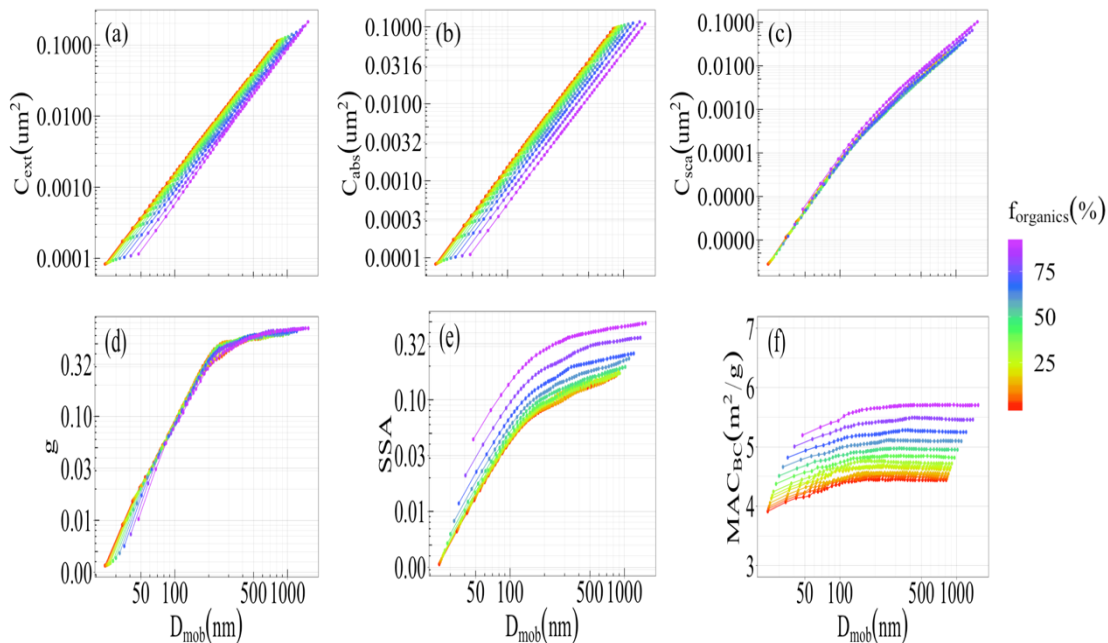
535 660nm. The results are shown as function of D_{mob} at various fractions of organics (f_{organics}). The extinction and
 536 absorbing cross-sections (panel (Fig. 7a) and 7-and (b)) decrease steadily with increasing f_{organics} for constant
 537 mobility diamters because of the increasing less-absorbing volume fraction in the aggregate. The dependence on
 538 the asymmetry parameter g (panel (d) Fig. 7d) on f_{organics} is very small, meaning that g is more sensitive to
 539 morphology rather than composition. The single scattering albedo (SSA) increases with f_{organics} , and this is again
 540 because of the increasing fraction of less absorbing material. From the results of black carbon mass absorption
 541 cross-section (MAC_{BC}) values shown in panel (Fig. 7f), a dominating dependence of BCFA on composition is
 542 seen, in comparison to size and morphology. Similar results for a compact BCFA of $D_f=2.2$ at a wavelength of
 543 660nm can be found in the Fig. S4 of the supplementary material.

544 Figure 8 is similar to Fig.6 butand shows the dependency of radiative properties optical properties on the fractal
 545 dimension (D_f) for organic coated BCFA's with f_{organics} of 50% at the wavelength of 660nm. The cross-sections and
 546 asymmetry parameter show similar behaviour such as that of the pure BCFA's. The SSA has an upper limit of 0.35
 547 at $D_f=2.2$. Black carbon mass absorption cross-section (MAC_{BC}) is rather independent of D_f but values increase
 548 with coating by a magnitude factor of 1.2 for coated BCFA's with f_{organics} of 50% as shown in Fig. 7.

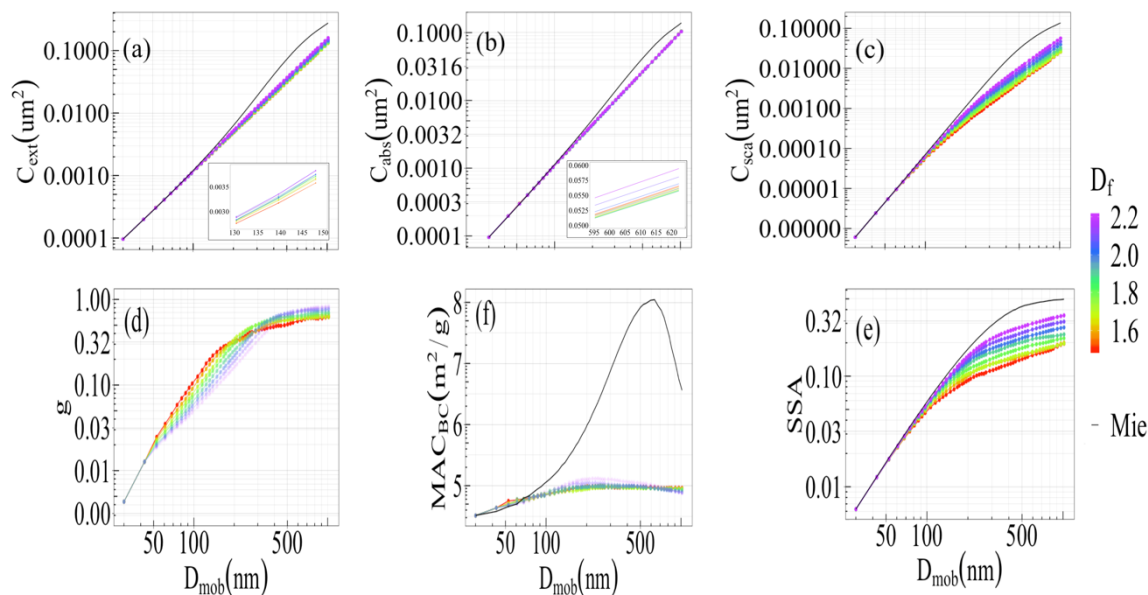
549 The gradually decreasing impact of the fractal morphology on the optical properties of coated BC particles was
 550 shown by Liu et al., 2017. In this study, it is seen in the case of a non-coated BC particle (Fig. 6c), the C_{sca} is more
 551 sensitive to the D_f , whereas, when the BC particles are coated (Fig. 7c, Fig 8c), the C_{sca} is less sensitive towards
 552 D_f and f_{organics} . It is observed that the C_{sca} and SSA (Fig. 8c, Fig. 8e) become more sensitive to D_f when the BCFA
 553 grows in size, therefore, the impact of the fractal morphology over the optical properties is also a function of
 554 particle size. Moreover, it must be noted that even though there is a decreasing impact of the fractal morphology
 555 on optical properties, parameters like C_{abs} , MAC_{BC} , and g showed significant variability towards changes of f_{organics}
 556 (Fig 7a, 7b, 7e, and 7f).

557 Global models use Mie theory for calculations of BC radiative properties optical properties (Bond et al., 2013).
 558 The Mie theory considers BC as homogeneously mixed spheres, or as a core-shell configuration. The results of
 559 SSA, g , and MAC_{BC} in both Fig.6 and Fig.8 clearly demonstrate a significant influence of morphology. This is
 560 clearly seen from the difference between the coloured lines representing various morphologies of BC as
 561 aggregates, and the black solid line representing the result when BC is assumed as a core-shell. Therefore, the
 562 factor of changing morphology is overlooked-not considered adequately when using the Mie theory for BC
 563 radiative properties optical properties in global models.

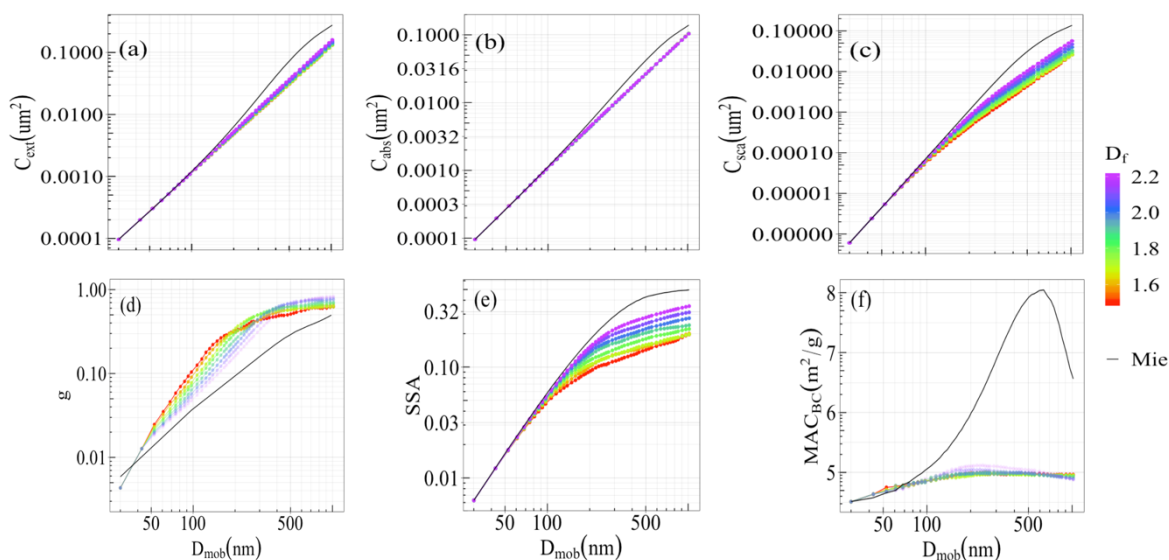
564



565 **Figure 7. Radiative properties Optical properties** of BCFA's ($D_f = 1.7$) as a function of D_{mob} at various fraction of
 566 organics (f_{organics}): (a) extinction cross-section C_{ext} , (b) absorption cross-section C_{abs} , (c) scattering cross-section
 567 C_{sca} , (d) asymmetry parameter g , (e) single scattering albedo SSA, and (f) black carbon mass absorption cross-
 568 section extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c),
 569 asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section
 570 MAC_{BC} (f) at $\lambda = 660\text{nm}$.
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575 **Figure 8. Radiative properties**Optical properties of coated BCFA ($f_{organics} = 50\%$) as a function of D_{mob} at various
 576 fractal dimension (D_f): (a) extinction cross-section C_{ext} , (b) absorption cross-section C_{abs} , (c) scattering cross-
 577 section C_{sca} , (d) asymmetry parameter g , (e) single scattering albedo SSA , and (f) black carbon mass absorption
 578 cross-section MAC_{BC} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c),
 579 asymmetry parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross section
 580 MAC_{BC} (f) at $\lambda = 660\text{nm}$.

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3.5 Dependency of BCFA radiative properties optical properties on wavelength

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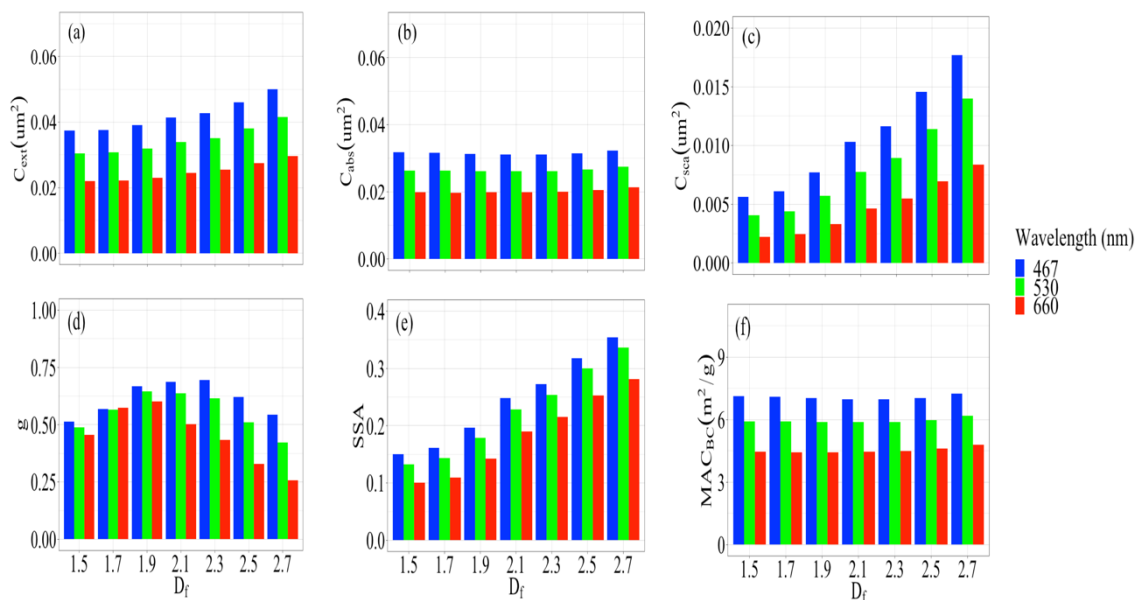
In the sections before, the dependency of BCFA radiative properties optical properties on size, morphology, and composition were discussed. In this section, besides showing the spectral dependency of BCFA radiative

591 ~~properties~~optical properties, it is also demonstrated how this dependency changes with morphology, and
 592 composition in the visible wavelength range.

593 Figure 9 shows the changes in the pure BCFA's ~~radiative properties~~optical properties with wavelength (λ) at
 594 various morphologies represented by D_f . Pure BCFA's with fixed D_{mob} equal to 330nm were taken for this case to
 595 demonstrate the effect of morphology. All the ~~radiative properties~~optical properties show a decrease with λ in the
 596 visible range. Furthermore, it was studied whether the rate of decrease might vary for various morphologies. Fig.
 597 9 show that ~~tThe~~he spectral dependency is insensitive to morphology for the absorption cross-section C_{abs} (panel
 598 (b)) and black carbon mass absorption cross-section MAC_{BC} (panel (f)). The spectral dependence of scattering
 599 cross-section C_{sca} (panel (c)) is seen to be somewhat sensitive towards changes in morphology. The highest
 600 sensitivity of spectral dependence to morphology was seen for the asymmetry parameter (g), dominant at higher
 601 D_f ~~i.e.~~, for compact aggregates.

602 Figure 10 is provided to illustrate how the spectral dependency of BCFA's changes with composition ~~i.e.~~,
 603 fraction of organics ($f_{organics}$). For this case, BCFA's are considered with N_s and D_f equal to 200 and 1.7 respectively.
 604 It must be noted that the size of the BCFA's ~~are~~is also increasing with $f_{organics}$. Contrary to the results from Fig. 9,
 605 all the cross-sections (panel (a), (b), and (c)) and black carbon mass absorption cross-section MAC_{BC} (panel (f))
 606 show a significant increase in the spectral dependency with $f_{organics}$. The spectral dependency of single scattering
 607 albedo SSA (panel (d)) shows a comparatively lower sensitivity towards $f_{organics}$, whereas it's nearly negligible for
 608 the asymmetry parameter (g) seen in panel (e). Additionally, the change in spectral dependency over the size is
 609 also shown in the Fig. S5 ~~of the supplementary material~~.

610



611 **Figure 9.** Spectral dependency of the pure BCFA's ~~radiative properties~~optical properties ($D_{mob} = 330$ nm) on fractal
 612 dimension (D_f): (a) extinction cross-section C_{ext} , (b) absorption cross-section C_{abs} , (c) scattering cross-section C_{sca} ,
 613 (d) asymmetry parameter g , (e) single scattering albedo SSA , and (f) black carbon mass absorption cross-section
 614 extinction cross-section C_{ext} (a), absorption cross-section C_{abs} (b), scattering cross-section C_{sca} (c), asymmetry
 615 parameter g (d), single scattering albedo SSA (e), and black carbon mass absorption cross-section MAC_{BC} (f). For
 616 the variability (%) in different cases of D_f refer to Table 2.
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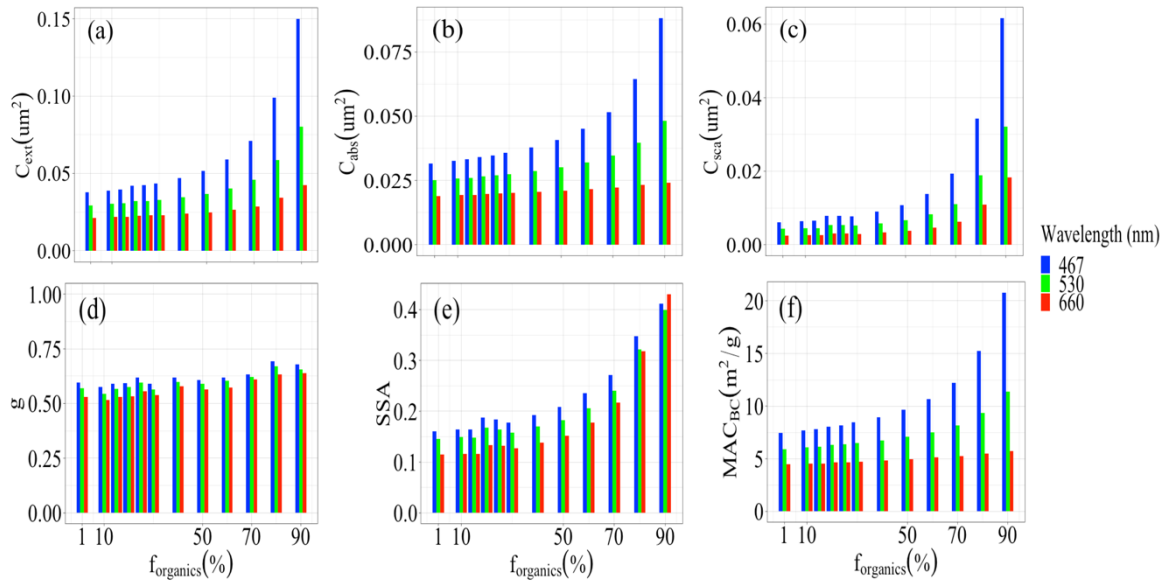


Figure 10. Spectral dependency of coated BCFA radiative properties (extinction cross-section C_{ext} , absorption cross-section C_{abs} , scattering cross-section C_{sca} , asymmetry parameter g , single scattering albedo SSA , and black carbon mass absorption cross-section MAC_{BC}) as a function of the fraction of organics ($f_{organics}$) for $N_s = 200$ and $D_f = 1.7$. The variability (%) for each property is listed in Table 2.

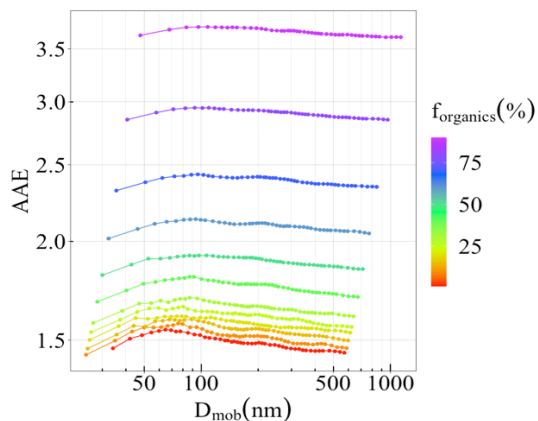
3.6 Ångström absorption exponent (AAE) and enhancement factors (E_λ)

Figure 11 shows the Ångström absorption exponent (AAE) of a chain-like BCFA ($D_f = 1.7$) as a function of mobility diameter (D_{mob}), and increasing fraction of organics ($f_{organic}$). The AAE is derived from the slope of C_{abs} vs λ at 467, 530, and 660 nm as shown in Eq. (12). As expected, the AAE shows a coherent straightforward dependency on the fraction of organics ($f_{organic}$). In this case, the values of AAE vary from 1.4 up to 3.6 with increase in $f_{organic}$ from 1% until 90%. The variability in the modelled values of AAE may be attributed to the selection of the refractive indices and wavelengths (Liu et al., 2018). Similar result for the Ångström absorption exponent (AAE) of a more compact BCFA ($D_f = 2.2$) is provided in the Fig. S6. Additionally, the impact of morphology or fractal dimension (D_f) on the AAE for pure BCFA is shown in Fig. 12. The values range from 1.06 to 1.47. It can be observed that for smaller BCFA, the AAE increases as the BCFA becomes more compact, whereas for larger BCFA an opposite effect is seen. Fig. 11 and 12 could be interpreted as representing the ageing process of BC in the atmosphere focusing on changing composition and shape respectively.

Figure 13 shows the trend in absorption enhancement factors (E_λ) as a function of mobility diameter (D_{mob}) and increasing fraction of organics ($f_{organic}$) for a BCFA with ($D_f = 1.7$). The top row shows the absorption enhancement factors calculated from the results of the MSTM code (E_{MSTM}^λ) whereas, the ones derived from the Mie calculations (E_{Mie}^λ) are displayed in the bottom row. In general, the Mie derived absorption enhancement factors are larger by a factor of 1.1 to 1.5. The enhancement results from both MSTM and Mie calculations are shown for three wavelengths i.e., 660, 530, and 467 nm (right to left). There is an expected increase in the absorption enhancement factors as the wavelength decreases. The values of the modelled absorption enhancement factors follow the results from various ambient studies which measured enhancement factors ranging from 1.0 to 2.25 at wavelengths between 532 nm to 678 nm (Cappa et al., 2012; Cui et al., 2016; Wu et al., 2018).

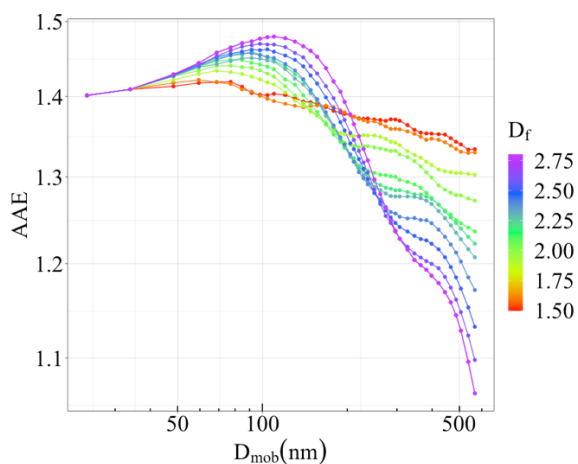
Liu et al., 2017 emphasized the role of the mass ratio of non-BC to BC on the performance of various methods used for simulating the scattering cross-section and enhancement factors of BC particles. In this study, it is shown that the Ångström absorption exponent (AAE) calculated from just the MSTM method can show variability of up to a factor of two with an increasing non-BC mass fraction larger than 90%. Similarly, it can be seen that the difference in the enhancement factors calculated from the core-shell theory and fractal assuming MSTM method can be up to by values between of 1.1 and 1.5.

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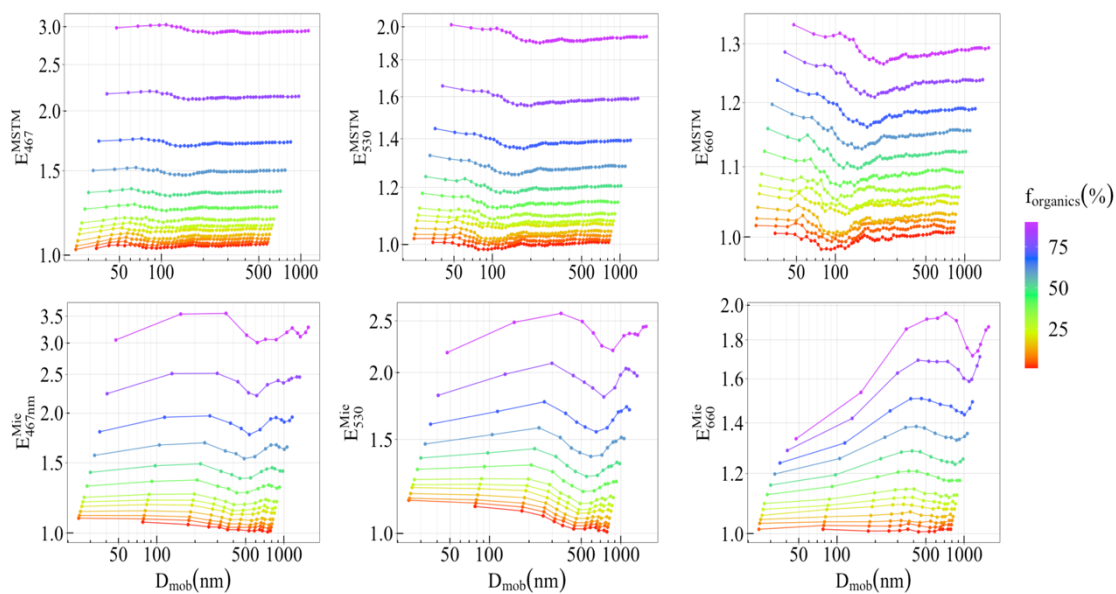
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Figure 11. Ångström-Ångström absorption exponent (AAE) of coated BCFAs ($D_f = 1.7$) with changing fraction of organics ($f_{organics}$) and mobility diameter (D_{mob}).



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Figure 12. Ångström-Ångström absorption exponent (AAE) of pure BCFAs ($f_{coating} = 0\%$) with changing fractal dimension (D_f) and mobility diameter (D_{mob}).



668

669 **Figure 13.** Absorption enhancement factor (E_λ) in BCFAAs ~~with ($D_f = 1.7$)~~ ~~with~~ changing fraction of organics
670 ($f_{organics}$) and mobility diameter (D_{mob}). The top row shows the E_λ derived from the MSTM method whereas the
671 ones derived from Mie code are shown in the bottom row. The enhancement factors are shown for wavelengths
672 equal to 660, 530, and 467nm (right to left).
673

674 3.7 Implications of morphology and composition over black carbon radiative forcing

675
676 In this section, the dependence of the black carbon radiative forcing on modifying composition and morphology
677 of BCFAAs is discussed. The relative changes in the top of the atmosphere radiative forcing (ΔF_{TOA}) are quantified
678 as a function of fractal dimension (D_f) and fraction of organics ($f_{organics}$). It is a sensitivity analysis, applicable
679 mostly to scenarios with ~~high~~ urban pollution having a high mass fraction of combustion aerosols. The black
680 carbon radiative forcing at the top of the atmosphere (ΔF_{TOA}) is estimated using equation (14) with fixed values
681 of $S_0 = 1368 \text{ Wm}^{-2}$, $N_{cloud} = 0.6$, $T = 0.79$, $\tau = 0.03$, and $a = 0.1$ (Chylek and Wong, 1995; Lesins et al., 2002).
682 To focus primarily on radiative effects of BC, the optical depth τ is taken as 0.03 for smoke aerosol (Penner et al.,
683 1992). The values of β and ω change with fractal dimension (D_f) and fraction of organics ($f_{organics}$), and are
684 obtained from the MSTM bulk ~~radiative properties~~ optical properties. The bulk ~~radiative properties~~ optical
685 properties are calculated at a wavelength of 530 nm, over a lognormal polydisperse size distribution with the
686 geometric mean radius (r_0) and standard deviation (σ) fixed to $0.12 \mu\text{m}$ and 1.5, respectively. The details about
687 the bulk ~~radiative properties~~ optical properties can be found in the supplementary material of this work.

688 Table. 3 shows how the values of black carbon radiative forcing change for various morphologies represented
689 by fractal dimension (D_f) for pure black carbon. This can be further understood by the relative change (C defined
690 by) given as:

$$691 C = \frac{\Delta F_{TOA} - \Delta F_{TOA}^{Ref}}{\Delta F_{TOA}^{Ref}} \times 100 \quad (16)$$

692
693 where ΔF_{TOA}^{Ref} is the top of the atmosphere radiative forcing for a reference case where the fractal dimension (D_f)
694 is 1.7 i.e., a freshly emitted black carbon particle.
695

696 **Table 3.** Black carbon radiative forcing ΔF_{TOA} (Wm^{-2}) calculated ~~for~~ various fractal dimension (D_f) and relative
697 change (C) with respect to a reference case with $D_f = 1.7$.
698

D_f	ΔF_{TOA}	C (%)
1.5	0.704	-1.1
1.6	0.721	-2.3
1.8	0.697	-3.4
1.9	0.681	-5.6
2	0.649	-9.9
2.1	0.608	-15.7
2.2	0.581	-19.4
2.3	0.570	-21.0
2.4	0.507	-29.7
2.5	0.446	-38.2
2.6	0.383	-46.9
2.7	0.324	-55.1
2.8	0.279	-61.2

699
700 Similarly, the values of the black carbon radiative forcing for various compositions represented by fraction of
701 organics ($f_{organics}$) in a case where the fractal dimension (D_f) is fixed to 2.2 is shown in Table. 4. The values of
702 relative change (C) are calculated using equation (16) with respect to ΔF_{TOA}^{Ref} as a reference of a case of zero
703 fraction of organics ($f_{organics}$) i.e., pure black carbon particle.

704 Global models use the simplified core-shell representation for BC (Bond et al., 2013) which is morphologically
705 close to a coated BCFA of $D_f = 2.8$. In the case of coated BCFA, there is a relative change (C) of 20% when D_f
706 increases from 1.5 to 2.2. Following the results in Table. 4 the relative change (C) in ΔF_{TOA} of coated BCFA is

also expected to increase as the D_f approaches 2.8. Therefore, the influence of morphology over the ΔF_{TOA} is ~~clearly overlooked while~~ considered adequately when using the simplified core-shell representation of BC.

~~It can be seen from from Table 4 that the top of the atmosphere forcing ΔF_{TOA} decreases by up to 55% as the organic content of the particles increases to 90%. This result is in agreement with the findings of Zeng et al., 2019 where the increasing hygroscopicity of the BC particle results in negative top of the atmosphere forcing. However, it must be noted that in the study of Zeng et al., 2019, the focus was over aged BC particles with 90-99% coating fraction and the Santa Barbara DISORT Atmospheric Radiative Transfer (SBDART) model was used for estimating the radiative forcing.~~

Even though the simplified radiative model for absorbing aerosols used, the results of relative change (C) in Table 3 and Table 4 can provide insights about the implications of BC ageing on their radiative forcing estimates. It is demonstrated that the radiative forcing results are highly sensitive towards modifications in morphology and composition when using the aggregate representation. It must be noted that these results are of high relevance in the BC hotspots regions of Asia, for example, Manilla in Philippines, where the BC emission shared up to 70% of calculated PM₁ (particulate matter with diameter < 1 μm) mass emission factors (Madueno et al., 2019).

Table 4. Black carbon radiative forcing ΔF_{TOA} (Wm⁻²) calculated ~~for~~ various fractions of organics ($f_{organics}$) and relative change (C) with respect to a reference case with $f_{organics} = 0\%$.

$f_{organic}$	ΔF_{TOA}	C (%)
1	0.581	-1.6
5	0.572	-1.5
10	0.572	-2.4
15	0.567	-1.6
20	0.572	-2.4
25	0.567	-1.5
30	0.572	-2.3
40	0.568	-5.1
50	0.552	-10.0
60	0.523	-12.8
70	0.507	-19.0
80	0.471	-32.8
90	0.391	-54.6

3.8 Parametrization scheme for coated BCFA s

In this section, the optimal fits for the results of the ~~radiative properties~~ optical properties obtained from the MSTM code are discussed. ~~Since F_{or} the extinction and absorption cross-sections, scales linearly with size or D_{mob} in both Fig. 5 and 7,~~ a first order polynomial on logarithmic scales was found to be the best fit.

$$\ln C_{ext} = c_0 + c_1 \ln D_{mob} \quad (17)$$

$$\ln C_{abs} = g_0 + g_1 \ln D_{mob} \quad (18)$$

For the ~~results fittings~~ of scattering cross-section (C_{sca}) and SSA, an equation of the following form was found to fit best. fit of logarithmic D_{mob} with a linear offset was used. The asymmetry parameter (g) is well captured by a cubic polynomial in the a logarithm space of D_{mob} .

$$\ln C_{sca} = H_0 + H_1 \ln D_{mob} + H_2 \ln (\ln D_{mob}) \quad (19)$$

$$\ln SSA = k_0 + k_1 \ln D_{mob} + k_2 \ln (\ln D_{mob}) \quad (20)$$

$$\ln g = \sum_{n=0}^3 s_n \ln D_{mob}^n \quad (21)$$

747
748 Since the nature of the curve for mass absorption cross-section (MAC_{BC}) changes for various D_f , it was not possible
749 to find an optimal function representative for the entire dataset. For all the fits, a limitation was found that the
750 smaller particles are not well represented by the above-mentioned functions. Therefore, in order to find an overall
751 good fit, the data is taken for points with D_{mob} larger than 50nm. For all the other fits, the data is omitted where
752 $D_{mob} < 50nm$ to reduce the resulting root means square errors (RMSEs), also suggested by Smith and Grainger,
753 2014. Previous studies have also attempted to fit the radiative properties optical properties of pure BCFAs with
754 respect to the number of primary particles (N_s) (Smith and Grainger, 2014; Kahnert, 2012b). In this study, the
755 parametrization for cross sections, SSA, and g of pure and coated BCFAs with respect to D_{mob} is provided. The
756 above-mentioned fits were applied over the entire dataset, for all the wavelengths (λ), fractal dimensions (D_f) and
757 fraction of organics ($f_{organics}$) used in our classification. The parametrization is presented as a Supplement to this
758 work, providing the user an option to choose among the various cases of λ , D_f and $f_{organics}$.

759 In this study, the parametrization scheme is developed for five BC optical properties, the extinction cross-
760 section C_{ext} , absorption cross-section C_{abs} , scattering cross-section C_{sca} , single scattering albedo SSA, and
761 asymmetry parameter g with respect to BC size. In total, the fit coefficients for the five BC optical properties are
762 provided for 192 cases comprising of various combinations of wavelengths (λ), fractal dimensions (D_f) and
763 fraction of organics ($f_{organics}$) shown in Fig. 1. For each case, linear regression models were applied individually to
764 the MSTM modelled optical properties for BC sizes ranging from 10 to 1000nm. The fit coefficients for the five
765 optical properties in each case are provided in a tabular form as a supplement to this work.

766 The resultant parametrization scheme provides the user an option to estimate the five optical properties at
767 desired BC size for any of the 192 combinations of λ , D_f , and $f_{organics}$. It must be noted that the MSTM modelled
768 optical properties were calculated for fixed values of refractive index because of limited computational resources.
769 Therefore, the parametrization scheme provided in this study is not able to account for variable refractive indices.

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772 3.8.1 Error analysis of the parametrization scheme

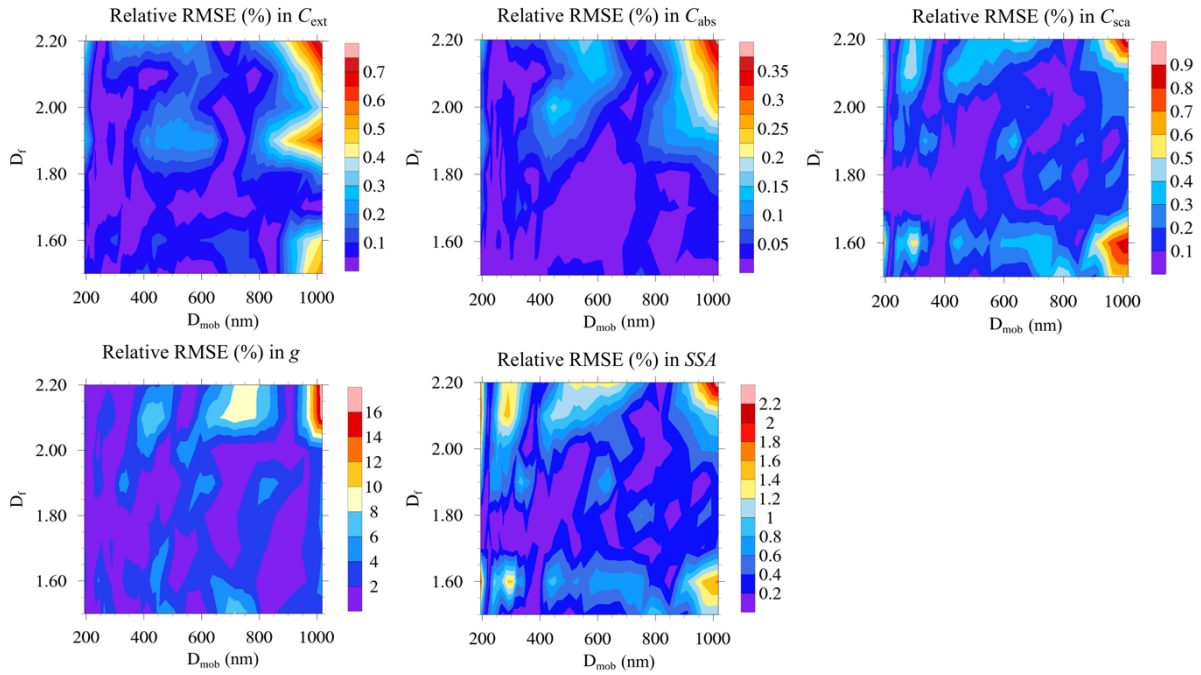
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774 In this scheme, the parametrization for radiative properties optical properties of BCFAs are provided for each point
775 of the classification given in Fig. 1. In the case of pure BCFAs, the parametrization is provided for all
776 combinations of λ (nm) = {467, 530, 660}, and D_f = {1.5, 1.6, 1.7, 1.8, 1.9, 2.2, 2.1, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8}.
777 Whereas, in the coated BCFAs, the parametrization scheme is available for all combinations of λ (nm) = {467,
778 530, 660}; D_f = {1.5, 1.6, 1.7, 1.8, 1.9, 2.2} and $f_{organics}$ (%) = {1, 5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90}.
779 This scheme is named as P_I and provides allows the user an advantage to select among various cases, suitable for
780 their purpose.

781 In order to examine and test the P_I scheme, the relative root mean square errors (RMSEs) between the MSTM
782 modelled and fitted values of radiative properties optical properties were measured. Fig. 14 shows the values of
783 relative RMSEs over a range of D_{mob} for the cases of λ (nm) = {660}; $f_{organics}$ (%) = {50}; and D_f = {1.5, 1.6, 1.7,
784 1.8, 1.9, 2.2}. For the entire range of D_{mob} and D_f , the errors in cross-sections are less than 1%. The relative RMSE
785 is < 2.5% for SSA and up to 16% for g .

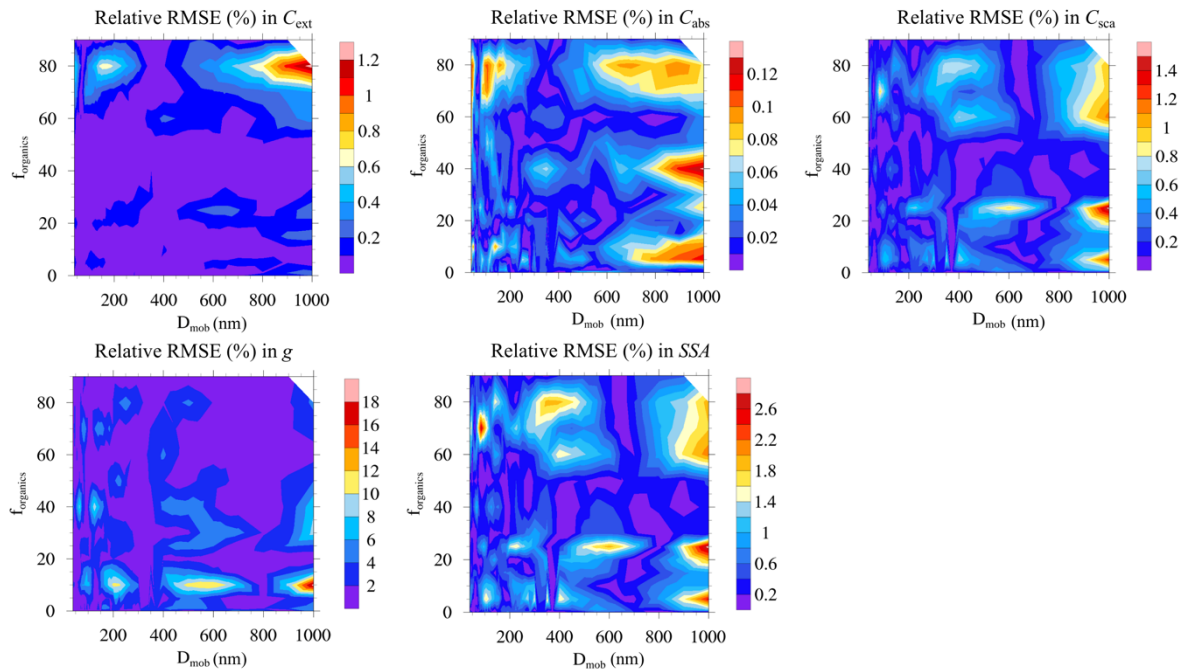
786 Similarly, relative RMSE values for the entire range of $f_{organic}$ can be seen in from Fig. 15. In this For the results
787 shown in Fig. 15, the case with, the values of λ (nm) = {660}; D_f = {1.7}; and $f_{organics}$ (%) = {1, 5, 10, 15, 20, 25,
788 30, 40, 50, 60, 70, 80, 90} were used. The errors in the cross-sections are comparable to Fig. 11, being < 1.5% in
789 all cases. Similarly, the relative RMSE for SSA is < 3%. The error in g peaks to 18% at $f_{organics} < 20\%$ for larger
790 sizes.

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Figure 14. The relative RMSE between MSTM modelled and parametrized values of C_{ext} , C_{abs} , C_{sca} , g , and SSA for various cases of fractal dimension (D_f) at $\lambda = 660\text{nm}$. In this case, the fraction of organics ($f_{organics}$) is fixed amounts to 50%.



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Figure 15. The relative RMSE between MSTM modelled and parametrized values of C_{ext} , C_{abs} , C_{sca} , g , and SSA for various cases of fraction of organics ($f_{organics}$) at $\lambda = 660\text{nm}$. The fractal dimension (D_f) is fixed to 1.7.

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It is expected, that better to have a large dataset of BCFA radiative properties optical properties with higher resolution for the individual parameters gives better results. step size in the range of parameters for developing parametrization schemes. To demonstrate this, the P_I scheme is compared to another scheme P_{II} with a reduced dataset. In the P_{II} scheme, the same fits were applied, but optical properties were averaged for D_f in the range from 1.5 to 1.7 and to the averaged values of radiative properties over a range of D_f and $f_{organics}$ i.e. a lower resolution dataset. The P_{II} scheme was applied over the radiative properties of a group of BCFA's with $D_f = 1.5-1.7$, and $f_{organics}$ in the range from =60-90% to obtain the ‘‘averaged’’ fit coefficients. The errors from this

parametrization scheme P_{II} were compared to the errors from their corresponding equivalent inclusive-cases of a BCFA ($D_f = 1.7$, and $f_{organics} = 60\%$) in the original within the parametrization scheme P_I. The results are summarized in the Table 5. The relative RMSE errors from the P_{II} are evidently larger than the ones from P_I, and gives evidence that the dataset with higher resolution minimizes errors when deriving parametrization schemes, validating the requirement for a larger dataset with higher resolution for developing parametrization schemes to minimize errors.

Table 5. Comparison between the Relative RMSE errors of parametrization schemes over a single case of BCFA ($D_f = 1.7$, $f_{organics} = 60\%$, and $\lambda = 660\text{nm}$). The errors on the left (P_I) are for the original scheme developed in this study. Whereas the errors on right show the errors resulting from P_{II}, which is the condensed form of P_I i.e., $D_f = 1.5-1.7$, and $f_{organics} = 60-90\%$. The relative RMSE errors from P_{II} are significantly higher than P_I, emphasizing the need of a larger dataset as the one used in this study, for developing parametrization schemes.

Radiative property Optical property	Relative RMSE (%)	
	P _I	P _{II}
C_{ext}	0.09	4.98
C_{abs}	0.02	1.42
C_{sca}	0.30	9.23
g	1.17	8.46
SSA	0.68	7.12

4 Conclusions

Radiative properties Optical properties of pure and coated BCFAs were systematically investigated as a function of particle size (D_{mob}), primary particle size (a_0), morphology (D_f), composition ($f_{organics}$), and wavelength (λ), further developing a comprehensive parametrization scheme for BCFA optical properties.

In contrary to the BCFA of fixed volume, the modelled radiative properties optical properties of BCFAs were found to be sensitive to changes in the radius of the primary particle (a_0) at a fixed D_{mob} . The highest sensitivity was seen for cross-sections (C_{ext} , C_{abs} , and C_{sca}), increasing by a factor of almost ten when a_0 is changed from 15nm to 30nm, at a fixed D_{mob} . When the volume equivalent radius R_{equ} of a BCFA is fixed, the values of C_{ext} and C_{abs} with changing a_0 were constant, also shown by the study of Kahnert, 2016b. The absorption cross-section C_{abs} increased by a factor of almost ten from a_0 equal to 15nm to 30nm.

In addition to the dependency of BCFA cross-sections over size, a size dependency in optical parameters SSA , g , and MAC_{BC} was also seen. All the BCFA optical properties showed dependencies over morphology and composition, the nature of this dependencies being specific to each optical property and size dependent. Amongst size (or D_{mob}), morphology (or D_f), and composition (or $f_{organics}$), the dependency on size was found dominant in all the radiative properties of BCFAs. This is evident from the increase in cross-sections from $0.0001\mu\text{m}^2$ to $0.1\mu\text{m}^2$ for BCFA D_{mob} ranging from 24nm to 810nm. In terms of morphology, the C_{sca} , SSA , and g showed the highest sensitivity towards D_f , pronouncing as the BCFA grows in size. The factor of changing morphology is overlooked when using the Mie theory for calculation of BC radiative properties in global models. The SSA showed values of up to 0.42. In contrary contrast to the results of C_{sca} , SSA , and asymmetry parameter, the C_{ext} , C_{abs} , and MAC_{BC} were more sensitive with respect to changing composition of BCFAs. For e.g., the values of MAC_{BC} increased by a factor of 1.5 with increasing amount of $f_{organics}$ up to 90%, at $\lambda = 660\text{nm}$. The optical properties SSA , g , and MAC_{BC} are needed to simulate the BC radiative forcing in global models. Therefore, the simplified core-shell representation of BC in global models does not adequately consider the above discussed impacts of morphology over the BC optical properties.

In the visible range, all the decrease in the radiative properties optical properties C_{ext} , C_{abs} , C_{sca} , and MAC_{BC} decreased with λ was large, whereas, a smaller decrease in SSA , and g with λ was shown. However, the nature of the behavior of spectral dependencies with respect to changing morphology and composition varied for various optical properties with respect to the changing morphology and composition. While the other optical properties had a less significant spectral dependence on morphology, the asymmetry parameter (g) showed the highest sensitivity of spectral dependence on morphology or D_f , dominant at a higher D_f , i.e., for compact aggregates. For e.g., the ratio increase of g at ($\lambda = 467\text{nm}$ to $\lambda = 660\text{nm}$) changed from a factor of 1.1 to 2.6 when for going from lower to higher values of D_f respectively. Whereas, all the cross-sections and black carbon mass absorption cross-section MAC_{BC} showed a significant increase in the spectral dependency with increasing fraction of organic composition or $f_{organics}$. For e.g., the MAC_{BC} of $f_{organics}$ can increased from a factor of 1.97 at 1% fraction of organics the cross-sections up to a factor

of 4 at 90% fraction of organics. It was shown that the $-MAC_{BC}$ for a BCFA can be very high for the cases with high organic content, like showed values of up to $20 \text{ m}^2/\text{g}$ for 90% fraction of organics at for the extreme case of 90% $f_{organics}$ at $\lambda = 467\text{nm}$. Additionally, at lower wavelengths (467nm), the MAC_{BC} may increase up to a factor of 2.6 with increase in $f_{organics}$.

The dependencies of the Absorption Ångström Exponent (AAE) on morphology and composition were investigated. —The values of AAE changed from 1.06 up to 3.6 depending on the fraction of organics ($f_{organic}$), fractal dimension (D_f), and size (D_{mob}). It is evident from the results, that the AAE of black carbon particle without organic coating can significantly differ to values of about unity, contradicting the interpretation of AAE in some studies. For e.g., the interpretation of the measurement values of $AAE \gg 1$ as biomass burning aerosol might be misleading in the Sandradewi model (Sandradewi et al., 2008). The values of the absorption enhancement factor (E_λ) via coating calculated from the MSTM model varied from 1.0 to 3.0 as a function of wavelength (λ) and size (D_{mob}), whereas, The-the Mie theory calculation derived derived absorption enhancement factors (E_λ) varied from 1.0 to 3.5. The ratio between the MSTM and Mie derived E_λ changed from 1.1 to 1.5 as a function wavelength (λ). The largest discrepancies between the MSTM and Mie derived E_λ was seen at the red wavelength ($\lambda = 660\text{nm}$) due to the presence of Mie resonances in larger particles. were larger by a factor of 1.1 to 1.5 to their equivalent MSTM method derived values. The values of the absorption enhancement factor (E_λ) varied from 1.0 to 3.28 as a function of wavelength (λ) and size (D_{mob}).

The key message of this study is that the sensitivity of various optical properties, especially SSA , g , and MAC_{BC} towards changing morphology and composition can be significant. Further, to understand the atmospheric and climate implications of our findings, a sensitivity study on the black carbon radiative forcing ΔF_{TOA} was conducted. It was shown that the black carbon radiative forcing ΔF_{TOA} (Wm^{-2}) can decrease up to 61% as the BCFA becomes more compact in morphology i.e., a higher fractal dimension (D_f). Therefore, the influence of morphology over the top of the atmosphere radiative forcing is neglected when using the simplified core-shell representation of BC in global model simulations. With respect to changing composition, a decrease of more than 50% in ΔF_{TOA} was seen as the organic content of particle increases. These findings are particularly relevant for modelling of polluted urban environments. —The implications of modifying the composition and morphology of BCFAs over the black carbon radiative forcing were discussed. The black carbon radiative forcing ΔF_{TOA} (Wm^{-2}) can decrease up to 61% as the BCFA becomes more compact in morphology i.e., a higher fractal dimension (D_f). Therefore, the influence of morphology over the top of the atmosphere radiative forcing is overlooked while using the simplified core-shell representation of BC. Whereas, there is a decrease $> 50\%$ in ΔF_{TOA} as the organic content of particle decreases i.e., a higher fraction of organics ($f_{organic}$). The findings are particularly relevant for modellers of urban pollution.

—It is generally assumed that the impact of BC particle becoming more compact, and the increase in organic content are linked. It was shown that the changes in these two ageing factors in tandem result in an overall decrease in the ΔF_{TOA} . Therefore, these factors must be kept under consideration when modelling absorption of BC containing particles and for assessing the radiative impacts using global models.

It is observed that the impact of BC particle becoming more compact, and increase in organic content go in the same direction i.e., decrease in the ΔF_{TOA} . This could cause changes in the dynamics of boundary layer in some scenarios. Therefore, these factors must be kept under consideration while designing the BC simulation and further discussing the radiative impacts using global models. The parametrization scheme provides the user an option to estimate the BC optical properties (extinction cross-section C_{ext} , absorption cross-section C_{abs} , scattering cross-section C_{sca} , single scattering albedo SSA , and asymmetry parameter g) at the desired BC size for various combinations of λ , D_f , and $f_{organics}$. Even though simple linear regression models were used in this study, the parametrization scheme showed low differences between the parameterized and tabulated MSTM modelled values of optical properties. For the entire parametrization scheme, the relative root mean square errors (RMSEs) in C_{ext} , C_{abs} , and C_{sca} were less than 1%. Similarly, the relative RMSE for SSA was less than 3%. The largest error of about 18% was found in g at $f_{organics}$ less than 20% for larger sizes. It must be noted that the proposed parametrisation scheme is able to accurately predict the BC optical properties above D_{mob} of 50nm under various scenarios not including uncertainties due to a fixed primary particle size and refractive index.

It is acknowledged that the results from the parametrization scheme might vary to the results from laboratory and ambient measurements. To understand the nature of discrepancy in modelled optical properties, we encourage users to compare results of this study to results from laboratory or ambient measurements if applicable. It is important to mention that the parametrisation schemes and databases based on realistic representation of BC, like the one developed in this study, is a successful step forward towards a more accurate characterization of BC containing particles and radiative forcing in climate models. Therefore, further studies should be conducted

917 [developing more comprehensive databases that include more information on primary particle size, composition,](#)
918 [physical variables like hygroscopicity, and optical parameters like refractive indices.](#)

919 ~~The novel parametrization scheme developed in this work can be used for modelling, ambient, and laboratory-~~
920 ~~based radiative studies of BC. The parametrization scheme provides a high resolution, giving the user a wider~~
921 ~~parameter space to select from. The parametrised radiative properties showed a low relative RMSEs with respect~~
922 ~~to the original MSTM derived values. For the entire parametrization scheme, the RMSEs in cross-sections were~~
923 ~~less than 1%. Similarly, the relative RMSE for SSA was < 3%. The error in g peaks to 18% at $f_{\text{organics}} < 20\%$ for~~
924 ~~larger sizes. However, it is acknowledged that the results from the parametrization scheme might vary to the~~
925 ~~results from laboratory and ambient measurements. To understand the nature of discrepancy in modelled radiative~~
926 ~~properties, it is suggested that they must be compared and validated to their equivalent laboratory or ambient~~
927 ~~results. This can be done by conducting parallel modelling and laboratory-based investigation of BCFAs,~~
928 ~~focussing on the various factors (size, morphology, and composition) that influence the radiative properties as~~
929 ~~discussed in this study.~~

934 Acknowledgement

935
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937 Metrology Programme for Innovation and Research (EMPIR).

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