

1 **Interactive comment on “Aerosol Effects on Electrification and Lightning**
2 **Discharges in a Multicell Thunderstorm Simulated by the WRF-ELEC Model” by**
3 **Mengyu Sun et al.**

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8 **Reply to Referee 3**

9 The authors present a concise study of aerosol (acting as CCN) impacts on
10 electrification and lightning activity in a case study simulation of severe deep
11 convection over Beijing. Regional simulations are performed using the WRF model
12 with a lightning parameterization. Two simulations are performed: a ‘polluted’ (P) case
13 with CCN concentrations consistent with those observed in the Beijing area, and a
14 ‘continental’ (C) case with CCN concentrations that represent standard continental
15 conditions (but which still remain high compared to e.g. clean oceanic conditions). The
16 model reproduces the convective event relatively well, with convection occurring
17 slightly earlier in the model than observed. When adjusted for timing of convection, the
18 polluted case has a similar flash count and evolution of the flash count variability to
19 observations while the continental case has a completely different intensity and
20 evolution of lightning flashes, with the onset of lightning occurring earlier than the
21 polluted case but the lightning intensity being much weaker throughout the duration of
22 convection. The authors assess the differences in the lightning activity between the two
23 cases in terms of the cloud microphysics and show that the polluted case has increased
24 amounts of cloud water, suppressed amounts of graupel and increased amounts of cloud
25 ice compared to the continental case and that there are stronger updrafts and downdrafts
26 in the polluted case. The differences in the cloud charge structure are then related to
27 these morphological differences in the cloud microphysical structure, which is used to
28 explain the differences in lightning evolution and intensity between the two cases. The
29 paper is mostly well structured with informative and clear figures, however much of
30 the discussion of the aerosol impacts on microphysical development and processes is

31 speculative rather than supported by thorough analysis. This is a major weakness of the
32 study which should be addressed in a revised version of the paper before it is suitable
33 for publication.

34 **Response:**

35 Many thanks for the instructive and constructive comments. We have studied them
36 carefully and have addressed them in the revised manuscript. Below are the point-to-
37 point responses to the reviewer's comments.

38

39 **General comments:**

40 1. The manuscript is in need of careful English language editing throughout,
41 particularly in the abstract and introduction. There are too many for a reviewer to spend
42 time providing a full list of typos and language corrections.

43 **Response:**

44 Thanks for this comment. We will improve our text following the general and
45 specific comments below.

46 2. The paper would benefit from an explanation of inductive vs non-inductive charging
47 mechanisms for the reader familiar with cloud microphysics but not charging
48 mechanisms and lightning. Similarly, discussion of the dipole/tripole charge structure
49 needs more explanation and placing in context of which is more likely to have occurred
50 in the observed case.

51 **Response:**

52 Thanks for this comment. We have optimized the explanation of inductive and
53 non-inductive charging mechanism, and dipole/tripole charge structure in the revised
54 version at several parts. For example, lines 166-168, lines 170-172, lines 406-414.

55 Lines 167-169: “**Non-inductive (i.e., independent of external electric fields)**
56 **charge separation resulting from rebounding collisions between various ice-phase**
57 **particles (ice, graupel, snow, hail)”.**

58 Lines 171-173: “**Inductive charging requires a pre-existing electric field to**
59 **induce charge on the surfaces of the colliding particles (Mansell et al., 2005)”.**

60 Lines 408-413: “**normal dipole (upper charge positive, lower charge negative;**
61 **e.g., Thomas et al., 2001) ...normal tripole (a dominant region of negative charge**
62 **with positive charge above and a positive charge below with approximately the**
63 **same order of magnitude of charge, Simpson and Scrase, 1937; William et al.,**
64 **1989)”.**

65 3. The authors make many statements about microphysical process differences between
66 the two cases, but do not provide any analysis of these processes or comparison to what
67 would be expected to have occurred in the real observed case.

68 **Response:**

69 Thanks for this comment. We have made comparison of the radar reflectivity as a
70 function of time, hourly peak rainfall rate, and the spatial distribution of precipitation
71 between the observation and both simulations. The comparisons show that the
72 simulated distributions and spatio-temporal development of radar reflectivity and
73 precipitation under polluted condition are in overall agreement with observations. In
74 additional, we added related analysis in several part, for example: lines 251-254; lines
75 259-277; Figure 5, 6, and 7.

76 4. The authors state that certain sections of the model domain are excluded from the
77 analysis, but then show many figures in which the microphysical structure of the two
78 simulations are averaged horizontally. Details of this horizontal averaging process need
79 to be given to ensure that they are consistent across all the analysis and appropriate for
80 the particular scientific questions being addressed.

81 **Response:**

82 Thanks for this comment. We have defined “domain” as “**the impacts of aerosol**
83 **on lightning activity will only be evaluated in the southeastern Beijing area**
84 **(39.4 N-40.6 N, 116.0 E-117.5 E, shown in Fig. 4d; here on, 'domain' for short)”**
85 (revised version, lines 256-258) and denoted in the Figure 4d (revised version). And we
86 clarified the details of horizontal average process as follows: “**For each quantity, the**
87 **mass mixing ratio and number concentration of hydrometeors are averaged over**
88 **the analyzed region (denoted in Figure 2d) at a given altitude.”**(Revised version,
89 lines 312-314)

90 **Specific comments:**

91 Section 3 (Model overview): the model setup up and boundary forcing should be
92 described (with appropriate references) in the body of the text as well as summarized
93 in a table. More information on the model setup is required: what is the simulation start
94 time, how much spin-up time is discarded from the analysis (if at all), are both nests
95 run without a convection scheme (I believe this is the case?), how do the authors
96 downscale from 1-degree global data to their 6 km nest, what is the geographical
97 coverage of the two model nests and the placement of the 2km nest inside the 6 km nest
98 (a map would help)?

99 **Response:**

100 Thanks for these questions. This comment includes three questions. We will deal
101 with these one by one.

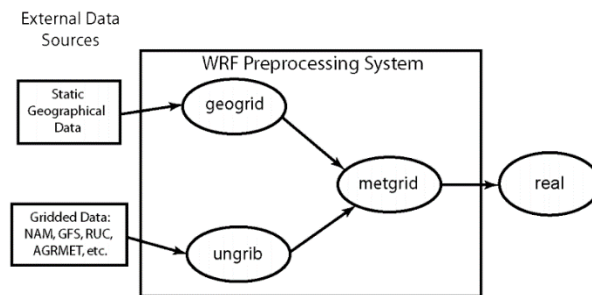
102 **(A)** Regard the model setup.

103 We have added information on the model setup in the revised version (lines 207-
104 220). And yes, both nests run without a convection scheme.

105

106 **(B)** Regarding how to perform nesting technique, the WRF Preprocessing System
107 (WPS, shown in Figure R1) is used to configure real-data simulations. First, we use
108 “geogrid” program to define dimensions and horizontal resolution of domains (here we

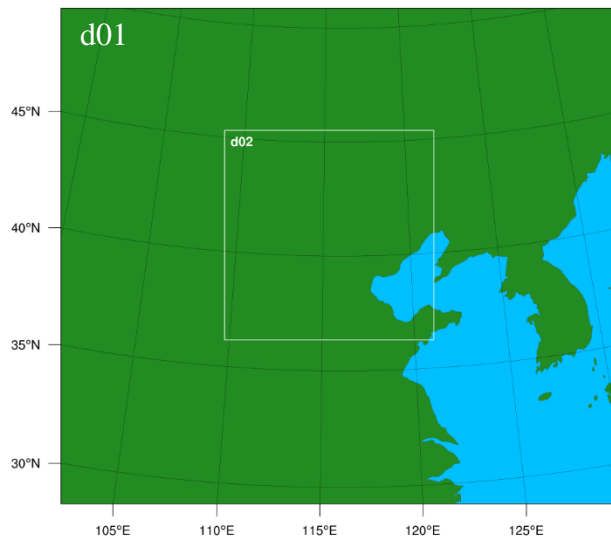
109 set the 6 km and 2 km nests). “Geogrid” also provides values for static fields at each
 110 model grid point. And then, the “ungrib” program is deployed to read GRIB files (a
 111 WMO standard file format for storing regularly-distributed files) and extract
 112 meteorological fields (Vtables are used to extract fields). Finally, we use the “metgrid”
 113 program to interpolate meteorological data (extracted by ungrib) to simulation domains
 114 (defined by geogrid) horizontally. More details could be found at:
 115 <https://www2.mmm.ucar.edu/wrf/users/>.



116
 117 **Figure R1** WPS program flowchart
 118

119 (C) Regarding the geographical coverage of the two model nests

120 Figure R2 shows the grid domains used in all of the simulations. Since the nested
 121 domain setup has been added in the revised version (lines 207-210), we have not put in
 122 the revised manuscript.



123
 124 **Figure R2** Grid domain used in the simulations
 125

126 L154: “grids for short” - grids usually refers to the entire set of model grids (nested),

127 not points. I suggest using ‘points’, or just ‘grid points’.

128 **Response:**

129 Thanks for the suggestion. We have changed to “grid points” in the revised version.

130

131 L171: “The average value of the observed aerosol concentration before thunderstorm
132 initiation is much higher in the Beijing area” - higher than what? And how does this
133 compare to the two CCN concentrations you have selected as your polluted and
134 continental values?

135 **Response:**

136 Thanks for this question. The hourly average mass concentration of PM_{2.5} on 11
137 August 2017, and related references have been added in the revised version to clarify.
138 **“The average value of the observed PM_{2.5} concentration before the thunderstorm
139 initiation (more than 110 µg m⁻³) is much higher than the 3-year mean PM_{2.5}
140 concentration (69.4±54.8 µg m⁻³) in the Beijing area (Liu et al., 2018).”** And the
141 CCN concentration is selected as the polluted case which is consistent with observation.
142 The initial number concentration for the C-case is consistent with typical continental
143 conditions (e.g. Hobbs and Rangno, 1985; Mansell et al., 2005; Mansell, personal
144 communication, 2019). (Revised version, lines 224-233, Figure 3)

145

146 L200-215 (and Fig 4): Does light/heavy/moderate etc refer to lightning density (flashes
147 occurring in terms of number of grid points)? Clarify this in the wording.

148 **Response:**

149 Thanks for this suggestion. Yes, these four lightning intensity categories have been
150 clarified in the revised manuscript. (Lines 291-294, Figure 9)

151

152 L233: Is the horizontal averaging performed over the entire model domain or excluding

153 the region in the NW where the convection was different from the observed case?

154 **Response:**

155 Thanks for this suggestion. We have defined “domain” as “**the impacts of aerosol**
156 **on lightning activity will only be evaluated in the southeastern Beijing area**
157 **(39.4 N-40.6 N, 116.0 E-117.5 E, shown in Fig. 4d; here on, 'domain' for short)”**
158 (revised version, lines 256-258) and denoted in the Figure 4d (revised version).

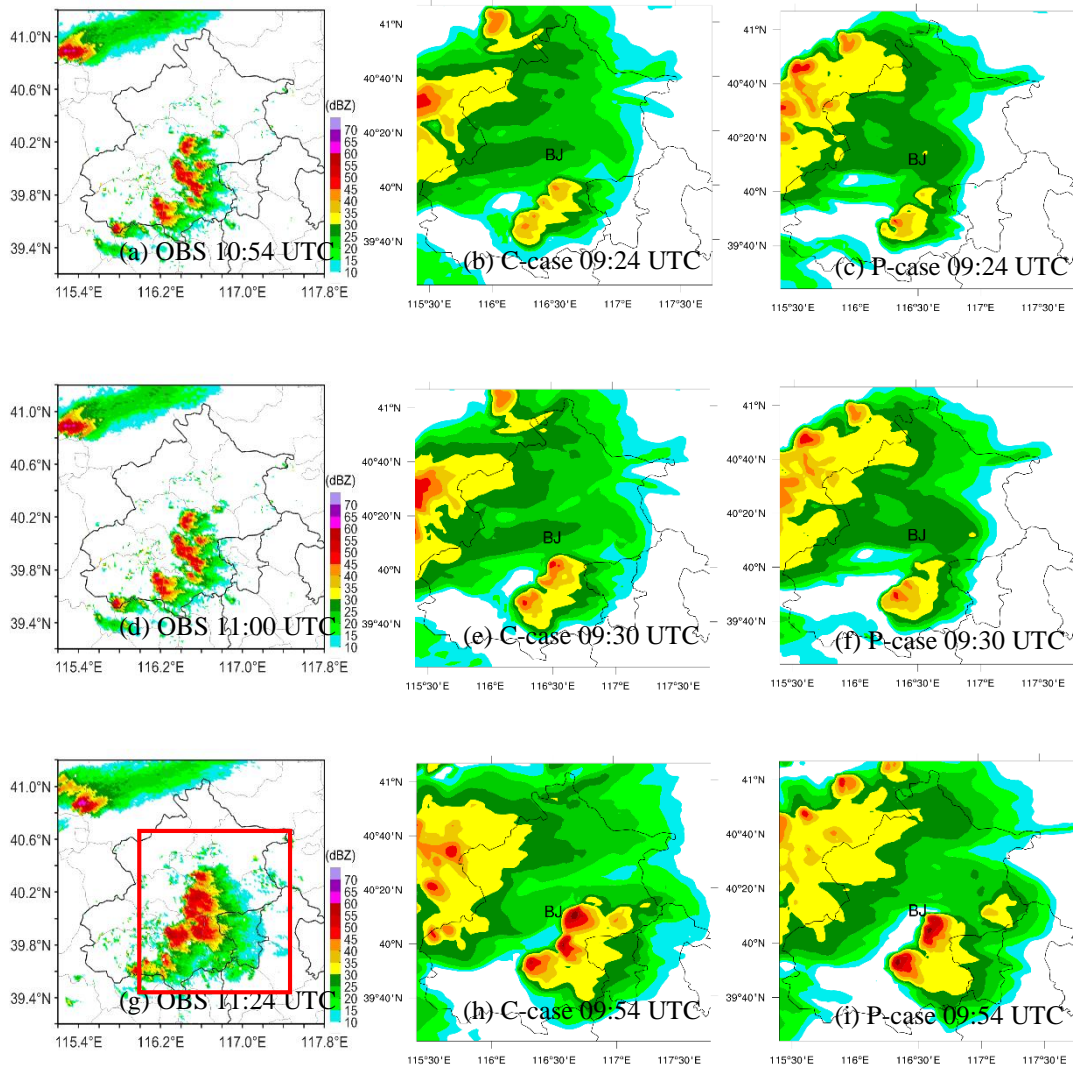
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160 Fig 5: The polluted case has an extra cell (at approx. 09:45 UTC) that doesn't develop
161 in the continental case. Can you explain why this is and what impact this has on the
162 results? Given that you are forcing both simulations with the same boundary data, this
163 may affect the subsequent development of convection in the P-case compared to the C-
164 case.

165 **Response:**

166 We appreciate this suggestion. In light of this comment, we have analyzed the
167 radar reflectivity and precipitation (Figure 6, 7, revised version) at the beginning stage
168 of the thunderstorm for the observation and both simulations. Since the observed radar
169 reflectivity during 11:00-11:24 UTC is missing, so Figure R3 shows the radar echo
170 before and after 11:15 UTC (09:45 UTC in simulations) for comparison. In the early
171 stage of the thunderstorm, the radar echo for the P-case is relatively similar with these
172 of the C-case. We realized that the area we chose in the initial stage was too small. The
173 extra cell in figure 5j (initial version) probably because that the previous selected area
174 did not include the cell in the C-case. Therefore, we have enlarged the region (shown
175 in Figure 4d, revised version). The microphysics along with further electrification and
176 lightning activities of this thunderstorm have been re-analyzed. The dynamic-
177 thermodynamic processes do affect the development of thunderstorm significantly (e.g.
178 Williams et al., 2005; Guo et al., 2016; Wang et al., 2018; Zhao et al., 2020), the re-
179 analyzed results still suggest that under polluted condition lightning activity is

180 significantly enhanced. Moreover, the precipitation duration is longer under polluted
 181 condition (Figure 10c and 10d, revised version), which also provides evidence for the
 182 enhancement of convection. With such improvements, we believe the analysis of the
 183 physical processes is much appropriate for explaining the difference in the
 184 electrification and discharging in both simulated cases.



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191 **Figure R3** Radar reflectivity (unit: dBZ) between observation and simulation for the C- and P-cases,
 192 the simulation was earlier than observation about 1.5 h. (a), (d), (g) Observation at 10:54 UTC,
 193 11:00 UTC and 11:24 UTC. (b), (e), (h) Simulation for the C-case at 09:24 UTC, 09:30 UTC and
 194 09:54 UTC. (c), (f), (i) Simulation for the P-case at 09:24 UTC, 09:30 UTC and 09:54 UTC,
 195 respectively. The red rectangle in Fig. R3g denotes the region where the simulated results are
 196 analyzed in this study.

197

198 L336: “microphysical and electrical processes” - do the authors mean the CCN have a
199 direct electrical impact on the charging, and not just through the impact on microphysics?

200 **Response:**

201 Thanks for this question. This sentence has been modified as follows: “**indicating**
202 **that aerosol plays an important role in affecting the accumulated charge density**
203 **through microphysical and further electrical processes**”. (Revised version, lines
204 447-448)

205

206 L350: discussion on convective strength - at the start of this section you say there is no
207 significant difference in convective strength between the two cases, but it was stated in
208 L320 that the P-case has stronger updrafts and downdrafts than the C-case. This
209 contradicts saying “vertical convective strength did not vary significantly under
210 different aerosol conditions”. Similarly at the end of this section you then say there is
211 increased latent heating at upper levels that strengthens convection and enhances
212 lightning activity (L364), which contradicts the first sentence which says there is no
213 difference in convective strength.

214 **Response:**

215 These are good questions and comments. For clarity, we have used the temporal
216 variation of the vertical profiles of peak latent heating (Figure 12, revised version) to
217 make comparison of latent heat between both simulations during the whole duration of
218 the thunderstorm. The latent heat shown in Figure 12 results from both condensation
219 and freezing. For the condensation latent heat, we modified the related analysis in Part
220 “**4.2 Microphysical properties of multicell**”, for example:

221 Lines 323-329: “**Under polluted condition, more aerosols could be activated**
222 **into cloud droplets and more water vapor condenses onto these droplets, leading**

223 to large cloud water content and small droplet size (Lynn et al., 2007; Wang et al.,
224 2011; Zhao et al., 2015; Jiang et al., 2017). Thereby, relatively more latent heat of
225 condensation released in the P-case where large cloud water content exists, which
226 can be seen in the vertical distribution of peak latent heat (Figure 12).”

227 For the frozen latent heat, the related analysis has been added as follows: “the
228 maximum of peak latent heat in the P-case occurs above 10 km at 09:30 UTC
229 (Figure 12), indicating that more cloud droplets are lifted to the upper levels (< -
230 40 °C) and converted into ice crystals at the beginning stage of the
231 thunderstorm. ... The high value of latent heat existed in the higher levels (above
232 10 km) reveals a large amount release of frozen latent heat. Previous studies also
233 found that elevated aerosol loading contributed to the increasing frozen latent heat
234 (e.g., Khain et al., 2005; Lynn et al. 2007; Storer et al., 2010; Li et al.,
235 2017).”(Revised version, lines 349-356)

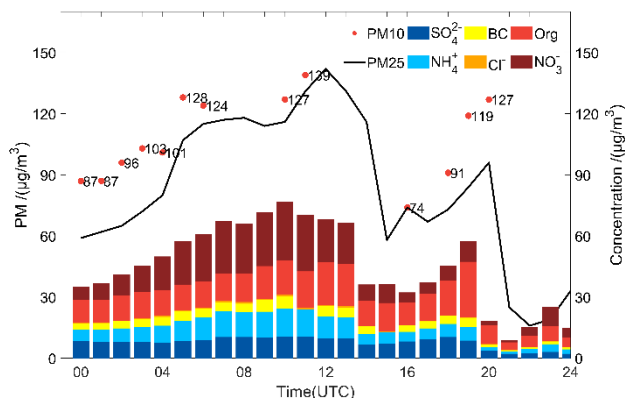
236

237 L445: The authors note here that their study only considers aerosol impacts on this case
238 of convection through perturbations to the liquid phase development of the cloud. One
239 question might then be what are the dominant aerosol sources observed in the case study
240 region, particularly at the time of this event, and whether they are more effective CCN
241 or IN?

242 **Response:**

243 Thanks for this question. As for the aerosol sources, we analyzed the concentration
244 of SO_4^{2-} , NO_3^- , Cl^- , NH_4^+ in the case, which could be treated as effective source of CCN.
245 Black carbon (BC) and organic matter (OM), which are believed to be effective IN
246 (Murray et al., 2010), are also taken into consideration. As shown in Figure R4, the
247 mass fraction of BC in $\text{PM}_{2.5}$ is rather small (< 3%), which is consistent with Liu et al.
248 (2020). According to Zhang et al. (2013), soil dust, organic carbon (OC), and BC had
249 similar patterns of waning in the summer. Based on these analysis, we only consider

250 aerosol impacts on lightning acting as CCN. In this case, the proportion of OM in PM₁
 251 is little higher than that of NH₄⁺ and SO₄²⁻. The effect of IN on the microphysical and
 252 electrical processes will be considered in our future study.



253
 254 **Figure R4** Hourly mass concentration of PM_{2.5}, PM₁₀ and chemical composition of PM₁ [SO₄²⁻,
 255 NH₄⁺, NO₃⁻, Cl⁻, black carbon (BC), organic matter (Org)] at urban area. The black line represents
 256 PM_{2.5}, the red dots represent PM₁₀, and the columns represent different chemical composition. The
 257 real-time hourly average ground levels of PM_{2.5} are from the China National Environmental
 258 Monitoring Center. Other sampling site is located on the tower campus of the State Key Laboratory
 259 of Atmospheric Boundary Layer Physics and Atmospheric Chemistry (LAPC), Institute of
 260 Atmospheric Physics (39.97° N, 116.37° E).
 261

262 **Technical corrections:**

263 Fig 3b and Fig 6 captions: the colours should be noted as well as linestyle. In fact, it
 264 would be better to use one of either (a) colour or (b) linestyle to denote the two cases,
 265 not a combination of both.

266 **Response:**

267 Thanks for this suggestion. We have modified accordingly.

References

- Liu H, Pan X, Liu D, et al. Mixing characteristics of refractory black carbon aerosols at an urban site in Beijing[J]. *Atmospheric Chemistry and Physics*, 2020, 20(9): 5771-5785.
- Liu Z, Gao W, Yu Y, et al. Characteristics of PM 2.5 mass concentrations and chemical species in urban and background areas of China: emerging results from the CARE-China network[J]. *Atmospheric Chemistry and Physics*, 2018, 18(12): 8849-8871.
- Murray B J, Wilson T W, Dobbie S, et al. Heterogeneous nucleation of ice particles on glassy aerosols under cirrus conditions[J]. *Nature Geoscience*, 2010, 3(4): 233-237.
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