



Molecular composition and volatility of multi-generation products formed from isoprene oxidation by nitrate radical

3 Rongrong Wu^{1,2}, Luc Vereecken¹, Epameinondas Tsiligiannis³, Sungah Kang¹, Sascha R.

4 Albrecht^{1,a}, Luisa Hantschke¹, Defeng Zhao⁴, Anna Novelli¹, Hendrik Fuchs¹, Ralf Tillmann¹,

5 Thorsten Hohaus¹, Philip T.M. Carlsson¹, Justin Shenolikar⁵, François Bernard⁶, John N.

6 Crowley⁵, Juliane L. Fry⁷, Bellamy Brownwood⁷, Joel A. Thornton⁸, Steven S. Brown^{9,10},

- ⁴Department of Atmospheric and Oceanic Sciences & Institute of Atmospheric Sciences, Fudan University,
 200438, Shanghai, China
- ⁵Atmospheric Chemistry Department, Max Planck Institut für Chemie, 55128 Mainz, Germany
- ⁶Institut de Combustion, Aérothermique, Réactivité et Environnement (ICARE), UPR CNRS, 45071 Orléans,
 France
- ⁷Department of Chemistry, Reed College, Portland, OR 97202, USA
- 19 ⁸Department of Atmospheric Sciences, University of Washington, Seattle, WA 98195, USA
- 20 ⁹NOAA Chemical Sciences Laboratory, Boulder, CO 80305, USA
- 21 ¹⁰Department of Chemistry, University of Colorado, Boulder, CO 80309, USA
- ^apresent address: SOLIDpower GmbH, 52525 Heinsberg, Germany

23 *Correspondence to: Thomas F. Mentel (t.mentel@fz-juelich.de)

24

25 Abstract

26 Isoprene oxidation by nitrate radical (NO₃) is a potentially important source of secondary organic aerosol (SOA).

29 information about the volatility of different isoprene nitrates, which is essential to evaluate their potential to

form SOA and determine their atmospheric fate, is rare. In this work, we studied the reaction between isoprene and NO₃ in the SAPHIR chamber (Jülich) under near atmospheric conditions. Various oxidation products were

32 measured by a high-resolution time-of-flight chemical ionization mass spectrometer using Br⁻ as the reagent ion.

33 They are grouped into monomers (C_4 - and C_5 -products), and dimers (C_{10} -products) with 1–3 nitrate groups

34 according to their chemical composition. Most of the observed products match expected termination products

- 35 observed in previous studies, but some compounds such as monomers and dimers with three nitrogen atoms
- 36 were rarely reported in the literature as gas-phase products from isoprene oxidation by NO₃. Possible formation
- 37 mechanisms for these compounds are proposed. The multi-generation chemistry of isoprene and NO₃ is
- 38 characterized by taking advantages of the time behavior of different products. In addition, the vapor pressures of
- 39 diverse isoprene nitrates are calculated by different parametrization methods. An estimation of the vapor
- 40 pressure is also derived from their condensation behavior. According to our results, isoprene monomers belong

42 contrast, the dimers are expected to have low or extremely low volatility, indicating that they are potentially

⁷ Astrid Kiendler-Scharr¹, Andreas Wahner¹, Mattias Hallquist³, Thomas F. Mentel^{1*}

 ¹Institute of Energy and Climate Research, Troposphere (IEK-8), Forschungszentrum Jülich GmbH, 52428
 ⁹ Jülich, Germany

 ²College of Environmental Sciences and Engineering, State Key Joint Laboratory of Environmental Simulation and Pollution Control, Peking University, 100871, Beijing, China

³Department of Chemistry and Molecular Biology, University of Gothenburg, 41296, Gothenburg, Sweden

<sup>It is suggested that the second or later-generation products are the more substantial contributors to SOA.
However, there are few studies investigating the multi-generation chemistry of isoprene-NO₃ reaction, and</sup>

⁴¹ to intermediate volatility or semi-volatile organic compounds and thus have little effect on SOA formation. In





- 43 substantial contributors to SOA. However, the monomers constitute 80% of the total explained signals on
- 44 average, while the dimers contribute less than 2%, suggesting that the contribution of isoprene NO₃ oxidation to
- 45 SOA by condensation should be low under atmospheric conditions. We expect a SOA mass yield of about 5 %
- 46 from the wall loss and dilution corrected mass concentrations, assuming that all of the isoprene dimers in the
- 47 low- or extremely low-volatility organic compound (LVOC or ELVOC) range will condense completely.





48 1. Introduction

49 Atmospheric submicron aerosols have an adverse effect on air quality, human health and climate (Jimenez et al., 50 2009; Pöschl, 2005). Secondary organic aerosol (SOA), which is formed from oxidation of volatile organic 51 compounds (VOC) followed by gas-to-particle partitioning, comprise a large fraction (20-90%) of the 52 submicron aerosol mass (Jimenez et al., 2009; Zhang et al., 2007). It is confirmed that a significant proportion of 53 SOA arises from biogenic VOC (BVOC) oxidation (Hallquist et al., 2009; Spracklen et al., 2011).

54 Isoprene is globally the most abundant non-methane volatile organic compound originating from vegetation, with emissions estimated to be 440-660 Tg yr⁻¹(Guenther et al., 2012). Due to its high abundance, as 55 56 well as its high reactivity with atmospheric oxidants, isoprene plays a significant role in tropospheric chemistry, 57 and its chemistry affects the global aerosol burden and distribution (Carlton et al., 2009; Fry et al., 2018; Ng et 58 al., 2008, 2017; Surratt et al., 2010), although its SOA yield is much lower than those of monoterpenes and 59 sesquiterpenes (Friedman and Farmer, 2018; Kim et al., 2015; Marais et al., 2016; , McFiggans, et al. 2019; 60 Mutzel et al., 2016; Ng et al., 2007, 2008; Surratt et al., 2010; Thornton et al., 2020). Recent model simulations suggested the isoprene-derived SOA production is 56.7 Tg C yr⁻¹, contributing up to 41% of global SOA 61 (Stadtler et al., 2018). Observations in southeastern United States suggested that isoprene-derived SOA makes 62 up 17-48% of total organic aerosol (Hu et al., 2015; Kim et al., 2015; Marais et al., 2016). As a consequence, it 63 is essential to fully characterize the potential of isoprene to form condensable products and its contribution to 64 65 SOA formation (Carlton et al., 2009).

Generally, isoprene is primarily oxidized by the hydroxyl radical (OH) and somewhat by ozone (O_3) in the 66 67 daytime. At night when the concentration of OH is negligible, the nitrate radical (NO₃) and O₃ become the predominant oxidants of isoprene. Reaction of isoprene with NO3 is competitive to that with O3 because of its 68 much larger rate constant ($k_{NO_3} = 6.5 \times 10^{-13} \text{ cm}^3$ molecules⁻¹s⁻¹ and $k_{O_3} = 1.28 \times 10^{-17} \text{ cm}^3$ molecules⁻¹s⁻¹ at 298 K, 69 70 respectively, IUPAC), even if the mixing ration of NO₃ is 10,000 time lower than that of O₃. Although reaction 71 with NO₃ only represents ~ 5-6% of isoprene loss, it accounts for a large proportion of isoprene nitrates (~ 40-72 50%) (Wennberg et al., 2018). Therefore, reaction of isoprene with NO_3 is a potential source of SOA. In 73 addition, it is found from both laboratory and chamber experiments that the SOA yield of isoprene from NO3 74 oxidation is higher than that from OH or O_3 oxidation, which is typically less than 5% (Carlton et al., 2009; 75 Dommen et al., 2009; Kleindienst et al., 2007; Kroll et al., 2006). For example, Ng et al. (2008) concluded the 76 isoprene SOA yield from NO3 was in the range of 4.3% to 23.8%, depending on RO2 fate (higher SOA yield when the experiments were dominated by RO2+RO2 rather than RO2+NO3 reaction). Rollins et al. (2009) also 77 78 observed a high SOA yield from isoprene (14%) when both of its double bonds were oxidized by NO₃. In an 79 aircraft study in the southeastern United States, Fry et al. (2018) derived an isoprene-NO3 SOA yield as large as 80 27% on average under high NO_x conditions, although their mass yield estimation was indirect, and based on a 81 molar yield determination of $9 \pm 5\%$. In light of the relatively high SOA yield from NO₃ oxidation, even though only a minor fraction of isoprene is oxidized by NO₃, the SOA formed at nighttime would still probably be 82 83 comparable to that produced at daytime (Brown et al., 2009; Fry et al., 2018).

However, isoprene-NO₃ chemistry has received less attention than the extensively studied OH- or O₃initiated oxidation (Barber et al., 2018; Novelli et al., 2020; Peeters et al., 2014; Wang et al., 2018; Wennberg et al., 2018; Whalley et al., 2012). It has been recognized that later-generation oxidation of isoprene by NO₃ makes more significant contribution to SOA formation (Carlton et al., 2009; Fry et al., 2018; Rollins et al., 2009).





Nevertheless, although the importance of multi-generation NO₃ oxidation of isoprene to SOA formation has been recognized, few studies extended the investigation beyond the first-generation oxidation, and details of isoprene-NO₃ multi-generation chemistry are still lacking.

91 Organic compounds, especially highly oxygenated organic molecules (HOM) that have low or extremely 92 low volatility, contribute significantly to SOA formation by condensation, or even form new particles (Bianchi 93 et al., 2019; Ehn et al., 2014; Kirkby et al., 2016, Tröstl et al., 2016). Previous studies have confirmed that low-94 volatility products from isoprene-NO3 reaction are the major precursors to SOA (Ng et al., 2008; Rollins et al., 95 2009; Schwantes et al., 2019). Here the low-volatility compounds refer to gas phase products that allow 96 fractions to exist in particle-phase, and may include the groups of organic compounds with intermediate volatility (IVOC, 300<C*<3×10⁶ µg m⁻³), semi-volatility (SVOC, 0.3<C*<300 µg m⁻³), low volatility (LVOC, 97 3×10⁻⁵<C*<0.3 µg m⁻³) and extremely low volatility (ELVOC, C*<3×10⁻⁵ µg m⁻³) as proposed by Donahue et al. 98 99 (2012). In general, SVOC, LVOC and ELVOC can contribute to the SOA formation (Jimenez et al., 2009). In 100 order to evaluate the potential of oxygenated products to form SOA, information about their vapor pressures is 101 essential. However, due to the high degree of functionalization, low or extremely low volatility, as well as 102 uncertainties in quantification and molecular structures, it is challenging to determine the exact vapor pressure 103 of highly oxidized products. Detailed information on the volatilities of different generation products is lacking, 104 which impedes the assessment of their contribution to SOA formation.

105 In this work, we present the results of chamber experiments on isoprene oxidation by NO₃ under near 106 atmospheric conditions, where NO3 was produced in situ by O3 reaction with NO2. Subsequent characteristics of 107 multi-generation chemistry of isoprene with NO₃ are investigated. By examining the time evolution of various 108 gas-phase products, we propose possible reaction mechanisms that help to get the possible functionalization of 109 the products. Saturation vapor pressures of the major gas-phase products observed by HR-ToF-CIMS are 110 predicted by using different parameterization methods that are widely-used or state-of-the-art in literature. In 111 addition, we estimate the vapor pressure derived from equilibrium partitioning coefficient according to the 112 condensation behavior of different products in experiments with and without seed aerosols. Based on these 113 results, the volatility of the major oxidation products stemming from isoprene-NO₃ reaction and their potential 114 to form SOA are evaluated.

115 2. Experimental and methods

116 2.1 Atmospheric simulation chamber SAPHIR

117 All the data presented here were measured in the atmospheric simulation chamber SAPHIR (Simulation of 118 Atmospheric PHotochemical In a large Reaction Chamber) at Forschungszentrum Jülich, Germany, which is 119 designed to investigate the oxidation processes of both biogenic and anthropogenic trace gases and formation of 120 secondary particles and pollutants under near atmospheric conditions. The SAPHIR chamber is a double-walled 121 Teflon (FEP) cylinder with a volume of 270 m³ (5 meters in diameter and 18 meters in length). The large 122 volume-to-surface ratio (1 m) allows experiments to be conducted under natural conditions and reduces 123 interference from the chamber walls. The chamber is equipped with a shutter system which can be opened to 124 admit sunlight for photochemical experiments or closed to mimic nighttime conditions. There are two fans 125 inside the chamber to ensure good mixing of trace gases (within 2 minutes). The chamber is filled with synthetic



127



126 air made from mixing of ultrapure nitrogen and oxygen (Linde, purity \geq 99.99990%) and is slightly over-

gas consumption by instrument sampling, a replenishment flow is provided by a flow control, which leads to a

pressured (~ 35 Pa) to prevent intrusion of outside air into the chamber. Due to small leakage (~ 7 $m^3 h^{-1}$) and

129 dilution rate of 4%–7% per hour. A more detailed description of the chamber set-up and its characterization can

130 be found elsewhere (Rohrer et al., 2005).

131 2.2 Experiment description

A series of experiments investigating the oxidation of isoprene by NO₃ were conducted in the SAPHIR chamber in August 2018 (ISOPNO₃ campaign) under different chemical conditions. In this work, we primarily focus on an experiment conducted on 08 August 2018 that examined the fast oxidation of isoprene by NO₃ (up to ~ 130 pptv) without seed aerosols. The experiment was performed under dry (RH < 5%) and dark condition, and employed injections of O₃ and NO₂ as source of NO₃, where O₃ was generated by a silent discharge ozoniser (O3onia), and high-purity NO₂ was introduced from a gas bottle (Linde, purity >99%).

138 Before the experiment, the chamber was flushed overnight with a total amount of ~ 1800 m³ synthetic air to minimize any remaining contamination. At the beginning of the experiment, the chamber air was slightly 139 140 humidified (RH< 0.1%) by flushing water vapor from boiling Milli- Q^{\oplus} water into the chamber. Thereafter, O_3 141 and NO₂ were added to the chamber in succession, and their concentrations in the chamber after injection were 142 approximately 100 and 25 ppbv, respectively, as shown in Fig. 1. After that, ~10 ppbv of isoprene was injected 143 using a GC syringe, initiating the reaction with NO₃. The period between the first and second injection is 144 defined as "step I", as so on for the other three periods. After almost complete consumption of isoprene, another 145 ~100, 30, and 10 ppbv of O₃, NO₂, and isoprene, respectively, were added. After another ~ 1.5 hours, the chemistry was accelerated again by the third injection, and the concentrations of O₃, NO₂, and isoprene reached 146 147 \sim 100, 25, and 10 ppbv, respectively, after the injection. Two hours later, the fourth addition was made and the 148 concentrations of O_3 and NO_2 increased to approximately 115 ppbv and 30 ppbv, respectively, aiming to 149 promote further oxidation of early generation products. In total the system was kept running for about 7.5 h. 150 According to the modeling results, approximately 90% of the isoprene reacted with NO₃, indicating that reaction 151 with O₃ was a minor sink of isoprene in our system.

152 A complementary experiment was conducted on 14 August 2018 under similar conditions but with seed 153 aerosols. Approximately 60 µg m⁻³ of ammonium sulfate aerosol was added at the beginning of the experiment. 154 Thereafter, approximate 100 and 20 ppbv of O₃ and NO₂ were introduced to the chamber to produce NO₃, 155 followed by addition of ~10 ppbv of isoprene in about 30 minutes later (see Fig. S1). Another 6 ppbv of NO₂ 156 and 10 ppbv of isoprene were added about one hour later to accelerate the reaction. At the last injection, only O_3 157 (~ 50 ppbv) and NO₂ (~ 7 ppbv) were added, similar as for the experiment without seeds. The experiment lasted 158 for about 8 h. The results were used to investigate the condensation behavior of various gas-phase products from 159 isoprene oxidation, aiming to estimate equilibrium partitioning coefficients and vapor pressures.







160

161Figure 1: Measurements of (A) O3 and NO2, (B) NO3 and N2O5, (C) isoprene and (D) temperature and relative162humidity in the chamber during the experiment on 08 August, 2018.

163 2.3 Instrumentation

A high-resolution time-of-flight chemical ionization mass spectrometer (HR-ToF-CIMS, Aerodyne Research Inc., hereafter CIMS) was used to continuously measure the gas-phase products from isoprene oxidation by NO₃. The ToF-MS was operated in 'V' mode with the mass resolution power between 3000–4000 Th/Th. In order to reduce the losses of HO₂ radicals and HOM on the tubing, a customized inlet (Albrecht et al., 2019) was directly connected to the chamber. The CIMS was operated in negative ion mode using Br⁻ as the reagent ion, which is selective to polar species such as acids, hydroxy or nitrooxy carbonyls, as well as HO₂ radicals (Albrecht et al., 2019; Ng et al., 2008; Rissanen et al., 2019; Riva et al., 2019).

Bromide ions were generated by passing a mixture of 10 standard cubic centimeters per minute of 0.4% CF₃Br in nitrogen and 2 standard liter per minute nitrogen through a 370 MBq ²¹⁰Po source (Type P-2021-5000, NDR Static Control LLC, USA), resulting in ~10⁵ ion counts per second (Albrecht et al., 2019). In our system, most compounds were detected as adducts with Br⁻, but some strong acidic compounds like nitric acid were also detected as deprotonated ions. The isotope distribution of ⁷⁹Br and ⁸¹Br is approximately 1:1, therefore two signals appear at m/z = MW+79 and m/z = MW+81 with MW being the molecular mass of the molecule that is detected as cluster with Br⁻. In this work, we will use Thomson (Th) as the unit for mass-to-charge (m/z), and the





178 m/z of molecules discussed in following include the mass contribution from Br⁻ (m/z 79) if there is no other 179 annotation.

180 In order to have an indicator of the CIMS performance, perfluoropentanoic acid (PFPA) was used as an 181 internal standard. The CIMS was optimized to gain a maximum signal of [HO₂*Br]⁻ isotopes, which are weakly bounded clusters. This was achieved by adjusting step by step the electrostatic field in the transfer stage to 182 183 minimize fragmentation. During the campaign, the settings of CIMS were kept unchanged to keep a similar 184 performance. However, the signal of reagent ion Br decreased by about 65% (from ~ 100, 000 to 34, 000 counts 185 s^{-1}) over the campaign duration of four weeks. In order to minimize the effect of drift in performance, we used 186 the normalized (by the sum of the total ion counts) signals for analysis. The sensitivity for total carbon was 187 calculated by determining the slope of wall-loss corrected total carbon signals detected by CIMS (only the 188 identified peaks were considered) versus isoprene consumed. As illustrated in Fig. S2a, the CIMS sensitivities 189 were roughly identical in two experiments (0.026 \pm 0.002 norm. count s⁻¹ ppbv⁻¹ on 08 August, and 0.022 \pm 0.001 norm. count s⁻¹ ppby⁻¹ on 14 August), indicating that different experimental conditions over two days had 190 191 an insignificant impact on CIMS sensitivity for total carbon and thus the data from these days are comparable. 192 In addition, an inter-comparison of measurements by Br CIMS and I CIMS were made. As shown in Fig. S2b, 193 the measurements of $C_5H_6N_2O_8$ from the two instruments are well linearly correlated with each other at the early 194 oxidation stages. However, the correlation coefficient somewhat changes between the two experiments, which is 195 possibly related to the interference from isomers and the differences in sensitivity between the two instruments. 196 In general, the performance of Br CIMS was stable and the data taken by it are reliable.

The mass spectra data were processed using the software "Tofware" embedded in Igor as provided by 197 Aerodyne Research Inc. (https://www.tofwerk.com/software/tofware/?cn-reloaded=1). Peaks detected in the 198 199 mass spectra could be isolated and identified according to their exact mass, and molecular formulas and the 200 corresponding intensities were obtained by high-resolution peak fitting. Due to a lack of authentic standards for 201 the products, it is difficult to quantitatively determine their individual absolute concentrations, but we have 202 calculated the bulk sensitivity for organonitrates by determining the slope of total organic nitrate signals 203 detected by Br CIMS versus the alkyl nitrate concentrations measured by a thermal dissociation cavity ring-204 down spectrometer, as shown in Fig. S2c. The estimated bulk sensitivities for organonitrates are 0.016 ± 0.001 and 0.022 ± 0.001 norm. count s⁻¹ ppbv⁻¹ on 08 August and 14 August, respectively, comparable to the sensitivity 205 206 for total carbon, but smaller than the sensitivity for salicylic acid determined by an independent calibration (163 207 norm. count μg^{-1} on average as shown in Fig. S2d, equal to 0.07 norm. count s^{-1} ppbv⁻¹). The bulk sensitivity for 208 organonitrates enables estimation of the absolute concentrations of products assuming that they have identical 209 sensitivity. In this study we use the normalized signals instead of absolute concentrations for analysis. This is 210 sufficient here because our analysis focuses on the time evolution of signals and the relative changes of 211 intensities, so the absolute concentrations are not necessarily needed. The sensitivity derived above is only used 212 to convert the signals of dimers to concentrations in order to estimate the SOA yield.

Isoprene was measured by a Vocus proton transfer reaction time-of-flight mass spectrometer (Aerodyne Research Inc., hereafter Vocus), which has a higher mass resolving power (nominal 10000 Th/Th) and less inlet wall losses and sampling delays compared to traditional PTR-MS (Krechmer et al., 2018). The mixing ratio of O₃ was monitored by an UV absorption instrument, and that of NO₂ was monitored by a chemiluminescence instrument and a custom-built cavity ring-down spectrometer (CRDS). The concentrations of NO₃ and N₂O₅





were detected by two custom-built CRDS instruments (Dubé et al., 2006; Sobanski et al., 2016). In addition, temperature and pressure inside the chamber were monitored by an ultra-sonic anemometer and a pressure sensor, respectively. The relative humidity was primarily detected as water mixing ratio by a Picarro CRDS instrument (Crosson, 2008).

The particle number concentrations and their size distributions were measured by a condensation particle counter (TSI 3783, hereafter CPC) and a scan mobility particle sizer (TSI 3081 electrostatic classifier combined with TSI 3025 CPC, hereafter SMPS). The aerosol chemical composition was identified by a high-resolution time of flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne Research Inc., hereafter AMS). The ionization efficiency of AMS was determined by using the monodisperse aerosol generated from NH₄NO₃ and (NH₄)₂SO₄ solutions. The collection efficiency (CE) could be estimated based on the particle mass concentration yielded from AMS and that derived from SMPS. In this study, the average CE value of 0.5 is used for correction.

229 **2.4 Methods to estimate saturation vapor pressure**

230 The pure liquid saturation vapor pressure is a thermodynamic metric relevant for the partitioning equilibrium of organic molecules, which determines their propensity to form SOA (Compernolle et al., 2011; O'Meara et al., 231 232 2014; Pankow and Asher, 2008). Due to their complex functionalities and low or extremely low volatility, it is 233 challenging to determine the vapor pressures of highly oxidized molecules. As a result, theoretical and 234 semiempirical methods are usually used for vapor pressure estimation. Commonly used semiempirical methods 235 include composition-activity (CA), group-contribution (GC), and structure-activity (SA) methods. The CA 236 methods are the easiest to use, as they only require information on molecular composition for estimation. They are widely applied in context of the two-dimensional volatility basis set (2D-VBS) (Donahue et al., 2011). For 237 238 GC methods, the exact functional groups are required to calculate the saturation vapor pressure. The SIMPOL.1 239 (Pankow and Asher, 2008), the parameterization as described by Nannoolal et al. (2008), and EVAPORATION 240 (Compernolle et al., 2011) are three widely used GC methods. Structure-activity methods can provide more 241 accurate estimates with sophisticated treatments of intramolecular interactions like intramolecular hydrogenbonding (Bilde et al., 2015). However, detailed molecular properties such as boiling point and evaporation 242 243 enthalpy are required for estimation, which are generally obtained by complex and time-consuming quantum 244 chemical calculations. Therefore, SA methods are not applied for vapor pressure estimation in this study.

Saturation concentration (C^* , mass based) is related to saturation vapor pressure and can be calculated following Eq. (1) (Donahue et al., 2006). The $log_{10}(C^*)$ is a metric used in the 2D-VBS method to evaluate the volatility of organic molecules.

248
$$C_i^* = \frac{M_i 10^6 \zeta_i p_i^\circ}{RT}$$
 (1)

where R (8.206×10⁻⁵ m³ atm K⁻¹ mol⁻¹) is the gas constant, T (K) is the temperature, M_i (g mol⁻¹) is the molecular weight of compound *i*, ζ_i is the activity coefficient of compound *i* and here is assumed to be 1 (Donahue et al., 2006), p_i° (atm) is the pure liquid saturation vapor pressure at temperature T (298 K).

In this study, different CA methods are applied to calculate the saturation vapor pressures of various oxidation products from isoprene reaction with NO₃. These include parameterizations that were constrained by chamber measurements as proposed by Donahue et al. (2011), Mohr et al. (2019), and Peräkylä et al. (2019). Further we test the GC methods proposed by Nannoolal et al. (2008), Pankow and Asher (2008, SIMPOL.1),





256 and Compernolle et al. (2011, EVAPORATION). All the methods used in this study are summarized in Table 1. 257 The calculations of EVAPORATION and the Nannool method were done via the online molecular and 258 multiphase property prediction facility UManSysProp 259 (http://umansysprop.seaes.manchester.ac.uk/tool/vapour pressure). For the latter the boiling point 260 parameterization method needs to be predefined, and that from Nannoolal et al. (2004) was adopted as 261 recommended by O'Meara et al. (2014). The information about molecular structures needed for the calculation is inferred from mechanistic information, which is described in detail in Sect. 2.5. 262

263 Table 1: Summary of estimation methods of saturation vapor pressure used in this study

Estimation mathod	Methodology	Input information			Deference
Estimation method		molecular formula	functional groups	others	Reference
Donahua at al	CA ^a	N			Donahue et
Donanue et al.	CA	v			al., 2011
Mohr et al	CA	2			Mohr et al.,
Woni et al.	CA	v			2019
Peräkylä et al	CA	N			Peräkylä et
i ciakyla et al.	CA	,			al., 2020
Nannoolal et al	GC^b	2	al	√ d	Nannoolal et
Naimoorar et al.	00	v	v	v	al., 2008
SIMPOL 1	GC	N	N		Pankow and
SIMI OL.1	00	,	v		Asher, 2008
EVADODATION	GC	2	al		Compernolle
EVALORATION	UC	, , , , , , , , , , , , , , , , , , ,	v		et al., 2011
This study	EXP^{c}				

^a abbreviation of composition-activity method; ^b abbreviation of group-contribution method; c abbreviation of experimental method; ^d boiling point parameterization method is also required to be defined.

In addition, we take advantage of the measurements in this study to calculate the gas-particle equilibrium partitioning coefficient (K) by comparing experiments with and without seed aerosols. The partitioning coefficient K can be converted to saturation concentration C^* by Eq. (2).

269
$$K_i = \frac{C_{i,p}}{C_{i,g} \times C_{OA}} = \frac{1}{C_i^*}$$
 (2)

where $C_{i,g}$ and $C_{i,p}$ are the gas- and particle-phase concentrations (μ g m⁻³) of species i, respectively, and C_{OA} is the organic aerosol concentration (μ g m⁻³). In this study, $C_{i,g}$ is signal of species *i* from CIMS in the experiment with seeds, and $C_{i,p}$ is the difference of signals between experiment without and with seeds (under the same isoprene consumption condition). The C_{OA} in the experiment with seeds is in a range of 1-4 μ g m⁻³.

274 2.5 Pathways to the multifunctional oxidation products

275 2.5.1 Basic peroxy and alkoxy radical chemistry

As mentioned before, information about molecular structures (at least functional groups) is required to calculate vapor pressures by using GC methods. Although the high-resolution ToF-CIMS allows for determining chemical composition of the detected ions, it is unable to provide information about molecular structures, so that the constitutional or configurational isomers with the same mass cannot be distinguished without additional





280 information. Fortunately, knowledge of detailed chemical formation mechanisms can help inferring the 281 molecular structure information. However, the development of a comprehensive, multi-generational kinetic 282 mechanism for NO₃-initiated oxidation of isoprene is outside the scope of the current paper. Instead, in order to 283 link the observed mass peaks to representative molecular structures, we developed a framework tracing the 284 chemical oxidation mechanisms by taking well-known oxidation steps to predict the most likely isomeric forms 285 of the functionalized products formed in the isoprene oxidation. For this purpose, we rely on the extensive 286 literature on isoprene, alkylperoxy radical, and alkoxy radical chemistry (Atkinson, 2007; Atkinson and Arey, 287 2003; Bianchi et al., 2019; Crounse et al., 2013; Ehn et al., 2014; Jenkin et al., 2015; Kwan et al., 2012; Mentel 288 et al., 2015; Ng et al., 2008; Orlando et al., 2003; Orlando and Tyndall, 2012; Rollins et al., 2009; Schwantes et 289 al., 2015; Vereecken and Francsico, 2012; Vereecken and Peeters, 2010; Wennberg et al., 2018; Ziemman and 290 Atkinson, 2012). This framework is depicted in the supporting information and will be discussed in more detail 291 in Sect. 2.5.2 and Sect. 2.5.3. They are based on the following main reactivity trends.

Generally, RO₂ radicals can react with other RO₂ and HO₂ radicals. There are three major channels for the reaction between two RO₂ radicals, leading to alkoxy radicals (RO) (Reaction R1a), as well as termination products like alcohols, aldehydes or ketones (Reaction R1b) and accretion products (Reaction R1c). These reactions should take place with the first-generation peroxy radicals, as well as with the higher generation RO₂ radicals formed in the later oxidation steps. Hydroperoxides can be formed from the reaction of RO₂ with HO₂ radicals (Reaction R2a). This reaction can also yield alkoxy radicals (Reaction R2b).

298	$RO_2 \cdot + R'O_2 \cdot \longrightarrow RO \cdot + R'O \cdot + O_2$	(R1a)
299	$RO_2 + R'O_2 \rightarrow ROH + R'_{-H} = O + O_2$	(R1b)
300	$RO_2 \cdot + R'O_2 \cdot \longrightarrow ROOR' + O_2$	(R1c)

		-	· · · ·
301	$RO_2 + HO_2 \rightarrow ROOH$	$+ O_2$	(R2a)

 $302 \quad \text{RO}_2 \cdot + \text{HO}_2 \cdot \rightarrow \text{RO} \cdot + \cdot \text{OH} + \text{O}_2 \tag{R2b}$

303 In the presence of NO_x, RO₂ radicals can also react with NO and NO₂, leading to the formation of alkoxy radicals (R3a), organic nitrates (R3b), and peroxynitrates (R4) (including peroxyacyl nitrates, PANs, if R = 304 305 $R^{C}(O)$ -). The channel that results in RO radicals is the major pathway for the reaction of RO₂ radicals with NO 306 (Ziemann and Atkinson, 2012). However, reactions of RO2 radicals with NO (Reaction R3a and R3b) can be 307 neglected in this study due to the high O_3 concentration, which results in rapid conversion of NO to NO₂. The 308 peroxynitrates formed from the reaction of RO₂ with NO₂ will undergo rapid thermal decomposition under our 309 experimental conditions, with exception of PANs. The reaction of RO2 with NO3 radicals mainly forms NO2 and 310 alkoxy radicals (Reaction R5), which will continue the radical chains (Reaction R7).

311	RO_2 ·+NO \longrightarrow RO·+NO ₂	(R3a)

$$\begin{array}{ll} 312 & \operatorname{RO}_2 \cdot + \operatorname{NO} \to \operatorname{RONO}_2 & (R3b) \\ 313 & \operatorname{RO}_2 \cdot + \operatorname{NO}_2 + \mathrm{M} & \leftrightarrow \operatorname{ROONO}_2 + \mathrm{M} & (R4) \end{array}$$

314
$$\operatorname{RO}_2 + \operatorname{NO}_3 \longrightarrow \operatorname{RO}_2 + \operatorname{NO}_2 + \operatorname{O}_2$$
 (R5)

In addition to biomolecular reactions, intramolecular rearrangement (H-migration) is a competitive reaction pathway for RO₂ radicals. RO₂ radicals can undergo H-migration to form a hydroperoxy functionality (–OOH) and a radical site that can subsequently recombine with an O₂ molecule, leading to the formation of a new, more oxidized substituted RO₂ (Reaction R6). This process is the so-called "autoxidation" path and has been





- confirmed as a significantly important way for SOA formation (Crounse et al., 2013; Ehn et al., 2014; Mentel et
 al., 2015; Praske et al., 2018; Rissanen et al., 2014). The rates of RO₂ H-migration are strongly dependent on the
 structure of RO₂ radicals, and the most likely routes can be derived based on the structure-activity relationship
- 322 proposed by Vereecken and Nozière (2020).
- $323 \quad \text{RO}_2 \cdot \rightarrow \text{HOOQ} \cdot ; \text{ HOOQ} \cdot + \text{O}_2 \rightarrow \text{ Q(OOH)O}_2 \cdot \tag{R6}$

The RO radicals formed in in the reaction of $RO_2 + RO_2$ typically have three accessible pathways, including isomerization by H-migration (Reaction R7a), fragmentation (Reaction R7b) and less important here, reaction with O_2 (Reaction R7c). Like H-migration in RO₂, rearrangement by H-shift in RO radicals leads to the formation of more oxidized RO₂ radicals. Fragmentation leads to smaller carbon chains, and this becomes more important for alkoxy radicals with a higher number of (oxygen-bearing) substituents (Vereecken and Peeters, 2009, 2010).

330	$RO \rightarrow HOQ ; HOQ \rightarrow O_2 \rightarrow O_2$	R(OH)O ₂ ·	(R7a)
-----	--	-----------------------	-------

$$331 \quad \text{RO·} \rightarrow \text{R'=O+R''} \tag{R7b}$$

$$332 \quad \text{RO} + \text{O}_2 \rightarrow \text{R=O} + \text{HO}_2 \cdot \tag{R7c}$$

333 In addition to the above general reaction pathways, we include a number of other reactions in the 334 framework, such as fragmentation of peroxy radicals, epoxidation of β-OOH alkyl radicals, and unimolecular 335 termination of nitrooxy or hydroperoxyl peroxy radicals. Details can be found in the supporting information.

336 2.5.2 Formation of first-generation products

337 Here "first-generation products" refers to the closed-shell compounds from the first attack of NO₃ at the isoprene double bonds, while "second-generation products" follow an addition of NO₃ to the remaining double 338 339 bond (or any other oxidation reaction) of a first-generation product. Addition of a NO₃ radical to one of isoprene double bonds and subsequent addition of O₂ to the resulting (delocalized) radical sites leads to the formation of 340 341 nitrooxy alkylperoxy radicals (INO₂, C₅H₈NO₃). Since isoprene contains two double bonds, NO₃ can attack any of the four positions on the conjugated carbon bonds, resulting in eight possible INO2 isomers (including six 342 343 constitutional and two conformational isomers), as shown in Scheme S1. However, both theoretical and experimental studies suggest that the addition occurs preferably at the primary and terminal carbons, wherein C1 344 345 addition seems to be preferred over C4 addition (Schwantes et al., 2015; Suh et al., 2001; Wennberg et al., 2018). As the GC methods have limited or no ability to distinguish between positional isomers (Kurten et al., 2016), we 346 347 take exemplarily the products following the C1 addition for the vapor pressure analysis in this study.

348 The initial peroxy radicals (C₅H₈NO₃) can undergo rearrangement by H shift from C-H bonds with 349 subsequent O₂ addition, yielding new -OOH functionalized peroxy radicals (Reaction R6). Repeating this process can lead to the formation of a series of peroxy radicals and termination products with stepwise 350 351 increasing number of oxygen atoms by 2, as shown in the conceptual scheme Scheme S2. This is the RO₂ 352 autoxidation channel and the molecular formula of peroxy radicals formed via consecutive O_2 additions can be represented as $C_5H_8NO_{(3+2n)}$ (n ≥ 1 , number of autoxidation steps). The autoxidation chain can be terminated 353 354 when the H-shift occurs at a carbon with an -OOH or -ONO2 group attached, leading to carbonyl formation 355 with OH or NO₂ loss (Anglada et al., 2016; Bianchi et al., 2019; Vereecken, 2008; Vereecken et al., 2004). The





closed-shell products formed in these termination steps have the general molecular formula $C_5H_7NO_{(5+2n-1)}$ (OH loss channel) or $C_5H_8O_{(3+2n-2)}$ (NO₂ loss channel).

The $C_5H_8NO_{(3+2n)}$ peroxy radicals can also react with HO₂ radicals to form –OOH functionalized termination products with the general molecular formula $C_5H_9NO_{(3+2n)}$ (Reaction R2a), or yielding the alkoxy radicals $C_5H_8NO_{(3+2n-1)}$ (Reaction R2b). In addition, the $C_5H_8NO_{(3+2n)}$ peroxy radicals can react with other RO₂⁻¹ radicals (Reaction R1a-R1c). The reaction R1a leads to the formation of alkoxy radicals ($C_5H_8NO_{(3+2n-1)}$) while R1b forms closed-shell products either with a carbonyl group ($C_5H_7NO_{(3+2n-1)}$) or a hydroxyl group ($C_5H_9NO_{(5+2n-1)}$). Alternatively, dimers can be formed following Reaction R1c, which have then two –ONO₂ groups and at least 8 oxygen atoms depending on the formula of RO₂ radicals involved, as shown in Table S1.

365 The alkoxy radicals from reactions R1a and R2b can undergo unimolecular rearrangement by H shift with 366 subsequent O2 addition, similar to the RO2 radicals, forming new RO2 radicals with a -OH group (Reaction 367 R7a). As mentioned above, when the H-shift occurs at a carbon with an -OOH or -ONO₂ group attached, the resulting intermediates tend to lose an OH group or NO₂ (Bianchi et al., 2019), yielding the closed-shell 368 369 carbonyl products with general formulas $C_5H_7NO_{(5+2n-2)}$ or $C_5H_8O_{(3+2n-3)}$ respectively, as shown in the conceptual 370 scheme S3. The newly-formed RO₂ radicals from alkoxy H-shift channel can follow the peroxy 371 pathways (Reaction R1-R6) like other RO₂ radicals, leading to a diversity of compounds like hydroperoxides 372 (Reaction R2a, C₅H₉NO_(3+2n+1)), alcohols (Reaction R1b, C₅H₉NO_(3+2n)), aldehydes (Reaction R1b, C₅H₇NO_(3+2n)) 373 as well as accretion products (Reaction R1c, C10H16N2Ox), as depicted in Scheme S3. Alternatively, they can 374 also yield alkoxy radicals again following reactions R1a and R2b and continue so on. Furthermore, the alkoxy 375 radicals can break apart into two fragments according to Reaction R7b.

In general, the alkoxy reaction pathways diversify the parity of the oxygen number of the products from the reaction of isoprene with NO₃, and the compounds formed via these reactions generally have one less or one more oxygen atom compared to those formed from straight peroxy reaction pathways. With help of the mechanistic framework described above, we can infer the functionality of first-generation products. This is exemplified in Scheme S5 and S6 for the major first-generation C_5 products. In addition, the reaction pathways and their corresponding structures of the first-generation C_{10} dimers ($C_{10}H_{16}N_2O_x$) are summarized in Scheme S13.

383 2.5.3 Formation of second-generation products

Nitrate radicals can oxidize the first-generation products once again at the double bond remaining $(k_{NO_3}(298\text{K}) \sim 3-11 \times 10^{14} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, Wennberg et al., 2018). This leads eventually to "second-generation" products that contain at least two nitrogen atoms. Addition of NO₃ radical to the remaining double bond of the first-generation products results in the formation of dinitrooxy peroxy radicals. We assume that dinitrooxy peroxy radicals can undergo unimolecular and bimolecular reactions (Reaction R1–R6) in analogy to nitrooxy peroxy radicals, which lead to secondary products containing two or more nitrogen atoms, as summarized in the conceptual scheme Scheme S4.

The reaction of first-generation nitrooxy peroxy radicals with NO₂ can also yield 2N-compounds (Reaction R4), however these 2N-compounds ought to be under first-generation products by definition. Such species are not discussed in detail here but will be covered to catch the diversity of the functionalities for the vapor pressure estimation. With the help of this secondary reaction framework, we can propose functional groups for the major





395 second-generation products. Scheme S8 - S10 depict the detailed (possible) reaction pathways that lead to the

- 396 formation of detected C₅ dinitrates, as well as their possible structures. Furthermore, the proposed formation
- 397 mechanism and their structures for C₅ trinitrates are shown in Scheme S12, while those for the second-
- $\label{eq:generation} 398 \qquad \text{generation } C_{10} \text{ dimers } (C_{10}H_{17}N_3O_x \text{ and } C_{10}H_{18}N_4O_x) \text{ are depicted in Scheme S13}.$

399 2.5.4 Formation of fragmentation products

400 In addition to the multigenerational C_5 and C_{10} products, fragmentation products can be formed from the 401 reaction of isoprene with NO₃. As mentioned above, the alkoxy radicals can undergo C-C bond scission, 402 producing a carbonyl compound and an alkyl fragment (Reaction R7b). As shown in Scheme S7, when the 403 secondary nitrooxy alkoxy radicals from the further oxidation of C_5 carbonyl compounds ($C_5H_8O_2$ and $C_5H_8O_3$) 404 here) undergo unimolecular decomposition, C4 carbonyl products (C4H7NO5 and C4H7NO6, respectively) are 405 formed as well as formyl radicals. Since the bond fission can occur at different positions, the generation of more 406 reactive C_2 and C_3 carbonyl compounds are possible. In addition, the C_4 carbonyl compounds are possibly 407 generated through peroxy radical arrangement by 1,4 H-shift and subsequent acyl radical bond scission reactions 408 (see Scheme S7). The C_4 dinitrates can be formed following similar chemistry, as depicted in Scheme S11.

409 2.5.5 Candidate structures for vapor pressure estimation

410 Among all gas-phase products detected by CIMS, we selected 32 major representative organonitrates formed 411 from isoprene oxidation by NO_3 radicals. Their structures are rationalized by the corresponding molecular 412 formulas and proposed formation mechanisms in the reaction framework. Table S2 summarizes all the 413 exemplified structures used for vapor pressure estimation. The functional groups covered in the selected 414 structures include nitrate, hydroxyl, ketone, aldehyde, carboxylic acid, peroxide, hydroperoxide, hydroperoxy 415 acid, peroxynitrate, peroxyacyl nitrate and epoxide. The structural information allows calculation of the 416 saturation vapor pressure by GC methods.

417 3. Results and discussion

418 **3.1 Chemical composition of oxidation products**

419 Figure 2 illustrates the average mass spectra of the whole experiment measured by Br CIMS for isoprene-NO₃ 420 reaction. Chemical sum formulas were attributed to most of the detected ions. The gas-phase products were 421 separated into two major groups according to their chemical composition, including monomers comprising C_5 422 compounds and dimers containing C_{10} compounds. There were also products from decomposition reactions with 423 C<5, which were merged into monomers. The monomers and dimers were further classified into five subgroups 424 as follows. Monomers consisting of compounds with one nitrogen atom (hereafter 1N-monomers) and two or 425 three N atoms (2N- or 3N-monomers) mainly accumulate in m/z 220-280 Th, m/z 300-340 Th and 350-390 Th, 426 respectively, while dimers containing compounds with two N atoms (2N-dimers) and three N atoms (3N-dimers) 427 appear in m/z 370–440 Th and 450–520 Th, respectively. As shown in Fig. 2, the signal intensities decrease 428 from 1N-monomers, 2N-monomers, 2N-dimers to 3N-monomers and 3N-dimers. Many of the compounds detected in this work were also observed in previous isoprene-NO₃ systems (Kwan et al., 2012; Ng et al., 2008; 429 430 Schwantes et al., 2015). In this work, only closed-shell products are considered for analysis.









Figure 2: Averaged mass spectra for isoprene-NO3 experiment on 8 August, 2018. Molecular formulas were
 determined according to the accurate mass data provided by HR-ToF-CIMS.

434 The 1N-monomer C₃H₉NO₅ at m/z 242 is the dominant product formed from the NO₃-induced isoprene 435 oxidation in our experiment, followed by the 1N-decomposition product $C_4H_7NO_5$ at m/z 228. In addition to 436 $C_5H_9NO_5$, several analogues with molecular formulas $C_5H_7NO_{4-7}$ and $C_5H_9NO_4$ are in relatively high abundance. 437 $C_5H_{8,10}N_2O_{8,9}$ and $C_5H_9N_3O_{10-12}$ are the major 2N- and 3N-monomers. Their signal intensities are one to two 438 orders of magnitude lower than those of 1N-monomers. According to the chemical composition, the 1N-439 monomers are likely to be the first-generation products from NO₃ oxidation of isoprene, while the 2N- and 3N-440 monomers probably arise from the further oxidation of 1N-monomers by NO₃, which therefore should be 441 second- or later-generation products. As mentioned before, the reaction of nitrooxy alkylperoxy radicals with 442 NO₂ can lead to the formation of peroxynitrates (for the special case peroxyacyl nitrates, PAN-like) containing 443 two N atoms. The peroxynitrates will decompose rapidly under experimental conditions, whereas the PAN-like 444 compounds are more stable (with lifetimes ranging from minutes to weeks at 298K and ambient temperature). 445 Such C₅ PAN-like compounds are isomers of aforementioned 2N-monomers, but ought to be first-generation 446 products. In addition to C_5 -2N-monomers, we observe some C_4 -2N-monomers with relatively high intensity, 447 such as $C_4H_6N_2O_7$ at m/z 273 and $C_4H_8N_2O_8$ at m/z 291. It is proposed that such C_4 dinitrates originate from the further oxidation of C_5 carbonyl compounds followed by unimolecular decomposition (Schwantes et al., 2015; 448 449 Wennberg et al., 2018), as shown in Scheme S11.

450 2N-Dimers are C_{10} compounds with 8-12 oxygen atoms ($C_{10}H_{16}N_2O_{8-12}$), and their signal intensities are 451 relatively low compared to that of monomers, approximately three orders of magnitude lower. They might be 452 ROOR products from the self or cross reaction of two nitrooxy peroxy radicals (Berndt et al., 2018). 3N-Dimers 453 are molecules consisting of 12–16 oxygen atoms ($C_{10}H_{17}N_3O_{12-16}$). They are probably formed from further 454 oxidation of 2N-dimers or from the cross reaction of a nitrooxy peroxy radical with a dinitrooxy peroxy radicals.





455 **3.2 Multi-generation chemistry**

456 3.2.1 Molecular composition for each step

457 As mentioned in Sect. 2.2, there were four injections during the experiment on 8 August (denoted as step I, II, 458 III, IV in Fig. 3), wherein in the first three injections all components, O₃, NO₂, and isoprene, were added, while 459 in the last step only O_3 and NO_2 were injected to promote the further oxidation of early-generation products. The 460 extended oxidation time with reinjection of oxidants provides the opportunity to investigate the multi-generation 461 oxidation chemistry of isoprene-NO3 system. The mass spectra show only slow changes in the concentrations 462 during the last period of each step, indicating weak chemical evolution. Therefore, we use integrated mass spectra over the last 10 minutes of each step for further analysis. Due to the similarity of the integrated mass 463 464 spectra for step II and step III, the latter is omitted in Fig. 3.





Figure 3: Comparison of the chemical composition of each oxidation step. (A) Averaged mass spectra for step I, II, and IV, with the omitted spectrum of step III being very similar to that of step II. (B) Relative contribution of different chemical groups for each oxidation step. Only organic products were counted for analysis. 'Others' refers to CHO compounds without containing nitrogen atoms (e.g., C5HsO2 and C5HsO3).





470 As shown in Fig. 3a, large amounts of 1N-monomers were formed from NO3 oxidation of isoprene in step I, 471 wherein C₅H₉NO₅, C₅H₉NO₆, and C₄H₇NO₅ are the most abundant compounds in signal. The 2N-monomers, 472 which are expected from further oxidation of 1N-monomers, are much less compared to 1N-monomers, 473 accounting for 5.0% of the total organic signals, with the 3N-monomers even less (0.04%). The low 474 contributions of second-generation products probably results from the relatively high concentration of isoprene 475 in step I, reducing the possibility for further oxidation of first-generation products. These results indicate that the 476 system is dominated by first-generation chemistry at the early stage and therefore the oxidation state of products 477 is low. In addition to monomers, some 2N- and 3N-dimers are observed. They contribute 1.7% and 0.2%, 478 respectively, to the total organic signals, as shown in Fig. 3b. The low signal intensity of dimers probably results 479 from their small yield under our experimental conditions. In this case their contribution to SOA formation might 480 be small. However, a part of the dimers condense onto chamber wall due to their low volatility, so only a 481 smaller portion exists in the gas phase (compare Table S3 and Fig. S6).

482 In step II, the secondary chemistry was accelerated by further addition of O_3 and NO_2 , but the primary 483 chemistry was also maintained by isoprene injection. As a result, more 1N-monomers (e.g. C₅H₉NO_{4,5,6}) were 484 formed compared to step I, as well as dimers (e.g., $C_{10}H_{16}N_2O_{8,9,10}$ and $C_{10}H_{17}N_3O_{12,13}$), as shown in Fig. 3a. The 485 signals of 2N-monomers almost double in this period compared to those in step I, and their relative contribution 486 increase from 5.0% to 7.4%. This is attributed to the further oxidation of first-generation products formed in 487 step I. The relative contributions of different chemical groups exhibited in Fig. 3b clearly show that, although 488 NO₃ produced from the second addition of NO₂ and O₃ still primarily reacted with newly-injected isoprene, 489 reaction of NO₃ with the first-generation oxidation products retaining a double bond was inevitable, leading to 490 more second-generation 2N- or 3N-products compared to step I. The visibly increasing fraction of 2N-491 monomers indicates that the second-generation chemistry started to play a more important role than that in the 492 early stage. In step III, the chemical process proceeded similarly, and thus is not further discussed here.

493 Due to the favorable conditions for further oxidation, the signals of 1N-monomers (such as $C_5H_9NO_4$, 494 C₅H₉NO₅, and C₅H₉NO₆), as well as 2N- and 3N-dimers, dropped dramatically in step IV, with their relative 495 contributions decreasing to 58.1%, 0.5%, and 0.15%, respectively. The decrease in signals of dimers is primarily 496 ascribed to lack of isoprene, as there were less peroxy radicals under this condition, and hence less dimers were 497 formed. In addition, their condensation on the wall and dilution also contributed to the decreasing signals. 498 Furthermore, dimers with 2 or 3 nitrogen atoms possess at least one double bond in their molecular structures 499 and can thus be further oxidized under high NO₃ condition to form 4N- or 5N-dimers. However, only few 4N-500 dimers and no 5N-dimers were detected by CIMS, suggesting that the 4N- and 5N-dimers were either not 501 formed, or condensed on the wall due to their low volatilities. In contrast, 2N- and 3N-monomers increase 502 significantly, with their relative contributions ascending to 20.0% and 0.29%, respectively. This indicates that 503 2N- and 3N-monomers might be second- or later-generation products that are formed from the further oxidation 504 of first-generation products. Additionally, unlike the C5 monomers, the signal of C4H7NO5 increased in step IV, 505 indicating that there is a new formation pathway for C4H7NO5 under excess NO3 condition. No double bond can 506 remain in such products, as otherwise they would be oxidized and their signal should decay instead. 507

507 In summary, above findings confirm that multi-generation chemistry happened during the NO₃-initiated 508 isoprene oxidation, and that the later generation oxidation was promoted by "excess" NO₃ radicals.





509 **3.2.2 Carbon oxidation state** ($\overline{OS_C}$)

510 The oxidation state of carbon (\overline{OS}_{C}) is defined as the charge a carbon atom takes with assumption that it loses 511 completely all electrons in bonds to more electronegative atoms and vice versa (Kroll et al., 2011). This quantity 512 is a metric for the degree of oxidation and will increase with oxidation. Moreover, $\overline{OS_C}$ together with carbon 513 number can be used to constrain the composition of organic mixtures and provide insights into their evolutions. 514 The carbon oxidation state of a species is determined by the relative abundances and oxidation states of noncarbon atoms in the compound. Since we observed nitrate groups in the products, $\overline{OS_C}$ is defined by Eq. (3). In 515 this study, the group-averaged $\overline{OS_{C}}$ is the signal-weighted mean average carbon oxidation state of compounds 516 with the same carbon number, and the bulk-averaged $\overline{OS_{C}}$ is the signal-weighted mean average carbon oxidation 517 518 state of all detected compounds in the system.

519
$$\overline{OS_C} = \frac{2 \times n_0 - n_H - 5 \times n_N}{n_C}$$
(3)

520 wherein, n_0 , n_H , and n_N are the number of the respective atoms in the molecular formula.

Figure 4 shows the distribution of gas-phase products from the isoprene-NO₃ system in the oxidation state versus carbon number (OS_C vs n_C) space. The bulk-averaged $\overline{OS_C}$ is -0.35 in step I, wherein the smaller molecules ($C_{\leq 4}$) have higher oxidation states than the larger molecules. The group-averaged oxidation state of C_5 compounds is relatively low ($\overline{OS_{C=5}}$ = -0.66), indicating that both of the oxidation and autoxidation degree of isoprene are quite low during this period. This is consistent with the conclusion made previously from mass spectra results that at the early stage isoprene-NO₃ oxidation was dominated by first-generation chemistry.

527 The system $\overline{OS_C}$ increases to -0.26 in step II, confirming that first-generation products were further oxidized after the second injection. During this step, the $\overline{OS_C}$ of most compound groups increase only weakly, 528 529 except for that of the C₅ compounds. The group-averaged $\overline{OS_c}$ of C₅ compounds increases to -0.60 in step II, which is the major contributor to the increase of $\overline{OS_C}$ of the whole system. The increase of $\overline{OS_C}$ of C_5 530 531 compounds is largely attributed to the formation of 2N-monomers expected from further oxidation of existing 532 1N-products formed in step I. This is confirmed by the detectable increase of 2N- and 3N-monomers in the mass spectra and their higher relative contributions to total signals (see Fig. 3). In addition to C₅ compounds, the $\overline{OS_C}$ 533 534 of C₃ and C₆ products increase significantly in step II.

535 In step IV, the secondary oxidation was largely accelerated by reinjection of O3 and NO2, and hence the 536 system oxidation degree increases, with the bulk-averaged $\overline{OS_C}$ growing substantially to 0.09. Similarly, the significant increase of system $\overline{OS_C}$ is mainly attributed to the C₅ compounds, with their group-averaged $\overline{OS_C}$ 537 increasing to -0.31. In addition, the $\overline{OS_C}$ of C_{10} compounds increased evidently despite their decreasing signals, 538 539 suggesting C_{10} dimers were further oxidized as well in step IV. It is worth noting that the average carbon number decreases step by step with increasing $\overline{OS_C}$. This is the case because fewer C_{10} products, but more 540 541 fragments were formed with the reaction proceeding, as shown in Fig.4 by the decreasing peak areas of larger 542 molecules but converse trend for smaller molecules. One conceivable explanation for the decreasing dimers but 543 increasing fragments with the increasing $\overline{OS_C}$ is that, with more highly oxidized RO₂ formed under high NO₃ 544 condition, the prevailing fate of RO₂ changes from dimerization to forming alkoxy radicals, which would 545 undergo unimolecular decomposition rapidly, especially when there is a neighboring oxygen-containing 546 functional group (Molteni et al., 2019).







548 Figure 4: Distribution of gas-phase products from isoprene oxidation by NO₃ in the carbon oxidation state (OS_C) 549 versus carbon number (n_C) space. Markers are colored by oxygen-to-carbon molar ratio and sized by the logarithm 550 of peak areas. The group-averaged and bulk-averaged $\overline{OS_C}$ are signal-weighted mean average carbon oxidation state 551 of compounds with the same carbon number and of all detected compounds, respectively.

552 In summary, isoprene and its products undergo further oxidation by NO₃, leading to an increase in degree 553 of oxidation of products as the reaction proceeds. The increasing bulk $\overline{OS_C}$ is largely governed by the highly 554 oxidized C₅ compounds. In addition, more fragments but fewer dimers are formed as the $\overline{OS_C}$ increases, which 555 can be probably explained by the change of RO₂ fate from prevailing dimerization to fragmentation through the 556 alkoxy radical channel.

557 3.2.3 Characteristics of different-generation products

558 (1) 1N-monomers

559 To illustrate the multi-generation chemistry involved in the isoprene-NO₃ reaction system, Fig. 5 shows the time 560 evolution of the major gas-phase products. The signal of the most abundant compounds, C5H9NO5, increases 561 rapidly as soon as the reaction was initiated, reaching a maximum when its chemical production rate matches its loss rate (including chemical destruction, wall loss, dilution, etc.), and decreases slowly thereafter. Its time 562 563 behavior in the first three steps is similar. In step IV, however, the injection of O₃ and NO₂ resulted in a strong decay of C₅H₉NO₅, owing to the occurrence of further oxidation by NO₃. The time behavior suggests that 564 $C_5H_9NO_5$ signal is dominated by first-generation oxidation products, and the same conclusion can be made for 565 566 C₅H₉NO₄ and C₅H₉NO₆. According to the mechanistic framework developed above, the C₅H₉NO₄, C₅H₉NO₅, and C₅H₉NO₆ compounds most likely correspond to hydroxyl nitrates, nitrooxy hydroperoxides, and hydroxy 567 568 hydroperoxy nitrates, respectively, but other constitutional isomers are possible. They were already observed in previous studies and were proposed to form through reactions of INO2 radicals with RO2, HO2, and 569 unimolecular rearrangement, as shown in Scheme S5 (Ng et al., 2008; Kwan et al., 2012; Schwantes et al., 2015; 570 571 Wennberg et al., 2018).







572

573Figure 5: Time evolution of selected gas-phase compounds measured during the isoprene - NO3 experiment on 08574August, 2018. (a) Time series of O3, NO2, NO3 and isoprene. (b)–(f) Time evolution of major 1N-monomers (C5H9NO4.5757 and C4H7NO5), 2N-monomers (C4H6N2O7, C5H6N2O8, and C5H8.10N2O8.9), 3N-monomers (C5H9N3O10-12), 2N-dimers576(C10H16N2O8-12), and 3N-dimers (C10H17N3O12-16).

577 As shown in Fig. 5b, the temporal evolution of $C_5H_9NO_7$ (m/z 274) is different to $C_5H_9NO_{4-6}$ compounds, 578 suggesting that it has a completely different formation pathway. Specifically, the formation rate of $C_5H_9NO_7$ is 579 initially much slower than that of $C_5H_9NO_{4-6}$ but accelerates to become comparable to them later as the 580 experiment proceeds, i.e. when a multitude of first-generation products are accumulated. This implies that





581 $C_5H_9NO_7$ is produced from the further oxidation of first-generation products, and its signal is dominated by 582 second-generation products. Based on its molecular composition, C₅H₉NO₇ could be the dihydroperoxy nitrate 583 as shown in Scheme S5, but its formation through the reaction of HO_2 with nitrooxy hydroperoxy radical from 584 INO2 autoxidation suggests it should be first-generation products, not in accordance with the time behavior we actually observe. Consequently, we can conclude that it is not the major formation pathway that contributed to 585 586 $C_5H_9NO_7$ observed in this study. As shown in Scheme S7, the first-generation C_5 hydroxy carbonyl ($C_5H_8O_2$, 587 m/z 179) can be further oxidized by NO₃ and the resulting alkyl radical would rapidly recombine with O₂, 588 producing a new peroxy radical, which then reacts with HO2 radicals to form C5H9NO7 (hydroxy hydroperoxy 589 carbonyl nitrate). Similarly, the C₅ hydroperoxy carbonyl (C₅H₈O₃, m/z 195) can also lead to the formation of 590 such $C_5H_9NO_7$ (isomer of that formed through $C_5H_8O_2$ channel) through further oxidation (see Scheme S7). 591 According to above two mechanisms, C5H9NO7 formed following such reaction pathways should be second-592 generation products, better consistent with its time behavior.

593 Considering its similar time behavior to C₃H₉NO₇, the observed C₄H₇NO₅ (m/z 228) signal is likewise 594 thought to be dominated by second-generation products. Schwantes et al. (2015) proposed such a C4 product 595 based on OH-initiated chemistry, but as the OH concentration in our system was close to zero during the 596 experiment (see Fig. S3), this formation pathway cannot apply in our situation. Instead, we suggest that 597 $C_4H_7NO_5$ is formed through the unimolecular decomposition of the C_5 alkoxy or acyl radicals, which result from 598 further oxidation of the C5 hydroxy carbonyl (C5H8O2, m/z 179), as shown in Scheme S7. It should be pointed 599 out here that there may be reaction pathways forming C₄H₇NO₅ as first-generation products that are not 600 considered here, whereas it is no doubt that the second-generation chemistry played a dominant role in $C_4H_7NO_5$ 601 formation according to its time evolution measured by CIMS.

602 Although $C_4H_7NO_5$ and $C_5H_9NO_7$ show similar time behaviors in the first three steps, it seems that they 603 followed fairly different reaction pathways when the concentration of NO₃ in the chamber increased 604 dramatically in step IV. As shown in Fig. 5b, the signal of $C_4H_7NO_5$ drops immediately after the injection of O_3 605 and NO₂, while that of C₃H₉NO₇ continues to increase, although its formation rate becomes slightly lower with 606 increasing NO₃ concentration. The decay of $C_4H_7NO_5$ signal can be explained by more chemical destruction or 607 less production under high NO₃ condition, wherein the latter seems more sensible in terms of its structure (no double bond remaining). As shown in Scheme S7, the second-generation C₄H₇NO₅ and C₅H₉NO₇ compounds 608 609 share the same precursor in the $C_5H_8O_2$ channel. Consequently, the production of $C_5H_9NO_7$ through this 610 pathway would be interrupted immediately after the injection of O_3 and NO_2 like $C_4H_7NO_5$. In reality, its signal 611 might decay even faster due to the larger reaction rate of RO_2 H-shift (leading to the formation of $C_4H_7NO_5$) 612 than that of RO₂ reacting with HO₂ (leading to the formation of C₅H₉NO₇). As presented by Vereecken and 613 Nozière (2020), the rate coefficient of aldehydic H-shift is $\geq 0.5 \text{ s}^{-1}$ (298 K), while the pseudo first order rate coefficient of RO₂ reacting with HO₂ is ~ 10^{-3} s⁻¹ (k (298 K) = 5 × 10^{-12} cm³ molecules⁻¹s⁻¹ (Atkinson, 2007), and 614 615 $[HO_2] \sim 4 \times 10^8$ molecules cm⁻³), about two orders of magnitude smaller. This result implies that the increasing 616 $C_5H_9NO_7$ observed is contributed to by other formation pathways. As mentioned before, $C_5H_9NO_7$ can also be 617 produced by $C_5H_8O_3$ oxidation. We find that the signal of $C_4H_7NO_6$ (m/z 244), which results from $C_5H_8O_3$ oxidation as well, remains increasing after the injection of O_3 and NO_2 . This tentatively confirms that the 618 619 production of C₅H₉NO₇ in step IV is mainly from C₅H₈O₃ oxidation channel. More experimental or theoretical 620 studies are needed to provide insights into these differences.





621 (2) 2N- and 3N-monomers

As shown in Fig. 5c, 2N-monomers formed much slower than 1N-monomers in the early stage, but their formation rates were accelerated in step II and step III, probably due to the accumulation of first-generation products. According to our mechanistic framework, 2N-monomers are second-generation products resulting from the further oxidation of 1N-monomers by NO₃, which is consistent with their time behaviors detected by CIMS.

627 Like $C_4H_7NO_5$ and $C_5H_9NO_7$, different 2N-monomers have similar behavior in the first three steps, but they are obviously different in step IV when the concentration of NO3 increased drastically in the chamber. For 628 629 instance, the signals of $C_5H_8N_2O_8$, $C_5H_8N_2O_9$ and $C_5H_{10}N_2O_8$ continue to increase after the injection of O_3 and 630 NO_2 , while that of $C_5H_{10}N_2O_9$ drops immediately. This is related to their detailed formation mechanisms which are outside the scope of this study. Furthermore, C5H8N2O9 and C5H10N2O9 decay a little bit faster than 631 632 $C_5H_8N_2O_8$ and $C_5H_{10}N_2O_8$, which might be related to their volatility and will be further discussed in next section. 633 Different from other 2N-monomers, the signals of $C_5H_6N_2O_8$ (m/z 301) increases continuously under high 634 NO₃ condition, although its net formation rate is almost zero at the end of step IV. The characteristics of C₅H₆N₂O₈ under high NO₃ condition reflects its different formation pathways from other dinitrates, and without 635 having a comprehensive knowledge of its chemical mechanism, we are unable to tell what exactly leads to the 636 differences. In the Master Chemical Mechanism (MCM v3.3.1), C5H6N2O8 is proposed to be a PAN-like 637 638 C_5 carbonyl compound stemming from the nitrooxy $(C_5H_7NO_4)$ (http://mcm.leeds.ac.uk/MCM/browse.htt?species=NC4CHO). Such C₅H₆N₂O₈ compound would react with 639 640 NO₃ radicals due to the remaining double bond, and hence this cannot be the predominant formation pathway of the $C_5H_6N_2O_8$ observed in this study. Based on the formation mechanism of dinitrooxyepoxides ($C_5H_8N_2O_7$) 641 642 proposed by Kwan et al. (2012), we suggest that C5H6N2O8 can also be a dinitrooxyepoxide resulting from 643 cyclization of specific hydroperoxy alkyl radicals, as shown in Scheme S10. Alternatively, the C_5 hydroxy 644 nitrate ($C_5H_9NO_4$) can be oxidized by NO₃ and then react with NO₃ radicals again, forming $C_5H_6N_2O_8$ with two 645 aldehyde groups ultimately (see Scheme S10). According to the proposed mechanisms above, C5H6N2O8 formed through the first two pathways are second-generation products, while those from the third channel are third-646 647 generation products, in accordance with its time behavior measured by CIMS.

648 In addition to C₅-2N-monomers, we observe some C_4 dinitrates such as $C_4H_6N_2O_7$ (m/z 273) and $C_4H_8N_2O_8$ 649 (m/z 291), and the signal intensity of C₄H₆N₂O₇ is comparable to the major C₅-2N-monomers. C₄ dinitrates have rarely been mentioned in previous isoprene-NO3 studies. As shown in Fig. 5c, C4H6N2O7 has similar time 650 651 behavior to C₅-2N-monomers, and hence is thought to be second-generation products. Wennberg et al. (2018) 652 proposed that such a C4 dinitrate was generated from OH-initiated further oxidation of C5H7NO4. However, this is not applicable here due to a lack of OH radicals in our system. Instead, we propose that the C4H6N2O7 653 654 observed in this study is dinitrooxy carbonyl compound resulting from NO₃ oxidation of C₅H₇NO₄ with 655 subsequent unimolecular decomposition (see Scheme S11 for details).

As shown in Fig. 5d, 3N-monomers are generated more slowly than 1N-monomers, but their signals grow gradually as the experiment proceeds, with a significant increase especially for $C_5H_9N_3O_{10}$ in the last step. Furthermore, we can see from Fig. 5c and Fig. 5d that the signals of C_5 trinitrates in step IV appear anticorrelated to that of $C_5H_{10}N_2O_8$ and $C_5H_{10}N_2O_8$. The gas-phase 3N-monomers have rarely been reported in previous literature. Ng et al. (2008) observed $C_5H_9N_3O_{10}$ compound in the particle-phase and assumed that it





661 was produced from NO₃ oxidation of the C₅ hydroxy nitrate (C₅H₉NO₄). Similarly, C₅H₉N₃O₁₁ and C₅H₉N₃O₁₂ can be formed through NO3 reacting with dinitrooxy peroxy radicals, which result from corresponding first-662 663 generation nitrooxy compounds (C_5 hydroperoxy nitrate, $C_5H_9NO_5$ or C_5 hydroxy hydroperoxy nitrate, $C_5H_9NO_6$) 664 oxidation by NO₃ radicals, as shown in Scheme S12. 3N-Monomers formed following such pathways are 665 second-generation products by definition. Regarding the rising signals of 3N-monomers in step IV, one 666 explanation is that although the reaction of dinitrooxy peroxy radicals with NO₃ is not an oxidation process, 667 their formation can be significantly facilitated by increasing NO₃ concentration. It is also possible that 3N-668 monomers are formed through H-abstraction of 2N-monomers. NO₃ radicals can abstract the hydrogen of 669 dihydroxy dinitrate $(C_5H_{10}N_2O_8)$ or hydroxyl hydroperoxy dinitrate $(C_5H_{10}N_2O_9)$ from the carbon with an -OH, 670 -OOH or $-ONO_2$ group attached, leading to alkyl radicals that can subsequently recombine with O_2 and then 671 react with NO2 or NO3, yielding trinitrates or peroxynitrates containing three nitrogen atoms. 3N-Monomers 672 stemming from such reactions ought to be third-generation products. However, we should point out that 3N-673 monomers formed following H-abstraction pathway are less likely because abstracting hydrogen from the 674 hydroxyl, hydroperoxy or nitrooxy carbon would lead to fragmentation at most cases (Bianchi et al., 2019).

675 In addition, it is interesting to note that the signal of $C_5H_9N_3O_{10}$ increases continuously throughout step IV, 676 whereas that of $C_5H_9N_3O_{11}$ and $C_5H_9N_3O_{12}$ drop after a short period of growth. Meanwhile, the production of 677 $C_5H_9N_3O_{10}$ is facilitated by the increasing NO₃ concentration compared to that of $C_5H_9N_3O_{12}$ and $C_5H_9N_3O_{11}$. 678 Currently, we cannot explain what exactly causes these differences, but we suspect that there may be different 679 chemical pathways forming different 3N-monomers that are not covered here and may also be related to their 680 different physical properties, such as vapor pressures.

681 (3) 2N- and 3N-dimers

682 As shown in Fig. 5e, 2N-dimers (except for C₁₀H₁₆N₂O₁₁) display very similar time behavior to 1N-monomer, which form rapidly after each injection, indicating that the signals of 2N-dimers are dominated by first-683 684 generation products like most 1N-monomers. It is noted that the time behavior of $C_{10}H_{16}N_2O_{11}$ (m/z 419) is 685 completely different from that of other 2N-dimers. As illustrated in Fig. 5e, the production rate of $C_{10}H_{16}N_2O_{11}$ 686 is initially much slower compared to other dimers. Besides, its signal increases monotonically in the first two 687 oxidation stages, whereas that of the others always increase first, approaching the maximum as its chemical production competes against the losses, and decrease gradually thereafter. The special time behavior of 688 689 $C_{10}H_{16}N_2O_{11}$ suggests that it has a different formation pathway from other 2N-dimers, and its signal is most 690 likely dominated by secondary products. In addition, we find that the signal of $C_{10}H_{16}N_2O_{12}$ always starts to 691 decay earlier than that of $C_{10}H_{16}N_2O_8$ and $C_{10}H_{16}N_2O_9$. If we assume that their production rates have the same 692 order of magnitude (confirming by their formation rates after each injection), then it can be concluded that 693 $C_{10}H_{16}N_2O_{12}$ had additional chemical destruction, or its volatility is much lower than $C_{10}H_{16}N_2O_8$ and 694 $C_{10}H_{16}N_2O_9$ and hence has more rapid lost on the wall. It seems the second hypothesis is more likely when 695 comparing its signal with and without dilution and wall-loss corrections (see Fig. S4). More detailed discussion 696 about volatilities of different isoprene organonitrates will be provided in the next section.

697 It is proposed that dimers (ROOR) are likely formed through the self- or cross-reaction of two peroxy 698 radicals (Berndt et al. 2018). Consequently, the generation number of dimers depends only on how the involved 699 peroxy radicals are formed. Table S1 summarizes the possible permutation scheme of 2N-dimers from RO₂ +





700 RO'2 reactions, and their structural information can be found in Scheme S13. For example, self-reaction of two 701 C_5 nitrooxy peroxy radicals ($C_5H_8NO_5$) leads to the formation of $C_{10}H_{16}N_2O_8$ compound, while recombination 702 of two C₅ nitrooxy hydroxyl peroxy radicals (C₅H₈NO₆) or a C₅ nitrooxy peroxy radical (C₅H₈NO₅) with a C₅ 703 nitrooxy hydroperoxy peroxy radical (C₅H₈NO₇) results in C₁₀H₁₆N₂O₁₀ compound. According to their time 704 behavior, 2N-dimers (except for $C_{10}H_{16}N_2O_{11}$) are thought to be first-generation products, and from this fact we 705 can infer that the peroxy radicals contributing to dimer formation are dominated by first-generation 706 intermediates. With regard to $C_{10}H_{16}N_2O_{11}$, we conclude that it is most likely a secondary product considering 707 its typical second-generation behavior. In other words, at least one of the two C5 nitrooxy peroxy radicals 708 involved in formation of $C_{10}H_{16}N_2O_{11}$ must be a secondary intermediate. As listed in Table S1, $C_{10}H_{16}N_2O_{11}$ can 709 be formed through $C_5H_8NO_6 + C_5H_8NO_7$ or $C_5H_8NO_6 + C_5H_8NO_7$ reactions, wherein $C_5H_8NO_7$ and $C_5H_8NO_8$ 710 would be secondary peroxy radicals if they are formed through NO3 further oxidation of the C5 hydroxy 711 carbonyl compounds ($C_5H_8O_2$ or $C_5H_8O_3$), as shown in Scheme S7. In addition, it is possible that $C_{10}H_{16}N_2O_{11}$ is 712 formed from a C_5 hydroxy peroxy radical $C_5H_9O_3$ reacting with a C_5 dinitrooxy hydroxy carbonyl peroxy radical 713 C5H7N2O10 (from C5H7NO5 oxidation by NO3), as we observe high abundant C5H10O3 during the experiment, 714 although $C_5H_{10}O_3$ is assumed to be the major product of the OH-initiated chemistry.

Apart from 2N-dimers, we observe detectable signals at m/z 450, 466, 482, 498 and 514, which are identified as 3N-dimers with molecular formulas $C_{10}H_{17}N_3O_{12-16}$. $C_{10}H_{17}N_3O_{12}$ and $C_{10}H_{17}N_3O_{13}$ were detected in the particle-phase in previous study, suggesting that they have low volatility and can contribute to SOA formation (Ng et al., 2008). As shown in Fig. 5f, 3N-dimers form much slower than 2N-dimers, but their productions are accelerated as the experiment proceeds. This is similar to the characteristics of secondgeneration 2N- and 3N-monomers to some degree, suggesting that the signals of 3N-dimers we observed are most likely dominated by secondary or even later-generation compounds.

722 It is worth noting that $C_{10}H_{17}N_3O_{12-14}$ and $C_{10}H_{17}N_3O_{15,16}$ have two completely different types of time 723 behavior. The signals of $C_{10}H_{17}N_3O_{12}$, $C_{10}H_{17}N_3O_{13}$ and $C_{10}H_{17}N_3O_{14}$ more or less increase in the first three 724 oxidation steps and start to decline in the late of step III with increasing NO₃ concentration. As depicted in 725 Scheme S13, 3N-dimers can result from further oxidation of 2N-dimers or the cross-reaction of a first-726 generation nitrooxy peroxy radical with a secondary dinitrooxy peroxy radical. Accordingly, such 3N-dimers are 727 thought to be second-generation products, and they would further react with NO3 due to the remaining double 728 bond in their molecular structure, leading to severe chemical destruction of these compounds under high NO3 729 condition. This is consistent with the time behavior of $C_{10}H_{17}N_3O_{12}$, $C_{10}H_{17}N_3O_{13}$ and $C_{10}H_{17}N_3O_{14}$. In contrast, 730 $C_{10}H_{17}N_3O_{15}$ and $C_{10}H_{17}N_3O_{16}$ are formed even more slowly, and their production in the first four hours is close 731 to zero. However, their signals start to climb in the late of step III, during which that of $C_{10}H_{17}N_3O_{12}$, 732 $C_{10}H_{17}N_3O_{13}$ and $C_{10}H_{17}N_3O_{14}$ decline. This suggests that $C_{10}H_{17}N_3O_{15}$ and $C_{10}H_{17}N_3O_{16}$ formed under high NO₃ 733 condition probably result from further reactions of $C_{10}H_{17}N_3O_{12-14}$. However, this assumption is highly uncertain 734 and more experimental and theoretical studies are needed to substantiate it. In terms of their time behavior, 735 C10H17N3O15 and C10H17N3O16 are thought to be third- or even later-generation products.





736 **3.3 Volatility distribution of isoprene nitrates**

737 **3.3.1** C* estimated by experimental methods

738 Detailed information about the volatility of organic molecules is essential to evaluate their potential to form 739 SOA. In order to investigate the potential contribution of various isoprene oxidation products to SOA formation, 740 we use our (limited) experimental data to estimate the vapor pressure of different isoprene organonitrates on the 741 basis of their condensation behavior. Figure 6 shows how the signals of gas-phase products change in 742 experiments with and without seed aerosols (ammonium sulfate). Please note that while the two experiments 743 were conducted under similar conditions, the procedures could not be kept fully identical as aerosol seeding 744 required specific measures and the oxidation chemistry might be slightly altered (e.g., due to initiation of 745 heterogeneous reactions).

746 As shown in Fig. 6, the signals of most of the selected compounds decline when there are seed aerosols in 747 the chamber, indicating that part of the condensable vapors is partitioned to the particle-phase due to the 748 introduction of condensation sinks. The decrease in signal differs for different products, mostly depending on 749 their vapor pressures. As expected, the lower volatility of a compound the higher the fraction that condenses. 750 For instance, the signal of $C_5H_9NO_7$ decreases by more than 70% in experiment with seed aerosols, compared to 751 less than 40% on average for other less-oxidized 1N-monomers. In some cases (e.g., C₃H₉NO₄ and C₅H₉NO₅) 752 however, the product signals in experiment with seed aerosols are higher than that without seeds after the 753 consumed isoprene exceeding a certain level. In addition, the signal of $C_5H_6N_2O_8$ in the experiment with seeds 754 is always higher compared to that without seeds. One explanation for this phenomenon is the effect of 755 heterogeneous reactions. It is likely that some condensed compound (denoted as A) can react on the particle 756 surface to form new products with the molecular composition of compound B, or alternatively forming a 757 precursor of B. When they evaporate back to the gas phase, it can result in an increase in signal of compound B. 758 That's why a higher signal was observed for such compounds in experiment with seeds than that without seeds, 759 as observed for C5H6N2O8 in this case.

760 Based on the observed condensation behavior of different products, we can derive their vapor pressures 761 from the gas-particle equilibrium partitioning coefficients by Eq. (2). As depicted in Fig. 7, the saturation 762 concentrations of different organonitrates show a decreasing tendency from 1N-, 2N-monomer and 3N-763 monomers to 2N- and 3N-dimers, suggesting that dimers have a higher propensity of condensation and 764 contribute to SOA formation. This is partly related to their molecular weight, as larger molecules generally have lower vapor pressures. However, it cannot explain all the features of the volatility distribution. For example, 765 766 $C_5H_9NO_6$ (corresponding to No.8 in Fig.7) has higher mass than $C_5H_9NO_5$ (corresponding to No.7 in Fig.7) but 767 is predicted to have higher vapor pressure. In general, chemical composition and functionalities have significant effects on vapor pressure. For instance, the 2D-VBS composition-activity relationship suggests that each carbon 768 769 and oxygen decrease C^{*} by 0.475 and 1.75 decades, respectively (Donahue et al., 2011). Different functional 770 groups also have very different effect on volatility. For example, each hydroxyl group (-OH) or hydroperoxy 771 group (-OOH) typically reduces the volatility by 2.4 to 2.5 decades, while the less polar carbonyl group (=O) 772 reduces the volatility by 1 decade (Pankow and Asher 2008, Donahue et al., 2011). The nitrooxy group (-ONO₂) 773 has a similar reductive effect on vapor pressure, which typically reduces C* by 2.5 orders of magnitude (Pankow 774 and Asher, 2008). Here, the irregularly high vapor pressure of C₅H₉NO₆ is most likely attributed to the 775 functional groups it contains. As listed in Table S2, $C_5H_9NO_6$ is proposed to be nitrooxy hydroxy hydroperoxyl





- 776 compound, which consists of two highly polar functional groups -OH and -OOH, contributing to formation of
- 777 intramolecular H-bonding that can significantly increase the vapor pressure (Bilde et al., 2015; Kurten et al.,
- 2016), while C₅H₉NO₅ only contains a –OOH group and hence cannot form intramolecular H-bonding. These
- 779 findings underline that the constitutional and configurational information of a molecule is critical for vapor-
- 780 pressure estimation.



⁷⁸¹

Figure 6: Time evolution of selected major gas-phase products during experiments with (red) and without (blue) seed aerosols (ammonium sulfate). Signals have been corrected for dilution.

784 **3.3.2** C* estimated by different parametrization methods

785 For comparison, we also adopt different parameterization methods to estimate the saturation vapor pressures of 786 isoprene oxidation products based on their molecular composition and the proposed structures, with the results 787 depicted in Fig. 7. In general, the saturation concentrations calculated by different parameterization methods 788 show a similar volatility distribution to that calculated by experimental method, with C* of 1N-, 2N- and 3N-789 monomers, 2N- and 3N-dimers decreasing in turn. However, different parameterization methods lead to the 790 predicted vapor concentrations with a variability of several orders of magnitude for the same compound, and the 791 discrepancies become larger and larger with more complicated molecules. In addition, C^{*} of structural isomers 792 calculated by the same method could span several decades.

As shown in Fig. 7, the Donahue et al. parameterization mostly provides lower C^{*} compared to the three GC methods, with a maximum discrepancy up to 12 orders of magnitude for dimers. With regard to smaller and less oxidized 1N-monomers, predicted C^{*} values from different methods are in relatively good agreement with each other, whereas the disagreement increases to 11 orders of magnitude for 2N- and 3N-monomers. This is mainly the case because the organic molecules were regarded as a mixture of =O and –OH functional groups in the Donahue et al. parameterization, and their relative abundance was assumed to be 1:1 (Donahue et al., 2011).





799 In consequence, the -OOH functional group in peroxides is treated as two -OH groups when adapting the 800 method proposed by Donahue et al. (2011). However, it is demonstrated that the extra oxygen in peroxy 801 moieties has little contribution to reduce vapor pressure (Pankow and Asher et al., 2008), hence treating -OOH 802 equivalent to two -OH functional groups would underestimate the vapor pressures of hydroperoxyl compounds. 803 Furthermore, organic compounds consisting of multiple polar functional groups (such as hydroperoxy, peroxy 804 acid, and peroxide functional groups) tend to form intramolecular H-bonding, which would increase the vapor 805 pressure (Bilde et al., 2015; Kurten et al., 2016). All these issues contribute to an underestimation of the vapor 806 pressures of multifunctional products when using the Donahue et al. parameterization. Mohr et al. (2019) 807 improved the parameterization for vapor-pressure estimation by taking the presence of -OOH functional groups 808 in HOM explicitly into consideration and revising the parameters to reduce the effect of -OOH on depressing C*. 809 Consequently, the Mohr et al. parameterization effectively reduces the discrepancy between its estimates and 810 those predicted by the GC methods, with the differences within 6 orders of magnitude. Nevertheless, there is a 811 slight tendency to underestimate the vapor pressures of 3N-monomers and dimers. The Peräkylä et al. 812 parameterization method, which was derived from measurements of the condensation behavior of HOM 813 produced from α -pinene ozonolysis, predicts similar C^{*} to Donahue et al. method for 1N-monomers, but higher C^* for 2N- and 3N-monomers like the Mohr et al. method. As for dimers, especially for the 3N-dimers 814 815 containing more multifunctional groups, the Peräkylä et al. method even predicts higher C* than the GC 816 methods in most cases.

Three GC methods predict similar saturation vapor pressures for different isoprene nitrates in this work, with the differences within 5 orders of magnitudes. Generally, the SIMPOL.1 method always provides higher C^{*} compared to another two methods, and the disagreement between methods becomes larger for molecules containing multifunctional groups. For instance, the vapor-pressure discrepancy between SIMPOL.1 and another two GC methods are both 2 orders of magnitude for C₅H₉NO_{4,5} and C₁₀H₁₇N₃O₁₂₋₁₄, but it increased up to 4 and 5 orders of magnitude, respectively, for C₅H₉NO_{6,7} and C₁₀H₁₇N₃O_{15,16}.

It is worth noting that the Nannoolal et al. method is able to distinguish between positional isomers (e.g., the estimated C^{*} for two $C_5H_{10}N_2O_9$ isomers are 0.858 and 0.333 µg m⁻³, respectively), whereas such capacity of EVAPORATION method is limited (e.g., it is able to distinguish between the position isomers of $C_5H_{10}N_2O_9$, but it predicts identical C^{*} for $C_{10}H_{16}N_2O_{11}$ isomers). In this respect, the SIMPOL.1 method cannot distinguish between positional isomers at all. Moreover, SIMPOL.1 method predicts smaller differences between functional group isomers for 1N-monomers and 3N-dimers compared to the Nannoolal et al. method and the EVAPORATION, but there is no such regular pattern for 2N-monomers and 2N-dimers.

830 By comparing the results calculated by experimental method with those by different parameterization 831 methods, we can see that the GC methods predict lower saturation concentrations for 1N-monomers than the 832 experimental method, while the Donahue et al. and Peräkylä et al. method provide similar C^{*} values. With 833 regard to 2N-monomers, the GC methods predict higher vapor pressures compared to the experimental method, 834 and the discrepancy decreases with decreasing saturation concentration. The disagreement of C* for 2N-835 monomers estimated by experimental method and the Mohr et al. or Peräkylä et al. method are within 2 orders of magnitude. In terms of low-volatility dimers, however, the vapor pressures calculated by the experimental 836 837 method were 1-3 orders of magnitude larger than that predicted by the parameterization methods except for the 838 Peräkylä et al. method. The Peräkylä et al. method provides the most similar predictions to the experimental





839 method for isoprene oxidation products in the full volatility range, with the disagreement within 1 order of 840 magnitude.



841

847 In general, the vapor pressures estimated experimentally in this study are very close to that calculated by 848 Peräkylä et al. method for which the estimation parameters were also derived experimentally. The discrepancy 849 between the experimental and the GC methods spans several orders of magnitude depending on different 850 compounds, with the GC methods predicting lower C* for less-functionalized 1N-monomers, approximate C* for 851 2N-monomers, but higher C* for highly functionalized dimers. It is difficult to tell which method is more 852 reliable without any measured saturation vapor pressure data on such multifunctional organic nitrates. However, 853 considering the fact that the existing GC methods tend to underestimate saturation vapor pressures of the highly functionalized organic molecules due to their limited capability to deal with intramolecular interactions (e.g. the 854 855 intramolecular hydrogen bonding formed among polar functional groups), and the well consistent results of two experimentally derived methods, we suggest that the experimental method might be a good choice to determine 856 857 the volatility of highly oxidized compounds accurately.

858 **3.3.3 Volatility distribution of isoprene nitrates and expected SOA yields.**

Although the vapor pressures calculated by different methods show a variability of several orders of magnitude,
the predicted volatility distributions of different organic groups are consistent. To eliminate the discrepancy

861 caused by methods and get an average trend of the volatility distribution of various isoprene nitrates, we use the

Figure 7: Saturation concentrations (in μ g m⁻³, at 298.15 K) of isoprene organonitrates estimated by using experimental and parameterization methods. The numbers correspond with the compound numbers of given in Table S2 (No. 1–9, 10–18, 19–21, 22–27, and 28–32 corresponding to 1N-monomers, 2N-monomers, 3N-monomer, 2Ndimers and 3N-dimers, respectively). Marker shapes indicate different isomers, with their size scaled by carbon oxidation state (*OSc*).





862 median value of C^* calculated by different methods as the estimator of the vapor pressure for each nitrate 863 compound.



864

Figure 8: Volatility distribution of different organonitrates formed from NO₃-initiated isoprene oxidation. The
 volatility classes are indicated along the top with corresponding colors in the plot. The position of potential SOA
 contributors is determined depending on the exact functionalities of molecules adapted from Bianchi et al. (2019).

868 The average carbon oxidation state is plotted against $Log_{10}(C^*)$ in Fig. 8 to describe the volatility distribution of organic nitrates formed from isoprene oxidation by NO₃. Generally, the volatility of measured 869 gas-phase products spans a wide range from IVOC to ELVOC, wherein all of the 1N-monomers fall in the 870 871 IVOC or SVOC range, suggesting that 1N-monomers have low potential to form SOA by simple condensation 872 as long as the organic aerosol load is less than 200 µg m⁻³. The addition of a second or third -NO₃ functional 873 group decreases C* of most 2N- and 3N-monomers by 2-3 decades compared with 1N-monomers, and most of 874 them belong to SVOC. They will start to condense in significant fractions if the organic aerosol load is in a 875 range of 1-10 μ g m⁻³, which means 2N- and 3N-monomers with OS_c > -0.8 may contribute to SOA formation 876 under atmospheric conditions. With regard to dimers, all 3N-dimers and 2N-dimers (except for C10H16N2O8.9) 877 are in LVOC or even ELVOC range, indicating isoprene dimers had high propensity to form SOA even at 878 organic aerosol loads $<< 1 \mu g/m^3$. However, we would like to emphasize here that the signals of 2N- and 3N-879 dimers only account for less than 2% on average of the total assigned signals, as shown in Fig. S5. This suggests 880 that the SOA yield of isoprene from NO₃ oxidation by condensation should be low under atmospheric 881 conditions.

Assuming that the dimers in the LVOC or ELVOC range will condense onto particles, we estimated a SOA mass yield for condensation of isoprene organic nitrates of about 5 %. This value is based on an averaged bulk organonitrate sensitivity of 0.019 norm. count s⁻¹ ppbv⁻¹ and has been corrected for wall loss and dilution (see Fig. S6, with uncorrected SOA mass yield of about 2 %). The estimated SOA mass yield is within the range of those reported in the literature, but at the lower end (4.3% to 23.8% depending on RO₂ fate, Ng et al., 2008; 0.7% for first generation oxidation and 14% after oxidation of both double bonds, Rollins et al., 2009; 27% on





average for ambient measurements, Fry et al., 2018). The SOA yield will probably become somewhat higher if taking the contribution of the minor dimer products as well as SVOCs into consideration. Our finding is commensurable with the SOA yield for isoprene organic nitrates of 2-6% derived from HR-AMS measurements in the same campaign (Brownwood et al., in preparation).

In addition, Br⁻ adduct ionization CIMS is selective for HO₂ and less oxidized organic compounds (Albrecht et al., 2019; Rissanen et al., 2019), so it is reasonable to assume that there were more highly oxidized products that were not detected by Br CIMS. This assumption is confirmed by measurements with a NO₃⁻ CIMS performed in another isoprene-NO₃ experiment in SAPHIR (Zhao et al., in preparation). Zhao et al. observed a higher fraction of dimers and more highly oxidized monomers and dimers, as well as trimers (C₁₅ compounds). As a consequence, the SOA yields derived from NO₃⁻ CIMS measurements is slightly higher.

From these points of view our yield is more a lower limit. However, even if we assume an error of a factor of 2, the SOA yield of isoprene organic nitrates by condensation is more likely in a range of about 10% or less than in the higher range of 20-30% published in the literature. Of course, by our method we cannot cover any liquid phase processes that would lead to additional SOA beyond the condensation of the target compounds.

902 4. Conclusions and implication

In this work, a gas-phase experiment conducted in the SAPHIR chamber under near atmospheric conditions in the dark was analyzed to primarily investigate the multi-generation chemistry of isoprene-NO₃ system. The characteristics of a diversity of isoprene nitrates were measured by the CIMS using Br as the reagent ion. Isoprene 1N-, 2N-, and 3N-monomers and 2N- and 3N-dimers have different time behaviors, indicating the occurrence of multi-generation oxidation during this process. Based on their specific time behaviors as well as the general knowledge of isoprene and radical chemistry, the possible formation mechanisms of these compounds are proposed.

910 In order to evaluate the potential contribution of various isoprene nitrates to SOA formation, different 911 composition-activity and group-contribution methods were used to estimate their saturation vapor pressures. We also calculated the vapor pressures of isoprene oxidation products based on the gas-particle equilibrium 912 913 coefficients derived from condensation measurements. The vapor pressures estimated by different methods 914 spans several orders of magnitude, and the discrepancies increase as the compounds become highly 915 functionalized. It shows that existing group-contribution methods tend to underestimate the saturation vapor 916 pressure of the multifunctional low-volatility molecules, and we suggest that experimental methods might be a 917 good choice to estimate the volatility of highly oxidized compounds accurately.

918 According to our results, 1N-monomers and most 2N and 3N-nitrates fall in the IVOC or SVOC range. 919 Therefore, they have, with a few exceptions, low potential to form SOA at atmospheric organic aerosol loads. In 920 contrast, 2N- and 3N-dimers are estimated to have low or extremely low volatility, indicating that they are 921 significant contributors to SOA formation, although dimers constitute less than 2% of the total explained signals. 922 In this study, no new particle formation events were observed. Assuming that the dimers in the LVOC or 923 ELVOC range will condense onto particles completely, we estimate a SOA mass yield of about 5 %, which is a 924 lower limit if one takes a possible contribution of the minor dimer products as well as SVOC species into 925 consideration. Both the volatility distribution and calculated SOA yields indicate that isoprene dimers formed 926 from NO3 oxidation are the major contributors to SOA formation.





927 Data availability

- 928 All data given in figures can be displayed in tables or in digital form. This includes the data given in the
- 929 Supplement. The data will be made available via the repository Jülich DATA. Please send all requests for data
- 930 to <u>t.mentel@fz-juelich.de</u> and <u>r.wu@fz-juelich.de</u>.

931 Author contributions

- 932 HF, JNC, JLF, SSB, AW, and AKS designed the study. Instrument deployment and data analysis were carried out
- 933 by RW, ET, SK, SRA, LH, AN, HF, RT, TH, PTMC, JS, FB, BB, JAT. RW, LV, ET, DZ, JAT, MH, TFM interpreted the
- 934 compiled data set. RW, TFM, LV wrote the manuscript. All co-authors discussed the results and commented on
- 935 the manuscript.
- 936

937 Competing interests

938 The authors declare that they have no conflict of interest.

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