1	Substantial changes of gaseous pollutants and chemical compositions in fine particles in
2	North China Plain during COVID-19 lockdown period: anthropogenic vs meteorological
3	influences
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14	Abstract
15	The rapid response to COVID-19 pandemic led to the unprecedented decreases of economic
16	activities, thereby reducing the pollutant emissions. A random forest (RF) model was applied to
17	determine the respective contributions of meteorology and anthropogenic emissions to the changes
18	of air quality. The result suggested the strict lockdown measures significantly decreased primary
19	components such as Cr (-67%) and Fe (-61%) in $PM_{2.5}$ ($p < 0.01$), whereas the higher relative
20	humidity (RH) and NH3 level, and the lower air temperature (T) remarkably enhanced the
21	production of secondary aerosol including SO ₄ ²⁻ (29%), NO ₃ ⁻ (29%), and NH ₄ ⁺ (21%) ($p < 0.05$).
22	Positive matrix factorization (PMF) result suggested that the contribution ratios of secondary
23	formation (SF), industrial process (IP), biomass burning (BB), coal combustion (CC), and road dust
24	(RD) changed from 36%, 27%, 21%, 12%, and 4% before COVID-19 outbreak to 44%, 20%, 20%,

9%, and 7%, respectively. The rapid increase of the contribution ratio derived from SF to PM_{2.5}
implied the intermittent haze events during COVID-19 period were characterized with secondary
aerosol pollution, which was mainly contributed by the unfavorable meteorological conditions and
high NH₃ level.

29 1. Introduction

30 In December 2019, a cluster of pneumonia cases with unknown etiology were firstly reported 31 in Wuhan and quickly spread around the world (Wu et al., 2020). The continuous global outbreak 32 of coronavirus disease (COVID-19), declared as a public health emergency of international concern 33 by the World Health Organization, resulted in unprecedented public health responses in many 34 countries including lockdown, travel restrictions, and quarantines (Griffiths and Woodyatt, 2020; 35 Horowitz et al., 2020). On January 23, 2020, Chinese government imposed a lockdown in Wuhan 36 and many surrounding cities in Hubei province in order to prevent the spread of epidemic. 37 Afterwards, many similar measures including blocked roads, shutdown of factories, restricted 38 citizen mobility, and checkpoints were soon extended to other cities throughout the entire country. 39 During this period, energy production by coal-fired power plants only remained two thirds levels of 40 the same periods in preceding years (Chang et al., 2020). Besides, the transport volume have been 41 reduced by more than 70% due to the COVID-19 outbreak (Chang et al., 2020). These drastic 42 government-enforced lockdown measures substantially decreased the pollutant emissions, and at 43 least partly improved local air quality. Feng et al. (2020) confirmed that the COVID-19 lockdown 44 have led to more than 70% reduction of NOx emissions in many large cities over China. Correspondingly, the ambient concentrations of PM2.5 and NO2 concentrations decreased by 35% 45 46 and 60%, respectively (Shi and Brasseur, 2020). The natural experiment provided an unprecedented 47 opportunity to explore the potential for emission reduction and the corresponding response of air

48 quality.

A growing body of studies assessed the response of PM2.5 and gaseous pollutants to COVID-19 49 50 lockdown, and found these stringent restrictions resulted in the significant decreases of these 51 pollutant (e.g., PM_{2.5}, NO₂, and CO) concentrations (Miyazaki et al., 2020; Marlia et al., 2020). 52 However, some haze events still occurred during this period especially in East China. Huang et al. 53 (2020) employed the chemical transport models (CTMs) to infer that these extraordinary findings 54 might be attributable to enhanced secondary pollution. Understanding the formation mechanism of 55 puzzle haze events depending on CTMs alone might be not very robust, it was highly imperative to 56 perform more field observation to analyze the temporal variations of chemical compositions 57 especially the secondary ions (e.g., SO42-, NO3-) in PM2.5 before and after COVID-19 outbreak and 58 then to validate these inferences.

59 To date, only several field observations analyzed the temporal variations of chemical 60 components in fine particles during COVID-19 lockdown period. Chang et al. (2020) observed a 61 remarkably enhanced nitrate formation in Yangtze River Delta (YRD) counteractedneutralized the 62 decreases of primary components in fine particles, which was in good agreement with the modelling 63 result drawn by Huang et al. (2020). In contrast, Xu et al. (2020) found that the marked decreases 64 of fine particle concentrations in Lanzhou during COVID-19 lockdown period was mainly 65 contributed by the lower production rate for secondary aerosols. Under the condition of similar 66 emission control measures, the polarized conclusion might be associated with the local meteorology. 67 He et al. (2017) demonstrated that meteorology might explain more than 70% variances of daily 68 average pollutant levels over China during 2014-2015. Besides, Zhang et al. (2020a) also revealed

69	that the release of primary pollutants and the generation of reactive semi-volatile products
70	partitioned between gas and aerosol phases were strongly dependent on the temperature and relative
71	humidity (RH). Thus, in order to accurately assess the effects of lockdown measures on air quality
72	and to reveal the key driver of the haze paradox, it was necessary to isolate the contribution of
73	meteorology. Unfortunately, up to date, the respective contributions of emission and meteorology
74	to chemical compositions in $PM_{2.5}$ during COVID-19 period were not quantified yet in most
75	pioneering studies (Chang et al., 2020; Huang et al., 2020; Xu et al., 2020). Moreover, the
76	comparison of source contributions to chemical compositions between pre-lockdown and post-
77	lockdown were scarcely performed. Such knowledge was-is critical to design effective $PM_{2.5}$
78	mitigation strategies in the near future.

79 As a heavily industrialized region, North China Plain (NCP) possesses many energy-intensive 80 industries including coal-fired power plants, non-ferrous smelting industries, textiles, building materials, chemical engineering, and papermaking industries (Ren et al., 2011). Due to these 81 82 intensive industrial emissions, NCP suffered from poor air quality and frequent aerosol pollution in 83 the past decades (Zhang et al., 2018; Luo et al., 2017). Nevertheless, these strict lockdown measures during COVID-19 period inevitably led to the dramatic decreases of industrial emissions, and thus 84 85 a study about the response of chemical compositions to emission reduction in the heavy-pollution 86 city might make be more sensesensible.

Here, we selected the typical industrial city (Tangshan) in NCP to determine the concentrations of gaseous pollutants and chemical compositions in PM_{2.5} during January 1-March 31, 2020, and then to analyze their temporal variations before and after COVID-19 outbreak. Besides, a machinelearning approach was applied to separate the contributions of emission reduction and meteorology to the temporal variabilities of chemical compositions and gaseous pollutants. Finally, the source
apportionment was performed based on the meteorology-normalized datasets to compare the source
difference for these pollutants before and after COVID-19 lockdown.

94 2. Materials and methods

95 2.1 Field observation

96 Hourly gaseous pollutants and PM2.5 chemical compositions including water-soluble ions and 97 trace elements were measured using on-line instruments during January 1-March 31, 2020 at a 98 supersite in Tangshan. The supersite is located in a commercial region without short-distance 99 industrial emissions (Figure 1). SO2, NO2, and CO concentrations were determined by the ultraviolet fluorescence analyzer (TEI, Model 43i from Thermo Fisher Scientific Inc., USA), 100 101 chemiluminescence trace gas analyzer (TEI Model 42i from Thermo Fisher Scientific Inc., USA), 102 and the correlation infrared absorption analyzer (TAPI, model: 300E, USA) (Li et al., 2017; Li et 103 al., 2019). The mass concentration of PM2.5 concentration was determined using an oscillating 104 balance analyzer (TH-2000Z, China) (Wang et al., 2014). The NH₃ concentration and water-soluble 105 ions including sulfate (SO₄²⁻), nitrate (NO₃⁻), ammonium (NH₄⁺), sodium ion (Na⁺), and chloridion 106 (Cl⁻) were monitored with a Gas and Aerosol Collector combined with Ion Chromatography (GAC-107 IC, TH-PKU-303, China) (Wang et al., 2014; Zheng et al., 2019). OC and EC were measured using 108 an OC/EC analyzer (Model RT-4, Sunset Laboratory Inc., Tigard, Oregon, USA). Nine trace 109 elements including Hg, Pb, K, Ca, Cr, Cu, Fe, Ni, and Zn were determined by an online multi-110 element analyzer (Model Xact 625, Cooper Environment Service, USA). The quality assurance of SO2, NO2, CO, and PM2.5 were conducted based on HJ 630-2011 specifications. For the quality 111 112 assurance of NH₃ and water-soluble ions, the concentration gradients of anion and cation standard

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113	solutions were set based on the pollution levels of target species, and correlation coefficients of the
114	calibration curve must be higher than 0.99. Besides, a standard sample was collected each day and
115	the relative standard deviation for the reproducibility test must be less than 5%. The online device
116	agreed well with the result determined by filter sampling coupled with Inductively Coupled Plasma
117	Mass Spectrometry (ICP-MS) and Inductively Coupled Plasma-Atomic Emission Spectroscopy
118	(ICP-AES).

119 2.2 Deweathered model development

120 The air pollutants were influenced by the combined effects of meteorological conditions and 121 emissions. In order to quantify the contributions of anthropogenic emissions, the impacts of 122 meteorological conditions should be removed. In our study, a random forest (RF) approach was 123 employed to serve as the site-specific modeling platform (Chen et al., 2018). All of gaseous 124 pollutants and chemical compositions in PM2.5 were regarded as the dependent variables. The 125 meteorological parameters including wind speed (WS), wind direction (WD), air temperature (T), 126 relative humidity (RH), precipitation (Prec), and air pressure (P), and time predictors (year, day of 127 year (DOY), day of week (DOW), hour) served as the independent variables. The original dataset 128 was randomly classified into a training dataset (90% of input dataset) for developing the RF model 129 and the remained one was treated as the test dataset. After the building of the RF model, the 130 deweathered technique was applied to predict the air pollutant level at a specific time point (e.g., 131 2020/01/01 12:00). The differences of original pollutant concentrations and deweathered pollutant 132 concentrations were regarded as the concentrations contributed by meteorology. Some statistical 133 indicators including R² value, RMSE, and MAE were regarded as the major criteria to evaluate the 134 modelling performance. In our study, the RF model with the R2 value lower than 0.50 was treated

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as the unreliable result and cannot reflect the impacts of emission and meteorology on air pollutants
 accurately because more than 50% variability of the training model cannot be appropriately
 explained. After the model evaluation, only the species with the cross-validation R² values larger
 than 0.50 were selected to assess the respective contributions of emission and meteorology to their
 concentrations.

140 2.3 Source apportionment

141 Positive matrix factorization (PMF 5.0) model version was used to perform the PM2.5 source 142 apportionment. The deweathered gaseous pollutants and chemical compositions in $PM_{2.5}$ were 143 incorporated input into the model. The objective of PMF is to resolve the issues of chemical mass 144 balance between measured concentration of each species and its source contributions by 145 decomposing the input matrix into factor contribution and factor profile. The detailed equation is 146 shown in Eq. (1)-(2). Briefly, the basic principle of PMF is to calculate the least object function Q 147 when the gik must be a positive-definite matrix based on Eq. (2) (Chen et al., 2014; Sharma et al., 148 2016).

149
$$x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij} \quad (1)$$

150
$$Q = \sum_{i=1}^{n} \sum_{j=1}^{m} \left[\frac{x_{ij} - \sum_{k=1}^{j} g_{ik} f_{kj}}{u_{ij}} \right]^2 \quad (2)$$

where x_{ij} and e_{ij} represent the concentration and uncertainty of jth species, respectively. g_{ik} represents the contribution ratio of kth source to ith sample, f_{kj} represents the ratio of jth species in kth source, and e_{ij} indicates the residual of jth species in the i sample. The uncertainties associated with factor profiles were evaluated using three error calculation methods including bootstraps (BS) method, displacement (DISP) analysis, and the combination method of DISP and BS (BS-DISP). 带格式的: 上标

156	For the BS method, 100 runs were performed and the result has been believed to be valid since all
157	of the factors showed a mapping of above 90%. DISP analysis also confirmed that the solution was
158	considered to be stable because the observed drop in the Q value was less than 0.1% and no factor
159	swap occurred. For the BS-DISP analysis, the solution has been verified to be useful because the
160	observed drop in the Q value was less than 0.5%. Furthermore, both of the results from BS and BS-
161	DISP did not suggest any asymmetry or rotational ambiguity for all of the factors (Manousakas et
162	al., Brown et al., 2015).

163 3. Results and discussion

164 3.1 The concentration changes of gaseous pollutants and PM_{2.5} chemical compositions

165 Figure 2, Figure 3, Figure 4, and Figure 5 show the temporal variations of gaseous pollutants 166 and chemical compositions in PM2.5 from January 1-March 31, which could be divided into two 167 periods including before and after COVID-19 outbreak. In this study, January 23 was regarded as 168 the breakpoint because China's government imposed a lockdown in Wuhan and surrounding cities. 169 Before COVID-19 outbreak, the average observed concentrations of SO₂, NO₂, CO, 8-h O₃, and 170 NH₃ during January 1-22 were 34 µg/m³, 64 µg/m³, 2.0 mg/m³, 19 µg/m³, and 14 ppb, respectively. 171 After COVID-19 lockdown, the mean concentrations of these gaseous pollutants changed to 25 172 µg/m³, 39 µg/m³, 1.6 mg/m³, 49 µg/m³, and 18 ppb, respectively. Overall, CO, SO₂, and NO₂, and 173 CO concentrations decreased by 18%, 27%, and 39%, respectively (p < 0.05). However, the NH₃ 174 and O₃ concentration increased by 35% (p < 0.05) and 160% (p < 0.01). 175 As shown in Figure 2, the chemical compositions in PM2.5 also showed dramatic changes during

176 January 1-March 31 due to the impact of COVID-19 lockdown. The observed SO_4^{2-} , $PM_{2.5}$, Na^+ ,

177 and Cl⁻ concentrations decreased by 6% (p > 0.05), 13% (p > 0.05), 29% (p < 0.05), and 48% (p < 0.05)

178	0.01), respectively, while observed NO ₃ ⁻ (2%) and NH ₄ ⁺ (7%) levels showed slight increases ($p >$
179	0.05). In Shanghai, Chen et al. (2020) revealed that $\mathrm{SO_4^{2-}}$, and $\mathrm{NH_4^+}$ concentrations displayed
180	significant decreases after COVID-19 outbreak due to the obvious decreases of precursor
181	concentrations (e.g., SO ₂ , NO _x). However, both of observed NO ₃ - and NH ₄ + concentrations in
182	Tangshan even showed slight increases though the NO2 concentration suffered from remarkable
183	decrease. It was assumed that the adverse meteorological conditions might be beneficial to the
184	pollutant accumulation (Zheng et al., 2019; Zhang et al., 2019b). Besides, the concentrations of nine
185	trace elements were also determined. The observed values of Fe (25%), Ca (39%), Pb (41%), Cr
186	(41%), and Zn (48%) suffered from dramatic decreases ($p < 0.05$), while the K (0%), Ni (1%), and
187	Hg (8%) concentrations still displayed slight increases ($p > 0.05$). As a whole, the temporal
188	variability of these elements in Tangshan before and after COVID-19 lockdown was in agreement
189	with the result in Beijing (He et al., 2017). However, the K concentration in Beijing showed rapid
190	decrease after COVID-19 outbreak, which was not in coincident with our study (He et al., 2017). It
191	suggested that the slight increase of K in Tangshan might be linked with the unfavorable
192	meteorological conditions (He et al., 2017). The observed concentrations of OC (-19%) and EC (-
193	39%) also suffered from rapid decreases after COVID-19 lockdown (Figure 4) ($p < 0.05$), which
194	was in good agreement with the sea-salt ions (e.g., Na ⁺ , Cl ⁻) and most trace elements (e.g., Zn, Pb).
195	3.2 The impact of emission reduction on air quality
196	Although the observed concentrations of air pollutants can be applied to analyze the impact of

197 COVID-19 lockdown, the role of emission reduction on air quality might be not clearly revealed 198 because the meteorological factors were also important variables influencing the air pollutant 199 concentrations. In order to accurately reflect the response of air quality to emission reduction during

200	COVID-19 lockdown period, the meteorological conditions were isolated by machine-learning
201	model. In our study, we developed a random forest model to remove the impacts of meteorological
202	conditions on air pollutants. Based on the result in Figure S1, Figure S2, Figure S3, and Figure S4,
203	the RF models for all of the species showed the better performance because all of the R ² values were
204	higher than 0.50 and the slope of all of the fitting curve were also close to the R ² values. Thus, we
205	believed that the developed model was robust to remove the impact of meteorological conditions.
206	The deweathered concentrations of gaseous pollutants and chemical compositions in $PM_{2.5}$ are
207	depicted in Figure 2, Figure 3, Figure 4, and Figure 5. Compared with the period before COVID-
208	<u>19, the deweathered NH₃, SO₂, CO</u> , and NO ₂ concentrations decreased by 27%, <u>31%</u> , 32%, and <u>42%</u>
209	after COVID-19 lockdown period outbreak, respectively ($p < 0.05$), while the deweathered 8-h O ₃
210	concentration increased by 80% ($p < 0.01$). Meanwhile, the normalized-meteorology NH ₄ ⁺ , NO ₃ ⁻ ,
211	$\mathrm{SO_{4^{2-}},Cl^{\text{-}},PM_{2.5},andNa^{\text{+}}}$ and concentrations decreased by 14%, 27%, 35%, 35%, 38%, and 47%,
212	respectively. For trace elements, deweathered Cu, K, Ni, Ca, Pb, Fe, Cr, and Zn levels reduced by
213	15%, 23%, 27%, 54%, 59%, 61%, 67%, and 69%, respectively ($p < 0.05$). Nevertheless, the
214	deweathered Hg concentration still kept stable increase by the rate of 6% compared with the period
215	before COVID-19 outbreak ($p > 0.05$).
216	The deweathered concentrations for most of the pollutants showed significant decreases after
217	COVID-19 outbreak compared with the period before COVID-19 (Figure 2, Figure 3, Figure 4,
218	Figure 5). It was assumed that many cities proposed the lockdown measures, which significantly
219	minimized industrial, transportation, and commercial activities. Among all of the pollutants, the
220	deweathered Zn, Cr, Fe, Pb, and Ca experienced more than 50% decrease rates due to the lockdown
221	measures. It was well known that Zn, Cr, and Fe originated mainly from metallurgical industry (Sun

222	et al., 2018; Zhu et al., 2018), while Pb might be derived from coal-fired power plants (Cui et al.,
223	2019; Meng et al., 2020). During the COVID-19 outbreak, most of the industries have been shut
224	down and energy production by coal-fired power plants was reduced by one third (Chang et al.,
225	2020). Based on the adjustment factor estimated by Doumbia et al. (2020), the contributions of
226	industrial activity and power sector have decreased by 40% after COVID-19 outbreak, which was
227	close to the decrease ratios of Zn, Cr, Fe, and Pb concentrations. Thus, these element concentrations
228	suffered from dramatic decreases. It should be noted that the deweathered Ca concentration also
229	decreased by more than 50%. It was well documented that the Ca was often associated with the dust
230	resuspension (Chang et al., 2018). In fact, the Ca was known as one of the most abundant elements
231	in the upper continental crust, which likely originated from the fugitive dust (Chang et al., 2018;
232	Shen et al., 2016). More than 70% reduction of vehicle transportation and domestic flights facilitated
233	the rapid decrease of Ca concentration (Chang et al., 2020). Although the observed K concentration
234	did not show marked decrease after the COVID-19 lockdown, the deweathered K level suffered
235	from rapid decrease (-22%) ($p < 0.05$). It was widely acknowledged that K was considered to be a
236	key fingerprint of biomass burning (Zheng et al., 2020a), and thus the result suggested that the open
237	biomass burning was also restricted during the period. Both of the deweathered concentrations of
238	OC (-22%) and EC (-45%) also experienced remarkable decreases. In our study, both of OC and EC
239	concentrations showed significant correlation with K level (p < 0.05), indicating that the restriction
240	of biomass burning also led to the decreases of OC and EC. Besides, PM _{2.5} and some water-soluble
241	ions including deweathered SO42- and NO3- concentrations experienced marked decreases after
242	COVID-19 lockdown, which was in good agreement with their gaseous precursors. It might be
243	attributable to the rapid decreases of precursor emissions. Zheng et al. (2020b) verified that the SO2

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244	emission in the industrial sector and NO _g emission in the transportation sector in Hebei province		带格
245	have decreased by 19% and 13%, respectively. The deweathered Na ⁺ concentration showed the rapid		
246	decrease after COVID-19 lockdown, which suggested that the $\mathrm{Na}^{\scriptscriptstyle+}$ in the $\mathrm{PM}_{2.5}$ of Tangshan was		
247	probably derived from waste incineration rather than sea-salt aerosol (Deshmukh et al., 2016).		
248	Although most of pollutant concentrations suffered from remarkable decreases, the decrease		
249	ratios of deweathered NH_3 and $\mathrm{NH}_4{}^+$ concentrations after COVID-19 outbreak were far lower than		
250	those of many other gaseous pollutants and water-soluble ions. It was attributable to the fact that		
251	ambient NH ₂ was mainly sourced from the fertilizer application and livestock, which did not show		带格
252	significant decrease during the COVID-19 period (Kang et al., 2016; Zheng et al., 2020b; Doumbia		
253	et al., 2020). Although the transportation volume suffered from dramatic decrease, the contribution		
254	of transportation to NH ₂ was generally less than 5% (Kang et al., 2016). Furthermore, the		带格
255	contributions of urban waste sources slightly increased after COVID-19 outbreak, offsetting the		
256	effect of traffic outage (Zhang et al., 2020b). Besides, it should be noted that the normalized-		
257	meteorology 8-h O ₃ and Hg concentrations still remained the stable increase. Liu et al. (2020) have		
258	confirmed that uncoordinated decreases of NO_x and VOCs emissions (decrease ratio: $NO_x > VOCs$)		带格 带格
259	dominated the 8-h O2 increase in urban areas because most of urban areas belonged to VOC-limited		带格
260	region. Besides, the excessive decrease of PM _{2.5} from primary emission significantly increased the		带格
261	HO ₂ radical concentration on the surface of aerosol, thereby promoting the O ₃ formation (Shi and	\leq	带格 带格
262	Brasseur, 2020). It was supposed that the The minor increase of deweathered Hg level was		
263	attributable to that the coal combustion for domestic heating was not restricted during the COVID-		
264	19 lockdown period (Zhou et al., 2018). Based on the updated global anthropogenic emission		
265	adjustment factor during COVID-19, the contribution of residential sector to air pollutants did not		

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266 decrease after COVID-19 lockdown (Doumbia et al., 2020).

3.3 The role of meteorology and potential chemical reactions on air quality

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268 Compared with the observed values, the deweathered concentrations of most pollutants were significantly reduced. Meanwhile, the deweathered decrease ratios of pollutants were significantly 269 270 higher than those of observed values (Figure 6). The result suggested the meteorology conditions 271 during the COVID-19 lockdown period were not favorable to the pollutant dispersion, as evidenced 272 by some recent studies (Chang et al., 2020; Huang et al., 2020). In our study, six meteorological 273 parameters including WS, WD, T, RH, Prec, and P have been integrated into the random forest model to assess the response of each species to different meteorological variables. The variable 274 275 importance of each meteorological to all of the species are shown in Figure 7, Figure 8, Figure 9, 276 and Figure 10.

277 Among all of the gaseous pollutants, the meteorological conditions played the significantly 278 positive roles on NH₃ (62%) and 8-h O₃ concentrations (80%) (Figure 6). As shown in Figure 7, T 279 was the most important factor for the rapid elevation of NH3 concentration after COVID-19 280 lockdown. It was assumed that the higher T enhanced the emissions of NH3 from soil and urban 281 wastes and promoted the volatilization of NH₃ from aerosol NH₄⁺ pools (Zhang et al., 2020). In our 282 study, the hourly mean air temperature have increased from 0°C before COVID-19 outbreak to 5°C 283 after COVID-19 lockdown, which strongly supported the inference. For 8-h O3 concentration 284 (Figure 7), T was also treated as the most important variable. On the one hand, the higher T generally 285 enhanced biogenic isoprene emissions, which was the most abundant biogenic VOC and showed the highest ozone formation potential (Liu and Wang, 2020). On the other hand, high T often 286 287 increased chemical reaction rates and accelerated the O₃ formation (Shi et al., 2020). Besides, WS

288	also played an important role on the 8-h O_3 concentration. Shi et al. (2020) have demonstrated that
289	weaker winds often slowed down the advection and convection of $\ensuremath{\mathrm{NO}}_x$ and $\ensuremath{\mathrm{VOCs}},$ which was
290	beneficial to O ₃ formation.

Besides, the contributions of meteorological conditions to some secondary ions (e.g., SO42-291 292 (29%), NO₃⁻ (29%), and NH₄⁺ (21%)) were remarkably higher than those to other ions and some 293 trace elements, suggesting that the chemical reactions and formation pathways of these species were 294 more sensitive to meteorological variations. Deshmukh et al. (2016) confirmed that the high RH 295 promoted the aqueous-phase oxidation of SO2 and the production of sulfate. Tian et al. (2019) also 296 demonstrated that RH-dependent heterogeneous reactions significantly contributed to the sulfate 297 generation and the high RH enhanced gas- to aqueous-phase dissolution of NH3 and HNO3. These 298 pioneering experiments suggested that secondary aerosols were often formed under the condition of 299 high RH. Very recently, Chang et al. (2020) observed that the nitrate concentration in YRD 300 experienced unusual increase during COVID-19 period, while Xu et al. (2020) obtained the opposite 301 result in Lanzhou. It was assumed that the persistent increase of T and decrease of RH in Lanzhou 302 during this period was not beneficial to the generation of secondary aerosol, while the high RH in 303 YRD significantly elevated local nitrate level. Although air temperature in Tangshan suffered from 304 increase after COVID-19 lockdown, RH displayed rapid increase from 47% to 57% during this 305 period. Moreover, the increased O3 could promote the secondary aerosol formation and partially 306 offset the decreased PM2.5 compositions triggered by the primary emission reduction (Liu et al., 307 2020). Similar to secondary ions, both of OC and EC were also sensitive to RH. It was supposed 308 that high RH could increase the secondary organic aerosol (SOA) levels, which accounted for the 309 major fraction of OC (Zheng et al., 2020).

310	In addition, some trace elements such as Fe, Ni, and Cr were also significantly affected by the
311	meteorological conditions. As shown in Figure 9, these element concentrations were mainly
312	sensitive to WD. It was assumed that the neighboring industrial points including cement plants and
313	coal-fired power plants could influence the concentrations of trace elements via long/short-range
314	transport, which was strongly dependent on WD. Following WD, RH was also an important factor
315	for the variation of these trace elements. Under the condition of high RH, Fe and Cr could catalyze
316	the heterogeneous generation of sulfate and nitrate on the mineral/soot surface (Hu et al., 2015).
317	Unlike the trace elements, water-soluble ions and OC were less sensitive to WD. Major water-
318	soluble ions in $PM_{2.5}$ including $\mathrm{SO_4^{2-}},\ \mathrm{NO_3^{-}},\ \text{and}\ \mathrm{NH_4^{+}}$ were mainly derived from secondary
319	formation rather than the direct emission (Feng et al., 2020a; Zhang et al., 2020a), and thus they
320	were not very sensitive to WD.
321	3.4 The enhanced secondary aerosol formation during COVID-19 lockdown period
321 322	3.4 The enhanced secondary aerosol formation during COVID-19 lockdown period The deweathered chemical compositions suggested that the sulfate and nitrate chemistry
322	The deweathered chemical compositions suggested that the sulfate and nitrate chemistry
322 323	The deweathered chemical compositions suggested that the sulfate and nitrate chemistry changed slightly after COVID-19 outbreak. The oxidation ratio of sulfate (SOR, the ratio of sulfate
322 323 324	The deweathered chemical compositions suggested that the sulfate and nitrate chemistry changed slightly after COVID-19 outbreak. The oxidation ratio of sulfate (SOR, the ratio of sulfate concentration and the sum of sulfate and SO ₂ concentrations) decreased from 0.26 to 0.22, while
322 323 324 325	The deweathered chemical compositions suggested that the sulfate and nitrate chemistry changed slightly after COVID-19 outbreak. The oxidation ratio of sulfate (SOR, the ratio of sulfate concentration and the sum of sulfate and SO ₂ concentrations) decreased from 0.26 to 0.22, while the oxidation ratio of nitrate (NOR, the ratio of nitrate concentration and the sum of nitrate and NO ₂
322 323 324 325 326	The deweathered chemical compositions suggested that the sulfate and nitrate chemistry changed slightly after COVID-19 outbreak. The oxidation ratio of sulfate (SOR, the ratio of sulfate concentration and the sum of sulfate and SO ₂ concentrations) decreased from 0.26 to 0.22, while the oxidation ratio of nitrate (NOR, the ratio of nitrate concentration and the sum of nitrate and NO ₂ concentrations) increased from 0.22 to 0.25 (Table 1). The decreased SOR after COVID-19 outbreak
 322 323 324 325 326 327 	The deweathered chemical compositions suggested that the sulfate and nitrate chemistry changed slightly after COVID-19 outbreak. The oxidation ratio of sulfate (SOR, the ratio of sulfate concentration and the sum of sulfate and SO ₂ concentrations) decreased from 0.26 to 0.22, while the oxidation ratio of nitrate (NOR, the ratio of nitrate concentration and the sum of nitrate and NO ₂ concentrations) increased from 0.22 to 0.25 (Table 1). The decreased SOR after COVID-19 outbreak indicated that the decrease rate of sulfate is higher than that of SO ₂ . In contrast, the increased NOR
 322 323 324 325 326 327 328 	The deweathered chemical compositions suggested that the sulfate and nitrate chemistry changed slightly after COVID-19 outbreak. The oxidation ratio of sulfate (SOR, the ratio of sulfate concentration and the sum of sulfate and SO ₂ concentrations) decreased from 0.26 to 0.22, while the oxidation ratio of nitrate (NOR, the ratio of nitrate concentration and the sum of nitrate and NO ₂ concentrations) increased from 0.22 to 0.25 (Table 1). The decreased SOR after COVID-19 outbreak indicated that the decrease rate of sulfate is higher than that of SO ₂ . In contrast, the increased NOR during COVID-19 lockdown period revealed that the decrease rate of nitrate is lower than that of

332	concentration during this period promoted the ammonium nitrate formation though the lower NO_{x}
333	emission (Zhang et al., 2020b), which also partially explained the abnormal increases of observed
334	concentrations of secondary ions after COVID-19 outbreak. In general, NH ₃ firstly tends to react
335	with H_2SO_4 to form ammonium sulfate, and then the excess NH_3 participated in the reaction with
336	HNO ₃ (Chen et al., 2019; Zhang et al., 2019a). However, sulfate concentration suffered from more
337	dramatic decrease compared with SO ₂ , which might be associated with the aerosol acidity during
338	COVID-19 lockdown period. The ratio of $\rm NH_4^+$ and the sum of SO4^2-, NO3^-, and Cl named C/A was
339	regarded as an indicator to reflect the aerosol acidity. In our study, the C/A value decreased from
340	0.33 to 0.28 after COVID-19 outbreak, implicating that the aerosol acidity even showed slight
341	increase during the COVID-19 lockdown period. It was well known that the higher aerosol acidity
342	might prohibit the conversion from SO ₂ to sulfate (Liu et al., 2020; Shao et al., 2019), which yielded
343	the lower SOR.

344 3.5 The impact of COVID-19 lockdown on source apportionment

The emission control measures inevitably triggered the variation of source apportionment (Liu et al., 2017; Meng et al., 2020). In the present study, Positive matrix factorization (PMF 5.0) was employed to identify the major sources of PM_{2.5} in Tangshan before and after COVID-19 outbreak. About 3-9 factor solutions were examined, and a five-factor solution obtained the lowest Q (robust) and Q (true) values. Additionally, the PMF analysis and error diagnostics also suggested the result was robust (Table S2, Table S3, and Table S4).

351	The source apportionment profiles in pre-COVID and post-COVID resolved by PMF are
352	depicted in Figure 11. For pre-COVID, the first factor contributed 36% to the total species. The
353	factor was characterized with high levels of NH_4^+ (41%), SO_4^{2-} (35%), and NO_3^- (33%). SO_4^{2-} and

354	$\mathrm{NO}_3^{\scriptscriptstyle -}$ were generally produced by oxidation of SO_2 and NO_x , respectively. The NH_4^+ was often
355	formed through the heterogeneous reaction of NH3 and sulfate or HNO3. Thus, the factor was
356	regarded as the secondary formation (SF). The second factor was characterized with high loadings
357	of Zn (47%), Cr (42%), Fe (42%), and Pb (31%). Cr and Fe were mainly originated from fuel
358	combustion and metallurgical industry such as chrome plating and steel production(Liu et al.,
359	2018a), while Pb and Zn was derived from the roasting, sintering and smelting process for the
360	extraction of Pb/Zn ores (Wu et al., 2012). Therefore, the factor 2 was treated as the industrial
361	process (IP) source. The predominant species in factor 3 included Na ⁺ (42%), K (40%), OC (35%),
362	and EC (33%). K was often regarded as the fingerprint of biomass burning (BB) (Chen et al., 2017;
363	Zheng et al., 2019b), whereas the Na^+ was generally regarded as the tracer of waste incineration
364	(Alam et al., 2019; Durlak et al., 1997). Hence, the factor 3 was treated as the BB source. Tangshan
365	suffered from remarkable increasing usage of biomass fuels for domestic heating in winter, which
366	promoted the emissions of K and Na ⁺ (Chen et al., 2017). The most abundant species in factor 4
367	were Hg (75%), Pb (68%), K (36%), Cu (35%), Cl ⁻ (33%), and SO ₄ ²⁻ (27%). Pb, Hg, and Cu were
368	typical marker elements for coal combustion, and around 56% of Pb and 47% of Hg were released
369	from coal combustion (Cheng et al., 2015; Zhu et al., 2020). In northern China, the coal-based
370	domestic heating was one of the most important sector of coal consumption (Liu et al., 2018b). Dai
371	et al. (2019) also verified that the residential coal combustion was major source of primary sulfate.
372	Thus, the factor 4 was regarded as the coal combustion (CC) source. The last factor was
373	distinguished by high loadings of Fe (46%), Ni (45%), and Ca (38%). Fe and Ca were main elements
374	enriched in upper crust, and Ni was enriched in the brake wear and tyre wear dusts (Dehghani et al.,
375	2017; Urrutia-Goyes et al., 2018). Thus, these elements in this factor were mainly sourced from

376 traffic-related road dust (RD).

After COVID-19 outbreak, the chemical compositions in PM2.5 were also classified into five 377 sources including SF, IP, BB, CC, and RD. However, the contribution ratios of these sources varied 378 379 greatly after the implementation of serious lockdown measures. The contribution ratio of IP 380 experienced the largest decrease from 27% to 20%, whereas the apportionment of SF showed the 381 marked increase from 36% to 44%. The contributions of other three sources only suffered from 382 slight variations. The rapid decrease of IP contribution might be associated with the shutdown of 383 many industries during COVID-19 period (Zheng et al., 2020), while the obvious increase of SF 384 contribution was attributable to more heterogeneous or aqueous reactions of precursors (Chang et al., 2020). For nearly all of the species, the contribution ratios of IP suffered from remarkable 385 386 decreases after COVID-19 outbreak. The contribution ratios of SF for SO42-, NO3-, and NH4+ increased from 35%, 33%, and 41% to 48%, 44%, and 52% after COVID-19 outbreak, respectively. 387 388 However, the contribution ratios of SF for other species remained relatively stable. It was assumed that SO42-, NO3-, and NH4+ were mainly produced from secondary formation of precursors (Jiang et 389 390 al., 2019; Yao et al., 2020), while other species especially the trace elements were mainly derived 391 from the primary emission (Wu et al., 2020b). Although the COVID-19 pandemic led to the 392 shutdown of many coal-fired power plants and industries and decreased the CC emissions from 393 these sectors (Kraemer et al., 2020), the government-enforced home order might increase the 394 electricity consumption (Venter et al., 2020), which offset the decreases of CC contributions to industrial activities. Therefore, the contribution ratios of CC did not experience dramatic variation 395 396 after COVID-19 outbreak.

397 4. Conclusions and implications

398	The lockdown measures led to the shutdown of many industries, in turn resulting in the
399	significant decreases of primary components in PM _{2.5} . We employed RF model to determine the
400	respective contributions of meteorology and emission reduction on the variations of gaseous
401	pollutants and PM _{2.5} chemical compositions during COVID-19 lockdown period. The deweathered
402	levels of some trace elements (e.g., Pb (-59%), Zn (-69%)) derived from industrial emissions
403	experienced more than 50% decrease rates due to the stringent lockdown measures. However, the
404	higher relative humidity (RH) and lower air temperature (T) significantly prohibited the decreases
405	of water-soluble ion concentrations because they were beneficial to the heterogeneous or aqueous
406	reaction of sulfate and nitrate. Trace elements were very sensitive to wind direction (WD) due to
407	the long-range transport of anthropogenic emissions. Besides, the contributions of secondary
408	formation to $\text{PM}_{2.5}$ increased from 36% to 44% after COVID-19 outbreak. The finding also
409	explained that the opposite change trends of the secondary aerosols in East and West China found
410	by previous studies was not only attributable to the large difference in meteorological conditions,
411	but also the discrepancy of NH ₃ concentration.
412	In the future work, it is necessary to seek multi-pollutants (e.g., VOC, NO_x) emission control
413	measures to reduce the concentrations of primary and secondary components simultaneously since
414	adverse meteorological conditions coupled with slightly higher oxidation capability especially in
415	winter still caused the haze formation. Our results also highlight that more NH3 emission control
416	measures are urgently needed because the excess NH_3 could exacerbate the generation of secondary
417	aerosols. Besides, the generation of primary pollutants was very sensitive to RH and WD. Thus, the
418	primary pollutant emissions from the industries in the upwind direction should be strictly restricted.

In addition, the present study still suffered from some uncertainties. At first, only six

419

- 420 meteorological factors were incorporated into the RF model to quantify the contributions of
- 421 emission and meteorology of air pollutants. Especially, the missing of solar radiation could affect
- 422 the accuracy of 8-h O3 estimation. Besides, solar radiation could change the concentrations of
- 423 hydroxyl radicals, thereby affecting the NO3⁻ formation. In the future work, the solar radiation
- 424 should be integrated into the model. In addition, some temporal indicators such as hour and DOY
- 425 were applied to reflect the COVID-19 lockdown intensity because hourly emission inventory during
- 426 this period was not available, which should be integrated into the RF model after the development
- 427 of real-time emission inventory
- 428 Acknowledgements
- 429 This work was supported by National Natural Science Foundation of China (Nos. 91744205,
- 430 21777025, 21577022, 21177026), and Chinese Postdoctoral Science Foundation (2020M680589).
- 431 Author contributions
- 432 Hongbo Fu designed the study. Rui Li wrote the manuscript. Yilong Zhao analyzed the data.
- 433 Competing interests
- 434 The authors declare that they have no conflict of interest.
- 435 Data availability
- 436 The meteorological data are available in http://data.cma.cn/.

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Figure 1 The topographic map of China indicating the location of Tangshan (a), sampling site (b), and some key industrial points (b). The population density of Tangshan is also depicted in (b). The red circle in Fig. (b) represents the industrial points, and the pink pentagram denotes the sampling site.

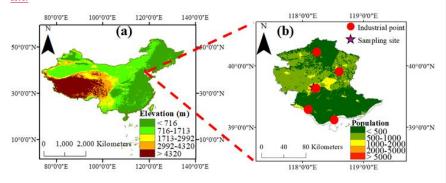


Figure 2 Observed and deweathered weekly concentrations and changes of gaseous pollutants during January 1st-March 31th. <u>The black solid line and dotted line represent the decrease ratio of observed concentration and simulated concentration from Pre-COVID to Post-COVID, respectively.</u> The white background denotes the changes of gaseous pollutants before COVID-19, while the faint yellow one represents the chemical components after COVID-19 outbreak.

8-h O3

Obs

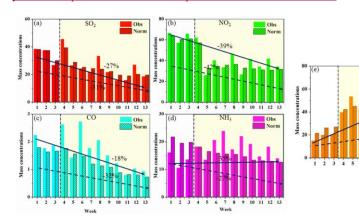


Figure 3 Observed and deweathered weekly concentrations and changes of PM_{2.5} and water-soluble ions during January 1st-March 31th. <u>The black solid line and dotted line represent the decrease ratio</u> of observed concentration and simulated concentration from Pre-COVID to Post-COVID, respectively. The white background denotes the changes of gaseous pollutants before COVID-19,

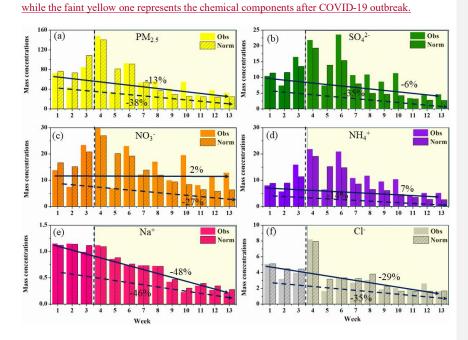


Figure 4 Observed and deweathered weekly concentrations and changes of trace elements during January 1st-March 31th. <u>The black solid line and dotted line represent the decrease ratio of observed</u> concentration and simulated concentration from from Pre-COVID to Post-COVID, respectively. The white background denotes the changes of gaseous pollutants before COVID-19, while the faint yellow one represents the chemical components after COVID-19 outbreak.

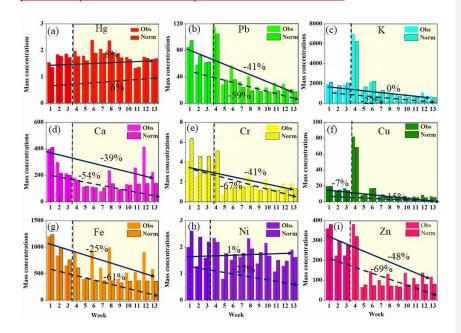


Figure 5 Observed and deweathered weekly concentrations and changes of organic carbon (OC) and elemental carbon (EC) during January 1st-March 31th. <u>The black solid line and dotted line</u> represent the decrease ratio of observed concentration and simulated concentration from from Pre-<u>COVID to Post-COVID</u>, respectively. The white background denotes the changes of gaseous pollutants before COVID-19, while the faint yellow one represents the chemical components after

COVID-19 outbreak.

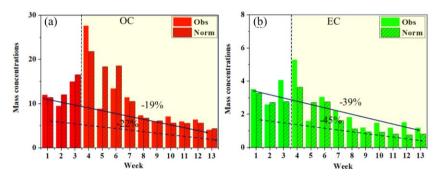


Figure 6 The changes of observed concentrations of multiple components between pre-lockdown (week 1-3) and post-lockdown (week 4-13) against the changes derived from the emission and meteorological changes. The gaseous pollutants, water-soluble ions and carbonaceous aerosols, and trace metals are shown in (a), (b), (c), respectively.

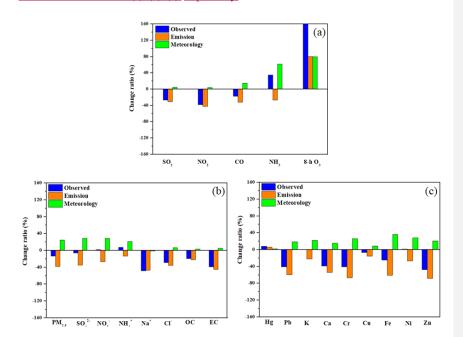


Figure 7 Relative importance of the predictors for the prediction of gaseous pollutants. <u>The match</u> in the figure denotes the variable importance in RF models for various species. DOY, WD, P, RH, Hour, T, DOW, WS, Prec, and Year represent day of year, wind direction, air pressure, relative humidity, hour of the day, air temperature, day of week, wind speed, precipitation, and study year.

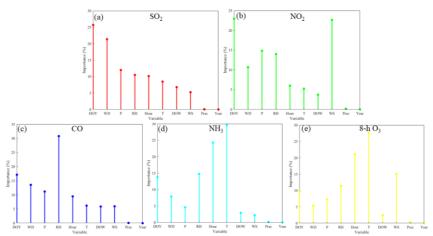


Figure 8 Relative importance of the predictors for the prediction of water-soluble ions in PM_{2.5}. The match in the figure denotes the variable importance in RF models for various species. DOY, WD, P, RH, Hour, T, DOW, WS, Prec, and Year represent day of year, wind direction, air pressure, relative humidity, hour of the day, air temperature, day of week, wind speed, precipitation, and study year.

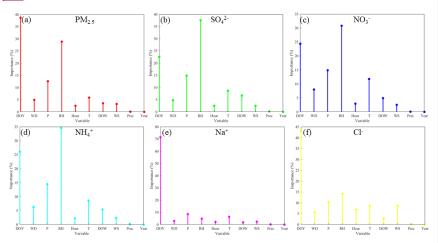


Figure 9 Relative importance of the predictors for the prediction of trace elements in PM_{2.5}. The match in the figure denotes the variable importance in RF models for various species. DOY, WD, P, RH, Hour, T, DOW, WS, Prec, and Year represent day of year, wind direction, air pressure, relative humidity, hour of the day, air temperature, day of week, wind speed, precipitation, and study year.

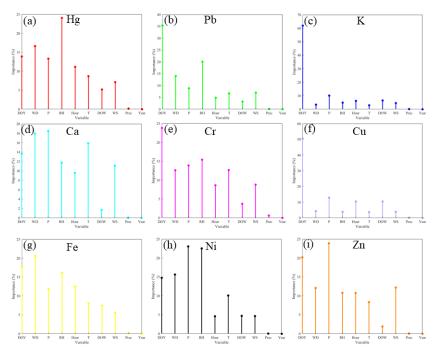
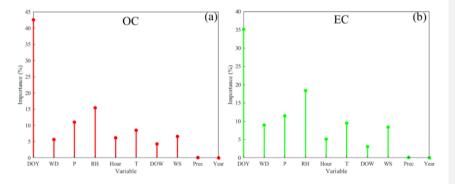
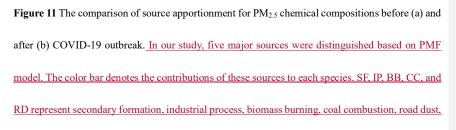


Figure 10 Relative importance of the predictors for the prediction of OC and EC in PM_{2.5}. The match in the figure denotes the variable importance in RF models for various species. DOY, WD, P, RH, Hour, T, DOW, WS, Prec, and Year represent day of year, wind direction, air pressure, relative humidity, hour of the day, air temperature, day of week, wind speed, precipitation, and study year.





respectively.

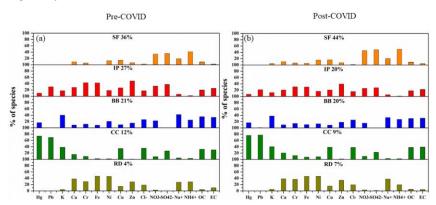


Table 1 SOR, NOR, and C/A values in Pre-COVID and Post-COVID (SOR = SO₄²⁻/(SO₄²⁻+SO₂),

NOR=NO3 ⁻ /(NO3 ⁻ +NO2), C/A=	$=NH_4^+/(SO_4^2 + NO_3^- + Cl^-)).$
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	SOR	NOR	C/A
Pre-COVID	0.26	0.22	0.33
Post-COVID	0.22	0.25	0.28