



## Aerosol Effects on Electrification and Lightning Discharges in a Multicell Thunderstorm Simulated by the WRF-ELEC Model

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### Abstract

To investigate the effects of aerosol on lightning activity, the Weather Research and Forecasting (WRF) Model with a two-moment bulk microphysical scheme and bulk lightning model was employed to simulate a multicell thunderstorm that occurred in the metropolitan Beijing area. The results suggest that under polluted condition lightning activity is significantly enhanced during the developing and mature stages, while it is being delayed at the initial stage. Electrification and lightning discharges within the thunderstorm show distinguish characteristics by different aerosol conditions through microphysical processes. Elevated aerosol loading increases the cloud droplets numbers, the latent heat release, updraft and ice-phase particle number concentrations. More



negative charges in the upper level are carried by ice particles and enhance the electrification process. A larger effective radius of graupel particles further increases non-inductive charging due to more effective collisions. The first lightning discharge  
30 was delayed at the beginning of polluted thunderstorm, coincident with the delayed occurrence of graupel and ice particles, which are responsible for charge generation through the non-inductive mechanism. In the continental case where aerosol concentrations are low, less latent heat releases in the upper parts of the cloud and as a consequence, the updraft speed is weaker leading to smaller concentrations of ice  
35 particles, lower charging rates and less lightning discharges.

## 1 Introduction

Lightning activity is related to two important factors: dynamic-thermodynamic and microphysical characteristics (e.g. Williams et al., 2005; Guo et al., 2016; Wang et  
40 al., 2018; Zhao et al., 2020). Since the dynamic-thermodynamic processes affect the development of thunderstorm significantly, lightning activity is influenced by various dynamic-thermodynamic variables: temperature (Price, 1993), relative humidity in the lower and middle troposphere (Xiong et al., 2006; Fan et al., 2007), and convective available potential energy (Qie et al., 2004; Stolz et al., 2015), and many others.

45 The impacts of aerosol on the development of thunderstorm especially in metropolitan areas have been researched extensively. Observational studies indicated that the enhancement of lightning activity was related to increased cloud condensation nuclei (CCN) concentration (e.g., Westcott, 1995; Orville et al., 2001; Kar et al., 2009; Wang et al., 2011; Chaudhuri and Middey, 2013; Thornton et al., 2017; Yair, 2018). Kar  
50 et al. (2009) found a positive correlation between PM10 and SO<sub>2</sub> concentration and lightning flash densities around major cities in South Korea. A positive relationship between levels of particle pollution and lightning flash counts was also indicated by Chaudhuri and Middey (2013).

Furthermore, a variety of numerical simulations (e.g., Mitzeva et al., 2006)  
55 demonstrated the effects of aerosol on enhancing lightning activity. Using the Weather



Research and Forecasting (WRF) Model with explicit spectral bin microphysics, Khain et al. (2010) found elevated aerosol increased the number of cloud droplets and the release of latent heat by acting as CCN. Therefore, more liquid water was lifted to mixed-phase region by strong updrafts, with more ice-phase particles produced which 60 can affect charge separation and lightning formation (Takahashi, 1978; Saunders and Peck, 1998; Takahashi et al., 1983; Mansell et al., 2005; Yair, 2008). Mansell and Ziegler (2013) suggested that greater CCN concentration led to greater lightning activity up to a point by testing a wide range of CCN concentration in a 3D model with 65 two-moment bulk microphysics and stochastic branched discharge parameterization (Mansell et al., 2002). They also noted that average graupel density stayed high at lower CCN, but dropped at higher CCN because smaller droplets caused lower rime density. Zhao et al. (2015) showed that enhancing aerosol concentration resulted in an 70 enhancement of electrification processes, due to the increasing growth rate of snow and graupel particles. However, Tan et al. (2017) simulated a thunderstorm in Changchun city with a 3D cumulus model coupled with aerosol module, electrification and lightning discharge, showing that the ice crystal and graupel number increased while 75 the graupel mixing ratio decreased as the aerosol concentration increased.

The microphysical processes under different CCN concentration, especially the initiation and growth of ice-phase particles varied from different simulation studies. 75 There are few studies that discussed the aerosol effects on thunderstorm with explicit electrification and discharge parameterization in the model simultaneously (e.g., Mitzeva et al., 2006; Mansell and Ziegler, 2013; Zhao et al., 2015). Simulation by Tan et al. (2017) showed that elevated aerosol loading delayed the first discharge but rarely 80 analyzing the microphysical reasons. The detailed effects of aerosol on the delay of discharging need further study.

By analyzing lightning data from the Beijing Lightning Network (BLNET) and PM2.5 data, Sun et al. (2020) found a positive relationship between flash counts and PM2.5 concentration prior to the occurrence of thunderstorm. As a mega city, Beijing has higher aerosol concentration resulting from anthropogenic air pollution. Still, the 85 effects of aerosol on both electrification and discharges have been rarely discussed in



this area by numerical simulation. Therefore, in this paper we present sensitivity studies on how the different CCN concentration influence the characteristic of thundercloud over the metropolitan Beijing area using the WRF-ELEC (Fierro et al., 2013). We conducted sensitivity studies to evaluate the response of the microphysical properties, 90 as well as electrification and lightning processes to aerosol characteristics. This paper is organized as follows: section 2 describes the data and methodology used in the study, section 3 introduces the design of simulations, section 4 presents the results, and section 5 discusses and summarizes the study.

## 95     2 Data sources

### 2.1 Lightning data

Total flash numbers were obtained from the Beijing Lightning Network (BLNET), which consists of 16 stations covering East-West 110 km and North-South 120 km areas since 2015. The BLNET provides 3D-location results of flashes, including both intra- 100 cloud (IC) and cloud-to-ground (CG) lightning (Wang et al., 2016). The average detection efficiency of the BLNET is 93.2% for the total flashes (Srivastava et al., 2017). In this study, the 3D-location lightning radiation pulses were grouped in flashes based on the criteria of 400 ms and 15 km. This grouping criteria was modified from the algorithm in Srivastava et al. (2017). In section 3, the lightning frequency from BLNET 105 was calculated in every 6 min intervals, corresponding to the time span of Doppler radar scanning.

### 2.2 Radar data

In addition, the radar reflectivity data was obtained from an S-band Doppler radar (Chinese CINRAD/SA) near Beijing urban area (39.81 °N, 116.47 °E), and were updated 110 every 6 min. The vertical levels vary from 500 m to 20 km and were processed into composite radar reflectivity with a horizontal resolution (0.01 °×0.01 °).

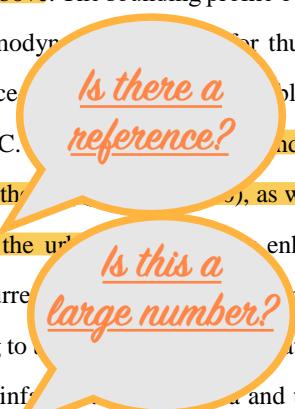
### 2.3 Synoptic background

A mesoscale convective system over the Beijing area influenced by a strong Mongolia cold vortex on 11 Aug 2017 was simulated in this study. Based on the weather map at 00:00 UTC (figure not shown), there was a prevailing westward airflow in the south of the cold vortex, which brought dry cold air in middle layer. At low-level of 850 hPa, the southwesterly jet transported warm and humid air mass, forming an unstable condition together with cold air mass above. The sounding profile over Beijing (39.9 °N, 116.2 °E) exhibited an unstable thermodynamic condition with a surface energy (CAPE) of  $3937 \text{ J kg}^{-1}$  at 12:00 UTC. The cold air advection from the mountain in the northwest and ocean in the south enhanced the island effect and elevated aerosol loading in the urban area, as well as heat convection and were responsible for the occurrence of lightning activity in the Beijing area. According to the lightning observation network in Beijing, the average rainfall in the Beijing and the eastern region was 10-30 mm, with locally exceeding 100 mm. The maximum total lightning frequency even exceeded 1600 flashes ( $6 \text{ min}^{-1}$ ) at the mature stage.

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130 3. Model overview

The WRF (version 3.9.1) model coupled with bulk light scattering (Huang et al., 2013) and a two-moment bulk microphysics scheme (Mansell and Ziegler, 2013) was used to simulate the meteorological events that occurred on 11 August 2017 in the Beijing metropolitan area.

135 The two-moment bulk microphysics scheme predicts both  
number concentration for a range of hydrometeor species (droplets, rain, snow, graupel, and hail). The graupel growth process contains the following processes:  
collection of ice crystals by graupel, collection of snow particles by graupel, deposition  
of vapor to graupel, collection of supercooled water (cloud droplets and/or raindrops)

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a and the eastern  
maximum total lightning  
e stage.

No diffusional  
growth? What about  
collisions between ice  
and snow?



140 by graupel, and conversions between hydrometeors. The CCN concentration is predicted as a bulk activation spectrum and initially mixed well vertically. The initiation of cloud droplets (both for cloud base and in-cloud) uses an expression based on Twomey (1959).

145 Explicit charging physics includes both non-inductive charging (Saunders and Peck, 1998) and inductive or polarization charging (Ziegler et al., 1991). Non-inductive charge separation resulting from rebounding collisions between graupel-hail and snow-cloud ice are all parameterized (Mansell et al., 2005). Numerical experiments (Mansell et al., 2010) found that total inductive charging is about an order of magnitude weaker than non-inductive charging, but can be important for lower charge regions. Only 150 collisions between cloud droplets and ice-phase particles (graupel, ice, hail) are considered for inductive electrification. The discharge model parameterization from Ziegler and MacGorman (1994) is used within a cylindrical region (Fierro et al. 2013), whereby a flash would be initiated when the electric field exceeds a breakdown threshold at a model grid point (from here on, we shall use the term 'grids' for short). 155 An estimate of flash origin density (*FOD*) rate (over a time period  $T = t_2 - t_1$ ) is computed following Eq. (1) of Fierro et al. (2013):

$$FOD(T) = \frac{G}{C} \int_{t_1}^{t_2} B(t) dt, \quad (1)$$

160 where  $G$  is the horizontal grid cell area,  $C$  the cylinder cross sectional area and the integral represents the sum of all discharge locations [ $B(t)$ ] counts for all the time steps within the time period  $T$ . Further, lightning discharge events (*LDE*) are given by Eq. (2) of Fierro et al. (2013). Thus, the predicted lightning frequency over the Beijing area in section 3 is the *LDE* calculated in 6 min intervals:

$$LDE(T) = \sum FOD(T). \quad (2)$$



165 **3.1 Design of the simulations**

The nested model configuration for the simulations are shown in Table 1. The  $1^\circ \times 1^\circ$  NCEP GFS (Global Forecast System) data is used to establish the initial and boundary conditions.

170 To survey the aerosol effects on the structure of the thunderstorms, the activity, two sensitivity experiments are performed with different initial aerosol concentration: a polluted case (P-case) and a continental case (C-case). The average value of the observed aerosol concentration before the thunderstorm initiation is much higher in the Beijing area (Sun et al., 2020), therefore, the CCN concentration is selected as the P-case which is consistent with observation. The initial value for the P-case is set as a 175 number mixing ratio relative to sea level air density  $\rho_0$ :  $2000/\rho_0 \text{ kg}^{-1}$ , where  $\rho_0=1.225$ , and the local number concentration is  $2000 \times (\rho_{\text{air}}/\rho_0) \text{ cm}^{-3}$ . And the initial aerosol concentration for the C-case is set at  $1200 \times (\rho_{\text{air}}/\rho_0) \text{ cm}^{-3}$ , consistent with previous conditions (e.g. Hobbs and Rangno, 1985; Mansell et al., 2013; Mansell and Ziegler, 2013; communication, 2019). The relatively high prescribed CCN concentration is needed to 180 small droplet diameters and effectively delayed the warm rain process in the model (Mansell and Ziegler, 2013).

*Higher than what?*

*Should effectively delay.*

## 4 Results

### 4.1 Radar reflectivity and lightning flashes of multicellular thunderstorms

185 Figure 2 shows the observed and simulated radar reflectivity and lightning flash periods for both cases, with the formation of thunderstorms in the simulation earlier than the observation about 1.5 h. For this reason, we display the simulation and observation with ~90 minutes time difference. It is clear that both simulated times exhibit an overall good agreement with the evolution and morphology of the radar echo, especially evidenced by the northeast-southwest orientation of the radar echo at 11:54 UTC in the simulated (13:24 UTC in the observation) reflectivity fields. Note that the modeled reflectivity

*Was one delayed?*



differs from the observation in the northwestern area (116.4 °E-116.0 °E, Fig. 2a, 2c and 2e), and so the impacts of aerosol on lightning activity will only be evaluated in the southeastern Beijing area (116.0 °E-117.5 °E).

195 The temporal variation of total flashes from BLNET is shown in Figure 3a, including both intra-cloud (IC) and cloud-to-ground (CG) lightning. The lightning frequency gradually increased during 11:00-12:00 UTC and raised significantly after 12:00 UTC, as well as reached the peak value at 12:30 UTC, and then decreased gradually. According to the evolution of radar reflectivity and lightning activity, the 200 thunderstorm was divided into four periods: the beginning (09:00-11:00 UTC), the developing stage (11:00-12:30 UTC), the mature (12:30-13:30 UTC) and the dissipating stage (after 13:30 UTC) of the thunderstorm. The variation of predicted lightning frequency over Beijing area under the polluted and continental cases are shown in Fig. 3b, both of them start earlier than observation about 1.5 h. The 205 variation of flashes in the P-case is better consistent with the observation. In contrast, the occurrence of the first lightning for the continental case is earlier than both the observation and the polluted case.

Figure 4 displays the number of initiated lightning for the C-case and P-case during different periods. To 210 evaluate the intensity of lightning activity, the lightning initiation is categorized into three grids in each time step: light (50-100 grids) and extreme (>300 grids). The number of initiations (rows and columns) in each category is counted hourly as the 'number of initiations'. A comparison of the different intensity categories reveals that the simulated lightning activities increase during 10:30-11:30 UTC (Fig. 4c) under high aerosol loading, corresponding to the developing and mature stages of the thunderstorm. During 09:30-10:30 UTC, while different categories of lightning are enhanced for both P- and C-case (Fig. 4b), it is noted that the maximum lightning initiation occurs in the extreme level for the P-case. There is no lightning initiation for the P-case at the beginning of the thunderstorm (08:30-09:30 UTC, Fig. 220 4a), however, all categories of lightning are initiated in the C-case, indicating that the first discharging is delayed under polluted condition. This phenomenon is accordant

with previous simulation by Tan et al. (2017), which suggested that the first discharge time of the polluted thundercloud was delayed.

Hence, the results indicate that while elevated aerosol loading enhances lightning activities in the developing and mature stages of thunderstorm, the time of the first discharge is delayed. In the following contents we will offer a possible explanation for this effect.

## 4.2 Microphysical properties of multicell

To investigate the effects of aerosol on lightning activities, we first analyze the simulated microphysical properties in both the continental and polluted sensitivity studies. Figure 5a-5h show the temporal variations of mass mixing ratio and number concentration for different categories of hydrometeors **with the vertical profiles**, herein, their mass mixing ratios are averaged horizontally. Figure 5i-5j show the time-height plots of maximum radar reflectivity and vertical velocities. The time-height plots of cloud droplets (Fig. 5a, 5b), raindrops (Fig. 5c, 5d), graupel (Fig. 5e, 5f) and maximum radar reflectivity (Fig. 5i, 5j) in both continental and polluted stages of the thunderstorm.

simulation  
studies, more  
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activated

## why?



250 droplets could not simply be explained by more condensation. The domain-averaged effective radius for cloud droplets is displayed in Fig. 6a, with small radii under **polluted condition**. The maximum effective radii of cloud droplets are 8.98  $\mu\text{m}$  and 9.50  $\mu\text{m}$  in different cases, and 7.42  $\mu\text{m}$  for the polluted case. This explanation doesn't make sense, as you already said the saturation was near 100%.  
255 suppresses collection/condensation and keeps more mass in droplets in turn. Consequently, the warm rain process is delayed and the rainwater mass mixing ratio is less in the polluted case compared with the continental case. So why is the warm process delayed?

260 droplets, which are too small to be converted into raindrops, are concentrated into raindrops. Simulations found that ice crystals could be formed at the lower level. Pre-  
freezing level. Pre-freezing condition, resulting in more latent heat and strength. In this study, the cloud droplets concentration and corresponding latent heat in both cases are approximately the same. More mass loading of droplets in the P-case, however, might lead to weak updrafts at lower level (Fig. 5j). The cloud ice content is still higher in the P-case, probably owing to higher droplets content and more riming. As shown in Fig. 5h, the mass mixing ratio of ice crystals grows with the development of thunderstorm, with domain-average of 12.1  $\text{g kg}^{-1}$  for the polluted case and 11.39  $\times 10^{-2} \text{ g kg}^{-1}$  for the continental case at the mature stage of thunderstorm (00:00 UTC).

270 In contrast, the mass mixing ratio of graupel was relatively less in the P-case (Fig. 5f). Less graupel content under polluted condition is rather surprising, since previous simulation studies (Wang et al., 2011; Zhao et al., 2015) found that there should be more graupel at the mature stage of thunderstorm, by virtue of enhanced updrafts and more cloud droplets lifted to the mixed-phase region. These could be explained by a much lower CCN concentration ( $< 400 \text{ cm}^{-3}$ ) in this case. At the same time, the CCN concentration ( $> 1000 \text{ cm}^{-3}$ ), the reduced raindrops freezing (Fig. 5d) probably explain the lower density of graupel. Lower fall speed of the lower density graupel further results in less riming. Other simulation also found a decrease of graupel mixing ratio



280 under polluted condition, and partly due to the larger size of graupel particles (Tan et al., 2017). However, the polluted case is much larger compared to the continental case. The melting of graupel in the dissipation stage is mainly at the mature stage. The mean mixing ratio at the dissipation stage were  $17.23 \times 10^{-3}$  g kg<sup>-1</sup>,  $41.70 \times 10^{-3}$  g kg<sup>-1</sup> for the P-case, and  $8.31 \times 10^{-3}$  g kg<sup>-1</sup> and  $41.53 \times 10^{-3}$  g kg<sup>-1</sup> for the C-case, respectively. Such a phenomenon partly explains the appearance of graupel of larger effective radius in the P-case (Fig. 6c). The effective radii of graupel reached 0.98 mm and 0.92 mm for the P-case, corresponding to 0.71 mm and 0.61 mm in different stages for the C-case. Compared to the small difference in effective radii of ice crystals between the polluted and continental cases (Fig. 6d), the radius of graupel is much larger in the P-case, which likely resulted in larger collision efficiency between graupel particles and other ice particles.

285 The on-inductive charging.

290 Increasing aerosol loading affects the ice-phase processes, especially the ice-phase processes with non-negligible size. Both of them would inevitably affect lightning activity and the rate and magnitude of electrification.

*No real  
differences at the  
dissipating stage*

*This is a conclusion,  
rather than a result*

#### 4.3 The relationship between hydrometeors and electrification

To analyze the relationship between hydrometeors and electrification, vertical cross sections is shown in Figure 7a and 8a, which display the total charge distribution at the mature stage of the thunderstorm in the polluted (11:54 UTC) and continental (11:24 UTC) cases. In the polluted case, a negative charge resides at the upper level ( $> 13$  km), with the positive and negative charge centers at the upper and lower level, respectively. The dipolar charge structure with positive charge in the upper level and negative charge in the lower level simulated in the polluted case is classified as simple dipoles or tripoles (Williams et al., 1989) and, is more consistent with previous observations (Thomas et al., 2001) and simulations (Fierro et al., 2013; Zhao et al., 2015). As for the total net space charge density, the maximum of positive charge

*How is it more  
consistent?*



density at the mature stage in the P-case is up to  $+1 \text{ nC m}^{-3}$ , which is much larger than that in the C-case ( $< +0.5 \text{ nC m}^{-3}$ ).

310 We attempt to explain the origins of the charge distribution, polarity and amount of charge carried by different hydrometeors (ice, 315 graupel, snow and hail particles). The negative charge region in the upper level (12-15 km) results from collision of graupel with smaller ice crystals and snow particles (Fig. 7d), with the 30 dBZ echo tops reaching 13 km. The simulated vertical distribution of 320 net charge in the C-case is caused by ice and snow particles charged positively at 8-13 km and graupel particles charged negatively at 4-10 km, respectively (Fig. 8b and 8d). However, less ice-phase particles appear in upper level in the C-case, corresponding to 325 a relatively weaker negative charge center. Figure 7c and 8c show the cross sections of the simulated radar reflectivity and vertical velocity at 11:54 UTC (11:24 UTC) under different aerosol conditions. It is evident that both updraft and downdraft in the polluted 330 case at higher level are greater than that in the continental case, due to more freezing of droplets to ice-phase particles, and as a consequence, the updraft is significantly larger above 12 km.

Figure 7d shows that graupel and hail particles charged negatively at 4-8 km, as a 325 result of the non-inductive charging within region of relatively weak updrafts ( $< 5 \text{ m s}^{-1}$ ) in the P-case (Saunders and Peck, 1998). With large liquid water content (LWC) in the polluted case, graupel, ice and hail were charged positively, forming an intense positive charge center at 9km ( $< -20^\circ\text{C}$ ), as shown in Fig. 7a. The simulations show that non-inductive charging mechanism plays a main role at the mature stage, the rate 330 of which is one order of magnitude larger than inductive charging (Fig. 9). As described in section 4.2, more ice particles and graupel with larger radii appear at this stage in the P-case, evidenced by the larger simulated radar reflectivity (Fig. 7c), and the ensuing collision rates led to significantly stronger non-inductive charging at 6-10 km (Fig. 9b). In consequence, it is obvious from Fig. 7a and 8a that the charge density for the P-case 335 is much higher than the C-case, indicating that aerosol plays an important role in affecting the accumulated charge density through microphysical and electrical processes.

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simulation?*

*For what  
reason?*

The appearance of more ice-phase particles in upper level and effective radius of graupel, together led to greater a consequence to stronger electric field intensities. Lightning the electric field intensity is greater than a certain threshold tends to further affect lightning activity through electrification. Mansell et al. (2013) found that greater CCN concentration led to increased lightning activity up to a point, by affecting microphysical and electrical characteristics, with a large sensitivity to ice multiplication. In agreement with Mansell et al. (2013), this study showed that higher CCN concentration in the polluted case result in a relatively strong upper negative charge region, together with increased charge density and electric field intensity, finally enhancing lightning activity, as shown in Fig. 3b.

Hard to understand this sentence structure

#### 4.4 Convective strength

The peak value of latent heating at higher level (11-14 km) is greater in the polluted case at this stage, with more ice-phase particles appearing (Fig. 7). The maximum latent heating of P-case (greater than  $0.025 \text{ K s}^{-1}$ ), which could be from extra droplet freezing, appears near 12 km, while the latent heating of C-case is only  $0.015 \text{ K s}^{-1}$  at the same height. Previous studies also found that elevated aerosol loading contributed to the increasing frozen latent heat (e.g., Khain et al., 2005; Lynn et al. 2007; Storer et al., 2010). The increasing release of the latent heat of freezing strengthens the convection and further enhances charging and eventually lightning activity, as discussed above.

Add more recent references

So, what makes the simulations different?



However, higher CCN concentration has little influence on condensation at lower level at this stage.

#### 4.5 Delay of first flash

*When?*

As described above, the first lightning discharge was delayed in the polluted situation. **In the meanwhile, the total charge density of the P-case is also weaker.** The vertical charge distribution for the continental and polluted cases at the early development stage are shown in Figure 11d and 12d. It is clear that charge density in the C-case is greater than the P-case. The maximum of positive charge density of the C-case is more than  $+1 \text{ nC m}^{-3}$  at 9 km, while the peak of the P-case is less than  $+0.5 \text{ nC m}^{-3}$ . Compared to the C-case, the area where the charge density  $> +0.1 \text{ nC m}^{-3}$  or  $< -0.1 \text{ nC m}^{-3}$  is much smaller in the P-case (Fig. 12d).

The differences of the charge density are further explored by examining the non-inductive charging mechanism. The polarity and magnitude of non-inductive charging depend on graupel-ice collision, and are related to environmental temperature and LWC, which mainly occurs between  $-10^\circ\text{C}$  and  $-40^\circ\text{C}$  with sufficient LWC (Takahashi, 1978; Saunders et al., 1991; Saunders and Peck, 1998; Yair et al., 2010). In the present simulation, positive non-inductive charging rate exceeding  $50 \text{ pC m}^{-3} \text{ s}^{-1}$  appears between 8 and 10 km in the C-case (Fig. 11b), corresponding to the positive charge region. Fig. 11a and 11c display the charge carried by different hydrometeors, which indicate graupel charged positively in this region, mainly due to collisions of graupel and ice particles with relatively low temperature ( $-30^\circ\text{C}$ ). Compared to the C-case, the non-inductive charging rate is much lower ( $< 0.5 \text{ pC m}^{-3} \text{ s}^{-1}$ ) in the P-case (Fig. 12b), resulting from lower graupel and ice particle concentrations and lower collision rates (Fig. 12a and 12c) at the beginning. With the development of the thunderstorm and the appearance of more ice-phase particles, the area where the charge density  $> +0.1 \text{ nC m}^{-3}$  (or  $< -0.1 \text{ nC m}^{-3}$ ) becomes much larger at 09:30 UTC in the P-case (Fig. 13d), when it is more similar to the C-case at 09:18 UTC (Fig. 11d). In the meanwhile, the non-inductive charging rate increases significantly, resulting from the

*Then  
before or the C  
case?*



appearance of more graupel particles (Fig. 13b).

395 High CCN concentration forces high concentrations of smaller droplets (Fig. 6a),  
making coalescence less efficient and delaying precipitation (Mansell and Ziegler,  
2013). In the C-case, the earlier appearance of graupel probably led to earlier charging  
and thus lightning discharges

#### 400 5 Conclusions and discussion

To elucidate the effects of aerosol on lightning activity, a two-moment bulk  
microphysics scheme (Mansell et al., 2010; Mansell and Ziegler, 2013) and bulk  
lightning model (BLM, Fierro et al., 2013) were coupled in the WRF model to simulate  
a multicell thunderstorm that occurred on 11 August 2017 in the metropolitan Beijing  
405 area. The simulated distribution and development of radar reflectivity  
are in overall agreement with *In what way?*

Sensitivity experiments show that the frequency and duration of lightning activity  
are evidently different between moderate (continental) and high (polluted) aerosol  
concentrations, resulting from microphysical processes. Elevated aerosol loading  
410 enhances the development of ice-phase microphysical processes, evidenced by more  
ice crystals and larger effective radius of graupel participating in charge-separation and  
electrification processes. As a result, non-inductive charging increases due to more  
frequent and effective collisions between graupel and other ice-phase particles. These  
lead to higher charge density, together with larger upper negative charge region which  
415 is caused by the particles transported to higher levels, leading to electric  
fields that breakdown value, culminating in an enhanced lightning  
activity and mature stages of the thunderstorm, the latent heat  
release of latent heat during freezing of supercooled liquid particles. But higher CCN  
concentration has little influence on condensation at lower level at later stage compared  
420 to the continental CCN concentration, which already limits the development of excess  
supersaturation.



Compared to the C-case, initial lightning activity was delayed at the beginning of polluted thunderstorm in the P-case. A delay of the first flash was also found by Tan et al. (2017), however, the advanced growth of graupel and ice crystals at the initiative time with lower CCN was not noted. In this study, raindrops and graupel appear earlier under continental condition at the beginning due to relatively efficient coalescence, helping to make downdrafts and lead to earlier non-inductive charging and lightning.

Observation and simulation studies found enhanced lightning activity under polluted condition (e.g., Koren et al., 2011; Wang et al., 2011; Zhao et al., 2015). Previous studies suggested that the mass mixing ratio of aerosol and ice nuclei (IN) is inversely proportional to the CCN concentration, eventually resulting in enhanced CCN concentration, eventually resulting in enhanced lightning activity (e.g., Wang et al., 2011; Zhao et al., 2015), while a decrease of graupel mixing ratio was found by Tan et al. (2017). It should be noted that when aerosol concentrations are too large, **this leads to the** inhibition of convection resulting in less lightning, as discovered by Altaratz et al. (2010) in the Amazon basin, as well as by Hu et al. (2019) in Houston region, and simulated by Mansell and Ziegler (2013). In this study, the enhancement of lightning activity under polluted condition results from increasing ice crystal number and effective radius of graupel particles. More ice-phase particles in upper level under polluted condition, forming a relatively stronger negative charge region above the main positive charge center, which is also indicated by Zhao et al. (2015). We found that under continental condition, earlier charging results from advanced occurrence of graupel and ice crystals, providing support for the result of first discharge delay in the polluted thunderclouds (Tan et al., 2017).

The impacts of aerosol on lightning were investigated acting as CCN, however, aerosol also tends to affect electrification and lightning discharge by acting as ice nuclei (IN) through microphysical processes (Tao et al., 2012; Fan et al., 2017). More sensitive experiments are still needed to discuss the influences of aerosol on lightning due to microphysical and thermodynamic processes, acting as IN.

450

*These sentences  
appear to contradict  
each other*



## Data availability

To request the data given in this study, please contact Dr. Dongxia Liu at the Institute of Atmospheric Physics, Chinese Academy of Sciences, via email ([liudx@mail.iap.ac.cn](mailto:liudx@mail.iap.ac.cn)).

455

## Author contributions

MS, XQ designed the research ideas for this study. MS carried the study out and prepared the paper. EM provided analysis ideas for the microphysics and electrification. DL and YY edited the paper. Other co-authors participated in science discussions and 460 article modification.

## Competing interests

The authors declare that they have no conflict of interest.

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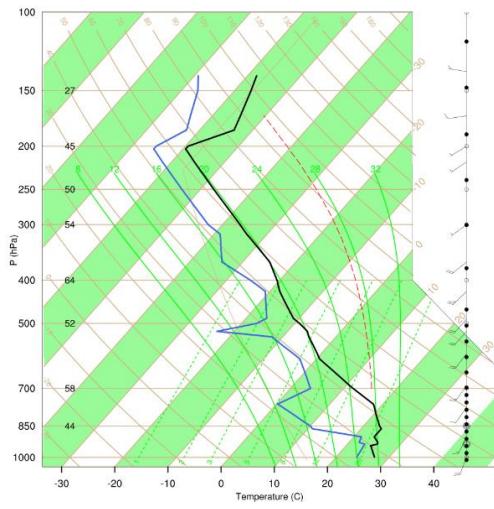
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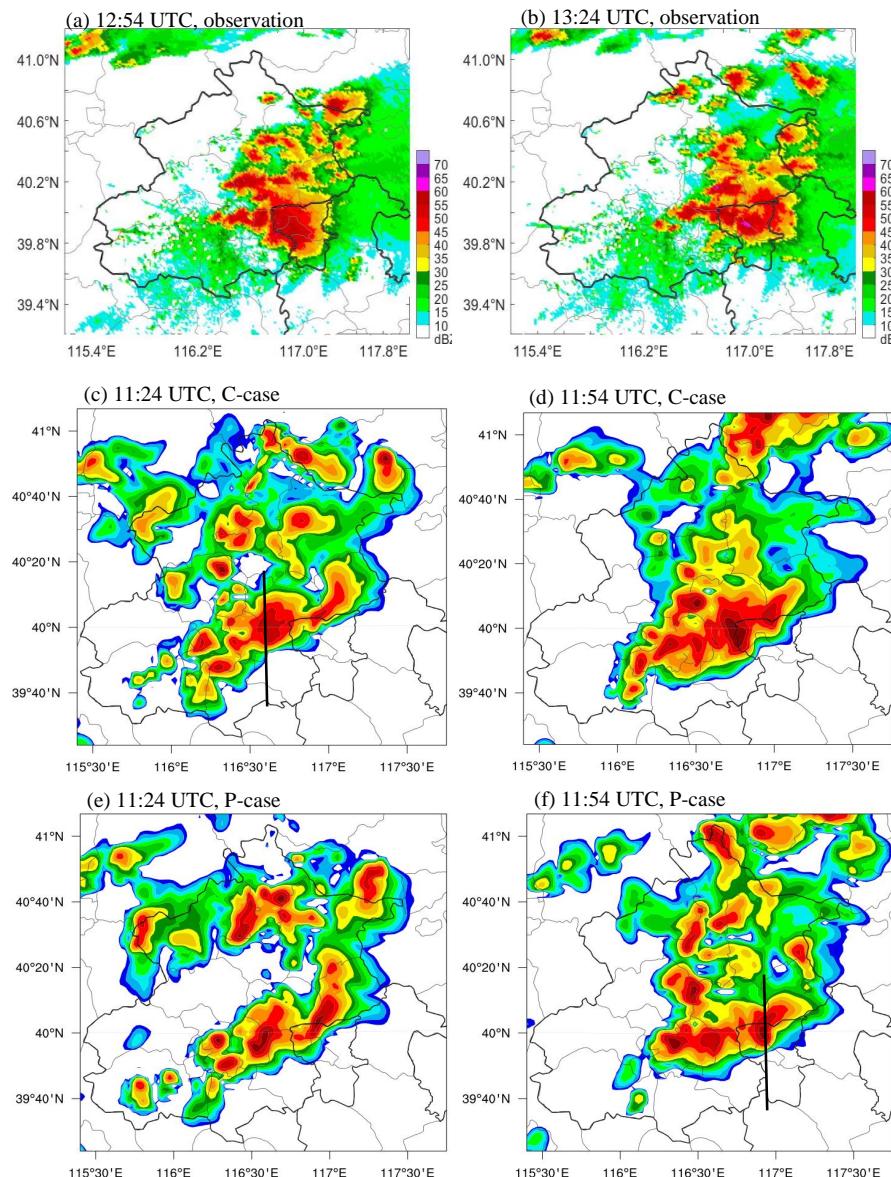


**Table1. Settings for the nested simulations**

Model Option	Outer D01	Inner D02
Domain Coverage	6km, 442×391	2km, 496×496
Vertical levels	40	40
Time step	30s	10s
Microphysics Scheme	NSSL	NSSL
Longwave Radiation	RRTM	RRTM
Shortwave Radiation	Dudhia	Dudhia
Boundary Layer	BouLac PBL	BouLac PBL
Land Surface	Unified Noah LSM	Unified Noah LSM



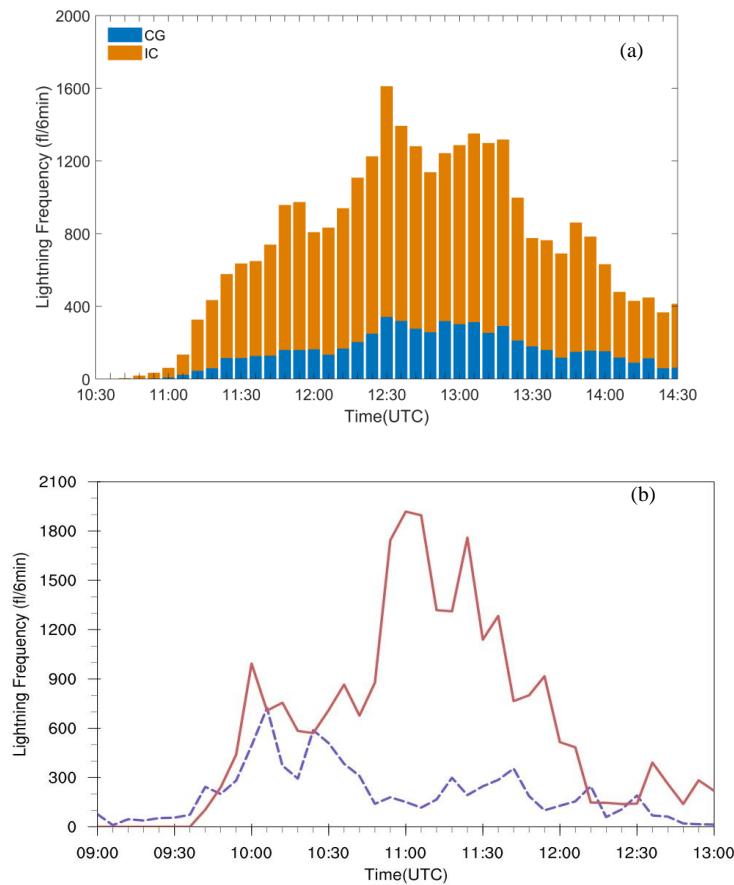
**Figure 1** Sounding profiles for Beijing at 12:00 UTC on Aug11, 2017. The black, blue solid lines and red dashed line represent temperature, dew point, parcel adiabatic lapse rate, respectively.



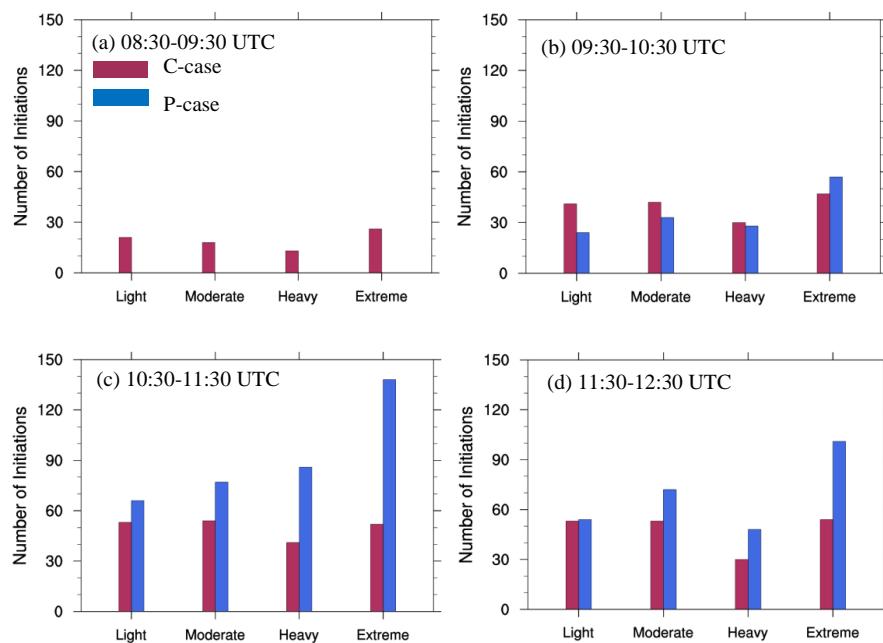
645 **Figure 2** Radar reflectivity (unit: dBZ) between observation and simulation for the C- and P-cases,  
the simulation was earlier than observation about 1.5 h. (a)-(b) Observation at 12:54 UTC and 13:24  
UTC. (c)-(d) Simulation for the C-case at 11:24 UTC and 11:54 UTC. (e)-(f) Simulation for the P-  
case at 11:24 UTC and 11:54 UTC, respectively.



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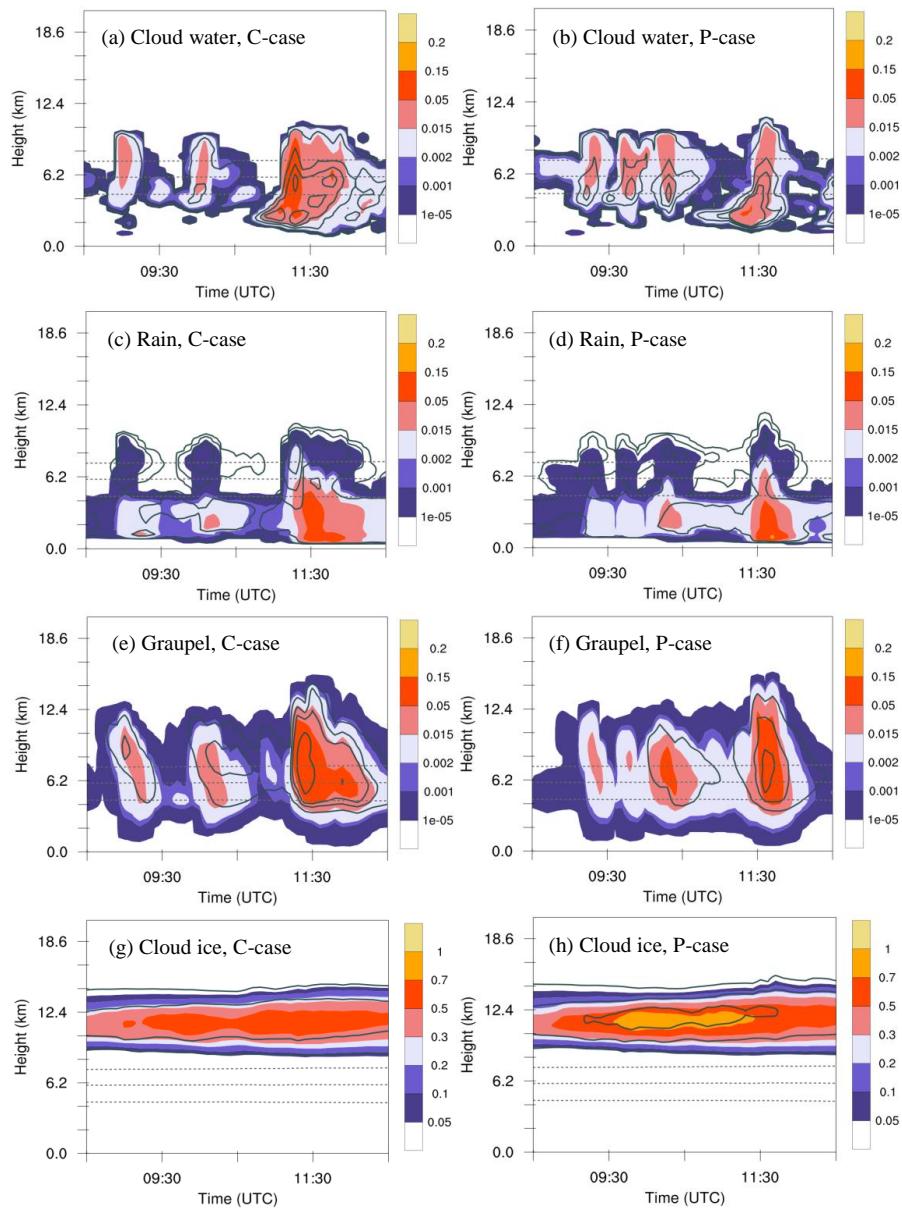
655 **Figure 3** Temporal variation in (a) observed total lightning frequency and (b) simulated lightning frequency. In (a), orange represents IC lightning and blue represents CG lightning. In (b), solid line represents the P-case and dashed line represents the C-case.



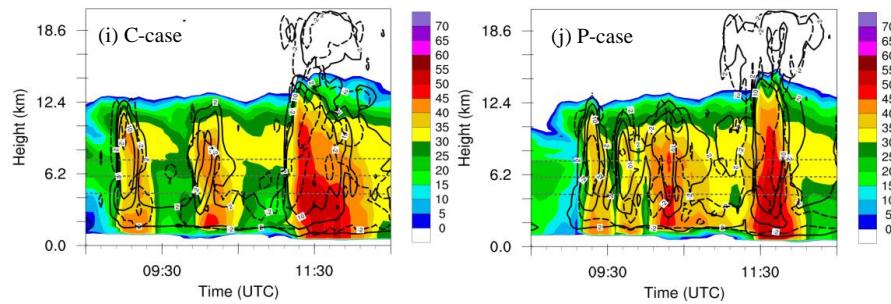
660 **Figure 4** Number of initiations for different lightning categories in different time, i.e. light (50-100 grids), moderate (100-200 grids), heavy (200-300 grids) and extreme (>300 grids), simulated for the P- and C-cases.



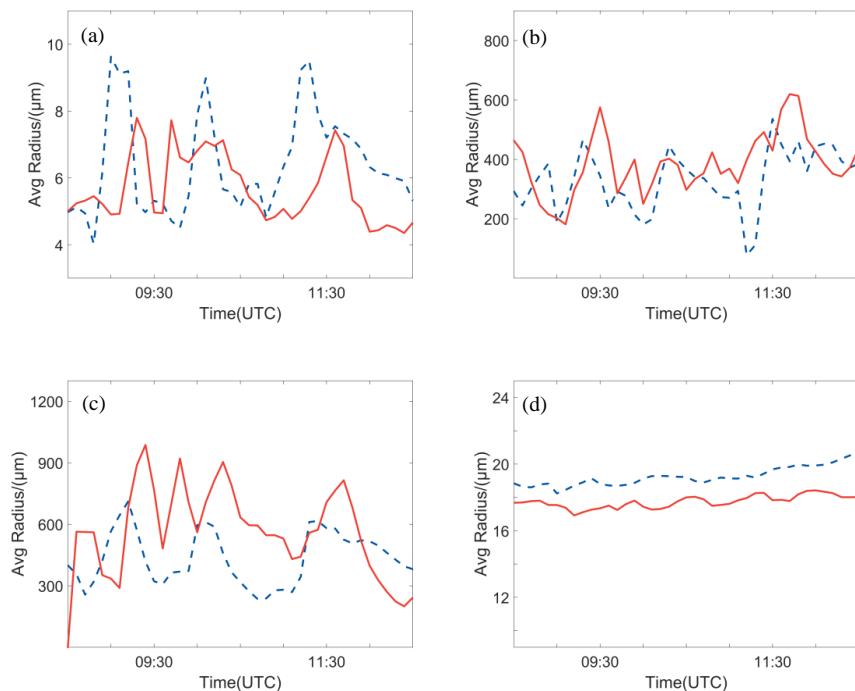
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670 (figure continued on next page)



**Figure 5** (a)-(h) Temporal variation of the vertical profiles of domain-averaged mass mixing ratio ( $\text{g kg}^{-1}$ , shaded) and number concentration ( $\text{kg}^{-1}$ , solid lines) of (a) cloud water in the C-case, (b) cloud water in P-case, (c) rain water in the C-case, (d) rain water in the P-case, (e) graupel in the C-case, (f) graupel in the P-case, (g) ice in the C-case, and (h) ice in the P-case. The  $0\text{ }^{\circ}\text{C}$ ,  $-10\text{ }^{\circ}\text{C}$ , and  $-20\text{ }^{\circ}\text{C}$  isotherms are shown by the dashed gray lines in (a)-(h). Contour levels in (a)-(h) for cloud water number concentration are  $10^5$ ,  $10^6$ ,  $10^7$ ,  $2 \times 10^7$ ,  $3 \times 10^7 \text{ kg}^{-1}$ , and for rain water are  $1$ ,  $10$ ,  $10^2$ ,  $10^3$ ,  $3 \times 10^3 \text{ kg}^{-1}$ , and for graupel are  $10$ ,  $30$ ,  $50$ ,  $100$ ,  $300$ ,  $500$ ,  $700$ ,  $1000 \text{ kg}^{-1}$ , and for ice are  $0.1 \times 10^7$ ,  $1 \times 10^7$ ,  $3 \times 10^7 \text{ kg}^{-1}$ . (i)-(j) Time-height maximum simulated radar reflectivity (color shading, unit: dBZ) and vertical velocities (solid line:  $2, 10 \text{ m s}^{-1}$ ; dashed line:  $-2 \text{ m s}^{-1}$ ) for (i) the C-case and (j) the P-case.

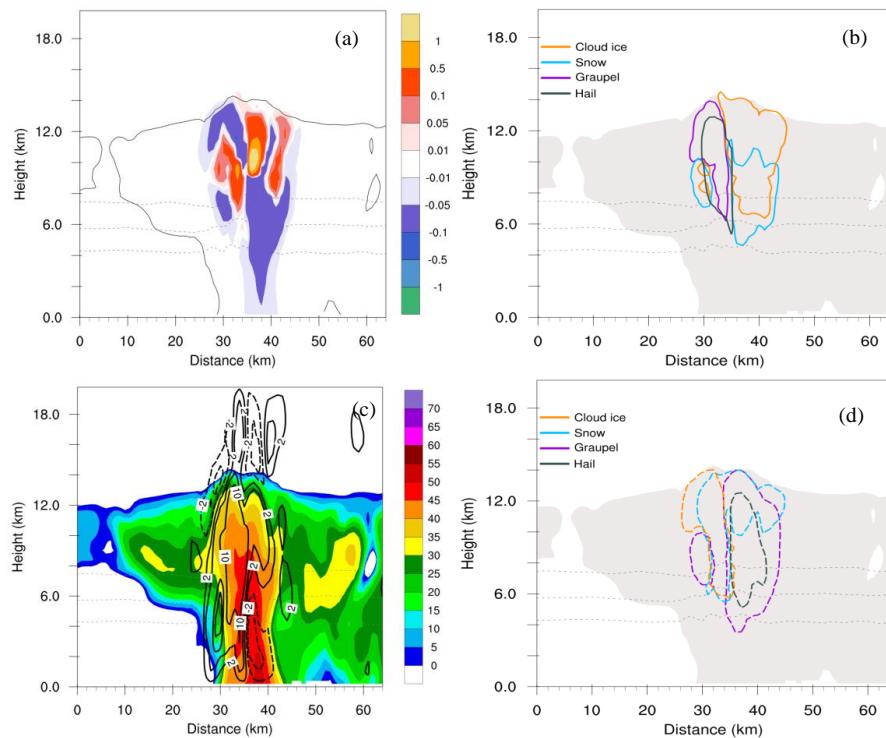


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**Figure 6** Temporal variation of domain-averaged effective radius for the different hydrometeors. (a) cloud water, (b) rainwater, (c) graupel, (d) ice. The solid lines represent the P-case and the dashed lines represent the C-case.

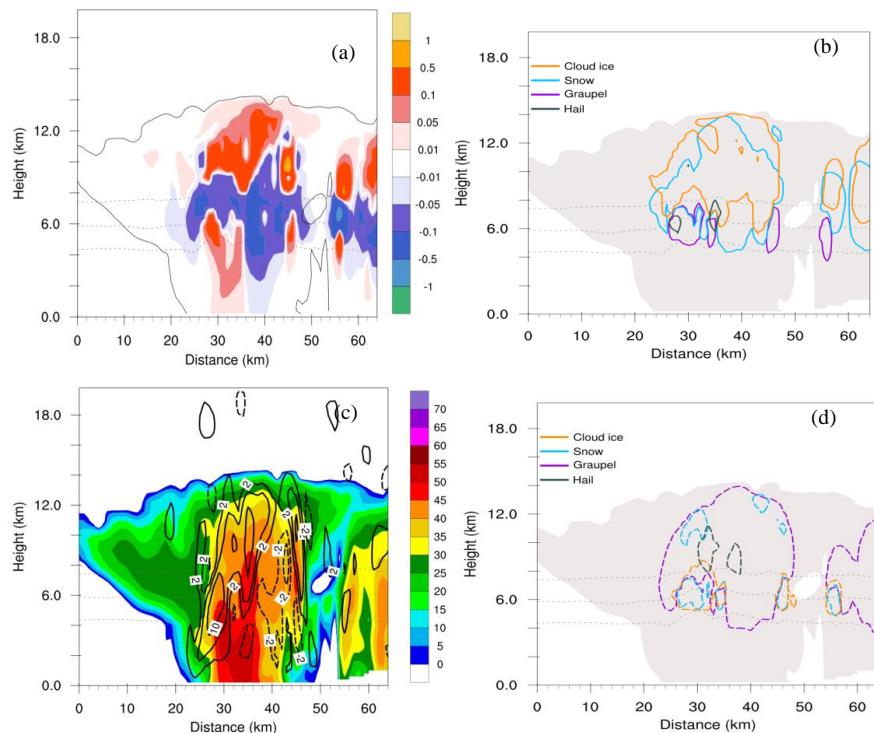


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695 **Figure 7** Vertical cross sections (south to north) at the location shown in Fig. 2f of the main electrical and microphysical variables at the mature stage of the thunderstorm (11:54 UTC) in the P-case. (a) Total net space charge ( $\text{nC m}^{-3}$ , shaded). The  $0\text{ }^{\circ}\text{C}$ ,  $-10\text{ }^{\circ}\text{C}$ , and  $-20\text{ }^{\circ}\text{C}$  isotherms are shown by dashed gray lines in (a)-(d). (b)  $+0.1\text{ nC m}^{-3}$  space charge density contours for cloud ice (orange), snow (blue), graupel (purple), and hail (black). The cloud outline (reflectivity echoes  $\geq 5\text{ dBZ}$ ) is denoted by the gray shaded contour. (c) Radar reflectivity (unit: dBZ), black lines for vertical velocities (solid line:  $2, 5, 10\text{ m s}^{-1}$ ; dashed line:  $-2\text{ m s}^{-1}$ ). (d) As in (b), but for  $-0.1\text{ nC m}^{-3}$  charge density.

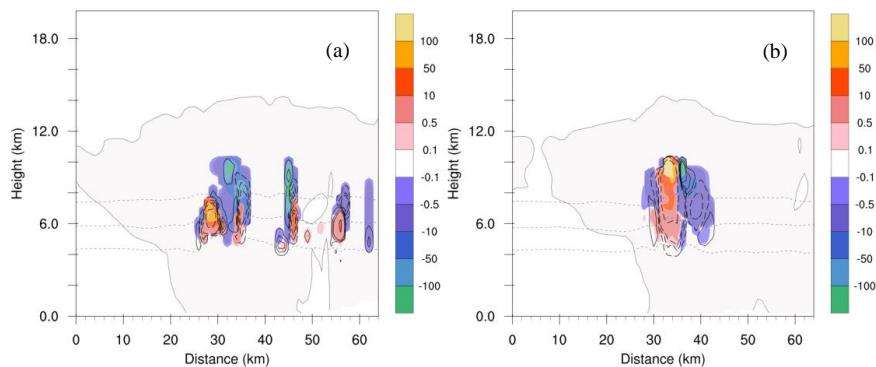
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705 **Figure 8** As in Fig. 7, but the vertical cross sections at the location shown in Fig. 2c of the main electrical and microphysical variables at the mature stage of the thunderstorm (11:24 UTC) in the C-case.

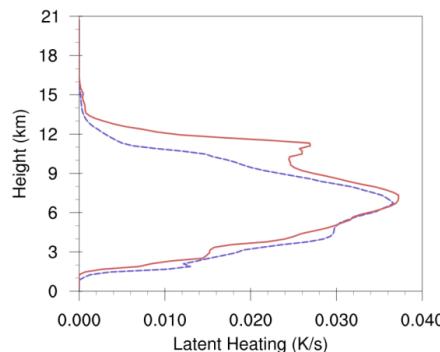


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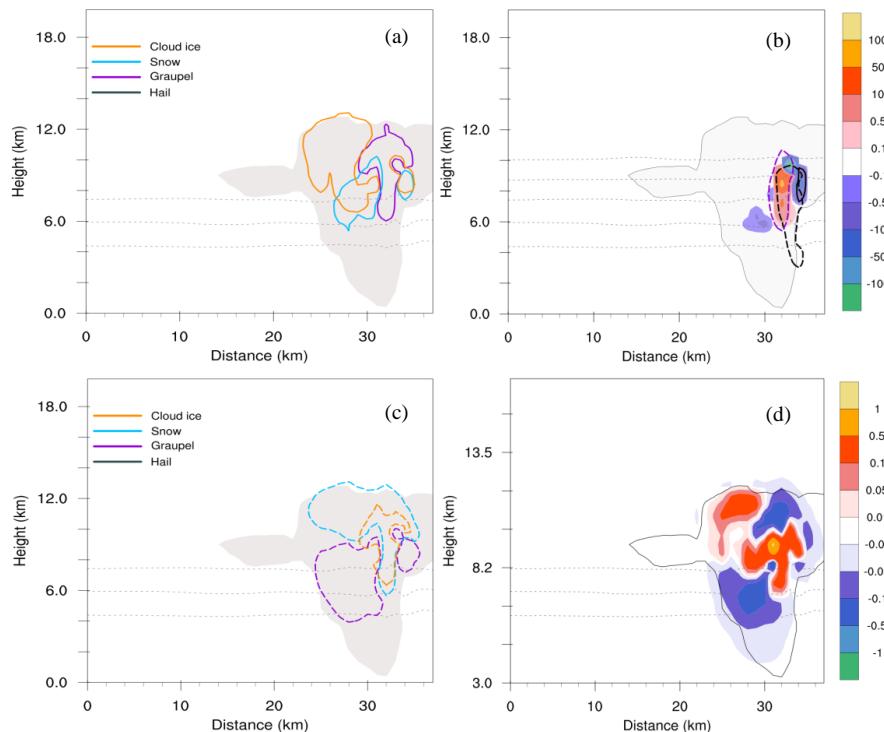
**Figure 9** Vertical cross sections (south to north) at the location shown in Fig. 2 of non-inductive ( $\text{pC m}^{-3} \text{ s}^{-1}$ , shaded) and inductive (solid lines: 0.1, 0.5, 1  $\text{pC m}^{-3} \text{ s}^{-1}$ ; dashed lines: -0.1, -0.5, -1, -5, -10  $\text{pC m}^{-3} \text{ s}^{-1}$ ) charging rates at the mature stage of (a) C-case (11:24 UTC, Fig. 2c), and (b) P-case (11:54 UTC, Fig. 2f). The 0 °C, -10 °C, and -20 °C isotherms are shown by dashed gray lines.

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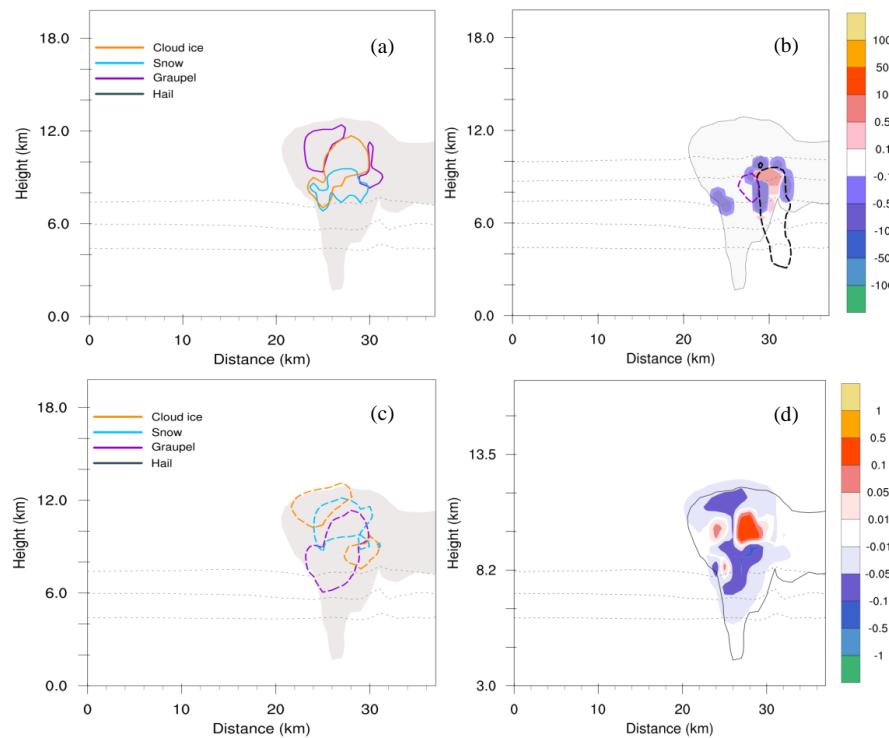


**Figure 10** Vertical profiles of domain-maximum latent heating (unit:  $\text{K s}^{-1}$ ), at the developing and mature stage (09:30-12:00 UTC). The solid line represents the P-case and the dashed line represents the C-case.

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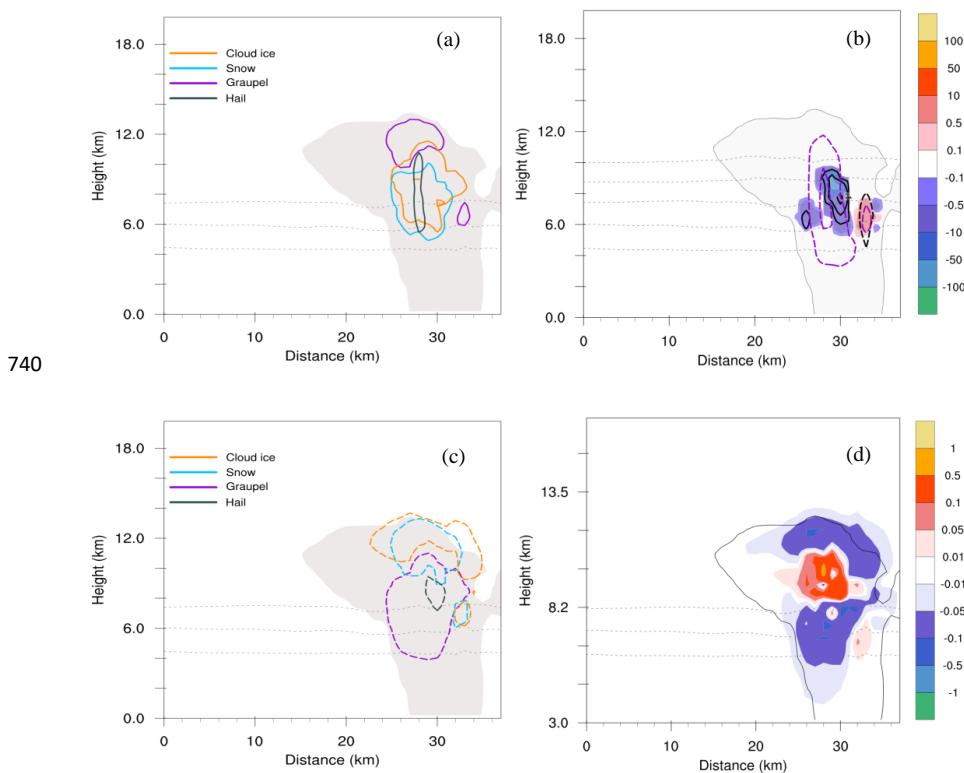


**Figure 11** Vertical cross sections (south to north) of main electrical and microphysical variables at the beginning of the thunderstorm (09:18 UTC) in the C-case. (a)  $+0.1 \text{ nC m}^{-3}$  space charge density contours for cloud ice (orange), snow (blue), graupel (purple), and hail (black). The cloud outline (reflectivity echoes  $\geq 5 \text{ dBZ}$ ) is denoted by the gray shaded contour. (b) Non-inductive (shaded) and inductive (solid black line) charging rate (unit:  $\text{pC m}^{-3} \text{ s}^{-1}$ ), dashed black line for LWC ( $1 \text{ g kg}^{-1}$ ), dashed violet line for graupel ( $0.5, 3 \text{ g kg}^{-1}$ ). (c) As in (a), but for  $-0.1 \text{ nC m}^{-3}$  charge density. (d) Total net space charge ( $\text{nC m}^{-3}$ , shaded). The  $0 \text{ }^{\circ}\text{C}$ ,  $-10 \text{ }^{\circ}\text{C}$ , and  $-20 \text{ }^{\circ}\text{C}$  isotherms are shown by dashed gray lines in (a)-(d).



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**Figure 12** As in Fig. 11, but the vertical cross sections at the beginning of the thunderstorm (09:18 UTC) in the P-case.



**Figure 13** As in Fig. 11, but the vertical cross sections later than the beginning of the thunderstorm (09:30 UTC) in the P-case.