



# Himawari-8-derived diurnal variations of ground-level PM<sub>2.5</sub> pollution across China using a fast space-time Light Gradient Boosting Machine

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## Abstract

PM<sub>2.5</sub> has been used as an important atmospheric environmental parameter primarily due to its impact on human health. PM<sub>2.5</sub> is affected by both natural and anthropogenic factors that usually have strong diurnal variations. Monitoring it does not only help understand the causes of air pollution but also our adaptation to it. Most existing PM<sub>2.5</sub> products have been derived from polar-orbiting satellites. This study exploits the usage of the next-generation geostationary meteorological satellite Himawari-8/AHI in revealing its diurnal variations. Given the huge volume of the satellite data, a highly efficient tree-based Light Gradient Boosting Machine (LightGBM) learning approach, which is based on the idea of gradient boosting, is applied by involving the spatiotemporal characteristics of air pollution, named the space-time LightGBM (STLG) model. Hourly PM<sub>2.5</sub> data set in China (i.e., ChinaHighPM<sub>2.5</sub>) at a 5 km spatial resolution is derived based on the Himawari-8/AHI aerosol products together with other variables. The hourly PM<sub>2.5</sub> estimates (N = 1,415,188) are well correlated with ground measurements (R<sup>2</sup> = 0.85) with a RMSE and MAE of 13.62 and 8.49 μg/m<sup>3</sup> respectively in China. Our model can capture well the PM<sub>2.5</sub> diurnal variations, where the pollution increases gradually in the morning, and

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30 reaches a peak at about 10:00 a.m. local time, then decreases steadily until sunset. The proposed approach outperforms most traditional statistical regression and tree-based machine learning models with a much lower computation burden in terms of speed and memory, making it most suitable for routine pollution monitoring.

## 35 1. Introduction

China has faced severe environmental problems during the last two decades, especially air pollution (An et al., 2019; Chan & Yao, 2008; Li et al., 2017; Zhang et al., 2017). The sources of air pollution are complicated and come from both natural changes (e.g., forest fires, biomass burning) and human activity (e.g., industrial production, transportation) (Huang et al., 2014; Sun et al., 2014; Wei et al., 40 2019a). PM<sub>2.5</sub> has a greater impact than other air pollutants (e.g., PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub>, etc.) on the atmospheric environment and climate change (Li et al., 2017, 2019; Jacob & Winner, 2009; Ramanathan & Feng, 2009). Moreover, they can cause great harm to human health due to the smaller particle size (Delfino et al., 2005; Kim et al., 2015; Lelieveld et al., 2015). China has established and operates multiple ground-based observation networks to monitor air pollution in real-time across 45 mainland China including information on PM<sub>2.5</sub> pollution.

For near-surface concentrations, the networks provide high-quality PM<sub>2.5</sub> measurements every hour (even every few minutes), but with rather non-uniform coverage. In recent years, an increased effort has been made in PM<sub>2.5</sub> estimates with products generated from multiple sun-synchronous satellites, e.g., MISR (Liu et al., 2005; van Donkelaar et al., 2006), MODIS (Liu et al., 2007; Ma et al., 2014; Wei et 50 al., 2019a, 2020, 2021), and VIIRS (Wu et al., 2016; Yao et al., 2019). However, due to their low revisit cycles (one or two overpasses per day), they are unable to monitor the diurnal variation of pollution. Therefore, most currently available PM<sub>2.5</sub> dataset are at low temporal resolutions which cannot meet the requirements of air pollution real-time monitoring, in particular, the people's daily life, e.g., if people know the time of heavy pollution during a day, they may change the times being outside, say for sports 55 or others. However, following the launch of the Himawari-8/Advanced Himawari Imager (AHI) on 7 October 2014 (Bessho et al., 2016; Letu et al., 2020), the near-surface PM<sub>2.5</sub> concentrations in the eastern hemisphere can be estimated to address the diurnal cycle.



Over the years, Wang et al. (2017) used the linear mixed-effect (LME) model, and Sun et al. (2019) applied the geographically weighted regression (GWR) and support vector regression (SVR) models to estimate hourly PM<sub>2.5</sub> data from the Himawari-8 aerosol optical depth (AOD) products in the Beijing–Tianjin–Hebei (BTH) region. Zhang et al. (2019) developed an improved LME model, and Xue et al. (2020) proposed an improved geographically and temporally weighted regression (IGTWR) model to derive the hourly PM<sub>2.5</sub> maps based on Himawari-8 AOD products over central and eastern China. In addition to the traditional statistical regression models, several artificial intelligence models, including the random forest (RF), eXtreme Gradient Boosting (XGBoost), and deep neural network (DNN), have been recently successfully adopted to Himawari-8 data to obtain hourly PM<sub>2.5</sub> concentrations from local regions to the whole of China (Chen et al., 2019; Liu et al., 2019; Sun et al., 2019). Nevertheless, due to its poor data mining ability, the traditional statistical regression methods usually suffer from large uncertainties. While artificial intelligence methods can achieve higher accuracy, they are often highly demanding on computational power and are thus often slow. In addition, the spatiotemporal variations of PM<sub>2.5</sub> were often neglected in previous studies (Chen et al., 2019; Liu et al., 2019; Sun et al., 2019; Wang et al., 2017; Zhang et al., 2019), resulting in relatively low accuracy.

Therefore, here, we develop a new high-efficiency and high-precision method for near-surface PM<sub>2.5</sub> estimation relying on the tree-based light gradient boosting machine (LightGBM) learning approach by including spatial and temporal information, namely, space-time LightGBM (STLG) model. This results in a high-temporal-resolution (hourly) PM<sub>2.5</sub> dataset over eastern China (5 km) of high quality from Himawari-8/AHI hourly AOD products. Section 2 provides the detailed information of the natural and human data used, and introduces the development of the STLG model. Section 3 validates the hourly PM<sub>2.5</sub> estimates, and shows the diurnal PM<sub>2.5</sub> variations across China, and further compares it with traditional models and previous studies. Section 4 summarizes the study.

## 2. Material and methods

### 2.1 Data source



### 2.1.1 PM<sub>2.5</sub> and AOD data

85 Individual-site PM<sub>2.5</sub> hourly measurements are collected at a total of 1583 monitoring stations across  
China during 2018 (Figure S1). Corresponding Himawari-8 hourly 5 km AOD products (500 nm) across  
mainland China are also collected. They are synthesized from the Level 2 instantaneous AOD products  
of 10 minutes, which are generated through a newly defined Lambert-assumed aerosol retrieval  
algorithm (Yoshida et al., 2018; Letu et al., 2018). The Himawari-8 AOD retrievals have been  
90 preliminarily evaluated against the AOD in-situ observations provided by the Aerosol Robotic (Giles et  
al., 2019) and Sun–Sky Radiometer (Li et al., 2018) Observation Networks, and they are well consistent  
( $R = 0.75$ ), and the average RMSE and MAE are 0.39 and 0.21 (Wei et al., 2019b). Here, only the high-  
quality AOD retrievals (500 nm) are selected for PM<sub>2.5</sub> estimation.

### 95 2.1.2 Meteorological condition

PM<sub>2.5</sub> can be significantly affected by meteorological conditions (Su et al., 2018), but most of the  
current available reanalysis meteorological products have low temporal resolutions (~3–6 hours).  
Recently (14 June 2018), the 5<sup>th</sup> generation ECMWF global atmospheric reanalysis (ERA5) at a  
horizontal resolution of  $0.25^\circ \times 0.25^\circ$  has been released as well as the land version (12 July 2019) at a  
100 horizontal resolution of  $0.1^\circ \times 0.1^\circ$  both at hourly time scale (1979 to present). Here we use seven ERA5  
hourly meteorological parameters, including the 2-m temperature, total evaporation, relative humidity,  
10-m u- and v-components of winds, surface pressure, and boundary layer height,

### 2.1.3 Human influence

105 Human activity is a key factor affecting PM<sub>2.5</sub> pollution. The global annual LandScan<sup>TM</sup> product at 1 km  
spatial resolution in 2018 is selected to illustrate population distribution (Dobson et al., 2000). In  
addition, MEIC monthly anthropogenic source emission data is also employed (Li et al., 2017; Zhang et  
al., 2007). It is generated from agriculture, industry, power, residential, and transportation at more than  
700 anthropogenic sources, including a total of 10 atmospheric pollutants and greenhouse gases. Here,  
110 four main precursors including the NH<sub>3</sub>, NO<sub>x</sub>, SO<sub>2</sub>, and VOCs, and the direct emission to PM are  
selected.



#### 2.1.4 Ancillary data

Two additional ancillary data, including the MODIS monthly NDVI at a horizontal resolution of  $0.05^\circ$   
115  $\times 0.05^\circ$ , and the SRTM 90 m DEM product are selected to reflect the land cover and change and  
topographical conditions in China, respectively. All the selected variables (Table S1) with potential  
impact on  $\text{PM}_{2.5}$  concentrations were resampled to  $0.05^\circ \times 0.05^\circ$  as the Himawari-8 aerosol product.

#### 2.2 Space-Time LightGBM model

120 The Light Gradient Boosting Machine (LightGBM) model, which is a newly developed tree-based  
machine learning approach, was introduced in 2017 (Ke et al., 2017). It is based on the gradient  
boosting framework to construct the decision tree and can address both regression and classification  
tasks. LightGBM is a fast, distributed, and highly efficient method to tackle the main challenges faced  
in traditional tree-based machine learning approaches, i.e., computational complexities, by reducing the  
125 number of data samples ( $M$ ) and features ( $N$ ). The LightGBM model includes three main steps when  
constructing the decision tree as follows:

- 1) Histogram-based algorithm. The continuous features are first converted to different bins, which are  
used to construct the feature index histograms without the need to sort during training. It follows by  
going through all the data bins to find the best split point from the feature histograms, which can  
130 significantly reduce the computation cost of the split gain. The overall complexity is  $O(M \times N)$ .
- 2) Gradient-based One-Side Sampling. The data samples are first sorted in descending order according  
to their absolute gradients, and the top  $a\%$  of them are selected as a subset sample with large  
gradients. Then the  $b\%$  samples are chosen from the remaining data as a subset sample with small  
gradients randomly. The sampled data with small gradients are multiplied by a weight coefficient  
135  $(\frac{1-a}{b})$ . Last, a new classifier is learned and established using above-sampled data until convergence.
- 3) Exclusive Feature Bundling. A graph with weighted edges is first constructed, and each weight  
corresponds to the total number of conflicts between two features. Then the features are sorted in  
descending order according to the degree of each feature (the greater the degree, the greater the



conflict with other points)). Last, each feature is checked in the sorted sequence and either assigned  
140 to a combination with small conflicts or created a new combination.

Besides the main technologies mentioned above, there are also other details of the optimization, such as the leaf-wise tree growth strategy with depth restriction (Shi, 2007), histogram difference acceleration, sequential access gradient, and the support of category feature and parallel learning. All of the above-advanced methodologies make it reach high accuracy and efficiency (Ke et al., 2017).

145 It is well known that air pollution has spatiotemporal heterogeneities, leading to large differences in PM<sub>2.5</sub> concentrations from space to space on different days. However, such characteristics have always been ignored in most of the traditional statistical regression or artificial intelligence methods used in previous studies. To tackle this issue, we integrated the spatiotemporal information into the LightGBM model, and then a newly space-time LightGBM (i.e., STLG) model is developed in this study. The  
150 spatial feature is represented by the geographical distances of one pixel to other points in the circumscribed rectangle of the study region (Behrens et al., 2018; Baez-Villanueva et al., 2020). The distance is calculated using the Haversine method (Equation 1) to reflect the spherical distance between two points in the sphere space (Wei et al., 2021). The temporal feature is represented by the day of the year (DOY), which is used to distinguish each data record of different days throughout the year during  
155 the model training.

$$DIS = 2 * r * \text{asin} \left( \sqrt{\sin^2 \left( \frac{\varphi_2 - \varphi_1}{2} \right) + \cos(\varphi_1) \cos(\varphi_2) \sin^2 \left( \frac{\gamma_2 - \gamma_1}{2} \right)} \right) \quad (1)$$

where  $\varphi$  and  $\gamma$  represent the latitude and longitude of a point in the sphere;  $r$  denotes the mean earth radius ( $\approx 6371$  km). Figure 1 illustrates the flowchart of the new STLG model. Two independent ten-fold cross-validation methods (10-CV, Rodriguez et al., 2010) based on all the data samples (i.e., out-  
160 of-sample) and the PM<sub>2.5</sub> monitoring stations (i.e., out-of-station) are selected to validate the model performance and the spatial prediction ability, respectively.

*[Please insert Figure 1 here]*

### 3. Results and discussion



## 165 3.1 Model fitting and validation

### 3.1.1 Spatial-scale performance

The STLG model can also largely minimize the overfitting issue and show a strong data mining ability (Figure S2), which can more accurately establish the relationships between hourly PM<sub>2.5</sub> observations and its influence variables (i.e.,  $R^2 = 0.97\text{--}0.98$ ,  $\text{RMSE} = 4.18\text{--}7.31 \mu\text{g}/\text{m}^3$ ). Figure 2 illustrates the out-  
170 of-sample evaluation results of estimated hourly PM<sub>2.5</sub> values over China from 08:00 to 17:00 local time in 2018. Results show that the STLG model yields a high accuracy in estimating hourly PM<sub>2.5</sub> concentrations with high sample-based CV-R<sup>2</sup> values ranging from 0.81 to 0.85, strong slopes of  $\sim 0.81\text{--}0.84$ , and small intercepts of  $\sim 5.52\text{--}7.84 \mu\text{g}/\text{m}^3$ . The uncertainties are overall small, with RMSEs (MAEs) ranging from 11.24 (6.82)  $\mu\text{g}/\text{m}^3$  to 15.56 (9.79)  $\mu\text{g}/\text{m}^3$ . However, our model performs slightly  
175 different with small differences in main evaluation indicators throughout the day. The main reasons are that, on the one hand, the number of training samples is reduced due to sunrise (Figure 2a-b) and sunset (Figure 2i-j) in optical remote sensing, which affects the model training; on the other hand, air pollution shows obvious diurnal variations with different PM<sub>2.5</sub> pollution levels due to different intensity of human activities and natural conditions.

180 In general, our model is stable and robust, with an equal out-of-sample CV-R<sup>2</sup> of 0.85 and an equal regression slope of 0.81 in most hours during the daytime in China (Figure 2c-h). Furthermore, the out-of-station CV-R<sup>2</sup> values range from 0.76 to 0.81, and the RMSE (MAE) values range from 12.49 (7.85)  $\mu\text{g}/\text{m}^3$  to 17.61 (11.33)  $\mu\text{g}/\text{m}^3$  (Figure S3), indicating that our model has a strong spatial prediction ability and can well predict PM<sub>2.5</sub> values in those areas without surface observing stations. In addition,  
185 the station-based accuracy is slightly decreased with reference to the sample-based accuracy, which further illustrates the robustness of our model. However, two cross-validation results (e.g., slopes = 0.78–0.84) indicate that the hourly PM<sub>2.5</sub> concentrations are underestimated overall (Figures 2 and S3), which is a common issue in fine particle remote sensing (Wei et al., 2020). This can be explained by the large aerosol retrieval uncertainty as well as the small number of data samples under highly polluted  
190 conditions (Wei et al., 2019b, c).

*[Please insert Figure 2 here]*





In addition, the regional performance of the STLG model for hourly PM<sub>2.5</sub> estimates has also been evaluated (Figure 3). The hourly PM<sub>2.5</sub> estimates (N = 1,151,595) are highly consistent with the ground measurements with a high sample-based CV-R<sup>2</sup> of 0.87 and a strong regressed slope of 0.86, showing  
195 small estimation uncertainties (i.e., RMSE = 12.77 µg/m<sup>3</sup>, MAE = 8.12 µg/m<sup>3</sup>) over Eastern China. The STLG model shows good performance (e.g., CV-R<sup>2</sup> = 0.88, Slope = 0.87) in two typical urban agglomerations of public concern in China (Figure 3b, c). By contrast, our model performs relatively poorly in the Pearl River Delta (PRD, Figure 3d) region possibly due to the significant reduction in the number of data samples caused by high-frequency and long-term cloud cover in southern China. It  
200 should be noted that there are some differences in the uncertainty of hourly PM<sub>2.5</sub> estimates particularly because of varying levels of air pollution; the pollution level in the BTH region is about three times higher than that in the PRD region.

*[Please insert Figure 3 here]*

Figure 4 shows the accuracy of our STLG model at each monitoring station across China. At the  
205 individual-site scale, the number of data samples gradually decreases from Northern China to Southern China, mainly due to increasing cloud contaminations with an average of 997 in China. Except for several scattered monitoring stations in western China, the STLG model exhibits high performance and adaptability and can well estimate the hourly PM<sub>2.5</sub> concentrations at most monitoring stations (e.g., average CV-R<sup>2</sup> 0 0.78, RMSE = 12.21, and MAE = 8.17 µg/m<sup>3</sup>). In general, approximately 76%, 79%,  
210 and 82% of monitoring stations show high accuracy with out-of-sample CV-R<sup>2</sup> values > 0.7, RMSE values < 15 µg/m<sup>3</sup>, and MAE values < 10 µg/m<sup>3</sup> in hourly PM<sub>2.5</sub> estimates, especially for those located in the Central and North China

*[Please insert Figure 4 here]*

### 215 3.1.2 Temporal-scale performance

Time series of daily performance of the STLG model in hourly PM<sub>2.5</sub> estimates in China have also been investigated. The data samples vary on a daily basis with an average of 3975 per day, yet more than 83% of days have a large number of data samples (> 2000) (Figure 5). The STLG model capture well





the hourly  $\text{PM}_{2.5}$  values at most days with an average out-of-sample  $R^2$  of 0.73, and the average RMSE  
220 and MAE are 13.06 and 8.53  $\mu\text{g}/\text{m}^3$ , respectively. In general, the hourly  $\text{PM}_{2.5}$  estimates are more  
reliable at approximately 79%, 70%, and 74% of the days with  $\text{CV-R}^2$  values  $> 0.7$ , and RMSE and  
MAE values  $< 15$  and 10  $\mu\text{g}/\text{m}^3$  in a year, respectively. The model performance also varies greatly at the  
seasonal level with average  $\text{CV-R}^2$  values of 0.82, 0.71, 0.87, and 0.86, and the average RMSE values  
are 14.55, 9.63, 11.83, and 17.57  $\mu\text{g}/\text{m}^3$  for four seasons, respectively (Figure S4). In general, the  
225 overall uncertainty of  $\text{PM}_{2.5}$  estimates increases at the beginning and end of the year, which may be  
caused by a harsher environment and more intense human activities in spring and winter.

*[Please insert Figure 5 here]*

We have also evaluated the temporally synthesized  $\text{PM}_{2.5}$  data from the hourly data samples at each  
monitoring station in 2018 (Figure 6). The daily mean  $\text{PM}_{2.5}$  estimates are highly correlated to those  
230 calculated from the surface observations ( $R^2 = 0.91$ ), and the average RMSE (MAE) value is 10.11  
(6.39)  $\mu\text{g}/\text{m}^3$ . This suggests that the STLG model can also capture the daily  $\text{PM}_{2.5}$  variations accurately.  
Moreover, the daily synthetic  $\text{PM}_{2.5}$  data derived from the geostationary satellites are based on higher  
temporal frequency than those derived from the sun-synchronous satellites. In general, the  $\text{PM}_{2.5}$   
synthetic values also show high accuracies and low estimation uncertainties (e.g.,  $R^2 = 0.98$ , RMSE =  
235 1.6–3.3  $\mu\text{g}/\text{m}^3$ , MAE = 1.1–2.3  $\mu\text{g}/\text{m}^3$ ) from monthly to annual scales, allowing to better describe the  
spatiotemporal distributions and variations of  $\text{PM}_{2.5}$  pollution across China.

*[Please insert Figure 6 here]*

## 3.2 Spatiotemporal characteristics

### 240 3.2.1 Diurnal variations

Figure 7 shows the Himawari-8-derived hourly mean near-surface  $\text{PM}_{2.5}$  concentrations from 8:00 a.m.  
to 5:00 p.m. local time in 2018 across China. Our generated  $\text{PM}_{2.5}$  maps can cover most areas of the  
Chinese mainland except for western Xinjiang and Tibet due to the limitation of satellite scanning,  
showing missing  $\text{PM}_{2.5}$  predictions.  $\text{PM}_{2.5}$  pollution shows significant diurnal variations across China,  
245 being at an overall low level at sunrise ( $\sim 29.94 \pm 10.91 \mu\text{g}/\text{m}^3$ ). With the increase in human activities, air



pollution got severe over time, reaching a peak at around 10:00–11:00 a.m. local time in China ( $\sim 36 \pm 13$   $\mu\text{g}/\text{m}^3$ ). The high levels of pollution can be maintained for several hours. With the decrease in human activities and the deposition of atmospheric fine particles,  $\text{PM}_{2.5}$  decreases towards sunset in most areas across China ( $\sim 23.21 \pm 9.73$   $\mu\text{g}/\text{m}^3$ ). In general, air pollution in the morning (i.e., 08:00–12:00) is much  
250 more severe with approximately 1.3 times higher mean  $\text{PM}_{2.5}$  concentrations than those in the afternoon (i.e., 13:00–17:00) in China, related to the influences of varying BLHs (Li et al., 2017; Su et al., 2018).

*[Please insert Figure 7 here]*

Table 1 shows the diurnal  $\text{PM}_{2.5}$  variations are also investigated over Eastern China and three typical urban agglomerations. It is clear that  $\text{PM}_{2.5}$  pollution is generally higher in eastern China than the  
255 national level at each hour in a day due to more intensive human distribution and activities. In the BTH region,  $\text{PM}_{2.5}$  pollution varies greatly with hourly  $\text{PM}_{2.5}$  concentrations ranging from  $28.88 \pm 10.16$   $\mu\text{g}/\text{m}^3$  (10:00 a.m.) to  $49.31 \pm 15.03$   $\mu\text{g}/\text{m}^3$  (16:00 p.m.) at the diurnal level with a large difference exceeding 20  $\mu\text{g}/\text{m}^3$ . In addition,  $\text{PM}_{2.5}$  pollution always remained at a high level  $> 42$   $\mu\text{g}/\text{m}^3$  before 12:00 pm, yet  
260 dropped to a low level  $< 29$   $\mu\text{g}/\text{m}^3$  after 16:00 p.m. This is closely related to people's normal life and production and the life cycle of  $\text{PM}_{2.5}$  in a day. Similar conclusions can be obtained in the YRD region. In general, the PRD region is less polluted in the morning but more severe in the afternoon than the BTH region in a day. By contrast,  $\text{PM}_{2.5}$  pollution is much lower and shows a smaller diurnal difference with hourly  $\text{PM}_{2.5}$  values ranging from  $29.49 \pm 5.97$   $\mu\text{g}/\text{m}^3$  (11:00 a.m.) to  $36.36 \pm 5.76$   $\mu\text{g}/\text{m}^3$  (08:00 a.m.) in the PRD region than other two key regions. This is mainly contributed to its better natural conditions  
265 and fewer pollutant emissions (Su et al., 2018).

*[Please insert Table 1 here]*

### 3.2.2 Seasonal and annual variations

Seasonal and annual  $\text{PM}_{2.5}$  maps are synthesized from daily  $\text{PM}_{2.5}$  maps in 2018 across China according  
270 to our previous approach (Wei et al., 2019a). Our results show that  $\text{PM}_{2.5}$  pollution varies greatly on the seasonal scale, where the pollution levels are generally low and show similar spatial patterns in summer ( $\sim 22.86 \pm 7.05$   $\mu\text{g}/\text{m}^3$ ) and autumn ( $\sim 23.76 \pm 10.97$   $\mu\text{g}/\text{m}^3$ ) across China (Table S2). By contrast, it is



much more severe in spring ( $\sim 32.84 \pm 11.49 \mu\text{g}/\text{m}^3$ ) and winter ( $\sim 39.04 \pm 16.32 \mu\text{g}/\text{m}^3$ ) across China, especially for the BTH and YRD regions in winter. The main reasons are that the high-frequency sandstorm and the long-distance transmission of sand dust in spring, and the burning of coal and fossil fuels for heating in winter (Fang et al., 2016), leading to a lot of pollutant emissions in Northern China. In addition,  $\text{PM}_{2.5}$  pollution shows significant spatial heterogeneities across China, and the annual mean  $\text{PM}_{2.5}$  concentration is  $28.99 \pm 10.31 \mu\text{g}/\text{m}^3$  in 2018. The high pollution levels are always observed in the Hebei, Shandong, Jiangsu, Anhui, Henan, Hubei, and Sichuan provinces in China. These areas are mainly caused by the interactions of intensive human activities, adverse stagnant weather (e.g., low BLH and small winds) as well as special terrains (e.g., basin), which can increase the anthropogenic aerosols (Chen et al., 2008; Wang et al., 2018). By contrast,  $\text{PM}_{2.5}$  pollution is relatively light in the northeast (e.g., Heilongjiang and Jilin), southwest (e.g., Tibet and Yunan), and eastern coastal (e.g., Zhejiang and Fujian) areas of China benefiting from sparse human distributions and activities or superior meteorological conditions for strong pollutant dispersion (Su et al., 2018).

*[Please insert Figure 8 here]*

### 3.3 Discussion

#### 3.3.1 Comparison with traditional models

First, we compared the STLG model with five widely-used statistical regression models for estimating  $\text{PM}_{2.5}$  in China with the same input data set (Table S3). The multivariate linear regression (MLR) model performs worst as demonstrated by the statistical indicators; this, due to the complex nonlinear  $\text{PM}_{2.5}$ -AOD relationships; while the GWR model shows a better performance because it takes into account the spatial characteristics of  $\text{PM}_{2.5}$ . The generalized additive model (GAM) and the LME model show overall improved performance with decreasing estimation uncertainties because of the nonlinear characteristics and stronger data regression abilities. By contrast, the two-stage model outperforms with higher  $\text{CV-R}^2$  values and smaller estimation uncertainties by combining the advantages of the GWR and LME models. Nevertheless, our model shows the best performance than all of the above traditional statistical regression models, mainly due to its stronger data mining ability.



300 Table 2 shows the comparisons of the accuracy and efficiency among six tree-based machine learning  
models to hourly PM<sub>2.5</sub> estimates in China using the same input data set. Decision Tree (DT, Quinlan,  
1986) is a traditional supervised learning classification method that has been used frequently and shows  
the worst performance because of the simple single classifier, although the training speed is the fastest  
and the memory consumption is the least. The model performance of ensemble learning approaches,  
305 i.e., Gradient Boosting Decision Tree (GBDT, Friedman, 2001), RF (Breiman, 2001), Extremely  
randomized trees (ERT, Geurts, et al., 2006), and eXtreme Gradient Boosting (XGBoost, Chen &  
Guestrin, 2016), can be significantly improved by combining several weak classifiers into a strong  
classifier. Among them, the ERT model yields a higher estimation accuracy and a stronger spatial  
prediction ability than other ensemble learning models. By contrast, the LightGBM model (Ke et al.,  
310 2017) performs best with the highest accuracy and smallest uncertainty among all the tree-based  
machine learning approaches.

However, the model efficiency is different among these models due to the large differences in the  
algorithm design frameworks. These tree-based machine learning models can be divided into two  
categories: one is “Bagging”, including the DT, RF, and ERT models, which synthesizes multiple  
315 independent and unrelated weak classifiers into a strong classifier. It allows work in parallel, which can  
save a lot of time but may need more computer memory. The other is “Boosting”, including the GBDT,  
XGBoost, and LightGBM models, which synthesizes multiple interdependent and related weak  
classifiers into a strong classifier. They can only work in serial, which may take a lot of time but not too  
much memory. In general, the STGB model is the most time-consuming, while the STET model is the  
320 most memory-consuming approach. By contrast, the LightGBM model runs very fast and consumes  
very small computer memory benefiting from a series of algorithm optimizations (Ke et al., 2017).  
Furthermore, after considering the spatiotemporal variation characteristics, all the newly defined space-  
time tree-based machine learning approaches (i.e., STET, STGB, STXB, STRF, STET, and STLG)  
show significant improvements in both overall estimation accuracy and spatial prediction ability in  
325 hourly PM<sub>2.5</sub> concentrations with reference to their original models. This further illustrates the  
importance of the introduction of spatiotemporal information in constructing the PM<sub>2.5</sub>–AOD  
relationships. More importantly, the training speed of these models did not decrease much; in addition,



the memory consumption did not increase much either. In general, the STLG model shows the best performance with much high efficiency (i.e., training speed = 46s, memory usage = 0.60 GB) among all the space-time tree-based machine learning models. Therefore, our newly STLG model is highly valuable for accurate and fast air pollution monitoring, in particular for our future extended study to the global scale.

*[Please insert Table 2 here]*

### 3.3.2 Comparison with related studies

Last, we also compared with previous related studies in Himawari-8-based hourly PM<sub>2.5</sub> estimates at regional and national scales in China (Table 3). The comparison results show that the local hourly PM<sub>2.5</sub> concentrations retrieved from our national-scale model are more accurate than those derived from the models developed separately in local areas, e.g., the LME model (Wang et al., 2017), and the GWR, SVR, RF, and DNN models in the BTH region (Sun et al., 2019); the two-stage RF and DNN models in the YRD region (Fan et al., 2020; Tang et al., 2019). In addition, our model outperforms most of the statistical regression models, machine learning models focusing on entire China, e.g., the I-LME, and IGTWR, RF, Adaboost, XGBoost, and their stacked models in China (Chen et al., 2019; Liu et al., 2019; Xue et al., 2020; Zhang et al., 2019). The main reasons include the stronger data mining ability of our model, and the consideration of the key spatial and temporal information of air pollution that ignored in previous studies, and the introduction of more comprehensive factors (e.g., emission inventory) that affect PM<sub>2.5</sub> pollution.

*[Please insert Table 3 here]*

## 4. Summary and conclusion

PM<sub>2.5</sub> has great impacts on the atmospheric environment, and is also used as a key indicator in environmental health studies. It shows strong diurnal variations affected by both natural and human factors; however, previous studies are based on sun-synchronous satellites, which can only monitor air



355 pollution at coarser temporal (daily) scales. Thus, high-temporal-resolution  $PM_{2.5}$  dataset with high  
precision are urgently needed. In this study, the Himawari-8/AHI hourly AOD products are employed to  
tackle this issue. In addition, considering the large volume of the input data and the large estimation  
errors of  $PM_{2.5}$  for traditional methods, a new efficient and accurate space-time Light gradient boosting  
machine (i.e., STLG) model is developed, and a 5 km resolution hourly  $PM_{2.5}$  dataset in China is  
360  $PM_{2.5}$  estimates are evaluated against surface observations, and the  $PM_{2.5}$  spatiotemporal variations are  
also investigated.

The STLG model derives and predicts the hourly  $PM_{2.5}$  values accurately with high out-of-sample (out-  
of-station)  $CV-R^2$  values of  $\sim 0.81$ – $0.85$  ( $\sim 0.76$ – $0.81$ ), and low RMSE values of  $\sim 11.24$ – $15.56$  ( $\sim 12.49$ –  
17.61)  $\mu\text{g}/\text{m}^3$  throughout the daytime. In addition, it can well capture the daily (e.g.,  $R^2 = 0.91$ , RMSE =  
365  $10.11 \mu\text{g}/\text{m}^3$ ), as well as monthly, seasonal and annual  $PM_{2.5}$  values (e.g.,  $R^2 = 0.98$ , RMSE =  $1.6$ – $3.3$   
 $\mu\text{g}/\text{m}^3$ ).  $PM_{2.5}$  shows significant diurnal variabilities in most areas across mainland China, where the  
 $PM_{2.5}$  concentrations reach the maximum at 10 a.m. but are generally low at sunrise or sunset in a day.  
Moreover,  $PM_{2.5}$  also varies greatly on a seasonal basis, where winter and summer experience the  
highest and lowest air pollution, respectively. Comparison results suggest that our model is more  
370 accurate than the traditional statistical regression, other tree-based machine learning, as well as those  
models developed by previous studies. In addition, it is more efficient with faster training speed and less  
memory consumption. These results illustrate that our algorithm has a strong applicability value to the  
real-time monitoring of  $PM_{2.5}$  pollution in China.

### 375 **Data availability**

The  $PM_{2.5}$  measurements are available at <http://www.cnemc.cn>, and the Himawari-8 AOD product is  
available at <ftp.ptree.jaxa.jp>, and the ERA5 reanalysis products are available at  
<https://cds.climate.copernicus.eu/>, and the MODIS product is available at  
<https://search.earthdata.nasa.gov/>, and the LandScan<sup>TM</sup> product is available at <https://landscan.ornl.gov/>.

380



### Author contribution

JW designed the research and wrote the initial draft of this manuscript. ZL, RP, and LS helped review and edit the paper. RL and WX helped process the data. All authors made substantial contributions to this work.

385

### Competing interests

The authors declare that they have no conflict of interest.

### Acknowledgements

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**Table 1.** Hourly mean PM<sub>2.5</sub> concentrations (µg/m<sup>3</sup>) in 2018 in China, and each region.

Time	China	ECHN	BTH	YRD	PRD
08:00	29.94±10.91	31.97±11.55	42.46±12.97	38.60±10.57	29.34±5.01
09:00	33.37±12.59	36.29±13.52	47.32±49.31	43.55±11.27	34.81±5.46
10:00	35.67±13.53	38.56±14.05	49.31±15.03	44.72±11.17	35.48±5.47
11:00	35.63±13.05	38.72±13.53	49.10±13.77	44.27±10.55	36.36±5.76
12:00	31.23±11.74	35.10±12.47	42.38±12.86	41.37±9.77	34.56±5.72
13:00	28.45±11.40	32.23±11.73	37.70±11.55	39.36±9.22	33.33±5.48
14:00	26.36±11.18	30.14±11.09	34.32±11.81	37.31±8.59	32.05±5.50
15:00	24.25±10.06	28.67±10.21	31.95±11.26	36.77±8.13	30.34±5.43
16:00	23.63±9.26	27.38±9.15	29.82±10.13	32.84±6.30	29.49±5.97
17:00	23.21±9.73	26.63±8.93	28.88±10.16	27.59±4.39	31.56±6.17
Morning	33.29±11.59	36.15±12.41	46.12±13.29	42.50±10.22	34.52±4.63
Afternoon	25.11±9.78	29.01±9.70	32.53±10.53	34.76±6.66	31.42±4.85



**Table 2.** Comparison in model performance between different tree-based machine learning models using the same input data. Data are from 14:00 local time in 2018 in China (N = 162,840).

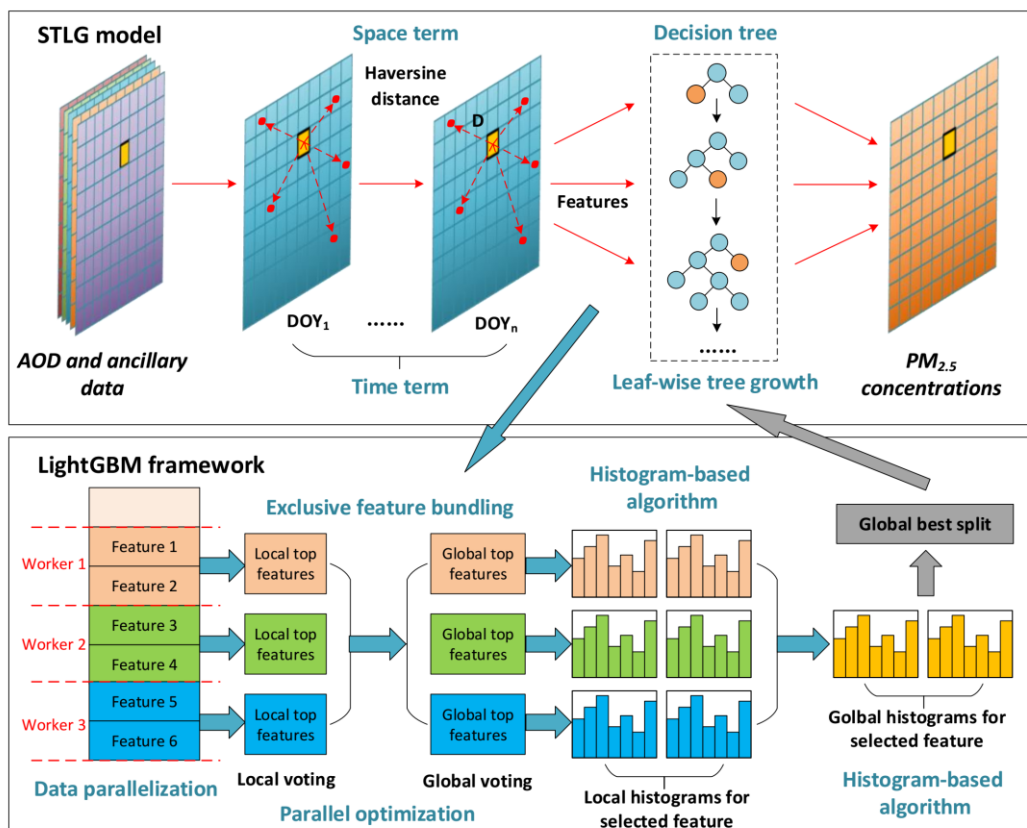
Model	Sample-based validation			Station-based validation			Speed (s)	Memory (GB)
	R <sup>2</sup>	RMSE	MAE	R <sup>2</sup>	RMSE	MAE		
DT	0.52	25.53	14.80	0.48	27.03	15.57	6	0.58
GBDT	0.65	20.03	13.17	0.61	21.20	14.10	94	0.59
XGBoost	0.73	17.94	10.78	0.68	19.59	11.93	456	0.69
RF	0.72	17.86	11.33	0.69	18.80	11.95	165	2.59
ERT	0.74	17.12	10.87	0.72	18.01	11.49	54	3.69
LightGBM	0.78	15.79	9.84	0.73	17.59	11.21	34	0.60
STDT	0.65	21.09	12.33	0.63	22.00	12.85	8	0.60
STGB	0.75	16.82	10.93	0.73	17.61	11.54	503	0.61
STXB	0.82	14.73	8.76	0.78	15.92	9.62	456	0.68
STRF	0.81	14.62	9.17	0.79	15.44	9.69	219	2.75
STET	0.82	14.42	8.95	0.80	15.30	9.55	77	4.25
STLG	0.85	13.09	8.11	0.81	14.63	9.29	46	0.60



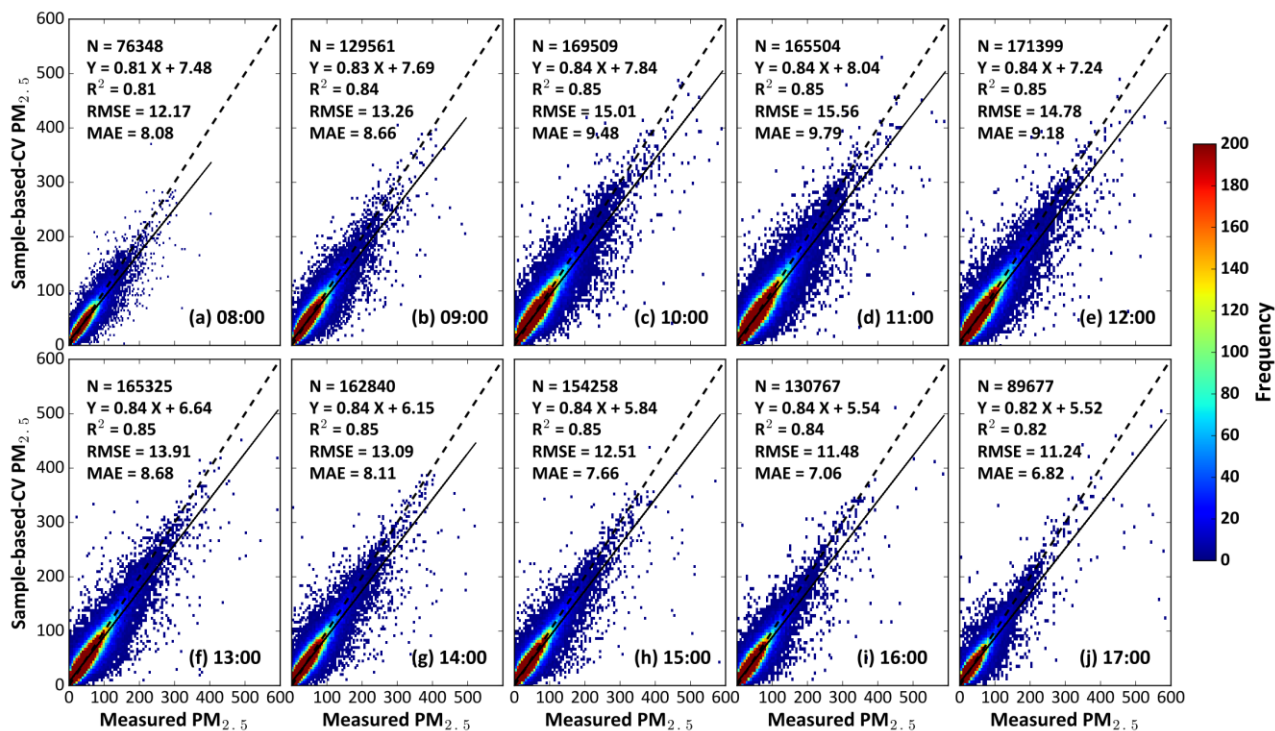


545 **Table 3.** Comparison in model performance with previous studies in hourly PM<sub>2.5</sub> estimates in China.

Model	Model validation			Region	Reference
	R <sup>2</sup>	RMSE	MAE		
LME	0.86	24.5	14.2	BTH	Wang et al., 2017
LME	0.63	29.0	18.1	BTH	Sun et al., 2019
GWR	0.76	23.3	16.7		
SVR	0.77	21.5	12.3		
RF	0.82	20.3	12.1		
DNN	0.84	19.9	11.9		
two-stage RF	0.86	12.4	-	YRD	Tang et al., 2019
DNN	0.86	14.3	-	YRD	Fan et al., 2020
RF	0.82	19.6	12.2	China	Chen et al., 2019
Adaboost	0.84	18.3	10.7		
XGBoost	0.84	18.1	11.4		
Stacked model	0.85	17.3	10.5		
RF	0.86	17.3	10.3	China	Liu et al., 2019
I-LME	0.84	-	-	BTH	Zhang et al., 2019
	0.80	-	-	YRD	
	0.74	-	-	PRD	
	0.82	-	-	China	
IGTWR	0.78	21.1	-	China	Xue et al., 2020

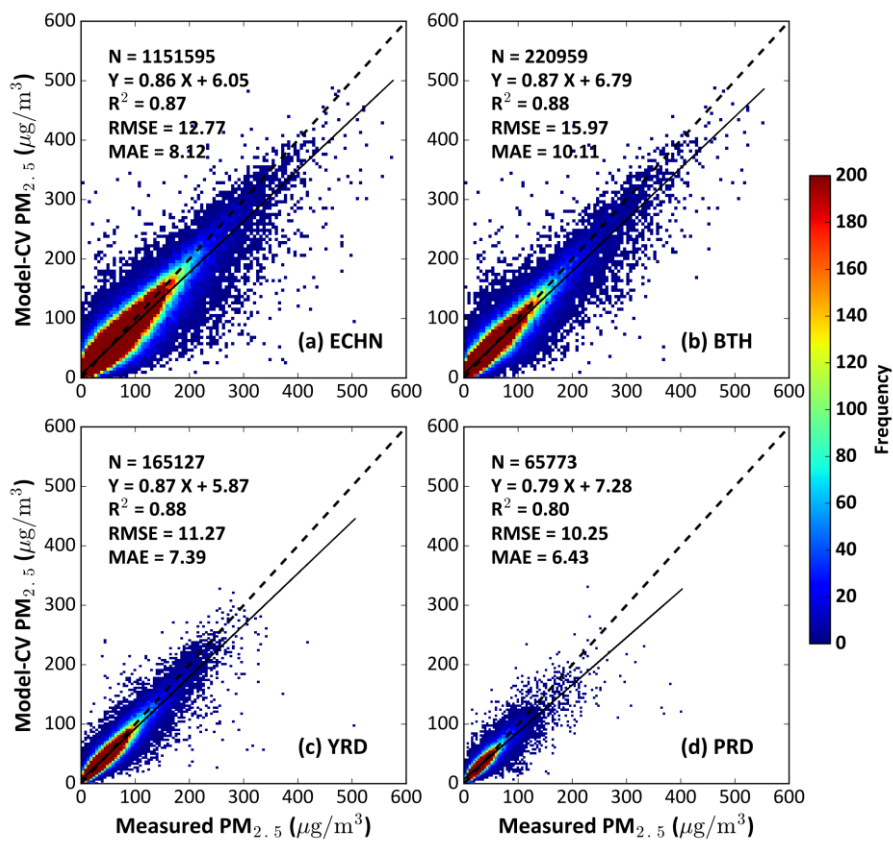


**Figure 1.** Schematics of the space-time LightGBM (STLG) model developed in this study.

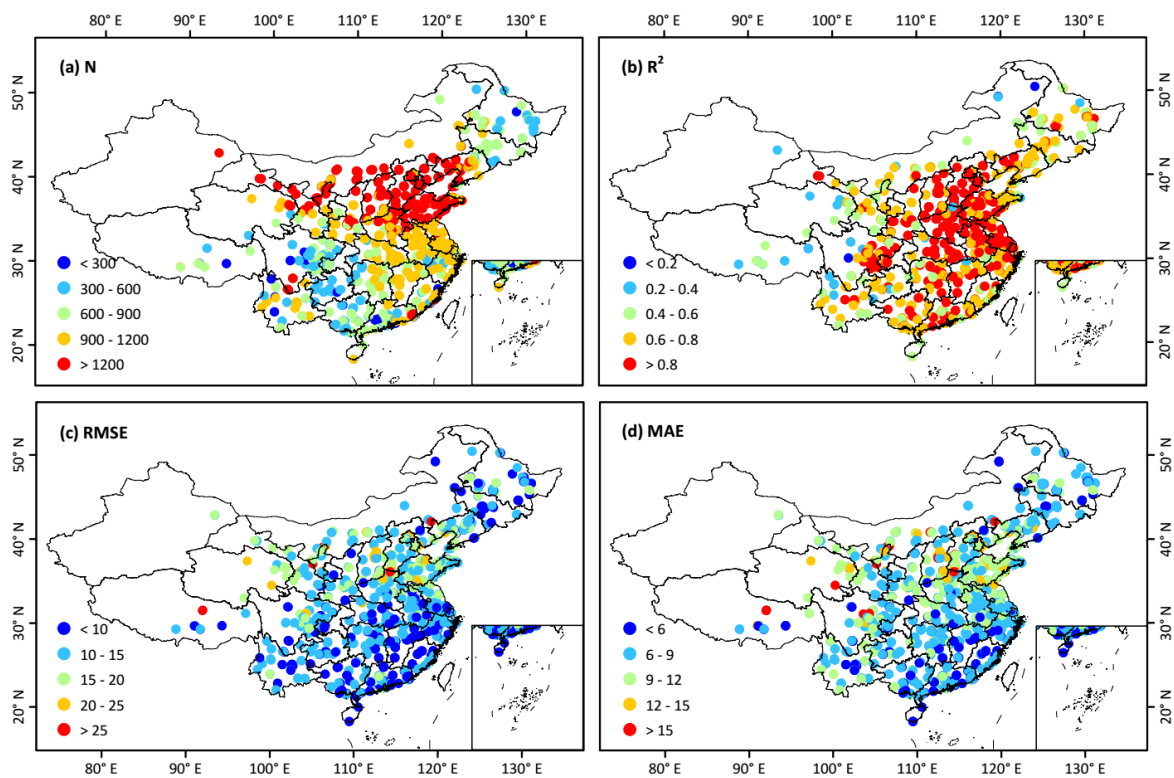


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**Figure 2.** Out-of-sample cross-validation results of hourly  $\text{PM}_{2.5}$  estimates ( $\mu\text{g}/\text{m}^3$ ) from 08:00 to 17:00 local time in 2018 across China.

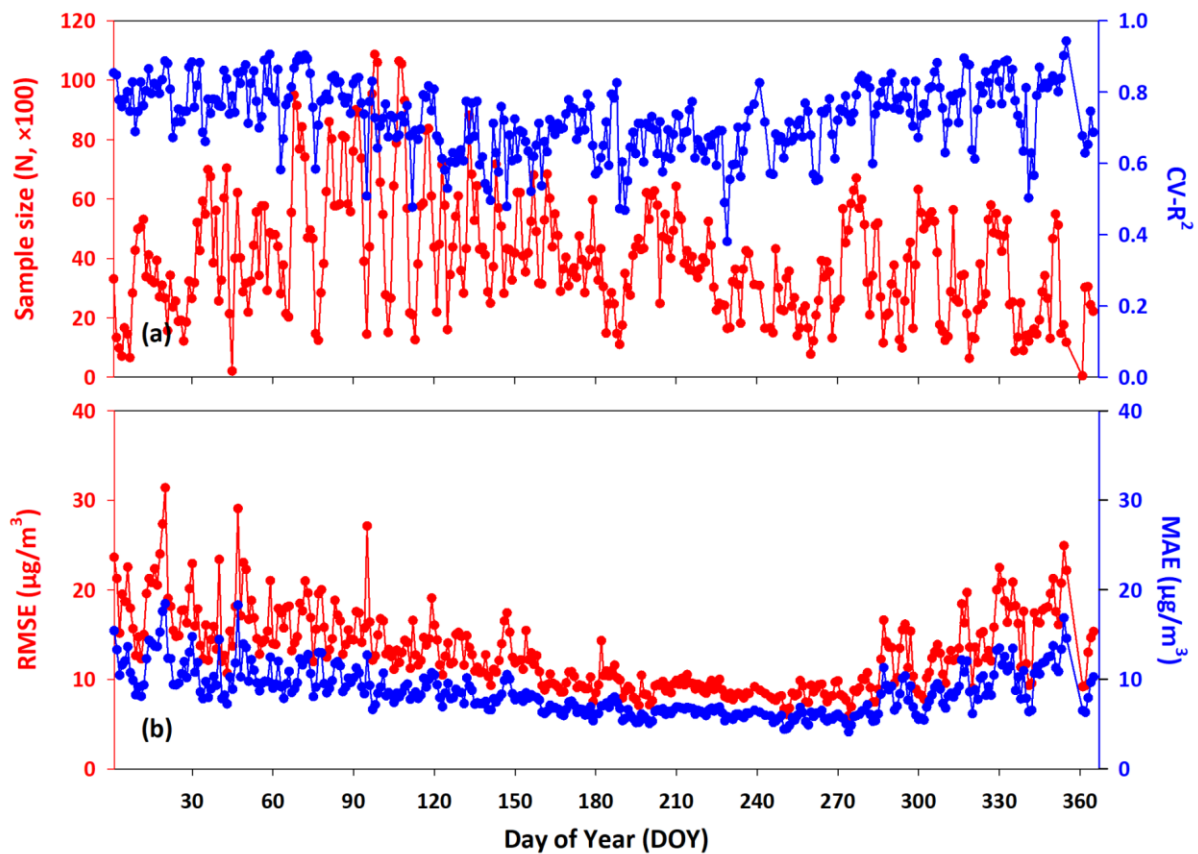


555 **Figure 3.** Out-of-sample cross-validation results of regional hourly  $PM_{2.5}$  estimates ( $\mu g/m^3$ ) in 2018 in China.

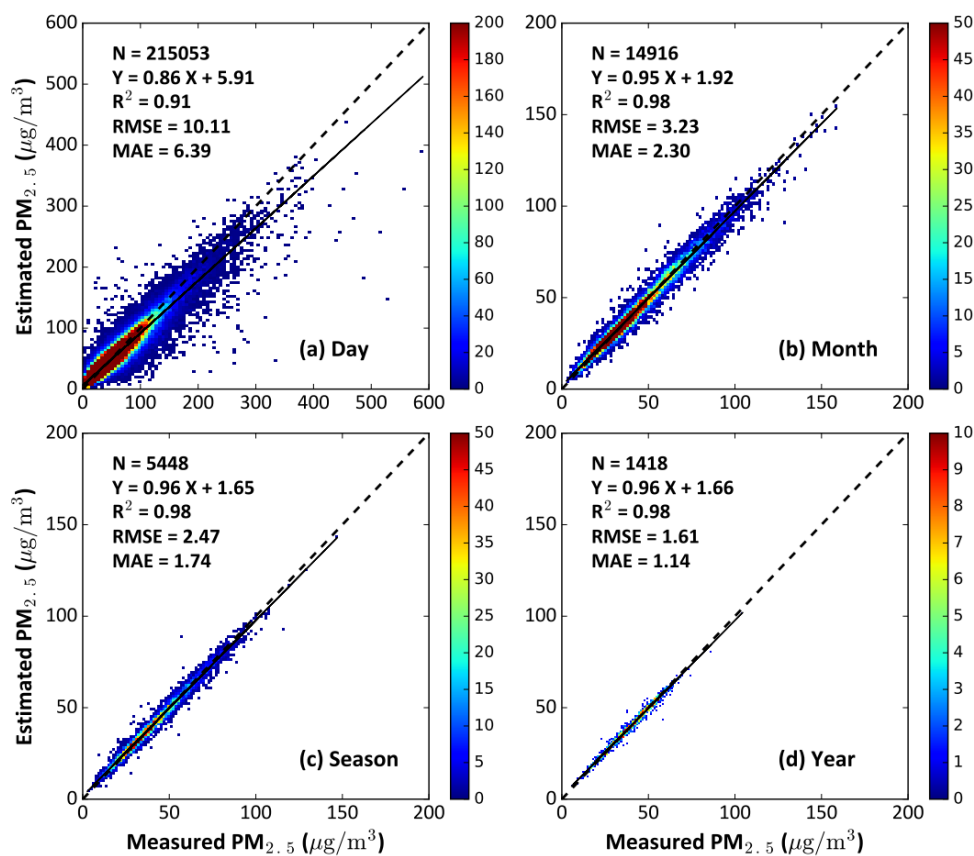


**Figure 4.** Individual-site-scale validation of hourly  $\text{PM}_{2.5}$  estimates ( $\mu\text{g}/\text{m}^3$ ) in 2018 in China.

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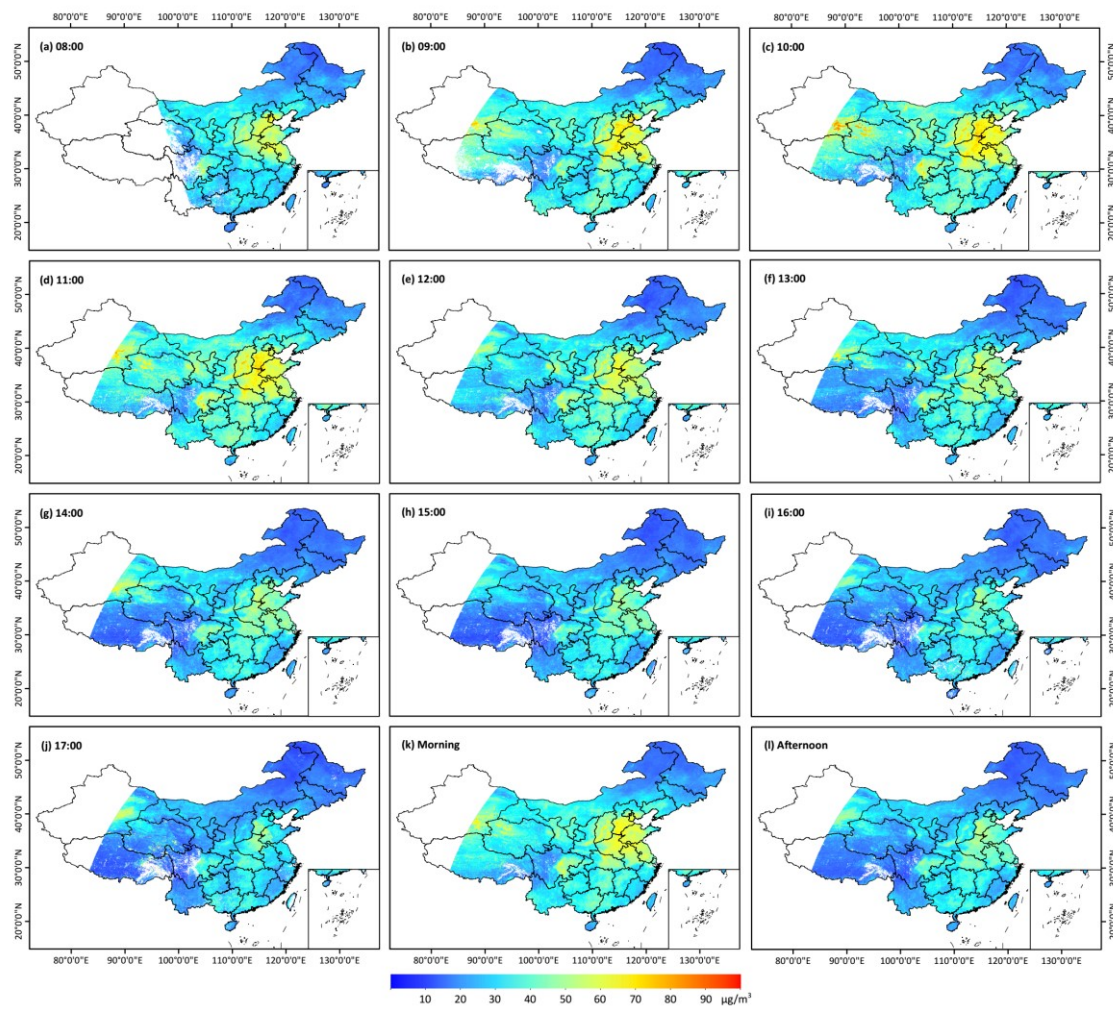


**Figure 5.** Time series of validation of hourly  $\text{PM}_{2.5}$  estimates ( $\mu\text{g}/\text{m}^3$ ) in 2018 across China.



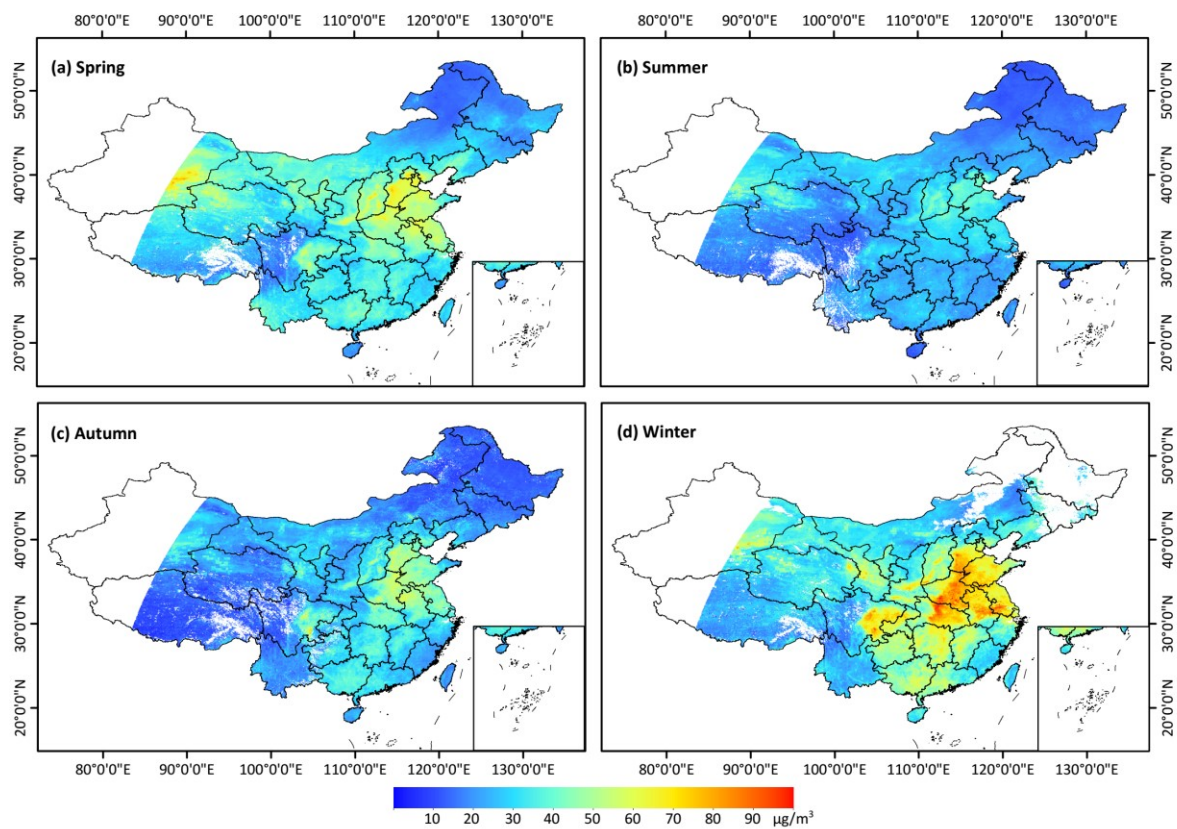
565 **Figure 6.** Density scatter plots of validation results of (a) daily, (b) monthly, (c) seasonal, and (d) annual mean PM<sub>2.5</sub> estimates in 2018 across China.





**Figure 7.** Himawari-8-derived hourly mean  $\text{PM}_{2.5}$  maps (5 km) from (a–j) 08:00 to 17:00 local time, and in (k) morning (08:00–12:00), and (l) afternoon (13:00–17:00) in 2018 in China.

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**Figure 8.** Himawari-8-derived seasonal mean PM<sub>2.5</sub> maps (5 km) in 2018 across China.