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4 **Opinion: Papers that shaped Tropospheric Chemistry**

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14 **Abstract**

15 Which published papers have transformed our understanding of the chemical processes in the  
16 troposphere, and shaped the field of atmospheric chemistry? By way of expert solicitation and  
17 interactive peer-review, this paper explores the influence of the ideas in peer-reviewed articles based  
18 on the input from our community of atmospheric scientists. We explore how these papers have  
19 shaped the development of the field of atmospheric chemistry, and identify the major landmarks in  
20 the field of atmospheric chemistry through the lens of those papers' impact on science, legislation and  
21 environmental events. We also explore the ways in which one can identify the papers that have most  
22 impacted the field and discuss the advantages and disadvantages of the various approaches.

23



24 **1. Introduction**

25

26 Air quality and anthropogenic climate change are two environmental issues of current importance to  
27 society. Atmospheric composition is central to both these issues. The atmosphere, and its  
28 components, supports life on Earth. In turn, the atmosphere is affected by human population growth  
29 and industrialization, as well as all the consequences of those changes. The changes in atmospheric  
30 composition also influence the ecosystem on which humans rely.

31 Air pollution (née composition) and its impacts have a history stretching back to antiquity – see for  
32 example the expositions in (Brimblecombe, 1987; Fuller, 2018; Jacobson, 2002; Stern, 1968; Sportisse,  
33 2010; Preining and Davis, 1999; Fowler et al., 2020) and others. Changes in atmospheric composition,  
34 with their negative impact particularly on human health (Lelieveld et al., 2015), ecosystems (Fowler  
35 et al., 2009) and latterly links to their climate (see for example, (Fiore et al., 2012; von Schneidmesser  
36 et al., 2015)), have become primary global concerns during the 20<sup>th</sup> century. As an academic subject,  
37 air pollution has mostly been systematically studied only since the mid-late 20<sup>th</sup> Century. There have  
38 been several recent reviews, (e.g., (Brasseur et al., 2003; Monks et al., 2009; Ravishankara et al.,  
39 2015; Ravishankara, 2003)), which have mapped the growth of atmospheric chemistry, but it is not  
40 only peer-reviewed papers that provide relevant overviews. It is important to note that when dealing  
41 with the development of this subject (or any scientific subject for that matter), much of the baseline  
42 knowledge is embodied in textbooks, which for many are the entry point to and the primary reference  
43 for the topic (e.g. (Jacob, 1999; Wayne, 2000; Finlayson-Pitts and Pitts, 2000; Seinfeld and Pandis,  
44 2006; Brasseur et al., 1999).

45 Figure 1 shows the number of peer-reviewed by year that mentioned the phrase “atmospheric  
46 chemistry” in the text, as catalogued by the Scopus bibliographic database  
47 (<https://www.scopus.com/>). It shows a growth in the later 70s from around a hundred papers a year  
48 to approximately 4,000 a year currently, with a large increase especially over the past two decades.  
49 Of course, many more papers discuss atmospheric chemistry, or are relevant to it, without explicitly  
50 mentioning these words!

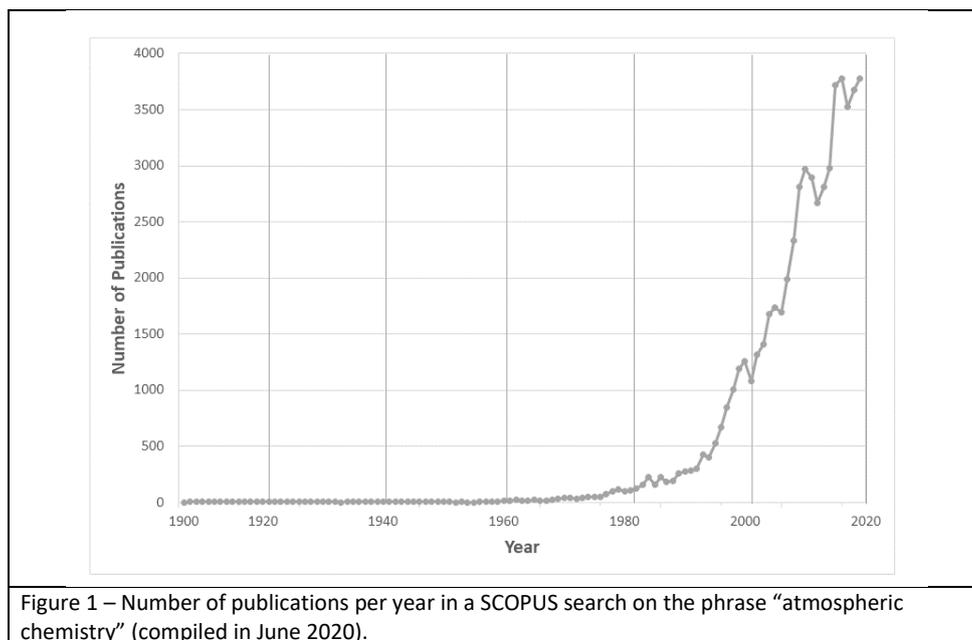


Figure 1 – Number of publications per year in a SCOPUS search on the phrase “atmospheric chemistry” (compiled in June 2020).

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52 This paper aims to recognize and highlight some of the most influential peer-reviewed articles that  
53 have shaped this field. There were many pivotal scientific discoveries and there were many papers  
54 that spurred action and further research. What were the significant discoveries that shaped the  
55 atmospheric chemistry of today? And how do we narrow down the list contributions to highlight the  
56 most impactful ones?

57 There are many ways to choose the papers that described discoveries and influenced atmospheric  
58 composition and chemistry. Here we have assembled an initial<sup>1</sup> compilation of influential articles. Our  
59 goal is not to show what makes a ‘great’ paper, which depends not only on the science, but also the  
60 quality of the writing, readability, and structure of the written work – all criteria that are highly  
61 subjective. Nor are we aiming only for those papers that led to policy and management actions.  
62 Instead, we try to reflect on *the papers’ science and content* and the influence of the ideas in those  
63 papers on our community of scientists and on the field’s development. Our approach is to present our  
64 thoughts – informed by the solicitation for input from colleagues in the field – and share what we  
65 think are the fundamental discoveries and developments, start a discussion, and allow others to build  
66 on, reinforce or critique our work.

67 In addition to peer-reviewed papers, and the above mentioned textbooks, we have of course other  
68 mediums through which we communicate (have communicated) with our peers. These include  
69 scientific reports, conferences, and meetings. In addition, we have scientific assessments and  
70 evaluations, which often get more scrutiny and reviews than the peer-reviewed papers they include.  
71 These days, other communication media, such as social media, have also become prevalent as formats  
72 for exchange both within the scientific community and with a broader audience. However, the entire  
73 community cannot attend all conferences and meetings, the scientific reports are not always  
74 accessible and often not peer-reviewed, and the assessments are often driven more by policy needs

<sup>1</sup> Initial as it is intended to be informed by the community through an open review process as outlined later.



75 rather than by scientific discoveries. Publishing peer-reviewed papers is the closest we come to  
76 reaching the whole community. We do note that, despite its known issues, peer-reviewed literature  
77 is still considered the gold standard for quality and reliability. For these reasons, we discuss only peer-  
78 reviewed papers here, although we aim to communicate the overarching scientific advances that  
79 shaped the field.

80

### 81 *1.1. How were the papers selected?*

82 Easily measurable criteria, like the number of citations, are one metric. However, this approach  
83 favours papers of a particular vintage and not necessarily the earlier or later papers. Besides, there  
84 are several drawbacks to these simple and objective criteria. Citations tend to go down when  
85 something is assumed to be common knowledge and makes it into textbooks or compilations. For  
86 example, nobody cites Priestley for discovering oxygen or Schönbein for discovering ozone, whenever  
87 atmospheric composition is mentioned. Indeed, some of the central concepts of atmospheric  
88 chemistry and physics are considered to be common knowledge, and their origins are taken for  
89 granted. The number of citations will also be influenced by the journal in which a paper is published,  
90 and quite often (we hate to say this) also depends on who else cited them and in which journal they  
91 were cited. Citations also depend on how many people are otherwise researching a particular subject.  
92 Furthermore, critical assessments and expert data evaluations suppress the citation of the original  
93 papers. This is particularly the case, for example, for papers on chemical kinetics and photochemistry,  
94 whereby people tend to simply cite the data evaluations such as NASA/JPL  
95 (<https://jpldataeval.jpl.nasa.gov/>) or IUPAC (<http://iupac.pole-ether.fr/>) panel reports. Similarly,  
96 people often cite the quadrennial ozone layer depletion and IPCC assessments, thereby obfuscating  
97 the underlying original papers. Other types of papers, such as reviews, tend to get an excessive  
98 number of citations (for understandable reasons). Lastly, we cannot overlook the influence of journal  
99 availability in different parts of the world. This availability is exacerbated when journal costs go up,  
100 and not everybody can access new papers. Nevertheless, there is still a relevance to the number of  
101 citations of a paper. We show, for example, the 10 most-cited papers if we were to search on the  
102 combination of words “atmospheric and chemistry” in Table 2.

103 For all the above reasons, we decided to use a different approach here. We solicited the scientific  
104 community to obtain input from the experts in the field. To accomplish this, we put out a call through  
105 the International Global Atmospheric Chemistry (IGAC) (Melamed et al., 2015) project to its contacts  
106 and thereby engaged a broad audience. Despite the broad audience of IGAC, the vast majority of  
107 responses came from scientists in North America and Europe. An initial list of influential papers was  
108 established by combining the replies received from the expert solicitation to evaluate the most  
109 nominated papers. In addition, a variety of perspectives were assembled for the writing team,  
110 including different career stages, nationalities, and genders. Despite all these efforts, the selection  
111 methods will still inevitably create bias that cannot be escaped. Therefore, in many respects, the  
112 chosen papers are not supposed to be a definitive list, but rather a compilation that allows researchers  
113 to discuss and reflect on what makes impactful science, and maybe ponder on the landmarks in our  
114 subject. Furthermore, we hope that the end product can provide an interesting history and context to  
115 those who are joining the community and document the current “perception” of what are the most  
116 important papers.

117 We have noted the drawbacks in our methodology simply to present upfront some of the limitations  
118 of what we did in this paper. However, we hope that others will find this work relevant and engaging,  
119 and actively participate during the peer-review process, so that we can obtain the perspective of a



120 broader community more reflective of the global composition of the field. To facilitate this, we  
121 submitted the paper in an open-access and interactive review that includes a public comment period.  
122 We hope that this approach will overcome some of the limitations and reservations we expressed  
123 earlier. We **invite and encourage you, the reader**, to weigh in on the choices and help determine the  
124 final shape of this overview. We shall seek to reflect this discussion in the final version.

125

### 126 *1.2. Scope of work*

127 As with the selection method, one can debate the scope and the methodology for a work such as this.  
128 Still, the boundaries we have drawn encompass studies that have shaped our understanding of the  
129 atmosphere and the underlying chemical and physical processes, focusing mostly on the troposphere.  
130 This includes modelling, field measurements, remote sensing, and laboratory studies (Abbatt et al.,  
131 2014). We have also included atmospheric interactions with the biosphere, cryosphere, and  
132 hydrosphere.

133 We selected 2010 as the cut-off year. Our rationale is that for a paper to have been influential in the  
134 whole field it must be at least ten years old and thus had time to accrue recognition. We recognize  
135 that important papers in newer areas of endeavour are disadvantaged by this criterion. Examples  
136 include the subjects of SOA formation (Ehn et al., 2014; Crounse et al., 2013), the chemistry of Criegee  
137 intermediates (Welz et al., 2012), galactic rays induced aerosol nucleation (Kirkby et al., 2011), and air  
138 pollution-climate connections (Shindell et al., 2012). Influential assessments such as bounding black-  
139 carbon (Bond et al., 2013) are also missed. However, these areas will undoubtedly be recognized in  
140 the coming decades. The ten-year window also allows the scientific community to have had extensive  
141 input on a paper's validity, i.e., meeting the criterion of "standing the test of time."

142

143 The papers have been grouped into the following general categories and are presented as such in  
144 Section 2.

- 145 1. *Foundations*
- 146 2. *Aerosols and Clouds*
- 147 3. *Secondary Organic Aerosols*
- 148 4. *Chemical Kinetics, Laboratory Data and Chemical Mechanisms*
- 149 5. *Heterogeneous and Multiphase Chemistry*
- 150 6. *Chemical Models*
- 151 7. *Tropospheric Ozone*
- 152 8. *Nitrogen Chemistry*
- 153 9. *HO<sub>x</sub> Chemistry*
- 154 10. *Nighttime Chemistry*
- 155 11. *Halogen Chemistry*
- 156 12. *Volatile Organic Compounds*
- 157 13. *Biogenic Emissions & Chemistry*
- 158 14. *Biomass Burning*
- 159 15. *Emissions and Deposition*
- 160 16. *Chemical Transport*
- 161 17. *Satellites and the Troposphere*
- 162 18. *Stratospheric Chemistry*
- 163 19. *Other issues that influenced tropospheric chemistry*



164

165 The groups were chosen to reflect the main areas of research or endeavour, recognizing that this  
166 division could be done in several different ways. There is no assumed equivalence in these groups  
167 regarding their perceived or real importance or impacts. In the following, we discuss the papers in  
168 each group to show why they have been nominated and to put them in the historical context of the  
169 development of atmospheric chemistry as a discipline.

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173        **2. Survey of Areas**

174

175        *2.1. Foundations*

176 Atmospheric chemistry has some long-standing and deep roots. However, it blossomed in the second  
177 half of the 20<sup>th</sup> century following concerns about the ozone layer depletion and various forms of  
178 tropospheric pollutions, including such as Los Angeles smog, London smog and acid precipitation  
179 (Table 1). Many note John Dalton's early contributions on the proportion of gases in the atmosphere  
180 (Dalton, 1805) and John Tyndall's Bakerian lecture on radiation and gases (Tyndall, 1861) as among  
181 the first studies in this field. The work of Arrhenius "On the Influence of Carbonic Acid in the Air upon  
182 the Temperature of the Ground" (Arrhenius, 1896) and the subsequent paper of Callendar, "The  
183 artificial production of carbon dioxide and its influence on temperature" (Callendar, 1938), laid the  
184 groundwork for the linkage between atmospheric chemistry and climate. Concerning aerosols, the  
185 seminal work of John Aitken (Aitken, 1888) "On the number of dust particles in the atmosphere"  
186 details early work to count the number per cubic centimeter in various indoor and outdoor  
187 environments. It is interesting to note that physiologists looking at the number of live germs in the air  
188 stimulated Aitken's work. The later work of Köhler (Köhler, 1936) which explored cloud droplet  
189 nucleation remains the basis for later work (see the *Aerosols and Clouds* section). The start of  
190 atmospheric chemistry as a distinct discipline probably arrived with Chapman's chemical theory of the  
191 stratospheric ozone layer in 1930 (Chapman, 1930), which will be further discussed in the  
192 *Stratospheric Chemistry* section. This study heralded the importance of atmospheric chemistry on a  
193 global scale.

194 In analyzing the influential papers on atmospheric composition, one cannot help but note the  
195 relationship between these papers and the most significant contemporary environmental issues  
196 (Table 1). The first of these was the Los Angeles smog, which had its European counterpart, the London  
197 "Pea-Soup" (Brimblecombe, 1987). The two events, which in chemical terms have no equivalence, had  
198 comparable impacts on public health and opinion. The oft recognized work of Haagen-Smit (Haagen-  
199 Smit and Fox, 1954; Haagen-Smit, 1952; Haagen-Smit et al., 1953) in the early 1950s on the Los Angeles  
200 smog was the first to coin the term "air pollution" in the modern era. Haagen-Smit showed that  
201 automobile exhaust gases can form ozone in the air and should, therefore, be considered a definite  
202 source of smog. Figure 2, redrawn from Haagen-Smit (1952), shows a schematic presentation of the  
203 reactions in polluted air leading to smog. Notably, the basic features of tropospheric chemical  
204 processes, as we understand them today, were already recognized in these early papers, and they  
205 already showed how ozone could be chemically produced in the troposphere.

206 It is widely recognized that both Crutzen (Crutzen, 1973a; Crutzen, 1973b) and Chameides and Walker  
207 (1973) found that similar "smog reactions" oxidize methane and carbon monoxide to produce  
208 substantial amounts of ozone in remote regions of the atmosphere. They estimated chemically  
209 produced ozone to be much greater than that transported from the stratosphere, which was believed  
210 to be the primary source of this chemical in the troposphere at that point. A few years earlier, in 1970,  
211 Hiram Levy II had suggested that the hydroxyl radical, which provides the dominant oxidation  
212 mechanism in the troposphere, was formed in unpolluted air by the same mechanism that had been  
213 described as occurring in polluted air (Levy, 1971). This paper by Levy (1971) is recognized by many as  
214 the first description of the chemistry of the lower atmosphere involving hydroxyl radical reactions of



215 methane and carbon monoxide, hydroperoxyl radicals, and the photolysis of ozone and formaldehyde  
216 as radical sources. In particular, he recognized that the very short-lived electronically excited oxygen  
217 atom ( $O^1D$ ) is a possible source of the OH radical, an idea now well established.

218 Around the same time, Weinstock (1969) explained how cosmic rays lead to the production of  
219 radiocarbon dioxide ( $^{14}CO_2$ ), which is incorporated into living plants. This process requires a rapid  
220 turnover of radiocarbon monoxide ( $^{14}CO$ ), which was unexpected because the lower atmosphere was  
221 thought to be a “chemical desert”. Instead, carbon monoxide appeared to have a turnover time of  
222 about one-tenth of a year, primarily driven by hydroxyl radical oxidation. To some, this paper kicked  
223 off the research which led to our present understanding of the atmospheric chemistry of the lower  
224 atmosphere.

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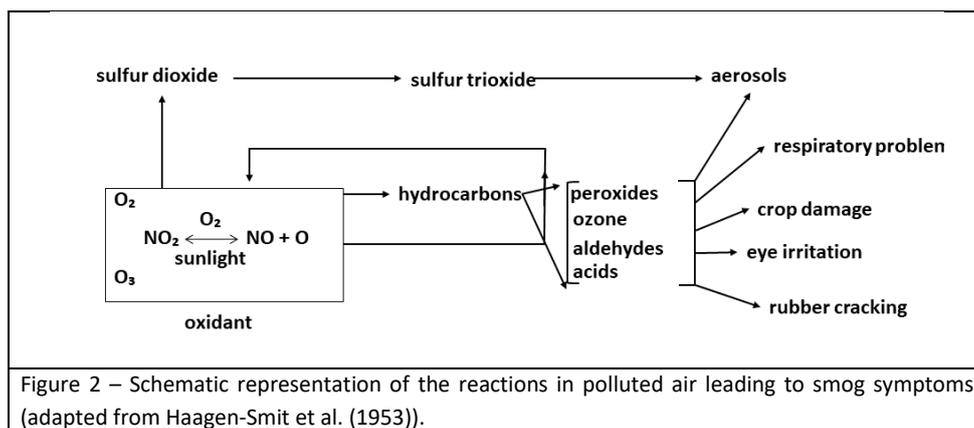


Figure 2 – Schematic representation of the reactions in polluted air leading to smog symptoms (adapted from Haagen-Smit et al. (1953)).

226

227 It has been claimed that “acid rain was one of the most important environmental issues during the  
228 last decades of the twentieth century” (Grennfelt et al., 2019) (see Table 1). One of the reasons is that  
229 acid rain first demonstrated that air quality was not merely a local issue but a regional issue and  
230 showed that the atmosphere has no definite boundaries (Fowler et al., 2020). Although the case of  
231 acid rain and its effects had been noted and reported by some earlier papers e.g., Odèn (1968), for  
232 some, it is the paper by Likens and Bormann (1974) that made this issue known to the science  
233 community at large. Other early papers (for example, from Urone and Schroeder (1969) and Penkett  
234 et al. (1979)) also recognized the vital role of liquid-phase oxidation of  $SO_2$  by oxidants such as  $H_2O_2$   
235 and  $O_3$ . Current estimates suggest that roughly 50% of the  $SO_2$  oxidation in the lower troposphere  
236 occurs through liquid-phase reactions.

237 There is little doubt that one of the most impactful series of papers is that of the eponymous curve by  
238 Keeling (Keeling, 1960; Keeling et al., 1979; Pales and Keeling, 1965), showing the steady rise in  $CO_2$   
239 measured at Mauna Loa observatory (this work has continued uninterrupted by NOAA/ESRL/CML over  
240 the past few decades). Keeling’s work was built on the previously mentioned work of Callendar (1938)  
241 who compared measurements of  $CO_2$  at Kew, UK (1891-1901) with those in the Eastern USA (1936-  
242 1938) and noted an increase in concentration. Although the gas in question is  $CO_2$ , which is often seen  
243 only as climate gas, changes in its levels reflect the changing composition of the atmosphere and the



244 effects that it can have, and shows that the two subjects cannot be easily separated. Furthermore, the  
245 increase in CO<sub>2</sub> is of immense importance to ocean acidification, a topic not touched upon here but  
246 nevertheless very important. The seminal paper by Ramanathan et al. (1985) that highlighted the role  
247 of methane, chlorofluorocarbons, and N<sub>2</sub>O for climate strengthened the case for the inclusion of  
248 chemistry in the climate issue. In many respects, this close-coupling between atmospheric chemistry  
249 and climate change was brought to the forefront with the 1995 Nobel prize being awarded to Paul  
250 Crutzen, Mario Molina and F. Sherwood Rowland “for their work in atmospheric chemistry,  
251 particularly concerning the formation and decomposition on ozone”<sup>2</sup> (see *Stratospheric Chemistry*  
252 section) and, later, with the Nobel prize to the Intergovernmental Panel on Climate Change, IPCC.

253

## 254 2.2. Aerosols and Clouds

255 Aerosols in the atmosphere greatly influence both air quality and climate change; they are also a  
256 significant media for composition change in the atmosphere. In this section we discuss three main  
257 areas of aerosol related research: (1) Understanding the mechanisms and atmospheric chemistry  
258 processes that influence aerosol formation, nucleation, and growth, and how aerosols affect  
259 composition; (2) The role of aerosols as cloud condensation nuclei and the influence that this process  
260 has on climate; and (3) The impact of particulate matter on human health. These areas are however,  
261 related and there is not always a clear division. *Secondary Organic Aerosols* (SOA) and *Heterogeneous*  
262 *and Multiphase Chemistry* are discussed in the corresponding sections (2.3 and 2.5).

263 The roots of modern aerosol science lie, as previously discussed (see the 2.1. *Foundations* section), in  
264 the works of Aitken (1888) and Köhler in 1936 (Köhler, 1936) on the cloud droplet. Twenty years after  
265 Köhler’s research, Junge (1955) provided the power-law describing aerosol number and identified the  
266 stratospheric aerosol layer, now dubbed the ‘Junge layer’. Junge concluded: “A real step forward in  
267 the understanding of the basic processes in air chemistry can be gained only if aerosol and gases are  
268 measured simultaneously but separately, and if the aerosols, in turn, are separated according to size.”  
269 This suggestion has been a clarion call for atmospheric scientists ever since.

270 In 1958, Junge and Ryan (1958) attempted to elucidate the formation of particles from gas-phase  
271 reactants, particularly SO<sub>2</sub> and NH<sub>3</sub>, while Fitzgerald (1974) investigated the variation in aerosol  
272 composition with particle size. They showed that cloud droplet size distribution was insensitive to the  
273 specific soluble constituents. Twomey (Twomey, 1977, 1974) suggested that air pollution gives rise to  
274 the whitening of clouds and influences the planet’s radiative balance. He also indicated that there is a  
275 connection between pollution aerosols and cloud reflectance (albedo). This concept is now often  
276 referred to as the “Twomey effect.” Twomey (1977) expanded on the 1974 work, exploring the  
277 balance between the scattering vs. absorption effect on the incoming solar radiation. It is on this basis  
278 that much of the current research on the role of aerosols *via* their direct and indirect effect on climate  
279 has been built. Bolin and Charlson (1976) estimated that anthropogenic sulfate aerosol from the US  
280 and Europe would lead to a global temperature decrease of 0.03-0.06 °C. They recognized early on  
281 that “we are already approaching the time when the magnitude of the indirect effects of increasing  
282 use of fossil fuel may be comparable to the natural changes of the climate over decades and  
283 centuries.”

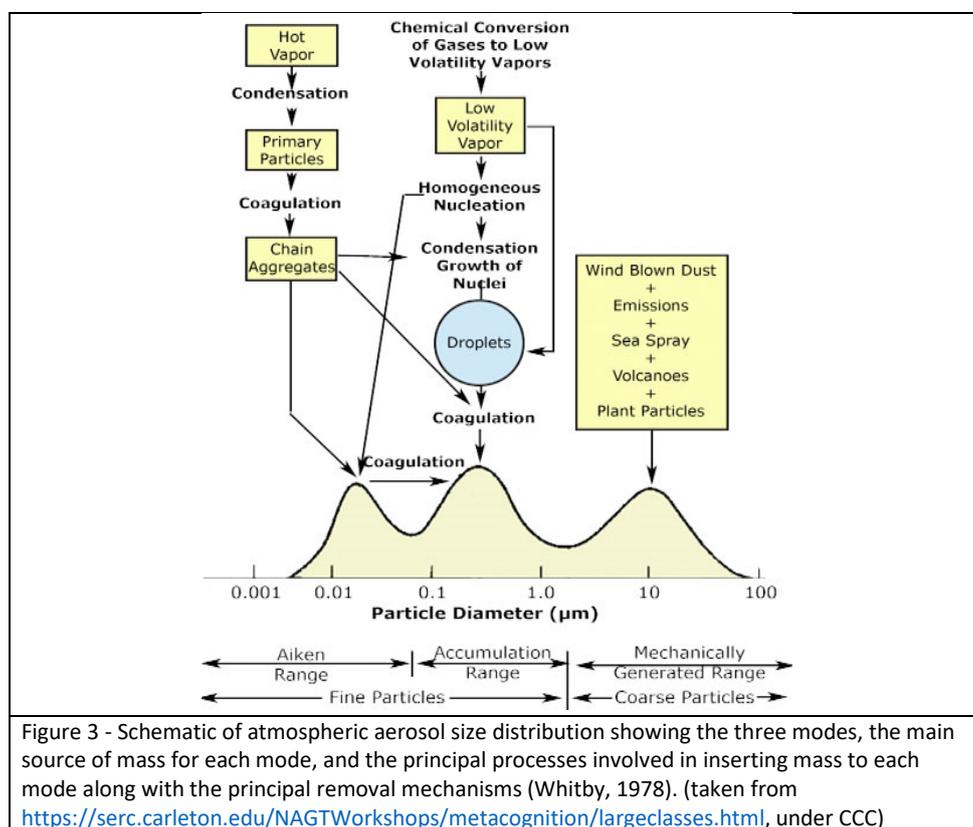
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<sup>2</sup> <https://www.nobelprize.org/prizes/chemistry/1995/press-release/>



284 In the early 1970s, Whitby and Knutson developed an instrument to measure particle size distribution  
285 in the nm to  $\mu\text{m}$  range (Knutson and Whitby, 1975) – the well-known aerosol mobility analyser. They  
286 used the measurements from this instrument to introduce a new formulation of the formation and  
287 growth of atmospheric particles and aerosol size modes – the Whitby diagram which is now a common  
288 text book figure and is shown in Figure 3. The outcomes of this work show the importance and  
289 influence of the development of new instruments that probe the atmosphere.

290



291

292 The CLAW hypothesis (the acronym taken from the surnames of the proposers Charlson, Lovelock,  
293 Andreae, and Warren) (Charlson et al., 1987) further connected aerosol science to gas-phase  
294 chemistry, specifically focused on the feedback loop between ocean ecosystems and Earth's climate.  
295 Although the conclusions of the paper have been questioned (Quinn and Bates, 2011), this paper  
296 highlighted the interconnections within atmospheric sciences, and environmental sciences in general.

297 The work of Friedlander and co-workers (Stelson et al., 1979) further highlighted the role of liquid-  
298 phase chemistry leading to aerosol particles. A key milestone in our understanding of sulfate  
299 formation was the recognition that the reaction of  $\text{HOSO}_2$  with  $\text{O}_2$  is exothermic (Calvert et al., 1978)  
300 and leads to gas-phase  $\text{SO}_3$ , contrary what was accepted at the time. Prior to this finding, there were  
301 major difficulties in understanding the formation of gas-phase  $\text{H}_2\text{SO}_4$  formation (Davis et al., 1979)



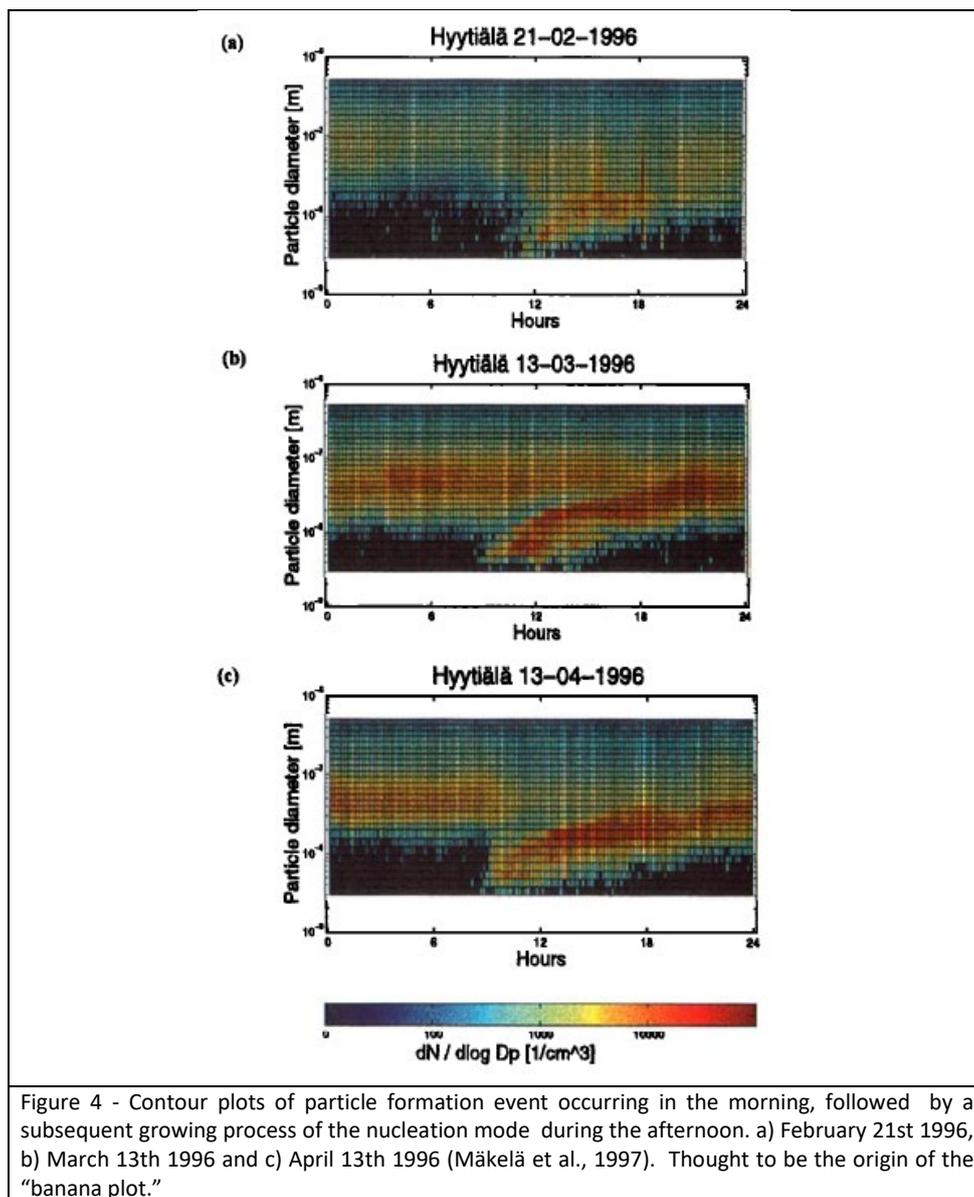
302 from SO<sub>2</sub> oxidation, an essential step for the nucleation of new particles from the gas-phase in the  
303 atmosphere.

304 This area of research was further developed by Robbin and Damschen (1981) who investigated the  
305 role of peroxide in the liquid-phase in oxidising SO<sub>2</sub> which was key to understanding the phenomenon  
306 of acid rain. Graedel and Weschler (1981) reviewed the chemical transformations in atmospheric  
307 aerosols and raindrops and extended the idea of Martin and Damschen. Stelson and Seinfeld (1982)  
308 evaluated the thermodynamics of ammonium, nitrate, and sulfate aerosols, which was a significant  
309 step in understanding particle formation and growth. Pankow's 1994 work (Pankow, 1994b, a) on the  
310 absorption model of gas/particle partitioning of organic compounds in the atmosphere is of  
311 fundamental importance for models to calculate the amounts of PM formed and their growth in the  
312 urban and regional air, and in the global atmosphere.

313 Charlson et al. (Charlson et al., 1990; Charlson et al., 1991) produced the first global estimate of the  
314 direct aerosol effect that subsequently had a large impact on climate modelling. The role that aerosols  
315 would have on CCN and cloud albedo was also acknowledged, concluding that it may be substantial.  
316 How substantial, however, was not quantified at that point because of a lack of knowledge on the  
317 relationships involved. A few years later, Boucher and Lohmann (1995) provided an estimate of the  
318 indirect effect of anthropogenic aerosols on climate. After many additional years of study based on  
319 these foundations and analyses of radiative balance, the total radiative forcing by anthropogenic  
320 aerosol is now estimated to be roughly -1.1 W/m<sup>2</sup>, thereby solidifying the importance of aerosols in  
321 climate change.

322 Building on the work of Whitby, Mäkelä et al. (1997) conducted continuous monitoring of particles at  
323 a forest site in Finland. Beyond confirming the existence of 3 submicron particle size modes (the  
324 nucleation, Aitken, and accumulation modes, see also Covert et al. (1996)), they also observed new  
325 particle formation events. These events have been subsequently observed by others and are often  
326 depicted in the literature using the famous "banana plots" (Figure 4).

327



328

329 There has been ample recognition for the research on process representations, such as the mole-  
330 fraction-based thermodynamic models (Clegg et al., 1998b, a) and one-parameter model for  
331 hygroscopic growth and CCN activity (Petters and Kreidenweis (2007)). Facchini et al. (1999) presented  
332 experimental work aimed at understanding the role of surface tension in droplet growth and the  
333 subsequent effect on cloud albedo and radiative forcing (RF), while Knipping et al. (2000) used a  
334 simplified experiment to investigate the role of reactions of gases with ions at the air-water interface.  
335



336 More specifically, the role of organics in the formation and growth of aerosols particles has been a  
337 significant area of research (Kulmala et al., 2000). In addition to the natural hydrocarbons noted  
338 above, it has become clear that anthropogenic hydrocarbons such as aromatic compounds are also  
339 involved in new particle formation and their growth (Odum et al. (1997).

340

### 341 2.3. Secondary Organic Aerosols

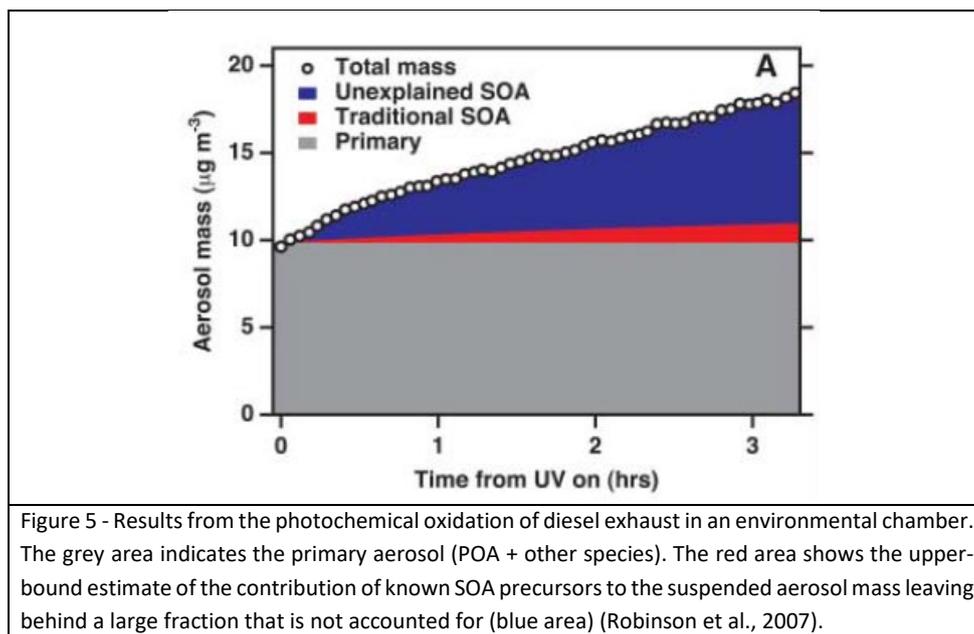
342 Since the mid-2000s, secondary organic aerosols (SOA) have been the focus of much research,  
343 addressing their abundance, sources, and production pathways. One of the foundational works in this  
344 area is the recognition of the role of natural and anthropogenic hydrocarbons, and in particular  
345 isoprene chemistry in the formation of SOA (Claeys et al., 2004).

346

347 The chemical composition of SOA across the globe is still poorly understood (Zhang et al., 2007),  
348 although ways to describe the growth of SOA have advanced significantly (Kalberer et al., 2004).  
349 Donahue et al. (2006) developed an approach based on the volatility of organics, a concept termed  
350 “volatility basis set.” This concept has been extended to a host of volatilities and their classifications.  
351 For example, as shown in Figure 5, Robinson et al. (2007) postulated that a large amount of SOA mass  
352 is unexplained by current models, and methods used to estimate SOA production do not capture what  
353 is measured/observed in the field.

354 Jaenicke (2005) was the first to suggest that biological particles are an important fraction of  
355 atmospheric aerosol. This paper prompted the development of a new and exciting field within  
356 atmospheric sciences.

357



358



359 The introduction of the aerosol mass spectrometer (AMS) by Worsnop and colleagues (Canagaratna  
 360 et al., 2007) along with the pioneering instruments of Prather (Gard et al., 1997) and Murphy et al.  
 361 (2006) have established that organic compounds are ubiquitous in aerosols. Zhang et al. (2007) and  
 362 later Jimenez et al. (2009) explored the chemical composition of PM at different sites across a part of  
 363 the globe, and their work has been extended by a large number of groups.

364 Aimed at addressing some of the ‘missing urban SOA’ in models, Surratt et al. (2010) investigated SOA  
 365 production from isoprene and Virtanen et al. (2010) showed the amorphous solid state of biogenic  
 366 secondary organic aerosol particles, challenging the traditional views of the kinetics and  
 367 thermodynamics of SOA formation and transformation.

368

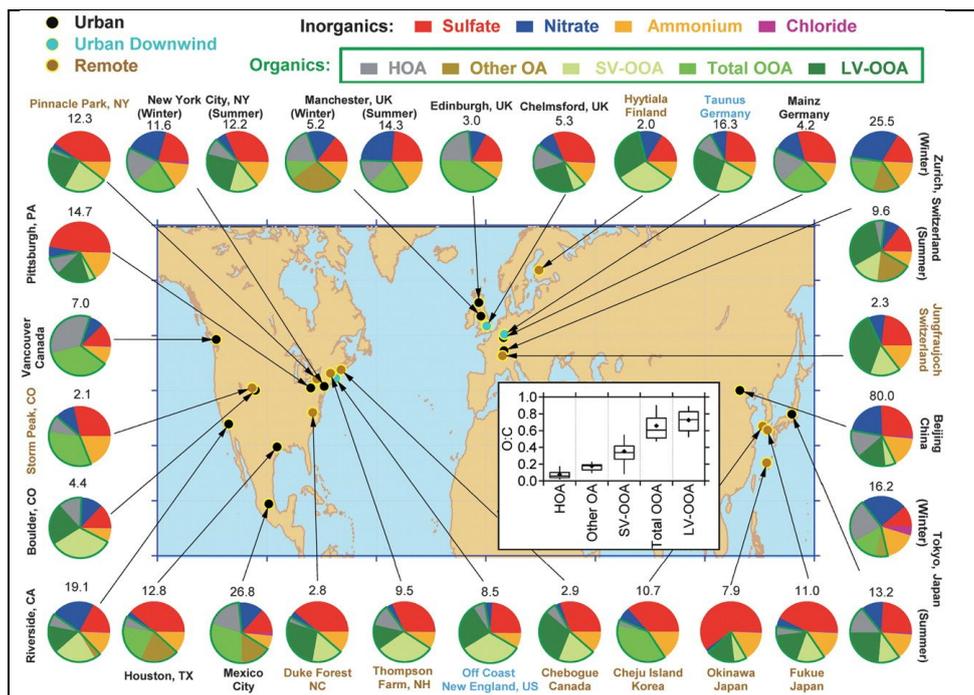


Figure 6 - Total mass concentration (in micrograms per cubic meter) and mass fractions of nonrefractory inorganic species and organic components in submicrometer aerosols measured with the AMS at multiple surface locations in the Northern Hemisphere at mid-latitudes. The organic components were obtained with FA-AMS methods (Zhang et al., 2007). In some studies, the FA-AMS methods identified one OOA factor, whereas in other locations, two types, SV-OOA and LV-OOA, were identified. HOA is a surrogate for urban primary OA, and Other OA includes primary OAs other than HOA that have been identified in several studies, including BBOA. Inset: distributions of O:C for the OA components identified at the different sites (Jimenez et al., 2009).

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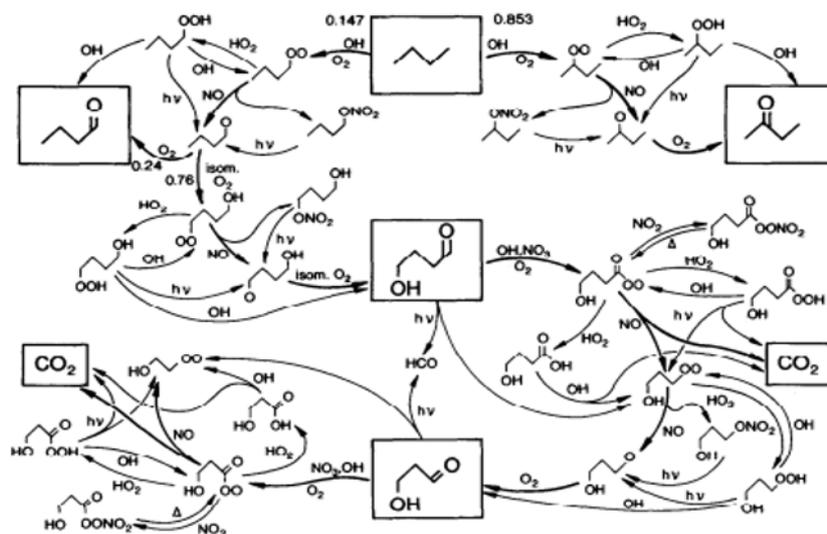


372           2.4. *Chemical Kinetics, Laboratory Data and Chemical Mechanisms*

373    Chemical kinetics is one of the foundations of atmospheric chemistry (Abbatt et al. (2014). This  
374    includes a number of different areas: investigation of individual chemical reactions; theoretical  
375    understanding of elementary reactions; evaluations and compilation of kinetics data; development  
376    and compilation of chemical mechanisms for use in models (see the 2.6. *Chemical Models* section);  
377    testing and simplification of the models for use in numerical models. Demerjian et al. (1974) is  
378    considered by many in the community to be one of the cornerstones of chemical mechanism  
379    development and it has been influential in a number of other research areas as well. This paper  
380    provided an explicit chemical mechanism for the troposphere in which all the chemical reactions were  
381    written as numerically integrated stoichiometric equations to predict photochemical ozone  
382    production rates. Previously, all chemical mechanisms had been highly “reduced” (into simple  
383    mechanisms) and/or parameterised, with non-stoichiometric equations. Using Demerjian’s approach,  
384    many explicit atmospheric chemical mechanisms have been derived, including one of the most widely  
385    used, the Master Chemical Mechanism (MCM, Figure 7) (Jenkin et al., 1997; Jenkin et al., 2003).  
386    Currently, there are a variety of tropospheric chemistry mechanisms that capture the scope of  
387    chemical reactions that are used in a number of models including the 1990 Carter mechanism (Carter,  
388    1990), RADM/RACM (Stockwell et al., 1997; Stockwell et al., 1990), SAPRC-07 (Carter, 2010) and the  
389    aqueous-phase mechanism CAPRAM (Ervens et al., 2003).

390    There is no doubt that the chemical kinetic data compilations have been the backbone of providing  
391    much needed experimental data to all chemical mechanisms and models (see the 2.6. *Chemical*  
392    *Models* section). The comprehensive reviews of Atkinson starting around 1986 (Atkinson (1986)) and  
393    followed by many others, provided a consistent description of the reaction pathways of the alkyl,  
394    peroxy and alkoxy radicals produced by the reactions of hydroxyl radicals with a wide range of organic  
395    compounds. These papers led the way for the compilation of the IUPAC and JPL chemical kinetic data  
396    evaluation of tropospheric reactions (Atkinson et al., 1989; Crowley et al., 2010; Atkinson et al.,  
397    1992; Atkinson et al., 2004; Atkinson et al., 2006; DeMore et al., 1997; Burkholder et al., 2020). (Note  
398    that compilation of kinetics data for stratospheric reactions dates back to mid-1970s (Hudson and  
399    Reed, 1979)) These works have been the foundation for the development of all chemical mechanisms  
400    and have led to the standardisation and improvement of condensed chemical mechanisms used in all  
401    chemical models.

402



403

404 Figure 7. Oxidation mechanism of butane in the Master Chemical Mechanism (Jenkin et al., 1997).

405

406 The recognition of reactions with negative activation energies and the role of weakly bound adducts  
407 were other steps in improving our understanding of chemical kinetics. When the adduct is strong, we  
408 term it an association reaction, which exhibits negative activation energies and pressure dependence.  
409 Understanding and representing these type of reactions in atmospheric chemistry has been a major  
410 step. In particular, the pioneering work of Troe and coworkers have enabled realistic and simpler  
411 representation of these reactions based on the Rice-Ramsperger-Kassel-Marcus (RRKM) theory (Troe,  
412 1979, 1994).

413 Atmospheric chemistry is often termed atmospheric photochemistry since the initiator for many of  
414 the reactions is the production of free radicals, which are directly or indirectly the result of solar  
415 radiation. Over the decades, the representation of photochemical processes has been well  
416 established. A key element is the calculation of the “j-value” (i.e. the photolysis rate) for a  
417 photochemical process, which depends on radiative transfer to obtain the solar flux and laboratory  
418 measurements of absorption cross sections and quantum yields. The pioneering works on methods  
419 for quickly and accurately calculating j-values are those of Madronich and Flocke (1999) and of Prather  
420 and colleagues (Wild et al., 2000).

421 Moving towards individual reactions, the work of Howard and Evenson (Howard and Evenson, 1977)  
422 on the reaction between  $\text{HO}_2 + \text{NO}$  has been recognised as a scrupulously careful study that  
423 overturned conventional wisdom on this key reaction in photochemical smog/ozon formation (and  
424 in stratospheric chemistry).

425 Three papers nearly a decade apart address the fundamental importance of robust laboratory  
426 measurements to underpin model-led interpretation of experimental data. The seminal work  
427 demonstrating the long-wavelength tail on the ozone photodissociation quantum yield (Ball et al.,  
428 1993; Ravishankara et al., 1998) and the related work on the  $\text{O}^1\text{D} + \text{N}_2/\text{O}_2$  reactions (Ravishankara et



429 al., 2002), key processes in the formation of OH radicals in the troposphere. Prompted by the findings  
430 of Lelieveld et al. (2008) (see the 2.13. *Biogenic Emissions & Chemistry* section), a pair of laboratory  
431 papers published in 2009, about HO<sub>x</sub> radical regeneration in the oxidation of isoprene (Peeters et al.,  
432 2009) and unexpected epoxide formation in the gas-phase photooxidation of isoprene (Paulot et al.,  
433 2009) have changed the way we understand the gas and aerosol products and impacts of isoprene  
434 chemistry (Kleindienst, 2009).

435

#### 436 2.5. *Heterogeneous and Multiphase Chemistry*

437 Earth's atmosphere contains various amounts of condensed matter suspended in air. The most visible  
438 condensed matter is, of course, clouds. One can also see aerosols when their concentrations are large;  
439 examples include smog, wildfires, and volcanic eruptions. In addition to clouds, snow and ice provide  
440 different mediums that can alter chemistry.

441 Many chemical reactions occur on the surfaces of particles suspended in air, ice/snow on the ground,  
442 and within liquid drops. In general, these processes catalyse reactions that would be very slow in the  
443 gas-phase, such as those between closed-shell molecules, and/or can produce products that do not  
444 form in the gas-phase. For these reasons, heterogeneous and multiphase reactions are of immense  
445 interest, although distinction between heterogeneous and multiphase chemistry is not always clear  
446 cut (Ravishankara, 1997).

447 The unique contribution of reactions in/on condensed matter burst into the limelight due to their role  
448 in stratospheric ozone depletion (Solomon et al., 1986). However, such reactions had been recognized  
449 to be important before the ozone hole research, in the oxidation of SO<sub>2</sub> (Urone and Schroeder (1969)  
450 and Penkett et al. (1979)). Since the 1990s, the roles of heterogeneous and multiphase reactions have  
451 been highlighted in many tropospheric processes, as noted here in various sections (see for instance  
452 sections 2.1. *Foundations* and 2.4. *Chemical Kinetics, Laboratory Data and Chemical Mechanisms*).

453 Chameides and Davis (1982) studied the free radical chemistry of cloud droplets and its impact upon  
454 the composition of rain showing that the radical chemistry in water droplets could drive peroxides  
455 production, which has the ability to rapidly oxidise sulphur species – a strong link to acid rain. Akimoto  
456 et al. (1987) work on the photoenhancement of nitrous acid formation in the surface reaction of  
457 nitrogen dioxide and water vapour demonstrated the existence of an additional radical source in smog  
458 chamber experiments and challenged our understanding of the role of such heterogeneous reactions  
459 in the atmosphere. The work of Mozurkewich et al. (1987), Hanson et al. (1992) and subsequently  
460 Thornton and Abbatt (2005), on the measurements of HO<sub>2</sub> uptake to aqueous aerosol was highly  
461 influential in the debate on the aerosol loss of HO<sub>x</sub>, a question that has vexed many modelling studies.

462 A pioneering paper in tropospheric cloud chemistry is the study by Jacob et al. (1986) in the San  
463 Joaquin valley that used a multiphase measurements and modelling approach to study the formation  
464 of acid fog. Two further papers have brought heterogeneous chemistry to the fore: Dentener et al.  
465 (1996) in their original paper on the role of mineral aerosol as a reactive surface in the global  
466 troposphere showed the potential role of mineral dust on the SO<sub>x</sub>, NO<sub>y</sub> and O<sub>3</sub> chemistry, and Jacob  
467 (2000) who reviewed in more detail at heterogeneous chemistry and ozone *via* HO<sub>x</sub> and NO<sub>y</sub> chemistry  
468 in relation to aerosols and cloud droplets producing a highly cited series of recommendations for  
469 future studies.



470 Another similar area is the role of chemical reactions on/in snow and ice. Such reactions were  
471 highlighted by Barrie et al. (1988), specifically with regard to the role of halogens at the surface layer  
472 in the troposphere, and hinting at the role of the cryosphere as a source of chemical species to the  
473 atmosphere see the 2.11 *Halogen Chemistry* section). Given the extent of the cryosphere and in  
474 particular of snow (Grannas et al., 2007), results in the late 1990s demonstrated its role in promoting  
475 heterogeneous reactions as a significant source of unusual and unexpected chemical species to the  
476 atmosphere. One of the most nominated works in this area was that by Honrath et al. (1999)  
477 investigating NO<sub>x</sub> production from the illuminated snowpack. Davis et al. (2001) pioneering work on  
478 the unexpected production of NO<sub>x</sub> in the pristine Antarctica is also worthy of note.

479

## 480 2.6. Chemical Models

481 Chemical models are the conduit to represent our knowledge of the chemical and physical processes  
482 in the atmosphere within a mathematical framework that allows prediction and testing against  
483 laboratory and field studies. They also help explain atmospheric observations and predict what could  
484 happen under different conditions. Early, simple chemical models (with no chemical transport) were  
485 useful tools to elucidate and test the basic theory of photochemical ozone formation (Levy, 1971).

486 The recognition that one cannot treat the chemical transformation without considering atmospheric  
487 transport came early. The original simple 1-D models, often designed with a parameterized vertical  
488 transport in terms of an “Eddy Diffusion” concept, were superseded by 2-dimensional models and  
489 have now been largely replaced by complex 3-D models. Also, chemical transport models (CTMs),  
490 which use analysed winds, are often used to separate transport from chemistry. Now, free-running  
491 on-line 3-D models, which include chemistry, have been implemented, and the continued  
492 enhancements in computing capabilities have greatly improved our modelling capabilities. Logan and  
493 co-authors are recognised by many contributors as providing the basic model description of global  
494 tropospheric chemistry (Logan et al., 1981).

495 A series of three papers from the Seinfeld group (Reynolds et al., 1973; Reynolds et al., 1974; Roth et  
496 al., 1974) provided the earliest complete descriptions of an air quality policy model. They linked  
497 together emission inventories, meteorological data, chemical mechanisms and air quality network  
498 data to evaluate model performance. All subsequent air quality policy models have followed the same  
499 general approach and basic formulation.

500 One of the earliest detailed chemical modelling studies that integrated highly instrumented intensive  
501 field campaigns data was that of Harriss (1988) for the ABLE 1A campaign in the Amazon Boundary  
502 Layer. Bey et al. (2001) first detailed GEOS-Chem, a global, three-dimensional, tropospheric, chemical  
503 transport model. Though not the only global tropospheric model, as an open-source model with a  
504 large user community and flexibility, it has become a very influential global model. In recent years  
505 WRF-Chem has also been used extensively (Grell et al., 2005). Earlier stratospheric chemical transport  
506 models were advanced by for example, Chipperfield and Pyle (1988).

507 Multi-model ensembles of the troposphere as epitomised by Stevenson et al. (2006) and Fiore et al.  
508 (2009) (see the 2.16. *Chemical Transport* section) are a powerful tool for generalising the model  
509 “understanding” of the atmosphere. This modelling approach makes use of many different models to  
510 achieve a more accurate representation of the observations than it would be possible by using only



511 one model, thus producing more reliable outcomes for assessments and policies on a global scale. In  
512 addition, multiple runs of the same models with slightly different initial conditions are used to examine  
513 the range of outcomes. This approach is akin to the use of multiple models and model runs in weather  
514 predictions.

515

## 516 *2.7. Tropospheric Ozone*

517 Ozone is one of the central molecules of atmospheric chemistry and runs through much of the  
518 foundations of the discipline, from its role in the stratosphere as UV shield, to its role as a major  
519 greenhouse gas, to its pivotal part in the troposphere as the start and end-product of oxidation  
520 chemistry, and its detrimental influence as an air pollutant harmful to human health and ecosystems.  
521 Much of the early thinking on ozone was focused on the question of whether tropospheric ozone was  
522 a small subset of stratospheric ozone, see for example Galbally (1968) and Fabian and Pruchniewicz  
523 (1977). The latter paper showed the value of observational networks based on standardised  
524 instrumentation and calibration techniques, together with consistent siting criteria, and raised the  
525 issue of seasonal variations in tropospheric ozone and the nature of the processes that drive them.  
526 The vertical structure of a layer of high O<sub>3</sub> concentrations in the stratosphere, where O<sub>2</sub> could be  
527 directly photolyzed to make oxygen atoms and hence ozone, and declining concentration in the  
528 troposphere was indicative of a stratospheric source and a tropospheric sink and was the prevalent  
529 theory prior to late 1970s (see also the 2.18. *Stratospheric Chemistry* section). A major breakthrough  
530 were the two papers by Chameides and Walker, and Crutzen (Chameides and Walker, 1973; Crutzen,  
531 1973b) that showed that ozone can be photochemically made across the troposphere, just like it is  
532 made in smog *via* the reactions involving hydrocarbons and nitrogen oxides.

533

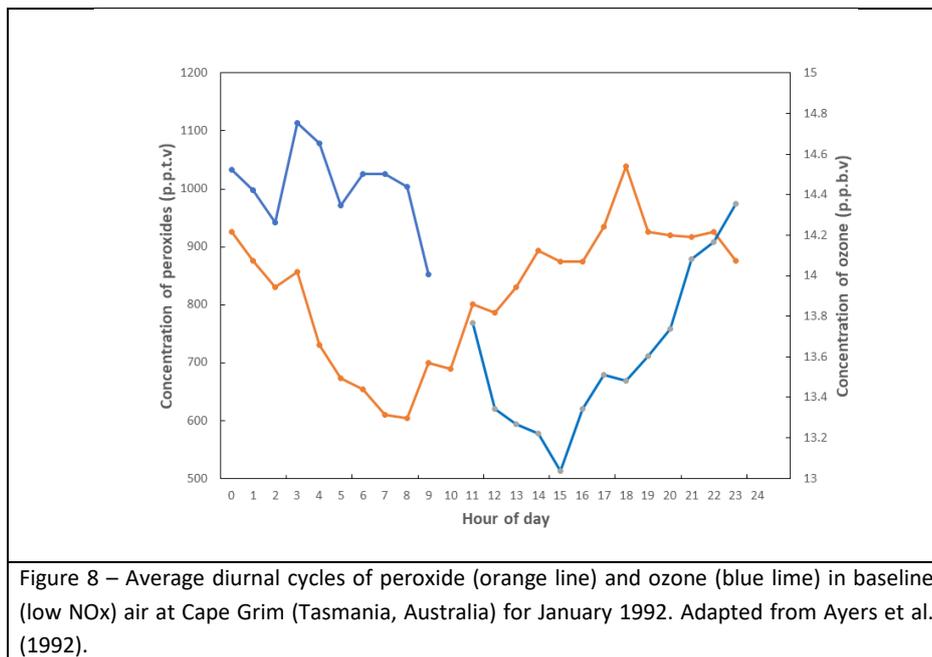
534 The importance of ozone as a radiative gas has been known for a long time, with a significant fraction  
535 of heating in the stratosphere coming from ozone photolysis followed by its reformation and thus  
536 converting sunlight to heat. Fishman in 1979, (Fishman et al., 1979) identified that tropospheric ozone  
537 is also a greenhouse gas. Hence, a change in tropospheric ozone will perturb the radiative energy  
538 budget of the Earth-atmosphere system which will in turn perturb the climate system. Ozone thus  
539 became the second trace gas after carbon dioxide to be implicated in global warming and climate  
540 change.

541

542 Large scale mapping of global tropospheric ozone was first undertaken by Logan (Logan, 1985) who  
543 looked at seasonal behaviour and trends with a view to understanding anthropogenic influence. This  
544 was later complemented by a paper exploring the photochemical origins of tropospheric, rather than  
545 stratospheric, ozone in the rural United States (Logan, 1989). As the understanding of the  
546 photochemistry of ozone developed, measurements at Niwot Ridge, Colorado (Liu, 1987) aimed to  
547 quantify the elements of the ozone budget by season, bringing forward the concept of ozone  
548 production efficiency. Lin et al. (1988) explored the non-linearity of tropospheric ozone production  
549 with respect to NMHCs and NO<sub>x</sub>. Though this chemistry had been outlined much earlier – e.g.  
550 Demerjian et al. (1974) – this work explored it in the background atmosphere with models and  
551 measurements. A powerful demonstration of the low-NO<sub>x</sub> ozone destruction chemistry came from  
552 measurements made at Cape Grim, Australia, a background station, where Ayers and Penkett (Ayers



553 et al., 1992) and their team(s) used measurements of ozone and peroxides (Figure 8) to show further  
554 experimental proof for the photochemical control of ozone in remote locations.  
555

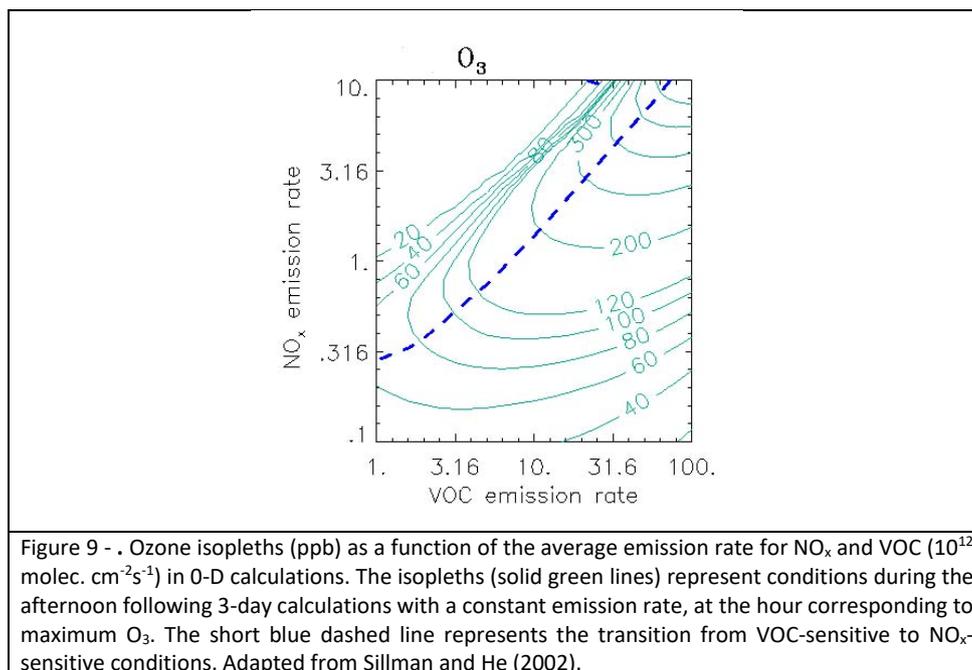


556

557 Measurements have always been a critical driver in tropospheric chemistry, and the idea to use in-  
558 service commercial aircraft as a platform for programs such as MOZAIC/IAGOS (Thouret et al., 1998)  
559 has been recognised for the enormous amount of high quality data, which would otherwise be difficult  
560 to regularly obtain from the upper troposphere and lower stratosphere. Using such measurements  
561 Newell et al. (1999) combined dynamical and chemical tracers to further delineate ozone origin and  
562 budgets. In the same year, Logan published a synthesis of ozone sonde data (Logan, 1999) which gave  
563 an unprecedented look at the seasonal and vertical distribution of ozone and became a reference  
564 point for the subject. A year later, Thompson et al. (2000) used a combination of shipboard and  
565 satellite views of a tropospheric ozone maximum to suggest the occurrence of a tropical Atlantic ozone  
566 “paradox”. The “Atlantic paradox” refers to a greater tropospheric ozone column amount over the  
567 South Atlantic than the North Atlantic during the West African biomass burning season. This  
568 phenomena was further explored using an expanded network of ozonesondes in the southern  
569 hemisphere (SHADOZ) (Thompson et al., 2003). In combination with the earlier work of Logan these  
570 became the basis for the measurement description of ozone in the troposphere.

571 A decades worth of knowledge on the relationship between ozone and its precursors was pulled  
572 together by Sillman (Sillman, 1999), cementing the concepts of NO<sub>x</sub>- and VOC-sensitive (or NO<sub>x</sub>  
573 saturated) chemical regimes. The paper introduced a generation of researchers to isopleth diagrams  
574 (the famous Sillman plot Figure 9) and ozone production efficiencies (OPEs).





575

576 The power of models to explore global tropospheric ozone distributions, budgets and radiative forcing  
577 was fully demonstrated in the study by Stevenson et al. (2006), that brought together 26 atmospheric  
578 chemistry models to explore both the air quality and climate roles of ozone (see also the 2.6. *Chemical*  
579 *Models* section). As discussed in the 2.16. *Chemical Transport* section, a similar approach was used by  
580 Fiore et al. (2009) to explore the relationship between inter-continental transport and ozone.

581

## 582 2.8. Nitrogen Chemistry

583 Nitrogen oxides are an integral part of tropospheric processes. Nitrogen oxides are released into the  
584 troposphere from a variety of biogenic and anthropogenic sources including fossil-fuel combustion,  
585 biomass burning, microbial activity in soils and lightning. The concept of the Leighton photostationary  
586 state (Leighton, 1961) between  $\text{NO}$ ,  $\text{NO}_2$ , and  $\text{O}_3$  was well established by mid-1990s, and early work  
587 from Singh and Hanst (1981) highlighted the potential role of peroxyacetyl nitrate (PAN) to be a  
588 reservoir for  $\text{NO}_x$  in the unpolluted atmosphere. A landmark paper in the area of nitrogen chemistry  
589 was that of Logan (1983) that brought together global and regional budgets for the nitrogen oxides  
590 (Table 3). Later, a paper that focused more narrowly on a specific source of  $\text{NO}_x$  was that of Yienger  
591 and Levy II (1995) who produced an empirical model of global soil-biogenic  $\text{NO}_x$  emissions. Higher up  
592 in the atmosphere, the work on sources and chemistry of  $\text{NO}_x$  by Jaeglé et al. (1998) is recognised for  
593 its contribution to the understanding of the  $\text{NO}_x$  cycle in the upper troposphere.

594 These works were complemented by a more holistic view of the nitrogen cycle and in particular the  
595 concept of reactive nitrogen (Nr) from Galloway et al. (2004) that clearly showed the linkages between  
596 the terrestrial ecosystem and the atmosphere and how the nitrogen budget had and would change.



597 In more recent times, extensive work on vehicle NO<sub>x</sub> sources from exhaust remote sensing data, as  
598 epitomised in Carslaw (2005) should be highlighted. This paper pointed out the trends that can be said  
599 to have led to the denouement of the Volkswagen emissions scandal.

600

### 601 2.9. HO<sub>x</sub> Chemistry

602 There is no doubt that the chemistry of OH and HO<sub>2</sub> (known together as HO<sub>x</sub>) has a central role in the  
603 atmosphere as well as holding a certain fascination to atmospheric scientists owing to the significant  
604 challenges involved in measurement and understanding its impact locally to globally. Much of the  
605 history of the measurements of OH and HO<sub>2</sub> is covered in the review of Heard and Pilling (2003). As  
606 they wrote “clearly, OH plays a central role in tropospheric chemistry. The in situ measurement of its  
607 concentration has long been a goal, but its short lifetime and consequently low concentration provide  
608 a serious challenge.”

609 In order to assess the global impact of OH chemistry in the absence of direct measurements, reactive  
610 proxies have been used. Singh (Singh, 1977) used methyl chloroform to estimate OH abundance since  
611 methyl chloroform is exclusively anthropogenic and its emissions are known. This type of work  
612 provided a comprehensive picture of the global distribution of OH and, hence, a first overall look at  
613 the oxidative capacity of the atmosphere. It was followed, using halocarbon measurements by the  
614 AGAGE network, by a global OH determination while also introducing the atmospheric chemistry  
615 community to formal inverse modelling (Prinn et al., 1995). Spivakovsky and co-workers expanded on  
616 this work to derive 3-D distributions of OH and used this information to assess the wider impact on  
617 the lifetimes of halocarbons, which have implications for stratospheric ozone (Spivakovsky et al.,  
618 2000). Thanks to the availability of long term observations of halocarbons from the AGAGE and NOAA  
619 Networks, later work using a similar approach found evidence for substantial variations of  
620 atmospheric hydroxyl radicals in the previous two decades (Prinn et al., 2001), thus providing a broad  
621 overview not only of the global distribution but also of the temporal variability of this crucial species.  
622 Such estimates allowed for the quantification of the lifetime of important chemicals such as methane  
623 and HCFCs.

624 The in-situ OH detection in the troposphere has proven elusive for a long time. The use of laser-  
625 induced fluorescence provided some of the first clues to its atmosphere concentrations in the 1970s  
626 and early 1980s (Davis et al., 1976; Wang et al., 1975), but any of these early measurements were  
627 found to have significant artefacts. The study by Eisele (1994) at the Fritz Peak Observatory in  
628 Colorado, was the first intercomparison experiment of different measurement techniques and  
629 provided much needed confidence in the observations of this key molecule. Stevens et al. (1994)  
630 developed the low-pressure laser-induced-fluorescence (LIF) instrument, which quickly became one of  
631 the most successful and widely used techniques for ambient measurements of OH and HO<sub>2</sub>. As  
632 ambient observations of HO<sub>x</sub> became available, they were found useful to test our understanding of  
633 the tropospheric chemical processes, by comparing them with the results of chemical models (see the  
634 2.6. *Chemical Models* section). Recognised as a foundational paper in this area, Ehhalt (1999)  
635 explained with clarity the role of radicals in tropospheric oxidation and what controls their  
636 concentrations, using both ambient measurements and calculated concentrations of OH. The OH  
637 radical is particularly suited to test our understanding of chemical processes and this was clearly  
638 demonstrated in 2009, when the discrepancies between observed and calculated OH and HO<sub>2</sub> in the



639 polluted region of Southern China led Hofzumahaus and co-workers to propose a regeneration  
640 pathway for OH, which does not involve NO<sub>x</sub> and thus does not produce O<sub>3</sub> (Hofzumahaus et al., 2009).  
641 This, in turn, prompted a major reassessment of the isoprene oxidation mechanism by Peeters et al.  
642 (2009) who suggested that isomerisation of hydroxyperoxy radicals from isoprene oxidation could be  
643 fast enough to regenerate HO<sub>x</sub> in highly forested, low NO<sub>x</sub> environments (see the 2.4. *Chemical*  
644 *Kinetics, Laboratory Data and Chemical Mechanisms* section) and led to a major revision of isoprene  
645 chemistry and its role in the troposphere (see the 2.13. *Biogenic Emissions & Chemistry* section).

646

647 The sources and sinks of HO<sub>x</sub> radicals have always been a major research focus (Finlayson and Pitts,  
648 1976) and the work of Paulson and Orlando (1996) on the reactions of ozone with alkenes as a source  
649 of HO<sub>x</sub> in the boundary layer is widely recognised. Radical chemistry is highly sensitive to the levels of  
650 NO and NO<sub>2</sub> and Kleinman's modelling work on hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) concentrations in the  
651 boundary layer is recognised for its simple elegance in describing how the HO<sub>x</sub> cycle chemistry is  
652 influenced by NO<sub>x</sub> and in giving insight into the differing fates of OH/HO<sub>2</sub> radicals under different NO<sub>x</sub>  
653 regimes (Kleinman, 1991) (Figure 10).

654

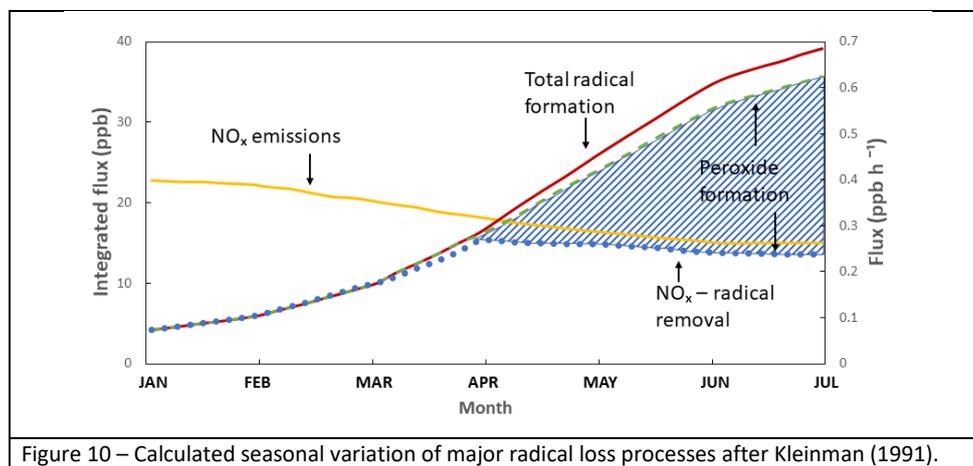


Figure 10 – Calculated seasonal variation of major radical loss processes after Kleinman (1991).

655

656 The first direct measurements of OH lifetime (Di Carlo et al., 2004) provided evidence of missing  
657 reactivity, i.e. that not all sinks of the OH radical are known, which relates to earlier work by Lewis et  
658 al. (2000) on unmeasured volatile organic compounds (see the 2.12. *Volatile Organic Compounds*  
659 section).

660

## 661 2.10. *Nighttime Chemistry*

662 There is widespread recognition that the atmosphere's oxidative chemistry is active during the night  
663 as well during the day. Evidence of nighttime chemistry driven by the nitrate radical (NO<sub>3</sub>) as well as  
664 by ozone was first observed in the (polluted) troposphere in 1980 by Platt and co-workers (Platt et al.,  
665 1980). Much of the early NO<sub>3</sub> work, including laboratory and field studies, is summarised in Wayne's



666 seminal review (Wayne et al., 1991). Platt and colleagues and Plane and colleagues' groundbreaking  
667 work based on long-path absorption had indicated the importance of  $\text{NO}_3$  in the troposphere (Allan et  
668 al., 1999; Platt et al., 1979).

669 Two papers that have been highly influential in shaping our view of nocturnal chemistry are "Nitrogen  
670 oxides in the nocturnal boundary layer: Simultaneous in situ measurements of  $\text{NO}_3$ " (Brown et al.,  
671 2003) and "Variability in Nocturnal Nitrogen Oxide Processing and Its Role in Regional Air Quality"  
672 (Brown et al., 2006). Both these papers showed the power of state of the art measurements coupled  
673 with models to assess the impact of nocturnal and heterogeneous chemistry on regional air quality.  
674 In particular, the paper by Brown et al. (2006) was a powerful demonstration of the role of  
675 heterogeneous chemistry and aerosol composition in controlling  $\text{N}_2\text{O}_5$  and, therefore  $\text{NO}_3$ ,  
676 concentrations (Figure 11).

677

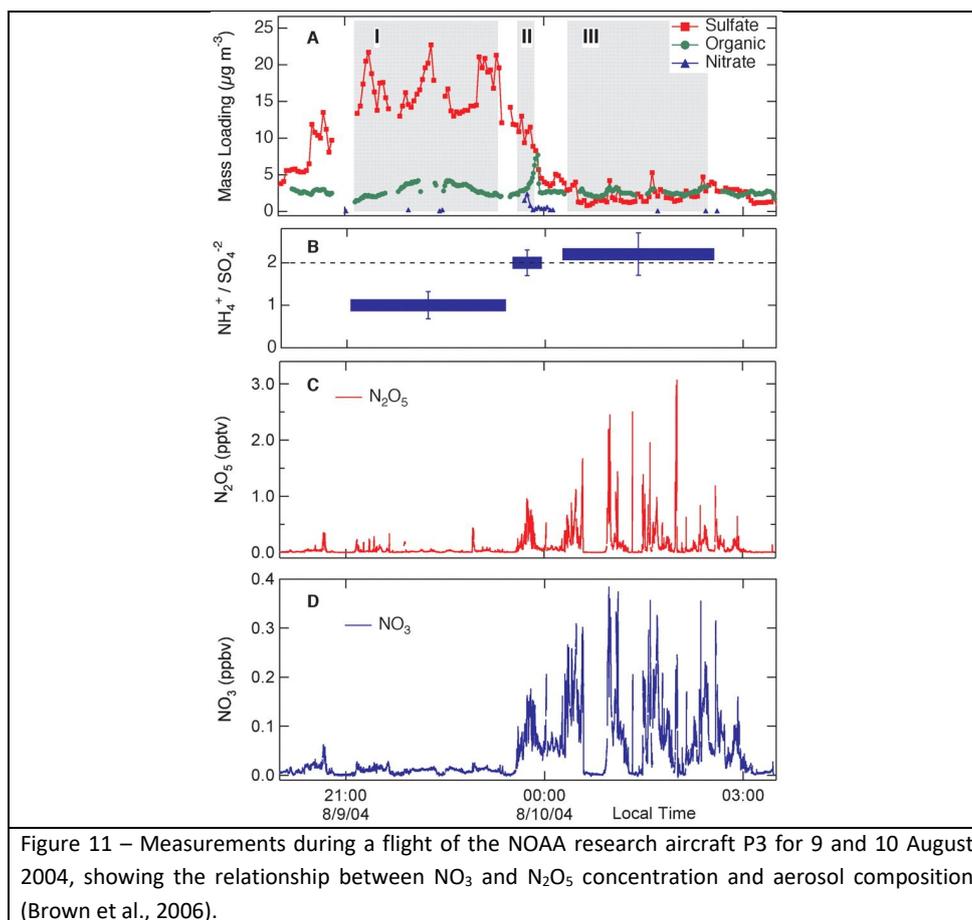


Figure 11 – Measurements during a flight of the NOAA research aircraft P3 for 9 and 10 August 2004, showing the relationship between  $\text{NO}_3$  and  $\text{N}_2\text{O}_5$  concentration and aerosol composition (Brown et al., 2006).

678

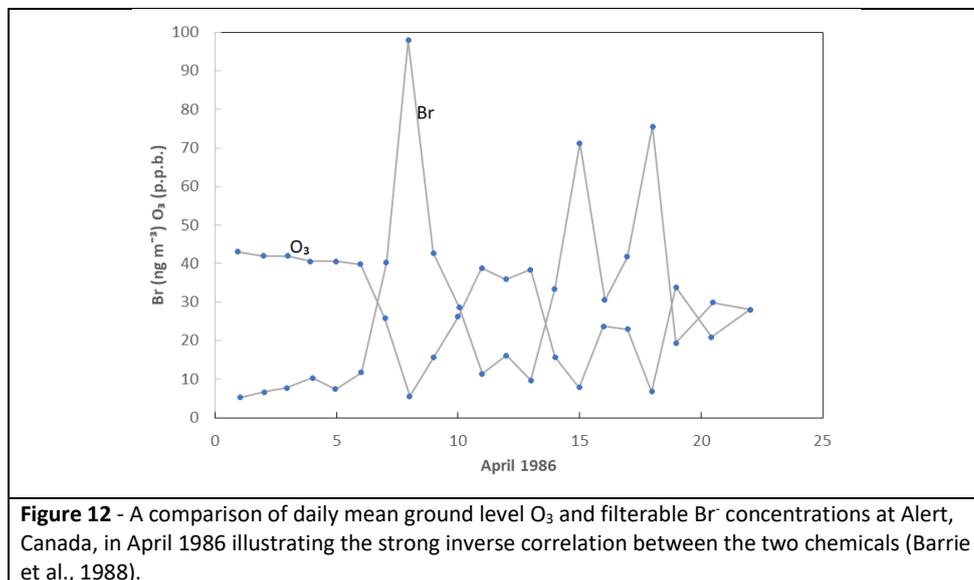
679 2.11. Halogen Chemistry



680 In comparison to the atmospheric chemistry in the stratosphere, where halogen chemistry has been  
681 well known and characterized for a long time (see the 2.18. *Stratospheric Chemistry* section), the  
682 recognition of the role of halogen species in the oxidative chemistry of the troposphere occurred much  
683 later. Reviews of the earlier work can be found in Platt and Hönninger (2003), Monks (2005) and the  
684 extensive review by von Glasow and Crutzen (von Glasow and Crutzen, 2007).

685 The role of halogens in the troposphere has been discussed going back to the 1970s (e.g. (Graedel,  
686 1979)). The potential importance of iodine in the troposphere was highlighted by a seminal paper by  
687 Chameides and Davis in 1980 (Chameides and Davis, 1980). An important early paper is that from  
688 Barrie in 1988 (Barrie et al., 1988) that demonstrated the dramatic impact of bromine chemistry on  
689 Arctic boundary layer ozone (Figure 12). The occurrence of ozone depletion events in the polar  
690 boundary layer suggested that halogens could have a significant impact on atmospheric chemistry at  
691 low altitudes and not just in the stratosphere. This work brought together halogen and heterogeneous  
692 chemistry and led to the discovery of bromine catalyzed ozone depletion on ice-covered surfaces. (see  
693 2.5. *Heterogeneous and Multiphase Chemistry*).

694



695

696 One of the foundational papers in the area of halogen chemistry is the modelling study by Vogt et al.  
697 (1996) which set the theoretical framework for the sea-salt activation mechanism for halogen release  
698 and linked halogen chemistry with the sulphur cycle. While the initial research focus was on chlorine  
699 and bromine, Alicke et al. (1999) reported the first iodine oxide observations in the marine boundary  
700 layer at Mace Head, Ireland, and proved that iodine can also be an important player in the chemistry  
701 of the troposphere. Further investigation found evidence that biogenic iodine species can be  
702 responsible for the formation of marine aerosol and cloud condensation nuclei (O'Dowd et al., 2002)  
703 recognizing the potential for wide scale impact of iodine chemistry in particle formation. Finlayson-  
704 Pitts and her colleagues had suggested the importance of chlorine in tropospheric chemistry based



705 on laboratory data (Finlayson Pitts, Nature 1989), but it wasn't until 2008 that Ostoff and co-workers  
706 (Osthoff et al., 2008) – and the related comment “When air pollution meets sea salt” by von Glasow  
707 (2008) – brought attention to the potential for nitril chloride (ClNO<sub>2</sub>) chemistry to impact ozone  
708 formation, nitrogen recycling and VOC oxidation, with the first ambient observations of this molecule.  
709 Also in 2008, the work from Read et al. (2008) clearly showed the global importance of halogens on  
710 tropospheric ozone using long-term observations of iodine and bromine oxides (IO, BrO) made at  
711 the Cape Verde Observatory.

712

### 713 2.12. Volatile Organic Compounds

714 Volatile organic compounds (VOC) embraces a wide variety of species emitted from man-made and  
715 natural sources. In many respects VOC are the fuel of the oxidative chemistry in the atmosphere,  
716 involved in many gas- and particle-phase processes.

717 Ehhalt (1974) brought together the details of the methane sources and sinks and put them into a  
718 consistent framework that described the life cycle of methane. This conceptual framework has  
719 subsequently been developed for a wide range of trace organic gases. The original understanding of  
720 the life cycle of methane has remained largely unchanged over the subsequent 40 years and has  
721 formed the basis of the IPCC science assessments on the role of methane in global warming and  
722 climate change. Methane itself has been long recognised as important for tropospheric chemistry, but  
723 also for climate change as a greenhouse gas. Specifically, the work of Blake and Rowland (1986)  
724 documented the global increase in methane and its implications for climate change.

725 The large differences in reactivity among the individual VOC have always been a feature of their  
726 chemistry. Darnall et al. (1976) produced a reactivity scale for atmospheric hydrocarbons based on  
727 their reaction with the hydroxyl radical, an idea that is still influential to the present day. The concept  
728 was further advanced by Carter and Atkinson (1989) who looked at incremental hydrocarbon  
729 reactivity, where knowledge of the reactivities of organics with respect to ozone formation in the  
730 atmosphere can provide a useful basis for developing appropriate control strategies to reduce  
731 ambient ozone levels. It was the beginning of an approach that is often now used in regulation to  
732 determine which organic compounds would have the greatest effect in reducing ozone.

733 VOC transformation can be important in a number of different atmospheric processes. One highly  
734 cited early example is the work of Pitts et al. (1978) on the atmospheric reactions of polycyclic  
735 aromatic hydrocarbons and their ability to form mutagenic nitro derivatives under typical atmospheric  
736 conditions.

737 While measurement techniques rarely seem to get a mention as being influential, the discipline relies  
738 on observations as a critical part of the oeuvre. Already mentioned was the huge impact that accurate  
739 techniques to measure the OH radicals had on the development of the field (see the sections 2.9. *HOx*  
740 *chemistry*). Another example is the development of Proton-Transfer Reaction Mass-Spectrometry,  
741 which has revolutionised the measurement, in particular, of VOCs (Lindinger et al., 1998).

742 Research is ongoing as to how many VOCs there are in the atmosphere and what the consequences  
743 are of not being able to measure them all. The work of Lewis et al. (2000) used novel VOC  
744 measurements (GC x GC) to find that there was a larger pool of ozone-forming carbon compounds in  
745 urban atmospheres than previously posited (Figure 13). The later paper by Goldstein and Galbally



746 (2007) expanded on this work hypothesizing that thousands of VOCs are still unmeasured and  
747 unknown, with potentially huge consequences for the carbon budget of the atmosphere.

748 Continuing work in this area, de Gouw et al. (2005) produced a landmark study that combined analysis  
749 of organic carbon in the both the gas- and particle-phase in the polluted atmosphere as part of the  
750 New England Air Quality study by looking at the evolution of VOCs from their emission sources. The  
751 study showed that most of the organic carbon in the particle-phase was formed by secondary  
752 anthropogenic processes and that an increasing fraction of the total organic mass was constituted of  
753 oxygenated VOCs as a result of the air masses being processed/aged.

754

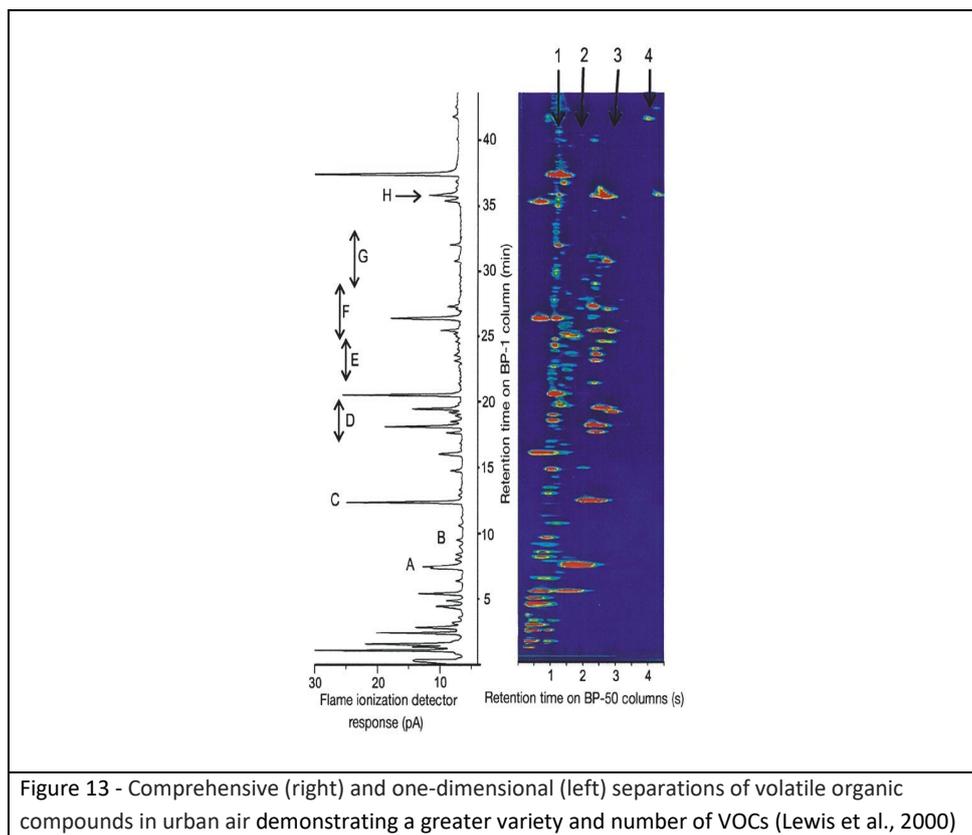


Figure 13 - Comprehensive (right) and one-dimensional (left) separations of volatile organic compounds in urban air demonstrating a greater variety and number of VOCs (Lewis et al., 2000)

755

### 756 2.13. Biogenic Emissions & Chemistry

757 Although it has been known for a long time that plants emit organic compounds, the relevance of  
758 biogenic VOC for atmospheric chemical processes was not immediately recognized. Detection of  
759 isoprene and  $\alpha$ -pinene in forested environments was first reported in the 1960s-1970s (Rasmussen  
760 and Went, 1964; Rasmussen, 1970; Went, 1960). One of the first studies, a paper from Went (1960)  
761 hypothesised that “volatilisation of terpenes and other plant products results in the production of,  
762 first, blue haze, then veil clouds ... “. The biological mechanisms that control the release of organic

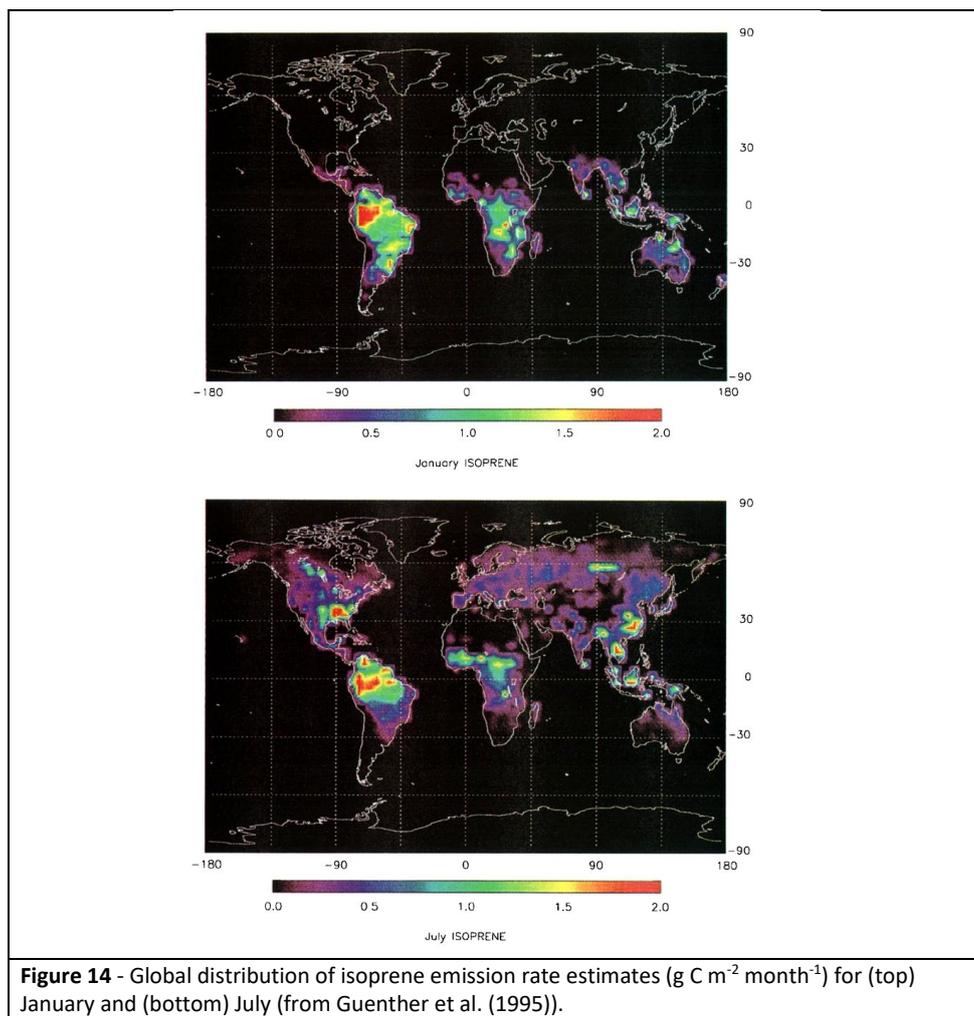


763 compounds from vegetation were investigated by Baldwin and Schultz (1983) and many others that  
764 followed.

765 Before the late 1980s, it was largely assumed that all reactive volatile organic compounds in the  
766 atmosphere came from pollution sources and that biogenic sources were trivial and inconsequential.  
767 The fundamental work by Chameides and co-workers (Chameides et al., 1988) was one of the first to  
768 show the ubiquity of biogenic VOCs and their role in ozone formation even in urban areas. Later,  
769 Fehsenfeld et al. (1992) extensively reviewed the state of the knowledge of sources and biochemical  
770 processes of VOCs, bringing the subject to the attention of the wider atmospheric chemistry  
771 community and opening a new branch of atmospheric chemistry. Another influential review of  
772 biogenic VOC emissions, including the physiology of plants, was that by Kesselmeier and Staudt (1999).  
773 These studies were pivotal for major policy formulations to abate ozone pollution.

774 Based on this work, relatively simple functions to predict the emissions of biogenic VOC were  
775 developed early on by Guenther et al. (1993) and soon evolved into more sophisticated high resolution  
776 global models (Guenther et al., 2000; Guenther et al., 1995), allowing for the emissions of biogenic  
777 compounds to be included in atmospheric chemistry models across all scales, and is still used in  
778 modern Earth system models today. Eventually, this work took the form of the widely used MEGAN  
779 model (Model of Emissions of Gases and Aerosols from Nature) (Guenther et al., 2006) (Table 2 and  
780 Figure 14).

781



782

783 Terrestrial vegetation is not the only source of biogenic emissions. Aneja et al. (1979) discussed the  
784 importance of biogenic sulphur compounds and their role in stratospheric chemistry, while Charlson  
785 et al. (1987) connected marine biology, atmospheric chemistry and climate into the already  
786 mentioned CLAW hypothesis (see the 2.2. *Aerosols and Clouds* section).

787 Marine aerosol formation was thought for a long time to be dominated by inorganic components,  
788 mainly sea-salt and non-sea salt sulphate, but O'Dowd and co-workers (O'Dowd et al., 2004) showed  
789 that biological activity of plankton blooms can enhance the concentration of cloud condensation  
790 nuclei (CCN), a key aspect of the chemistry-climate feedback mechanism. A similar mechanism is also  
791 active in relation to biogenic halogen compounds (O'Dowd et al., 2002) which also affect aerosol  
792 formation as well as the ozone, nitrogen and sulphur cycles, as discussed in the *Aerosols and Clouds*  
793 section.



794 A paper that has been defined as “controversial but set off a huge amount of activity” is “Atmospheric  
795 oxidation capacity sustained by a tropical forest” by Lelieveld et al. (2008). In their work the authors  
796 proposed a new chemical mechanism for low NO<sub>x</sub>, high VOC regions (such as tropical forests), based  
797 on modelling studies of a field dataset. Although further studies contradicted this hypothesis, Lelieveld  
798 et al. (2008) was instrumental in prompting a large amount of laboratory and theoretical studies in  
799 the past 10 years; these studies resulted in a major revision of our understanding of biogenic VOC  
800 chemistry, as discussed in the *HO<sub>x</sub> chemistry* section.

801

#### 802 2.14. Biomass Burning

803 Biomass burning, particularly in the tropics, affects terrestrial vegetation dynamics, soil erosion,  
804 movement of organic carbon, hemispheric atmospheric composition, air quality and more broadly the  
805 radiative forcing *via* emissions of trace gases and aerosols (Monks et al., 2009). Crutzen (Crutzen et  
806 al., 1979) was the first to highlight biomass burning in the tropics as an important source of  
807 atmospheric gases, such as CO, H<sub>2</sub>, N<sub>2</sub>O, NO, CH<sub>3</sub>Cl and COS. The importance of biomass burning, based  
808 on the observations of a small set of fires, and the appreciation of its potential role was a major step  
809 in our understanding of the role of biomass burning in air quality, climate change, and the composition  
810 of the troposphere. It is, however, the later paper “Biomass Burning in the Tropics: Impact on  
811 Atmospheric Chemistry and Biogeochemical Cycles” by Crutzen and Andreae (Crutzen and Andreae,  
812 1990) – one of the top 10 most cited Atmospheric Chemistry paper (Table 2) – that has had the  
813 greatest impact on this research area. Hao and Liu (1994) made a further step forward, looking at  
814 where and when biomass burning and thereby the related emissions occur. They developed an  
815 improved database of the amount of biomass burned owing to deforestation, shifting cultivation,  
816 savannah fires, fuel wood use, and clearing of agricultural residues, focused on tropical America, Africa  
817 and Asia during the late 1970s.

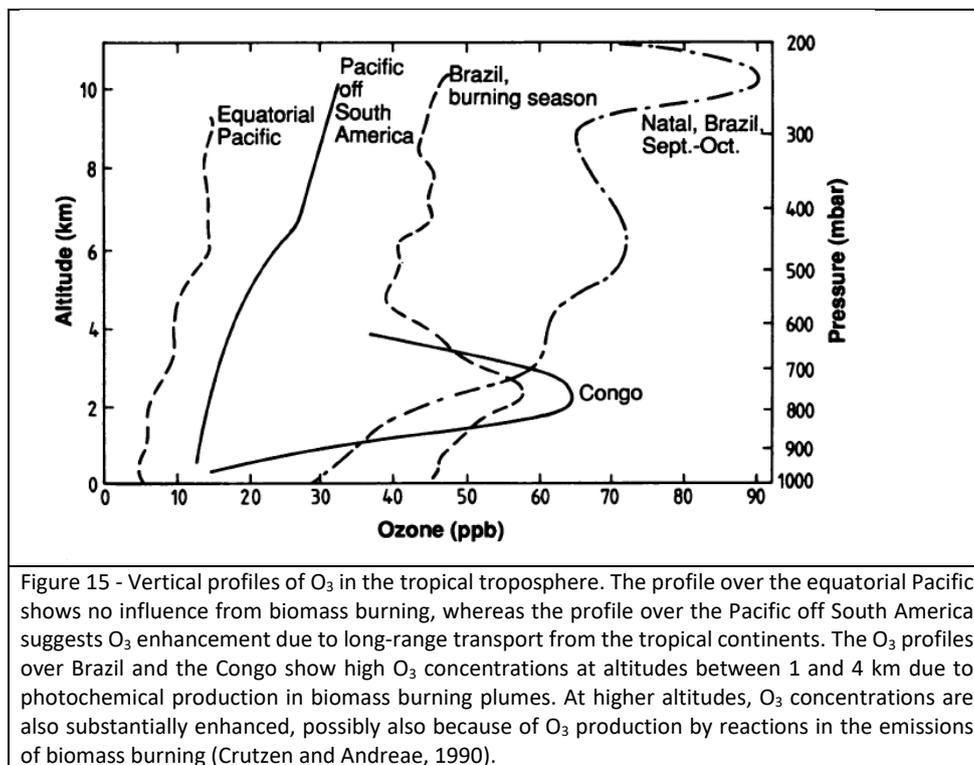
818 Simoneit et al. (1999) introduced the important concept that “the monosaccharide derivatives (e.g.  
819 levoglucosan) are proposed as specific indicators for cellulose in biomass burning emissions.” They  
820 showed that levoglucosan is emitted at such high concentrations that it can be detected in air pollution  
821 filter samples at considerable distances from the original combustion source, allowing for source  
822 apportionment.

823 The 2001 paper “Emission of trace gases and aerosols from biomass burning” by Andreae and Merlet  
824 (2001), which is also one of the top 10 most cited paper (Table 2 and Figure 15), pulled together  
825 emission factors for a large variety of species emitted from biomass fires and is considered a key  
826 reference for biomass burning emission factors. Further work in the biomass burning area was later  
827 presented by Reid et al. (2005) in a review paper where they looked at measurements of smoke  
828 particle size, chemistry, thermodynamic properties, and emission factors from a variety of sources,  
829 including laboratory burns, in-situ experiments, remote sensing and modelling. They brought together  
830 information from the ‘milieu of small pieces of the biomass-burning puzzle’ and showed that there are  
831 large differences in measured particle properties and particle carbon budgets across the literature.  
832 van der Werf et al. (2006) investigated interannual variability and the underlying mechanisms  
833 regulating variability at continental to global scales using a time series of 8 years of satellite and model  
834 data. Total carbon emissions was driven by burning in forested areas, while the amount of burned



835 area was driven by savannah fires, which are influenced by different environmental and human factors  
836 than forest fires.

837



838

### 839 2.15. Emissions and Deposition

840 Non-chemical sources and sinks of various species are critical components of atmospheric processes  
841 and therefore are particularly essential for global and regional models. An early advocate for such was  
842 the work of Olivier and the team that created the EDGAR (Emission Database for Global Atmospheric  
843 Research) database (Olivier et al., 1994).

844 Emissions from vehicles and power plants have always been an essential aspect of air quality related  
845 policies, and therefore an area where more focused inventory work was needed and done. This  
846 approach was pioneered in California in the early 1990s, and the studies by Calvert et al. (1993),  
847 Lawson (1993) and Singer and Harley (1996) helped define and verify the California Smog Control  
848 Program, providing a solid scientific basis with reliable emissions data. Techniques such as the remote  
849 monitoring of traffic generated carbon monoxide (Chaney, 1983) are also essential to recognise the  
850 role they played in understanding vehicle emissions.

851 The deposition of gases and aerosol to the surface is another critical process in the atmosphere.  
852 Chamberlain (1966) is credited with the first exposition of the resistance network approach to describe  
853 the uptake of gases on surfaces and the identification of transport through the atmospheric boundary



854 layer, through the surface layer, and through the stomata on plants, as important elements of surface  
855 uptake. Building upon this work, a comprehensive and widely adopted, parametrization of the dry  
856 deposition process for regional and global models was presented in the late 1980s by Wesely (1989).  
857 Currently, there has been renewed interest in quantifying and understanding deposition process. Yet,  
858 a systematic description based on fundamental independently measurable physico-chemical  
859 parameters are lacking.

860

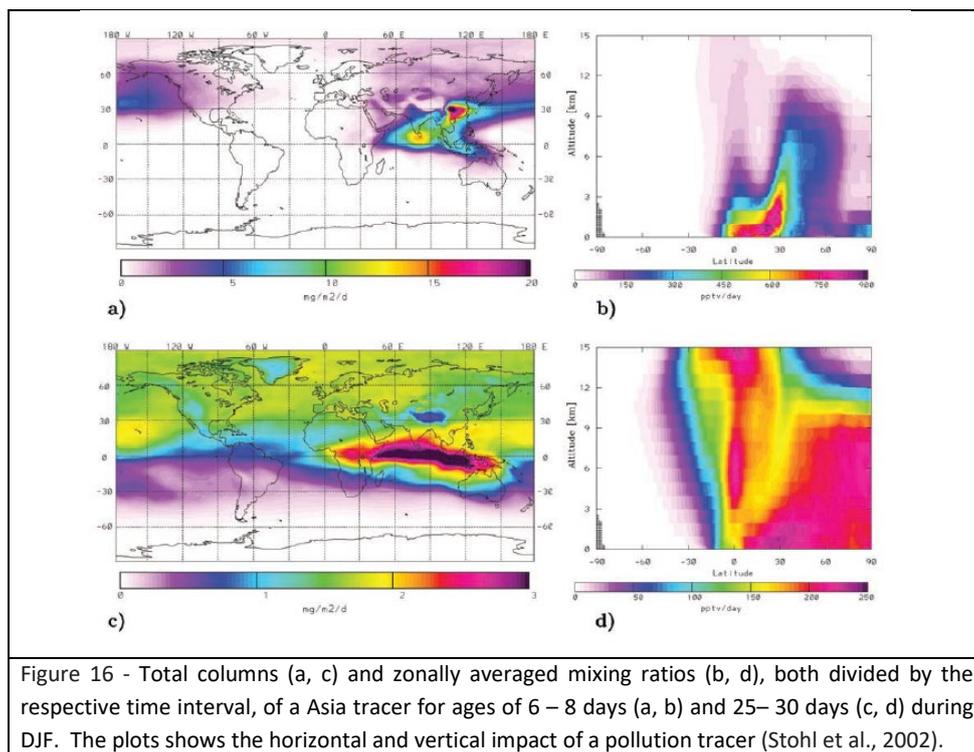
#### 861 2.16. *Chemical Transport*

862 Transport is an integral part of atmospheric processes and influence atmospheric composition across  
863 a range of spatial scales. As early as 1975, Junge (1975) pointed out the importance of atmospheric  
864 residence time of a constituent with respect to global transport and dispersion. Prather's work  
865 (Prather, 1994, 1996) provided new insights onto timescales for atmospheric oxidation chemistry.

866 Stratospheric-tropospheric exchange (STE) has always been recognised as a key mechanism in  
867 determining tropospheric composition. Early chemical dynamics were demonstrated by Danielsen  
868 (1968) that laid the foundations for 3-dimensional modelling of chemical transport looking at  
869 stratosphere-troposphere exchange based on radioactivity, ozone, and potential vorticity. Later,  
870 Holton and co-authors (Holton et al., 1995) proposed an approach that placed stratosphere-  
871 troposphere exchange in the framework of the general circulation and helped clarify the roles of the  
872 different mechanisms involved and the interplay between large and small scales, by the use of  
873 dynamical tracers and potential vorticity. This work is recognised as a big step forward for the  
874 understanding of the tropospheric ozone budget. While the regional nature of air pollution has always  
875 been recognised, that is less the case for the impact of trans-continental emissions on air quality. Jacob  
876 et al. (1999) showed that there was a need for a global outlook for understanding regional air quality  
877 and meeting pollution reduction objectives. This perspective spawned a decade of intense work on  
878 intercontinental air pollution and transport. Recognised in this area is the work by Stohl and colleagues  
879 (Stohl et al., 2002) who mapped out the pathways and timescale of intercontinental air pollution  
880 transport and brought life to the subjects of atmospheric dynamics and transport of air pollution  
881 (Figure 16).

882

883



884

885 The study Moody et al. (1998) explored atmospheric transport history using back trajectories for the  
886 Harvard Forest experiment demonstrating the power of trajectory methods at the regional scale. Stohl  
887 and colleagues (Stohl et al., 2003) brought together what has been viewed by many as the  
888 authoritative work on stratosphere-troposphere exchange. Key tools in the development of this area  
889 were the HYSPLIT (Draxler and Hess, 1998) a forerunner of various particle trajectory and dispersion  
890 models, which developed into a widely used particle dispersion model, and the FLEXPART model, a  
891 Lagrangian particle dispersion model designed for calculating the long-range and mesoscale dispersion  
892 of air pollutants (Stohl et al., 2005).

893 The ideas of intercontinental source-receptor relationships as embodied in the aforementioned earlier  
894 works by Jacob et al. (1999) and Stohl et al. (2002). The concept was developed in an effort to explore  
895 the source-transport relationships that drive ozone in regions farther away from the emission regions  
896 (Fiore et al., 2009) (see the 2.6. *Chemical Models* section). This large community collaboration  
897 provided valuable insights into the sensitivities of the hemispheric regional background of ozone and  
898 how this is controlled by emissions from continental source regions.

899 Another critical area for atmospheric chemistry is boundary layer dynamics and meteorology. This is  
900 particularly important since most emissions are emitted in the boundary layer. Atmospheric  
901 dynamics in this important region has been mostly expressed as parameterizations in numerical  
902 models (Stull, 1988). The spatial and temporal scales involved in the processes in this region range  
903 over a very wide range. The understanding of this region has been mostly based on meteorological  
904 and energy/water vapour balance points of view. However, the chemical transformation and



905 dispersion in this region are crucial for how much chemicals actually get out of this region to influence  
906 the regional and global atmosphere. Furthermore, the process of dry deposition, a critical loss  
907 processes for chemicals, is mostly limited to the boundary layer.

908

#### 909 2.17. Satellites and the Troposphere

910 The importance of satellites on the discipline of atmospheric chemistry centres on the ability to give  
911 a self-consistent global view of a selected set of tropospheric trace species. The beginning and first  
912 demonstration of the effective application of these attributes for the troposphere were the data and  
913 the retrievals from the GOME instrument (Burrows et al., 1999) on ERS-2 and SCIAMACHY on Envisat  
914 (Bovensmann et al., 1999). Historically, the roots of these early instruments are in stratospheric  
915 chemistry, with GOME being deployed to be able to track stratospheric ozone and its key controlling  
916 chemical species.

917 The most nominated paper in this area, and one that demonstrated the power of such observations  
918 for tropospheric composition research, was “Increase in tropospheric nitrogen dioxide over China  
919 observed from space” by Richter et al. (2005), which showed the capability of the satellites to track  
920 the build-up of air pollution over vast regions from space (Figure 17). The importance of the work lead  
921 by Palmer and co-workers in establishing a method to convert satellite observations to vertical  
922 columns for comparison with e.g. models was also widely recognised (Palmer et al., 2001).

923

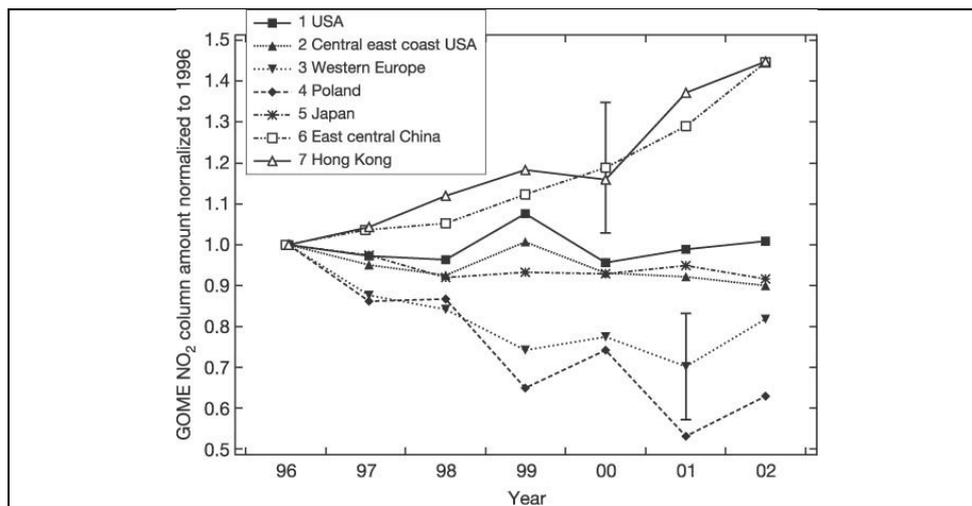


Figure 17 - The mean annual NO<sub>2</sub> column amount normalized to that in 1996 for the geographical regions USA, Central East Coast USA, Western Europe, Poland, Japan, East Central China, and Hong Kong showing a marked increase in NO<sub>2</sub> over China and decrease over Europe (Richter et al., 2005).

924

925 Satellite-based instrumentation can measure not only gas-phase trace species but also dust and  
926 aerosols; the combination of SEAWIFs and TOMS to track Asian dust events (Husar et al., 2001) and  
927 the development of the MODIS aerosol algorithm (Remer et al., 2005) provided convincing



928 demonstrations of this capability. A step-change in this area was made with the paper “Estimating  
929 ground-level PM<sub>2.5</sub> using aerosol optical depth determined from satellite remote sensing” by van  
930 Donkelaar et al. (2006) which was the first description of the derivation of surface PM<sub>2.5</sub> from satellite  
931 AOD, and which has been extensively used to estimate the global impact of particulate matter (both  
932 PM<sub>2.5</sub> and PM<sub>10</sub>) on health.

933

#### 934 2.18. Stratospheric Chemistry

935 Tropospheric chemistry has always been influenced by the study of stratospheric chemistry. As  
936 mentioned earlier (see the 2.1. *Foundations* section), the basis of stratospheric ozone chemistry were  
937 laid in the 1930s (Chapman, 1930), whereas tropospheric chemistry followed a couple of decades  
938 later. Interest in stratospheric ozone chemistry increased substantially following the works of  
939 Johnston and Crutzen on the role of nitrogen oxides in the stratosphere (Crutzen, 1970; Johnston,  
940 1971). The impact of supersonic transport (Johnston, 1971) and of CFCs (Molina and Rowland, 1974)  
941 were important events for stratospheric ozone chemistry. While Crutzen (1970) showed that the  
942 nitrogen oxides in the stratosphere come from the nitrous oxides from the ground, Johnson suggested  
943 that a fleet of supersonic aircraft could release large amounts of nitrogen oxides into the lower  
944 stratosphere causing substantial ozone loss (Johnston, 1971). The potential threat of supersonic  
945 transport highlighted the importance of gas-phase catalysis in the atmosphere and, in particular, of  
946 the catalytic ozone destruction by HO<sub>x</sub> and NO<sub>x</sub>. These works opened the world’s eyes to the potential  
947 for global environmental change from human activities. Soon after, Lovelock (1974) identified CFCs in  
948 the troposphere and showed that practically all the CFCs emitted to date were still in the atmosphere.  
949 The significant contributions of Hampson, Crutzen, and Johnston, and the recognition of chlorine-  
950 catalysed ozone destruction by Stolarski and Cicerone (1974), paved the way for the seminal work of  
951 Molina and Rowland (Molina and Rowland, 1974) linking chlorofluorocarbons to ozone layer  
952 depletion. The recognition that bromine compounds can also destroy stratospheric ozone (McElroy et  
953 al., 1986) further refining the story. The potential role of iodine in the stratospheric ozone depletion  
954 has been raised (Solomon et al. 1994), but it is still somewhat unsettled.

955 The ozone hole (Farman et al., 1985) was an unanticipated shock that awoke the world to the global  
956 nature of ozone layer depletion. In an historic set of studies over a relatively short five-year period,  
957 the cause of the ozone hole was understood. First was the insightful and seminal work of Solomon et  
958 al. (1986) that showed that chlorofluorocarbons and other ozone-depleting gases were the key  
959 anthropogenic ingredient for the ozone hole. The confluence of cold temperatures that lead to the  
960 formation of polar stratospheric clouds (PSCs) and the winter vortex formation over Antarctica  
961 provided the opportunity for the massive ozone depletion that resulted in the ozone hole. This work  
962 confirmed the suggestion of Farman that the ozone hole was due to the increasing abundances of  
963 CFCs. In particular, Solomon and co-workers (Solomon et al., 1986) recognized that stable molecules  
964 such as ClONO<sub>2</sub> and HCl could react on solid (and indeed liquid). Along the way, during this intense  
965 investigative period, the detection and quantification of the role of ClO as a catalyst by Anderson et  
966 al. (1991), as well as De Zafra et al. (1988), was the “smoking gun” that linked the CFCs with the ozone  
967 hole. The entire set of field measurements, from the ground, aircraft, and balloons, solidified this  
968 linkage.



969 Less heralded, but equally important, were the laboratory studies that showed that chlorine nitrate  
970 ( $\text{ClONO}_2$ ) and HCl did indeed react on PSCs (Hanson and Ravishankara, 1994; Tolbert et al., 1987; Leu,  
971 1988; Molina, 1991; Molina et al., 1987) and determined the critical rate coefficients for the self-  
972 reaction of ClO, the rate-limiting step in the unique catalytic cycles in Antarctica (Cox and Hayman,  
973 1988; Sander et al., 1989; Trolier et al., 1990). Much was learned in later years by studying the Arctic  
974 and from the continued observations over the Antarctic. It should be noted that the termolecular  
975 reaction of ClO was suggested to be important for high chlorine chemistry by Molina and Molina, and  
976 the history of this reaction goes back to Norrish's work at Cambridge (Norrish and Neville, 1934). One  
977 of the lessons from this episode is that natural factors, in this case the formation of a vortex and the  
978 occurrence of polar stratospheric clouds, can lead to unexpected consequences when an  
979 anthropogenic ingredient (ozone-depleting chemicals) is added to the mix.

980 The numerical modelling of the stratosphere was an important ingredient for the success of mitigating  
981 polar ozone loss, along with the theories of the ozone layer depletion and the ozone hole, the  
982 laboratory studies of key processes, and the measurements in the atmosphere. Over the years, these  
983 models have enabled a great deal of understanding of the coupling between chemistry and climate.  
984 The development of stratospheric chemical transport models (Chipperfield and Pyle, 1988) was a  
985 pivotal advancement that enabled quantitative understanding of the ozone layer depletion, including  
986 the ozone hole (see the 2.6. *Chemical Models* section).

987 The weight of science led to the Vienna convention, the Montreal Protocol, and the Protocol's many  
988 amendments and adjustments that have, by now, phased out the ozone-depleting gases. The  
989 Montreal Protocol is the first international treaty on an environmental issue to be universally ratified  
990 and is regarded as one of the most successful. That said, the ozone layer depletion story is not  
991 complete. For example, the recognition that nitrous oxide is the remaining major ozone-depleting gas  
992 emission (Ravishankara et al. 2009) has connected food production (tropospheric nitrogen cycles) to  
993 ozone layer depletion and highlighted the importance of a holistic approach to environmental issues.

#### 994 *2.19 Other issues that influence tropospheric chemistry*

995 Atmospheric chemistry advances have been advanced by growth in other areas. The importance of  
996 anthropogenic climate change has been instrumental in invigorating atmospheric chemistry studies  
997 because many of the major climate forcing agents are chemically active and climate change, in turn,  
998 influence tropospheric chemistry (von Schneidemesser et al., 2015). This issue was noted earlier. In  
999 addition to climate change, other adjacent discoveries and findings have influenced tropospheric  
1000 chemistry studies.

1001 The global atmospheric and climatic consequences of nuclear war were investigated by both Crutzen  
1002 and Birks (1982) and Turco et al. (1983). Using models developed for looking at the impact of volcanic  
1003 eruptions, Turco et al. (1983) concluded that "enhancement of solar ultraviolet radiation due to ozone  
1004 depletion, long-term exposure to cold, dark, and radioactivity could pose a serious threat to human  
1005 survivors and to other species." Similarly (Crutzen and Birks, 1982) concluded that "the screening of  
1006 sunlight by the fire-produced aerosol over extended periods during the growing season would  
1007 eliminate much of the food production in the Northern Hemisphere".

1008 Air quality has an obvious direct impact on people, and this connection was recognized very early (it  
1009 was in fact the primary motivation behind the fundamental work of Haagen-Smit). In 1993, Dockery  
1010 et al. (1993) presented a study of six US cities showing a direct association between air pollution and



1011 mortality rates. This paper a great example of an adjacent field influences another, in this case  
1012 atmospheric chemistry and public health. Though association between air pollution and health  
1013 stretch back to the Los Angeles and London smog, the ‘Six Cities Study’ was a landmark as it  
1014 demonstrated the association between air pollution and mortality extended to much lower  
1015 concentrations than those observed in the smog days.

1016

### 1017 **3. Discussion and Summary**

1018 A mixture of the history of the discipline and its the landmark ideas emerges in this exercise of asking  
1019 the community to point to what they consider has shaped their research field. Table 1 seeks to bring  
1020 these elements together to look at the evolution of the leading scientific concepts, their relevance to  
1021 the environmental legislation (in this sense, we acknowledge an Euro-/US-centric bias, which the  
1022 community can perhaps correct during the discussion of this paper), and the most notable  
1023 environmental events that have shaped the discipline. Atmospheric science often sits at an interesting  
1024 intersection between the societal outcomes (e.g., acid precipitation, air quality, ozone layer depletion,  
1025 and climate) and its scientific venture. Monks and Williams (2020) have recently explored how  
1026 environmental events in air quality drive policy and how a scientific and societal paradigm shift occurs  
1027 once the emergency phase has passed.

1028 From an overview of all the nominated papers, several general features are apparent. Ambient  
1029 measurements are one of the cornerstones of atmospheric science (Abbatt et al., 2014). It is clear  
1030 that the atmosphere is under-sampled but over time we have found many ingenious ways to build  
1031 different measurement strategies from the ground, airborne, balloon, sonde and space. With a focus  
1032 on chemistry, it is clear that one needs to be able to measure with surety, specificity and speed in the  
1033 troposphere. Many of the nominated papers reflect the importance of instrument developments.  
1034 Examples include the electrostatic sizers in aerosol science (Knutson and Whitby, 1975), various  
1035 techniques to measure the hydroxyl radical (Eisele, 1994;Stevens et al., 1994;Perner et al., 1976), the  
1036 development of chemical ionization mass spectrometry (Lindinger et al., 1998), and the application of  
1037 the GCxGC-MS technique (Lewis et al., 2000), and aerosol mass-spectrometry measurements, e.g.,  
1038 Zhang et al. (2007). Often, the science underlying the development of these instruments, such as ion-  
1039 molecule chemistry, is not necessarily acknowledged.

1040 Another common theme is the critical importance and impact of long-term observations, often termed  
1041 monitoring, of key atmospheric components, from CO<sub>2</sub> (the “Keeling” curve) to chemically active  
1042 molecules such halocarbons (the Antarctic ozone hole, ozone layer depletion and climate change),  
1043 methane (the changes in the global OH field, background ozone production), and NO<sub>x</sub> (catalyst for  
1044 tropospheric ozone production, vehicle emissions and acid precipitation). On the other hand, many  
1045 breakthroughs in understanding the observations emerged because of basic laboratory information  
1046 on kinetics and photochemistry (e.g., the reaction of HO<sub>2</sub> + NO, the determination of O(<sup>1</sup>D) quantum  
1047 yields, and the reactions of ClONO<sub>2</sub> and HCl on PSCs.) It is noteworthy that both laboratory studies and  
1048 long-term observations are currently under funding stress, a situation that is already worrying the  
1049 community (see, for example, the discussion in Burkholder et al. (2017)).

1050 There is no doubt that atmospheric chemistry is an integrative science: one of the recurring themes  
1051 in the papers discussed here is the tight relationship between ambient observations, laboratory  
1052 experiments, and modelling. The integrative power of models has been recognised from the early



1053 studies by Levy (1971) to the development of highly sophisticated global transport models by  
1054 Chipperfield and Pyle (1988) and Bey et al. (2001) up to the more recent demonstrations of the power  
1055 of model ensembles (Stevenson et al., 2006; Fiore et al., 2009). Much of this progress has parallels in  
1056 stratospheric chemistry. It is evident in the community that models are a powerful tool to map, test,  
1057 and predict the atmosphere's past, present, and future. The predictions and projections from these  
1058 models play essential roles in policy, planning, and management of environmental issues.

1059 Another form of integrative or meta-analysis brings together a range of individual studies to produce  
1060 a more significant outcome, such as new insights or models. There are some notable examples of this  
1061 approach in the works on biomass burning by Crutzen and Andreae (1990) and more recently, by van  
1062 der Werf et al. (2010). Similarly, the work to produce isoprene emissions models brought together  
1063 several global isoprene flux measurements (Guenther et al., 1995; Guenther et al., 2006). Other  
1064 examples include the work of Zhang et al. (2007) and Jimenez et al. (2009) who integrated various sets  
1065 of AMS observations to give insight into land distributions of SOA.

1066 There have been developments in fields adjacent to atmospheric chemistry that have shaped  
1067 atmospheric chemistry progress. Examples include developments in epidemiology (e.g., the Six Cities  
1068 Study by (Dockery et al., 1993)), atmospheric dynamics (the role of transport in determining chemical  
1069 composition, the role of the Antarctic vortex), in ocean science (pertaining to deposition to the ocean  
1070 surface and emissions from the oceans) and in biospheric/plant science (e.g. (Kesselmeier and Staudt,  
1071 1999)). Integrating atmospheric chemistry with these adjacent fields is not only essential but also  
1072 fruitful and was for many years embodied in the IGBP (Seitzinger et al., 2015), World Climate Research  
1073 Program (WCRP), and Earth-system science programs (now Future Earth). Wider contexts such as  
1074 paleoclimate has allowed an understanding of climate and atmospheric history over the 100,000 year  
1075 timescales (e.g. (Petit et al., 1999)). That work set the understanding that the present-day atmospheric  
1076 burdens of carbon dioxide and methane as important greenhouse gases are unprecedented during  
1077 the past 420,000 years. They also allow us to estimate the composition of the troposphere in those  
1078 ancient times.

1079 Similarly, there are concepts that have their roots in tropospheric chemistry that have gone onto have  
1080 wider impact. The concept of the Anthropocene, first proposed by Crutzen and Stoermer in 2000,  
1081 indicates that we are in a new geological epoch driven by human activities. The idea was more fully  
1082 expounded in Crutzen (2002) the geology of mankind. There is little doubt that this has been a key  
1083 idea that has influenced much thinking as well as wider work beyond atmospheric science (Table 1).  
1084 As discussed in detail in the introductory text, we opted here to assemble this compilation of papers  
1085 by reaching out to the community for nominations, rather than using the number of citations as a  
1086 primary measure. We will not go into the details again here, suffice it to say that there are inherent  
1087 advantages and drawbacks to any method one might consider for such a work. In that sense, we would  
1088 re-iterate our call for input during the open peer-review phase for this manuscript.

1089 The papers highlighted capture a substantial scope of the atmospheric research endeavour over the  
1090 last 60 years. The challenge now for you, the reader, is to reflect on this preliminary version of included  
1091 papers, critique the choice and the reasoning, and propose other suggestions. Again we request you  
1092 to engage in this discussion and help shape the final compilation of papers to make it a truly  
1093 community effort.

1094



1095      **4. Author Contributions**

1096      PSM developed the concept and led the writing, PSM, ARR, RS, and EvS solicited input, ARR, RS, EvS  
1097      contributed to writing and editing.

1098



1099 **Table 1 – Science, Regulatory and Environmental Landmarks of the 20<sup>th</sup> and early 21<sup>st</sup> Centuries**

| Decade | Science Landmark  | Regulatory Landmarks <sup>1</sup>  | Environmental Events                  |
|--------|---|--|---------------------------------------|
| 1930   | Chapman Cycles and Stratospheric Chemistry  |  |                                       |
| 1940   |   |  | 1943 – LA Smog                        |
| 1950   | Air Pollution   | 1956 - UK Clean Air Act  | 1952 – Great Smog of London           |
| 1960   |   | 1963 - US Clean Air Act  |                                       |
| 1970   | SST<br>Stratospheric Chemistry<br>Tropospheric Chemistry<br>Air Pollution and Clouds                            | 1978 – Ban of Lead in Petrol (USA)<br>1979 - CLRTAP (UNECE)  | 1974 – Observations of acid rain      |
| 1980   | Ozone Hole Chemistry<br>Halogen Chemistry<br>Biogenic Chemistry   | 1987 - Montreal Protocol<br>1980 - SO <sub>2</sub> directive (EU)  | 1985 – Observations of the ozone hole |
| 1990   | Air Pollution and Health<br>Satellite Observations of the Troposphere<br>Long-Range Transport of Air Pollutants | 1992 – Euro 1 Emission standard<br>1992 - Ozone Directive (EU)<br>1997 - Kyoto Protocol<br>1999 - Goteborg Protocol<br>1999 – Ban of lead in petrol (EU) | 1991 - Mt Pinatubo eruption           |
| 2000   | SOA<br>Concept of Anthropocene  | 2001 - NEC Directive (EU)  |                                       |
| 2010   | Air Pollution and Climate   |  | 2015 - Dieselgate                     |
| 2020   |   |  | 2020 - COVID 19                       |

1100

1101 <sup>1</sup> For more details on the UK/EU perspective see (Williams, 2004; Maynard and Williams, 2018) and  
 1102 for the USA perspective see (Jacobson, 2002); see also (Monks and Williams, 2020).



1103 **Table 2 – Top 10 Cited Atmospheric Chemistry Papers (Atmospheric+Chemistry)**

1104 (Scopus, 27/3/20)

| #  | Paper                      | Title  | Citations |
|----|----------------------------|--|-----------|
| 1  | Guenther et al. (1995)     | A global model of natural volatile organic compound emissions  | 2760      |
| 2  | Andreae and Merlet (2001)  | Emission of trace gases and aerosols from biomass burning  | 2350      |
| 3  | Guenther et al. (2006)     | Estimates of global terrestrial isoprene emissions using MEGAN (Model of Emissions of Gases and Aerosols from Nature)  | 2175      |
| 4  | Jimenez et al. (2009)      | Evolution of organic aerosols in the atmosphere  | 1909      |
| 5  | Atkinson (2000)            | Atmospheric chemistry of VOCs and NO <sub>x</sub>  | 1773      |
| 6  | Crutzen and Andreae (1990) | Biomass burning in the tropics: Impact on atmospheric chemistry and biogeochemical cycles                              | 1686      |
| 7  | van der Werf et al. (2010) | Global fire emissions and the contribution of deforestation, savanna, forest, agricultural, and peat fires (1997-2009) | 1578      |
| 8  | Atkinson and Arey (2003)   | Atmospheric Degradation of Volatile Organic Compounds  | 1502      |
| 9  | Grell et al. (2005)        | Fully coupled "online" chemistry within the WRF model  | 1436      |
| 10 | Lelieveld et al. (2015)    | The contribution of outdoor air pollution sources to premature mortality on a global scale                             | 1425      |

1105

1106 (Web of Science, 27/3/20)

| # | Paper                      | Title  | Citations |
|---|----------------------------|--|-----------|
| 1 | Ramanathan et al. (2001)   | Atmosphere - Aerosols, climate, and the hydrological cycle   | 2278      |
| 2 | Andreae and Merlet (2001)  | Emission of trace gases and aerosols from biomass burning  | 2168      |
| 3 | Hallquist et al. (2009)    | The formation, properties and impact of secondary organic aerosol: current and emerging issues   | 1988      |
| 4 | Jimenez et al. (2009)      | Evolution of organic aerosols in the atmosphere  | 1844      |
| 5 | Crutzen and Andreae (1990) | Biomass burning in the tropics: Impact on atmospheric chemistry and biogeochemical cycles  | 1603      |
| 6 | Atkinson (2000)            | Atmospheric chemistry of VOCs and NO <sub>x</sub>  | 1596      |
| 7 | Atkinson et al. (1992)     | Evaluated Kinetic and Photochemical Data for Atmospheric Chemistry: Supplement IV. IUPAC Subcommittee on Gas Kinetic Data Evaluation for Atmospheric Chemistry | 1488      |
| 8 | Grell et al. (2005)        | Fully coupled "online" chemistry within the WRF model  | 1332      |
| 9 | Lelieveld et al. (2015)    | The contribution of outdoor air pollution sources to premature mortality on a global scale   | 1292      |



1107

1108

|    |                   |  |      |
|----|-------------------|--|------|
| 10 | Bey et al. (2001) | Global modeling of tropospheric chemistry with assimilated meteorology: Model description and evaluation | 1212 |
|----|-------------------|--|------|



1109 **Table 3** - A global budget for NO<sub>x</sub> (Logan, 1983)

|   | 10 <sup>12</sup> gm N yr <sup>-1</sup> |
|---|--|
| Sources   |  |
| Fossil fuel combustion                          | 21 (14-28)                             |
| Biomass Burning                                 | 12 (4-24)                              |
| Lightning                                       | 8 (8-20)                               |
| Microbial activity in soils                     | 8 (4-16)                               |
| Oxidation of ammonia                            | 1-10                                   |
| Photolytic or biological processes in the ocean | <1                                     |
| Input from the Stratosphere                     | ≈ 0.5                                  |
| Total   | 25-99                                  |
| Sinks   |  |
| Precipitation                                   | 12-42                                  |
| Dry Deposition                                  | 12-22                                  |
| Total   | 24-64                                  |

1110



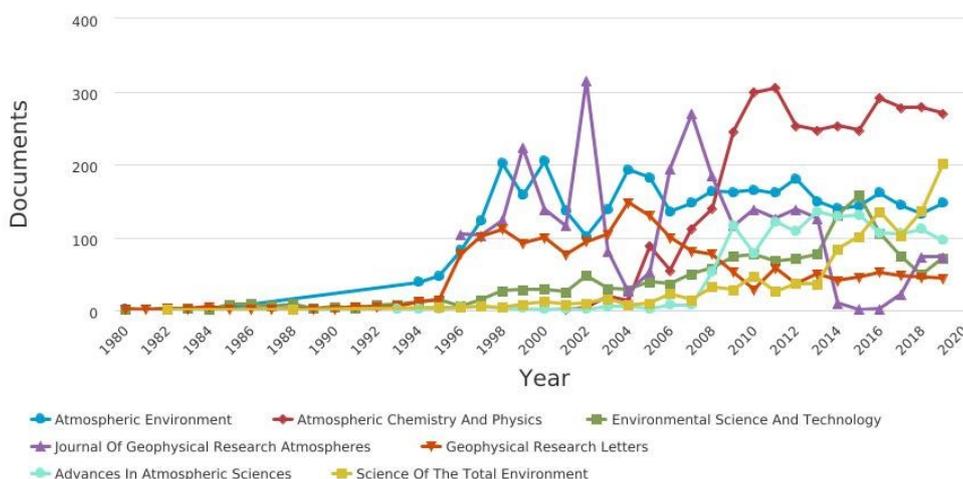
1111 **Appendix 1**

1112

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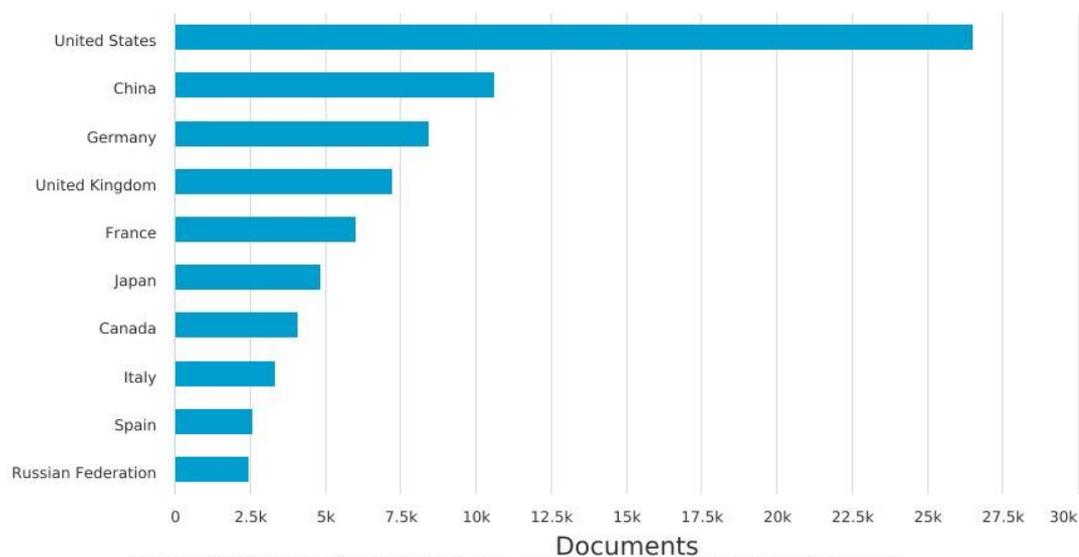
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1116 **Appendix 2 - Acronyms**

|           |  |
|-----------|--|
| ABLE      | Amazon Boundary-Layer Experiment                                     |
| AGAGE     | Advanced Global Atmospheric Gases Experiment                         |
| AMS       | Aerosol Mass Spectrometer  |
| AOD       | Aerosol Optical Depth  |
| BBOA      | Biomass-Burning Organic Aerosol                                      |
| CAPRAM    | Chemical Aqueous Phase Radical Mechanism                             |
| CCN       | Cloud Condensation Nuclei  |
| CFC       | Chlorofluorocarbon   |
| CLAW      | Charlson-Lovelock-Andreae-Warren                                     |
| CTM       | Chemical Transport Model   |
| EDGAR     | Emission Database for Global Atmospheric Research                    |
| FA-AMS    | Factor Analysis of Aerosol Mass Spectrometry                         |
| FLEXPART  | FLEXible PARTicle dispersion model                                   |
| GC        | Gas Chromatography   |
| GC-MS     | Gas Chromatography-Mass Spectrometry                                 |
| GEOS-Chem | Goddard Earth Observing System - Chemical Transport Model            |
| GOME      | Global Ozone Monitoring Experiment                                   |
| HCFC      | Hydrochlorofluorocarbon  |
| HOA       | Hydrocarbon-like Organic Aerosol                                     |
| HYSPLIT   | Hybrid Single-Particle Lagrangian Integrated Trajectory model        |
| IAGOS     | In-service Aircraft for a Global Observing System                    |
| IGAC      | International Global Atmospheric Chemistry                           |
| IGBP      | International Geosphere-Biosphere Programme                          |
| IPCC      | Intergovernmental Panel on Climate Change                            |
| IUPAC     | International Union of Pure and Applied Chemistry                    |
| JPL       | NASA Jet Propulsion Laboratory                                       |
| LIF       | Laser Induced Fluorescence   |
| LV-OOA    | Low-volatility Oxygenated Organic Aerosol                            |
| MCM       | Master Chemical Mechanism  |
| MEGAN     | Model of Emissions of Gases and Aerosols from Nature                 |
| MODIS     | Moderate Resolution Imaging Spectroradiometer                        |
| MOZAIC    | Measurements of Ozone and water vapour by in-service Airbus airCRAFT |
| NASA      | National Aeronautics and Space Administration                        |
| NMHC      | Non-Methane Hydrocarbon  |
| NOAA      | National Oceanic and Atmospheric Administration                      |
| OA        | Organic Aerosol  |
| OOA       | Oxygenated Organic Aerosol   |
| OPE       | Ozone Production Efficiency  |



|           |  |
|-----------|--|
| PAN       | Peroxyacetyl Nitrate   |
| PM        | Particulate Matter   |
| POA       | Primary Organic Aerosol  |
| PSC       | Polar Stratospheric Clouds   |
| RACM      | Regional Atmospheric Chemistry Mechanism                             |
| RADM      | Regional Acid Deposition Model                                       |
| RF        | Radiative Forcing  |
| RRKM      | Rice-Ramsperger-Kassel-Marcus  |
| SAPRC     | Statewide Air Pollution Research Center                              |
| SCIAMACHY | SCanning Imaging Absorption spectroMeter for Atmospheric Cartography |
| SeaWIFS   | Sea-Viewing Wide Field-of-View Sensor                                |
| SHADOZ    | Southern Hemisphere ADditional OZonesondes                           |
| SOA       | Secondary Organic Aerosol  |
| STE       | Stratospheric-Tropospheric Exchange                                  |
| SV-OOA    | Semi-volatile Oxygenated Organic Aerosol                             |
| TOMS      | Total Ozone Mapping Spectrometer                                     |
| UV        | Ultraviolet  |
| VOC       | Volatile Organic Compound  |
| WCRP      | World Climate Research Program                                       |
| WRF-Chem  | Weather Research and Forecasting model coupled to Chemistry          |

1117



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