



1	Kinetics and impacting factors of HO2 uptake onto submicron atmospheric aerosols during a
2	2019 air quality study (AQUAS) in Yokohama, Japan
3	Jun Zhou ^{a,b,c} *, Kei Sato ^d , Yu Bai ^e , Yukiko Fukusaki ^f , Yuka Kousa ^f , Sathiyamurthi Ramasamy ^d ,
4	Akinori Takami ^d , Ayako Yoshino ^d , Tomoki Nakayama ^g , Yasuhiro Sadanaga ^h , Yoshihiro
5	Nakashima ⁱ , Jiaru Li ^e , Kentaro Murano ^e , Nanase Kohno ^e , Yosuke Sakamoto ^{e,d,e} , Yoshizumi
6	Kajii ^{c,d,e} *
7	^a Institute for Environmental and Climate Research, Jinan University, 511443 Guangzhou, China
8	^b Guangdong-Hongkong-Macau Joint Laboratory of Collaborative Innovation for Environmental Quality,
9	Guangzhou 511443, China
10	°Graduate School of Global Environmental Studies, Kyoto University, Kyoto, 606-8501, Japan
11 12	^d Center for Regional Environmental Research, National Institute for Environmental Studies, Tsukuba, Ibaraki 305-8506, Japan
13	^e Graduate School of Human and Environmental Studies, Kyoto University, Kyoto 606-8501, Japan
14	^f Yokohama Environmental Science Research Institute, Yokohama Kanagawa 221–0024, Japan
15 16	^g Faculty of Environmental Science and Graduate School of Fisheries and Environmental Sciences, Nagasaki University, Nagasaki 852-8521, Japan
17	^h Graduate School of Engineering, Osaka Prefecture University, Sakai, Osaka 599-8531, Japan
18	ⁱ Graduate School of Agriculture, Tokyo University of Agriculture and Technology, 3-5-8 Saiwai-cho, Fuchu,
19	Tokyo 183-8538, Japan
20	*Corresponding author.
21	Graduate School of Global Environmental Studies, Kyoto University, Kyoto 606-8501, Japan
22	E-mail address: kajii.yoshizumi.7e@kyoto-u.ac.jp and junzhou@jnu.edu.cn
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28 Abstract

29 HO₂ uptake kinetics onto ambient aerosols play pivotal roles in tropospheric chemistry but are not fully 30 understood. Field measurements of aerosol chemical and physical properties should be linked to 31 molecular level kinetics; however, given that the HO₂ reactivity of ambient aerosols is low, traditional 32 analytical techniques are unable to achieve this goal. We developed an online approach to precisely 33 investigate (i) the HO2 reactivity of ambient gases and aerosols and (ii) HO2 uptake coefficients onto 34 ambient aerosols (1) during 2019 air quality study (AQUAS) in Yokohama, Japan. We identified the 35 effects of individual chemical components of ambient aerosols on γ . The results verified in laboratory studies on individual chemical components: transition metals play a key role in HO₂ uptake processes 36 37 and chemical components indirectly influence such processes (i.e., through altering aerosol surface properties or providing active sites), with smaller particles tending to yield higher γ values than larger 38 39 particles owing to the limitation of gas phase diffusion is smaller with micrometer particles and the 40 distribution of depleting species such as transition metal ions is mostly distributed in accumulation 41 mode of aerosol. The modeling of γ utilized transition metal chemistry derived by previous studies, 42 further confirming our conclusion. However, owing to the high NO concentrations in Yokohama, peroxy 43 radical loss onto submicron aerosols has a negligible impact on O₃ production rate and sensitivity 44 regime.

45 1 Introduction

As an important atmospheric trace gas, the hydroperoxyl radical (HO₂) links many of the key oxidants in the troposphere, including the hydroxyl radical (OH), nitrate radical (NO₃⁻), ozone (O₃), and hydrogen peroxide (H₂O₂) (Logan et al., 1981; Chen et al., 2001; Jaeglé et al., 2000; Sommariva et al., 2004; Jacob, 2000). However, its observed concentration in field measurements has not yet been fully explained by sophisticated models (known as the "HOx dilemma") (Stone et al., 2012; Creasey et al., 1997; Kanaya et al., 2007b; Whalley et al., 2010; Millán et al., 2015), although it can be mostly solved in the conditions of clean marine air or stratospheric air where NO concentration is low or aerosol





53 loading is low enough to make the heterogeneous reaction of HO₂ not important (Sommariva et al., 54 2004; Kanaya et al., 2007a). Owing to the short atmospheric lifetime of $HO_X(=OH+HO_2+RO_2)$, the 55 HOx reactivity measurement can provide a robust test of its complex chemistry (Heard and Pilling, 56 2003). The HO₂ uptake kinetics of ambient aerosols, including HO₂ reactivity (k_a) and the HO₂ uptake 57 coefficient (γ), influence many atmospheric processes, including ozone formation rates and their 58 sensitivity to NO_X (Sakamoto et al., 2019), H₂O₂ formation thus aerosol properties (Thornton et al., 59 2008). With $\gamma > 0.1$, HO₂ concentration can also be influenced under conditions such as low [NO] or 60 high aerosol loading (Lakey et al., 2015; Mao et al., 2013b; Martínez et al., 2003; Tie et al., 2001, Jacob, 61 2000; Matthews et al., 2014). These effects make the HO_2 uptake kinetics of ambient aerosols indirectly 62 influence human health and climate change.

63 From laboratory, field, and modeling studies (Taketani et al., 2012; Taketani et al., 2008; 64 Bedjanian et al., 2005; Thornton et al., 2008; George et al., 2013; Lakey et al., 2016a; Lakey et al., 65 2016b; Matthews et al., 2014; Cooper and Abbatt, 1996; Hanson et al., 1992; Thornton and Abbatt, 66 2005; González Palacios et al., 2016; Mozurkewich et al., 1987; Remorov et al., 2002; Jaeglé et al., 67 2000; Loukhovitskaya et al., 2009; Stone et al., 2012), HO₂ uptake coefficients onto different types of 68 aerosol can span several orders of magnitude ($\sim 0.002-1$), which can be affected by many parameters, 69 such as droplet/particle size and composition, the presence of dissolved reactive gases (Mozurkewich et al., 1987), and environmental conditions (i.e., relative humidity (RH), pH, and T). In the absence of 70 metals, the uptake of HO₂ by ambient aerosols is believed to occur via the acid-base dissociation of 71 72 HO_2 (*pKa* = 4.7), followed by electron transfer from O_2^- to HO_2 (aq), producing H_2O_2 (Jacob, 2000; Thornton et al., 2008; Zhou et al., 2019b). However, laboratory studies have shown that certain 73 transition metals (i.e., Cu(II) and Fe(II)) can act as catalysts and accelerate HO₂ uptake rates onto many 74 75 chemical compounds (Thornton et al., 2008; Taketani et al., 2008; Taketani et al., 2012, Cooper and 76 Abbatt, 1996). Owing to the sufficiently high metal concentrations in tropospheric aerosols, as shown 77 in previous field measurements (Hofmann et al., 1991; Wilkinson et al., 1997; Guieu et al., 1997; Manoj 78 et al., 2000; Halstead et al., 2000; Siefert et al., 1998; Sedlak and Hoigné, 1993; Guo et al., 2014), recent 79 studies have proposed that γ may be dominated by metals contained in the aerosol. This can lead to the





HO₂ destruction (Mao et al., 2013a; George et al., 2013), forming H₂O₂, HO₂–water complexes, or water and sulfate (Mozurkewich et al., 1987; Cooper and Abbatt, 1996; Gonzalez et al., 2010; Loukhovitskaya et al., 2009; Mao et al., 2010; Macintyre and Evans, 2011), which are important in the evolution of the chemical composition and physical properties of particles (George and Abbatt, 2010; George et al., 2008). The available data concerning HO₂ uptake kinetics onto ambient aerosols are insufficient for quantitative consideration owing to the much lower k_a value, as compared with the HO₂ reactivity of ambient gases (k_g). Therefore, they are below the detection limits of the current instruments.

87 To our knowledge, aside from us, only one study has measured γ , using an offline method that 88 integrated ambient aerosols over size and time (Taketani et al., 2012). Considering that the offline 89 method may distort γ , we developed an online approach to evaluate HO₂ uptake kinetics onto ambient 90 aerosols. This method was successfully applied in Kyoto, Japan, in summer 2018, using a versatile 91 aerosol concentration enrichment system (VACES) and a technique combining laser-flash photolysis 92 with laser-induced fluorescence (LFP–LIF) (Zhou et al., 2019b). The obtained average γ value (~0.24) 93 was comparable with the previous values used for modeling studies (~0.2) (Stadtler et al., 2018; Jacob, 94 2000). However, the large standard deviation ($\pm 0.20, 1 \sigma$) of γ along with the measurement time suggest 95 that many other parameters might play a role, e.g., the measurement setup, aerosol characteristics, T, 96 and RH.

97 In this study, we chose Yokohama (Japan), a coastal city with higher pollutant levels than Kyoto and different properties of the air masses from mainland Japan and the coast, as the measurement site. 98 99 This is part of the Air QUAlity Study (AQUAS) campaigns. The chemical and physical properties of 100 ambient aerosols were quantified in real-time. To test their influence on k_a and γ , we conducted 101 correlation matrix analysis coupled with the bootstrap method and classified the arriving air masses 102 from different directions. Further, the main mechanism of γ was investigated by comparing the real-103 time quantified γ values with the modeled values. The impact of the peroxy radical's loss onto ambient 104 aerosols on air quality is evaluated through their impact on ozone formation rates and their sensitivity 105 to NO_x . The results obtained here will for better estimation of the heterogeneous reaction between HO_2 106 and ambient aerosols in sophisticated air quality models.





107 2 Materials and methods

108 2.1 Sampling sites

109 The measurement campaign was conducted at Yokohama Environmental Science Research Institute in 110 Yokohama, Japan (location: 35°28'52.8"N, 139°39'30.3"E), from July 24 to August 03, 2019. The 111 sampling ports of the instruments were placed approximately 25 m above the ground. Figure S1 shows the air mass directions during the campaign, which can be classified into two categories: (i) from the 112 113 sea to the north, toward Yokohama City (~19% of the experimental period: from 12:00 July 25 to 12:00 July 27, 2019) and (ii) from the mainland toward Yokohama City (~81% of the experimental period). 114 115 This classification was intended to distinguish the chemical properties of aerosols arriving from the mainland and the ocean, and to consequently quantify their impacts on k_a and γ . 116

117 2.2 Measurement strategies, instrumentation, and related data analysis

118 LFP-LIF and VACES In situ ambient air HO₂ reactivity was measured using LFP-LIF, which was 119 adapted from a laser-induced pump and probe OH reactivity measurement technique. This approach has been successfully employed for gas and aerosol phase HOx (=OH+HO₂) reactivity measurements 120 (Sadanaga et al., 2004; Miyazaki et al., 2013; Sakamoto et al., 2018). Further details concerning the 121 122 HO₂ reactivity measurements are described in the Supporting Information (SI). To compensate for the relatively low ambient aerosol concentrations and thus the low k_a , a setup with VACES and an auto-123 124 switching aerosol filter was used before LFP-LIF. The enrichment factor of the ambient aerosol surface 125 area (E) was calculated from the difference between the surface areas measured before and after VACES 126 by two scanning mobility particle sizers (SMPSs) (see SI).

HO₂ reactivities in ambient air caused by two modes were measured: (a) the gas phase mode with aerosol filter on, the HO₂ reactivities are represented as k_g , and (b) the gas + enriched aerosol phase mode with aerosol filter off, the HO₂ reactivities are represented as k_g+Ek_a , where *E* represents the enrichment factor of k_a , Ek_a represents the total HO₂ reactivities caused by enriched ambient aerosols, the usage of Ek_a is based on the assumption that HO₂ uptake with aerosol particles follows the pseudofirst-order rate law. We modeled k_g in both modes using a theory identified previously (see SI: HO₂





reactivity of ambient gas phase) (Zhou et al., 2019b) and compared it with the measured values. The differences between measured and modeled k_g in mode (a) enabled us to establish their interrelationship and to check instrument stability. The differences between (k_g+Ek_a) and the modeled k_g in mode (b) are considered as the enriched aerosol phase HO₂ reactivity (Ek_a). The total HO₂ reactivity decay profile follows single-exponential decay:

138
$$HO_2 = [HO_2]_0 \exp(-(k_g + Ek_a + k_{bg})t)$$
 (1)

where k_{bg} denotes the zero air background obtained by injecting zero air with the same RH as the real-139 140 time ambient value into the reaction cell every 24 h for 30 min. The RH was controlled by passing some 141 of the zero air through a water bubbler. The value of $k_{\rm bg}$ was subtracted separately on each day. The 142 variability of k_{bg} (i.e., the reproducibility of the laser system) was calculated as the standard deviation of the response of repeated measurements on different days. It was found to be $\sim 4\%$, which is slightly 143 144 higher than the instrument precision (3%). A 30-min average calculation was applied to the data to 145 reduce data fluctuation. The observed HO₂ uptake coefficients onto ambient aerosols (γ_{obs}) can be 146 calculated from the dependence of Ek_a on γ_{obs} :

147
$$Ek_{a} = \frac{\gamma_{obs}\omega_{HO_{2}}ES}{4}$$
(2)

where *ES* and ω_{HO_2} represent the enriched surface area of ambient aerosol after VACES (~12.5) and the mean thermal velocity of HO₂ (~437.4 m s⁻¹), respectively. Therefore, the HO₂ reactivity of ambient aerosol (k_a) can be obtained from Ek_a by dividing by the enrichment factor *E*.

151 High resolution-time of flight-aerosol mass spectrometry (HR-ToF-AMS) A field-deployable HR-152 ToF-AMS (Aerodyne Research Inc.) (DeCarlo et al., 2006) was used for the characterization of the 153 non-refractory aerosol mass with a time resolution of ~3 min. The HR-ToF-AMS measured the total 154 organic aerosol (OA), sulfate (SO_4^{2-}), nitrate (NO_3^{-}), ammonium (NH_4^{+}), chloride (Cl^{-}), and the two most dominant oxygen-containing ions in the OA spectra, i.e., mass-to-charge ratios of m/z = 44 (Org44, 155 156 mostly CO_2^+) and m/z = 43 (Org43, mainly $C_2H_3O^+$ for the oxygenated OA and $C_3H_7^+$ for the 157 hydrocarbon-like OA) (Ng et al., 2011). The fractions of Org44 and Org43 in OA are represented as f₄₄ 158 and f_{43} , respectively. Ambient air was sampled through a critical orifice into an aerodynamic lens, which





159 efficiently transmitted particles between 80 nm and up to at least 1 µm. Particles were flash-vaporized 160 by impaction on a resistively heated surface (~600 °C) and ionized by electron ionization (70 eV). The 161 m/z values of the resulting fragments were determined using a ToF mass spectrometer. Data were 162 analyzed using the ToF-AMS software SQUIRREL and PIKA. Data were not corrected for lens 163 transmission efficiency. Standard relative ionization efficiencies (RIE) were used for organics (RIE = 164 1.4), nitrate (RIE = 1.1), chloride (RIE = 1.3), sulfate (RIE = 1.12), and ammonium (RIE = 4). 165 Concentration data were obtained from background-subtracted stick-mass data (low-mass-resolution-166 base mass concentration data, which are calibrated using ammonium sulfate particles) and determined 167 assuming a collection efficiency (CE) of 0.5.

Filter-based photometer Real-time measurement of the equivalent black carbon (eBC) was performed
using a 5-wavelength dual-spot absorption photometer (MA300, AethLabs, San Francisco, CA, USA),
which performed an online correction for possible artefacts resulting from filter loading and multiple
scattering (Drinovec et al., 2015). In this study, eBC data obtained from light attenuation at a wavelength
of 880 nm were used to avoid possible contributions from brown carbon; the time resolution was ~1
min.

174 Trace elements Fourteen trace elements (Al, V, Cr, Mn, Co, Ni, Cu, Zn, As, Se, Sr, Cd, Ba, and Pb) were measured using an offline method at two-day intervals from 21 July to 5 August 2019. The 175 suspended particle matter (SPM) was collected onto 623.7 cm² size quartz fiber filters (Pallflex 176 Tissuguartz 2500QAT-UP), which had an available collecting area of 405.84 cm², using a high-volume 177 sampler (1000 L min⁻¹). Approximately 2 cm² of each filter was cut into pieces and placed into a 178 179 polytetrafluoroethylene (PTFE) pressure digestion tank with 1 mL 49% hydrofluoric acid (HF) and 5 mL 69% nitric acid (HNO₃). A Thermo Fisher X2 Series inductively coupled plasma mass spectrometer 180 181 (ICP-MS) was then used to determine metal concentrations. By assuming that the metal fractions were the same in SPM and PM_1 (aerosol particles with aero-dynamic diameters less than 1 µm), the 182 183 concentrations in PM₁ were estimated according to the tested metal concentrations in SPM and the ratio 184 between SPM and PM1 measured in-situ.





185	<i>Water-soluble inorganic species</i> NR-PM ₁ water-soluble inorganic species (including Na ⁺ , SO ₄ ²⁻ , NH ₄ ⁺ ,
186	NO_3^- , Cl^- , Ca^{2+} , K^+ , Mg^{2+}) used for <i>the ISORROPIA-II model</i> were also measured using offline method,
187	as described above. For extraction, we cut 1/4 of a 47 mm filter punched from the original collected
188	filter and placed it in 10 mL of ultrapure water (18.2 MW cm ⁻¹) in a centrifuge tube. This was followed
189	by 15 min of ultrasonication in a 30°C water bath. The solution was then vortexed (Vortex Genie 2,
190	Scientific Industries, USA) for 1 min to ensure homogeneity and filtered through syringe filter with
191	pore size of 0.45-µm (Advantec Dismic-25, PTFE). An Ion Chromatograph (IC, ICS1600, DIONEX,
192	USA) was employed to determine the concentrations of these inorganic ions in the extracted solution.
193	Scanning Mobility Particle Sizers (SMPS) Particle mass and surface area before and after VACES
194	were determined using two SMPSs (model 3936L72 and 3936L75, TSI, Shoreview, MN, USA) that
195	measured particle size distribution at 14.1–736.5 nm and 14.6–661.2 nm at 5-min intervals.
196	Gas phase monitors NO ₂ was measured by cavity attenuated phase shift (CAPS, Aerodyne Research,
197	USA, at 1-s intervals), NOy-NO by chemiluminescence (Model 42i-TL, Thermo, at 10-s intervals),
198	CO by Thermo CO analyzer of nondispersive infrared spectroscopy (Model 48i-TLE, Thermo Scientific,
199	USA, at 10-s time intervals), and O3 by UV absorption (Model 1150, Dylec, AMI Co., Ltd, at 10-s time
200	intervals). HCHO was determined by high performance liquid chromatography (HPLC; 1260 Infinity,
201	Agilent Technologies Inc, USA) from 14:00 July 29, to 12:00 August 3, 2019. An average value of \sim 2
202	ppb was used for the data analysis.
203	<i>ISORROPIA-II model</i> NR-PM ₁ water-soluble inorganic species (including Na ⁺ , SO ₄ ²⁻ , NH ₄ ⁺ , NO ₃ ⁻ , Cl
204	⁻ , Ca ²⁺ , K ⁺ , Mg ²⁺) and meteorological parameters including temperature and RH were used to calculate
205	the aerosol pH and liquid water content based on the ISORROPIA-II model (Fountoukis and Nenes,
206	2007). We ran ISORROPIA-II in "reverse" mode and the particles were assumed to be deliquescent, i.e.,
207	in metastable mode (Hennigan et al., 2015). The thermodynamic equilibrium of the NH_4^+ - SO_4^{2-} - NO_3
208	⁻ system case was used for modeling.

- 209 3 Results and discussion
- 210 3.1 The HO₂ uptake kinetics onto ambient aerosols



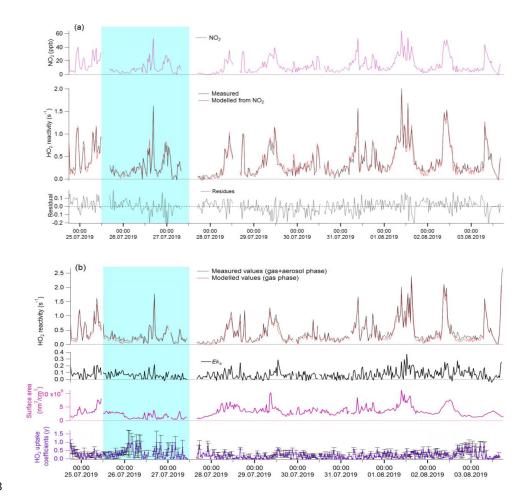


211 The measured total HO2 reactivities were compared against the modeled gas phase HO2 reactivity under 212 the experimental conditions both with and without the aerosol phase. Without the aerosol phase, the 213 modeled k_g values are calculated according to the description in Sect. 2.2, which are not statistically 214 different with the measured k_g values (Fig. 1a second panel, T-test, p = 0.49, with alpha = 0.05), 215 indicating that HO2 loss in the reaction cell was dominated by its reaction with NO2 in the LFP-LIF 216 system. With the aerosol phase, the measured (Ek_a+E_g) and modeled values ($\approx E_g$) were significantly 217 different (see Fig. 2b, first panel, T-test, p = 0.04, with alpha = 0.05). The differences were considered to be the HO₂ reactivities of enriched ambient aerosols (Ek_a). Ek_a ranged between 0.01 s⁻¹ (25th percentile) 218 and 0.1 s⁻¹ (75th percentile), with an average value of 0.066 ± 0.062 s⁻¹, the corresponding k_a , calculated 219 by dividing Ek_a by E, ranged between 0.001 s⁻¹ (25th percentile) and 0.08 s⁻¹ (75th percentile), with an 220 average value of 0.005 \pm 0.005 s⁻¹. The error for Ek_a was estimated as ~ 0.05 s⁻¹, calculated as the 221 propagated errors from $k_g + Ek_a$ (equals to the systematic error of the instrument, ~0.05 s⁻¹) and the 222 223 modelled k_g in mode (b) (~ 0.001 s⁻¹). Accordingly, the errors for k_a was estimated as ~ 0.004 s⁻¹ (from 224 the obtained error of Ek_a by dividing by the enrichment factor E). The corresponding γ , calculated from Eq. 2, ranged from 0.05 (25th percentile) to 0.33 (75th percentile), with an average value of 0.23 \pm 225 226 0.22. The gas-phase diffusion effects on γ were estimated to be less than 1% (i.e., negligible) (further 227 details are given in the SI).

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229 Figure 1: Temporal variation of parameters under different experimental conditions. (a) Without aerosol phase: 230 1st panel: measured NO₂ concentrations (ppb); 2^{nd} panel: measured (red line) and modeled (black line) k_g ; 3^{rd} panel: fitting residues of modeled k_g values, ranging from -0.04 (25 percentile) to 0.05 (75 percentile), which are 231 232 considered to be the systematic error of the LFP-LIF instrument. (b) Gas + aerosol phase: 1st panel: measured 233 total HO₂ reactivity ($k_g + Ek_a$) and modeled k_g ; 2nd panel: Ek_a , calculated from the difference between the measured 234 and modeled values from the 1st panel; 3rd panel: the surface area of the enriched ambient aerosols (*ES*); 4th panel: 235 γ calculated from Ek_a and ES according to Eq. 2. The blue shaded area represents the air masses from group i 236 (from coast), the remainder is from group ii (from mainland). The errors for γ were estimated as the propagated 237 errors of k_a (~0.004 s⁻¹) and the surface area (~8%), based on the systematic errors of the instrument. 238

239 Statistical significance analysis showed that the average γ value of group i (0.35 ± 0.28) is significantly

higher than that of group ii (0.21 ± 0.16) (calculated p = 4.9E-5; Mann-Whitney), indicating that the air

241 masses from the ocean yield higher γ values than the air masses from mainland Japan. This difference

242 maybe influenced by many factors, for example, the mass accommodation coefficients (α^{HO_2}), which

243 are driven by the physical and chemical properties of the aerosols. Studies have shown that α^{HO_2} are





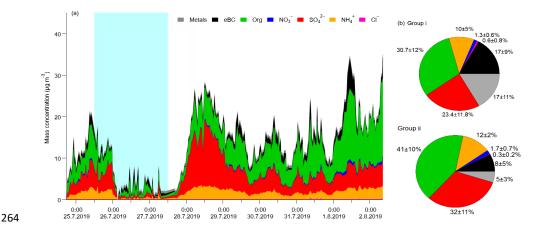
244	typically ~ 0.2 and 0.5 for organics and inorganics, respectively (Lakey et al., 2016b, 2016a; Taketani
245	et al., 2008, 2009; Thornton et al., 2005; Moon et al., 2018; George et al., 2013; Mozurkewich et al.,
246	1987), while for aerosols with transition metals, γ values could be limited only by α^{HO_2} (Mozurkewich
247	et al., 1987). Thus we will discuss such differences in the aerosols arriving from the ocean (group i) and
248	the mainland (group ii) in the following sections (more details can be found in Sect. 3.4 and Table S1).
249	The average value of k_a at Yokohama (0.005 ± 0.005 s ⁻¹) was much higher than that found for Kyoto
250	city (0.0017 \pm 0.0015 s ⁻¹) (with calculated $p < 0.05$; Mann-Whitney), this may due to many differences
251	in aerosol properties in Kyoto and Yokohama city. We list some of them as follows: 1) mass
252	composition, the aerosols in the coast city tend to contain more sea salts thus increased k_a , 2) particle
253	size distribution, smaller particles tending to yield higher γ values than larger particles owing to the
254	limitation of gas phase diffusion is smaller with micrometer particles, and the distribution of depleting
255	species (e.g., transition metal ions) are mostly distributed in accumulation mode of aerosol, 3) the water
256	content and the metal concentrations, this will highly influence the HO_2 uptake capacity of the ambient
257	aerosols. However, the average HO ₂ uptake coefficient onto ambient aerosols (γ) in Yokohama (~0.23)
258	was comparable with previous measured (~0.24-0.25) (Zhou et al., 2019b; Taketani et al., 2012) and
259	modeled (~0.20) values (Stadtler et al., 2018; Jacob, 2000). The large standard deviation (± 0.21, 1σ)
260	of the values along with the measurement time may be due to the instantaneously changed chemical
261	and physical properties of ambient aerosols, indicating that a large bias may exist if a constant γ value
262	is used for modeling.

263 **3.2** Bulk chemical composition of ambient aerosols

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265 Figure 2: (a) Concentrations of non-refractory chemical components plus eBC in Yokohama, Japan (July 24 to 266 August 02, 2019). The blue shaded area represents group i from coast and the remaining areas represent group ii from mainland. (b) Average contribution fractions of different chemical components of groups i and ii. 267 268 Figure 2a shows the time series of the mass concentrations of OA, SO₄²⁻, NO₃⁻, NH₄⁺, Cl⁻, and eBC in 269 270 PM₁ in Yokohama from July 24 to August 02, 2019, which is ~1.5 days less than for the LFP–LIF data. 271 During this period, PM₁ ranged from ~1 to 35 μ g m⁻³ (average \approx 13 μ g m⁻³) and was dominated by OA, 272 SO_4^{2-} , and NH_4^+ , with contributions of $39 \pm 11\%$, $30 \pm 12\%$, and $12 \pm 4\%$, respectively; these were 273 followed by eBC and metals, with contributions of $10 \pm 7\%$ and $8 \pm 8\%$, respectively. Cl⁻ contributed 274 < 1% in both groups, which is similar to that reported for an urban area in winter in Bern (Switzerland) (Zhou et al., 2019a). However, NO_3^- contributed much less (~2 ± 0.7%) compared with that reported 275 276 for Bern (~ $19 \pm 4\%$), which may be due to the reverse reaction of NH₄NO₃ converting to HNO₃. Since 277 Yokohama is a coastal city, and HNO3 is easy vaporized in summer, gaseous HNO3 may sink with sea 278 salt particles by forming NaNO3 through heterogeneous reactions (Finlayson-Pitts and Pitts, 2000). 279 Figure 2b shows the average contribution fractions of different components of group i and group 280 ii. The main differences in the components between these two groups are the fractions of OA, BC, SO4²⁻, 281 and metals. The OA fraction was ~1.8 and ~8.4 times higher than that for the metals in groups i and ii, 282 respectively. As OA can cover the surface of the particles and thereby decrease γ (Lakey et al., 2016a; 283 Takami et al., 2013), the difference between the OA and metal fractions in these two groups may 284 partially explain the much higher γ values of group i (vs. group ii). Previous studies have shown quite





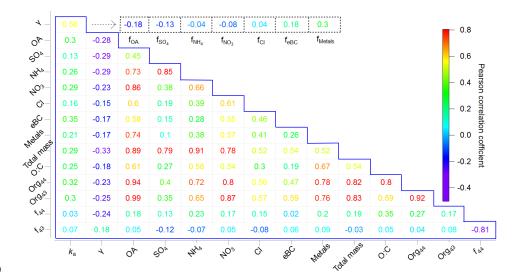
285	low HO ₂ uptake coefficient on BC (~ 0.01) (Saathoff et al., 2001; Macintyre and Evans, 2011), which
286	in contrast to the results obtained here. This may due to the much higher fraction of eBC in group i
287	(vs. group ii) may also provide active sites thus facilitating the physical uptake of HO ₂ . We also
288	observed slightly higher Cl^- and BC fraction in group i (from ocean) than that of group ii (from
289	mainland), which may due to the effects of sea salt and the ship emissions, respectively. From the
290	average diurnal patterns (Figs. S5 and S6), the trends in k_a follow the trends in chemical composition,
291	whereas γ shows a contrasting trend with both variables in both groups. For group ii, SO ₄ ²⁻ and OA
292	exhibited higher values whereas γ exhibited lower values during the daytime than those during nighttime,
293	indicating that secondary aerosol formation resulting from photochemical reactions may decrease γ . To
294	identify the influence of each individual chemical component of ambient aerosol on k_a and γ , we further
295	performed correlation matrix analysis.

296 **3.3 Influence of individual chemical components of ambient aerosol on** k_a and γ

297 For the ambient aerosol with multiple components, k_a and are influenced by many factors, and those 298 factors also have mutual effects to each other, for example, the transition metal Cu and Fe contained in 299 ambient aerosols can be chelated by organics (Lakey et al., 2016b). The direct correlations between transition metals and γ may give us some hints about the interactions of different chemical components 300 in ambient aerosols and their effects to γ . Therefore, we produced a Pearson correlation matrix of all 301 302 the testing chemical composition factors in Yokohama city. To exclude the effects of the different 303 fractions of chemical components in groups i and ii, the bootstrap method, which is based on the creation 304 of replicate the inputs by perturbing the original data through resampling, was employed. The resampling was performed by randomly reorganizing the rows of the original time series such that some 305 306 rows of the original data were present several times while other rows were removed. The final results 307 were obtained by running the data for 1000 bootstrap replicates. The average values of these 1000 308 bootstrap replicates are listed in Fig. 3.







309

Figure 3: Correlation matrix showing Pearson's r values for the chemical compositions, k_a , and γ during the corresponding measurement periods (in the blue box), as well as the Pearson's r values for the chemical composition fraction *i* (represented as fi, i = OA, $SO_4^{2^\circ}$, NH_4^+ , NO_3^- , CI^- , eBC, and metals) and γ (in the dashed line box).

315 Most of the chemical components had strong or moderate Pearson correlation coefficients with each 316 other (Fig. 3), although k_a and γ showed only a moderate correlation with each other (0.56). As γ can be 317 correlated with the qualitative, rather than quantitative, properties of the aerosols, we further 318 investigated the Pearson's r values between the chemical composition fractions and γ . The results show 319 that k_a was positively correlated with total mass and the individual chemical components, whereas γ 320 showed only a weak positive correlation with finetals (0.30) and f_{eBC} (0.18). This indicates that the 321 metals may act as a catalyst, thus accelerating the depletion of HO₂ (Mao et al., 2013a) (chemical 322 reaction), and that BC may provide the active sites for HO2 radical interaction with ambient aerosols (physical uptake). The very weak correlation of γ with f_{Cl} (0.04) may be related to Cl⁻ chemistry, for 323 example, $HO_2(g)$ can react with NaCl(g) and produce NaOH and $Cl_2(g)$, thus cause a decrease in the 324 HO₂ concentration, which in turn indirectly effects γ (Remorov et al., 2002). Interestingly, when 325 considering the Org44 and Org43 fractions in total OA separately, γ is positively correlated with f_{43} 326 (0.18) but negatively correlated with f_{44} (-0.24). This is consistent with the conclusion from Lakey et 327 328 al. (2016b), i.e., that more oxidized organic aerosols tend to be highly viscous and thus decrease HO₂ 329 uptake coefficients (Lakey et al., 2016b). In summary, γ was dominated by the free forms of transition





330 metals that can act as catalysts of HO₂ uptake onto ambient aerosols, and was indirectly affected by 331 chemical components that might alter the properties of ambient aerosols, e.g., oxygenated OA can cover 332 the aerosol surface and alter the viscosity of ambient aerosols, thereby decreasing γ (Lakey et al., 2016a; 333 Lakey et al., 2016b; Takami et al., 2013), whereas BC may provide active sites and thereby increase γ 334 though physical uptake. This is further confirmed by the classification of the air masses, i.e., the air mass from the ocean (group i), which had a higher HO₂ uptake capacity, contained less OA and more 335 336 metals than that from mainland Japan (group ii). We further compared the measured γ values with the 337 modeled γ values using previously proposed mechanisms, as shown below.

338 3.4 Possible mechanism of HO₂ uptake onto ambient aerosols

339 Two mechanisms of HO_2 uptake onto aqueous ambient aerosols have been proposed, for which 340 equations have been derived from a previous study (Thornton et al., 2008): (i) HO₂-only chemistry and 341 (ii) chemistry with transition metals playing a role. In this study, the liquid content of the total ambient 342 aerosol mass ranged from 70% to 88%, as obtained from the ISORROPIA-II model. As the solubility of Fe is rather small in ambient aerosol, the reaction rates of Fe/Mn for liquid phase HO₂ in aerosol is \sim 343 344 100 times slower than it is for Cu, thus the influence of Fe and Mn on HO₂ uptake can be neglected compared to Cu or scaled as equivalent [Cu²⁺] (Fang et al., 2017; Hsu et al., 2010; Baker and Jickells, 345 346 2006; Oakes et al., 2012; Song et al., 2020), therefore, we use the soluble Cu as surrogate for transition 347 metals in ambient aerosols to assess the influence of transition metals to γ in ambient aerosols. The Cu solute mass fraction in the liquid content of the ambient aerosols was estimated as $(3.5-30) \times 10^{-4}$ mol 348 L^{-1} according to our offline filter test (Sect. 2.2), and the activity coefficient for total Cu was assumed 349 350 to be 0.1 (upper limit) based on a study of (NH₄)₂SO₄ particles at 68% RH (Ross and Noone, 1991). Using copper ions as a surrogate metal for transition metal ions (TMIs), the potential HO_2 loss onto 351 352 aqueous ambient aerosols via mechanisms involving TMIs was estimated as (Hanson et al., 1994):

353
$$\frac{1}{\gamma^{\text{TMI}}} = \frac{1}{\alpha^{\text{HO}_2}} + \frac{\omega}{H_{eff}RT\sqrt{k^I D_{aq}Q'}}$$
(3)

where α^{HO_2} is the mass accommodation coefficient of HO₂, ω is the mean HO₂ molecular speed (cm s⁻¹), H_{eff} is the effective Henry's Law coefficient, R is the gas constant (J K⁻¹ mol⁻¹), and *T* the temperature





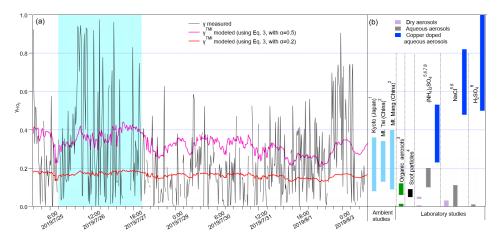
356 (K). k^{I} is the pseudo-first-order rate constant equal to k_{TMI}^{II} [TMI], where k_{TMI}^{II} is the second order rate 357 constant for aqueous phase reaction with HO₂/O₂⁻ and TMI. *Q*' accounts for aqueous-phase diffusion 358 limitations and is expressed as

359
$$Q' = [\operatorname{coth}(q) - \frac{1}{q}]; q = r_p \sqrt{\frac{k^1}{D_{aq}}}$$
 (4)

Table S1 shows more details of the parameters used for modeling. γ^{TMI} with $\alpha^{HO_2}=0.2$ (typically for 360 organics) and 0.5 (typically for inorganics as explained in Table S1) are plotted in Fig. 4a along with the 361 362 measured γ values; the much lower variation of the modeled values may due to the low time resolution (~2 days) of [Cu]. The measured γ values (averaged value: ~ 0.23) are significantly lower than the modelled 363 γ^{TMI} with $\alpha^{\text{HO}_2} = 0.5$ (averaged value: ~ 0.32), but significantly higher than the modelled γ^{TMI} with 364 $\alpha^{HO_2}=0.2$ (averaged value: ~ 0.16), both with calculated p<0.05 (t-test), indicating that the chemical 365 366 components may be internally mixed, as proposed by Takami et al. (2013), which influences the aerosol surface tension and the activity of the free form of the copper ion (i.e., OA and BC) to constrain γ^{TMI} ; 367 however, this is not considered in this model. No linear correlation was found between γ^{TMI} and γ . Further 368 classification of measured $\gamma \ge 0.4$ and $\gamma < 0.4$ shows that γ^{TMI} has a weak correlation with measured γ values 369 when $\gamma \ge 0.4$ (Fig. S7), which may due to the higher fraction of metals in the total mass at measured $\gamma \ge 0.4$ 370 371 (~12%) than at < 0.4 (~7%); therefore, the impact of the other chemical components is much lower. The γ 372 values obtained here are comparable with those in previous ambient aerosol studies (Taketani et al., 2008; 373 Zhou et al., 2019b) (Fig. 5b). When compare with single-compound aerosols obtained from laboratory 374 studies, γ values were generally higher than the HO₂ uptake coefficients onto organic species (Lakey et al., 375 2015), soot particles (Bedjanian et al., 2005), and the dry state of inorganic aerosols (i.e., (NH₄)₂SO₄, NaCl, 376 and H₂SO₄), but comparable or lower than aqueous and copper-doped aqueous phases of inorganic species 377 (Fig. 5b) (George et al., 2013; Lakey et al., 2016b; Taketani et al., 2008; Thornton and Abbatt, 2005). This 378 may indicate the collective effects of the individual chemical components of ambient aerosols to γ , and the 379 significant influence of RH to aerosol states of single-component particles thus their HO₂ uptake coefficients.







³⁸⁰

400 3.5 Influence of the physical properties of ambient aerosols on k_a and γ

401 HO₂ heterogeneous loss rates are driven by the different particle sizes of different aerosol types (i.e.,

402 urban ambient aerosols and marine aerosols)(Morita et al., 2004; Guo et al., 2019; Jacob, 2000). In this

<sup>Figure 4: (a) Measured and modeled γvalues along with measurement time. The blue shaded area represents group i; the remaining areas represent group ii. (b) HO₂ uptake coefficients onto different types of aerosol obtained from ambient and laboratory studies, the numbers indicate the related references from which the data were obtained: 1.
Zhou et al., 2019b; 2. Taketani et al., 2012; 3. Lakey et al, 2015; 4. Bedjanian et al., 2005; 5. Taketani et al., 2008; 6. George et al., 2013; 7. Lakey et al., 2016b; 8. Thornton and Abbatt, 2005.</sup>

³⁸⁷ Other studies have shown that γ is strongly negatively temperature dependent (Remorov et al., 2002; 388 Mao et al., 2010; Cooper and Abbatt, 1996; Hanson et al., 1992; Thornton and Abbatt, 2005; 389 Gershenzon et al., 1995). Here, RH and T were stabilized by the VACES-LFP-LIF system, as compared 390 with those in ambient air (Fig. S8). We noticed that k_a and γ showed no dependence on RH and T in the 391 reaction cell (see Fig. S9), indicating that the instantaneous change of RH and T may not be dominating 392 factors in terms of the variation of k_a and γ with measurement time. This suggests that the individual 393 chemical components and physical properties of ambient aerosols may dominate γ variation during field 394 campaign; both the metal-catalyzed reactions and the chemical components and their states should be 395 considered to yield more accurate γ values. Results obtained here are in accordance with previous results 396 on correlations between particulate H_2O_2 (which can be formed by the uptake of HO_2) and coarse 397 particulate transition metals (Wang et al., 2010). Using an offline methodology to investigate the 398 influence of RH and T by maintaining constant experimental conditions or chemical compositions will 399 be the subject of future work.





study, k_a and γ showed no linear dependence on the mean ambient particle diameters (see Fig. S10). 403 404 Identifying the fractional contributions of aerosols in different particle size ranges to k_a and γ is highly 405 desirable in terms of understanding their influence. However, it seems that high γ values (> 0.8) occur when the surface area is $< 2 \times 10^{-6}$ cm⁻³ and the mean particle diameter is < 110 nm. This is in 406 407 accordance with a previous study showing that aerosols yield the highest fractional contribution to the 408 total heterogeneous loss rate of HO₂ radicals of size $< 0.1 \,\mu m$ (Morita et al., 2004) and that the mass 409 accommodation process plays the determining role for small and medium sized aerosols in controlling 410 HO_2 uptake. Guo et al. (2019) states the HO_2 radicals experience less loss upon diffusion onto large 411 aerosols than do small droplets due to dilution effects make the larger aerosols having lower depleting 412 species concentrations (Cu^{2+}). However, this was based on the assumption that the total mass of Cu^{2+} is 413 constant during the hygroscopic growth of particles which is not always true in the ambient conditions. Further studies about Cu²⁺ content in particles with different size distribution are needed to fully 414 415 understand the result here.

416 **3.6 Significance of** k_a to O₃ formation potential

In urban atmosphere, XO₂ (=HO₂+RO₂) fate is important to the photochemical production of ozone (P(O₃)). Here, the loss rates of XO₂ due to three factors were compared: (i) uptake onto the ambient aerosols (L_{P-XO_2} in Eq. 5), since no experiment or reference available for RO₂ uptake onto ambient particles, we assume the RO₂ reactivities caused by its interaction with ambient aerosols were the same as k_a , (ii) XO₂ self-reactions (L_{R-XO_2} in Eq. 6), and (iii) reaction with NO (L_{N-XO_2} in Eq. 7), which can produce NO₂, a precursor of O₃; therefore Eq. 7 can also be regarded as P(O₃).

423
$$L_{P-XO_2} = k_a[XO_2]$$
 (5)

424
$$L_{R-XO_2} = 2 * (k_{HO_2-HO_2}[HO_2]^2 + k_{HO_2-RO_2}[HO_2][RO_2])$$
 (6)

425
$$L_{\rm NO-XO_2} = k_{\rm NO-XO_2} [\rm NO][\rm XO_2] = P(O_3)$$
 (7)

426 where $k_{HO_2-HO_2}$ and $k_{HO_2-RO_2}$ are the second-order rate constants of HO₂ self-reaction and its reaction 427 with RO₂, respectively. k_{NO-HO_2} is the second-order rate constant of the reaction of HO₂ with NO. The 428 HO₂ concentration was estimated from O₃ concentration using the method described by Kanaya et al.,





- 429 (2007a). The RO₂ concentration is then estimated by assuming a steady state of HO₂ in the HO_x cycle;
- 430 the reaction rates of HO_2 radicals are approximated as 0:

431
$$\frac{d[\text{HO}_2]}{dt} = P_{\text{HO}_2} - L_{\text{HO}_2} = k_{\text{CO-OH}}[\text{OH}][\text{CO}] + k_{\text{H}_2\text{CO-OH}}[\text{OH}][\text{H}_2\text{CO}] + k_{\text{NO-RO}_2}[\text{RO}_2][\text{NO}] -$$

432
$$2k_{\text{HO}_2-\text{HO}_2}[\text{HO}_2][\text{HO}_2] - k_{\text{HO}_2-\text{RO}_2}[\text{HO}_2][\text{RO}_2] - k_{\text{NO}-\text{HO}_2}[\text{HO}_2][\text{NO}] - ka[\text{HO}_2] = 0$$
 (8)

433 where k_{CO-OH} and k_{H_2CO-OH} are the second-order rate constants of the reactions of CO and H₂CO with 434 OH, respectively. The different XO₂ loss rates described in Eqs. 5–7, along with the measurement times, 435 are shown in Fig. 5a. Generally, L_{P-XO_2} is much greater than L_{R-XO_2} , indicating that the XO₂ taken up 436 by ambient aerosols will compete with the XO₂ self-reaction, thus influencing XO₂ concentration. However, such an influence may have a negligible impact on $P(O_3)$ because L_{P-XO_2} is tens of thousands 437 438 times lower than L_{NO-XO_2} owing to the relatively high NOx concentration in Yokohama. We further 439 tested the impact of L_{P-XO_2} on ozone formation sensitivity regime, according to the method proposed by Sakamoto et al. (2019), in which L_N/Q is used as a new indicator: 440

441
$$\frac{L_N}{Q} = \frac{1}{1 + (\frac{(2k_R[XO_2] + k_a')k_{OH-VOCs}[VOCs]}{(1 - a')k_{NO-HO_2}[NO]k_{OH-NO_2}[NO_2]})}$$
(9)

where $k_{OH-VOCs}$ and k_{OH-NO_2} are the second-order rate constants of the reactions of OH with VOCs and NO₂, respectively. k_{NO-HO_2} is the second-order rate constant of the reaction of NO with HO₂. α ' is the proportion of RO₂ in XO₂. L_N is the OH radical loss rate through its reaction with NO₂. (= $k_{OH-NO_2}[OH][NO_2]$), and Q is the total loss of the HOx radicals in the HOx cycle reaction (= L_N + $L_{P-XO_2} + L_{R-XO_2}$). The regime transition point can be expressed as

447
$$\frac{L_{\rm N}}{Q_{\rm transition}} = (1-\chi)\frac{1}{2} + \chi\frac{1}{3}$$
 (10)

where $\chi = L_{P-XO_2}/(L_{P-XO_2} + L_{R-XO_2})$. The results indicate that both L_N/Q and L_N/Q_without_aerosol (calculated with and without including k_a' in Eq. 9, respectively) were higher than L_N/Q_transition, indicating that ozone formation was VOC-sensitive throughout the campaign and that the aerosol uptake of XO₂ (ka') showed no impact on the O₃ formation regime (see Fig. 5, here we only consider the daytime, when photochemical reactions occur). The plots of L_N/Q and L_N/Q_without_aerosol as a





function of NO concentration show the values were closer to $L_N/Q_{\rm transition}$ (~0.4) at lower NO concentrations (Fig. S11); therefore, aerosol uptake may play a more important role in the O₃ formation regime at NO levels lower than those observed in this study. The temporal variations in key factors used in this section are shown in Fig. S12.

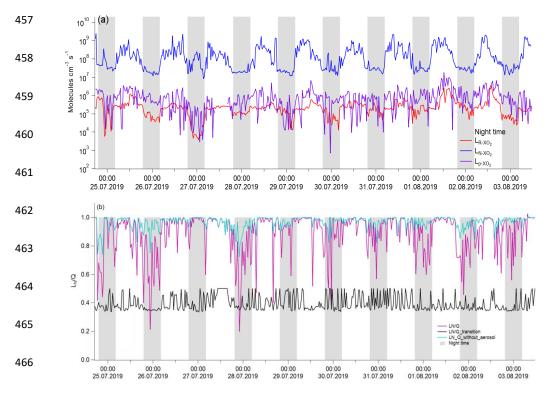


Figure 5: Temporal variations in (a) HO_2 radical loss rates and (b) L_N/Q (red line) and the regime transition threshold (L_N/Q _transition, black line) used to assess the ozone sensitivity regime. The gray shaded areas represent nighttime (from National Astronomical Observatory of Japan) and are not discussed herein.

471

472 4 Conclusions

This study used a reliable online methodology to investigate HO₂ uptake kinetics onto in situ ambient aerosols (i.e., HO₂ reactivity of ambient aerosols k_a and HO₂ uptake coefficients y) and discussed the impacting factors on such processes, i.e., chemical compositions and physical properties of ambient aerosols and experimental conditions. k_a ranged between 0.001 s⁻¹ (25th percentile) and 0.005 s⁻¹ (75th percentile), with an average value of 0.005 ± 0.005 s⁻¹. The corresponding γ , ranged from 0.05 (25th





478 percentile) to 0.33 (75th percentile), with an average value of 0.23 ± 0.22 , is comparable with previous 479 measured (~0.24–0.25) (Zhou et al., 2019b; Taketani et al., 2012) and modeled (~0.20) values (Stadtler 480 et al., 2018; Jacob, 2000). We noticed that k_a and γ showed no dependence on RH and T in the reaction 481 cell in this study, indicating that the instantaneous change of RH and T may not be dominating factors 482 in terms of the variation of k_a and γ with measurement time, and the large standard deviation of the γ values along with the measurement time (\pm 0.21, 1 σ) may be due to the instantaneously changed 483 484 chemical and physical properties of ambient aerosols, a large bias may exist if a constant γ value is used 485 for modeling.

486 We found that the individual chemical components of ambient aerosols may have collective effects 487 of to γ , through the analyses of 1) separating the air masses into two groups, group i from the ocean and 488 group ii from mainland Japan; 2) the average diurnal patterns; 3) the correlation matrix analysis of each 489 individual chemical component of ambient aerosol with γ , and 4) the modeling studies using previously proposed mechanisms. All these effects clearly indicating that the transition metals contained in ambient 490 491 aerosols may act as a catalyst, thus accelerating the depletion of HO₂, however, they can be chelated by 492 OA. OA can also cover the aerosol surface and alter the viscosity of ambient aerosols, thereby 493 decreasing γ , and that more oxidized organic aerosols tend to be highly viscous and thus decrease HO₂ 494 uptake coefficients. Results obtained here are in accordance to previous laboratory and modeling studies 495 (Mao et al., 2013a; Lakey et al., 2016b; Lakey et al., 2016a; Takami et al., 2013; Thornton et al., 2008; 496 Hanson et al., 1994), and that the chemical components of ambient aerosols may be internally mixed, 497 as proposed by Takami et al. (2013), which influences the aerosol surface tension and the activity of the 498 free form of the copper ion (i.e., OA and BC) to constrain γ . In contrast to previous studies saying that BC may shrink HO₂ losses onto ambient aerosols (Saathoff et al., 2001; Macintyre and Evans, 2011; 499 500 Bedjanian et al., 2005), we found BC positively correlated with HO_2 uptake coefficients (0.18), this 501 may be owing to BC can provide active sites thus facilitating the physical uptake of HO_2 . Here, we 502 observed higher γ values (> 0.8) when the mean particle diameter is < 110 nm, identifying the fractional 503 contributions of aerosols in different particle size ranges to k_a and γ is highly desirable in terms of 504 understanding their influence.





505 In summary, the individual chemical components and physical properties of ambient aerosols may 506 dominate γ variation during field campaign; both the metal-catalyzed reactions and the chemical components and their states should be considered to yield more accurate γ values. In future work, 507 508 improvements to the time-resolution of metal measurements are needed for more precise analysis. For 509 more detailed investigations of HO₂ uptake mechanisms, an offline methodology that can maintain constant chemical compositions or other experimental conditions (such as RH and T) will be useful. 510 511 The HO_2 loss onto ambient aerosols was identified to have a negligible impact on the O_3 production 512 rate and formation regime owing to the high NO_x concentrations in Yokohama. This process may play 513 a more important role in O_3 formation under low NOx and high aerosol loading conditions. The results 514 help us to understand the impacts of HO₂ uptake kinetics on chemical transformations in troposphere. 515

516 Appendix:

517 Air mass directions (Figure S1), measurement strategy (Figure S2), a technique combined laser-flash 518 photolysis with laser-induced fluorescence (LFP–LIF), the enrichment of the ambient aerosols, HO_2 519 reactivity of ambient air, correction of gas-phase diffusion for HO₂ uptake coefficient, HO₂ reactivity of ambient gas phase (k_g), examples of HO₂ decay profiles (Figure S3), HO₂ reactivity calibration with 520 521 different NO₂ concentrations (Figure S4), diurnal trends in individual chemical components of ambient 522 aerosols (Figure S5), diurnal trends in k_a and γ (Figure S6), correlations between measured and modeled 523 γ (Figure S7), time series of the averaged RH and T in ambient air and the reaction cell (Figure S8), 524 dependence of k_a and γ on RH in reaction cell (Figure S9), dependence of k_a and γ on mean particle 525 diameter (Figure S10), dependence of day time LN/Q and LN/Q_without_aerosol on [NO] (Figure 526 S11), profiles of key factors determining XO₂ loss rates and P(O₃) sensitivity (Figure S12), summary 527 of equations and values used for γ modeling (Table S1), summary of equations and values used for XO₂ 528 (=HO₂+RO₂) loss and O₃ formation sensitivity regime (Table S1).

529

530 Author contribution





- 531 J.J., K.M., Y.S., and Y.K. designed the experiments and J.J. and Y.B. carried them out. J.J. did the data
- analysis and prepared the manuscript with contributions from all co-authors.

533 Competing interests

534 The authors declare that they have no conflict of interest.

535 Data availability 536

- 537 Data supporting this publication are available upon request for the corresponding author
- 538 (junzhou@jnu.edu.cn).

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