



# Modeling the Impact of COVID-19 on Air Quality in Southern California: Implications for Future Control Policies

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Abstract. In response to the Coronavirus Disease 2019 (COVID-19), California issued statewide stay-at-home orders,

bringing about abrupt and dramatic reductions in air pollutant emissions. This crisis offers us an unprecedented opportunity to evaluate the effectiveness of emission reductions on air quality. Here we use the Weather Research and Forecasting model

- 20 with Chemistry (WRF-Chem) in combination with surface observations to study the impact of the COVID-19 lockdown measures on air quality in southern California. Based on activity level statistics and satellite observations, we estimate the sectoral emission changes during the lockdown. Due to the reduced emissions, the population-weighted concentrations of fine particulate matter (PM<sub>2.5</sub>) decrease by 15% in southern California. The emission reductions contribute 68% of the PM<sub>2.5</sub> concentration decrease before and after the lockdown, while meteorology variations contribute the remaining 32%. Among
- 25 all chemical compositions, the PM<sub>2.5</sub> concentration decrease due to emission reductions is dominated by nitrate and primary components. For O<sub>3</sub> concentrations, the emission reductions cause a decrease in rural areas but an increase in urban areas; the increase can be offset by a 70% emission reduction in anthropogenic volatile organic compounds (VOC). These findings suggest that a strengthened control on primary PM<sub>2.5</sub> emissions and a well-balanced control on nitrogen oxides and VOC emissions are needed to effectively and sustainably alleviate PM<sub>2.5</sub> and O<sub>3</sub> pollution in southern California.





## 30 1 Introduction

Anthropogenic emissions from various emission sources, including transportation, industrial, agricultural, residential, and commercial sectors, contribute to California's long-existing air pollution problems (e.g., Shirmohammadi et al., 2016; Hong et al., 2015; Warneke et al., 2013). The major pollutants include, but are not limited to, fine particulate matter (PM<sub>2.5</sub>), nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>) and ozone (O<sub>3</sub>). Exposure to these pollutants has been correlated with an increased rate of morbidity and mortality (Wang et al., 2019). Mitigating the adverse effects of air pollution by reducing air

- 35 increased rate of morbidity and mortality (Wang et al., 2019). Mitigating the adverse effects of air pollution by reducing air pollutant emissions from major sectors has been and will continue to be a major public policy challenge. However, the effect of emission reductions from various sources on air quality improvement is subject to substantial uncertainties, because such effect cannot be directly measured and because the atmospheric chemistry processes are highly nonlinear and complicated (Zhao et al., 2019b; Zhao et al., 2015; Chen et al., 2013). The recent Coronavirus Disease 2019 (COVID-19) pandemic
- 40 provides an unprecedented opportunity for a more robust understanding of the environmental impacts brought by the emission reductions.

More than 200 countries and territories around the world have reported a total of about 53 million confirmed cases of the coronavirus COVID-19 that originated from Wuhan, China, and a death toll of more than 1300K (World Health Organization, 2020). California is one of the most affected states in the United States (U.S.) partly because its poor air

- 45 quality makes Californians more susceptible to infectious diseases such as COVID-19 (Bashir et al., 2020; Chiara Copat, 2020). In response to the emergence of COVID-19, statewide stay-at-home orders and related actions (e.g., closure of nonessential businesses) took effect on March 19, 2020 in California. These orders are expected to reduce vehicle traffic and industrial activities, thereby changing the air pollutant emissions and air quality in the state. It is essential to obtain a high-spatiotemporal-resolution estimation of air pollution for better understanding of the atmospheric impacts caused by changes
- 50 in anthropogenic activity associated with the COVID-19 pandemic. A number of studies emerged soon after the start of the COVID-19 pandemic and the subsequent lockdown to assess the impact of the pandemic on air quality over various regions around the world. For example, Archer et al. (2020) compared the observed concentrations at all available ground monitoring sites in U.S. between April of 2020 and the prior five years (2015–2019) and found statistically significant decreases in NO<sub>2</sub> concentrations at more than 65% of the monitoring sites,
- with an average drop of 2 ppb. Pan et al. (2020) compared the surface air quality monitoring data in California during the period 20 March–5 May in 2020 with those in 2015–2019 and found that the PM<sub>2.5</sub> in 2020 exhibited a notable decrease which could result from emission reductions associated with the COVID-19 lockdown. Similar findings, i.e., reduced PM<sub>2.5</sub> and NO<sub>2</sub> concentrations are also reported for China (e.g., Chu et al., 2020; Le et al., 2020; Liu et al., 2020; Marlier et al., 2020; Shi and Brasseur, 2020; Miyazaki et al., 2020b), India (e.g., Pathakoti et al., 2020; Sharma et al., 2020), and Europe
- 60 (e.g., Chen et al., 2020; Menut et al., 2020; Sicard et al., 2020; Ordóñez et al., 2020) based on surface and/or satellite observations. For O<sub>3</sub>, the concentrations either increased or slightly decreased during the pandemic, depending on regions (Bekbulat et al., 2020; Huang et al., 2020; Pan et al., 2020; Zhao et al., 2020). Most of the above studies, however, are





limited to comparing observations with and without lockdown measures, which correspond to different time periods under different meteorological conditions.

- 65 Meteorology plays significant roles in air pollution formation, transport, deposition and transformation (Wang et al., 2020a), which is a very important factor that affects concentrations of  $O_3$  and  $PM_{2.5}$  (Stewart et al., 2017). The changes in air quality due to meteorological variations may obscure the effects of emission changes during the COVID-19 lockdown. Using the Community Multi-scale Air Quality model, Wang et al. (2020a) showed that the benefits of emission reductions were overwhelmed by adverse meteorology over the North China Plain and severe air pollution events were thus not avoided.
- 70 Goldberg et al. (2020) reported that meteorological patterns were especially favorable for low NO<sub>2</sub> in much of the United States in spring 2020, complicating comparisons with spring 2019; the meteorological variations between years can cause ~15% difference in monthly mean column NO<sub>2</sub>. In view of this, modelling approach is necessary to accurately assess the impact of lockdown measures by excluding the possible effects of meteorological conditions and to examine the possible mechanisms responsible for the changes in the air pollutant concentrations. In addition, while previous studies have
- 75 evaluated the air quality changes in different regions due to the emission reductions associated with the COVID-19 lockdown, it remains unclear how the COVID-19 induced emission reductions and the concurrent meteorological variations influence air quality in California.

The objective of this study is to investigate the air quality impact of the emission reductions in southern California in association with COVID-19 by employing high-resolution atmospheric modelling in combination with surface observations.

- 80 Based on the statistics of activity levels together with constraints from satellite observations, we estimate the sectoral emission changes during the COVID-19 lockdown. We then conduct model simulations using the Weather Research and Forecasting model with Chemistry (WRF-Chem) for the periods before and during the COVID-19 lockdown to investigate the effects of reduced emissions and meteorology on air pollution, respectively. Understanding how air quality responds to the emission reductions during COVID-19 pandemic will provide important insight into the future development and
- 85 optimization of effective air pollution control strategies in southern California.

## 2 Method and Data

#### 2.1 Model configuration and emission estimation

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considers highly nonlinear and complex meteorological and atmospheric chemistry processes. The simulation period is February 18 to April 23, 2020, which includes about one month before and after the California governor issues the stay-athome (lockdown) order on March 19 (Pan et al., 2020). We apply the model to two nested domains: Domain 1 covers the western United States and its surrounding areas at a 12 km×12 km horizontal resolution; Domain 2 covers California with a 4 km×4 km resolution (Fig. S1). We focus our analysis on southern California (the red rectangle in Fig. S1), the largest metropolitan area in California which is significantly affected by the lockdown measures. We employ an extended Carbon

We simulate the impact of COVID-19 lockdown measures on air quality using the WRF-Chem version 3.9.1, which





- 95 Bond 2005 (CB05) (Yarwood et al., 2005) with chlorine chemistry (Sarwar et al., 2008) coupled with the Modal for Aerosol Dynamics in Europe/Volatility Basis Set (MADE/VBS) (Wang et al., 2015a; Ahmadov et al., 2012). MADE/VBS uses a modal aerosol size representation and an advanced secondary organic aerosol (SOA) module based on the VBS approach. The aqueous-phase chemistry is based on the AQChem module used in the Community Multiscale Air Quality (CMAQ) model (Wang et al., 2015a). The chemical initial and boundary conditions were extracted from the output of the Whole
- 100 Atmosphere Community Climate Model (WACCM) (Marsh et al., 2013). A 6-day spin-up period is used to minimize the influence of initial conditions on simulation results. The vertical resolution, meteorological initial and boundary conditions, and physical options are the same as our previous modeling studies based on WRF-Chem for California (Zhao et al., 2019a; Wang et al., 2020b; Shi et al., 2019).
- We obtain anthropogenic emissions in California without the influence of COVID-19 lockdown measures from the California Air Resources Board (CARB) for 2012 that is the latest year in which the data are available (California Air Resources Board, 2018). We scale the 2012 emissions to the 2020 levels by employing the relative changes for 2012–2018 in California from the "NEI trend report" (US Environmental Protection Agency, 2018a) and assuming that the trends continued during 2018–2020. The anthropogenic emissions outside California are derived from the National Emission Inventory (NEI) (US Environmental Protection Agency, 2018b) in 2011 and are scaled to 2020 following the same method.
- 110 The biogenic, wind-blown dust, sea-salt, and wildfire emissions are calculated online in WRF-Chem, as detailed in our previous studies (Zhao et al., 2019a; Wang et al., 2020b; Shi et al., 2019). In our baseline simulation ("Base" scenario in Table S1), we use the above emission inventories. To evaluate the effect of the COVID-19 response actions, we conduct another simulation ("Lockdown" scenario in Table S1) in which the CARB anthropogenic emission inventory after March 19 is adjusted to account for the emission changes due to the COVID-19
- 115 lockdown. Because of the lack of detailed emission data which often take years to update, we rely on a number of key activity indicators to estimate the sector-specific relative changes in anthropogenic emissions (as summarized in Table S2), which are subsequently evaluated against satellite-derived emission estimate. For the transportation sector, we use weekly production of gasoline, diesel, and jet fuel in California obtained from the "Weekly Fuels Watch Reports" of the California Energy Commission (California Energy Comission, 2020b) to estimate the emission changes from gasoline vehicles, diesel
- 120 vehicles, and aircraft. The changes in emissions from the industrial, residential, and commercial sectors are assumed to be proportional to the changes in electricity consumption by the corresponding sector, as summarized in the "Energy Insights Reports" of the California Energy Commission (California Energy Comission, 2020a). The changes in emissions from power plants are estimated as a function of the total electricity demand in California (California Energy Comission, 2020a). Having estimated the emission changes using the preceding bottom-up method, we compare the changes in nitrogen oxides (NO<sub>x</sub>)
- emissions with a top-down satellite-based emission inventory—an extended calculation of the Tropospheric Chemistry Reanalysis version 2 (TCR-2) (Miyazaki et al., 2020a). This data product has been obtained from the assimilation of multiple satellite measurements of ozone, CO, NO<sub>2</sub>, HNO<sub>3</sub>, and SO<sub>2</sub> from the OMI (Ozone Monitoring Instrument), TROPOMI (TROPOspheric Monitoring Instrument), MLS (Microwave Limb Sounder), and MOPITT (Measurement Of Pollution In





The Troposphere) satellite instruments. The reanalysis calculation for the COVID-19 time period was conducted at 0.56°
horizontal resolution using a global chemical transport model MIROC-CHASER (Watanabe et al., 2011) and an ensemble Kalman filter technique that optimizes chemical concentrations of various species and emissions of NO<sub>x</sub>, SO<sub>2</sub>, and CO. The extended reanalysis data for 2020 have already been used by Miyazaki et al. (2020c) to study air quality response to the Chinese COVID-19 lockdown measures. Here we use the NO<sub>x</sub> emission product which has a sufficiently high quality on the spatiotemporal scales of interest for this study. Using this product, we first calculate NO<sub>x</sub> emissions in a hypothetical scenario without considering the COVID-19 effect, based on emission trends in prior years (2017–2019), and subsequently quantify the emission changes due to the COVID-19 using the difference between the hypothetical and real-world emissions (see details in Fig. S2). The estimated NO<sub>x</sub> reduction ratios induced by the COVID-19 lockdown measures averaged during March 19 to April 23 in southern California are 28.3% and 27.2% based on the bottom-up and top-down methods, respectively, indicating a generally good agreement between these two methods. That said, we acknowledge that, since more 140 detailed data to support a more accurate estimation are not yet available, the estimates of the sector-specific relative changes

in emissions inevitably involve some degree of uncertainty, which can be improved in the future work.

## 2.2 Observational data and model evaluation

We use a series of meteorology and air quality observations to evaluate the model performance and help analyze the influence of the COVID-19 lockdown. For meteorology, we use observational data obtained from the National Climatic Data

- 145 Center (NCDC), where hourly or 3-hour observations of wind speed at 10 m (WS10), temperature at 2 m (T2), and water vapor mixing ratio at 2 m (Q2) are available for 82 sites distributed southern California (the red rectangle in Fig. S1). We compare the WRF-Chem meteorological simulations with these measurements and apply a number of statistical indices defined in Emery et al. (2001) to quantitatively evaluate the model performance, as summarized in Table S3. In general, the model simulations agree fairly well with surface meteorological observations. The performance statistics for WS10, T2 and Q2 are all within the benchmark ranges proposed by Emery et al. (2001).
- For air quality, we achieve hourly observations of  $PM_{2.5}$ ,  $O_3$ ,  $NO_2$  and  $SO_2$  from CARB (California Air Resources Board, 2020) and use them to evaluate the air quality simulations of WRF-Chem (see the Results and Discussion section). The observational data are available at 42 sites for  $PM_{2.5}$ , 63 sites for  $O_3$ , 48 sites for  $NO_2$ , and 12 sites for  $SO_2$ , in southern California (the red rectangle in Fig. S1) during the simulation period. We do not evaluate the model performance in
- 155 simulating the chemical composition of  $PM_{2.5}$  because the composition data from major observational networks had not been available by the time we completed the present study. Nevertheless, our previous studies using almost the same model configurations showed a fairly good agreement with  $PM_{2.5}$  composition observations during January, April, July, and October, 2012 (Zhao et al., 2019a; Wang et al., 2020b).





## **3 Results and Discussion**

## 160 **3.1 Evaluation of the simulated results with surface observations**

In this study, we simulated the major air pollutants using WRF-Chem under two scenarios, Base and Lockdown (Table S1). To evaluate the model performance with regard to the temporal variations in air pollutants, we compared the simulated concentrations of PM<sub>2.5</sub>, maximum daily 8-h average (MDA8) O<sub>3</sub>, NO<sub>2</sub> and SO<sub>2</sub> with observational data from CARB in southern California.

- Before the COVID-19 lockdown (February 18 to March 18), results from model simulation under the Base scenario (Pre<sub>Base</sub>) capture the magnitude and temporal evolution of the four key air pollutants reasonably well, with normalize mean biases (NMBs) of 11.7%, 4.5%, -14.4% and 7.8% for PM<sub>2.5</sub>, MDA8 O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, respectively (Fig. 1). During the COVID-19 lockdown period (March 19 to April 23), compared to the simulations for the Base scenario (Post<sub>Base</sub>) which overestimates the surface concentrations with NMBs of 28.1%, 1.6%, 21.4% and 39.2% for PM<sub>2.5</sub>, MDA8 O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, respectively, the
- 170 simulated results using the adjusted emission inventory (Post<sub>Lockdown</sub>) not only agree better with surface observations for all the four air pollutants (with NMBs of 10.6%, 1.0%, -12.6% and -13.1% for PM<sub>2.5</sub>, MDA8 O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, respectively), but also show generally closer NMBs to those during the pre-lockdown period (Fig. 1). The improvement in model performance is observed for both urban and rural areas. In the urban areas, the NMB for PM<sub>2.5</sub> drops from 25.8% under the Base scenario to 3.9% under the Lockdown scenario, getting closer to the NMB of 4.0% during the pre-lockdown period. The
- 175 corresponding NMB in rural areas drops from 29.7% to 15.1%, also getting closer to 17.8% during the pre-lockdown period (Figs. 1e,g). Regarding MDA8 O<sub>3</sub>, although the differences between the Base and Lockdown scenarios are quite small (Fig. 1b), the NMB is slightly improved from -1.5% (Post<sub>Base</sub>) to -0.2% (Post<sub>Lockdown</sub>) in urban areas and from 3.2% to 1.5% in rural areas (Figs. 1f,h).

Subsequently, we evaluated the spatial distributions of simulated PM2.5 and MDA8 O3 concentrations using observational

- 180 data averaged during the pre-lockdown and lockdown periods in southern California (Fig. S3). The Base scenario can simulate the spatial patterns of PM<sub>2.5</sub> and MDA8 O<sub>3</sub> reasonably well (Figs. S3a-b and d-e), but it overestimates the observations of PM<sub>2.5</sub> concentrations during the lockdown period (Post<sub>Base</sub>, Fig. S3b). The simulated distributions of PM<sub>2.5</sub> concentrations under the Lockdown scenario (Post<sub>Lockdown</sub>) match the observations better than those for the Base scenario (Post<sub>Base</sub>) (Figs. S3b-c); the hot spots occurring over the Los Angeles County become less polluted and more consistent with
- 185 the surface observations after considering the emission reductions associated with the COVID-19 lockdown (Figs. S3b-c).

## 3.2 Effects of anthropogenic emission reductions and meteorology conditions on air pollutants

Both observations and simulations in Fig. 1 show significant changes in air pollutant concentrations during the COVID-19 lockdown relative to the pre-lockdown period, resulting from a combination of emission reductions and meteorology variations. Our model simulations allow us to quantify the relative contributions of these two factors. Figures 2a-d illustrate

190 population-weighted concentrations of simulated PM<sub>2.5</sub> components, MDA8 O<sub>3</sub>, NO<sub>2</sub> and SO<sub>2</sub> in southern California under



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the Base and Lockdown scenarios. The concentration differences between the two scenarios during the lockdown period (Post<sub>Lockdown</sub>–Post<sub>Base</sub>) represent the effect of anthropogenic emission reductions. The differences between the lockdown and pre-lockdown periods under the Base scenario (Post<sub>Base</sub> and Pre<sub>Base</sub>) can be regarded as meteorology related variations. Figures 2e-h and S4 further show the spatial distribution of the concentration changes caused by anthropogenic emission reductions and meteorology variations.

- The simulated population-weighted NO<sub>2</sub> concentrations during the lockdown decrease by 4.3 ppb (from 10.7 to 6.4 ppb) relative to the pre-lockdown period, of which the anthropogenic emission reductions and meteorology conditions contribute 2.4 ppb (56%) and 1.9 ppb (44%), respectively (Fig. 2c). The decrease in NO<sub>2</sub> concentrations as a result of the anthropogenic emission reductions (27%) is similar to the reduction ratio in NO<sub>x</sub> emission (28%), indicating that the NO<sub>x</sub> emission
- 200 reductions can be almost fully transferred to ambient concentrations. According to our emission estimation, over 80% of the NO<sub>x</sub> reductions is attributed to the substantially lowered traffic intensity due to the stay-at-home order. The population-weighted concentrations of SO<sub>2</sub> also show a decreasing trend (Fig. 2d). Compared with NO<sub>2</sub>, the decrease in SO<sub>2</sub> concentrations due to emission reductions is smaller (17%), partly because power generators and heavy industry (the main sources of SO<sub>2</sub>) are less affected by the COVID-19 lockdown (see Table S2).
- 205 Coinciding with the decrease in NO<sub>2</sub> and SO<sub>2</sub>, the simulated population-weighted PM<sub>2.5</sub> concentrations decrease by 1.8  $\mu$ g/m<sup>3</sup> from 8.7  $\mu$ g/m<sup>3</sup> during the pre-lockdown period (Pre<sub>Base</sub>) to 6.9  $\mu$ g/m<sup>3</sup> during the lockdown period (Post<sub>Lockdown</sub>). The emission reductions contribute 1.2  $\mu$ g/m<sup>3</sup> (67%) of the above decrease, which translates into a 15% reduction in population-weighted PM<sub>2.5</sub> concentrations from the levels without the lockdown (i.e., Post<sub>Base</sub>) (Fig. 2a). The decrease occurs almost everywhere across the domain (Fig. 2e), consistent with the results in the last section that PM<sub>2.5</sub> concentrations are lowered
- 210 in both urban and rural areas as a result of the emission reductions (Figs. 1e,g). The concentration decrease is higher in urban areas than in rural areas (Figs.2e and 1e,g), with the most significant decline occurring in urban areas of the Los Angeles County (Fig. 2e). In contrast, the meteorology variations can increase the PM<sub>2.5</sub> concentrations in some regions (mainly the inland regions) and decrease them in others (mainly the coastal regions) (Fig. 2f). The net effect is to reduce the population-weighted concentration by 0.6 µg/m<sup>3</sup> since the concentration decrease happens to occur in more densely populated regions
- 215 (Fig. 2a).

The concentrations of  $PM_{2.5}$  are affected by emissions of multiple pollutants through both primary emissions and chemical reactions. To further explore the reasons behind the  $PM_{2.5}$  concentration changes, we examine the changes in individual chemical components, as shown in Fig. 2a and Fig. S4. Following the emission changes (from  $Post_{Base}$  to  $Post_{Lockdown}$ ), all major  $PM_{2.5}$  components experience a concentration decrease almost throughout the domain (Fig. S4), since the emissions of

essentially all pollutants are reduced to some extent due to the lockdown measures (Table S2). The population-weighted concentrations of nitrate decrease the most (0.42  $\mu$ g/m<sup>3</sup>), followed by "Others" (0.32  $\mu$ g/m<sup>3</sup>, including all other components besides the key components listed here), organic matter (OM, 0.16  $\mu$ g/m<sup>3</sup>), ammonium (0.15  $\mu$ g/m<sup>3</sup>), black carbon (BC, 0.10  $\mu$ g/m<sup>3</sup>), and sulfate (from 0.07  $\mu$ g/m<sup>3</sup>) (Fig. 2a). The largest decrease in nitrate is tied to the substantial reduction in NO<sub>x</sub> emissions, which is further explained by a larger reduction ratio in transportation emissions (by 30–70%) compared with





other emission sources (Table S2). In addition, the decreases in "Others", EC, and primary OM (a fraction of the total OM) are attributable to the reductions in primary  $PM_{2.5}$  emissions. The overall decrease in these primary chemical components even exceeds that of nitrate; this clearly indicates an important role of primary  $PM_{2.5}$  components in improving  $PM_{2.5}$  air quality during the lockdown period, although the primary  $PM_{2.5}$  emissions have only been reduced by 15%.

The simulated population-weighted O<sub>3</sub> concentrations increase noticeably from 38 ppb in the pre-lockdown period (Pre<sub>Base</sub>) to 42 ppb (Post<sub>Lockdown</sub>) during the lockdown, and the effects of meteorological changes (i.e. Post<sub>Base</sub>–Pre<sub>Base</sub>) play a dominant role in the variation of O<sub>3</sub>. The O<sub>3</sub> level is strongly affected by ambient conditions like temperature and solar radiation (Wang et al., 2015b). As the temperature gets warmer and the radiation gets stronger over time, the O<sub>3</sub> concentrations are elevated in most areas during the COVID-19 lockdown, compared to the pre-lockdown period (Fig. 2h). The emission reductions cause an O<sub>3</sub> decrease in rural areas but a slight increase in the urban areas (Fig. 2g and Figs. 1f,h), which is

- consistent with previous findings (Zhao et al., 2019a; Wang et al., 2020b; Martien et al., 2003; Qin et al., 2004). In urban areas where NO<sub>x</sub> emissions are high, a volatile organic compounds (VOC)-limited regime is seen, while in rural areas, a NO<sub>x</sub>-limited regime is observed (Martien et al., 2003; Qin et al., 2004). It follows that the decrease in NO<sub>x</sub> emissions leads to opposite changes in O<sub>3</sub> concentrations in urban and rural areas. The increase and decrease in different areas largely offset each other, resulting in a negligible change in population-weighted O<sub>3</sub> concentrations (0.07 ppb) (Fig. 2b) and a slight
- 240 decrease in area-averaged O<sub>3</sub> concentrations over the modelling domain (0.77 ppb) (Fig. 2g). Last but not least, the small sensitivity of O<sub>3</sub> to emission reductions is also partly explained by the fact that 75% of the ambient O<sub>3</sub> concentration is background O<sub>3</sub> (Zhao et al., 2019a; Wang et al., 2020b).

#### 3.3 Effects of anthropogenic NO<sub>x</sub> and VOC emission reductions on ozone concentration

- Our modelling results showed an increase in O<sub>3</sub> in urban areas due to the emission reductions in association with the lockdown during the COVID-19 pandemic. The O<sub>3</sub> concentrations are most significantly affected by emissions of NO<sub>x</sub> and VOC (Stewart et al., 2017). To further explore the drivers of the O<sub>3</sub> changes and potential approaches to effectively reduce O<sub>3</sub> concentrations, we conduct three sensitivity experiments involving NOx and VOC emission perturbations, as summarized in Table S1. Figure 3 illustrates population-weighted concentrations of simulated PM<sub>2.5</sub> components and MDA8 O<sub>3</sub> after the COVID-19 lockdown under these sensitivity scenarios and the spatial distribution of the differences in MDA8 O<sub>3</sub> between
- 250 the sensitivity scenarios and the Base scenario. The first sensitivity experiment is the VOC1.0 scenario which is the same as "Lockdown" except that the VOC emissions are kept at the level of the "Base" scenario (Table S1). It is used to evaluate the relative contribution of VOC and NO<sub>x</sub> reductions to COVID-19 induced O<sub>3</sub> concentration changes. Without the control of VOC emissions in VOC1.0 (Fig. 3c), the increase in urban O<sub>3</sub> concentration relative to the Base scenario becomes larger than the Lockdown scenario (Fig. 2g). This confirms our analysis in the last section that the NO<sub>x</sub> emission control elevates
- urban  $O_3$  concentrations under the VOC-limited regime and meanwhile indicates that the VOC control is conducive to  $O_3$  decrease. To assess the potential effects of strengthened NO<sub>x</sub> and VOC control measures, we conduct two other sensitivity





experiments named NO<sub>x</sub>0.3 and VOC0.3, which are the same as "Lockdown" except that the anthropogenic NO<sub>x</sub> (for the NO<sub>x</sub>0.3 scenario) and VOC (for the VOC0.3 scenario) emissions are further reduced to 30% of those in the "Base" scenario. Figs. 3a,b show that strengthened  $NO_x$  control further reduces the population-weighted concentrations of PM<sub>2.5</sub>, while further 260 reduction of anthropogenic VOC helps to decrease the concentration of MDA8 O<sub>3</sub>. Differences in O<sub>3</sub> concentration clearly illustrate different spatial distribution patterns for urban and suburb areas (Figs. 3d, e). For the suburbs with high O<sub>3</sub> values, reducing anthropogenic  $NO_x$  and VOC is conducive to the decline of  $O_3$ . For urban areas, however, strengthened control with anthropogenic NO<sub>x</sub> reduced by 70% (NO<sub>x</sub>0.3) results in even more O<sub>3</sub> increase in the central urban area (Fig. 3d). Amplified ozone pollution has also been reported by Sicard et al. (2020) based on their observational studies in four 265 Southern European cities and Wuhan, China associated with NO<sub>x</sub> reductions in response to COVID-19. To control O<sub>3</sub> concentrations in urban areas, VOC control may be an effective method. We find that a 70% reduction in anthropogenic VOC (VOC0.3 scenario) can offset all the increases in  $O_3$  caused by  $NO_x$  reduction during the lockdown (Fig. 3e). Furthermore, Wang et al. (2019) found that 75% of the average  $O_3$  concentration in California was due to distant emissions outside the western United States. Many other studies also revealed that the background  $O_3$  dominates over the contribution 270 from local emissions in the western U.S. (Huang et al., 2015; Oltmans et al., 2008; Fiore et al., 2014; Emery et al., 2012; Zhang et al., 2011). Therefore, cooperating with other regions and countries in emission reductions may be another way to

#### 4 Conclusion and policy implications

control O<sub>3</sub> in urban areas of the southern California.

In this study, we investigated the air quality impact of the emission reductions in southern California in association with 275 COVID-19 by employing WRF-Chem to conduct high-resolution atmospheric modeling during February 18 to April 23, 2020.

Based on the statistics of activity levels, we first adjusted the emission inventory considering the emission reductions during the COVID-19 lockdown. The adjusted emission inventory is shown to be consistent with the emission inventory based on satellite observations. The simulated magnitude and temporal evolution of the concentrations of the key air pollutants,

- 280 including PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and MDA8 O<sub>3</sub> using the adjusted emission inventory agree better with surface observations than simulation results without considering the COVID-19 induced emission reductions. Due to the reduced emissions, the population-weighted concentrations of NO<sub>2</sub> and PM<sub>2.5</sub> decreased by 27% and 15%, respectively, in southern California in the five weeks after the stay-at-home orders. Emission reductions and meteorological variations contributed about two-thirds and one-third, respectively, to the total decrease in population-weighted PM<sub>2.5</sub> concentrations before and after the lockdown.
- For  $O_3$  concentration, however, the COVID-19 related emission reductions caused a decrease in suburb areas but a slight increase in the urban areas. In order to further explore the effects of anthropogenic  $NO_x$  and VOC emission reductions on  $O_3$ concentration, we conducted sensitivity experiments by strengthening VOC and  $NO_x$  controls. Our results showed that strengthened control with  $NO_x$  reduced by 70% ( $NO_x0.3$ ) results in even more  $O_3$  increase in the central urban area and



290



anthropogenic VOC control may be an effective method to reduce  $O_3$  concentrations in urban areas. A 70% reduction in anthropogenic VOC can effectively offset all the increases in  $O_3$  caused by NO<sub>x</sub> reduction during the lockdown.

- Using the COVID-19 as an unprecedented experiment with substantial emission reductions from multiple sectors, especially transportation, this study helps to elucidate the complex and nonlinear response of chemical compositions to air pollution control measures and thus provides important insight into the development and optimization of effective air pollution control strategies in southern California. We find that the reduced NO<sub>x</sub> emission (~28%) has been almost fully transferred to the reduction in ambient concentration of NO<sub>2</sub> (~27%). This further translates into a remarkable reduction in nitrate, which makes the largest contribution to PM<sub>2.5</sub> concentration decrease among all individual chemical components. Therefore, to alleviate the PM<sub>2.5</sub> pollution, measures focusing on sectors such as transportation, which is among the main sources of NO<sub>x</sub> emission, could be effective. Moreover, we find that a moderate 15% reduction of primary PM<sub>2.5</sub> emissions has resulted in a
- substantial reduction in ambient  $PM_{2.5}$  concentrations, with the total concentration decreases in all primary  $PM_{2.5}$ 300 components exceeding that of nitrate. Therefore, a strengthened control on primary  $PM_{2.5}$  emissions could be an effective strategy to sustainably mitigate  $PM_{2.5}$  pollution. For O<sub>3</sub>, reduction of NO<sub>x</sub> can effectively reduce the high O<sub>3</sub> concentrations in suburban areas, but may cause an increase of urban concentrations. A 70% VOC emission reduction is found to fully offset the urban O<sub>3</sub> increase caused by the lockdown. Therefore, the reduction in NO<sub>x</sub> emissions needs to be accompanied by a well-balanced reduction in VOC emissions to avoid the side effect on urban O<sub>3</sub> pollution.

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#### **Data Availability Statement**

The data from the California Air Resources Board (CARB) monitoring stations used in the present study can be obtained from <u>https://www.arb.ca.gov/aqmis2/aqdselect.php</u>. The meteorology observational data obtained from the National Climatic Data Center (NCDC) can be freely downloaded from <u>ftp://ftp.ncdc.noaa.gov/pub/data/noaa/.</u> Other data needed to support the findings of this study are in the manuscript and the Supplementary Information.

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Figure 1: Time series of observed and simulated concentrations of major pollutants. (a-d) Time series of (a) PM<sub>2.5</sub>, (b) MDA8 O<sub>3</sub>,

(c) NO2, and (d) SO2 averaged across all observational stations from CARB over southern California. (e-f) Time series of (e) PM2.5
 and (f) MDA8 O3 across all stations over the urban areas of southern California. (g-h) The same as (e-f) but for the rural areas. Black lines are surface observations from the CARB network. Blue, green, and red lines are simulated air pollutant concentrations during the pre-lockdown period (February 18 to March 18) under the Base scenario (PreBase), during the lockdown period (March 19 to April 23) under the Base scenario (PostBase), and during the lockdown period under the Lockdown scenario (PostLockdown). The definitions of the Base and Lockdown scenarios are summarized in Table S1. Normalized mean bias (NMB) is given by =

470  $\sum_{i=1}^{N} (Var_m - Var_o) / \sum_{i=1}^{N} Var_o$ , where N is the number of sites, Var<sub>m</sub> and Var<sub>o</sub> are modeled and observed concentrations, respectively.







475 Figure 2: Effects of emission reductions and meteorology conditions on air pollutants. (a-d) Population-weighted concentrations of simulated air pollutant concentrations in southern California: (a) PM<sub>2.5</sub> components; (b) MDA8 O<sub>3</sub>; (c) NO<sub>2</sub>; (d) SO<sub>2</sub>. Pre<sub>Base</sub>, Post<sub>Base</sub>, and Post<sub>Lockdown</sub> have the same meanings as in Fig. 1. (e-h) Spatial distributions of the effects of (e, g) emission reductions and (f, h) meteorology variations on (e, f) PM<sub>2.5</sub> and (g, h) MDA8 O<sub>3</sub> concentrations.







480 Figure 3: Simulated PM<sub>2.5</sub> and O<sub>3</sub> concentrations under three sensitivity scenarios during the lockdown period (March 19 to April 23). (a-b) Population-weighted concentrations of (a) PM<sub>2.5</sub> components and (b) MDA8 O<sub>3</sub> under three sensitivity scenarios (VOC1.0, NOx0.3 and VOC0.3) and the Lockdown scenario. (c-e) Spatial distribution of the differences in MDA8 O<sub>3</sub> between the three sensitivity scenarios and the Base scenario: (c) VOC1.0 minus Base; (d) NOx0.3 minus Base; (e) VOC0.3 minus Base. The

definitions of all scenarios are summarized in Table S1.