Dr. Yugo Kanaya Editor Atmospheric Chemistry and Physics

April 12, 2021

Dear Dr. Kanaya,

Subject: Revision of manuscript #acp-2020-1193

Thank you for reviewing our manuscript. We appreciate their thoughtful comments and suggestions by the two reviewers. We have carefully reviewed the comments and revised the manuscript accordingly. Our responses are given in a point-by-point manner below. We have also attached a version of the manuscript and supplement with tracked changes and hope the revised manuscript is suitable for publication.

Please address all correspondence concerning this manuscript to Dr. Yuhang Wang (yuhang.wang@eas.gatech.edu). Thanks again for your time.

Sincerely,

Jianfeng Li Atmospheric Sciences and Global Change Division Pacific Northwest National Laboratory Richland, Washington, US, 99354

Response to Reviewer #1

Thank you for your careful and thorough reading of this manuscript and your thoughtful comments and suggestions. Our responses follow your comments (in *Italics*).

This manuscript reports the extensive comparison of the REAM chemical transport model (CTM) simulations with the NOx and NO_y observations acquired during the DISCOVER-AQ 2011 over the Baltimore and Washington-DC area. The observations include the data from surface monitors, PANDORA, P3 aircraft, ACAM, and satellites OMI and GOME-2. The model results with two spatial resolutions, 36 km and 4 km are compared in order to elucidate the impact of the resolutions on the model NOx and NOy simulations. Differences between the model and observations are discussed in details and causes for the discrepancies are suggested.

The manuscript reflects the extensive works dealing with almost all available data sets to evaluate NO₂ measurements and CTM results over the Baltimore and Washington-DC area for July 2011. I appreciate the efforts the authors made for this study. The manuscript will be more valuable if quality of presentation and interpretation of the results are enhanced.

Reply:

Thank you for your suggestions and comments. We have made several major revisions to the manuscript based on the suggestions by you and another reviewer.

- We have updated our WRF and REAM simulations using WSM6 (WRF Single-Moment 6class scheme) instead of WSM3, as listed in Table S2 (Line 34) in the revised supplemental table file. We also have downloaded the updated DISCOVER-AQ 2011 P-3B observations. All relevant results, including figures and tables, have been updated accordingly. The new results are almost the same as before except for some minor differences. The changes have no impact on our conclusions. The WSM3 results are now used as a sensitivity test (Lines 626 – 632) to confirm the reliability of our results and conclusions.
- 2) We have deleted the discussion on the reliability of 36-km NO_x emissions and total NO_x emission amount in the DISCOVER-AQ region but added an analysis of the 36-km NO_x emission distribution issue. So the current manuscript just focuses on the distribution issue but does not include any judgment on the total NO_x emission amount. Please see Lines 43 47, 125 126, 666, 718 730, 732 733, 753 789, 794, 797 806, 850 857, and 1385 1390 (Figure 15) in the revised main manuscript and Lines 281 288 (Figure S27) in the revised supplemental figure file.
- 3) We have added individual site comparisons in the supplemental figure file (Figures S19 S23, Lines 242 260) to demonstrate the NO_x emission distribution issue. Please see Lines 37, 40 43, 668 678, 814, 829 831, and 837 848 in the revised main manuscript.
- We have added some more detailed explanations for the Pandora issue in the late afternoon and early morning. Please see Lines 536 – 544 in the revised main manuscript and Figure S13 (Lines 185 – 190) in the revised supplemental figure file.
- 5) We have moved the evaluation of WRF meteorological fields to a new section 3.1 and added the evaluation of vertical profiles for several meteorological variables in the new Figure S6. Please see Lines 158 183 and 339 366 in the revised main manuscript and Figure S4 S8 (Lines 108 146) in the revised supplemental figure file.
- 6) We have used stricter and more consistent criteria to filter out invalid satellite NO₂ TVCDs (Lines 205 206 and 224 225). The GOME-2A morning high bias is gone, as shown in

Figure 10 in the revised main manuscript (Lines 1344 - 1353). Relevant changes in the text are in Lines 564 - 567, 818, and 823 - 826.

Detailed responses are as follows.

The main focus of the paper seems to be the comparison of the model simulations with the 36 km and 4 km resolution and advocate the use of 36 km in the end. I think the authors should focus more on the analysis of 4 km resolution results and causes for the similarities and discrepancies with various observations. The emissions at 36 km resolution are simply accumulations of the emissions at 4 km. It is not important to compare the emissions at the two resolutions and judge which one is better. The authors have the best spatial resolution of emission inventory data and the model simulations at the comparable scale (4 km). If the model overestimates the NOx, NOy observations at one height or vertically column integrated, that simply means the model emissions are overestimated. For the pollution hot spots in the domain, the model values are higher than the observations (judging from the ACAM data). This may be about the spatial location error in the NEI as the authors jumped to the conclusions, but it is more probable that the uncertainties in the emission factors (or activities) over populated urban or roads as represented as MOVES caused the problem. Section 3.7 should be deleted or rewritten. This section is confusing and misleading.

Reply:

Thank you for your suggestions and comments. As we stated in the original manuscript, the model results between 4 and 36 km resolutions are different in comparison to the observations. Modeling with a higher spatial resolution does not necessarily improve model simulations. In any research that finds superior modeling with a higher resolution, the change of resolution is usually a minor reason; the better representation of physical and dynamical processes at a higher resolution is usually more important in atmospheric models. Therefore, it is scientifically important to compare model simulations in two or more resolutions if possible, as we did in this study. We did not "advocate" the use of a lower resolution model. What we suggested is that the 4-km emission distribution of NO_x emissions causes model errors in our evaluation using DISCOVER-AQ measurements, and it needs to be improved. We believe that we are in agreement with the reviewer on the importance of improving high-resolution emission inventories for NO_x and other pollutants. For users of the emission inventories, errors in MOVES are part of the distribution errors in the NEI. Very few ACP readers understand the details of MOVES. To identify the issues and uncertainties in MOVES, one will have to write another paper. The reviewer appeared to misunderstand our intentions.

This paper is not meant to promote lower-resolution air quality modeling. We want to understand the reasons why the high-resolution model does not reproduce the observations. To illustrate the potential NO_x emission distribution issue between 36-km and 4-km resolutions, we took a step by step approach. We first discussed the reliability and possible limitations of the 4km REAM (sections 3.1 - 3.5) and then identified NO_x emissions and gradients as a major factor causing the discrepancies among the 36-km REAM, the 4-km REAM, and observations through comprehensive evaluations and diagnostics of NO_x related chemistry and physics (sections 3.6). Next, through individual site comparisons, we found that the performances of the 36- and 4-km REAM simulations depend upon the observation locations. A uniform underestimation or overestimation of NO_x emissions cannot explain all the model biases, and there may be some distribution biases for the NEI NO_x emissions. Finally, we verified the potential distribution biases of NEI NO_x emissions at both 36- and 4-km resolutions by comparing NO₂ VCD distributions from OMI, GOME-2A, and ACAM with those from 36- and 4-km REAM simulations. This structure aims to make the manuscript reasonable and understandable.

In the old manuscript, we only discussed the distribution bias of NEI2011 NO_x emission distributions at high resolution, but it doesn't mean that we advocated using 36 km. What we really wanted to say was that the total NO_x emissions might be reasonable in the DISCOVER-AQ 2011 region since we defined the DISCOVER-AQ 2011 region as the six selected 36-km grid cells. And we also emphasized that our conclusion on the total NO_x emissions was only valid in the DISCOVER-AQ 2011 region, considering the significant spatial heterogeneity of NO_x emissions. In the new manuscript, we have deleted our judgments and discussions on the total NO_x emissions due to the reviewer's strong objection and the relatively small size of the DISCOVER-AQ region. We have added some discussion on the NO_x emission distribution issue at 36-km resolution to make the paper more balanced, which we think is what the reviewer asked for. The distribution issue is the most critical point we want to emphasize in this study.

The 36-km NEI emissions are indeed the sum of the 4-km NEI emissions. But the results from the 4-km REAM can differ significantly from the 36-km run because of nonlinear processes such as oxidation chemistry. Without comparisons between 36-km and 4-km REAM simulations, we would not know whether an issue only exists in 4-km REAM or also in coarse resolutions. Moreover, through the comparison between 36- and 4-km REAM simulations, we can derive the effects of NO_x emissions and gradient on reproducing NO₂ and NO_y observations. It would be hard to do by only using the 4-km REAM simulation results, as NO_x gradient-associated transport is hard to exclude in one simulation.

If the observations are limited, a distribution issue may be thought to be a simpler problem of overestimating or underestimating the sum of NO_x emissions. It is a reason why we used as many observations as possible in the DISCOVER-AQ experiment. The intensive field measurements make it possible to analyze the issue more in depth. Please also note some previous studies only focus on polluted urban areas, while the areas of study are much broader in this work. We have used more comprehensive observations than previous studies to show the distribution bias through our diagnostics and analyses. Even in the high-emission pixels (NO_x emissions > domain mean) in ACAM, as shown in Figure R1b, overestimation and underestimation of NO₂ VCDs coexist. The new content we have added in the revised manuscript, such as individual site comparisons and distribution uncertainties at 36-km resolution, can further show the potential distribution bias of the NEI NO_x emissions.

We have had working experience with MOVES. The setup of MOVES is very complex and involved a lot of observations and variables. It is not just a story of emission factors or Vehicle Miles Traveled (VMT or activity). Vehicle population, ages, and types (e.g, passenger cars, passenger trucks, short-haul trucks, long-haul trucks, etc.), road types, speed distributions, etc., are all crucial variables estimating running-exhaust emissions. Not all counties provided these data to the NEI setup, where MOVES may use national defaults as inputs and, therefore, missing local characteristics. Even for those counties providing local data, it is almost impossible to make a reasonable estimate of speed distributions (and some other parameters) for different vehicle types. Not to mention large uncertainties in further allocations to 4-km grids by SMOKE (MOVES can only resolve county-scale emissions). Recent GPS-based data have shown that speed distribution in the MOVES database is not accurate and cannot represent local conditions (DenBleyker et al., 2017). We understand some tunnel and roadside experiments found the overestimation of NO_x emissions by MOVES for some vehicle types in some regions. But the

underestimation of NO_x emissions for some vehicle types by MOVES was also found in other areas (https://www.epa.gov/sites/production/files/2017-11/documents/light_duty_nox.pdf). It is noteworthy that MOVES default emission factors are also based on the observations. Instead of talking about the overestimation or underestimation of MOVES NO_x emission factors, we would like to think of the problem from the perspective of representativeness. Is current MOVES input data able to represent all local conditions over the US? Are the allocations of VMT, speed distribution, etc., accurate enough for different counties or high-resolution grids? Here we have to say that spatial distribution is a much more complex problem than the simplification of an overestimation or underestimation of total NO_x emissions. Accurate spatial distribution requires a reasonable estimate of NO_x emissions for each grid cell. Having said all these, we acknowledge the tremendous effort that went into the development of MOVES and similar programs and that they have been and continue being indispensable for air quality research.

Some readers may think negatively about the NEI NO_x inventory because of the issues we find. However, most scientists we trust understand the important contributions of the NEI NO_x emissions and our intention that identifying problems is the first step to improve our understanding and modeling capability.



Figure R1. (a – b) Distribution of relative differences $(\frac{ACAM}{REAM} - 1)$ of NO₂ VCDs between ACAM and the 4-km REAM. (a) shows the relative differences for all available pixels, while (b) only shows the relative differences for high-NO_x emission pixels. Here, we define high-NO_x emission pixels as those pixels with NO_x emissions larger than the domain average. (c – d) Distributions of scaled NO_x emissions. Here, we scale NO_x emissions by the domain average. Similar to (b), (d) only shows high-NO_x emission pixels.

Except for Figure 10, the model results and observations were not analyzed at the measurement sites. The plots are all averages for large domains and many sites. As the ACAM data demonstrate, there are heterogenous distributions of NO₂ at fine scales. This is important. The model results should be compared at each site of PANDORA and P3 spiral locations.

Reply:

Thank you for your suggestion. We have added individual site comparisons in the supplemental figure file (Figures S19 - S23, Lines 242 - 260) to demonstrate the NO_x emission distribution

issue. Relevant text changes can be found in Lines 37, 40 - 43, 668 - 678, 814, 829 - 831, and 837 - 848.

Figure 2 to 5 (and Figure 9) are about the surface monitor data and interpretation. There are many other interesting, important data sets from the DISCOVER-AQ campaign, which is discussed in short compared to the surface routine monitors.

Reply:

Figures 4 – 6 (the old Figures 2 – 4) are used for different purposes from Figures 7 and 12 (the old figures 5 and 9). Figure 4 is about the scaling of measure NO_2 data due to instrument biases (from the conversion of other reactive nitrogen to NO), which is necessary for model evaluation. Figures 5 and 6 are mainly about the underestimated nighttime vertical mixing, which needs to be corrected before we use the model to understand the diurnal variation of NO_x . Only Figures 7 and 12 show the comparisons between the 36- and 4-km REAM simulations, one for NO_2 , and the other for NO_y . The descriptions related to these two figures are shorter than those for vertical profiles and NO_2 TVCDs.

The interpretation of nighttime PBL height (or PBLH as in the model output name) may be right, or may be wrong. As authors mentioned in the manuscript, this may be due to overestimation of nighttime emissions, which can not be ruled out. People do not know much about nighttime PBL height and nighttime emissions. PBLH in the model output is not simply PBL height during nighttime. The nighttime PBL height from YSU scheme is sometimes recalculated based on many other nocturnal PBL height definition. Thus Figure 4 may need to be carefully revised or explain limitation of this analysis.

Reply:

Thank you for your suggestion. What we use from the YSU scheme is the vertical diffusion coefficient, not the diagnosed PBLH. So the mixed layer height in this paper is a true mixing layer in the REAM model. It is what ELF measured as well and can therefore be compared to the ELF measurement data. We do not use the "PBLH" data in the WRF output file, which seem to be what the reviewer meant. The vertical mixing is computed using Equation R1.

$$\frac{dc}{dt} = -K_{zz} \frac{d^2c}{dz^2}$$

(R1)

Now the question is how to determine mixing depth or mixing height based on k_{zz} , so that we can evaluate it with the ELF observations.

WRF-YSU k_{zz} has some background or default values associated with the depth of each level following Equation R2 (Hong et al., 2006).

$$K_{zz \, background} = 0.001 \times \Delta h$$

(R2)

Here, it is noteworthy that k_{zz} is on the edge of each level, so Δh is the depth (m) between the middle point of the below-layer and the central point of the above-layer. We investigated the WRF-simulated k_{zz} values carefully, and they indeed satisfy this equation. We determined the mixing depth by comparing k_{zz} with k_{zz} -background, which is the k_{zz} -determined PBLH in the manuscript. Figure R2 shows the diurnal cycles of WRF-YSU outputted "PBLH", k_{zz} -determined PBLH, and the ELF mixing depth at the UMBC site in July 2011. The YSU

outputted "PBLH" is generally consistent with k_{zz} -determined PBLH, confirming the reliability of our method to determine mixing depth by comparing k_{zz} and its corresponding background values. That's why we used mixing height as the y-axis of Figure 6 in the revised manuscript. Here, we want to emphasize that the background k_{zz} values are very small, and our method to determine mixing depth by using k_{zz} should give an upper bound of the mixing depth estimates. However, Figure R2 and Figure 6 in the revised main manuscript still show a significant underestimation of k_{zz} -determined PBLHs compared to the ELF observations. We have added some brief explanations about k_{zz} -determined PBLH in Lines 387 – 388 in the revised manuscript.

We agree with you that there may be an overestimation or underestimation for nighttime NO_x emissions, which we cannot determine quantitatively based on our available observations. Most NO_2/NO_y observations in our study are in the daytime, and nighttime surface NO_2/NO_y concentrations are sensitive to vertical mixing. Since we have confirmed the underestimation of vertical diffusion in the late afternoon and nighttime compared to the ELF observations and increasing nighttime k_{zz} improved REAM simulation results, it is unreasonable to attribute the original REAM model bias to the speculation of overestimation of nighttime NO_x emissions. In addition, we did not state that underestimated nighttime vertical mixing is the only reason and can solve the nighttime model bias completely in the manuscript.



Figure R2. ELF observed and model simulated diurnal variations of PBLH at the UMBC site during the Discover-AQ campaign. "ELF" denotes ELF derived PBLHs by using the covariance wavelet transform method. "YSU_PBLH" denotes the 36-km WRF-YSU outputted "PBLH", and " k_{zz} -derived" denotes k_{zz} -determined PBLH by comparing k_{zz} with its background values. Vertical bars denote standard deviations.

Figure 7 is too busy. It is difficult see the details. More expansion of analysis in Figure 7 or a summary in Table would be helpful. A plot comparing satellite NO₂ spatial distributions and more presentation and discussions on the GMI, TM4, REAM as a priori for the retrieval would be useful.

Reply:

Thank you for your suggestion. We have added Table S3 in the revised supplemental table file (Lines 36 - 40) to summarize NO₂ TVCDs at 9:30 and 13:00 LT for all datasets and simulations. We also have added the comparisons of NO₂ TVCD distributions among different retrievals in Figure 15 (Lines 1385 - 1390) in the revised main manuscript and Figure S27 (Lines 281 - 288)

in the revised supplemental figure file. We have added descriptions about the comparison of NO_2 TVCD distributions among different datasets in Lines 718 – 730 in the revised main manuscript.

Authors frequently use the figures in Supplementary Material. It is difficult to read the manuscript with many supplementary figures. Because there are important plots in the supplementary, I suggest to move some of the plots in the supplementary to the main manuscript. For example, Figure S1, S2, S17, S21, S22, S23 and discussions about them would be useful. Differences between the model and ACAM NO2, differences between two ACAM NO2 retrievals, and differences between weekdays and weekends are interesting and can have important implications for emissions and model assessments. Figure S12, S13, and S19 or one of them can be also shown in the main text. For S19, one-to-one comparison of the model simulations at 36 km and 4km resolution would be more useful. It is difficult to understand the purpose of Figure 8.

Reply:

Thank you for your suggestion. We have moved the old Figures S1, S2, and S17 to the revised main manuscript (Figures 1, 2, and 13). The old Figures S21, S22, and S23 are similar to Figure 14 (old Figure 10) in the revised main manuscript and used for the same purpose. We don't think it is necessary to move them to the main manuscript. The Harvard team (Drs. Liu and Nowlan) will submit a separate paper on their ACAM dataset. That's why we put it in the supplement. The ACAM datasets are just used to show the model distribution bias. The weekday and weekend difference can be easily identified by comparing Figure 14 in the revised main manuscript and Figure S24 in the revised supplemental figure file as well as their domain averages. It is unnecessary to mention it again. Furthermore, we show the relative difference between weekday and weekend ACAM NO₂ VCDs below (Figure R3).

We have moved the old Figure S13 to the revised main manuscript as Figure 9. We don't understand the purpose of making a one-to-one comparison for Figure S17 (the old Figure S19) in Lines 632 - 644 in the revised main manuscript. Figure S17 is used to verify the transport effect, so we need sites with comparable NO_x emissions between 36-km and 4-km REAM simulations to exclude the impact of chemistry and NO_x emissions. Anyway, we show the one-to-one comparisons below (Figures R4 – R9) if the reviewer is interested in them. The purpose of Figure 11 (the old Figure 8) is to confirm further that the REAM simulations well capture the daytime variations of vertical mixing, chemistry, and transport since the VCDs at different height bins are mainly affected by these three factors besides emissions.



Figure R3. Distributions of relative differences $\left(\frac{weekend}{weekday} - 1\right)$ between weekday and weekend ACAM NO₂ VCDs.



Figure R4. The same as Figure S17 in the revised supplemental figure file but for Padonia.



Figure R5. The same as Figure R4 but for Fairhill.



Figure R6. The same as Figure R4 but for Essex.



Figure R7. The same as Figure R4 but for Edgewood.



Figure R8. The same as Figure R4 but for Beltsville.



Figure R9. The same as Figure R4 but for Aldino.

Regarding WRF model options, I am wondering why Single Moment 3 Class microphysics scheme is used. This scheme is for warm clouds.

Reply:

Just to be clear, the WSM3 scheme predicts three categories of hydrometeors: vapor, cloud water/ice, and rain/snow, considering ice processes below 0 °C. The WSM3 scheme is not always worse than WSM5 or WSM6 in predicting precipitation. Anyway, we have changed our study from WSM3 to WSM6. All relevant figures, tables, and results are adjusted accordingly. The current results based on WSM6 are very similar to those found on WSM3. The change has no impact on our conclusions. And the WSM3 results are used as a sensitivity test to verify our current results with WSM6.

There are Grell ensemble or other Grell cumulus parameterization options that were widely tested in CTM groups. Because the REAM model is an offline model, the performance of model at 4 km resolution may not be caused by original WRF physics, but by the integrator between the WRF and REAM. The performance of model at 12 km was not discussed, but on/off of cumulus parameterization option at this resolution may be another factor to be tested.

Reply:

The KF scheme is also widely tested and evaluated. There is not a general preference for Grell schemes over the KF schemes. The limitation of the KF (new eta) scheme (Table S2 in the revised supplemental table file) is that it is not designed for high-resolution simulations. We understand that some Grell schemes can be used for high-resolution simulations. The new updated Multi-scale Kain-Fritsch scheme can also be applied to high-resolution simulations. However, the Grell schemes and the Multi-scale KF scheme are not compatible with REAM now. The convection module in REAM needs intermediate variables from the WRF cumulus scheme. We have made a test with the KF (new eta) scheme turned on in the nested 4-km domain. We indeed found an increase in total precipitation in the DISCOVER-AQ region but not so much. And it reduces the afternoon vertical mixing very slightly, as shown below (Figure R10), with minimal larger NO₂ concentrations in lower levels and marginally lower NO₂ concentrations in higher levels. However, it doesn't mean that the inclusion of convection parameterization in the nested 4-km. Further studies by using more appropriate Grell schemes or the Multi-scale KF scheme are necessary to derive reliable conclusions.

There are only three processes transporting surface NO₂ to higher layers in REAM — vertical diffusion, vertical advection, and convection (related to cumulus parameterization). Convection and vertical advection are generally minimal. Moreover, in the 4-km REAM, convection is inactive as cumulus parametrization is turned off in the 4-km domain for the nested 4-km WRF simulation. Our sensitivity test with vertical diffusion turned off still shows fully mixed NO₂ vertical profiles in the boundary layer. The only reason is the overestimation of vertical advection (*w*), consistent with the larger *w* in nested 4-km WRF simulation than the 36-km WRF simulation, as shown in Figure S9 in the revised supplemental figure file. We replicate the convective transport of the KF scheme in REAM (which is the reason that updating the convective transport using a different scheme is complicated in REAM); it is not an "integrator". The advection module is based on the widely used Walcek scheme, not involving any complex equations. If it has any problems, it cannot be only problematic in the 4-km but not 36-km REAM simulation.

As we mentioned above, the goal of our study is to emphasize the potential distribution bias of NO_x emissions. Current comparisons between the 36- and 4-km REAM simulations can provide enough evidence to support what we want to show. Including the evaluation of 12-km simulation results does not add to the content of this work since the 12-km results should be within the range between the 36-km and 4-km results.



Figure R10. Comparison of afternoon (15:00 - 17:00 LT) NO₂ vertical profiles among different 4-km REAM simulations and P-3B observations on weekdays and weekends for the DISCOVER-AQ 2011 campaign. "cu" (blue lines) denotes the 4-km REAM simulation constrained by the nested 4-km WRF simulation with the KF scheme turned on in the 4-km domain. In contrast, "no cu" denotes the 4-km REAM simulation constrained by the nested 4-km WRF scheme turned off in the 4-km domain. "obs" refers to P-3B aircraft observations.

For the analysis like Figure 6, the model temperature (potential temperature), moisture (specific humidity), U, and V also need to be analyzed with observations, particularly for afternoon.

Reply:

As discussed above, vertical advection (*w*) is the only factor causing the overestimated vertical mixing in the afternoon in 4-km REAM. We don't understand the purpose of comparing temperature, moisture, U-wind, and V-wind. We have added the comparison of the diurnal

variations of vertical profiles for temperature, potential temperature, relative humidity, U, and V among the 36-km WRF, the nested 4-km WRF, and P-3B observations in Figure S6 in the revised supplemental figure file. Relevant text updates can be found in Lines 347 - 353 and 362 - 363 in the revised main manuscript.

One minor point is frequent use of red/green combinations in the plots, which is not ideal.

Reply:

We have changed some green lines to blue. Please see the new Figures 5, 6, 7, 11, and 12 (the old figures 3, 4, 5, 8, and 9) in the revised main manuscript.

If the manuscript is revised following the comments above, I think publication can be reconsidered.

Reply:

Thank you for being open minded.

References

DenBleyker, A., Koupal, J., DeFries, T., and Palacios, C.: Improvement of Default Inputs for MOVES and SMOKE-MOVES: CRC Project A-100, available at <u>https://crcao.org/reports/recentstudies2017/A-</u> <u>100/ERG_FinalReport_CRCA100_28Feb2017.pdf</u>, Eastern Research Group, Inc., Austin, TX, 86, 2017.

Hong, S.-Y., Noh, Y., and Dudhia, J.: A new vertical diffusion package with an explicit treatment of entrainment processes, Monthly weather review, 134, 2318-2341, https://doi.org/10.1175/MWR3199.1, 2006.

Response to Reviewer #2

Thank you for your careful and thorough reading of this manuscript and your thoughtful comments and suggestions. Our responses follow your comments (in *Italics*).

General comments:

The manuscript by Li et al. presents an important evaluation research work of NO₂ diurnal variation using observations and modelled results from DISCOVER-AQ 2011. The research topic is important and interesting to atmospheric modelling and observation communities. The approach used is comprehensive. Some of the findings (e.g., potential spatial distribution bias in emission inventory, potential bias in ground-based remote sensing instruments) in this work are important for not just modelling groups but also observation groups. But, the presentation of this work should be improved. I would recommend publishing this work if the following concerns and comments can be addressed.

Thank you for your suggestions and comments. We have made several major revisions to the manuscript based on the suggestions by you and another reviewer.

- We have updated our WRF and REAM simulations using WSM6 (WRF Single-Moment 6class scheme) instead of WSM3, as listed in Table S2 (Line 34) in the revised supplemental table file. We also have downloaded the updated DISCOVER-AQ 2011 P-3B observations. All relevant results, including figures and tables, have been updated accordingly. The new results are almost the same as before except for some minor differences. The changes have no impact on our conclusions. The WSM3 results are now used as a sensitivity test (Lines 626 – 632) to confirm the reliability of our results and conclusions.
- 2) We have deleted the discussion on the reliability of 36-km NO_x emissions and total NO_x emission amount in the DISCOVER-AQ region but added an analysis of the 36-km NO_x emission distribution issue. So the current manuscript just focuses on the distribution issue but does not include any judgment on the total NO_x emission amount. Please see Lines 43 47, 125 126, 666, 718 730, 732 733, 753 789, 794, 797 806, 850 857, and 1385 1390 (Figure 15) in the revised main manuscript and Lines 281 288 (Figure S27) in the revised supplemental figure file.
- We have added individual site comparisons in the supplemental figure file (Figures S19 S23, Lines 242 260) to demonstrate the NO_x emission distribution issue. Please see Lines 37, 40 43, 668 678, 814, 829 831, and 837 848 in the revised main manuscript.
- We have added some more detailed explanations for the Pandora issue in the late afternoon and early morning. Please see Lines 536 – 544 in the revised main manuscript and Figure S13 (Lines 185 – 190) in the revised supplemental figure file.
- 5) We have moved the evaluation of WRF meteorological fields to a new section 3.1 and added the evaluation of vertical profiles for several meteorological variables in the new Figure S6. Please see Lines 158 183 and 339 366 in the revised main manuscript and Figure S4 S8 (Lines 108 146) in the revised supplemental figure file.
- 6) We have used stricter and more consistent criteria to filter out invalid satellite NO₂ TVCDs (Lines 205 206 and 224 225). The GOME-2A morning high bias is gone, as shown in Figure 10 in the revised main manuscript (Lines 1344 1353). Relevant changes in the text are in Lines 564 567, 818, and 823 826.

Detailed responses are as follows.

Specific comments:

L87-88. Many previous works were not properly cited. As I know, various research work has been done to convert Pandora NO₂ VCD to TVCD or surface values to study diurnal variations. The authors should update relevant knowledge on these. E.g., Kollonige et al., 2017; Spinei et al., 2014; Zhao et al., 2019. I believe some of the results in this work could be compared with previous findings and may cast some light on the research topic.

Reply:

Thank you for your suggestion. We have added three more citations using both Pandora VCD and in-situ surface observations to investigate NO₂ diurnal variations. Please see Lines 88 - 93 in the revised main manuscript. Zhao et al. (2019) had two figures showing the diurnal cycles of NO₂ surface concentrations (model, in-situ, and Pandora-derived), so we have also cited it in Lines 400 - 401. The study of Spinei et al. (2014) was not much related to tropospheric NO₂ diurnal variations. It was cited in Line 247 to show the stratospheric NO₂ VCD diurnal variations.

L151-176. These detailed discussions of the wind-filed and precipitations should not be done here, as the reader does not know anything about your trace gas simulation results/discrepancy yet. Such detailed discussions (the author used six figures in total, Figs. S2-S7) of potential causes should be included in a separate discussion section.

Reply:

Thank you for your suggestion. As mentioned above, we have moved the evaluation of WRF meteorology to section 3.1. Please see Lines 158 - 183 and 339 - 366 in the revised main manuscript.

L203 and L213. 36-km REAM profiles were used to calculate AMFs for both OMI and GOME-2A. Are these new AMFs have higher or lower (or comparable) resolution compared to the original AMFs used in the satellite data products? Please provide a brief description of how the model output has been smoothed or interpolated to OMI and GOME-2A grids.

Reply:

Thank you for your suggestion. We first regridded corresponding 36-km REAM NO₂ vertical profiles to OMI/GOME-2A pixels, then calculated AMFs by using the regridded vertical profiles. Therefore, the updated AMFs have the same resolutions as the original ones from the DOMINO/GOME-2A products. We have added a brief introduction of our retrieval method in Lines 214 - 216 in the revised main manuscript.

For the regridding approach, we would like to show the details below but not in the main manuscript, as it is not easy to explain it clearly in 1-2 sentences and not directly relevant to the topic of the study either.

We first construct a latitude-longitude matrix with a resolution of 0.01° (~ 1 km), as shown by the dash lines in Figure R1. We calculate the location of each point of the matrix in the 36-km REAM domain. For example, the red, green, and purple points in Figure R1 are corresponding to (i = 50, j = 60), (i = 50, j = 60), and (i = 51, j = 59) of the 36-km REAM domain. For any

given OMI/GOME-2A pixel (determined by the corner latitudes and longitudes), as shown by the black box in Figure R1, we can obtain the matrix points located inside the satellite pixel. We know its corresponding location in the 36-km REAM domain and then the corresponding REAM NO₂ vertical profile for each point inside the pixel. We then average the corresponding 36-km REAM NO₂ vertical profiles of all points inside the pixel, which is the a priori NO₂ vertical profile for that satellite pixel. The updated a priori NO₂ vertical profile is then used to calculate AMF and NO₂ TVCDs. This differential-like approach can be used to satellite pixels at any scale and in any shape, as long as the latitude-longitude matrix resolution is high enough compared to those satellite pixels so that the computation error is ignorable. For OMI and GOME-2A pixels with nadir-resolutions of 13×24 km² and 80×40 km², 0.01° is enough.



Figure R1. Schematic of the regridding approach. The dash lines denote a $0.1^{\circ} \times 0.1^{\circ}$ latitudelongitude matrix, and the black box denotes a satellite pixel. Colored points represent those $0.1^{\circ} \times 0.1^{\circ}$ points inside the satellite pixel.

L328-353. I saw at least three names for Kzz modelling, and I do have difficulty understanding which one is which. After reading this section back and forth several times, I think two Kzz modellings were used, i.e., Kzz-WRF and Kzz-modified. But, I am not sure if this Kzz-WRF is the same as Kzz-YSU. I can understand the logic of why the authors want to modify Kzz for

nighttime, but please improve the descriptions to make it easier for a reader to absorb your idea.

Reply:

Thank you for your suggestion. Yes, there are two types of k_{zz} used here: one is from the WRF simulation with the YSU scheme, and the other one is that we modify. K_{zz} -WRF is the same as k_{zz} -YSU, since our WRF simulations use the YSU scheme. We now use a consistent name — WRF-YSU — to denote the k_{zz} data simulated by WRF with the YSU scheme. Please see Lines 370, 373 – 374, 378, 382 – 383, 385, 392, 1311, and 1316 – 1317, and Figure 6 in Line 1314 for relevant modifications. We hope it is easier to understand now.

L347-351. Some justifications for the selected parameters are missing. A sensitivity test or correlation studies are needed to justify this 5 m s⁻². The idea of a magic number is not impressive. It is difficult to justify the selection with Figure 4, which shows even the modified results still have large discrepancy compare to observations.

Reply:

Thank you for your suggestion. As emphasized in the manuscript, the assigned value of 5 m s⁻² is arbitrary. We did not choose a magic number. You may have noticed that we also need to select a height value and a time range for the k_{zz} adjustment. In the manuscript, we used 500 m and 18:00 – 5:00 LT. Using these values is just to simplify the modification but not to best match the observations. That's why modified PBLHs are still lower than observations in the nighttime and late afternoon.

We made many sensitivity tests when finalizing the selection of 5 m s⁻², 500 m, and 18:00 – 5:00 LT in the manuscript since the beginning of this study. We have shown the sensitivity test results with k_{zz} adjusted to 2 m s⁻² and 10 m s⁻² in Figure S10 in Lines 152 – 155 in the revised supplemental figure file and cited it in Line 396 in the revised main manuscript. Nighttime surface NO₂ and O₃ concentrations are very sensitive to k_{zz} . Using 2 m s⁻² also makes significant changes to the simulated results.

In fact, it is almost impossible to make some simple adjustments of k_{zz} to perfectly match the vertical profile of k_{zz} and diurnal variations of PBLH. As shown in Figure R2, WRF-YSU k_{zz} shows a "C" shape. From afternoon to nighttime, the k_{zz} values change, and the "C" shape height varies. In other words, k_{zz} at different heights changes differently. We previously used a very complex equation to imitate the diurnal evolution of the k_{zz} vertical profiles and try to slow down the variation rate from afternoon to nighttime, as shown below.

when
$$k_{zz}(t,l) \ge 0.01 \, m \, / \, s^2$$
,

$$k_{zz}(t + \Delta t, l) = \max\left(k_{zz}(t, l) \bullet \alpha(l)^{EF \bullet \beta(t + \Delta t)}, WRF.k_{zz}(t + \Delta t, l)\right)$$
(R1)

when $k_{zz}(t,l) < 0.01 \, m \, / \, s^2$,

$$k_{zz}(t + \Delta t, l) = \max\left(k_{zz}(t, l), WRF.k_{zz}(t + \Delta t, l)\right)$$
(R2)

where *l* denotes model vertical levels less than 15 (\approx boundary layer top at 15:00 LT); *t* is the current time, while Δt is an updating time step (= 0.5 *hours*); α is a coefficient dependent on model levels; β is a coefficient dependent on time; *EF* is a coefficient related to land types, and *EF* is 1 for urban regions and 2 for other land types; *WRF*. k_{zz} is the original k_{zz} from the WRF simulation. Equations (R1) and (R2) calculate k_{zz} at the next time step with the current k_{zz} . The equations are only active when t > 15:00 LT and t < 5:00 LT. The updated k_{zz} values are decreasing more slowly than the original WRF-YSU values since later afternoon and satisfy the vertical characteristics shown in Figure R2. The derived PBLH can match the observations in Figure 6 very well. The equations and are inappropriate to be used in the manuscript.

Anyway, 5 m s⁻² is not a magic value but just to mitigate the nighttime vertical mixing problem. The selection of 5 m s⁻² is not intended to and neither able to completely solve the nighttime vertical mixing bias. Not to mention the site differences. Readers are free to make their own adjustments in their studies if nighttime mixing is underestimated.



Figure R2. Vertical profiles of WRF-YSU simulated k_{zz} at different local times in July 2011 at the UMBC site.

L364-369. I am worried that the ground observations from various sites should not be studied as a single group. Different local emissions patterns should be addressed. E.g., do all 11 NO₂ sites show the same concentration peak values at 5:00-6:00 LT? Do we see any differences between rural and urban sites?

Reply:

We understand your concern about different local emissions patterns at different sites. EPA indeed shows that in some rural regions, the NO_x emissions show a unimodal diurnal pattern with a peak around noontime. However, the 11 NO₂ sites in Figure 5 are based on 36-km REAM. On the one hand, the 36-km REAM cannot resolve urban and rural well. On the other hand, all the 11 sites were not so rural, as they are all located around the Baltimore-Washington urban regions. Their emissions are still high and have similar emission diurnal variations as urban regions. Figure R3 shows the 36-km NO_x emission diurnal cycles for each of the 11 sites in Figure 5. All the sites have similar diurnal patterns and show a sharp increase of NO_x emissions in the early morning (NO_x emissions may be biased due to the distribution issue of NEI2011 at 36-km resolution).

Figure R4 shows that the monthly weekday observations at all the 11 sites peak around 6:00 LT. We do not find significant differences among these sites. Our 36-km REAM with updated k_{zz} cannot reproduce all the observed peaks at different sites mainly due to the remaining biased nighttime vertical mixing. However, the 36-km REAM can still somewhat capture the increase of NO₂ surface concentration around 6:00 LT at each site.

It is possible that NO₂ surface concentrations peak at other hours of the day but not around 5:00 – 6:00 LT. Figure 5 in the revised main manuscript shows two general conditions. 1) Nighttime vertical mixing is very weak, then NO₂ accumulates in the surface layer, possibly producing a peak around midnight, as shown by the REAM simulation with the original WRF-YSU k_{zz} . 2) The early morning increase of NO_x emissions is mitigated or removed entirely (different NO_x emission diurnal variations), leading to a much weaker or complete missed surface NO₂ morning peak as shown in Figure 5b. If one is concerned with a specific day at a particular site, anything can happen depending on the local conditions in the day, e.g., Thompson et al. (2019) showed that NO₂ surface concentration suddenly peaked around 13:00 LT in one day at an observation site in Korea (Figure 2 in their paper).

As mentioned above, we have added individual-site (the 11 sites in Table S1) comparison results in Figure S19 – S23 (Lines 242 - 260 in the revised supplemental figure file) to emphasize the NO_x emission distribution issue at both 36- and 4-km resolutions.



Figure R3. 36-km NO_x emission diurnal variations for the 11 sites in Figure 5 in the revised main manuscript. The unit is 10^{21} molecules km⁻² s⁻¹.



Figure R4. Diurnal variations of observed and 36-km REAM simulated NO₂ surface concentrations at different sites for weekdays in July 2011. The subplot order is corresponding to the site order in Table S1 in the revised supplemental table file.

L388-393. The general impression from Figure 5 is the REAM-4km shows a higher bias than REAM-36km compared to observations. But, this might be misleading. For example, if one looks at Figure 5b from 00:00 to 5:00 LT, the green line shows a better agreement with observations. Please provide some comments on this. The study sites should be grouped into at least two categories, e.g., rural and urban.

Reply:

Thank you for your suggestion. It should be in the daytime but not at night in Line 436 in the revised main manuscript. We have corrected it. Since nighttime vertical mixing is weak, NO₂ is primarily concentrated in lower layers, leading to large horizontal gradients. Therefore, horizontal transport plays a crucial role in nighttime NO₂ concentrations and VCDs. If nighttime

vertical diffusion is not simulated well, horizontal transport can be much different. As mentioned above, since our adjustment of nighttime k_{zz} is not perfect, we did not use nighttime comparisons in our evaluations of NO_x emissions. The nighttime vertical mixing uncertainties have little impact on daytime NO₂ surface concentrations and TVCDs (Figures 5 and R5); therefore, we mainly used the daytime simulation results and observations in our analysis and discussion. The nighttime issue is mainly discussed in section 3.2 in the revised main manuscript to describe the underestimation of nighttime vertical mixing. We have added the emphasis of the "daytime" in Lines 436, 503 – 504, and 605 in the revised main manuscript.

In addition, Figure 7b (the old Figure 5b) in the revised main manuscript is for the weekend. It is noteworthy that weekend NO_x emissions are scaled to two-thirds of weekday NO_x emissions for all sites and have the same diurnal variations, as mentioned in section 2.1 (Lines 142 – 149) in the revised main manuscript. Therefore, potential uncertainties exist in the weekend NO_x emissions. It is possible that 4-km REAM provides a more reasonable estimate of NO_x emissions at night on weekends. We have no evidence showing that the rural-urban issue contributes to the comparison results during 0:00 – 5:00 LT in Figure 7b.

As mentioned above, we have added individual-site comparison results in Figure S19 - S23 (Lines 242 - 260 in the revised supplemental figure file) to emphasize the NO_x emission distribution issue at both 36- and 4-km resolutions.



Figure R5. Comparisons of NO₂ TVCD diurnal variations between two 36-km REAM simulations on (a) weekdays and (b) weekends for July 2011."REAM-raw" denotes the 36-km REAM simulation results with WRF-YSU simulated k_{zz} data, and "REAM-kzz" is the 36-km REAM simulation results with updated k_{zz} data.

L435-442 and Fig. S14. I guess the authors want to show the Pandora TVCD should be corrected; otherwise, the results could be biased low due to a missing surface layer. I agree with the assumption, but it needs to be studied carefully (Fig. S14 shows some indication but not good enough). Fig. S14a shows that for some sites (e.g., SERC), one can expect Pandora to miss up to 20% of NO₂ columns. However, this is not reflected by Fig. S14b at all. If this 20% difference is true, it can be verified relatively easier than other sites. Could you plot Fig. S14b for each Pandora site separately?

Reply:

Thank you for your comments. We used the averages of all 11 sites in Figure S12b (the old Figure S14b) in the revised supplemental figure file, so the differences between scaled and unscaled Pandora TVCDs are not so large (the relative difference can reach up to 6% around 6:00 LT). Figure R6 shows the difference for each Pandora site. Except for the four sites (UMCP, UMBC, SERC, and GSFC) significantly above the ground surface, all other sites have almost the same scaled and unscaled NO₂ TVCDs. For SERC, at 6:00 LT, the scaled NO₂ TVCDs is ~5 × 10¹⁵ molecules cm⁻², about 25% higher than the unscaled value (~4 × 10¹⁵ molecules cm⁻², about 25% higher S12a shows the same result as Figure R6 (the scaling ratios are the same), we don't think it is necessary to include Figure R6 in the manuscript.

The scaling may be useful for individual site comparison if the site is significantly above the ground surface. At the beginning of this study, we hoped that the scaling effect could be used to explain the Pandora's distinct diurnal variations from other datasets in the early morning and late afternoon. However, it cannot do that.



Figure R6. Same as Figure S12b in the revised supplemental figure file but for individual Pandora sites.

L469-488. The findings here are critical for the research community to understand the discrepancy between aircraft, ground-based in situ, ground-based remote sensing, and models. The synthetic aircraft TVCDs have better agreement with REAM especially for 15:00 to 17:00 LT. The agreements between REAM and aircraft profiles (Figure 6) are very nice. So, for me, it looks like Pandora TVCDs are the one that has a major low bias. But, Figure 5 also shows that the REAM has a large positive bias compared to ground-based in situ observations from 15:00 to 17:00 LT (especially for REAM-4km). Can authors conclude if Pandora TVCDs are not accurate in this period? These results may affect the claim of accuracy of Pandora NO2 VCD is 2.7×10^{15} molecules cm⁻² in L218. Also, from Fig. S9, it is clear that the observed diurnal variations at different sites could be very different. This matched with the large error bars on the REAM modelled results in Fig. 7. But why Pandora TVCDs from 11 sites show very stable results (small error bars) in Figure 7? The current explanations are not good enough to convince me. Besides understanding the model resolutions, this could be another highlight of this research work. So, I would suggest the authors provide more investigation, explanations, or discussions.

Reply:

Thank you for your suggestions. The surface layer (1st layer of the REAM model) only contributes a small part of NO₂ TVCDs due to its shallow depth. The positive biases of NO₂ surface concentrations in REAM may be related to still underestimated vertical mixing in the afternoon (Figure 6 in the revised main manuscript). However, it is noteworthy that vertical mixing only affects the vertical distribution of NO₂ but not NO₂ TVCDs directly (vertical mixing can slightly affect NO₂ TVCDs indirectly as NO₂ lifetime is somewhat different at different heights). Therefore, the positive bias of NO₂ surface concentrations in REAM cannot provide any significant information for NO₂ TVCDs.

According to the model diagnostics, the sharp increase of NO₂ TVCDs in the late afternoon is mainly due to the sharp decrease of chemical loss (Figure 9 in the revised main manuscript). We think the model diagnostic result is reasonable. However, we cannot conclude that Pandora is inaccurate in the late afternoon. We have added more detailed explanations in Lines 536 - 544 in the revised main manuscript and Figure S13 (Lines 185 - 190) in the revised supplemental figure file. In our opinion, the most crucial point is that Pandora FOV is so small, and the instrument is located on the ground surface. Therefore, Pandora only covers a small area of air mass and can measure different air columns in the early morning, noontime, and late afternoon. Considering the significant spatial heterogeneity of NO₂, the measured NO₂ TVCDs can differ from each other significantly. In summary, Pandora measured NO₂ TVCDs are very different from those measured by satellite and simulated by models, especially in the early morning and late afternoon. Whether Pandora measurement can represent the average of a 36×36 km² column depends on the heterogeneity of NO₂ in that column. To evaluate the accuracy of Pandora, we need similar high-resolution instruments.

Figure 10 (the old Figure 7) only considers the temporal standard deviations of 21 weekdays and 10 weekend days in July 2011. We first calculated the mean hourly NO₂ TVCDs of the 11 Pandora sites. Considering the significant spatial heterogeneity of NO₂, we hoped that the average of 11 Pandora sites could represent the regional characteristics. Then, we calculated the monthly mean NO₂ TVCDs and corresponding standard deviations at each hour for weekdays

and weekends in July 2011. REAM results were processed in the same way. Therefore, discrepancies among different sites have not been considered in Figure 10 in the revised main manuscript. We have added individual site comparisons in Figure S23 in the revised supplemental figure file, showing the discrepancies among different Pandora sites.

Technical corrections:

L194. Please modify the description of estimated uncertainty. "molecules $cm^{-2} + 25\%$ " does not make sense.

Reply:

Thanks. We have changed it to "an absolute component of 1.0×10^{15} molecules cm⁻² and a relative AMF component of 25%". Please see Lines 201 – 202 in the revised main manuscript.

L217-218. The description of the precision of Pandora NO₂ VCD is not correct. In Herman et al. 2009, the 0.01 DU (or 2.7×10^{15} molecules cm⁻²) precision is for slant column (not VCD). For Pandora NO₂ VCD, the estimated precision is about 0.02 DU (e.g., Zhao et al., 2020).

Reply:

Thank you for your suggestion. Yes, according to Herman et al. (2009), the 0.01 DU precision is indeed for SCD. We have corrected it. Please see Lines 230 - 231.

L288-294. The scale ratios look consistent between ECO and C42. The one that needs extra caution is CY42 (Thermo Model 4211-Y). But, if the Thermo Model 421-Y NOy analyzer measurements are not used in this study at all (see L1175-1176), there is no need to include such detailed discussions (it will only confuse the reader). Or, at least, this information should be moved to supplement. I would suggest authors move other figures such as Fig. S1 to here, which should be more important (for the reader to understand the model scales/grids and locations of observations used in this study).

Reply:

Thanks. We have deleted the discussion related to the Thermo Model 42I-Y NO_y analyzer. Please see Lines 1302 - 1303 in the revised main manuscript. And we have moved the old Figures S1 and S2 to Figures 1 and 2 in the revised main manuscript.

L377. Figure 6 is used before Figure 5. Please swap the order of the figures.

Reply:

Thanks. We have deleted the references of old Figures 6 and S13 here. We have mentioned sections 3.3 and 3.4 in the revised main manuscript, so it is unnecessary to refer to the figures again. Please see Lines 422 - 423 in the revised main manuscript.

Figure 8. Please use different symbols for >400m and <400m lines. Also, the caption said there are three bins, but I did not see proper labels for the "400m - 3.63 km". Are those >400m lines represents "400m - 3.63 km" results? Please make sure the legends match with the caption.

Reply:

Thank you for your suggestion. Yes, "> 400 m" means "400 m - 3.63 km". We have corrected it. Please see Figure 11 (Lines 1355 - 1361) in the revised main manuscript. And we have used 300 m to separate different height bins to match the newly downloaded P-3B observations, which can go down as low as about 300 m, as shown in Figure 8 in the revised main manuscript. The modification doesn't change the results or conclusions.

Figure 10. Description of the purple circles on panels a-c is needed.

Reply:

Thanks. We have added a sentence describing the purple circles. Please see Lines 1380 - 1381 in the revised main manuscript.

Fig. S1 should be modified. The symbols for different observations jams together and very difficult to see. One should use other means to show instruments at a single site, e.g., a pie chart.

Reply:

Thank you for your suggestion. We have changed the marker patterns for different instruments so that the figure is clearer. Please see Figure 1 in Line 1277 in the revised main manuscript.

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Reply:

Thank you for providing the references.

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